

# DNA vaccines encoding antigen targeted to MHC class II induce influenza specific CD8+ T cell responses, enabling faster resolution of influenza disease.

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### *Conflict of interest statement*

The authors declare a potential conflict of interest and state it below

ES, ML and ABF are employed by Vaccibody, which generated the constructs. BB, ABF and GG are inventors on patent applications filed on the vaccine molecules by the TTO offices of the University of Oslo and Oslo University Hospital, according to institutional rules. BB is head of the Scientific panel, and ABF is CSO of Vaccibody AS. They both hold shares in the company.

### *Author contribution statement*

LL, EK, JM, JT performed studies; GG, ES, ML developed and provided reagents; GG, BB, AF, JT wrote paper and JT and AF designed studies.

### *Keywords*

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### *Abstract*

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Current influenza vaccines are effective but imperfect, failing to cover against emerging strains of virus and requiring seasonal administration to protect against new strains. A key step to improving influenza vaccines is to improve our understanding of vaccine induced protection. Whilst it is clear that antibodies play a protective role, vaccine induced CD8+ T cells can improve protection. To further explore the role of CD8+ T cells we used a DNA vaccine that encodes antigen dimerised to an immune cell targeting module. Immunising CB6F1 mice with the DNA vaccine in a heterologous prime boost regime with the seasonal protein vaccine improved the resolution of influenza disease compared to protein alone. This improved disease resolution was dependent on CD8+ T cells. However, DNA vaccine regimes that induced CD8+ T cells alone were not protective and did not boost the protection provided by protein. The MHC targeting module used was an anti-I-Ed single chain antibody specific to the BALB/c strain of mice. To test the role of MHC targeting we compared the response between BALB/c, C57BL/6 mice and an F1 cross of the two strains (CB6F1). BALB/c mice were protected, C57BL/6 were not and the F1 had an intermediate phenotype; showing that the targeting of antigen is important in the response. Based on these findings, and in agreement with other studies using different vaccines, we conclude that in addition to antibody, inducing a protective CD8 response is important in future influenza vaccines.

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### *Ethics statement*

(Authors are required to state the ethical considerations of their study in the manuscript including for cases where the study was exempt from ethical approval procedures.)

*Did the study presented in the manuscript involve human or animal subjects:* Yes

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The study was approved by the Imperial College Animal Ethics and Welfare board and licensed by the UK home office under the Animals (Scientific Procedures) Act 1986

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N/A

1       **DNA Vaccines Encoding Antigen Targeted To MHC Class II**  
2       **Induce Influenza Specific CD8<sup>+</sup> T Cell Responses, Enabling**  
3       **Faster Resolution Of Influenza Disease.**

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22 **Abstract**

23 Current influenza vaccines are effective but imperfect, failing to cover against emerging  
24 strains of virus and requiring seasonal administration to protect against new strains. A key  
25 step to improving influenza vaccines is to improve our understanding of vaccine induced  
26 protection. Whilst it is clear that antibodies play a protective role, vaccine induced CD8<sup>+</sup> T  
27 cells can improve protection. To further explore the role of CD8<sup>+</sup> T cells we used a DNA  
28 vaccine that encodes antigen dimerised to an immune cell targeting module. Immunising  
29 CB6F1 mice with the DNA vaccine in a heterologous prime boost regime with the seasonal  
30 protein vaccine improved the resolution of influenza disease compared to protein alone. This  
31 improved disease resolution was dependent on CD8<sup>+</sup> T cells. However, DNA vaccine  
32 regimes that induced CD8<sup>+</sup> T cells alone were not protective and did not boost the protection  
33 provided by protein. The MHC targeting module used was an anti-I-E<sup>d</sup> single chain antibody  
34 specific to the BALB/c strain of mice. To test the role of MHC targeting we compared the  
35 response between BALB/c, C57BL/6 mice and an F1 cross of the two strains (CB6F1).  
36 BALB/c mice were protected, C57BL/6 were not and the F1 had an intermediate phenotype;  
37 showing that the targeting of antigen is important in the response. Based on these findings,  
38 and in agreement with other studies using different vaccines, we conclude that in addition to  
39 antibody, inducing a protective CD8 response is important in future influenza vaccines.

In review

## 40 **Introduction**

41 The annual burden of influenza is significant, with the WHO estimating 1 billion cases of  
42 infection a year. Of these, an estimate from 2008 suggests that about 90 million cases are in  
43 children under 5 years of age (Nair et al., 2011). This huge burden of disease is in spite of  
44 there being seasonal vaccines for influenza: these vaccines are not available for the global  
45 population and, due to the changing nature of circulating influenza strains, are often not  
46 completely effective. Ideally new vaccines with broader cross protection would be developed  
47 which address the problem of antigenic drift and the narrow window in which a seasonal  
48 vaccine is effective.

49 For the current generation of strain specific protein vaccines, antibody is a valuable correlate  
50 of protection. Currently vaccines are licensed based on a haemagglutination inhibition (HAI)  
51 titre of 1:40, a surrogate assay for neutralising antibody. However the HAI assay has  
52 limitations, even for assessing antibody, it only measures anti-haemagglutinin responses and  
53 does not recognise all haemagglutinin specific antibodies, for example it doesn't detect  
54 antibodies that bind the more conserved stem region. Therefore for the next generation of  
55 influenza vaccines, particularly for cross-reactive vaccines, better understanding about the  
56 relative contributions of different arms of the adaptive immune system in protection is  
57 required (Reber and Katz, 2013). For example, HAI titre fails to take into account the role of  
58 T cells in the vaccine response to influenza, which may also contribute to cross protection  
59 (Altenburg et al., 2015).

60 Whilst both CD4<sup>+</sup> and CD8<sup>+</sup> T cells can contribute to protection against influenza, CD8<sup>+</sup> T  
61 cells are particularly beneficial when they recognise conserved epitopes across multiple  
62 influenza strains (Brown and Kelso, 2009). The direct evidence for the role of influenza  
63 disease reduction by CD8<sup>+</sup> T cells is mostly derived from animal studies, but a recent study  
64 correlated influenza specific CD8<sup>+</sup> T cells with protection against symptomatic pandemic  
65 influenza (Sridhar et al., 2013). Based on their protective role, CD8<sup>+</sup> T cells are an attractive  
66 target in vaccine development. But, it is of note that CD8<sup>+</sup> T cells only function after cellular  
67 infection has occurred, acting to limit rather than prevent infection. Understanding the  
68 protection provided by vaccine induced CD8<sup>+</sup> T cells in the context of virus specific antibody  
69 is important in designing new influenza vaccines.

70 One approach to induce different types of adaptive immune response is to direct antigen to  
71 specific antigen presenting cells (Barber, 1997). This can be achieved using dimeric vaccines  
72 with targeting modules (either a scFv derived from an antibody, or chemokine) coupled by a  
73 dimerization unit to the antigen (Grodland and Bogen, 2015). Changing the module allows  
74 the targeting of different antigen presenting cells, leading to different types of immune  
75 responses and enabling the comparison of the relative contribution of different adaptive  
76 immune effectors. In previous studies in mice an MHC-targeting module has been shown to  
77 induce a dominant IgG1 antibody response with some T cell induction (Grodland et al.,  
78 2013a), whilst an XCL1 chemokine module led to a more skewed CD8<sup>+</sup> T cell response  
79 (Fossum et al., 2015).

80 In the current study, we investigated the relative contribution of influenza specific CD8<sup>+</sup> T  
81 cells induced by a DNA vaccine in a heterologous prime boost regime with a protein vaccine.  
82 The protein vaccine induced a strong antibody response, but relatively few CD8 cells.  
83 Induction of CD8<sup>+</sup> T cells by the dimeric vaccine, improved the resolution of disease, and  
84 when CD8 cells were depleted, the enhanced resolution was no longer observed. However,  
85 CD8 cells alone were insufficient to protect against infection. Based on this we conclude that  
86 vaccine induced CD8 responses are beneficial, but are supplementary to antibody.

In review

## 87 **Materials and Methods**

### 88 **DNA vaccine constructs**

89 The generation of the DNA vaccine constructs containing the targeting unit, the dimerization  
90 unit consisting of h1+h4+C<sub>H</sub>3 domains derived from human IgG3, and antigen has been  
91 previously described (Fredriksen et al., 2006; Fossum et al., 2015). The constructs either  
92 expressed amino acids 18-541, the extracellular domain and part of the transmembrane  
93 domain, of influenza A/California/07/2009 (H1N1) haemagglutinin or the conserved  
94 IYSTVASSL epitope of H1 (533-541) as the antigen payload and anti-I-E<sup>d</sup> MHC class II  
95 single chain variable fragment (scFv) from the 14-4-4S monoclonal antibody, that binds the  
96 conserved E alpha chain, or murine XCL1 as the targeting unit. All sequences were  
97 synthesized by Eurofins MWG (Germany) or GenScript (USA). The synthesized inserts were  
98 subcloned into the expression vector pUMVC4a on *NotI* and *BglII*, all including either an Ig  
99 VH signal peptide or the murine XCL-1 signal peptide to ensure secretion. The αMHCII:HA  
100 (Cal/07) construct has been described previously (Grodland et al., 2013a).

### 101 **Mouse immunization and infection**

102 6–8 week old female CB6F1, BALB/c or C57BL/6 mice were obtained from Harlan UK Ltd  
103 (Bradford, UK) and kept in specific-pathogen-free conditions in accordance with the United  
104 Kingdom's Home Office guidelines and all work was approved by the Animal Welfare and  
105 Ethical Review Board (AWERB) at Imperial College London. Studies followed the ARRIVE  
106 guidelines. Animals were immunised in a prime (d0)-boost (d21)-challenge (d42) regime and  
107 culled on day 7 of challenge (d49 relative to prime). For protein immunisation, mice were  
108 immunized intramuscularly (i.m.) with 0.1 µg purified surface antigens from influenza strain  
109 H1N1 A/California/7/2009 (GSK Vaccines, Siena, Italy) in 50 µl. For DNA vaccination,  
110 mice were injected intramuscularly (i.m.) into the *anterior tibialis* with 5 µg plasmid in 50 µl  
111 of sterile PBS followed by electroporation (EP). Two lots of 5 pulses of 150V with switched  
112 polarity between pulses were delivered using a CUY21 EDIT system (BEX, Japan). For  
113 infections, mice were anesthetized using isoflurane and infected intranasally (i.n.) with 5x10<sup>4</sup>  
114 PFU of influenza A H1N1 (strain A/England/195/2009). Where used, CD8<sup>+</sup> T cells were  
115 depleted using two intraperitoneal injections of 0.25 mg anti-murine CD8 antibody clone  
116 YTS156 and CD4<sup>+</sup> T cells were depleted with 0.125mg each of YTA3 and YTS191 (a kind  
117 gift of S. Cobbold, Oxford University) on d-1 and +1 of infection (Tregoning et al., 2013).

### 118 **Influenza**

119 H1N1 influenza (strain A/England/195/2009), isolated by Public Health England in the UK,  
120 April 2009 (Baillie et al., 2012), was grown in Madin-Darby Canine Kidney (MDCK) cells,  
121 in serum-free DMEM supplemented with 1 µg/ml trypsin. The virus was harvested 3 days  
122 after inoculation and stored at -80°C. Viral titre was determined by plaque assay as  
123 previously described (Elleman and Barclay, 2004).

### 124 **Semi-quantitative antigen-specific ELISA**

125 Antibodies specific to influenza H1N1 were measured using a standardized ELISA (Russell  
126 et al., 2016). IgG responses were measured in sera and IgA responses in bronchoalveolar

127 lavage. MaxiSorp 96-well plates (Nunc) were coated with 1 µg/ml H1N1 surface proteins or  
128 a combination of anti-murine lambda and kappa light chain specific antibodies (AbDSerotec,  
129 Oxford, UK) and incubated overnight at 4°C. Plates were blocked with 1% BSA in PBS.  
130 Bound IgG was detected using HRP-conjugated goat anti-mouse IgG (AbD Serotec). Bound  
131 IgA was detected using a biotinylated anti-IgA and a streptavidin-HRP. A dilution series of  
132 recombinant murine IgG or IgA was used as a standard to quantify specific antibodies. TMB  
133 with H<sub>2</sub>SO<sub>4</sub> as stop solution was used to detect the response and optical densities read at 450  
134 nm.

### 135 **Tissue and cell recovery and isolation**

136 Mice were culled using 100 µl intraperitoneal pentobarbitone (20 mg dose, Pentoject,  
137 Animalcare Ltd. UK) and tissues collected as previously described (Siggins et al., 2015).  
138 Blood was collected from carotid vessels and sera isolated after clotting by centrifugation.  
139 Lungs were removed and homogenized by passage through 100 µm cell strainers, then  
140 centrifuged at 200 x g for 5 minutes. Supernatants were removed and the cell pellet treated  
141 with red blood cell lysis buffer (ACK; 0.15 M ammonium chloride, 1 M potassium hydrogen  
142 carbonate, and 0.01 mM EDTA, pH 7.2) before centrifugation at 200 x g for 5 minutes. The  
143 remaining cells were resuspended in RPMI 1640 medium with 10% fetal calf serum, and  
144 viable cell numbers determined by trypan blue exclusion.

### 145 **Influenza viral load**

146 Viral load *in vivo* was assessed by Trizol extraction of RNA from frozen lung tissue disrupted  
147 in a TissueLyzer (Qiagen, Manchester, UK). RNA was converted into cDNA and quantitative  
148 RT-PCR was carried out using bulk viral RNA, for the influenza M gene and mRNA using  
149 0.1 µM forward primer (5'-AAGACAAGACCAATYCTGTACCTCT-3'), 0.1 µM reverse  
150 primer (5'-TCTACGYTGCAGTCCYCGCT-3') and 0.2 µM probe (5'-FAM-  
151 TYACGCTCACCGTGCCAGTG-TAMRA-3') on a Stratagene Mx3005p (Agilent  
152 technologies, Santa Clara, CA, USA). M-specific RNA copy number was determined using  
153 an influenza M gene standard plasmid.

### 154 **Flow cytometry**

155 Live cells were suspended in Fc block (Anti-CD16/32, BD) in PBS-1% BSA and stained with  
156 surface antibodies: influenza A H1 HA<sub>533-541</sub> IYSTVASSL Pentamer R-PE (Proimmune,  
157 Oxford, UK), CD3-FITC (BD, Oxford UK), CD4-APC (BD), and CD8-APC Alexa75  
158 (Invitrogen, Paisley, UK). Analysis was performed on an LSRFortessa flow cytometer (BD).  
159 FMO controls were used for surface stains.

### 160 **Statistical Analysis**

161 Calculations as described in figure legends were performed using Prism 6 (GraphPad  
162 Software Inc., La Jolla, CA, USA).



163 **Results**

164 **Heterologous prime-boost regimes using dimeric DNA vaccines induce both antibody**  
165 **and CD8<sup>+</sup> T cell responses and improves resolution of disease.**

166 Vaccine induced, antibody-mediated protection against influenza is well characterised, but  
167 CD8<sup>+</sup> T cells are also important. DNA vaccines allow the induction of strong cellular  
168 responses (Tregoning and Kinnear, 2014) and the use of different targeting modules allow us  
169 to compare the relative contributions of different effectors (Grodland et al., 2015). We  
170 compared the response to immunisation using a DNA vaccine encoding dimeric APC-  
171 targeted antigen alone or in combination with protein antigens. The DNA vaccine construct  
172 for these studies encoded the HA gene from influenza Eng/195 (H1N1) dimerised to an anti-  
173 I-E<sup>d</sup> MHC class II single chain variable fragment (scFv) with a dimerization unit consisting  
174 of h1+h4+C<sub>H</sub>3 domains from human IgG3. CB6F1 mice were used for these studies, they are  
175 the F1 cross of BALB/c (I-E<sup>d</sup>) and C57BL/6 (I-E<sup>b</sup>) strains. Mice were immunised once with  
176 5µg DNA encoding the dimeric vaccine construct (αMHCII:HA) intramuscularly with  
177 electroporation, with or without a boost (on day 21) using a sub-protective dose of H1N1  
178 proteins (0.1 µg) from CAL/09. Three weeks after the boost immunisation (on day 42), mice  
179 were challenged intranasally with H1N1 influenza (strain A/England/195/2009) and culled  
180 seven days later (day 49).

181 Blood was collected prior to infection to determine anti-influenza antibodies. αMHCII:HA  
182 primed-protein boosted animals had significantly more antibody than protein alone or  
183 αMHCII:HA alone (p<0.05, Fig 1A). All immunisations gave some reduction of weight loss  
184 following influenza infection. The αMHCII:HA alone group recovered faster on days 6 and 7  
185 after infection than PBS control mice, and a similar phenotype was seen after immunisation  
186 with protein alone. However, prime immunisation with DNA then protein boost led to  
187 significantly improved recovery from d4 after infection (p<0.05 compared to DNA or protein  
188 alone on d5 and 6, Fig 1B). After infection, antibody responses in the αMHCII:HA-protein  
189 group were the same as the PBS-protein group and levels were 10 fold higher than before  
190 infection (Fig 1C). There was some detectable antibody after immunising with αMHCII:HA  
191 alone, that was slightly boosted by infection. However, αMHCII:HA alone immunised  
192 animals had a significant influenza-specific CD8<sup>+</sup> T cell response in the lungs, as measured  
193 by pentamer-positive cells, greater than the protein alone or naïve animals (p<0.05, Fig 1C).  
194 These cells were also induced in the prime-boost group. These data suggest that whilst  
195 antibody is protective against influenza infection, antigen specific CD8<sup>+</sup> T cells contribute to  
196 recovery in the absence or near absence of antibodies.

197 **Accelerated resolution in prime boost regimes is provided by CD8<sup>+</sup> T cells**

198 Having observed that heterologous prime-boost immunisation led to faster recovery and the  
199 DNA vaccines induced both an influenza specific CD8 and antibody response, we wished to  
200 determine the role of the CD8 cells. Mice were immunised with αMHCII:HA with a protein  
201 boost or protein alone and responses compared between animals treated with CD8 depleting  
202 antibody and control during infection. As seen before, αMHCII:HA-Protein immunisation  
203 induced more antibody than protein alone 21 days after the boost immunisation (Fig 2A).

204  $\alpha$ MHCII:HA-Protein immunised, CD8<sup>+</sup>-depleted mice lost significantly more weight than the  
205 immunised animals with intact CD8<sup>+</sup> responses ( $p < 0.05$  on d6 and 7, Fig 2B). CD8 depletion  
206 had no effect on protein-alone immunisation. At day 7 after infection,  $\alpha$ MHCII:HA-Protein  
207 immunised mice had no detectable viral load and CD8 depletion had no effect on this (Fig  
208 2C). CD8 depletion also had no effect on the antibody response (Fig 2D) or CD4<sup>+</sup> T cell  
209 number in the lungs (Fig 2E), but led to a significant reduction in both total (Fig 2F) and  
210 influenza-specific CD8<sup>+</sup> T cells (Fig 2G). From this, we conclude that the improved recovery  
211 seen after  $\alpha$ MHCII:HA priming before protein vaccination is partially mediated by CD8<sup>+</sup>  
212 cells.

### 213 **CD8<sup>+</sup> cells in isolation are not sufficient for protection from influenza infection**

214 Since we observed that CD8 cells contribute to the accelerated resolution of disease in the  
215 prime boost immunisation, we wished to determine whether vaccines inducing influenza  
216 specific CD8 alone could also improve disease resolution. A pilot study was performed to  
217 determine the immune response vaccine constructs using different targeting unit/ antigen  
218 combinations, in order to select the ones that gave the greatest CD8<sup>+</sup> T cell responses. Mice  
219 were immunised with constructs encoding either anti-I-E<sup>d</sup> scFv or the XCL1-targeting  
220 module with either the full HA surface domain (of Cal/07) or the K<sup>d</sup> immunodominant  
221 epitope alone in H1 haemagglutinin (HA<sub>533-541</sub> IYSTVASSL). The groups immunised with  
222 constructs encoding the epitope alone were not protected against influenza infection (Fig 3A,  
223 B). The more complete HA constructs offered modest protection, with  $\alpha$ MHCII:HA  
224 immunised animals recovering slightly faster than the naïve animals and the XCL1:HA  
225 immunised animals gaining weight on d7 post infection. There were striking differences in  
226 the antibody responses: only animals immunised with a construct expressing the whole HA  
227 had detectable antibody responses, and the response to the MHCII-targeting construct was  
228 greater than the XCL1 (Fig 3C). Whilst the antibody responses were poor to these constructs,  
229 there was substantial recruitment of influenza-specific CD8<sup>+</sup> T cells. All immunised groups  
230 had influenza-specific T cells in the lungs, but there were greater responses in the epitope-  
231 immunised animals (Fig 3D). From this pilot study, we conclude that the epitope only  
232 vaccines induce a stronger CD8 response.

233 To assess the relative contributions of CD8<sup>+</sup> cells versus antibody, we took advantage of the  
234 differential responses to the  $\alpha$ MHCII:HA or  $\alpha$ MHCII:Epitope constructs, with either a DNA  
235 or protein boost, prior to infection with influenza. Prime-boost regimes with protein or  
236  $\alpha$ MHCII:HA (Eng/195) led to significant protection against infection, with little difference  
237 between the homologous or heterologous prime-boost regimes in weight loss (Fig 4A).  
238 Protein containing regimes (Protein-Protein or  $\alpha$ MHCII:HA-Protein) had slightly less  
239 detectable viral RNA in the lungs, than the  $\alpha$ MHCII:HA homologous regime (Fig 4B). The  
240 groups receiving a protein vaccination had more antibody than the other groups (Fig 4C),  
241 though it was surprising that there was no boost in antibody response after the second protein  
242 immunisation. The regimes using the  $\alpha$ MHCII:Epitope induced the greatest level of CD8<sup>+</sup>  
243 cells in the lungs after infection ( $p < 0.05$ , Fig 4D), but the  $\alpha$ MHCII:Epitope immunised  
244 animals were not protected against infection, losing a similar amount of weight as naïve  
245 animals and having an equivalent viral load. Priming with  $\alpha$ MHCII:Epitope followed by

246 protein did lead to significantly more CD8<sup>+</sup> T cells than Protein-Protein, but had little effect  
247 on protection. As seen before, the protein-only immunisation regime did not induce any  
248 influenza-specific CD8<sup>+</sup> T cells. These data suggest influenza specific CD8<sup>+</sup>T cells targeting  
249 the IYSTVASSL epitope of H1 are not sufficient to protect against infection.

### 250 **Mouse strain key determinant of protection for MHCII targeting constructs**

251 In previous studies using similar DNA vaccine constructs in BALB/c mice, complete  
252 protection against Cal/07 infection was observed after a single DNA vaccination(Grodeland  
253 et al., 2013a). Possible sources of differences include the amount of DNA delivered (25µg in  
254 published, 5µg in current), the route of delivery (i.d. in published, i.m. in current), viruses  
255 used for challenge (Cal/07 in published, Eng/195 in current: the HA genes from Cal/07 and  
256 Eng/195 are 99% identical, with 4 amino acid changes), the mouse strains used (BALB/c in  
257 published, CB6F1 in current), or the antigens inserted into the MHCII-targeted construct. To  
258 ensure there was no difference between constructs used in the current study and the published  
259 constructs, we compared immunisation with the construct used in the previous study  
260 (Grodeland et al., 2013a) and a construct expressing the HA from Eng/195. CB6F1 mice  
261 were immunised with 5 µg of each construct with electroporation, and 28 days later they were  
262 infected intranasally with 5x10<sup>4</sup> PFU of ENG195. Weight was measured daily after infection,  
263 there was no difference between mice immunised with the two vaccine constructs;  
264 immunised mice recovered faster than naïve mice on d7 after infection (Fig 5A).  
265 Significantly more viral RNA was detected in the lungs of previously naïve animals than in  
266 immunised animals, and there was no difference in viral load between mice immunised with  
267 either construct (Fig 5B). Both constructs induced an immune response, as there was  
268 detectable specific IgG in the sera at d7 (Fig 5C) and flu-specific CD8<sup>+</sup> T cells in the lung  
269 (Fig 5D) in immunised but not naïve animals. From this, we conclude that the incomplete  
270 protection observed in the initial studies was not due to the construct, the antigen targeted, or  
271 the challenge virus, suggesting that mouse strain may be important, though the dose and route  
272 may also contribute to differences seen.

### 273 **Compatibility of host strain and vaccine construct MHC-targeting unit is critical in** 274 **level of protection**

275 The targeting unit of the MHC vaccine construct is based on an scFv, derived from the 14-4-  
276 4S monoclonal antibody that binds the conserved E alpha chain of the I-E<sup>d</sup> MHCII molecule,  
277 which is expressed in mouse strains that are H-2<sup>d</sup>. We have previously observed that mouse  
278 strain is critical in the recall immune response to respiratory viral infection (Tregoning et al.,  
279 2010). Previously published studies with similar MHC targeting vaccine constructs used  
280 BALB/c mice (H-2<sup>d</sup>) and the current studies used CB6F1 mice, which are mixed H-2<sup>d</sup> and H-  
281 2<sup>b</sup>. To test whether mouse strain has an effect on the immune response to the vaccine, we  
282 immunised BALB/c (H-2<sup>d</sup>), C57BL/6 (H-2<sup>b</sup>) and CB6F1 (mixed H-2<sup>d</sup> and H-2<sup>b</sup>) with the  
283 αMHCII:HA construct. These animals were then challenged with influenza. Naïve animals  
284 started losing weight on day 2 after infection and this weight loss continued to day 7, at  
285 which point the animals were culled (Fig 6A). There was no significant difference in the  
286 magnitude or the profile of the weight loss between the naïve animals regardless of strain,  
287 indicating that baseline susceptibility to influenza was similar. However, there was a striking

288 difference in protection based on MHC genotype. BALB/c were more protected than F1  
289 mice, which were more protected than the C57BL/6 mice, directly reflecting the amount of I-E<sup>d</sup>  
290 MHC (Fig 6B-D) and reflecting the previously published study (Grodland et al., 2013a).  
291 Likewise, there was only a reduction in viral load in the BALB/c and F1 immunised mice  
292 (Fig 6E). There was detectable influenza-specific antibody (Fig 6F) and CD8<sup>+</sup> T cells (Fig  
293 6G) in both the BALB/c and CB6F1 mice and there was no difference between the two  
294 strains, suggesting that there are other components that contribute to protection against  
295 infection. The BALB/c mice had a higher proportion of CD4<sup>+</sup> T cells in the lungs, which may  
296 have contributed to protection (Fig 6H), but in a separate study when treated with CD4  
297 depleting antibody during challenge, there was no effect on resolution of disease after  
298 depletion (Fig 6I).

299 In the CB6F1 mice, the regimes that induced CD8<sup>+</sup> T cells alone did not protect against  
300 infection. Since we observed a difference between BALB/c and CB6F1 mice in protection  
301 following immunisation with the  $\alpha$ MHCII:HA construct, we wished to determine whether  
302 there was a difference in the protective capacity of the CD8<sup>+</sup> T cells induced in H-2<sup>b</sup> mice.  
303 BALB/c were immunised twice with the MHCII-epitope construct prior to infection with  
304 influenza. Mice were not protected against infection (Fig 6J) despite inducing an extremely  
305 high influenza-specific CD8 response (Fig 6K). As with the CB6F1 mice, no antibody  
306 response was seen after immunisation with this construct (data not shown). These studies  
307 clearly demonstrate the effect of the targeting module on the response.

## 308 Discussion

309 In this study we observed that a DNA vaccine encoding a dimeric construct that targets  
310 haemagglutinin to antigen presenting cells can induce an influenza specific CD8<sup>+</sup> T cell  
311 response, which in the context of antibody can lead to more rapid recovery from infection.  
312 CD8 cell depletion removed the extra protection provided by the DNA vaccination. It should  
313 be noted that the MHCII:HA DNA-prime protein-boost regime induced more antibody than  
314 protein alone prior to infection which will contribute to the additional protection seen; but the  
315 depletion studies suggest that the additional protection provided by elevated antibody was  
316 secondary to that provided by CD8. From this we conclude that CD8 contribute to protection  
317 against influenza infection, but are insufficient when acting alone.

318 The H1 haemagglutinin epitope (IYSTVASSL) only DNA constructs were insufficient to  
319 protect against influenza infection in spite of inducing robust CD8 responses in the lung  
320 during infection. There were a number of possible reasons why immunisation that only  
321 induces a CD8 response fails to protect against influenza challenge including  
322 immunopathology, the infectious dose used, the DNA vaccine dose used, targeting a poorly  
323 protective epitope or immunising the wrong tissue. Excess CD8<sup>+</sup> T cells can be associated  
324 with disease, both for influenza (Enelow et al., 1998) and respiratory syncytial virus (RSV)  
325 infection (Tregoning et al., 2008). But there was little evidence for CD8 cells causing  
326 enhanced immunopathology in the current study – for example the MHCII:Epitope construct  
327 induced little antibody and high levels of CD8 cells, but the disease profile was the same as  
328 naïve mice. A different epitope might be more protective, the current study evaluated a CD8  
329 epitope in haemagglutinin, CD8 responses against the NP protein of influenza have been  
330 explored for vaccine candidates (Baranowska et al., 2015). Though in other studies, the  
331 IYSTVASSL (HA533) epitope has been used as a heterologous boost vaccine, expressed by  
332 *Listeria* (Pham et al., 2010), leading to heterosubtypic immunity; differences in route, dose,  
333 regime and vector of delivery could all contribute to the differences seen. It is possible that in  
334 the absence of antibody, CD8 cells are being swamped by virus: with a smaller infectious  
335 challenge dose, CD8 cells may have provided more protection (Moskophidis and Kioussis,  
336 1998), but the viral dose used has been carefully titrated to give a clear disease phenotype. It  
337 was of note that the  $\alpha$ MHCII:HA and other DNA vaccines used in other studies (Walters et  
338 al., 2014; Kinnear et al., 2015) were protective against the same dose of the same virus;  
339 notably all of these regimes induced antibody and CD8 T cells, indicating that both are  
340 required.

341 Another possibility is that the CD8 cells induced by vaccination were in the wrong tissue.  
342 Systemic vaccination is most likely to lead to systemic T cell memory, resident in the spleen,  
343 whereas CD8 in the lung are required to clear the infection. The time taken to recruit cells in  
344 response to infection from the systemic to the local compartment may account for the failure  
345 to clear the infection. Tissue resident memory CD8 cells have been shown to be critical in  
346 protection and vaccine regimes that induce them have a significantly improved outcome  
347 compared to systemic vaccination (Wakim et al., 2015). One approach might be to use live  
348 viral vaccines, the use of the live attenuated influenza vaccine led to the induction of  
349 influenza specific CD8 T cells in the lungs (Chen et al., 2011), we have recently shown that

350 heterologous prime boost strategies including viral vectors can alter the immune outcome  
351 (Badamchi-Zadeh et al., 2016).

352 One of the striking observations was the effect of mouse MHC genotype on the response to  
353 the MHC targeting vaccine constructs. This shows the targeting component of the dimeric  
354 vaccine is critical in the response it induces. But the homozygous I-E<sup>d</sup> strain (BALB/c) were  
355 most protected against infection, in spite of having broadly similar antibody and CD8<sup>+</sup> T cell  
356 responses to the heterozygous CB6F1 mice, C57BL/6 mice were not protected due to their  
357 lack of I-E<sup>d</sup>. One possibility is that haemagglutinin specific CD4 cells were also induced by  
358 the vaccine and there more of these in the BALB/c mice than the CB6F1. There are 2 well  
359 characterised MHCII epitopes in H1 derived haemagglutinin SVSSFERFEIFPK (H2-IE<sup>d</sup>  
360 positions 124-136) and HNTNGVTAACSHE (H2-IA<sup>d</sup> positions 139-151) and  $\alpha$ MHCII:HA  
361 can induce responses against these (Grodeland et al., 2013a). The role of CD4 cells in  
362 protection against influenza is less well characterised than CD8, but recent studies have  
363 shown a correlation between CD4 T cell responses and protection in a human influenza  
364 challenge study (Wilkinson et al., 2012). Whether these cells play a role and what role they  
365 play – either as helpers (Nakanishi et al., 2009) or as cytotoxic T cells (van de Berg et al.,  
366 2008) is not clear, though the depletion of CD4 during challenge had no effect on disease  
367 outcome, suggesting they are not acting as cytotoxic effectors, but may be important in  
368 priming the response.

369 The best protection was observed when both CD8 and antibody were induced. This reflects  
370 other studies using the dimeric vaccine constructs expressing haemagglutinin (Grodeland et  
371 al., 2013a;Grodeland et al., 2013b;Fossum et al., 2015) all of which induced both antibody  
372 and CD8 T cells. We believe that CD8 play a critical role in the later stages of the infection  
373 leading to viral clearance and recovery from influenza infection; providing an adjunct to  
374 antibody mediated protection. Studies in human RSV showed that the probability of  
375 protection from antibody follows a sigmoidal distribution suggesting a role for other factors  
376 (Habibi et al., 2015). We propose a model where antibody prevents the initial colonisation,  
377 but if antibody is evaded by the virus then CD8 cells enable more rapid clearance. In this  
378 context, vaccines that can induce local CD8 responses may be of value, particularly if they  
379 target conserved epitopes.

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383 and Giuseppe Del Giudice (GSK Vaccines, Sienna) provided the influenza vaccine antigens.  
384 ES, ML and ABF are employed by Vaccibody, which generated the constructs. BB, ABF and  
385 GG are inventors on patent applications filed on the vaccine molecules by the TTO offices of  
386 the University of Oslo and Oslo University Hospital, according to institutional rules. BB is  
387 head of the Scientific panel, and ABF is CSO of Vaccibody AS. They both hold shares in the  
388 company.

389 **Author Contribution**

390 LL, EK, JM, JT performed studies; GG, ES, ML developed and provided reagents; GG, BB,  
391 AF, JT wrote paper and JT and AF designed studies.

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500

## 501 **Figure Legends**

### 502 **Figure 1. Heterologous prime-boost regimes using dimeric DNA vaccines induce both** 503 **antibody and CD8<sup>+</sup> T cell responses and improves resolution of disease.**

504 Mice were immunised intramuscularly (i.m.), immediately followed by electroporation, with  
 505 5 µg DNA encoding an MHCII-targeting:HA construct or 0.1 µg H1N1 proteins or DNA then  
 506 protein. Animals were infected intranasally (i.n.) with 5x10<sup>4</sup> PFU A/England/195/2009 H1N1  
 507 influenza. One day before infection IgG was assessed in sera (A). Weight change was  
 508 measured after infection (B). H1 Influenza specific IgG by ELISA (C) and influenza-specific  
 509 CD8<sup>+</sup> T cells (D) were measured on day 7 after infection. Lines and points represent mean of  
 510 n≥4 mice \*\*p<0.01, \*\*\*p<0.001 between MHCII:HA-Protein and MHCII:HA, # p<0.05  
 511 between MHCII:HA and Protein alone measured by one way (B, C) or two-way ANOVA  
 512 (A).

### 513 **Figure 2. CD8<sup>+</sup> T cells required for accelerated resolution of DNA prime boost regimes.**

514 CB6F1 mice were immunised i.m. with 5 µg DNA encoding MHCII:HA then 0.1 µg H1N1  
 515 proteins, or protein alone. 21 days later mice were infected intranasally with H1N1 influenza.  
 516 CD8<sup>+</sup> T cells were depleted by antibody (YTS156) on d-1 and +1 of infection. One day

517 before infection IgG was assessed in sera (A). Weight change was measured after infection  
518 (B). M gene copy number (C), H1 Influenza specific antibody by ELISA (D), CD4+ (E) and  
519 CD8+ (F) and influenza specific CD8<sup>+</sup> T cells (G) were measured on day 7 after infection.  
520 Lines and points represent mean of n=5 mice \*p<0.05, \*\*p<0.01 between MHCII:HA-  
521 Protein and MHCII:HA-Protein  $\alpha$ CD8 measured by one way ANOVA.

522 **Figure 3. Pilot study comparing CD8 response to different dimeric vaccine constructs.**  
523 CB6F1 mice were primed i.m. with 5  $\mu$ g DNA constructs targeting either MHC II or the  
524 XCR1 chemokine receptor, conjugated to the full HA peptide or the immunodominant  
525 epitope alone (epi). Animals were infected i.n. with Eng/195 H1N1 influenza. Weight change  
526 was measured after infection (A), with day 7 weight alone shown for clarity (B). H1  
527 Influenza specific antibody by ELISA (C) and influenza specific CD8 T cells (D) were  
528 measured on day 7 after infection. Lines and points represent mean of n $\geq$ 3 mice.

529 **Figure 4. The combination of antigens used in the prime boost regime is critical in**  
530 **determining outcome.** CB6F1 mice were primed i.m. with 5  $\mu$ g DNA constructs encoding  
531 MHCII:HA or MHCII:epitope, or 0.1  $\mu$ g HA1 protein prior either heterologous or  
532 homologous boost. 21 days after boost, animals were infected i.n. with Eng/195 H1N1  
533 influenza. Weight change was measured after infection (A). M gene copy number (B), H1  
534 Influenza specific antibody by ELISA (C) and influenza-specific CD8<sup>+</sup> T cells (D) were  
535 measured on day 7 after infection. Lines and points represent mean of n=5 mice \*p<0.05,  
536 \*\*p<0.01 measured by one way ANOVA .

537 **Figure 5. Incomplete protection with both Cal07- and Eng195-encoding constructs in**  
538 **CB6F1 mice.** Mice were immunised intramuscularly with 5  $\mu$ g DNA encoding different  
539 antigen-targeting module constructs with electroporation, prior to infection intranasally with  
540  $5 \times 10^4$  PFU A/England/195/2009 H1N1 influenza. Weight change was measured after  
541 infection (A). M gene copy number (B), H1 Influenza specific antibody by ELISA (C), and  
542 influenza-specific CD8<sup>+</sup> T cells (D) were measured on day 7 after infection. n=5 animals per  
543 group, \*\* p<0.01 and \*\*\* p<0.001 using ANOVA and post test.

544 **Figure 6. Strain compatibility with MHC-targeting module affects protection against**  
545 **challenge.** Mice were immunised intramuscularly with 5  $\mu$ g DNA encoding an I-E<sup>d</sup> MHCII  
546 targeting-HA construct with electroporation, prior to infection intranasally with  $5 \times 10^4$  PFU  
547 A/England/195/2009 H1N1 influenza. Weight change was measured after infection (A). The  
548 same data is presented by strain for clarity: BALB/c (B), CB6F1 (C) and C57BL/6 (D). M  
549 gene copy number (E), H1 Influenza specific antibody by ELISA (F), influenza-specific  
550 CD8<sup>+</sup> T cells (G) and % CD4<sup>+</sup> T cells (H) were measured on day 7 after infection. Weight  
551 loss of MHCII:HA immunised BALB/c mice treated with CD4 depleting antibody during  
552 infection (I). BALB/c mice were immunised two times intramuscularly with 5  $\mu$ g DNA  
553 encoding an I-E<sup>d</sup> MHCII targeting-epitope construct with electroporation prior to infection.  
554 Weight change was measured after infection (J). On day 7 after infection, percentages of  
555 influenza-specific CD8<sup>+</sup> T cells were quantified (K). n=5 animals per group.

Figure 1.TIF

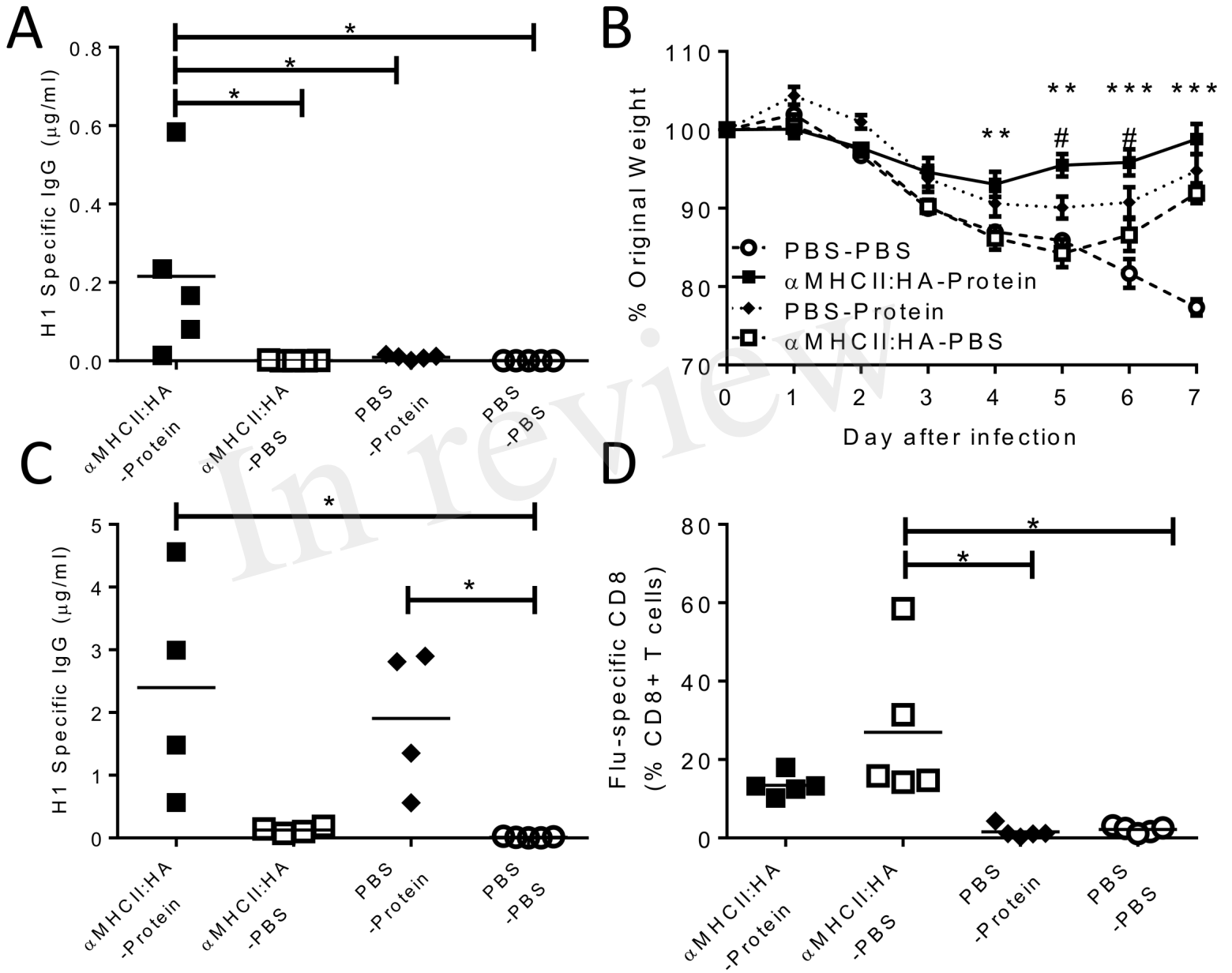


Figure 2.TIF

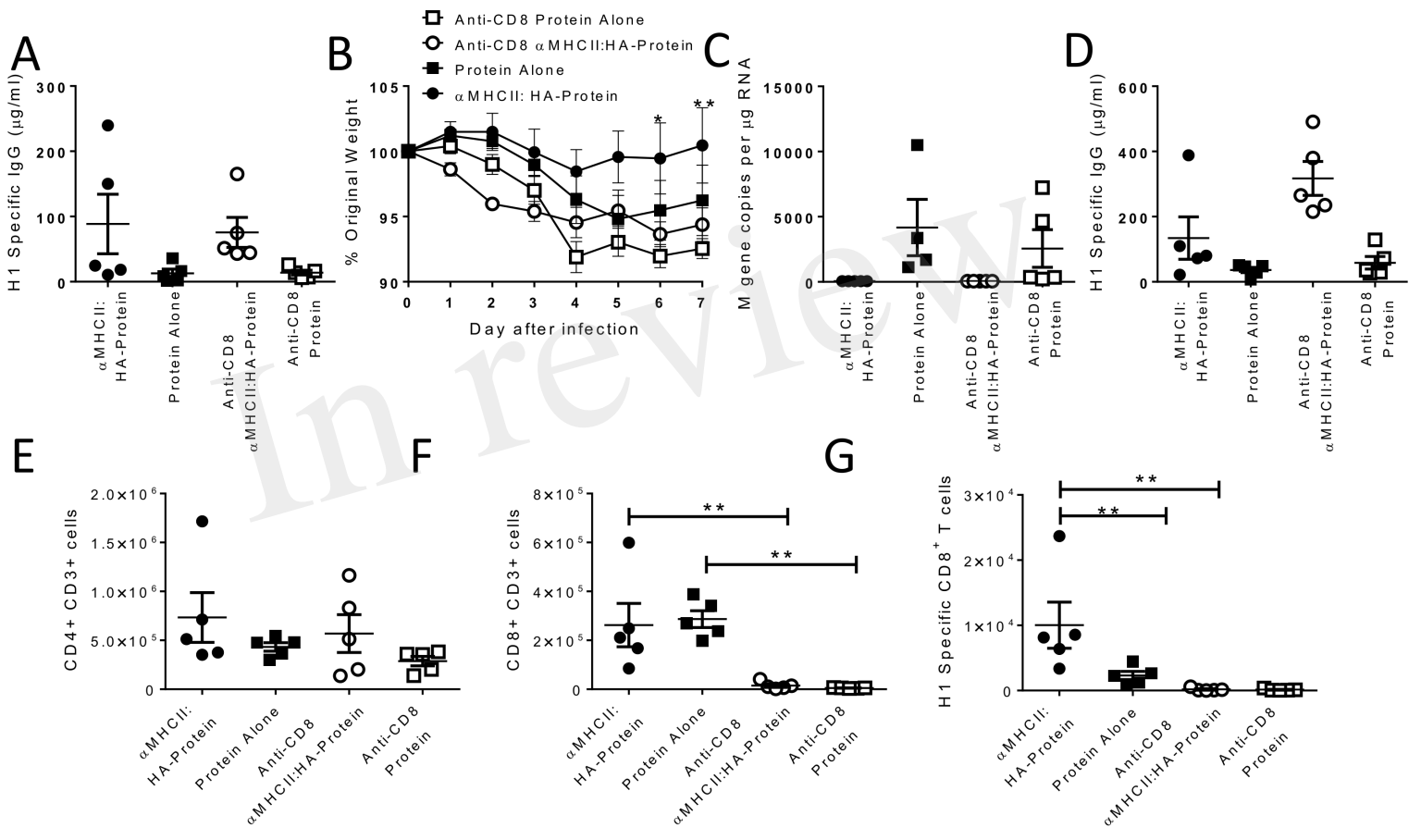


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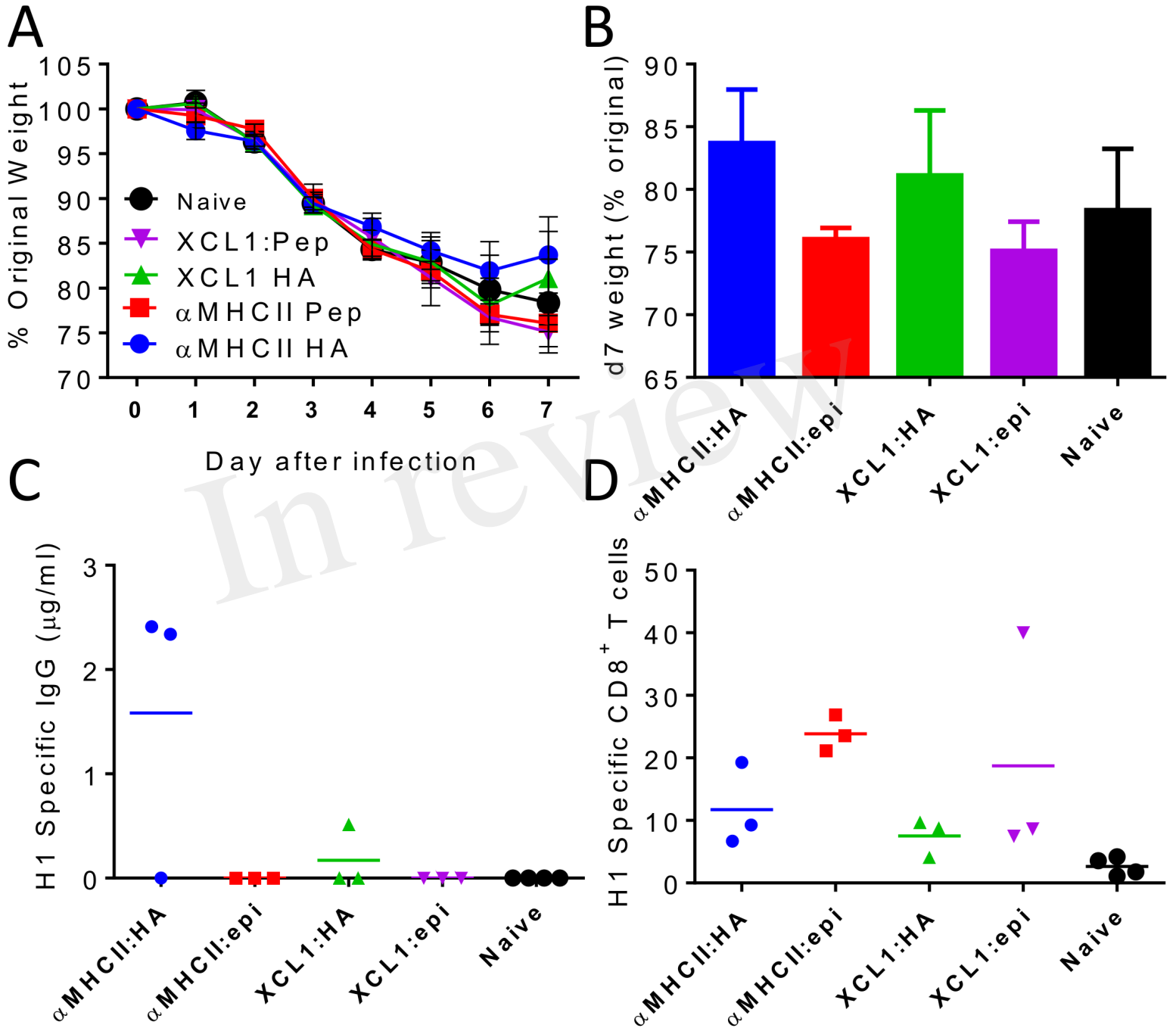


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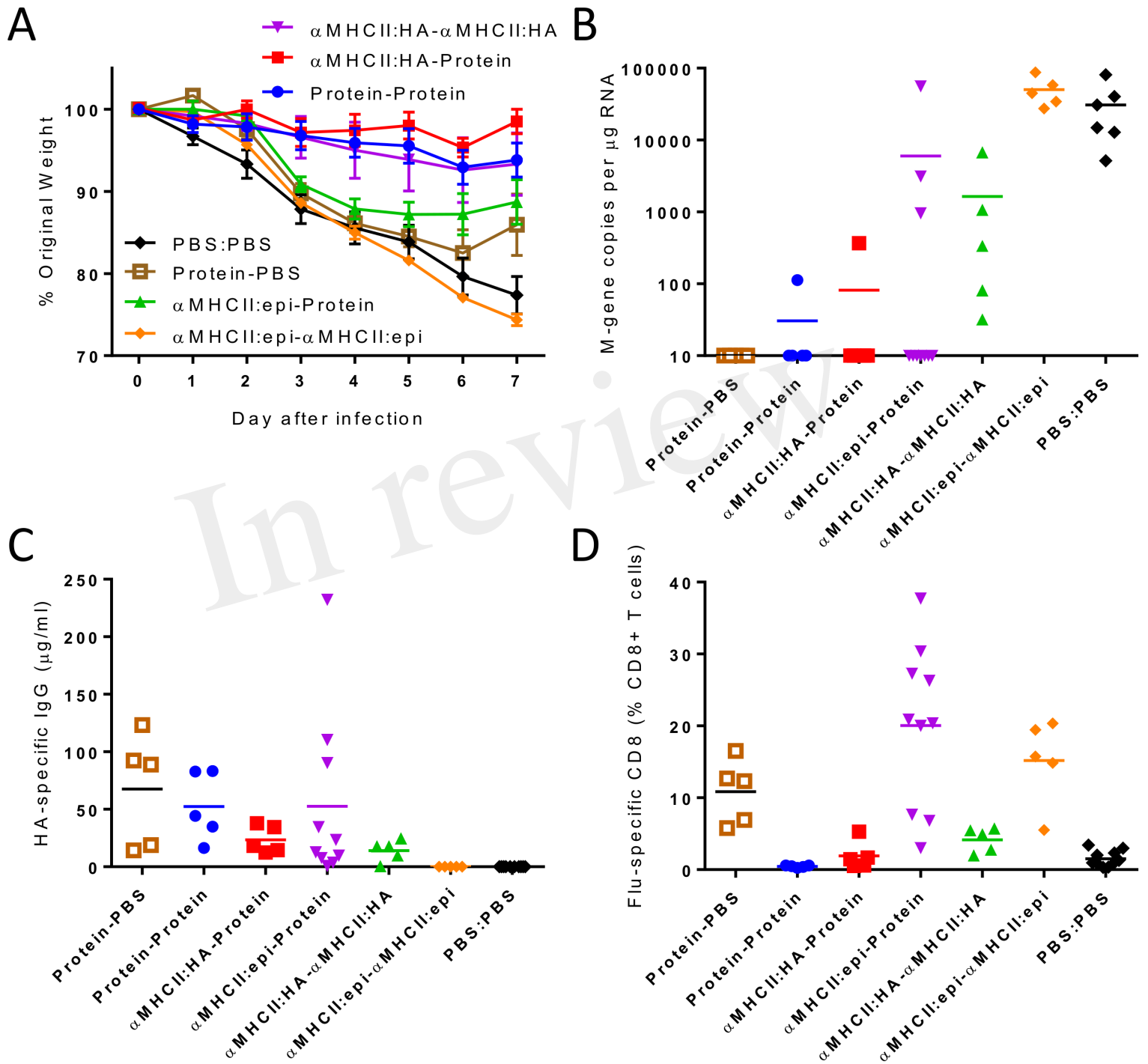


Figure 5.TIF

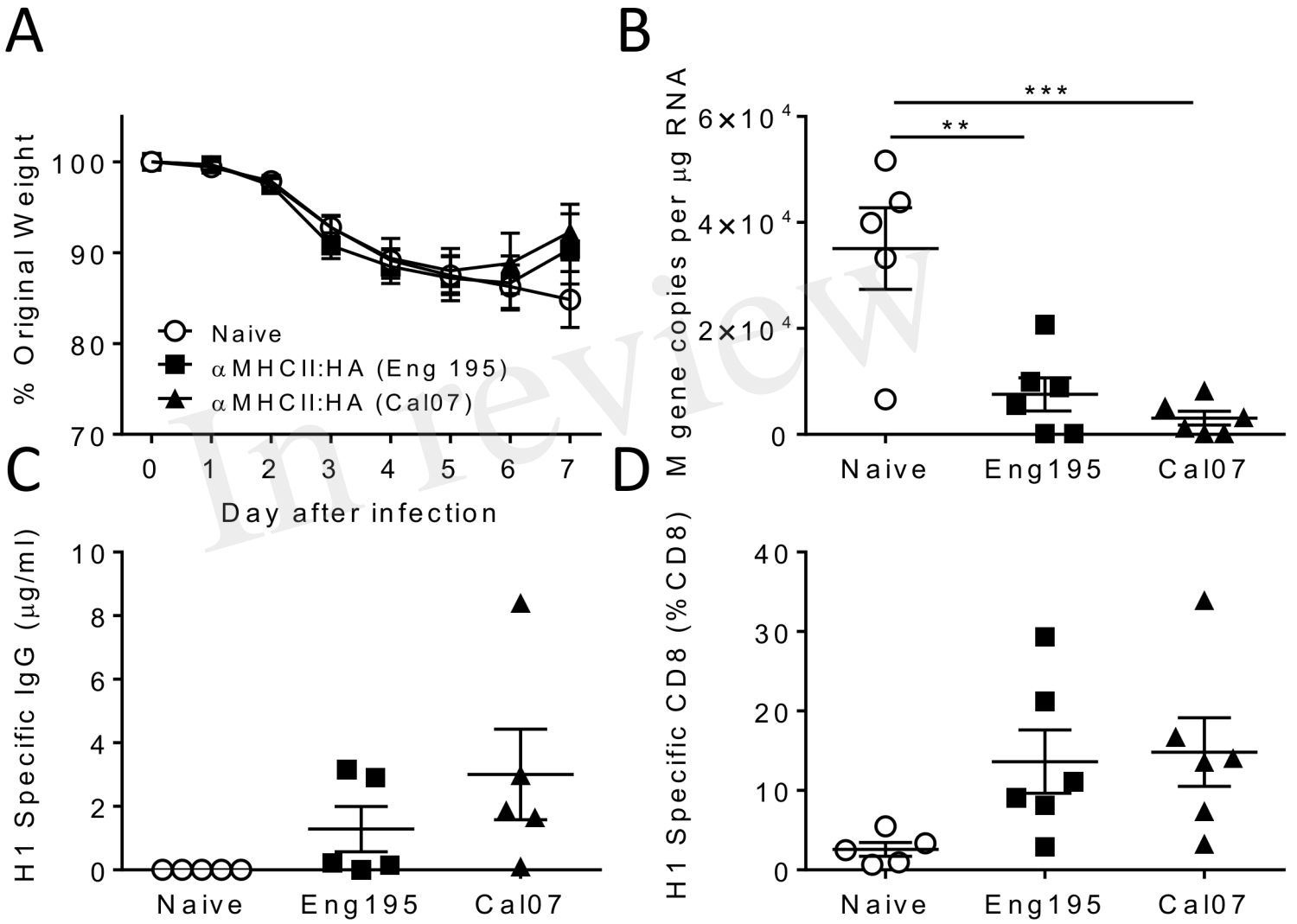


Figure 6.TIF

