

1 **Exercise Physiological Responses to Drug Treatments in Chronic Thromboembolic Pulmonary**

2 **Hypertension**

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41 **ABSTRACT:**

42 We tested the hypothesis that patients with chronic thromboembolic pulmonary hypertension
43 (CTEPH) deemed inoperable were more likely to respond to PAH drugs than those CTEPH deemed
44 operable, using cardiopulmonary exercise testing (CPX). We analyzed CPX data of all CTEPH patients
45 who were treated with PAH drugs and had undergone CPX testing pre- and post-treatment at a
46 single Pulmonary Hypertension center between February 2009 and March 2013. Suitability for
47 pulmonary endarterectomy (PEA) was decided at a PEA expert center. The inoperable group
48 included 16 patients and the operable group 26 patients. There was no difference in demographics
49 and baseline hemodynamics between the groups. Unlike the operable group, after drug treatment
50 inoperable patients had a significantly higher peak VO_2 ($p<0.001$), workload ($p=0.002$) and oxygen
51 pulse ($p<0.001$). In terms of gas exchange, there was an overall net trend towards improved
52 VE/VCO_2 in the inoperable group, with an increased $PaCO_2$ ($p=0.01$), suggesting reduced
53 hyperventilation. No changes were seen in the operable patients. In conclusion, treatment with PAH
54 drug therapies reveals important pathophysiological differences between inoperable and operable
55 CTEPH, with significant pulmonary vascular and cardiac responses in inoperable disease. Drug effects
56 on exercise function seen in inoperable CTEPH cannot be translated to all forms of CTEPH.

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58 **Key words:** chronic thromboembolic pulmonary hypertension; pulmonary arterial hypertension drug
59 therapies; cardiopulmonary exercise testing.

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64 **NEW & NOTEWORTHY:**

65 This is the first study using gas exchange on exercise to assess the response to PAH drug therapies in
66 patients with chronic thromboembolic pulmonary hypertension. At the same time we are also
67 comparing for the first time operable and inoperable chronic thromboembolic pulmonary
68 hypertension patients.

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84 INTRODUCTION

85 Chronic thromboembolic pulmonary hypertension (CTEPH) is a rare disease caused by obstruction of
86 the pulmonary vasculature by organised chronic thromboemboli resulting in an increase in
87 pulmonary vascular resistance (PVR) and mean pulmonary artery pressure (mPAP), ultimately
88 causing right ventricular failure (12). Moser and Braunwald originally proposed that small vessel
89 arteriopathy akin to that seen in pulmonary arterial hypertension (PAH) may exist in the “open”
90 vessels and not in the “closed” vessels unexposed to high pulmonary artery pressures, thus creating
91 a two-compartment model (14). Histologic examination of biopsy and autopsy samples from patients
92 with CTEPH have subsequently demonstrated features indistinguishable from PAH, including
93 plexogenic lesions (13). Further studies have suggested that this process can occur distal to
94 obstructed vessels (7, 11). The presence of this PAH-like pathology has led to the hypothesis that
95 PAH-targeted therapies may be efficacious in CTEPH patients. Trials in inoperable CTEPH have
96 demonstrated contradictory results regarding the response of patients’ exercise capacity (6, 9, 17).
97 Likewise, in CTEPH deemed operable, PAH drugs used as a bridge to PEA have shown different
98 impact on patients’ functional status (15, 16).

99 In the current study, we sought to assess the response of CTEPH patients who were deemed
100 operable and inoperable to PAH drugs using cardiopulmonary exercise testing (CPX). Since
101 inoperable CTEPH resembles PAH more than operable CTEPH, we hypothesized that inoperable
102 patients might have a better response to PAH drugs.

103 METHODS

104 *Patients*

105 Consecutive patients attending a single specialist PH center between April 2008 and March 2013
106 were studied. We included patients who were diagnosed with CTEPH, started on PAH specific drugs
107 and had attended at least two incremental CPX, one immediately prior to starting treatment and one

108 at their subsequent visit, usually between 3 and 6 months later. Patients were investigated
109 according to a standard guideline-based protocol (5). CTEPH was defined in accordance with
110 guidelines at the time by the presence of pre-capillary PH, whereby $mPAP \geq 25$ mmHg, pulmonary
111 artery wedge pressure (PAWP) ≤ 15 mmHg and PVR > 2 Wood units in patients with multiple,
112 chronic/organized occlusive thrombi in the elastic pulmonary arteries (5). In our center we routinely
113 use both ventilation/perfusion (V/Q) scan and CT pulmonary angiogram to make the diagnosis of
114 CTEPH. We excluded patients with severe lung disease identified clinically, on spirometry and on
115 high-resolution CT scan, as in these patients PAH drugs are contraindicated (5).

116 ***Treatment protocol***

117 Patients were treated in line with a nationally-agreed policy, drawn up by the National Pulmonary
118 Hypertension Service Physicians' Committee and National Health Service Specialized Commissioners
119 (18). In line with this policy, PAH-targeted therapy was offered at diagnosis and prior to the
120 assessment of operability in patients with WHO FC III/IV symptoms. Medical treatment was
121 continued after assessment of operability either if patients were deemed inoperable or, if they were
122 ultimately deemed operable, in an attempt to improve symptoms while on the waiting list.
123 Treatment was offered as a choice to patients and not all opted to receive it. Patients were not
124 offered treatment as an alternative to surgery and surgery was not delayed in order to assess the
125 response to medical therapy. First line treatment was with a phosphodiesterase type 5 inhibitor
126 (PDE5i); second line was with an endothelin receptor antagonist (ERA). Prostacyclins could not be
127 used in line with national policy at the time.

128 ***Assessment of operability***

129 All patients were referred to Papworth Hospital, the National PEA center, where an experienced,
130 multidisciplinary CTEPH team adjudicated their operability. Decisions on operability in CTEPH were
131 based mainly on the degree of pulmonary artery obstruction, as determined by imaging, and the

132 degree to which PVR, measured at right heart catheterisation, was in proportion to this, with the
133 CTEPH team deciding whether the majority of the vascular resistance could be explained by
134 obstructive disease which could be removed by endarterectomy surgery. In view of the association
135 between high PVR and operative mortality most experienced PEA surgical centers agree that
136 mortality risk with PEA increases when the PVR is $> 1200 \text{ dynes.cm.s}^{-5}$ (3). For patients with this
137 degree of hemodynamic impairment, PEA was still offered as long as the patient had at least 6-7
138 occluded segments. The final decision was based on the ratio of risk and benefit for the individual
139 patient. There are few absolute contraindications to PEA, although most clinicians agree that
140 patients with severe parenchymal lung disease and very poor ventilation will not benefit from
141 improved perfusion.

142 ***Cardiopulmonary exercise testing***

143 CPX was performed on an upright cycle ergometer using a breath-by-breath system (Master Screen
144 CPX; Jaeger; Hoechberg, Germany) according to the ATS/ACCP Statement on Cardiopulmonary
145 Exercise Testing (2) and is presented in the supplementary material (Table 6). We compared data
146 from CPX undertaken before starting therapy with the first available test post-treatment. The
147 interval between pre-treatment CPX and cardiac catheterization was one day in the majority of our
148 patients. All measurements were made by an experienced physiologist who was unaware of the
149 operative status of the patient.

150 ***Statistical analysis***

151 Continuous variables were expressed either as mean \pm standard deviation or as median value
152 (interquartile range), when they did not follow a normal distribution. Categorical variables were
153 expressed as frequency and percentages. Paired Student's *t* test was applied to compare pre- and
154 post-treatment CPX variables within the groups and unpaired for between groups comparisons. χ^2
155 test was used to assess differences in categorical values between groups. We performed a univariate

156 linear regression analysis of CPX variables and hemodynamic (independent variables) to examine
157 their correlation with post/pre peak VO₂ ratio (dependent variable). All statistical analyses were
158 performed using SPSS (version 20). The study was approved by the National Research Ethics Service
159 Committee – London South East (13/LO/0695).

160 **RESULTS**

161 ***Patient characteristics***

162 Between February 2009 and March 2013, 42 patients received PAH drug therapies and had pre- and
163 post-drug CPX available for analysis. A further 17 patients who were started on therapy prior to
164 surgery underwent surgery prior to repeat testing and were not included. A further five patients
165 could not undergo testing due to inability to complete a test due to injuries, deterioration or
166 administrative error. Of the 42 patients with paired CPX, 16 were deemed inoperable and 26 were
167 deemed operable. The baseline characteristics of the two groups are shown in Table 1. There was no
168 significant difference regarding their demographics, six-minute walk test distance or hemodynamics
169 at baseline.

170 The median time interval between start of PAH therapy and post-treatment CPX was 6 (3, 7.5)
171 months in the inoperable and 5.5 (4, 12) months in the operable group (p=0.23). In the inoperable
172 group 11 patients (69 %) were treated with a PDE5i and 5 (31 %) with an ERA, while in the operable
173 group 23 patients (88.5%) were prescribed PDE5i and 3 (11.5%) an ERA (p=0.09). The most common
174 form of PDE5i was sildenafil 25 mg tid.

175 ***Exercise performance improves in inoperable, but not operable, patients with PAH therapies***

176 InopCTEPH achieved a significantly higher peak VO₂ (p<0.001) and workload (p=0.002) after starting
177 on treatment (Table 2), while there was no difference in opCTEPH (Table 3). There was also an
178 increase in peak lactate (p=0.01) and O₂ pulse (p<0.001) in inopCTEPH, therefore to account for any
179 difference in effort, we measured O₂ pulse at the same work rate (isowork O₂ pulse) in the pre- and

180 post-treatment test. We chose the peak work rate in either test, whichever was the lower, to
181 capture the highest work rate available for analysis in both tests and showed that isowork O₂ pulse
182 was higher post-treatment (p < 0.001) in inopCTEPH. PaCO₂ and physiologic dead space at peak
183 exercise (V_D/V_{Tphys}) were significantly increased post-treatment in inopCTEPH (p=0.01 and 0.03
184 respectively), but the overall effect led to a trend towards greater ventilatory efficiency (VE/VCO₂
185 slope decreased, p=0.1) following treatment. No changes were noticed in the opCTEPH group after
186 treatment. No differences were seen according to type of drug therapy used (data not shown).
187 When patients treated with PDE5i alone were analyzed, improvements in peak VO₂, O₂ pulse,
188 isowork O₂ pulse, peak workload and PaCO₂ remained significantly different in inopCTEPH (p=0.04,
189 0.03, 0.03, <0.001, 0.004 respectively), with no changes observed in opCTEPH.

190 ***PEA surgery improves physiological outcomes in operable CTEPH***

191 Not all patients with opCTEPH received PEA surgery; one had co-morbid coronary artery disease and
192 deemed too high-risk for surgical intervention, 8 refused surgery and one was on the waiting list.
193 Those patients who did undergo surgery had significant improvements in functional class, six minute
194 walk distance, hemodynamics and exercise performance (Tables 4 and 5).

195 **DISCUSSION**

196 This is the first study using gas exchange on exercise to assess the response to PAH drug therapies in
197 patients with CTEPH, also comparing for the first time operable and inoperable CTEPH patients. This
198 is an observational study based on a standardized departmental clinical treatment protocol. Not only
199 do we show that inopCTEPH patients improve their peak exercise performance six months after
200 starting on treatment, while the opCTEPH patients do not, but this is supported by significant, *effort-*
201 *independent* changes, much less vulnerable to bias, in cardiopulmonary physiology in the inoperable
202 group, while there are no such effects in the operable group. Following treatment in the inoperable
203 group, gas exchange changed significantly with an increase in peak V_D/V_{Tphys} suggesting significant

204 pulmonary vascular effects of therapy and PaCO₂ rose in keeping with decreased hyperventilation, a
205 feature associated with severity of heart failure. In all of these respects, operable CTEPH was starkly
206 unaffected by drug therapies, and as expected showed significant improvements in hemodynamic
207 and exercise physiology following PEA surgery.

208 The cardinal features of CTEPH on exercise are impaired oxygen delivery and ventilatory inefficiency
209 (Fig 1A). Impaired oxygen delivery manifests as a reduced peak VO₂ and an early anaerobic threshold
210 as a result of a reduced stroke volume. Effective treatment in pulmonary hypertension would be
211 expected to increase stroke volume through right ventricular afterload reduction and thus increase
212 peak VO₂ and the VO₂ at anaerobic threshold (Fig 1B). In support of this, in inopCTEPH we saw an
213 increase in peak VO₂, a trend towards an increase in VO₂ at anaerobic threshold and a significant
214 increase in oxygen pulse, suggesting an increase in stroke volume (Table 2).

215 Ventilatory inefficiency results from a combination of increased V_D/V_{Tphys} due to pulmonary
216 vasculopathy / vascular thrombotic occlusion and hypocapnia, as illustrated by the modified Bohr
217 Equation:

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$$V^E/V_{CO_2} = EqCO_2 = \frac{863}{PaCO_2 \times (1 - V_D/V_T)}$$

219

220 It is well-documented that patients with pulmonary hypertension develop hypocapnia as a result of
221 hyperventilation due to increased chemosensitivity associated with heart failure and that this
222 correlates with impairment of cardiac function as well as mortality (8). With effective reduction in
223 right ventricular afterload by pulmonary vasodilators, we would expect an increase in PaCO₂ and
224 thus an improvement in ventilatory inefficiency. This has not been demonstrated before except
225 following PEA surgery, where PaCO₂ increased from 31 to 34 mmHg at 3 months (20), which is
226 identical to our study. Here, we also show a significant increase in PaCO₂ to a similar degree in

227 inopCTEPH but not in opCTEPH following treatment with PAH therapies compatible with improved
228 cardiac function and a trend towards lower VE/VCO_2 (-8.5%) and $EqCO_2$ (-5.0%).

229 Hyperventilation itself has been proposed to result in increased V_D/V_T (4), but in our study, V_D/V_{Tphys}
230 increased in inopCTEPH following treatment despite an increase in $PaCO_2$, suggesting a real increase
231 in ventilation-perfusion mismatch (Fig 1B). This will have offset the increase in $PaCO_2$ attenuating the
232 benefit on improving ventilatory inefficiency as seen from the modified Bohr equation. This is the
233 first time the impact of treatment on V_D/V_{Tphys} has been reported in CTEPH. We have not assessed
234 the mechanism of this worsening of V_D/V_{Tphys} since this would require the use of the multiple inert
235 gas elimination technique, but propose that this results from increased perfusion to low ventilation-
236 perfusion areas on lung. It has previously been suggested that any intervention which lowers
237 pulmonary vascular tone causes a deterioration in ventilation-perfusion relationships (1, 10)

238 The lack of any discernible change in any of the gas exchange parameters in opCTEPH compared
239 with inopCTEPH suggests that drug therapies are unmasking different pathophysiological
240 characteristics between the two phenotypes, perhaps with increased pulmonary vascular tone being
241 far less relevant in operable disease. It also further supports the view that PAH therapies have little
242 impact on opCTEPH with surgical intervention being the treatment of choice.

243 In previous trials with Sildenafil and Bosentan, improvement in hemodynamics has not been
244 matched by an improvement in six-minute walk test distance in patients with inopCTEPH and
245 persistent pulmonary hypertension post PEA surgery (9, 17). We previously hypothesised that the
246 worse ventilatory inefficiency during walking may account for the disconnection between
247 improvement in six-minute walk and hemodynamics, if patients are running out of breathing reserve
248 before cardiac reserve (19). Data from the CHEST-1 study of the soluble guanylate cyclase stimulator,
249 Riociguat, in inopCTEPH show no such disparity (6). The effects of Riociguat during CPX have not yet
250 been assessed in inopCTEPH. One explanation may be that it has a more favourable effect on
251 V_D/V_{Tphys} than other PAH therapies, translating hemodynamic benefits into improved exercise

252 function. An alternative explanation is that the study population was a purer population of distal
253 disease due to better patient selection compared with previous studies. Operability in CTEPH is
254 subjective and depends on the experience of the PEA surgeon(s) within the adjudication committee
255 and ability to reach more distal segmental obstruction. With growing expertise, centers are
256 operating on more distal disease, thus the definition of inoperability may be becoming more precise
257 with time, with the result that patients deemed inoperable are more “PAH-like” and thus more likely
258 to respond to medical therapies.

259 This study was not intended to evaluate PAH therapies in terms of efficacy, but was rather
260 addressing a different question of how different disease phenotypes respond physiologically to drug
261 intervention, akin to looking for potential responders and non-responders within a heterogeneous
262 group of patients. Hence, we compare patients with their baseline, rather than perform any
263 statistical analysis between groups. Although patients were not blinded to their treatment, we
264 showed that effort-dependent measurements were tracked by changes in effort-independent
265 measurements, supporting the validity of the peak data. Measures such as PaCO₂ and lactate are
266 not open to bias. Peak VO₂ and oxygen pulse are reported directly by the exercise system software
267 and V_D/V_{Tphys} is directly calculated from end-of-test data and cannot be manipulated. We also used a
268 measure of isowork oxygen pulse to guard against an effort effect. The only measures open to user
269 input in our study are VE/VCO₂ slope and the anaerobic threshold, however, the physiologist making
270 the measurements was unaware of operative status. We acknowledge that the open-label nature of
271 the study could still have impacted on the absolute levels of effort-dependent change, such as peak
272 VO₂ and work rate. Furthermore, the peak RER measures are lower than expected on a maximal
273 cardiovascular test, suggesting that maximal capacity may not have been assessed. Thus, while we
274 can state with confidence that the two subgroups of CTEPH respond differentially to treatment, the
275 true magnitude of these two effort-dependent effects remains uncertain. To evaluate
276 efficacy/magnitude is a different question and would have required a different design, including
277 blinding and a placebo arm.

278 A greater proportion of patients received PDE5i than ERA, although no differences in response were
279 noted according to drug treatment and the findings were unchanged when analyzing only those who
280 received PDE5i. We nonetheless chose to include both therapy classes since neither is currently
281 licensed and both have been shown to cause a reduction in pulmonary vascular resistance by similar
282 amounts in inopCTEPH (9, 17). We have not evaluated the effect of Riociguat.

283 **CONCLUSIONS**

284 We have demonstrated that the responses to PDE5i/ERA in 'inoperable' and 'operable' CTEPH are
285 different suggesting that these two forms of CTEPH demonstrate distinct pathophysiological
286 features. These therapies produce significant alterations in cardiac function and gas exchange in
287 patients deemed inoperable; however the treatments used in our cohort are not licensed for use in
288 CTEPH as they have not shown efficacy in phase III clinical trials. This study shows the value of CPX in
289 unravelling the reasons behind exercise limitation and eliciting differences in pathophysiology
290 between CTEPH subtypes. We illustrate the importance of an expert CTEPH team in determining
291 operability, since this will lead to the best outcome for patients. Finally, with the advent of new
292 drugs shown to be effective in inoperable CTEPH (6) our study reinforces the recommendation of
293 PEA as the treatment of choice for patients with operable CTEPH.

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356 **Table 1.** Baseline characteristics

	Inoperable (n=16)	Operable (n=26)	p-value
Age (yrs)	61 ± 17	61 ± 15	0.82
Sex (female)	9 (56 %)	15 (58 %)	0.75
WHO FC			0.82
II	0 (0 %)	1 (4 %)	
III	15 (94 %)	24 (92 %)	
IV	1 (6 %)	1 (4 %)	
6MWT distance (m)	313 ± 103	274 ± 122	0.31
mPAP (mmHg)	47 ± 11	47 ± 12	0.95
PAWP (mmHg)	12 ± 3	12 ± 3	0.89
LVEDP (mmHg)	11 ± 4	12 ± 4	0.66
mRAP (mmHg)	10 ± 4	10 ± 4	0.82
RVEDP (mmHg)	11 ± 5	11 ± 4	0.71
Cardiac index (L/min/m²)	2.1 ± 0.7	2.2 ± 0.6	0.60
PVR (Wood units)	11 ± 7	10 ± 6	0.59

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358 Data are presented as n (%) or mean values ± standard deviation.

359 mPAP= mean pulmonary artery pressure, mRAP= mean right atrial pressure, LVEDP= left
360 ventricular end-diastolic pressure, RVEDP= right ventricular end-diastolic pressure, PAWP=
361 pulmonary artery wedge pressure, PVR= pulmonary vascular resistance, WHO-FC= World
362 Health Organization functional class, 6MWT= six minute walk test

363

364 **Table 2.** Comparison of cardiopulmonary exercise variables pre- and post-PAH therapy in the
 365 deemed as inoperable CTEPH group.

Inoperable patients (n=16)	Pre-treatment	Post-treatment	p-value
VO₂ at anerobic threshold	717 ± 238	752 ± 211	0.19
Peak VO₂ (ml/kg/min)	11.7 ± 2.6	12.9 ± 2.5	<0.001
Respiratory exchange ratio	1.04 ± 0.09	1.05 ± 0.09	0.70
Lactate (mmol/L)	4.4 ± 1.7	5.3 ± 1.9	0.01
Heart rate reserve (bpm)	22 ± 15	21 ± 14	0.48
VE/VCO₂	55 ± 13	50 ± 10	0.12
EqVCO₂	50 ± 9	48 ± 6	0.19
O₂ pulse (ml/beat)	6.4 ± 2.4	7.1 ± 2.2	<0.001
Isowork O₂ pulse (ml/ beat)	6.4 ± 2.4	6.9 ± 2.4	0.008
V_D/V_{Tphys} (%)	41 ± 5	46 ± 3	0.03
Workload(W)	56 ± 29	66 ± 32	0.002
Workload (%)	53 ± 17	60 ± 19	0.02

Breathing reserve (L/min)	25 ± 20	24 ± 21	0.68
Tidal Volume (litres)	1.7 ± 0.7	1.7 ± 0.6	0.85
Breathing frequency (breaths/min)	33 ± 8	34 ± 8	0.20
PaCO₂(mmHg)	31 ± 4	33 ± 3	0.01

366

367

368 Data are presented as mean values ± standard deviation.

369 CTEPH= chronic thromboembolic pulmonary hypertension, EqVCO₂= equivalence of carbon dioxide,

370 PaCO₂= partial carbon dioxide arterial pressure, PAH= pulmonary arterial hypertension, VCO₂=

371 carbon dioxide production, V_D/V_{Tphys}= physiologic dead space, VE= ventilation, VO₂= oxygen

372 consumption.

373

374 **Table 3.** Comparison of cardiopulmonary exercise variables pre- and post-PAH therapy in the deemed
 375 as operable CTEPH group.

Operable patients (n=26)	Pre-treatment	Post-treatment	p-value
VO₂ at anaerobic threshold	774 ± 260	760 ± 237	0.80
Peak VO₂ (ml/kg/min)	12.4 ± 3.0	12.6 ± 3.2	0.52
Respiratory exchange ratio	1.01 ± 0.08	0.99 ± 0.07	0.14
Lactate (mmol/L)	4.8 ± 1.8	4.4 ± 1.8	0.71
Heart rate reserve (bpm)	31 ± 18	30 ± 20	0.78
VE/VCO₂	57 ± 18	56 ± 16	0.68
EqVCO₂	50 ± 13	49 ± 10	0.91
O₂ pulse (ml/beat)	7.5 ± 2.1	7.6 ± 2.0	0.70
V_D/V_{Tphys} (%)	48 ± 7	47 ± 8	0.91
Workload (W)	61 ± 26	64 ± 31	0.12
Workload (%)	59 ± 26	61 ± 26	0.24
Breathing reserve (L/min)	20 ± 17	23 ± 15	0.37
Tidal Volume (litres)	1.6 ± 0.5	1.7 ± 0.6	0.36
Breathing frequency (breaths/min)	37 ± 7	36 ± 7	0.36
PaCO₂ (mmHg)	31 ± 6	30 ± 6	0.27

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377 Data are presented as mean values \pm standard deviation.

378 CTEPH= chronic thromboembolic pulmonary hypertension, EqVCO₂= equivalence of carbon dioxide,

379 PaCO₂= partial carbon dioxide arterial pressure, PAH= pulmonary arterial hypertension, VCO₂=

380 carbon dioxide production, V_D/V_{Tphys}= physiologic dead space, VE= ventilation, VO₂= oxygen

381 consumption.

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396 **Table 4.** Hemodynamics pre- and post-PEA in 15 CTEPH patients deemed operable at 3 months.

Patients post-PEA (n=15)	Pre-PEA	Post-PEA	p-value
WHO FC			<0.001
I	0 (0 %)	4 (27 %)	
II	0 (0 %)	10 (67 %)	
III	14 (93 %)	1 (6 %)	
IV	1 (7 %)	0 (0%)	
6MWT distance (m)	290 ± 109	366 ± 86	0.04
mPAP (mmHg)	47 ± 13	26 ± 7	<0.001
PAWP (mmHg)	13 ± 3	10 ± 2	0.02
mRAP (mmHg)	11 ± 4	7 ± 2	0.006
RVEDP (mmHg)	11 ± 5	9 ± 3	0.13
Cardiac index (L/min/m²)	2.1 ± 0.5	2.4 ± 0.4	0.07
PVR (Wood units)	11 ± 6	4 ± 2	0.006

397 Data are presented as n (%) or mean values ± standard deviation.

398 mPAP= mean pulmonary artery pressure, mRAP= mean right atrial pressure, LVEDP= left ventricular

399 end-diastolic pressure, RVEDP= right ventricular end-diastolic pressure, PAWP= pulmonary artery

400 wedge pressure, PEA=pulmonary endarterectomy, PVR= pulmonary vascular resistance, WHO-FC=

401 World Health Organization functional class, 6MWT= six minute walk test

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403 **Table 5.** Comparison of cardiopulmonary exercise variables pre- and post-PEA in 14 CTEPH patients

404 deemed operable

Patients post-PEA (n=14)	Pre-PEA	Post-PEA	p-value
VO₂ at anaerobic threshold	733 ± 111	865 ± 172	0.04
Peak VO₂ (ml/kg/min)	12.4 ± 2.7	15.6 ± 4.5	0.008
Respiratory exchange ratio	1.04 ± 0.08	1.04 ± 0.10	0.40
Lactate (mmol/L)	5.3 ± 2.0	6.4 ± 2.4	0.28
Heart rate reserve (%)	16 ± 11	16 ± 14	0.99
Heart rate reserve (bpm)	28 ± 20	29 ± 25	0.89
VE/VCO₂	58 ± 20	41 ± 6	0.005
EqVCO₂	50 ± 15	40 ± 6	0.01
O₂ pulse (ml/beat)	6.9 ± 1.2	8.9 ± 2	<0.001
Isowork O₂ pulse (ml/ beat)	6.9 ± 1.2	8.3 ± 1.9	0.001
V_D/V_{Tphys} (%)	47 ± 7	37 ± 6	0.002
Workload(W)	61 ± 19	80 ± 33	0.01
Workload (%)	59 ± 27	72 ± 25	0.004

Breathing reserve (L/min)	15 ± 16	19 ± 12	0.46
Tidal volume (litres)	1.6 ± 0.5	1.6 ± 0.5	0.92
Breathing frequency (breaths/minute)	38 ± 7	36 ± 6	0.37
PaCO₂(mmHg)	31 ± 7	34 ± 5	0.04

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409 Data are presented as mean values ± standard deviation.

410 CTEPH= chronic thromboembolic pulmonary hypertension, EqVCO₂= equivalence of carbon dioxide,

411 PaCO₂= partial carbon dioxide arterial pressure, PAH= pulmonary arterial hypertension, PEA=

412 pulmonary endarterectomy, VCO₂= carbon dioxide production, V_D/V_{Tphys}= physiologic dead space,

413 VE= ventilation VO₂= oxygen consumption.

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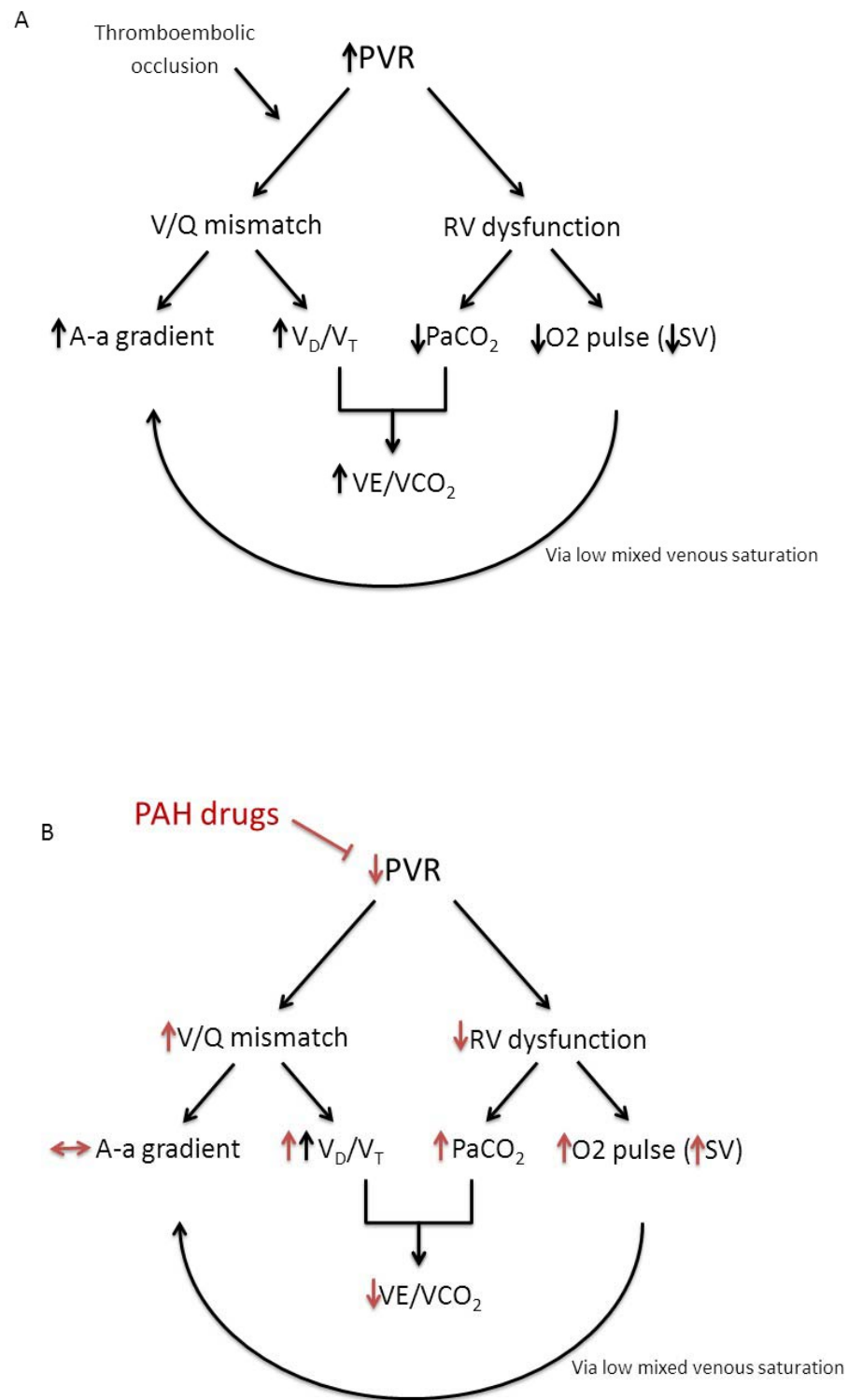
415 **Table 6.** Cardiopulmonary exercise protocol and equations

Cardiopulmonary exercise test protocol
<p>Each test was performed in three stages: three minutes of rest, three minutes of unloaded pedaling and a progressive ramp increase in workload to maximum exercise, with the ramp rate estimated to result in a work phase of 8 to 12 minutes. Patients either wore a face mask or breathed via a mouth-piece wearing a nose-clip.</p> <p>Systemic blood pressure was measured with a sphygmomanometer, finger oxygen saturation was measured with a pulse oximeter, and a 12-lead electrocardiogram was continuously recorded. Breath-by-breath measurements included oxygen uptake ($\dot{V}O_2$), carbon dioxide production ($\dot{V}CO_2$), and ventilation (VE).</p> <p>An arterial blood sample was drawn just after termination of exercise for measurement of arterial partial pressure of O_2 (PaO_2), arterial partial pressure of CO_2 ($PaCO_2$) and lactate level.</p> <p>The best estimate of anaerobic threshold (AT) was calculated manually using a combination of the V-slope method and ventilatory equivalents for oxygen. $VE/\dot{V}CO_2$ slope was obtained by linear regression analysis of the relation between VE and $\dot{V}CO_2$ during exercise prior to the respiratory compensation point. The ventilatory equivalent for $\dot{V}CO_2$ ($Eq\dot{V}CO_2$) was measured at its lowest value over a 30-second average, reflecting the highest degree of ventilatory efficiency for each patient.</p>
Equations
<ul style="list-style-type: none"> Breathing reserve (BR) was calculated as: $MVV - Peak\ Ventilation$ <p>where MVV is maximal voluntary ventilation and was calculated as $35 \times FEV_1$.</p> Heart rate reserve (HRR) was defined: $Maximum\ predicted\ heart\ rate - maximum\ heart\ rate$ Physiologic dead space (V_D/V_{Tphys}) was measured using the Bohr equation: $V_D/V_{Tphys} = \frac{PaCO_2 - PeCO_2}{PaCO_2}$ <p>where $PeCO_2$ is the measured mixed expired partial pressure of CO_2.</p>

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418 **Figure 1.** Pathophysiological mechanisms in CTEPH and the effect of PAH therapy



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420 A-a gradient= alveolar- arterial gradient, CTEPH= chronic thromboembolic pulmonary hypertension,

421 $EqVCO_2$ = equivalence of carbon dioxide, $PaCO_2$ = partial carbon dioxide arterial pressure, PAH=

422 pulmonary arterial hypertension, PVR= pulmonary vascular resistance, RV= right ventricular, VCO_2 =

423 carbon dioxide production, V_D/V_{Tphys} = physiologic dead space, VE= ventilation, VO_2 = oxygen

424 consumption, V/Q mismatch= ventilation/perfusion mismatch.

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