

1     **The contribution of the antibiotic chloramphenicol and its analogues as precursors**  
2             **of dichloroacetamide and other disinfection byproducts in drinking water**

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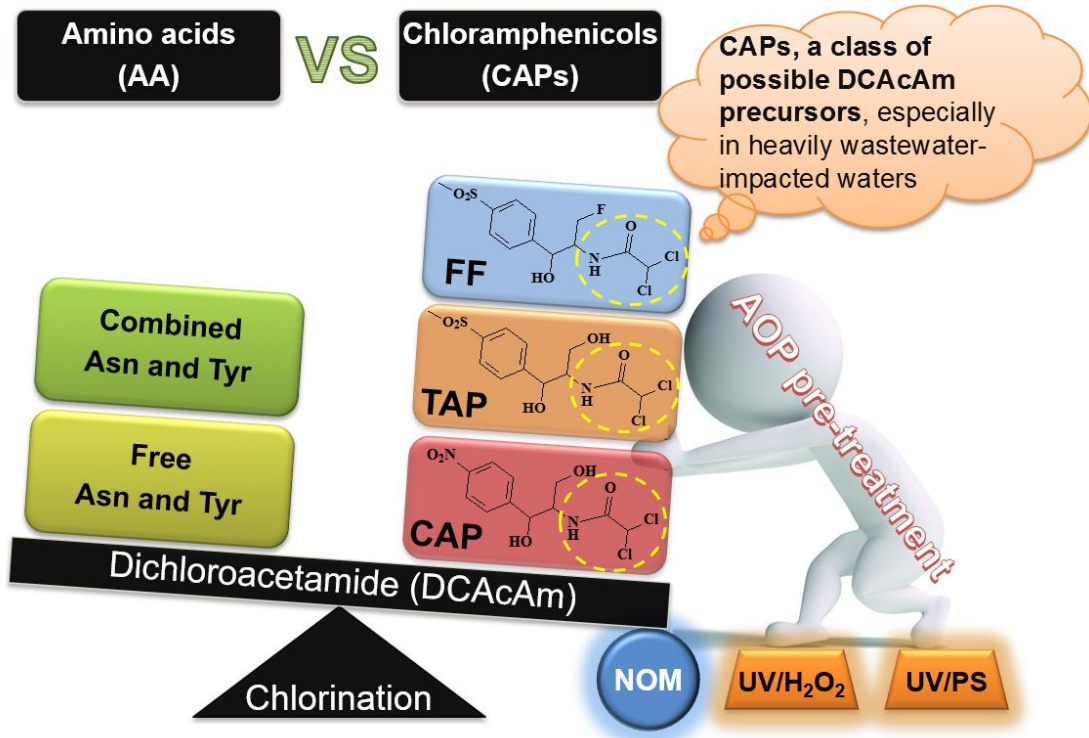
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21 **ABSTRACT**

22 Dichloroacetamide (DCAcAm), a disinfection byproduct, has been detected in drinking  
23 water. Previous research showed that amino acids may be DCAcAm precursors. However,  
24 other precursors may be present. This study explored the contribution of the antibiotic  
25 chloramphenicol (CAP) and two of its analogues (thiamphenicol, TAP; florfenicol, FF),  
26 referred to collectively as CAPs, which occur in wastewater-impacted source waters, to  
27 the formation of DCAcAm. Their formation yields were compared to free and combined  
28 amino acids, and they were investigated in filtered waters from drinking water treatment  
29 plants, heavily wastewater-impacted natural waters, and secondary effluents from  
30 wastewater treatment plants. CAPs had greater DCAcAm formation potential than two  
31 representative amino acid precursors. However, in drinking waters with ng/L levels of  
32 CAPs, they will not contribute as much to DCAcAm formation as the µg/L levels of amino  
33 acids. Also, the effect of advanced oxidation processes (AOPs) on DCAcAm formation  
34 from CAPs in real water samples during subsequent chlorination was evaluated.  
35 Pre-oxidation of CAPs with AOPs reduced the formation of DCAcAm during  
36 post-chlorination. The results of this study suggest that CAPs should be considered as  
37 possible precursors of DCAcAm, especially in heavily wastewater-impacted waters.

38

## 39 **Introduction**

40 Haloacetamides (HAcAms) are an emerging class of halogenated nitrogenous disinfection  
41 byproducts (N-DBPs), which have been widely detected at low  $\mu\text{g/L}$  levels in drinking  
42 water.<sup>1-4</sup> They have been reported to be highly cytotoxic and genotoxic in mammalian cell  
43 assays compared to other known DBPs (142x more cytotoxic and 12x more genotoxic  
44 than regulated haloacetic acids [HAAs]).<sup>5,6</sup> This elevated toxicity for  
45 monochloroacetamide (MCAcAm), dichloroacetamide (DCAcAm), and trichloroacetamide  
46 (TCAcAm) was also observed in recent studies based on metabonomics.<sup>7-10</sup> DCAcAm is  
47 the most abundant HAcAm species formed in waters that are low in bromide,<sup>1,6,10</sup> and it  
48 has been commonly used as a representative HAcAm in previous DBP formation  
49 studies.<sup>2,11-16</sup> Understanding DCAcAm formation provides valuable information towards  
50 controlling the formation of HAcAms more broadly. Furthermore, previous studies have  
51 demonstrated that dissolved organic nitrogen (DON) in source waters, originating from  
52 microbial metabolism, algal blooms, and municipal wastewater, contributed to DCAcAm  
53 formation during chlorination,<sup>12-16</sup> where the nitrogen in the DCAcAm molecule principally  
54 originated from biomolecule precursors (e.g., amino acids). Free and combined amino  
55 acids have been detected in drinking water at  $\mu\text{g/L}$  levels.<sup>11,17</sup> Also, studies have indicated  
56 that DCAcAm can form by multiple reactions, such as the hydrolysis of dichloroacetonitrile  
57 (DCAN),<sup>12</sup> but also from other pathways that are independent of DCAN.<sup>13</sup> However, the  
58 most important precursors are still unclear.

59 Antibiotic contamination of water supplies has become a world-wide environmental  
60 problem and antibiotics are now widespread in many aquatic environments<sup>18</sup> due to their  
61 intensive use in the treatment of bacterial infections in humans, animals, and for

62 agricultural purposes<sup>19,20</sup> Chloramphenicols (CAPs), including chloramphenicol (CAP),  
63 thiamphenicol (TAP), and florfenicol (FF), are one class of broad-spectrum antibiotics in  
64 widespread use. Due to their potential deleterious effects on human health, TAP and FF  
65 are more commonly used as alternatives to CAP for animal treatment. However, CAP is  
66 still widely used in livestock aquaculture as feed additives to control outbreaks of  
67 disease<sup>21</sup>. Previous studies have indicated that CAPs are stable and difficult to be  
68 metabolized after intake<sup>22</sup> and are ineffectively removed by wastewater treatment plants  
69 (WWTP) that apply conventional treatment processes.<sup>23,24</sup> Therefore, CAPs eventually  
70 reach surface waters that may be used as inputs to drinking water treatment plants.<sup>25,26</sup> In  
71 China, CAP concentrations in two source waters, Pearl River<sup>27</sup> and Huangpu River<sup>28</sup>, were  
72 reported at 11-266 ng/L and 4-28 ng/L, respectively. Also, CAP in Nanming River reached  
73 up to 19 µg/L due to the input of municipal sewage<sup>29</sup>. Moreover, TAP and FF were  
74 detected at 3-12 ng/L and 8-20 ng/L in Huangpu River<sup>28</sup> and up to 110 and 90 ng/L in  
75 Yangtze estuary<sup>30</sup>, respectively. CAP, TAP, and FF concentrations reached up to 47, 6,  
76 and 65 µg/L in municipal sewage.<sup>29</sup>

77 A DCACAm side chain in CAPs<sup>31</sup> may be easily attacked by oxidants to form DCACAm,  
78 as shown in Figure S1 in the Supporting Information (SI). Therefore, the major objective of  
79 the study was to evaluate the relative contribution of CAPs to DCACAm formation by  
80 comparing them against other precursors of DCACAm, in both laboratory-grade water and  
81 real water samples. Additionally, advanced oxidation processes (AOPs) are reported to be  
82 a promising alternative to treat pollutants in drinking water that cannot be easily treated by  
83 conventional processes. Most AOPs involve the *in situ* generation of highly reactive

84 species, such as hydroxyl and sulfate radicals, which are able to oxidize a wide range of  
85 chemicals. Therefore, in this study, the impact of two common AOPs (ultraviolet  
86 light/hydrogen peroxide [UV/H<sub>2</sub>O<sub>2</sub>] and UV/persulfate [UV/PS]) on the formation of  
87 DCaAm during subsequent chlorination of real waters spiked with CAPs was also  
88 investigated.

89

## 90 **MATERIALS AND METHODS**

91 **Reagents and Solutions.** MCAcAm, DCaAm, TCAcAm was obtained from Alfa  
92 Aesar (Karlsruhe, Germany), and all bromine-containing HAcAms were purchased from  
93 Orchid Cellmark (New Westminster, BC, Canada), except for monobromoacetamide  
94 (MBAcAm). Three CAPs (CAP, TAP, and FF), MBAcAm, tyrosine (Tyr), <sup>15</sup>N-labeled Tyr,  
95 asparagine (Asn), and <sup>15</sup>N-labeled Asn were purchased from Sigma–Aldrich (Oakville, ON,  
96 Canada). A sodium hypochlorite solution (active chlorine >5%, Sinopharm Chemical  
97 Reagent Co., Ltd., China) was used to prepare free chlorine stock solutions. Ultrapure  
98 water was produced with a Millipore Milli-Q Gradient water purification system (Billerica,  
99 MA, USA). Other materials were at least analytical grade and obtained from Sinopharm  
100 Chemical Reagent Co., Ltd (Shanghai, China), unless otherwise noted.

101 **Experimental Procedures.** All experiments were conducted at room temperature (23  
102 ± 1°C) and under headspace-free conditions in 500-mL brown glass volumetric flasks.

103 (1) Chlorination using laboratory-grade water. For chlorination experiments using  
104 laboratory-grade water (ultrapure water), a typical experiment involved applying a chlorine  
105 dose (0.1, 0.25, 0.5, 1.0 mM) to a precursor compound solution (0.05 mM) for a  
106 designated reaction time (3, 12, 24 h). In addition, to compare the relative contribution of

107 DCACAm from CAP to other representative DCACAm precursors, chlorination experiments  
108 were conducted by adding a certain chlorine dose (0.5 mM) to a mixed solution containing  
109 unlabeled CAP (0.05 mM) and <sup>15</sup>N-labeled Asn (or <sup>15</sup>N-labeled Tyr) (0.05 mM) for a  
110 designated reaction time (3, 12, 24 h). Compound solutions were buffered at pH 7.3 ± 0.3  
111 with 10 mM phosphate buffer.

112 (2) Chlorination using authentic water matrices. For chlorination experiments using  
113 authentic water matrices, three CAPs at the designated concentrations (30, 60, 300, 600,  
114 900, 1200 nM) were spiked (up to these levels) with a mixture of CAP, TAP, and FF (each  
115 CAP accounted for a third of the total concentration of the three spiked CAPs) into three  
116 water samples (A, B, C in Table S1) in 500-mL brown glass volumetric flasks, which were  
117 collected after coagulation, sedimentation, and filtration from three Chinese drinking water  
118 treatment plants (DWTPs). The raw waters of DWTPs 'A' and 'B' had a high and low  
119 specific UV absorbance at 254 nm (SUVA<sub>254</sub>), respectively. In addition, DWTP 'C',  
120 characterized by high DON, was also selected for the study. These DWTPs were selected  
121 because of expected differences in their natural organic matter (NOM) characteristics,  
122 which allowed evaluation of the effect of NOM on DCACAm formation from CAPs.  
123 Additionally, considering CAPs have higher concentrations in heavily  
124 wastewater-impacted watersheds, two heavily wastewater-impacted natural waters (D  
125 and E in Table S1) and two secondary effluents (F and G in Table S1) from wastewater  
126 treatment plants (WWTPs) were also collected. Waters D, E, F, and G were also spiked  
127 with a mixture of CAP, TAP, and FF up to 300, 600, 900, and 1200 nM (each CAP  
128 accounted for a third of the total concentration of the three spiked CAPs; Water G was not

129 spiked at 300 nM because the actual concentration of the three CAPs was close to 300  
130 nM). The characteristics of these selected waters are summarized in Table S1. The  
131 concentrations of the three CAPs shown in Table S1 are their background levels in waters.  
132 These samples (A-G) were filtered with 0.45  $\mu\text{m}$  membranes (mixed cellulose esters,  
133 Merck Millipore Corp., German) to remove particles. Analyses of these waters did not  
134 show any detectable HAcAm background levels. To examine the formation of DCACAm in  
135 these selected samples, sufficient chlorine was dosed into the glass volumetric flasks to  
136 provide the desired 24-h chlorine residual of  $1.0 \pm 0.5 \text{ mg-Cl}_2/\text{L}$  in a single dose, based in  
137 part on the Uniform Formation Conditions method developed by Summers et al.<sup>32</sup>. The  
138 chlorine dose was sufficient to breakout the raw-water ammonia and to meet the desired  
139 residual. The sample pH was adjusted to  $7.3 \pm 0.3$  by addition of  $\text{H}_2\text{SO}_4$  or NaOH in  
140 phosphate buffered samples, because higher HAcAm formation potential could be  
141 achieved at this pH level.<sup>33</sup> At the end of the experiment, the chlorine residual was  
142 quenched with a stoichiometric amount of ascorbic acid and was analyzed as soon as  
143 possible<sup>3,4</sup>.

144 (3) Molecular weight (MW) cut-offs of NOM. To examine the role of NOM on DCACAm  
145 formation from CAPs, the selected three filtered waters (A, B, and C in Table S1) were  
146 fractionated using two ultrafiltration (UF) membranes (YM100 and YM1, Merck Millipore  
147 Corp., Germany) with MW cut-offs of 100k and 1k Da, respectively. The fractionation  
148 experiment was conducted in a 400-mL stirred cell (Amicon 8400, Merck Millipore Corp.,  
149 Germany) under a constant nitrogen gas pressure of 0.1 MPa. Prior to the experiments,  
150 ultrapure water was filtered through the membranes to remove any possible leached



151 organic matter until the DOC of the effluent was less than 0.1 mg-C/L. After UF separation,  
152 the filtrates with MW ranges of <100k and <1k Da were analyzed for their organic content  
153 (e.g., DOC, DON) and tested for DCACAm formation during chlorination. It was expected  
154 that the background CAPs went through the two UF membranes due to the lower MW  
155 (<400 Da) of the three CAPs. After the UF separation, the filtrates were spiked with CAPs  
156 up to 30, 60, 300, 600, 900, and 1200 nM (each CAP accounted for a third of the total  
157 concentration of the three spiked CAPs). Details on the UF procedure are available  
158 elsewhere<sup>34</sup> and in SI Figure S2.

159 (4) UV/H<sub>2</sub>O<sub>2</sub> and UV/PS pre-oxidation. To examine the effect of UV/H<sub>2</sub>O<sub>2</sub> or UV/PS  
160 pre-oxidation on the formation of DCACAm in authentic waters containing CAPs (unspiked  
161 or spiked with a mixture of CAP, TAP, and FF at 100 nM each) during post-chlorination, a  
162 UV collimated beam apparatus, consisting of a low-pressure mercury lamp above  
163 quiescently-stirred Petri dishes was used.<sup>35</sup> Water samples were irradiated for calculated  
164 durations to achieve six different incident UV doses ranging from 0 to 585 mJ/cm<sup>2</sup>. The  
165 oxidation was initiated once the Petri dishes were moved under the UV lamps, by adding  
166 H<sub>2</sub>O<sub>2</sub> or PS (0.5 mM) into the Petri dishes. After UV/H<sub>2</sub>O<sub>2</sub> or UV/PS treatment, sufficient  
167 chlorine was immediately added into the stirred dish to provide the desired 24-h chlorine  
168 residual of  $1 \pm 0.5$  mg-Cl<sub>2</sub>/L in a single dose. The sample was stirred for 15 s and  
169 transferred into a headspace-free 500-mL brown glass volumetric flask, which was kept in  
170 the dark. Details are available elsewhere,<sup>36,37</sup> and are included in the SI (Figure S3). All  
171 samples were prepared in triplicate and the error bars in the figures represent the  
172 standard deviations from triplicate measurements.

173 **Analytical Methods.** DCACAm and some bromine-containing HACAmS were analyzed  
174 by combining solid-phase extraction (SPE), high-pressure liquid chromatography (HPLC),  
175 and triple quadrupole mass spectrometry (tqMS) with atmospheric pressure chemical  
176 ionization, using selective reaction monitoring in the positive mode. The detection limit  
177 and quantification limit for DCACAm were 12 ng/L (approx. 0.1 nM) and 36 ng/L (0.3 nM).  
178 The details of the analyses of DCACAm, other N-DBPs (haloacetonitriles [HANs] and  
179 halonitromethanes [HNMs]), the three CAPs, bromide, iodide, DOC, DON, and SUVA<sub>254</sub>  
180 are presented elsewhere<sup>3,12,28</sup> and in the Supporting Information.

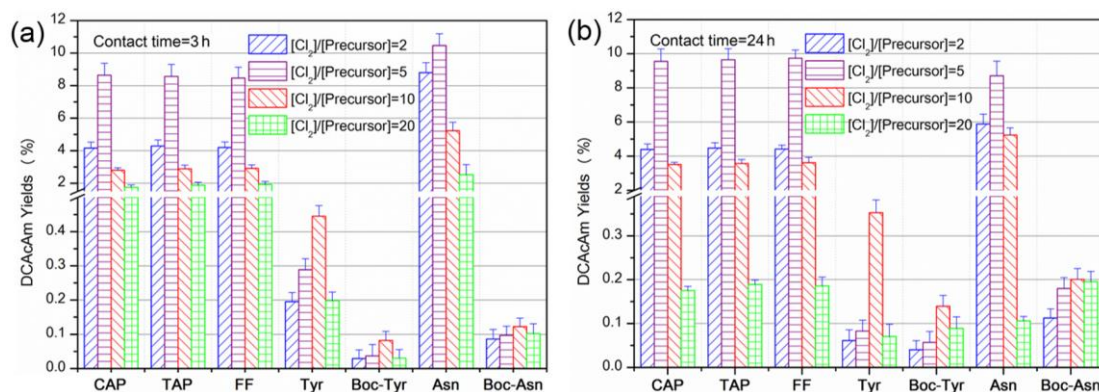
181 Because no standards for <sup>15</sup>N-labeled DCACAm were commercially available, the  
182 <sup>15</sup>N-labeled DCACAm was analyzed indirectly by measuring the changing of the DCACAm  
183 (<sup>14</sup>N-DCACAm) parent ion from 128 to 129 m/z. The analytical method was validated by  
184 performing the chlorination of unlabeled Asn (also Tyr) and <sup>15</sup>N-labeled Asn (also  
185 <sup>15</sup>N-labeled Tyr) under the same conditions. The formed concentration of unlabeled  
186 DCACAm from unlabeled Asn was identical with <sup>15</sup>N-labeled DCACAm from <sup>15</sup>N-labeled  
187 Asn, indicating that the tqMS responses were similar for unlabeled DCACAm and  
188 <sup>15</sup>N-DCACAm. This indirect determination method for <sup>15</sup>N-labeled DCACAm<sup>13</sup> and other  
189 N-DBPs<sup>38,39</sup> has been proven successful in other studies.

190

## 191 **RESULTS AND DISCUSSION**

192 **DCACAm Formation from Individual Compounds.** Tyr can react with chlorine to  
193 form many N-DBPs,<sup>40,41</sup> including DCACAm, with a DCAN intermediate. Also, Asn can  
194 form DCACAm during chlorination without a DCAN intermediate.<sup>14</sup> Besides free Tyr and

195 Asn, boc-Tyr and boc-Asn were also selected as N-protected amino acids (i.e., protection  
 196 with a tert-butoxycarbonyl group) mimicking peptide bonds, because free amino acids  
 197 constitute only ~5% of total amino acids in most waters.<sup>17</sup>



198  
 199 **Figure 1.** DCACAm formation from the chlorination of seven model precursors at different contact times  
 200 (a vs b) and different  $Cl_2$ /precursor molar ratios (each precursor compound concentration = 0.05 mM).  
 201 The HAcAm yield was the molar ratio of the formed HAcAm to the initial concentration of precursor  
 202 (DCACAm yields =  $[DCACAm]/[precursor] \times 100\%$ ).  
 203

204 As shown in Figure 1, regardless of short or long contact time at different chlorine  
 205 doses, boc-Tyr and boc-Asn exhibited the lowest DCACAm yields, probably because the  
 206 protection of the amino group in boc-Tyr and boc-Asn restrained the formation of organic  
 207 chloramines by initial substitution, which is the first step in the formation of N-DBPs,<sup>42</sup> as  
 208 shown in SI Scheme S1.<sup>14,36,37</sup> There was a substantial difference in DCACAm yield  
 209 between Asn and Boc-Asn, whereas the difference in yield between Tyr and Boc-Tyr was  
 210 not that large, as the yield from Tyr in its free form was relatively low compared to the  
 211 other model compounds. Notably, in natural waters, there is substantially more of the  
 212 combined amino acid than the free form, which will change the relative significance of  
 213 each in terms of DCACAm yield (e.g., combined Tyr may contribute more DCACAm than  
 214 free Tyr, whereas free Asn may contribute more than combined Asn, based on the

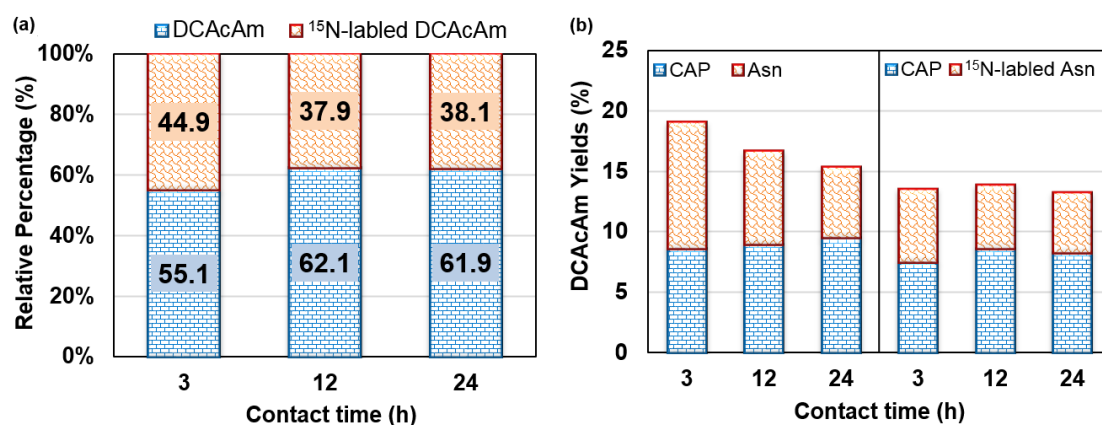
215 approximate average concentration (N content) ratio of free amino acids and total amino  
216 acids of 1:60<sup>17</sup>, and the highest formation yields of the four selected amino acid  
217 precursors).

218 Regardless of contact time, free Tyr exhibited higher yields at  $[\text{Cl}_2]/[\text{Precursor}] = 10$   
219 than at  $[\text{Cl}_2]/[\text{Precursor}] = 2, 5, \text{ and } 20$ , which is consistent with a previous study.<sup>41</sup>  
220 However, the three CAPs and free Asn all had their highest DCACAm yields at  
221  $[\text{Cl}_2]/[\text{Precursor}] = 5$ . Insufficient chlorine cannot promote DCACAm formation<sup>41</sup>, and  
222 over-abundant chlorine will catalyze the hydrolysis of the formed DCACAm.<sup>43</sup> There is a  
223 higher chlorine demand for free Tyr (approx. 13 mol  $\text{Cl}_2/\text{mol Tyr}$ )<sup>41</sup> than for free Asn (6 mol  
224  $\text{Cl}_2/\text{mol Asn}$ ), due to the reactivity of the Tyr phenoxy group.<sup>44</sup> Therefore, Tyr and Asn  
225 reach an optimal chlorine dose to form DCACAm at  $[\text{Cl}_2]/[\text{Precursor}] = 10$  and 5,  
226 respectively. As is the case for Asn, the three CAPs also reached an optimal chlorine dose  
227 to form DCACAm at  $[\text{Cl}_2]/[\text{Precursor}] = 5$ , and had similar DCACAm yields, probably due to  
228 their similar molecular structures (Figure S1). As shown in Figure S4, at a  $[\text{Cl}_2]/[\text{Precursor}]$   
229 = 2, the initial chlorine of 0.1 mM (7.1 mg/L) was rapidly consumed by CAP to under 1.0  
230 mg/L after the first 3 h, and the residual chlorine was barely detected at a 0.02 mg/L  
231 detection limit after 12 or 24 h, which was insufficient chlorine to oxidize CAP to form more  
232 DCACAm (Figure 1a vs Figure 1b at  $[\text{Cl}_2]/[\text{Precursor}] = 2$ ). At  $[\text{Cl}_2]/[\text{Precursor}] = 10$  and 20,  
233 the residual chlorines were both greater than 21 mg/L (0.3 mM) after 24 h (Figure S4). The  
234 excess chlorine accelerated the hydrolysis rate of DCACAm,<sup>43</sup> which was likely higher  
235 than the simultaneous rate of formation of DCACAm. At  $[\text{Cl}_2]/[\text{Precursor}] = 5$ , there was  
236 more residual after 3 h than for  $[\text{Cl}_2]/[\text{Precursor}] = 2$ , but there was similar residual after 12

237 or 24 h. The optimal ratio was likely somewhere between 5 and 10 (most likely closer to 5).  
 238 Notably, in plants that pre-chlorinate and post-chloraminate, they may form DCACAm  
 239 during the pre-disinfection step but may not destroy it during distribution. However,  
 240 DCACAm can undergo base-catalyzed hydrolysis in the distribution system if the pH is  
 241 sufficiently high ( $\text{pH} > 8$ )<sup>43</sup>.

242 After 3 h of chlorination reaction time (Figure 1a), Asn showed the highest DCACAm  
 243 yields followed by the three CAPs and, to a much lesser extent, Tyr, boc-Tyr, and boc-Asn.  
 244 Moreover, the three CAPs presented similar or somewhat higher DCACAm yields than Asn  
 245 after chlorination for 24 h. However, to better compare the relative contribution of these  
 246 compounds as precursors of DCACAm, we examined the formation of DCACAm from the  
 247 chlorination of a mixture of CAP and Asn.

248



249

250 **Figure 2.** DCACAm formation from chlorination of the mixture of CAP and <sup>15</sup>N-labeled Asn at different  
 251 contact times ( $[\text{Cl}_2]/[\text{CAP} + ^{15}\text{N-labeled Asn}] = 5$ ,  $[\text{CAP}] = [^{15}\text{N-labeled Asn}] = 0.05$  mM). Figure 2a  
 252 presents the relative percentage of DCACAm yields for CAP and <sup>15</sup>N-labeled Asn; Figure 2b presents  
 253 DCACAm yields from un-mixed CAP and Asn (Left set of bars in Figure 2b, taken directly from Figure 1)  
 254 and mixed CAP and <sup>15</sup>N-labeled Asn (Right set of bars in Figure 2b).

255

256 **DCACAm Formation from the Mixture of CAP and <sup>15</sup>N-labeled Asn.** Figure 2a

257 displays the relative proportion of formed DCACAm from the chlorination of mixed CAP  
258 and <sup>15</sup>N-labeled Asn. As shown in Figure 1a, DCACAm yield from the chlorination of Asn  
259 alone in all selected [Cl<sub>2</sub>]/[Precursor] ratios were higher than the yields from CAP when  
260 the contact time was 3 h, whereas CAP formed similar or somewhat more DCACAm than  
261 free Asn after 24 h (Figure 1b). However, CAP formed more DCACAm than free  
262 <sup>15</sup>N-labeled Asn after 3, 12 or 24 h in the mixture (Figure 2a).

263 Moreover, as shown in Figure 2b, the sum of DCACAm yields from un-mixed CAP and  
264 Asn (the left set of bars in Figure 2b, taken directly from Figure 1) were all higher than  
265 from the mixture of CAP and <sup>15</sup>N-labeled Asn (the right set of bars in Figure 2b) at all  
266 contact times. Notably, the chlorine doses in these two sets of tests were different  
267 ([Cl<sub>2</sub>]/[Precursor] = 5 in the left set of bars and while this same ratio was used in the right  
268 set of bars, i.e., [Cl<sub>2</sub>]/[CAP + <sup>15</sup>N-labeled Asn] = 5, for each model compound the ratio for  
269 each specific precursor was 10, i.e., [Cl<sub>2</sub>]/[CAP] = [Cl<sub>2</sub>]/[<sup>15</sup>N-labeled Asn] = 10). Chlorine  
270 can easily attack the asymmetric position in the CAP molecule (C2 in Figure S1), the  
271 formation pathway of DCACAm from CAP is simpler to understand than from Asn (Scheme  
272 S1). In addition, we examined the formation of DCACAm from the mixture of CAP and  
273 <sup>15</sup>N-labeled Tyr at [Cl<sub>2</sub>]/[Precursor] = 5 and 10 (Figure S5), considering that CAP and Tyr  
274 obtained their highest DCACAm yields at [Cl<sub>2</sub>]/[Precursor] = 5 and 10, respectively. As  
275 shown in Figure S5, relative yields of 97-98% and 68-75% of DCACAm were formed from  
276 CAP at [Cl<sub>2</sub>]/[Precursor] = 5 and 10, respectively.

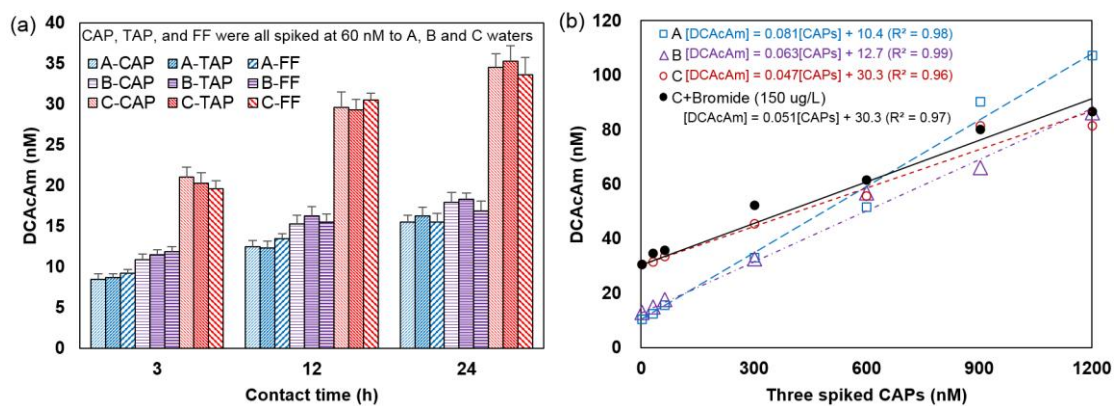
277 In summary, these results indicate that CAP has greater DCACAm formation potential  
278 than two representative amino acid precursors—Asn and Tyr under the same precursor

279 concentration conditions in 24 h. However, free Asn, free Tyr, and their combined forms  
280 are likely present at higher concentrations than that of the CAPs in many drinking water  
281 supplies, where significant levels of CAPs would only be expected in heavily  
282 wastewater-impacted watersheds. Also, at full-scale DWTPs, the  $[\text{Cl}_2]/[\text{Precursor}]$  ratio will  
283 be relatively high, where typically mg/L amounts of  $\text{Cl}_2$  are applied to waters with  $\mu\text{g/L}$   
284 levels of amino acids and ng/L levels of CAPs. Therefore, there is still a need to  
285 investigate the formation of DCACAm from the chlorination of CAPs in real waters in the  
286 presence of NOM using more practical chlorination condition, which is the next step in the  
287 study.

288 **DCACAm Formation from Real Waters Unspiked and Spiked with CAPs.** DWTP  
289 'C' water had the highest DON level of the three filtered waters (which likely included  
290 amino acids) from three selected DWTPs, and it formed the highest DCACAm  
291 concentration (30.3 nM) compared to DWTP 'A' and 'B' waters (10.4 and 12.7 nM,  
292 respectively) when no CAPs were spiked into the waters (the intercepts for the three  
293 best-fit lines in Figure 3b are the DCACAm concentrations formed from the chlorination of  
294 waters A, B, and C without CAPs spiked in). Figure 3a demonstrates that the three CAPs  
295 spiked (up to 60 nM) into real water matrices had very similar yields of DCACAm in the  
296 same water matrix, probably because they have similar molecular structures (Figure S1),  
297 which agrees with the observations from the experiments in laboratory-grade water.  
298 Moreover, Figures 3a and 3b show that the overall formation of DCACAm was due to a  
299 combination of CAPs and naturally present precursors (e.g., NOM, amino acids). Figure  
300 3b presents the formation of DCACAm after 24 h from the chlorination of A, B, and C (with

301 and without bromide spiking) waters spiked with the a mixture of CAP, TAP, and FF up to  
 302 30, 60, 300, 600, 900, and 1200 nM (each CAP accounted for a third of the total  
 303 concentration of the three spiked CAPs). This figure shows a good linear relationship  
 304 between the DCACAm concentration formed for all three waters and the spiked CAP  
 305 concentrations after 24 h of chlorination. Although DWTP 'C' water had the highest  
 306 DCACAm formation when CAPs were not spiked in, when the three CAPs were spiked in,  
 307 the slope of the best-fit line (0.047) of the formed DCACAm from DWTP 'C' water was  
 308 lower than in DWTP 'A' and 'B' waters (slopes = 0.081 and 0.063, respectively). The  
 309 slopes of the best-fit lines in Figure 3b can be considered as approximating the formation  
 310 yields (8.1%, 6.3%, and 4.7%) of DCACAm from the CAPs in these three real water  
 311 matrices (A, B, and C, respectively). These were lower than the yields (approximately  
 312 10%) of DCACAm in laboratory-grade water at  $[Cl_2]/[CAPs] = 5$  (chlorine residual =  $1.0 \pm$   
 313  $0.5$  mg- $Cl_2/L$ , in Figure 3) in Figure 1.

314



315

316 **Figure 3.** DCACAm formation after chlorinating filtered waters (A, B, and C) spiked with CAPs at pH 7.3 ±  
 317 0.3 for 24 h. Figure 3a presents the impact of contact time on the formation of DCACAm. Figure 3b  
 318 presents the impact of CAP concentration on the formation of DCACAm. In Figure 3b, A, B, and C waters  
 319 were spiked with a mixture of CAP, TAP, and FF up to 30, 60, 300, 600, 900, and 1200 nM (each CAP  
 320 accounted for a third of the total concentration of the three spiked CAPs).



321

322 The different DCACAm formation yields in Figure 3b were likely influenced by the  
323 different water matrix components, such as bromide and NOM. DWTP 'C' water had the  
324 lowest formation slope (0.047) and had the lowest bromide level (21  $\mu\text{g/L}$ ). The presence  
325 of bromide should result in the formation of bromine-containing analogues of DCACAm,  
326 which were not measured in this study. In order to examine the effect of bromide on  
327 DCACAm formation from CAPs, 150  $\mu\text{g/L}$  bromide was spiked into water C, which was  
328 similar to the natural bromide levels in the DWTP 'A' and 'B' waters (130-139  $\mu\text{g/L}$ ). From  
329 Figure 3b, the slope (0.051) of the formed DCACAm from DWTP 'C' water spiked with  
330 bromide was similar to the slope (0.047) of DWTP 'C' water not spiked with bromide, but  
331 was significantly lower than the slopes for DWTP 'A' and 'B' waters (0.081 and 0.061,  
332 respectively). This suggests that bromide had less of a contribution to the differences in  
333 the observed DCACAm formation profiles. It should be also noted that  
334 bromochloroacetamide was the most abundant species among all six bromine-containing  
335 HACams formed in all selected authentic waters, and only a little BCACAm was detected  
336 in DWTP 'C' water. When bromide (150  $\mu\text{g/L}$ ) was spiked into the DWTP 'C' water, the  
337 total concentrations of bromine-containing HACams increased from 135 ng/L to 925 ng/L  
338 (e.g., from 135 and 0 ng/L to 379 and 134 ng/L for bromochloro- and dibromo- acetamide,  
339 respectively), which is consistent with a recent study which reported the formation of all  
340 nine chlorine- and bromine- containing HACams from 7 authentic waters having a range of  
341 SUVA, DOC/DON and bromide levels<sup>33,36</sup>. Also, iodide at  $\mu\text{g/L}$  levels was considered to  
342 not be relevant because a small number of iodide could be oxidized to iodate during

343 chlorination.<sup>45-47</sup> Therefore, the differences in DCACAm yields were hypothesized to be  
344 probably due to some aspect of the NOM, which is similar to what was suggested by  
345 previous studies that found NOM may interact with pharmaceuticals and inhibit the  
346 reaction to form N-nitrosodimethylamine<sup>48</sup>, meanwhile NOM itself can form NDMA.<sup>49,50</sup>

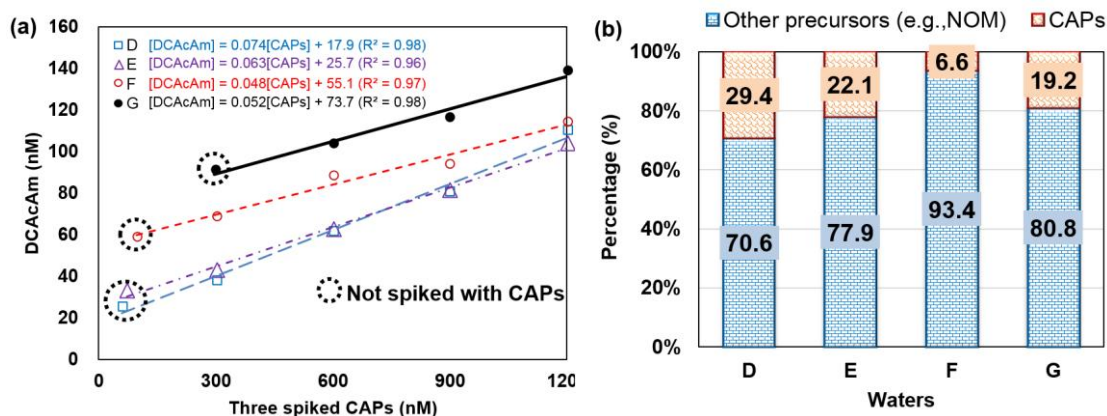
347 From Table S1, the three waters had similar DOC levels and the SUVA values of two of  
348 the waters, which included water C, were similar. Certain specific NOM fractions or  
349 moieties might be more relevant than would be indicated by simple bulk measurements of  
350 water quality, such as DOC and SUVA. To confirm that NOM plays an important role in the  
351 different formation yields of DCACAm from the chlorination of different waters, the filtrates  
352 within the MW ranges of <100k Da and <1k Da for the three waters were obtained by  
353 fractionating them with a UF membrane with MW cut-offs of 100k and 1k Da. This scheme  
354 allowed us to evaluate the impact of low-MW NOM (<1k Da), as well as a combination of  
355 low- and high-MW NOM (<100k Da). The DOC, DON, and SUVA of the <100k Da  
356 fractions had similar values as the un-fractionated waters, whereas the <1k Da fractions  
357 had much less, DOC, DON, and SUVA (Table S2). The selected three waters were all  
358 collected after coagulation, sedimentation, and filtration from the three DWTPs, where  
359 particulates, colloids, and macromolecules were effectively removed by that point in the  
360 treatment train (conventional treatment preferentially removes high-MW and humic NOM).  
361 The levels of the inorganic compounds (e.g. bromide, ammonia) in the un-fractionated  
362 and fractionated waters did not show substantial differences, as they should have readily  
363 passed through the filters.

364 The formation of DCACAm from the chlorination of the three selected waters that were

365 fractionated by the UF membrane with MW cut-offs of 1k Da is shown in Figure S6. Just  
366 like the un-fractionated waters, Figure S6 also showed a good linear relationship between  
367 the formed DCACAm concentration for all three fractionated waters and the spiked CAP  
368 concentrations after 24 h chlorination. Note, the intercepts in Figure S6 were lower than  
369 what was observed for the un-fractionated waters (Figure 3b), indicating that a portion  
370 (53%, 55%, and 46% for DWTP 'A', 'B', and 'C' waters, respectively) of the DCACAm  
371 precursors were of higher MW. In addition, the slopes (0.091, 0.083, and 0.081 for DWTP  
372 'A', 'B', and 'C' waters, respectively) and the formation yields (9.1%, 8.3%, and 8.1% for A,  
373 B, and C waters, respectively) of the formed DCACAm from the three fractionated waters  
374 (<1k Da) were more similar than those from the three un-fractionated waters (Figure 3b  
375 versus Figure S6), and they were in close agreement with the findings from the  
376 experiments in laboratory-grade water summarized in Figure 1. This indicates that some  
377 NOM in the MW range >1k Da not removed at the DWTPs likely played a role in causing  
378 the different DCACAm formation yields in the selected three waters.

379 The formation of DCACAm from the chlorination of heavily wastewater-impacted  
380 natural waters (D and E) and treated wastewaters (secondary effluent, F and G),  
381 containing significant Levels of CAPs (Table S1), was also investigated. Figure 4a  
382 presents the formation of DCACAm after 24 h from the chlorination of D, E, F, and G  
383 waters spiked with a mixture of CAP, TAP, and FF up to 300, 600, 900, and 1200 nM (each  
384 CAP accounted for a third of the total concentration of the three spiked CAPs). However,  
385 water G was not spiked at 300 nM because the actual concentration of the three CAPs  
386 was close to 300 nM). The first point, surrounded by a dotted line in Figure 4a, is the

387 DCACAm concentration formed from the chlorination of the selected waters without spiked  
 388 CAPs, which only contained the background levels of CAPs. Like the filtered waters (A, B,  
 389 and C) in Figure 3a, Figure 4a also shows a good linear relationship between the  
 390 DCACAm concentration formed for all four waters and the spiked CAP concentrations after  
 391 24 h of chlorination. The slopes of the best-fit line (0.074 and 0.063) of the formed  
 392 DCACAm from two natural waters (D and E) were higher than in 'F' and 'G' treated  
 393 wastewaters (slopes = 0.048 and 0.052, respectively). As discussed earlier, this is  
 394 probably due to the presence of NOM with different characteristics.  
 395



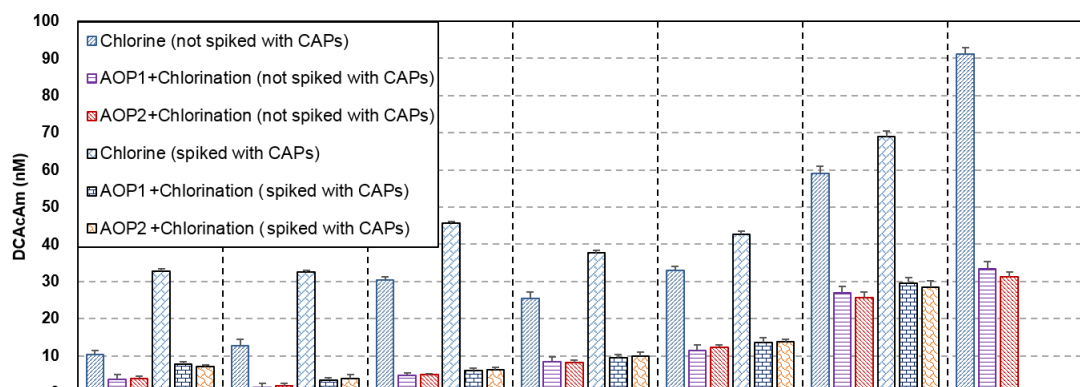
396  
 397 **Figure 4.** DCACAm formation after chlorinating natural waters (D and E) and treated wastewaters (F and  
 398 G) spiked with CAPs at  $pH\ 7.3 \pm 0.3$  for 24 h. Figure 4a presents the impact of CAP concentration on the  
 399 formation of DCACAm from the chlorination of spiked D, E, F, and G waters. Figure 4b presents the  
 400 relative percentage of DCACAm yields for CAPs and other precursors in these selected waters without  
 401 spiked CAPs (Table S3).

402 Most importantly, according to the linear relationship in Figure 4a, we can determine  
 403 the intercept (17.9, 25.7, 55.1, and 73.7 nM) for the four best-fit lines, which is the  
 404 approximate DCACAm concentration formed from the chlorination of the selected waters  
 405 in the absence of CAPs. Therefore, the relative contribution of CAPs and other precursors  
 406 (e.g., NOM, amino acids) on DCACAm formation in these waters could be calculated, as

407 shown in Figure 4b. From Figure 4b, the background levels of CAPs in the four selected  
 408 waters (Table S1) contributed 6.6–29% to the formation of DCACAm during 24-h  
 409 chlorination. This indicates that CAPs are an important class of DCACAm precursors in  
 410 heavily wastewater-impacted waters.

411 **Effect of AOP Pre-Treatment on the Formation of DCACAm and Other HACams**  
 412 **upon Subsequent Chlorination of Real Waters with and without Spiked CAPs.**

413 Previous studies found that UV/H<sub>2</sub>O<sub>2</sub> and UV/PS pre-oxidation, using UV (585 mJ/cm<sup>2</sup>),  
 414 H<sub>2</sub>O<sub>2</sub> (0.5 mM), and PS (0.5 mM) doses typically employed for trace contaminant removal,  
 415 achieved good performance in controlling the formation of HACams in the selected DWTP  
 416 ‘A’, ‘B’, and ‘C’ waters during post-chlorination (e.g., DCACAm in Figures 5).<sup>36,37</sup> However,  
 417 the formation of DCACAm after UV/H<sub>2</sub>O<sub>2</sub> or UV/PS pre-oxidation and subsequent  
 418 chlorination of real waters containing CAPs was unknown at the outset of this study. Three  
 419 chlorinated HACams (MCACAm, DCACAm, and TCACAm), and six brominated HACams  
 420 were measured to compare their formation from the AOP and chlorination of the waters  
 421 unspiked and spiked with the mixed CAPs (CAP, TAP, and FF) up to 100 nM each, except  
 422 for Water G (Figure 5). Water G was not spiked because the actual concentration of the  
 423 sum of the concentrations of the three CAPs was close to 300 nM.



424

425 **Figure 5.** DCACAm formation during chlorination with or without AOP pre-treatment (**AOP1:** UV/H<sub>2</sub>O<sub>2</sub>  
426 oxidation, **AOP2:** UV/PS oxidation; water G was not spiked because the actual concentration of CAP  
427 exceeded 100 nM and the sum of the concentrations of the three CAPs was close to 300 nM in this water.

428 As shown in Figure 5a (also shown in Figure 4a), the spiked CAPs significantly  
429 increased the formation of DCACAm from the chlorination of the selected waters (A-F)  
430 spiked with CAPs.

431 The formation yields of DCACAm after AOP pre-treatment and subsequent chlorination  
432 were lower than that during chlorination alone (Figure 5A). This indicates that UV/H<sub>2</sub>O<sub>2</sub>  
433 and UV/PS pre-oxidation can effectively reduce the total formation of DCACAm from the  
434 chlorination of the NOM and amino acid precursors and the CAPs in the selected waters.  
435 Other selected HACams (MCACAm, TCACAm, six brominated HACams) did not present  
436 an increasing trend after chlorination (or AOP coupled with chlorination) when the  
437 concentrations of CAPs were increased.

438 **Implications.** Pharmaceuticals have become important emerging contaminants, due  
439 to their presence in environmental waters worldwide, and concerns about possible  
440 estrogenic and other adverse effects (e.g., antibiotic resistance of microbes), both to  
441 wildlife and humans.<sup>2,51,52</sup> The possibility for formation of N-DBPs from pharmaceuticals  
442 during chlor(am)ination disinfection has become another significant concern for delivered  
443 drinking water quality because of their potent cytotoxicity, genotoxicity, and potential  
444 carcinogenicity<sup>53</sup>. This study found that three CAPs (CAP, TAP, and FF), which commonly  
445 occurred in source waters<sup>23-30</sup>, presented a greater formation potential of DCACAm than  
446 two representative amino acid precursors, regardless of whether these amino acids were  
447 in their free or combined form.

448 As mentioned earlier, CAP in Nanming River reached up to 19 µg/L due to the impact  
449 of municipal sewage discharges.<sup>29</sup> Let us suppose this natural water was used as a  
450 source water for DWTPs and all of the CAPs passed through the conventional treatment  
451 process to the chlorination stage of treatment. Once chlorine is added to the filtered  
452 waters containing 19 µg/L (58.8 nM) of CAP, the concentration of DCACAm from CAP will  
453 reach 350 ng/L (using the lowest formation yield [4.7% for C water] in the selected filtered  
454 waters) and possibly as high as 600 ng/L (using the highest formation yield [8.1% for A  
455 water] in the selected filtered waters). Thus, CAPs can account for some of the DCACAm  
456 formation in drinking water (which can be at low µg/L levels). Moreover, it was found that  
457 CAP, TAP, and FF concentrations reached up to 47, 5.7, and 65 µg/L (145, 16, and 252  
458 nM) in municipal sewage.<sup>29</sup> Due to the inefficiency of conventional treatment in WWTPs  
459 for removing CAPs,<sup>23,24</sup> the total formation potential of DCACAm from the three CAPs  
460 (CAP, TAP, and FF) could potentially reach 2,160 ng/L (using the lower formation yield  
461 [4.8% for F water] in the selected secondary effluent waters) and as high as 2,340 ng/L  
462 (using the highest formation yield [5.2% for G water] in the selected secondary effluent  
463 waters) in the chlorinated effluent of the WWTP. Although HACams (mostly DCACAm) only  
464 account for 0.5% by mass of the identifiable DBPs in most drinking waters,<sup>1,54</sup> they could  
465 represent a higher proportion in chlorinated waters containing CAPs, especially those that  
466 are substantially wastewater-impacted.

467 UV/H<sub>2</sub>O<sub>2</sub> and UV/PS pre-oxidation, using UV (585 mJ/cm<sup>2</sup>), H<sub>2</sub>O<sub>2</sub> (0.5 mM), and PS  
468 (0.5 mM) doses typically employed for trace contaminant removal, showed good  
469 performance in controlling the formation of DCACAm during post-chlorination of waters

470 containing CAPs. Additionally, considering that CAPs are aromatic compounds, chlorine  
471 might be substituted on the benzene ring (at the ortho-positions of the carbon that  
472 connects to carbon C1 in Figure S1), leading to the formation of chlorinated aromatic  
473 DBPs.<sup>55,56</sup> It is necessary to further examine the formation of chlorinated aromatic DBPs  
474 during chlorination with and without AOP pre-treatment, because aromatic DBPs  
475 generally exhibit substantially higher developmental toxicity and growth inhibition than  
476 halogenated aliphatic DBPs.<sup>57,58</sup> The ability of pre-treatment processes to reduce CAPs  
477 and other HAcAm precursor concentrations prior to chlorination should be evaluated as a  
478 means of minimizing HAcAm formation.

479

## 480 **ASSOCIATED CONTENT**

### 481 **Supporting Information**

482 Further information on analytical methods, experimental apparatus, sample fractionation,  
483 and proposed formation pathway of N-DBPs from three model compounds. This material  
484 is available free of charge via the Internet at <http://pubs.acs.org>.

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### 489 **Notes**

490 The authors declare no competing financial interest.

491

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