

Can we identify patients at risk of life-threatening allergic reactions to food?

Authorship

Paul J. Turner, Joseph L. Baumert, Kirsten Beyer, Robert Boyle, Chun-Han Chan, Andrew Clark, René W.R. Crevel, Audrey DunnGalvin, Montserrat Fernández Rivas, M. Hazel Gowland, Linus Grabenhenrich, Sarah Hardy, Geert F Houben, Jonathan O'B Hourihane, Antonella Muraro, Lars K. Poulsen, Katarzyna Pyrz, Benjamin C. Remington, Sabine Schnadt, Ronald van Ree, Carina Venter, Margitta Worm, E.N. Clare Mills, Graham Roberts, Barbara K. Ballmer-Weber

Affiliations

Paul Turner, Section of Paediatrics (Allergy and Infectious Diseases) & MRC and Asthma UK Centre in Allergic Mechanisms of Asthma, Imperial College London, UK

Joseph L. Baumert, Food Allergy Research and Resource Program, Department of Food Science and Technology, University of Nebraska, Lincoln, NE, USA

Kirsten Beyer, Department of Pediatric Pneumology and Immunology, Charité Universitätsmedizin, Berlin, Germany.

Robert Boyle, Section of Paediatrics (Allergy and Infectious Diseases) & MRC and Asthma UK Centre in Allergic Mechanisms of Asthma, Imperial College London, UK.

Chun-Han Chan, Food Standards Agency, London, UK.

Andrew Clark, Cambridge University Hospitals NHS Foundation Trust, UK.

René W.R. Crevel, Safety and Environmental Assurance Centre, Unilever, Colworth Science Park, Sharnbrook, Bedford, UK.

Audrey DunnGalvin, Applied Psychology and Paediatrics and Child Health; University College Cork, Ireland.

1
2
3 Montserrat Fernández-Rivas, Servicio de Alergia, Hospital Clínico San Carlos, IdISSC, Madrid, Spain
4

5
6 M. Hazel Gowland, Allergy Action, UK.
7

8
9 Linus Grabenhenrich, Institute for Social Medicine, Epidemiology and Health Economics, Charité -
10
11 Universitätsmedizin Berlin, Berlin, Germany.
12

13 Sarah Hardy, Food Standards Agency, London, UK.
14

15
16 Geert F. Houben, TNO, Zeist, The Netherlands
17

18
19 Jonathan O'B Hourihane, Paediatrics and Child Health, University College Cork, Ireland
20

21
22 Antonella Muraro, Department of Paediatrics, Centre for Food Allergy Diagnosis and Treatment,
23
24 Veneto region, University of Padua, Italy.
25

26
27 Lars K Poulsen, Allergy Clinic, Copenhagen University Hospital at Gentofte, Copenhagen, Denmark.
28

29
30 Katarzyna Pyrz, Applied Psychology and Paediatrics and Child Health; University College Cork,
31
32 Ireland.
33

34
35 Benjamin C. Remington, TNO, Zeist, The Netherlands
36

37
38 Sabine Schnadt, German Allergy and Asthma Association (Deutscher Allergie- und Asthmabund
39
40 (DAAB)), Mönchengladbach, Germany
41

42
43 Ronald van Ree, Departments of Experimental Immunology and of Otorhinolaryngology, Academic
44
45 Medical Center, University of Amsterdam, The Netherlands
46

47
48 Carina Venter, School of Health Sciences and Social Work, University of Portsmouth, UK; The David
49
50 Hide Asthma and Allergy Research Centre, St Mary's Hospital, Isle of Wight, UK.
51

52
53 Margitta Worm, Allergy-Center Charité, Department of Dermatology and Allergy, Charité -
54
55 Universitätsmedizin Berlin, Berlin, Germany.
56

57
58 E.N. Clare Mills, Institute of Inflammation and Repair, Manchester Academic Health Science Centre,
59
60 Manchester Institute of Biotechnology, The University of Manchester, Manchester, UK

1
2
3 Graham Roberts, David Hide Asthma and Allergy Research Centre, St Mary's Hospital, Isle of Wight;
4 NIHR Respiratory Biomedical Research Unit, University Hospital Southampton NHS Foundation Trust
5 and Human Development and Health Academic Unit, University of Southampton Faculty of
6 Medicine, Southampton, United Kingdom
7
8
9

10 Barbara K. Ballmer-Weber, Allergy Unit, Department of Dermatology, University Hospital, Zürich,
11 University Zürich, Switzerland.
12
13
14
15
16
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18 Correspondence

19
20 Professor Graham Roberts
21

22
23 University of Southampton Faculty of Medicine (MP803), Southampton General Hospital, Tremona
24 Road, Southampton SO16 6YD, UK.
25
26

27 Tel.: (023) 8079 6160
28

29
30 Fax: (023) 8087 8847
31

32
33 E-mail: g.c.roberts@southampton.ac.uk
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Abstract (231 words)

Anaphylaxis has been defined as a “severe, life-threatening generalized or systemic hypersensitivity reaction”. However, data indicate that the vast majority of food-triggered anaphylactic reactions are not life-threatening. Nonetheless, severe life-threatening reactions do occur, and are unpredictable. We discuss the concepts surrounding perceptions of severe, life-threatening allergic reactions to food by different stakeholders, with particular reference to the inclusion of clinical severity as a factor in allergy and allergen risk management. We review the evidence regarding factors which might be used to identify those at most risk of severe allergic reactions to food, and the consequences of misinformation in this regard. For example, a significant proportion of food-allergic children also have asthma, yet almost none will experience a fatal food-allergic reaction; asthma is not, in itself, a strong predictor for fatal anaphylaxis. The relationship between dose of allergen exposure and symptom severity is unclear. While dose appears to be a risk factor in at least a subgroup of patients, studies report that individuals with prior anaphylaxis do not have a lower eliciting dose than those reporting previous mild reactions. It is therefore important to consider severity and sensitivity as separate factors, as a highly sensitive individual will not necessarily experience severe symptoms during an allergic reaction. We identify the knowledge gaps which need to be addressed to improve our ability to better identify those most at risk of severe food-induced allergic reactions.

Introduction

Anaphylaxis has been defined as a “severe, life-threatening generalized or systemic hypersensitivity reaction” (1,2). However, evidence suggests that the majority of food-triggered anaphylactic reactions are not life-threatening (3): 80% of young adults recover spontaneously from food-induced anaphylaxis, despite not receiving adrenaline (epinephrine) or medical attention (4). Other definitions (e.g. “an acute, potentially fatal, multi-organ system, allergic reaction” (5)) may therefore be more appropriate. Nonetheless, severe life-threatening reactions do occur. These are unpredictable, resulting in a perception of risk which adversely affects health-related quality of life (HRQoL) to a degree comparable to chronic illnesses such as diabetes (6). Attempts to reduce this is hampered by our inability to identify those at greatest risk. It is for this reason that all anaphylaxis should be considered as potentially fatal, justifying the need for patient education and provision of appropriate rescue medication including adrenaline autoinjector devices (AAI).

The EU-funded iFAAM (Integrated Approaches to Food Allergen and Allergy Risk Management) collaboration is developing evidence-based approaches and tools for the management of food allergens and their integration into patient management. A major aspect of the collaboration is to investigate the role of factors, such as the food matrix and medication (e.g. proton pump inhibitors), in severity of food-allergic reactions. In a parallel activity, the TRACE Peanut Study (funded by the UK Food Standards Agency) is assessing the effect of exercise and sleep deprivation on severity. In a joint workshop, perceptions regarding severity and the need for a harmonised approach to classifying severity of food-allergic reactions were explored. This paper discusses the concepts and misinformation surrounding the perception of severe i.e. life-threatening anaphylaxis to food (in contrast to anaphylactic reactions of lesser severity, which we propose are *potentially* life-threatening), and identify the knowledge gaps which need to be addressed to predict those most at risk of such reactions.

Epidemiology of life-threatening anaphylaxis

Determining an accurate incidence for food-triggered anaphylaxis is difficult, due to study heterogeneity, differences in definitions of anaphylaxis, and method of data collection (e.g. hospital coding, self-report). Consequently, estimates of the proportion of food-triggered allergic reactions that result in anaphylaxis (of any severity) vary widely, between 0.4% and 39.9% (5). A systematic review, incorporating a sensitivity analysis based on different estimated food allergy prevalences,

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3 reported an incidence for medically-coded, food-induced anaphylaxis in food-allergic individuals of
4 110 to 210 per 100,000 person-years (7).
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7 The frequency of *life-threatening* anaphylaxis (e.g. requiring hospitalisation or fatal outcome) is
8 more difficult to determine. Prospective case collection in a population-based cohort using a pre-
9 defined diagnostic algorithm has never been attempted, due to the need for a large sample size
10 given the very low expected incidence (5). Disease-specific registries – an alternative for rare
11 disorders – are unlikely to include all cases (8). Retrospective evaluations are hampered by the
12 heterogeneous clinical presentation, variable appreciation of severity by patients and healthcare
13 professionals (HCPs), and recall bias. Data relating to fatal anaphylaxis may be more reliable given
14 the unambiguous outcome, although causality can be difficult to ascertain. Case fatality rates are
15 very low at <0.0001% (9,10). The UK Fatal Anaphylaxis Registry (UKFAR) reported a doubling in
16 hospitalisations for food anaphylaxis from 1998-2012, but no increase in fatalities (0.011 (95%CI
17 0.009-0.013) cases per 100,000 per annum) (11). Fatalities were most common in the second and
18 third decades of life, consistent with US and Australian datasets (10,12). A recent systematic review
19 estimated the incidence of fatal anaphylaxis in food-allergic individuals at 1.81 per million person-
20 years (95%CI 0.94-3.45); in comparison to other significant events, fatal anaphylaxis remains a rare –
21 but unpredictable – event (Figure 1) (13).
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32 ***The impact of severity on food allergy***

33 Food allergy, of any severity, impacts significantly on HRQoL. We do not know how HRQoL is
34 affected by specific subjective and objective measures of severity (14-16). There is a certain opacity
35 in terms of operational definitions of “severity” in the context of food allergy: many studies rely on
36 self-reporting of symptoms or group moderate/severe cases together, leading to difficulties in
37 interpretation (17). “Food allergy severity status” is currently a tentative construct and cannot be
38 reliably used as a predictor of outcomes. However, subjective perceptions of severity and risk can be
39 important prognostic factors for long-term HRQoL outcomes (18).
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48 Reactions are unpredictable in relation to occurrence, severity and outcome, and occur despite
49 appropriate allergen avoidance (19). Uncertainty has a direct effect on perception of control and
50 trust, and indirect effects on emotional adjustment, social interaction, HRQoL and
51 coping/management strategies (16). Severity is a contextual phenomenon: an allergic reaction may
52 not be perceived as severe, if treated in familiar surroundings with a heightened perception of
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3 control. However, the same reaction in the public domain, often to an unknown degree of allergen
4 exposure, will cause considerable fear, anxiety and possible embarrassment (20). Children, in
5 general, have less comprehension of the meaning of “severity”, while teenagers are reported to
6 ignore symptoms. Parents may be prone to anxiety and over-interpretation of symptoms,
7 independent of their actual experience of severe reactions (21). These will all impact on the
8 ‘accuracy’ of reported severity, with implications in terms of competency in future self-care.
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17 **Can we predict those at risk of life-threatening reactions?**

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19 A variety of factors might contribute to reaction severity (Figure 2), some of which have been
20 termed augmentation or co-factors, although different terminologies exist (22,23). These are
21 frequently used to risk-stratify allergic individuals, but are of limited clinical utility. A history of prior
22 anaphylaxis *is* a risk factor for future anaphylaxis, but many such patients only experience mild
23 symptoms at subsequent allergen exposures (24,25). Over half of the food allergy-related deaths in
24 UKFAR were in subjects with only previous mild reactions (26), consistent with previous reports
25 (24,27,28).
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32 *1. Food and allergen-related factors (Figure 3)*

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34 **Type of food:** Peanut and tree nuts are the most common causes of food-induced anaphylaxis, but
35 this is likely to be related to the higher prevalence of nut allergies (11,29,30). Seafood is increasingly
36 seen as a frequent trigger (31-33). Peanut and tree nuts are the commonest triggers for fatal
37 anaphylaxis in the UK and USA, but in children, cow’s milk is the most common cause in UK and
38 Israel (after taking prevalence into consideration) (11,34). This may be related to the ubiquitous role
39 of milk in the diet, and high rates of cross-contamination, at least within certain sectors of industry
40 (35). Persistent cow’s milk allergy is associated with a more severe allergic phenotype (36). Milk-
41 allergic individuals who do not tolerate extensively-heated cow’s milk may be at greater risk of
42 severe reactions (37). Although a common cause of anaphylaxis, egg rarely appears to cause life-
43 threatening reactions, at least in children (11,38).
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52 **Dose of allergen:** Dose is considered to be an important determinant of severity (39) but there is
53 little data to substantiate this. Severe reactions have been observed down to milligram levels of
54 allergen exposure (40). Estimating the amount of allergen consumed during reactions occurring in
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3 the community is unreliable. Threshold studies provide more accurate information, but may exclude
4 those with prior anaphylaxis. Furthermore, challenges are usually terminated at the onset of
5 objective and generally mild symptoms, so the relationship between dose and severity is poorly
6 described. The available data (from studies which have included those with previous anaphylaxis)
7 suggest that peanut-allergic individuals with a history of anaphylaxis are not more sensitive to low
8 doses than those without (29,41-43). In a unique study, Wainstein *et al.* performed food challenges
9 in 27 peanut-allergic children; in contrast to other studies, challenges were not stopped following
10 onset of mild symptoms but allowed to progress (44). Anaphylaxis was provoked in 21 children; in
11 13/21 (62%) cases, this was attributed to further allergen exposure following initial non-anaphylactic
12 symptoms; the eliciting dose itself did not predict anaphylaxis. Thus, the dose of allergen may be
13 important in determining the occurrence of anaphylaxis for a specific individual, but not in
14 determining the *severity* or outcome of anaphylaxis. Little attention has been given to distinguishing
15 between the amount and “dose” (amount/kilogram body weight), which will differ significantly
16 between young children and adults.
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27 It is therefore important to consider severity and sensitivity as separate factors: a highly sensitive
28 individual will not necessarily experience severe symptoms during an allergic reaction. Although fatal
29 reactions are reported to have occurred to low exposures (34,45), most fatalities in UKFAR are
30 thought to have occurred to substantial levels of allergen exposure (11).
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34 **Food processing and the food matrix:** The three dimensional structure of any protein determines its
35 physicochemical properties and biological activity. This includes its allergenic activity, a property
36 which may be influenced by the stability of the protein to food processing (e.g. heat treatment)
37 (46,47) and its resistance to gastric digestion (48). Allergenicity is also affected by other components
38 within the food, referred to as the food matrix. Wheat incorporated into a matrix containing cow’s
39 milk or egg reduced *in vitro* IgE binding to these allergens, independent of the effect of heating
40 (49,50). Gastric emptying is affected by fat (51) and high fat matrices may inhibit binding of IgE to
41 allergen (52), impacting upon reaction severity. This effect has been observed for peanut, which
42 itself has a relatively high fat content (52,53), but not hen’s egg (54).
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50 **Sensitisation status:** Individuals with more severe reactions may have IgE to specific epitopes which
51 are more resistant to modification through food processing (46), something proposed for lipid
52 transfer proteins (LTPs) (55). However, this may not be true for all food allergens: sensitisation to
53 ovomucoid, an egg protein considered to be more resistant to heat-modification than ovalbumin
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3 (56), does not discriminate between tolerance or clinical reactivity to extensively-heated egg in
4 clinical studies (57,58).
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7 Skin prick testing (SPT) and/or specific IgE (sIgE) are predictive of the likelihood of a clinical reaction
8 to food, but do not predict severity with sufficient discrimination to be of clinical use (59). Most of
9 the available literature relates to peanut: associations between the degree of sensitization (SPT
10 wheal size, sIgE level) and severity have been reported in some studies (27,44,60,61) but not others
11 (62-66).
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15 More recently, the predictive value of component resolved diagnostics, where sIgE to single
16 allergen components from the same food source are measured, has been investigated (67). For
17 example, sensitisation to food proteins homologous with Bet v 1 and profilins are associated with
18 mild symptoms, mostly restricted to the oral cavity. These allergens are highly susceptible to gastric
19 proteolysis, which may limit their ability to trigger a systemic reaction (68), a situation often referred
20 to as Pollen Food Allergy Syndrome (PFAS). Food-allergic individuals frequently experience
21 oropharyngeal pruritus as an initial symptom, the so-called "Oral Allergy Syndrome" (OAS). However,
22 PFAS and OAS are not synonymous (69). The term "OAS" was first proposed by Amlot *et al* to
23 describe symptoms in a cohort of food-allergic patients, 50% of whom went on to experience
24 systemic symptoms (70). In a more recent study, 49% of adults with objective symptoms to hazelnut
25 (not limited to oral symptoms) were sensitized to no other component other than the Bet v 1
26 homologue Cor a 1, possibly due to the presence of sIgE to other, non-detected components (71).
27 Thus, monosensitisation to Bet v 1 homologues cannot, with current testing, always be assumed to
28 imply a low risk of anaphylaxis. Individuals may be misclassified as being at no risk of systemic
29 reactions, and not provided with appropriate education and rescue medication.
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42 Significant geographical variations in sensitisation have been reported, particularly for hazelnut
43 (72,73) and apple (74). An association between LTP-sensitization and severity has been reported
44 particularly in the Mediterranean region (55). However, LTP sensitization does not always predict a
45 clinical reactivity nor severity: peanut LTP rAra h 9 did not discriminate between clinical allergy and
46 sensitization in two recent studies (75,76). Similar findings have been reported for Spanish patients
47 sensitized to peach LTP (77). These data imply that in unselected populations, LTP sensitization may
48 not be useful in identifying patients at increased risk for severe reactions.
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54 Some studies have reported an association between sensitisation to peanut Ara h 2 and severity (78-
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3 82), but not others (43,76). In EuroPrevall, sIgE to Ara h2 ≥ 1.0 kUA/L conferred a 97% probability
4 for *any* systemic reaction, but did not differentiate between anaphylaxis and *non-anaphylactic*
5 systemic skin reactions (76). This supports the assertion that the presence (or absence) of binding to
6 Ara h2 (or Ara h1-3) does not predict risk of severity (83). Individuals with increased diversity of IgE
7 against multiple components (78,80,81) or epitopes (84-86) may be more likely to experience severe
8 reactions, but such diagnostic tools are not routinely available. IgE binding may be affected by other
9 factors: allergen-specific IgG can neutralize IgE binding (85) which may reduce reaction severity. Data
10 from a study assessing anti-IgE as an adjuvant for cow's milk oral immunotherapy imply that IgE
11 neutralization may be an important factor governing symptom severity (87). However, the data are
12 contradictory (88), perhaps due to differences in the ratio of IgG₄ and IgE competing for the same
13 epitope. Avidity of IgE and IgG for peanut correlates weakly with symptom severity at food challenge
14 (89), suggesting a more complex integration of different allergen-antibody-effector cell interactions
15 are involved in determining severity.
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25 **Variations in host cellular responses:** In addition to distinguishing between sensitization and true
26 clinical reactivity, the basophil activation test may also correlate with symptom severity (88,90).
27 However, baseline basophil responsiveness varies from day-to-day within the same subject, and so
28 may not predict reaction severity on a different occasion (91). Understanding the intra- and inter-
29 person variability in allergen-induced basophil reactivity may help to predict reaction severity in the
30 future.
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35 36 2. Host behaviours

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39 **Risk taking:** Health-risk behaviours play an important role in disease management (92). In food
40 allergy, risk-taking is a relevant factor in the context of predicting severity. Studies identify
41 adolescents as being particularly prone to risk-taking, such as playing 'tough' by deliberately eating
42 risky food or not carrying AAIs (93,94). Given this, one might expect fatal anaphylaxis to be greatest
43 in teenagers and young adults. However, UKFAR reported that the increased incidence of
44 hospitalisations (perhaps an indicator of severity) and fatalities due to food-triggered anaphylaxis
45 persisted well into the fourth decade of life (11). Determinants of severity are likely to be multi-
46 factorial. A recent review suggested that adolescents use many behavioural strategies when
47 managing risk, with risk-taking dependent on the context (e.g. if help is more likely to come quickly,
48 more risk is taken), and most teenagers manage their food allergies well (94). For parents of food-
49 allergic children, risk-taking can be a deliberate strategy in an attempt to manage the disease and its
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3 psychosocial impact. Feeling 'in control' or reducing 'uncertainty' is a central part of 'voluntary risk-
4 taking', where possible costs and benefits are sometimes planned rationally (95). Risk avoidance and
5 risk-taking cannot be understood as uniform strategies but vary by situation and time. More
6 research needs to be undertaken, as clinical studies do not include measures evaluating risk
7 propensity, and our current knowledge is based mostly on qualitative data (96).
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11 **Alcohol:** Data from the European NORA anaphylaxis registry has identified alcohol as a suspected co-
12 factor in 3% (142/4783) cases (97), often in combination with other co-factors such as exercise,
13 medication and additives (summative anaphylaxis) (98). Alcohol impacts upon risk-taking, potentially
14 impairing allergen avoidance and affecting the ability of an individual to respond to symptoms.
15 Alcohol can activate mast cells and basophils, either directly (99) or very occasionally via an IgE-
16 dependent mechanism (100). Individuals with chronic alcohol exposure may also be at risk of more
17 severe reactions (101) through effects on IgE generation and a pro-Th2-immune milieu (102).
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21 **Medication:** Medication can induce or aggravate allergic reactions (103,104). This is seen more
22 frequently in adults than children due to age-related differences in medication use (38). The most
23 commonly implicated medicines are non-steroidal, anti-inflammatory drugs (NSAIDs), which are
24 thought to enhance the absorption of food allergens (105), as well as acting directly on effector cells
25 (106). In NORA, NSAIDs were a suspected co-factor in 4.9% (243/4917) reactions, almost all in adults
26 (data to March 2014). Medicines used to treat cardiovascular disease have also been implicated:
27 combined use of β -blockers and angiotensin-converting enzyme inhibitors increases the risk of
28 severe reactions, possibly due to a synergistic effect resulting in mast cell priming (97). These
29 medications taken in isolation can also increase risk, albeit to a lesser extent (97).
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33 **Exercise:** Exercise is the most common co-factor implicated in anaphylaxis, present in almost 20% of
34 cases in NORA (38,97) and a co-factor for reactions during OIT (107,108). There are two entities:
35 exacerbation of classical IgE-mediated reactions, and food-dependent, exercise-induced anaphylaxis
36 (FDEIA) where reactions are triggered by exercise. Whether the same mechanisms are involved is
37 unclear. Wheat is the most frequent eliciting allergen in FDEIA (109) but other food allergens have
38 also been implicated (98,110-112). Potential mechanisms are thought to include changes on
39 gastrointestinal perfusion and absorption, and direct effects on mast cells and other effector cells, as
40 reviewed elsewhere (111). One discrepancy is that many of the physiological changes seen during
41 exercise require significant exertion, whereas FDEIA can occur following mild-moderate activity
42 (112).
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3. *Intrinsic and extrinsic factors not related to host behaviours*

Immune-activation: Data from NORA (98), case reports (113) and studies of oral immunotherapy (107,108) have highlighted the relevance of intercurrent infections, typically upper respiratory viral infections, in triggering allergic symptoms. Within UKFAR, there are cases of fatal anaphylaxis associated with flares in eczema (26), which might imply an underlying state of immune-activation contributing to severity. The reported effect of menstruation on allergic symptoms during OIT (107,108) suggests that oestrogens might promote effector cell degranulation (114,115), although recent findings from a murine model reported no effect on mast cell responsiveness but promotion of vascular leakage during anaphylaxis (116).

Asthma: Retrospective studies report an association between asthma and severity of anaphylaxis (117-119), an observation seen in studies of fatal anaphylaxis (11,26,120). Life-threatening manifestations in food anaphylaxis are generally caused by respiratory compromise, so asthma and/or underlying bronchial hyperactivity are likely to be significant risk factors (121,122). However, in UKFAR, many cases of food-triggered fatal anaphylaxis do not have a history of asthma *exacerbation* prior to the terminal episode (26), suggesting that other factors are also involved. Food anaphylaxis also frequently occurs in patients without coexistent asthma. Up to 50% of food-allergic children have asthma (24,123), yet almost none will experience a fatal food-allergic reaction; asthma is not, in itself, a strong predictor for fatal anaphylaxis. This does not, of course, diminish the need to achieve optimal control of asthma symptoms to manage risk in food-allergic individuals.

Allergic rhinitis: Severe rhinitis has been reported as a risk factor for pharyngeal oedema in nut-allergic individuals (65). Vetander et al reported a cohort of 35 children with both food allergy and hay fever, in whom admissions due to food-anaphylaxis were increased during the tree pollen season compared with the rest of the year (124). No seasonal distribution has been observed for fatal food anaphylaxis in UKFAR (unpublished data).

Cardiovascular disease: Recent data from the US suggest that patients on antihypertensive medication experience greater reaction severity (125). Pre-existing cardiovascular disease was associated with the most severe allergic reactions in NORA (97). In contrast, in a prospective Australian study of 402 patients with anaphylaxis, cardiovascular risk and medication usage had highly significant associations with age but provided no additional predictive value for reaction severity using multivariate logistic regression (126).

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3 **Sex/gender and age:** Food is the most frequent cause of anaphylaxis in children (11,127,128) and is
4 more frequent in young male children; this reverses after puberty (129). The exact contribution of
5 biological and sociological factors for these observations is poorly understood. The NORA Registry
6 reported a slightly higher risk of more severe anaphylaxis in postpubertal males (13-56 years)
7 compared to age-matched females (130). However, no differences have been seen for fatal food-
8 anaphylaxis in UKFAR (11).

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12 **Genetic predisposition:** The UKFAR dataset includes a notable excess of milk-allergic male children
13 with at least one parent of African, Middle-East or Far-East descent (131). Whether this might be
14 due to genetic predisposition or cultural factors is unclear, and requires further investigation.

15 16 17 18 19 20 *4. Ability of the host to compensate for the allergic reaction*

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22 Little is known about factors which might protect against severe reactions. Clearly, many individuals
23 experiencing anaphylaxis recover spontaneously, without the need for rescue adrenaline or other
24 medical intervention (4). There may be variations in the inherent ability of individuals to compensate
25 for an allergic insult, for example through endogenous catecholamine production. Individuals who
26 are less able to metabolise inflammatory mediators generated during food-allergic reactions, such as
27 platelet activating factor (132) and kinins (65,133), may be more likely to experience severe
28 symptoms, however more data are needed to confirm these findings.

29 30 31 32 33 34 **Defining severity in practice – are we all speaking the same language?**

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36 The management of food allergy involves multiple stakeholders, from allergic individuals and those
37 assisting with their care, to the food industry and government bodies charged with regulation.
38 Severity may be defined and perceived very differently by these groups.

39 40 41 42 43 44 *Discrepancies in severity perception between healthcare professionals (HCPs) and allergic individuals*

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46 Perceptions of severity are dependent on an individual's previous experience – and *lack* of
47 experience – of reactions, both their own and others'. This is consistent with research demonstrating
48 improved HRQoL in individuals undergoing controlled food challenges, regardless of outcome
49 (16,134,135). Perceptions may be affected by 'visual severity': young children often develop
50 significant skin signs (such as marked facial angioedema) which parents may perceive as a life-
51 threatening reaction. In contrast, parents may not consider the possibility of wheezing (in a child
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3 prone to recurrent wheeze) as indicating anaphylaxis, resulting in a failure to initiate appropriate
4 management. In the acute setting, HCPs both undertreat anaphylaxis (136-138) and, arguably, over-
5 treat visually-severe but non-anaphylactic reactions, particularly in young children in whom the
6 diagnosis of anaphylaxis may be difficult (136,137). This pattern is also seen at discharge, with
7 provision of AAI when it may not be indicated, and more concerning, under-prescription when it is
8 (32,33,137-140).
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13 Mild symptoms following minimal allergen exposure or reactions without ingestion may be
14 considered as implying a more severe allergy; there is little evidence for this (31,141,142). Confusion
15 can result from reactions to ‘traces’ of allergen, whereas in reality, many such events are caused by
16 substantial contamination and not a ‘trace’ (143). Most (>95%) foods with “may contain”
17 precautionary allergen labelling (PAL) do not contain detectable allergen (144-147). Some allergic
18 individuals may consider the absence of reaction when consuming food products with PAL as
19 implying a milder phenotype (148), providing false reassurance. Events following a reaction will alter
20 perceptions: whether emergency medical services are contacted and/or the person is taken to
21 hospital; comments made by HCPs during these episodes; whether an AAI is recommended.
22 Prescription of AAI may be perceived by the public as indicating a “more severe” food allergy.
23 Severe reactions are frequently not dissimilar from more mild reactions at onset, so individuals
24 experiencing life-threatening reactions may not initially realise the potential severity (26). Cultural
25 differences in language use, health beliefs, interpretation of symptoms and general health literacy
26 levels are also likely to be modifying factors.
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37 *The challenge for HCPs*

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40 An assessment of severity is an essential component of an allergy-focussed history (149). It may
41 determine whether immunomodulatory treatments are indicated, if AAI are recommended and the
42 degree of dietary, occupational and/or family lifestyle change required. HCPs are currently unable to
43 reliably identify those patients most at risk (Table 1). HCPs and allergic individuals differ in their
44 understanding of risk: HCPs may view an incidence of fatal food-triggered anaphylaxis of <1 per
45 100,000 as low, taking an objective, rationale approach. In contrast, parents interpret risk in a more
46 emotion-led context, considering their child to be ‘the one in a million’ who is ‘sure to die’ from an
47 anaphylactic reaction (154). It can be difficult to strike a balance, allowing safe dietary practice while
48 minimizing the impact on dietary choice, social activities and HRQoL (155). HCPs must emphasise
49 that normal family activities – without drastic lifestyle modifications – can continue if appropriate
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3 and proportionate precautions are taken. Simple guidelines from expert groups rarely penetrate to
4 the point of care (140) and should be augmented with iterations of education, web-based resources
5 (including from patient support groups) and school/workplace support programmes.
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10 11 **Incorporating severity into risk allergen management in food production**

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14 Assessing the risk from allergen exposure is critical to effective allergen management by the food
15 industry. The concept of risk encompasses two elements: the probability (likelihood) of an adverse
16 event and a consideration of the characteristics of such an event, including severity (156). The
17 development of dose-distribution curves (describing the probability of reaction in a defined
18 population of allergic individuals as a function of eliciting dose) has enabled the former to be
19 reasonably well characterised (39,157), although as discussed above, the relationship between dose
20 and severity is poorly described.
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27 A clear distinction must be made between food *allergen* management and food *allergy*
28 management. Food allergen management should be based on risk assessment using quantitative
29 benchmarks (“reference doses”) to inform the need for PAL (158). However, there is a trade-off: a
30 reference dose which protects the largest proportion of the allergic population may be too low to be
31 practical for implementation, paradoxically increasing the use of PAL; individuals who react at very
32 low doses may not therefore be completely protected by current published reference doses.
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37 Finally, food manufacturers may consider a reaction to be severe where this results in an
38 unscheduled visit to a healthcare facility or possible legal consequences. This may not be a valid
39 determinant of severity, as there are multiple factors which might prompt someone to seek medical
40 attention. Many individuals experiencing anaphylaxis manage their reactions (often inappropriately)
41 in the community, without recourse to medical services (4).
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47 **Considering the likelihood of severity of a reaction – a food regulator’s perspective**

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49 Food regulatory authorities, as public health risk managers, need to consider both the likelihood of
50 occurrence and the characteristic of any reaction, including its severity – something particularly
51 pertinent when considering the risk associated with unintended allergen presence, including through
52 cross-contamination. It is generally accepted that “zero risk” is not possible (157,159), although this
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3 view is not shared by all regulators. Currently, there are inconsistent approaches across regulators
4 when defining what is an “acceptable risk” and what constitutes a “severe reaction”, which leads to
5 inconsistencies in enforcement. In common with industry, regulators will often consider a severe
6 reaction to be one which prompts an unintended visit to a medical facility, despite the clear
7 limitations to this definition. The degree of regulatory oversight may also be context dependent – an
8 allergic reaction to a “free-from” product may be viewed as particularly concerning, irrespective of
9 symptom severity. There is a need for an internationally-agreed quantitative measure for severity,
10 which could be applied to inform reference doses and derived action levels for PAL, claims (such as
11 “free-from”) and allergen labelling exemptions. This would provide greater consistency for food
12 manufacturers and regulatory bodies, whilst protecting the consumer in a more proportionate,
13 transparent and risk-based way.
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22 **Current limitations in applying the concept of severity...**

23 *1) ...to an individual’s allergy risk management*

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27 There are no validated tests which offer sufficient discrimination to be useful in clinical practice.
28 HCPs are therefore unable to reliably identify allergic individuals most at risk of severe anaphylaxis
29 (Table 1). A previous anaphylactic episode and asthma are risk factors, but both are limited in terms
30 of predictive value in clinical practice. Further research is required to understand the interplay of
31 factors which result in severe life-threatening or fatal anaphylaxis, in order to improve risk-
32 stratification of allergic individuals.
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38 *2) ...to allergen risk management*

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41 Severity assessment is the main driver *and* the largest knowledge gap in the advancement of
42 protection for the allergic consumer. There is a lack of consensus on the definition of severity with
43 respect to food allergen management. Dose may be an important modifiable factor for any
44 anaphylaxis, but the relationship between dose and severity of anaphylactic reaction is unclear.
45 Food challenges generally commence at lower doses (160) and stopping criteria are designed to
46 prevent anaphylaxis, so severe reactions are uncommon (40). These observations underline two of
47 the main data gaps: (1) can we identify those allergic individuals who will experience (severe)
48 anaphylaxis if exposure is sufficiently high; and (2) for those at risk of severe reactions, can we
49 define the likelihood that a specified dose would elicit them? Useful data will be obtained from
50 single-dose challenge studies, designed to test the validity of population allergen thresholds derived
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3 from dose-distribution modelling, and to assess the resulting symptoms (161). Studies are ongoing
4 to assess the reproducibility of thresholds (and resulting symptoms) within individuals. Cofactors,
5 such as exercise, stress and infection, are well-documented to influence allergic reactions, but more
6 data is needed to define the precise effect on eliciting dose and resulting symptoms. This situation
7 will be improved by research currently in progress (e.g. TRACE Study, NCT01429896; iFAAM project,
8 NCT02295397), which may help to define a tolerable level of risk as a benchmark for food allergen
9 management at a population level. Patient advocates understand very well and accept that total
10 elimination of risk is impossible and impractical, although a consensus on what constitutes tolerable
11 risk needs to be reached (159,162).
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18 These gaps in knowledge contribute to the allergic individuals' lack of control over their environment
19 and the resulting impact on their quality of life. They are currently under study as a focus of the
20 iFAAM study and an ongoing EAACI taskforce. Addressing them will reduce the uncertainty which is
21 at the root of this anxiety, and thus help in the ultimate goal of improving an individual's allergy
22 management.
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32
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46 **Author contributions**

47
48 Paul Turner, Barbara Ballmer-Weber and Graham Roberts developed the concept, facilitated the
49 writing and edited the manuscript. Barbara K. Ballmer-Weber, Kirsten Beyer, René Crevel, Audrey
50 DunnGalvin, Hazel Gowland, Linus Grabenhenrich, Jonathan Hourihane, Ben Remington, Paul Turner,
51 Carina Venter and Margitta Worm all led the writing of specific sections. All the authors contributed
52 to the development of the manuscript and approved the final version.
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Conflicts of interest...

Barbara K. Ballmer-Weber has received grants from EU Framework programme and from ThermoFisher, and is a member of an industry sponsored ILSI expert group on predicting reaction severity.

Joseph L. Baumert is employed by the University of Nebraska-Lincoln and is co-director of the Food Allergy Research and Resource Program (FARRP), a food industry-funded consortium consisting of 85 member companies that support FARRP research and outreach programs.

Kirsten Beyer serves as a consultant for Meda Pharma, Bausch & Lomb, and ALK-Abelló and receives speaker fees from ALK-Abelló and Meda Pharma. She is a member of an industry sponsored ILSI expert group on predicting reaction severity.

Robert Boyle declares no conflicts of interest.

Chun-Han Chan declares no conflicts of interest.

Andrew Clark declares no conflicts of interest.

René W.R. Crevel is employed by Unilever and holds stock in Unilever. He also chairs the Food Allergy Task Force of the International Life Sciences Institute (European Branch).

Audrey DunnGalvin is a co-investigator in the ORCA project and is a consultant for FARRP.

Montserrat Fernandez-Rivas declares no conflicts of interest.

M. Hazel Gowland is a member of an industry sponsored ILSI expert group on predicting reaction severity.

Linus Grabenhenrich declares no conflicts of interest.

Sarah Hardy declares no conflicts of interest.

Geert F Houben is consultant, principal scientist and food allergy program leader for TNO, the Netherlands.

Jonathan Hourihane has received research funding from FARRP and Danone, and speaker fees from Thermofisher, and is a member of an industry sponsored ILSI expert group on predicting reaction severity. He is the Chairman of Irish Food Allergy Network, which receives unrestricted funding for educational purposes from infant formula and adrenaline injector manufacturers

E.N. Clare Mills is chair of the EAACI Food Allergy interest group, a member of the UK Food Standards Agency Advisory Committee on Novel Foods and Processes, the European Food Safety Authority GMO panel self task group on allergenicity risk assessment, and a member of the corporate panel of the UK Anaphylaxis Campaign. She receives grant funding from the UK Biological and Biotechnological Sciences Research Council, the UK Medical Research Council, Innovate UK, EU, DBV Technologies and Reatca Biotech Ltd. She is a founding director and chief scientific officer of Reacta Biotech Ltd.

Antonella Muraro is President of EAACI and has provided scientific advice for Meda.

Lars K Poulsen is a member of the Board of Officers of EAACI, has acted as consultant for EFSA and Novozymes and has received research grants from Thermofisher, ALK, Anergis, and Biomay.

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1
2
3 Benjamin C. Remington is a food allergy risk assessor and consultant for TNO, the Netherlands, and
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5
6 Graham Roberts is an executive committee member of EAACI and has provided scientific advice to
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For Peer Review

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For Peer Review

FIGURE LEGENDS

Figure 1: Annual incidence rate for different events in food-allergic people aged 0–19 years. Data are estimated risk of self-reported/medically coded/fatal food anaphylaxis and hospital admission for food anaphylaxis. Continuous bars represent means with 95% CI, dotted bars represent the range of point estimates from individual studies, in a systematic review undertaken by Umasunthar et al. (13). Wherein reference risks vary markedly between European and US populations, they are stated separately. Otherwise, reference risks are for the US population. Reproduced with permission from (3).

Figure 2: Factors which may modulate the severity of a food-allergic reaction. Cofactors have been divided into 2 groups: those linked to host behaviours such as exercise, and those occurring independently, such as infections. IgE, Immunoglobulin E; BHR, bronchial hyperreactivity; GI, gastrointestinal; AAI, adrenaline autoinjector device; EMS, emergency medical services.

Figure 3: Allergen related factors affecting reaction severity. The severity of *outcome* of the reaction will also depend on other factors, such as the treatment administered, and the ability of the individual to compensate physiologically, for example through endogenous catecholamine release.

Table 1: Factors proposed to predict severity of food-allergic reactions

Factor	Evidence	Conclusion
Age	Food-anaphylaxis is most common in young children but fatal anaphylaxis is rare in this age group. Fatal food-anaphylaxis is most common in the second and third decades of life (10-12).	Older children and adults up to the fourth decade of life appear to be most at risk of fatal food-anaphylaxis (11).
Asthma	Anaphylaxis frequently occurs in patients with asthma, but also in those without. Up to 50% of food-allergic children have asthma (24,123), yet almost none will experience a fatal food-allergic reaction. Thus, asthma is not, in itself, a strong predictor for fatal anaphylaxis. Suboptimal asthma control is a risk factor for severe and fatal anaphylaxis (11,26,120).	Food-allergic individuals with poorly controlled asthma are at greater risk of severe reactions.
Cardiovascular disease (CVD)	Individuals with cardiovascular disease or taking antihypertensive medication are at greater risk of severe food-allergic reactions (97,125).	The increased risk due to CVD may be due to associations with age, and not provide any additional predictive value for reaction severity (126).
Previous reaction severity	Many patients with prior anaphylaxis to food only experience mild symptoms at subsequent allergen exposures (24,25). Approximately half of food allergy-related deaths occur in subjects with previous mild reactions (24,26-28).	Severity of previous reactions cannot be used in isolation to predict future severity (150).
Dose of allergen	Dose is likely to be an important contributor to severity but data are limited. Severe reactions occur at all levels of allergen exposure (40). Peanut-allergic individuals with a history of anaphylaxis do not appear to be more 'sensitive' (i.e. have a lower threshold, and thus react to smaller amounts of peanut) than those without (29,41-43).	Severity and sensitivity should be considered as separate factors: a highly sensitive individual will not necessarily experience severe symptoms during an allergic reaction.
History of reaction to allergen through skin contact or inhalation	There is little evidence to suggest that systemic reactions are common in children following allergen contact via the skin or by inhalation (141,142). Reactions following inhalation of fish vapours (e.g. during cooking) are described, but this is not associated with a history of anaphylaxis (31).	No consistent evidence that individuals who develop symptoms with skin contact or via inhalation are more at risk of severe reactions.
Food allergen involved	Peanut and tree nuts appear more likely to cause anaphylaxis than other allergens but this is likely to be related to the higher prevalence of nut allergies (11,29,30). Peanut and tree nuts are the commonest triggers for fatal anaphylaxis in the UK and USA overall.	Any food allergen can potentially cause a fatal reaction. Cow's milk (and not nuts) is the most common cause of fatal anaphylaxis in British (11) and Israeli (34) children, after taking prevalence into account.
Skin prick testing (SPT) and/or specific IgE (sIgE) levels	There is contradictory evidence that the degree of sensitisation (to a food extract, either by SPT and/or sIgE) are predictive of severity (27,44,59-66).	Severe and life-threatening reactions to food have been shown to occur at all degrees of sensitisation (59).
Component Resolved Diagnostics (CRD)	Data are inconclusive that sensitisation to peanut Ara h 2 is related to severity (43,76,78-82). LTP sensitization does not always predict clinical reactivity or severity (55,75-77).	Sensitisation to Ara h 2 (or Ara h 1-3) does not predict severity (83). LTP sensitization is not currently useful in identifying patients at increased risk for severe reactions in unselected populations.
Oral Allergy	OAS describes the oropharyngeal pruritus that many food-allergic individuals	OAS does not imply a lower risk of anaphylaxis with future exposures.

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Syndrome (OAS)	experience as an initial symptom to low doses of allergen (70).	
Pollen food allergy syndrome (PFAS)	Sensitisation to food proteins homologous with Bet v 1 and profilins are often associated with mild symptoms, but systemic reactions are common in hazelnut-allergic adults sensitized to no other component other than the Bet v 1 homologue Cor a 1 (71).	Individuals with PFAS may be wrongly classified as being at lower risk of severe reactions.
Allergy to extensively-heated allergen	Children with prior anaphylaxis to egg are just as likely to tolerate extensively heated egg (e.g. in a cake) as those with no such history (151). Children and young adults who are allergic to cow's milk, even in baked foods, may be more at risk of severe reactions (36,37).	Allergy to extensively-heated cow's milk in those with persistent milk allergy may imply a greater risk of severe reactions.
Mast Cell Tryptase (MCT)	There is a single report that baseline MCT may predict anaphylaxis in food-allergic children (152), but the study was not conducted in an unselected cohort and the cut-off levels proposed lack discrimination.	There is little evidence that the reported association of clonal mast cell disorders / raised baseline MCT with severe hymenoptera allergy also applies to food-triggered reactions (153).
Basophil activation test (BAT)	For peanut allergy, BAT may correlate with symptom severity (88,90). However, baseline basophil responsiveness can vary from day-to-day within the same participant, and so may not predict reaction severity on a different occasion (91).	More studies are needed to assess the use of BAT in predicting severity of food-allergic reactions.

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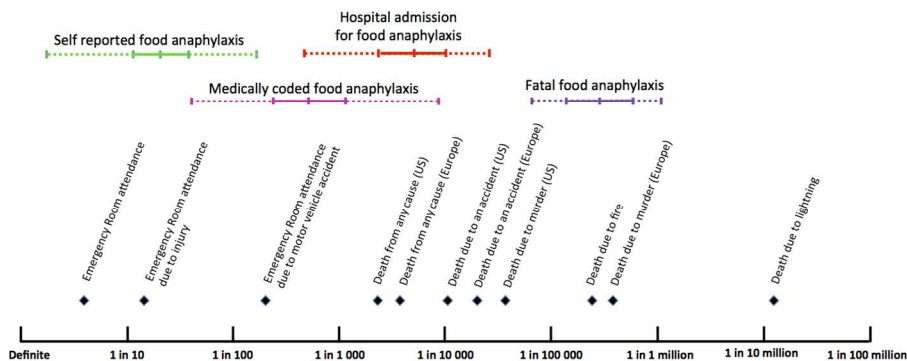


Figure 1: Annual incidence rate for different events in food-allergic people aged 0–19 years. Data are estimated risk of self-reported/medically coded/fatal food anaphylaxis and hospital admission for food anaphylaxis. Continuous bars represent means with 95% CI, dotted bars represent the range of point estimates from individual studies, in a systematic review undertaken by Umasunthar et al. (13). Wherein reference risks vary markedly between European and US populations, they are stated separately. Otherwise, reference risks are for the US population. Reproduced with permission from (3).

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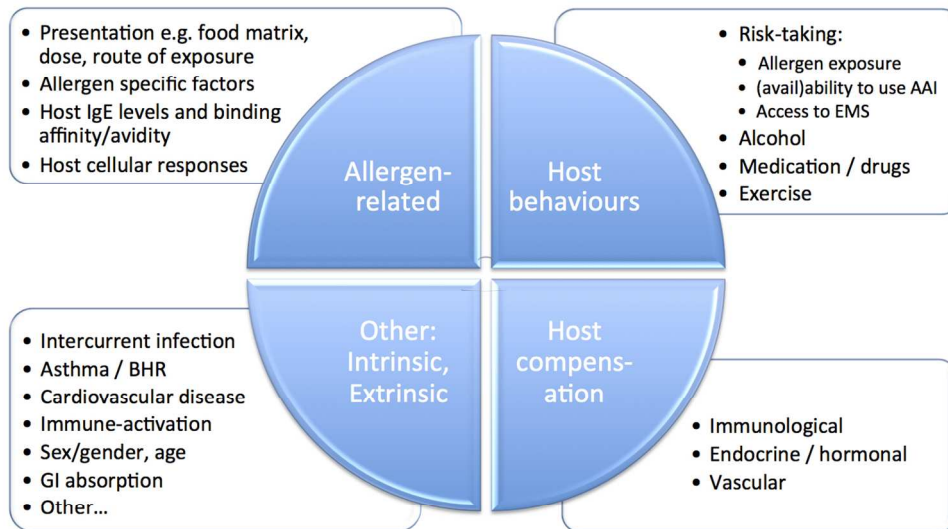


Figure 2: Factors which may modulate the severity of a food-allergic reaction. Cofactors have been divided into 2 groups: those linked to host behaviours such as exercise, and those occurring independently, such as infections. IgE, Immunoglobulin E; BHR, bronchial hyperreactivity; GI, gastrointestinal; AAI, adrenaline autoinjector device; EMS, emergency medical services.
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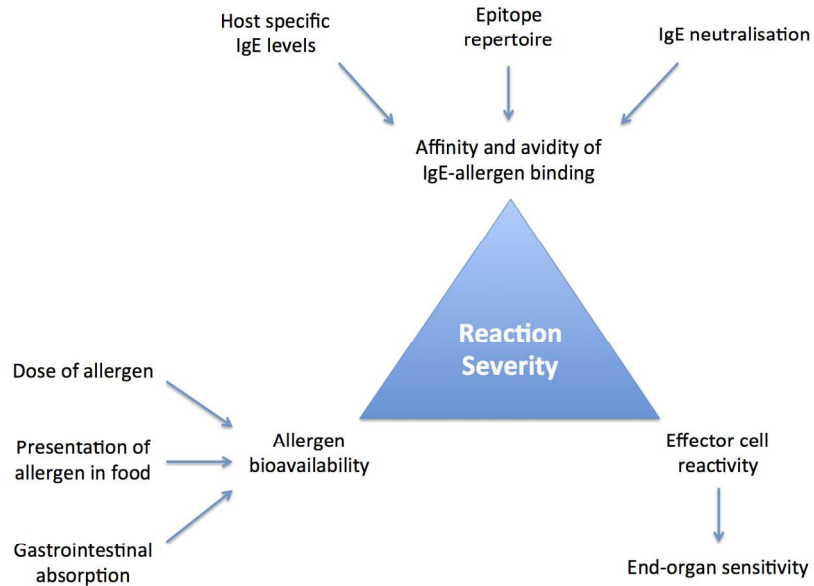


Figure 3: Allergen related factors affecting reaction severity. The severity of outcome of the reaction will also depend on other factors, such as the treatment administered, and the ability of the individual to compensate physiologically, for example through endogenous catecholamine release.

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