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1 Resolving the homology—function relationship through comparative genomics of
2 membrane-trafficking machinery and parasite cell biology

3

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19

20 Highlights

21 | -Genomics enables [s](#) powerful advances in molecular and evolutionary parasitology
22 -Diverse model parasites allows for comparison of membrane-trafficking proteins
23 -Functional homology is largely observed in the membrane-trafficking system
24 -Endomembrane organization in poorly studied eukaryotes can be confidently inferred
25 -Unusual endomembrane organelles can be understood through relationships with
26 canonical ones

27

28 Keywords

29 -Membrane-trafficking, protist, parasite, genomics, functional homology, endomembrane

30

31 Abbreviations

32 AP: Adaptor protein

33 ESCRT: Endosomal sorting complexes required for transport

34 SNARE: Soluble N-ethyl-maleimide-sensitive factor attachment protein receptors

35 Rab: Ras from brain

36 Vps: Vacuolar protein sorting

37 **Abstract**

38 With advances in DNA sequencing technology, it is increasingly common and
39 tractable to informatically look for genes of interest in the genomic databases of parasitic
40 organisms and infer cellular states. Assignment of a putative gene function based on
41 homology to functionally characterized genes in other organisms, though powerful, relies
42 on the implicit assumption of functional homology, i.e. that orthology indicates conserved
43 function. Eukaryotes reveal a dazzling array of cellular features and structural
44 organization, suggesting a concomitant diversity in their underlying molecular machinery.
45 | Significantly, examples of [novel functions for](#) pre-existing or new paralogues are not
46 | uncommon. Do these examples undermine the basic assumption of functional
47 | homology, especially in parasitic [protists, which](#) are often highly derived? Here we
48 | examine the extent to which functional homology exists between organisms spanning
49 the eukaryotic lineage. By comparing membrane trafficking proteins between parasitic
50 protists and traditional model organisms, where direct functional evidence is available,
51 we find that function is indeed largely conserved between orthologues, albeit with
52 significant adaptation arising from the unique biological features within each lineage.

53

54 **1 Introduction**

55 Genomics, the sequencing and analysis of genomes has empowered
56 tremendous advances. Possessing a genome sequence for an organism, particularly
57 one difficult to culture or genetically manipulate, allows the prediction of cellular
58 organization, metabolism, gene expression mechanisms, and organellar complement,
59 through *in silico* analysis of the corresponding predicted proteome.

60 This is essentially a comparative analysis, which at its heart relies on robust
61 evidence of function in one or more organisms. Comparative genomics allows
62 reconstruction of pan-eukaryotic complements of cellular components, including the

63 cytoskeleton, nuclear transport, metabolism, and mitochondrion ([1], *inter alia*), providing
64 evidence for the general or core aspects of cellular systems and which aspects are
65 lineage-specific. This evidence is an important basis for understanding evolutionary
66 mechanisms behind emergence of cellular complexity. Furthermore, the acceleration in
67 understanding gained by the annotation of thousands of genes is invaluable, by
68 producing initial hypotheses for expected interactions, pathways, and organellar roles
69 that can be tested.

70 Inherent in comparative genomic studies is the assumption of functional
71 homology, i.e. that orthologous genes retain equivalent function. Orthology is the
72 relationship between two genes in distinct taxa that are directly related by vertical
73 descent [2], and which may be considered as the “same gene”; the expectation is that
74 such gene pairs retain equivalent properties and roles within the cell [3]. This
75 assumption has been generally regarded as safe, based on a model of conservation of
76 function rather than the widespread gain of novel functions or neofunctionalization and
77 based on experimental validation of enzymes assayed heterologously or *in vitro*, where
78 ‘function’ can be relatively readily defined. However, much of our understanding of
79 eukaryotic cell biology is based on evidence from a small sample of true eukaryotic
80 diversity and frequently from a restricted region of the eukaryotic tree. Given this
81 sampling bias, to what extent can ‘function’ be reliably predicted across eukaryotic
82 diversity based on sequence similarity alone?

83 Testing the assumption of functional homology requires experimental evidence
84 from organisms across a full taxonomic range of eukaryotes, and there are now
85 fortunately tractable organisms from each of the major eukaryotic divisions or
86 Supergroups (Figure 1). Here we have chosen a subset of non-metazoan organisms and
87 assessed comparative data available for genes of the membrane trafficking system, a
88 crucial cellular system underpinning pathogenic mechanisms in many parasitic protists,

89 and which has been well studied. We not only assess the validity of the core assumption
90 of functional homology in comparative studies of membrane trafficking genes, but also
91 begin to identify the manner in which the endomembrane system is modified in individual
92 parasitic lineages and which speaks directly to mechanisms of disease and the origins of
93 parasitism.

94

95 *1.1 The membrane-trafficking system: a modern molecular view*

96 Membrane trafficking is the process by which proteins and other macromolecules
97 are distributed throughout organelles of the endomembrane system, and released into,
98 or internalized from, the extracellular environment. Trafficking is vital for metabolism,
99 [signaling](#), and interacting with the external environment. Transport vesicles act to
100 transfer cargo molecules between the organelles of the endomembrane system, which
101 possess discrete morphology, localization, and functions [4].

102 Anterograde trafficking involves movement from the endoplasmic reticulum (ER)
103 through the Golgi complex, the *trans*-Golgi network (TGN), and on to the plasma
104 membrane [5], whilst endocytosis begins at the plasma membrane where cargo is sorted
105 by endosomes before recycling or targeting to acidic terminal organelles. During
106 endocytosis organelles acidify, may acquire intraluminal vesicles (present in multi-
107 vesicular bodies or MVBs), and modify their compositions [6]. In all trafficking pathways
108 retrograde transport steps recycle selected components back to previous organelles for
109 use in future rounds of trafficking.

110 [Specialized protein complexes controlling vesicle budding, tethering, and fusion,](#)
111 [many of which are large paralagous families, regulate transport.](#) Arf/Sar family small
112 GTPases and their regulators, cargo adaptors, and coat protein complexes are involved
113 in vesicle formation/fission. Rab GTPases are involved in vesicle targeting, whilst coiled
114 coil SNARE proteins are central to vesicle fusion [4]. Importantly, members of these

115 multiple families act at discrete locations or trafficking pathways; the specificity of
116 trafficking is in part encoded in the combinatorial interactions of these various players
117 [7]. For example, COPII-coated vesicles mediate anterograde transport from the ER to
118 the Golgi, while the corresponding retrograde transport step requires COPI vesicle
119 formation [8]; clathrin-coated vesicles mediate multiple post-Golgi transport routes [9].

120 Our view of membrane trafficking is dominated by studies in animal and yeast
121 cells. However, membrane trafficking is a central process underpinning growth, cell
122 surface presentation and secretion. Thus it is critical to pathogenic mechanisms of many
123 parasitic protists, for example by mediating host cell invasion [10] and immune system
124 evasion [11]. It is therefore reasonable to ask what complement of membrane trafficking
125 proteins is present across the broad diversity of eukaryotes and what we can infer about
126 both evolution of the membrane trafficking system and the conserved set of eukaryotic
127 membrane trafficking machinery, and how this has been modified in parasitic protists.

128

129 *1.2 Evolution of membrane-trafficking: LECA complement and modern innovations*

130 Comparative studies have allowed reconstruction of the gene complement of the
131 last eukaryotic common ancestor, or LECA. The rationale is simple and powerful: if
132 orthologues of a gene are identified in organisms covering the breadth of eukaryotic
133 diversity, then parsimony dictates that gene was present in the LECA [1].

134 Three general patterns are observed. Some families, such as clathrin, retromer,
135 COPI, and COPII are widely conserved and inferred present in the LECA; though few
136 deviations from the ancestral complement of core machinery exist in extant organisms,
137 some variability is seen in retention of accessory components [12–15]. Other families are
138 more variable, for example the heterotetrameric adaptor protein complexes. The adaptor
139 protein (AP) complexes 1 and 2 are well conserved, but AP-3 through AP-5 and TSET, a
140 recently described member, while found in widely diverse taxa are frequently absent

141 [16,17]. This is interpreted as ancestral presence in LECA and frequent subsequent loss
142 of the latter complexes in extant eukaryotes. The third pattern, lineage-specific
143 expansion, is exemplified by the Rab family, which reveals a patchy distribution in extant
144 eukaryotes, but critically with new clades and paralogous expansion of conserved
145 subfamilies arising in some lineages [18–20].

146 Hence, extant eukaryotes have gained and lost membrane trafficking machinery
147 since diverging from LECA. Paralogous expansion and other lineage-specific features
148 certainly provide machinery theoretically required for novel function and endomembrane
149 specialization, but loss of machinery may also be involved in this process, and a full
150 understanding necessitates comparison across eukaryotic diversity.

151

152 **2 Emerging model organisms**

153 Phylogenetics has resolved [this](#) eukaryotic diversity into five Supergroups,
154 creating the necessary framework for comparative analyses (Figure 1). Despite
155 increased knowledge of the taxonomic affiliation and cell biology of diverse eukaryotes,
156 cell biological models remain biased towards the Supergroup Opisthokonta, namely
157 humans and yeast (Figure 1, purple). Nonetheless, model organisms have been
158 established across eukaryotes, including parasites, and many possess endomembrane
159 features (proteins and organelles) not present in canonical models.

160 The multicellular plant *Arabidopsis thaliana* (Figure 1, green – Supergroup
161 Archaeplastida) encodes a large genome with multiple paralogues for many membrane
162 trafficking genes. *A. thaliana* has an endomembrane system largely similar to model
163 opisthokonts. However, a key difference is the lack of a discrete early endosomal
164 compartment, as internalized material is distributed to the TGN before being recycled or
165 transiting the endosomal system for degradation in the vacuole [21–23].

166 | The ciliate *Tetrahymena thermophila* (Figure 1, red – Supergroup SAR, which
167 | stands for Stramenopiles, Alveolates, and Rhizarians) is a ciliated heterotroph that
168 | engulfs prey in phagosomes that subsequently mature and undergo fission/fusion with
169 | other intracellular compartments before releasing their remaining contents. A prominent
170 | contractile vacuole is present for osmoregulation and dense core secretory granules
171 | underlie the plasma membrane. Canonical endomembrane compartments are present,
172 | though their intracellular location and arrangement differ from yeast and mammalian
173 | cells [24].

174 | Also within the SAR Supergroup are the apicomplexan parasites *Toxoplasma*
175 | *gondii* and *Plasmodium falciparum*, causative agents of toxoplasmosis and malaria,
176 | respectively (Figure 1, red). These organisms possess a polarized endomembrane
177 | system including apical or “invasion” organelles, micronemes and rhoptries, to mediate
178 | host cell invasion and egress [25]. Apical organelles are likely divergent endo-lysosomes
179 | and other endo-lysosomal compartments, including an endosome-like compartment and
180 | vacuole, are also present, though the organization and identity of the endosomal system
181 | remains poorly understood [10,26,27].

182 | *Giardia lamblia*, causative agent of giardiasis, is a member of the Supergroup
183 | Excavata possessing a reduced endomembrane system (Figure 1, brown). *Giardia* cells
184 | are bilaterally symmetric, possessing two diploid nuclei and four pairs of flagella. Aside
185 | from Golgi-like encystation-specific vesicles in encysting cells, the organism maintains
186 | only an ER and peripheral vacuoles, which perform functions associated with endo-
187 | lysosomes in model systems [28].

188 | Another intensely studied group of excavates are the trypanosomatids (Figure 1,
189 | brown). Trypanosomes cause disease in humans, wild and domestic animals, insects,
190 | plants, and fish, as well as having free-living relatives, and hence have provided a
191 | wealth of data on genome evolution, cell biology, and mechanisms of interaction with,

192 and adaptation to, their hosts [29]. *Trypanosoma brucei* is the organism of choice for
193 dissection of trypanosomatid cell biology, owing to the application of RNA interference
194 and other technologies. Trypanosomes possess an endomembrane system similar to
195 that in mammalian model systems, but differ in some aspects, such as restricting all
196 endocytic uptake to a cellular region known as the flagellar pocket [30].

197 *Entamoeba histolytica* is a member of the Supergroup Amoebozoa (Figure 1,
198 blue) with an unusual tubulovesicular endomembrane organization [31]. Consistent with
199 its name, *histolytica*, this organism combines secreted virulence factors with cell killing
200 via a specialized phagocytic process (trogocytosis) to induce host tissue damage and
201 necrosis in the intestinal tract and liver [32]. Additionally, *E. histolytica* is capable of
202 efficient whole-cell phagocytosis, but the exact mechanism is slightly different than in
203 mammalian cells, involving fusion of nascent phagosomes with a pre-existing pre-
204 phagosomal vacuole [33].

205 *Dictyostelium discoideum* (Figure 1, blue – Supergroup Amoebozoa) has a
206 complex life cycle, encompassing unicellular amoebae that aggregate under starvation
207 conditions to form transiently multicellular entities, first a bulbous slug, which then forms
208 an elongated stalk structure known as a fruiting body from which to release spores [34].
209 The endomembrane system of *D. discoideum* is reminiscent of model organisms but
210 also features non-acidic post-lysosomes and a prominent contractile vacuole [35]. Owing
211 to ease of genetic manipulation, *D. discoideum* has contributed understanding to cellular
212 processes including cell-cell adhesion, chemotactic [signaling](#), cytoskeleton-dependent
213 locomotion, cytokinesis, and, as a professional phagocyte, the formation and maturation
214 of phagosomes as well [36].

215

216 **3 Examining the case for functional homology**

217 Prior to assessing functional homology it is worth defining our criteria, which we
218 have divided into three categories of evidence.

219 (i) Localization. Functional homology implies the gene product in question
220 localizes to organelles or structures that are homologous in the respective cells.

221 (ii) Interactions. Functional homology implies that gene products should interact
222 with homologous proteins, or in the case of other molecules, those of the same or similar
223 molecular composition such as binding specific phosphoinositides or ions.

224 (iii) Genetic disruption. Functional homology implies that disruption should result
225 in a similar phenotype between taxa. However, differences in cell physiology can make
226 phenotypes difficult to directly compare and hence require careful interpretation.

227

228 **4 Functional homology in trafficking machinery between divergent organisms**

229 We have focused on proteins where broadly equivalent evidence from multiple
230 organisms permits comparison of function in a relevant manner, including the adaptor
231 proteins, ESCRT and retromer complexes, and finally select Rab GTPases.

232

233 **4.1 Adaptor proteins**

234 The adaptor protein complexes bind cargo proteins for inclusions into vesicular
235 carriers that are then formed by the action of membrane-deforming coat proteins such as
236 clathrin. There are five heterotetrameric adaptor complexes (AP-1 through AP-5)
237 composed of two large (γ , α , δ , ϵ , ζ and β 1-5), one medium (μ 1-5), and one small
238 subunit (σ 1-5). They are related to other such complexes, including the coat-like TSET
239 complex and the COPI coat [17]. We focus on AP-1 and AP-2, as the role of these
240 complexes in mediating specific intracellular trafficking events together with clathrin is
241 well established in model systems [9,37], and they are similarly the best-studied adaptor
242 proteins in other organisms.

243

244 4.1.1 AP-1

245 In opisthokonts, the AP-1 complex is primarily localized to the TGN and early
246 endosomes. It mediates transport between these organelles in both directions, but also
247 mediates some trafficking between these organelles and the PM [38]. AP-1 interacts with
248 clathrin and various monomeric adaptors, as well as trans-membrane receptors
249 important for sorting biosynthetic endo-lysosomal cargo [39].

250 In *A. thaliana* AP-1 is primarily associated with the TGN/early endosome, as
251 evidenced by co-localization with various markers for this organelle and correspondingly
252 poor co-localization with markers of the Golgi or MVBs [40–42]. AP-1 subunits interact
253 with clathrin heavy chain [40], the adaptor EPSIN1 [43], and two vacuolar sorting
254 receptors [40,44]. Genetic disruption of AP-1 subunits results in defects in both vacuolar
255 trafficking and TGN/early endosome to plasma membrane recycling [40–42].

256 Little is known about adaptor protein function in *T. thermophila*, but both AP-1 μ
257 subunit paralogues localize to distinct intracellular locations [45]. Early studies in *T.*
258 *gondii* localized AP-1 μ at the Golgi, endosome-like compartment, and rhoptries [46]. This
259 is consistent with a recent study [in *P. falciparum*](#) showing the dynamic localization of
260 tagged AP-1 μ in puncta adjacent to the Golgi and rhoptries throughout the intracellular
261 life cycle [47]. Expression of a dominant negative mu subunit in *T. gondii* causes mis-
262 localization of the rhoptry protein ROP2 and impairs rhoptry formation, and AP-1 μ both
263 co-localizes, as well as interacts with, the vacuolar receptor TgSORTLR [46,48,49].

264 In *G. lamblia*, AP-1 μ localizes to perinuclear regions and the cell periphery, in the
265 latter case co-localizing with peripheral vacuole proteins, and can interact with clathrin
266 [50]. AP-1 μ also binds the vacuolar receptor Vps, and its knockdown by dsRNA induces
267 degradation of Vps; this is specific to AP-1, as AP-2 μ does not bind Vps [51].

268 Knockdown of AP-1 μ also results in mis-localization of two peripheral vacuole proteins
269 [50].

270 None of the AP complexes have been successfully localized in trypanosomes,
271 and it is unclear why this may be so. AP-1 is involved in lysosomal delivery of p67, the
272 major lysosomal glycoprotein, in *T. brucei* and there is evidence that this is
273 developmentally regulated [52,53]. More recently AP-1 was implicated in sensitivity of *T.*
274 *brucei* to suramin, an important frontline drug, and this appears to synergize with
275 endocytosis of surface components, presumably to “condition” the lysosome in some
276 manner to maintain sensitivity to the drug [52].

277 Though AP-1 γ was identified [in *E. histolytica*](#) by proteomics to be associated with
278 phagosomes, little else is currently known about its function [54]. In *D. discoideum*, AP-
279 1 γ localizes to phagosomes as well as multiple distinct intracellular puncta, some of
280 which co-localize with the Golgi marker comitin [55,56]. Time course isolation of
281 phagosomal membranes shows that AP-1 associates early and is subsequently lost over
282 time [56]. As in model systems, AP-1 interacts with clathrin [55], but also the contractile
283 vacuole protein Rh50 [57]. Consistent with these observations, knock out of AP-1 μ
284 results in secretion of unprocessed lysosomal enzymes, defects in phagocytosis and
285 fluid phase uptake, and mis-localization of contractile vacuole markers [55,56].

286

287 4.1.2 AP-2

288 In animals and fungi, the AP-2 complex has a well-defined role in mediating
289 clathrin-dependent endocytic uptake of specific cargo at the plasma membrane, often
290 through interaction with other cargo adaptors [58].

291 The *A. thaliana* AP-2 complex is dynamically associated with the plasma
292 membrane, as evidenced by a multitude of studies using tagged AP-2 subunits or
293 specific antibodies [59–64]. Consistent with studies in model systems, various

294 approaches indicate co-localization [59–62], and physical interactions [60–63], of AP-2
295 subunits with clathrin. In addition, AP-2 α can interact with the C-terminal region of the
296 monomeric clathrin adaptor AP180 [65]. Genetic disruption of AP-2 subunits, or use of
297 chemical inhibitors of clathrin-mediated endocytosis, results in decreased endocytic
298 uptake of specific plasma membrane cargo [59–62,64]. The severity of the resulting
299 phenotype varies depending on the method of disruption, and this may be due to the role
300 of the TPLATE/TSET complex in endocytosis in this lineage [64,66].

301 *D. discoideum* AP-2 localizes to distinct puncta near the cell surface which co-
302 localize with clathrin; both AP-2 and clathrin also partially localize to the contractile
303 vacuole network [67]. Similarly, the single beta subunit involved in both AP-1 and AP-2
304 complexes in *D. discoideum* localizes to the plasma membrane and also to intracellular
305 structures [68]. Consistent with a role in endocytosis, AP-2 interacts with an Eps15-
306 related protein [67], but also with the SNARE VAMP7 [69], which is known to associate
307 with the contractile vacuole [70,71]. Oddly, knockout of AP-2 subunits does not affect the
308 internalization of the contractile vacuole marker dajumin [67], or the localization of p25 or
309 p80 endosomal markers [72]. Comparatively, knockout of the lone AP-1/2 β subunit
310 results in pleiomorphic defects, including impaired osmotic stress response [68], likely
311 due to its function in both complexes.

312 Little is currently known regarding AP-2 function in other systems. *T. thermophila*
313 AP-2 μ co-localizes with a dynamin-related protein known to be important for endocytosis
314 at the plasma membrane, as well as to contractile vacuole pores [45]. *E. histolytica* AP-
315 2 β was identified on isolated phagosomes by proteomics [54]. In *G. lamblia*, AP-2 μ co-
316 localizes with LysoTracker Red, which labels acidic organelles such as lysosomes, and
317 also clathrin heavy chain, at peripheral vacuoles. Knockdown using dsRNA does not
318 affect fluid phase uptake, but does impair receptor-mediated endocytosis [73]. AP-2 is
319 absent in trypanosomatids that express the variant surface glycoprotein, which may

320 represent an adaptation connected with very rapid endocytosis seen in African
321 trypanosomes and critical for antigenic variation [11,74].

322

323 4.1.3 Functional homology in adaptor proteins

324 AP-1 mediates trafficking events between the Golgi, endosomes, and the PM,
325 while AP-2 mediates endocytic uptake at the PM. Localization of these components in
326 diverse eukaryotes is consistent with these roles: AP-1 and AP-2 in *G. lamblia* localize to
327 peripheral vacuoles, which are thought to serve the function of endo-lysosomes, and
328 potentially also the Golgi, and [in both *T. gondii* and *P. falciparum*](#) AP-1 localizes to the
329 Golgi and endosomes. A role for AP-1 in phagosome function has been reported
330 previously in murine macrophages [75], and this function may also be present in *D.*
331 *discoideum* and *E. histolytica*. AP-1 and AP-2 in *G. lamblia* mediate trafficking to
332 peripheral vacuoles from the ER and plasma membrane, respectively. Furthermore,
333 interaction between *Toxoplasma* AP-1 and a vacuolar receptor, as well as a direct effect
334 of AP-1 disruption on trafficking of rhoptry proteins, suggests AP-1 retains homologous
335 function in Apicomplexa as well. AP-1 and AP-2 localize as expected in *A. thaliana*, and
336 possess conserved roles in vacuolar trafficking and recycling, and endocytosis,
337 respectively.

338

339 4.2 The ESCRT complexes

340 The endosomal sorting complexes required for transport (ESCRT) machinery
341 mediate diverse processes from sorting of ubiquitylated cargo into intraluminal vesicles
342 at MVBs to mediating cytokinesis and autophagy [76,77]. Of the five sub-complexes
343 (ESCRTs 0,I,II,III, and IIIa), 0 is known to be opisthokont-specific while the others are
344 found across eukaryotic diversity [78,79].

345 *A. thaliana* encodes all canonical ESCRT subunits, including multiple paralogues
346 in many cases [80,81]. Specific antibodies against, or fluorescent fusions of, ESCRT-I
347 [23,80] and ESCRT-II [23] components reveal primarily TGN/early endosome
348 localization. C-terminal YFP fusions of ESCRT-III components partially co-localize with
349 an MVB marker [82] and, although these fusions may not act in a physiological manner
350 [82,83], additional work confirms an MVB localization for the ESCRT-IIIa component
351 SKD1/Vps4 [82,84,85]. Hence, ESCRT components appear to be recruited sequentially
352 during endosomal maturation. Functional disruption of ESCRT components results in
353 aberrant vacuolar morphology, failure to degrade transmembrane vacuolar cargo,
354 enlarged MVBs, impaired intraluminal vesicle formation, and impaired autophagy
355 [82,84–88]. Additional plant-specific ESCRT components have been described [83,89–
356 93], the presence of which suggests that lineage-specific functional innovations are also
357 present.

358 A lack of detailed characterization makes it unclear how a reduced ESCRT
359 complement functions in Apicomplexa [78,94]. When expressed in either *T. gondii* or *P.*
360 *falciparum*, the *Plasmodium* Vps4 orthologue is primarily cytosolic. Vps4 mutants
361 predicted to be blocked in ATP binding or hydrolysis instead localize to distinct puncta,
362 which co-localize with markers of the endosome-like compartment. Electron microscopy
363 of these mutants reveal enlarged structures reminiscent of MVBs that are not observed
364 in wild-type parasites [95].

365 *G. lamblia* encodes two paralogues of Vps46, one of which, Vps46A, localizes to
366 the cytoplasm and shows intense signal near the plasma membrane, consistent with a
367 possible role at peripheral vacuoles [96,97]. Furthermore, either paralogue is capable of
368 restoring vacuolar sorting of carboxypeptidase S in a yeast Vps46 knockout [97],
369 suggesting at least partial conservation of function between yeast and *Giardia*.

370 ESCRT components have been localized in trypanosomes, and as expected
371 appear to be present at late endosomal compartments. This is consistent with the
372 importance of ubiquitylation for turnover of surface molecules in *T. brucei* [78,98]. Whilst
373 knockdowns suggest a role in trafficking of surface proteins in *T. brucei*, the impact is not
374 strong, albeit this poor penetrance has also been observed in other eukaryotes.
375 Although the absence of an endocytic blockade has been interpreted in trypanosomes
376 as evidence for a divergent pathway for surface protein turnover [99], the paucity of data
377 and clear soft phenotype obtained by knockdown at present make any firm conclusions
378 unsafe.

379 In *E. histolytica* Vps4 localizes to small cytoplasmic puncta under normal
380 conditions, but also surrounds ingested red blood cells following phagocytosis. An
381 ATPase assay confirmed Vps4 ATPase activity, and overexpression of an enzymatically
382 dead mutant impairs phagocytosis and the organism's ability to cause hepatic
383 abscesses in hamsters [100]. Three *E. histolytica* proteins contain a Bro1 domain, and
384 thus may be homologues of Bro1/Vps31: ADH112, ADH112-like 1 and ADH112-like 2.
385 Overexpressed ADH112 localizes to the plasma membrane and cytoplasmic vesicles
386 and accumulates on MVBs, and can interact with the ESCRT subunit Vps32. Expression
387 of exogenous Bro1 has a dominant negative effect on red blood cell phagocytosis [101],
388 suggesting a possible role for ESCRT machinery in this process.

389 Tom1 has been proposed as an analogue of ESCRT 0 outside of opisthokonts,
390 and in *D. discoideum* localizes to intracellular puncta distinct from p25 or p80 positive
391 endosomes, and co-localizes with ubiquitin. It does interact with another ESCRT
392 component Vps23/Tsg101, but also with ubiquitin, an Eps15-related protein, and clathrin
393 [102]. Whereas Bro1/ALIX knockout cells cannot form spores or fruiting bodies [103],
394 suggesting a possible function in differentiation or cytokinesis, Tom1 knockout cells do

395 not show these defects, and display only mildly impaired fluid-phase uptake [102]. As
396 such, the exact function of the ESCRT complexes in *D. discoideum* is currently unclear.

397

398 4.2.1 Functional homology in ESCRT complexes

399 Localization of Vps46 at peripheral vacuoles in *Giardia* is consistent with their
400 putative homologous relationship to endo-lysosomes, and endo-lysosomal localization of
401 ESCRT components in trypanosomes and *A. thaliana* has also been shown. The
402 function of both *Giardia* Vps46 paralogues is sufficiently conserved to complement a
403 yeast knockout, and ESCRT machinery in trypanosomes also appears to be functionally
404 conserved. Functional conservation in *A. thaliana* has been convincingly demonstrated,
405 as mutants fail to properly sort cargo and accumulate intralumenal vesicles that remain
406 contiguous with the MVB bounding membrane. Localization of *Entamoeba* subunits
407 Vps4 and ADH112 to both early and late phagosomal structures suggests some
408 difference between *E. histolytica* and model systems, likely due to the unusual
409 endomembrane organization in *E. histolytica*. Although alteration of *Entamoeba* Vps4
410 activity, or expression of exogenous Bro1, leads to defects in phagocytosis and
411 pathogenicity, the exact function of the *E. histolytica* ESCRT machinery remains unclear.

412 [Further investigation into non-endocytic functions of ESCRT across eukaryotes may](#)
413 [provide further insight into the patterns of subunit retention, for example in the](#)
414 [Apicomplexa where conservation of ESCRT-III components may be due to a need for](#)
415 [accurate cytokinesis and not be related to MVB formation.](#)

416

417 4.3 Retromer

418 The retromer complex consists of a trimeric cargo-selective complex, comprising
419 Vps26, Vps29, and Vps35, which interacts with sorting nexin (SNX) family proteins and
420 other factors including Rab7 to mediate endosome-to-TGN and endosome-to-plasma

421 membrane trafficking pathways [104,105]. One of the best-known functions of retromer,
422 and that for which it was discovered, is recycling of the Vps10 cargo receptor [106].

423 *A. thaliana* encodes three copies of Vps35, two of Vps26, and a single copy of
424 Vps29, together with SNX1, SNX2A, and SNX2B sorting nexins. The exact localization
425 of retromer components has been disputed. VPS35, VPS29, and SNX2 co-localize with
426 MVB/vacuole markers [107–113], while one study reported a primarily TGN localization
427 for both VPS35 and SNX2A [114]. No VPS35 protein was detectable in Vps26 double
428 mutants [110,115] while vps29 mutants have reduced levels of VPS35 [116], suggesting
429 VPS35 stability is dependent on its presence in a complex. All three VPS35 genes can
430 be disrupted, but triple null mutants are not viable; mutants in vps35a show different
431 phenotypes from those in vps35b, suggesting sub-complexes exist with distinct functions
432 [109,117,118]. Disruption of retromer function results in fragmented vacuoles,
433 accumulation of vacuolar cargo precursors, and secretion of vacuolar cargo into the
434 extracellular space, which in *Arabidopsis* constitutes a default pathway [109–
435 113,115,116,119]. Despite similarity in retromer trafficking compared to model systems,
436 *A. thaliana* appears to possess a number of differences related to mechanisms of
437 retromer subunit recruitment [110,113,115].

438 In *T. thermophila* only the Vps10 receptor has been investigated. Four
439 Vps10/sortilin-like proteins, Sor1 through Sor4, are present. Sor4 stains cytoplasmic
440 puncta distinct from secretory granules, but interacts with the secretory granule protein
441 Grt1. Knockout of Sor4 causes mis-localization of two resident secretory granule
442 proteins, as well as the aspartyl cathepsin protease CTH3, which is capable of
443 processing secretory granule protein pro-domains [120,121].

444 The trimeric retromer complex in *T. gondii* co-localizes and interacts with the
445 Vps10-like receptor TgSORTLR [48,49,122], and is involved in recycling between the
446 endosome-like compartment and both the TGN and plasma membrane. In *P. falciparum*

447 Vps29 and Vps35 localize to punctae throughout the intracellular lifecycle that are
448 distinct from markers for the ER, Golgi, plastid, mitochondria, rhoptries, and micronemes
449 [123]. Conversely, PfSORTLR co-localizes with the Golgi marker ERD2, indicating that
450 the receptor is primarily present at the Golgi. Attempts to knockout retromer subunits in
451 *P. falciparum* failed, suggesting the gene product is essential in intracellular parasites
452 [123].

453 In *G. lamblia* Vps35 localizes to the cell periphery, consistent with peripheral
454 vacuole localization, while Vps26 and Vps29 co-localize with the ER marker BiP; some
455 partial co-localization between subunits is observed in a subset of peripheral vacuoles,
456 and the observed localization patterns are further supported by sub-cellular fractionation.
457 Vps35 co-localizes and interacts with the Vps10-like receptor Vps, and additionally
458 interacts strongly with both Vps26 and Vps29 [124,125].

459 *T. brucei* encodes single orthologues of Vps26, Vps29, and Vps35, as well as a
460 single SNX protein. Vps5 and Vps26 localize to the region between the nucleus and
461 kinetoplast, consistent with endosomal localization. Additionally, Vps26 co-localizes with
462 early endosomal markers including clathrin, Rab5A, Rab11, and EpsinR, and closely
463 apposes signals for the MVB and lysosome. RNAi-mediated knockdown of these
464 components exhibits mild defects in trafficking of p67 (lysosome) and ISG75 (plasma
465 membrane), as well as Golgi fragmentation, suggesting a similar function of
466 trypanosome retromer to that in mammalian and yeast systems [12].

467 | [In *E. histolytica*](#) proteomic studies have identified Vps34, a PI-3-kinase known to
468 regulate retromer function through generation of the phosphoinositide PI3P, on
469 phagosomes [54]. Additionally, Vps26, Vps29, and Vps35 form a complex *in vivo* [126],
470 and, together with Rab7A, retromer is likely involved in the maintenance of the pre-
471 phagosomal vacuole [33,126]. These data point to a primary role for *Entamoeba*

472 | retromer in phagocytosis. Despite [that *D. discoideum* possesses](#) all retromer subunits
473 | [\[12\], no functional data exist yet for retromer in this organism.](#)

474

475 | 4.3.1 Functional homology in retromer

476 | The localization of retromer across systems corresponds to its function in model
477 | organisms. Localization to pre-phagosomal vacuoles and phagosomes is consistent with
478 | their endo-lysosomal nature. However, differences in the localization of *G. lamblia* Vps35
479 | and Vps26/Vps29 is at odds with their strong interaction and suggests a dynamic
480 | localization. Despite some studies indicating a primarily TGN localization of *A. thaliana*
481 | components, the bulk of evidence places retromer primarily at late endosomal
482 | compartments. The majority of evidence for retromer function in other non-model
483 | organisms is indirect, through characterization of the well-known retromer cargo
484 | Vps10/sortilin. Vps10 homologues mediate trafficking to secretory organelles in *T.*
485 | *thermophila* and Apicomplexa, and the *G. lamblia* homologue directly interacts with
486 | Vps35. Additionally, there is evidence for Vps10 homologues interacting with AP-1 in
487 | both *G. lamblia* and in *T. gondii*. This likely reflects AP-1 and retromer mediating distinct
488 | Vps10-dependent trafficking events, potentially anterograde and retrograde Golgi-
489 | endosome transport, respectively. In *A. thaliana*, where retromer has been better
490 | characterized, it appears to be important for vacuolar trafficking, as mutants secrete
491 | vacuolar cargo into the extracellular space via a default constitutive pathway.

492

493 | **4.4 Rab GTPases**

494 | While the above machinery is involved in vesicle formation, vesicle fusion
495 | machinery can similarly be assessed, perhaps most tractably the Rab GTPases. Like
496 | other GTPases Rabs cycle between GTP- and GDP-bound states. The state of the
497 | bound nucleotide has a direct effect on the conformation of the GTPase and regulates

498 the ability of the GTPase to bind specific effector proteins [127]. Additional factors, e.g.
499 guanine nucleotide exchange factors (GEFs) and GTPase-activating proteins (GAPs),
500 regulate the switch between bound nucleotide state, and can precisely regulate the
501 intracellular location and concentration of GTP- and GDP-bound forms of specific
502 GTPase proteins. Hence, Rabs are often referred to as “master regulators” or switches
503 of processes, including membrane trafficking [128]. Three Rabs are well studied in many
504 systems and have well-defined functions: Rab5, Rab7, and Rab11.

505

506 4.4.1 Rab5

507 In opisthokonts, Rab5 is present on early endosomal compartments and
508 mediates the recruitment of effectors involved in the Rab5 to Rab7 switch important in
509 endosome maturation [129,130]. Despite putative orthologues being present in their
510 genomes, we could not find relevant characterization of Rab5 in either *T. thermophila* or
511 *D. discoideum*, and a Rab5 orthologue has yet to be identified in *G. lamblia* [19].

512 *A. thaliana* encodes three Rab5 family proteins: RHA1/RABF2a, ARA7/RABF2b,
513 and ARA6/RABF1. All three paralogues label endosomes, with RHA1 and ARA7 co-
514 localizing, while ARA6 shows variable overlap with either RABF2 protein [131–137].
515 These likely represent endosomal populations, with RABF2 variants acting at MVBs and
516 RABF1 at a variant of recycling endosomes. Constitutively active ARA6 localizes to the
517 plasma membrane [131,133], and ARA6 co-localizes with endocytosed plasma
518 membrane proteins [133], and yet, unlike RHA1 and ARA7, is not associated with
519 vacuolar targeting of soluble cargo [133,138].

520 *T. gondii* and *P. falciparum* both encode three Rab5 paralogues, Rab5A, Rab5B,
521 and Rab5C. Tagged versions of each paralogue in *T. gondii* revealed localization
522 consistent with the endosome-like compartment [139–142], and overexpression of all
523 three paralogues ablate parasite growth. However, only functional disruption of Rab5A

524 or Rab5C result in mis-localization of a subset of microneme and rhoptry proteins [139].

525 Though Rab5B function is unknown, it is present in a retromer interactome [122].

526 In contrast, *P. falciparum* Rab5A is localized to haemoglobin-containing
527 structures [143,144]. Expression of a constitutively active Rab5A increases haemoglobin
528 uptake and food vacuole size, consistent with a role in endocytic uptake [143]. Rab5B,
529 localizes to the plasma membrane and food vacuole of intracellular parasites [144].
530 Though Rab5B localization is consistent with an endocytic role, its function is currently
531 unclear; it is essential in *Plasmodium*, despite the presence of both Rab5A and Rab5C
532 paralogs, suggesting these paralogues do not possess redundant function [144].

533 All trypanosomatids encode two Rab5 paralogues, which represent a lineage-
534 specific duplication. Both are essential, and critical for endocytosis of surface
535 components in *T. brucei* [145,146]. Significantly, these two paralogues apparently
536 mediate the trafficking of distinct cargo proteins [147], but the basis for the targeting of a
537 molecule to a Rab5A or Rab5B-specific route, or the functional need for such a division,
538 has remained elusive.

539 In *E. histolytica* Rab5 was identified on phagosomal membranes, albeit only at
540 different time points and dependent on the material taken up [148,149], suggesting a
541 similar association of Rab5 with phagosomes as seen in model systems, but also a
542 potential for complex and dynamic regulation. Additionally, Rab5 associates with Rab7 in
543 pre-phagosomal vacuoles in resting cells. Different from a model view of Rab5
544 localization though, assays using the fluid-phase marker FITC-dextran suggest that
545 Rab5 does not localize to early endosomal structures in *E. histolytica* [33], in contrast to
546 what has been observed in mammalian cells [150].

547

548 **4.4.2 Rab7**

549 | In opisthokonts, Rab7 is present on mature endosomes, MVBs, and lysosomes,
550 as well as on phagosomes. It is involved in recruitment of the HOPS tethering complex
551 to ensure regulated fusion with the degradative compartment [151,152], as well as the
552 retromer complex to ensure recycling of components prior to terminal degradation [105].

553 *A. thaliana* encodes eight putative Rab7 family proteins belonging to the RABG3
554 group, suggesting the potential for redundancy and/or novel functions. RABG3f primarily
555 co-localizes with MVB and vacuole markers, and expression of a dominant negative
556 version causes fragmentation of the vacuole and inhibits vacuolar trafficking [153].
557 RABG3b is involved in autophagic processes such as cell death and differentiation
558 during growth and pathogen response [154,155]. Some functional redundancy likely
559 exists, as various quintuple and sextuple mutants show phenotypic defects but remain
560 viable [136].

561 Rab7 has not been extensively characterized in *T. thermophila*, but is present in
562 a phagosome proteome [156], and tagged Rab7 is present both as bright puncta on
563 phagosomes, as well as structures containing LysoTracker Red [157].

564 In *T. gondii* Rab7 localizes in the late secretory system of the parasite, and
565 partially co-localizes with various markers of the endosome-like compartment and
566 vacuole, but is distinct from both Rab5A and the Golgi protein GRASP [139,141,158].
567 Parasites overexpressing Rab7, or expressing constitutively active or dominant negative
568 versions of Rab7, exhibit growth defects but no obvious trafficking defects [139]; this is
569 at odds with an interaction between active Rab7 and the retromer component Vps26
570 [122]. Hence, the function of Rab7 in *T. gondii* is unclear.

571 *P. falciparum* Rab7 localizes primarily to distinct puncta throughout the
572 intracellular life cycle that partially co-localize with the retromer component Vps35 but is
573 distinct from Golgi-associated Rab6. Expression of constitutively active or dominant
574 negative versions, similar to *T. gondii*, showed no appreciable trafficking defect [123].

575 | As with Rab5, we could not find [report](#) of a Rab7 orthologue in *G. lamblia*.
576 | Trypanosomes retain a single Rab7 paralogue, which closely juxtaposes to the
577 | lysosome. Knockdown of TbRab7 impairs uptake of a subset of endocytic cargo, but
578 | does not appear to affect the delivery of biosynthetic lysosomal cargo [159].

579 | *E. histolytica* has multiple Rab7 paralogues. Rab7A through Rab7E are present
580 | by proteomic analysis on phagosomal membranes at multiple time points [148], and, as
581 | previously mentioned, Rab7 associates with Rab5 at pre-phagosomal vacuoles and
582 | interacts with the retromer complex [33,126]. Overexpression of Rab7 results in enlarged
583 | intracellular vesicles, and an overall increase in cell acidity, but no apparent defect in
584 | phagocytosis or endocytosis [126]. Though four Rab7 paralogues are present in a cell
585 | surface proteome their localization and function has yet to be fully elucidated [160].

586 | In *D. discoideum* Rab7 has been localized to phagosomes by proteomics of
587 | isolated organelles [70,161,162]. By microscopy, Rab7 localizes to phagosomes,
588 | macropinosomes, lysosomes, and post-lysosomes [163–165]. Expression of a dominant
589 | negative Rab7 inhibits macropinocytosis and phagocytosis [163,165], and prevents
590 | delivery of endo-lysosomal components, yet enhances the delivery of unprocessed
591 | proteases and sugar-linked proteins, to maturing phagosomes [164].

592 |

593 | 4.4.3 Rab11

594 | [In opisthokonts](#) Rab11 is primarily involved in recycling of cell surface proteins,
595 | but also plays a role in other cellular processes including innate immune responses,
596 | delivery of components to the cleavage furrow during cytokinesis, and ciliogenesis, [at](#)
597 | [least](#) in mammalian cells [166,167].

598 | The Rab11 subfamily is highly expanded in *A. thaliana*, with 26 putative
599 | members divided into six sub-groups, RABA1 through RABA6. RABA1 members display
600 | dynamic localization between the TGN, endosomes, and plasma membrane [168–170],

601 suggestive of a possible recycling function; consistent with this, RABA1b mutants show
602 hypersensitive intracellular aggregation of plasma membrane proteins in response to
603 Brefeldin A [168], and the RABA1 quadruple mutant is sensitive to salinity stress
604 [170,171]. All RABA2 and RABA3 members appear to localize to the same
605 compartment, which is distinct from the Golgi and late endosomes, but does overlap with
606 markers of the TGN and other Rab11 members [168,172]. During cell division, various
607 RABA members re-locate to the cell plate, where they co-localize with KNOLLE, a
608 SNARE involved in cytokinesis [172]. Consistent with this, cell wall analysis revealed a
609 decrease in specific constituents in rabA2b, rabA2d, and three rabA4 mutants [173].
610 Additionally, RABA4 members localize to the tip area of growing cells [174–177], where
611 they interact with PI-4-kinases and phosphatases [174–178] to mediate polarized
612 growth; RABA4c also plays a role in recycling of plasma membrane receptors [169].

613 *T. thermophila* encodes multiple Rab11 paralogues, one of which, Rab11A,
614 labels posterior to anteriorly directed vesicles, which may represent recycling
615 endosomes, and also partially labels the contractile vacuole [157].

616 A proteomic study of isolated rhoptries in *T. gondii* revealed the presence of
617 Rab11A in this compartment [179]. Confirming this, Rab11A partially co-localizes with
618 the rhoptry protein ROP5, but also with endosome-like compartment markers.
619 Expression of a dominant negative Rab11A does not affect invasion organelles,
620 endosymbiotic organelles, or the Golgi, but prevents delivery of late stage components
621 of a plasma membrane-associated complex termed the IMC, and results in defective cell
622 division [180]. Rab11B, the other Rab11 paralogue, co-localizes with a Golgi marker in
623 resting parasites, but relocates to the IMC in developing daughter cells. Expression of a
624 dominant negative Rab11B shows a similar defect in cell division as Rab11A, [albeit due](#)
625 [to distinct trafficking pathways with different timing](#) [181], and Rab11B is also present in
626 a retromer interactome [122].

627 Similar to *T. gondii*, Rab11A was found to localize in discrete puncta throughout
628 the intracellular lifecycle of *P. falciparum*, some of which co-localize with the resident
629 rhoptry protein Rhop2 and the IMC protein GAP45 [180].

630 The single Rab11 in *G. lamblia* is present in puncta or stacks in cells preparing to
631 encyst, and at the cell periphery in mature cysts, where it co-localizes with the cyst wall
632 protein CWP1. Ribozyme-mediated knockdown results in a decrease in CWP1 present
633 in encystation-specific vesicles, instead being present in numerous cytoplasmic puncta,
634 suggesting a trafficking defect [182].

635 Rab11 is a major regulator of recycling pathways in African trypanosomes.
636 Turnover of surface proteins in *T. brucei* is strongly influenced by Rab11, while extensive
637 disruption of endocytic pathways follows Rab11 knockdown. Furthermore the underlying
638 interactome for Rab11 is divergent between trypanosomes and mammalian cells; FIP
639 proteins that mediate Rab11 function in mammalian cells are absent, and at least one
640 trypanosome-specific interacting protein has been identified [183]. In *T. cruzi* Rab11
641 mediates an unusual pathway that traffics the critical *trans*-sialidase surface protein
642 family to the surface, but which is via the contractile vacuole [184]. This suggests that
643 the diversification of function within trypanosomes is often cryptic, and as discussed
644 above, can depend on the precise cellular configuration.

645 In *E. histolytica* Rab11 is enriched in endosomal fractions [185], but microscopy
646 revealed localization in small cytoplasmic vesicles, and a lack of co-localization with
647 phagocytosed *E. coli*, endocytosed transferrin, or markers of the ER or Golgi [186].
648 Similarly, Rab11B is associated with non-acidified compartments that are distinct from
649 the ER, early endosomes, and lysosomes. Rab11B overexpression enhances exocytosis
650 of fluid phase markers, intracellular and secreted cysteine protease activity, and
651 improves killing efficiency, suggesting a potential role in recycling and release of
652 pathogenesis factors [187].

653 Multiple Rab11 paralogues exist in *D. discoideum*. Rab11A localizes to the
654 contractile vacuole network, and also co-localizes, as well as interacts with, the
655 contractile vacuole-associated ion channel P2XA [188]. A previous study identified
656 Rab11 in contractile vacuole-associated fractions by blotting, and co-localized Rab11
657 with other markers of the contractile vacuole network [189]. Overexpression, or
658 expression of a dominant negative version, of Rab11 results in aberrant contractile
659 vacuole morphology and impaired osmotic stress response [188,189]. Correlative data
660 suggests that Rab11A and Rab11C may be involved in delivery of a V-ATPase to
661 phagosomes [190], which is consistent with their identification in a proteomic analysis of
662 purified phagosomes [162].

663

664 4.4.4 Functional homology in Rab GTPases

665 Rab5 and Rab7 have well defined localisations and functions in model systems,
666 and the Rab5 to Rab7 switch is a paradigm for dynamic protein association during
667 organelle maturation. The localization and function of Rab5 in trypanosomes is
668 consistent with a canonical role, while the role of Rab5A and Rab5C in trafficking to *T.*
669 *gondii* apical organelles is conserved when these organelles are viewed as derived
670 endo-lysosomes. Similarly, Rab7 performs the expected function in trypanosomes, and
671 its localization in Apicomplexa to compartments homologous to late
672 endosomes/lysosomes, is also consistent with model systems. Paralogous expansion of
673 both Rab5 and Rab7 in *A. thaliana* complicates assessment of functional homology,
674 including the role of ARA6 in recycling traffic, though overall localization and function
675 imply conservation. Studies in *D. discoideum* and *E. histolytica* suggest that Rab5 and
676 Rab7 maintain a conserved role in the function and maturation of compartments derived
677 from internalization of extracellular material

678 Rab11 primarily mediates trafficking through recycling endosomes. *Entamoeba*
679 Rab11 is present at compartments distinct from early and late endosomes, potentially in
680 a recycling endosome, which is consistent with the increased exocytosis noted in cells
681 overexpressing Rab11B. Similarly, *T. brucei* Rab11 is important for recycling traffic. The
682 primary role of Rab11 in *G. lamblia*, *T. gondii*, and *P. falciparum* can generally be
683 described as delivery of cargo to structures adjacent to the plasma membrane. The
684 unique mechanisms by which apicomplexan parasites undergo cell division
685 (endodyogeny in *T. gondii* and schizogeny in *P. falciparum*) are important when
686 assessing functional homology. In these organisms progeny emerge from within the
687 mother cell, mediated in part through the specific and timely IMC formation [191–193],
688 which is mediated by both Rab11 paralogues. This is reminiscent of the regulatory role
689 for Rab11 in animal cell cytokinesis, together with exocyst [194]. The extensive
690 diversification of the Rab11 family in *A. thaliana* is unprecedented in other eukaryotes,
691 but some members possess functions such as recycling and trafficking of plasma
692 membrane and cell wall constituents during cell division and polarized cell growth.

693 Rab11 may be involved in contractile vacuole function in both *D. discoideum* and
694 *T. thermophila*. The contractile vacuole is an enigmatic organelle present in a subset of
695 organisms across eukaryotic diversity though it is not yet established whether these are
696 homologous or analogous. A role for Rab11 in the function of this compartment is
697 consistent with exocyst involvement in the contractile vacuole of *D. discoideum*, as well
698 as the unicellular archaeplastid *Chlamydomonas reinhardtii* [195–197]. Additionally,
699 Rab11 has been identified in proteomic studies of the contractile vacuole in *T. cruzi*
700 [198], and recycling traffic appears to transit this organelle [184]. Finally, though current
701 evidence is limited, Rab11 also appears to play a role in trafficking to phagosomes in *D.*
702 *discoideum*. This is consistent with recent studies suggesting such a role for Rab11 and
703 exocyst in phagosome maturation in endothelial cells [199].

704

705 **5 Discussion**

706 *5.1 Overview*

707 With the increasing ease and prevalence of comparative genomics, the validity of
708 assuming functional homology is both critical to assess and fruitful to explore. First and
709 foremost, the simple conclusion from our comparative survey is that yes, orthology does
710 appear to translate into functional homology. However, this is complicated by many
711 factors, and needs to be taken as a first foray into this kind of assessment, and not a
712 question laid to rest.

713 Firstly, despite considerable efforts to expand experimental investigation into
714 non-model eukaryotes, there are still large gaps in our knowledge base, as evidenced by
715 the fact that we were only able to find comparable molecular cell biological data for a
716 small set of membrane-trafficking genes, essentially all within the endocytic system.
717 Future studies expanding into the secretory system and encompassing machinery
718 identified in diverse eukaryotes but that is absent or diverged in opisthokont taxa, for
719 example the TSET complex and novel ArfGAP subfamily ArfGAPC2 [17,200], will aid in
720 correcting the asymmetrical bias on opisthokonts in our models of membrane-trafficking.

721 Nonetheless, this basic position of functional homology enables hypotheses to be
722 generated and tested to better understand the effect of paralogous expansion and
723 accretion of novel factors. Additionally, our comparative analysis indicates that
724 considering differences in endomembrane organization and trafficking pathways (e.g.
725 the presence of unique organelles or expanded trafficking pathways), is essential to
726 assessment of both functional homology and novelty among lineages.

727

728 *5.2 Functional homology of trafficking machinery in diverse eukaryotes*

729 Our pan-eukaryotic comparisons highlight the plasticity of the endomembrane
730 system, not only in parasites, which possess modifications concurrent with their unique
731 pathogenic mechanisms, but also in free-living taxa, and this plasticity must be
732 considered in order to properly assess functional homology.

733 Perhaps the best example is *G. lamblia*, where the peripheral vacuoles
734 correspond to, and encompass the function of, diverse endo-lysosomes present in
735 model systems. Hence, localization of a plethora of machinery, including AP-1, AP-2,
736 ESCRT, and retromer to these structures is consistent with conserved function, though
737 coincident localization of all these factors in other cells would be unusual.

738 Understanding trafficking in higher plants requires consideration of the unique
739 organization of their endocytic system, namely that of a combined TGN/early endosome.
740 Some phenotypes, such as aggregation of plasma membrane receptors in response to
741 Brefeldin A, make sense only in the context of this feature. Additionally, the endosomal
742 system in these organisms is likely more complex than has been fully appreciated in
743 previous studies: MVBs appear to bud directly from the TGN/early endosome [23],
744 incomplete co-localization of endosomal markers suggests existence of sub-populations,
745 and a recent study has suggested at least three distinct pathways exist for the
746 movement of cargo from the TGN/early endosome to the vacuole [136].

747 The organization of the apicomplexan endomembrane system shows significant
748 lineage-specific divergence. The role of a Vps10-like receptor, Rab5A and Rab5C, AP-1,
749 and retromer in mediating apical organelle biogenesis appears at odds with canonical
750 functions for these proteins. However, apical organelles are homologous to endo-
751 lysosomes, and some evidence points to a plant-like organization for the
752 TGN/endosome-like compartment. Hence, these factors can be understood to mediate
753 both anterograde and retrograde transport through an intermediate compartment within
754 the endosomal system, and their function is thus conserved.

755 In *E. histolytica*, as in humans, Rab5 and Rab7 are involved in phagocytosis, yet
756 Rab5 does not appear to be involved in endocytosis. Subunits of the AP-1 and AP-2
757 complexes, as well as retromer, are found at phagosomes, and, while this may seem
758 superficially like a case of neofunctionalization, is consistent with a role for AP-1 in
759 phagocytosis in murine macrophages [75], and evidence for roles for both AP-2 and
760 retromer in phagocytic clearance of apoptotically killed cells in *Caenorhabditis elegans*
761 [201,202]. Therefore, many seemingly non-canonical functions of trafficking factors in *E.*
762 *histolytica* may represent specialization common to professional phagocytic cells.

763

764 5.3 Evolutionary precedent of conserved and novel features

765 The cell biological complement in the LECA served as initial building blocks for
766 environmental adaptation during eukaryotic radiation, including in parasites. It is likely
767 that drastic alterations from an established state would be selected against, unless the
768 environment was radically different than that encountered by previous generations. This
769 both explains the gross underlying pattern of functional homology and provides a
770 precedent for trafficking system modification.

771 In many cases, such as *Giardia*, apicomplexans and to a lesser extent
772 kinetoplastids, parasites have reduced their membrane-trafficking gene complements
773 [29,94,203], often interpreted as jettisoning unnecessary or redundant pathways. Further
774 experimental characterization will be needed to determine the extent to which this
775 interpretation bears out. By contrast, other taxa, such as *Entamoeba*, *Dictyostelium* and
776 *Tetrahymena*, have expanded their complements. In cases where multiple paralogues
777 exist, some may possess a similar basic function, but may do so only in specific life
778 cycle stages, or only in a restricted region of the cell, allowing for polarized trafficking
779 and specialization.

780 We argue that this latter mode of innovation in the trafficking system is best
781 viewed as an extension of the Organelle Paralogy Hypothesis [204]. Just as the process
782 of gene duplication and co-evolution of identity encoding machinery is proposed to have
783 given rise to the basic set of membrane-trafficking organelles prior to the LECA [205],
784 the same process should continue to act in extant eukaryotes. Hence new organelles
785 may arise from an ancestral compartment through concurrent duplication and co-
786 evolution of the underlying identity-encoding trafficking machinery, such that the
787 machinery acquires specific features for this role. This may include specific trafficking
788 signals, the ability to bind to specific proteins or phosphoinositides, and additionally they
789 may be further regulated by specific factors such as GEF and GAP proteins.

790 By extending this to descendants of a lineage in which the organelle arose,
791 particularly when the homologous organelle is present and its function required, some
792 paralogues that arose concurrently with it would be maintained and constrained to
793 performing required functions for organelle biogenesis and/or maintenance, and hence
794 will be functionally homologous. However, in descendants no longer possessing the
795 organelle or its required function, or in cases of further expansion, regardless of the
796 presence or absence of a homologous organelle, paralogues are unconstrained and
797 may acquire new function. Hence, despite a conserved set of organelles and machinery
798 inferred in the LECA, extant eukaryotes display an array of unique features. This not
799 only applies to the endomembrane system, as we have described here, but also likely
800 extends across cellular systems.

801 Although we can be relatively optimistic in assuming functional homology within
802 the membrane trafficking system, equivalent assessments may or may not show the
803 same thing in other cellular systems; the question is certainly worth asking.

804

805 *5.4 Conclusions and future perspectives*

806 In conclusion, despite considerable divergence in cellular systems among
807 diverse eukaryotes since the LECA, efforts to map function on the basis of comparative
808 genomic data appear to be well founded. Our literature review revealed that functional
809 homology is present in membrane trafficking system machinery in several taxa spanning
810 eukaryotic diversity and encompassing both free-living and parasitic organisms. This
811 allows for some further degree of confidence in continued molecular evolutionary and
812 comparative genomic analysis as well as providing a lens through which to view the
813 unique cell biological adaptation present in each organism in order to fully appreciate
814 how these systems may differ. In particular, expanding this analysis across systems
815 between parasites and their hosts can be expected to provide valuable insight into the
816 complex interactions between them.

817
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828

829 **Figure and Table Legends**

830 **Figure 1 – Model Organisms Across Eukaryotes.** This figure demonstrates the
831 distribution of model organisms across eukaryotic diversity. Colour-coded branches and
832 corresponding labels denote eukaryotic Supergroups, with the branching order roughly
833 corresponding to the organization of known diversity within each group. Model
834 organisms are represented by greyscale illustrations and corresponding labels in italics.
835 The position of the Last Eukaryotic Common Ancestor (LECA) is indicated. Though
836 additional model organisms exist for each of these groups, they are excluded from this
837 figure for simplicity.
838

839 **Figure 2 – Function of select membrane-trafficking machinery in a model**
840 **endomembrane system.** This figure depicts roles for membrane-trafficking system
841 machinery under discussion in a generalized eukaryotic cell, based on studies primarily
842 in yeast and mammalian systems. Components are colour-coded, with adaptor proteins
843 (AP, teal), ESCRT (brown), retromer (magenta), and Rab GTPases (orange). Organelles
844 are depicted based on common morphology and labeled in plain text. Arrows, including
845 the directionality of each step, indicate trafficking between organelles. The presence of a
846 dotted line in the interior of phagosomes represents the presence of either a single
847 bounding membrane (phagosomes), or two bounding membranes (autophagosomes).
848 The red oval represents a particle to be phagocytosed. Additional machinery is required
849 for each trafficking event shown, but for simplicity is not included in this diagram. [Note](#)
850 [that not all organisms perform the illustrated trafficking events \(eg. phagocytosis has not](#)
851 [yet been reported in apicomplexans or kinetoplastids\), and other events occur that are](#)
852 [not depicted in this diagram.](#)

853
854 **Table 1 – Functional homology across model systems.** This table provides a brief
855 summary of the evidence for functional homology for select membrane trafficking
856 components across discussed model organisms. Trafficking machinery is listed by row
857 and organisms by column. For each component listed, the major localization and
858 presumed function are listed, with appropriate references for each; for more extensive
859 description of the underlying evidence please see the relevant main text section(s).
860 Abbreviations: Com, component; Evi, evidence; Des, description; Ref, references; Loc,
861 localization; Fxn, function; PM, plasma membrane; TGN, trans-Golgi network; MVB,
862 multi-vesicular body; LE, late endosome; CV, contractile vacuole; DCG, dense core
863 granule; ELC, endosome-like compartment; Mic, microneme; Rhop, rhoptry; VAC,
864 vacuolar compartment; DV, digestive vacuole; IMC, inner membrane complex; PPV, pre-
865 phagosomal vacuole. Blank cells are present where components are either unknown or
866 no evidence exists.

867

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1452

Table 1

		<i>A. thaliana</i>		<i>T. thermophila</i>		<i>T. gondii</i> & <i>P. falciparum</i>		<i>G. lamblia</i>		<i>T. brucei</i>		<i>E. histolytica</i>		<i>D. discoideum</i>	
Com	Evi	Des	Ref	Des	Ref	Des	Ref	Des	Ref	Des	Ref	Des	Ref	Des	Ref
AP-1	Loc	TGN, endosomes	[40-42]	Puncta	[45]	Golgi, ELC	[46,47]	PV	[50]			Phagosomes	[54]	Phagosomes, Golgi	[55, 56]
	Fxn	Vacuolar delivery, PM recycling	[40-42]			Mic/Rhop biogenesis	[46]	PV trafficking	[50, 51]	Lysosomal delivery	[52,53]			Phagocytosis, CV, lysosomal delivery	[55-57]
AP-2	Loc	PM, puncta	[59-64]	CV, basal bodies	[45]			PV	[73]			Phagosomes	[54]	PM, CV, puncta	[67, 68]
	Fxn	Endocytosis	[59-62,64]					Endocytosis, cyst formation	[73]						
ESCRT	Loc	TGN, endosomes, MVBs	[23,80, 82-85]			Vps4 cytosolic	[95]	PV	[96, 97]	LE/MVB	[78]	Phagosomes, MVBs	[100, 101]	Intracellular puncta	[102]
	Fxn	Vacuolar delivery, autophagy	[82,84-88]							Vacuolar delivery	[78]	Phagocytosis	[101]	Differentiation	[103]
Retromer	Loc	TGN, endosomes	[107-114]	Vps10-like puncta	[120]	TGN,ELC	[122, 123]	PV, ER	[124, 125]	Endosomes	[12]				
	Fxn	Vacuolar delivery	[109-113,115, 116,119]	DCG biogenesis	[120, 121]	ELC to TGN and PM recycling	[122]			Vacuolar delivery	[12]	PPV maintenance	[33, 126]		
Rab5	Loc	Endosomes	[131-137]			ELC, PM	[139-144]			Endosomes	[145-147]	Phagosomes, PPVs	[33, 148, 149]		
	Fxn	Vacuolar delivery, recycling	[131,133, 138]			Mic/Rhop and DV trafficking	[139, 143, 144]			Endocytosis	[145-147]	PPV maintenance	[33]		
Rab7	Loc	Endosomes, vacuole	[153]	Phagosomes	[156, 157]	ELC, VAC	[122,123, 139,141, 158]			LE/MVB	[159]	Phagosomes, PPVs	[33, 148]	Phagosomes, late endocytic	[70, 161-165]
	Fxn	Vacuolar delivery, autophagy	[153-155]							Vacuolar delivery	[159]	PPV maintenance	[33, 126]	Phagocytosis, lysosomal delivery	[163-165]
Rab11	Loc	PM,TGN, endosomes	[168-170,172, 174-177]	Endosomes	[157]	Rhops, PM (IMC)	[179-181]	Puncta, PM	[182]	Endosomes	[183, 184]	Endosomes, puncta	[185-187]	CV, phagosomes	[162, 188, 189]
	Fxn	Cytokinesis, PM trafficking	[168-178]			Cell division	[180, 181]	Cyst formation	[182]	Recycling	[183, 184]	Recycling	[187]	CV function, osmotic stress	[188, 189]

Figure 1

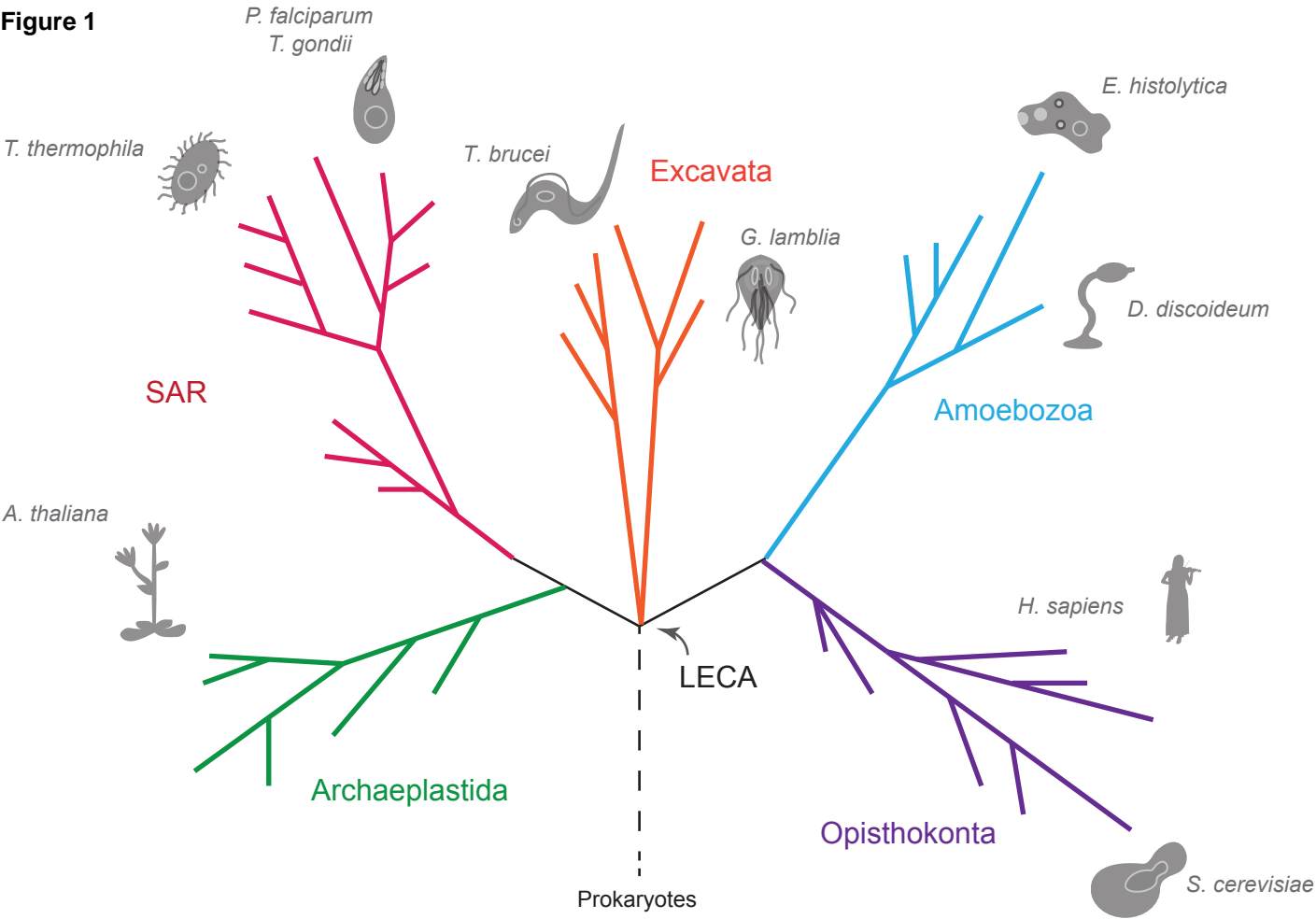


Figure 2

