

1 **A Cross-Sectional Imaging Study to Identify Organs at Risk of Thermal Injury During**  
2 **Renal Artery Sympathetic Denervation**

3

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24 authors provided critical revisions to the manuscript.

25

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33

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35

36 **1. Introduction**

37 Initial studies of renal artery sympathetic denervation as a treatment for resistant hypertension  
38 were so encouraging that they promoted investigators to launch studies to evaluate the role of  
39 this novel therapy in other conditions associated with sympathetic nervous system over  
40 activation [1-7]. For this reason, the results of the Symplicity HTN-3 trial surprised the  
41 cardiology community [8]. This trial reported that renal artery sympathetic denervation is  
42 ineffective at lowering blood pressure in patients with resistant hypertension when compared  
43 to a sham control group. Several hypotheses have been proposed to explain these disparate  
44 results [9-12]; one possibility is that the renal sympathetic nerves were inadequately ablated,  
45 perhaps due to operator or technological factors [13]. Supporting this hypothesis, a post-hoc  
46 analysis of Symplicity HTN-3 demonstrated that those patients who had received a more  
47 comprehensive ablation procedure, i.e. a larger number of ablations and therapy to all four  
48 quadrants of each renal artery, experienced a greater reduction in blood pressure [14].

49

50 Early adopters of renal denervation believed that the superior aspect of the renal artery ostium  
51 contained the greatest concentration of sympathetic nerves, and thus considered this a critical  
52 site for ablation [15]. This theory has since been dispelled by human histological data, which  
53 demonstrates that sympathetic nerves run in closer proximity to the distal rather than  
54 proximal renal artery, implying that ablation here may have a superior success rate [16]. This  
55 study also found that only 40% of renal sympathetic nerves are located within 2mm of the  
56 artery wall and it is unclear whether the first generation catheter has the capability to extend  
57 much beyond this. Newer catheters capable of creating a deeper ablation zone may target a  
58 greater proportion of sympathetic nerves and therefore be more effective [17].

59

60 Reflecting upon these new data, future trials of renal denervation may employ a more  
61 rigorous approach, with ablations being more numerous and more distally placed, including  
62 distal to bifurcations, and targeting all four quadrants of the artery [17, 18]. Furthermore, it  
63 may be desirable to create larger ablation zones [19]. The latest second generation catheters  
64 are designed to facilitate this strategy with: 1) multiple electrode configurations that allow  
65 uniform circumferential energy delivery (Spyral™, Vessix™, EnlighHTN™ and Paradise™)  
66 [15, 20]; 2) an ability to perform ablations in arteries as narrow as 3mm in diameter  
67 (Spyral™, Vessix™), thereby enabling more distal access compared to the previous limit of  
68 4mm [21], and 3) deeper penetration into the renal adventitia (the Paradise™ system is able  
69 to create an ablation zone that extends 7-12mm from the renal artery wall) enabling  
70 attenuation of a greater proportion of the sympathetic nerves [22].

71

72 Whilst achieving adequate sympathetic nerve attenuation is clearly vital to the efficacy of the  
73 procedure, a balance must be struck with the potential risks that may be associated with an  
74 extensive ablation strategy that uses the measures outlined above [19, 23]. The purpose of  
75 this study is to explore one such risk - the potential for ‘comprehensive’ renal artery ablation  
76 to cause thermal injury to neighbouring structures. To this end, we reviewed a series of  
77 computerised tomograms (CTs) to identify those structures that lie in close proximity to the  
78 renal arteries, and which may be exposed to thermal energy using this contemporary  
79 approach.

80

## 81 **2. Methods**

### 82 *2.1 Study Population*

83 Two experienced radiologists independently reviewed consecutive CT aortograms, obtaining  
84 a total sample size of 100 kidneys considered anatomically eligible for renal denervation.

85 Demographic data and relevant past medical history were collected for each patient. National  
86 Health Service (UK) Management Permission for use of anonymised patient data for research  
87 was obtained, conforming to ethical standards [24].

88

## 89 *2.2 Renal Artery Analysis*

90 All images were acquired with a Siemens SOMATOM Definition Flash dual-source scanner,  
91 with a reconstructed slice thickness of 0.75mm [25]. Axial and coronal reconstructions were  
92 assessed for each patient thereby allowing adequate assessment of structures in both the  
93 antero-posterior and cranio-caudal axes. The arterial supply to each kidney was graded  
94 according to a modified classification [26], and the anatomic eligibility for renal denervation  
95 determined. Standard recommendations were adapted to use a renal artery diameter threshold  
96 of  $\geq 3$ mm, rather than the conventional  $\geq 4$ mm, thus encompassing the extended capability of  
97 some second-generation catheters. Renal arterial anatomy was categorised as follows:  
98 classically eligible (A), off-label eligible (B), or ineligible (C) (Figure 1).

99

100 Only renal arteries deemed eligible for denervation (classifications A or B) were included in  
101 the study. The '*at risk zone*' (ARZ) was defined as an area measuring 10mm (based on  
102 histology data from the Paradise™ system [22]) extending radially from the renal artery wall  
103 at any point between the ostium and the hilum, where the renal artery diameter was  $\geq 3$ mm.  
104 Structures within the ARZ were documented, along with the shortest distance from the vessel  
105 wall at which these were found. In addition to this 10mm ARZ, structures within a smaller  
106 (5mm) zone were also evaluated to reflect the smaller ablation zones created by catheters  
107 with a lower maximum penetration. All measurements were rounded to the nearest mm. Any  
108 discrepancy in findings between the two observers was resolved by consensus.

109

110 *2.3 Statistics*

111 Continuous variables are presented as means with standard deviations (SD). Nominal  
112 variables are presented as counts and percentages or medians with 1<sup>st</sup> and 3<sup>rd</sup> quartiles.  
113 Fisher's exact test was used to compare categorical data. The McNemar test with the exact  
114 method was used to compare related categorical variables. All analyses were performed using  
115 SPSS (IBM version 22).

116

117 **3. Results**

118 Subjects had a mean age of 74.6 (SD 15.0) years and an average body mass index was 27.3  
119 (SD 5.6). 24 (48%) were male, 44 (88%) had a history of hypertension and 41 (82%) had  
120 ischaemic heart disease.

121

122 Twenty-six of the 126 kidneys (63 patients) were ineligible; 18 due to renal artery stenosis, 4  
123 due to a single renal artery that was either <3mm in diameter or <20mm in length with no  
124 branch being >3mm in diameter, and 4 due to multiple ineligible renal arteries.

125

126 Of the 100 eligible kidneys 73 were classically eligible for renal denervation and 27 were off-  
127 label eligible; of these, 7 had a side branch measuring  $\geq 3$ mm in diameter within the first  
128 20mm, 10 had multiple renal arteries each  $\geq 3$ mm in diameter and  $\geq 20$ mm in length prior to  
129 any bifurcation, and 10 had multiple renal arteries where at least one had a diameter  $\geq 3$ mm  
130 and a length  $\geq 20$ mm.

131

132 In 97% of kidneys, the renal veins lie within 10mm of the renal arteries, and the inferior vena  
133 cava (IVC) is always within the ARZ of the right renal artery (Figure 2 and 3). The psoas  
134 muscles (Figure 2) and small bowel (Figure 3) are found within the ARZ in a quarter of

135 kidneys; however, this proportion is reduced when the smaller (5mm) zone is considered  
136 (Table 1). Neither large bowel nor renal parenchyma were found within the ARZ in any  
137 cases.

138

139 The IVC and liver (Figure 4) were only encountered within the ARZ on the right, whereas the  
140 pancreas (Figure 5), adrenal gland and splenic vasculature were more commonly encountered  
141 within the ARZ on the left (Table 1). In over 50% of kidneys the renal vein and/or IVC were  
142 within 1mm of the renal artery (Table 2).

143

144 Seventy-one kidneys were found to have arteries eligible for ablation before and after the first  
145 bifurcation; these are presented in Table 3. The IVC was more commonly found prior to the  
146 renal artery bifurcation whereas the psoas muscle, small bowel and liver were encountered  
147 more frequently distal to the first bifurcation (Table 3).

148

149 There was no significant difference in the frequency with which structures were encountered  
150 within the ARZ when comparing classically eligible (A) to off-label eligible (B) renal  
151 arteries, and no significant difference in the frequency with which structures occurred in the  
152 ARZ for smaller diameter arteries of 3-4mm when compared with classically accepted  
153 arteries measuring  $\geq 4$ mm.

154

#### 155 **4. Discussion**

156 We have found that in at least one-fifth of cases the renal vein, IVC, psoas muscle or small  
157 bowel are located within 10mm of the renal artery wall and thus may inadvertently receive  
158 thermal energy during a renal denervation procedure using the latest catheters.

159

160 Manufacturers of the commercially available ablation catheters used for renal sympathetic  
161 denervation tend not to openly disclose the exact size of the ablation zones that these create;  
162 this is surprising given the large number of patients in which this procedure has been  
163 performed worldwide. A literature review found only **five** reports that adequately described  
164 lesion dimensions [16]. Only one of these reports was in man and describes a single patient  
165 who died from an aortic dissection several days after receiving 11 ablations with the  
166 Symplicity™ catheter [27]; on post-mortem the maximum size of the ablation zone was  
167 2mm. A study of catheter-based renal denervation in dogs using the EnligHTN™ system  
168 revealed that 90% of ablation zones did not extend beyond 3.5mm [28], and a recent study  
169 **using the Symplicity™ catheter in swine found that mean distance to deepest thermal injury**  
170 **from the arterial lumen was 7.3mm [29]. Finally,** a phantom gel model reported the mean size  
171 of ablation zones using Symplicity™ and EnligHTN™ catheters to be 3.8mm and 3.4mm  
172 respectively, although it is not possible to extrapolate the results of these studies to humans  
173 [30].

174

175 The Symplicity™ catheter has been used in the majority of trials and registries to date with  
176 no reports of abdominal organ damage; **this suggests that either this risk is rare and largely**  
177 **theoretical or it is underreported presumably as the clinical consequences of inadvertent**  
178 **thermal injury to the structures commonly found in the ARZ are temporary.** However, several  
179 different catheter systems have since been launched, each with varying electrode  
180 configuration, energy use and biophysical properties suggesting that a class effect for neither  
181 efficacy nor safety can be assumed [15, 31].

182

183 The only available ultrasound-based system for renal denervation (Paradise™) has been  
184 shown to have a maximum penetration depth of 7-12mm in swine [22]. The development of



185 such powerful systems prompted us to elucidate which structures lie in the vicinity of renal  
186 arteries and thus may be affected by thermal energy. Given our findings, that in least one-  
187 fifth of eligible kidneys the renal vein, IVC, psoas muscle and small bowel are encountered  
188 within 10mm of the renal artery wall, it is interesting to note that in the animal study using  
189 the Paradise™ catheter there was evidence of small bowel necrosis and psoas muscle damage  
190 [22]. The authors of that study, based on unpublished industry data, suggested that these  
191 effects are less likely in humans due to anatomical differences between pigs and man.  
192 However, back or abdominal pain, the most commonly reported symptoms of psoas muscle  
193 and small bowel injury, have been described in up to 63% of patients following renal  
194 denervation [32]; we suggest that, if persistent, these symptoms should prompt further  
195 investigation.

196

197 Another option to optimise attenuation of renal sympathetic nerves is to exploit their non-  
198 uniform distribution. The nerves approximate the artery with increasing distance from the  
199 ostium (90% of sympathetic nerves are found within 9mm of the proximal main artery, 5mm  
200 of the distal main artery and 3mm of the post bifurcation artery) [16]. This implies that post-  
201 bifurcation vessels may be an attractive target for denervation, particularly as a 3mm ablation  
202 zone should be achievable by most catheters. In dogs, denervation of distal branch vessels  
203 (post bifurcation) resulted in a greater reduction in renal noradrenaline concentration (the key  
204 neurotransmitter of the sympathetic nervous system [33]) than when it was performed in the  
205 main vessel only [18]. Distal vessels will generally be smaller in calibre and with the advent  
206 of the next generation catheters the minimum renal artery diameter permitted for denervation  
207 has been reduced from 4mm to 3mm. Our data suggests that this change of practice would  
208 not increase the number or frequency with which structures are encountered in close vicinity  
209 to the vessel, i.e. within the ‘at risk zone’ where they may be exposed to thermal energy.

210

211 The biophysical principles of ablation determine the effect that thermal energy has on  
212 surrounding structures. Despite the close proximity of the IVC and the renal vein to the renal  
213 artery, the risk of damage to these is low since a high blood flow in these vessels will serve  
214 as a heat-sink [15]. The structures of greater concern are the solid organs such as bowel or  
215 liver, which do not have such protection. **In our opinion, renal denervation should not be  
216 avoided in patients who on cross-sectional imaging have structures within the ARZ as  
217 currently any risk remains largely theoretical. However, we would suggest increased clinical  
218 vigilance peri-procedurally for complications within this cohort of patients.**

219

#### 220 *4.1 Limitations*

221 The subjects included in this study were not destined for renal denervation but instead  
222 underwent CT aortograms for other clinical indications. Patients receiving renal denervation  
223 tend to have resistant hypertension and it is conceivable with vascular remodelling that their  
224 anatomy may differ from age-matched controls. Interestingly though, a large renal  
225 angiography study showed there to be no significant difference in anatomy between those  
226 with resistant hypertension versus non-resistant hypertension [34], and 88% of our cohort  
227 were hypertensive. We also acknowledge the limitations of a retrospective study design,  
228 however, this should have no effect on an anatomical study.

229

### 230 **5. Conclusions**

231 Our study brings to our attention that at least one-fifth of renal arteries are in close proximity  
232 to vasculature, psoas muscle or small bowel, a finding that has not been previously reported.  
233 To date, safety has not been a significant consideration when using the first generation  
234 catheter. With the advent of more powerful catheters and a more comprehensive ablation

235 strategy being preferred, the same safety profile cannot be assumed. The potential risk of  
236 psoas muscle or small bowel injury suggests a possible role for cross-sectional imaging prior  
237 to renal denervation to delineate individual anatomy at risk; as yet, however, the consequence  
238 of delivering thermal energy to these structures remains uncertain.

239

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241

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244

#### 245 **Figure Legends**

246

247 **Figure 1: Classification of eligibility for renal denervation based on renal artery**  
248 **anatomy.**

249

250 **Figure 2: Axial computerised tomogram showing the close proximity of the right renal**  
251 **artery (white arrow), the right renal vein (RV) and the psoas muscles (PM).**

252

253 **Figure 3: Axial computerised tomogram showing the close proximity of the right renal**  
254 **artery (white arrow) and the inferior vena cava (IV) and the left renal artery (black**  
255 **arrow) and small bowel (SB).**

256

257 **Figure 4: Axial computerised tomogram showing the close proximity of the right renal**  
258 **artery (white arrow) and the liver (L).**

259

260 **Figure 5: Axial computerised tomogram showing the close proximity of the left renal**  
261 **artery (white arrow) and the pancreas (P).**

262

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348

349

**Figure 1**  
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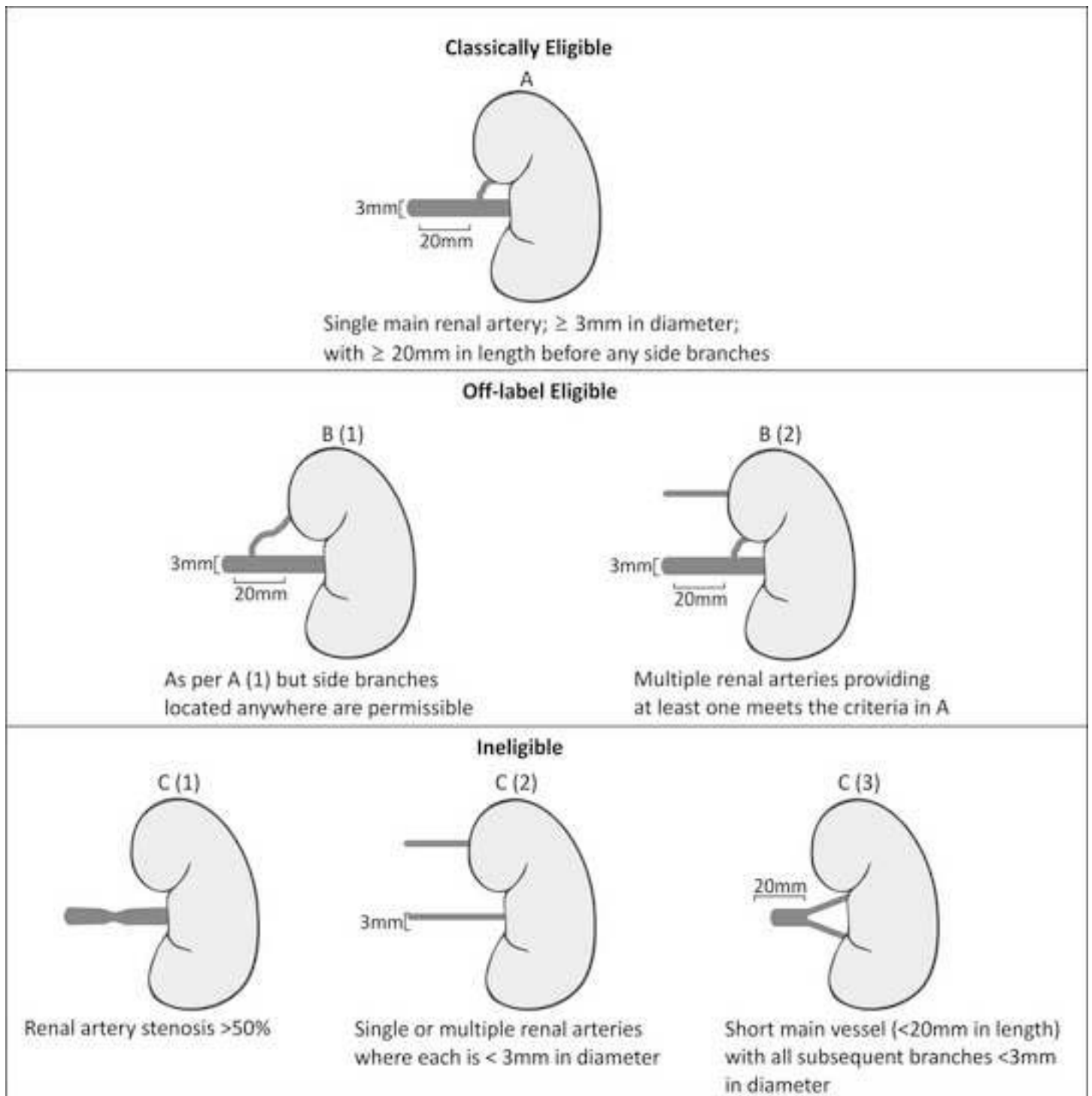


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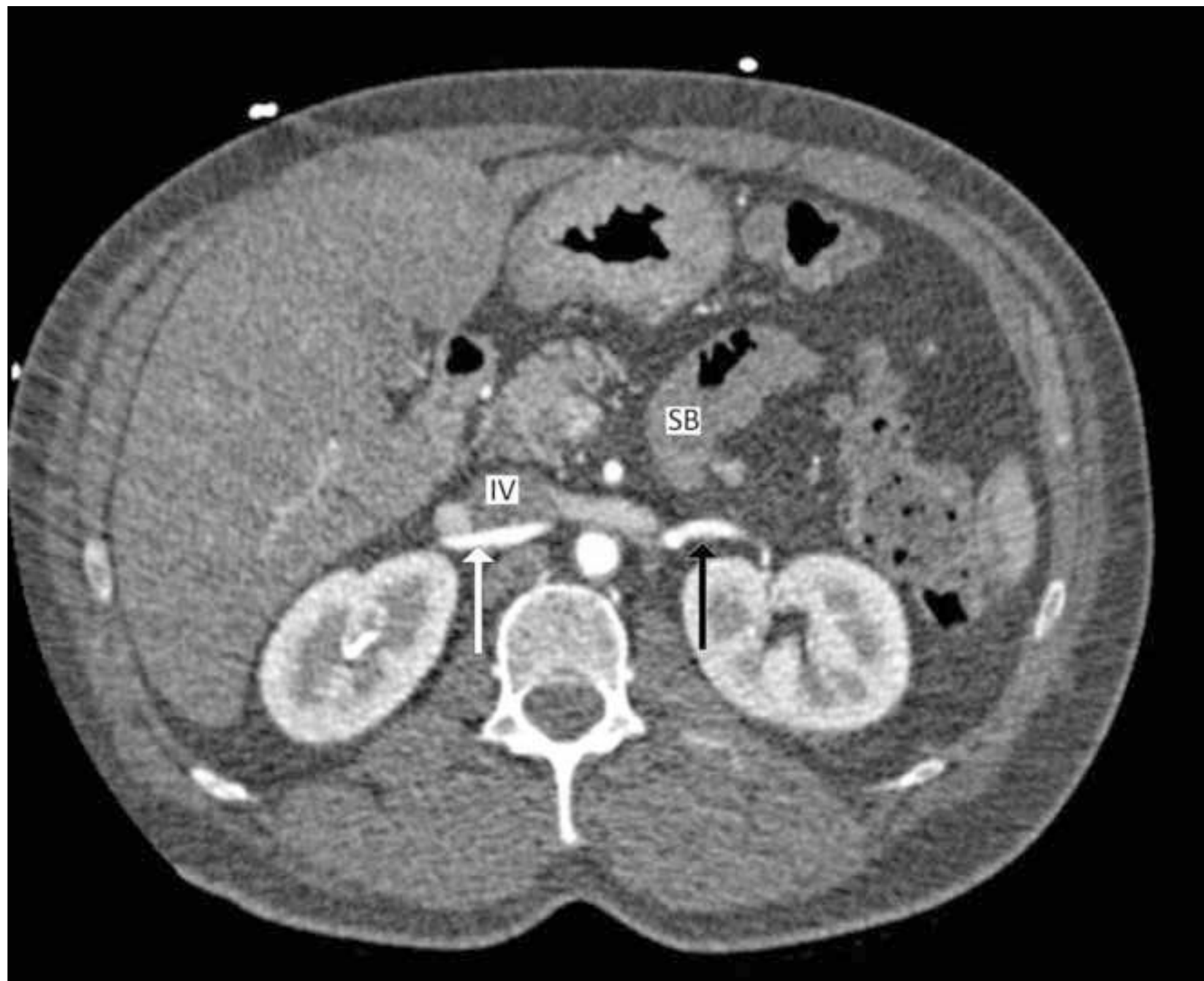


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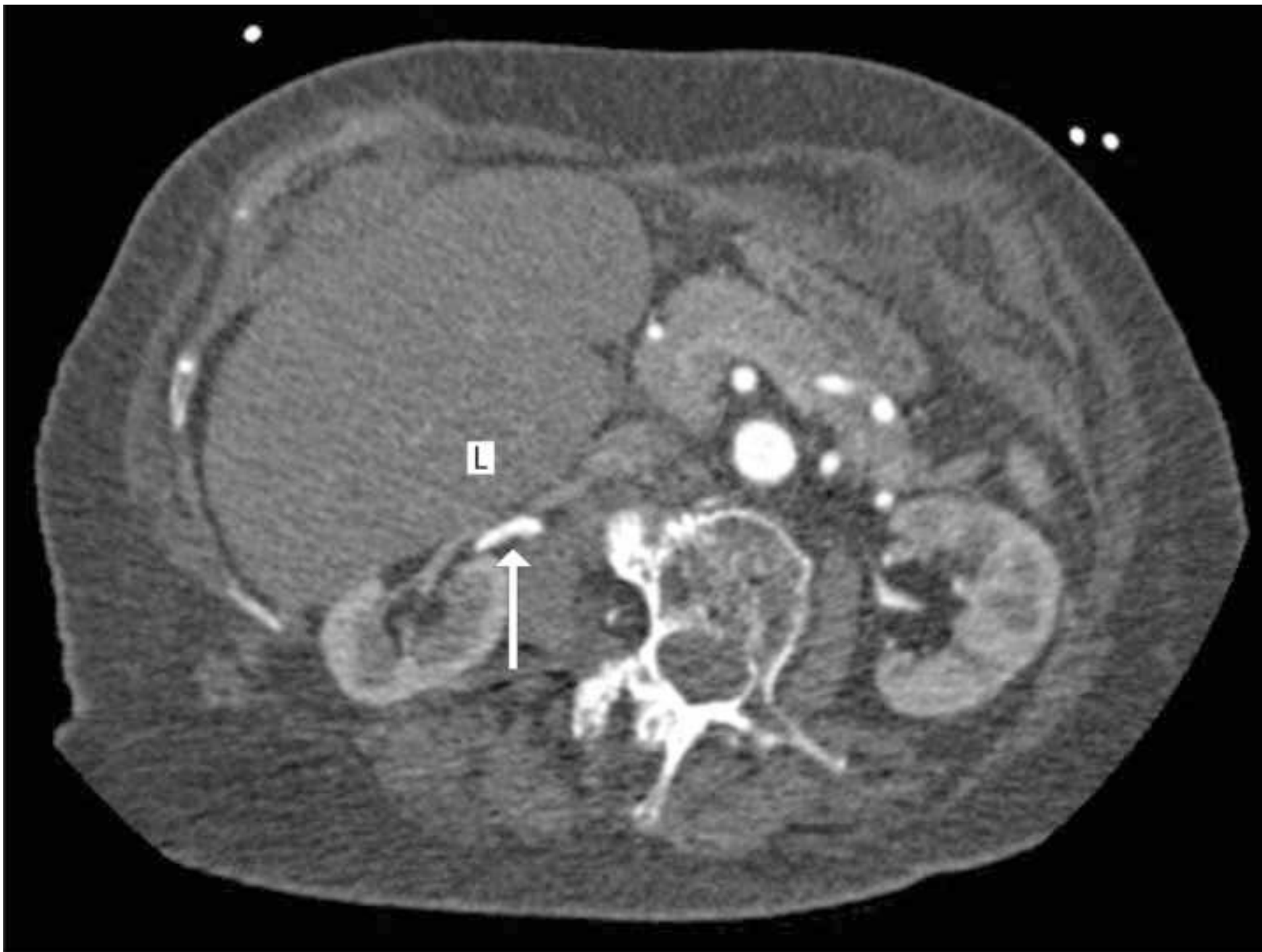


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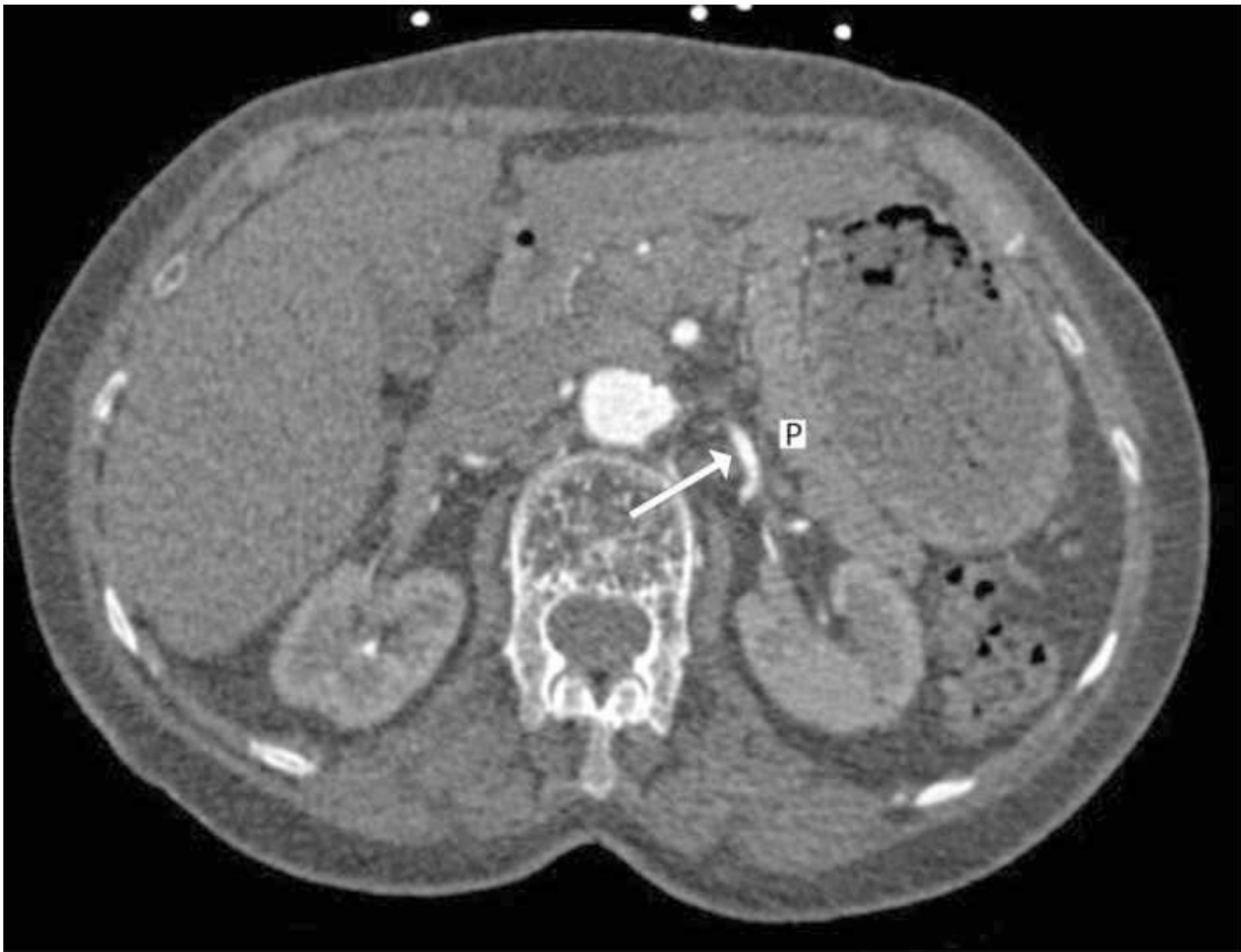


Table 1: The frequency with which structures are encountered within 10mm and 5mm of the renal artery, comparing right with left.

Structures within 10 or 5mm of the renal artery	10mm				5mm				10mm vs 5mm
	Right Renal Artery (n=51)	Left Renal Artery (n=49)	Total (n=100)	R vs L P (Fisher's)	Right Renal Artery (n=51)	Left Renal Artery (n=49)	Total (n=100)	R vs L P (Fishers's)	P (McNemar's)
Renal Vein	50 (98%)	47 (96%)	97 (97%)	0.614	48 (94%)	42 (86%)	90 (90%)	0.196	0.016
IVC	51 (100%)	0 (0%)	51 (51%)	<0.001	51 (100%)	0 (0%)	51 (51%)	<0.001	1.000
Psoas Muscles	14 (28%)	11 (22%)	25 (25%)	0.647	10 (17%)	8 (16%)	18 (18%)	0.796	0.016
Small Bowel	13 (26%)	12 (25%)	25 (25%)	1.000	7 (14%)	4 (8%)	11 (11%)	0.526	<0.001
Pancreas	2 (4%)	8 (16%)	10 (10%)	0.049	1 (2%)	6 (12%)	7 (7%)	0.057	0.250
Liver	8 (16%)	0 (0%)	8 (8%)	0.006	3 (6%)	0 (0%)	3 (3%)	0.243	0.063
Adrenal	0 (0%)	7 (14%)	7 (7%)	0.005	0 (0%)	5 (10%)	5 (5%)	0.025	0.500
Diaphragm	3 (6%)	2 (4%)	5 (5%)	1.000	2 (4%)	1 (2%)	3 (3%)	1.000	0.500
Splenic Artery	0 (0%)	3 (6%)	3 (3%)	0.114	0 (0%)	2 (4%)	2 (2%)	0.238	0.500

<b>Splenic Vein</b>	0 (0%)	1 (2%)	1 (1%)	0.490	0 (0%)	0 (0%)	0 (0%)		0.500
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**Table 2: Median distance between structure and renal artery wall, quartile 1 (Q1), and quartile 3 (Q3).**

	<b>Median distance (Q1, Q3), mm</b>
<b>Renal Vein</b>	1 (0, 2)
<b>IVC</b>	0 (0, 1)
<b>Psoas Muscles</b>	4 (3, 6)
<b>Small Bowel</b>	6 (3.5, 7)
<b>Pancreas</b>	5 (1, 6.3)
<b>Liver</b>	6.5 (4.3, 7.8)
<b>Adrenal</b>	3 (2, 6)
<b>Diaphragm</b>	4 (2.5, 9)
<b>Splenic Artery</b>	5 (3, 8)
<b>Splenic Vein</b>	7 (7,7)

**Table 3: Frequency with which structures are encountered within 10mm and 5mm of the renal artery wall before and after the first bifurcation. P values are from McNemar's test.**

Structures	10mm			5mm		
	Pre-Bifurcation (n=71)	Post-Bifurcation (n=71)	P	Pre-Bifurcation (n=71)	Post-Bifurcation (n=71)	P
Renal Vein	63	55	0.115	59	53	0.286
IVC	35	12	<0.001	35	11	<0.001
Psoas Muscles	5	17	0.004	4	15	0.003
Small Bowel	5	15	0.041	2	8	0.109
Liver	1	8	0.016	1	3	0.500
Pancreas	3	6	0.250	2	6	0.125
Adrenal	4	2	0.625	3	1	0.625
Diaphragm	4	1	0.250	2	1	1.000
Splenic Artery	1	1	1.000	0	1	1.000
Splenic Vein	1	0	1.000	0	0	