A Thesis

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entitled

"STUDY OF SMALL PARTICLES IN THE NEIGHBOURHOOD OF THE MELTING POINT"

by

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ABSTRACT

The melting of small particles of bismuth and tin has been studied, in situ, in an electron microscope. A special technique has been used to measure the specimen temperatures accurately.

The melting of bismuth crystallites was investigated on amorphous carbon, and molybdenite substrates. Α platelet form and a polyhedral form of crystallite obtained on the carbon substrates, both exhibited a time delay phenomenon before melting (as also observed by Peppiatt, 1973, 1975). The time delay of the polyhedral particles obtained in an initial solid deposit decreased to zero as the sizes of the particles increased beyond 1400 Å. Under certain conditions, it was found that the characteristic time of melting of the polyhedral particles obtained by recrystallizing the liquid layers, varied inversely as the surface area of the particles. On the molybdenite substrates, needle-like platelets were obtained, which also exhibited the time delay phenomenon in melting. For the crystallites on both substrates, the time delay was found to be a well-defined function of temperature.

The melting of tin crystallites was investigated mainly using carbon substrates, but also in a few experiments using molybdenite and graphite substrates. The crystallites were in the form of thin platelets having a variety of shapes. In this case, no time delay for the melting of the platelets was observed. The melting point of the platelets was found to decrease with decreasing

ABSTRACT (Contd,)

platelet thickness. By using a liquid skin melting model, a value of 70 ± 7 ergs cm⁻² has been obtained for the solid/liquid interfacial energy (γ_{st}) of tin.

Other properties of small particles of bismuth and tin investigated were:

 The amount of supercooling in the liquid droplets of bismuth and tin on carbon, molybdenite and graphite substrates.

2. An anomalous behaviour of tin platelets close to the melting point; these showed a sudden change of shape though remaining solid - a phenomenon previously observed for bismuth crystallites.

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CHAPTER 1

INTRODUCTION

1,1. Melting

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1,1,1, Melting as a Surface Phenomenon,

The phenomenon of melting by which a substance changes from the solid to the liquid phase at a reproducible and well-defined temperature is one of the best known of phase transformations, The sharpness of the melting temperature for a pure substance has made its use invaluable in highly accurate standardisation of temperatures and also in chemistry as a test of purity of substances. This is in contrast to the reverse process of solidification which may occur at widely differing temperatures due to the Although melting is a wellphenomenon of supercooling. known occurrence, the actual mechanism of melting is far from being clearly understood. This apparently simple phehomenon has caused many theoreticians to postulate correspondingly simple mechanisms. The majority of these however, consider only the properties of the solid in determining the temperature at which the crystal lattice becomes absolutely unstable (see, for example, the theories of Lindemann, 1910; Born, 1939; Lennard-Jones and Devonshire, 1939; Kuhlmann-Wilsdorf, 1965; Vladimirov, 1969; Cotterill and Pederson, 1972; Jensen et al., 1973). While these models describe properties of melting which are either thermodynamic (such as volume change) or statistical (loss of long range order during the phase transformation), they do not consider either the kinetics of melting, that is, the mechanism by

which the melting interface moves into the solid, or the importance of the initial nucleation of the liquid. Experimental evidence (described below) indicates that melting involves nucleation, in particular on crystal surfaces. Above the melting point, the liquid phase has a lower free energy than the solid. Therefore, once the liquid has nucleated at suitable sites, the solid/liquid interface is able to move through the remaining solid as this results in a progressive lowering of the free energy of the system.

The importance of the crystal surface in melting was recognised by Tammann (1909-10), who carried out experiments on the rates of melting and crystallisation of organic crystals. He suggested that melting and solidification were reverse processes, and therefore that the properties of the two should be very similar. Solidification is essentially a surface phenomenon, the solid/liquid interface moving into the melt; therefore melting should also be a In his book "States of Aggregation" surface phenomenon, Tammann (1925) states, "Melting takes place on the surface of a crystal in a uniform manner...,," His view that melting process starts at the crystal surface has some supporting evidence. For example, when a suitable impurity is added to the melt of a solid/liquid system which is in equilibrium, the solid immediately dissolves in the melt (see, for example, Block et al., 1931),

Cormia et al. (1963) and Ainslie et al. (1961) showed

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that bulk quartz and phosphorous pentoxide (P205) crystals could be superheated by 300 and 50K respectively, due to the slow propagation of the viscous molten interface into these solids, Melting was found to begin on the surface of the crystals, in particular at emerging dislocations or The melt would then propagate into the bulk imperfections. In order to avoid nucleation of the melt at the soliđ. surface, Khaikin and Benet (1939) heated the inside of a tin single crystal while keeping the surface cool, This resulted in the superheating of the solid core, They explained the results in terms of the absence of "centres of fusion" inside good crystals, which, they state are always present at the surface of a crystal, Volmer and Schmidt (1937) observed, during their melting experiments on carefully grown single crystals of gallium, that melting always started at isolated points on the surface. They interpreted this as being due to local impurities which had induced strains and become centres of defects, resulting in a lowering of melting point in these regions, They also found that well-formed crystal faces rarely produced these initial Irregularities, especially edges along melting regions, definite crystallographic directions were often observed where the liquid appeared, They state, ",,.., melting is not disintegration of the lattice, but starts at favoured Stranski et al. (1963) have taken the same view positions". as Tammann (1909-10) and considered melting as the dissolution of a solid in its own melt, . They consider melting as a

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function of crystal surfaces; they postulate that melting is initiated on high index crystallographic faces, the low index faces being stable at all temperatures to the melting point.

Burton et al.(1951) have calculated a "transition temperature" of the order of one-half of the melting point, for the surfaces of simple cubic crystals. This they interpreted as a form of "surface melting". Stark (1965) has postulated that "vacancy concentration" at the surface builds up faster than in the bulk; when a critical concentration of vacancies is reached, melting

is nucleated at the surface. A few workers have attempted experimental observations of surface melting of crystals at temperatures below the bulk melting point, However their results are conflicting. Pavlovska and Nenow (1971) claim to have observed surface melting (below the bulk melting point) in diphenyl. However, low-energy electron diffraction (LEED) studies of Goodman and Somorjai (1970) on the melting of low-index faces of single crystals of bismuth, lead and tin, they say indicated no surface melting. The different surfaces seemed to disorder at the respective bulk melting Henrion and Rhead (1972), in similar LEED temperature. investigations of lead overlayers on copper, claimed to have observed a order-disorder transformation in the layers at temperatures close to, but below the bulk melting point, While ascribing this as a two-dimensional order-disorder.

transformation, they also suggest it to be surface melting, by analogy with the behaviour of three dimensional crystals. However, the importance of the Henrion and Rhead work is not the reduction in melting point (which would be expected for a monolayer), but the fact that the solid diffraction pattern could be seen "through" the liquid, thus casting doubts on the conclusions of Goodman and Somorjai.

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The conclusions arrived at in the present work do not come from a study of the surfaces of crystal (such as, for example, low- or high- energy electron diffraction), but from observations of the effects caused by the presence of the surface. A study has been made of small crystallites in the neighbourhood of the melting point. Small particles have large surface area relative to their volume, as compared to bulk specimens. The influence of this surface on the melting properties of the small particles has been investigated.

1.1,2. The Melting of Small Particles.

For small particles of a size suitable for viewing in the electron microscope, the amount of surface free energy associated with each partice is significant. As the volume terms are proportional to the cube of the linear dimension and the surface terms are proportional to the square, the influence of the surface is greater the smaller the particle. The significance of the surface energy for small

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particles has been observed in several ways. For example, it is well-known (see, for example, Hollomon and Turnbull, 1950) that liquids can be cooled considerably below the melting point before solidification begins; in this the formation of a small nucleus of the stable solid phase is hindered by an energy barrier due to the surface energy. Also Sambles (1971) in a test of the Kelvin equation which by considering the effect of surface energy, relates the vapour pressure of a particle to its size, found a substantial increase in the vapour pressure for microscopic particles.

The dependence of the phase equilibrium of a one component system on the size of one of the phases was first suggested by Thomson (1888) with reference to the solubility of small particles. Kürster (1906) predicted that the melting point of a material is lowered with a reduction in grain size, and, a few years later, Pawlow (1908-9, 1909) obtained an equation relating this lowering of the melting point to the radius of the solid particle which he assumed to be spherical. His theoretical conception of the lowering of melting point was later extended by Hanszen (1960).

The first experimental measurements on the melting point of small particles were carried out by Takagi (1954). She used an electron diffraction technique to determine when, upon heating, layers of bismuth, tin and lead changed from the solid to the liquid state; the melting point was determined as the temperature at which the sharp crystalline

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rings changed into the diffuse halos of the liquid, However, the particle sizes were deduced indirectly and are, therefore Blackman and Curzon (1959) (see subject to large error. also, Curzon, 1960) observed the melting point of small particles of tin by the electron diffraction technique which combined with a subsequent electron microscopic study of the particle sizes gave the variation of melting temperature with A similar method was later used by Wronski particle radius. (1967) again using particles of tin; Coombes (1969, 1972) with bismuth, lead and indium; Gladkich et al. (1966) with copper, silver, aluminium and germanium; and Boiko et al, (1969) with indium, The electron diffraction technique has the advantage that good diffraction patterns are more easily obtained than, for example, by x-ray diffraction. It also has an advantage over a direct electron microscope method, as the beam heating of the specimen is negligibly small. Another advantage is that as very small particles with correspondingly large changes in melting point are observed, the temperature control does not need to be highly accurate, This method can be used with particles ranging in size from a few tens, to upto, 1,000Å.

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However, the electron diffraction technique has several disadvantages. It necessarily involves an averaging of the particle size over an entire specimen; only the temperature at which a proportion of the number of particles present have melted could be determined. Clearly because the particles were not of a uniform size the melting point is not the same for all particles; the choice of a particle size to correspond to the measured melting temperature was therefore to some extent arbitrary. Also, if there were an additional effect, such as shape or strain effects in the particles which may influence the melting point, these could not be detected and the results would accordingly be in error. To obtain more information, it is therefore necessary to observe the melting point of individual particles rather than complete layers.

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Pocza et al. (1969) seem to be the first to have observed the melting of individual particles. Using a selected area diffraction pattern in an electron microscope, they monitored the melting of a few particles of indium within the area of the fine electron beam, in a residual vacuum of 2 x 10⁻⁹ torr. Their temperature measurements, however, may be considerably more inaccurate than they suggest due to the electron beam heating (see Gale and Hale, 1961). Hanszen (1966) observed, again using the diffraction pattern, the melting of layers of bismuth in an electron microscope in a residual vacuum of 10^{-4} torr. His results, which were purely qualitative, showed that each deposit melted over a Hanszen vaguely ascribed this to a range of temperature. Also his temperature measurements are again size effect. greatly in error due to the heating effect of the electron beam. Stowell et al, (1970) also observed the melting by diffraction pattern of thin layers of lead, evaporated

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"in situ" in an electron microscope. They state that 'the deposits did not melt completely at one temperature, so a dependence of the melting point on size may be inferred, but there was not a good correlation of the melting point with size'.

The experiments of Sambles (1971) on the melting of small particles of gold in an electron microscope are the first in which the problem of electron beam heating was overcome, While studying the rate of evaporation of gold particles, he noticed that for some particles, the evaporation rate altered abruptly, giving rise to a discontinuity ('kink') in the particle radius versus time He interpreted this as due to the melting of the graph. gold particle at this radius, the kink being caused by a difference in the evaporation coefficients of the solid and As the temperature of the particle was known liquid. accurately from the evaporation rate at a large radius, he obtained a plot of particle size against melting point for radii between 50Å and 400Å,

Although the above method could be applied successfully to the melting of gold which has a high vapour pressure at the melting point, it is, however, not applicable to materials with a low vapour pressure at the melting point. Clearly for such materials the evaporation rate would not be appreciable until the materials have melted, Sambles (see Blackman et al, 1972) subsequently developed an ingenious method to study

the melting of small particles in an electron microscope overcoming the problem of electron beam heating. This method employed the fact that small particles if uncontaminated can in general be supercooled by over a hundred degrees. In this method a specimen layer was heated in the absence of the electron beam, to a temperature at which some particles The layer was then cooled by about are expected to melt. 50K and the electron beam could then be switched on for As the specimen temperature could be determined observation. by a carefully constructed heater/thermocouple arrangement (while the electron beam is switched off), the experimental temperature will be accurate within the accuracy $(-1)^+$ 1K) of the This method has previously been thermocouple calibration, used by Peppiatt (1973, 1975) and Peppiatt and Sambles (1975) to study the melting of individual particles of In the present work, it has been applied bismuth and lead, to study the melting of small particles of bismuth and tin.

Various other methods have been used to investigate the variation of melting point with size. Fujiki and Suganuma (1953) studied optical properties of thin films of bismuth during heating and report a "structure loosening" temperature for bismuth. This was probably a rearrangement of the solid film (see Lisgarten et al, 1974, see also, Peppiatt, 1973), rather than melting. Palatnik and Komnik (1960) used a similar optical method to study the melting point of thin layers of tin and bismuth. However, no attempt was made to examine the actual nature of the deposits using a replica or stripping technique with electron microscopy; rather the sizes of the crystallites were inferred from the mean thickness of the deposit and certain doubtful assumptions concerning the mode of growth of the films - see the comments of Fisher (1967) and Fisher and Anderson (1968). Petrov (1965) investigated the melting of small particles of bismuth, antimony and lead using an x-ray analogue of the electron diffraction method. The particles were suspended in varnish for irradiation and therefore the results could be influenced by contamination, and pressure effects (as the melting is at constant volume).

1.2 The Melting of Small Particles of Bismuth

The melting of thin layers of bismuth was first carried out by Takaqi (1954), by using the electron diffraction technique in reflection. The bismuth layers were deposited on the cleavage faces of single crystals of zine blende, galena, molybdenite and silicon carbide. She quotes a depression from the bulk melting point (544K) of 23K for a film of mean thickness 50Å with a corresponding particle radius of 39Å. The thickness was estimated from the amount of material evaporated and the particle radius from supercooling data, and therefore neither are very accurate. Also the shapes of the particles were not known, Hanszen (1966) investigated the melting of thin layers of

bismuth, deposited on a thin carbon film "in situ" in an electron microscope. He observed the morphology of the layers between successive melting and recognised the melting point using the diffraction pattern, His results are mostly qualitative and his temperature measurements are probably greatly in error due to the effect of electron beam heating of the specimen,

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Coombes (1969) performed detailed experiments on the melting of small particles of bismuth. He used the electron diffraction technique in transmission and obtained an estimate of the particle size from the transmission electron By using a carefully constructed micrographs of the specimens, furnace, he determined temperatures to within a degree. He found that the bismuth particles with radius between ~150Å and 250Å in the initial deposit could often be superheated upto 10K above the bulk melting point before melting was He also found that particles of complete (figure 1,2,1,), greater than 100Å radius formed by resolidifying liquid droplets, to melt close to, but not above the bulk melting In both cases, the melting point decreased with point. particle sizes below 100Å radius. Peppiatt (1973) repeated part of Coombes experiments by using the same electron diffraction technique, and confirmed the results obtained by Coombes.

Peppiatt (1973,1975) (see also, Peppiatt and Sambles, 1975) in addition to his work on lead, also carried out



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experiments on the melting of individual particles of bismuth, "in situ" in an electron microscope, in a residual vacuum of $\sim 5 \times 10^{-7}$ torr. Thin films of amorphous carbon were used as substrates. The specimen temperatures were measured by the method devised by Sambles, as described previously. He obtained three types of bismuth particles and classified them according to their morphology and origin as follows.

(i) Platelet (Type I) particles:

These were thin crystallites, formed by heating bismuth film of the order of 100° thick. They all had six sides, containing angles of 120° , but otherwise their shapes ranged from elongated to regular hexagons (figure 1.2.2a),

(ii) Polyhedra (Type II) particles:

These were the regular hexagonal particles, obtained by melting and resolidifying the above platelet type particles. Apart from the faceting, these crystallites were roughly spherical in shape (figure 1.2.2b.).

(iii) Polyhedra (Type III) particles:

These particles were obtained by resolidifying the liquid droplets formed by depositing the layers as liquid. They were similar in appearance to the above polyhedra type particles (figure 1.2.2c). Their melting properties, however, differed from the polyhedra (Type II) particles.

The most striking effect observed by Peppiatt in the melting of the above types of particles, was the presence of





(a) Platelet (type I).



(b) Polyhedra (type II).



(c) Polyhedra (type III),

FIGURE 1.2.2.

The three types of bismuth particles, and liquid droplets on carbon substrate. (Peppiatt, 1973).

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a time delay in melting: when an aggregate of crystallites was heated to the same temperature several times (being cooled for observation between each period), it was observed that a number of solid particles melted on each occasion. If each particle had a definite melting temperature, none would be expected to melt after the first heating. An example of this from a typical experiment on platelet (type I) particles, is shown in figure (1,2,3,). In this, the fraction of particles remaining solid ($\frac{N}{N_{o}}$), where N_o is the initial number of solid particles, has been plotted on a logarithmic scale against the total time (t) that the specimen was maintained at a given temperature, The later stages of each experiment generally showed a good exponential decay in the number of particles remaining solid; the initial melting rate was often found higher than the final constant value as seen in the curvature of the graphs (see figure 1,2,3,). The linear portion of the graphs could be represented by the equation

$$N = N_{o} \exp\left(-\frac{L}{\tau}\right)$$

where τ is the characteristic time of melting, Peppiatt found that the characteristic time was a fairly well-defined function of temperature within experimental error; also the results for the three types of particles were found to be distinctly different (figure 1,2,4,). In particular, the platelet (type I) form had a sufficiently large time delay even above the bulk melting point, to cause some of these



Graph showing the variation in the number of bismuth type I platelets remaining solid (N) with time (t), plotted on a logarithmic scale (Peppiatt, 1973).



Variation of the characteristic time (τ) with temperature (T) for the three forms of bismuth particle, plotted on a logarithmic scale (Peppiatt, 1975).

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crystallites to be superheated by upto 7K. By performing additional 'beam-on' experiments in which the crystallites in a layer (held at a constant temperature) were photographed at regular intervals, the presence of the time delay was still This indicated that the heating and cooling cycle observed. required for an accurate temperature measurement, did not The characteristic times for type affect the time delay, II and type III polyhedra were found to be independent of the particle size involved (150Å to 600Å in diameter). For the platelet (type I) particles, however, the characteristic times were found to be largest for particles which upon melting formed liquid droplets with diameters of the order of 400Å. Both larger and smaller particles had smaller Also the melting behaviour of the characteristic times. platelets was seen to be independent of their shapes and In an attempt to determine the time taken by thicknesses. the melting process, further experiments by Peppiatt using a cine camera have shown that bismuth particles, a fraction of a micrometer in diameter, changed completely from the solid to the liquid in less than 0.04 sec.

As part of the experiments described in this thesis, the work of Peppiatt on bismuth has been extended. In particular, the time delay in the melting of particles with sizes greater than those studied by Peppiatt has been investigated. In addition, the possible influence of a crystalline base on the formation and melting behaviour of the

bismuth particles in the neighbourhood of the bulk melting point has been studied by using single crystalline flakes of molybdenite as substrates; see chapter 5.

1.3. The Melting of Small Particles of Tin.

Although the transition from solid to liquid tin had been observed by electron diffraction by Jenkins (1935), Sayama (1941), Richter (1943) and Pines and Bublik (1954), the first experiments on the melting of small particles of tin were again carried out by Takagi (1954). She used the electron diffraction technique in reflection and crystalline faces as substrates. She quotes a depression from the bulk melting point (505K) of 30K for a film of mean thickness 50Å, with a corresponding particle radius of 43Å estimated indirectly from supercooling data.

The first attempt to correlate directly the melting point of a given tin crystallite with its size was madeby Blackman and Curzon (1959) (see also Curzon, 1960). The melting temperature (T_m) of a layer of crystallites was determined by the electron diffraction method in transmission. A histogram of the sizes of particles was plotted by measuring the radii of the particles in the transmission electron micrographs of the specimen after it had cooled and transferred to an electron microscope. From the histogram, the melting radius (r_m) corresponding to T_m was defined such that 80% of the crystallites in a given layer had radii equal to or less than r_m . The accuracy of the crystallite radii measurements was only $\stackrel{+}{=}$ 10%. By assuming the tin particles to be spherical, their results showed that the depression in melting point of a given tin particle varied inversely with r_m (figure 1,3,1).

Wronski (1963, 1967) later extended the work by Blackman and Curzon, to study in detail the dependence of the melting point of tin on crystallite size. He increased the number of observations considerably and used a modified method to obtain the mean radius of a given set of particles in a layer. The melting temperature (T_m) of a given specimen was taken to be the lowest temperature to which the specimen had to be heated such that the photograph of the. diffraction pattern of the specimen was indistinguishable from a similar photograph taken at 505K, the bulk melting To determine the melting radius (r_m) , point of tin. Wronski carried out some subsidiary experiments. In these, the diffraction pattern from a known weight of solid tin was superimposed on the diffraction halos produced entirely by a known weight of liquid tin (at 505K) on the same photographic plate. By using the size distribution of the particles in the two specimens, he considered r_m to be such that 85% of the crystallites in a layer had radii equal to or less than Wronski's results show that the melting point of a r_m, given tin crystallite of radius r does not precisely vary inversely with r_m , in contrast to the results obtained by Blackman and Curzon, see figure (1.3.1.), Thin films of

<u>1.3.</u>





amorphous carbon and siliconmonoxide (SiO) were used as substrates, although no effect of a change of substrate on the results was found (see figure 1,3,2.). The results also show that a melting temperature could be assigned to a given particle within a narrow temperature range due to the small scatter in the melting temperatures measured, see figure (1.3.2.).

Although the above authors gave results differing in the variation of the melting temperature with the sizes of the tin particles (see figure 1.3.1.), they made similar assumptions regarding the shapes of the particles in their experiments. Evidently, since the melting experiments were not performed in an electron microscope, but the particles were only observed in an electron microscope after each experiment, the actual shape and morphology of the particles as deposited, could not be known. Hence, for simplicity, they assumed the tin particles to be spherical and accordingly, made theoretical treatment of their results by taking the particles to be spherical.

In the present experiments, a study has been made of the melting of small particles of tin "in situ" in an electron microscope. Not only the melting point of individual crystallites could be determined accurately, but also morphology of the particles. Besides using thin films of amorphous carbon as substrates in a majority of experiments,

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the effect of crystalline substrates on the melting of tin crystallites was also investigated in a few experiments by using thin single crystalline films of molybdenite and graphite; see Chapter 6.

CHAPTER 2

THEORY

2.1. The Theories of the Melting of Small Particles.

2.1.1. The Size Dependence of the Melting of Small Particles.

A small particle has a very large surface area relative to its volume. Due to the change in surface energy and density upon melting, the free energies of the initial small solid particle and the final liquid particle will be different from the free energy of the bulk material, and the melting point will be altered with respect to the bulk value.

According to the second law of thermodynamics, for any thermodynamic process at constant volume and temperature, the free energy will remain constant or decrease. For normal pressure, 'constant volume' can be replaced by constant pressure. It follows that the stable state of the system has the minimum free energy. For a bulk solid, the melting point is the temperature at which the free energy of the solid equals that of the liquid of the same mass. Above this temperature, the liquid is the stable phase, while below it, the solid becomes the stable phase. Similarly, a "thermodynamic melting point" may be calculated for a small crystallite by equating the free energies of the initial solid and final liquid particle. This is different from that of the bulk material due to the increased effect of the surface energy for small particles. For a small spherical particle of radius r_s , the thermodynamic melting point (T_{th}) is given by <u>2.1.</u>

$$\ln \left(\frac{T_{th}}{T_{o}}\right) = -\frac{3}{L\rho_{s}r_{s}} \left[\gamma_{s} - \left(\frac{\rho_{s}}{\rho_{l}}\right)^{2/3} \gamma_{l}\right]$$
$$\approx \frac{T_{th} - T_{o}}{T_{o}} \qquad (2.1.1.)$$

(Peppiatt, 1973)

where T_0 is the bulk melting point, L is the latent heat of fusion per unit mass, γ the surface energy per unit area of the material in contact with the vapour, ρ the density of the material, and the subscripts s and ℓ refer to the solid and liquid respectively. With normal values of the physical constants involved, one finds, from equation (2.1.1.), a depression in the melting point, inversely proportional to the radius of the solid crystallite. However, the thermodynamic melting point does not take into account the actual process by which a particle will melt (see section 2.1.2.) Several possible processes have been put forward by various authors as described below.

A relation between the melting point of a small particle and its radius seems to have been first derived by Pawlow: (1908-9, 1909). He considered the equilibrium of a small spherical solid particle and a liquid particle of the same mass, immersed in a common vapour. He identified as the melting point of the solid particle, the temperature at which there is an equilibrium in the solid-liquid-vapour system. His theory was later extended by Hanszen (1960). The Pawlow melting temperature (T_p) is related to the radius (r_s) of the
particle by the equation

$$\ln \left(\frac{T_{p}}{T_{o}}\right) = -\frac{2}{L_{\rho} r_{s} r_{s}} \left[\gamma_{s} - \left(\frac{\rho_{s}}{\rho_{\ell}}\right)^{2/3} \gamma_{\ell}\right] \qquad (2.1.2.)$$
$$= \frac{T_{p} - T_{o}}{T_{o}}$$

Rie (1923) developed a theory concerning the equilibrium of a spherical solid particle within an infinite (bulk) liquid and obtained the following expression for the Rie melting temperature (T_p)

$$\ln\left(\frac{T_{R}}{T_{O}}\right) = -\frac{2}{L\rho_{S}r_{S}} \gamma_{S\ell}$$

$$\simeq \frac{T_{R}-T_{O}}{T_{O}} \qquad (2.1.3.)$$

where γ_{sl} is the surface energy per unit area of a solid/ liquid interface.

Curzon (1960) developed the theory of the "liquid skin". This was suggested initially by Reiss and Wilson (1948) to explain the process by which a small crystallite is expected to melt. Curzon considered the solid particle to be covered by a thin skin of liquid, even at temperatures below its melting point. The liquid skin exists in equilibrium with the solid core over a range of temperature, until the 'skin melting temperature' (T_{SK}) is reached at which it becomes unstable and dissolves the solid core. The skin melting temperature (T_{SK}) for a small spherical solid particle is given by

$$\ln \left(\frac{\mathbf{T}_{SK}}{\mathbf{T}_{O}}\right) = -\frac{2}{\mathbf{L}_{\rho}_{S}\mathbf{r}_{S}} \left(\frac{\rho_{g}}{\rho_{S}}\right)^{1/3} \left[\gamma_{g} \left(1-\frac{\rho_{S}}{\rho_{g}}\right) + \gamma_{S}\left(1-\frac{\delta}{\mathbf{r}_{S}} \left(\frac{\rho_{S}}{\rho_{g}}\right)^{1/3}\right)^{-1}\right]$$
valid for $\mathbf{r}_{S}^{>>\delta}$
(2.1,4.)
(Sambles, 1970)

where r_s is the radius of the solid core of the composite particle and δ the thickness of the liquid skin.

Wronski (1963) developed a similar theory to that of Curzon, considering the possibility of the formation of a liquid cap on a spherical particle, rather than a complete liquid skin, As Wronski's theory is merely a more complicated variant of Curzon's theory, it will not be further considered.

2.1.2. Discussion of the Theories of Melting.

Table (2.1.1.) shows the change in melting point from the bulk value for various sizes of tin particles (assumed spherical), calculated from each of the above expressions by taking reasonable values for the physical constants (See appendix A.4.) involved. The differences between the depressions in the melting point from the theories arise from the differences in the criterion used to define the melting point. In all cases, however, the melting temperature is below the bulk melting point.

Pawlow's method of formulating the melting point of a small

2.1.

S.	To ^{-T} th	To ^{-T} p	To ^{-T} R	* ^T o ^{-T} SK
(X)	(K)	(K)	(K)	(K)
- 50	70.00	ED ED	26.02	10 76
- 50	78.80	52.55	20.02	TO*10
100	39_40	26.26	13.01	9,38
1,000	3,94	2.62	1.30	0.93
10,000	0.39	0.26	0.13	0.09

Table 2.1.1.

The Theoretical Melting Point of Small Tin Crystallites. The change in melting point from the bulk value for small spherical tin crystallites as predicted by various theories. The values of the physical constants used are listed in appendix A.4.

* Neglecting the thickness (δ) of the liquid skin, compared to the radii of the particles (equation 2.1.4.).

particle is an inadequate representation of the melting experiments performed. As was pointed out by Rie (1923), (See also Reiss and Wilson, 1948), Pawlow's definition of the melting point of a small particle corresponds to the 'triple point' for the bulk phase and does not necessarily define the melting point of a solid sphere because when melting occurs, the solid is not in contact with the vapour but with liquid. Thus, this theory fails to consider the actual process of melting.

Rie's theory is also inapplicable to the melting of the small particles studied in the present experiments, because it cannot be assumed that the particles are surrounded by a large quantity of liquid at the melting point.

The thermodynamic melting point, although defining the relative stability of the solid and liquid at this temperature, does not include the actual process of melting, as previously mentioned. The solid particle can only melt at the thermodynamic melting point (T_{th}) , provided there is a suitable process by which the free energy of the solid can be progressively lowered, or else, there is sufficent time for a very large fluctuation to occur for lowering the free energy. Thus, the particle remains <u>metastable</u> as the temperature is raised, until it melts either by the emergence of a suitable melting process or by overcoming the activation energy barrier (similar to that of supercooled liquid droplets,

2.1.

see section 2.2.1.) by a small fluctuation . In the present experiments, small crystallites of bismuth and tin are found to melt at temperatures much higher than those calculated for T_{th} (equation 2.1.1.). Thus, as Peppiatt and Sambles (1975) point out, 'the melting temperature is apparently determined by the actual process of melting, rather than the relative stability of the solid and liquid'. The thermodynamic melting point is however the lowest possible temperature at which a solid particle can melt.

By comparison with Pawlow and Rie theories, Curzon's liquid-skin theory for the melting of small particle can be considered as a better approach as it attempts to introduce a possible melting mechanism for the particles. This theory has the virtue that it assumes the liquid to be already present, covering the particle, even at temperatures below the skin melting temperature (T_{SK}) of the particle. A fuller description of this approach is given in section (2.2.4.).

All the above mentioned theories for the melting of small particles assume the initial solid particle to be spherical and therefore, derive the melting temperatures as a function of a single radius of curvature - equivalent to the radius (r_s) of the solid particle. However, the surfaces of the solid particle will almost certainly consist of facets, and surfaces with various radii of curvatures.

2.1./2.2.

It may be expected that melting would start on the particle at points with large curvatures rather then at those with smaller curvatures (see section 2.3.2.). Thus, a derivation of the melting point formula should also take into account the influence of the variations of curvatures on the melting point.

2.2. Nucleation in a Condensed System and the Application to the Melting of Small Particles.

2.2.1.Homogeneous Nucleation in a Supercooled liquid,

In order that a supercooled liquid may transform to the solid phase at some temperature below the equilibrium temperature (T_), a small number of atoms or molecules in the liquid must come together to form a solid nucleus, The formation of the solid nucleus in the interior of the liquid requires an initial increase in the free energy of the system due to the surface energy associated with the relatively small solid nucleus. Once the solid nucleus reaches a critical size, gaining atoms from the liquid (by a statistical fluctuation), further transformation results in a continuous decrease in the free energy and so will proceed spontaneously (Turnbull and Fisher, 1949). It follows that crystals larger than the critical size will almost certainly grow. Most theories of homogeneous nucleation are concerned with the problem of calculating the probability of formation of nuclei having the critical size.

2.2.

Hollomon and Turnbull (1950) have studied nucleation for the case of a supercooled liquid both theoretically and experimentally (For a general review of the nucleation theories, see Christian, 1965; Chapter X.). They obtained, for homogeneous nucleation at temperature T, the rate of production (I) of solid nuclei per unit volume inside a liquid as:

$$I = \frac{nkT}{h} \exp \left[-\frac{\left(\Delta F^* + \Delta F_a\right)}{kT}\right] \qquad (2,2.1,)$$

Here, n is the number of atoms of liquid per unit volume, h is Plank's constant, k is Boltzman's constant and ΔF^* is the height of the energy barrier which the solid nucleus must overcome to cause solidification of the liquid. In their treatment, Hollomon and Turnbull consider ΔF_a as the activation energy for self diffusion in the liquid. ΔF^* , that is, the activation energy required for the solid nucleus of the critical size, they calculated to be

$$\Delta F^{*} = \frac{16\pi\gamma_{sk}^{3}}{3\rho_{s}^{2}L^{2}} \cdot \frac{T_{O}^{2}}{(\Delta T)^{2}}$$
(2.2.2.)

where $\Delta T = T - T_0$ represents the amount of supercooling. It follows from equations (2.2.1.) and (2.2.2.), that to achieve a significant rate of nucleation, the liquid must be supercooled by tens of degrees to make the activation energy (or the height of the energy barrier), ΔF^* , smaller; for very small supercooling, the time required to form the critical nucleus (by statistical fluctuation) and hence, to

2.2.

resolidify the liquid, would become too great to be observed experimentally.

2.2.2. Heterogeneous Nucleation in a Supercooled Liquid

In the theory of homogeneous nucleation, it was assumed that the critical solid nuclei have an equal probability of forming at any point within the volume of the supercooled liquid. However, it may be seen from equation (2.2.1.). that the nucleation rate, I, is very sensitive to changes in ΔF^* which will drastically alter the temperature at which the nucleation rate will be appreciable. In heterogeneous nulceation where crystal formation is catalysed by impurities, it is supposed that the probability of nucleus formation is greater at the interface between the liquid and the foreign surface. It is shown (see Volmer, 1925; Turnbull, 1950; and Pound (1958) that the nucleation rate (I') per unit volume in a heterogeneous nucleation is given by

$$I' = \frac{N}{V} \cdot \frac{kT}{h} \exp -\frac{-(\Delta F^{*'} + \Delta F_a)}{kT}$$
(2.2.3.)

where, the free energy, ΔF^* , for the formation of the critical nucleus is given by

$$\Delta F^{\star'} = \frac{16\pi\gamma_{sl}^{3}}{3\rho_{s}^{2}L^{2}} \cdot \frac{T_{o}^{2}}{(\Delta T)^{2}} \cdot \frac{(2+\cos\theta)(1-\cos\theta)^{2}}{4}$$
(2.2.4.)

Here, θ is the equilibrium contact angle between the crystal

2,2.

nucleus in the form of a spherical cap and the nucleating surface (See figure 2.2.1.) and is determined by the equation:

$$\gamma_{l}^{1} = \gamma_{s}^{1} + \gamma_{sl} \cos(\theta) \qquad (2.2.5.)$$

where, γ_{g}^{\prime} is the surface energy per unit area of the surface between the liquid and the nucleating surface, and γ_{s}^{\prime} is that between the solid nucleus and the surface.

When θ is equal to 180°, that is the solid does not at all 'wet' the surface, equation (2.2.4.) reduces to equation (2.2.2.) and the surface will have no effect upon the nucleation. If θ is less than 180°, ΔF^* will be lowered; for example when θ is equal to 90°, the value of ΔF^* will be half the corresponding value for homogeneous nucleation.

In equation (2.2.3.). the pre-exponential factor of equation (2.2.1.) is modified, as the number of probable nucleation sites is now limited to sites on the surface of the liquid. Here, V is the volume of the liquid, with N the total number of atoms in this volume in contact with the nucleating surface.

2.2.3. Application of Nucleation Theory to Melting of Small Particles: Surface Melting and the Wetting Condition.

Although nucleation theory has been successfully applied to the supercooled liquid system (and to the

2,2,

condensation of vapour), its application to the process of melting encounters serious difficulties in explaining the sharpness of the transformation and the rarity of superheating The reason for this is that there is an in solids. essential asymmetry between the kinetics of the two processes: whereas all substances will supercool, under suitable conditions, the examples of superheating under ordinary conditions are extremely rare (see, for example, Khaikin and Benet , 1939; also see, Peppiatt, 1975). The theory of homogeneous nucleation cannot explain this; it predicts that the rate of production of liquid nuclei should not become appreciable until the solid is superheated by an amount comparable to the supercooling needed to resolidify Also a similar critical size of a spherical the liquid. liquid nucleus for homogeneous nucleation of melting would be predicted.

An alternative explanation for the relative absence of superheating in solids can be formulated on the basis of the heterogeneous theory of nucleation. Under normal conditions, melting should always begin at the surface, which is able to act as its own heterogeneous nucleating agent. If the liquid nucleus forms a contact angle of less than 180° on the solid surface, the activation energy for the formation of the nucleus would be lower on the surface than one in the interior of the solid, even neglecting the strain energy due to the change in density of the material

on melting. This will favour the formation of the liquid on the surface of the solid. The activation energy for the formation of a spherical liquid nucleus on the solid surface (by analogy with equation (2.2.4.) can be written

$$\Delta F_{\ell}^{\star} \doteq \frac{16\pi\gamma_{\ell}^{3}}{3\rho_{\ell}^{2}L^{2}} \cdot \frac{T_{O}^{2}}{(\Delta T)^{2}} \cdot \frac{(2+\cos\theta_{\ell})(1-\cos\theta_{\ell})^{2}}{4} \quad (2.2.6.)$$

where θ_{l} is the equilibrium contact angle between the spherical liquid cap and the solid surface (see figure 2.2.2.) and is given by the relation

$$\gamma_{\rm S} = \gamma_{\rm p} + \gamma_{\rm SP} \cos(\theta_{\rm p}) \qquad (2.2.7.)$$

From equation (2.2.7.), to produce non-zero contact angle θ_{ρ} , we must have

$$\gamma_{s} < \gamma_{l} + \gamma_{sl} \qquad (2.2.8.)$$

This will mean that an activation energy, ΔF_{l}^{*} , determined by the amount of θ_{l} , will be needed for the formation of the liquid nucleus. The liquid will <u>not completely wet</u> the solid. The liquid nucleus below a certain critical size will be unstable with respect to the solid even at temperatures above the bulk melting point, and will therefore, recrystallize. This will result in a <u>time delay</u> effect, and a finite length of time is necessary for a sufficiently large statistical fluctuation to occur to form a nucleus above the

2.2.

as

critical size which is then able to grow.

If, however, the condition of equation (2.2.8.) is not met, i.e.

$$Y_{s} \ge Y_{l} + Y_{sl} \tag{2.2.9.}$$

there will be complete wetting of the solid surface by The contact angle $\theta_{\underline{\ell}}$ will be zero and, therefore, the liquid. there will be no energy barrier in forming the liquid nucleus on the solid surface. Equation (2.2.9.) is the wetting condition'. This means that it is energetically favourable for a liquid-skin to form on the free solid surface, since there is no activation energy barrier preventing the process occurring spontaneously. There will be no intermediate state of higher total free energy between the all solid and solid plus liquid skin stage. Indeed the inequality in equation (2.2.9.) Suggests that the formation of the liquid skin is possible even at temperatures below the bulk melting point (T_{O}) ; the small gain in free energy due to the presence of the liquid skin below T will be more than balanced by the reduction in free energy achieved by reducing the solid of some of its free surface energy. This is the basis of the liquid-skin melting model of particles; see section (2.2.4.).

Another reason favouring the formation of the liquid nucleus on the surface of the solid is that, for a liquid nucleus to grow within the interior of a solid, it

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2.2.

would require a strain energy as an addition to the activation energy of the formation of the liquid nucleus, due to the change in density on melting. If the initial liquid nucleus is formed on the surface of the solid, the change in volume will not cause a strain energy. This is in contrast with the formation of a solid nucleus in the interior of a supercooled liquid. The change in volume of the liquid which forms the solid nucleus does not involve any additional strain energy in the activation energy, as the liquid is able to flow freely. It therefore seems that in melting, the initial liquid nucleus will form on the free surface of the solid.

2.2.4. The Liquid-skin Melting Model.

The liquid-skin theory attempts to introduce a possible process for the melting of small particles. The liquidskin is able to form even at temperatures below the bulk melting point, as previously mentioned. However, if the skin were thick enough to have bulk liquid properties, it would inevitably resolidify below the melting point; it must therefore be assumed to be thin (for example, in the form of a two dimensional monolayer of liquid) without bulk liquid properties at the initial stages of formation. Once formed the skin will then grow in thickness with increasing temperature, eventually becoming unstable, and dissolving the solid at the skin melting temperature. The liquid-skin melting model therefore, consists essentially of

two processes: first, the formation of the two dimensional monolayer liquid skin and second, the growth of the skin leading to the eventual melting of the particle.

Two mechanisms have been suggested (Peppiatt, 1973) by which the two-dimensional liquid skin can nucleate and grow, either of which might occur depending on the material.

(a) Nucleation <u>on</u> the surface: In this case, the two dimensional liquid nucleus may form on the surface (figure 2.2.3a.) and subsequently grow, either by the aggregation of adatoms or by the activation of atoms directly from the solid. In the case of high adatom concentration, it is likely that the former will dominate.

(b) Nucleation <u>in</u> the surface: In this case, the liquid nucleus can only form and grow in the surface (figure 2.2.3b.) by the direct activation of atoms from the solid surface into a liquid like configuration.

Which of these two mechanisms dominates probably depends on the material. Nucleation on the surface seems more likely on kinetic grounds, as the aggregation of adatoms seems more likely than the sudden activation of a group of surface atoms. However, nucleation in the surface appears more favourable thermodynamically as it involves a smaller activation energy. The former process will dominate when there is high adatom concentration; while the latter seems more favourable at

2.2.

(<u>a</u>)

(<u>b</u>)



Heteregeneous nucleation of the solid on a foreign surface (in a supercooled liquid).



FIGURE 2,2,2,

Nucleation of the liquid on the surface of the solid

liquid monolayer solid surface

liquid monolayer

solid surfaçe

FIGURE 2.2.3.

low adatom concentration, In both cases, suitable nucleation sites, for example, dislocations, stacking faults or grain boundaries would help the nucleation.

Now consider a solid particle which is being heated Providing the from below the skin melting temperature. wetting condition (equation 2.2,9,) holds, as the temperature is increased, there would be a reduction in surface energy, together with a smaller gain in the volume energy, by the formation of a thin liquid skin. The variation of the change in free energy (AF) of the system, on forming the liquid skin, with the thickness (δ) of the liquid skin as the temperature is being increased, is shown in figure When the temperature (T) is much less than the bulk 2.2.4. melting point (To), the solid is the stable phase (figure 2.2.4a.).When the temperature equals the thermodynamic melting temperature (T_{tb}) (figure 2.2.4b), the liquid becomes the stable phase, but the solid remains unstable and therefore will not melt within a reasonable time scale (See section 2.1.2.). At some temperature (T_{ϱ}) , a thin stable liquid skin is able to form on the particle - the thickness (δ_{eq}) of this skin, at equilibrium with the solid, is given by the minimum near $\delta=0$ (figure 2.2.4c.). As the temperature is raised further, the liquid skin grows thicker, i.e. the minimum moves towards the right, Also the maximum in the curve, which represents the activation energy preventing the particle from melting at Tth, moves towards the left, until at the skin melting temperature

2.2.





FIGURE 2,2,4,

The variation of the change in free energy (ΔF) of a solid particle, on forming the liquid skin, with the thickness (δ) of the liquid skin, on increasing the temperature.

 (T_{SK}) , the maximum and the minimum coincide. This results in a point of inflection (figure 2.2.4d.). At this stage, the liquid skin becomes unstable, as the particle would progressively lower its free energy by allowing the liquid skin to completely dissolve the solid. Mathematically, the skin melting temperature, T_{SK} , will be defined as the temperature, for which,

$$\left(\frac{\partial \Delta F}{\partial \delta}\right) = 0 \qquad (2.2.10.)$$

at
$$T = T_{SK}$$
.

This means that at the skin melting temperature, as soon as the liquid skin is formed, it moves into the solid without requiring an activation energy for the process and dissolves the solid completely.

A good approximation may be made for the skin melting temperature purely from thermodynamics, by making the reasonable assumption that the thickness of the liquid skin up to the point of instability is much smaller than the size of the particle. This has been done for various cases in the next section.

2.3 <u>Calculation of the Skin Melting Temperature (T_{SK})</u> for Various Shapes of Crystallites.

2.3,1, A Spherical Crystallite.

Consider a spherical solid particle of radius r_s , which on melting at the surface, forms a liquid-skin of thickness δ . Let R be the radius of the composite particle (figure 2.3.1.). R will be different from r_s due to the change in density upon melting.

Let $f_s(T)$ and $f_l(T)$ be the Helmholtz's free energy per unit mass at temperature T of the solid and liquid respectively. Now, for the composite particle,

the volume energy,
$$F_{VOl} = \frac{4}{3} \pi (R-\delta)^3 \rho_s f_s(T)$$

+ $\frac{4}{3} \pi \{R^3 - (R-\delta)^3\} \rho_\ell f_\ell(T)$
(2.3,1a.)

the surface energy, $F_{surf} = 4\pi R^2 \gamma_{\ell} + 4\pi (R-\delta)^2 \gamma_{s\ell}$ (2.3.1b.)

Therefore, the total free energy of the composite particle, which is a function of the liquid skin thickness:

$$F(\delta) = F_{vol} + F_{surf}$$

= $\frac{4}{3} \pi (R-\delta)^{3} \rho_{s} f_{s}(T) + \frac{4}{3} \pi \left\{ R^{3} - (R-\delta)^{3} \right\} \rho_{\ell} f_{\ell}(T)$
+ $4\pi R^{2} \gamma_{\ell} + 4\pi (R-\delta)^{2} \gamma_{s\ell}$ (2.3.2.)

2.3.



FIGURE 2,3.2.

Liquid skin formed along the length of a rod or needlelike particle. Also the change in the free energy from the initial state of a very thin skin is

$$\Delta F(\delta) = F(\delta) - F(\delta=0)$$

$$= \left[\frac{4}{3} \pi \left\{ R^{3} - (R-\delta)^{3} \right\} \rho_{\ell} \left\{ f_{\ell}(T) - f_{S}(T) \right\} \right]$$

$$+ \left[4\pi (R^{2} - r_{S}^{2}) \gamma_{\ell} + 4\pi \left\{ (R-\delta)^{2} - r_{S}^{2} \right\} \gamma_{S\ell} \right] \quad (2.3.3.)$$

where the first bracket represents the change in volume energy and the second that in surface energy in the formation of the liquid skin.

The particle will melt at the skin melting temperature, determined by the equation (2.2,10,). Therefore, differentiating equation (2.3.3.) with respect to δ and substituting $\delta=0$, and also remembering that when $\delta=0$, R equals r_e , we get

$$\left\{ f_{\ell}(T) - f_{S}(T) \right\} \rho_{\ell} - 2r_{S}\gamma_{S\ell} + \left(\frac{\partial R}{\partial \delta}\right)_{\delta=0} 2(\gamma_{\ell} + \gamma_{S\ell}) = 0 \quad (2, 3.4.)$$

Also, since the mass of the particle remains unchanged on forming the composite particle, we must have mass of the initial solid particle = mass of the composite particle. Therefore,

$$\frac{4}{3}\pi r_{\rm S}^{3} \rho_{\rm S} = \frac{4}{3} \pi (R-\delta)^{3} \rho_{\rm S} + \frac{4}{3} \pi \left\{ R^{3} - (R-\delta)^{3} \right\} \rho_{\ell} \qquad (2.3.5.)$$

Differentiating equation (2.3.5.) with respect to δ and taking $\delta = 0$,

$$\left(\frac{\partial R}{\partial \delta}\right)_{\delta=0} = \frac{\rho_{\rm s} - \rho_{\rm s}}{\rho_{\rm s}}$$
(2.3.6.)

Also,
$$f_{s}(T) - f_{\ell}(T) = L.\ln(\frac{T}{T_{o}})$$
 (2.3.7.)

(see appendix A.l., equation(A.l.10.)

At the skin melting temperature, from equation (2.2.10.)

$$T = T_{SK}$$

Therefore, substituting equations (2.3.6.) and (2.3.7.) in (2.3.4.), and simplifying, we have, for the skin melting temperature of the spherical particle

$$\ln \left(\frac{\mathbf{T}_{SK}}{\mathbf{T}_{O}}\right) = -\frac{2}{L\rho_{S}r_{S}}\left[\gamma_{Sl} + \gamma_{l}\left(1 - \frac{\rho_{S}}{\rho_{l}}\right)\right] \qquad (2.3.8a.)$$

or, to a first approximation

$$\mathbf{T}_{O} - \mathbf{T}_{SK} \simeq \frac{2\mathbf{T}_{O}}{\mathbf{L}\rho_{S}\mathbf{r}_{S}} \left[\gamma_{Sl} + \gamma_{l} \left(1 - \frac{\rho_{S}}{\rho_{l}} \right) \right] \qquad (2.3.8b.)$$

(c.f. Peppiatt and Sambles (1975), equation (6)).

2.3.2. A General Expression for the Skin Melting. Temperature (T_{SK}) of a Curved Surface.

Consider the formation of a thin liquid skin on a curved solid surface having two principal radii of curvature, R_1 and R_2 . Providing the solid surface is completely wetted by the liquid (equation 2,2.9,), then above the skin melting temperature (T_{SK}), the liquid skin, once formed,

would melt the rest of the solid at this temperature, by progressively moving through the crystallite without requiring an activation energy. The skin melting temperature for such a curved surface is given by:

$$\ln \left(\frac{T_{SK}}{T_{O}}\right) = -\frac{1}{L\rho_{S}} \left(\frac{1}{R_{1}} + \frac{1}{R_{2}}\right) \left[\gamma_{S\ell} + \gamma_{\ell} \left(1 - \frac{\rho_{S}}{\rho_{\ell}}\right)\right] \quad (2.3,9.)$$

(see appendix A.2.)

This expression can be considered to be the general equation of the skin melting temperature for various shapes of crystallites. For example, in the case of a spherical crystallite, the two principal radii of curvature (R_1, R_2) are equal to the radius of the spherical crystallite (r_s) . Therefore, substituting r_s for both R_1 and R_2 in equation (2.3,9.), the relevant expression (equation 2.3.8.) for the skin melting temperature of a spherical particle is obtained.

In the following two sections, approximate expressions for the skin melting temperaturers of a rod or needle-like crystallite and a disc-type crystallite will be derived, by applying equation (2, 3.9.), for the skin melting temperature of a curved surface.

2.3.3. A Rod or Needle-like Crystallite.

A rod or needle-like crystallite may melt in either of the two ways, (i) the liquid skin may form along the

2.3.

length of the crystallite, so that the composite particle has a cylindrical solid core surrounded by a cylindrical shell of the liquid skin, or (ii) the skin may form at one end of the crystallite and progress through it at the skin melting temperature and eventually dissolve it.

> (i) Liquid skin formed along the length of the crystallite.

Consider a rod or needle-like crystallite of radius r_s and length 1, along which a liquid skin of thickness δ is formed (figure 2.3.2.), For this composite particle, the two principal radii of curvature will be:

 $R_1 = r_s$ (2,3.10.) $R_2 = \infty$

(along the length of the crystallite)

Therefore substituting equation (2,3.10) in (2.3.9.), the skin melting temperature for the crystallite in this case will be

$$\ln \left(\frac{T_{SK}}{T_{O}}\right) = -\frac{1}{L\rho_{S}r_{S}} \left[\gamma_{Sl} + \gamma_{l}\left(1 - \frac{\rho_{S}}{\rho_{l}}\right)\right] \qquad (2.3.11.)$$

Thus, in this case the depression of the skin melting temperature from the bulk value is half that of a spherical particle (equation 2.3.8.).

(ii) Liquid skin formed at one end of the crystallite.

Let a liquid skin of thickness δ be formed at one end of the crystallite (radius r_{g}) in the form of a spherical



(sectional view)

FIGURE 2,3,3,

Liquid skin formed at one end of a rod or needlelike particle.



FIGURE 2.3.4.

A disc-type particle.

cap, as shown (figure 2.3.3.). By assuming that the cap-like liquid skin has approximately the same radius of curvature as the crystallite, the two principal radii of curvature will be

$$R_1 \approx R_2 \approx r_s$$
 (2.3.12.).

By substituting equation (2.3.12.) in (2.3.9,), the skin melting temperature for the rod or needle-like crystallite can be obtained as

$$\ln \left(\frac{T_{SK}}{T_{O}}\right) = -\frac{2}{L\rho_{S}r_{S}} \left[\gamma_{S\ell} + \gamma_{\ell}\left(1 - \frac{\rho_{S}}{\rho_{\ell}}\right)\right] \qquad (2.3,13,)$$

Here the skin melting temperature is the same as that of a spherical particle of radius r_s (equation 2.3,8,).

2.3.4. A Disc-Type Crystallite.

<u>2.3.</u>

Consider a spherical solid disc of radius r_s and thicknesst, and having a flat top surface and a curved edge (figure 2.3.4.a). It is unlikely that the melting will be initiated from the top flat surface, as this surface has an infinite radius of curvature. Consequently the liquid skin would probably form around the curved edge, and at the skin melting temperature, would melt the crystallite.

Now, from figure (2.3.4b.), we have for the two principal radii of curvatures of the curved edge:

$$R_{1} \equiv r_{s}$$
and
$$2R_{2} \approx t$$
or,
$$R_{0} \approx \frac{t}{2}$$

Therefore, substituting equation (2.3.14.) in equation (2.3.9.), the approximate expression for the skin melting temperature of the disc will be

$$\ln \left(\frac{T_{SK}}{T_{O}}\right) = -\frac{1}{L\rho_{S}} \left(\frac{1}{r_{S}} + \frac{2}{t}\right) \left[\gamma_{S\ell} + \gamma_{\ell}\left(1 - \frac{\rho_{S}}{\rho_{\ell}}\right)\right] \quad (2.3.15a.)$$

or, to a first approximation

$$T_{o}-T_{SK} \simeq \frac{T_{o}}{L\rho_{S}} \left(\frac{1}{r_{S}} + \frac{2}{t}\right) \left[\gamma_{S\ell} + \gamma_{\ell}(1 - \frac{\rho_{S}}{\rho_{\ell}})\right] \qquad (2.3.15b.)$$

2.4. The Change in Skin Melting Temperature due to Other Effects.

As stated previously, a solid particle will melt at the skin melting temperature (T_{SK}) determined by

$$\left(\frac{\partial \Delta F}{\partial \delta}\right) = 0$$
 equation (2,2,10)

Here, $\Delta F(T)$ is the change in the total free energy of a solid particle which on melting at the surface forms a liquid skin of thickness δ . However, if there is an additional effect, for example, a strain or dislocation, which alters the free energy of the solid particle or the liquid skin, the skin

(2.3.14.)

melting temperature will occur at a different temperature, T'_{SK}: This temperature can be derived in a similar manner to that used for the normal skin melting temperature (T_{SK}) , For example, consider the effect of a uniform strain energy in the solid of E per unit volume on a curved surface of principal radii of curvature R_1 and R_2 . If the surface melts to a thickness δ , then the strain energy released per unit area would be $E\delta_1$.

Therefore, in the presence of a strain in the curved surface, the change in free energy on melting is

$$\Delta \mathbf{F}^* = \Delta \mathbf{F} - \mathbf{E}\delta \qquad (2,4,1,)$$

Melting will begin at the surface at the skin melting temperature, T_{SK} ', given by

$$\left(\frac{\partial \Delta \mathbf{F}}{\partial \delta}\right)_{\delta = \delta_{1}} = 0 \qquad (2, 4, 2,)$$

Hence, from equation (2,4,1,)

$$\left(\frac{\partial \Delta F}{\partial \delta}\right)_{\delta=\delta_{1}} - E = 0 \qquad (2,4,3)$$

Following the derivation of the normal skin melting temperature (T_{SK}) for a curved surface (see appendix A,2,) with the inclusion of an additional term due to the strain energy, we obtain for small changes of melting point

$$\frac{T_{SK} - T_{O}}{T_{O}} \sim \frac{-1}{L\rho_{S}} \left[\left(\frac{1}{R_{1}} + \frac{1}{R_{2}} \right) \left\{ \gamma_{S\ell} + \gamma_{\ell} \left(1 - \frac{\rho_{S}}{\rho_{\ell}} \right) \right\} + E \right] \quad (2,4,4)$$

2.4.

2.4.

For a discussion of the effect of strain due to the bending of the tin platelets observed in the present experiments, on the melting temperature of such particles, see section 8,1.3.

CHAPTER 3.

APPARATUS

3.1 <u>General</u>.

3.1

The experiments were carried out in a J.E.M.6A electron microscope, using a 80kV electron beam. The resolution of the microscope with the specimen heaterstage in position, was approximately 20Å.

The specimens were prepared by "in-situ" evaporation of the material under investigation, onto thin substrates mounted on a molybdenum grid in the heater cartridge. In order to improve the vacuum in the vicinity of the specimen, and therefore reduce the contaminating effects of the residual gases, a liquid nitrogen cold trap was incorporated between the evaporator unit and the heating stage (See section 3.2, and figure 3.1.).

The observations were recorded on "Ilford, Special Lantern Contrasty" photographic plates, by using the normal plate camera of the microscope. The plates were outgassed for several hours, in a special chamber, using a diffusion pump separate from the main pumping unit, before inserting them into the microscope.

Magnifications in the microscope of up to 50,000 diameters were used, For analysis, the micrographs were printed on bromide photographic paper, with a further magnification of the micrographs.

3.2 The Cold Trap and Evaporator Unit.

A vacuum of the order of 4×10^{-7} torr was obtained in the microscope column without any extensive alterations being made to the specimen chamber arrangement (as for instance, Poppa, 1965). This was achieved by the incorporation of a liquid nitrogen trap, as previously mentioned. The cold trap was placed as close to the specimen as possible. The arrangement of the cold trap and the relative positions of the evaporator unit and the heating stage is shown in fugure 3.1.

The cold trap consisted of two sections, a large reservoir (capacity 0.5 litres) and a smaller one of about 0.1 litres. The smaller reservoir was placed immediately above the specimen heating stage, and had a central cylindrical aperture allowing the passage of the electron beam and the evaporant. (A detail description of the cold trap has already been published, see Peppiat& Sambles 1975). By placing the larger reservoir at a slightly higher level than the smaller one, the latter was constantly full of liquid nitrogen passing from the larger reservoir. To increase the cold surface, and hence improve the pumping speed, fins were added to the smaller reservoir.

The specimen was evaporated "in-situ" from a spiral filament, by resistance heating. The filament was mounted, off centre, on a rotating vacuum seal, so that it could be moved into the line of the specimen for the deposition, and

3,2.



FIGURE 3.1.

Arrangement of the cold trap and evaporator unit in the electron microscope.

3.2.

out of line of the electron beam for observation. A viewing window was attached to the evaporator unit, (see figure 3.1.), to facilitate the alignment of the evaporator filament with the substrate in the heater cartridge. The residual gas pressure was measured by a hot ion gauge mounted on one side of the evaporator unit.

By wrapping the external surfaces of the cold trap and evaporator unit with "Heat by the Yard" tape and baking them overnight at a temperature of 60 to 70° C, a vacuum of the order of 4×10^{-7} torr was generally obtained during an experiment.

The substrate provides some protection to the specimen (resting on top of the substrate) from being contaminated by the oil vapours streaming up the microscope column from the plate chamber. The major source of contamination of the specimen (even when the cold trap is being used) is probably the residual gases streaming downwards, from the electron gun chamber, along the path of the electron beam. In order to reduce the amount of these gases streaming downwards to the specimen, the condenser lens aperture was coated with a thin film of carbon. To avoid the deposition of the evaporant on this film, a copper foil was attached above the evaporator filament (see figure 3.1.). Although this produced a lower intensity image by slightly scattering the electron beam, no noticeable change was found in the resolution of the microscope.

3.3./3.4.

3.3 The Mass-Spectrometer,

The ion-gauge in the evaporator unit (figure 3.1.), could be replaced by a mass-spectrometer head to examine the residual gases within the microscope vacuum. The spectrometer, a CENTRONIC AIG 50, could also be employed to measure the total pressure in the microscope column. The readings from the mass-spectrometer were recorded directly on a chart recorder and are described in section 7.4.

3.4 Specimen Heating Furnace.

The specimens were heated in the microscope using JEOL transmission furnaces. The standard furnaces were adapted to measure the specimen temperature as accurately as possible, following the original design of Sambles (1973). In this, a copper-constant an thermocouple was sandwiched between two halves of a double tantalum washer and was mounted above the specimen in the heater cartridge (figures 3.2. and 3.3.). A second tantalum washer was placed below The specimen grid was then tightly sandwiched the specimen. between the two washers using a tantalum screw. The apertures in the washers and the screw were made as small as possible (~ 0.5 mm), to minimise the heat loss by radiation, and therefore achieve a uniform temperature across the specimen.

The thermocouple wires were led through the centre of the furnace, to terminals constructed on the top of the



Arrangement of thermocouple in specimen furnace.



FIGURE 3.3.

Construction of thermocouple terminals on specimen furnace

furnace (see figure 3.3.). The terminals were made of copper and were insulated from each other and the furnace using thin mica sheets and alumina cement - shaped to fit the top of the cartridge. To help ensure that the specimen was at the same temperature as the thermocouple, very thin thermocouple wires (~0.0610mm dia) were used to prevent the heat loss by conduction.

The furnaces were supported by a JEOL ceramic heatingstage. The thermocouple terminals located into contacts built onto the heating-stage. The respective copper and constantan wires were led away from these contacts, out of the microscope column using copper and constantan leadthroughs. The external junction was maintained at the temperature of melting ice, and a digital voltmeter was used for the measurement of the resultant thermal e.m.f.

The heating current was supplied by a stabilized power unit (SOLARTRON AS 1218). The current was measured using a milliammeter, to an accuracy of $\frac{+}{-}$ 3mA, a maximum of 0.8A being used.

In order to avoid specimen contamination, two separate heater furnaces were used for the experiments on bismuth and tin respectively.

3.4,
CHAPTER 4.

EXPERIMENTAL METHOD.

4.1. The Melting Experiments.

4.1.1. Determination of the Melting Temperature,

The main problem in the accurate determination of the melting temperatures of the specimens in an electron microscope is the effect of the localized heating by the intense electron beam. The amount of beam heating will depend principally on the intensity and energy of the beam and the type and thickness of the substrates used. By using thin ($\sim 100^{\circ}$) carbon films as substrates, it was observed that the temperatures of the specimen regions under the 80kV electron beam (in the present experiments) were raised by 20 to 40K. For accurate temperature measurements of the specimens, therefore, the effect of electron beam heating in the microscope has to be avoided.

A method in which all the relevant temperature measurements were made while the electron beam was not in operation and using the fact that liquid droplets can be supercooled by tens of degrees below the melting point, was devised by Dr.J.R. Sambles in this laboratory (Peppiatt and Sambles, 1975). This method was successfully applied by Peppiatt (1973, 1975.).in the investigation of the melting of the small particles of bismuth and lead and is also followed in the present work, as described below.

The specimen film was deposited (see section 4.2.2.) and heated immediately (at a heating rate of 20K min^{-1}) to

4.1.

4.1.

within 50K of the bulk melting point, see figure 4.1. By adjusting the heater current, the deposit was stabilized at this temperature for about two minutes. This temperature was below the annealing temperatures to be used in the rest of the experiment. During the heating and the stabilization of the temperature, the electron beam was switched off. The specimen was next cooled by about 50K, and again stabilized, and at this stage, the beam was switched on for As the beam heating is no more than 40K under observation. these conditions, the deposit will still be below the first The measurement of this temperature, annealing temperature. hence, will not be invalidated by the beam heating and this temperature could be determined by the thermocouples within the accuracy of their calibration.

A suitable region of the specimen close to a grid bar (see section 4.3.1.) was chosen and a number of micrographs were taken around this area. These micrographs were taken around an easily distinguishable mark in the substrate (for example, a crack or a dust particle on the substrate) so that the same area could be photographed repeatedly on the subsequent heating and cooling of the specimen. The beam was next switched off and the deposit heated to a temperature at which some of the particles were expected to melt. The temperature was stabilized for about two minutes, and the specimen was again cooled by 50K for the observation. The particles which melted would remain as supercooled liquid droplets and the solid particles still present would not be





melted by beam heating. The specimen region previously observed was re-photographed, so that any particle which had melted, could subsequently be identified. The experiment was continued either by heating the deposit to one particular temperature for various lengths of time, or by gradually increasing the temperature used. The deposit was finally completely melted by heating to above the bulk melting point. The volume of the particles could be determined by measuring the diameters of the resultant liquid droplets.

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4.1.2. Analysis of the Micrographs:

For analysis, the best set of micrographs from each experiment was printed on photographic paper. The micrographs from the experiments on bismuth particles were enlarged by a factor of four; for the experiments on tin, an enlargement of about twenty was used, in order to increase the accuracy of the measurement of the areas of the tin platelets. Typical series of micrographs from an experiment on the melting of tin platelets is shown in figure 4.2.

To determine on which subsequent micrograph each individual solid particle had become molten , a method identical to that employed by Peppiatt (1973), was used. A grid, consisting of a few vertical and horizontal lines, was drawn in identical positions on all the prints. By using the grid to identify each solid particle in each of the micrographs - corresponding to each stage of an experiment -

4.1.

d

(<u>a</u>)	т _о - 10К	for two minutes	(<u>a</u>)	T - 4K for two minutes
(<u>b</u>)	т _о – 8к	for two minutes	(<u>e</u>)	T _o - 2K for two minutes
(<u>c</u>)	т 6К	for two minutes	(<u>f</u>)	Particles melted

1 µm

FIGURE 4.2

Typical series of micrographs from an experiment on the melting of tin particles.

the point at which the particles melted was noted on the last micrograph containing the liquid droplets only. This was continued until all the solid particles were marked on the last micrograph. The number of particles melting at each stage of an experiment could then be found from this micrograph. The diameters of the marked droplets were measured to the nearest half - or quarter millimeter using a transparent ruler. It was then possible to plot a histogram of the sizes of the particles melting at each stage of the experiment.

In order to find the thicknesses of the tin platelets, it was necessary to measure their visible areas. The areas of the elliptical tin platelets (for example, particle A, figure, 4.2(c)) were determined using the expression **T**ab for the area of an ellipse (where 'a' and 'b' are the major and minor axes), while a planimeter was used to measure the areas of the irregularlyshaped platelets (for example, particle B, figure 4.2(c)). By neglecting the change of density upon melting, the thicknesses of the platelets could be determined by dividing the volumes of the liquid droplets by the above areas of the platelets. In determining the volumes of the liquid droplets, a correction was made allowing for the fact that the liquid droplet, resting on a substrate, is not a complete sphere but forms a hemisphere. For this reason, 80% of the volume of a complete sphere, as found from the diameter of a liquid droplet, was taken while determining the thicknesses of the tin platelets.

4.2.

4,2. Preparation of the Specimen Films.

4.2.1. Preparation of the Substrate Films.

In a majority of the melting experiments in the present work, amorphous carbon films of about 100Å thickness were used as substrates. The carbon films were prepared, in a vacuum of 10⁻⁵ torr in a vacuum evaporation plant, by carbon-arc evaporation onto air cleaved mica substrates. The films were subsequently floated off in distilled water and were mounted on molybdenum microscope grids. Molybdenum grids were chosen because this high melting point metal diffuses little at the temperatures of the present experiments and would therefore, not contaminate the specimens.

In addition to the amorphous carbon films, thin single crystalline films of molybdenite (MoS₂) and of graphite These substrates were were occasionally used as substrates. obtained as thin cleavage flakes, using the technique of Pashley et al. (1964), In this, they were repeatedly cleaved between two strips of "Sellotape" and were subsequently removed from the sellotape by dissolving the adhesive in chloroform. The flakes were washed in several charges of chloroform, followed by washing in ethyl alcohol, and finally in warm distilled water. Suitable flakes were collected onto a cleaved mica substrate which was then coated with a thin film of carbon in an evaporation plant. The composite film was floated off in distilled water and the individual areas containing the flakes were collected on molybdenum

grids. In this way, the crystalline flake was sandwiched between the grid and the amorphous carbon film, ensuring better adhesion to the grids and hence, improving the thermal contact with the furnace.

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4.2.2. Preparation of the Specimen Films.

Thin films (~ 100 Å – ~ 200 Å) of bismuth and tin were prepared by 'in-situ' condensation of the material onto thin substrates mounted in the heater cartridge of the JEM-6A The condensation was carried out by electron microscope. using a helical evaporator which had been previously outgassed; a tungsten filament was used for making bismuth, and a tantalum filament for making tin specimens. (Holland, 1956). Before each deposition, the furnace was heated to about 700K to remove any volatile contaminants from the substrate, To reduce the contaminating effect of the residual gas vapours on the specimen films, the deposition was generally carried out with the substrates held at temperatures above room temperature, following the suggestions of Ennos (1954) and Pashley et al (1966). By avoiding examination of the specimen films with the electron beam immediately after their depositon, and heating them rapidly to the first annealing temperature (usually 50K below the bulk melting point), a further reduction in the contamination rate was effected.

In all of the experiments, "Spec-pure" materials were used for deposition.

4.2.

4.3. The Calibration Methods.

4.3.1. Calibration of the Thermocouples:

The thermocouples were calibrated for temperature measurements, 'in-situ', in the heater furnaces in conditions identical to the melting experiments. In this method, a very thick deposit was melted, using the normal heating and cooling cycle of a melting experiment. The deposit, approximately O.lum thick, was obtained by evaporating a full evaporator filament, which was normally sufficient for Such a film could be assumed to melt several experiments. very close to the bulk melting point. Starting from an annealing temperature of about 20K below the bulk melting point of the material, the deposit was heated in successive stages, with the temperature being increased by 1K at each stage of heating. The temperature at which general melting of the deposit occured was taken to be the bulk melting point, within a degree.

The thermocouples were calibrated in both the bismuth and the tin furnaces, and also, the three substrates amorphous carbon, crystalline molybdenite and graphite were used separately in the calibrations.

The method of melting a very thick specimen film also provided information about the presence of a thermal gradient across the substrates. It was observed that parts of the deposit farthest from the grid bars melted about 2K higher than those close to the grid bars. This indicates that the parts of the substrate away from the grid bars were lower in temperature by two degrees.than those close to them. For this reason, melting experiments were performed by selecting specimen regions close to the grid bars.

4.3.2. Calibration of the Microscope Magnification.

The calibration of the microscope magnification was done by the method devised by Skinner (1969). This method required the use of a copper mesh with known spacing, which when photographed in the microscope, gave a direct The copper mesh employed had magnification calibration. previously been found by spectroscopic methods to have a With the mesh placed in the mean spacing of 8.45µm. specimen position in the microscope, the lowest magnification (about 1,800 diameters) was determined by photographing the mesh and measuring the mesh image with a travelling microscope. Seven other standard magnifications were then calibrated with respect to this magnification by measuring the relative sizes of bismuth and tin particles (remaining after a given experiment) on the micrographs. Magnifications of 1,800; 9,000; 11,000; 18,000; 30,000; 39,000 and 50,000 diameters were used as the standard magnifications.

The accuracy of the calibration was of the order of 4% as determined by the comparison of micrographs of nominally the same magnification.

<u>4.3.</u>

CHAPTER 5

RESULTS OF THE MELTING OF SMALL PARTICLES OF BISMUTH.

5,1. Introduction.

The time delay in the melting of small particles of bismuth has been found by Peppiatt (1973, 1975) to depend upon the method of formation and the morphology of the crystallites (see section 1,2,). In particular, he found that a platelet form had a characteristic time sufficiently large at temperatures above the bulk melting point (544K) to allow several crystallites to be superheated by about seven degrees. He also found that the compact polyhedral particles formed by melting and resolidifying the platelets exibited the time delay phenomenon but, at temperatures below the bulk melting point.

In the present experiments, a study has been made of the existence of a possible time delay in the bismuth crystallites larger than those investigated by Peppiatt who generally carried out experiments with particle sizes ranging from 400Å to 1,000Å in diameter. It was found that the particles above 1400Å in diameter melted 'sharply' at the bulk melting point, and therefore the characteristic time of melting for these particles could not be determined within the time scale of the present experiments (section 5.4.1.). In these experiments, it was also found that the polyhedral particles present in an initial solid layer have similar characteristic times to those of the resolidified polyhedra. The characteristic time of the resolidified polyhedral particles was found, at some temperatures, to vary inversely with the surface area (section 5.4.3.).

Although the majority of the melting experiments were carried out with carbon substrates, experiments were also performed to investigate the effect of a crystalline substrate on the melting of bismuth particles. The crystallites obtained on the OOL cleavage flakes of molybdenite again showed the time delay. The crystallites on this substrate however, could only be superheated by about two degrees (section 5.4.4.), For each form of crystallite on carbon and molybdenite substrates, the characteristic time was found to be a well-defined function of temperature (section 5.4.5.)

5.2. <u>General Observations On the Bismuth Melting</u> Experiments.

5,2,1. Bismuth on Carbon Substrates.

For most experiments, bismuth films of thicknesses from about 100Å to 200Å were deposited on carbon substrates at room temperature. The deposited layers usually consisted of a continuous, mottled film (figure 5.2.1a). producing highly textured diffraction patterns (figure 5.2.1b), consistent with the basal (000.1) plane (in the hexagonal description) of a large proportion of the deposit lying parallel to the substrate. The texturing was found to



A bismuth deposit (${\sim}200{\rm \AA}$ thick), at room temperature, and the corresponding diffraction pattern,

increase with increasing thicknesses of the deposit; figures 5.2.2a and 5.2.2b show such a deposit of 200Å thick and the corresponding diffraction pattern which is almost completely fibrous.

When the layers were heated to within 50K of the bulk. melting point, pronounced diffusion occurred (see figure 5.2.3.), causing the deposit to break up into islands, At this stage, sharp edges have formed together with many 120[°] angles characteristic of a basically hexagonal structure viewed along the (000.1) direction. Upon further heating, the misshapen crystallites tended to either split into separate crystallites, or to grow into a more perfect crystal, causing the particles to become thicker.

The crystallites, after melting and resolidification, formed as compact polyhedra (figure 5.2.4a), The diffraction pattern from such a layer is what would be expected from a completely random layer (figure 5.2.4b).

The crystallites obtained in a layer are classified according to their origin and morphology as follows:

(i) PLATELET (TYPE I) PARTICLE.

These are in the form of thin platelets, transparent to the 80KV electron beam and having shapes ranging from elongated to regular hexagons (for example, particle marked A, figure 5.2.5a). These crystallites all have six sides, containing angles of 120°. Their heights are small relative



 $l\mu m$





FIGURE 5,2,4.

The bismuth crystallites in a layer which had been melted and resolidified, and the corresponding diffraction pattern from the layer.

to their basal dimensions, as may be inferred from the size of the spherical liquid droplets formed upon melting (figure 5.2.5b). The shapes of these crystallites remained unchanged on heating, till

finally melting into spherical liquid droplets. These particles are identical to those termed platelet (type I) particles by Peppiatt (1973, 1975), and in the present work the same nomenclature is retained. The characteristic time of melting of these particles has been determined by the author in conjunction with the polyhedral particles obtained in a single layer, (see sections 5.4.1. and 5.4.2.)

(ii) POLYHEDRA (TYPE I) PARTICLE.

Particles obtained by heating layers of 200Å or more thickness, were initially irregular in shape, having several re-entrant angles, many of which vanished on further heating near the bulk melting temperature (figure 5.2.6; see also figure 5.4.1a). These are thick crystallites, with a height-to-radii of the liquid droplets ratio in the range 0.6 to 0.8. The maximum liquid droplet diameter from such particles was about 6500Å, while the minimum was of the order of 1400Å.

(iii) POLYHEDRA (TYPE II) PARTICLE,

Particles obtained by melting and resolidifying the above platelet (type I) and polyhedra (type I)

<u>5.2.</u>

particles, are nearly spherical in shape, These particles, however, could only be distinguished from their liquid droplets from the faceting on the side faces. The sizes of such particles ranged from a few hundred angstroms to a few thousand angstroms in diameter of the liquid droplets.

These particles, obtained similarly and referred to as polyhedra (type II) particles by Peppiatt (1973,1975), will also be termed polyhedra (type II) particles .

(iv) POLYHEDRA (TYPE II^{*}) PARTICLE.

These are similar in morphology to the above polyhedral particles, except that they occurred in an initial solid layer (for example, particle marked B, figure 5.2.5a). They were found in layers with thicknesses intermediate between 100Å and about 200Å, occurring together with the platelet (type I) particles, and had sizes upto a few hundred Ångstroms in diameter,

5.2.2, Bismuth on Molybdenite Substrates.

For the experiments on the molybdenite substrates, the films were always deposited on the substrates at temperatures a few degrees below the supercooling temperature. In most of the experiments, the deposits were not examined after the evaporation, but immediately heated to the experimental temperature in the absence of the electron beam. Figure 5.2.7a shows a layer of about 100° thickness, which however

<u>5.2.</u>





(<u>a</u>)





(<u>b</u>)

FIGURE 5.2.5.

A layer of bismuth (\sim 150Å thick) containing platelet (type I) and polyhedra (type II*)particles (b) after heating the layer (a) as above for 2 minutes at T₀- 4K.



0'8µm

FIGURE 5.2.6

A bismuth layer ($\sim 200 \Re$) containing polyhedra (type I) and platelet (type I) particles,

was observed after being deposited. The layer consisted of a large number of elongated ("needle-like") oriented crystallites, joined with each other at an angle of $\sim 60^{\circ}$ into a 'mesh-like' pattern. The diffraction pattern from the layer indicated a large proportion of these crystallites to be oriented with their (000.1) plane parallel to the substrate.

When the above layer was heated to within 30K of the bulk melting point, the mesh-like pattern broke up into individual crystallites (figure 5,2,7b), Many of these still retained their needle-like shape, while some were found to be irregular in shape. In general, these particles had the ratio of their heights to the radii of the liquid droplet in the range 0.4 to 0.6; the ratio of the length to the diameter of the liquid droplet of the needle-like particles varied between 2 and 5. Upon melting and the crystallites, the liquid droplets were always located at one end of the crystallites. By resolidifying the liquid droplets, nearly spherical particles were obtained. Due to the lack of any distinguishable faceting in almost all of these particles, no melting experiments on such particles were carried out,

Films thicker than those above were found, for the most part, to be almost continuous, containing a few irregular holes. These layers did not break up into individual crystallalites when heated to temperatures close to the bulk melting temperature; instead oriented hexagonal holes developed. These layers melted at the bulk melting point.

<u>5.2.</u>



- (<u>a</u>)
- A layer of bismuth (~ 100 Å thick), on molybdenite substrate (substrate temperature, 425K); The same layer after heating for two minutes at 30K below the bulk melting point (544K). (The arrow indicates a 'decorative' step on the substrate). (<u>b</u>)

5.3. The Experiments on Time Delay.

5.3.

By heating the crystallites in a layer of bismuth to the same temperature several times, the variation in the number of crystallites remaining solid was determined as a function of time. Decay curves were then plotted in which either $\frac{N}{N_0}$ or $-\ln \frac{N}{N_0}$ was plotted against the total time, t, for which the layer was heated. From these curves, a value of the characteristic time (τ) of melting at the given temperature was determined using the equation

$$N = N_0 \exp\left(-\frac{t}{\tau}\right)$$

where N_O is the initial number of solid particles, N(t) is the number remaining solid when heated at a given temperature for the total time t. For those experiments with very large or very small characteristic time, however, a value of τ was estimated from the small portion of the decay curve obtained.

It was often found possible with layers having large particle density, to repeat the heating process by increasing the temperature in steps of 1K, provided a sufficient number of particles still remained solid at the end of one experiment. By this, values of τ could be determined at several temperatures by using the same specimen. This was continued until the number became too low (less than 50).

5.4. The Characteristic Time of Melting for the Various Types of Particles.

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5.4.1, Absence of Time Delay in the Polyhedra (Type I) particles,

When the polyhedra (type I) particles were heated to different temperatures below the bulk melting point, for various periods of time, it was observed that none of these particles melted at these temperatures. All these particles, however, melted at the bulk melting temperature when heated to this temperature for about two minutes which was the maximum time required to stabilize the temperature over the entire specimen.

Figure 5,4,1a shows a series of micrographs from a typical experiment in which the layer also contained the platelet (type I) particles. A histogram of the size distribution (given as the diameter of the liquid droplets) is shown in figure 5.4.1b. The minimum size of the polyhedral particles in the layer is $\sqrt[5]{1,400A}$ in diameter. The layer was heated to temperaturers of 4K, 2K and 1K below the bulk melting point for total time of 20, 10 and 10 minutes at each temperature respectively. The layer was finally heated at the bulk melting temperature for 2 minutes. At temperatures below the bulk melting point, the number of platelet type particles only decreased steadily each time the layer was heated. The polyhedral particles gradually became thicker and grew into regular hexagons but otherwise remained

5.4.



- (b) $T_0 4K$ for a further 10 minutes (c) $T_0 - 2K$ for 5 minutes
- (<u>d</u>) $T_0 2K$ for a further 5 minutes (<u>e</u>) $T_0 - 1K$ for 5 minutes. (<u>f</u>) After 2 minutes at T_0

FIGURE 5.4.1.a

A typical series of micrographs from a time delay experiment on a bismuth layer containing polyhedra (type I) and platelet (type I) particles.

solid during this period, The polyhedral particles melted at the bulk temperature, while a few platelets still remained solid at this temperature. The situation is shown graphically in figure 5.4.2. in which $\frac{N}{N_{o}}$, the fraction of particles remaining solid is plotted against the total time The temperatures are (t) for the two types of particles. also shown along the abscissa. Since none of the polyhedral particles melted at the temperatures, below the bulk melting point, the graph for these particles remains parallel The slope of the graph, however, changes to the abscissa. abruptly at the bulk melting point when all of these particles In view of the 'sharp' melting of these particles melted, at the bulk melting temperature, τ for these could not be determined; also at temperatures below the bulk melting point, τ is too large to be determined in the present experimental time. Values of τ for the platelet type particles could be determined at each of the experimental temperatures.

Thus, even if a time delay in the melting of the polyhedra (type I) particles does exist, the τ of such particles is so high (at temperatures below the bulk melting point) and so low (at the bulk melting point) that it could not be determined in the time scale of the present experiment.

5.4.



Graph showing the variation in the number of bismuth type I polyhedra and type I platelets remaining solid (N) with time (t).



Size distribution of the bismuth type I polyhedra and type I platelets, shown in figure (5.4.1a).

5.4.2. The Characteristic Times of Platelet (Type I) Plus Polyhedra (type II) Particles in a Single Layer.

Figure 5.4.3. shows the decay curve from a time delay experiment on a layer containing the platelet (type I) and polyhedra (type II^{*}) particles. In this, $-\ln \frac{N}{N_0}$ is plotted against time, t. The graph is curved in the initial stages of heating, before approximating to a straight line. A value of τ was determined from the straight line portion of the curve. A lower value of τ is, however, represented by the initial curved portion of the decay curve, indicating a higher melting rate (which is $\frac{1}{\tau}$) at this stage.

It was observed that a large number of the polyhedra $(type II^*)$ particles melted during the initial heating period, compared to the platelet (type I) particles (for example, see figure 5.2.5.). In figures 5,4,4a, and 5,4,4b, decay curves have been drawn separately for the polyhedra and the platelet type particles. The curves approximate to straight lines in each case and therefore, values of τ for both types of particles could be determined. It is found that these curves jointly give the decay curve of figure 5.4,3.

5.4.3. The Characteristic Time of Polyhedra (Type II) Particles as a Function of Surface Area.

The polyhedra (type II) particles in a layer were divided into suitable size ranges (usually in intervals of 50Å), so that a large number of particles (at least 150) was

5.4.



FIGURE 5.4.3.

Variation in the number of bismuth type I platelets and type II polyhedra remaining solid (N) with time (t), plotted on a logarithmic scale.



(a̯)





Variation in the number of bismuth type I platelets remaining solid (N) with time (t).

included in each size range, The characteristic times of melting of the particles in each size range was determined at different temperatures. This was done using either the particles in different specimens at different temperatures or using specimens with large particle density, by heating the particles at a higher temperature from the previous one, provided a large number of particles in each size range still remained solid at the end of the first experimental temperature.

It is found that at temperatures within a few degrees of the bulk melting point, the characteristic time of the particles depended inversely on their surface area. The surface area was taken as being proportional to r^2 , where r is the radius of the liquid droplet. Figure 5,4,5, is a plot of τ versus $\frac{1}{r^2}$ at a temperature of 3K below the bulk melting point. At temperatures much lower than the above, very few of the larger particles melted, and consequently τ is very high for these particles at such temperatures At these temperatures, there was a departure from linearity of the characteristic time with the surface area, and the graph curved upwards at the larger particle end of the graph (figure 5.4.6, curve (a)), Upon heating the same particles at a higher temperature, the linearity of the characteristic time with the surface area of the polyhedral particles was observed once again (figure 5.4,6, curve (b)).

5.4.

7**(**)



Variation of the characteristic time (τ) with the surface area (r^2) of the polyhedra type II particles (r is the particle radius).





Variation of the characteristic time (τ) with the surface area (r^2) of polyhedra type II particles at two different temperatures.



Variation of the characteristic time (τ) with the particle radius (r) of type II polyhedra, plotted on a logarithmic scale.

A more quantitative idea of the dependence of the characteristic time of the polyhedra (type II) particles on their surface area can be obtained from the graph of figure 5.4.7. in which τ is plotted against r on a logarithmic scale. The slope of the straight line is approximately 2, suggesting surface area dependence of the characteristic time of melting for such particles.

5.4.4. The Characteristic Time of Melting of The Particles on Molybdenite.

The time delay in melting is found to be present in bismuth particles on molybdenite substrates. In figure $\frac{N}{N_{O}}$ with 5,4.8, the fraction of particles remaining solid time at a given temperature is plotted on a logarithmic scale against the total time (t) for which the particles The layer consisted of both the needle-like were heated. and the irregularly shaped crystallites. It can be seen that the later stages of the decay curve generally show a good exponential decay in the number of particles remaining solid; the initial rate of melting, however, is higher than the final constant value, as demonstrated by the curvature A value of τ was deduced from the linear of the graph, portion of the graph.

The higher melting rate at the initial stages of heating was found to occur with both the needle-like and irregularly shaped crystallites. Figure 5.4.9. shows the

5.4.



110010 3.110.

Variation in the number of particles remaining solid (N) with time (t), plotted on a logarithmic scale.





FIGURE 5.4.9.

Variation in the number of needle-like and irregularly shaped particles remaining solid (N) with time (t) plotted on a logarithmic scale.

two decay curves drawn separately for the two type of particles present in the same layer. Although the initial melting rate for both types of particles were greater than their respective final constant values, the final melting rates for both the particles, however, were often themselves generally found to approximate a single constant value.

The maximum temperature at which some particles still remained solid was about 2K <u>above</u> the bulk melting temperature, so that a value of the characteristic time of melting (τ) could be determined at this temperature. Almost all the particles melted on heating above this temperature. Values of τ were determined at different temperatures, from about 6K below the bulk melting point to 2K above it. The characteristic time of melting was found to be a welldefined function of temperature (section 5.4.5.).

5,4,5, Temperature Dependence of the Characteristic Time.

The variation of the characteristic time of melting (τ) with temperature (T) has been plotted (on a logarithmic scale) in figure 5.4.10. for the bismuth platelet (type I) and polyhedra (type II^{*}) particles and for the particles on molybdenite substrate. Also drawn in the figure are the experimental graphs of Peppiatt (1973, 1975) for the platelet (type I) and polyhedra (type II) particles. The experimental points obtained in the present experiments on the platelet (type I) and polyhedra (type II^{*}) particles generally agree

5,4.


5.4.

with the graphs of Peppiatt for the platelet (type I) and polyhedra (type II) particles respectively. The graph for the particles on molybdenite substrate has been drawn independently from those of Peppiatt. It will be seen that the graphs approximate to straight lines, suggesting an exponential dependence of the characteristic time on temperature. This, however, is probably an artifact due to the narrow temperature rangeover which it was possible to investigate.

CHAPTER 6

RESULTS OF THE MELTING OF SMALL PARTICLES OF TIN.

6,1. Introduction.

The melting of small particles of tin has been studied by several workers who, because they were unable to make direct observation, assumed the particles to be spherical (see section 1. 3.). In all cases, a depression in the melting point from the bulk value with decreasing particle size (given as the radii of the 'spherical' particles) has been observed in accordance with the theories of melting In particular, due to the small of small particles. scatter found in the melting temperatures of particles of equal size, the results of Wronski (1976), (figure 1.3.2.) suggest a definite melting temperature for each particle By treating the results theoretically, Wronski size. deduced a value of the solid/liquid interfacial energy. The present experiments, performed in the electron microscope, have shown that when tin is deposited on amorphous carbon, molybdenite or graphite substrates, the particles were in fact in the form of thin platelets which, on melting, form the spherical liquid droplets (figure 6,1.1.). By assuming that these platelets melt by forming a liquid skin along facets or curved surfaces round the platelets, a dependence of the melting point of the individual platelets on the respective thicknesses would be expected (see 2.3.4.). For comparison with previous workers, the dependence of the

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6.1.



6.1./6.2.

melting point on the radius of the final liquid droplet has also been plotted, although no theoretical conclusions may be drawn from this.

The existence of a time delay in melting as observed for the bismuth particles (Peppiatt, 1973,1975) was also investigated in the melting of the tin particles. However, no such obvious time delay effect was noticed within experimental error (section 6.3.). Therefore, a definite melting point could be assigned to the individual tin particles, which is not possible for the bismuth particles.

6.2. The Deposition and Appearance of the Tin Particles.

Thin layers of tin, with mean thicknesses ranging from about 100Å to 200Å were deposited on substrates, maintained at temperatures a few degrees below the maximum supercooling temperatures observed (see section 7.3.). In a majority of the experiments, substrates of amorphous Immediately after evaporation, the carbon were used. deposits were quickly heated (at a rate of about 20Kmin⁻¹), with the electron beam switched off, to 50K below the bulk melting point. By this method, the amount of hydrocarbon contamination (due to the cracking of the residual oil vapour by the electron beam) was kept to a minimum, as the layers were only observed at temperatures well above room Two such layers of mean thicknesses of 100Å temperature. and 200A on carbon substrates are shown in figures 6.2.1

6.2.

and 6.2.2. respectively, together with the diffraction patterns from these deposits. The diffraction patterns are characteristic of random orientation of the polycrystalline deposits, except for figure 6.2.2. which is slightly oriented with the (OlO) fibre axis perpendicular to the substrate. These layers consisted of individual particles, unlike evaporated films of bismuth, which would be continuous. The tin crystallites can be grouped into two size ranges - one of 50Å and less, and the other of 100Å and above. The large crystallites are surrounded by clusters of smaller particles. For the smaller particles, it is very difficult to distinguish between the solid and liquid, and hence, only the large crystallites were analysed.

The large crystallites are in the form of thin platelets, having a variety of shapes. The platelets have linear dimensions parallel to the substrate approximately two times greater than their heights (section 6.4.1.). Although a majority of the platelets in the thicker layers (figure 6.2.2.) are irregular in shape, in the thinner layers (figure 6.2.1.) they were found, in general, to be nearly circular or elliptical. Faceting of the side faces in the platelets is much less marked (particle A, figure 6.2.1.) than in the platelets of bismuth, However, some of the platelets in the thicker layers have straight edges; occasionally a few can be seen to be square in appearance (particle A, figure 6.2.2.). With the exception of a few



<u>0.5µm</u> (<u>a</u>)

(b)

FIGURE 6,2.2.

A layer (~200Å thick) of tin and the corresponding diffraction pattern.

6.2.

irregular particles, very little or no change in the shapes of the platelets was observed on further heating at a higher temperature, until melting occurred when they form the spherical liquid droplets. The solid platelets could therefore be readily distinguished from the respective liquid droplets.

Many of the platelets in the thicker layers have grain boundaries in them, but grain boundaries are completely absent in the platelets of the thinner layers. These grain boundaries are observed to disappear on heating the layers close to the bulk melting temperature, This is shown in the particle A (figure 6.2.3a.) of an initially deposited layer, On heating at 12K below the bulk melting point, the grain boundaries in this composite platelet (consisting of separate grains), have been removed. two A single crystallite is formed, having the shape of the original platelet (figure 6.2.3b.). Also some of the platelets are seen to have dark bands across them, A few such platelets are indicated by circles in figures 6.2.1. and 6.2.2, These bands are bend-contours resulting from strains in the crystallites. Dark bands occur whenever a part of the crystallite is at a Bragg reflection angle to the electron Although bend-contours are found to be already beam. present in the initially deposited platelets, some are noticed to be generated on heating the platelets to higher temperatures. For example, particle B (figure 6.2.3a.) has only one bend-contour in the initially deposited layer



6.2.

FIGURE 6.2.3.

 (a) Particles of tin, showing grain boundary and bend contour in a layer after its deposition at ~330K;

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(b) The same layer after being heated for two minutes at 12K below the bulk melting point (505K) (the arrows indicate the bend contours). on carbon substrate. On further heating for two minutes at 12K below the bulk melting point, another bend-contour appears in the particle, at a distance of about 100Å from and parallel to the first. Still further heating of the layer to higher temperatures did not produce any more bend-contour in the particle.

The particles deposited on molybdenite and graphite substrates were similar in appearance to those on carbon substrates. Also, no orientation of the particles on these bases were observed despite alterations to the substrate temperatures and rates of evaporation. Therefore, only a few melting experiments were performed with these substrates.

When liquid tin droplets were recrystallized by cooling, spherical particles were formed with no apparent faceting in the resolidified particles. Even prolonged heating at various temperatures below the melting point did not produce any facet in them. Due to the difficulty in distinguishing the solid from the liquid, the melting points of the resolidified particles could not be determined in the present experiments.

Although in a vacuum of 4×10^{-7} torr, the occurrence of some contamination of the specimens is possible, no signs of this were visible either in the micrographs or as extra (oxide) rings in the diffraction pattern.

6.2.

6.3. Absence of a Time Delay in the Melting of Tin Particles.

A few experiments were performed with the tin particles to investigate the existence of a time delay in These were carried out by heating the particles melting. in a layer of tin at a given temperature for various If it is assumed that a time delay does lengths of time. exist in the melting of the tin crystallites, then the number of particles remaining solid at the end of each heating period should decrease with time, Instead it was observed that although a number of the solid particles melted on heating the first time at a given temperature, very few particles or none at all melted on the second or subsequent heating of the layer to the same temperature. The result of a typical experiment is described below.

A layer of tin containing about five hundred particles, after being initially heated at 50K below the bulk melting point, was heated (with the electron beam switched off) to 10K below the bulk melting temperature. The layer was heated at this temperature for successive periods of two, five and ten minutes (being cooled down after each heating period for observation). The first period at the higher temperature caused approximately fifty solid particles to melt, the second only six and the third none at all. On increasing the temperature by 2K and by repeating the process, about thirty of the remaining solid

6.3.

particles melted, but only two melted during the rest of the heating period. The fact that a few particles melted on the second heating at a given temperature is probably due to the inaccuracy in stabilizing the temperature, so that a slightly higher temperature could have been obtained during the second heating.

Thus the existence of a time delay in melting for tin particles has not been observed. If a time delay does exist for these particles, it must have a characteristic time of melting (τ) of several hours or less than a minute, and would therefore not be observed in the present experiments. In the absence of a time delay, therefore, a definite melting temperature can be assigned to individual tin particle.

6.4. Thickness Dependence of the Melting Point of Small Particles of Tin.

6.4.1. The Thicknesses of the Tin Platelets.

The tin particles were in the form of thin platelets with their linear dimensions parallel to the substrate a few times greater than their thicknesses. An estimate of the thicknessess of the platelets can be made by comparing the visible areas of the tin particles before and after melting in the micrographs of figure 6.1.1. (The method to determine the thicknesses of the individual platelets is described in section 4.1.2.). It was found that an approximate linear correlation exists between the thicknesses



The variation of the tin platelet thickness with the radius of the liquid droplet.

6.4.

6.4.

of the platelets and the radii of the final liquid droplets (figure 6.4.1.). From the slope of the curve it can be seen that for most of the crystallites

$$0.6 > \frac{t}{r} > 0.5$$
 (6.4.1.)

where t is the thickness of the platelet which on melting forms the liquid droplet of radius r.

6.4.2. The Thickness Dependence of the Melting Point of Small Particles of Tin,

As mentioned previously, if the tin platelets are assumed to melt by the formation of a liquid skin round their curved edges, the melting temperature of the platelets would be expected to depend on their thicknesses. In figure 6.4.2., the melting point of the platelets is plotted as a function of the platelets thickness (t), for platelet thickness ranging from about 50Å to 1,000Å. The melting temperature of the platelets on the three substrates is included in the graph. No significant difference between the melting temperatures of the platelets on the three substrates was observed.

Figure 6.4.3. is a plot of melting point depression, $-\Delta T(=T-T_0)$ from the bulk melting temperature (T_0) , of the platelets, against the reciprocal of thickness (t) of the platelets. The curve is approximately a straight line but has a slight curvature for platelet thicknesses less than 60Å. The slope of the straight line portion of the



Graph of melting temperature against thickness ,t, for platelets of tin on amorphous carbon, and molybdenite and graphite substrates.



Graph of depression of melting temperature, $-\Delta T (= T - T_0)$ from the bulk value (T_0) against the reciprocal of platelet thickness (t) for tin platelets (the errors on the points of molybdenite and graphite substrates are the same as for the points with the carbon substrates).



 $\frac{1}{1200}$ $\frac{1}{100}$ $\frac{1}{150}$ $\frac{1}{150}$

FIGURE 6.4.4.

1/₅₀₀

The melting point depression $(\ln \frac{T}{T_0})$ of the tin platelets plotted as a function of the reciprocal of the platelet thickness (t).

curve is $(1.469\pm0.16) \times 10^{3}$ KÅ . An accurate plot, however, would require a logarithmic plot of the depression in the melting temperature $(\ln \frac{T}{T})$ from the bulk melting point (T_{0}) against the reciprocal of the platelet thickness (t) (see figure 6.4.4.). Once again the curve approximates to a straight line in the region of platelet thicknesses greater than 60Å.

For a discussion on these results, see section 8.1.

6.5. Comparison with Previous Work on the Melting of Small Particles of Tin.

For comparison with previous work, the melting temperature of the individual tin platelets has been plotted as a function of the liquid droplet radius (figure 6.5.1.). Since the solid particles formed by recrystallizing the liquid droplets were spherical, the above method of plotting the graph would be identical to that of Curzon (1960) and Wronski (1967). The particle sizes, as measured by these authors were, in fact, represented the radii of the recrystallized solid particles. In the present graph, the melting temperature of the platelets on the three substrates, has been shown. The smallest particles whose solid and liquid states could be distinguished with confidence were of the order of 60Å in radius. The curves obtained by Curzon and Wronski are also included in the graph.

The results are discussed in section 8.1.5



The variation of the melting temperature with the size of the tin particles.

CHAPTER 7

OTHER EXPERIMENTAL OBSERVATIONS.

7.1. Diffusion in the Tin Platelets near the Melting Point.

In a melting experiment in which the crystallites are gradually heated from below their melting points, a certain amount of atomic diffusion in them can occur. This diffusion may result in some of the crystallites changing their shapes into more compact solid forms.

In the present experiments, the change in shape of the tin platelets in the neighbourhood of their melting points, has been observed by two methods.

> (i) In the first method, the platelets were heated and observed at each stage of a normal melting experiment. The heating and observation was continued until all the crystallites in a layer were seen to have melted. The micrographs were then analysed to see if any change in shape of the platelets had occurred during the heating process.

> (ii) In the second method, the platelets were continuously observed while being heated near the melting point. For this, a layer of tin, immediately after deposition, was heated at about $20K \text{ min}^{-1}$ (with the electron beam switched off) to within 50K of the bulk melting point. The heating rate was then reduced to about 3K min⁻¹ and the

7.1.

layer observed continuously. The heating rate was further reduced to $1 \text{K} \min^{-1}$, as soon as some of the crystallites were seen to melt. By using the normal internal plate camera, a series of micrographs was taken, usually at an interval of 5 sec. with the photographic plates exposed to the electron beam for about a second. This was continued until all the crystallites were seen to have melted.

In the normal melting experiments, the majority of the tin crystallites once deposited did not change their shapes until they melted. A few irregular platelets however did display a certain amount of atomic diffusion, In particular, upon heating, the re-entrant angles vanished and the platelets assumed a more compact form (figure 7,1.1.). The diffusion process by which material from the side faces of the initial platelet builds onto the top (and perhaps the bottom) surfaces, is rather slow, often lasting up to 30 minutes, the total time of a normal melting experiment, However, in the second method of heating and observing continuously, an unusually enhanced form of diffusion (termed "snapping", see Blackman et al, 1975) often occurred in some of the platelets by which they changed their shapes abruptly into more compact This was seen as the appearance of double solid forms. images on micrographs. For example, in figure 7.1.2., the initial thin form of the platelet (the larger outline) has 'snapped' into the more compact form (the inner outline), during the exposure time (\sim 1 sec) of the photographic plate.

7.1.



FIGURE 7.1.1.

'Slow diffusion in irregularly shaped tin particles in a normal melting experiment . (a) and (b) show the particles before and after the heating respectively.



0.2µm

Symmetrical 'snapping' in a tin platelet.



0.2µm

7.1.

This abrupt change in shape has occurred almost symmetrically, with material being transferred from all the side faces. The snapped platelet often retained some of the original facets, while developing some new facets (see figure 7.1.2.). The centre of mass of the platelets moved only slightly in the final particle, so that on melting, the liquid droplet formed in position with little change of the centre of mass of the original solid platelet, For example, particle A (figure 7.1.3.) has melted, while B has snapped symmetrically.

Although the snapping in the tin platelets was generally found to occur symmetrically, occasionally some of theirregular platelets were seen to snap asymmetrically. In these, more material was transferred preferentially from some sides (figure 7.1.4.), On melting, the liquid droplets in such cases were seen to be located at these sides of the original platelet. Occasionally some of the tin platelets exibited a <u>double snapping</u> in which two abrupt shape changes occurred, separated in time by approximately half a second. In figure 7.1.5., several outlines in the platelet can be seen.

Snapping in the tin platelets was seen to occur in the deposits on carbon substrates, but was absent in the platelets on the molybdenite and graphite substrates. The tin platelets on these substrates were found to become severely contaminated during the 15 minutes normally required in the continuous observation of the platelets.

The results are discussed in section 8.4.1.



FIGURE 7,1,4.

A tin platelet which has 'snapped' asymmetrically.

O,2µm 1



FIGURE 7.1,5,

'Double snapping' of a tin platelet,

7.1.

7.2. Diffusion in Bismuth Films on Molybdenite Substrates.

When bismuth film of thicknesses of $\sim 100\text{Å}$ were condensed onto molybdenite substrates (heated to 425K), films comprising of a network of needle-like crystallites were obtained (see figure 5.2.7a.). On further heating (at a rate of 5K min⁻¹) these films, the network of the broke up into individual crystallites.

A different kind of behaviour was observed on similarly heating bismuth films of thicknesses greater than 100Å. Two such films of ~ 200 Å thickness are shown in In each case, the layers after deposition figure 7.2.1. on molybdenite substrates, maintained at 425K, consisted of many irregular holes in an otherwise continuous layer. The layers produced highly textured diffraction patterns, consistent with the basal (000.1) plane (in the hexagonal description) of the layer parallel to the substrate. On heating (at a rate of 5K min⁻¹) to 10K below the bulk melting point, these layers did not break up into individual crystallites unlike a similar thickness of bismuth film on carbon substrates. Instead many of the irregular holes were observed to transform, by an atomic diffusion process, into oriented hexagonal voids with sharp edges and corners (see figure 7.2.1, a and b). In some cases, a few triangular voids (figure 7.2.1.b) were also seen to Small crystallites of bismuth can be noticed have formed. in some of the hexagonal voids,

7.2.



On heating close to the bulk melting point, these layers broke up into irregular particles and eventually melted (without any 'snapping') at the bulk melting point,

7.3. Supercooling of Small Liquid Droplets.

In addition to the experiments on melting, a few experiments were performed on the supercooling of the liquid droplets formed during the melting experiments. These experiments were also carried out with liquid droplets formed by depositing the layers as liquid on the substrates heated near the bulk melting point. As these layers were deposited at temperatures much higher than room temperature, the liquid droplets would be expected to be less contaminated by the residual gas vapours than those obtained in a melting experiment.

The amount of supercooling in the liquid droplets was determined by the methods described by other workers (Stowell, 1970; see also Peppiatt, 1973).

> (i) In one method, the liquid layer was slowly cooled down (in steps of approximately 5K), and the diffraction pattern from the layer was continuously observed by using a low intensity electron beam to reduce beam heating. Also the regions of observation in the layer was frequently changed to avoid localized beam heating. The temperature at which the halo diffraction pattern

characteristic of the liquid layer changed into sharp crystalline rings was noted.

(ii) In another method, the liquid droplets were continuously observed with a reduced beam intensity, while gradually cooling down the layer. Also, as before, the regions of observation were continuously moved. The temperature at which a large proportion of the liquid droplets began to form crystal facets by solidification was noted.

In the second method, a few liquid droplets were seen to become solid long before the remainder; this was presumably due to "seeding" of the liquid by specks of impurities, and these were therefore neglected. This method could not be employed for the liquid droplets of tin, due to the absence of any distinguishable faceting in the resolidified liquid droplets. Both of the above methods, however, produced identical results within experimental error of a few degrees. This suggests that the effect of electron beam heating is negligible in this case.

For both bismuth and tin, no significant difference was noticed between the amounts of supercooling of the liquid droplets formed during a melting experiment and those obtained by depositing the layer as liquid. The normal pressure during the experiments was $\sim 4 \times 10^{-7}$ torr. The amount of supercooling for liquid droplets of bismuth and tin was

à

Particle Size,	Substrate	Amount of Supercooling,
(8)		(K)
300	Carbon	155-162
300	Molybdenite	110-115
300	Graphite	120-128
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TABLE 7,3,1,

Results for the supercooling of liquid droplets of bismuth. Bulk melting point = 544K.

Particle Size,	Substrate	Amount of Supercooling,
(^A)		(к)
300	Carbon	160-170
300	Molybdenite	100-115
300	Graphite	130-133

TABLE 7.3.2.

Results for the supercooling of liquid droplets of tin. Bulk melting point = 505K. determined on carbon, molybdenite and graphite substrates and are shown in tables 7.3,1, and 7,3.2. respectively. The results will be discussed in section 8.3.

7.4. Mass-spectrometer Scan of the Residual Vacuum.

Figure 7.4 shows the combined scan of the residual vacuum both before and after the cold trap was filled with liquid nitrogen. The partial pressures on this graph are only approximate. They are given according to a unit sensitivity for nitrogen at the spectrometer setting employed. Because the sensitivities vary considerably with the conditions the partial pressure values are only accurate to within a factor of 3. Specific consideration was given to the partial pressure of oxygen $(p, p \ 0_2)$ and it was deduced that under normal operating conditions p,p 0_2 was 8 $\pm 2 \times 10^{-8}$ torr.

From a comparison of the two scans it is apparent that the cold trap has reduced considerably residual oil vapour and water vapour, leaving the nitrogen and oxygen almost undisturbed.





"Cracking" pattern of residual vacuum in the electron microscope.

CHAPTER 8

DISCUSSION

The Melting of Small Particles of Tin. 8.1.

8.1.1. Introduction.

The small particles of tin obtained by vapour deposition onto substrates were in the form of thin platelets. The diffraction pattern from layers of 100Å or less in thickness were characteristic of a random orientation of the platelets. In the thicker layers, the platelets were slightly oriented with (100) type planes parallel to the substrate. The grain boundaries observed in some of the platelets were seen to be removed from the crystallites upon heating to a few degrees below the respective melting point (figure 6.2.3.).

Broad, dark contours have been observed in some of the platelets. These almost certainly occur when a platelet is bent in such a way that part of the crystal is at a Bragg angle to the electron beam. The diffracted beam will be removed by the objective lens aperture, resulting in a higher contrast image for this region than the remainder of the crystallite. The bending in the platelets may have resulted from the differential expansion between the platelets and the substrate. Upon heating the tin platelets would have expanded to a much larger extent than the substrate used. If a good adhesion existed between the tin and the substrate at some points on the platelet/

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substrate interface, the bottom surface of a platelet would not have been able to expand freely, while the top surface would expand considerably. The platelet would therefore become distorted. The effect of strain energy due to the bending in the platelets on their melting points has been discussed in section 8.1.3.

The results for the melting of the tin platelets have been interpreted assuming a dependence of the melting point of a platelet on its thickness. This is in contrast with the earlier work of Blackman and Curzon (1959), and Wronski (1967) who performed the experiments in an electron diffraction camera, and therefore had to assume that the crystallites were roughly spherical. A liquid skin melting model has been used to interpret the present results; in this the effect of the two principal radii of curvature on the melting point of a platelet has been considered, see section 8.1.2. below.

8.1.2. Effect of Thickness on the Melting Point of a Platelet.

The melting point results confirm that there is a melting point dependence on the tin platelet thickness. The melting point of the platelets decreased from the bulk value, T_0 , (505K) with decreasing platelet thickness (see figure 6.4.2.). The results show that to a first approximation, the depression in the melting point (Δ T) varied inversely as the platelet thickness (t) (figure 6.4.3).

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Also the results of a few melting experiments on molybdenite and graphite substrates did not differ significantly from those experiments on amorphous carbon substrates. The scatter in the melting point for the tin platelets of equal thickness was of the order of 2K. Therefore, the melting point of a platelet can be taken to be a definite function of the platelet thickness.

As described in section 2.1.2., the theories of melting of small particles as given by Pawlow (1908-9, 1909) and Rie (1923) cannot explain the present results. The physical conditions defining the melting point of a small particle (assumed spherical) in these theories are not consistent with the present experiments. Also the melting point depressions from the bulk value are much smaller than those given by the thermodynamic melting point of a small particle.

It seems that the only way in which a crystallite can melt below the bulk melting point is by the formation of a liquid skin on the crystal surface. By assuming that the liquid skin completely wets the surface (see section 2.2.3.), then the liquid skin, once formed, will be able to progress through the solid and dissolve it at the skin melting temperature without requiring an activation energy. However, an activation energy may be needed in the initial nucleation of the liquid skin, as the liquid skin must itself grow from an initial two dimensional

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nucleus (section 2.2.4.) of the critical size. In the process, a time delay in melting may result (see section 8.2.1.). Since no time delay has been observed in the melting of the tin platelets it seems that the liquid skin has little difficulty in forming at the appropriate temperature.

Since the tin crystallites were in the form of thin platelets, therefore it seems that they can melt below the bulk melting point only by forming the liquid skin on the curved side faces of the platelets. It is unlikely that the top or the bottom surfaces of the platelets can melt below the bulk melting point. Since these surfaces are flat, therefore they have an infinite radius of curvature and will melt at the bulk melting point. Considering the platelets to be spherical discs of thickness t and radius r_s , the depression of the melting point from the bulk value can be given by, to a first approximation (see section 2.3.4.):

$$T_{O} - T = \Delta T \approx \frac{T_{O}}{L\rho_{S}} \quad (\frac{1}{r_{S}} + \frac{2}{t}) \quad \left[\gamma_{S\ell} + \gamma_{\ell} \quad (1 - \frac{\rho_{S}}{\rho_{\ell}})\right] \quad (8.1.1a.)$$

Now by considering the volume of the disc before and after melting, we have

$$\pi r_{s}^{2} t = 0.8 \times \frac{4}{3} \pi r^{3}$$
 (8.1.2.)

where r is the radius of the corresponding liquid droplet (Here 80% of the volume of the droplet is considered, see section 4.1.2.). By using $r \simeq 2t$ (equation 6.4.1.) in equation (8.1.2.) and simplifying, gives

$$r_{s} \approx 3t \qquad (8.1.3.)$$

Hence substituting equation (8.1.3.) in equation (8.1.1.) gives

$$\Delta T \approx \frac{2.33}{L\rho_{s}t} \left[\gamma_{sl} + \gamma_{l} \left(1 - \frac{\rho_{s}}{\rho_{l}} \right) \right]$$
(8.1.lb.)

Therefore, the slope of the linear portion of the ΔT vs. $\frac{1}{+}$

graph (figure 6.4.3.) gives the value of the surface energy coefficient in equation (8.1.1b.). Using the data of appendix A.4., we have

$$\gamma_{sl} + \gamma_{l} (1 - \frac{\rho_{s}}{\rho_{l}}) = 52 \pm 6 \text{ ergs cm}^{-2}$$
 (8.1.4.)
Then with $\frac{\rho_{s}}{\rho_{l}} \approx 1.029$, and $\gamma_{l} = 552 \text{ ergs cm}^{-2}$ (Lang, 1973),

the above result gives

$$\gamma_{sl} = 68 \pm 6^{\circ} \text{ ergs cm}^{-2}$$
 (8.1.5.)

The exact form of equation (8,1,1,) requires a logarithmic plot of the melting temperature against the reciprocal of the platelet thickness. This is done in figure 6.4.6., and again the slope of the curve yields.

$$\gamma_{sl} = 70 \pm 7 \text{ ergs cm}^{-2}$$
 (8.1.6.)

Equation (8.1.6.) gives the accurate value for the solid/ liquid interfacial energy (γ_{sl}) of tin. This value will be compared and discussed with the values obtained by other workers by different methods, see section 8.1.4.

In order to verify that the basic assumption of the liquid skin melting model, that is, the complete melting of the solid surface by the liquid skin, given by

 $\gamma_{s} > \gamma_{l} + \gamma_{sl}$ (8.1.7.)

where γ_s and γ_l are the interfacial energies of the solid
8.1.

and the liquid in contact with the vapour, is satisfied for the tin, crystallites, it is necessary to compare the sum of γ_{l} and γ_{sl} with γ_{s} . Extrapolation of the available data of γ_{c} for tin, using

$$\frac{d\gamma_s}{dT} = -0.068 \text{ ergs } \text{cm}^{-2} \text{K}^{-1}$$

(Zadumkin, 1959), gives for $\gamma_{\rm g}$ of tin at the melting point

683.7 ergs cm⁻² Greenhill and McDonald (1953) 679.5 ergs cm⁻² Mykura (1955) 671.7 ergs cm⁻² Shebzukova et al, (1972)

For tin, $\gamma_{l} = 552 \text{ ergs cm}^{-2}$ (Lang, 1973). Therefore, using the value of 70 ± 7 $^{\prime}$ ergs cm $^{-2}$ for γ_{sl} obtained as above, it can be seen that for tin

 $\gamma_{s} > \gamma_{l} + \gamma_{sl}$

Therefore, as the wetting condition is satisfied, the formation of the liquid skin on the curved surfaces of the tin platelets is energetically favourable at temperatures close to the melting point.

8.1.3. Effect of Strain Energy due to the Bending in the Tin Platelets on the Melting Points.

The strain energy due to the bending of a tin platelet alters the free energy of the crystallite or the liquid skin; the platelet melts at a different temperature 8.1.

lower than the normal melting temperature (section 2.4.). If E is the strain energy per unit volume of the crystallite, then considering the tin platelets to be discs of thickness t and radius r, we have, as before, for the depression of the melting point from the bulk value.

$$\Delta T' \simeq \frac{T_{O}}{L\rho_{S}} \left[\frac{2.33}{t} \left\{ \gamma_{Sl} + \gamma_{l} \left(1 - \frac{\rho_{S}}{\rho_{l}} \right) \right\} + E \right] \qquad (8.1.8.)$$

or, $\Delta T' \simeq \Delta T + \Delta T$ (8.1.9.) BEND

where ΔT is given by equation (8,1,3.)

and

$$\Delta T = \frac{T_{o}}{L\rho_{s}} E \qquad (8.1.10.)$$

is the lowering in the melting point from the normal melting point due to the strain energy.

By an analogy with the bending in a beam, the strain energy per unit volume (E) in a tin platelet of thickness t, length l, which is bent through an angle of 20 (figure 8.1.1.) can be given by

$$E = \frac{1}{6} y \theta^{2} \left(\frac{t}{7} \right)^{2}$$
 (8.1.11.)

where y is the Young's modulus of the material of the beam. The angle of bending (20) can be determined by considering the distance between two bend contours observed in a tin platelet (for example, see figure 6.2.3.) as follows.

As previously noted, the broad dark contours in the

$$\theta_{\rm B} = \sin^{-1} \frac{\lambda}{2d}_{\rm hkl} \tag{8.1.12}$$

Here, λ is the wavelength of the electron beam used and d_{hkl} is the lattice spacing of the crystal plane having the Miller indices (hkl). By considering the figure 8.1.1., if'a' is the distance between the two bend contours and R is the radius of curvature of the bent beam, measured from the centre of curvature to the 'neutral surface' in the beam, we have

$$\theta_{\rm B} \approx \frac{1}{2} \frac{\rm a}{\rm R}$$
(8.1.13)

The neutral surface should have the same length as the original beam, but the top and the bottom surfaces of the beam will be extended and compressed respectively. From figure 8.1.1., the angle of bending, 20, is given by

$$2\theta \simeq \frac{l}{R} \qquad (8.1.14.)$$

Therefore, substituting in equation (8,1,14) the value of R from equation (8,1,13,) and simplifying

$$\theta \approx \frac{l}{a} \theta_{B}$$
(8.1.15.)

or, $\theta \simeq \frac{\lambda}{a} \sin^{-1} \frac{\lambda}{2d_{hkl}}$ (8.1.16.) from equation (8.1.12.)

Therefore, substituting equation (8.1.16) in equation

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8.1.



FIGURE 8.1.1.

The bending of a crystallite.

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(8.1.11.), we have, for the strain energy per unit volume of the beam

$$E = \frac{1}{6} y \left(\sin^{-1} \frac{\lambda}{2d_{hkl}} \right)^{2} \left(\frac{t}{a} \right)^{2}$$
(8.1.17.)

Now, consider, for example, the tin platelet of figure The distance between the two bend contours is 6.2.3. approximately 100Å and the thickness of the platelet is approximately 300Å. Therefore, by assuming that the bend contours are due to the reflections from one of the close packed planes, say, (200) planes of tin, and by using $\lambda = 0.043 \text{\AA}$ for 80kV electron beam used, strain energy per unit volume (E) can be estimated from equation (8.1.17.) to be of the order of 10^7 ergs cm⁻³. The corresponding lowering in the melting point (ΔT_{BEND}) can be calculated from equation (8.1.10) to be of the order of 0.3K, and is therefore negligible. Similarly, from calculations for reflections from the other planes in tin, it can be shown that the effect of strain energy due to the bending in the tin platelets on their melting points is negligible.

8.1.4. <u>Comparison of the γ_{sl} Value of Tin with those</u> of the Other Workers.

The values of the solid/liquid interfacial energy (γ_{sl}) of tin have been determined by various workers either from the melting point results of the small crystallites of tin or from the observations of the maximum supercooling in

the liquid droplets of tin. For comparison with the γ_{st} value of 70 \pm 7 ergs cm⁻² obtained from the present melting point experiments, the values obtained by the other workers, by using the melting and the supercooling results, are listed in tables 8.1.1. and 8.1.2. respectively. The γ_{e} values obtained from the melting results should depend on the crystallographic orientation of a particular plane on which In the absence of any knowledge the melting is initiated. about this plane, the values of γ_{sl} should refer to some sort of an average of the γ_{sl} values of the different crystal The results obtained from the supercooling planes. experiments should be considered similarly . However, in the present experiment, the resolidified liquid droplets

were found to be spherical, and are therefore, presumably isotropic. The anisotropy of the solid/liquid interfacial energy of tin is, however, yet unknown (Jones, 1974).

The values of γ_{sl} obtained from the melting experiments of small crystallites of tin differ significantly from those obtained from the supercooling results. These results are based on the supposed homogeneous nucleation of solid from a supercooled liquid. A considerable amount of evidence has been obtained which indicates that the values may be in error. In several cases, the supercooling required to cause nucleation has been found to be substantially larger than those reported by earlier workers (see, for example, Stowell et al., 1970; Takahashi and Tiller, 1969)

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Reference	Y _{sl} (ergs cm ⁻²)
Present work	70 <u>+7</u>
Wronski (1967)	62 <u>+</u> 10
Blackman and Curzon (1959)	73 <u>+</u> 7
(see also Curzon, 1960).	
Takagi (1954)	66*

Table 8.1.1. The values of the solid/liquid interfacial energy (γ_{sl}) of tin determined from the melting results of small crystallites of tin.

*This value has been calculated by Blackman and Curzon from Takagi's melting results.

Reference	γ_{sl} (ergs cm ⁻²)		
Peppiatt (1973)	57,5		
Pound and La Mer (1952)	58,5 <u>+</u> 1,0		
Turnbull (1950)	54,5		

<u>Table 8.1.2.</u> The values of the solid/liquid interfacial energy (γ_{sl}) of tin determined from the supercooling results of the liquid droplets of tin.

indicating heterogeneous nucleation in the earlier studies. Therefore, the γ_{sl} values obtained from the supercooling results can only be taken to be a lower limit, rather than an actual value even for the later results (see section 8.3.).

The γ_{sl} values obtained from the melting results of small crystallites of tin by Takagi, Blackman and Curzon, and Wronski differ from that obtained from the present melting experiments performed in an electron microscope. As the above authors were unable to observe the tin crystallites in their electron diffraction experiments, the crystallites were assumed to be spherical for simplicity in the theoretical treatment of the results. In the light of the present experiments, this assumption in the case of the tin crystallites obtained by vapour deposition is not justified. It is therefore not too surprising that the present value of γ_{sl} differ from those obtained by the above authors.

There are several other methods which have been employed to determine the solid/liquid interfacial energy of a material (for a review of the methods, see, Jones, 1974). Besides the above two methods, no other method seems to have been used for tin. In the absence of any such determination, the present value of γ_{sl} for tin appears to be the most reliable obtained so far.

8.1.5. Comparison of the Melting Point Results with those of the Other Workers.

To allow a direct comparison with the melting point results of Blackman and Curzon (1959) (see also, Curzon, 1960),

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and Wronski (1967), obtained by using the electron diffraction method, the depression in the melting point from the bulk value in the present experiments has been plotted as a function of the crystallite size taken as the liquid droplet radius (see figure 6.5.1.). As previously noted (section 6.5.), since the recrystallized liquid droplets were found to be spherical, the present plot would be identical to those of the above authors. These authors measured the particle sizes after cooling the specimens at the end of a melting experiment (section 1.3.). The results of neither Palatnik and Komnik (1960), nor Takagi (1954) are included, as discussed in sections 1.1.2 and 1.3. respectively.

It can be seen that the present results are consistent with those of Blackman and Curzon, and Wronski in that an increasing depression in the melting point for Furthermore, the smaller crystallites has been obtained, Wronski's results show that the substrate has no effect on the form of the melting curves. He obtained identical results for tin on both carbon and silicon monoxide substrates (see figure 1.3.2.). Also in the present experiments, similar results were obtained on amorphous carbon, and molybdenite and graphite substrates. The melting curves of the above authors generally lie at the upper limit of the experimental points in the present work. This would be expected, as the electron diffraction method used by these authors necessarily involved an averaging of the crystallite size over a complete layer of crystallites, rather than an

individual crystallite in the electron microscope work. The crystallite sizes determined by the electron diffraction method are therefore considerably in error.

The present results generally show a scatter of approximately 2K in the melting point for the tin crystallites of equal size, and compares to those obtained by Wronski. However, these results are in contrast to a scatter of the order of 20K observed by Peppiatt (1973, 1975) in his results of the melting point of small lead crystallites. The scatter was attributed to the presence of imperfections, in particular plane dislocations observed in the lead Such faults are not expected to be present crystallites. in the tin crystallites which have a tetragonal structure. No imperfections were visible in the tin platelets. Also as previously discussed (section 8.1.3.), the effect of strain energy due to the bending in the tin crystallites on their melting point is negligibly small, and therefore cannot explain the scatter in the melting temperatures. As the scatter is small, this may be due to errors in the temperature measurements.

Blackman and Curzon, and also Wronski made a theoretical treatment of their melting results by assuming the tin crystallites to be spherical. This assumption is not justified for the tin crystallites observed in the present experiments. Therefore, no theoretical conclusions can be derived from the results of plotting the melting temperatures as a function of the radius of a spherical crystallite.

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8.1.6. Summary.

The melting temperatures have been determined for thin tin platelets (\sim 50Å to \sim 1000Å), obtained by vapour deposition onto amorphous carbon, molybdenite and The melting temperature of a graphite substrates. platelet was found to decrease with decreasing platelet No significant difference was observed between thickness. the melting temperatures of the platelets on the three The results have been interpreted by a substrates used. liquid skin melting model. A melting temperature dependence on the platelet thickness can be obtained by considering the liquid skin to form on the curved side faces From the slope of the depression in melting of a platelet. temperature against the reciprocal of the platelet thickness curve, a value of 70 \pm 7 / ergs cm⁻² has been obtained for the solid/liquid interfacial energy (γ_{so}) of tin. This value has been compared with those of the other workers obtained by different methods.

The tin platelets were found to melt without a time delay in melting. The melting temperatures determined were therefore a definite function of the platelet thickness.

The effect of strain energy due to the bending in the tin platelets on their melting points have been estimated. This is found to be negligibly small.

The melting point results have been compared with those of Blackman and Curzon, and Wronski, by plotting the melting temperatures as a function of the radius of a spherical crystallite. However, in the light of the present experiments on the tin crystallites obtained by vapour deposition, no theoretical conclusions has been derived from these results.

8.2. The Melting of Small Particles of Bismuth.

8.2.1. The Time Delay in the Melting of Small Particles of Bismuth.

The most interesting observation made in the experiments on bismuth was the existence of a time delay in the melting of an aggregate of small particles (section The time delay phenomenon observed 1.2., and Chapter 5), strongly suggests the existence of a free energy barrier to the production of the liquid phase. If a particle is held at a constant temperature, this barrier will only be overcome by a sufficiently large statistical fluctuation. The fact that the bismuth particles have been observed to melt below the bulk melting point (T_o), suggests that the theory already discussed of melting (see for example, section 8.1.2.) by forming a liquid skin covering the whole, or suitable parts of the solid surface must apply to the bismuth particles. Therefore, if a time delay exists in the process, it must be in the formation of the initial liquid skin. This is possible because the liquid skin cannot appear spontaneously, but itself must grow from an initial liquid nucleus. In the case of bismuth, there is a great difference between the open structure of the solid, and that of the liquid which is more closely packed than the solid resulting in a volume contraction of 3% upon Thus, for the liquid skin to form, an activation melting. energy will be required due to the substantial rearrangement

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of atoms necessary on melting. As suggested in section 2.2.4., the liquid may grow on the crystal surface from an initial two dimensional liquid nucleus formed either by activating atoms directly from the surface, or by an aggregation of adatoms on the surface. A small, two dimensional liquid nucleus on the crystal surface will only be able to grow, if it is above a critical size. The formation of such a liquid nucleus will require the activation energy necessary for the rearrangement of atoms on melting, and also an activation energy due to the edge energy around the perimeter of the nucleus (Peppiatt, 1975). Once a nucleus of above critical size has formed by a statistical fluctuation, the nucleus would be able to grow into a liquid skin either by the absorption of adatoms, or by activating atoms directly from the surface. This would result in a time delay, similar for example to that in supercooling (Hollomon and Turnbull, 1950). Providing the temperature is above the 'skin melting temperature' the liquid skin, once formed, is able to advance through the remainder of the solid as this results in a progressive reduction of the free energy of the system, and so dissolve the solid.

The absence of time delay in the melting of small particles of lead (Peppiatt, 1973) and tin (section 6.3.) suggests that the initial liquid nucleus had little difficulty in forming compared to the bismuth particles. As adatoms

are likely to be present in all these cases, the difference probably lies in the substantial rearrangement of atoms necessary between the solid and liquid bismuth which does not occur in lead and tin. However, the adatoms are likely to play a major part in the formation of the liquid skin.

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On the basis of the above discussion, the time delay observed in the melting of the bismuth particles would be expected to be greatly dependent on the following parameters.

 The size, surface area, and the morphology of the crystallites:

The skin melting temperature (T_{SK}) below which the time delay cannot occur, will approach the bulk melting . point for large particles while showing a great reduction for small particles (section 2.3,). Also, by assuming that the initial liquid nucleus can form at all sites on the solid surface, an increase in the size of the particles increases the number of possible nucleation sites. If the specimen is held at a constant temperature above the skin melting temperatures of the particles in a layer, the particles having the largest surface area would be expected to have a shorter time delay than smaller particles (see section 5.2.3.). However, if the available surface area is limited by the possibility of the liquid nucleus forming only on certain crystal planes of a particle, the shape of the particle would affect the time delay.

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2. Imperfections in the crystallites:

The production of the liquid nucleus will be influenced by the imperfections present in the crystallite. Given the difficulty in forming the liquid nucleus due to the rearrangment of atoms on melting, the imperfections will provide suitable nucleation sites for melting as the liquid nucleus requires less activation energy to form in these regions. The imperfections will also act as sources of adatoms for the formation of the liquid nucleus.

3. The temperature of the crystallites: •

An increase in temperature favours the production of the liquid, and also increases the concentration of adatoms. Therefore, the time delay would be expected to be lower the higher the temperature, section 5.4.5.

8.2.2. Effect of Size of the Particles on the Time Delay.

(i) Absence of Time Delay in the Polyhedra(Type I) Crystallites.

The polyhedra (type I) crystallites (section 5.2.1.) having sizes greater than 1400Å were found to melt without a measurable time delay when heated close to the bulk melting point (section 5.4.1.). Upon heating a layer of bismuth comprising of the type I (polyhedra) and type I (platelet) particles for various lengths of time from temperatures below the bulk melting point to near the bulk melting point, the number of the polyhedral particles remaining solid did not decrease with time until at the bulk melting point, all the polyhedral particles in the layer were seen to have melted. During this time the number of the platelet (type I) particles decreased steadily, and therefore a characteristic time of melting (τ) (see, section 1.2.) could be determined at each temperatue. For the polyhedral particles, even if a time delay does exist at the bulk melting point, the characteristic time of melting would be so small that it could not be determined within the time scale of the present experiments.

As previously noted, the skin melting temperature of a crystallite increases with the size of the crystallite; for large crystallites it approaches the bulk melting point (T_0) . The equation relating the skin melting temperature (T_{SK}) of a crystallite to its size is given by (section 2.3.).

$$T_{SK} \simeq T_{O} - \frac{2T_{O}}{L\rho_{S}r_{S}} \left[\gamma_{Sl} + \gamma_{l}(1 - \frac{\rho_{S}}{\rho_{l}})\right] \quad (8.2.1).$$

(Here the relation for the case of a spherical crystallite having radius r_s has been used. This seems to be appropriate for the polyhedral particles, as these were seen to assume a more compact spherical form on annealing near the bulk melting point, see figure 5.4.1.a). Then by using the values of the physical constants of bismuth listed in appendix A.4., the skin melting temperature for the smallest (1400Å) and the largest (6500Å) polyhedra (type I) particles observed in the present experiments, can be

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calculated to be approximately 2K and 0,5K below the bulk melting point (544K) respectively. Also, as these particles are large, it is possible that a large number of imperfections It is these imperfections which may be present in them. would enable these crystallites to slowly regrow into a more contrasts the platelet (type 1) compact spherical form. This particles in the layer which remained stable despite the heating, and therefore are presumably more perfect. The imperfections in the polyhedral particles provide suitable Therefore, on heating nucleation sites for the liquid skin. to the bulk melting point, the polyhedral particles would be expected to melt with the shortest time delay not measurable in the present experiments.

(ii) The Dependence of the Time Delay on the Surface Area of a Particle.

The characteristic times of melting (τ) of the polyhedra (type II) particles (section 5.2.1.) with sizes ranging from a few hundred to a few thousand Ångstorms in diameters were determined at a given temperature as a function of the surface area of the particles. The results show that at temperatures of a few degrees below the bulk melting point, the characteristic time of melting of the particles in a layer vary inversely as the square of the radii of the particles (figure 5.4.5.). As these particles are spherical, this gives a dependence of the characteristic time of melting on the surface area of the particles. At lower temperatures a departure from the linear dependence

of the characteristic time on surface area was observed (figure 5.4.6.). At these temperatures, only the smallest particles in the layer melt, the specimen temperature being above the skin melting temperature of these particles. As very few of the large particles melt at these temperatures, the graph bends upwards at the large particle end due to the increased time delay in the large particles (figure 5.4.6.).

Now, by considering that the liquid nucleus can form at any position on the solid surface, let the nucleation rate per unit area per second be I and be given by

$$I = \frac{kT}{h} \exp \left[- \frac{E(T, r)}{kT} \right] .$$
 (8.2.2.)

Here E is the activation energy for the formation of the liquid nucleus and is a function of temperature (T) and size (r) of a crystallite.

The number of nuclei formed per second on area $4\pi r^2 = 4\pi r^2 I$ (8.2.3a.) After time τ , one particle is melted. Hence, by assuming that the nucleation is immediately followed by melting of a particle, we have

 $4\pi r^2 I \tau = 1$ (8.2.3b.)

Substituting equation (8.2.2.) in equation (8.2.4.) and simplifying

$$\tau = \frac{1}{4\pi r^2} \frac{h}{kT} \exp\left[-\frac{E(T,r)}{kT}\right] .$$
 (8.2.4a.)

Taking logarithms, we get

$$kTln (\tau r^2) = E (T,r) + kTln \left(\frac{h}{4\pi} \frac{1}{kT}\right)$$
(8.2.4b.)
where the second term on the right hand side is only slowly dependent
on temperature, and can be regarded as constant. In figure 8.2.1.,

kTln (τr^2) is plotted as a function of crystallite size (r) at

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FIGURE 8.2.1.

various temperatures. By considering 500\AA to be the average size of the crystallites studied, values of E(T,r) can be evaluated from equation (8.2.4b) and are listed in the following table.

Temperature (K)	E(T,r) (eV)
537.0	0.801
539.0	0.792
541.0	0.753
541.5	0.710
542.0	O.680
543.0	O.665
543.5	O.626

The detailed interpretation of the results, however, must depend on a detailed nucleation theory of melting analogous to that for supercooled droplets. Such a theory has not so far been evaluated.

8.2.3. Effect of Morphology of the Particles on the Time Delay

On heating a solid deposit of bismuth containing the type I (platelet) and the type II* (polyhedra) particles at a given temperature, it was observed that a large number of the type II* (polyhedra) particles melted within the first few minutes of heating compared to the type I (platelet) particles during the same time. This resulted in a curvature in the graph for the total of the two types of particles (figure 5.4.3.), the curvature being due to the higher melting rate of the polyhedra (type II*) particle compared to the platelet (type I) particles. If N_{I} and N_{II*} are the number and τ_{I} and τ_{II*} are the characteristic times of melting of the platelet (type I) and polyhedra (type II*) particles respectively, then the graph of figure 5.4.3. can be represented by the sum of the curves for the polyhedra (type II*) (fig. 5.4.4.a) and the platelet (type I) (fig. 5.4.4.b) particles as follows.

$$N = N_{I} \exp \left(-\frac{t}{\tau_{I}}\right) + N_{II*} \exp\left(-\frac{t}{\tau_{II*}}\right)$$
 (8.2.5.)

where N is the total number of the two types of particles remaining solid after time t. From the curves for the two types of particles, it can be seen that at a given temperature

$$\tau_{\rm I} > \tau_{\rm II}^{*}$$
 (8.2.6.).

In the present experiments, the characteristic time of the polyhedra (type II*) particles at a given temperature was found to be similar to that obtained by Peppiatt (1973, 1975) for the polyhedra (type II) particles. This is presumably due to the identical shape of these two types of particles.

The increased melting rate (the reciprocal of τ) of the polyhedra (type II*) particles compared to the platelet (type I) particles is possibly due to the difference in shape between these two forms of crystallites. The polyhedral particles are almost spherical, with side faces consisting mostly of the (1120) type plane (in the hexagonal notation); the surfaces of the type I (platelet) particles consist largely of the basal (0001) plane (Peppiatt, 1973). The observation that the type I (platelet) particles have a larger time delay (at a given temperature) than the type II* (polyhedra) particles suggests that the liquid nucleus is less likely to form on the basal plane than on the other planes.

8.2.4. The Time Delay in the Melting of the Particles on the Molybdenite Substrate.

The bismuth particles on the molybdenite substrates were mostly in the form of needle-like platelets, while a few were irregularly shaped (section 5.2.2.). The needleform of the platelets suggests that these particles had difficulty in nucleation on the side faces during the growth from the vapour phase, and possibly that a screw dislocation is present along each particle. Nucleation is more likely to occur where the screw dislocation emerges at each end of the particle rather than on the side faces. The core of the screw dislocations would provide more suitable nucleation sites for the liquid nucleus, than the side faces which are presumably free from such imperfections. The liquid droplet formed on melting a particle was always located at one end of the particle. This is possibly due to the formation of the liquid skin at one end of the particle where the screw dislocation emerges. In this case, the skin melting temperatue of the needlelike particle would be similar to that of a spherical particle (section 2.3.3.).

The crystallites on the molybdenite substrates exhibited a time delay in melting (section 5.4.4.). The time delay was found to be lower in the initial stages of melting, resulting in a curvature in the graph (figure 5.4.8.). A value of characteristic time (τ) was generally 8.2,

determined from the later stages of heating which showed a good exponential decay in the number of solid particles However, no correlation could be found remaining solid. between the rate of melting and the morphology of the crystallites when the graphs were drawn separately for the needle-like and the irregularly shaped particles (figure This is in contrast to the results obtained in 5.4.9.). the previous section. A similar higher initial melting rate has been observed by Peppiatt (1973) for the platelet (type I) particles on amorphous carbon substrates. It is probable that the needle-like or the irregularly shaped particles used in the experiments were not all identical, but had substantial differences (for example, strains, imperfections and the shape of the particles, will probably influence its properties). This in turn may lead to different processes by which the critical liquid nucleus In this case, the particles would be may form and grow. expected to have a wide range of activation energies for melting, and therefore a large spread in the time delay. This, however, must wait the outcome of future experiments.

It was also observed that some of the particles had a large time delay even at temperatures above the bulk melting point, resulting in a superheating of approximately 2K (section 5.4.4.). This is in contrast to the superheating of up to 7K in the platelet (type I) particles on amorphous carbon substrates observed by Peppiatt (1973), 1975).

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If there is a contact angle for the liquid on the solid surface (section 2.2.3.), a liquid skin would not be able to form below the bulk melting point without a virtually impossible statistical fluctuation. In such a case, a small particle would not melt below the bulk melting point, but would be superheated above the bulk While the particles have been observed to melting point. melt below the bulk melting point, and therefore the wetting condition (section 2.2.3.).must have been satisfied for a majority of particles, it is probable that for a few crystallites, the wetting condition is not satisfied, perhaps because of surface contamination. These particles would not be able to melt without being superheated above the bulk melting point.

8.2.5. Effect of Temperature on the Time Delay.

An increase in temperature favours the formation of the liquid skin, and also increases the concentration of adatoms which are likely to play a major part in the formation of the liquid skin. Also an increase in temperature reduces the activation energy needed to form the critical nucleus. The variation in the number of adatoms (N) with temperature (T) can be given by

$$N = N_{O} \exp \left(-\frac{\Delta F}{kT}\right) \qquad (8.2.7.)$$

where N_0 is the initial number of atoms and ΔF is the activation energy required to activate an atom on the solid surface. An increase of temperature, therefore, will increase the number of adatoms available for the formation

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of the critical nucleus; the liquid skin is therefore more likely to form at higher temperatures than at lower Therefore, the time delay would be expected temperatures. to decrease at higher temperatures. The experimental curves for the variation of the characteristic times of melting with temperatures for the various types of particles studied in the present experiments have been redrawn, without the experimental points, in figure 8.2.2, to allow a direct comparison. The curve for the type I (platelet) particles has been extended into the regions beyond the bulk melting point investigated by Peppiatt (1973, 1975). These experimental plots produce a good linear relationship between $ln(\tau)$ and T, the temperature. The experimental points corresponding to the type II* (polyhedra) particles agree well with the experimental curve for the type II (polyhedra) particles obtained by Peppiatt. The results show a decreasing time delay with increasing temperature The slopes of the curves for the type II* as is expected. (polyhedra) and the needle-like particles are nearly equal. This is probably because, although the shapes of these two forms of particles differ, their skin melting temperature will be determined by the relation for the skin melting temperature of a spherical particle, considering the liquid skin forming at one end of the needle-like crystallite.

Now by assuming that

$$\tau = \tau_{o} \exp (\frac{\Delta E}{kT})$$

(8,2,8,)



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an attempt can be made to explain the variation of $\ln(\tau)$ with the temperature (T) in terms of a simple activation energy for melting. In the above equation, ΔE is the activation energy, and τ_0 is independent of temperature Taking logarithms and differentiating equation (8.2.8.)

$$\Delta E = -kT^{2} \frac{d}{dT} (ln.\tau) \qquad (8.2.9.)$$

Therefore, by using the gradients of the experimental curves (figure 8.2.2.), activation energies (ΔE) of 20 and 23eV can be determined for the melting of type II* (polyhedra) and the needle-like particles respectively. This is in comparison to an activation energy of 9eV obtained similarly from the slope of the curve for the type I (platelet) (Peppiatt, 1973). These values are widely at variance, for example, with a value of ΔF_a , (see section 2.2.) usually less than an electron volt, obtained by equating it to the activation energy for viscous flow. (Turnbull, 1950) in a supercooled liquid. The reason for this discrepancy, however, is not clear.

8.2.6. Summary,

The time delay observed in the melting of small particles of bismuth has been attributed to the difficulty in the nucleation of the initial liquid skin. This difficulty is probably due to the activation energy required for the substantial rearrangement of bismuth atoms

necessary on melting. Also an activation energy is needed to form the liquid skin from an initial two dimensional liquid nucleus formed by an aggregation of adatoms. On this picture, the experimental results on the time delay have been discussed to be dependent on the following parameters:

- The size, surface area, and morphology of the particles.
- 2. The imperfections present in the particles.
- 3. The temperature of the particles.

8.3. Discussion on the Supercooling Results of Small Liquid Droplets.

In the present experiments, the supercooling of bismuth and tin was observed for particles of a few hundred Angstromsdiameter, on amorphous carbon films and cleavage flakes of molybdenite and graphite (section 7.3). Tables 8.3.1. and 8.3.2. list the maximum amount of supercooling (ΔT_{max}) observed; for comparison, the results of a few other workers are also included. The particle size and the experimental conditions are given in each case.

The degree of supercooling on the two crystalline bases was substantially lower than that on carbon. The effect of a crystalline base on the amount of supercooling in small liquid droplets has also been noted by other workers. Stowell et al. (1970), in an electron microscope under a residual gas pressure of approximately 10⁻⁸ torr, found that small (~ 100Å - 3,000Å diameters) liquid droplets of lead could be supercooled to 237K on carbon substrates, but the supercooling was only 97K on molybdenite substrates. This indicates that the substrate helps to nucleate the liquid-solid transformation. Also bearing in mind the method of preparation of the crystalline substrates (section 4.2.1.), it is likely that these will be more contaminated than the carbon substrates. Consequently, with the contaminants providing additional nucleation sites, the degree of supercooling will be considerably reduced from that on the carbon substrates. Traces of contaminants

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Reference	т(к)	∆T _{max} (K)	Particle Radius	Experimental Conditions.
Present Work	382	162	300Å	Vacuum of 4x10 ⁻⁷ torr, carbon substrate.
	429	115	300Å	Molybdenite substrate.
•	416	128	300Å	Graphite substrate.
Peppiatt (1973)	400	144	3008	Vacuum of 5x10 ⁻⁷ torr, carbon substrate.
Coombes (1967)	462	82	200Å	Vacuum of 10 ⁻⁵ torr, carbon substrate.

Table 8.3.1. Results for the supercooling of bismuth.

$$(T_{0} = 544K)$$

Reference	Т(К)	∆T _{max} (K)	Particle Radius	Experimental Conditions.
Present Work	335	170	300Å	Vacuum of 4x10 ⁻⁷ torr, carbon substrate.
	390	115	300Â	Molybdenite substrate.
	3 72	133	300Å	Graphite substrate.
Peppiatt (1973)	351	154	300Å	Vacuum of 5x10 ⁻⁷ torr, Carbon substrate.
Blackman and Curzon (1959)	415	90	50Å	Vacuum of 10 ⁻⁵ torr, Carbon substrate.
Sayama (1941)	425	80	0.1cm	Molybdenite substrate.

Table	8.3.2	Results	for	the	supercooling	of	tin,
$(T_0 = 505K)$.							

on the crystalline substrates have occasionally been observed in the present work.

The amount of supercooling on carbon does not differ significantly from that of Peppiatt (1973) obtained in identical conditions, but is appreciably greater than those of the other workers in a poor vacuum. The results of Coombes (1967) on bismuth and Blackman and Curzon (1959) (see also Curzon, 1960) on tin are probably dominated by the nucleating influence of gaseous and polymerized hydrocarbon contaminants in the electron The fact that greater supercooling diffraction camera. can be achieved by an improvement in the vacuum condition (see also Stowell et al., 1970) suggests that the environment has a significant effect on the results. It is therefore not clear whether homogeneous nucleation occurred in any of the cases quoted above, or even in the present experiments.

[Takagi (1954) quotes a supercooling of 192K for tin particles of 86Å average diameter on crystalline substrates. This value is much higher than that obtained presently in an improved vacuum compared to that which she could have possibly achieved in the electron diffraction camera. The reason for this discrepancy is not obvious, but is perhaps due to the use of far smaller particles than used here (see Turnbull, 1950), or an inaccuracy in the temperature measurement.]

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The liquid droplets in the present experiments

did not all solidify simultaneously as the crystalline diffraction pattern was observed to gradually increase in intensity over a range of temperature, quoted in tables 7.3.1. and 7.3.2. The reason might be due to the presence of some minute specks covering parts of the substrates, Recrystallization of the droplets would be expected to occur in these parts before the droplets in the other parts due to the "seeding" effect of foreign particles. Another cause may be a size effect on supercooling (Turnbull, 1950), which was not systematically investigated in the present Blackman and Curzon (1959), by using the same work. criterion to determine the particle size as used in their melting experiments (see section 1.3), found that for tin particles in the size range of 60Å to 200Å diameter, the supercooling varied inversely with particle size.

The results of supercooling of small liquid droplets have been used by various authors to obtain an estimate of the solid/liquid interfacial energy (γ_{sl}) of a material. This is based on the theoretical treatment of supercooling by Holloman and Turnbull (1950). By assuming the nucleation to be homogeneous (see section 2.2.1.), they derived the following formula relating the amount of supercooling (AT) to the nucleation rate per unit volume (I)

$$I = n \left(\frac{kT}{h}\right) \exp \left(-\frac{\Delta F_{a}}{kT}\right) \exp \left[-\frac{16\pi\gamma_{s\ell}^{3}}{3\rho_{s}L^{2}} \cdot \frac{T_{o}^{2}}{(\Delta T)^{2}} \cdot \frac{1}{kT}\right] \quad (8.3.1).$$

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where L is the latent heat of fusion per unit mass, T_0 is the bulk melting point and n is the number of liquid atoms per unit volume. Following Holloman and Turnbull, by using the values of $10^{-1} \sec^{-1}$ per particle for I and 10^{-2} for exp $-\frac{\Delta F_a}{kT}$, the following expressions relating the interfacial energy (γ_{sl}) to the maximum amount of supercooling (ΔT_{max}) and the average volume (v) of the particle involved can be obtained.

$$\gamma_{sl}^{3} = A. (\Delta T_{max})^{2}.T.[ln(v) + B]$$
 (8.3.2)

where

(i) for bismuth, $A = 4.58 \times 10^{-4}$, B = 79.4and (ii) for tin, $A = 5.34 \times 10^{-4}$, B = 79.6Here, v is in cubic centimetres and γ_{sl} is in ergs cm⁻².

Thus, from the maximum amount of supercooling observed in the present experiments, values of 58.0 ergs cm⁻² and 60.5 ergs cm⁻² for γ_{sl} can be obtained for bismuth and tin respectively. These values differ from 46.0 ergs cm⁻² and 54.5 ergs cm⁻² respectively obtained by Holloman and Turnbull. Their observed values of supercooling for 5 µm size particles of bismuth and tin were 90K and 80K respectively. The discrepancy between the values of γ_{sl} is therefore due to the greater supercooling achieved in the present experiments. As contaminantion has such a great influence, it seems that this method of obtaining the maximum degree of supercooling may only be used to estimate a lower limit for the solid/liquid interfacial energy.

8.4. Discussion on Other Observed Properties of the Thin Films.

8.4.1. Diffusion in Small Particles of Tin.

(i) Introduction.

As described in section 7.1., upon heating, changes in shape of the solid tin particles occurred in two In one, at temperatures of 10K or more distinct ways. below the respective melting point, substantial changes in shape of irregularly shaped platelets occurred continuously over a period of several minutes during a melting experiment (see figure 7,1,1.). The changes were of a gradual character, and were consistent with normal diffusion processes, also observed by other workers (see, for example, Pashley et al. 1964; Peppiatt, 1973). In the second type, at temperatures within a degree of the melting point of the respective platelet, changes of shape occurred abruptly by an unusually enhanced form of diffusion, termed 'snapping' (Blackman et al., 1975). Changes of shape of this type has also been observed by Peppiatt (1973) in somewhat larger bismuth crystallites; the diffusion process was termed "rapid solid diffusion". Snapping in the bismuth crystallites was, as a rule, asymmetric with more material being transported from some sides of the crystallite In the tin platelets, snapping occurred than others. asymmetrically and also symmetrically in which material was transported from all sides of a crystallite (figures 7.14. and 7.13.). Occassionally, a few tin platelets also

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exhibited a "double" snapping with a sudden shape change occurring twice in rapid succession (figure 7,1.5).

(ii) Snapping ("Rapid Solid Diffusion"),

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An explanation has been proposed by Peppiatt (1973) to interpret his observations on the snapping (or rapid solid diffusion) in micron size bismuth crystallites. In this, parts of a crystallite assumed to contain more and strains than the remainder, is expected imperfections to melt at temperatures below the melting point of the rest of the (less imperfect) solid particle. The speed of the change in shape results from the rapid movement of the liquid portion towards the solid particle to reduce the surface energy by forming a more spherical shape. The liquid, after covering the top solid surface, either completly or partly, is expected to resolidify as the solid is still below its melting point.

While the above theory might explain the abruptness observed in snapping due to the rapid movement possible in liquid, it does not seem to explain the retention of the shape of certain edges of a crystallite after snapping as observed by Peppiatt in the bismuth crystallites. If it were to assume that the abrupt changes in shape were due to the production and subsequent movement of the melt, the shape of the edges after snapping would be expected to form differently by a rearrangement of the atoms on the surfaces of the edges. As for bismuth
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crystallites, the initial shapes of the edges of the tin crystallites were generally retained after snapping (figures 7.1.2, 7.1.3, and 7.1.4).

An explanation, therefore, is proposed in which it is assumed that the surfaces of the edges of a tin crystallite are strained. Upon heating, it is likely that strains will be introduced in the surfaces of edges of a crystallite due to the difference in the thermal expansion coefficients between the substrate and the crystallite. As soon as a strained region is removed, enhanced diffusion will occur due to the large amount of strain energy As it is likely that the crystallites are far released. from their equilibrium shape, a release of the strain energy will reduce the energy of the system and the crystallite will assume a more compact form during the The platelet will grow in thickness and the process. visible area of the crystallite will have decreased substantially, as has been observed. Also, in the process, the shapes of the edges of a particle would be expected to be retained.

As stated previously, since the snapping was predominantly observed within a degree of the melting point of the respective platelets, the possibility of a liquid layer forming on the surfaces of the edges of the platelets might not be ruled out. The liquid layer, however, must be assumed to be thin, possibly a few monolayers in thickness in contrast to the melting of parts of a crystallite

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assumed by Peppiatt in the snapping of the bismuth crystallites. The liquid layer might form on the surfaces of the strained edges, as this results in the reduction of the energy associated with such surfaces, and therefore, in an enhancement of the diffusion process. As the liquid layer is assumed to be thin, no appreciable change in shapes of the edges would be expected to occur by the rearrangement of the atoms on the surfaces of the edges after snapping. Imperfections present on the edges may also cause the formation of such a liquid. However, no such imperfections were seen to be present in the thin tin platelets in the present work.

The tin platelets, in the form of an ellipse were generally seen to snap symmetrically, or circle, while the asymmetric snapping was more common in the irregularly shaped platelets with several re-entrant angles. The elliptical or circularly shaped platelets were therefore presumably strained on all sides, whereas in the irregularly shaped platelets only a few regions were strained. Some of these irregularly shaped particles also exhibited a 'double' snapping. It is not understood why, after the release of the strain energy which causes the particle to snap once, the same particle should snap again, as the strain energy would be expected to dissipate at the end of the first snapping.

Snapping in the tin platelets was observed only

on carbon substrates, not on molybdenite and graphite substrates, although the shapes of the particles were identical on all the substrates. The tin particles on these substrates were seen to be severely contaminated at the end of an experiment. These contaminants might have inhibited the movement in the particles.

(iii) Normal Solid Diffusion.

As described previously, changes of shape in the tin platelets at temperature of 10K or below the melting point of the respective platelets occurred by a slow diffusion process, consistent with normal diffusion processes. By a removal of the re-entrant angles, the shapes of the edges of the irregularly shaped particles were rounded and the particles attained a more compact form. Several minutes were required to attain a substantial change in shape.

The above rounding of edges in small crystallites have been observed by other workers during the continuous deposition of thin films in an electron microscope (Pashley and Stowell, 1966; Pashley et al., 1964). The shape changes observed were always such that the surface area of a particle was decreased. This is presumably to reduce the surface energy of the system. The predominant process in such shape changes is probably surface diffusion.

8.4.2. Diffusion in Bismuth Films on Molybdenite Substrates.

As described in section 7.2., continuous films with misshapen holes were obtained by condensing more bismuth onto molybdenite substrates at approximately 425K,

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than was needed to obtain the films with the needle-like crystallites (figure 5.2.7.). On heating these layers for a few minutes at about 10K below the bulk melting point, the misshapen holes changed into mostly oriented hexagonal holes (figure 7.2.1.), while in some layers a few triangular holes (figure 7.2.2.) were also seen to have formed.

Coopersmith et al. (1966) observed the formation of mostly oriented triangular holes, together with a few hexagonal and circular holes in their lead films deposited onto heated molybdenite substrates in an electron microscope. Such films were obtained when the depositions were carried out in the absence of the electron beam. These films differed significantly from those deposited in the presence of the electron beam, when the films were composed of globular (111) lead crystallites, These authors attribute the discrepancy to the irradiating effect of the electron beam (in the present experiments the depositions were They carried out in the absence of the electron beam). attribute the formation of the triangular holes to the coalascence of the (111) lead crystallites, although in such a process more polygonal or circular holes would be expected than those observed. This is because the energy associated with the boundary of a polygonal or circular hole will be less than that associated with the boundary of a triangular hole.

In the present work, the transformation from the misshapen to a hexagonal or triangular hole in the bismuth

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films was always such as to reduce the boundary associated with a given hole. The atomic diffusion process by which such a change occurs is therefore energetically favourable, as the change results in a decrease of the energy associated with the boundary of a hole. The tendency of bismuth films on molybdenite to form the needle-like crystallites might explain the formation of the hexagonal holes. On heating these layers, needle-like crystallites with one side forming the boundary of a hole, may assume the configuration for the formation of a hole with straight edges. Such a growth process is likely to form the polygonal holes more frequently than the triangular holes, as has been observed.

8.4.3. The Shapes of the Solid Particles

(i) Tin Particles.

The majority of small tin particles near the melting point, have almost elliptical or circular outlines. A few particles were also seen to be square shape in appearance. These particles were slightly oriented with their (100) (\equiv 010) fibre axis perpendicular to the substrate. With the exception of a few of the elliptical or circular particles showing slight faceting on the side faces (see figure 6.2.1.), these particles were mostly bounded by smooth curved surfaces on the side faces. These faceted surfaces presumably correspond to small cusps in a polar plot of the surface energy (Herring, 1950, 1952), the smooth curved surfaces probably have almost equal surface energies.

(ii) Bismuth Particles.

The bismuth particles on the carbon substrates were obtained mainly in two distinct shapes according to their method of production, see section 5.2.1. A platelet form (type I) was obtained by heating a thin (\sim 100Å thickness) initial solid deposit; a polyhedral form (type I and type II^{*}) was produced by heating a film of over 200Å in thickness, while another polyhedral form (type II) was obtained by resolidifying the liquid droplets. The bismuth particles on the molybdenite substrates were generally needle-like in appearance.

The platelet form particles displayed a variety of shapes ranging from elongated to regular hexagons (figure 5.2.5.). It seems highly unlikely that these shapes or the needle-like shapes can be the Wulff equilibrium shapes.

The polyhedral particles have almost spherical shapes. The distinct faceting on the side faces give these particles a regular hexagonal appearance. It seems that these particles are much closer to the Wulff equilibrium shape. The side faces might be the six hexagonal (112.0) faces.

8.4.

APPENDICES

A.1. TO DERIVE $\left[f_{s}(T)-f_{l}(T)\right]$.

 $f_s(T)$ and $f_l(T)$ are the Helmholtz's free energies per unit mass of the solid and liquid respectively, at a temperature T. If the temperature of a material is raised by an amount ΔT , the resultant changes in specific free energies will be given by:

$$\Delta \mathbf{f}_{\mathbf{a}} = -\mathbf{s}_{\mathbf{a}} \Delta \mathbf{T} - \mathbf{p}_{\mathbf{a}} \Delta \mathbf{v}_{\mathbf{a}}$$
(A.1.1.)

$$\Delta \mathbf{f}_{\ell} = -\mathbf{s}_{\ell} \Delta \mathbf{T} - \mathbf{p}_{\ell} \Delta \mathbf{v}_{\ell} \qquad (A.1.2.)$$

for the solid and liquid respectively, where s is the entropy, Δv is the corresponding change in volume each per unit mass, and p is the vapour pressure. Since the vapour pressure in the present experiments is very small, the second terms in the above equations can be neglected:

$$\Delta f_{s} = -s_{s} \Delta T \qquad (A.1.3.)$$
$$\Delta f_{s} = -s_{s} \Delta T \qquad (A.1.4.)$$

Subtracting these, and taking the changes to be infinitesimally small

$$df_{s} - df_{\ell} = -(s_{s} - s_{\ell}). dT$$
 (A.1.5.)

Now the differences in the specific entropies of the solid and liquid is given by:

$$T(S_{\ell}-S_{S}) = L$$
 (A,1,6,)

A.1./A.2.

where the latent heat of fusion per unit mass, L, is considered constant over the temperature range involved. Therefore,

$$df_{s} - df_{\ell} = L. \frac{dT}{T}$$
(A.1.7.)

Integrating this between T_0 the bulk melting temperature and the temperature T gives

$$f_{s}(T) - f_{t}(T) - f_{s}(T_{0}) + f_{t}(T_{0}) = L.ln(\frac{T}{T_{0}})$$
 (A.1.8.).

However, at the bulk melting temperature

$$f_{s}(T_{0}) = f_{l}(T_{0})$$
 (A,1,9,)

as this is the equilibrium temperature for the bulk material. Therefore,

$$\begin{bmatrix} f_{s}(T) - f_{l}(T) \end{bmatrix} = L \cdot \ln \left(\frac{T}{T_{o}} \right)$$

$$\simeq L \cdot \frac{T - T_{o}}{T_{o}}$$
(A.1.10.)

A.2. THE SKIN MELTING TEMPERATURE (T_{SK}) OF A CURVED SURFACE.

(This section is based on unpublished work by Dr.S.J. Peppiatt.).

Let R_1 and R_2 be the principal radii of curvature of a small part of a curved surface (figure A.2.1.). The angles subtended by this "rectangular" area at the respective centres O_1 and O_2 are dO_1 and dO_2 . Thus, the sides of this rectangle are given by

$$dl_{1} = R_{1}d\theta_{1}$$

$$dl_{2} = R_{2}d\theta_{2}$$
(A.2.1)

.)







FIGURE A,2.2.

Providing $d\theta_1$ and $d\theta_2$ are small, the area of the surface is

$$dA \stackrel{\sim}{=} d_1 \cdot d_2$$

$$= R_1 R_2 d_0 d_0 \qquad (A.2.2.)$$

Now consider another surface, parallel to the first, subtending the same angles d_{11} and d_{22} at 0_{11} and 0_{22} respectively, but at a distance x outside the first surface (see figure A.2.2.). The area of this second surface will be given by,

$$dA_{x} = dl_{x_{1}} \cdot dl_{x_{2}}$$

= (R₁+x) (R₂+x) \cdot d0₁d0₂ (A.2.3.)

And the volume between the two surfaces is,

$$dv = \int_{0}^{x} \left[dA_{x} \right] dx$$

$$= \left\{ R_1 R_2 x + (R_1 + R_2) \frac{x^2}{2} \right\} d\theta_1 d\theta_2$$
 (A.2.4.)

(neglecting third order terms in x)

The difference in area between the first and the second surface is,

$$dA_{x}-dA = \{ (R_{1}+R_{2})x+x^{2} \} . do_{1}do_{2}$$
 (A.2.5.)

Now consider a solid surface to melt uniformly to a depth δ_0 (see figure A.2.3.); the thickness of the liquid

<u>A.2,</u>

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skin (δ) will be different from δ_{O} due to the change in density of the material on melting.

As the mass of the material remains unchanged on melting, we must have,

$$\delta_{0}\rho_{s} = \delta\rho_{\ell} \qquad (A.2.6.)$$

Now, the change in surface energy from an infinitesimally thin liquid skin ($\delta_0 \rightarrow 0$) is,

$$\Delta F_{surf} = \left[\gamma_{s\ell} \left\{ -\delta_0 (R_1 + R_2) + \delta_0^2 \right\} + \gamma_{\ell} \left\{ (\delta - \delta_0) (R_1 + R_2) + (\delta - \delta_0)^2 \right\} \right] \cdot d\theta_1 d\theta_2 \qquad (A.2.7.)$$

And the change in volume energy,

$$\Delta F_{vol} = dM \left[f_{\ell}(T) - f_{s}(T) \right]$$

$$= \left[f_{\ell}(T) - f_{s}(T) \right] \left[(R_{l} - \delta_{o}) (R_{2} - \delta_{o}) \delta + (R_{1} + R_{2} - 2\delta_{o}) \cdot \frac{\delta^{2}}{2} \right] \cdot \rho_{\ell} d\theta_{1} d\theta_{2} \qquad (A.2.8.)$$

where dM is the mass of the material which has melted.

Therefore, the total change in free energy,

$$\Delta \mathbf{F}_{\text{tot}} = \Delta \mathbf{F}_{\text{surf}} + \Delta \mathbf{F}_{\text{vol}}$$
$$= \left[C_1 \delta_0 + C_2 \delta_0^2 \right] \cdot d\theta_1 d\theta_2 \qquad (A.2.9.)$$

(neglecting third order terms in δ_{0})

where,

$$C_{1} = (\alpha \gamma_{\ell} - \gamma_{s\ell}) (R_{1} + R_{2}) + [f_{\ell}(T) - f_{s}(T)] \cdot \rho_{s} R_{1} R_{2}$$
 (A.2.10.)

$$C_{2} = \gamma_{sl} + \alpha^{2} \gamma_{l} - \frac{1}{2} (R_{1} + R_{2}) (1 - \alpha) [f_{l}(T) - f_{s}(T)], \rho_{s} \quad (A.2.11.)$$

and
$$\alpha = \frac{\rho_s}{\rho_l} - 1$$
 (A.2.12.)

The variation of ΔF_{tot} with δ_o at various temperatures is shown in figure A.2.4. There is a maximum for ΔF_{tot} as C₂ is negative; this maximum occurs at $\delta_o = 0$ at the skin melting temperature (T_{SK}); at this temperature,

$$\left(\frac{\partial \Delta F}{\partial \delta_{0}}\right)_{\delta_{0}} = 0 \qquad (A.2.13.)$$

Therefore, equating the coefficients of δ_0 in equation (A.2.9.) to zero, we have from equation (A.2.10.):

$$(\alpha \gamma_{\ell} - \gamma_{s\ell}) (R_{1} + R_{2}) + [f_{\ell}(T) - f_{s}(T)] \rho_{s}R_{1}R_{2} = 0$$

or, $[f_{\ell}(T) - f_{s}(T)] = \frac{1}{\rho_{s}} (\frac{1}{R_{1}} + \frac{1}{R_{2}}) [\gamma_{s\ell} + \gamma_{\ell} (1 - \frac{\rho_{s}}{\rho_{\ell}})]$ (A.2.14.)

However, from equation (A.1.10.):

$$\left[f_{s}(T)-f_{l}(T)\right] = L.ln(\frac{T}{T_{o}})$$

Therefore, substituting ^TSK for T at the skin melting temperature, we have from equation (A.2.14):

$$\ln \left(\frac{T_{SK}}{T_{O}}\right) = -\frac{1}{L\rho_{S}} \left(\frac{1}{R_{1}} + \frac{1}{R_{2}}\right) \left[\gamma_{SL} + \gamma_{L} \left(1 - \frac{\rho_{S}}{\rho_{L}}\right)\right] \qquad (A.2.15.)$$

$$\frac{T_{SK} - T_{o}}{T_{o}}$$

 \sim



<u>A.2.</u>

FIGURE A.2.4.

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<u>A.3.</u>

A.3. THE STRUCTURE OF TIN AND BISMUTH.

A.3.1. The Structure of Tin.

Two different crystalline forms of tin are known:

(1) Gray or α-tin (Bijl and Kolkemeijer, 1918,1919.) has the diamond structure with unit cell of side

a = 6.491 Å.

(2) White or β -tin (Wyckoff, 1963) has at room temperature (298K) a tetragonal structure with unit cell parameters

a = b = 5.819 c = 3.174 ...

and four atoms at the positions.

000; $0\frac{1}{2}\frac{1}{4}$; $\frac{1}{2}0\frac{3}{4}$; $\frac{1}{2}\frac{1}{2}\frac{1}{2}$,

The unit cell is of such a shape that each atom is surrounded by a distorted tetrahedron of neighbours at a distance of 3.02° . and by two more only slightly farther away at 3.17° in the c-direction.

The temperature at which gray tin transforms to white tin is 286.2K (Hedges and Higgs, 1952). Above this temperature the white form is stable. In the present experiments, carried out at temperatures much higher than this temperature, the crystallites were found to posses the structure of white tin (see also Curzon, 1963.).

For white tin the intensities of diffraction are modified by the structure factor, S, given by,

$$S = \left[1 + e^{\pi i (h+k+1)}\right] \left[1 + e^{\pi i (k+\frac{1}{2})}\right]$$
(A.3.1.)

where, h,k,l are the Miller indices of a lattice plane. An analysis of the diffraction obtained is given in table A.3.1. In this, the length of a vector in the reciprocal lattice (^Hhkl) is calculated from the equation

$$H_{hkl}^{2} = \frac{h^{2} + k^{2}}{a^{2}} + \frac{1^{2}}{c^{2}}$$
(A.3.2.)

At the bulk melting point (505K), the density of solid white tin is 7.18 gmcm⁻³, while that of the liquid is 6.98 gm cm^{-3} , so that on melting, the volume of the material increases by about 3%.

A.3.2. The Structure of Bismuth.

Bismuth is known to occur in eight solid phases (Strong, 1960). However, the only stable form of the material at normal pressures , has a structured rhombohedral unit cell, with two atoms per cell, and cell parameter (at 298K):

a	=	4,746Å,	(rhombohedral	spacing)
α	=	57 ⁰ 14.5	(rhombohedral	angle)

(see Wyckoff, 1963).

The two atoms per unit cell are situated at (uuu) and (\overline{uuu}) , where

$$u = 0.237$$
 (at 298K),

<u>A.3.</u>

hkl	d _{hkl} (Å)	Intensity
200	2.91	S
101	2.78	S
220	2.06	s
211	2.01	S
301	1.66	Ŵ
112	1.48	S
400	1,46	5
321	1.43	S
420	1,30	S
312	1.20	S
.431]	1.09	W
501)		W
103	1.04	W
440	1.03	S

S = Strong according to structure factor.

W = Weak according to structure factor.

TABLE A.3.1.

Analysis of the diffraction pattern produced by a thin film of tin.

<u>A.3,</u>

This structure is, in fact, very close to a simple cubic structure, which has corresponding cell parameters of:

 $\alpha = 60^{\circ}$ (cubic lattice) u = 0.25

Because of this similarity with the simple cubic lattice, bismuth is often quoted as having a pseudo-cubic structure.

The structure may equally be represented as hexagonal with six atoms per unit cell and cell parameters (at 298K):

a' = 4,546 (hexagonal spacing) c' = 11.862 Å.

(see Barrett, 1960; also, Cucka and Barrett, 1962).

For thin films of bismuth, significant lattice spacing changes from the bulk value have been reported by a few workers; for example, Boswell (1951); Fujiki and Suganuma (1954); and more recently, Lisgarten et al (1974). The latter authors report a maximum decrease in a' of 0.8% and 1.3% for about 25Å thick bismuth films on carbon and formvar bases respectively. The corresponding changes in the values of c' were found to be 1-2% from the bulk value. For both substrates, however, the measured value of a' is reported to approach the bulk value for film thicknesses greater than about 100Å.

The densities of solid and liquid bismuth at the bulk melting point (544K) are 9.67 gm cm⁻³ and

10.24 gm cm⁻³ respectively. Thus when the material melts, there is a <u>decrease</u> in volume of about 3% due to the very open structure of the solid forming a more closely packed liquid.

PHYSICAL CONSTANTS OF TIN AND BUSMUTH NEAR THE <u>A.4.</u> MELTING POINT.

(I) TIN.

> Bulk melting point Density of solid Density of liquid Latent heat of fusion Coefficient of expansion Young's modulus Surface energy of liquid⁽¹⁾ Solid/liquid interfacial energy (2)

BISMUTH. (II) Bulk melting point Density of solid

 $T_{0} = 544K$ $\rho_{\rm g} = 9.67 \ {\rm gm} \ {\rm cm}^{-3}$ $\rho_{\ell} = 10,24 \text{ gm cm}^{-3}$ Density of liquid $L = 4.3 \times 10^8 \text{erg gm}^{-1}$ Latent heat of fusion $\gamma_0 = 380 \text{ ergs cm}^{-2}$ Surface energy of liquid (1)

T_= 505K

 $\rho_{\rm s} = 7.18 \ {\rm gm} \ {\rm cm}^{-3}$

 $\rho_0 = 6.98 \text{ gm cm}^{-3}$

 $L = 5.8 \times 10^8 \text{ erg gm}^{-1}$

 $= 2.6 \times 10^{-5} \text{ k}^{-1}$

 $\gamma_0 = 552 \text{ ergs cm}^{-2}$

 $\gamma_{sl} = 54.5 \text{ ergs cm}^{-2}$

 $Y = 4 \times 10^{11} dynes cm^{-2}$

- (1) Lang (1973).
- (2) Turnbull (1950),

From the maximum degree of supercooling of liquid droplets, a value for the solid/liquid interfacial energy (γ_{sl}) of bismuth of 58.0 ergs cm⁻² may be inferred. This has been used in section 8.2. Although this value and the value for tin obtained similarly by Turnbull (1950), probably represent a lower limit of the interfacial energy, rather than the actual value. (See section 8.3.)

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