Plasmonic Control of Radiative Properties of Semiconductor Quantum Dots Coupled to Plasmonic

# Ring Cavities

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ABSTRACT (Word Style "BD\_Abstract").

In recent years, a lot of effort has been made to achieve controlled delivery of target particles to the hotspots of plasmonic nano-antennas, in order to probe and/or exploit the extremely large field enhancements produced by such structures. While in many cases such high fields are advantageous, there are instances where they should be avoided. In this work, we consider the implications of using the standard nanoantenna geometries when colloidal quantum dots are employed as target entities. We show that in this case, and for various reasons, dimer antennas are not the optimum choice. Plasmonic Ring Cavities are a better option despite low field enhancements, as they allow collective coupling of many quantum dots in a reproducible and

predictable manner. In cases where larger field enhancements are required, or for larger quantum dots, non-concentric ring-disk cavities can be employed instead.

When metal nanostructures are suitably designed, the excitation of localized plasmons yields strongly concentrated optical fields in their surroundings, often referred to as "hot spots". Such nanostructures can thus be considered to be effective optical antennas, able to convert propagating waves into localized fields. <sup>1-3</sup> This antenna function has ignited the development of a vast variety of applications, including surface-enhanced Raman scattering spectroscopy (SERS), <sup>4-9</sup> surface-enhanced infrared spectroscopy (SEIRS), <sup>10-13</sup> antenna-enhanced ultrafast nonlinear spectroscopy <sup>14</sup> and near field microscopy <sup>15,16</sup> among others. A common requirement of most of these applications is the need to place a target entity in vicinity of antenna's hotspot, in order to be able to exploit the enhanced fields and/or to enhance target's functionality *via* interaction with the antenna. A lot of progress has been made recently to selectively deliver target entities to hotspots of dimer antennas, <sup>17–19</sup> since high field enhancements can be achieved in these configurations. In fact, the enhancement itself can be exploited in order to achieve selective localization of target entities. <sup>20,21</sup>

However, for practical purposes, one must consider the properties of these target entities when placed in the presence of high fields. In the case of fluorophores, for example, high excitation intensities are often detrimental to their efficiency and longevity (*i.e.* their photostability). In particular, at near single-emitter level, organic dyes photobleach quickly<sup>22–25</sup> and quantum dots (QDs) tend to blue-shift and also change their switching and radiative decay behavior after prolonged exposures to high intensities. <sup>26–28</sup> The small sizes of the hotspots of dimer nanoantennas limit the interaction to just a few emitters, effectively forcing the system towards such a single-emitter level. Furthermore, the small hotspot size is particularly detrimental when

considering the large-area fabrication of plasmonics-based components for an all-optical on-chip circuitry aimed at optical data processing applications. This is because the process of distributing an equal number of fluorophores across a large area is often very difficult to achieve. The overall result of the above would be a widely dissimilar and, more importantly, unpredictable performance of the fabricated devices across the chip when dimer nanoantennas are employed as plasmonic elements. Conversely, nanoantennas with larger hotspot areas would facilitate the reproducibility of the fabrication process, and also allow the exploitation of the collective behavior of the fluorophores (as opposed to the near single-emitter level for dimer nanoantennas discussed above).

Metallic rings, or Plasmonic Ring Cavities (PRCs), of 300-500 nm in diameter are a good example of such systems. <sup>29,30</sup> Unlike dimer and bowtie antennas, PRCs do not deliver large enhancements of electric fields in the visible region – only a small focusing of the light can be achieved with these antennas. Indeed, most of the structures used in this work offered intensity enhancement factors of less than 30 in the visible (see insets of Figure 1), while gold (Au) dimer nanoantennas resonating at similar wavelengths can achieve field enhancements one or two orders of magnitude larger than this. <sup>31,32</sup> However, and importantly, the area over which enhancement occurs is much larger in PRC structures, and so smaller field enhancements can be compensated by the larger amount of fluorophores interacting with the antenna, while providing the required predictability of the overall system.

Here, we explore this possibility to *consistently and reliably* modify the behavior of fluorophores inside Au PRC structures, with particular emphasis on the achievement of reproducible behavior across an array of similar nanostructures. We employ colloidal semiconductor QDs (CdSe/ZnS) as target entities as they have many advantages over standard fluorescent dyes. In particular,

QDs photobleach less and are brighter than most standard fluorescent dyes;<sup>33–36</sup> also, in contrast to strong and complicated structure-function relationships of organic dyes, modification of QDs surface chemistry can be achieved without large changes in their fluorescence behavior.<sup>37–39</sup> For these reasons, among others, they have been suggested as the active elements in many emitter-based systems.<sup>37,40–50</sup>

#### **RESULTS AND DISCUSSIONS**

# **Resonance Behavior of Plasmonic Ring Cavities**

Firstly, we used the finite difference method to calculate the resonant behavior of PRCs at normal incidence. All theoretical results shown in this work have been obtained with use of finite-difference time-domain software (Lumerical) using optical data of Palik's reference book.<sup>51</sup> FDTD numerical method is broadly established in computational electromagnetism to calculate the optical response of different nanostructures.<sup>52</sup> Figure 2A shows a typical set of cross-sections obtained from calculations for a 40 nm high gold (Au) PRC on a quartz substrate, with 1 nm chromium (Cr) adhesion layer and at normal incidence. As it can be seen from this figure, a typical PRC exhibits two bright resonances. The main (dipolar) resonance occurs in the IR, and its' spectral position is highly sensitive to both the outer diameter (*D*) and the thickness (*t*) of the ring (see figure 1C for definitions of these), with an increase in *D* leading to shift to lower energies (figure 2B) and the opposite trend for *t* (figure 2C). The second (higher-order quadrupolar) resonance occurs in the visible range, and its' position is much less dependent on PRC dimensions (insets of figures 2B and 2C). In fact, when the outer diameter of the PRC is increased by more than 100 nm (from 325 to 440 nm), this resonance red-shifts by only 20 nm. Increasing the

thickness of the PRC shifts this high-order resonance to lower. This can be understood by considering the oscillations of charges that cause this resonance – in this case, opposite charges oscillate from the inner and to the outer edge of the ring (see charge map in Figure 2B and animation file PRC\_charge\_vis.gif), and so are determined by its' thickness.

When considering this higher-order resonance, it should be noted that the absorption contribution to the total extinction by a PRC can be quite large when compared to scattering contribution (see inset of Figure 2A). This is a typical observation for small structures<sup>53,54</sup> and is further indication the PRC's thickness is the deterministic factor for its resonance behavior at these higher frequencies. The high absorption contribution is also responsible for the aforementioned low electric field-enhancement, as it leads to damping of radiative processes and increased non-radiative losses.<sup>55</sup>

PRC structures fabricated in this work had the same dimensions as those indicated in Figure 1, panels A and B; SEM images of these structures and a summary of their dimensions can be found in SI. Dark-field measurements performed on the fabricated PRCs have confirmed the position of the higher-order resonance to be within a narrow 50 nm range centred at ~580 nm (see panels D-F in figure 2 for examples), which agreed well with predicted dark-field spectra calculated numerically (details in SI). For this reason, QDs emitting at 585 nm were chosen as the target fluorophores to be coupled to all fabricated PRCs, allowing for various degree of coupling of QDs' fluorescence to PRCs. Furthermore, the FDTD calculations show that for this higher-order resonance, the majority of field enhancement occurs inside the PRCs, as can be seen in Figure 1D for a PRC of 440 nm outer diameter and 80 nm thickness (see SI for other PRCs), from here on referred to as D440t80 PRC. This is in contrast to the main resonance, where the

opposite is true (Figure 1E). Therefore, QDs were selectively placed inside the PRCs, at varying and pre-defined distances from their inner wall.

Attachment of QDs in pre-defined locations inside PRCs was achieved using a variation of the 2-step electron beam lithography (EBL) method reported previously.<sup>17</sup> Briefly, (3-Aminopropyl)triethoxysilane (APTES) molecules were self-assembled on quartz in the lithographically-defined ring-shaped gaps of a Poly(Methyl Methacrylate) (PMMA) mask (see SI Figure S1). This was then reacted with carboxylic acid (COOH)-functionalized QDs *via* EDC-mediated conjugation<sup>56</sup> (Figure 3A). Finally, the PMMA mask was lifted off in acetone over 24 hour period.

Conjugation of QDs to quartz was confirmed by mapping their photoluminescence (PL) intensity across the array. In places where QDs attached, a characteristic Gaussian-like PL signal was observed (Figure 3C). As expected, such locations were found to be arranged in an array of 2 um pitch, as determined by the 2nd lithographic step (Figure 3C). Further characterization was performed using non-contact atomic force microscopy (AFM), which confirmed the localization of QDs inside a PRC in a ring-like pattern (Figure 3B).

It should be noted that, due to the finite size of the e-beam (~30 nm diameter), and the subsequent prolonged ashing (needed for uniform APTES monolayers), the EBL-defined ringshaped gaps in PMMA mask of less than 60 nm in radius resulted in a disk-like gap instead. This lead to the attachment of QDs over a circular region at the centre of PRCs, rather than a ringshaped region. This is shown diagrammatically at the top of Figure 4 for increasing radius of the gap developed in the 2<sup>nd</sup> lithographic step (R<sub>QD</sub>): the first two QD-PRC structures have disk-shaped areas of QDs (shown in red) inside Au PRC (orange ring); but, as R<sub>QD</sub> was increased, this

developed into a ring-shaped areas of QDs. In this way, we were able to vary the distance between the QDs and the inner wall of the PRC and thus modify the degree of coupling between the two.

# Radiative behavior of QD's placed at different positions inside a single PRC

QD-PRC separation distance was varied as described in the previous section/paragraph. Initial characterization of the radiative properties of QDs', when coupled to Au PRC, was achieved using fluorescence lifetime imaging microscopy (FLIM). Figure 4 shows the result of this characterization for PRC with  $D\sim440$  nm and  $t\sim60$  nm (D440t60 PRC), for 5 different radii of QDs rings ( $R_{OD}$ ) placed inside the PRC structure (shown graphically on top of the FLIM image). From FLIM image, it is clear that the radiative behavior of QDs is modified significantly by the presence of PRC, and this modification increases with decreasing QD-PRC separation: QDs' average lifetime decreases from ~6 ns for QDs placed at the centre of the PRC, to ~1.5 ns for QDs in close proximity to the inner wall of the PRC. The decay rate of an emitter located in the surrounding of the PRC is modified due to two competitive processes: transfer of the energy from the emitter to the nanoparticle<sup>57</sup> (observed exclusively for lossy nanoparticles) and the coupling of the field originated by the emitter to the outgoing radiation.<sup>58</sup> The resulting modification of the emitter's decay rate, known as the Purcell effect, <sup>59,60</sup> has been extensively studied and applied to designing efficient nanoantennas for different applications such as, single photon emission, 61 enhancement of the fluorescence intensity 62,63 and emission directivity. 17 In our case, the presence of a PRC in vicinity of QDs appreciatively enhances the decay of its fluorescence (Figure 5B), and this enhancement increases as QDs are brought closer to the inner wall of the PRC (Figure 5C). Significantly, it is possible to predict the changes in QDs' radiative behavior due to coupling to PRC theoretically by considering a dipole emitting at QDs' emission wavelength, placed at various locations in the XY plane inside a PRC, and then averaging the results over positional and spectral spreads.

To this end, we place an electric dipolar emitter, which models the dipolar transition moment of the quantum dot, with an intrinsic decay rate  $\gamma_0$ , in the geometric center of the plasmonic structure and calculate both the power radiated by the system and the dissipation of the energy originated by the emitter into Ohmic losses. These quantities, when normalized to the power emitted in the absence of the antenna, yielded the enhancement of the emitter's radiative decay rate  $\gamma_R/\gamma_0$  and of the nonradiative processes  $\gamma_{NR}/\gamma_0$ . These values were then averaged over the possible locations of QDs and over the spectral range of QDs' emission (full details of the procedure can be found in SI). From these, it is possible to calculate the theoretical change in the experimental lifetime ( $\tau$ ) of QDs when coupled to PRCs, relative to their original lifetime ( $\tau_0$ ):  $\tau/\tau_0 = (\gamma_T/\gamma_0)^{-1} = \gamma_0/(\gamma_R + \gamma_{NR})$ .

Figure 5D shows the spectral dependence of the reduction of experimental lifetime or, equivalently, the total decay rate enhancement for the electric dipolar emitter placed at different locations. The dashed lines in panel D of this figure show the results of the averaging procedure for QDs placed along X and Y directions (dark blue and green respectively), while the shaded bands of the same colors show the range of values that are acceptable theoretically. Scatter points are the experimentally obtained values of QDs' lifetime decrease.

Overall, excellent agreement was obtained between experiment and theory. Experimental values agree best with the theoretical values along X direction for large QD-PRC separation distances; at short separations, they tend towards those predicted for Y direction. This can be explained by the fact that incident excitation was polarized along the X direction, and so QDs placed along this line couple stronger to the PRC (predicted theoretically). Hence, for very short QD-PRC distances,

emission of QDs in the X-direction is quenched stronger, resulting in proportionally smaller contribution from these QDs.

Another important aspect is the increased signal from PRC-QD structures as the separation between the ring of QDs and the inner wall of the D440t60 PRC was decreased. This can be clearly seen in the FLIM image of Figure 4. Several factors contribute to this increase. The first is the increased number of QDs deposited through the mask for increasing  $R_{OD}$ . The increase in intensity due to increased number of QDs is expected to be linear, which was confirmed for standalone rings of QDs of various diameters (data labelled "without PRC" in Figure 6). On the other hand, the trend for QDs coupled to PRCs in this figure ("with PRC" in Figure 6) clearly has a nonlinear dependence on the number of QDs. Furthermore, increased emission intensity was observed for this case when compared to standalone QD rings, especially for smallest QD-PRC separations. This additional increase in QD brightness is due to the Purcell effect and the increased electric field at excitation wavelength, although both are counteracted by the quenching of QDs' fluorescence due to close proximity to a metallic surface. As a result of the quenching and the low electric field enhancement in PRC structures, maximum brightness enhancement of only 50% was obtained. However, the large number of QDs yielded high emission intensities and this greatly facilitated the measurements.

## QDs' radiative properties as a function of PRC dimensions

As observed above for D440t60 PRC, a large and appreciative change in the radiative behavior of QDs can be obtained even for large QD-Au separations (Figure 5D). Thus, if the goal is to control the radiative behavior of fluorophores, it is not necessary to vary the location of the QDs inside PRCs. QDs' radiative properties placed at the center of the rings can be controlled by

varying the dimensions of the PRC instead (*i.e.* their outer diameter and thickness). For example, if the thickness of the PRC is kept constant while its outer diameter is increased, the rate of decay of QDs' fluorescence decreases (Figures 7A and 7C). On the other hand, keeping the diameter of the PRC constant, while increasing the ring's thickness, has the opposite effect (Figures 7B and 7D). Both of these tendencies can be explained by considering the coupling strength dependence on the PRC-QD separation distance and on the spectral overlap of the plasmonic band of the PRC and the emission band of the QDs. PRCs with larger *t* yield smaller QD-PRC separation distances and improved spectral overlaps with the fluorescence of QDs at 585 nm. However, it should be noted that thicker rings have negligible field enhancements at the center of PCRs for both the excitation and the emission wavelengths (Supplementary Figure S2), and so PRCs with thinner walls are preferable for applications where enhancement of the brightness is also desirable.

# **Extension to non-concentric ring-disk systems**

The results above validate PRCs for the development of plasmonics-based systems with reproducible and predictable behavior. However, the small electric field enhancement they offer, and the narrow wavelength range where their higher-order resonance occurs, limits their usefulness for emitters above 600 nm or for applications where enhancement in brightness is also essential. In the following, we show that both of these limitations can be overcome through the extension of the system to include a small disk placed close to the inner wall of the PRC. In this geometry, the disk and the PRC form a non-concentric ring/disk cavity (NCRDC), and the dipolar mode of the disk can couple to the higher-order multipolar modes of the ring, 64-67 the latter occurring over a wide spectral region in the visible. The coupling allows the otherwise dark multipolar modes of the ring to become dipole-active, facilitating the propagation of these modes to the far field. 64,68

Figure 8 clearly demonstrates this for disks of various diameters placed inside a PRC of 350 nm outer diameter and 45 nm thickness (D350t45). The intensity enhancement profile at 650 nm (bottom panel of Figure 8A) clearly shows the disk resonating in dipolar fashion; on the other hand, several intensity enhancement "lobes" can be seen around the ring, which is indicative of the multipolar behavior.

Figure 8B shows the experimental dark-field data (top panel) and numerically calculated far-field scattering (bottom panel) for a D350t45 PRC coupled to disks of different diameters, ranging from ~30 to 90 nm, placed 20 nm inside and away from the PRC. Clearly, the size of the disk determines the position and strength of its dipolar resonance and, consequently, the position and strength of the coupled modes of the NCRDC. These coupled modes are then able to propagate to the far-field, resulting in increased dark-field signal at visible wavelengths. In fact, we show that ~30-fold increase in the measured DF intensity can be obtained for these NCRDCs.

Figure 8C shows similar data for the largest disk (d=90 nm) placed at increasing distances from the inner wall of the D350t45 PRC. The strength of the coupling notably decreases as the distance between the two components is increased, indicated by the reduced strength of some of the NCRDC resonances, particularly lower energy ones. Several resonances can be clearly seen in the theoretical scattering data, marked i through iv in the bottom panel of Figure 8C. Each of these resonances can be attributed to coupling to a different multipolar resonance of the ring (see charge maps i-iv in Figure 8D). Importantly, the results in Figure 8C promise improved far-field propagation of radiation, even for disk-PRC gaps large than 30 nm. This is a great advantage, as large gaps facilitate device fabrication and also allow for reduced quenching effects due to proximity of fluorophores to metallic surfaces.

As can be seen from Figures 8B and 8C, experimental scattering of radiation showed some deviation from theoretical calculations. In particular, resonances were less pronounced and in all cases the dominant peak occurred at 650 nm. This was due to a combination of imperfections in the disks and PRC geometries, together with the resulting non-uniform gap between them (discussion of these and their effect can be found in Supplementary Information).

Another potential advantage of the NCRDC geometry is the increased field enhancement in the gap between the disk and the ring, at wavelengths larger than the position of the dipolar resonance of the disk. Figure 8A compares the theoretical near-field enhancement in the middle of the gap of the D350t45 PRC coupled to 90 nm disk placed 20 nm away, to enhancements that can be obtained 10 nm away from each of the constituent structures. At 650 nm, the intensity in the gap of the NCRDC was found to be 5 times larger than for just a disk and, more interestingly, 30 times larger than for the PRC. This agrees well with the 30-fold increase in scattering intensity observed experimentally for this NCRDC. Crucially, most of the enhancement is achieved in the visible range (Figure 8A), suggesting that the detrimental high field intensities at excitation wavelengths can be avoided. Finally, and importantly, in this configuration the active region of the NCRDC (the hotspot) is still reasonably large when compared to hotspots of dimer nanoantennas; in fact, even for small gaps between components, we approximate the area of the active region to be almost 3 times larger in area than for a dimer disk antenna resonating at the same wavelength (see SI).

## **CONCLUSIONS**

Plasmonics-based emitting devices hold great potential as photonic components for on-chip optical data processing applications. However, the choice of the geometry of the plasmonic structure in such devices is limited by the properties of the fluorophore used. When colloidal QDs

are utilized as the active elements within a plasmonics-based device, several of their properties must be taken into account, including their behavior at single QD level, their photostability at high electric field intensities, the quenching of their emission when in immediate proximity to metallic surfaces and the distribution of their sizes within a typical sample. As a consequence of these, it becomes clear that dimer antennas should be avoided when combined with colloidal QDs, as such combination would result in a very unpredictable and unreliable system. However, many of the aforementioned limitations can be overcome by employing antenna geometries with larger hotspot areas instead, although at the price of reduced electric field enhancements. In this work, we showed that PRCs satisfy this criteria, and that they can be used to consistenty and *deterministically* alter the radiative behavior of QDs placed in their vicinity.

In particular, the radiative decay of fluorescence of QDs placed at the center of PRCs was found to depend greatly on the dimensions of the plasmonic nanostructure, with opposite trends observed for changes in PRC's outer diameter and their thicknesses. Additionally, the rate of fluorescence decay can be further enhanced by reducing the distance between QDs and the inner wall of the PRC, through precise fabrication methods. In both cases, excellent agreement was achieved between experimental and theoretical data, attesting to the reliability of the performance of this system.

For QDs coupled to PRCs, only a small increase in QDs' brightness was observed (up to 50%), limited by the small electric field enhancements provided by the PRC structures. We proposed that brightness enhancement can be improved by extending this system to include a metallic disk placed off-centre and inside the PRC, thus forming a non-concentric ring-disk cavity. This plasmonic geometry significantly enhances the electric field intensities in the cavity, and also offers improved far-field propagation of re-emitted radiation. Yet, NCRDCs still have relatively

large hotspots permitting exploitation of the collective behavior of QDs and reduction of detrimental consequences of colloidal QDs' properties.

#### EXPERIMENTAL METHODS.

Sample fabrication. Ring cavities were fabricated using by the standard electron-beam lithography method, using PMMA as resist and by evaporating 1 nm of Cr at a rate of 0.2 A/s and then 40 nm of gold at a rate of 2 Å/s. The second EBL layer was then exposed, with the gaps in PMMA mask aligned to specific regions of ring cavities. A self-assembled monolayer of amino-terminated molecules was formed in these gaps and the amino-groups were reacted with carboxyl groups of the quantum dots' stabilizing shell, thereby chemically attaching QDs to the substrate.

Dark-field (DF) measurements. Samples were illuminated using a standard white light lamp. The incident light was focused onto the sample using a dark-field objective; scattered radiation was collected with the same objective and directed towards either and avalanche photo-diode (APD) (for obtaining scattered intensity images) or the spectrometer (for collecting DF spectra). Spectra were collected from on and off the structures to account for the background, and corrections for detector's spectral dependence and lamp's spectrum were performed by collecting reference spectra from a reflectance standard.

FLIM and lifetime measurements. QDs were excited with a pulsed laser (405 nm or 488 nm), from below. Emission was collected from the top with an optical fiber of 50  $\mu$ m diameter, passed through band-pass filters and directed towards an APD. To obtain photoluminescence (PL) decay curves, intensity of emission was recorded as function of time. FLIM images were obtained by recording decay curves at each pixel of an image. Fluorescence decays curves were fitted with biexponential functions ( $I = I_{BGR} + \sum_{i} \exp(\alpha_i \tau_i / t)$ ) where  $\tau_i$  and  $\alpha_i$  are the lifetimes and their

corresponding relative weights. The averaged fluorescence lifetime was then determined in the standard manner  $(\bar{\tau} = \sum_i (\alpha_i \tau_i^2) / \sum_i (\alpha_i \tau_i))$ .

*PL mapping* of arrays was achieved by collecting spectra from QDs' excited by 532 nm laser, as the sample was scanned. *AFM* imaging was performed in non-contact mode at low speeds.

Numerical calculations. Numerical solution of the near and far field response for the systems treated in this manuscript have been obtained by solving Maxwell's equations for a plane wave incident on the exact geometry of an individual gold nanoantenna (PRC or NCRDC) on a quartz (SiO2) substrate, with 1 nm Cr layer underneath the structure. The substrate and the adhesion layer were always included in the model to fully consider the substrate effects on the optical properties, which show to be critical in several publications.<sup>69,70</sup> The simulations have been performed with use of finite-difference time-domain software (Lumerical) using optical data of Palik's reference book.<sup>51</sup> This numerical method is broadly established in computational electromagnetism to calculate the optical response of different nanostructures.<sup>52</sup> It consists of a direct implementation of the Maxwell time- dependent curl equations to solve the temporal variations of electromagnetic waves within a finite space that contains objects of arbitrary shape and properties (perfectly matched layers were used as boundary conditions). In practice, the space including the scatterer is discretized into a grid that contains the basic element of this discretization, the Yee cell. The precision of the results depend both on the number of the cells used in the simulation, as well as on the appropriate selection of the simulation time. The presented results are fully converged, thus they can be considered an exact solution of Maxwell's equations. Additionally, some of the results shown have been tested with other solving methods (FEM (using COMSOL multiphysics) and the discrete dipole approximation (DDA)<sup>71</sup>), producing very good agreement.

Additional details of experimental methods can be found in SI.

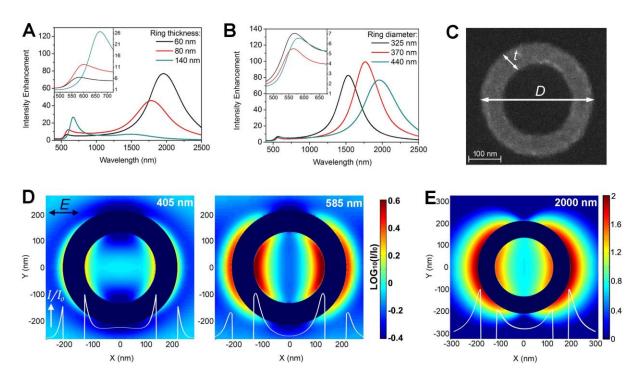


Figure 1. Electric field enhancement in Plasmonic Ring Cavities. (A) and (B) Intensity enhancements spectra for PRCs at normal incidence, as a function of PRC's outer diameter (A) and PRC's thickness (B). Insets of (A) and (B) show same data over the visible range. The axis labels for insets are same as those for main panels. (C) SEM image of a PRC with outer diameter D = 440 nm and thickness t = 80 nm (*i.e.* a D440t80 PRC). (D) Intensity enhancement for the D440t60 PRC at excitation (left) and QD emission (right) wavelengths, calculated by FDTD method and plotted in LOG scale. The white line in each map show the value of I/I<sub>0</sub> through the centre of the PRC (D) XY intensity enhancement map for same PRC resonating at main resonance (2000 nm). For both (C) and (D), maps were calculated at a height of 10 nm above the substrate.

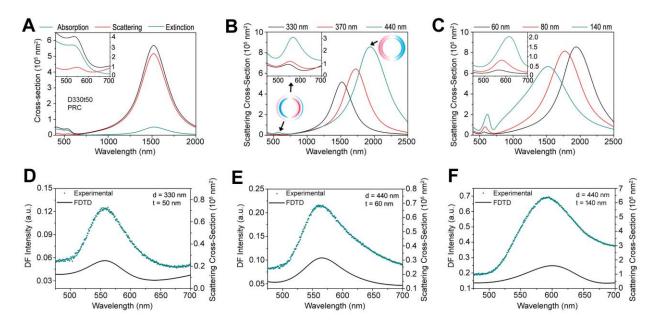


Figure 2. Resonance behaviour of PRCs. (A) Example of a typical set of cross-sections of a Plasmonic Ring Cavity, calculated by FDTD method. (B) and (C) show scattering cross-sections for PRCs of increasing outer diameter and increasing thickness respectively. Each PRC has two resonant modes at normal incidence – the main dipolar resonance occurs in the near infra-red, and the higher-order resonance typically falls in the visible, below 600 nm. The axis labels for insets in (A)-(C) are same as those for main panels. (D)-(F) compare scattering measured by dark-field (green scatter points) and cross-section calculated by FDTD method (solid black curve) for the higher-order mode.

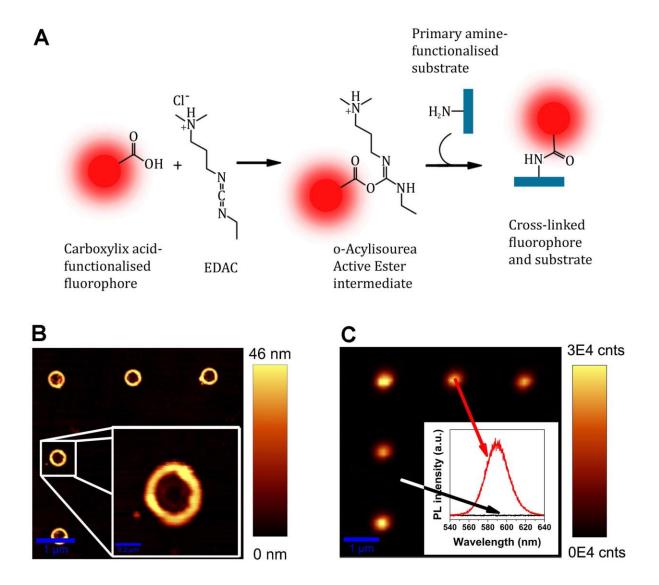


Figure 3. Attachment of Quantum dots and confirmation of their localization. (A) Graphical representation of the EDAC-mediated conjugation of COOH-functionalized QDs to amine-functionalized quartz substrate. This reaction was performed inside the gaps of a PMMA mask, which was later lifted-off. (B) and (C) show, respectively, an AFM image and a PL map of the PRC array with QDs attached inside the PRCs. In (B), a ring of QDs is clearly visible inside the PRC, while in (C) the spectrum associated with one of the bright areas had a typical profile for QD emission.

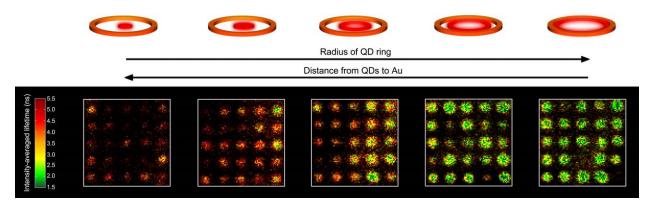


Figure 4. Fluorescence Lifetime Imaging Microscopy of QDs inside PRCs. Main panel shows FLIM images for 5x5 arrays of PRCs, with rings of QDs inside, at different distances from the inner wall of the PRC. The radii of the QD rings (R<sub>QD</sub>) from left to right are 15, 49, 83, 116 and 150 nm. An approximate representation of the geometry is shown graphically above each FLIM image. All PRCs were ~440 nm in diameter and had a thickness of ~60 nm.

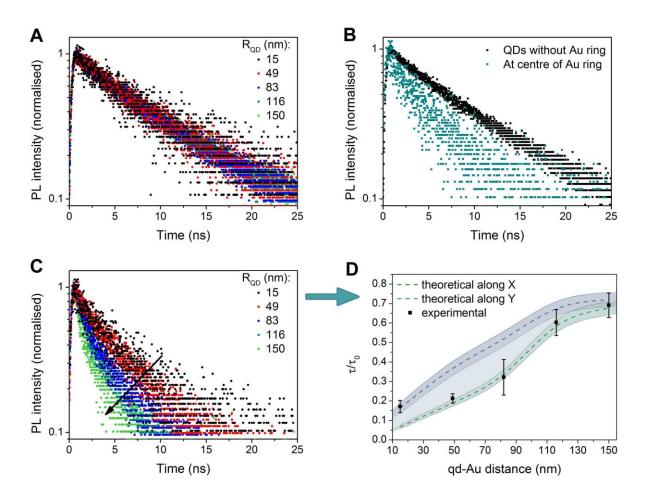
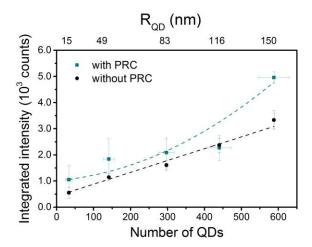


Figure 5. Radiative properties of QDs coupled to PRCs as a function of QD-Au separation.

(A) Radiative behaviour of standalone rings of QDs of increasing radii ( $R_{QD}$ ). (B) Radiative behaviour of a small circle of QDs ( $R_{QD}\sim30$  nm) without (black scatter points) and with (green) a PRC around it. (C) Fluorescence decay behaviour of rings of QDs inside a D440t60 PRC as a function of  $R_{QD}$ . The scatter points in (D) show the average lifetime values extracted from curves in (C) through bi-exponential fitting, normalized to the lifetime of QDs in the absence of PRCs (from panel A). Also shown in (D) are theoretical lifetime values, calculated by considering coupling of a dipole placed at various locations inside a PRC, and emitting at QDs' emission wavelengths. Light green band is for dipoles placed along the direction of the polarization of incident light (denoted X); and light blue-grey band shows results for dipoles placed along a

direction perpendicular to it (Y). The corresponding dashed lines show the values averaged over the possible locations of QDs and also over the spectral width of QDs fluorescence.



**Figure 6**. Integrated intensity of a rings of QD650 of various diameters, standalone (black scatter points) and coupled to a D440t60 PRC (green scatter points). The radius of the QD ring ( $R_{QD}$ ) and the corresponding approximate number of QDs are indicated on the top and the bottom axis respectively. The standalone data follows a linear relationship (dashed black line). The green dashed line for coupled QDs is a visual guide only, but clearly shows the nonlinear relationship. In both cases, emission intensity was integrated over the entire time window during lifetime measurements (100 ns).

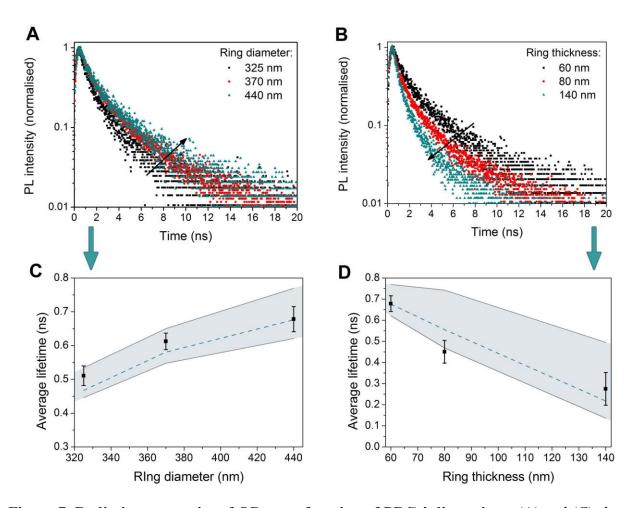


Figure 7. Radiative properties of QDs as a function of PRCs' dimensions. (A) and (C) show effect of increasing PRC's outer diameter on the fluorescence decay of QDs coupled to the PRC, while (B) and (D) show similar data for increasing thickness of the PRC. (A) and (B) are experimental fluorescence decay curves; while (C) and (D) show the average fluorescence lifetime values extracted from the experimental data (black scatter points), and the possible theoretical values of lifetimes (light blue bands) averaged over possible locations of QDs and the spread of their emission (dashed lines).

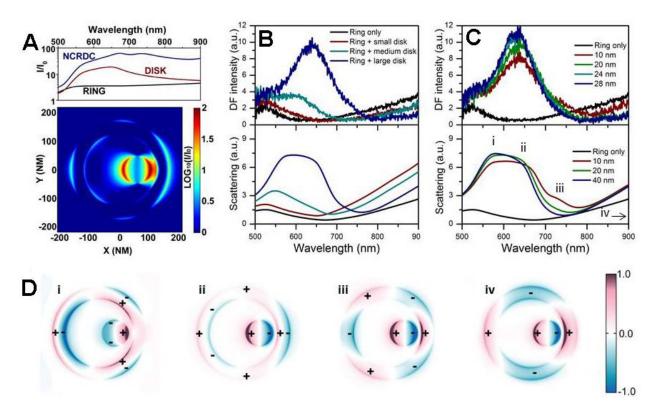


Figure 8. Non-concentric Ring-Disk Cavities for extending the spectral range of coupling.

(A) Intensity enhancement by a NCRDC. Top panel compares spectral response of a NCRDC and constituent components. The bottom panel shows intensity enhancement map for a NCRDC excited at 650 nm, and consisting of a D350t45 PRC and a disk of 90 nm diameter at a distance of 20 nm from the inner wall of the PRC. (B) and (C) compare the experimental (top panels) and theoretical (bottom panels) dark-field scattering from a NCRDC consisting from a D350t45 PRC and (B) disks of various diameters (30, 60 and 90 nm); (C) 90 nm disk placed at various distances from inner wall of the PRC. (D) shows charge distributions of several of NCRDC resonances, the spectral position of which are indicated by i-iv in panel (C).

#### ASSOCIATED CONTENT

Supporting Information: fabrication procedures, note on QDs' fluorescence intensity dependence on ring diameter and proximity to inner wall of PRCs, details of theoretical calculations of dark field spectra, averaging of theoretical decay rates for comparison with experimental lifetime data, effect of deviations from perfect geometries on dark-field spectra of NCRDCs, charge distribution calculations and movies of oscillating charges for different resonances of PRCs and NCRDCs, comparison of hotspots of NCRDC and disk-dimer nanoantenna. This material is available free of charge *via* the Internet at <a href="http://pubs.acs.org">http://pubs.acs.org</a>.

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## **ABBREVIATIONS**

APTES, (3-Aminopropyl)triethoxysilane; EDC or EDAC, 1-ethyl-3-(-3-dimethylaminopropyl) carbodiimide; PMMA, poly(methyl methacrylate); COOH, carboxylic acid group; Au, gold; QD, quantum dot; PRC, Plasmonic Ring Cavity; NCRDC, non-concentric ring-disk cavity; FDTD, finite-difference time-domain; DDA, discrete dipole approximation; PL, photoluminescence; AFM, atomic force microscopy; FLIM, fluorescence lifetime imaging microscopy; D, diameter; t, thickness; R<sub>QD</sub>, radius of ring of quantum dots.

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