

1 **Spectral signatures of Earth’s climate variability over 5 years from IASI**

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29 **Abstract**

30 Interannual variability in spectrally resolved longwave radiances is quantified at a variety of
31 spatial scales using five years of IASI observations. Maximum variability is seen at the
32 smallest scales investigated (10° zonal means) at northern and southern high latitudes across the
33 centre of the $15\ \mu\text{m}$ CO_2 band. As spatial scale increases, the overall magnitude of interannual
34 variability reduces across the spectrum and the spectral shape of the variability changes. In
35 spectral regions sensitive to conditions in the upper troposphere the effect of increasing spatial
36 scale is relatively small and at the global scale these parts of the spectrum show the greatest year-
37 to-year variability. Conversely the atmospheric window ($8\text{-}12\ \mu\text{m}$), which is sensitive to
38 variations in surface temperature and cloud, shows a marked reduction in interannual variability
39 with increasing spatial scale. Over the five years studied, at global scales the standard deviation
40 in annual mean brightness temperature is less than $0.17\ \text{K}$ across the spectrum; reducing to less
41 than $0.05\ \text{K}$ across the window. Spectrally integrating the IASI measurements to create pseudo
42 broadband and window channels indicates a variation about the mean that is higher for the
43 broadband than the window channel at the global and quasi-global scale and over the southern
44 hemisphere. These findings are in agreement with observations from CERES *Terra* over the
45 same period and imply that at the largest spatial scales, over the period considered here,
46 fluctuations in mid-upper tropospheric temperatures and water vapour, and not cloud or surface
47 temperature, play the dominant role in determining the level of interannual variability in all-sky
48 outgoing longwave radiation.

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50

51 **1. Introduction**

52 The potential for using measurements of spectrally resolved outgoing longwave radiation (OLR)
53 directly to monitor the climatic state and detect and attribute change has been recognised for
54 some time (e.g. Charlock *et al.*, 1984, Kiehl *et al.*, 1986, Goody *et al.*, 1995, Iacono and Clough,
55 1996, Slingo and Webb, 1997, Harries *et al.*, 2001). More recently interest in the topic has
56 revived due to the prospect of a dedicated space mission, CLARREO (Climate Absolute
57 Radiance and Refractivity Observatory), which seeks to establish high absolute accuracy
58 benchmark climate observations of spectrally resolved thermal infrared and reflected solar
59 radiation, in combination with measurements of atmospheric refraction (Wielicki *et al.*, 2013).
60 Theoretical studies by members of the CLARREO science team have shown how distinct
61 longwave spectral signals from different climate forcing and feedback mechanisms may be
62 derived, and, importantly in the context of the temporal and spatial sampling strategy envisaged
63 for CLARREO, appear to combine with a high degree of linearity (Leroy *et al.*, 2008, Huang *et*
64 *al.*, 2010).

65 To date, attempts to evaluate changes in the forcing of climate and associated feedback processes
66 by considering changes over time to spectrally resolved OLR observations of the Earth have
67 typically been restricted to clear-sky conditions (Harries *et al.*, 2001, Griggs and Harries, 2007).
68 These studies demonstrated that sharp spectral features due to long-term increases in
69 concentration of individual molecules such as CO₂, CH₄ and CFCs can be identified. However,
70 the detection of changes in the outgoing spectrum that might be due to water vapour and, in
71 particular, cloud feedback processes are much more difficult to unambiguously discern (e.g.
72 Brindley and Allan, 2003). In particular, the high level of variability in the cloud field in space
73 and time not only has implications for the interpretation of spatially and temporally averaged

74 radiances (e.g. Kato *et al.*, 2011), but crucially, makes disentangling responses to climate forcing
75 from underlying climate variability a hugely challenging task. Whilst there have been several
76 studies on the impact that temporal and spatial sampling may have on the accuracy with which
77 climate change signals can be detected in OLR observations (Brindley and Harries, 2003a;
78 Brindley and Harries, 2003b, Kirk-Davidoff *et al.*, 2005), understanding the exact nature and
79 level of background variability seen in observed all-sky spectra is an important question which
80 has not yet been fully addressed.

81 Recognising this challenge, the work presented here exploits the emerging radiance record
82 available from the Infrared Atmospheric Sounding Interferometer (IASI) on the European Metop
83 satellite (Hilton *et al.*, 2012) to investigate the interannual variability in average OLR spectra at
84 different spatial scales. Results are placed in the context of broadband observations from the
85 Clouds and the Earth's Radiant Energy System (CERES) instruments (Wielicki *et al.*, 1996) to
86 assess both the consistency between the different records and the additional insights that can be
87 gained from the higher spectral resolution available from IASI. Hence, in section 2 we briefly
88 introduce IASI and CERES before focusing on the insights provided by the former instrument in
89 section 3. Where appropriate we also make reference to the results presented in Huang and
90 Ramaswamy (2009) who, in their efforts to assess the time evolution of spectral OLR, evaluated
91 the monthly and annual variability seen in global mean observations from the Atmospheric
92 InfraRed Sounder (AIRS) (Chahine *et al.*, 2006) over the period 2002-2007. We show that the
93 interannual variability manifested across the IASI spectra is less than 0.17 K in brightness
94 temperature in the global annual mean, collapsing to a value of less than 0.05 K in the so-called
95 atmospheric window ($\sim 800\text{-}1250\text{ cm}^{-1}$), a remarkable result with implications for the variability
96 of the cloud field and the land surface. In section 4 we use the observations from CERES to

97 illustrate that these results are consistent with patterns of behaviour seen in both broadband and
98 window OLR fluxes and investigate issues related to instrument sampling. We discuss the
99 potential implications of these results, as well as the caveats associated with our study in section
100 5.

101 **2. Observational Tools**

102 *a. IASI*

103 IASI is a Michelson Interferometer, covering the spectral range from 645 to 2760 cm^{-1} in three
104 separate wavenumber bands running from 645-1210, 1210-2000 and 2000-2760 cm^{-1} (Simeoni *et*
105 *al.*, 2004) with an apodised half-width of 0.5 cm^{-1} . Since October 2006 it has enabled high-
106 resolution atmospheric sounding from the sun-synchronous Metop-A platform which has an
107 equator crossing time of 0930 local time for the descending node. The instrument is a cross-
108 track scanner, producing 30 ‘fields of regard’ (FOR) per scan; each is an array of 2 x 2 pixels
109 with a 12 km diameter at nadir. Instrument noise levels are found to be within specification and
110 stable over time except for wavenumbers below 680 cm^{-1} and in small band overlap regions
111 where observations from different detectors are merged (Blumstein *et al.*, 2007). In the spectral
112 region of interest for this study NE Δ T levels (expressed at 280K) are always below 0.4 K
113 (minimum wavenumber $\sim 660 \text{ cm}^{-1}$) and more typically less than 0.3 K. Comparisons with co-
114 located observations from the Atmospheric InfraRed Sounder indicate agreement between the
115 two instruments to within $\pm 0.2\text{K}$, while calibration/validation activities using aircraft based
116 interferometers give agreement to within $\pm 0.3 \text{ K}$ (Newman *et al.*, 2008, Larar *et al.*, 2010).

117 Here we use only ‘nadir’ IASI observations (in practice, within 5° of nadir) covering the spectral
118 range 645-1600 cm^{-1} which, over the five years from January 2008 to December 2012, yields

119 ~160 million spectra as input to the study. As neither high spatial nor spectral resolution is a
120 priority, initial data reduction to the ~100 km spatial scale is made by averaging 16 IASI near
121 nadir spectra and the result smoothed to a 2.8 cm^{-1} spectral resolution, with a view to facilitating
122 comparisons with previous analyses which used data from the IRIS mission (Hanel *et al.*, 1972)
123 in future studies. The resulting IASI reduced resolution (hereafter IRR) spectra form the basic
124 input to the averaging studies discussed in the following section and are comparable in spectral
125 and spatial detail to those used in the work of Harries *et al.* (2001) and Griggs and Harries
126 (2007). Figure 1 illustrates the global, annual mean IRR spectrum for 2008-2012 to place the
127 variability plots shown in later sections in context. Principal spectral features are due to CO_2
128 ($\sim 650\text{-}800 \text{ cm}^{-1}$), O_3 ($\sim 1000\text{-}1070 \text{ cm}^{-1}$), CH_4 ($\sim 1200\text{-}1400 \text{ cm}^{-1}$, centred at 1303 cm^{-1}), and H_2O
129 ($\sim 1250\text{-}1600 \text{ cm}^{-1}$), with other weaker bands also in evidence, e.g. CFCl_3 (853 cm^{-1}).

130 In the large scale annual averages presented in this study at least 1.6 million native resolution
131 IASI spectra are used to obtain the final IRR results. If the source of the 0.4 K $\text{NE}\Delta\text{T}$ error
132 indicated above was purely random in nature this would translate to a final uncertainty that is of
133 the order 3×10^{-4} K in zonal mean averages, and a factor of ~ 4 smaller in the global mean. In
134 reality it is likely that there is a systematic component to the $\text{NE}\Delta\text{T}$ that is not reduced by
135 averaging and cannot be estimated easily. However, since we are focussed on diagnosing
136 variability in this study, it is the stability of IASI which is paramount. Comparisons with AIRS
137 show radiometric agreement between the two instruments that is within a few mK or better
138 (Hilton *et al.*, 2012 and references therein) suggesting excellent stability over time.

139 *b. CERES*

140 Since 2001 CERES has provided global measurements of the Earth's Radiation Budget from low
141 earth orbit (Wielicki *et al.*, 1996). Besides contributing to numerous important studies of the
142 effects of different climate processes on the Earth's energy balance, the uncertainties associated
143 with the measurements have also been rigorously assessed and documented (e.g. Loeb *et al.*,
144 2009). In this study we make use of observations from the *Terra* and *Aqua* platforms over the
145 same 2008-2012 period considered for IASI. The CERES instrument measures the broadband
146 shortwave radiation reflected at the top of the atmosphere (TOA) in conjunction with the total
147 outgoing longwave radiation (OLR), the latter nominally covering the range 5-100 μm . In
148 addition, observations are also made in a longwave window channel sensitive to radiation
149 between approximately 8-12 μm . In order to make use of these window fluxes we employ level
150 3 monthly mean files provided at 1° latitude-longitude resolution, derived from single-scanner
151 footprints (so called SSF1deg_Ed2.7). Note that these products are created from hourly data
152 which are obtained using temporal interpolation assuming constant meteorology. Over non snow
153 covered land, during the day a half-sine fit is used, with night-time fluxes set to a constant value
154 if the daytime flux is greater than the night-time value. Over ocean and snow, linear
155 interpolation is used between the CERES overpass times.

156 **3. Inter-annual variability in IRR spectra**

157 *a. 10° Zonal Means*

158 We begin by considering the interannual variation in IRR brightness temperature spectra at the
159 10° zonal scale, as this spatial scale is consistent with the type of averages expected to be
160 produced by the CLARREO mission. Figure 2 shows the standard deviation across the 5 years

161 in the annual-mean brightness temperatures, σ_{TB} , for 10° zonal bands in the northern (a) and
162 southern (b) hemisphere. Maximum σ_{TB} s occur across the $15 \mu\text{m}$ CO_2 band centre (from $\nu \sim$
163 $645\text{-}700 \text{ cm}^{-1}$) at northern and southern high latitudes, peaking at $\sim 690 \text{ cm}^{-1}$ in these zones.
164 Noting the noise characteristics of IASI we focus our discussions on observations in excess of
165 660 cm^{-1} . Emission to space at the very centre of the band at 667 cm^{-1} originates from the mid-
166 upper stratosphere. Moving away from the central peak, emission to space occurs from
167 systematically lower levels in the stratosphere, until by $\sim 680 \text{ cm}^{-1}$ one is effectively sounding
168 the tropopause. As wavenumber increases over the CO_2 band wing ($\sim 700\text{-}760 \text{ cm}^{-1}$), emission
169 from successively lower levels in the troposphere is observed (see Fig. 1).

170 Distinct peaks in σ_{TB} are also seen in the centre of the 1303 cm^{-1} CH_4 band and strong water
171 vapour lines at wavenumbers $> 1500 \text{ cm}^{-1}$. These are largest within the $80\text{-}90^\circ$ zones but are still
172 clearly apparent at lower latitudes, particularly in the southern hemisphere. Within the northern
173 hemisphere, variability within the atmospheric window region ($\nu \sim 800\text{-}1250 \text{ cm}^{-1}$) is typically
174 higher than that seen within the CO_2 band wing (from $720\text{-}760 \text{ cm}^{-1}$) and across the $6.3 \mu\text{m}$ water
175 vapour vibration-rotation band ($\nu > 1250 \text{ cm}^{-1}$). No consistent pattern with latitude is seen
176 within the $9.6 \mu\text{m}$ O_3 band ($\nu \sim 1000\text{-}1070 \text{ cm}^{-1}$), although for the majority of zones the
177 variability here is higher than across the atmospheric window as a whole. We note that the
178 window region would be expected to be particularly sensitive to variations in surface
179 temperature and cloud, with the changes in spectral shape we see here potentially reflecting
180 variability in cloud microphysical properties (e.g. Strabala *et al.*, 1994). In this study we make
181 no attempt to separate out the different factors controlling this variability but anticipate that this
182 will be an area for future investigation.

183 *b. 30° Zonal Means*

184 Moving to larger scales, Figure 3 shows the interannual standard deviation in brightness
185 temperature for 30° zones across both hemispheres. Here 30° zonal mean radiances are
186 constructed from the 10° zonal mean radiances employed in section 3(a), applying equal
187 weighting to each band, before converting to brightness temperature and calculating the
188 associated standard deviation. The first point to note is that, as might be expected (e.g. Brindley
189 and Harries, 2003a,b; Kirk-Davidoff *et al.*, 2005), the overall level of σ_{TB} is decreased, falling to
190 a maximum level of 0.9 K (compared to 1.15 K) across the CO₂ band centre at southern
191 hemisphere high latitudes (Fig. 3(b)). For both hemispheres the 60-90° band shows the largest
192 σ_{TB} within the window region. While these values reduce rather dramatically in the southern
193 hemisphere within the lower latitude bands, the reduction in the northern hemisphere is much
194 less marked. Excepting the 9.6 μm O₃ band, within the 0-30°S and 30-60°S bands variability
195 across the window is lower than other spectral regions (such as within the water vapour
196 vibration-rotation band and 15 μm CO₂ band wing), behaviour that is not exhibited in any of the
197 other latitude bands.

198 Outside of the window the behaviour within the highest latitude zones is most striking.
199 Consistent with the higher spatial resolution results, maximum σ_{TBS} occur across the 15 μm CO₂
200 band centre and at the peak of the 1303 cm^{-1} CH₄ band, particularly in the southern hemisphere.
201 The signatures of increased variability due to the strong water vapour lines at wavenumbers
202 greater than 1500 cm^{-1} are also still apparent within these zones.

203 *c. Global Mean*

204 Focusing now on the largest spatial scale, Figure 4(a) shows the differences from the mean
205 spectrum shown in Fig. 1 for each year from 2008-2012. Immediately apparent is the degree of
206 stability over the five years sampled here, with year-to-year differences of less than 0.3 K across
207 the spectral region covered (equivalent to radiance differences of $< 0.3 \text{ mW m}^{-2} \text{ sr}^{-1}$). This level
208 of stability translates to the standard deviations in spectrally resolved global annual mean
209 brightness temperature shown in Figure 4(b). Similar to section 3(b), annual global mean
210 radiance spectra were constructed from the 10° zonal means of section 3(a), applying the
211 appropriate area (cosine) weighting to each band, prior to conversion to brightness temperature
212 and calculation of standard deviation.

213 Across the entire spectral region the interannual global σ_{TB} s are very small, at less than 0.17 K.
214 While the reduction in overall variability with increasing spatial scale might be anticipated, the
215 impact of global averaging alters with spectral region, resulting in a distinct change in spectral
216 shape. Figure 4(b) indicates that at the global scale, rather than peaking in the $15 \mu\text{m}$ CO_2 band
217 centre, variability peaks within the CO_2 band wing ($700\text{-}740\text{cm}^{-1}$) and at the centre of the 1303
218 cm^{-1} CH_4 band. Note that because of the non-linearity of the transformation from radiance to
219 brightness temperature the impact of the variation on outgoing energy is larger in the former
220 spectral region. Enhanced variability is also seen beyond 1350cm^{-1} within the $6.3 \mu\text{m}$ H_2O
221 vibration-rotation band with a further, secondary peak in σ_{TB} apparent across the $9.6 \mu\text{m}$ O_3
222 band. Surprisingly, perhaps, in the context of Figures 2 and 3, the lowest level of variability is
223 seen within the atmospheric window (excluding the O_3 band) at less than 0.05 K.

224 Returning to Fig 4(a) it is interesting to note that removing one year (2010) from the analysis can
225 markedly affect the magnitude of the interannual variability in some spectral regions and hence
226 the spectral shape. The early months of 2010 were associated with a strong El Niño (large
227 positive multivariate El Niño Southern Oscillation index (MEI) values) before transitioning to an
228 even stronger La Niña in the summer. During El Niño conditions one typically sees positive
229 anomalies in OLR even at the global scale, with the converse being true for a La Niña phase (e.g.
230 Loeb *et al.*, 2012, Susskind *et al.*, 2012). The positive brightness temperature differences seen
231 during 2010 across the majority of the spectrum would suggest that enhanced planetary emission
232 has in some sense ‘won’ over the course of the year. What is particularly noteworthy is the peak
233 seen across the 1303 cm^{-1} CH_4 band and the somewhat enhanced positive difference in the CO_2
234 band wing. Both are indicative of anomalously warm mid-upper tropospheric temperatures.
235 With 2010 removed, anomalies from the 4 year (2008, 2009, 2011, 2012) mean are significantly
236 reduced ($< 0.15\text{ K}$), with a peak associated standard deviation of less than 0.1 K (Fig 4(b)). In
237 this case, although the window region still shows minimum variation, the peak brightness
238 temperature variability is no longer seen at 1303 cm^{-1} , but rather across the $6.3\text{ }\mu\text{m}$ H_2O
239 vibration-rotation band at wavenumbers $> 1400\text{ cm}^{-1}$, within the $9.6\text{ }\mu\text{m}$ O_3 band, and both
240 within the $15\text{ }\mu\text{m}$ CO_2 band wing and at the very centre of the band. While the full 2008-2012
241 period considered here does sample a relatively wide range of MEI values, these results indicate
242 how the magnitude and spectral shape of estimates of variability are themselves critically
243 dependent on the period sampled, particularly with respect to major global and regional events
244 such as El Niño.

245 With this in mind it is informative to compare Figure 4(b) to the results shown in Figure 3(a) of
246 Huang and Ramaswamy (2009) who carried out a similar analysis using AIRS observations from

247 2002-2007. In their work the magnitude of global annual mean variability across the same
248 spectral region as considered here does not exceed 0.16 K, but peaks in the centre of the 15 μm
249 CO_2 band. While a secondary peak is seen in the CO_2 band wing, the relative variability seen
250 across the window and the 1250-1500 cm^{-1} wavenumber range is of a different sense to that seen
251 here, with larger variability in the former region compared to the latter. In addition, the
252 variability across the window manifested in the AIRS measurements is also slightly larger than
253 that seen here, reaching ~ 0.07 K. Results for smaller spatial scales are not presented by Huang
254 and Ramaswamy but it is interesting to note that for the majority of the period they considered
255 the climate system was in an El Niño phase. Moreover, the range in MEI values was smaller
256 than that seen over the 2008-2012 period.

257 **4. Comparison to broadband observations**

258 *a. Window, non-window and broadband variability as a function of spatial scale*

259 In this section we seek to investigate whether the spectrally resolved results seen in section 3 are
260 consistent with spectrally integrated observations made over the same 5 year period. To this end
261 we employ CERES *Terra* and *Aqua* broadband OLR and window fluxes. CERES window fluxes
262 cover the range ~ 833 -1250 cm^{-1} so by comparing them to the corresponding OLR measurements
263 we can assess whether a reduction in window relative to non-window interannual variability is
264 seen as spatial scale increases, as might be anticipated from the IRR results. In addition, by
265 spectrally integrating the IRR data over the appropriate wavenumber range we can perform a
266 direct comparison with IRR window radiances. For completeness, a similar integration has also
267 been performed over the full 660-1600 cm^{-1} range studied here to create 'broadband' IRR
268 radiances. However, these do not sample the energetically important far infra-red region (Sinha

269 and Harries, 1995; Harries *et al.*, 2008), the majority of which is captured by the CERES OLR
 270 fluxes. Because of the different metrics being compared, the variability is presented in terms of
 271 coefficient of variation (CV) which is simply the inter-annual standard deviation, σ , divided by
 272 the five year annual mean for each dataset, μ , expressed as a percentage (Eq. (1)).

$$273 \quad CV = 100 \times \frac{\sigma}{\mu} \quad (1)$$

274 In addition, it should be noted that:

$$275 \quad \mu_{BB} = \mu_{win} + \mu_{nw} \quad \text{and} \quad \sigma_{BB} = \sqrt{\sigma_{win}^2 + \sigma_{nw}^2 + 2\text{cov}(win, nw)} \quad (2)$$

276 where BB, win and nw subscripts refer to the broadband, window and non-window region
 277 respectively, and $\text{cov}(win, nw)$ is the covariance between the window and non-window regions.

278 Figure 5(a) shows the interannual variability in CERES *Terra* and *Aqua* window channel fluxes
 279 as a function of latitude. Superimposed is the equivalent CV in IRR window radiances. It is
 280 clear that all three instruments show a very similar pattern of behaviour, with minimum window
 281 variability in the southern hemisphere low and mid-latitudes. It is also clear that the variability
 282 about the mean for all three datasets is very low, typically less than 1 %. Peak variability is seen
 283 in the 70-90° latitude bands with, in the southern hemisphere, larger variability being manifested
 284 in the CERES measurements. In the northern high latitudes the pattern switches such that IRR
 285 variability is typically higher. For a given latitude band, the difference between the CVs
 286 calculated for each instrument is generally less than 0.05 %. However, three bands display
 287 noticeably higher discrepancies between the instruments: 70-80°S and 0-10°N where the IRR CV
 288 is lower than that of the two CERES instruments, and 80-90°N where the opposite is true.

289 Detailed analysis of these bands has not identified any obviously anomalous behaviour for the
290 two CERES instruments or for IASI and it should be noted that the latitudinal pattern is still
291 consistent between the three instruments. Figure 5(a) thus gives confidence that, despite the
292 differing overpass times and instrument characteristics of CERES *Terra*, *Aqua* and IASI, the
293 general pattern and level of interannual variability exhibited in window fluxes and radiances
294 sampled by the three instruments over the five years considered here is similar. Figure 5(b)
295 shows how the relative level of variability in the different spectral ranges changes with scale. In
296 section 3 the analysis of IRR spectra showed that as one moved from smaller to larger spatial
297 scales the variation in the window region typically reduced more rapidly than in spectral regions
298 outside of the window. Figure 5(b) confirms that this behaviour is also captured in the spectrally
299 integrated IRR data and for the two CERES instruments. Whilst variability always reduces as
300 the scale increases, at the 10 and 30° scale for all three instruments the mean window CV
301 exceeds the equivalent non-window and broadband CVs by some margin. However, globally
302 the non-window CV is similar in value or exceeds both the window and broadband CVs. This
303 greater reduction in the window variability with spatial scale indicates that non-window
304 variability becomes increasingly important and is most significant for the global average.

305 Furthermore, the influence of variability in spectral regions not sampled by the IRR broadband
306 radiances ($\nu < 660 \text{ cm}^{-1}$, $\nu > 1600 \text{ cm}^{-1}$) is more significant at the global scale. Recall that the
307 CV is the interannual standard deviation divided by the five year annual mean. At the 10 and
308 30° scales the larger spectral range of the CERES compared to the IRR broadband must lead to a
309 greater increase in the mean for CERES when moving from window to broadband channel. The
310 results show that this increase is not compensated sufficiently by the additional variability found
311 at wavenumbers below 660 and above 1600 cm^{-1} . Thus the broadband CERES CVs are

312 systematically smaller than the IRR cases. However for the global average the broadband CVs
313 are similar for all three instruments indicating that at this scale the variability in the spectral
314 regions not sampled by the IRR broadband is sufficient to compensate for their additive effect on
315 the mean. This hints at an important role for the far infra-red region of the spectrum in
316 determining all-sky OLR variability at the global scale.

317 *b. Role of land/ocean sampling*

318 In this section we provide an indication of the potential impact of spacecraft sampling patterns
319 on the relative level of inter-annual variability seen between the window and broadband OLR.
320 CERES *Terra* and *Aqua* are in sun-synchronous orbits with nominal ascending/descending local
321 equator crossing times of 10.30/22.30 and 13.30/01.30 respectively. IASI is also in a sun-
322 synchronous orbit, with a nominal local equator crossing time of 09.30 (21.30). While the
323 annual means created from IASI are representative purely of the conditions at the observation
324 time, as noted in section 2.2, the CERES means will contain the effects of temporal interpolation
325 assuming constant meteorology between the instrument overpasses. We note however that using
326 the Geostationary Enhanced 'SYN1deg' CERES products does not markedly affect the results
327 reported here, suggesting that a more explicit representation of the diurnal cycle, using the
328 observations made by the individual CERES instruments as anchor points, does not influence
329 OLR variability at the spatial and temporal scales considered here.

330 The ability of a sun-synchronous orbit to truly capture the annual mean brightness temperature
331 and its variability from year to year will depend on its overpass time due to a susceptibility to the
332 phase of the diurnal cycle. Effects of this type are discussed in detail by Kirk-Davidoff *et al.*
333 (2005) in the context of smaller, 15 by 30° grid box averages. They use 3 hourly 11 μm

334 brightness temperatures, BT11, from the Global Cloud Imagery project (Salby *et al.*, 1991) to
335 assess the effects of different sampling strategies on the associated sampling error. Most
336 pertinently in the context of this study, they show that, although a sun-synchronous orbit will
337 never represent the optimal solution for capturing the true diurnal behaviour, a 10 am/pm equator
338 crossing time would be expected to minimise the error seen from this type of orbit and that the
339 year to year bias errors are highly correlated. Nonetheless, they note that interannual variability
340 in the diurnal cycle will introduce an additional component of variability into estimates of the
341 mean made from sun-synchronous data. We might expect that these effects would be largest in
342 locations where the magnitude of the diurnal cycle is largest. In addition, they should be
343 manifested in spectral regions that are most sensitive to these variations.

344 Figure 6(a) shows the relative magnitude of window and broadband CV for each instrument for a
345 variety of spatial regions of intermediate scale. In the northern hemisphere, tropics and deep
346 tropics, the ratio of window to broadband CV is very similar for the two CERES instruments and
347 systematically smaller for IASI. This difference is consistent with the results presented in Figure
348 5(b) and the associated discussion. However, over the southern hemisphere there is a marked
349 discrepancy between *Terra* and *Aqua*, with the former showing a much reduced ratio relative to
350 the latter. The equivalent IASI ratio is intermediate between the two values. Investigation of the
351 contributing factors indicates that it is the window channel standard deviation which is
352 responsible for this behaviour, being approximately halved for *Terra* relative to *Aqua* when
353 considering the southern hemisphere as a whole. Given the land-ocean distribution we might
354 expect any sensitivity to interannual variability in the diurnal cycle (and hence overpass time) to
355 be more marked in the northern hemisphere so this result is rather surprising. What is more
356 consistent with expectation is the reduction in window standard deviation seen between the

357 northern and southern hemisphere for all instruments, which is reflected in the lower CV ratios
358 over the southern hemisphere.

359 This reduction in window to broadband CV ratio over the southern hemisphere, coupled with the
360 very low window standard deviations seen in oceanic bands (e.g. Fig. 2(b): 50-60°S) does
361 indicate that instrument sampling will influence the exact magnitude and spectral distribution of
362 variability, even at the extended temporal and spatial scales considered here. Figure 6(b) is an
363 attempt to illustrate this more clearly using the IRR observations. Here the data have been sub-
364 divided into land, ocean, day and night sub-categories prior to averaging. For all regions the
365 window relative to the broadband CV is enhanced when only land scenes are considered. The
366 most spectacular ocean/land differences are seen for the northern hemisphere case, where a
367 negative covariance between the window and non window parts of the spectrum results in very
368 low broadband standard deviations over land. More typically, the window and broadband
369 standard deviations over land are both enhanced relative to those seen over ocean but the
370 fractional increase relative to the mean is larger for the window channel. Restricting each region
371 to ocean scenes only, the window CV is always comparable to, or smaller than, the equivalent
372 broadband value.

373 **5. Discussion and Conclusions**

374 In this study we have used five years of IASI observations to assess the level of inter-annual
375 variability seen within the Earth's outgoing longwave radiation spectrum (from 660-1600 cm^{-1})
376 at a variety of spatial scales ranging from 10° zonal means to global averages. Our results
377 indicate that on these timescales, peak interannual variability at the smallest spatial scales is seen
378 across the centre of the 15 μm CO_2 band (660-690 cm^{-1}) at high latitudes. At the very centre of

379 the band ($\sim 667 \text{ cm}^{-1}$) this is likely a reflection of variation in planetary wave activity and,
380 particularly in the northern hemisphere, the effects of sudden stratospheric warmings (e.g.
381 Charlton and Polvani, 2007). The variability at wavenumbers between $\sim 670\text{-}690 \text{ cm}^{-1}$, sounding
382 the tropopause, is consistent with the fact that the seasonal cycle in tropopause temperature peaks
383 over southern hemisphere high latitudes with a secondary maximum over the Arctic, and can
384 show significant inter-annual variability over both locations (e.g. Kishore *et al.*, 2006).

385 As spatial scale increases the interannual variability reduces across the spectrum, but this
386 reduction occurs at a different rate for different spectral regions. While the interannual
387 variability within the atmospheric window, most sensitive to surface temperature and cloud,
388 reduces relatively rapidly with scale, variability in areas of the spectrum sensitive to mid-upper
389 troposphere temperature and water vapour shows a slower reduction. As a consequence, at the
390 global scale, interannual variability peaks in the wing of the $15 \mu\text{m}$ CO_2 band, across the 1303
391 cm^{-1} CH_4 band, and within the $6.3 \mu\text{m}$ water vapour vibration-rotation band at wavenumbers
392 greater than 1400 cm^{-1} . At this global scale, interannual variability across the entire spectrum is
393 less than 0.17 K , reducing to less than 0.05 K across the window. These values translate to
394 equivalent radiance values of 0.17 and $0.05 \text{ mW m}^{-2} \text{ cm sr}^{-1}$ and highlight the remarkable
395 stability of longwave emission from our planet as a whole. Similar findings in terms of the
396 magnitude of spectral variability were reported by Huang and Ramaswamy (2009) based on
397 analysis of AIRS data from 2002-2007. However, in that work the spectral shape of the
398 variability was different to what we obtain here from IASI. It is an open question as to whether
399 this change in shape is a result of the different periods covered, the different overpass times of
400 the satellites carrying AIRS and IASI, or a result of instrument performance. Nonetheless,
401 results of this type put observationally based limits on how the Earth's spectral emission to space

402 varies interannually on the global scale, an important constraint which can be used to test and
403 improve climate models.

404 Using broadband and window fluxes from the CERES instruments on *Terra* and *Aqua* we have
405 shown that as spatial scale increases the OLR displays a similar reduction in inter-annual
406 variability and is consistent with the spectral analysis in how this scaling behaviour differs in
407 different spectral regions. At the smallest scales, the percentage variation about the mean (the
408 coefficient of variation, CV) is substantially larger for the window channel compared to the
409 broadband. As scale increases the CV in each spectral regime becomes more equivalent until at
410 the global scale, for CERES *Terra* at least, the broadband CV exceeds that seen in the window
411 channel. This behaviour arises because of the increasing importance of the variation from
412 spectral regions outside of the window to the total broadband variance. Equivalent behaviour is
413 seen in suitably spectrally integrated IASI observations.

414 Previous work has illustrated a strong anti-correlation between cloud and surface temperature
415 variations within the tropics which may result in a compensation effect across the atmospheric
416 window at the global scale (e.g. Huang and Ramaswamy, 2008). While OLR across the
417 remainder of the longwave spectrum would also be affected by the presence of cloud, the impact
418 of low and mid-level cloud across much of the spectrum is strongly damped by overlying upper
419 tropospheric water vapour. It is feasible that high level cloud and surface temperature variations
420 compensate each other in such a way that there is a minimal signal across the window coupled
421 with enhanced variability in the CO₂ band wing and water-vapour vibration-rotation band.
422 However, we have performed a set of realistic spectral simulations (to be reported in a follow on
423 study) that do not show this behaviour. It also appears inconsistent with the findings of Huang
424 and Ramaswamy (2009). There, much larger changes are seen across the window than within

425 the water vapour bands or CO₂ band wing when comparing all-sky to clear-sky conditions.
426 Hence, at the global and quasi-global scale we argue that our results imply that it is fluctuations
427 in mid-upper tropospheric temperatures and water vapour, and not cloud or surface temperature,
428 that play the dominant role in determining the level of inter-annual all-sky OLR variability, at
429 least over the period we have considered here.

430 Given current interest in developing climate monitoring missions that will explicitly use
431 spectrally resolved information it is interesting to note the potential effects of sampling and
432 record length on the robustness of our findings. A reliable estimate of background variability is
433 a key tool for determining whether a particular spectral signal is indicative of a true change or
434 simply a climate fluctuation, and to identify which spectral regions offer the most promise for
435 rapid change detection (e.g. Goody *et al.*, 1995). Hence, factors that can perturb both the
436 magnitude and spectral shape of the background variability need to be understood and their
437 effects quantified.

438 Decomposing the IASI results further has allowed us to provisionally probe the effects of
439 sampling on our results. Splitting the data into land and ocean, and day and night categories
440 indicates that enhanced variability is seen over land scenes, manifested through the magnitude
441 of, in particular, window channel interannual standard deviations. This effect means that, even at
442 the global scale, IASI window radiances show a larger CV than their broadband counterparts
443 when only land scenes are averaged. Conversely, when only ocean scenes are included in the
444 spatial averaging the window CV falls below that seen for the broadband for a number of regions
445 including the deep-tropics, tropics and both hemispheres. These findings suggest that the
446 precise satellite overpass time will affect both the magnitude and shape of any temporally and
447 spatially averaged spectra that are produced. Similar conclusions can be drawn regarding the

448 effects of record length on the variability exhibited within that record. While these results
449 indicate the care that must be attached to interpreting the results from a given satellite record,
450 previous work suggests that for instruments in sun-synchronous orbit, an early morning equator
451 crossing time, similar to that of both IASI and CERES *Terra* will minimise diurnal sampling
452 errors (Kirk-Davidoff *et al.*, 2005). When all scenes are included in this study a smaller CV for
453 the window compared to broadband is seen for IASI for the southern hemisphere, quasi-global
454 and global mean cases, and this pattern of behaviour is replicated by CERES *Terra*. Similarly
455 even when individual years are excluded from the period of study, minimum variability at the
456 global scale is still seen across the atmospheric window.

457 It should be noted that in the analysis presented here there is no attempt to account for any
458 trends, either real, or arising as a result of instrument performance, in the IRR data. There have
459 certainly been real, monotonic increases in the annual mean concentrations of certain greenhouse
460 gases (e.g. CO₂, CH₄) over the 2008-2012 time period and these would be expected to enhance
461 absorption in those regions of the OLR spectrum sensitive to their presence. Acting in isolation
462 this increased absorption would drive the OLR in a consistent direction with time, however, in
463 reality any response will be modified by the temperature variability over the vertical levels where
464 the absorption is taking place. Figure 4(a) suggests that no consistent linear trend in spectral
465 OLR as measured by IASI exists with time across the wavenumber range considered here, at
466 least at the global, annual mean scale. Turning to instrumental effects, while the absolute
467 accuracy of the measurements cannot be quantified, studies have shown that the radiometric
468 performances of IASI and AIRS agree to within a few mK or less as a function of time (Hilton *et*
469 *al.*, 2012) implying excellent temporal stability.

470 There is clearly a substantial amount of further information that can be extracted from the IASI
471 data. In the short-term work is ongoing to establish whether the variability highlighted here is
472 replicated in atmospheric reanalysis datasets and, if so, what processes drive this behaviour.
473 Plans are in place to perform a detailed comparison with measurements from the AIRS
474 instrument spanning the same time period, and the IASI data will also allow a re-evaluation of
475 previous analyses comparing spectra measured in 1970 by the IRIS instrument on Nimbus-4
476 (Hanel *et al.*, 1972) to assess whether it is possible to unambiguously identify 40 year plus
477 change signals in all-sky data from the two instruments.

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481 supported by the National Centre for Earth Observation (NCEO).

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563

564 Figure Captions

565 Figure 1: Average 2008-2012 global, annual mean IRR brightness temperature spectrum.

566 Vertical dashed lines at 700 cm^{-1} and 1303 cm^{-1} are included to help orientate the reader in the

567 discussions concerning spectral features and variability contained in the main text

568

569 Figure 2: Standard deviation in 10° zonal, annual mean all-sky IRR brightness temperature

570 spectra for the northern (a) and southern hemisphere (b). Vertical dashed lines are provided at

571 700 and 1303 cm^{-1}

572

573 Figure 3: As Figure 1 for standard deviation in 30° zonal annual mean spectra

574

575 Figure 4: (a) Deviation in annual global mean brightness temperature from the five year average

576 global annual mean spectrum shown in Fig 1; (b) Standard deviation in global annual mean IRR

577 brightness temperatures for all 5 years (black) and excluding 2010 (red). Dashed vertical lines as

578 in Figures 1 to 3

579

580 Figure 5: (a) Coefficient of Variation (CV) in 10° annual zonal mean window fluxes and

581 radiances measured by CERES and IASI respectively as a function of latitude. (b) Dependence

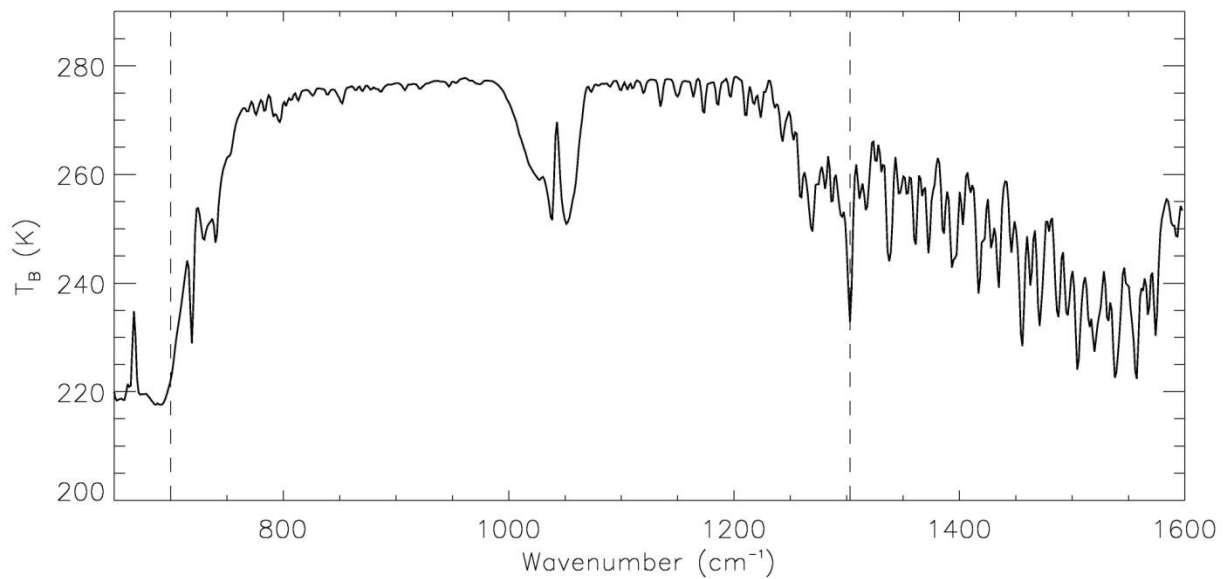
582 of CV on spatial scale for different spectral regions and instruments

583

584 Figure 6: Ratio of window to broadband CV for selected regions for (a) Terra, Aqua and IASI

585 (IRR spectra); (b) IASI as a function of sampling characteristics. (Deep-Tropics: 10°S - 10°N ;

586 Tropics: 30°S - 30°N ; NH: 0 - 90°N ; SH 0 - 90°S ; Quasi Global: 60°S - 60°N)



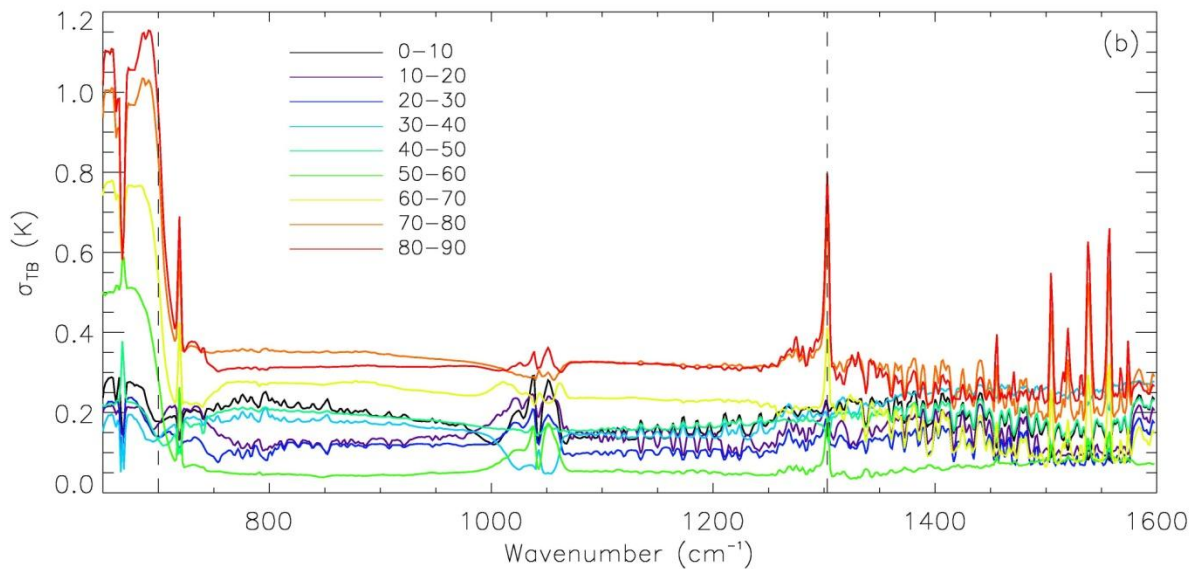
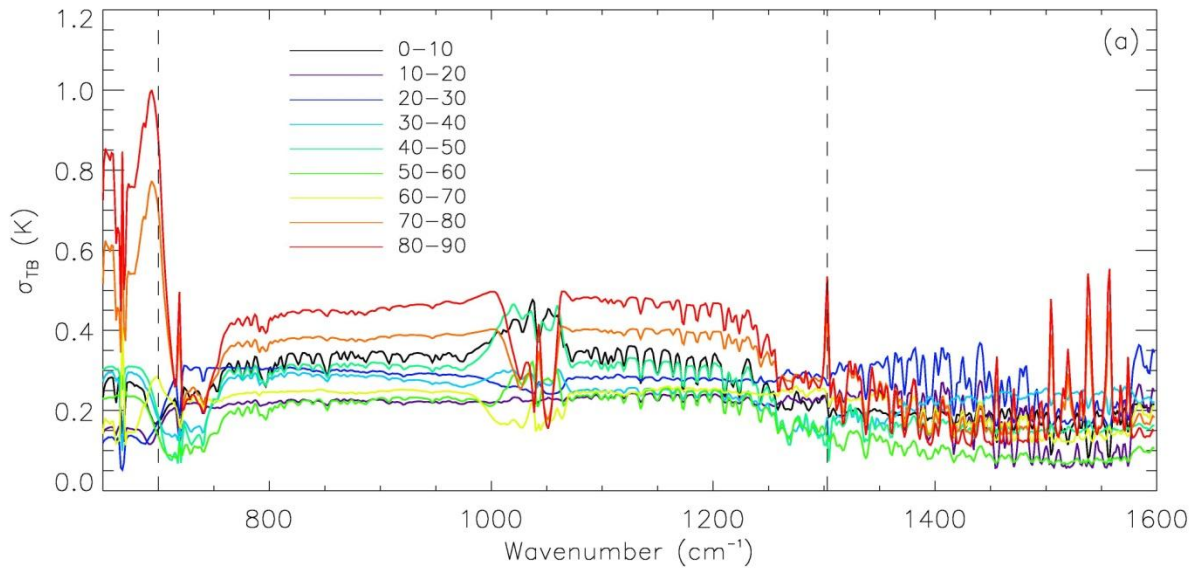
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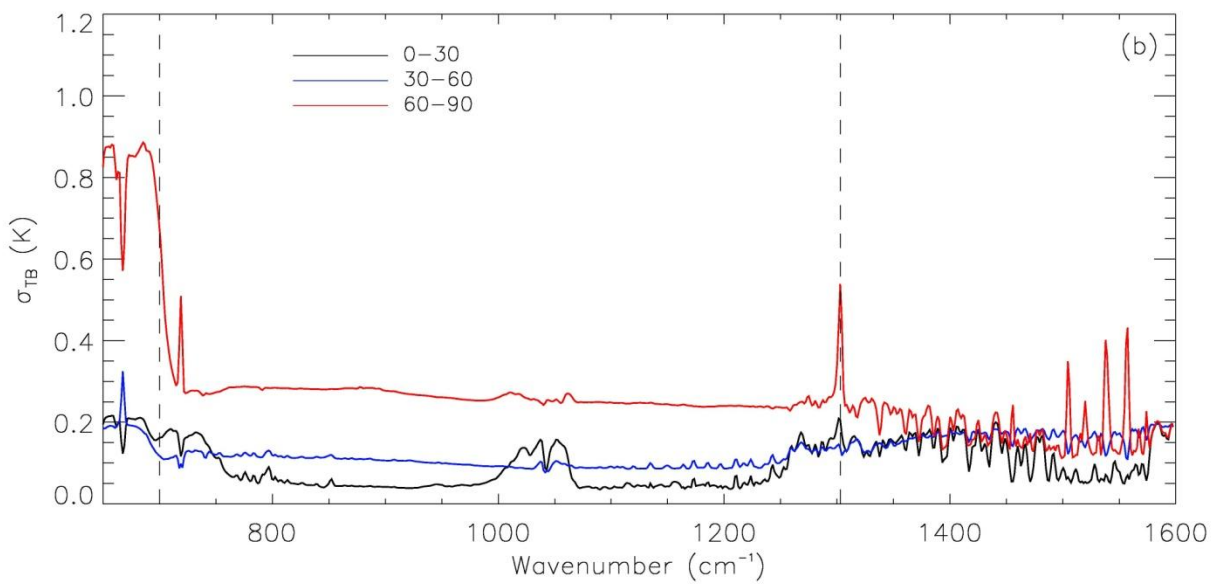
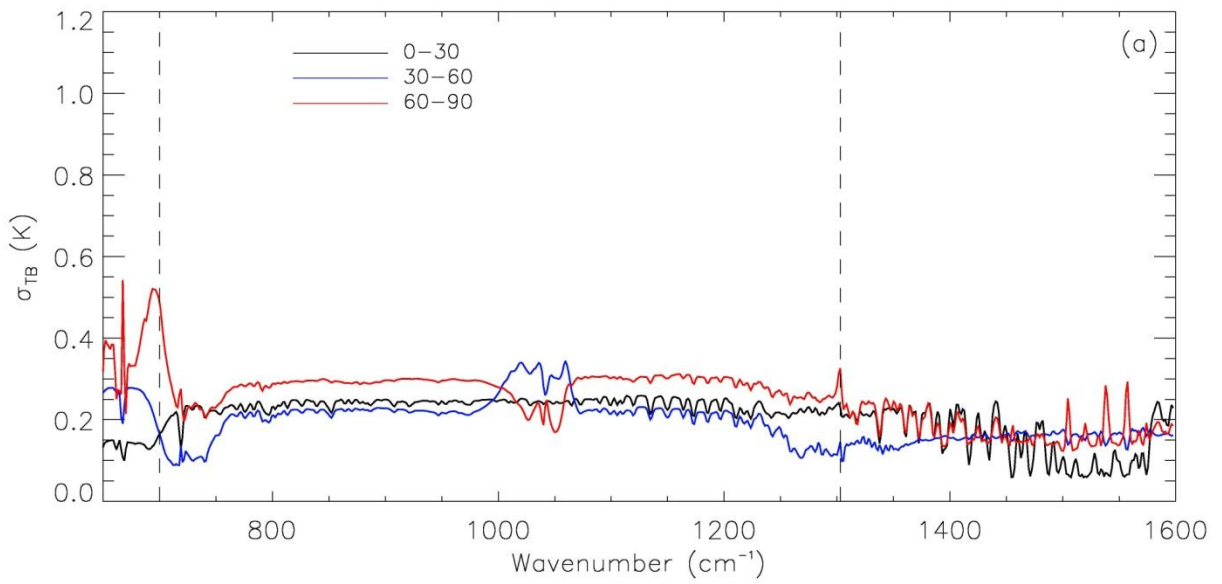
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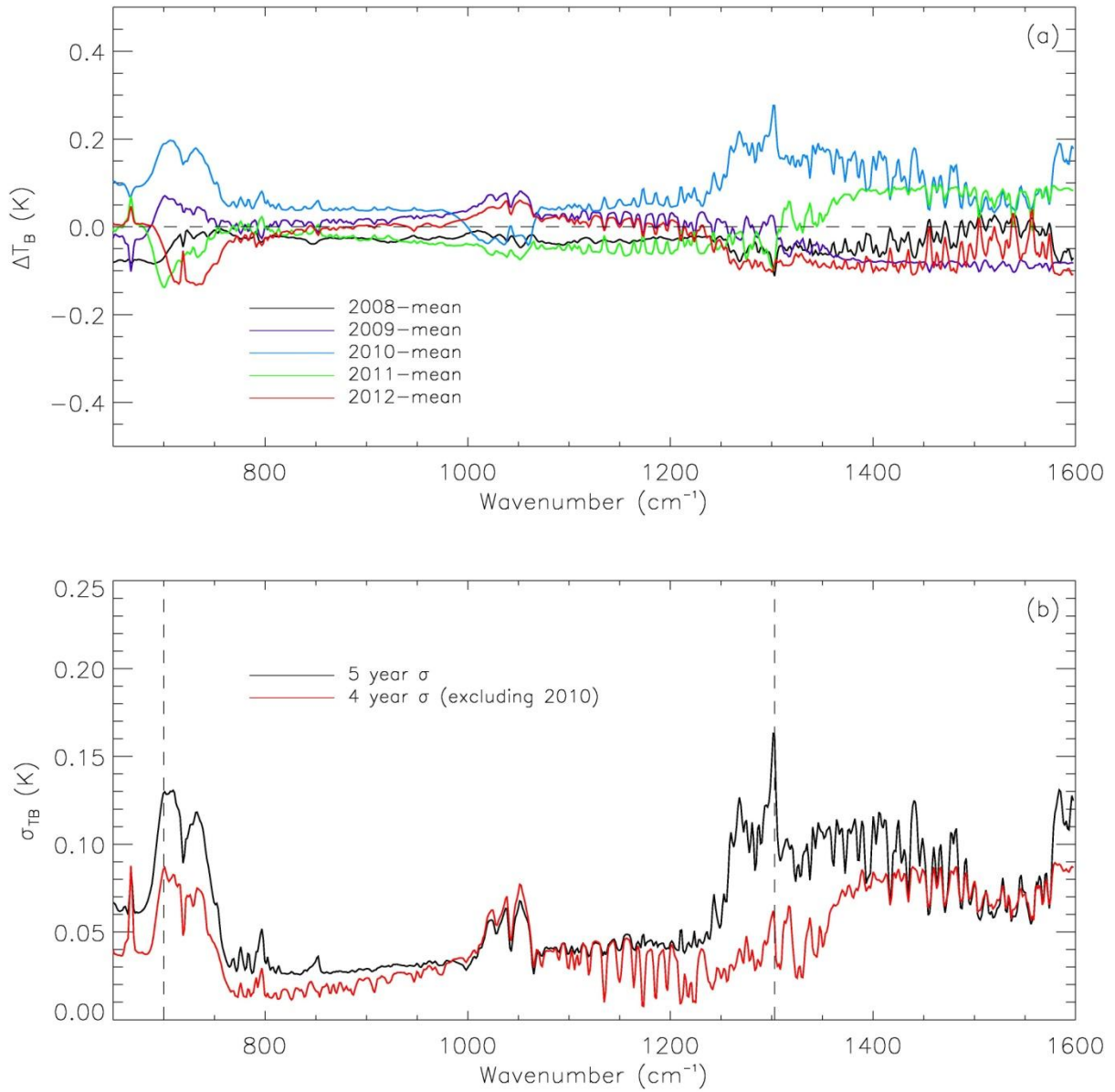
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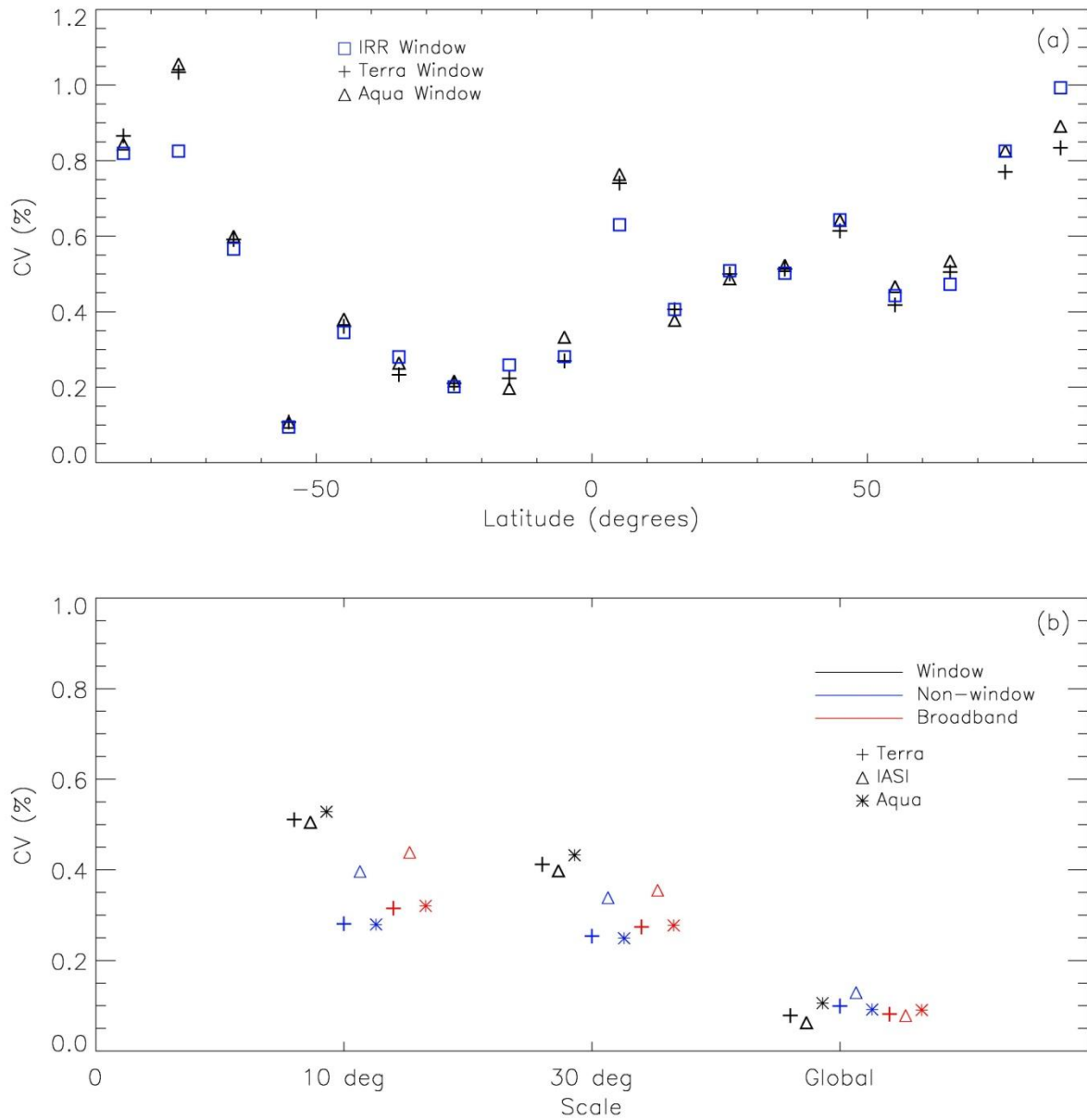
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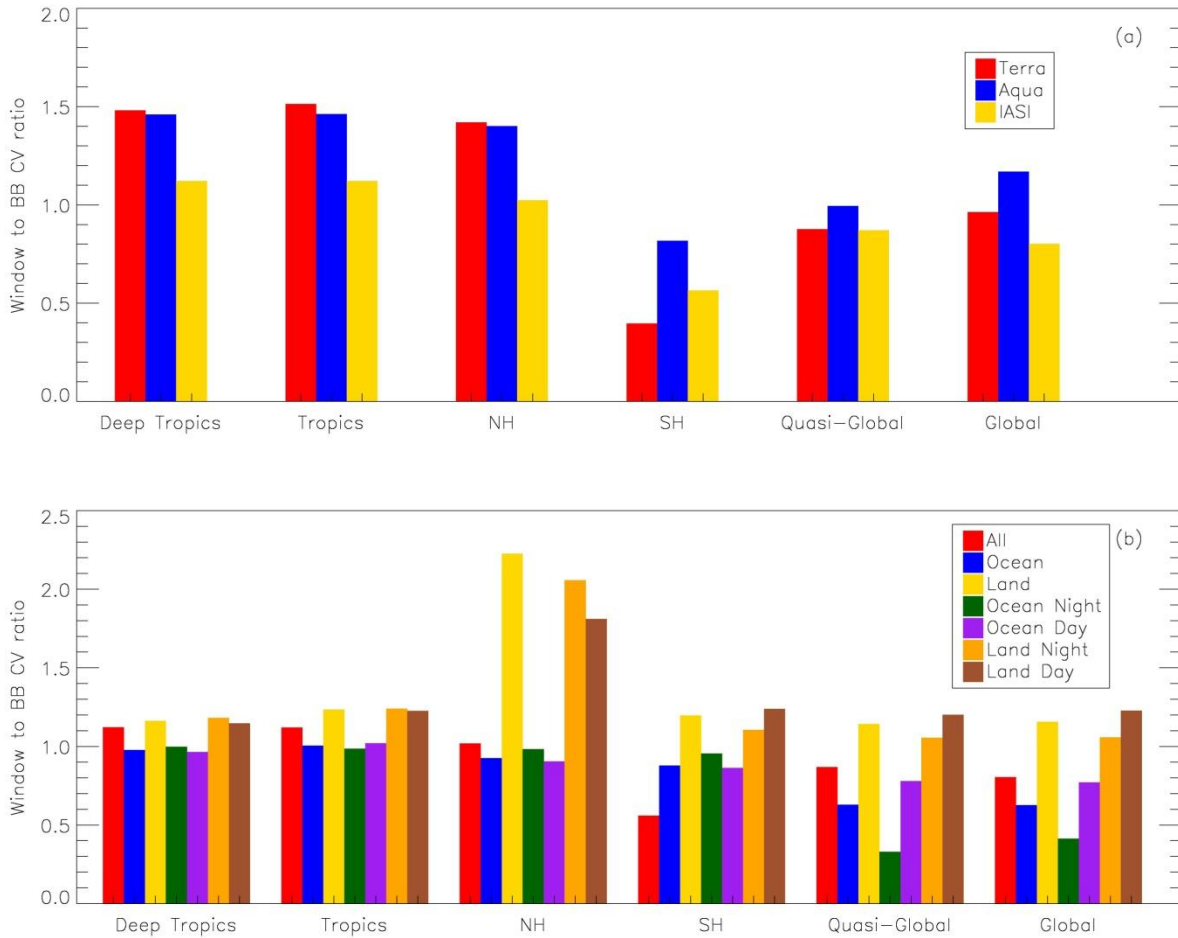
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