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# **Effect of enhanced biosecurity and selected on-farm factors on campylobacter colonization of chicken broilers**

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*Running head: Effect of biosecurity on campylobacter*

**1 SUMMARY**

**2** Human campylobacteriosis is the most commonly reported gastrointestinal bacterial infection in the  
**3** EU; poultry meat has been identified as the main source of infection. We tested the hypothesis that  
**4** enhanced biosecurity and other factors such as welfare status, breed, the practice of partial  
**5** depopulation and number of empty days between flocks may prevent *Campylobacter* spp. caecal  
**6** colonization of poultry batches at high levels (above 123000 cfu/g in pooled caecal samples). We  
**7** analyzed data from 2314 poultry batches sampled at slaughter in the UK in 2011-2013. We employed  
**8** random effects logistic regression to account for clustering of batches within farms and adjust for  
**9** confounding. We estimated population attributable fractions using adjusted risk ratios. Enhanced  
**10** biosecurity reduced the odds of colonization at partial depopulation (OR 0.25; 95%C.I. 0.14-0.47) and,  
**11** to a lesser extent, at final depopulation (OR 0.47; 95%C.I. 0.25-0.89). An effect of the type of breed  
**12** was also found. Under our assumptions, approximately 1/3 of highly colonized batches would be  
**13** avoided if they were all raised under enhanced biosecurity or without partial depopulation. The results  
**14** of the study indicate that on-farm measures can play an important role in reducing colonization of  
**15** broiler chickens with *Campylobacter* spp. and as a result human exposure.

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## 27 INTRODUCTION

28 *Campylobacter* spp. are the most commonly reported gastrointestinal bacterial pathogen in humans in  
29 the EU, responsible for an estimated cost of EUR 2.4 billion a year [1, 2].

30 *Campylobacter jejuni* is the species most frequently identified in human cases. The course of disease  
31 varies in severity from three to six days of diarrhoea to development of complications, including  
32 pancreatitis, arthritis and neurological disorders [3]. Poultry meat is considered the main source of  
33 human campylobacteriosis [4], and the intestines of commercial broilers (*Gallus gallus*) are often  
34 colonized [5, 6]. Microbial genetic data has provided further evidence of linkages between  
35 *Campylobacter* spp. strains in poultry and humans [7, 8]. The European Food Safety Authority (EFSA)  
36 has estimated that 20% to 30% of campylobacteriosis in humans may be attributed to the  
37 consumption of broiler meat, and 50% to 80% of all human cases of *Campylobacter jejuni* to the  
38 chicken reservoir as a whole[9]. An EFSA survey across 26 EU countries and two other countries in  
39 Europe in 2008 [10] showed an average of 71.2 % and ranged from a minimum of 2.0% to a  
40 maximum of 100.0% poultry batches testing positive at slaughter.

41 The pathogen may be introduced from the environment [11, 12] to poultry houses via different routes  
42 including houseflies [13], farmers' boots during daily operations or staff during partial depopulation  
43 [14]. Further horizontal transmission occurs from infected individuals to the surrounding environment  
44 and to other susceptible birds [15]. and colonization (presence of *Campylobacter* spp. in birds'  
45 intestine) of the entire flock occurs within a matter of a few days [16]. Theoretically, enhanced  
46 biosecurity in commercial farms could reduce the risk of batch colonization. However, there is limited  
47 empirical evidence that supports this hypothesis. As shown by an extensive literature review on the  
48 subject [15], study results are often questionable due to differences in implementation and poor study  
49 design and analysis. Besides the enhancement of biosecurity, several 'on farm' strategies have been  
50 proposed to reduce the risk of flock colonization and spread including chlorinated drinking water [17],  
51 bacteriophage therapy [18] and bacteriocins [19] or the use of probiotics [20] and vaccination [21].  
52 However, many of those are still currently in development or considered not feasible. Evidence to  
53 assess the rationale of implementing feasible on-farm interventions such as enhancement of  
54 biosecurity is therefore urgently needed.

55 Between September 2011 and August 2013, the UK poultry industry implemented a plan of enhanced  
56 biosecurity (i.e. operating in each poultry house (shed) as a bio-secure unit, using protective clothes  
57 and shed- specific equipment in addition to standard procedures) on a number of 'model farms'.  
58 We present an analysis of these data, including comparison of the levels of campylobacter caecal  
59 colonization in batches raised in 'model farms' under enhanced biosecurity with control batches from  
60 farms with 'standard biosecurity'.

61

## 62 **MATERIALS AND METHODS**

### 63 **Study population and data sources**

64 We investigated campylobacter colonization in broiler chickens slaughtered in the UK between 1  
65 September 2011 and 31 August 2013.

#### 66 *Selection of 'model' farms*

67 Sixteen farms were selected by the industry as 'model' examples, where a new protocol for enhanced  
68 biosecurity was implemented from August 2011. Although no formal probabilistic selection of  
69 candidate farms for enhancement of biosecurity was conducted, the 16 farms (denoted with  
70 alphabetic characters from A to O) were considered to apply standard production practices as in other  
71 broiler farms in the UK, were geographically dispersed and belonged to three different companies.

72 Farm staff were trained and operated each poultry house (shed) as a bio-secure unit using dedicated  
73 tools, garments and footwear, protective clothes and shed-specific equipment, including for garbage  
74 and collection of dead birds, in addition to implementing standard procedures and highlighting the  
75 importance of having specific entry and exit procedures with washing and disinfection facilities for  
76 each poultry house. After the project, the procedures of enhanced biosecurity were shared with all  
77 farmers and a visual guide was prepared by FSA and National Farmers Union (NFU)

78 [http://www.nfuonline.com/fsa-infographic-campylobacter-biosecurity-cmyk-v3-lh-250615\\_not-signed-](http://www.nfuonline.com/fsa-infographic-campylobacter-biosecurity-cmyk-v3-lh-250615_not-signed-)  
79 [o/](#)

80 Some more details on applied biosecurity measures in model farms are available in Table S1 and  
81 Table S2 in the *Supplementary Material* (available on the Cambridge Journals Online website). Model  
82 farms were located in England, Wales, Scotland and Northern Ireland and linked to different retailers.  
83 The number of sheds ranged from 1 to 12 per farm.

#### 84 *Selection of 'model' batches*

85 Batches of chickens (birds which had been grown in the same shed and delivered to a  
86 slaughterhouse on one single day) were the study unit. Data were collected for 1,749 batches from  
87 model farms. Batches were selected so that all sheds would be sampled during the study. For  
88 purpose of data analysis, the 2-year study period was divided into 16 intervals of 45 days and each  
89 batch allocated to one of the 16 intervals based on the date when it was sent to the slaughterhouse.

#### 90 *Selection of control farms and batches*

91 Three groups of control batches were investigated, as follows:

92 Broilers originated from different farms where standard biosecurity was applied (i.e. compliance with  
93 the Red Tractor assurance scheme <http://assurance.redtractor.org.uk/> ).

94 1. "control batches 1" were selected in four poultry processing plants. . Information on the number of  
95 farms and origin of the batches was not available for analysis. Between April 2012 and October 2013,  
96 366 batches were selected based on subjective assessments by the company veterinarians as  
97 batches of similar age, kept under similar conditions and slaughtered in the same week as the  
98 batches from farms with enhanced biosecurity.

99 2. "control batches 2" originated from five farms selected to match five of the model farms for all  
100 factors except biosecurity. A total of 30 batches were selected from these farms matched by week of  
101 slaughter to the corresponding 'model' batches.

102 3."control batches 3" originated from 5 farms selected to match 5 model farms (A, B, C, D and E) for  
103 all factors with the exception of biosecurity. Information was collected for 136 batches in this group.

104 Chickens were tested at thinning (partial depopulation) and also at final depopulation. We did not  
105 combine the batches from control farms 3 with those in control farms 2 as the investigation period was  
106 different.

107

#### 108 **Sample collection and laboratory testing**

109 For each of the study batches, samples were taken from the caeca of five birds in the batch in the  
110 beginning of slaughter at the time of evisceration and pooled as a single sample. Samples were also  
111 taken from neck skins of three birds in the batch immediately after chilling at the end of slaughter line  
112 and pooled as a single sample. The birds' carcasses were selected in a non-systematic way. All  
113 samples were tested to enumerate *Campylobacter* spp. without further speciation according to the  
114 agreed standards of International Organization for Standardization (ISO) ISO10272-2 2006. The

115 methodology was considered to be well established and was harmonized between the laboratories  
116 used by the three poultry companies involved in the study. Results therefore allow comparison  
117 between levels in caeca and neck skin and, further, with data from ongoing national monitoring in  
118 slaughterhouses in the UK.

119

## 120 **Batch-level Risk Factors**

121 For batches grown in 'model farms', information was obtained on other husbandry factors which could  
122 potentially have an influence upon colonization of broilers, namely:

123 Welfare status (data available for all 1,749 batches), defined as:

- 124 - 'Higher': broilers can be reared in the flock up to 30 kg/m<sup>2</sup>, with added enrichments [play-  
125 bales, perches and artificial play-objects), the glass area of the windows is a minimum of 1-3  
126 % of the floor area, according to 'Red Tractor' standards; or
- 127 - 'Freedom Food': stocking density is up to 30 kg/m<sup>2</sup> and rearing of a slow growing hybrid (JA  
128 87) is required; or
- 129 - 'Standard': maximum stocking density is over 30 kg/m<sup>2</sup>.

130 Number of empty days between flocks (available for 1,693 batches, 96.8%).

131 Number of days from partial depopulation (thinning) to the end of the production cycle (available for  
132 1,568 batches of the 1,654 batches where thinning was practiced, 94.8%).

133 Type of broiler hybrid (available for 1,745 batches, 99.8%).

134

## 135 **Data analysis**

136 In our analysis the outcome was a binary variable: based on caeca results, batches were classified as  
137 highly colonized vs. not highly colonized. To classify a batch as 'highly colonized' based on caeca  
138 results we used the threshold value that corresponds with a neck skin count above 3 log<sub>10</sub>, which is  
139 used as the high-risk threshold related to public health, jointly accepted by FSA and the poultry  
140 industry. The derivation of this value was as follows.

141 *Defining a threshold for high levels of campylobacter colonization*

142 We examined the frequency distributions of the counts of *Campylobacter* spp. in caeca and neck skin  
143 samples at different percentiles (1<sup>th</sup>, 5<sup>th</sup>, 10<sup>th</sup>, 25<sup>th</sup>, 35<sup>th</sup>, 50<sup>th</sup>, 65<sup>th</sup>, 75<sup>th</sup>, 90<sup>th</sup>, 95<sup>th</sup>, 99<sup>th</sup>, and the  
144 maximum values). In each of the specified percentiles, we calculated the difference between results

145 in caeca and neck skin using a  $\log_{10}$  scale. The 95% C.I. for the resulting distribution of these  
146 differences was obtained. The value at the lower confidence limit for this difference was added to the  
147 level of  $3 \log_{10}$  of neck skin colonization. This was done because of the interest in defining a high-risk  
148 threshold based on caeca results.

#### 149 *Identification of factors associated with high levels of campylobacter colonization*

150 The risk of being a highly colonized batch was estimated for: batches raised under enhanced  
151 biosecurity vs. batches raised under standard biosecurity (controls); batches harvested at thinning  
152 (partial depopulation) vs. at the end of the cycle (depopulation); batches composed of different  
153 hybrids: (Cobb 500, Cobb 500& Ross 308, Ross 308, Ross 708 and JA 87); batches with different  
154 empty days before the start of the cycle: (1-7, 8-14, 15-21 and 22-47); batches with different number  
155 of days between thinning and depopulation: (1-3, 4-6, 7-9, 10-12 and 13-18); batches for which  
156 welfare was 'standard' 'higher' or 'freedom food' and batches which were slaughtered in 90 days  
157 intervals between 1st September 2011 and 31st August 2013.

158 Univariate analysis was first carried out, followed by multivariate analysis to explore the combined  
159 effect of multiple factors on the odds of colonization at high levels ( $>123000\text{cfu/g}$ ). Four multivariate  
160 models were built.

- 161 1. 'biosecurity model' a random effects logistic model was used to compare the odds of  
162 colonization between batches from farms with enhanced biosecurity (model batches) and  
163 batches from farms with standard biosecurity (control batches 1). The model controlled for  
164 the potential effect of harvest occasion (thinning vs. depopulation) and season and accounted  
165 for the fact that batches from the same farm may be more "similar" than batches from different  
166 farms (i.e. within-farm clustering).
- 167 2. 'risk factors within high biosecurity farms model' a random effects logistic model was used to  
168 compare the odds of colonization between batches at different harvest occasion while  
169 controlling for the potential effect of type of hybrid, empty days between flocks and season.  
170 As for model 1, model 2 also accounted for within-farm clustering. Only batches from model  
171 farms were used in this model as data on husbandry factors were only available for model  
172 farms.
- 173 3. 'thinning practice model' a random effects logistic model was used to compare the odds of  
174 colonization at depopulation between batches where partial depopulation was conducted and



175 batches without partial depopulation. This model controlled for potential effect of season and  
176 within-farm clustering and was limited to model batches only.

177 4. 'A Company's five farms model' Conditional logistic regression was used to compare the odds  
178 of colonization between batches from five farms (A-E) with enhanced biosecurity and batches  
179 from five farms with standard biosecurity (control batches 3). The model controlled for harvest  
180 occasion and season, and accounted for within-farm clustering.

181 Control batches 2 were not included in the multivariate models due to the data for only 16 batches at  
182 thinning and 14 at depopulation.

### 183 *Estimation of Population Attributable Fractions (PAFs)*

184 We utilized the estimates of the strength of the association between i) enhanced biosecurity, ii) partial  
185 depopulation and iii) hybrid type with odds of colonization at high levels (obtained from the models  
186 mentioned above), to estimate the proportion of heavily colonized batches that could be attributed to  
187 each of these factors (PAFs). The proportion of heavily colonized batches that would be prevented  
188 was estimated under the following different scenarios: i) enhancement of biosecurity ii) elimination of  
189 the practice of thinning and iii) use of low-risk hybrid types. Assumptions were made as to the  
190 proportion of the total broiler population currently "exposed" to each of the 3 individual factors (i.e. all  
191 flocks are under standard biosecurity, 30 % of the flocks are of hybrids with low colonization results  
192 and 90% of batches are thinned; these are believed to be reasonable values for the UK broiler  
193 population).

194 The ORs obtained from the regression models were converted to adjusted relative risk (RRa) values  
195 [22] and used to estimate population attributable fraction (PAF) [23, 24].

$$196 \quad \text{RRa} = \text{OR} / [(1 - \text{Risk at baseline}) + (\text{Risk at baseline} * \text{OR})] \quad (\text{eq. 1})$$

197 PAF values were estimated as

$$198 \quad \text{PAF} = \text{Pd} * (\text{RRa} - 1) / \text{RRa} \quad (\text{eq. 2})$$

199 and where Pd is the percentage of batches exposed to factors among highly colonized batches.

200

## 201 **RESULTS**

202 The identified 95% C.I. 2.09 – 3.68 of differences between caeca and neck skin results on log<sub>10</sub> scale  
203 suggests that the batches positive in neck skin >1000 cfu/g (3 log<sub>10</sub>) were colonized in caeca with  
204 results of at least 5.09 log<sub>10</sub>.

205 Overall, 58.6% of all the studied batches were heavily colonized (>123000 cfu/g in pooled caecal  
206 samples) (Table 1). The proportion of colonized batches exhibited a seasonal pattern, with peaks  
207 during the summer period (Figure 1, Figure 2).

208

### 209 **Univariate analysis**

210 In the univariate analysis, all the factors under study, except the poultry company of origin, were  
211 significantly (P<0.05) associated with colonization at high levels (Table 2).

212

### 213 **Multivariate analysis**

#### 214 Biosecurity model

215 Enhancement of biosecurity modified the effect of harvesting at thinning vs. at depopulation and vice  
216 versa (Table 3). Enhancement of biosecurity reduced the odds of colonization when harvesting took  
217 place at thinning (25% of the odds of infection of a standard biosecurity batch harvested at thinning)  
218 but the effect was markedly reduced when harvesting took place at the end of the cycle (47% of the  
219 odds of a standard biosecurity batch harvested at depopulation). A high proportion (72.9%) of batches  
220 raised under standard biosecurity was already colonized at the time of thinning. Only 41.7% of  
221 batches raised under enhanced biosecurity were colonized at thinning. This proportion increased to  
222 64.7% when harvesting took place at depopulation.

223 The model results confirm the role of season. The likelihood of batch colonization was higher in the  
224 summer.

#### 225 Risk factors within high biosecurity farms model

226 In farms with enhanced biosecurity, batches at depopulation had three times higher odds of  
227 colonization than batches at thinning (Table 4). Compared to the baseline hybrid (Ross 308), batches  
228 of Cobb 500 had 53% of the odds of high colonization. The mixed Cobb 500 & Ross 308 had three  
229 times higher odds of colonization compared to Ross 308. The sheds that were kept empty for up to 1  
230 week were less likely to produce highly colonized batches; OR 0.69 (95% C.I. 0.49 – 0.96) than  
231 batches grown after a 1-2 week empty period. An empty period between flocks in of more than 3

232 weeks was associated with 3 times higher odds of colonization than the baseline group of 1-2 weeks  
233 empty period. Batches which had experienced a short period (1-3 days) between thinning and  
234 depopulation had half the odds of colonization >123000 cfu/g compared with batches experiencing a  
235 period of 7-9 days. There is no statistical evidence to differentiate the results of Ross 308 from JA 87,  
236 Ross 708 or the mix of Cobb 500 & Ross 308.

#### 237 Thinning practice model

238 In farms with enhanced biosecurity, flocks that were thinned had more than twice (2.63) the odds of  
239 colonization at depopulation than flocks that were not thinned (Table 5).

#### 240 A company's five farms model

241 The results of comparing the odds of colonization in batches from five model farms matched to  
242 batches from the third group of control farms are presented in Table 6. The results confirmed the  
243 protective effect of enhanced biosecurity on batch colonization, the increased odds of colonization at  
244 depopulation and the seasonality of batch colonization.

#### 245 Sensitivity analysis

246 In order to assess the impact of the chosen cut-off, we repeated all univariate and multivariate  
247 analyses using a lower threshold (1000 cfu/g) for classification of high-colonization based on caeca  
248 results. The result of this different cut-off was that 11.4% of batches were re-classified as highly-  
249 colonized. However we obtained very similar results for the risk factor analysis.

250

#### 251 **Population attributable fractions (PAF)**

252 Under the assumptions that identified risk factors have a causal association with the colonization of  
253 poultry batches and that the above estimates provide an unbiased measure of the association  
254 between the studied exposures and colonization, the following estimates were made:

255 If all batches in the UK were raised under enhanced biosecurity an estimated 32.0% (95% C.I. 16.0%-  
256 41.0%) of colonized batches in the population would be avoided (Figure 3). This is under the  
257 assumption that no UK farms operate under enhanced biosecurity (with the exception of model farms  
258 in this study) in 2013.

259 If none of the batches were subject to thinning then an estimated 33.0% (95% C.I. 14.0%-44.0%) of  
260 highly colonized batches could be avoided (Figure 4). This value assumes that thinning is currently  
261 practised in 90% of batches (as observed in this study).

262 If all batches were of the hybrid types associated with a lower risk, between 4.0% and 27.0 % of batch  
263 colonization could be prevented (Figure 5). In this study, more than 70.0% of batches were from those  
264 hybrids associated with higher risk of colonization.

265 Interventions against different factors could be introduced simultaneously. We estimate that  
266 approximately 30% (95% C.I. 13.0% - 37.0%) of highly colonized batches could be avoided in a  
267 hypothetical scenario of successfully enhancing biosecurity in half of the batches, avoiding thinning in  
268 a third of batches in which it is currently practiced and shifting to hybrids with a lower risk of  
269 colonization in at least 30.0% of the batches being at high risk.

270

## 271 **DISCUSSION**

272 This study analyzed the impact of enhanced biosecurity measures and selected husbandry factors on  
273 campylobacter colonization of broiler batches. We proposed a threshold for high colonization in caeca  
274 (>123000cfu/g) by correlating caecal and neck skin results and considering the established cut-off for  
275 high-risk group in neck skin.

### 276 Effect of Biosecurity

277 The results of the analyses undertaken provide strong evidence that enhanced biosecurity has a  
278 protective effect on batch colonization at thinning, reducing the odds of high colonization by between  
279 53.0% and 86.0%. At the time of depopulation, the effect of increased biosecurity is considerably  
280 lower. The strong association between enhanced biosecurity and colonization at the time of thinning  
281 and the subsequent attenuation of this effect at the time of total depopulation could indicate that  
282 enhanced biosecurity is more effective at delaying than preventing colonization.

### 283 Thinning practice

284 It is likely that thinning itself can be considered to directly counter the protective effects of enhanced  
285 biosecurity. That practice is at least in part responsible for the attenuation of the protective effect of  
286 biosecurity by the time of depopulation, as the role of thinning as a risk factor for infection has been  
287 well established [15, 18] and is also identified in this study: flocks that had been partially depopulated  
288 (thinned) experienced a two times higher odds of colonization at depopulation than batches in which  
289 partial depopulation had not been practised. The fact that thinning was applied to 90% of batches  
290 included in this study and the strong financial motivation of the practice suggest that ceasing it

291 completely may not be feasible in the UK, since it would require additional investments in new poultry  
292 houses.

293 Our findings supporting a protective effect of farm hygiene measures on batch colonization are in  
294 agreement with previous studies in the Netherlands [25], the UK [26] and Denmark [27]. Other studies  
295 in countries such as Norway and Iceland [28] indicated an unpredictable effect of hygienic measures  
296 on farm and reported conflicting evidence.

#### 297 Other risk factors

298 There was evidence of an association between the number of empty days between flocks and  
299 colonization: batches for which the shed had been kept empty less than a week appear to be at lower  
300 risk (83.0%) of colonization. The batches processed after a prolonged empty period of more than 21  
301 days had a 42.0% increase in risk when compared with a period of 8 – 14 days. Previous studies  
302 have also identified an association between the length of the empty period between flocks [29] and  
303 potential for re-infection from the contaminated environment [30]. A prolonged empty period between  
304 flocks increases the probability of the shed becoming contaminated from the environment by the time  
305 when new birds are introduced.

306 A short period (1-3 days) between thinning and depopulation was also associated with a lower risk of  
307 colonization compared to batches for which the period between thinning and depopulation was 7-9  
308 days. The results support the existence of differences in campylobacter colonization between the  
309 hybrids; these may be due to a biological characteristic of the birds, differences in the length of the  
310 cycle, growth rates, age of harvest or unmeasured factors associated with the type of hybrid such as  
311 diet or specific husbandry practices. Previous experimental studies showed a little impact of broiler  
312 breed to the susceptibility of chicken to *C. jejuni* colonization, but it has been reported that in fast-  
313 growing breeds the inflammatory response remains elevated for longer [31].

314 As expected, the risk of colonization exhibits a strong seasonality, with batches raised during winter at  
315 significantly lower risk of colonization. The effect of season on colonization of batches has been  
316 extensively reported and tentatively attributed to the ability of *Campylobacter* spp. to decay or  
317 transform in cold conditions into a viable but nonculturable (VBNC) state which has the potential for  
318 lengthy survival. Other potential seasonal effects include flies as potential carriers [32, 33] and  
319 seasonal changes in farm practices [34, 35].

320 Differences that are not explained by the studied factors in the actual counts of campylobacter might  
321 be attributed to additional factors such as the dose of exposure, effectiveness of the transmission, the  
322 time elapsed from infection to slaughter and individual susceptibility including the influence of stress  
323 factors.

324  
325 The estimated PAFs suggest that one third of highly colonized batches could be prevented if all  
326 farms enhanced their biosecurity to similar standards of the model farms in this study. A similar effect  
327 could be achieved if none of the crops sent to the slaughterhouse had been subject to previous  
328 thinning. The potential effect of raising only hybrid types identified to be of low risk was estimated to  
329 be between a 4.0% and 27.0% reduction in the proportion of highly colonized batches. The expected  
330 effects of interventions (PAFs) are based on estimates obtained from the study batches and assume  
331 causal association between exposure and colonization. Extrapolations should be made with caution,  
332 however, they provide an indication of the extent to which interventions at farm level can mitigate  
333 campylobacter colonization in broiler chickens and as a result human exposure to *Campylobacter*  
334 spp. Preventing high colonization in one third of chicken batches by improving biosecurity has the  
335 potential to avert 7-10% of human cases attributed to consumption of chicken meat and drop the  
336 number of cases attributed to chicken reservoir as whole by approximately one quarter, assuming that  
337 the EFSA source attribution model [9] was correct. A number of limitations of the study should be  
338 acknowledged. Although farms were recruited trying to avoid obvious departures from established  
339 poultry production practices, farm selection was not carried out probabilistically and selection bias as  
340 a result of systematic differences between the study farms and the general population of UK farms  
341 cannot be ruled out. Similarly, control farms were not selected probabilistically and differences with  
342 model farms, other than the level of biosecurity, cannot be excluded. Lack of information on farm of  
343 origin for the main group of control batches prevented us from accounting for potential within-farm  
344 clustering and within-company clustering was considered instead. We have not evaluated the  
345 performance of different laboratories in the study. However, we believe that the use of standardized  
346 and well-known methodology reduces potential variation between the laboratories. The batches  
347 positive in caeca do not necessarily correlate perfectly with batches positive in neck skin. However,  
348 high colonization in caeca is expected to result in high positive results in neck skin. The PAF values  
349 are based on estimates of strength of association and of frequency of exposure obtained from poultry

350 batches grown in a non-probabilistic sample of farms and under the assumption of causal relationship  
351 between exposure and colonization. The values could be interpreted as an a-priori expectation of the  
352 likely effect of potential interventions. The formal assessment of effectiveness of different  
353 interventions would require a randomized control trial. Despite these limitations, it seems unlikely that  
354 the main findings of the study are due to these potential biases.

355 This study provides empirical evidence of the potential of enhancing biosecurity as a means of  
356 reducing the proportion of heavily contaminated batches sent to slaughterhouses and eventually the  
357 proportion of heavily contaminated chickens at retail. It also shows a potential to mitigate the risk of  
358 heavily contaminated chicken reaching the consumer by enhancing biosecurity in combination with  
359 other measures further along the poultry chain maximizing the effectiveness of intervention. The  
360 existence of an interaction between enhanced biosecurity and thinning by which one modifies the  
361 effect of the other implies that potential interventions should consider both simultaneously. The  
362 association between breed and risk of colonization should be further explored as it is possible that  
363 factors other than the characteristics of the birds are responsible.

364 Even though campylobacter is referred to as the top pathogen associated with food borne disease in  
365 the EU there are no mandatory requirements for monitoring foodstuffs on microbiological criteria as  
366 those contained in Commission Regulation (EC) No. 2073/ 2005 for other food-borne pathogens,  
367 including Salmonella. There are indications that the controls applied for Salmonella would not  
368 necessarily correlate with a decrease in the prevalence of *Campylobacter* spp. [36]. Studies in the  
369 Netherlands [37] and Nordic countries [38] propose the implementation of threshold levels for batch  
370 colonization at the end of slaughter. The results of this study justify the implementation of an  
371 intervention study to confirm and quantify the impact of combined changes to biosecurity and thinning  
372 including monitoring beyond the abattoir.

373

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**383**

**384 Declaration of interest**

**385** None.

**386**

**387 Ethical standards**

**388** The authors assert that all procedures contributing to this work comply with the ethical standards of

**389** the relevant national and institutional guides.



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**Table 1** Number and proportion of batches found to be colonized at different levels in pooled caecal samples (results from 2314 batches included in the UK poultry industry study on enhanced biosecurity and campylobacter colonization; UK, 2011-2013).

Results	at thinning				at depopulation				TOTAL (%)
	Control	Control	Control	Model	Control	Control	Control	Model	
	farms 1 (%)	farms 2 (%)	farms 3 (%)	Farms (%)	farms 1 (%)	farms 2 (%)	farms 3 (%)	Farms (%)	
1 to <100cfu/g	21 (10.6)	4 (25.0)	29 (43.3)	338 (41.2)	23 (11.5)	0	11 (15.9)	191 (20.6)	<b>617</b> <b>(26.7)</b>
100 to 1000 cfu/g	1 (0.5)	0	1 (1.5)	32 (3.9)	0	1 (7.1)	1 (1.4)	41 (4.4)	<b>77</b> <b>(3.3)</b>
>1000 cfu/g	177 (88.9)	12 (75.0)	37 (55.2)	450 (54.9)	177 (88.5)	13 (92.9)	57 (82.6)	697 (75.0)	<b>1,620</b> <b>(70.0)</b>
>1000 to ≤123000 cfu/g	32 (16.1)	2 (12.5)	2 (3.0)	108 (13.2)	17 (8.5)	3 (21.4)	3 (4.3)	96 (10.3)	263 (11.4)
>123000 cfu/g	145 (72.9)	10 (62.5)	35 (52.2)	342 (41.7)	160 (80.0)	10 (71.4)	54 (78.3)	601 (64.7)	1357 (58.6)
<b>TOTAL</b>	<b>199</b>	<b>16</b>	<b>67</b>	<b>820</b>	<b>200</b>	<b>14</b>	<b>69</b>	<b>929</b>	<b>2314</b>

**Table 2** Univariate associations between potential risk factors and *Campylobacter* spp. colonization at high level (>123000 cfu/g in pooled caecal samples; results from 2314 batches included in the UK poultry industry study on enhanced biosecurity and campylobacter colonization; UK, 2011-2013).

Variable	Categories	Number (%) of		p-value (chi <sup>2</sup> ) <sup>1</sup>
		Batches		
		>123000cfu/g	≤123000cfu/g	
Harvest occasion	Thinning	532 (48.3)	570	<0.001
	Depopulation	824 (68.0)	388	
Biosecurity	Model Farms	943 (53.9)	806	<0.001
	Control farms 1	304 (76.2)	95	
	Control farms 2	20 (66.7)	10	
	Control farms 3	89 (65.4)	47	
Welfare in model farms	Standard	588 (53.5)	512	0.038
	Higher	305 (53.0)	271	
	Freedom Food <sup>ii</sup>	50 (68.5)	23	
Hybrid in model farms	Cobb 500	183 (48.4)	195	0.001
	Cobb 500& Ross 308	18 (72.0)	7	
	Ross 308	613 (54.5)	511	
	Ross 708	69 (50.4)	68	
	JA 87	57 (70.4)	24	
Empty days in model farms	1-7 days	233 (51.2)	218	<0.001
	8-14 days	585 (54.4)	491	
	15-21 days	57 (48.3)	61	
	22-47 days	35 (72.9)	13	
	na <sup>iii</sup>	446 (71.8)	175	
Days from thinning to depopulation in model farms	1-3 days	143 (48.8)	150	<0.001
	4-6 days	344 (54.4)	288	
	7-9 days	215 (58.0)	156	
	10-12 days	99 (57.2)	74	

	13-18 days	60 (60.6)	39	
	na	495 (66.4)	251	
Processors	Q	54 (77.14)	16	0.088
dealing with	R	58 (79.5)	15	
batches of	S	99 (81.8)	22	
control farms 1	T	93 (68.9)	42	
Practice of	Thinning had been	555 (66.6)	279	<0.001
partial	practised			
depopulation in	Thinning had not	46 (48.4)	49	
model farms	been practised			

<sup>i</sup>  $\chi^2$  test on (r x c) tables;

<sup>ii</sup> in addition to the specific welfare conditions the category requires rearing of hybrid JA 87

<sup>iii</sup> the information is not available

**Table 3** Results of a random effects logistic regression (Regression Model 1 'biosecurity model') of enhanced biosecurity, harvest occasion and sampling period on batch colonization (defined as >123000 cfu/g in pooled caecal samples). Results from a total of 1687 batches sampled between 16<sup>th</sup> April 2012 and 31<sup>st</sup> August 2013 included in the UK poultry study on enhanced biosecurity and campylobacter colonization; UK, 2011-2013.

<b>Factors</b>	<b>OR (95% C.I.)</b>	<b>P-value</b>
<b>Biosecurity</b>		
Standard (control farms 1)	1.00	
Enhanced (model farms)	0.25 (0.14-0.47)	<0.001
<b>Harvest occasion</b>		
Thinning (T)	1.00	
Depopulation (D)	1.68 (0.93-3.03)	0.086
<b>Interaction between biosecurity &amp; harvest occasion</b>		
Model farm & Depopulation	1.85 (0.98-3.50)	0.059
Effect of Depopulation:		
- in model farm	3.10 (2.43-3.96)	
- in control farms1	1.68 (0.93-3.03)	
Effect of enhanced biosecurity		
- at thinning	0.25 (0.14-0.47)	
- at depopulation	0.47 (0.25-0.89)	
<b>Sampling period</b>		
16 Apr – 31 May 2012	3.56 (2.26-5.61)	<0.001
1 June – 31 Aug 2012	5.91 (4.00-8.73)	<0.001
1 Sept - 30 Nov 2012	1.21 (0.86-1.72)	0.278
1 Dec - 28 Feb 2013	1.00	
1 Mar - 31 May 2013	1.09 (0.77-1.54)	0.619
1 June - 31 Aug 2013	3.04 (2.11-4.38)	<0.001
<b>Constant</b>		
	1.60 (0.88-2.88)	0.121
standard deviation of random effects	0.40 (0.25-0.63)	
Interclass correlation coefficient (rho)	0.05 (0.02-0.11)	

**Table 4** Results of random effects logistic regression (Regression Model 2 'risk factors within high biosecurity farms model') investigating the contribution of selected factors in model farms to *Campylobacter* spp. colonization (defined as >123000 cfu/g in pooled caecal samples). Results from a total of 1510 batches sampled between 16<sup>th</sup> October 2011 and 31<sup>st</sup> August 2013 in 16 farms with enhanced biosecurity included in the UK poultry study on enhanced biosecurity and campylobacter colonization; UK, 2011-2013.

<b>Factors</b>	<b>OR (95% C.I.)</b>	<b>P-value</b>
<b>Harvest occasion</b>		
Thinning	1.00	<0.001
Depopulation	3.30 (2.61-4.18)	
<b>Type of hybrid</b>		
Cobb 500	0.53 (0.31-0.89)	0.017
Cobb 500 & Ross 308	3.23 (1.08-9.63)	0.035
JA 87	1.27 (0.42-3.85)	0.670
Ross 308	1	
Ross 708	0.68 (0.35-1.33)	0.266
<b>Empty days</b>		
up to 1 week	0.69 (0.49-0.96)	0.026
1 - 2 weeks	1	
2 – 3 weeks	0.90 (0.57-1.42)	0.645
> 3 weeks	3.03 (1.14-8.07)	0.027
<b>Days to depopulation</b>		
1 – 3 days	0.57 (0.36-0.90)	0.016
4 – 6 days	0.85 (0.60-1.18)	0.337
7 - 9 days	1	
10 – 12 days	0.85 (0.53-1.38)	0.521
13 – 18 days	0.48 (0.24-0.99)	0.047
<b>Sampling period</b>		
16 Oct – 30 Nov 2011	0.74 (0.36-1.51)	0.414
1 Dec - 29 Feb 2012	0.86 (0.54-1.37)	0.526
1 Mar – 31 May 2012	1.99 (1.29-3.08)	0.002
1 June - 30 Aug 2012	7.74 (4.76-12.59)	<0.001
1 Sept - 30 Nov 2012	0.92 (0.59-1.42)	0.694
1 Dec - 28 Feb 2013	1	
1 Mar – 31 May 2013	1.13 (0.73-1.76)	0.581
1 June - 30 Aug 2013	4.18 (2.62-6.69)	<0.001
Constant	0.61 (0.37-1.01)	0.053
standard deviation of random effects	0.51 (0.29-0.90)	
Interclass correlation coefficient (rho)	0.07 (0.03-0.20)	



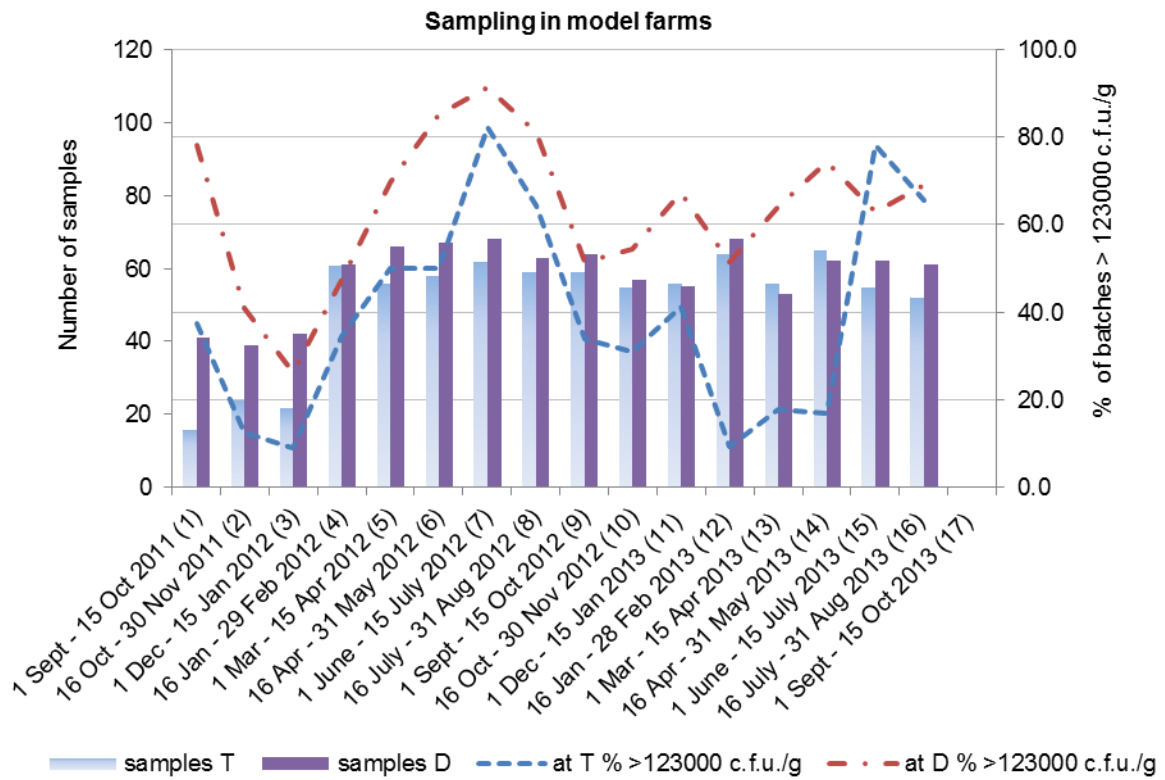
**Table 5** Results of random effects logistic regression (Regression Model 3 'thinning practice model') investigating the effect of partial depopulation (thinning) on *Campylobacter* spp. colonization (defined as >123000 cfu/g in pooled caecal samples) at depopulation. Results from a total of 888 batches sampled between 16<sup>th</sup> October 2011 and 31 August 2013 included in the UK poultry study on enhanced biosecurity and campylobacter colonization; UK, 2011-2013.

<b>Factors</b>	<b>OR (95% C.I.)</b>	<b>P-value</b>
<b>Practice of thinning</b>		
The flock had not been partially depopulated (78 batches)	1.00	0.004
The flock had been partially depopulated (thinned) (810 batches)	2.43 (1.34-4.42)	
<b>Sampling period</b>		
16 Oct – 30 Nov 2011	0.63 (0.20-1.37)	0.245
1 Dec - 29 Feb 2012	0.53 (0.30-0.93)	0.028
1 Mar – 31 May 2012	2.52 (1.40-4.43)	0.001
1 June - 30 Aug 2012	4.90 (2.60-9.21)	<0.001
1 Sept - 30 Nov 2012	0.88 (0.50-1.49)	0.624
1 Dec - 28 Feb 2013	1.00	
1 Mar – 31 May 2013	1.69 (0.90-2.93)	0.064
1 June - 30 Aug 2013	1.57 (0.90-2.71)	0.101
<b>Constant</b>		
standard deviation of random effects	0.66 (0.30-1.36)	0.263
Interclass correlation coefficient (rho)	0.47 (0.26-0.85)	
	0.06 (0.02-0.18)	

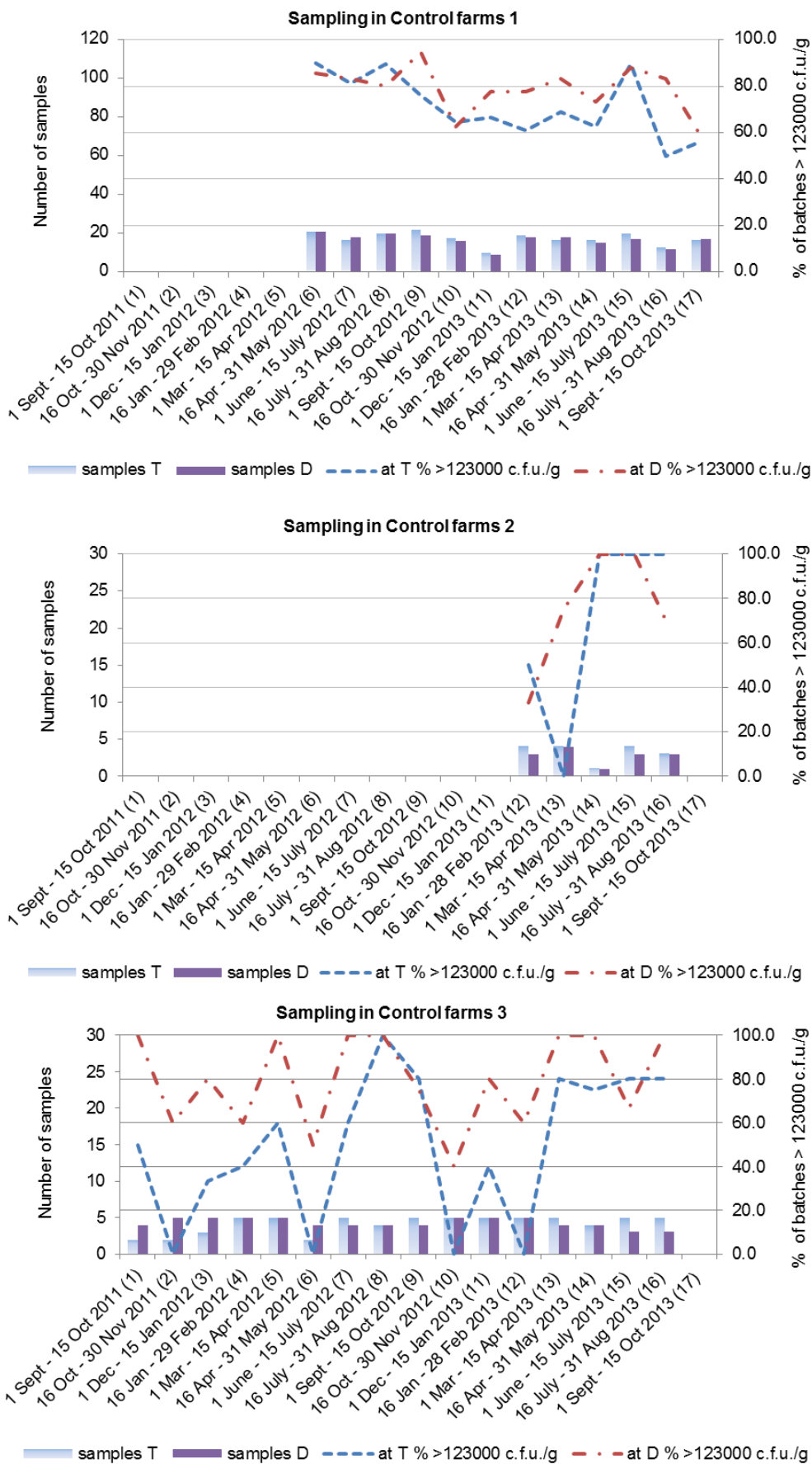
**Table 6** Results of a conditional logistic regression (Regression Model 4, A company's five farms model) of enhanced biosecurity and other factors on batch colonization (defined as >123000 cfu/g in pooled caecal samples). Results from a total of 712 batches sampled between 16<sup>th</sup> October 2011 and 31<sup>st</sup> August 2013 included in the UK poultry study on enhanced biosecurity and campylobacter colonization; UK, 2011-2013.

<b>Factors</b>	<b>OR (95% C.I.)</b>	<b>P-value</b>
<b>Biosecurity</b>		
Standard (control farms 1)	1	<0.001
Enhanced (model farms)	0.32 (0.20-0.52)	
<b>Harvest occasion</b>		
Thinning (T)	1	<0.001
Depopulation (D)	2.87 (2.00-4.12)	
<b>Sampling period</b>		
16 Oct – 30 Nov 2011	0.71 (0.30-1.68)	0.437
1 Dec - 29 Feb 2012	0.62 (0.31-1.25)	0.178
1 Mar – 31 May 2012	6.99 (3.63-13.46)	<0.001
1 June - 30 Aug 2012	19.90 (9.21-43.00)	<0.001
1 Sept - 30 Nov 2012	1.08 (0.57-2.05)	0.813
1 Dec - 28 Feb 2013	1	
1 Mar – 31 May 2013	2.22 (1.19-4.13)	0.012
1 June - 30 Aug 2013	5.90 (3.05-11.42)	<0.001

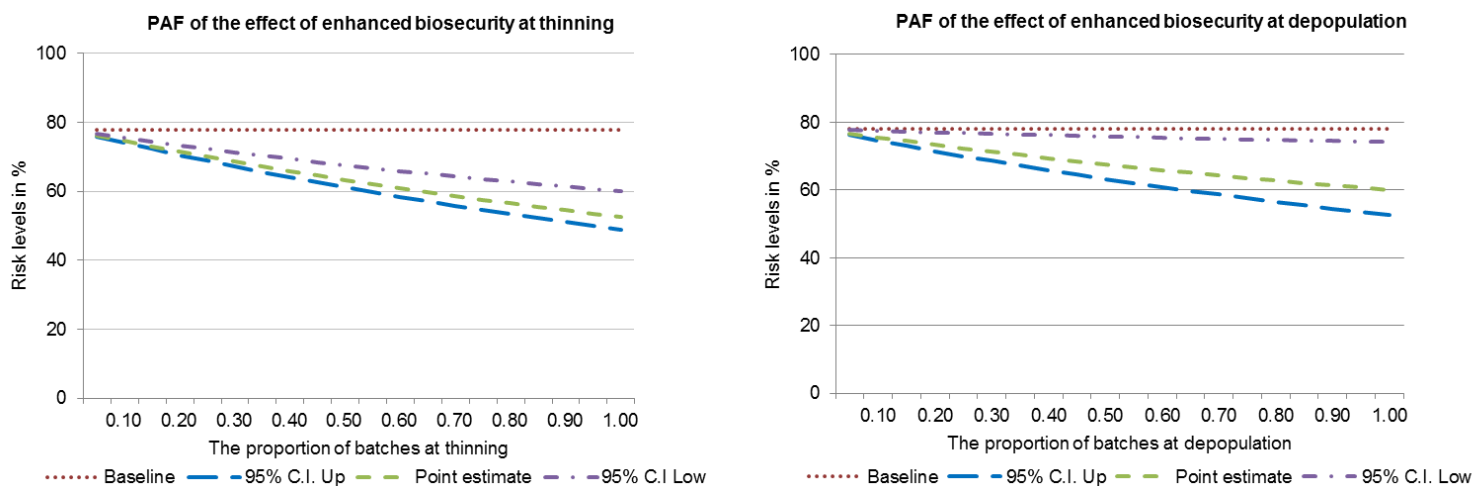
**Fig. 1.** Seasonal variation in *Campylobacter* colonization of batches in model farms. Colonized batches are those with >123 000 c.f.u./g in pooled faecal samples obtained either at thinning (T) or at depopulation (D).



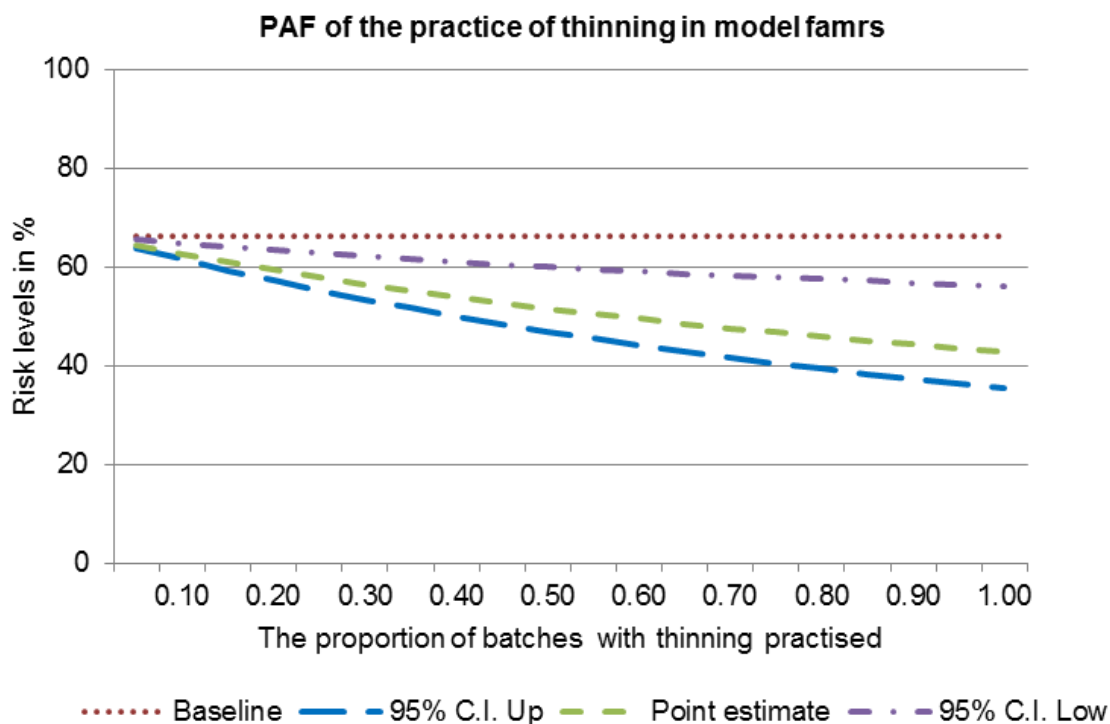
**Fig. 2.** Seasonal variation in *Campylobacter* colonization of batches in control farms. Colonized batches are those with >123 000 c.f.u./g in pooled faecal samples obtained either at thinning (T) or at depopulation (D).



**Fig. 3.** Population attributable fraction (PAF) of the effect of enhanced biosecurity on batch colonization at thinning and depopulation.



**Fig. 4.** Population attributable fraction (PAF) of the effect of the practice of thinning on batch colonization in model farms.



**Fig. 5.** Population attributable fraction (PAF) of the effect of hybrids on batch colonization in model farms.

