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AUTHORS: Marcela Randau, Andrew R. Cuff, John R. Hutchinson, Stephanie E. Pierce, Anjali Goswami

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- 1 Title: Regional shape differentiation of the vertebral column shape in felids: a study of three-
- 2 dimensional shape trajectories
- 3 Short-running tittle: 3D vertebral shape trajectories
- 4 Authors: Marcela Randau^{1*}, Andrew R. Cuff^{1,2}, John R. Hutchinson^{2,1}, Stephanie E. Pierce^{3,2}, Anjali
- 5 Goswami^{1,4}
- 6 Affiliation:
- 7 ¹Department of Genetics, Evolution and Environment, University College London, United Kingdom
- 8 ²Department of Comparative Biomedical Sciences and Structure & Motion Laboratory, The Royal
- 9 Veterinary College, United Kingdom
- ³Department of Organismic and Evolutionary Biology and Museum of Comparative Zoology, Harvard
- 11 University, USA
- 12 ⁴Department of Earth Sciences, University College London, United Kingdom
- 13 *corresponding author: <u>m.randau@ucl.ac.uk</u>

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42 Abstract:

43 Recent advances in geometric morphometrics provide improved techniques for extraction of 44 biological information from shape and have greatly contributed to the study of ecomorphology and 45 morphological evolution. However, the vertebral column remains an under-studied structure due in 46 part to a concentration on skull and limb research, but most importantly because of the difficulties in 47 analysing the shape of a structure composed of multiple articulating discrete units (i.e. vertebrae). 48 Here, we have applied a variety of geometric morphometric analyses to three-dimensional 49 landmarks collected on 19 presacral vertebrae to investigate the influence of potential ecological and 50 functional drivers, such as size, locomotion, and prey size specialisation, on regional morphology of 51 the vertebral column in the mammalian family Felidae. In particular, we have here provided a novel 52 application of a method – Phenotypic Trajectory Analysis (PTA) – that allows for shape analysis of a 53 contiguous sequence of vertebrae as functionally linked osteological structures. 54 Our results showed that ecological factors influence the shape of the vertebral column 55 heterogeneously and that distinct vertebral sections may be under different selection pressures. 56 While anterior presacral vertebrae may either have evolved under stronger phylogenetic constraints 57 or are ecologically conservative, posterior presacral vertebrae, specifically in the post-T10 region, 58 show significant differentiation among ecomorphs. Additionally, our PTA results demonstrated that 59 functional vertebral regions differ among felid ecomorphs mainly in the relative covariation of 60 vertebral shape variables (i.e. direction of trajectories, rather than in trajectory size) and, therefore, 61 that ecological divergence among felid species is reflected by morphological changes in vertebral 62 column shape.

63

64 **Keywords:** geometric morphometrics, morphological evolution, regionalisation, phenotypic

65 trajectory analysis, ecomorphology, axial skeleton

66 Introduction:

67 From species description to detailed studies of ecomorphology, analyses of form have long been 68 used by researchers examining ecological and evolutionary trends in both living and fossil organisms 69 (e.g. Dumont et al. 2015; Lauder 1995; Rudwick 2005; Davies et al. 2007; Gonyea 1978; Gould 1966; 70 Benoit 2010; Boszczyk et al. 2001; Goswami et al. 2014; Goswami et al. 2012). The geometric 71 morphometrics revolution has greatly improved the scientific capacity to extract detailed 72 information from biological structures. Yet it has also been hindered by computation issues with 73 statistical tests used and the constraints involved in analysing data that are dense (e.g. large numbers 74 of landmarks) and multidimensional, with specimen: landmark ratios decreasing as a result of these 75 new advances (Mitteroecker and Gunz 2009; Adams et al. 2013; Collyer et al. 2014; Adams 2014b; 76 Cardini and Loy 2013). Newly developed software and methods are rapidly tackling these analytical 77 power issues, with a plethora of recent papers describing and applying these approaches to diverse 78 morphometric datasets (e.g. Adams and Collyer 2009; Adams 2014a; Adams et al. 2015; Collyer et al. 79 2014; Adams 2014b; Sheets and Zelditch 2013; Mitteroecker and Gunz 2009; Monteiro 2013; Polly et 80 al. 2013; Mitteroecker et al. 2013; Klingenberg and Marugán-Lobón 2013). 81 Among morphological studies in the vertebrate literature, both those using geometric 82 morphometrics (GMM) and studies using linear or cross-sectional measurements, there is a clear bias 83 towards the morphology of the skull (e.g. Meachen-Samuels and Van Valkenburgh 2009a; Slater and 84 Van Valkenburgh 2008; Fabre et al. 2014; Stayton 2005; Figueirido et al. 2010; Goswami and Polly 85 2010; Goswami 2006; Pierce et al. 2008, 2009; Piras et al. 2013; Drake and Klingenberg 2010; Foth et al. 2012; Meachen et al. 2014), followed by studies of the limbs (e.g. Bennett and Goswami 2011; 86 87 Fabre et al. 2013; Bell et al. 2011; Alvarez et al. 2013; Martin-Serra et al. 2014; Adams and Nistri 88 2010; Walmsley et al. 2012; Zhang et al. 2012; Andersson and Werdelin 2003; Ercoli et al. 2012; Sears 89 et al. 2013; Meachen-Samuels and Van Valkenburgh 2009b; Doube et al. 2009). The axial skeleton, in 90 contrast, is comparatively underrepresented in the morphological literature, with the majority of

work on this structure taking a biomechanical or developmental perspective (e.g. Macpherson and 91 92 Fung 1998; Boszczyk et al. 2001; Long et al. 1997; Molnar et al. 2015; Smeathers 1981; Wellik 2007; 93 Gál 1993; Müller et al. 2010; Buchholtz et al. 2012; Galis et al. 2014; Schilling and Long 2014; Narita 94 and Kuratani 2005; Chen et al. 2005; Buchholtz et al. 2014; Breit and Künzel 2004; Chatzigianni and 95 Halazonetis 2009). Additionally, due to the difficulties in studying a structure that is composed of 96 discrete units, research on axial skeletal morphology has frequently focused on separate analyses of 97 individual vertebrae, with a few studies presenting intervertebral comparisons of individual 98 measurements or differential morphospace occupation of vertebral types, rather than combined 99 analysis of the full column (e.g. Alvarez et al. 2013; Jones 2015; Arnold et al. 2016; Manfreda et al. 100 2006; Buchholtz et al. 2014). Nevertheless, the limited morphometric studies of vertebral form have 101 demonstrated that ecological specialisations and developmental patterning are reflected in the 102 morphology of individual vertebrae, as well as along the entire spine (e.g. Jones and German 2014; 103 Pierce et al. 2011; Shapiro 2007; Ward and Mehta 2014; Head and Polly 2015; Randau et al. 2016; 104 Werneburg et al. 2015; Jones and Pierce 2015; Böhmer et al. 2015; Johnson et al. 1999; Chen et al. 105 2005). Indeed, many large clades, including the vast majority of placental mammals, do not display 106 meristic changes (i.e. variation in number) in the axial skeleton; therefore, adaptation of this 107 structure must happen through modifications of its shape (Müller et al. 2010; Narita and Kuratani 108 2005; Buchholtz 2014; Buchholtz et al. 2012).

109 Recently, we conducted a large-scale linear morphometric analysis of the felid (cats) presacral 110 vertebral column and found that this method was unable to strongly differentiate taxa based on 111 either prey size specialization or locomotor mode (Randau et al. 2016). For instance, there were few 112 statistical differences in vertebral profile plots (i.e. variation in linear measures along the column), 113 and a principal components analysis found a locomotory signal only in the lumbar region. These 114 results were surprising considering felid prey size specialization has been shown to correlate with 115 osteological measures of the skull and appendicular skeleton (Meachen-Samuels and Van 116 Valkenburgh 2009a, 2009b; Slater and Van Valkenburgh 2008) and similar linear morphometric

117 studies on other mammalian groups (e.g. pinnipeds, whales) have found the vertebral column to hold 118 a strong ecological signal (e.g. Pierce et al. 2011; Buchholtz 2001a, 2001b; Hua 2003; Finch and 119 Freedman 1986). As felids are a morphologically conservative group, with little variation in 120 musculoskeletal anatomy across the clade (Doube et al. 2009; Cuff et al. 2016b, 2016a; Day and 121 Jayne 2007), it remains uncertain whether the felid vertebral column holds little ecological signal or if 122 linear morphometric techniques are not powerful enough to discriminate more subtle variation in 123 vertebral form. To investigate this further, we extend our work by quantifying vertebral morphology 124 in felids using three-dimensional landmarks-based GMM, and include a novel application of 125 phenotypic trajectory analysis (Adams and Collyer 2009; Collyer and Adams 2013) to identify 126 ecological signal in serial structures. Three-dimensional (3D) landmarks are expected to provide 127 greater detail and biological information than linear data (e.g. Fabre et al. 2014; Cardini and Loy 128 2013), and thus this work expands and improves upon existing linear studies considering this clade 129 (Randau et al. 2016; Jones 2015). To our knowledge, two previous uses of 3D GMM to study the 130 shape of a complete vertebral region have been reported in the literature (e.g. the cervical region, 131 Werneburg 2015; Böhmer et al. 2015). While Böhmer et al. (2015) analysed individually landmarked 132 cervical vertebrae by plotting them together with a Principal Component Analyses, which described 133 main shape variation among those and allows for qualitative analyses of shape change across taxa, 134 Werneburg (2015) described a complex methodology that may not be broadly applicable. 135 Specifically, that method relied on finding landmarks on three-dimensional reconstructions which 136 had been matched to photographs of either manually articulated cervical vertebrae to approximate 137 in vivo orientations, or on model reconstructions of CT scans obtained from living animals. Those 138 conditions are not readily available for many taxa, and thus we believe that the approach described 139 here will be useful for a broader range of future studies. Additionally, Head and Polly (2015) used 140 two-dimensional landmarks to characterise the precoaclal axial skeleton of squamates; however, the 141 methodology described was applied to investigate patterns of regionalisation in the axial skeleton 142 instead of testing correlations between shape and ecology.

143 We first analyse the individual shape of selected vertebrae and test for the influence of factors 144 known to affect the shape of skull and limbs, including size, locomotion and prey size specialisation 145 (Carbone et al. 1999; Meachen-Samuels and Van Valkenburgh 2009a, 2009b). We then conduct 146 separate analyses of each region of the vertebral column (cervical, thoracic, and lumbar regions, and 147 hypothesized functional regions composed of different combinations of these regions), and assess 148 shape differences and differential allometry associated with ecological groupings. Finally, we apply 149 phenotypic trajectory analysis to the main dataset, a combined analysis of cervical, thoracic, and 150 lumbar vertebrae, and also to individual regions with significant ecological signal, to analyse the 151 shape of the vertebral column as a succession of contiguous units, thus overcoming the long-152 standing issue of analysing vertebrae as independent objects in geometric morphometric studies. We 153 use these approaches to test the following hypotheses: 1) ecology is a significant influence on the 154 morphology of felid vertebral column; and 2) vertebral regions display different levels of ecological 155 and phylogenetic signal due to the regionalisation of shape in the mammalian vertebral column.

156

157 Material & Methods:

158 Data collection

159 In order to compose our 3D dataset, landmarks were collected from 19 presacral vertebrae from nine 160 species of extant cats using an Immersion Microscribe G2X (Solution Technologies, Inc., Oella). This 161 dataset included the following vertebrae: atlas, axis, C4, C6, C7, T1, T2, T4, T6, T8, T10, T11, T12, T13, 162 L1, L2, L4, L6, and L7. As time constraints hindered the ability to collect dense data for every 163 vertebra, but sufficient data were needed to describe the full presacral vertebral column 164 morphology, the selection of these vertebrae was based on the following criteria: vertebrae with 165 measurements that accounted for the highest principal component loadings in a previous linear 166 study (Randau et al. 2016); vertebrae comprising the boundaries between vertebral regions and 167 immediately preceding and succeeding vertebrae (e.g. C7 and T1, and C6 and T2, respectively); and

168 vertebrae which are thought to be of particular biomechanical importance (e.g. T11, the anticlinal 169 vertebra). Landmarks were collected from 109 specimens, ranging from seven to 17 specimens per 170 species, with the final dataset including a total of 1712 individual vertebrae (see Table S1 for 171 specimen numbers). Analyses grouped these dataset in various ways, ranging from treating all 172 vertebrae individually to pooling vertebrae in the most inclusive grouping (C4 – L7, excluding T11 – 173 T13), as described further below. Vertebrae were also grouped into the following five regions for 174 some analyses, including: C4 – T10, T1 – T10, T1 – L7, T10 – L7, and L1 – L7. These regions were 175 selected because they correspond to or group clear anatomical regions (e.g., T1-T10, L1-L7, and T1-176 L7) or more inclusive regions demarked by anatomical transitions (i.e. anterior or posterior vertebral 177 column defined by the dorsal limit of the diaphragm, e.g. C4 –T10 and T10 – L7, respectively; Gray et 178 al. 2005; Buchholtz et al. 2012; Jones 2015).

179 Sixteen homologous landmarks were identified on 14 of these vertebrae (i.e. the post-atlanto-axial 180 and pre-sacral C4 – L7 except for the T11-T13). 12 landmarks were gathered on C1 (atlas), and 14 on 181 C2 (axis), due to their unique morphologies (Figure 1, and Table S2 of landmarks). Vertebrae T11 to 182 T13 lack transverse processes and thus two out of the 16 selected landmarks (i.e. the right and left 183 transverse process tips) could not be identified on those elements. Comparative analyses across all 184 sampled vertebrae require all observations to have the same landmarks. For this reason, the majority 185 of the following analyses, unless otherwise stated, only used the 14 vertebral types that contained 186 the same 16 landmarks (Fig. 1D-I, i.e. not including the axis and atlas, shown on Fig. 1 A-B, and J-K 187 respectively, due to their unique shape, or vertebrae T11 to T13).

In order to still include the T11-T13 vertebrae in our tests of ecological correlates of axial skeleton
morphology, we conducted a second analysis using two alternative landmarks that represent the
locations of the right and left accessory processes of these vertebrae (Fig. S1, landmarks 7 and 8).
Accessory processes are slender processes that originate on the pedicle and extend posteriorly,
laterally to each postzygapophyses, and reinforce the interzygapophyseal joint (De Iuliis and Pulerà)

2007). Additionally, accessory processes were also present on vertebrae L1, L2 and L4 of all species
analysed here. Therefore, the second analysis used the two accessory process landmarks instead of
transverse process landmarks for the vertebrae T11 – L4, while the remaining vertebrae (C4- T10 and
L6 - L7) continued to use the transverse processes landmarks. In this manner, a dataset of 16
landmarks was constructed for 17 vertebrae, although two of these landmarks are not homologous
in all of the vertebrae.

As only the 14-vertebrae dataset (excluding C1-C2 and T11-T13) was composed of homologous landmarks, we focus on the 'multi-vertebrae' analyses of that dataset, hereafter referred to as the "homologous dataset" (or C4 – L7 for shortening, although not containing T11 – T13 as stated). The results from the alternative dataset that includes T11-T13 by using two non-homologous landmarks (accessory processes landmarks instead of transverse process landmarks for T11-L4), hereafter referred to as the "alternative dataset", were remarkably consistent and are presented in the supplementary information.

Ecological data for all analyses were collated from the literature (Meachen-Samuels and Van
Valkenburgh 2009a, 2009b; Sunquist and Sunquist 2002). Prey size groupings include: small, mixed
and large prey specialists. Locomotory groupings include: arboreal, cursorial, scansorial and
terrestrial. Phylogenetic comparative analyses used the composite tree of Piras et al. (2013) pruned
to the species sampled here.

211 Data analysis

All analyses were carried out in R version 3.2.2 (R Foundation 2015), using the 'geomorph' (Adams et al. 2015; Adams and Otarola-Castillo 2013), 'ape' (Paradis et al. 2004), and 'geiger' (Harmon et al. 2014) packages.

Prior to all subsequent analyses, missing landmarks due to broken specimens were imputed using the
multivariate regression ("Reg") method in the 'estimate.missing' function of 'geomorph'. This

approach predicts the missing landmarks by using a multivariate regression of the specimen with
missing values on all other landmarks in the set of complete specimens (Gunz et al. 2009). A total of
126 out of 30695 (0.41%) landmarks were imputed. All vertebrae were then subjected to Procrustes
Superimposition within the relevant sample (i.e. either within same vertebral type sample, or specific
vertebral region analysed depending on the analysis level) to remove any effects due to scale,
rotation, and translation.

223

Phylogenetic and ecological signal of individual and regional vertebral shape

Preliminary analysis of vertebral column shape was performed with a combined Principal Component
Analysis (PCA) of all of the vertebrae in the homologous landmark dataset (C4 – L7, excluding T11T13). A second PCA was performed on the region encompassing vertebrae T10 – L7 in the
homologous landmark dataset. Scans of individual cheetah (*Acinonyx jubatus*, USNM 520539)
vertebrae were used to create an average reference mesh with the 'warpRefMesh' function in
geomorph, and this mesh was used to warp the PC1 and PC2 minimum and maximum shapes in
order to display vertebral shape changes across the main eigenvectors.

231 The effects of centroid size and ecological specialisation (both in terms of locomotion and prey size 232 categories) on vertebral shape were evaluated with factorial MANOVAs of the vertebral Procrustes 233 coordinates (i.e. shape ~ centroid size * ecology). Factorial MANOVAs with this size-ecology 234 interaction accounts for the effect of 'size' while examining the other factors that describe shape and 235 define the groups. Additionally, these non-parametric MANOVAs with 'RRPP' (residual randomization 236 permutation procedure) allowed for significance tests with multidimensional data that have fewer 237 observations than dimensions (Collyer et al. 2014). These analyses were performed separately on 238 each vertebra from C1-L7, with each set composed of an across species pool (i.e., C1 dataset 239 contained all C1 vertebrae measured, across all nine species) as well as on the complete homologous 240 dataset (see supplementary information for further details on analyses of the alternative dataset). 241 Additionally, factorial MANOVAs were applied to the five vertebral regions of described above, using

the homologous dataset. Each described region contained all vertebrae of the named types,

243 including all species listed here.

244 In order to assess the influence of phylogenetic relatedness on vertebral shape and centroid size (i.e. 245 whether more closely related species were more phenotypically similar; Felsenstein 1985), we first 246 constructed the mean shape for each individual vertebra (C1 to L7) per species and calculated the 247 phylogenetic signal with the 'Kmult' method (i.e. a multivariate version of the K-statistic; Adams 248 2014a) with the 'physignal' function in 'geomorph'. As L1-L4 have both transverse processes and 249 accessory processes and thus are the only elements with different landmarks in the homologous and 250 alternative datasets, this analysis was performed for both datasets for those elements. For individual 251 vertebrae that presented a significant phylogenetic signal in their shape across the studied species, 252 we also performed phylogenetic MANOVAs to assess the relationship between shape, centroid size 253 and ecological factors. Phylogenetic MANOVAs use a phylogeny-informed context under a Brownian 254 motion model of evolution to calculate a phylogenetic transformation matrix and the Gower-centred 255 distance matrix from predicted variable values, which are then used to asses significance from 256 comparisons between the values of statistical attributes obtained from those and the observed 257 values (Adams 2014b; Adams and Collyer 2015; Garland et al. 1993). Phylogenetic MANOVAs were 258 done using the 'procD.pgls' function in 'geomorph'.

259

The interaction of allometry and ecology in vertebral regions

Considering that previous studies of felid vertebral morphology have demonstrated the widespread
influence of allometry in vertebral linear dimensions (see below; Randau et al. 2016; Jones 2015;
Jones and Pierce 2015), we investigated whether prey size or locomotory ecomorphs presented
different allometries in their vertebral shape. Based on the MANOVA results (see below, and Table
5), the vertebral region with the highest absolute variance explained by the two ecological variables
(i.e. T10 – L7) was selected to examine differences in vertebral allometry with respect to ecological

267 Using the "PredLine" method of the 'plotAllometry' function in 'geomorph', the predicted allometric 268 scores for these regions were calculated for each ecological group from the shape against centroid 269 size regression. The method used produced allometric trajectories (i.e. plotted PC1 of the predicted 270 values against size) which clearly exhibited allometric differences between ecological groups (Adams 271 and Nistri 2010). The significance of the differences in the log centroid size ~ shape relationship 272 between groups could be quantified by both the P value of the comparisons between slope 273 distances, which itself measures differences in amount of shape change per unit of centroid size 274 change, and the slope angle's P value, which indicates if the directions of these vectors point at 275 different regions of the morphospace (Collyer et al. 2014; Collyer and Adams 2013). This last step 276 was performed using the 'advanced.procD.lm' function in 'geomorph'.

277 Ecological signal across the vertebral column

278 Shape for the proxy of an entire vertebral column (i.e. C4 – L7, excluding T11 – T13), as well as for 279 individual regions, was quantified using a novel application of Phenotypic Trajectory Analysis (PTA). 280 PTA identifies a shape trajectory among associated data points (vertebrae, in this case) and then 281 compares this trajectory among vertebra within each predetermined group (e.g. mean shape of C7 282 for all arboreal taxa), and then traces the trajectory between these means (e.g. C6 to C7, C7 to T1, 283 etc.) (Adams and Collyer 2009, 2007; Collyer and Adams 2013). The trajectories can then be 284 visualised in morphospace for a qualitative comparison between groupings, and differences in size, 285 direction, and shape of the trajectories for each group can also be quantitatively compared. As 286 above, taxa were grouped by prey size and locomotory categories for analysis of ecological signal in 287 phenotypic trajectories.

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289 Results:

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Phylogenetic and ecological signal in individual and regional vertebral shape

The majority of the variance (90%) was summarised by the first four PCs in both the homologous and alternative datasets (Table 1, and Tables S3 and S4). PCA plots show three general morphological groupings: a C4 cluster, an 'end-cervicals' to T10 cluster (i.e. C6, C7, T1, T2, T4, T6, T8, and T10) and a lumbar cluster (i.e. L1, L2, L4, L6, and L7) (Fig. 2A-B and Fig. S2).

295 As noted in Methods, all of the following results refer to the homologous dataset unless otherwise 296 indicated. The PC1 minimum shape was generally mediolaterally and anteroposteriorly compressed 297 and dorsoventrally elongated, with smaller centrum width and centrum length, smaller distances 298 between transverse processes, pre-zygapophyses, and post-zygapophyses, and larger heights for the 299 centrum, neural canal, and neural spine. The PC1 maximum shape showed larger centrum width and centrum length, larger distances between transverse processes and intra-zygapophyses, but shorter 300 301 heights for the centrum, neural canal, and neural spine. PC2, which separated the C4 cluster from the 302 other two vertebral clusters, presented similar shape differences, with the PC2 minimum shape 303 displaying even more exaggerated features related to mediolateral compression, but, in contrast, 304 also exhibiting some anteroposterior elongation. The main feature of PC2's maximum shape was the 305 relative augmentation of the distances in the mediolateral dimension, with larger centrum width and 306 intra-zygapophyseal distances. Results from the PCA applied to the 'T10-L7' region (Table 2 and Table 307 S5, see below) showed that the majority of the variation (>90%) was explained by the first five PCs, 308 with PC1 explaining >60% of total variance.

When individual vertebral datasets were subjected to factorial MANOVAs of shape against centroid
size, locomotion and prey size groups (Table 3), all vertebrae displayed significant correlations of
shape with all three factors (P < 0.001 – 0.05), with the exception of the T8 x prey size (P > 0.05).
After Bonferroni correction, only three correlations ceased from being significant (i.e. P > 0.003): C6
and T10 vs. prey size, and L7 vs. centroid size. The three examined factors explained a range between
3% and 23.77% of vertebral shape (highlighted on Table 3). Further, estimating the influence of
evolutionary relatedness on vertebral shape recovered a significant (i.e. P < 0.05) phylogenetic signal

for the mean shape (i.e. Procrustes coordinates) of only five vertebrae: atlas, axis, C6, T1 and T2
(Table 4), however, after Bonferroni correction this signal was only significant for the atlas and axis
(i.e. P < 0.003). Conservatively, all of these five vertebrae were further subjected to a second round
of MANOVAs using the same factors as above, while controlling for this phylogenetic signal. After this
correction, none of ecological correlations were significant (P >> 0.05, Table 5).No phylogenetic
signal was recovered for centroid size of any of the analysed vertebrae.

322 Factorial MANOVAs were also applied to five regions composed of multiple vertebrae for 323 quantification of the influence of ecological factors on vertebral regions. The highest ecological signal 324 in vertebral shape was observed in the region from T10 to L7, with ~17.55% and ~12.2% of overall 325 shape explained by prey size and locomotory categories, respectively (see MANOVAs in Table 6 for all 326 results). This region also displayed the second highest values for the influence of centroid size on 327 shape (~7.8% Table 6). No significant correlation with locomotory categories was found for the 328 complete homologous dataset (C4 – L7) or for the C4-T10 region, while significant (i.e. both prior and 329 after Bonferroni correction) correlations with both locomotory and prey size groups were found for 330 the other regions but those ranged between 2.0 - 11.9% for locomotion and 1.6 - 12.6% for prey size 331 (Table 6).

332

The interaction of allometry and ecology in vertebral regions

333 As stated above, the interaction factor between ecological groups and centroid size was significant 334 and exhibited its highest values (Table 6) for the T10-L7 region, demonstrating that species belonging 335 to different ecological groups displayed distinct shape versus size relationships in the posterior 336 presacral vertebrae. Plots of the predicted allometric trajectories for each ecological factor on both 337 datasets are presented in Fig. 3A and B. The analysis using prey size groups for categorisation showed 338 that, while 'small' and 'big' prey size groups possessed allometric trajectories that were very similar 339 in slope distance (P > 0.1, Table 7), the 'mixed' prey size group's trajectory exhibited a slope distance 340 that was significantly different from both the large and small prey size groups (P << 0.05). However,

differences in the slope distance of the allometric trajectories between 'large' and 'mixed' prey size
groups were not significant after Bonferroni correctior (i.e. P > 0.006). Slope angles were significantly
different between the 'large' and 'small prey' categories, but not after Bonferroni correction.
Grouping species by their locomotory modes resulted in allometric trajectories that were similar in
slope distance between 'arboreal' and 'cursorial' groups (P >> 0.05), but both differed in all other
pairwise comparisons between locomotory groups (P << 0.05). Slope angles were only significantly
different between the 'terrestrial' and 'scansorial' subsets (P << 0.05).

348 Ecological signal across the vertebral column

349 Phenotypic trajectory analysis was first performed using the most inclusive homologous dataset (i.e.

350 C4 – L7) to quantify the shape of the post-atlantoaxial presacral vertebral column (Table 8, and Fig.

4), followed by analysis of the T10 – L7 region. When species were grouped by prey size

352 specialisation, phenotypic trajectories for the full dataset were significantly different in in shape. The

353 'small' prey size trajectory was also different from both the 'mixed' and 'big' prey size groups in

terms of trajectory size. Grouping species by locomotory mode with the complete dataset was not

355 performed because the MANOVA results for this region exhibited a non-significant correlation with

356 locomotory groups (P >> 0.05, Table 6)).

357 Analysis of the T10-L7 vertebrae resulted in significant differences in phenotypic trajectories for both 358 ecological factors (Table 9, and Fig. 5A and B). With prey size categorisation, the phenotypic 359 trajectories were all significantly different in direction. The 'small' prey size trajectory was also 360 different from both the 'mixed' and 'big' prey size groups in terms of shape. Locomotory group 361 trajectories were different in direction for all pairwise comparisons, except between the 'scansorial' 362 and 'terrestrial' groups. In terms of shape, the 'cursorial' phenotypic trajectory was statistically 363 different from the 'arboreal' and 'scansorial' trajectories, but only before Bonferroni correction and 364 not after (P < 0.05 but > 0.006, respectively).

365 **Discussion**:

366 When combined, analyses of the relationship among 3D vertebral shape, size, ecology, and 367 phylogeny provide a more complete understanding of the forces shaping the evolution of the felid 368 vertebral column evolution. The results reported here have confirmed our initial hypotheses on 369 ecological drivers in the vertebral column shape differentiation in felids, and we have detailed how 370 specialisation towards the observed ecologies correlates with regionalisation of the presacral axial 371 skeleton. While vertebrae in the anterior-most region of the felids' vertebral columns (i.e. atlas and 372 axis, but also C6, T1, and T2) were more phylogenetically conservative in shape, the posterior regions 373 of the vertebral column showed a stronger influence of ecological specialisations. That the strongest 374 size and ecology correlations are observed in this more caudal region of the presacral vertebral 375 column (i.e. T10 – L7; see Supplementary information for similar results on the dataset using the 376 accessory processes landmarks) supports the inference that this region may be subjected to stronger 377 selection, or equally to weaker evolutionary constraints, and might present greater evolutionary 378 respondability across felids, or even more broadly. This observation agrees with the work by Jones 379 and German (2014), in which they found that, in mammals, centrum length varied the most in the 380 lumbar region both through ontogeny and interspecifically. As an osteological measurement that is 381 informative towards the degree of passive robustness at intervertebral joints (Pierce et al. 2011; 382 Shapiro 1995; Shapiro 2007; Koob and Long 2000), centrum length can be used to make inferential 383 comparisons of resistance to intervertebral bending and general biomechanical properties between 384 species or ecological groups. An additional PCA limited to the T10-L7 vertebrae (post-diaphragmatic 385 homologous dataset) (Fig. 2C) shows that the anteroposterior vertebral axis, which primarily 386 represents centrum length, is one of the main contributors to variation in this dataset. 387 When compared to our previous work on the linear morphological change in the felid axial skeleton 388 (Randau et al. 2016), our present study supports our general conclusions of regionalisation of 389 ecological signal in the vertebral column, with stronger locomotory signal present in the posterior

region. However, contrary to results from linear data (Randau et al. 2016), the 3D analyses described

391 here also found a significant correlation between vertebral morphology and prey size specialisation.

392 Previous studies of individual vertebral attributes (e.g. centrum length) and different proxies for body 393 size (e.g. total vertebral length, body mass) using length measurements have also identified 394 significant allometry across felids (Randau et al. 2016; Jones 2015). Here, we were interested in 395 investigating whether the influence of size (i.e. centroid size) on vertebral multidimensional shape 396 was also regionalised, and most importantly, whether such scaling relationships differed with 397 ecology. Our results reinforce the conclusion that size influences vertebral shape throughout the 398 axial skeleton (i.e. C4 and post-T2 vertebrae), but that these size effects are strongest in T10 and the 399 lumbars (Tables 3 and 6, and in the last thoracics in Table S6). Additionally, we have demonstrated 400 that ecological specialists, especially in terms of locomotory specialisation, indeed exhibit a distinct 401 scaling relationship between shape and centroid size (Table 7). Observed differences between prey 402 size subsets were very consistent with both measures of differentiation (slope angle and distance). 403 'Small' and 'mixed' prey size groups were shown to have distinct allometric vertebral shapes. 404 Although 'large' and 'small' prey groups were not significantly different in terms of the intensity of 405 their allometries (i.e. the Procrustes distances between slopes), they displayed distinct angles in their 406 slope vector, showing that the covariances between the variables are different in these ecological 407 categories (Collyer and Adams 2013; Adams and Collyer 2009). However, these differences between 408 'large' and 'small' categories, or regarding the intensity of the allometry between 'large' and 'mixed' 409 categories, were not significant after correction, suggesting differences in allometry between prey 410 size specialist groups might be subtle. This could therefore be one of the factors which caused linear 411 measurements were not to be successful in finding correlations between felid vertebral morphology 412 and specialisation towards prey size (Randau et al. 2016). With regards to locomotory specialisation, 413 the two statistical attributes presented different patterns. A better separation between the groups 414 was found in terms of the intensity of their allometries than in their directions. Additionally, it is clear 415 from the observation of regression slopes (Fig. 3B) that allometric shape changes are much greater in 416 'arboreal' and 'cursorial' species and, although significant, size-related changes in the posterior 417 vertebral morphology are less demarked in 'scansorial' and 'terrestrial' felids. Although all but one

418 pairwise comparisons were significantly different with regards to slope distance, the only significant 419 difference in the direction of the allometric trajectories was found between the 'terrestrial' and 420 'scansorial' categories. Hence, although these two more generalist locomotory groups show a 421 comparatively smaller degree of vertebral allometric scaling, they are still distinct in the relative way 422 size influence vertebral shape variables.

As nearly all individual vertebrae showed some significant correlation between shape and ecology (i.e. Table 3), individual analyses alone provide little clarity in terms of regionalisation of ecological and phylogenetic signals. Such differentiation was only possible when sets of vertebrae were analysed together through PTA. With this method, we were able to quantitatively differentiate the vertebral shape gradient changes between locomotor and prey size specialist felid species, therefore extracting the subtle morphological changes between the recognised ecomorphs in this

429 phenotypically-conserved clade.

430 Of the two ecological factors examined in this study, only prey size specialisation as an isolated factor 431 exhibited a significant correlation with total vertebral column shape, contrary to the results of linear 432 analyses (Randau et al. 2016). This result once again supports the regionalisation of locomotory 433 specialisation in the vertebral column, which was instead found to significantly correlate only to 434 more posterior regions, while also highlighting the increased resolution provided by 3D data. 435 However, because prey size specialisation is directly correlated to the species' body mass (Carbone et 436 al. 1999; Carbone et al. 2007), a significant correlation between this factor and vertebral shape is 437 possibly an indirect reflection of overall body size influence on vertebral 3-dimensional shape. 438 When we focused our analyses on the vertebral regions with highest correlations between shape and

439 the factors examined, the T10 – L7 trajectories were best able to separate among ecological groups,

both for the locomotion and prey size categories (Fig. 5A-B). All significant differences between

trajectories were found in comparisons of the shape and direction of those trajectories (Table 9). This

442 result suggests that no differences in the amount of shape variation (i.e. trajectory size) were found

443 in the species of felids studied here. Additionally, this differentiation in trajectory direction implies 444 that the differences found were primarily based on the distinct relative covariations of vertebral 445 shape variables between ecological groups throughout the vertebral column (Collyer and Adams 446 2013; Adams and Collyer 2009). More interestingly put, these differences in trajectory direction 447 between groups are evidence of ecological divergence between those groups (Adams et al. 2013; 448 Stayton 2006). As it follows, the only two groups that did not differ significantly in trajectory 449 direction (the 'scansorial' and 'terrestrial' groups) show ecological convergence in the shape of the 450 posterior vertebral column.

451 Combining the PTA and posterior region PCA results (Fig. 2C) provides additional information on the 452 changes in vertebral morphology correlated with cursoriality in felids. Cheetahs (Acinonyx jubatus), 453 as the species represented by the 'cursorial' locomotory group, presented an average lumbar 454 morphology that exhibited longer centra, and overall less shortening of the centrum from L1 to L7, 455 which could be visualised by the trajectory lumbar points presenting lower values on PC1, and higher 456 values on PC2 (Fig. 5B). The relative length of centra has been shown to be associated with the 457 degree of flexibility between two consecutive vertebrae (Koob & Long, 2000; Long et al., 1997; 458 Pierce, Clack & Hutchinson, 2011), and results from a study by Jones (2015) on linear vertebral 459 dimensions revealed allometric shortening of the lumbar region in felids (but see Randau et al. 2016 460 for alternative results showing isometric scaling of the lumbar region in this family, albeit with a 461 different sample). Ergo, having lumbar vertebrae that are relatively longer might indeed contribute 462 to greater sagittal bending, and contribute to having the longer stride lengths observed in this highly 463 specialised felid (Hildebrand 1959).

464

465 **Conclusion**

The vertebral column has been underrepresented in the functional morphology and morphometric
literature, but recent studies have shown that vertebral form carries rich developmental and

ecomorphological signals. Here, through multivariate statistical analyses, we have demonstrated that
the use of geometric morphometrics to study the axial skeleton can offer even more detailed
ecomorphological information than what has been reported by linear studies. Additionally, we have
here provided the first application of a method that allows for the shape analysis of a contiguous
sequence of vertebrae as functionally linked osteological structures.

- 473 We have shown that ecological correlates influence the shape of the vertebral column
- 474 heterogeneously, specifically with discrete regions such as the posterior axial skeleton presenting
- 475 higher correlation with both locomotory and prey size specialisation. Furthermore, we suggest that
- 476 the post-T10 vertebrae may be the most ecologically adaptable region among felid species. While
- anterior vertebrae may either have evolved under stronger phylogenetic constraints or are more
- 478 ecologically conservative, posterior vertebrate show clearer differentiation between ecomorphs in
- 479 Felidae.
- 480 Future studies, which may benefit from focusing on a more restricted species range, or on smaller
- 481 vertebral regions, would gain from including vertebrae that were not analysed here in order to
- 482 compare the general patterns found to specific complete regional trends.

483

484 **Conflict of Interests**

- 485 The authors declare no conflict of interest.
- 486

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758 Figure legends:

759 Figure 1: Different vertebral morphologies and their respective three-dimensional landmarks: (A-C)

760 atlas in anterior, posterior and dorsal view; (D-F) T1 in anterior, posterior and lateral view; (G-I) L1 in

761 anterior, posterior and lateral view; and (J-K) axis in anterior and posterior view. Vertebral images

are from CT scans of *Acinonyx jubatus* (Cheetah, USNM 520539). Landmark descriptions can be found

in Table S2.



764 765

- 767 Figure 2: Plots of Principal Component Analyses. (A-B): C4 L7 PCA plots showing distribution of
- 768 vertebral elements on PC1xPC2 (A), with respective warps showing extremes of morphology
- resplained by each eigenvector (i.e. PC), and on PC1xPC3 (B). (C): T10 L7 PCA plot showing
- distribution of vertebral elements on PC1xPC2, and also displaying eigenvector extremes of vertebral
- shape. Vertebral types are identified by same colour in all plots (online version), or by labels next to
- centre of the distribution (printed version)



775 Figure 3: Allometric trajectories displaying the differences in the predicted shape:size relationship

between ecological groups. (A): Species groups by their prey size, (B): species grouped by locomotorycategory.



- 781 Figure 4: Phenotypic trajectory analysis (PTA) of post-atlantoaxial presacral vertebrae (i.e. C4 L7)
- 782 grouped by prey size categories. Larger-sized circles show the average shape location of each
- 783 individual group per stage. White-filled circles represent the first stage of the trajectory, grey-filled
- 784 circles represent all intermediate stages, and black-filled circles mark the final stage of each
- 785 trajectory.



- 788 Figure 5: Phenotypic trajectory analysis (PTA) of vertebrae in the T10 L7 region grouped by prey
- size (A) and locomotory (B) categories. Larger-sized circles show the average shape location of each
- 790 individual group per stage. White-filled circles represent the first stage of the trajectory, grey-filled
- 791 circles represent all intermediate stages, and black-filled circles mark the final stage of each
- 792 trajectory.



PRINCIPAL		PROPORTION	CUMULATIVE	
COMPONENT	EIGENVALUE	OF VARIANCE	PROPORTION	
PC1	0.244	0.439	0.439	
PC2	0.185	0.251	0.691	
PC3	0.142	0.148	0.839	
PC4	0.093	0.064	0.903	
PC5	0.062	0.028	0.931	
PC6	0.041	0.012	0.943	
PC7	0.033	0.008	0.951	
PC8	0.031	0.007	0.958	
PC9	0.025	0.005	0.963	
PC10	0.024	0.004	0.967	
PC11	0.022	0.004	0.971	
PC12	0.020	0.003	0.973	
PC13	0.019	0.003	0.976	
PC14	0.019	0.003	0.979	
PC15	0.018	0.002	0.981	
PC16	0.017	0.002	0.983	
PC17	0.015	0.002	0.985	
PC18	0.014	0.002	0.986	
PC19	0.014	0.001	0.988	
PC20	0.013	0.001	0.989	
PC21	0.012	0.001	0.990	
PC22	0.011	0.001	0.991	
PC23	0.011	0.001	0.992	

Table 1: PCA C4L7 results

PC24	0.010	0.001	0.992
PC25	0.010	0.001	0.993
PC26	0.010	0.001	0.994
PC27	0.009	0.001	0.995
PC28	0.009	0.001	0.995
PC29	0.009	0.001	0.996
PC30	0.008	0.001	0.996
PC31	0.008	0.000	0.997
PC32	0.008	0.000	0.997
PC33	0.007	0.000	0.997
PC34	0.007	0.000	0.998
PC35	0.007	0.000	0.998
PC36	0.007	0.000	0.998
PC37	0.006	0.000	0.999
PC38	0.006	0.000	0.999
PC39	0.006	0.000	0.999
PC40	0.006	0.000	0.999
PC41	0.005	0.000	1.000
PC42	0.005	0.000	1.000
PC43	0.004	0.000	1.000
PC44	0.001	0.000	1.000
PC45	1.20E-16	0.00E+00	1.00E+00
PC46	6.50E-17	0.00E+00	1.00E+00
PC47	5.54E-17	0.00E+00	1.00E+00
PC48	3.94E-17	0.00E+00	1.00E+00

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PRINCIPAL		PROPORTION	CUMULATIVE
COMPONENT	EIGENVALUE	OF VARIANCE	PROPORTION
PC1	0.216	0.639	0.639
PC2	0.103	0.145	0.784
PC3	0.065	0.058	0.842
PC4	0.052	0.037	0.879
PC5	0.041	0.023	0.902
PC6	0.035	0.017	0.919
PC7	0.031	0.013	0.932
PC8	0.025	0.009	0.941
PC9	0.025	0.008	0.949
PC10	0.021	0.006	0.955
PC11	0.020	0.005	0.960
PC12	0.018	0.005	0.965
PC13	0.017	0.004	0.969
PC14	0.016	0.003	0.972
PC15	0.015	0.003	0.975
PC16	0.014	0.003	0.978
PC17	0.013	0.002	0.980
PC18	0.012	0.002	0.982
PC19	0.011	0.002	0.984
PC20	0.011	0.002	0.986
PC21	0.010	0.001	0.987
PC22	0.009	0.001	0.988
PC23	0.009	0.001	0.989

PC24	0.009	0.001	0.990
PC25	0.009	0.001	0.991
PC26	0.008	0.001	0.992
PC27	0.008	0.001	0.993
PC28	0.008	0.001	0.994
PC29	0.008	0.001	0.995
PC30	0.007	0.001	0.995
PC31	0.007	0.001	0.996
PC32	0.006	0.001	0.997
PC33	0.006	0.001	0.997
PC34	0.006	0.000	0.998
PC35	0.006	0.000	0.998
PC36	0.006	0.000	0.998
PC37	0.005	0.000	0.999
PC38	0.005	0.000	0.999
PC39	0.005	0.000	0.999
PC40	0.005	0.000	1.000
PC41	0.004	0.000	1.000
PC42	0.000	0.000	1.000
PC43	0.000	0.000	1.000
PC44	0.000	0.000	1.000
PC45	0.000	0.000	1.000
PC46	0.000	0.000	1.000
PC47	0.000	0.000	1.000
PC48	0.000	0.000	1.000

VERTEBRA CENTROID SIZE LOCOM		LOCOM	OTION PREY SIZE		SIZE	
	P VALUE	R ²	P VALUE	R ²	P VALUE	R ²
atlas	0.001	0.187	0.001	0.074	0.001	0.080
axis	0.001	0.155	0.001	0.117	0.001	0.081
HOMOI	LOGOUS DAT	ASET				
C4	0.001	0.080	0.001	0.208	0.001	0.042
C6	0.001	0.083	0.001	0.147	0.007	0.034
C7	0.001	0.089	0.001	0.142	0.003	0.037
T1	0.001	0.083	0.001	0.121	0.001	0.046
T2	0.001	0.063	0.001	0.161	0.001	0.089
T4	0.001	0.095	0.001	0.122	0.001	0.062
Т6	0.001	0.099	0.001	0.146	0.001	0.042
Т8	0.001	0.059	0.001	0.145	<u>0.062</u>	
T10	0.001	0.183	0.001	0.169	0.016	0.030
L1	0.001	0.154	0.001	0.238	0.001	0.041
L2	0.001	0.176	0.001	0.185	0.001	0.061
L4	0.001	0.137	0.001	0.130	0.001	0.059
L6	0.001	0.110	0.001	0.105	0.001	0.077
L7	0.006	0.043	0.001	0.121	0.001	0.118

Table 3: Individual vertebral MANOVAs

Table 4: Physignal results

VERTEBRA	MEAN SHAPE	MEAN CENTROID SIZE
	P VALUE	P VALUE
ATLAS	0.002	0.545
AXIS	0.002	0.271
HOMOLOGOUS DATASET		
C4	0.731	0.340
C6	0.026	0.405
C7	0.904	0.917
T1	0.006	0.373
Т2	0.027	0.890
Τ4	0.301	0.370
Т6	0.105	0.712
Т8	0.221	0.602
T10	0.135	0.149
L1	0.541	0.700
L2	0.056	0.752
L4	0.241	0.445
L6	0.238	0.185
L7	0.124	0.904

	CENTROID SIZE	LOCOMOTION	PREY SIZE	
VERTEBRA	P VALUE	P VALUE	P VALUE	
ATLAS	0.23976	0.98501	0.096903	
AXIS	0.1968	0.9021	0.14486	
C6	0.35265	0.78122	0.071928	
T1	0.51149	0.81019	0.064935	
T2	0.70529	0.62438	0.26873	

Table 5: Phylogenetic MANOVAS in vertebrae

Table 6: Regional MANOVAs

	CENTROID SIZE		PREY SIZE		LOCOMOTION	
<u>REGION</u>	P VALUE	R ²	P VALUE	R ²	P VALUE	R ²
C4 - L7	0.001	0.036	0.001	0.070	<u>0.101</u>	
C4 - T10	0.005	0.007	0.001	0.016	<u>0.164</u>	
T1 - T10	0.001	0.023	0.001	0.042	0.002	0.020
T1 - L7	0.001	0.057	0.001	0.126	0.001	0.119
T10 - L7	0.010	0.078	0.010	0.176	0.010	0.122
L1 - L7	0.001	0.081	0.001	0.109	0.001	0.100

ALLOMETRIC TRAJECTORY

	SLOPE DISTANCE	SLOPE ANGLE
	P VALUE	P VALUE
LOCOMOTION		
ARBOREAL X CURSORIAL	0.558	0.997
ARBOREAL X SCANSORIAL	0.002	0.839
ARBOREAL X TERRESTRIAL	0.001	0.212
CURSORIAL X SCANSORIAL	0.002	0.864
CURSORIAL X TERRESTRIAL	0.002	0.103
SCANSORIAL X TERRESTRIAL	0.003	0.003
PREY SIZE		
LARGE X MIXED	0.007	0.137
LARGE X SMALL	0.107	0.008
MIXED X SMALL	0.002	0.091

Table 8: C4L7 PTA prey size

PHENOTYPIC TRAJECTORY

	SIZE	DIRECTION	SHAPE
	P VALUE	P VALUE	P VALUE
PREY SIZE			
LARGE X MIXED	0.639	0.233	0.001
LARGE X SMALL	0.001	0.123	0.001
MIXED X SMALL	0.001	0.237	0.001

Table 9: T10L7 PTA

PHENOTYPIC TRAJECTORY

	SIZE	DIRECTION	SHAPE
	P VALUE	P VALUE	P VALUE
LOCOMOTION			
ARBOREAL X CURSORIAL	0.829	0.001	0.012
ARBOREAL X SCANSORIAL	0.759	0.001	0.211
ARBOREAL X TERRESTRIAL	0.933	0.001	0.208
CURSORIAL X TERRESTRIAL	0.744	0.001	0.180
CURSORIAL X SCANSORIAL	0.890	0.001	0.010
SCANSORIAL X TERRESTRIAL	0.548	0.144	0.997
PREY SIZE			
LARGE X MIXED	0.203	0.001	0.072
LARGE X SMALL	0.955	0.001	0.004
MIXED X SMALL	0.228	0.001	0.002