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18	Abstract
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20	For a constructively aligned curriculum in veterinary professionalism there is a
21	need for well-designed higher-order learning outcomes, to support students'
22	professional identity formation. A lack of available uniformly accepted
23	definitions of veterinary professionalism necessitates the defining and refining
24	of current concepts of professionalism in this context, to inform teaching and

25 assessment. A potential method for generating such learning outcomes is to

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26 generate these from simulated professionalism teaching scenarios. A workshop 27 was designed in which veterinary educators used role-play to resolve a 28 professional dilemma. Following discussion of the appropriate management approach, participants were asked to reflect on the learning outcomes that were 29 30 required to resolve the scenario, and that students would achieve by going 31 through the same classroom-based process. Workshop participants identified a 32 number of professionalism learning outcomes that are not currently defined in 33 the literature, including realisation of the lack of a single correct answer to a 34 professional dilemma, making a decision despite this uncertainty, 35 communicating differences of opinion, and understanding the effect of 36 differences in professional identity. Although the process described runs 37 counter to the traditional approach to curriculum design it may offer valuable 38 contribution to the discourse surrounding professionalism learning outcomes. 39 Furthermore, it has generated higher level learning outcomes than have been 40 obtained through other methods.

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42 **Keywords**:

43 Professionalism; learning outcomes; course outcomes; role-play; professional44 identity

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46 Introduction

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Assessment of a clinician's or clinical student's professionalism frequently
emphasises workplace based assessments, such as 360-degree evaluations, in
which the observed behaviours of the individual are judged.¹ The assessment of

51 professionalism in this way represents a traditional approach, in which a 52 professional's inner values are assumed to be accurately represented by their 53 external behaviour. In contrast, a contemporary complexity framework of 54 professionalism can be defined by the acknowledgment that the environment in 55 which the professional works, and the varying demands associated with their 56 interactions at different levels (e.g. client, employer, institution, society) will 57 challenge a professional's external portrayal of their inner values. Greater 58 understanding is therefore afforded to the clinician who may be juggling various 59 responsibilities (to patients, families, students, hospital administrators, and 60 colleagues), is trying to remain 'professional' in the eyes of all, even though all 61 may be exerting conflicting needs,² and whose observed behaviour may be 62 temporarily compromised by the effects of 'human factors' (stress, fatigue, 63 concerns about a difficult case, heavy workload).³ Viewing professionalism 64 through a contemporary complexity model therefore necessitates assessments 65 that extend beyond observed behaviours. Furthermore, it can be argued that the 66 desirable attributes of the healthcare professional extend beyond those that can 67 be assessed in this way, and include moral reasoning, ethical decision making, 68 recognition of limitations and the appropriate use of clinical autonomy. The 69 purpose of this paper is therefore to demonstrate a method of improving 70 assessment practice, in this case through a novel approach to generating 71 professionalism learning outcomes that have high validity and are engaging to 72 students.

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Attention has recently been drawn to the importance of professional identityformation in medical students, and the need to formally address this in medical

76 curricula.⁴ In a constructively aligned curriculum, such as defined by Biggs,⁵ the 77 desired graduate skills, knowledge and attributes inform firstly the assessment, 78 and then the teaching methods, such that students are taught and assessed in a 79 way that maps onto their expected performance post-graduation. As this 80 approach helps support student learning, designing professionalism assessments 81 that are well aligned with professional identity formation will encourage and 82 direct student development. There is therefore a need to generate 83 professionalism teaching and assessment methods that facilitate the formation 84 of a professional identity, such that the clinician is able to practice ethically and 85 with confidence in a complex, self-regulating profession. It would also be 86 expected that the achievement of well developed sense of identity would 87 ultimately lead to a clinician who is better able to demonstrate desirable 88 behaviours despite the demands of the clinic, and would be less vulnerable to the 89 negative effects of the hidden curriculum.

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91 Designing a constructively aligned curriculum typically starts with a 92 consideration of intended course outcomes, which inform assessment methods 93 and then teaching strategy.⁵ Despite a wide discourse, professionalism in both 94 the medical and veterinary literature remains a diverse and variably defined 95 concept. Without robust learning outcomes, professionalism teaching and 96 assessment are at risk of becoming undermined. Students are distrustful of 97 professionalism assessment that is poorly aligned with the behaviours they 98 experience in the hospital, and with those that they perceive are rewarded in 99 faculty. They are therefore known to 'play the game', and create a dichotomy 100 between the set of values they demonstrate in assessments, and those they

believe will help them in professional life.⁶ The creation of valid learning outcomes that are aligned with both success in the clinic and the formation of a well-developed professional identity is therefore necessary to support student development and engage them in learning. The lack of a contemporary complexity definition of veterinary professionalism means there is a deficiency in formalised, universally accepted outcomes appropriate for building a modern professionalism curriculum.

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109 Role-play in teaching is used to provide students with opportunities to practice, 110 reflect on, and develop important skills in a predictable and safe learning 111 environment. In professionalism teaching, students can be given a situation that 112 represents a challenge to professional reasoning, skills or behaviour, with 113 feedback to support on-going development. The learning outcomes that are 114 achieved from 'living' a professional dilemma will be closely related (if not 115 identical) to the skills and attributes required to successfully manage a similar 116 situation in practice. When attempting to define professionalism learning 117 outcomes, we therefore proposed that, in the absence of available higher-order 118 learning outcomes for success in a complex profession, it should be feasible to 119 provide students with an authentic simulated experience, and then ask them to 120 reflect on the skills, knowledge and attributes they utilised to complete the task 121 to their own satisfaction. Once these learning outcomes have been identified, 122 they can be used to inform constructively aligned assessments and further 123 development of teaching strategies.

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125 Methods

127 A workshop was designed for veterinary educators at the 2015 annual 128 Veterinary Education conference in Cambridge, UK. The objectives of the 129 workshop were to identify outcome competencies of professional studies 130 teaching, to demonstrate how formative and summative assessments facilitate 131 the development of professionalism, and to encourage participants to explore 132 the boundaries of the veterinary social contract through role-play scenarios. This 133 was based on the model of professionalism teaching developed by Cruess and 134 Cruess⁷ in which the cognitive basis of professionalism is followed by provision 135 of opportunities for experiential learning. Clearly the intent of this model is to 136 structure professionalism teaching over the length of the undergraduate medical 137 curriculum; however it was explained to participants that the 90-minute 138 workshop was intended to represent this overall curriculum design in a micro-139 format. In the first 15 minutes, by way of introductions, the two facilitators (MW 140 and EAC) each presented the conceptual frameworks on which they defined 141 professionalism in the context of their own veterinary teaching. This preceded a 142 period of experiential learning, in which participants were given an authentic 143 role play simulation followed by a structured reflection on the skills utilised, 144 challenges faced and learning outcomes achieved.

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146 Two diverse conceptual frameworks represented two extremes of 147 professionalism teaching in the UK. The first framework is one of professional 148 boundaries, such that the professional is empowered in a social contractarian 149 fashion.⁸ They may undertake anything with their legal monopoly power to treat, 150 diagnose and advise on animal care and make a profit from such interactions so

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151 long as these interactions abide by the public interest, which supported that 152 empowerment. The professional is free to act as they please, but they are 153 constrained by the boundaries of the social contract, of legislation and of the 154 Codes of professional regulation. Relevant legislation, and the Royal College of 155 Veterinary Surgeons (RCVS) Code of Professional Conduct,⁹ are therefore central 156 in teaching practiced within this framework in the UK, which largely resides in 157 the deontological approach¹⁰ and contrasts sharply with the virtue based 158 ideology of the second framework. This second framework has its roots in the 159 emphasised professional autonomy and discretion in practice described in 160 Freidson's definitions of the professions.¹¹ However it additionally reflects the 161 contemporary complexity lens described by Castellani and Hafferty,¹² 162 incorporating the notion of different identities, with differing professional 163 priorities, co-existing with equal validity within a profession, and also the 164 challenges encountered by balancing competing stakeholder needs, often 165 resulting in apparent paradoxes in professional behaviours when compared to 166 traditional notions of the idealistic professional.² The professional is therefore 167 free to act as they please, and must do so in a manner that maintains public trust 168 and the self-regulatory framework afforded by the social contract, with a 169 recognition that the complexities of the modern clinic may often result in 170 observed behaviours that may not align with traditional expectations. Where 171 professionals are deemed to act 'unprofessionally' by a particular stakeholder, it 172 is generally because of a difference in identity prioritisation. Teaching in this 173 framework therefore emphasises autonomy in decision-making and variation 174 between professionals. In both frameworks, although conceptual differences 175 exist, the end result is a convergence of teaching methods: both require

strengths in ethical and professional decision-making, including prioritisation of interests, in the face of a situation where there are conflicting stakeholder needs, and a need for a robust framework for complex decision-making. Students are encouraged to consider a situation from the viewpoint of all involved parties, including both deontological and utilitarian perspectives, and recognise that the course of action they choose to select will have both risks and benefits.

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183 Through the provision of these introductions, participants had thus been 184 provided with several conceptual frameworks for defining the 'cognitive basis of 185 professionalism'. Participants were then provided with an activity developed at 186 the Royal Veterinary College (RVC) in 2009 as a multiple format cumulative 187 learning structure for veterinary ethics,¹³ which is used in third year veterinary 188 undergraduate teaching at this institution, approximately 16 months before the 189 students start clinical rotations. The particular scenario used is shown in Box 1. 190 Participants were divided into groups of five or six people, provided with the 191 scenario, and were instructed to reach a common decision within their small 192 group for its resolution. They had approximately 20 minutes to achieve this. 193 Once all groups had reached their decision, the decisions would be shared 194 between the groups as a 'whole room' activity.

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196 Ethical considerations

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198 The participants of the workshop were not informed of this research prior to 199 their engagement, as the usefulness of their outcomes was not determined until 200 its completion. Consequently, all responses were deidentified so as to protect

201 their interests. This presents as a challenge to informed consent for research 202 participation, but as the workshop was available for anyone to attend, and 203 participation in the oral discussion was not mandatory, the participants were 204 able to voluntarily withhold their participation if they wished not to express 205 their views to the educational community. Subsequently, the authors submitted 206 for institutional retrospective ethical approval from the Royal Veterinary 207 College, with this caveat in mind. The cost benefit analysis of research outcomes 208 and the use of deidentified data against lack of informed consent, resulted in 209 ethical approval URN: 2015 1413.

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211 Results

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213 Thirty conference delegates participated in the session. Demographic data of the 214 participants were not collected, but all participants had a strong interest and/or 215 involvement in teaching and assessing veterinary professionalism in the UK or 216 elsewhere in the EU. The ethical dilemma provided to the groups rapidly 217 triggered in-group discussion. When small groups shared their decisions for 218 resolving the situation, this initiated debate and discussion as differences 219 emerged both in the preferred action and the priorities in decision-making. After 220 approximately 10 minutes of debate, the facilitators intervened and asked the 221 participants what learning outcomes they felt students would achieve by going 222 through the same process. These were collected by the facilitators, and are listed 223 in Box 2.

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225 Discussion

227 In general, developing a constructively aligned curriculum starts with the 228 learning outcomes required for the graduate, which then feed into assessment 229 design and teaching strategy.⁵ This could be described as a "top to bottom" 230 approach. However, as uniformally accepted, higher level learning outcomes are 231 not available, it is challenging to implement this top-down approach to 232 professional studies teaching. Identifying learning outcomes that are necessary 233 for the successful resolution of an authentic professional dilemma, and 234 developed during a role-play simulation on the same theme, represents an 235 alternative, "bottom to top" design of curriculum outcomes.

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237 Since 2000 it has increasingly been established that there is a need for inclusion 238 of non-technical or professional competencies in veterinary curricula. The 239 outcome competences necessary to direct curriculum design have been gathered 240 using a number of methods, including reports from consultancy firms, surveys of 241 employers and recent graduates, and veterinary faculty workshops.¹⁴ These have 242 yielded a variety of desirable graduate competences, in particular relating to 243 veterinary practice management and business acumen, communication and 244 interpersonal skills, teamwork, and ethical responsibilities including recognition 245 of conflicts of interest and responsibility to animal welfare. More recently Bok et 246 al¹⁵ described a multi-methods study in which a focus group of 54 recent 247 graduates and veterinary clients, together with an expert panel, created a 248 competency framework to direct curriculum outcomes. These generated 249 desirable veterinary competences in seven domains: veterinary expertise, 250 communication, collaboration, entrepreneurship, health and welfare, scholarship

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and personal development. Findings such as these have been used to inform
curriculum design for the teaching and assessment of non-technical competences

254 In comparison to the professionalism competences identified above, those 255 generated during the described workshop represent more specific and higher-256 order learning outcomes. Matthew et al¹⁶ described a similar approach to the 257 identification of learning outcomes from veterinary teaching, in this case using 258 final year clinical rotations. They categorized the student-identified learning 259 outcomes according to increasing complexity; at the least complex level were 260 individual technical skills and remembering protocols and formulae for dealing 261 with standard case presentations. Higher order outcomes were represented by 262 an understanding of the contextual variation inherent in veterinary case 263 management, recognition of different preferences in professional decision-264 making, and identifying personal and professional factors related to sustainable 265 practice. The learning outcomes identified by the workshop participants in this 266 study are similar to the higher order outcomes described by Matthew et al. Skills 267 such as recognizing that there isn't a single correct answer and making a 268 decision despite this uncertainty, communicating differences of opinion with 269 colleagues and clients, acknowledging the importance of self when making 270 professional decisions, and respecting the existence of varying identities within 271 the profession, are vital for the graduate veterinary surgeon faced with the 272 demands of the complex and constantly changing professional environment.

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The learning outcomes supported by the role-play simulation also reflected a wider range of professional skills and attributes than can be assessed using

276 observations of professional behaviour in a clinical setting. The role play model 277 therefore not only represents an approach for generating complex learning 278 outcomes, but also provides a means to assess competences that may not be 279 easily identifiable from clinic behaviours. Using the learning outcomes generated 280 in student simulations to inform assessment development offers a number of 281 advantages compared to the use of externally derived learning outcomes in a 282 traditionally constructively aligned model. Students are able to identify the skills 283 they need to manage a professional dilemma to their own satisfaction, and also 284 reflect on those they achieved in the session and those which were more 285 challenging. Using these learning outcomes to develop assessments lends 286 validity to the assessment (because it was generated from an authentic clinical 287 experience) and provides scaffolding for the students to direct their own 288 professional development.

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290 Teaching and assessment outcomes of the RVC ethics course were initially based 291 on the RCVS Day One Competencies, RCVS Code of Professional Conduct, and 292 guidance from the American Veterinary Medical Association. Recognising the 293 additional complex learning outcomes that students are able to achieve in these 294 sessions has not only enabled refinement and improvement of the ethics 295 teaching, but also directed the development of professionalism teaching in other 296 areas, and has informed assessment practice. For example, the same format of 297 scenario-resolution-discussion-refinement has been extrapolated into veterinary 298 business teaching, sessions on informed consent and complementary and 299 alternative medicine. The ethical and professional reasoning processes 300 demonstrated by the students are aligned with the logical approach to clinical

301 reasoning taught at the RVC, enabling students to resolve clinical and 302 professional scenarios in an integrated fashion. The developed scenarios also 303 inform further development of new scenarios for communication skills teaching, 304 so that the communication of complex problems between colleagues can be 305 practiced, in addition to communicating these to simulated clients.

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307 Assessment of professional studies was initially informed by the ethics teaching 308 strategy as students were asked to repeat the process practiced in small group 309 teaching sessions, but in an essay-based assignment. Identification of the 310 learning outcomes achieved by students in their role plays has enabled further 311 development and refinement of this assessment strategy, such that the core 312 strand outcomes (ability to view a situation from a number of perspectives, 313 consider the conflicting needs of the veterinary patient and business, make a 314 decision in a situation without a single correct answer, exercise autonomy in 315 decision making in an ethically-informed manner) are represented whichever 316 component of the professional studies strand is used to generate an examination 317 question. Although initially only applied to a single end-of-year assessment 318 taken by students prior to entering clinical rotations, identification of this set of 319 learning outcomes has enabled professional studies summative and formative 320 assessments in year 1, 3, 4 and 5 to be aligned with the same course outcomes. 321 Although the level of complexity expected from students is greater in the end of 322 year 5 final examinations compared to that expected at the end of year $1,^4$ 323 students at all stages are expected to demonstrate similar uses of ethical 324 frameworks (particularly viewing a scenario from the different perspectives of 325 the affected parties), recognise that there is no single correct answer but at the

326 same time commit to a decision in the face of this uncertainty, and show respect327 and lack of judgmentalism to differences of opinion.

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329 Conclusion

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331 In traditional arrangements of constructive alignment of curriculum relating to 332 professionalism, the process is arranged in a 'top-to-bottom' process. The key 333 desirable attributes in graduates are known and established and these skills and 334 knowledge lead to the creation of course learning outcomes. The outcomes then 335 feed further downwards to inform the assessment content and method and the 336 teaching requirements. However, despite surveys of the profession and 337 competency working groups, the available published professionalism learning 338 outcomes have failed to keep pace with the evolving profession and demands on 339 the contemporary veterinary professional. Thus this model of developing 340 learning outcomes from authentic student role-play scenarios helps to determine 341 those skills and attributes that are hard to define, and yet essential for success in 342 a complex environment. The model presented in this workshop has thus led to a 343 'bottom-to-top-to-bottom' approach to constructive alignment, where the 344 teaching strategy led to the identification of authentic, high validity learning 345 outcomes (bottom-to-top) which then in turn led to further improvement of 346 assessment and teaching (top-to-bottom). Such an approach can be used 347 alongside more traditional methods for the development of higher order 348 learning outcomes to inform teaching and assessment. Using role-play scenarios 349 obtained from contemporary examples from the profession ensures that course 350 learning outcomes remain time- and context-relevant.

Box 1: Ethical dilemma scenario used in the workshop.

Your practice has a policy on strongly persuading clients to have their dogs neutered. They offer bonuses of free food for a month to the client and they give you a £50 bonus in your paycheck for each animal you neuter.

A regular client of yours asks if it is specifically in her dog's best interest to have her new 1 year old Abruzzenhund neutered.

What do you say to the client?

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Box 2: Learning outcomes identified by workshop participants following role-

play of an ethical dilemma.

- Realising there isn't one single correct answer
- Making a decision in the face of this uncertainty
- Respecting others' opinions
- Communication skills: Communicating the answer to a difficult question to a client
- Communication skills: Communicating differences in opinion with colleagues
- Seeing a situation from a range of perspectives
- Understanding identity and acknowledging a range of identities

(specifically in this situation: different interpretations of the veterinary role; some felt their role was to provide a list of pros and cons to a particular action, others felt their role was to provide their own opinion of the desirable action)

- The importance of the self: recognising the importance of autonomy in decision making and one's own view as integral to navigating the dilemma
- Reasoning a complex problem

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