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1 **Choosing which ear to implant in adult candidates with functional residual hearing**

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26 **Abstract**

27 This study examined whether audiologists consider the potential benefits of contralateral
28 hearing aid use following cochlear implantation when recommending which ear to implant in
29 UK adult candidates with residual hearing. Thirty-four audiologists from providers of adult
30 implantation services completed a decision-choice experiment. Clinicians were willing to
31 consider recommending that the poorer ear be implanted, provided it had been aided
32 continuously, suggesting that their decision making seeks to preserve access to residual
33 hearing in the non-implanted ear where possible. Future approaches to determining candidacy
34 should therefore consider that a sub-set of patients may obtain additional benefit from this
35 residual hearing following implantation.

36 **Introduction**

37 Unilateral cochlear implantation remains the standard of care for severe-to-profoundly deaf
38 adults in the United Kingdom (UK). The expansion of candidacy criteria to include adults
39 with severe-to-profound hearing loss rather than only those with profound deafness (NICE
40 2009) increased the likelihood that cochlear implant (CI) candidates may now have a level of
41 useable residual hearing that may be aided by an acoustic hearing aid (HA). Previous
42 research has indicated that implanting a ‘functionally-better’ ear, either in terms of a shorter
43 duration of profound deafness (UKCISG 2004) or measurable pre-operative speech
44 discrimination ability (Dowell et al, 2004), is likely to give better results post-implantation
45 than implanting a functionally-poorer ear. While providers of unilateral implant services have
46 always faced with a challenge in choosing which ear to implant, the expansion of candidacy
47 criteria has created the possibility that candidates may now have some usable residual
48 hearing. As a result, clinical teams now have to balance: (a) the desire to maximise benefit
49 from the implant alone by implanting the functionally-better ear with the greatest capacity to
50 support speech perception, and (b) the possibility that patients may benefit from a
51 contralateral acoustic HA if any useful residual hearing is preserved by implanting the
52 functionally-poorer ear (Illg et al., 2014).

53

54 Self-report data from existing unilateral implant users in the UK suggests that the proportion
55 of candidates who persist with using a HA following implantation has increased substantially
56 since the publication of NICE guidance (Fielden et al., 2016). That study also observed that
57 the proportion of HA users was highest among those who were implanted in what they
58 considered to be their poorer ear. If clinicians are willing to recommend that a functionally-
59 poorer ear is implanted when other relevant factors are similar for both ears, it would suggest
60 that their decision making process considers the potential benefits of preserving access to

61 residual hearing. A decision-choice experiment was conducted to examine factors that may
62 influence the preference of professionals to preserve residual hearing.

63

64 **Methods**

65 Audiologists working with adult CI patients were invited to complete an anonymous online
66 decision-choice questionnaire created using SurveyMonkey and distributed via the British
67 Cochlear Implant Group (BCIG). Programme coordinators were also invited to forward the
68 questionnaire to any audiologist who may not be a member of the BCIG.

69

70 The questionnaire contained eight hypothetical listening scenarios describing post-lingually
71 deafened adults. Respondents were asked to select the ear they would recommend for
72 implantation in each given scenario.

73

74 *Listening scenarios*

75 The description of the right ear in the questionnaire was kept constant in all scenarios. It was
76 described as an ear that was likely to provide a favourable outcome if implanted (UKCISG
77 2004, Dowell et al, 2004): it had a short duration of deafness (3 years), had been stimulated
78 continually (aided), and had measurable open-set speech perception (45% correct) on the
79 BKB sentence test that was close to, but did not exceed, the maximum permitted performance
80 level (<50% correct) of eligible implantation candidates in the UK (NICE 2009). The right
81 ear was therefore likely to result in a favourable outcome based on using the CI alone, and is
82 referred to as the ‘scoring ear’.

83

84 The description of the left ear in the questionnaire always had no measurable open-set speech
85 perception (0% correct) and is therefore referred to as the ‘non-scoring ear’. Two

86 characteristics of this ear were varied systematically across scenarios: (i) duration of
87 deafness, which was varied so that the odds of it providing a favourable outcome if implanted
88 were either the same as the scoring ear (3 years) or less favourable (15, 25 and 50 years)
89 (UKCISG 2004); and (ii) whether it had been continually stimulated by a HA as continual
90 stimulation may have maintained the health of the ear to some extent.

91

92 Participants were informed that all other factors that may influence their choice of ear, such
93 as medical status, patient choice and radiological findings, were identical in all scenarios.

94

95 *Analyses*

96 To analyse the effects of varying the duration of deafness and aiding status of the non-scoring
97 ear, respondents' choices were subjected to binary logistic regression using Generalized
98 Estimating Equations, a form of general linear modelling that accounts for correlation
99 between variables when multiple measurements are obtained from the same participants; e.g.
100 repeated measures designs (Liang and Zeger, 1986). An independence correlation structure
101 was used and a sensitivity analysis confirmed that the model fit was not adversely affected by
102 this choice. Wald tests assessed the overall effect of each factor.

103

104 In accordance with an actuarial model of outcomes in profoundly deaf UK candidates
105 (UKCISG, 2004), the ear that would be most likely to result in benefit from the use of the CI
106 alone (the 'unilateral' choice) corresponded to the non-scoring ear in the 3-year scenario and
107 the scoring ear in the 15-, 25-, and 50-year scenarios (Figure 1). Respondents' choices were
108 analysed to evaluate in which scenarios (if any) they might seek to preserve contralateral
109 residual hearing by selecting the poorer performing ear for implantation rather than any of

110 these ‘unilateral’ choices. Differences in the level of agreement between choices were
111 assessed using McNemar’s test for correlated proportions.

112

113 -----

114 Figure 1 here

115 -----

116

117 **Results**

118 Thirty-four audiologists participated with at least one response received from all 20 UK adult
119 CI centres. Sixty-six audiologists were registered on the BCIG mailing list as working with
120 adult patients at the time the questionnaire was distributed. The sample therefore represented
121 an estimated response rate of 52% based on the number of audiologists working with adults
122 who are current BCIG members.

123

124 Figure 2 shows respondents’ choices expressed as the proportion of those who recommended
125 implanting the ear that would be likely to maximise benefit from use of the implant alone
126 (‘unilateral’ choice) in both the aided and unaided conditions. Respondents’ choices were
127 influenced by whether the non-scoring ear was described as aided or not ($\chi^2(1)=5.5$, $p<.05$)
128 and by its duration of deafness ($\chi^2(3)=31.0$, $p<.001$). Respondents were more than twice as
129 likely to recommended the non-scoring ear for implantation if it had been aided continuously
130 rather than unaided (Odds Ratio (OR) 2.4, 95% confidence interval 1.1 to 5.0). The odds of
131 choosing the non-scoring ear reduced significantly when the duration increased from 3 to 15
132 years (OR 0.1, 95% confidence interval 0.04 to 0.3) and from 15 to 25 years (OR 0.4, 95%
133 confidence interval 0.2 to 0.96), but not from 25 to 50 years (OR 0.3, 95% confidence
134 interval 0.06 to 1.6).

135

136 -----

137 Figure 2 here

138 -----

139

140 In the unaided scenarios, the proportion who made the ‘unilateral’ choice was generally high
141 and increased at longer durations of deafness (3 years vs 50 years, $p < .05$). In the aided
142 scenarios, the proportion who made the ‘unilateral’ choice was also high in the 3-year and 50-
143 year scenarios but was significantly lower than in the unaided scenarios in both the 15-year
144 and 25-year scenarios ($p < .001$ and $p < .05$, respectively). The largest effect of aiding on the
145 proportion of ‘unilateral’ choices was observed in the 15-year scenario (29.4% difference), in
146 which half of all respondents still chose the non-scoring ear despite it having both no
147 measurable speech perception and a longer duration of deafness, and hence less favourable
148 odds of improving performance if implanted compared to the scoring ear.

149

150 **Discussion**

151 In recommending a poorer-performing ear with a longer duration of deafness for
152 implantation, many clinicians would appear to be seeking to preserve functional residual
153 acoustic hearing where possible. The fact that this preference was contingent on whether the
154 poorer-performing ear had been aided is compatible with the fact that the potential
155 deleterious effects of auditory deprivation on CI outcome remains an important factor in
156 decision making around the ear to implant. The willingness of up to half of all respondents to
157 consider recommending implantation of an ear that existing data would suggest is less likely
158 to maximise outcome using the CI alone suggests that many clinicians believe that some

159 patients may derive additional benefit from access to residual hearing in the contralateral ear
160 following implantation.

161

162 Recommendations to implant a poorer-functioning ear are presumably motivated by a desire
163 to minimise loss of existing hearing function and to facilitate HA use in the non-implanted
164 ear following implantation. In more traditional candidates with no useful residual hearing, the
165 choice of ear for implantation can be informed by factors known to reliably predict outcomes
166 following implantation such as duration of deafness and pre-operative speech perception
167 scores (Dowell et al, 2004; UKCISG 2004). Emerging evidence suggests that outcomes
168 resulting from the combined use of a CI and a contralateral HA may also be predicted by the
169 level of residual hearing in the non-implanted ear (Zhang et al., 2013). Compatibly, recent
170 data from UK patients suggests that the largest reported increase in HA use since the
171 publication of NICE guidance in 2009 has occurred among those implanted in their poorer
172 ear (Fielden et al 2016). Further research is required to identify the audiological factors that
173 have the greatest capacity to predict ‘bimodal’ outcomes and thus should be considered when
174 recommending which ear to implant in candidates with aidable residual hearing.

175

176 **Conclusion**

177 The results of a decision-choice experiment suggest that clinicians seek to preserve aidable
178 residual hearing where possible, presumably to enable patients to benefit from contralateral
179 hearing aid use following implantation. Future approaches to determining candidacy should
180 therefore consider that a sub-set of patients may obtain additional benefit from the
181 simultaneous use of an implant and a hearing aid and that the size of that benefit may not
182 necessarily be predicted by the same factors that predict implant-only outcome.

183

184

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191 The authors declare no conflicts of interest.

192

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212

213

214

215 **Figure Legends**

216 **Figure 1:** Schematic representation of the eight scenarios that described hypothetical
217 candidates with one ‘scoring’ ear and one ‘non-scoring’ ear. The duration of deafness of the
218 non-scoring ear was varied across the scenarios and was described as either having been
219 aided continuously or unaided. The shaded ear in each scenario represents the ‘unilateral’
220 choice; that is, the choice that was likely to maximise benefit from use of the implant alone
221 based an actuarial model of outcomes in profoundly-deaf UK candidates (UKCISG 2004).

222

223 **Figure 2:** The proportion of respondents who chose the ear that was likely to maximise
224 benefit from the implant alone (‘unilateral’ choice) based an actuarial model of outcomes in
225 profoundly-deaf UK candidates (UKCISG 2004). Proportions are shown separately for the
226 four unaided scenarios (open symbols) and the four aided scenarios (shaded symbols). Error
227 bars plot 95% confidence intervals for the proportions.

228

229

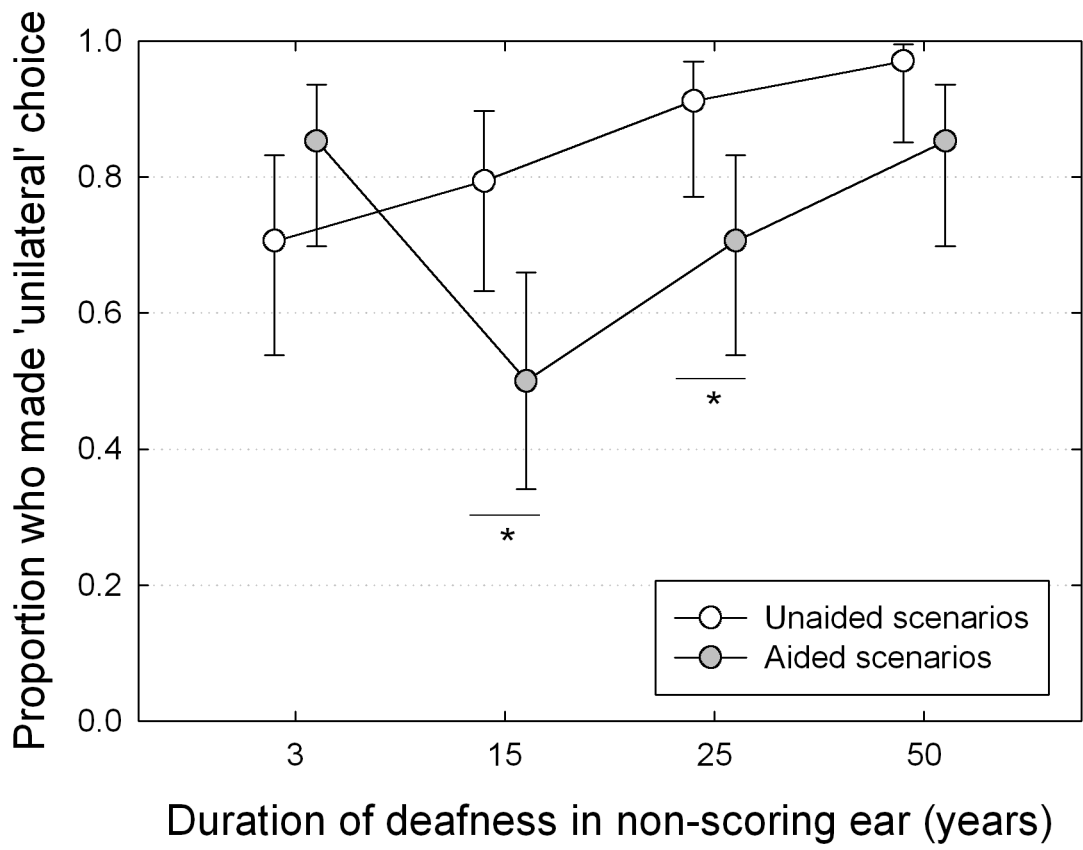
Scenario	Non-scoring (left) ear		Scoring (right) ear	
	Aided?	Duration	Aided?	Duration
1	Y	3	Y	3
2	N	3	Y	3
3	Y	15	Y	3
4	N	15	Y	3
5	Y	25	Y	3
6	N	25	Y	3
7	Y	50	Y	3
8	N	50	Y	3

230

231

Figure 1

232



233

234

Figure 2