



Gosling, Simon N. and Hondula, David M. and Bunker, Aditi and Ibarreta, Dolores and Liu, Junguo and Zhang, Xinxin and Sauerborn, Rainer (2016) Adaptation to climate change: a comparative analysis of modelling methods for heat-related mortality. *Environmental Health Perspectives* . ISSN 1552-9924 (In Press)

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1 ***Environmental Health Perspectives***

2 **DOI: 10.1289/EHP634**

3 **Adaptation to climate change: a comparative analysis of modelling methods for heat-**  
4 **related mortality**

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25

26 **Running title**

27 Comparing adaptation modelling methods

28

29 **Acknowledgements**

30 SNG was funded by the European Commission Joint Research Centre under Contract  
31 154858-2015 A08-GB “Comparative Study on Modelling Heat-related Mortality Adaptation  
32 to Climate Change”. The views expressed are purely those of the authors and may not in any  
33 circumstances be regarded as stating an official position of the European Commission. Dr  
34 Elaine Gosling (Chartered Institute of Ergonomics and Human Factors) is thanked for her  
35 help in producing the figures.

36

37 **Competing financial interests**

38 All authors have no potential competing interests regarding the submitted manuscript

39

40

41 **Abstract**

42 **Background:** Multiple methods are employed for modelling adaptation when projecting the  
43 impact of climate change on heat-related mortality. The sensitivity of impacts to each is  
44 unknown because they have never been systematically compared. In addition, little is known  
45 on the relative sensitivity of impacts to “adaptation uncertainty” (i.e. the inclusion/exclusion  
46 of adaptation modelling), relative to using multiple climate models and emissions scenarios.

47 **Objectives:** (1) Compare the range in projected impacts that arises from using different  
48 adaptation modelling methods; (2) compare the range in impacts that arises from adaptation  
49 uncertainty to ranges from using multiple climate models and emissions scenarios; (3)  
50 recommend modelling method(s) to use in future impact assessments.

51 **Methods:** We estimated impacts for 2070-2099, for 14 European cities, applying six different  
52 methods for modelling adaptation; also with climate projections from five climate models,  
53 run under two emissions scenarios to explore the relative effects of climate modelling and  
54 emissions uncertainty.

55 **Results:** The range of the difference (%) in impacts between including and excluding  
56 adaptation, irrespective of climate modelling and emissions uncertainty, can be as low as  
57 28% with one method and up to 103% with another (mean across 14 cities). In 13 of 14 cities  
58 the ranges in projected impacts due to adaptation uncertainty are larger than those associated  
59 with climate modelling and emissions uncertainty.

60 **Conclusions:** Researchers should carefully consider how to model adaptation because it is a  
61 source of uncertainty that can be greater than the uncertainty in emissions and climate  
62 modelling. We recommend absolute threshold shifts and reductions in slope.

63

## 64 **Introduction**

65 One of the direct public health risks posed by climate change is increased heat-related  
66 mortality and morbidity (Gosling et al. 2012; Hajat et al. 2014; Hales et al. 2014; Kingsley et  
67 al. 2016; Peng et al. 2011; Petkova et al. 2013; Petkova et al. 2016; Sheridan et al. 2012;  
68 Vardoulakis et al. 2014; Wu et al. 2014), due to increased occurrences of cardiovascular and  
69 chronic respiratory causes (Huynen and Martens 2015; Martens 1998; McMichael et al.  
70 2006). Governments and community organisations around the world are increasingly  
71 allocating resources to prepare for a warmer future climate (Boeckmann and Rohn 2014).  
72 Central questions that should guide the decision-making process when making such  
73 investments include (1) what are the likely health impacts of possible changes? And (2) what  
74 are the interventions and programs, and scale thereof, that offer the highest probability of  
75 reducing the magnitude of any adverse impacts? Answers to these questions depend in part  
76 on the extent to which populations may adapt to future climate change.

77 Adaptation mechanisms may occur through autonomous adaptation, such as physiological  
78 acclimatisation and a range of behavioural adaptations such as dressing appropriately during  
79 hot weather. They may also occur through planned adaptation, such as the introduction of  
80 government subsidies to increase air conditioning installations or the introduction of heat  
81 health warning systems, and public responses through health services such as changing  
82 prescription patterns and arranging home visits. Attempts to combine both autonomous and  
83 planned adaptation to represent the whole range of adaptation mechanisms, and then factor  
84 them in to quantitative assessments of the impact of climate change on heat-related mortality  
85 by statistical modelling, are largely based on liberal assumptions on the extent to which  
86 populations will adapt (Hayhoe et al. 2004; Honda et al. 2014b; Jenkins et al. 2014;  
87 Knowlton et al. 2007; Mills et al. 2014; Zacharias et al. 2015).

88 The potential to adapt is supported by a growing body of evidence that shows populations  
89 across the globe are becoming less sensitive to high temperatures, e.g. see reviews by  
90 Boeckmann and Rohn (2014) and Hondula et al. (2015). However, there is variation in the  
91 magnitude of the declines in sensitivity that have been observed between studies (e.g. Bobb et  
92 al. 2014; Schwartz et al. 2015; Todd and Valleron 2015), across locations (Gasparrini et al.  
93 2015a) and over time (Åström et al. 2016). There are also overall limits to adaptation (Smith  
94 et al. 2014; Woodward et al.) as, for example, air conditioning penetration reaches 100%, or  
95 physiological tolerance reaches biological limits. In addition, many studies neglect to unpick  
96 the factors that have driven declines in sensitivity to heat, and whether the declines are due to  
97 autonomous or planned adaptation (Petkova et al. 2014b). This precludes an understanding of  
98 what policies could help foster the most efficient adaptation practices. Multiple datasets on  
99 factors such as air conditioning penetration, human behaviour, activation of heat health  
100 warnings, and changes in health-care provision are needed to address this, but such datasets  
101 are rarely available at a sufficient temporal resolution (several decades) to elucidate the  
102 effects. The research needed to reveal these important insights will require an inter-  
103 disciplinary approach that combines quantitative and qualitative methods.

104 Variation in the magnitude of observed declines in sensitivity to heat has limited the ability of  
105 researchers to investigate the effects of adaptation assumptions on projections of the impact  
106 of climate change. Thus some researchers have not considered adaptation effects at all (e.g.  
107 Baccini et al. 2011; Hajat et al. 2014; Kingsley et al. 2016; Peng et al. 2011; Vardoulakis et  
108 al. 2014; Wu et al. 2014). Such an approach, however, which ignores what we refer to here as  
109 “adaptation uncertainty” (i.e. the sensitivity of impacts to including and excluding adaptation  
110 modelling respectively), is acknowledged to likely over-estimate impacts (Huang et al. 2011;  
111 Martin et al. 2011; Petkova et al. 2013).

112 Within this context a number of impact assessments *have* accounted for adaptation  
113 uncertainty by representing adaptation statistically in the modelling process, suggesting that  
114 impacts could be up to 30-80% (Jenkins et al. 2014; Sheridan et al. 2012) lower or more  
115 (Honda et al. 2014a) in the future with adaptation than without. Whilst the inclusion of  
116 adaptation may be considered an advantage over excluding it, because it accounts for likely  
117 autonomous and planned adaptation, it is important that the modelling methods are justified  
118 robustly with reference to empirical evidence. An arbitrary assumption that populations might  
119 adapt by 100% (Honda et al. 2014a), for instance, could lead to under-estimation of climate  
120 change impacts.

121

## 122 **Statistical methods for modelling adaptation**

123 A variety of different statistical methods have been used to model adaptation. Six main  
124 methods can be employed (Table 1). In all but one study, where three of the methods were  
125 applied in the Netherlands (Huynen and Martens 2015), the six methods have been applied  
126 independently and never compared quantitatively, although an interesting discussion of the  
127 methods is presented by Kinney et al. (2008). Our study is distinct from all previous work  
128 because we compare all six methods across multiple European cities and because we consider  
129 multiple assumptions in the magnitudes of potential adaptation systematically for each  
130 method. We describe the six methods here and discuss their strengths and limitations.

131 Two methods are based on shifting the threshold temperature of an epidemiological  
132 exposure-response function (ERF). Many different conceptualisations of threshold  
133 temperatures are presented in the literature, including minimum mortality temperatures,  
134 optimum temperatures, and other derivations related to statistical differences in relative risk  
135 between baseline and extreme conditions (see Åström et al. 2016; Honda et al. 2014b; Petitti

136 et al. 2016). Regardless of the specific statistical definition of the threshold, in general, the  
137 risks of heat-related mortality are lowest (or lower) at the threshold whilst for temperatures  
138 higher than the threshold there is a proportionally higher risk (e.g. Baccini et al. 2008).

139 The “absolute threshold shift” method first defines the present-day threshold temperature in  
140 absolute terms (°C) and then increases it in the future. Assessments have assumed shifts of  
141 the ERF in the future by up to 2°C (Jenkins et al. 2014), 2.4°C (Huynen and Martens 2015),  
142 3°C (Dessai 2003) and 4°C (Gosling et al. 2008). This is perhaps the most straightforward  
143 method, which is why it has been used most frequently in previous studies. The magnitude of  
144 shift tends to be selected arbitrarily and justified with no reference to empirical evidence from  
145 epidemiological studies.

146 The “relative threshold shift” method assumes “0% adaptation” when the threshold  
147 temperature in absolute terms (that is calculated originally as a percentile of the present-day  
148 daily temperature time series) is also used with the future time-series. “100% adaptation” is  
149 when the threshold temperature for the future is at the same percentile value as the present-  
150 day (the absolute value will therefore be higher in a warmer climate). The midpoint of the  
151 threshold temperatures between 0% and 100% adaptation is “50% adaptation”. Previous  
152 assessments have assumed up to 50% (Zacharias et al. 2015) and 100% adaptation (Honda et  
153 al. 2014a; Honda et al. 2014b). A caveat of this method is that the magnitude of shifts  
154 employed in the studies that use this method, are based only upon changes in the threshold  
155 temperature observed in Tokyo between 1972-1994 (Honda et al. 2006).

156 Temperature-mortality ERFs are typically described by linear or non-linear slopes that start  
157 from a threshold temperature. Accordingly the third adaptation modelling method reduces the  
158 slope of the ERF in the future. Huynen and Martens (2015) assumed a 10% reduction in  
159 linear slope using this method. This method is intuitive because it is plausible that



160 populations may become less sensitive to high temperatures under climate change, which  
161 would manifest as a reduction in the slope of the ERF. However, Huynen and Martens (2015)  
162 acknowledge that the 10% decline in slope they applied is hypothetical and they do not  
163 provide empirical evidence to support it. The method is straightforward to apply to a linear  
164 ERF but considerably more complicated for a non-linear ERF.

165 The fourth and fifth methods combine shifts in the threshold with reductions in the slope.  
166 Huynen and Martens (2015) assumed a reduction in the slope of the ERF by 10% and  
167 combined this with absolute threshold shifts. No studies have yet combined a relative  
168 threshold shift with a reduction in slope, despite encouragement that studies should combine  
169 shifts with reductions in slope (Huang et al. 2011).

170 The sixth method uses “analogue ERFs”, i.e. ERFs derived for locations with temperatures  
171 similar to those projected to occur in the future in the location of interest. Whilst the method  
172 has been criticised (Kinney et al. 2008) and it assumes that the underlying confounding  
173 factors that contribute to the ERF can be transferred to a different location, it is popular  
174 (Hayhoe et al. 2004; Knowlton et al. 2007; Mills et al. 2014) because it draws upon  
175 epidemiological evidence that populations in warmer/colder regions tend to be less/more  
176 sensitive to relatively higher temperatures (Davis et al. 2003).

177 A caveat that runs through all the methods employed in previous work is that they are not  
178 supported with reference to specific empirical evidence that confirms the magnitudes of  
179 adaptation assumed. The only exception is that the relative threshold shift method has been  
180 justified with reference to the observation that threshold temperatures can generally be  
181 estimated using the 80–85<sup>th</sup> percentile of daily maximum temperature in multiple locations in  
182 Japan (Honda et al. 2007; Honda et al. 2014b). It would of course be preferable to replicate  
183 this observation across other locations. A novel opportunity exists to develop adaptation

184 modelling methods based upon empirical evidence of historical adaptation because a growing  
185 body of evidence shows that in some cities and countries populations are becoming less  
186 sensitive to extremes of heat (Arbutnott et al. 2016; Astrom et al. 2013; Åström et al. 2016;  
187 Bobb et al. 2014; Gasparrini et al. 2015b; Honda et al. 2006; Schwartz et al. 2015). The  
188 mechanisms associated with, and driving this decline, are a matter of debate, but it is clear  
189 from these studies that population sensitivity to heat can and does vary over time. It is  
190 somewhat surprising therefore that there has been no significant advancement in the  
191 statistical methods used to model adaptation over the past decade – the methods used over 10  
192 years ago are still being used now (Table 1).

193

#### 194 **Current research gaps**

195 The application of multiple adaptation modelling methods across different climate change  
196 impact studies means that there is no clear understanding of the relative effects that each  
197 method can have on impacts. Nor is there a recommendation of what method is most  
198 appropriate for application (Huang et al. 2011). This is compounded by the general lack of  
199 rationale for the adaptation methods chosen in past studies. Some methods have been used  
200 more frequently than others, e.g. absolute threshold shifts (Table 1), perhaps because they are  
201 more straightforward to apply than some of the other methods.

202 The use of different Global Climate Models (also known as General Circulation Models;  
203 GCMs) and emissions scenarios in climate change impact assessments enables an evaluation  
204 of the sensitivity of the impacts to “climate model uncertainty” and “emissions uncertainty”  
205 respectively (Gosling et al. 2012; Hajat et al. 2014; Peng et al. 2011; Zacharias et al. 2015).  
206 Whilst a limited number of impact studies have included multiple GCMs, emissions scenarios  
207 and adaptation assumptions altogether in the modelling exercise to account for these three

208 key uncertainties (Gosling et al. 2008; Petkova et al. 2016; Sheridan et al. 2012), such a  
209 holistic approach is uncommon (Huang et al. 2011). To this end little is known about the  
210 relative contributions of these three sources of uncertainty to ranges in projections of heat-  
211 related mortality impacts.

212 To address these important research gaps our study had three main objectives. *Firstly*, to  
213 conduct the first systematic comparison of the range in projected impacts that arises from  
214 using different adaptation modelling methods employed in previous studies; *secondly*, to  
215 compare the range in impacts that arises from adaptation uncertainty (i.e. impacts with the  
216 inclusion/exclusion of adaptation) to the ranges from climate modelling and emissions  
217 uncertainty respectively; and *thirdly*, to provide the first recommendation of one or several  
218 adaptation modelling methods to use in future impact assessments.

219

## 220 **Materials and Methods**

### 221 **Experimental design**

222 Across 14 European cities (see Table 2) we estimated the mean annual warm season (1 April  
223 to 30 September) heat-related mortality rate attributable to climate change ( $\Delta\text{Mort-CC}$ ),  
224 under the assumption that populations will not adapt in the future, i.e. “no adaptation”. We  
225 then estimated the impacts using six different methods for modelling adaptation respectively.  
226 In both cases the impacts were estimated using climate projections from one GCM  
227 (HadGEM2-ES) that was run under a single emissions scenario (Representative  
228 Concentration Pathway (RCP) 8.5), to control for the effects of climate modelling and  
229 emissions uncertainty. We chose RCP8.5 because it is the highest of the four RCP emissions  
230 scenarios commonly used in climate modelling (Riahi et al. 2011), meaning that it should *a*

231 *priori* enhance elucidation of the effects of modelling adaptation with different methods  
232 under a plausible emissions scenario. This approach enabled calculation of the range in  
233 impacts that arises from estimating them with adaptation and with no adaptation.

234 We also estimated impacts with no adaptation, using climate projections from five GCMs run  
235 under RCP8.5 to explore the effect of climate modelling uncertainty whilst controlling for  
236 adaptation and emissions uncertainty. Furthermore, we estimated impacts with HadGEM2-ES  
237 run under low (RCP2.6) and high (RCP8.5) emissions scenarios respectively to explore the  
238 effect of emissions uncertainty whilst controlling for adaptation and climate modelling  
239 uncertainty. The experimental design is summarised in Table 3.

240 The 14 cities were chosen because ERFs that were developed using the same methodology  
241 for each city were available (Baccini et al. 2008). This provided a consistent set of ERFs  
242 upon which to test the sensitivity of climate change impacts to adaptation assumptions.

243

#### 244 **Climate change projections**

245 Time-series of daily maximum temperature ( $t_{\max}$ ), mean temperature ( $t_{\text{mean}}$ ) and mean relative  
246 humidity (RH) were extracted for the  $0.5^{\circ}\times 0.5^{\circ}$  grid cells located closest to each city, for the  
247 present-day (1981-2010) and future (2070-2099), from five GCM simulations (HadGEM2-ES  
248 GCM, IPSL-CM5A-LR, MIROC-ESM-CHEM, GFDL-ESM2 and NorESM1-M). This set of  
249 GCMs has been used in numerous impact assessments to demonstrate the range in impacts  
250 that can arise from climate modelling uncertainty (Warszawski et al. 2014).

251 Each GCM was run under RCP8.5 (high emissions) and RCP2.6 (low emissions) as these are  
252 the highest and lowest emissions scenarios commonly used in climate modelling that are  
253 available from the four RCP emissions scenarios (Riahi et al. 2011). By using the highest and

254 lowest we were able to investigate the maximum extent to which emissions scenario choice  
255 contributes to the uncertainty in projected heat-related mortality impacts.

256 The climate variables were bias-corrected towards an observation-based dataset (Weedon et  
257 al. 2011), using an established method (Hempel et al. 2013), specifically designed to preserve  
258 long-term trends in temperature projections to facilitate climate change impact assessments.

259 The GCM data could therefore be used for the present-day and future time periods in the  
260 impact assessment.

261 The ERFs we used (Baccini et al. 2008) required daily maximum apparent temperature  
262 ( $AT_{max}$ ), so this was computed as:

$$263 \quad AT_{max} = -2.653 + 0.994.t_{max} + 0.0153.(t_d)^2 \quad [1]$$

264 Where  $t_d$  is daily mean dew point, which was computed from RH and  $t_{mean}$  following Tetens  
265 (1930). For Barcelona, daily mean apparent temperature ( $AT_{mean}$ ) had to be calculated  
266 because the ERF for Barcelona required this instead of  $AT_{max}$ . Therefore we calculated daily  
267  $AT_{mean}$  by replacing  $t_{max}$  with  $t_{mean}$  in [1].

268

## 269 **Heat-related mortality estimation**

270 We applied city-specific linear ERFs derived from Baccini et al. (2008) for each of the 14  
271 cities. The ERFs describe linear relationships between daily  $AT_{max}$  ( $AT_{mean}$  for Barcelona)  
272 and daily heat-related mortality in terms of Relative Risk (RR). RRs were reported by  
273 Baccini et al. (2008) as a percentage change in mortality per 1°C above the city-specific  
274 threshold temperature. We converted the RRs to RR ratios from:

$$275 \quad \text{percentage change} = (RR - 1) * 100 \quad [2]$$

276  $\beta$ , the concentration-response factor (CRF, the estimated slope of the linear relation between  
277  $AT_{max}$  ( $AT_{mean}$  for Barcelona) and daily heat-related mortality) was derived from:

$$278 \quad RR = \exp^{\beta \Delta T} \quad [3]$$

279 Where  $\Delta T$  is a 1°C change in daily  $AT_{max}$  ( $AT_{mean}$  for Barcelona) above the threshold  
280 temperature. The RRs, CRFs and threshold temperatures for each city are displayed in Table  
281 2.

282 Daily heat-related mortality for each city was then calculated from the city-specific ERFs, for  
283 the present-day (1981-2010) and future (2070-2099) respectively. For the present-day and  
284 future we first calculated the daily attributable fraction, AF, which is the fraction of the  
285 mortality burden attributable to the risk factor  $\Delta X$  (daily  $AT_{max}$  ( $AT_{mean}$  for Barcelona) above  
286 the threshold temperature), for the exposed population. Following previous studies, it was  
287 assumed that the whole population at the threshold temperature is exposed (Huynen and  
288 Martens 2015; Knowlton et al. 2007; Schwartz et al. 2015; Vardoulakis et al. 2014):

$$289 \quad AF = 1 - \exp^{-\beta \cdot \Delta X} \quad [4]$$

290 The AF was multiplied by the baseline daily mortality rate ( $y_0$ , Table 2) and the exposed  
291 population (Pop, Table 2) to yield the absolute number of daily heat-related deaths ( $Mort$ ) for  
292 the present-day and future respectively, using an established method (Hajat et al. 2014;  
293 Huynen and Martens 2015; Knowlton et al. 2007; Peng et al. 2011; Petkova et al. 2013;  
294 Schwartz et al. 2015; Vardoulakis et al. 2014; Wu et al. 2014), as:

$$295 \quad Mort = y_0 \cdot AF \cdot Pop / 100,000 \quad [5]$$

296  $Mort$  was calculated only for the warm season (1 April to 30 September) because the ERFs  
297 were derived for these months only (Baccini et al. 2008).  $\Delta Mort-CC$  was then calculated by

298 converting *Mort* into a mortality rate (per 100,000, using Pop) and then subtracting it for the  
299 present-day time period from the estimate for the future time period.

300 We did not change  $y_0$  and Pop between time periods, in line with previous studies (e.g.  
301 Gosling et al. 2012; Petkova et al. 2013; Wu et al. 2014) because estimation of  $\Delta\text{Mort-CC}$  as  
302 a rate instead of absolute deaths facilitates comparisons across GCMs, emissions scenarios,  
303 different cities, and different methods for modelling adaptation. Application of population  
304 projection scenarios (e.g. the shared socio-economic pathways; SSPs; O'Neill et al. (2014))  
305 would yield different absolute numbers of deaths between population scenarios but not  
306 different estimates of  $\Delta\text{Mort-CC}$ .

307

### 308 **Modelling adaptation**

309 We investigated the sensitivity of  $\Delta\text{Mort-CC}$  to the six main adaptation modelling methods  
310 we discussed earlier.  $\Delta\text{Mort-CC}$  was estimated for each of the 14 cities for each method  
311 separately (Table 3).

312 Absolute threshold shifts in the ERF of 1, 2, 3 and 4°C respectively, were investigated to  
313 cover the range of shifts employed in past studies that use this method (Dessai 2003; Gosling  
314 et al. 2008; Huynen and Martens 2015; Jenkins et al. 2014).

315 For relative threshold shifts we shifted the ERFs by 25, 50, 75 and 100% respectively, as this  
316 covers the range of values used in past studies (Honda et al. 2014a; Honda et al. 2014b;  
317 Zacharias et al. 2015).

318 We reduced the slope of the ERF by 5, 10, 15, 20 and 25%, respectively. 10% was chosen in  
319 line with Huynen and Martens (2015) but as no other study has used this method we also

320 considered reductions of up to 25% to provide an indication of what might result from other  
321 assumed selected reductions in slope.

322 Absolute threshold shifts (1, 2, 3 and 4°C) and relative threshold shifts (25, 50, 75 and 100%)  
323 were respectively combined with reductions in the slope of the ERF (5, 10, 15, 20 and 25%).

324 Previous studies have paired locations for the analogue ERF method by comparing mean  
325 annual temperatures (Knowlton et al. 2007), mean summer temperatures (Hayhoe et al.  
326 2004), or maximum summer temperatures (Mills et al. 2014) between present-day and future  
327 time periods for multiple locations, but this does not account for the whole statistical  
328 distribution of temperatures. This is a significant limitation because it is the extremes of  
329 temperature, as well as the mean, which are important for heat-related mortality.

330 Therefore we developed a more advanced approach that better accounts for the shapes of the  
331 temperature distributions between two cities. We created analogue city pairs based on the  
332 projected probability distribution functions (PDFs) of warm season daily  $AT_{max}$ . The best  
333 “match” for each city’s future climate was determined by a comparison of the nonparametric  
334 Kolmogorov-Smirnov (K-S) test statistic (Massey 1951) between present-day and future  
335 temperature distributions. The K-S test statistic is a measure of the maximum distance  
336 between two continuous distribution functions. For 13 cities (Barcelona was excluded here  
337 because it was the only city not to use  $AT_{max}$  in its ERF), the city whose present-day  
338 distribution that had the lowest test statistic when compared to an individual city’s future  
339 distribution was selected as a match. For example, the projected climate of London was found  
340 to be most similar to that of present-day Milan (out of the 12 possible options) (Figure 1,  
341 Table S1), and thus  $\Delta Mort-CC$  for London was computed using the London climate change  
342 projections as input to the Milan ERF.

343



## 344 **Results**

### 345 **Comparison of impacts between adaptation modelling methods**

346 Figure 2 shows the range in  $\Delta$ Mort-CC impacts (per 100,000) for each city that result from  
347 including and excluding adaptation, and Figure 3 shows the difference (%) in impacts  
348 between including and excluding adaptation, for each adaptation modelling method. These  
349 two figures show that there are large contrasts in the ranges of impacts between the different  
350 adaptation modelling methods.

351 The contrasts are brought out in Table 4, which shows, as a mean across all 14 cities, the  
352 range of the difference (%) in impacts between including and excluding adaptation. The  
353 ranges are 49% (absolute threshold shift), 94% (relative threshold shift), 28% (reduction in  
354 slope of the ERF), 68% (absolute threshold shift combined with a reduction in slope of the  
355 ERF), 103% (relative threshold shift combined with a reduction in slope of the ERF) and  
356 76% (analogue ERF).

357 Combining a relative threshold shift with a reduction in the ERF is associated with the largest  
358 ranges in impacts across all the methods, for all cities except Valencia. Relative threshold  
359 shifts are associated with the second largest ranges in impacts. For example, with relative  
360 threshold shifts of 50% (100%),  $\Delta$ Mort-CC declines from 84, 79, 72 and 77 deaths per  
361 100,000 respectively under no adaptation, to 43 (6), 41 (9), 29 (0) and 37 (3) with adaptation,  
362 for Athens, Budapest, Milan and Rome, respectively (Figure 2). In terms of the difference  
363 (%) in impacts between including and excluding adaptation (Figure 3), these are equivalent to  
364 49% (93%), 48% (89%), 60% (100%) and 52% (96%) respectively (Figure 3). In 5 cities a  
365 relative threshold shift of 100% results in  $\Delta$ Mort-CC reaching zero (London, Milan, Paris,  
366 Stockholm, Turin), i.e. climate change has no effect on heat-related mortality with adaptation.

367 Across the methods we considered, the smallest ranges in impacts are with reducing the slope  
368 of the ERF. For all cities, the differences in impacts between adaptation and no adaptation are  
369 smaller than 40% when the slope is reduced by the maximum amount we considered (25%  
370 reduction in slope; see Figure 3).

371 The methods we investigated generally have similar effects on  $\Delta\text{Mort-CC}$  across cities, i.e.  
372 increasing the thresholds in the increments we considered from 0-4°C and 0-100%, and  
373 reducing the slope by 0-25% respectively, are all associated with broadly linear declines in  
374  $\Delta\text{Mort-CC}$  as the magnitude of assumed adaptation increases (Figure 2). The analogue ERF  
375 method, however, results in more disparate estimates of  $\Delta\text{Mort-CC}$ . There are large  
376 differences between adaptation and no adaptation for some cities. For Ljubljana and London,  
377  $\Delta\text{Mort-CC}$  is 36 and 19 deaths per 100,000 (no adaptation) and 2 and 6 deaths per 100,000  
378 (with adaptation), respectively (Figure 2). This is equivalent to differences of 94%  
379 (Ljubljana) and 68% (London) between adaptation and no adaptation (Figure 3). However,  
380 for other cities the use of analogue ERFs results in greater  $\Delta\text{Mort-CC}$  with adaptation than  
381 without, e.g. for Stockholm, Turin and Valencia where  $\Delta\text{Mort-CC}$  is 16, 11 and 13 deaths per  
382 100,000 without adaptation and 20, 20 and 92 with adaptation, respectively (Figure 2).

383

### 384 **Comparison of adaptation, emissions and climate modelling uncertainty**

385 Table 5 shows the effects of adaptation, emissions and climate modelling uncertainties on  
386 projected impacts. It compares the largest range in impacts from all the adaptation modelling  
387 methods we investigated with the range in impacts from using two emissions scenarios  
388 without adaptation, and the range in impacts with 5 GCMs with one emissions scenario  
389 without adaptation respectively. The range in impacts that arises from adaptation modelling  
390 uncertainty is greater than the range that arises due to emissions uncertainty for every city. It

391 is also greater than the range due to climate modelling uncertainty for 13 out of 14 cities.  
392 These differences are considerable in some cases, e.g. for Athens, Budapest and Rome, the  
393 ranges due to adaptation uncertainty are 88, 80 and 80 whilst for climate modelling  
394 uncertainty they are 46, 57 and 45 deaths per 100,000 respectively.

395 The large ranges due to adaptation uncertainty are in all but one city (Helsinki) associated  
396 with a relative threshold shift combined with a reduction in ERF slope (Table 5). However,  
397 even for Helsinki, adaptation uncertainty still results in a magnitude of impact that falls  
398 outside of the distribution of impacts estimated from multiple climate models and emissions  
399 scenarios. Application of some of the other methods also results in ranges that are larger than  
400 those from climate modelling and emissions uncertainty (denoted by  $A_x$  in Figure 2) because  
401 for 12 cities  $A_x$  is greater than or equal to 2, with such cases usually involving the relative  
402 threshold shift method.

403 The range in impacts from using an absolute threshold shift is smaller than the range from  
404 using multiple GCMs and/or RCPs, for all cities. The ranges are larger when absolute  
405 threshold shifts are combined with reductions in ERF slope but apart from three cities  
406 (Athens, Barcelona, Valencia) the ranges are smaller than those from using multiple GCMs  
407 or RCPs.

408 With exception to Ljubljana and Valencia the range in impacts from using analogue ERFs is  
409 smaller than the range from using multiple GCMs and multiple RCPs, for all cities.

410

## 411 **Discussion**

### 412 **Application of linear ERFs**

413 We used city-specific ERFs that describe *linear* relationships between daily apparent  
414 temperature and mortality in the form of a slope. They were derived from a set of *non-linear*  
415 curves developed from a flexible parametric approach presented by Baccini et al. (2008)  
416 (their Figure 1). Baccini et al. (2008) summarised their non-linear relationships by two linear  
417 terms constrained to join at a common point (the city-specific thresholds). They obtained the  
418 thresholds by the maximum likelihood approach proposed by Muggeo (2003) so that a linear  
419 slope above the threshold was used as an effect estimate for each city. These were used as the  
420 ERFs in our study. As others have noted (Kingsley et al. 2016), the summarised association  
421 between mortality and temperature per increment in temperature (1°C in this case) differs  
422 depending on where along the exposure–response curve one starts, for nonlinear exposure–  
423 response relationships like those defined by Baccini et al. (2008). For a highly non-linear  
424 curve, the strength of the temperature-mortality response might be higher further along the  
425 curve where its gradient is larger (i.e. at higher temperatures) than it might be closer to the  
426 threshold where the gradient is lower. Baccini et al. (2008) started from the threshold  
427 temperature when computing their effect estimates, so if their curves were highly non-linear,  
428 it would be fair to assume that we under-estimated the climate change effects of temperature  
429 on heat-related mortality. However, visual inspection of the curves presented by Baccini et al.  
430 (2008) suggests that the curves are broadly linear beyond the threshold temperatures.  
431 Therefore we did not calculate climate change impacts with non-linear ERFs.

432 A goal of our paper is to provide a point of reference to the sensitivity of climate change  
433 impacts to different adaptation modelling methods, for researchers conducting climate change  
434 impact assessments for heat-related mortality. Considering that almost all previous  
435 assessments use linear ERFs derived from estimates of RR for an increase in temperature  
436 above a specific value (Baccini et al. 2011; Hajat et al. 2014; Peng et al. 2011; Petkova et al.  
437 2013; Schwartz et al. 2015; Vardoulakis et al. 2014; Wu et al. 2014) we also used linear

438 ERFs because it is likely that future studies will also do so. Thus our conclusions should be  
439 readily interpretable by the community. This is another reason why we did not calculate  
440 impacts with non-linear ERFs. In addition, the application of linear ERFs makes it  
441 straightforward to apply simple changes in slope and location to represent adaptation. The  
442 potential gains of using non-linear associations would be outweighed by the increased  
443 complexity in implementing adaptation options.

444 The algorithm described by Muggeo (2003) and used by Baccini et al. (2008) for the  
445 threshold temperature estimation can be unstable. This means the linear relationship we used  
446 can be sensitive to the threshold. We did not investigate the sensitivity of our estimated  
447 impacts to this because our goal was to demonstrate the sensitivity of impacts to adaptation  
448 methods. The drawback of this algorithm may be accounted for by using different starting  
449 points for each temperature and lag structure when running the algorithm (Rodopoulou et al.  
450 2015).

451 Our projected impacts are not only a function of the projected climate, but also of the  
452 baseline mortality rate, which appears in Equation (5). The sensitivity of impacts to baseline  
453 mortality values has been noted by others (Baccini et al. 2011). We controlled for this in our  
454 experimental design by holding the baseline mortality rate constant between present and  
455 future periods, in line with others (e.g. Gosling et al. 2012; Peng et al. 2011; Petkova et al.  
456 2013; Wu et al. 2014), to isolate the effects of climate model, emissions, and adaptation  
457 modelling uncertainties on the impact estimates. Changing the baseline mortality rates  
458 between future and present would affect the projected absolute number of deaths, since some  
459 future deaths would be attributable to changes in the baseline mortality rate, but it would not  
460 affect the mortality rates *attributable to climate change* ( $\Delta\text{Mort-CC}$ ).

461 Some studies have calculated the baseline mortality rate from either daily mortality excluding  
462 deaths attributable to temperature (Hajat et al. 2014), or mortality on non-heatwave days  
463 (Peng et al. 2011; Wu et al. 2014). This means that the baseline mortality rate is  
464 representative of the mortality rate of the exposed population at the threshold temperature.  
465 Others have calculated the baseline mortality rate from the total number of deaths (Baccini et  
466 al. 2011; Huynen and Martens 2015; Petkova et al. 2013; Schwartz et al. 2015; Vardoulakis  
467 et al. 2014), which means it is representative of the whole exposed population year-round (i.e.  
468 at the threshold temperature and temperatures above this). We employed the last approach,  
469 simply because it is more commonly adopted, but we acknowledge that it would be useful in  
470 future work to investigate quantitatively the effect of calculating the baseline mortality rate  
471 with different methods.

472

### 473 **Impacts are highly sensitive to adaptation modelling methods**

474 Our *first* objective was to compare the range in projected impacts that arises from using  
475 different adaptation modelling methods. All previous assessments of the impacts of climate  
476 change on heat-related mortality have modelled adaptation with only one method (e.g.  
477 Hayhoe et al. 2004; Honda et al. 2014b; Jenkins et al. 2014; Knowlton et al. 2007; Mills et al.  
478 2014; Zacharias et al. 2015), excluded it altogether (e.g. Baccini et al. 2011; Hajat et al. 2014;  
479 Kingsley et al. 2016; Peng et al. 2011; Vardoulakis et al. 2014; Wu et al. 2014), or in one  
480 case provided a comparison of impacts using only a subset of the range of modelling methods  
481 available (Huynen and Martens 2015). Here, for the first time, we have used multiple  
482 adaptation modelling methods with different assumed magnitudes of adaptation and shown  
483 that the ranges in projected impacts varies significantly according to what adaptation  
484 modelling method is employed.

485 This significant sensitivity is well illustrated with an example. Electing to model adaptation  
486 with a 4°C absolute threshold shift for Milan would suggest that the least effect climate  
487 change will have on heat-related mortality is an *additional* 44 heat-related deaths (per  
488 100,000) each year than in the present day (Figure 2). However, modelling adaptation with a  
489 different method (relative threshold shift with a reduction in ERF slope) suggests that there  
490 will be 2 (per 100,000) *fewer* deaths each year with climate change than in the present-day.  
491 This magnitude of impact is only observed if this specific adaptation modelling method is  
492 applied. Such an effect does not occur with any of the other adaptation modelling methods.  
493 Nor does it occur under a low emissions (RCP2.6) scenario or when multiple GCMs are  
494 considered without adaptation, where the least effect climate change will have on heat-related  
495 mortality is an additional 17 and 31 annual heat-related deaths (per 100,000) respectively.

496 To this end our results highlight that forthcoming studies need to carefully consider what  
497 methods they use to model adaptation because we have shown the range in projected impacts  
498 is highly sensitive to what adaptation modelling method is employed.

499 We observed that increases in the magnitude of each adaptation modelling method (apart  
500 from analogue ERF) generally had linear effects on  $\Delta\text{Mort-CC}$  that were similar across cities  
501 (Figure 2). This suggests that the sensitivity of projected heat-related mortality impacts to  
502 adaptation modelling method is likely to hold for other cities across the globe.

503

#### 504 **Comparing uncertainty from adaptation uncertainty with that from climate modelling** 505 **and emissions**

506 Our *second* objective was to compare the range in impacts that arises from adaptation  
507 uncertainty to the ranges from climate modelling and emissions uncertainty respectively. We

508 found that adaptation modelling uncertainty results in large ranges of projected heat-related  
509 mortality impacts. In 13 out of 14 cities it results in ranges that are larger than those caused  
510 by both climate modelling and emissions uncertainty respectively. This is largely as a result  
511 of modelling adaptation with relative threshold shifts. When other methods are used the  
512 ranges are still large but typically smaller than those from climate modelling and emissions  
513 uncertainty. Our results confirm other studies that have shown large differences in impacts  
514 between adaptation and no adaptation cases (e.g. Honda et al. 2014a; Jenkins et al. 2014;  
515 Petkova et al. 2016; Sheridan et al. 2012) but here we have provided additional understanding  
516 by specifically showing that adaptation uncertainty can have a greater effect on heat-related  
517 mortality rates attributable to climate than climate modelling and emissions uncertainty.

518

### 519 **Recommended adaptation modelling methods for future assessments**

520 Our *third* objective was to recommend one or several methods to use in future impact  
521 assessments. Our results lead us to advise that future assessments should carefully consider  
522 the plausibility of the adaptation modelling methods they employ when projecting heat-  
523 related mortality.

524 Absolute threshold shifts are a popular method for modelling adaptation in impact studies but  
525 they have always been shifted by between 1-4°C without being informed by epidemiological  
526 evidence of observed threshold shifts (Dessai 2003; Gosling et al. 2008; Huynen and Martens  
527 2015; Jenkins et al. 2014). However, there is now growing evidence to support the magnitude  
528 of these shifts. Absolute threshold temperatures increased by 1.5-3°C between 1972-1994 in  
529 Tokyo (Honda et al. 2006), by around 10°C between 1901-2009 in Stockholm (Åström et al.  
530 2016) and by 0.7°C from 1968–1981 to 1996–2009 in France (Todd and Valleron 2015).

531 Although observed increases in thresholds vary between studies, have occurred over different



532 time periods, and thresholds have decreased in a limited number of locations (Miron et al.  
533 2007), we argue that it is reasonable in light of the epidemiological evidence to assume that  
534 ERFs might shift by between 1-4°C in the future. Thus we recommend this method for  
535 application in future impact studies. However, we also encourage further epidemiological  
536 studies that investigate the magnitude of historical shifts in absolute threshold temperatures  
537 and in addition improved empirical assessment of the factors that drive such shifts in  
538 sensitivity and their associated costs.

539 Users of the relative threshold method (Honda et al. 2014a; Honda et al. 2014b; Zacharias et  
540 al. 2015) justify its application with reference to the observation that threshold temperatures  
541 can generally be estimated using the 80–85<sup>th</sup> percentile of daily maximum temperature in  
542 multiple locations in Japan (Honda et al. 2007; Honda et al. 2014b). However, relative  
543 thresholds can vary over time (Åström et al. 2016) and between countries (Gasparri et al.  
544 2015a), which questions the rationale behind this method. The method has also been  
545 criticised for its inappropriateness for projecting climate change impacts because the relative  
546 threshold may not be a valid proxy for the absolute threshold in the future (Åström et al.  
547 2016). In addition, referring to “100% adaptation” (Honda et al. 2014a) is somewhat  
548 misleading because we have shown that climate change still causes an increase in heat-related  
549 mortality compared to present-day under this assumption. This is because the shape *and*  
550 location of the future temperature distribution changes but a relative threshold shift of 100%  
551 does not entirely account for the change in shape. In light of these limitations the method  
552 should be applied with caution and relative threshold shifts of 100% should be carefully  
553 considered with respect to their plausibility.

554 Our results confirm criticisms that impacts based on the analogue ERF method may be biased  
555 if social, economic, and demographic characteristics related to mortality differ greatly  
556 between city pairs (Huang et al. 2011). Application of this method in our study did not

557 always have the effect of reducing mortality relative to no adaptation (e.g. for Milan,  
558 Stockholm, Turin, Valencia, and Zurich). One reason for this is that the method is sensitive to  
559 the “matching” of one city to another – some matches were better than others. Another reason  
560 is the cities were matched based only upon their daily  $AT_{max}$  distributions and not the socio-  
561 economic characteristics that contribute to the thresholds and slopes of the city-specific ERFs  
562 (Baccini et al. 2008). The method might work well for locations that share similar socio-  
563 economic characteristics but not otherwise. Therefore we recommend that future impact  
564 studies consider whether it is plausible to apply the analogue ERF method when taking into  
565 account similarities and differences between the socio-economic characteristics of the  
566 different locations under investigation.

567 We are aware of only one impact study that has modelled adaptation by reducing the slope of  
568 the ERF (Huynen and Martens 2015), which is surprising considering the growing body of  
569 epidemiological evidence that generally shows a decreasing sensitivity to heat over time  
570 (Barnett 2007; Bobb et al. 2014; Gasparri et al. 2015a; Guo et al. 2012; Ha and Kim 2013;  
571 Petkova et al. 2014a; Schwartz et al. 2015; Sheridan et al. 2008). Along with others (Huang et  
572 al. 2011), we therefore see this method as showing significant potential for application in  
573 impact studies.

574 Overall, we recommend that future impact studies model adaptation by absolute threshold  
575 shifts and reductions in ERF slope. This should, however, not be done arbitrarily. We suggest  
576 that researchers first check the validity of the magnitude of adaptation assumed by exploring  
577 the evidence for, and magnitude of, historical adaptation in the chosen location of  
578 investigation. This should yield quantification of shifts in the threshold temperature and  
579 declines in slope over the historical period. The analysis should be performed using as long a  
580 time-series of daily data that is possible, ideally spanning around 100 years because the most  
581 compelling evidence for adaptation over the historical period is from studies that have

582 analysed datasets of this length (Arbuthnott et al. 2016; Astrom et al. 2013; Carson et al.  
583 2006; Ha and Kim 2013; Petkova et al. 2014a). If adaptation is not observed over the  
584 historical period and/or there is a lack of available data, then it should not be assumed that  
585 future adaptation is impossible. Rather, the reasons for this should be investigated and  
586 adaptation modelling should be undertaken. We recommend applying a shift in absolute  
587 threshold between 1-4°C in such cases because this is broadly within the range of shifts in  
588 threshold temperature observed for some locations (Åström et al. 2016; Honda et al. 2006;  
589 Todd and Valleron 2015). However, the results should be interpreted within the knowledge  
590 that historical adaptation has not occurred and/or there was not enough available data to  
591 observe it. Analysis of historical trends in adaptation will indicate whether both the threshold  
592 and slope have changed over time, or whether only one has. This in turn should inform which  
593 method to use in the climate change impact assessment.

594 We assumed in our comparison that the methods employed in previous studies for particular  
595 locations could readily be transferred to different locations. Previous studies that have used  
596 these methods have also made this assumption. Apart from the analogue ERF method, which  
597 we have shown may not be a plausible method for some locations, our results suggest no  
598 reason why the methods cannot be applied to locations that are different from where they  
599 have been used previously. However, as we have already noted, when using these methods  
600 for a new location, researchers should check the validity of the assumed magnitude of  
601 adaptation, by exploring historical adaptation trends for that location. Whilst our  
602 recommendation is based upon an analysis of existing methods we also encourage the  
603 development of new methods for modelling adaptation across large populations. These might  
604 include shifts and declines in slope where the magnitudes vary seasonally or inter-annually,  
605 to reflect the lead-in times that typify decreasing sensitivity to heat over the historical period  
606 (Arbuthnott et al. 2016; Petkova et al. 2016). It would also be worthwhile to attempt to

607 separate the beneficial effects of autonomous adaptation from planned adaptation (Petkova et  
608 al. 2014b) because all the methods we employed combine the two together. In practical  
609 terms, it is likely that the two mechanisms will operate at different magnitudes,  
610 heterogeneously across locations, and at different spatial and temporal scales.

611

## 612 **Conclusions**

613 To the best of our knowledge, we have conducted the first climate change impact assessment  
614 for heat-related mortality that systematically compares projections using the six main  
615 methods for modelling adaptation that have been employed in previous studies.

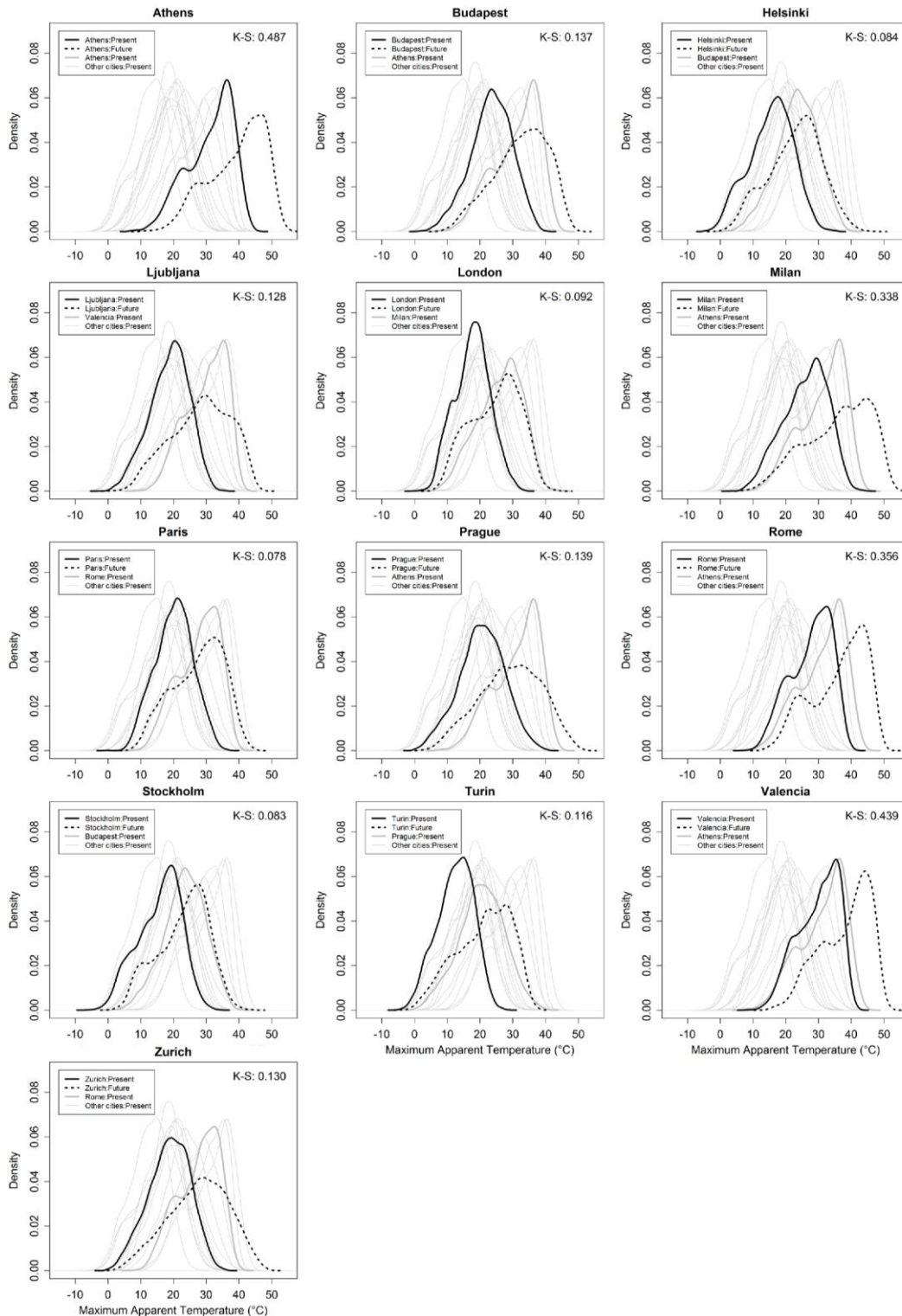
616 We found that on average across all 14 cities, the range of the difference (%) in impacts  
617 between including and excluding adaptation, independent of climate modelling and emissions  
618 uncertainty, can be as low as 28% with one method (reduction in slope of the ERF) and up to  
619 103% with another (relative threshold shift combined with a reduction in slope of the ERF).  
620 Furthermore, we have shown that in 13 of the 14 cities the ranges in projected impacts due to  
621 adaptation uncertainty are larger than those associated with climate modelling and emissions  
622 uncertainty.

623 Therefore we strongly encourage an advancement beyond the prevailing methodological  
624 approach adopted in most impact studies, which has traditionally focussed on accounting for  
625 climate modelling and/or emissions uncertainties at the expense of ignoring adaptation (e.g.  
626 Baccini et al. 2011; Hajat et al. 2014; Kingsley et al. 2016; Peng et al. 2011; Vardoulakis et  
627 al. 2014; Wu et al. 2014). This status quo has developed from an inherent assumption that the  
628 most important uncertainties to account for are climate modelling and emissions uncertainty,

629 as they have been shown to result in large ranges in impacts (e.g. Gosling et al. 2012; Hajat et  
630 al. 2014; Peng et al. 2011; Zacharias et al. 2015). Our results are in stark contrast to this.

631 Therefore researchers should carefully consider how to model adaptation. We call for a move  
632 towards impact assessments that explicitly report the range in impacts from using multiple  
633 GCMs, emissions scenarios *and* different adaptation assumptions, to provide a more  
634 comprehensive assessment of uncertainty. This will in turn provide policy- and decision-  
635 makers with a more holistic picture of potential climate change impacts. Ideally, this will help  
636 decision-makers adopt the appropriate scale and combination of different investments and  
637 interventions required for effective adaptation to climate change.

638 We treated adaptation in a purely statistical sense, without consideration of the specific  
639 programs, strategies and behavioural changes that are ultimately driving the adaptation  
640 assumptions we applied. More thorough and widespread evaluation of intervention measures  
641 will be paramount in closing this loop (Boeckmann and Rohn 2014). Therefore in parallel to  
642 our recommendations for future research we acknowledge that more evidence should be  
643 generated on the costs and effectiveness of the large array of adaptation mechanisms that  
644 underlay the modelling assumptions we applied here, from individual, technological to health  
645 system levels. This would enable policy- and decision-makers to focus on the most cost-  
646 effective interventions and researchers to base their adaptation methods on more robust data.



648

649 **Figure 1.** PDFs of present-day  $AT_{max}$  distributions for each city (solid black line), the future  
 650 distribution under climate change as simulated by HadGEM2-ES under RCP8.5 for the same

651 city (dashed black line) and all other cities (solid thin grey lines), and for the same city the  
652 distribution for the city that under climate change is best matched to it (solid thick grey line)  
653 according to the K-S statistic (displayed in the top right of each panel; K-S statistics for all  
654 possible matches are displayed in Table S1).





659 Also displayed is  $\Delta$ Mort-CC with climate change projections from five GCMs run under  
660 RCP8.5 with no adaptation (“GCMs”), and  $\Delta$ Mort-CC with climate change projections from  
661 HadGEM2-ES run under two emissions scenarios (RCP2.6 and RCP8.5) with no adaptation  
662 (“RCPs”). Blue lines and whiskers denote where impacts have been estimated with  
663 adaptation modelling methods employed and red lines and whiskers with no adaptation.  $A_x$   
664 denotes the number of adaptation modelling methods that have a range which is greater than  
665 or equal to the range for GCMs and/or RCPs. The ranges are quantitatively summarised in  
666 Table 4.

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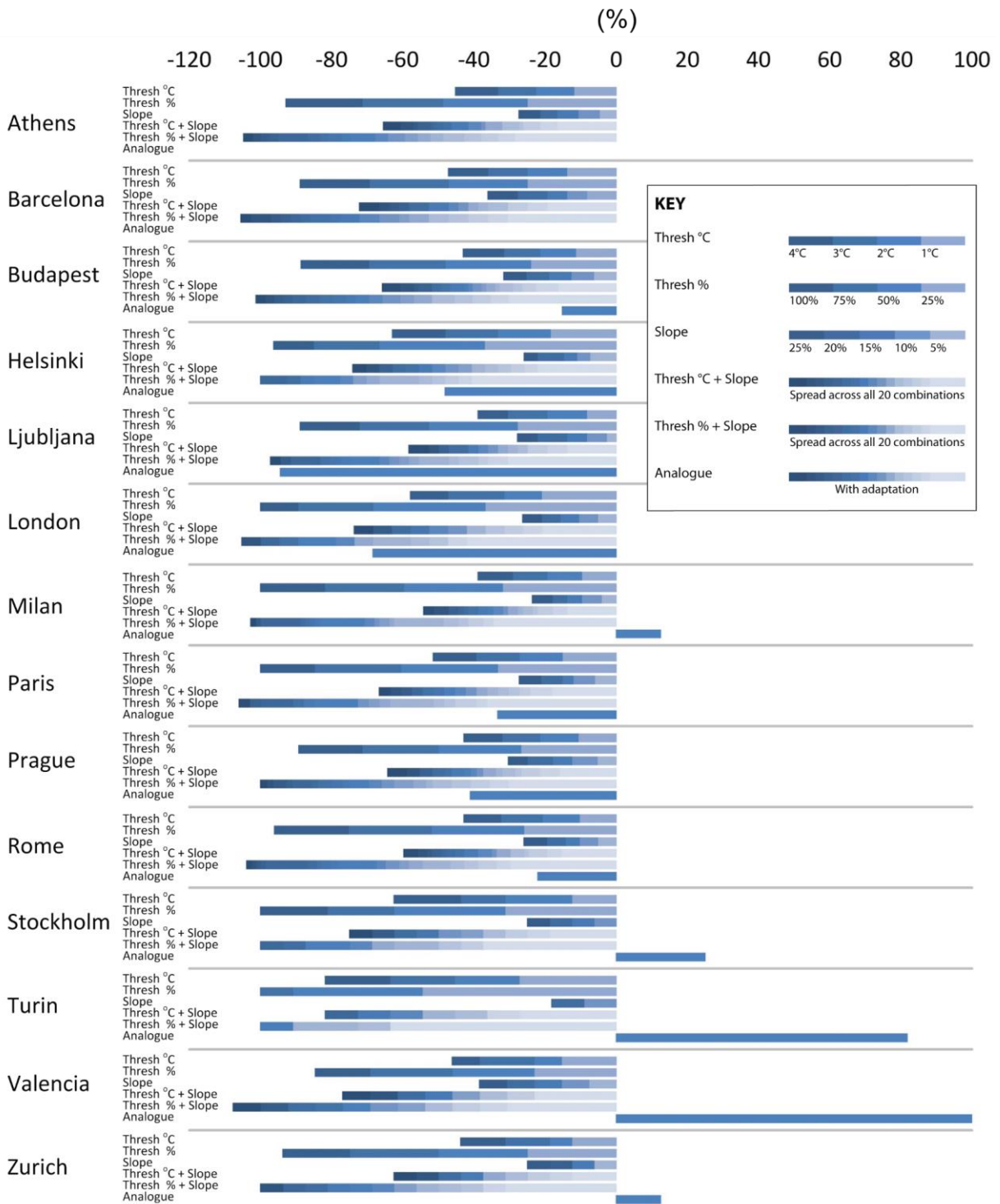
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681 **Figure 3.** Differences (%) between estimating  $\Delta$ Mort-CC with each adaptation modelling  
 682 method and with no adaptation. All estimates are for 2070-2099, with climate change  
 683 projections from the HadGEM2-ES GCM run under RCP8.5. The axis labels are the same as  
 684 in Figure 2. Notes: this is not a stacked bar graph – values should be read from the left (right)

685 of each box if they are left (right) of 0. No analogue projection is available for Athens  
686 because the city was its own match in the comparison of current and future temperature  
687 distributions; no analogue projection is available for Barcelona because a different exposure  
688 variable was used for projections for Barcelona than the other study cities.

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## Tables

**Table 1.** Summary of statistical methods used to model adaptation in climate change impact assessments for heat-related mortality.

Method	Summary	Strengths	Limitations	Studies that use the method
Absolute threshold shift.	The absolute threshold temperature is shifted to a higher value under climate change, between 2-4°C.	Straightforward to apply.	Magnitude of shift is arbitrarily defined without reference to epidemiological evidence.	Dessai (2003) Gosling et al. (2008) Huynen and Martens (2015) Jenkins et al. (2014)
Relative threshold shift.	The threshold, when defined as a percentile of the temperature distribution, is the same percentile under climate change as it is in the present (100% adaptation).	Straightforward to apply and supported by some (limited) empirical evidence.	Informed by evidence from only a single empirical study (Honda et al. 2006).	Honda et al. (2014a) Honda et al. (2014b) Zacharias et al. (2015)
Reduction in slope of the exposure response function (ERF).	The slope of the ERF is reduced under climate change, by up to 10%.	Straightforward to apply.	Magnitude of slope reduction is arbitrary and not straightforward to apply to non-linear ERFs.	Huynen and Martens (2015)
Combined absolute threshold shift with reduction in slope of the ERF.	The absolute threshold temperature is shifted to a higher value under climate and at the same time the slope of the ERF is reduced.	Intuitive because it assumes that both the threshold and sensitivity to increasing heat will change under climate change.	Magnitude of shift and slope reduction is arbitrary and not straightforward to apply to non-linear ERFs.	Huynen and Martens (2015)
Combined relative threshold shift with reduction in slope of the ERF.	The relative threshold temperature is shifted to a higher value under climate and at the same time the slope of the ERF is reduced.	Intuitive because it assumes that both the threshold and sensitivity to increasing heat will change under climate change.	Magnitude of shift and slope reduction is arbitrary and not straightforward to apply to non-linear ERFs.	Recommended by Huang et al. (2011) but not yet used in a climate change impact assessment.
Analogue ERFs.	Use ERFs for locations with present temperatures similar to those projected to occur in the location of interest under climate change.	Qualitatively informed by epidemiological evidence that populations in warmer/colder regions tend to be less/more sensitive to relatively higher temperatures (Davis et al. 2003).	Assumes that the underlying confounding factors that contribute to the ERF can be transferred to a different location.	Hayhoe et al. (2004) Knowlton et al. (2007) Mills et al. (2014)

**Table 2.** Components of the ERFs applied in this study, which are based upon model estimates derived by Baccini et al. (2008).

<b>City</b>	<b>Threshold temperature (°C)</b>	<b>Total population</b>	<b>Baseline daily mortality rate (per 100,000)</b>	<b>Relative Risk (RR)</b>	<b>Concentration Response Factor (CRF)</b>
<b>Athens</b>	32.7	3188305	2.12	1.0554	0.054
<b>Barcelona</b>	22.4	1512971	2.37	1.0156	0.015
<b>Budapest</b>	22.8	1797222	3.95	1.0174	0.017
<b>Helsinki</b>	23.6	955143	1.79	1.0372	0.037
<b>Ljubljana</b>	21.5	263290	2.39	1.0134	0.013
<b>London</b>	23.9	6796900	2.19	1.0154	0.015
<b>Milan</b>	31.8	1304942	2.02	1.0429	0.042
<b>Paris</b>	24.1	6161393	1.88	1.0244	0.024
<b>Prague</b>	22	1183900	2.95	1.0191	0.019
<b>Rome</b>	30.3	2812573	1.88	1.0525	0.051
<b>Stockholm</b>	21.7	1173183	2.38	1.0117	0.012
<b>Turin</b>	27	901010	2.12	1.0332	0.033
<b>Valencia</b>	28.2	739004	1.98	1.0056	0.006
<b>Zurich</b>	21.8	990000	1.17	1.0137	0.014

**Table 3.** Summary of the experimental design, showing the adaptation modelling methods compared and the GCMs and emissions scenarios used.

<b>Rationale:</b>	Range in impacts from adaptation uncertainty, controlling for climate modelling and emissions uncertainty							Range in impacts from climate modelling uncertainty, controlling for adaptation and emissions uncertainty	Range in impacts from emissions uncertainty, controlling for adaptation and climate modelling uncertainty
<b>GCMs:</b>	HadGEM2-ES							HadGEM2-ES, IPSL-CM5A-LR, MIROC-ESM-CHEM, GFDL-ESM2, NorESM1-M	HadGEM2-ES
<b>Emissions scenarios:</b>	RCP8.5							RCP8.5	RCP2.6, RCP8.5
<b>No. of climate model simulations:</b>	1	1	1	1	1	1	1	5	2
<b>Adaptation modelling method:</b>	No adaptation	Absolute threshold shift ("Thresh °C")	Relative threshold shift ("Thresh %")	Reduction in slope of the ERF ("Slope")	Combined absolute threshold shift with reduction in ERF slope ("Thresh °C + Sens")	Combined relative threshold shift with reduction in ERF slope ("Thresh % + Sens")	Analogue ERFs ("Analogue")	No adaptation	No adaptation
<b>Magnitude of adaptation investigated:</b>	None	1°C	25%	5%	All 20 possible combinations	All 20 possible combinations	Use ERF from analogue city	None	None
2°C		50%	10%						
3°C		75%	15%						
4°C		100%	20%						
			25%						

**Table 4.** Statistical ranges (maximum minus minimum values of the distribution) of the differences (%) between estimating  $\Delta$ Mort-CC with the upper limit of each adaptation modelling method (shown in parentheses) and with no adaptation. The values describe the width of each bar in Figure 3.

City	Absolute threshold shift (Thresh °C = 4°C)	Relative threshold shift (Thresh % = 100%)	Reduction in the slope of the ERF (Slope = 25%)	Absolute threshold shift combined with reduction in slope of ERF (Thresh °C + Sens = 4°C+ 25%)	Relative threshold shift combined with reduction in slope of ERF (Thresh % + Sens = 100% + 25%)	Analogue ERF (Analogue)
Athens	44	93	27	66	105	0
Barcelona	48	89	36	72	106	0
Budapest	42	89	32	66	101	15
Helsinki	61	96	26	74	100	48
Ljubljana	40	89	28	58	97	94
London	58	100	26	74	105	68
Milan	37	100	24	54	103	13
Paris	51	100	27	67	106	33
Prague	43	89	30	64	100	41
Rome	42	96	26	60	104	22
Stockholm	62	100	25	75	100	25
Turin	79	100	18	82	100	82
Valencia	45	85	38	77	108	608
Zurich	40	94	25	63	100	13
<b>Mean</b>	<b>49</b>	<b>94</b>	<b>28</b>	<b>68</b>	<b>103</b>	<b>76<sup>a</sup></b>

a The mean is 35 if the result 608 for Valencia is removed.



**Table 5.** Statistical ranges (maximum minus minimum values of the impact distribution) and spread (minimum to maximum values that constitute the range, in parentheses) of  $\Delta$ Mort-CC impacts (per 100,000) due to adaptation modelling uncertainty (calculated from the largest range in impacts from all the adaptation modelling methods investigated), climate modelling uncertainty (spread and range for 5 GCMs with no adaptation) and emissions uncertainty (spread and range for two RCPs with one GCM and with no adaptation). The range values describe the width of each bar in Figure 2.

City	Range in impacts due to adaptation modelling uncertainty <sup>a</sup>	Adaptation modelling method that results in largest spread	Range in impacts due to climate modelling uncertainty	Range in impacts due to emissions uncertainty
Athens	88 (-4 – 84)	Thresh % + Slope	46 (46 – 92)	54 (30 – 84)
Barcelona	38 (-2 – 36)	Thresh % + Slope	24 (18 – 42)	25 (11 – 36)
Budapest	80 (-1 – 79)	Thresh % + Slope	57 (39 – 96)	52 (27 – 79)
Helsinki	27 (0 – 27)	Thresh % + Slope	30 (7 – 37) <sup>b</sup>	21 (6 – 27)
Ljubljana	35 (1 – 36)	Thresh % + Slope	23 (13 – 36)	26 (10 – 36)
London	20 (-1 – 19)	Thresh % + Slope	19 (5 – 24)	15 (4 – 19)
Milan	74 (-2 – 72)	Thresh % + Slope	41 (31 – 72)	55 (17 – 72)
Paris	35 (-2 – 33)	Thresh % + Slope	29 (16 – 45)	25 (8 – 33)
Prague	56 (0 – 56)	Thresh % + Slope	34 (22 – 56)	38 (18 – 56)
Rome	80 (-3 – 77)	Thresh % + Slope	45 (37 – 82)	51 (26 – 77)
Stockholm	16 (0 – 16)	Thresh % + Slope	16 (4 – 20)	11 (5 – 16)
Turin	11 (0 – 11)	Thresh % + Slope	9 (2 – 11)	11 (0 – 11)
Valencia	79 (13 – 92)	Analogue	8 (7 – 15)	9 (4 – 13)
Zurich	16 (0 – 16)	Thresh % + Slope	9 (7 – 16)	12 (4 – 16)

a Negative values denote that fewer deaths occur in the future with climate change than in the present-day.

b The range due to either GCM or emissions uncertainty is smaller than the range due to adaptation modelling uncertainty.

# Supplemental Material

		Future AT <sub>max</sub> Distributions												
		Athens	Budapest	Helsinki	Ljubljana	London	Milan	Paris	Prague	Rome	Stockholm	Turin	Valencia	Zurich
Present AT <sub>max</sub> Distributions	Athens	0.487	0.137	0.434	0.165	0.354	0.338	0.200	0.139	0.356	0.441	0.479	0.439	0.194
	Budapest	0.703	0.497	0.084	0.312	0.136	0.592	0.293	0.342	0.628	0.083	0.155	0.678	0.283
	Dublin	0.916	0.804	0.520	0.680	0.579	0.825	0.673	0.699	0.868	0.542	0.456	0.922	0.664
	Helsinki	0.870	0.742	0.413	0.600	0.480	0.765	0.586	0.620	0.795	0.436	0.347	0.875	0.576
	Ljubljana	0.823	0.678	0.293	0.517	0.379	0.724	0.504	0.535	0.745	0.320	0.228	0.828	0.493
	London	0.854	0.720	0.377	0.575	0.451	0.751	0.561	0.595	0.776	0.402	0.305	0.858	0.550
	Milan	0.637	0.363	0.160	0.191	0.092	0.515	0.137	0.226	0.551	0.144	0.222	0.595	0.161
	Paris	0.785	0.628	0.236	0.466	0.328	0.683	0.454	0.484	0.711	0.262	0.177	0.784	0.443
	Prague	0.742	0.575	0.171	0.411	0.266	0.639	0.395	0.424	0.673	0.199	0.116	0.738	0.382
	Rome	0.617	0.317	0.270	0.164	0.167	0.492	0.078	0.198	0.527	0.253	0.310	0.573	0.130
	Stockholm	0.876	0.750	0.412	0.606	0.485	0.773	0.592	0.628	0.804	0.438	0.341	0.882	0.581
	Turin	0.950	0.848	0.587	0.726	0.632	0.867	0.721	0.748	0.911	0.605	0.523	0.955	0.713
	Valencia	0.566	0.229	0.372	0.128	0.282	0.422	0.130	0.145	0.452	0.372	0.413	0.520	0.140
	Zurich	0.805	0.660	0.276	0.501	0.364	0.705	0.489	0.521	0.729	0.303	0.213	0.809	0.478

Table S1. K-S statistics between present and future warm season daily AT<sub>max</sub> distributions for each city. The best match for each city is shaded.

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