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# Mechanical durability of superhydrophobic surfaces: the role of surface modification technologies

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## Abbreviations

SS: silica sol;

OA: octadecanoic acid;

HDFS: heptadecafluoro-1,1,2,2-tetrahydrodecyltrichlorosilane;

HTS: hexadecyltriethoxysilane;

TEOS: Tetraethoxysilica;

HMDS: hexamethyldisilazane;

HPW: High purity water;

SEM: scanning electron microscope;

AFM: atomic force microscope;

EDS: Energy dispersion spectroscopy;

XPS: X-ray photoelectron spectroscopy;

SCA: static contact angle;

SA: slide angle.

**Abstract:** Various surface modification technologies have been used to develop superhydrophobic surface, however their durability has been recognized as the major obstacle for the real applications. Here a quantitative investigation was conducted to evaluate the effects of different surface modification methods on the surfaces' mechanical durability. The superhydrophobic surfaces were prepared by the combination of two surface roughing methods (etching and sandblasting) with chemical modifications with four low surface energy materials: silica sol (SS), octadecanoic acid (OA), heptadecafluoro-1,1,2,2-tetrahydrodecyltrichlorosilane (HDFS) and hexadecyltriethoxysilane (HTS). XPS was used to analyze the elements composition and AFM was used to measure the roughness of the surfaces. The durability of these surfaces was tested by a sandpaper abrasion experiment. The collective results showed that the low surface energy materials had significant effects on the surface roughness, which would then play an important role in the durability of these rough surfaces. The SS modified rough surfaces possessed higher roughness and better durability than the

1 surfaces modified by other three low surface energy materials. SS modified rough surfaces could bear  
2 60 cycles of abrasion with 10 g weights on 1500 CW sandpaper.

3  
4 **Keywords:** superhydrophobic; surface roughness; low surface energy material; durability; abrasion;  
5 sandblast

## 6 7 **1. Introduction**

8  
9 As a crucial aspect of interface chemistry, the wettability of a surface shows huge value in  
10 fundamental and industrial applications. Since lotus leaves have been found possessing  
11 superhydrophobic property, more and more researchers are motivated to study the superhydrophobic  
12 phenomenon. When a water droplet can stay on the surface with a static contact angle larger than  $150^\circ$   
13 and a slide angle less than  $10^\circ$ , the surface is called superhydrophobic surface. These characteristics  
14 make the surface achieve certain applicative properties in various fields, including antifogging [1-3],  
15 self-cleaning [4, 5], anti-smudge [6, 7], corrosion resistance [8, 9] and anti-frost [10, 11]. In order to  
16 achieve superhydrophobic surfaces where the droplets are in Cassie-Baxter state [12], great efforts  
17 have been made. Milionis et al. [13] summarized the progress on fabrication, design and  
18 understanding of mechanically durable superhydrophobic surfaces. Xue et al. [14] reviewed the  
19 recent advances in developing mechanically durable, corrosion-resistant, self-healing and easily  
20 repairable superhydrophobic surfaces, which would enable prolonged lifetime of  
21 superhydrophobicity for practical applications in the future. The methods prepared superhydrophobic  
22 surfaces can be represented by two steps: surface roughing and the subsequent chemical modification  
23 by low surface energy materials. They are successful in the fabrication of superhydrophobic surfaces.  
24 However, these surfaces are severely restricted by their poor mechanical durability in industrial and  
25 practical uses. To enhance their mechanical properties, researchers have done a lot of work. Peng et  
26 al. [15] fabricated a rough aluminum surface via a one-step anodization process and a subsequent  
27 modification with 1H,1H,2H,2H-perfluorodecyltriethoxysilane (PDES) and stearic acid (STA). They  
28 optimized the preparation parameters to fabricate the best rough morphology for the mechanical  
29 durability. Zhang et al. [16] introduced micro/nano-pores in PTFE films in order to prepare more  
30 durable superhydrophobic surface. To improve durability, Cho et al. [17] proposed a fabrication  
31 process in which the dual-scale structures were prepared by combining sandblasting with surface  
32 hydroxidation. Further, the roles of the low surface energy materials were studied. Scarratt et al. [18]  
33 reported the effects of Teflon AF film wrinkles on the durability, but only one single low surface  
34 energy material was considered. Vengatesh et al. [19] investigated the impact of long chain fatty acid,  
35 perfluorinated fatty acid and perfluorosulfonicacid-polytetrafluoroethylene copolymer on the  
36 superhydrophobicity of anodized aluminum surfaces, but the durability was not mentioned. These  
37 studies indicate that a more quantitative analysis is necessary to study the effects of surface  
38 modification technologies on the durability, which is the crux for practical applications.

39 In this paper, the effects of surface modification technologies on durability were quantitatively  
40 studied. We used two methods to prepare different roughness surfaces: etching and sandblasting. For  
41 the etching method to make rough surface, the micro-bumps and nano-flowers were both acquired by  
42 chemical etching. For the sandblasting method to build rough surface, the sandblasting method was  
43 used to form micro-bumps followed by chemical etching to form nano-flowers on the micro-bumps.

1 We also used four low surface energy materials to subsequently modify the rough surfaces: silica sol  
2 (SS), octadecanoic acid (OA), heptadecafluoro-1,1,2,2-tetrahydrodecyltrichlorosilane (HDFS) and  
3 hexadecyltriethoxysilane (HTS). They were used to coat the rough surfaces through simple solution  
4 immersion method. Through combining the two roughing surfaces with the four low surface energy  
5 materials, eight kinds of superhydrophobic aluminum surfaces were fabricated. Then through  
6 sandpaper abrasion experiments, the effects of the eight modification technologies on the durability  
7 were investigated. Meanwhile a pencil hardness test was performed to evaluate the mechanical  
8 robustness of the superhydrophobic surfaces like [20]. Long-term exposed test at ambient temperature  
9 for 7 months was conducted to estimate the durability of the thin films.

## 11 2. Experimental

### 13 2.1 Materials

15 Pure aluminum plates [(50×30×0.8mm), 99.99% of purity] were purchased from Guangzhou  
16 HengTai Materials Co., China. Tetraethoxysilica (TEOS), octadecanoic acid (OA) and  
17 hexamethyldisilazane (HMDS) were obtained from Guangzhou QianHui Co., China. They were all  
18 analytical reagents. Hexadecyltriethoxysilane (HTS) and heptadecafluoro-1,1,2,2-tetrahydrodecyl-  
19 trichlorosilane (HDFS) were bought from Aladdin, ShangHai, China. Methanol, ethanol and acetone  
20 were also analytical grade and they were used without any further purification. High purity water  
21 (HPW) was prepared by a Purescience water purification system.

### 23 2.2 Modification of Al surfaces

#### 25 2.2.1 Procedure to prepare rough surfaces of Al

##### 27 **First method for rough surfaces (Method-etching):**

28 Al plates were cleaned by ultrasonic bath with acetone, ethanol, and HPW for 5 min respectively  
29 in sequence. Then they were dried by an air blower. The microstructures of the cleaned Al plates were  
30 prepared by chemical etching in NaClO solution with a volume concentration 1:1 (NaClO: HPW).  
31 The reaction was kept in 30 °C for 15 min [21]. After that, the plates were fished out and cleaned by  
32 HPW 2~3 times. 0.2 g NaOH solid was put in a beaker with 100 ml HPW to form a solution. It was  
33 then heated at 80 °C. The Al plates with microstructures were dipped in NaOH solution for 10 min at  
34 80 °C to obtain nano-flower structures. In the end the plates were cleaned 3 times by HPW, and then  
35 dried by the air blower for subsequent use.

##### 37 **Second method for rough surfaces (Method-sandblasting):**

38 For obtaining micro-bumps structures, Al plates were sprayed by sandblast device with sand  
39 particles at a pressure of 6 kgf cm<sup>2</sup>. The sizes of the sand particles were 500 mesh [17]. Then the sand  
40 blasted Al plates were cleaned by ultrasonic bath with acetone, ethanol, and HPW for 5 min in  
41 sequence before building nanostructures. Then they were dried by air blower. The method same as  
42 the first one is used to prepare nano-structures.

### 2.2.2 Procedure for the preparation of superhydrophobic modifiers

Hydrophobic silica sol (SS) was prepared as follows [22]. 30 ml ethanol was added in a beaker. 2.1 ml TEOS was dripped into the ethanol and mixed by vigorously stirring for 10 min. Then 2 ml HMDS was added to the solution slowly. After 30 min mechanical stirring, 3 ml HPW was dropped into the mixture. After 2 h constant stirring, the mixture formed a transparent sol. The transparent sol solution was placed at least 2 days for aging to form hydrophobic silica sol (SS). SS and ethanol were mixed with a volume concentration of 1:5 for the subsequent use.

Octadecanoic acid (OA) solution was obtained through adding 2 g octadecanoic acid solid particles into 100 ml ethanol with mechanical stirring at 50 °C till the solid particles were completely dissolved [23]. Hexadecyltriethoxysilane (HTS) (0.6 g) was dropped into 100 ml methanol [20] to form solution. And heptadecafluoro-1,1,2,2-tetrahydrodecyltrichlorosilane (HDFS) (0.5 ml) was dripped into 100 ml ethanol to form solution[24].

### 2.2.3 Procedure for prepared superhydrophobic surfaces

The nanostructures were prepared by the same means, but the microstructures were made by different means. For convenience, the first method making rough surface was called “etching” for short and the second was called “sandblasting” for short. The samples were defined as “N-M”, where N represented the method to build microstructures and M meant the modification materials. For example, the sample etching-SS meant that the rough structures were made by the first method and they were then chemically modified by SS. In this paper, 8 kinds of modified superhydrophobic surfaces were made: etching-SS, etching-OA, etching-HTS, etching-HDFS, sandblasting-SS, sandblasting-OA, sandblasting-HTS, and sandblasting-HDFS, respectively.

Etching-SS and sandblasting-SS were made through putting etching and sandblasting into SS for 18 h at ambient temperature. Their performances were researched after they were taken out and dried. etching-OA and sandblasting-OA were obtained by soaking etching and sandblasting into OA solution for 24 h at 30 °C. Then the samples were washed by ethanol and HPW for 2~3 times, respectively. After this, the samples were dried in an oven at 80 °C for 1 h. etching-HDFS and sandblasting-HDFS were prepared through adding etching and sandblasting to HDFS solution for 30 min at 25 °C followed by keeping them at 140 °C in an oven for 1 h. etching-HTS and sandblasting-HTS were achieved by soaking etching and sandblasting in HTS solution for 1 h at ambient, and then heating at 130 °C for 0.5 h in a oven.

### 2.3 Characterization

The wettability of these samples was measured by a JC2000C1 contact angle system (Shanghai, China) at ambient temperature with a 4 µl water droplet. The slide angles were measured by a drop of water released onto the inclined substrate from a defined height. The minimum angle of the inclined surface at which the drop completely rolling off the surface was recorded and that was the sliding angle [25]. Each kind of sample was measured 3 times on different positions and the average value was used. The morphological structures of the samples were observed using scanning electron microscope (SEM, Merlin, LEO1530VP, Germany). Platinum was sprayed onto the samples before observing the morphology in order to enhance the conductivity. The surface topography was captured

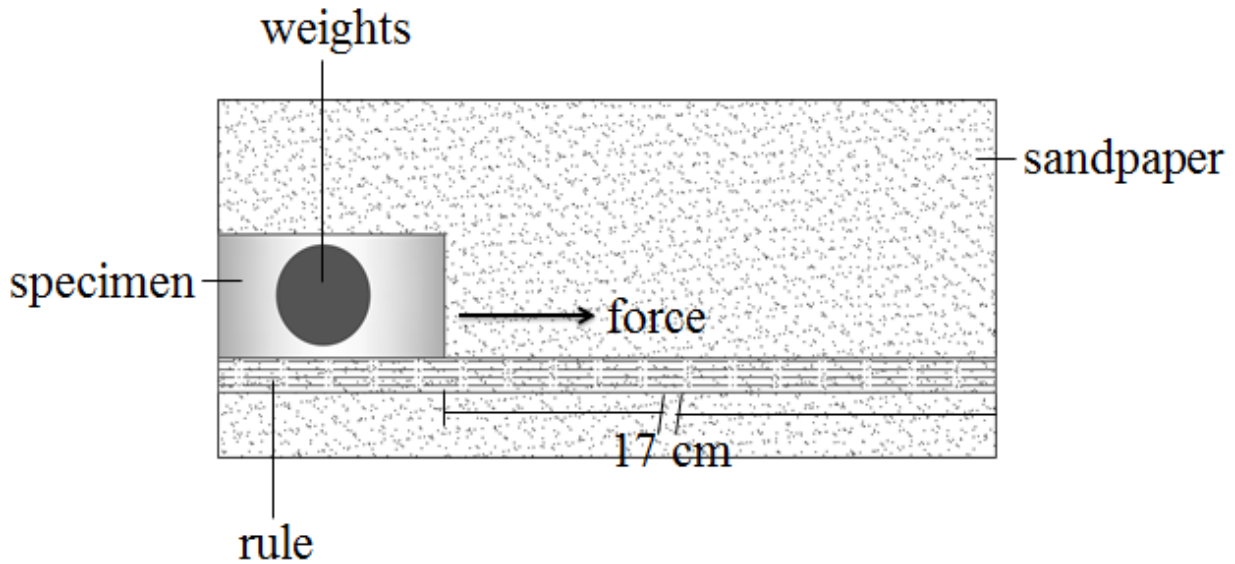
1 using atomic force microscope (AFM, XE-100, Park, Korea) with a scan size of  $5\ \mu\text{m} \times 5\ \mu\text{m}$ . The  
2 operating mode of AFM was contacting mode. Energy dispersion spectroscopy (EDS, Inca400,  
3 Oxford, England) and X-ray photoelectron spectroscopy (XPS, Axis Ultra DLD, Krato, England)  
4 techniques were used to obtain the chemical compositions of the modified samples. The samples were  
5 magnified  $1000\times$  in EDS measurements. XPS Spectra were recorded using an X-ray source of Al  $K\alpha$   
6 radiation with a scan range of 0~1100 eV binding energy and referenced with respect to adventitious  
7 carbon (C 1s: 284.6 eV). The chamber pressure was about  $5 \times 10^{-9}$  Torr.

## 8 2.4 Durability test

9

10 The mechanical durability of the obtained samples was evaluated via a sandpaper-abrasion method  
11 [26] illustrated in Fig. 1. The treated surfaces were placed face-down to the sandpaper (1500 CW).  
12 Adding 10 g weights on the sample, the surface was moved along with a ruler by a force at a speed  
13 of 5 mm/s. The static contact angles was measured after the abrasion test. The test was finished when  
14 the contact angle was less than  $150^\circ$ .

15



16

17 **Fig.1.** The schematic of sandpaper-abrasion test.

18 The mechanical robustness of the superhydrophobic surfaces was evaluated through a pencil  
19 hardness test on the surfaces before and after exposed at ambient temperature for 7 months. The  
20 durability of the thin films in the open air was estimated after exposed the surfaces outside for 7  
21 months.

22

## 23 3. Results and discussion

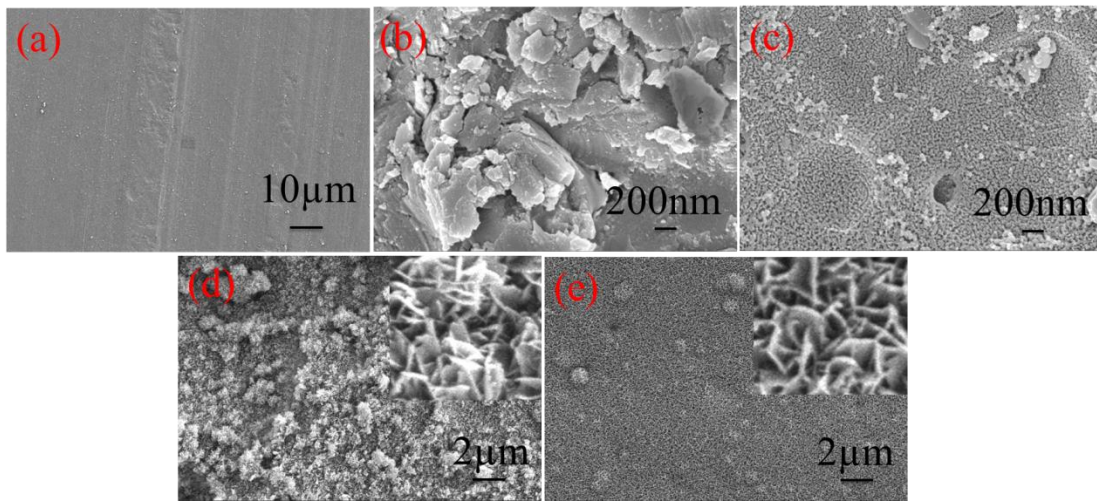
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### 25 3.1 Formation of hierarchically structures

26

27 The rough structures were created on the cleaned aluminum surfaces through two methods, namely,  
28 two-step chemical etching (etching) and sandblasting combined with wet chemical etching

1 (sandblasting). The purpose was to achieve different micro-structures of rough surfaces. The SEM  
2 images of bare aluminum and the prepared rough aluminum were provided in Fig. 2.



3  
4  
5 **Fig.2.** SEM images of (a): bare Al; (b): microstructural Al obtained by sandblasting; (c):  
6 microstructural Al obtained by NaClO etching; (d): hierarchical structure obtained by sandblasting  
7 combined with wet chemical etching (the amplified picture was inserted into the upper right corner);  
8 (e): hierarchical structure obtained by two-step chemical etching (the nanostructures were depicted  
9 in the upper right corner).

10  
11 From the SEM images, it could be seen that the bare Al surface was almost smooth without any  
12 rough structures. When it was sandblasted by sand as showed in Fig. 2 (b), the surface morphology  
13 changed significantly and it had micro-scale unevenness. Compared to sandblasted surface, when the  
14 surface was etched by NaClO solution as depicted in Fig. 2 (c), small particles appeared on the surface.  
15 They were Al<sub>2</sub>O<sub>3</sub> particles [21], which changed the surface morphology. These two structures of  
16 rough surfaces created different roughness. The values of roughness were discussed in AFM  
17 measurement. As revealed in Fig. 2 (d), after etched by sodium hydroxide solution, nano-flake  
18 structures were formed on the micro-scale structures. Fig. 2 (e) showed similar nano-flake structures  
19 as Fig. 2 (d). Two surfaces of different roughness were clearly showed from SEM images. For the  
20 surfaces modified by the same low surface energy material, the roughness influenced the durability,  
21 which would be discussed in the section of durability test.

### 22 23 3.2 Surface elemental composition

24  
25 The elemental compositions of the fabricated surface samples were analyzed using EDS  
26 spectroscopy and X-ray photoelectron spectroscopy (XPS) techniques. In Table 1, the elements  
27 acquired on the surfaces could confirm that the surfaces were covered by the low surface energy  
28 materials. In order to qualitatively analyze the elemental compositions, XPS was utilized. This  
29 spectroscopy was one of the surface sensitive techniques used to provide information on the changes  
30 in surface chemistry. XPS survey spectra were displayed in Fig. 3. It could be seen that the rough Al  
31 surfaces without low surface energy materials showed only C 1s, O 1s, Al 2p peaks in Fig. 3 (a).  
32 However, the C 1s peak was possibly caused by contaminant carbon [27]. In Fig. 3 (b), it showed the

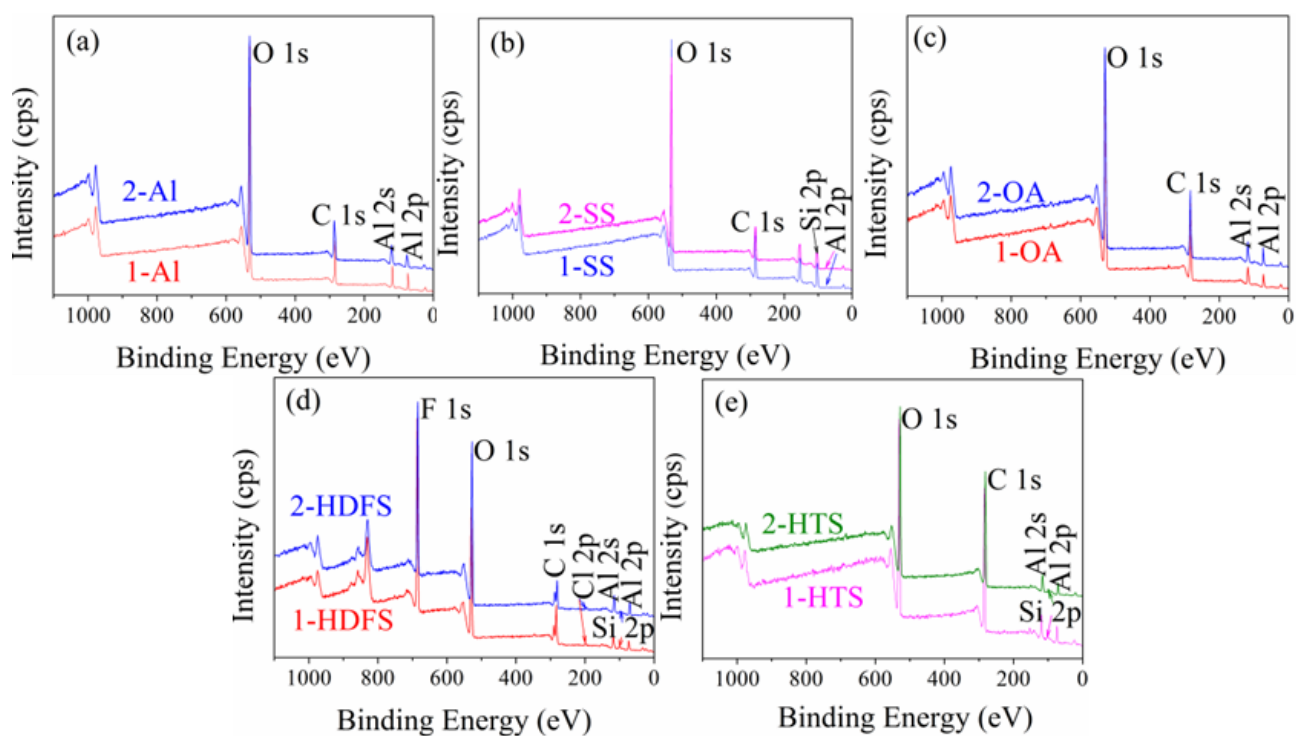
1 peak of Si 2p which was attributed to SS. The almost completely disappeared Al 2p peak indicated  
 2 that SS coated almost all areas of the rough surfaces, so that very little Al could be detected. When  
 3 the surface suffered physical wears, the SS became the first substance to be abraded and the rough  
 4 surfaces could be therefore protected. The surface morphology after SS coating could be seen in SEM  
 5 images in the following section. The intensity of C 1s peak in Fig. 3 (c) was obviously increased,  
 6 which confirmed that OA covered on the rough surfaces. The peaks of Si 2p, Cl 2p and F 1s seen in  
 7 Fig. 3 (d) indicated that HDFS was grafted on the surfaces. And the nearly disappeared Cl 2p peak  
 8 showed that HDFS hydrolyzed in the ethanol. In Fig. 3 (e), the increased intensity of C 1s peak and  
 9 the detected Si 2p peak proved that HTS existed on the rough surfaces. These results obtained from  
 10 XPS survey spectra were in accordance to those from EDS.

11  
 12 **Table 1**  
 13 Atomic percentages of bare Al, rough Al before and after modification.

sample	C (%)	O (%)	Al (%)	Cl (%)	F (%)	Si (%)
bare		2.93	97.07			
etching		39.02	60.98			
sandblasting		49.03	50.97			
etching-SS	23.95	36.19	38.44			
etching-OA	23.07	41.97	34.96			
etching- HDFS	18.91	34.83	44.05	0.76	1.06	0.38
etching- HTS	22.71	35.27	41.55			0.47
sandblasting -SS	25.15	45.16	27.15			
sandblasting -OA	22.56	46.65	30.79			
sandblasting -HDFS	16.51	45.17	36.44	0.33	1.14	0.41
sandblasting -HTS	22.47	39.18	37.75			0.6

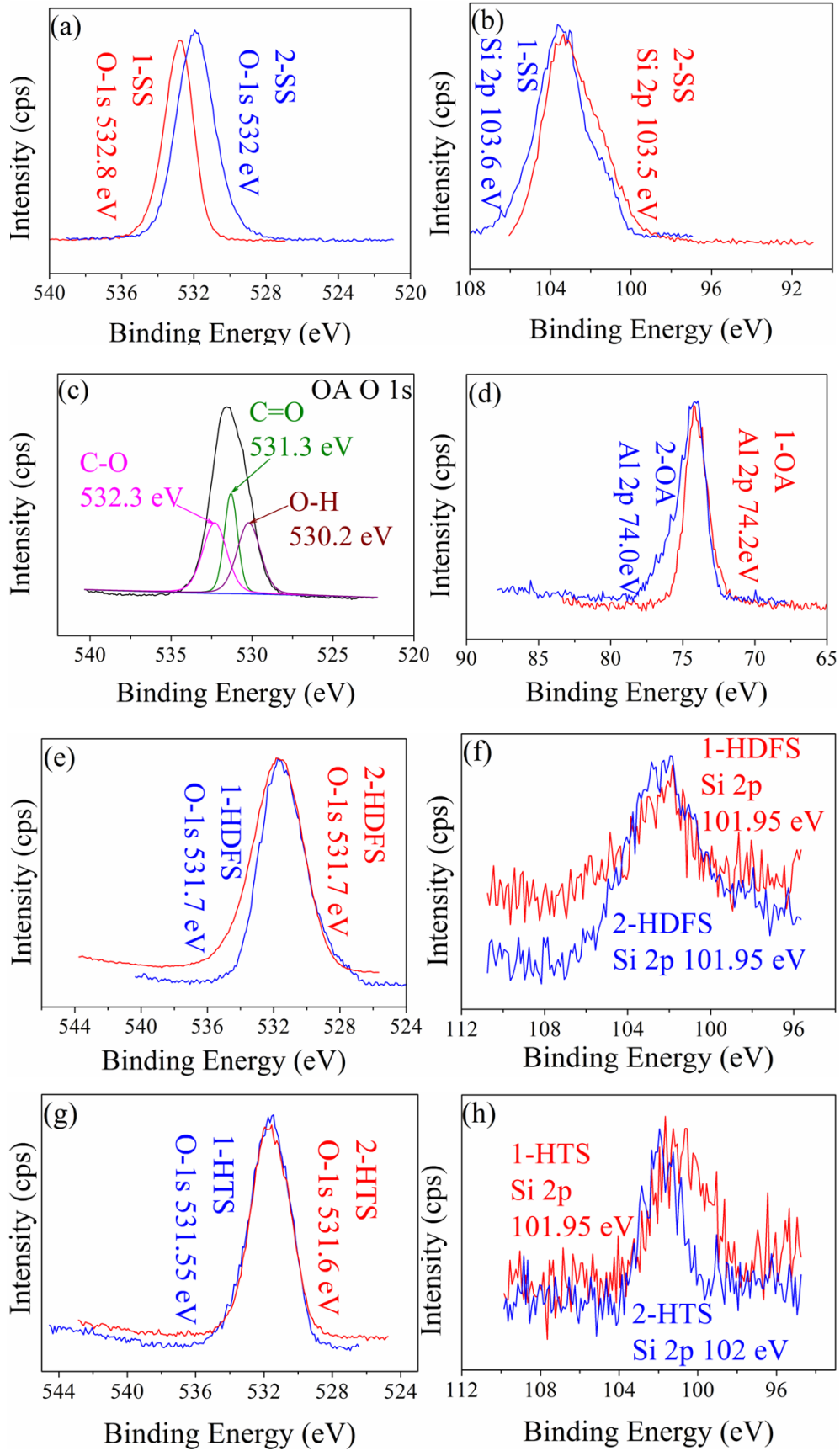
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1  
 2 **Fig.3.** XPS survey spectra of (a): etched rough Al surfaces; (b): rough Al surfaces modified by SS;  
 3 (c): rough Al surfaces modified by OA; (d): rough Al surfaces modified by HDFs; (e): rough Al  
 4 surfaces modified by HTS. In the images, “etching” meant that the rough Al surfaces were prepared  
 5 by chemical etch, and “sandblasting” meant that the rough Al surfaces were made by sandblast.  
 6

7 To get more information in the changes of the surface compositions, XPS deconvoluted spectrum  
 8 of modified rough surfaces were collected in Fig. 4. Fig. 4 (a) and (b) showed the O 1s and Si 2p  
 9 peaks of SS. The etching-SS O 1s peak at 532.8 eV and the sandblasting-SS O 1s at 532 eV were  
 10 attributed to SiO<sub>2</sub> [28, 29]. The Si 2p peaks at 103.6 eV and 103.5 eV of etching-SS and sandblasting-  
 11 SS came from SiO<sub>x</sub>, respectively [30-32]. These indicated that SS included many SiO<sub>2</sub> particles which  
 12 could improve the durability of rough surfaces [33]. In Fig. 4 (c), the three peaks of O 1s at 532.3 eV  
 13 (C-O), 531.3 eV (C=O) and 530.2 eV (-OH) [19] were three kinds of valence in OA molecules, which  
 14 indicated that the valence state of oxygen atom did not change. So the covalent bonds between OA  
 15 molecules and the rough surface were not formed. The Al 2p peaks of etching-OA and sandblasting-  
 16 OA still at about 74.0 eV which came from Al(OH)<sub>3</sub> [34] further explained that the rough Al surfaces  
 17 did not react chemically with OA molecules and OA just filled in the pores by physical effect on the  
 18 rough Al surface. In Fig. 4 (e) and (g), the O 1s peaks of HDFs and HTS modified surfaces were all  
 19 around at 531.6 eV, attributing to the -Si-O-Al group [35]. The presence of Si 2p peaks around 101.95  
 20 eV in Fig. 4 (f) and (h) confirmed that -C-Si-O group existed [31, 36]. The formed -C-Si-O and -Si-  
 21 O-Al groups illustrated that HDFs and HTS were grafted to the surface with covalent bonds. In most  
 22 cases, the three methoxyl groups in the HTS molecules were unable to be converted to hydroxyls  
 23 completely, and incompletely hydrolysed silane molecules could also be grafted to the substrates  
 24 during the later silanization processes, leading to a large degree of local disorder in the surface layer.  
 25 So the Si 2p peak of HTS was thus relatively more variable [37]. The reason for the fluctuating Si 2p  
 26 peaks of HDFs in Fig. 4 (f) was the same as previously described.



1

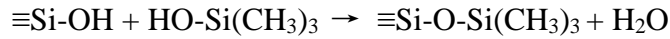
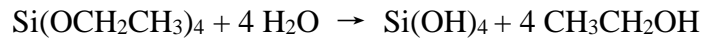
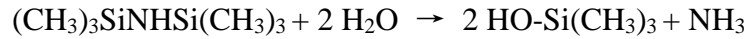
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5 **Fig.4.** Deconvoluted XPS spectra of different chemical modification technologies on the two kinds  
 6 of rough surfaces (etching and sandblasting). SS: (a) O 1s and (b) Si 2p; OA: (c) O 1s and (d) Al 2p;  
 7 HDFS: (e) O 1s and (f) Si 2p; HTS: (g) O 1s and (h) Si 2p.

1 Since the depth of XPS detection was only 1.5 nm, and the height of SS stacked on the rough  
 2 surfaces was larger than 1.5 nm, so it's hard to detect whether or not the covalent bonds between the  
 3 SS and the rough Al surface were formed. The rough surfaces could only be seen from the 3D images  
 4 of AFM. However, from the routes of SS fabrication, it could be concluded that there were no covalent  
 5 bonds formed between the SS and the rough Al surfaces. The  $\equiv\text{Si-O-Si}(\text{CH}_3)_3$  molecules had no active  
 6 groups to react with Al surface. The routes of SS fabrication were listed as follows [22]:



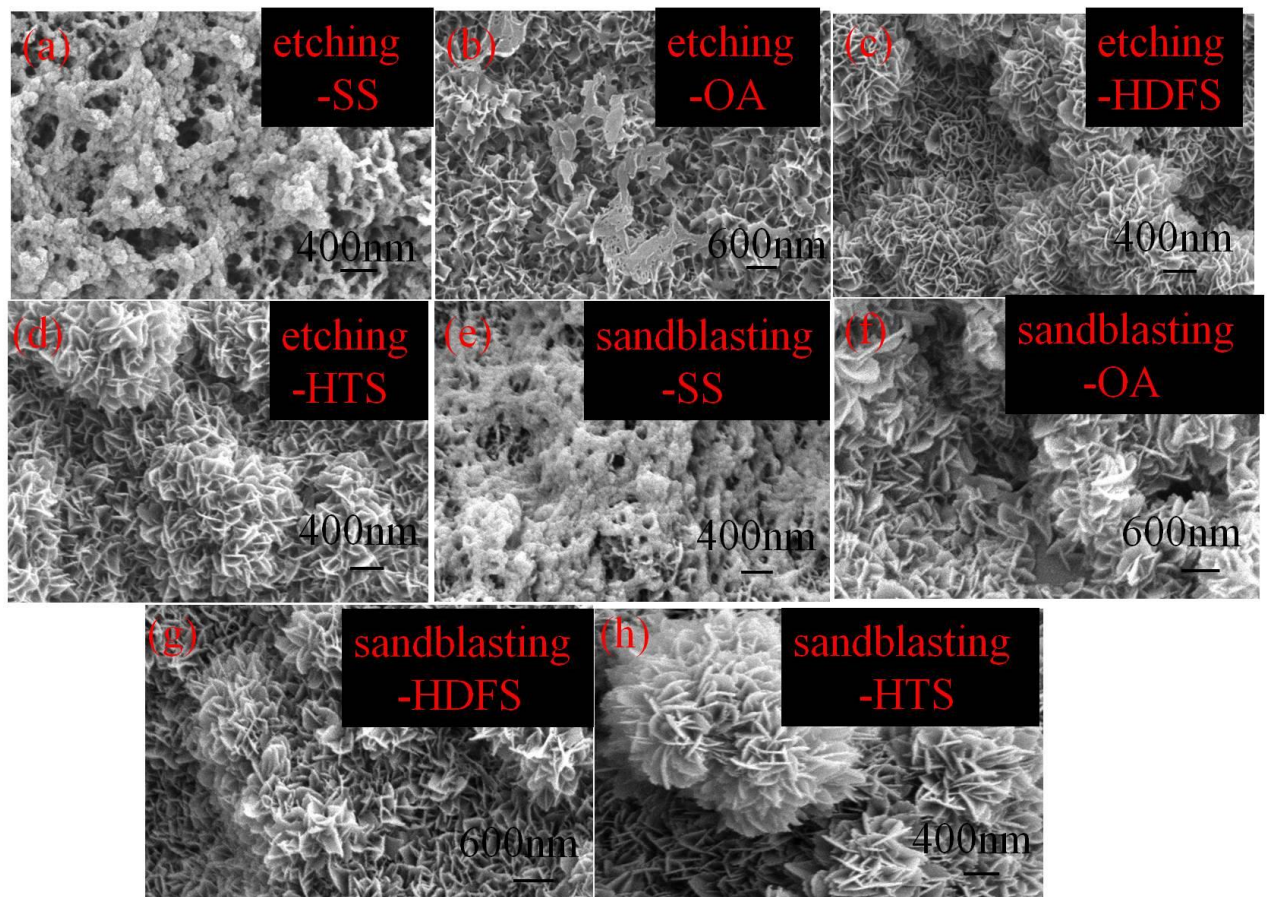
10 So the increased durability of SS modified surfaces could only be attributed to the existence of stacked  
 11  $\text{SiO}_2$  inside the surfaces.

12

### 13 3.3 Surface morphological studies

14

15 SEM technique was used to observe the morphologies of surfaces after modified with different low  
 16 surface energy materials including SS, OA, HDFS and HTS. These materials were introduced  
 17 particularly to investigate their effects on durability property. Fig. 5 showed the images of rough  
 18 surfaces modified by hydrophobic materials.



19

20 **Fig.5.** SEM images showing the structures of (a): etching-SS; (b): etching-OA; (c): etching-HDFS;  
 21 (d): etching-HTS; (e): sandblasting-SS; (f): sandblasting-OA; (g): sandblasting-HDFS; (h):  
 22 sandblasting- HTS.

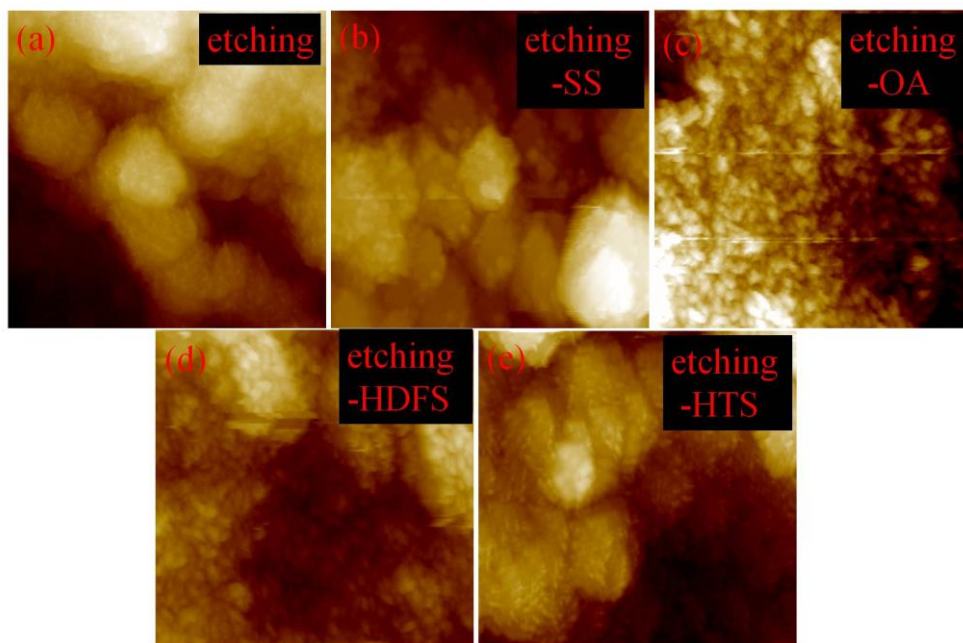
1 The SEM images were visualized to reveal the results. It could be seen that the surfaces of etching-SS and  
 2 sandblasting-SS in Fig. 5 (a) and (e) were coated by silica sol with a mountain of SiO<sub>2</sub> particles. The SS layer  
 3 consisted of nanoparticles had very high surface curvature. The nano particle aggregates increased the surface  
 4 curvature of convex particles, so the contact angles of SS modified surfaces were increased. [38] Thus, even  
 5 if some part of SS coating was frayed, the remaining particles with very high contact angles would ensure the  
 6 sample still have high contact angles. In Fig. 5 (b) and (f), the two rough surfaces were modified by OA which  
 7 just filled in the grooves on the surfaces without any covalent bond. When the surface was rubbed against  
 8 sandpaper, OA was worn off from surfaces easily, exposing the hydrophilic Al. Water would stick to the  
 9 surface and non-wettability was lost even though the Cassie state was still stable [39]. Fig. 4 (c) etching-HDFS,  
 10 (d) etching-HTS, (g) sandblasting-HDFS and (h) sandblasting-HTS showed that HDFS and HTS had no  
 11 obvious effect on morphologies. It indirectly showed that HDFS and HTS were grafted on the surfaces with  
 12 covalent bonds. When the rough surfaces modified by HDFS or HTS were frayed, the modified micro-  
 13 structures would defend the surfaces from abrasion, [40] thus the surface could bear a certain degree of  
 14 abrasion, keeping the surfaces still superhydrophobic.

15 AFM, a topography observation tool, was used to observe the changes of the surface topography  
 16 and the roughness of various substrates before and after modification. Fig. 6 and Fig. 7 illustrated the  
 17 surface topography of Al plates before and after modified by low surface energy materials through  
 18 plane images and 3D images. From the topographical images in Fig. 6 and Fig. 7, the topography of  
 19 etching-SS, etching-OA, sandblasting-SS and sandblasting-OA had obviously changed, which was  
 20 consistent with the SEM images. As shown in the plane images (Fig. 6 (b)), the etching-SS surface  
 21 was coated with a large number of prills, while the etching-OA surface topography showed in Fig. 6  
 22 (c) became smooth without distinct micro-structures. Fig. 6 (d) etching-HDFS and 6 (e) etching-HTS  
 23 had no evident variations in the structures. AFM 3D images provided more direct evidence of  
 24 topography changes on the modified Al surfaces, which were outlined in Fig. 6 (i-v) and Fig. 7 (i-v).  
 25 The values of roughness ( $R_q$ ) were calculated by the analysis software of AMF to explain the changes  
 26 of the rough surfaces and the values were listed in Table 2. The formula of the analysis software used  
 27 to calculate the  $R_q$  was given in equation (1), where  $L$  represented the length of the computational  
 28 domain in two-dimensional rough surface contour,  $y(x_i)$  was the height of the measurement points  
 29 in two-dimensional rough surface contour, and  $n$  was the number of the sampling sites.

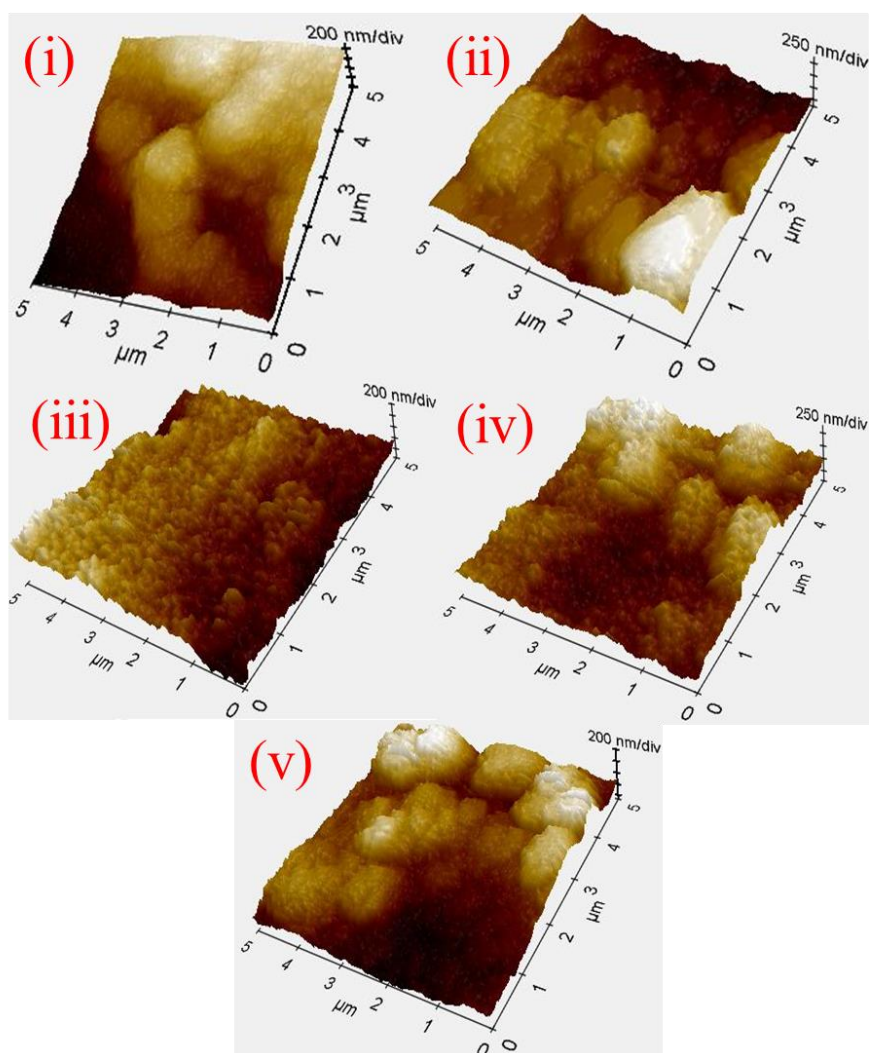
$$31 \quad R_q = \sqrt{\frac{1}{L} \int_0^L [y(x)]^2 dx} = \sqrt{\frac{1}{n} \sum_{i=1}^n y(x_i)^2} \quad (1)$$

32  
 33 From the equation (1), the value of  $R_q$  was larger when  $y(x_i)$  was higher for an equal number of  
 34 sampling sites. In AFM measurement, all the samples were measured with a scan size of 5  $\mu\text{m} \times 5$   
 35  $\mu\text{m}$ . So it was concluded that the SS modified rough surfaces had the maximum  $R_q$  values and the  
 36 OA modified surfaces had the minimum  $R_q$  values. Meanwhile, for the superhydrophobic surfaces  
 37 modified by the same low surface energy material, the second roughing method had higher  $R_q$  values  
 38 than the first method. So the superhydrophobic surface of sandblasting-SS had the highest  $R_q$  values  
 39 0.474  $\mu\text{m}$  and the etching-OA had the lowest  $R_q$  value 0.152  $\mu\text{m}$ .

40



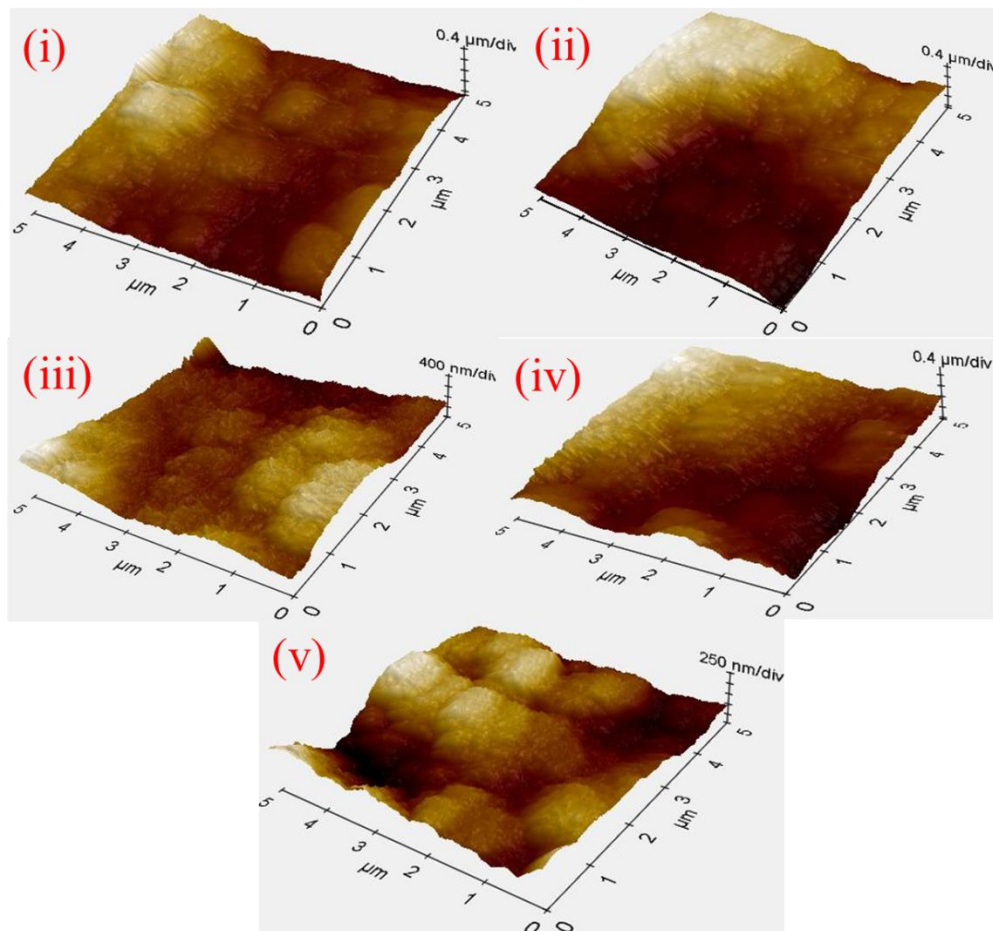
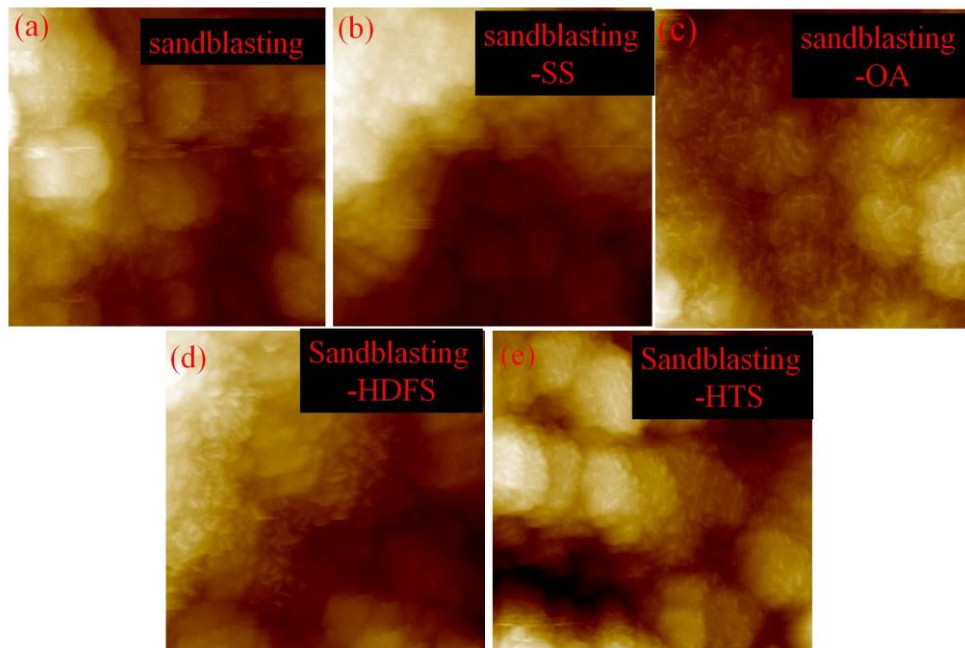
1



2

3 **Fig.6.** AFM plane images and 3D images of the rough Al surfaces made by etching-method before  
 4 and after modification. (a) and (i): etching; (b) and (ii): etching-SS; (c) and (iii): etching-OA; (d) and

1 (iv): etching-HDFS; (e) and (v): etching-HTS. The roughness parameters were achieved by profile  
2 extraction of topographical images. Scan area  $5 \times 5 \mu\text{m}^2$ .



5  
6 **Fig.7.** AFM plane images and 3D images of the rough Al surfaces made by sandblasting-method  
7 before and after modification. (a) and (i): sandblasting; (b) and (ii): sandblasting-SS; (c) and (iii):

1 sandblasting-OA; (d) and (iv): sandblasting-HDFS; (e) and (v): sandblasting-HTS. The roughness  
 2 parameters were achieved by profile extraction of topographical images. Scan area  $5 \times 5 \mu\text{m}^2$ .

3  
 4 **Table 2**

5 Effects of roughness on wettability and durability.

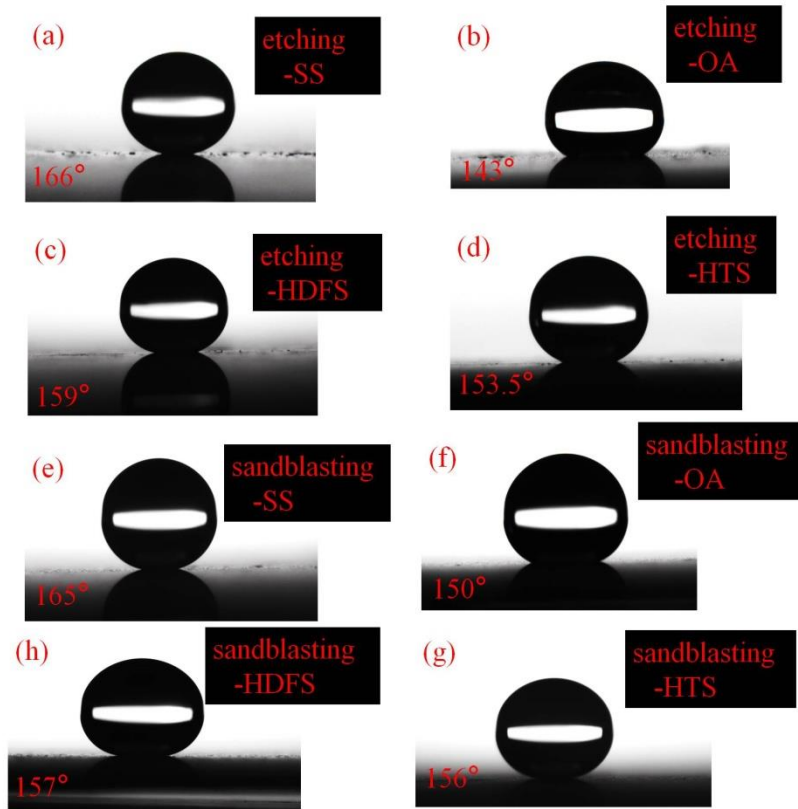
samples	$R_q$ ( $\mu\text{m}$ )	SCA ( $^\circ$ )	SA ( $^\circ$ )	abrasion times
etching	$0.170 \pm 0.014$	\	\	\
etching-SS	$0.212 \pm 0.024$	$166 \pm 1.6$	$2 \pm 0.3$	$35 \pm 5$
etching-OA	$0.152 \pm 0.009$	$143 \pm 4.3$	$18 \pm 3.5$	\
etching-HDFS	$0.184 \pm 0.021$	$159 \pm 1.0$	$3.5 \pm 1.0$	$23 \pm 4$
etching-HTS	$0.190 \pm 0.021$	$153.5 \pm 1.3$	$3 \pm 1.2$	$21 \pm 3$
sandblasting	$0.348 \pm 0.026$	\	\	\
sandblasting-SS	$0.474 \pm 0.054$	$165 \pm 2.0$	$2 \pm 0.5$	$60 \pm 2.5$
sandblasting-OA	$0.245 \pm 0.017$	$150 \pm 1.5$	$9 \pm 2.5$	$4 \pm 2$
sandblasting-HDFS	$0.373 \pm 0.036$	$158 \pm 2.0$	$3 \pm 1.1$	$31 \pm 2$
sandblasting-HTS	$0.364 \pm 0.035$	$155 \pm 1.8$	$3.5 \pm 0.8$	$30 \pm 4$

6

7 *3.4 Surface wettability*

8

9 In order to describe the wettability of the modified rough surfaces, the static contact angles (SCA)  
 10 were tested using an optical contact angle instrument. The slide angles (SA) were measured by a drop  
 11 of water released onto the inclined substrate from a defined height. The minimum angle of inclined  
 12 surface at which the drop completely rolled off the surface was defined as the sliding angle. Fig. 8  
 13 displayed the images of SCA on the modified rough Al substrates. The values of SCA, SA and  $R_q$   
 14 were presented in Table 2. The contact angles of the flat aluminum and the flat layer of each  
 15 modification were listed in Table 3.



**Fig.8.** Water contact angle images of (a): etching-SS; (b): etching-OA; (c): etching-HDFS; (d): etching-HTS; (e): sandblasting-SS; (f): sandblasting-OA; (g): sandblasting-HDFS; (h): sandblasting-HTS.

**Table 3**

The contact angles of the flat surfaces before and after being modified.

samples	flat aluminum	flat aluminum modified by SS	flat aluminum modified by OA	flat aluminum Modified by HDFS	flat aluminum modified by HTS
SCA(°)	89.4±3.0	145±2.3	92.4±2.5	120.7±1.2	105.1±2.0

In Table 2, it was observed that the SCA changed along with the roughness which was affected by the low surface energy materials. For the same method to prepare rough structures, compared to unmodified surfaces, the roughness of SS modified surfaces was increased, but that of OA modified surfaces was decreased. However the roughness of HTS or HDFS modified surfaces was similar to the un-modified surfaces. So the surfaces modified by SS (etching-SS, sandblasting-SS) had the largest contact angles of 166° and 165°, respectively. The contact angles were only 143° and 150° for OA modified rough Al surfaces. The SCA on the surfaces modified by HTS or HDFS lied between the SS modified and OA modified surfaces. However, the values of SCA on the HDFS modified surfaces were larger than the HTS modified surfaces. The reason was that the surface energy of HDFS was lower than that of HTS. Further, according to Table 3, on the flat aluminum, the SCAs on the HDFS modified surface were larger than those on the HTS modified surface, which indicated that the surface energy of HDFS was lower than that of HTS. It testified that the roughness of the rough surfaces played a vital role in illustrating the superhydrophobic behavior, [41] however, the rough



1 surfaces must be prepared by the same method. If the rough surfaces were made through different  
2 methods, the roughness was not a correct indicator to measure superhydrophobic behavior.  
3 Meanwhile, for the same roughing method, it was very important to choose which low surface energy  
4 material was used as the hydrophobic material to make the surface repelling water.

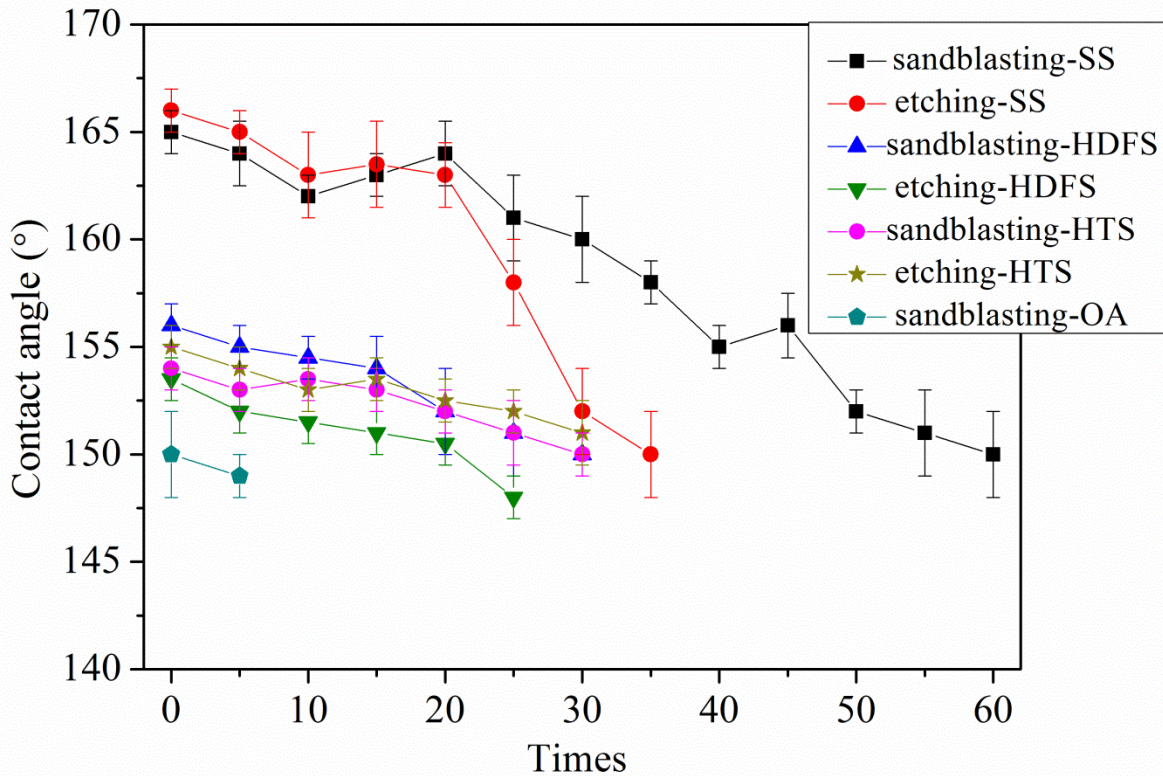
### 6 3.5 Durability

8 Up to now, the durability of superhydrophobic surfaces is still an obstacle to practical applications.  
9 Many researchers are working on this particular field to fabricate durable substrates [42, 20]. In this  
10 paper, we have prepared eight kinds of superhydrophobic surfaces using two roughing methods and  
11 four low surface energy materials to modify the rough surfaces. Through a sandpaper-abrasion  
12 experiment, we evaluated the durability of these rough superhydrophobic surfaces to find the best  
13 anti-wear rough surface. The modified rough Al plates were put on a 1500 CW sandpaper with 10 g  
14 weights over the plates. Under the impetus of force, substrates moved slowly along with the ruler.  
15 The contact angles were tested after each abrasion, and the abrasion times were recorded. Table 2  
16 listed the abrasion times of all the rough superhydrophobic surfaces. From Table 2, it could be found  
17 that the durability of the rough surface was proportional to the roughness of the surface. Fig. 9 showed  
18 the effect of abrasion on the wetting properties of the superhydrophobic aluminum surfaces.

19 It could be seen in Fig. 9 that the SS modified rough surfaces were more durable than the rough  
20 surfaces modified by other three kinds of modifiers. Since SS which included a mount of SiO<sub>2</sub>  
21 particles stacked on the rough surfaces, it obviously enhanced the roughness of the rough Al surfaces.  
22 In the early stages of wear, the SiO<sub>2</sub> nanoparticles acted as roller bearings, leading to decreased  
23 abrasive wear. The SS layer was much thicker than other low energy layers, which could be seen in  
24 the SEM and AFM testing section. Therefore more abrasion cycles were required to be removed. In  
25 addition, the SS layer consisted of SiO<sub>2</sub> nanoparticles was with very high surface curvature and their  
26 aggregates increased the surface curvature for convex particles, which resulted in the contact angle increasing.  
27 Thus, even if some part of SS coating was frayed, the remaining particles with very high contact angles would  
28 still help to maintain the superhydrophobicity of the surface. When SS stacking on the rough surface was  
29 worn off, the protected Al rough surface was exposed to abrasion. The rough surfaces modified by  
30 HDFS or HTS had similar durability properties. They both formed covalent bonds with the modified  
31 surfaces and dispersed on the surface with monomolecular layers. The roughness of these substrate  
32 surfaces was enhanced slightly. When these surfaces were rubbed, the Al surfaces were directly  
33 exposed to abrasion, which were parallel to the SS modified surfaces after the SS stacking was worn  
34 off. So HDFS or HTS modified surfaces were less anti-wear than the SS modified surfaces. The  
35 surfaces modified by OA were the least durable surfaces. The OA molecules were just filled in the  
36 grooves on the surfaces rather than by covalent bonds with the substrates. The  $R_q$  values of the OA  
37 modified surfaces were decreased. When these surfaces were subjected to fray, the rough structures  
38 of Al surfaces were easily rubbed off and the superhydrophobicity was easily lost. When the contact  
39 angle was lower than 150°, the abrasion test was stopped. So the abrasion test was not performed on  
40 the surface etching-OA. From the values of  $R_q$  and the times of abrasion cycles, though the  
41 sandblasting-OA had larger  $R_q$  values than the etching-HDFS and etching-HTS surfaces, its times of  
42 abrasion cycles was less than the latter two. The contact angle of sandblasting-OA was only 150 °C,  
43 so its superhydrophobicity was easily lost after abrasion. From Fig. 9, it also could be seen that the

1 rough surfaces prepared by the second method were much durable than the first method prepared  
 2 surfaces. The reason was that the second method prepared surfaces had higher values of  $R_q$  than the  
 3 first method prepared surfaces. So when the surface had higher  $R_q$  values, its durable was better. This  
 4 is in agreement with the conclusion that the enhanced roughness from the nanostructures formed on  
 5 the microscale structures would improve the mechanical durability of superhydrophobic  
 6 surface.[14,39]

7



8

9

10 **Fig.9.** Water contact angles of the superhydrophobic surfaces versus the abrasion cycles.

11

12 The pencil hardness test was conducted as follow [13]: a pencil with quantified hardness was  
 13 pressed firmly on the surfaces while moving along the surface at a constant speed. The pencil was  
 14 formed a 45 degree angle with the surface. The test was carried out on the surfaces before and after  
 15 being exposed in the open air for 7 months. The results were listed in Table 4. If a thin film was  
 16 coated on a substrate by van der Waals interactions, it usually could not endure 1B pencil hardness  
 17 tests. A good index of performance for a durable superhydrophobic surface was at least 2H. From  
 18 Table 4, it could be concluded that OA was grafted on the surfaces by van der Waals interactions,  
 19 which was in accordance to the XPS result that OA molecules did not form covalent bonds with the  
 20 surfaces. The surfaces modified by HDFS or HTS were durable due to the covalent bonds between  
 21 the HDFS/HTS molecules and the surfaces. As the  $\text{SiO}_2$  nanoparticles act as roller bearings, the  
 22 durability of SS modified surfaces was higher than others.

1 However, from the wettability of the surfaces exposed outdoor for 7 months, the HDFFS modified  
 2 surfaces had higher SCA and lower SA than other candidates. It may be the reason that the surface  
 3 energy of HDFFS was lower than others.

4  
 5 **Table 4**

6 The hardness and wettability tests on the surfaces

samples	Hardness <sup>a</sup>	Hardness <sup>b</sup>	SCA <sup>b</sup> (°)	SA <sup>b</sup> (°)
etching-SS	3H	H	135.8±1.5	20±1.0
etching-OA	2B	< 2B	44.3±2.3	> 20
etching-HDFS	2H	H	150.8±2.0	9±0.3
etching-HTS	2H	HB	144.5±2.1	10.5±0.5
sandblasting-SS	6H	4H	151.5±1.3	7.8±0.5
sandblasting-OA	2B	< 2B	91±1.7	> 20
sandblasting- HDFS	5H	2H	154.1±2.3	5±0.5
sandblasting-HTS	5H	3H	150.6±1.6	8.5±0.3

7 <sup>a</sup> the thin film before exposed outdoor for 7 months

8 <sup>b</sup> the thin film after exposed outdoor for 7 months

9  
 10 **4. Conclusions**

11  
 12 In summary, two methods were used to build different rough structures, and four low surface  
 13 energy materials were respectively used to modify the rough substrates through solution immersion  
 14 method. After abrasion tests, the durability was investigated. Conclusions were drawn as follows: (a)  
 15 when SS that included some amount of SiO<sub>2</sub> was used to modify the rough surfaces, SS could coat  
 16 on almost all areas of the Al surfaces. Hence, the roughness of the surfaces was increased greatly. (b)  
 17 When HDFFS or HTS was used to modify the rough surfaces, the HDFFS or HTS molecules would  
 18 form covalent bonds with Al surfaces and they were dispersed on the substrates in monomolecular  
 19 layers. So the roughness of these surfaces was changed slightly. (c) When OA was used to modify  
 20 the rough surfaces, the OA molecules filled in the grooves of the rough surfaces by physical effect.  
 21 So the roughness of the surfaces was reduced. (d) When the surfaces had larger roughness, they would  
 22 be more durable. So the SS modified surfaces that were prepared by the second method were the best  
 23 durability surfaces. It provided a direction for the fabrication of promising durable super-hydrophobic  
 24 surfaces.

25  
 26 **Acknowledgements**

27  
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31  
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- 17

1 **Table captions**

2 **Table 1** Atomic percentages of bare Al, rough Al before and after modified.

3 **Table 2** Effects of roughness on wettability and durability.

4 **Table 3** The contact angles of the flat surfaces before and after being modified.

5 **Table 4** The hardness and wettability tests on the surfaces.

6 **Figure Captions**

7 **Fig.1.** The schematic of sandpaper-abrasion test.

8 **Fig.2.** SEM images of (a): bare Al; (b): microstructural Al obtained by sandblasting; (c):  
9 microstructural Al obtained by NaClO etching; (d): hierarchical structure obtained by  
10 sandblasting combined with wet chemical etching (the amplified picture was inserted into the  
11 upper right corner); (e): hierarchical structure obtained by two-step chemical etching (the  
12 nanostructures were depicted in the upper right corner).

13 **Fig.3.** XPS survey spectra of (a): etched rough Al surfaces; (b): rough Al surfaces modified by SS;  
14 (c): rough Al surfaces modified by OA; (d): rough Al surfaces modified by HDFS; (e): rough Al  
15 surfaces modified by HTS. In the images, etching meant the rough Al surfaces were prepared by  
16 chemical etched and sandblasting meant the rough Al surfaces were made by sandblast.

17 **Fig.4.** Deconvoluted XPS spectra of different chemical modification technologies on the two kinds  
18 of rough surfaces (etching and sandblasting). SS: (a) O 1s and (b) Si 2p; OA: (c) O 1s and (d)  
19 Al 2p; HDFS: (e) O 1s and (f) Si 2p; HTS: (g) O 1s and (h) Si 2p.

20 **Fig.5.** SEM images showing the structures of (a): etching-SS; (b): etching-OA; (c): etching-HDFS;  
21 (d): etching-HTS; (e): sandblasting-SS; (f): sandblasting-OA; (g): sandblasting-HDFS; (h):  
22 sandblasting- HTS.

23 **Fig.6.** AFM plane images and 3D images of the rough Al surfaces made by etching-method before  
24 and after modification. (a) and (i): etching; (b) and (ii): etching-SS; (c) and (iii): etching-OA; (d)  
25 and (iv): etching-HDFS; (e) and (v): etching-HTS. The roughness parameters were achieved by  
26 profile extraction of topographical images. Scan area  $5 \times 5 \mu\text{m}^2$ .

27 **Fig.7.** AFM plane images and 3D images of the rough Al surfaces made by sandblasting-method  
28 before and after modification. (a) and (i): sandblasting; (b) and (ii): sandblasting-SS; (c) and (iii):  
29 sandblasting-OA; (d) and (iv): sandblasting-HDFS; (e) and (v): sandblasting-HTS. The

1 roughness parameters were achieved by profile extraction of topographical images. Scan area  
2  $5 \times 5 \mu\text{m}^2$ .

3 **Fig.8.** Water contact angle images of (a): etching-SS; (b): etching-OA; (c): etching-HDFS; (d):  
4 etching-HTS; (e): sandblasting-SS; (f): sandblasting-OA; (g): sandblasting-HDFS; (h):  
5 sandblasting-HTS.

6 **Fig.9.** Water contact angles of the superhydrophobic surfaces versus the abrasion cycles.  
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