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Irritability in HD...

## Irritability in Huntington's Disease: Factor Analysis of Snaith's Irritability Scale

John Maltby<sup>1</sup>, Maria Dale<sup>1, 2\*</sup>, Mandy Underwood<sup>1</sup>, Jane Simpson<sup>3</sup> and the REGISTRY investigators of the European Huntington's Disease Network.

<sup>1</sup> College of Medicine, Biological Sciences and Psychology, University of Leicester, Henry Wellcome Building, Lancaster Road, Leicester, LE1 9HN, UK.

<sup>2</sup> Leicestershire Partnership NHS Trust, Adult Mental Health Psychology, OSL House, East Link, Meridian Business Park, Leicester, LE19 1XU, UK.

<sup>3</sup> Division of Health Research, Lancaster University, Bailrigg, Lancaster, LA1 4YT, UK.

\*Corresponding author. Maria Dale, Leicestershire Partnership NHS Trust, Adult Mental Health Psychology, OSL House, East Link, Meridian Business Park, Leicester, LE19 1XU, UK, +44 (0) 116 295 3098, Maria.Dale@leicspart.nhs.uk

Word Count: 3505 (excluding abstract, legends and references)

Running title: Irritability in HD...

**Key words**: factor analysis, Huntington, disease, SIS, irritability, Snaith **Financial Disclosure/Conflict of Interest:** All authors declare no financial or conflict of interest relating to the current research.

Funding: No funding was received for this study.

#### ABSTRACT

#### Background

Elevated levels of irritability are reported to occur in a number of neurological conditions, including Huntington's disease, a genetic neurodegenerative disorder.

#### **Objectives**

Snaith's Irritability Scale is used within Huntington's disease research, but no psychometric evaluation of this instrument has previously been undertaken. Therefore, the current study aimed to analyze the factor structure of this scale among a Huntington's disease population.

#### Methods

Exploratory and confirmatory factor analysis were used to examine the structural properties of Snaith's Irritability Scale using responses from 1264 Huntington's disease gene expansion carriers, across 15 European countries, who were engaged in the REGISTRY 3 study.

#### Results

An exploratory factor analysis of a subsample of the data suggested a two-factor interpretation of the data comprising "temper" and "self-harm". Eight possible models were tested for goodness-of-fit using confirmatory factor analysis. Two bifactor models, testing general and group factors in the structure of the scale, provided an equivocal "good" fit to the data. The first comprised a general irritability factor and two group factors (as originally proposed using Snaith's Irritability Scale): outward irritability and inward irritability. The second comprised a general irritability factor and two group factors (as proposed by the exploratory factor analysis): temper and self-harm. The findings from both models suggested that the loadings of items were higher on the general factor.

#### Conclusions

Bifactor models are proposed to best consider the structure of the Snaith Irritability Scale, with findings suggesting that an overall score should be used to measure irritability within Huntington's disease populations.

#### **INTRODUCTION**

Irritability is often reported in people with a variety of neurological conditions, including Parkinson's disease<sup>1</sup>, Huntington's disease (HD)<sup>2</sup>, Gilles de la Tourette Syndrome<sup>3</sup>, and traumatic brain injury<sup>4</sup>. Irritability is understood as a temporary mood state characterized by impatience, intolerance and poorly controlled anger<sup>5</sup>; it may result in verbal or behavioural outbursts, although the mood may be present without these observed manifestations, is subjectively unpleasant, and can be brief or prolonged<sup>6</sup>.

Although irritability has not been the subject of significant empirical research across both clinical and normative samples, it warrants further study as it has important clinical implications. For example, among people with mental health difficulties, irritability is associated with poorer quality of life, higher suicidal ideation, and a greater history of suicide attempts<sup>7</sup>. Also, those who report irritable mood states are more likely to experience mood disorders, anxiety and impulse-control disorders, drug dependence, and a higher prevalence of fatigue<sup>8</sup>. Given these implications, it is important that clinicians and researchers accurately identify and monitor irritability in order for complex problems to be understood and managed effectively<sup>7</sup>.

In terms of HD, an inherited movement disorder with cognitive decline and emotional difficulties, irritability is reported across all stages of the disease, including the pre-motor manifest period (ie. before clinical diagnosis of HD)<sup>9-11</sup>. The prevalence of irritability among HD gene expansion carriers varies across studies from 38% to 73%<sup>2, 12</sup>. Further, although irritability can correlate with other emotional difficulties in HD, such as depression and anxiety<sup>13</sup>, it demonstrates a distinct pattern of increasing severity among pre-motor symptomatic carriers as they become closer to motor onset, compared with these other difficulties<sup>11, 14, 15</sup>. Moreover, among people with HD, irritability is more closely related to aggression than other difficulties such as depression, apathy and anxiety<sup>12, 16-19</sup>. This

relationship with aggression, and thus the potential risk of causing harm to others, has highlighted irritability as a clinically and socially important construct to be studied among those with HD. Such consequences of irritable mood may also impact on provision of care, increasing the likelihood of HD patients having to move into a nursing home, rather than being managed in the community<sup>20, 21</sup>. Therefore, the interpersonal manifestations of heightened irritability may have a deleterious effect on caregivers<sup>22</sup>, resulting in protective factors such as social support and positive relationships becoming jeopardized. In addition to the risk of causing harm to others, there is the potential that facets of irritability in HD may also have associations with the risk of causing harm to self<sup>23</sup>, though as yet this has received limited attention. For example, irritability has been found to be higher among HD gene expansion carriers with suicidal ideation than among those without<sup>23</sup>, a finding consistent with studies of those with mental health difficulties<sup>5</sup>. However, no predictive relationship between irritability and suicidality has been identified<sup>23</sup> but this may be partly explained by the measure of irritability used, which has focused on outward conceptualisations of irritability<sup>23</sup>. Further understanding of the structural relationship of different aspects of irritability may help inform future studies examining relationships between suicide risk and irritability factors (i.e. both outward and inward expressions of irritable mood<sup>5</sup>).

Within the literature available, irritability has most commonly been measured among HD gene expansion carriers using interviewer-rated assessments such as the Problem Behaviors Assessment (PBA)-HD<sup>18</sup> and the United Huntington's Disease Rating Scale (UHDRS)<sup>24</sup>

Although interviewer-based measures are useful in enabling assessment of mood among HD patients who may not be fully aware of their difficulties, brief self-report assessments offer benefits such as being quick and easy to administer, with no specific training required for administration. Self-assessment may also reveal information that some

individuals may find difficult to disclose in more formal interview settings. Currently, a self-reported measure of irritability used within the field of HD research is the irritability subscale contained within the Irritability, Depression, Anxiety (IDA) Scale<sup>5</sup>, which has been used independently as an eight-item measure of irritability and is also referred to as the Snaith Irritability Scale (SIS)<sup>25, 26</sup>. Furthermore, the SIS is the only self-report measure of irritability currently used in large-scale longitudinal international HD studies, e.g. REGISTRY (http://www.euro-hd.net/html/registry) and ENROLL-HD (https://www.enroll-hd.org/).

The US National Institute of Neurological Disorders and Stroke has recently highlighted the importance of validation studies for HD patient-reported instruments, especially when considering outcome measures for clinical trials<sup>27</sup>; therefore, it is necessary for psychometrically informed studies to be undertaken. In terms of the psychometric structure of the SIS, the recommended scoring of the scale comprises two factors: four irritability items within the scale measuring outwardly expressed irritability, and the other four examining inwardly expressed irritability<sup>5</sup>. However, psychometric validation studies of this instrument in clinical samples are limited, and no study has examined the psychometric properties of this scale within an HD population, including testing the assumption of the original scale as a two-factor model.

In the field of psychometrics, higher-order solutions present alternative theoretical approaches for the examination of the factor structure of a scale. Rather than simply identifying the number of factors that emerge from an analysis of test items, higher-order factor analytic models introduce the concept of a general construct, and consider its relation to group factors formed from the items. Within this perspective, the central theoretical difference focuses on the presence of a general factor informing our understanding of the constructs being considered. Within higher-order factor models, typically two solutions are considered: second-order factor and bifactor models<sup>28</sup>. Second-order factor models present

the relationship between the factors and items portrayed using a hierarchical structure, with the variance of all items (at the bottom of the hierarchy) being explained by group factors (e.g. inward versus outward irritability), and the group factor variance being explained by a general latent factor (e.g. general irritability). With a bifactor model, the explained variance between the items is simultaneously considered between both the general and group factors. First, a single common construct (e.g. general irritability) is suggested to explain the shared variance between all of the items. Second, to recognize the multidimensionality of the construct, group factors are suggested (e.g. inward versus outward irritability), to also explain some of the shared variance between the items (see Figure 1 for an illustration).

The inclusion of these models in understanding the factor structure of the SIS, if proved useful, may help inform treatment approaches. For example, in terms of the use of the SIS as an assessment tool, interventions could be informed by consideration of inward and outward irritability as separate constructs, with potential aetiological differences between the two. In contrast, within a higher-order solution, the treatment would additionally be informed by consideration of the aetiology of a general factor of irritability. There is good reason to propose an underlying general factor of irritability in HD due to the genetic heritability of the disease. A number of processes could underpin a general irritability factor (that encompasses both outward or inward manifestations of irritability) include the direct neuropathological changes<sup>29</sup>, cognitive appraisals regarding having HD<sup>30</sup> and frustrations concerning the overwhelming impact that the disease has on one's life<sup>31</sup>. Consequently, psychometric analysis using higher-order models may help inform how interventions may be targeted, in terms of understanding whether there are separate or related pathways that underpin the different facets of irritability among people with HD.

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The aim of the current study was to conduct the first factor analysis study of the SIS within a large HD sample to explore the latent structure within this population and to evaluate the structure against different proposed models of the SIS.

#### METHOD

#### Sample and Measures.

Data were obtained from the European HD Network (EHDN) following approval from the Scientific and Bioethics Advisory Committee. Our data request included all SIS assessments available from the REGISTRY 3 project. REGISTRY is a multinational, observational study examining the natural history of HD. As the initial dataset included longitudinal data involving repeated assessments of annual visits using the same participants (n=3234), we excluded all except the participants' last visit (n=1474). We also excluded 210 respondents with incomplete irritability assessments, missing Total Functional Capacity (TFC) scores (measure of functional ability, ranging from 0-13, with lower scores reflecting reduced capacity to undertake daily living activities) or Total Motor Scores (TMS) (measure of different motor tasks, with higher scores indicating greater motor impairment) from the UHDRS, and those whose cytosine-adenine-guanine CAG repeat was  $\leq$ 39 (to exclude those without the HD gene expansion).

The final cross-sectional sample comprised 1264 participants with the HD gene expansion, who completed the SIS between  $24^{th}$  June 2011 and  $20^{th}$  February 2014, from the following European countries (*n* in brackets): Austria (12), Belgium (8), Czech Republic (3), Finland (4), France (303), Germany (287), Italy (38), Netherlands (97), Norway (17), Poland (228), Portugal (70), Spain (134), Sweden (5), Switzerland (7) and UK (51). Across all countries, participants gave written informed consent according to the full ethical approvals required for the REGISTRY study. Of these participants, 52.8% were female and the mean age of the sample at time of visit was 48.71 (*sd*=13.73, range 14-88 years).

To describe the clinical profile of the sample, we examined TFC scores, disease stage<sup>32</sup> and the TMS scores from the UHDRS<sup>24</sup>. In terms of the profile of the sample, the TFC mean score was 8.54 (SD=3.94, range 0-13). Across the total sample, the breakdown of participants in each stage of HD was as follows (n in brackets): Premanifest (250), Stage I, with TFC scores of 11-13 (276), Stage II with TFC scores 7-10 (311), Stage III with scores 3-6 (315), Stage IV with TFC scores of 1 or 2 (101) and Stage V with a TFC score of 0 (11). The mean TMS score was 33.55 (SD=24.50, range 0-108).

#### RESULTS

#### **Exploratory Factor Analysis.**

The number of participants (632) to variables (8) ratio exceeded the recommended ratio for EFA of 10:1, with a minimum number of participants of  $150^{33}$ . Bartlett's test confirmed an EFA was appropriate for the sample ( $\chi^2$ [28]=15544.21, p<.001) and a Kaiser–Meyer–Olkin test (.83) indicated sufficient participants: item ratio of 79:1. Preliminary analyses of the scores on the items of the SIS demonstrated that seven items fell outside the criterion for a univariate normal distribution of between +/-1<sup>34</sup>. Therefore, a principal-axis EFA was conducted<sup>35</sup>.

Parallel analysis (where eigenvalues are compared to those calculated from purely random data) is the most appropriate and accurate method for determining the number of factors  $^{35, 36}$ . Within this analysis the third eigenvalue (3.60, 1.13 and **.77**) failed to exceed the third mean eigenvalue (1.17, 1.11, and **1.06**) calculated from 1,000 generated datasets with 501 cases and 8 variables. This suggested a two-factor solution (with the factors accounting for 44.94% and 14.22% of the variance respectively). Loadings were assessed against the thresholds of .32 (*poor*), .45 (*fair*), .55 (*good*), .63 (*very good*) and .71 (*excellent*)<sup>37</sup> and are presented in Table 1. In terms of loadings above .45, the first factor comprises six items that

represent "temper", encompassing inward and outward expressions of irritability such as "lose temper" and "feel like slamming doors" (items are abbreviated due to copyright). The second factor comprises two items referring to self-harm, "feel like harming myself" and "hurting myself occurs to me".

Table 1 about here -

A correlation between the two factors was r=.57, suggesting shared variance of 32.5%. The Cronbach's alpha coefficients<sup>38</sup> for: the eight items was  $\alpha=.82$ ; for the six items,  $\alpha=.80$ ; and for the two items,  $\alpha=.76$ . These statistics exceed the criterion of  $\alpha>.70$  as "*Good*"<sup>39, 40</sup>.

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#### **Confirmatory Factor Analysis.**

To explore the structural validity of the SIS, a series of comparisons using CFA was performed using AMOS 22 software with the second sample data. A focus of CFA is to demonstrate the incremental value of proposed models<sup>41</sup>. Eight possible models were tested for goodness-of-fit. The first two were unidimensional models representing an underlying latent factor structure of general irritability (i) for the eight items of the SIS, and (ii) the six items that loaded on the first factor in the EFA. The third model tested was the proposed original two-factor structure for the SIS, inward and outward factors. The fourth model was the proposed two-factor structure resulting from our EFA of the SIS, temper and self-harm factors. The remaining models tested higher-order solutions for the data. The fifth and sixth models were second-order factor models in which general irritability formed the top level of a hierarchy in which inward versus outward (model 5) and temper and self-harm irritability (model 6) were group factors. The seventh and eighth models were higher-order bifactor models proposing a single common construct (general irritability) while recognising the multidimensionality of the construct; inward and outward (model 7) and temper and self-harm irritability (model 8).

To assess the goodness-of-fit of the data, we used six statistics recommended by Hu and Bentler (1999)<sup>42</sup> and Kline (2005)<sup>43</sup>: the chi-square ( $\chi^2$ ), the relative chi-square (CMIN/DF), the comparative fit index (CFI), the non-normed fit index (NNFI), the root mean square error of approximation (RMSEA), and the standardized root mean square residual (SRMR). The following criteria was used to assess whether the model fit to the data was adequate (noting that the chi-square test was likely to be significant due to large sample size)<sup>44</sup>: (i) CMIN/DF must fall between 5 and 2 to be "acceptable", and be less than 2 to be "good", (ii) that the CFI and NNFI should exceed .90 to be "acceptable" and exceed .95 to be "good", (iii) that the RMSEA should not exceed .08 to be "acceptable", and be under .06 to be "good", and (iv) SRMR values less than .08 are "acceptable", less than .05 to be "good" <sup>42, 43, 45</sup>

#### Table 2 about here -

The goodness-of-fit statistics for the eight models are presented in Table 2. For the unidimensional, two-factor and second-order models, the large majority of the goodness-of-fit statistics did not meet all the aforementioned criteria for acceptability, and therefore the models did not present an adequate explanation of the data. The bifactor models presented relative chi-square and RMSEA statistics that were acceptable and CFI, NNFI, and SRMR goodness-of-fit statistics all exceeding the "good" criteria. The findings for the bifactor models also demonstrate improved CFI statistics over the other models, as indicated by changes in CFI ( $\Delta$ CFI) being >.01.

#### Figures 1 and 2 about here -

The standardized loadings (with measurement error terms in parenthesis) for both suggested bifactor structures with general and group factors are presented in Figures 1 and 2. A number of statistics were used to examine the relative relationship between the general and

group factors. In terms of the original two factors of the SIS (inward and outward), the variance accounted for the general factor in this model was 64.2%, with inward and outward group factors explaining 13.7% and 22.1% respectively. In terms of salience of loading on the factors, the mean loadings were higher on the general factor (m=.59) than on the group factors (m=.41). The more traditional reliability estimates for the general and group factors were good: general factor  $\alpha$ =.83, omega total=.89; outward group factor  $\alpha$ =.78, omega total=.79; inward group factor  $\alpha$ =.77, omega total =.86. However, the omega hierarchical coefficient, which estimates the reliability with the effect of all the other factors removed, was low for the group factors (outward, omega hierarchical=.38; inward, omega hierarchical=.17) and was only acceptable for the general irritability factor (omega hierarchical=.71).

The findings were similar in terms of the scoring derived from the EFA (i.e. temper and self-harm). The variance accounted for the general factor in this model was 67.3%, with inward and outward group factors explaining 12.1% and 20.5% respectively. In terms of salience of loading on the factors, the means loadings were higher on the general factor (m=.62) than on the group factors (m=.39). The more traditional reliability estimates for the general and group factors were good: general factor  $\alpha = .83$ , omega total = .90; temper group factor  $\alpha$ =.80, omega total=.87; self-harm group factor  $\alpha$ =.84, omega total=.86. However, the omega hierarchical coefficient, was low for the group factors (temper, omega hierarchical=.15; self-harm, omega hierarchical=.54) and was only acceptable for the general irritability factor (omega hierarchical=.75).

#### DISCUSSION

Among a large European study of HD expansion carriers, we found that a bifactor interpretation is a structurally valid method of interpreting scores on the SIS, thus retaining the notion of an overall assessment of irritability, while recognising the multidimensionality

of the SIS. Two different considerations of the multidimensionality of the SIS were explored within the current study. The first was Snaith's original conceptualization of outward and inward irritability<sup>5</sup> and the second was suggested by findings from an EFA of "temper" and "self-harm" items. When these different conceptualizations of irritability were assessed further using CFA, we found that, regardless of whether the formulation comprised inward/outward dimensions or temper/self-harm dimensions, the statistical solution suggested that an overall score was the most stable. Therefore, based on the current findings, the primary recommendation is that all SIS items are used to produce an overall measure of irritability.

These findings have relevance for the measurement and treatment of irritability in people with HD. A higher-order bifactor model suggests the accommodation of both general factor and group factors of irritability, with our findings suggesting that an emphasis should be made on the former. This implies a change in the understanding of what scores on the SIS represent among people with HD. It may not be enough simply to see the SIS as measuring two separate factors (inwards and outwards) or a unidimensional construct. Rather, while it is possible to recognize multidimensional aspects of the SIS, scores on the SIS are also informed by a general SIS factor. This suggests that, alongside group factors, a general factor of irritability may need to be considered. For example, this might be hypothesized as neuropathological changes that occur in HD having an underlying influence on SIS scores. Recent support for this hypothesis was provided by the TRACK-HD study that identified irritability to be associated with a distinct pattern of microstructural changes in the posterior tracts of the left hemisphere<sup>29</sup>. The authors suggested that irritability may arise in situations when someone with HD may be stretched cognitively, and is consistent with another Trackon study suggesting that left hemispheric deterioration appears to occur first in HD, with compensation by the right hemisphere as illustrated by fMRI<sup>46</sup>. Alternatively, generalized

irritability may be underpinned by other changes endemic to the experience of chronic illness, such as cognitions which are activated in a range of contexts and might lead to different behavioural/emotional outcomes depending on a range of individual or situational factors inherent to that specific context.

These findings and suggestions have considerable significance, given that the study of irritability in HD, and other neurological disorders, is still in its infancy. The identification of inward and outward dimensions or temper and self-harm aspects of irritability, within a general factor of irritability, may be important in further considerations of irritability using the SIS. For example, researchers may wish to examine how the different formulations of irritability are associated with clinical risk, especially in the context of other factors in HD that may contribute to complex clinical presentations (such as executive functioning difficulties of heightened impulsivity, poor risk assessment, and reduced problem-solving strategies<sup>47, 48)</sup>. The clinical risks that could be associated with such conceptualisations of irritability may include physical aggression, risk of harming self, or heightening risk of being harmed by other people (e.g. through losing one's temper easily with other people). Future research might wish to look at whether the aspects of irritability, as measured by the different formulations of the SIS, has any predictive utility regarding such risk behaviours among people with HD. Further consideration might be given to the various aspects of HD that potentially contribute to the general factor of irritability across the different stages of the disease. These range from biological contributions such as white matter changes<sup>29</sup>, to neuropsychological aspects such as cognitive overload<sup>29</sup>, to cognitive appraisals around having HD<sup>30</sup>, to frustrations over reduced functional, motor and cognitive abilities, and changes in personal relationships<sup>31</sup>.

Despite these new findings, we also identify a number of potential limitations. The current REGISTRY sample may not be representative of the HD population as a whole. For instance,

participants within this study who were in the more advanced stages of the disease may have been less likely to have engaged in the research. Also, the data was collected from a Europe-wide sample involving translated versions of instruments. Therefore, in studying the data as a whole, we did not consider how expressions of irritability are construed differently cross-culturally, which suggests caution in applying the general findings to any single European sample.

In summary, this paper outlines the first factor analysis study of scores obtained from the SIS across a large European sample of an HD population. The study provides evidence for two bifactor models and suggests a change is needed in how we should conceptually consider irritability in terms of both general and group factors. Mindful that irritability may stem from a general factor, we recommend that the SIS is best used as a general measure of irritability among HD gene expansion carriers.

## ACKNOWLEDGEMENTS

### **AUTHOR ROLES:**

- 1. Research project:
- A. Conception: MD, JM
- B. Organization: JM, MD, MU
- C. Execution: MD, JM REGISTRY site investigators of EHDN
- 2. Statistical analysis:
- A. Design: JM, MD
- B. Execution: JM
- C. Review and critique: JM, MD, MU, JS
- 3. Manuscript preparation:
- A. Writing of the first draft: JM, MD
- B. Review and critique: MU, JS

# FINANCIAL DISCLOSURES OF ALL AUTHORS (FOR THE PRECEDING 12 MONTHS)

#### John Maltby

Stock ownership in medically related fields: None

Intellectual property rights: None

Consultancies: None

Expert testimony: None

Advisory boards: None

Employment: University of Leicester

Partnerships: None

Contracts: None

Honoraria: None

Royalties: Pearson Education.

Grants: None

Other: None

#### <u>Maria Dale</u>

Stock ownership in medically related fields: None Intellectual property rights: None Consultancies: None Expert testimony: None Advisory boards: None Employment: Leicestershire Partnership NHS Trust Partnerships: None

Contracts: None

Honoraria: None

Royalties: None

Grants: None

Other: None

#### Mandy Underwood

Stock ownership in medically related fields: None

Intellectual property rights: None

Consultancies: None

Expert testimony: None

Advisory boards: None

Employment: Leicestershire Partnership NHS Trust

Partnerships: None

Contracts: None

Honoraria: None

Royalties: None

Grants: None

Other: None

## Jane Simpson

Stock ownership in medically related fields: None

Intellectual property rights: None

Consultancies: None

Expert testimony: None

Advisory boards: None

## Employment: Lancaster University

Partnerships: None

Contracts: None

Honoraria: None

Royalties: None

Grants: None

Other: None

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#### ETHICAL COMPLIANCE STATEMENT:

We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this work is consistent with those guidelines.

## Table 1. Exploratory Factor Analysis (Principal Axis Factoring Extraction with Promax Rotation) of the Eight Irritability Items

|    |   | Factor |     |
|----|---|--------|-----|
|    |   | 1      | 2   |
| 1. | "lose temper"                               | .82    | 10  |
| 2. | "patient with people" (R)                   | .57    | 06  |
| 3. | "angry with myself"                         | .49    | .22 |
| 4. | "harming myself"                            | .03    | .73 |
| 5. | "hurting myself"                            | 08     | .86 |
| 6. | "lose control and hurt someone"             | .59    | .01 |
| 7. | "feel like slamming doors or banging about" | .69    | 01  |
| 8. | "annoyed with myself"                       | .50    | .24 |

Key: (R) = reversed

|                                   | $\chi^2$ | df | <i>P</i> =< | CMIN/DF | CFI  | NNFI | RMSEA | SRMR |
|-----------------------------------|----------|----|-------------|---------|------|------|-------|------|
| Unidimensional (8 items)          | 475.31   | 20 | .000        | 23.77   | .753 | .654 | .190  | .088 |
| Unidimensional (6 items)          | 150.62   | 9  | .000        | 16.74   | .875 | .791 | .158  | .067 |
| Two factors (Inward / Outward)    | 265.31   | 19 | .000        | 13.96   | .866 | .803 | .129  | .143 |
| Two factors (Temper / Self-harm)  | 265.31   | 19 | .000        | 13.96   | .866 | .803 | .129  | .143 |
| Second-order (Inward / Outward)   | 265.31   | 19 | .000        | 13.96   | .866 | .803 | .143  | .086 |
| Second-order (Temper / Self-harm) | 181.81   | 19 | .000        | 9.57    | .912 | .870 | .117  | .060 |
| Bifactor (Inward / Outward)       | 41.34    | 12 | .000        | 3.45    | .983 | .963 | .062  | .025 |
| Bifactor (Temper / Self-harm)     | 41.34    | 12 | .000        | 3.45    | .984 | .963 | .062  | .025 |

Table 2. Confirmatory Factor Analysis Fit Statistics for the Different Models Proposed for the SIS.