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Title: Assessing the impacts of phosphorus inactive clay on phosphorus release control and phytoplankton community structure in eutrophic lakes

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Keywords: Phosphorus; phosphorus inactive clay (PIC); Phoslock®; water-sediment interface; eutrophication; phytoplankton community

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Abstract: Addressing the challenge that phosphorus is the key factor and cause for eutrophication, we evaluated the phosphorus release control performance of a new phosphorus inactive clay (PIC) and compared with Phoslock®. Meanwhile, the impacts of PIC and Phoslock® on phytoplankton abundance and community structure in eutrophic water were also discussed. With the dosage of 40 mg/L, PIC effectively removed 97.7% of total phosphorus (TP) and 98.3% of soluble reactive phosphorus (SRP) in eutrophic waters. In sediments, Fe/Al-phosphorus and organic phosphorus remained stable whereas Ca-phosphorus had a significant increase of 13.1%. The results indicated that PIC may form the active overlay at water-sediment interface and decrease the bioavailability of phosphorus. The phytoplankton abundance was significantly reduced by PIC and decreased from $(1.0-2.4) \times 10^7$ cells/L to $(1.3-4.3) \times 10^6$ cells/L after 15 d simultaneous experiment. The phytoplankton community structure was also altered, where Cyanobacteria and Bacillariophyceae were the most inhibited and less dominant due to their sensitivity to phosphorus. After PIC treatment, the residual lanthanum concentration in water was 1.44-3.79 $\mu\text{g/L}$, and the residual aluminium concentration was low as 101.26-103.72 $\mu\text{g/L}$, which was much less than the recommended concentration of 200 $\mu\text{g/L}$. This study suggests that PIC is an appropriate material for phosphorus inactivation and algal bloom control, meaning its huge potential application in eutrophication restoration and management.



To:
Editor of Environmental Pollution

29th February 2016

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Dear Editor

I would like to submit this manuscript, entitled “*Assessing the impacts of phosphorus inactivation clay on phosphorus release control and phytoplankton community structure in eutrophic lakes*”, for the consideration in *Environmental Pollution*.

This work developed a new phosphorus inactivation clay, achieving: 1) long-term phosphorus immobilization for 15 days; 2) over 97.7% and 98.3% removal efficiency for total and soluble active phosphorus; 3) strong inhibition phytoplankton abundance from $(1.0-2.4) \times 10^7$ cells/L to $(1.3-4.3) \times 10^6$ cells/L; 4) low La^{3+} ($<3.79 \mu\text{g/L}$) and Al^{3+} ($<104.09 \mu\text{g/L}$) residue for drinking water safety. This work provides more evidence to show the feasibility of phosphorus inactivation clay in phosphorus immobilization and phytoplankton inhibition for further application in eutrophic lake restoration.

This work has been presented on *The 3rd National Symposium of Sediment Environment & Pollution Control* (Nanjing, China).

Conflict of Interest

No conflict of interest exists in the submission of this manuscript, and the manuscript has approved by all authors for publication. The authors would like to declare that the work described is original research that has not been published previously, and is not under consideration for publication elsewhere, in whole or in part. It has not been submitted to *Environmental Pollution* before.

The Graphic Abstract was drawn by the authors themselves without any citation from the internet.

Thanks for your consideration. If you have any questions, please feel free to contact with me.

Yours sincerely

Dr Dayi Zhang

Reviewers' comments:

Thanks for the efforts and kinds suggestions of the reviewers. We have carefully revised the whole manuscript according to the comments. The sentences with yellow background colour represent the revision to specific comment and the sentences with blue background colour refer to the general major revision in the main text. Some other minor revision has also been made to improve the quality of the manuscript.

Reviewer #2: This study ENVPOL-D-16-00534R1 "Assessing the impacts of phosphorus inactive clay on phosphorus release control and phytoplankton community structure in eutrophic lakes" investigated a phosphorus inactivation phenomenon of PIC treatment for eutrophication control; they also studied the impacts of PIC on the abundance and structure of phytoplankton community. The study found an efficiency mechanism of phosphorus inactivation, which blocks phosphorus into the water-sediment interface in 15 days. It is an interesting study. The experimental design was in general good and targeted the study's major objective. In my opinion, the authors' interpretation of the results was clearly presented. However, some details should be revised, especially in cited references. Some sentences should be language polished to avoid confusion.

Minor comments & suggestion

Abstract

1. 97.7% of total phosphorus (TP) and 98.3% of soluble reactive phosphorus (SRP) in eutrophic water.
Response: Thank you for the comments and we have revised the sentence in accordance with the suggestion.
2. The results indicated that PIC may form the active overlay at water-sediment interface and decrease the bioavailability of phosphorus.
Response: Thank you for the comments and we have revised the sentence in accordance with the suggestion.
3. In sediments
Response: Thank you for the comments and we have revised the sentence in accordance with the suggestion.
4. After PIC treatment, the residual lanthanum and aluminium concentrations in water were low as XXX, which were much less than the recommended concentrations of XX.
Response: Thank you for the comments and we have revised the sentence in accordance with the suggestion. However, there is no guideline for La in WHO standard and therefore we only reference the 200 ug/L recommended concentration of Al. The revised sentence is:
"After PIC treatment, the residual lanthanum concentration in water was 1.44-3.79 µg/L, and the residual aluminium concentration was low as 101.26-103.72 µg/L, which was much less than the recommended concentration of 200 µg/L."
5. This study suggested that XXX, meaning its huge potential application in XXX.
Response: Thank you for the comments and we have revised the sentence in accordance with the suggestion.

Main text

6. Change the p-value as p, no need to indicate word "value".
Response: Thank you for the comments and we have corrected all the places in the manuscript.
7. Line 48 and plays an essential role in aquatic ecosystem.
Response: Thank you for the comments and we have revised the sentence.
8. Line 65, its good performance of phosphorus release control in several lakes
Response: Thank you for the comments and we have revised the sentence.
9. Line 71, considering the importance of lake ecological stability, it is XX
Response: Thank you for the comments and we have revised the sentence.

10. Line 75-82, To identify the practicability of PIC treatment and clarify the potential impacts of PIC on aquatic ecosystem, the present study compared the efficiency of phosphorus release control and structure changes of phytoplankton community after PIC treatment with after phoslock treatment in a 15-day experiment.
Response: Thank you for the comments and we have revised the sentence.
11. Remove we hypothesis and the conclusion help XXX. These sentences were empty and normally forecast in the end or conclusion, not in introduction part.
Response: Thank you for the comments. The hypothesis and conclusion were suggested by another reviewer and we added this part the in revised version. As suggested by this reviewer, we have revised the sentence and move this part into conclusion.
12. Line 94, Sediment samples about 5.0 kg were collected at the same sites XX.
Response: Thank you for the comments and we have revised the sentence as suggested.
13. Line 119 Ck can't direct use with no any explanation, is that meaning the control group.
Response: Thank you for the comments and it does mean the control group. We have revised the sentence as "The control group with neither PIC nor Phoslock® amendment was named as CK treatment for comparison with Phoslock® or PIC treatments".
14. Line 147 the phosphorus of each fraction was determined according XX.
Response: Thank you for the comments and we have revised the sentence as suggested.
15. Please shorted the part of Results, indicate the main results, concise description.
Response: Thank you for the comments and we have revised the whole results as suggested, marked with blue background colour.
16. Line 184, give the details of EDS analysis in Figure or Table or supplementary data. "The EDS element analysis indicated a high proportion of aluminium in bentonite as the active element for phosphorus immobilization", this sentence is a summary or description, not the real results, the EDS analysis may be an important direct evidence of active overlay. I think this part should be revised and show much more details and results.
Response: Thank you for the comments and we have added the data of EDS analysis in the supplementary material. The key results are demonstrated and discussed in the main text to show evidence of active overlay by aluminium. We do not include very detailed analysis since the PIC synthesis part has been submitted to other journals and we try to avoid multiple submission.
17. Line 225-234 the present of active overlay is just the implication, change "could" may form CC and affect the sediment phosphorus profiles.
Response: Thank you for the comments and we have revised the sentence as suggested.
18. Shorted the results of line 235-242.
Response: Thank you for the comments and we have shorten the paragraph as suggested.
19. Line 278 they those was confused. Please change the express of sentences.
Response: Thank you for the comments and we have revised the sentence for clearer expression, as "The residual lanthanum concentrations after PIC treatment were much lower (<20%) than those after Phoslock® treatment (p<0.01)".

Discussion

20. Line 285-287 the Redfield ratio means the N:P stoichiometry in plankton tends to the N:P mole composition of seawater, especially a remarkably similar ratio of dissolved nitrate to phosphate, not the TN/TP ratio. It is no necessary to cite the Redfield ratio because of no ratio results showed in details. Focused on the main point, not various.
Response: Thank you for the comments and we have deleted the sentence for a clearer description as: "The ratios of TN to TP in Shanzi Reservoir and Xingyu Lake ranged from 35 to 145 (mole:mole), indicating that phosphorus concentration is relatively lower and behaves as the key nutrient factor causing the eutrophication in both waters."
21. Please not repeat much results in this part.

Response: Thank you for the comments and we have deleted most of the repeated results in this part, marked with blue background colour.

22. Line 330-339. Shorted the words, do not repeated the common results in Discussion.
Response: Thank you for the comments and we have revised the whole paragraph for clearer statement.
23. Line 349 cited the Figure 5.
Response: Thank you for the comments and the figure is appropriately cited.
24. Line 353 not the first time report, Bacillariophyceae also decrease. Change the sentences.
Response: Thank you for the comments. We have deleted "for the first time". Meanwhile, in this sentence, we would like to address the specific surpression of harmful algae, not repeating the results from the previous sentence. Thus according to the comments, we have revised the sentence as "Since the majority of harmful algae belongs to the phylum Cyanobacteria (Johnk et al., 2008; Landsberg, 2002; Paerl et al., 2001), our results suggested that PIC can particularly supress some harmful algae more than other algal species, with the unexpected strong performance in reducing algal bloom and preventing their recurring."
25. The cell size of Cyanobacteria is normally smaller than Bacillariophyceae, they also can tolerate the low phosphorus, especially some marine cyanobacteria was removed by PACI-modified clay. I think the time of this experiment on phytoplankton community change was limited, maybe long term (>1 or 2 month) could support your conclusion.
Yu ZM, Zou JZ, Ma XN (1995) Application of clays to removal of red tide organisms III. The coagulation of kaolin on red tide organisms. Chinese Journal of Oceanology and Limnology 13: 62-70.
Response: Thank you for the comments and we have cited the reference appropriately. We do agree with reviewer's kind suggestion that the experiment should last for longer time. However, the present study is only small scale lab test and not suitable for investigation over 15 days, because of limited water volume and artificial conditions far-away from the field. Some mesocosm experiment is undergoing to reveal the long-term (over 3 months) effects of PIC on phytoplankton community change and we hope to add some additional insight in this area in our future papers.
26. Line 414 were "verified".
Response: Thank you for the comments and we have corrected the sentence according to the comments.
27. Line 432 mesocosm experiment.
Response: Thank you for the comments and we have corrected the sentence according to the comments.

Conclusion

28. Line 442 "the PIC dosage was positively correlated with the residual TP and SRP" was confused. That means PIC applied more, the residual nutrient more.
Response: Thank you for the comments and it is our mistakes. The sentence has been corrected as "The PIC dosage was positively correlated with the removal of TP and SRP".
29. Change the express of line 442-444
Summary the main points
1.
2.
3.
I think author needs rewrite the conclusion part.
Response: Thank you for the comments and we have revised the conclusion thoroughly according to the comments.
30. Table 2 indicate the SDP, there was no records of explanation in this submission.
Response: Thank you for the comments. It is our typos and it should be TDP. We have corrected the word.

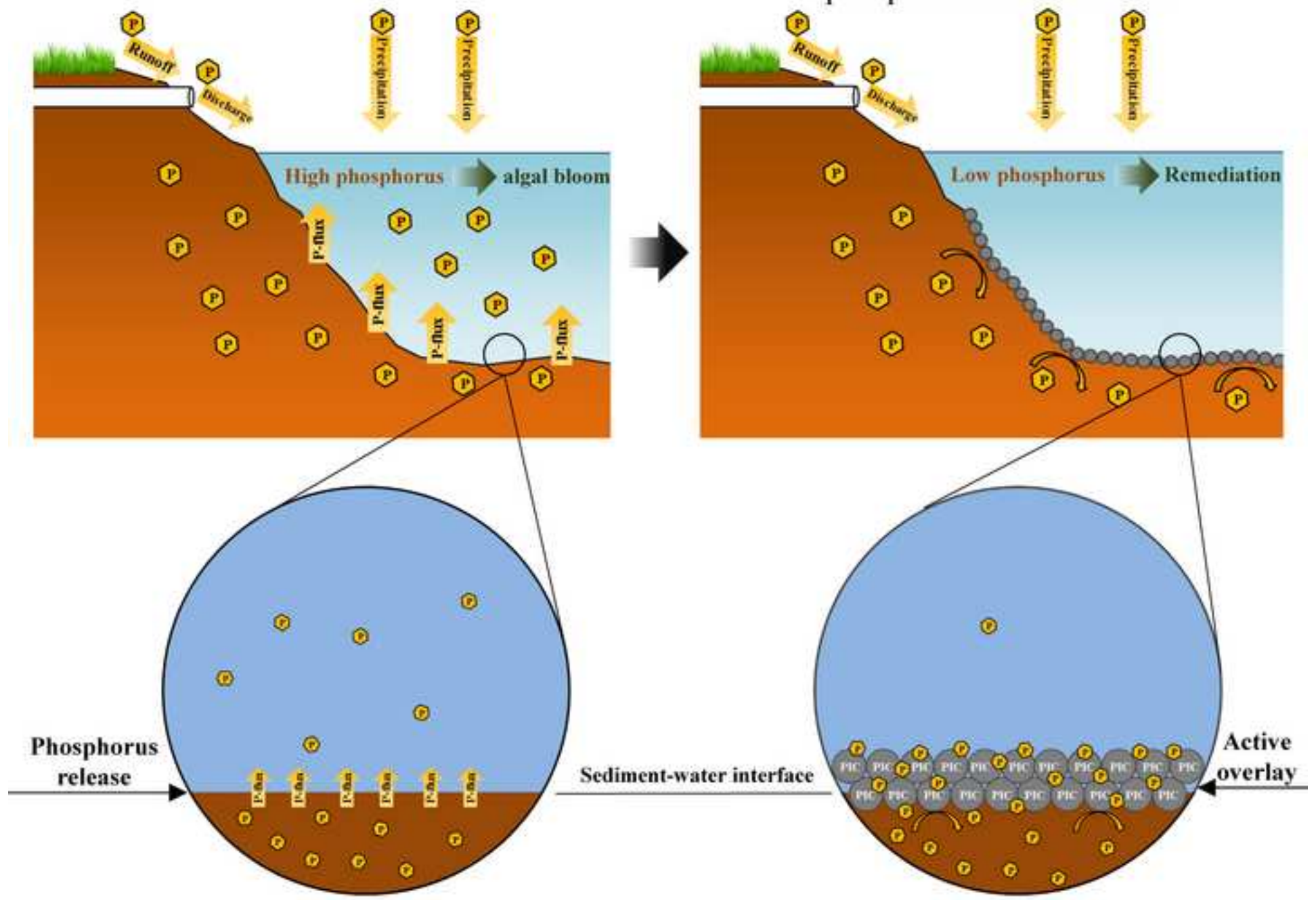
31. Table 3 inactive clay dosage, the end concentration or added concentration?
 Response: Thank you for the comments and it is the added PIC concentration. We have corrected the content as suggested.
32. Table 4 The residual lanthanum and aluminium concentrations ($\mu\text{g/L}$) of water in Shanzi Reservoir and Xingyu Lake after different treatments.
 Response: Thank you for the comments and we have corrected the table title as recommended.
33. Keep the same size of font in results. And please indicate the abbreviation (especially CK and PIC) in the end of the table and add the unit and different treatments title in table.
 Response: Thank you for the comments and the table has been corrected according to the comments.
34. The explained details of graphs should indicate in figure caption or sub-caption, not in graphs. For distinguishing between Shanzi Reservoir and Xingyu Lake could highlight in Figures. Figure 1 TP (A and C represent with PIC, E and G represent with Phoslock) and SRP (B and D represent with PIC, F and H represent with Phoslock) in caption indication, not in graphs.
 Response: Thank you for the comments and we have revised the graph and figure captions.
35. Figure 2 remove the explanation in graph, indicate in the figure title "Shanzi Reservoir (PIC in A, Phoslock in B) and Xinyu Lake (PIC in C, Phoslock in D)", delete this kind of express "phosphorus fraction in sediment", the author indicated in figure caption, not need in graphs.
 Response: Thank you for the comments and we have corrected both graph and figure caption.
36. Figure 3 the same title change as Figure 2, do not use TDP and SRP in top form.
 Response: Thank you for the comments and we have revised the graph and figure captions.
37. Figure 4 the same change of indication in title as Figure 2.
 Response: Thank you for the comments and we have revised the graph and figure captions.
38. Figure 5 the empty cycle was in bigger size than other symbols. Highlight the PIC data to the front, in this figure, readers cannot see, also add details of explanation in figure caption or sub-caption.
 Response: Thank you for the comments and we have carefully revised the caption for Figure 5 and the symbols.

References

39. Special symbol of some authors name, e.g. Lüring and van Oosterhout, 2010, 2012, and 2013, López-Sánchez. Swartzen-Allen, S. L. No need indicate the journal location of Chemical Reviews. Please carefully re-check the whole manuscript and cited references. There are still some details needs to revise before publication. Shorted the main text excluding reference in 8000 words.
 Response: Thank you for the comments and we have checked/corrected all the mistakes in the reference. The word count for the main text is shortened to 5158 words (excluding reference), plus 4 tables and 5 figures (counting for 300 words each).
40. Please make sure all format conform the requirements of EP.
 Response: Thank you for the comments and we have further corrected some mistakes to meet the format requirement of EP.

Natural phosphorus cycle

Phosphorus inactivation clay (PIC) for phosphorus release control



Highlights

- Phosphorus inactivation clay for effective phosphorus immobilization
- Over 97.7% and 98.3% removal efficiency for total and soluble active phosphorus
- Strongly inhibit phytoplankton from $(1.0-2.4)\times 10^7$ cells/L to $(1.3-4.3)\times 10^6$ cells/L
- Significantly alter phytoplankton community structure
- Low La (<3.79 $\mu\text{g/L}$) and Al (<104.09 $\mu\text{g/L}$) residue for drinking water safety

1 **Assessing the impacts of phosphorus inactive clay on phosphorus release**
2 **control and phytoplankton community structure in eutrophic lakes**

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17 **Abstract**

18 Addressing the challenge that phosphorus is the key factor and cause for eutrophication, we
19 evaluated the phosphorus release control performance of a new phosphorus inactive clay (PIC)
20 and compared with Phoslock®. Meanwhile, the impacts of PIC and Phoslock® on
21 phytoplankton abundance and community structure in eutrophic water were also discussed.
22 With the dosage of 40 mg/L, PIC effectively removed 97.7% of total phosphorus (TP) and 98.3%
23 of soluble reactive phosphorus (SRP) in eutrophic waters. In sediments, Fe/Al-phosphorus and
24 organic phosphorus remained stable whereas Ca-phosphorus had a significant increase of
25 13.1%. The results indicated that PIC may form the active overlay at water-sediment interface
26 and decrease the bioavailability of phosphorus. The phytoplankton abundance was significantly
27 reduced by PIC and decreased from $(1.0-2.4) \times 10^7$ cells/L to $(1.3-4.3) \times 10^6$ cells/L after 15 d
28 simultaneous experiment. The phytoplankton community structure was also altered, where
29 Cyanobacteria and Bacillariophyceae were the most inhibited and less dominant due to their
30 sensitivity to phosphorus. After PIC treatment, the residual lanthanum concentration in water
31 was 1.44-3.79 $\mu\text{g/L}$, and the residual aluminium concentration was low as 101.26-103.72 $\mu\text{g/L}$,
32 which was much less than the recommended concentration of 200 $\mu\text{g/L}$. This study suggests
33 that PIC is an appropriate material for phosphorus inactivation and algal bloom control,
34 meaning its huge potential application in eutrophication restoration and management.

35
36 **Keywords:** Phosphorus; phosphorus inactive clay (PIC); Phoslock®; water-sediment interface;
37 eutrophication; phytoplankton community

38
39
40 **Capsule abstract**

41 Phosphorus inactive clay effectively immobilizes phosphorus in eutrophic waters, forms active
42 overlay for 15-day phosphorus release control, and inhibits algal bloom.

43

44 1. Introduction

45 Water eutrophication is a worldwide problem in water quality control, and algal bloom is one of
46 the most serious challenges in drinking water safety (Brookes and Carey, 2011). In most aquatic
47 ecosystems resilience to eutrophication, phosphorus is identified as the key restrict nutrient
48 (Schindler et al., 2008). **Sediment is the sink of organic matters in the geochemical environment
49 and plays an essential role in aquatic ecosystem.** It is not only the habitat for benthic and
50 aqueous organisms, but also the place where a variety of nutrients migrates and transforms
51 (Gulati and van Donk, 2002). Furthermore, sediment has been regarded as the main endogenous
52 source of phosphorus in most of the eutrophication cases, consequently resulting in the failure
53 of algal bloom control when the exogenous nutrients are cut off (Søndergaard et al., 2007;
54 Spears et al., 2012). Even worse, the recruitment of benthic species enhances the phosphorus
55 release and cause phosphorus accumulation in aqueous phase, consequently aggravating algal
56 bloom (Barbiero and Welch, 1992; Xie et al., 2003). It is necessary to develop effective
57 treatments, with high efficiency, low cost and minimal ecological risks, for endogenous
58 phosphorus release control and water restoration (Hickey and Gibbs, 2009).

59 Recently, Phoslock® becomes a popular phosphorus inactive material (Robb et al., 2003;
60 Spears et al., 2013a), which stabilizes the aqueous active phosphorus by forming the LaPO_4
61 chelate precipitate ($\text{La}^{3+} + \text{PO}_4^{3-} \rightarrow \text{LaPO}_4 \downarrow$, $K_{sp} = 10^{-24.7} - 10^{-25.7}$). The settlement of chelate
62 precipitate further forms the “active overlay” at water-sediment interface, contributing to
63 long-term phosphorus release control (Gibbs et al., 2011). As the most investigated and applied
64 phosphorus inactive materials (Lürling and Faassen, 2012; Meis et al., 2012; Moos et al., 2014;
65 van Oosterhout and Lürling, 2013), Phoslock® has attracted much attention in **its good
66 performance of phosphorus release control in several lakes** (Reitzel et al., 2013; Spears et al.,
67 2013b) or the potential ecological risks after Phoslock® amendment (Lürling and Tolman, 2010;
68 Wagenhoff et al., 2012). Though researches have discussed the change of phytoplankton
69 abundance in Phoslock® treatments (Lürling and van Oosterhout, 2013; Waajen et al., 2016),
70 there is still limited study addressing the dynamics and response of phytoplankton community
71 during phosphorus release control process (Lang et al., 2016). **Considering the importance of
72 lake ecological stability,** it is particularly necessary to assess the phytoplankton community
73 after water quality restoration practices.

74 **In this research, we assessed the phosphorus release control for 15 days by a novel phosphorus
75 inactive clay (PIC) in two types of eutrophic water, deep reservoir (Shanzi Reservoir) as
76 drinking water source and shallow landscape water (Xingyu Lake). To identify the practicability**

77 of PIC treatment and clarify its impacts on aquatic ecosystem, the present study compared the
78 efficiency of phosphorus release control and structure changes of phytoplankton community
79 after PIC treatment with those after Phoslock® treatment.

80 **2. Materials and Methods**

81 *2.1 Sites and sample collection*

82 The eutrophic water samples were collected by plexiglass sampler in October 2014 and January
83 2015 in Xingyu Lake (N26°1'40", E119°12'23") and Shanzi reservoir (N26°22'33",
84 E119°18'53"), respectively. These two waters suffered from serious eutrophication in early
85 spring and late summer (Su et al., 2016), and the present study focused on the phosphorus
86 release control during winter season to reduce the risks of spring algal bloom. At each sampling
87 point, about 50.0 L of water samples were collected. The 1,000 mL water sample was added
88 with Lugol's iodine solution as antiseptic and disinfectant immediately for phytoplankton
89 community analysis. The rest of water samples were directly stored at 4°C within 1 day for
90 further chemical analysis and phosphorus inactivation experiment. Sediment samples about 5.0
91 kg were collected at the same sites by Petersen grab (437 330, Bottom Sampler acc. to Van
92 Veen, 20×30×60 cm), immediately transferred into plastic bags and stored at -20°C for
93 chemical analysis or 4°C for phosphorus inactivation experiment.

94 *2.2 PIC and phosphorus adsorption isotherm*

95 In the present study, PIC was an aluminium-modified bentonite clay synthesized as previously
96 described (Hao et al., 2014). The bentonite clay behaved as the carrier for the reactive
97 aluminium for phosphorus immobilization. The Phoslock® was purchased from Sichuan
98 Phoslock Environmental Water Treatment Company. To test the phosphorus adsorption
99 isotherm, the 0.2 g PIC was air-dried and directly added into 50 mL deionized water,
100 supplemented with phosphorus concentration of 0, 0.5, 1.0, 1.5, 2.0, 3.0 and 5.0 mg/L. After
101 constant stirring at 26 °C at 240 rpm for series of time (0, 6, 9, 15, 30, 60, 240, 420, 720 and
102 1440 min), the suspension was centrifuged at 4,000 rpm for 10 min and the supernatant was
103 further analyzed for residual phosphorus concentration.

104 *2.3 Phosphorus inactivation and release control experiment*

105 The phosphorus inactivation and release control treatments were set up in column test (2.5 L
106 plastic barrel). For each treatment, the 2,000 mL water samples were gently overlaid on 200.0 g
107 sediments. The cultivation condition was 12h:12h light-dark-cycle (photon flux density was 65

108 $\mu\text{moles}/\text{m}^2\cdot\text{s}$) and 15°C . Intermittent aeration was conducted within the whole light period (12
109 hours each day) to simulate the *in-situ* physical disturbance at water-sediment interface in
110 winter season. From previous research on the optimal amendment of Phoslock® and the
111 phosphorus adsorption capacity of PIC, the ratio of Phoslock® or PIC to SRP was suggested as
112 100:1 to achieve the best phosphorus immobilization performance (Reitzel et al., 2013). From
113 the chemical analysis of phosphorus in the water samples, the optimal Phoslock® or PIC
114 dosage was around 30 mg/L. Therefore, the dosage of Phoslock® or PIC was set as 10, 20, 30
115 and 40 mg/L, and they were amended gently into the column after air dried. The control group
116 with neither PIC nor Phoslock® amendment was named as CK treatment for comparison with
117 Phoslock® or PIC treatments. The water samples were collected on 1, 3, 5, 7, 9, 12 and 15 days.
118 All the treatments were carried out in triplicates.

119 2.4 Chemical analysis

120 A JSM7500F (JOEL, Japan) scanning electron microscope (SEM) was used to study the
121 morphology of PIC by and the energy-dispersive X-ray spectroscopy (EDS) was obtained
122 TEAM™ EDS system (EDAX, USA). In 15-day phosphorus release control experiment, the
123 values of pH and dissolved oxygen (DO) in water samples were measured by a pH meter (pH
124 B-8, CSDIHO, China) and portable DO meter (JPB-607, INESA, China), respectively. Total
125 nitrogen (TN) was determined by alkaline potassium persulfate digestion UV
126 spectrophotometric method (Zhang et al., 2010). The soluble reactive phosphorus (SRP) in
127 water sample was directly measured by molybdenum blue UV spectrophotometric method
128 (Murphy and Riley, 1962). The extraction of phosphorus species in sediment samples followed
129 the Standards Measurements and Testing (SMT) method (Ruban et al., 2001) as a widely
130 applied routine method for studying phosphorus fractions in sediments (Pardo et al., 2004).
131 Briefly, the sediment was grounded to 100 mesh after air-dried. The 0.20 g of sediment powder
132 was added into 20 mL 1.0 mol/L NaOH and shaken for 16 hours. After centrifugation at 4,000
133 rpm for 20 min, the 10 mL supernatant was added with 4 mL 3.5 mol/L HCl and stabilized for
134 16 h as Fe/Al-phosphorus (Fe/Al-P) fraction. The pellets were further resuspended in 20 mL 1.0
135 mol/L HCl and kept shaking for 16 h as Ca-phosphorus (Ca-P) fraction. For inorganic
136 phosphorus (IP) and organic phosphorus (OP) fraction, the 0.20 g sediment was added with 20
137 mL 1.0 mol/L HCl and the IP fraction was within the supernatant after 16 h by stabilization.
138 After gently washed by deionized water, the pellets were burned in muffle furnace at 450°C for
139 3 h and dissolved in 20 mL 1.0 mol/L HCl. The OP fraction was in the supernatant after 16 h
140 shaking and centrifugation. The total dissolved phosphorus (TDP) and SRP in interstitial water

141 of sediments was extracted in the supernatant by centrifuging the sediment at 4,000 rpm for 5
142 min. For TP fraction in sediments, the 0.20 g sediment was burned directly in muffle furnace at
143 450°C for 3 h, dissolved in 20 mL 3.5 mol/L HCl and finally stabilized for 16 h. For TP in
144 water and TDP in supernatant, the water sample was digested by potassium persulfate. **The**
145 **phosphorus of each fraction was determined according to** the ammonium molybdate
146 spectrophotometric method (ISO, 2004), using a UV-Vis spectrophotometer with 700 nm wave
147 length (UV-1100, MAPADA, China).

148 Lanthanum and aluminium measurement followed the inductively coupled plasma mass
149 spectrometry (ICP-MS) method (Kajiya et al., 2004). After centrifugation at 10,000 rpm for 10
150 min, the supernatant passed through 20 µm filter and was injected into ICP-MS X-Series II
151 (Thermo Scientific, USA). Argon was the cooling, assistant and carrier gas, with the flow rate
152 of 13.0 L/min, 0.8 L/min and 0.82 L/min, respectively. In this study, the determination was
153 carried out in the X Series Default mode (three points per peak) with 10 ms detention time and
154 3 s total sampling time.

155 2.5 *Biological analysis*

156 The phytoplankton community structure and abundance in all the water samples was
157 determined with a binocular biological microscope (Motic, BM-1000, Guangzhou) (Casamayor
158 et al., 2000). The 20 mL water samples with Lugol's iodine fixation were centrifuged at 10,000
159 rpm for 10 min and concentrated to the final volume of 100 µL by deionized water. The
160 identification and counting of phytoplankton species was conducted in the 0.1 mL counting
161 chamber (20 mm × 20 mm) with three individual replicates. All the measurement was carried
162 out at 4°C in dark, and the phytoplankton abundance was calculated with the unit of cells per
163 liter (cells/L) by Equation (1).

$$164 \quad N = \left(\frac{A}{A_0} \times \frac{1}{V} \right) \times n \times 1000 \quad (1)$$

165 Here, N is phytoplankton abundance per microlitre water sample (cells/mL). A and V refer to
166 the area (mm²) and volume (0.1 mL) of counting chamber, respectively. A_0 represents the
167 counting area (mm²), and n is the number of phytoplanktons within the counting area (cells).

168 2.6 *Data analysis*

169 SPSS 17.0 was used for all statistical analysis. Between different treatments, the statistical
170 significance of differences in phosphorus concentration and phytoplankton abundance was

171 calculated by two-way ANOVA (Table 2). All the data were checked for normality (Shapiroe
172 Wilk) and heteroscedasticity (Equal Variance test). The correlation between PIC/Phoslock®
173 dosage and phosphorus immobilization performance was analysed by the Pearson correlation
174 coefficient by bivariate tool in SPSS. The phytoplankton community structure with/without PIC
175 or Phoslock® treatment was clustered by principal components analysis (PCA). The significant
176 level for all the statistical analysis was $p < 0.05$.

177 **3. Results**

178 *3.1 Phosphorus adsorption by PIC*

179 The morphology of PIC before and after phosphorus fixation was illustrated in Figure S1. The
180 original PIC showed the round shape with an average diameter of 3 μm . After phosphorus
181 adsorption, the particle size increased to 5 μm attributing to the nested PO_4^{3-} molecules in the
182 crystal structure. From the EDS analysis results (Figure S1C and Table S1), the aluminium had
183 a high atom proportion of 9.82% in PIC, significantly higher than that in raw bentonite (Li et al.,
184 2016). Accordingly, the ratio of Na_2CO_3 to Al_2O_3 was estimated as 2.5:1 in PIC, and the results
185 confirmed the successful bentonite-modification with aluminium as the active element for
186 phosphorus immobilization. Phosphorus adsorption on PIC followed the Langmuir adsorption
187 isotherm, indicating the monolayer adsorption mechanisms (Figure S2). The maximum
188 phosphorus adsorption capacity (Q_{max}) was 9.93 mg/g and the Langmuir constant (K_L)
189 associated with adsorption energy was 25.3 L/mg.

190 *3.2 Phosphorus removal in water phase*

191 Nutrient conditions in Shanzi Reservoir and Xingyu Lake were listed in Table 1. The TN and
192 TP in Shanzi Reservoir varied in seasons, ranging from 0.15 to 1.14 mg/L and 20 to 80 $\mu\text{g/L}$,
193 respectively. Xingyu Lake had a significant higher TN and TP due to more nutrients input and
194 smaller water volume as landscape water. The addition of PIC or Phoslock® slightly decreased
195 the water pH value (Figure S3), gradually declining from 7.40 to 6.82-6.93 in waters from
196 Shanzi Reservoir and from 7.50 to 7.23-7.31 in waters from Xingyu Lake, respectively. They
197 were both significantly lower than that in the CK treatment ($p=0.03$). The values of DO in all
198 the treatments showed the same declining trend ($p=0.01$, Figure S4).

199 The 15-day phosphorus release control performance of PIC and Phoslock® was illustrated in
200 Figure 1 and Table 3. Except CK and 10 mg/L PIC/Phosock® treatments, a significantly
201 dramatic decline of TP was observed within 1 day ($p < 0.001$). Afterwards, the residual

202 phosphorus remained stable with tiny fluctuation ($p=0.150$, Table 2). The TP removal efficiency
203 was positively correlated with PIC dosage ($p=0.002$), and the Pearson coefficient is 0.918 for
204 Shanzi Reservoir ($p<0.001$) and 0.945 for Xingyu Lake ($p<0.001$), respectively. When the PIC
205 dosage was above 20 mg/L, the residual TP was less than 20 $\mu\text{g/L}$. Compared to the maximum
206 phosphorus adsorption capacity (Table 3), there was a negative correlation between the dosage
207 and phosphorus adsorption efficiency of PIC (Pearson coefficient is -0.892 in Shanzi Reservoir,
208 $p=0.003$; Pearson coefficient is -0.828 in Xingyu Lake, $p=0.011$). Compared to Phoslock®
209 (Figure 1E and 1G), PIC had a better TP removal efficiency ($p=0.001$).

210 Similarly, a significant removal of SRP was observed for all the PIC and Phoslock® treatments
211 ($p<0.001$). The SRP concentrations were lower than 10 $\mu\text{g/L}$ from Day 1 to Day 15 in PIC (Fig.
212 1B and 1D) and Phoslock® (Fig. 1F and 1H) treatments. The SRP removal efficiencies were
213 positively correlated with PIC dosage (Pearson coefficient 0.898 in Shanzi Reservoir, $p<0.001$;
214 Pearson coefficient 0.590 in Xingyu Lake, $p=0.001$). The performance of SRP reduction after
215 Phoslock® treatment was similar to that after PIC treatment ($p=0.721$, Table 2).

216 3.3 Impacts of PIC on sediment and interstitial water phosphorus profiles

217 The amendment of PIC and Phoslock® can form the “active overlay” and may affect the
218 sediment phosphorus profiles. Our results indicated that Ca-P and IP had a significant increase
219 after PIC treatment (Figure 2), from 95.34 $\mu\text{g/g}$ to 127.05 $\mu\text{g/g}$ ($p<0.001$) and 360.54 $\mu\text{g/g}$ to
220 413.99 $\mu\text{g/g}$ ($p=0.004$), respectively. The PIC dosage was positively correlated with the
221 concentrations of Ca-P (Pearson coefficient 0.910, $p<0.001$) and IP (Pearson coefficient 0.845,
222 $p<0.001$). For SRP and Fe/Al-P in sediments, there was no significant difference ($p>0.05$, Table
223 2 and Figure 2) before and after PIC or Phoslock® addition. Meanwhile, all the phosphorus
224 fractions in sediments showed no remarkable difference between PIC and Phoslock®
225 treatments (Table 2), indicating the similar mechanisms and performance of these two
226 phosphorus inactive materials.

227 From phosphorus concentrations in interstitial water of the sediments from Shanzi Reservoir
228 and Xingyu Lake (Figure 3), both TDP and SRP had a slightly increasing trend in either PIC or
229 Phoslock® treatments. The TDP and SRP concentration in Shanzi Reservoir was 240-320 $\mu\text{g/L}$
230 and 60-90 $\mu\text{g/L}$, respectively, and they were 330-400 $\mu\text{g/L}$ and 30-50 $\mu\text{g/L}$ in Xingyu Lake.
231 Nevertheless, there was no significant difference between each dosage or between PIC and
232 Phoslock® treatments from two-way ANOVAs (Table 2).

233 3.4 Phytoplankton community structure change

234 Both Shanzi Reservoir and Xingyu Lake were eutrophic waters with high phytoplankton
235 abundance (*Original* in Figure 4). The dominant phytoplankton was Bacillariophyceae
236 (7.76×10^6 cells/L), accounting for 85.80% of the total population in water from Shanzi
237 Reservoir, followed by Chlorophyta (1.04×10^6 cells/L, 11.48%), Cryptophyta (1.70×10^5 cells/L,
238 1.88%), Euglenophyta (5.66×10^4 cells/L, 0.63%) and Cyanobacteria (1.89×10^4 cells/L, 0.21%).
239 In Xingyu Lake, the total phytoplankton abundance was 2.03×10^7 cells/L, and the community
240 was consisted of Chlorophyta (8.17×10^6 cells/L, 40.34%), Bacillariophyceae (4.19×10^6 cells/L,
241 20.69%), Cyanobacteria (4.10×10^6 cells/L, 20.25%) and Euglenophyta (3.69×10^6 cells/L,
242 18.25%) at phylum level.

243 PIC and Phoslock® amendment affected the phytoplankton abundance and community
244 structure (Figure 4). In CK treatment, the total phytoplankton abundance increased to 9.63×10^6
245 cells/L and 2.38×10^7 cells/L in Shanzi Reservoir and Xingyu Lake, 6.5% and 17.4% higher than
246 original waters ($p=0.02$). In PIC treatments, the total phytoplankton abundance decreased to
247 $(0.014-0.626) \times 10^6$ cell/L in Shanzi Reservoir (Figure 4A) and $(0.002-0.429) \times 10^7$ cell/L in
248 Xingyu Lake (Figure 4C). The phytoplankton inhibition rates ranged from 93.6%-99.9% and
249 82.0%-99.9% respectively, slightly higher than those of Phoslock® treatments (Figure 4B and
250 4D). The phytoplankton abundance was negatively correlated with PIC dosage (Pearson
251 correlation coefficient -0.815 for Shanzi Reservoir and -0.852 for Xingyu Lake, $p<0.05$).

252 There was a significant difference in phytoplankton community structure after PIC or
253 Phoslock® treatments from PCA plot (Figure 5). The locations of phytoplankton community of
254 both Shanzi Reservoir and Xingyu Lake in CK treatment were close to those of original waters.
255 With the increasing PIC/Phoslock® dosage, the phytoplankton community groups of both
256 waters co-clustered, with longer distance to the *Original* and CK groups. The most obvious
257 change (Figure 4) was the significant increase of Euglenophyta and Cryptophyta. Accordingly,
258 Bacillariophyceae and Cyanobacteria were the main declining phylum.

259 3.5 La/Al residues after PIC treatment

260 To further evaluate the potential ecological risks of PIC, the residual lanthanum and aluminium
261 were measured and listed in Table 4. Since lanthanum was not the formula in PIC, there was no
262 significant difference in lanthanum concentrations before and after PIC amendment ($p>0.05$).
263 The residual lanthanum concentrations after PIC treatment were much lower (<20%) than those
264 after Phoslock® treatment ($p<0.01$). The residual aluminium after PIC treatment was 101.26

265 $\mu\text{g/L}$ and $103.72 \mu\text{g/L}$ for waters from Shanzi Reservoir and Xingyu Lake respectively, similar
266 to those in Phoslock® treatment ($p>0.05$). Considering the levels of residual lanthanum and
267 aluminium, PIC had relatively lower ecological risks than Phoslock®.

268 4. Discussion

269 4.1 Dynamic change of phosphorus profiles in water and sediment

270 The ratios of TN to TP in Shanzi Reservoir and Xingyu Lake range from 35 to 145 (mole:mole),
271 indicating that phosphorus concentration is relatively lower and behaves as the key nutrient
272 factor causing the eutrophication in both waters. Furthermore, the endogenous release from
273 sediments is also viewed as a key pathway of phosphorus nutrients for aquatic ecosystem. The
274 present study therefore investigated the 15-day phosphorus release process at the
275 water-sediment interface, considering the impacts of phosphorus inactive materials (PIC and
276 Phoslock®) on phosphorus immobilization and phytoplankton community.

277 In all the treatments, the high phosphorus removal efficiency and stability after 15-day
278 experiment demonstrated that the functional sites on PIC surface can effectively immobilize
279 phosphorus, particularly the soluble and active fraction. PIC had a similar maximum
280 phosphorus adsorption capacity to previously reported Phoslock® (9.5-10.5 mg/g)
281 (Haghseresht et al., 2009). Its high Langmuir constant also indicated the strong binding strength
282 between phosphorus molecules and PIC (Lin et al., 2015). From the negative correlation
283 between PIC/Phoslock® dosage and phosphorus adsorption efficiency, we suggested abundant
284 active sites on PIC and Phoslock®, which contributed to further phosphorus immobilization
285 and prevented phosphorus release from sediment for at least 15 days. Similar to Phoslock®,
286 PIC remained phosphorus inactivation capacity and behaved as the “active overlay” at the
287 water-sediment interface after the settlement.

288 The slight decrease of pH value during PIC treatment might be attributed to the acidity of
289 bentonite clay, which was the main ingredient of PIC (Liu et al., 2015; Penner and Lagaly,
290 2001), or the hydrolysis and exchange of element (Swartzen and Matijevi, 1974). The pH value
291 shows significant impacts on the phosphorus immobilization efficiency of phosphorus inactive
292 materials, particularly when the bentonite clay is used (Haghseresht et al., 2009; Reitzel et al.,
293 2005). In the present study, the declining pH values further improved the stability of
294 phosphorus precipitate. The results fitted well with previous research that the phosphorus
295 inactivation performance is dependent on the physical and chemical features of the targeted
296 water samples (Huser, 2012).

297 Previous research has revealed that sediment OP is positively correlated with the dosage of
298 Phoslock® (Meis et al., 2013). Nevertheless, the OP concentration in sediment did not change
299 with PIC addition in our study. It was reported that more phosphorus is released from sediment
300 under anaerobic conditions (Geng et al., 2007; Hupfer and Lewandowski, 2008; Song et al.,
301 2011). The increasing sediment OP is attributed to the settling phytoplankton and/or debris from
302 decomposing macrophytes (Meis et al., 2013). The high DO concentration (Figure S4) in our
303 work indicated the aerobic condition throughout the experiment. Thus, though the original
304 phytoplankton abundance was of high level, the aerobic condition did not promote the
305 transformation and release of phosphorus in sediment, causing less OP variation in sediments.
306 Meanwhile, the aquatic SRP/TP ratio decreased after PIC treatment, similar to the previous
307 results of Phoslock® (Reitzel et al., 2013). It indicated that PIC primarily reacts with the active
308 fraction of phosphorus (SRP), and its phosphorus immobilization is dependent on the natural
309 phosphorus cycling at the water-sediment interface.

310 The water-sediment interface plays a key role in phosphorus transportation and exchange. In all
311 the PIC and Phoslock® treatments, the concentrations of TDP and SRP in interstitial water of
312 sediments (Figure 3) were much higher than aqueous TP and SRP. From Yin's study, SRP fluxes
313 are determined by the phosphorus gradient across sediment-water interface (Yin and Kong,
314 2015). A strong SRP flux is therefore expected after PIC/Phoslock® treatment, but our results
315 showed the stable TP and SRP in waters throughout the 15-day experiment. It hinted limited
316 phosphorus release from sediments, suggesting the formation of "active overlay" at the
317 sediment surface by PIC or Phoslock® and effective phosphorus release control.

318 4.2 Mechanisms of phytoplankton community change

319 Algal bloom is the direct evidence of water eutrophication (Anderson et al., 2002; Smith, 2003),
320 when the exceeding growth of various algae caused serious challenges in drinking water safety,
321 particularly the toxigenic algae like *Microcystis aeruginosa*, *Aphanizomenon flos-aquae* and
322 *Anabaena flosaquas* (Codd et al., 2005; Collins, 1978). By immobilizing phosphorus as the key
323 nutrient in aquatic phase and blocking its release from the sediment, Phoslock® effectively
324 reduces the nutrient level and maintained the oligotrophic condition (Schindler et al., 2008).
325 Accordingly, our results showed that PIC had similar performance of significantly reducing
326 phytoplankton abundance by immobilizing phosphorus and minimizing the active phosphorus
327 (Figure 5). More interestingly, Bacillariophyceae and Cyanobacteria were identified as the key
328 declining phytoplankton phylum in both eutrophic waters. Since the majority of harmful algae
329 belongs to the phylum Cyanobacteria (Johnk et al., 2008; Landsberg, 2002; Paerl et al., 2001),

330 our results suggested that PIC particularly suppressed some harmful algae more than other algal
331 species, with the unexpected strong performance in reducing algal bloom and preventing their
332 recurring. It is hypothesized that Euglenophyta and Cryptophyta are not sensitive to inorganic
333 phosphorus and can tolerate low phosphorus environment after phosphorus inactive clay
334 treatment (Burgi et al., 2003; Chisholm and Stross, 1976). On the contrast, the
335 phosphorus-sensitive Bacillariophyceae and Cyanobacteria are significantly affected by low
336 phosphorus pressure (Lagus et al., 2004; Levine and Schindler, 1999; Lippemeier et al., 2001).
337 Lang et al. reported the decreasing cyanobacteria after Phoslock® treatment in shallow water
338 Loch Flemington, which is explained by the less competitive advantage of cyanobacteria under
339 reduced phosphorus conditions (Lang et al., 2016). Similar results are also found in shallow
340 reservoir in California (Bishop et al., 2014) and marine cyanobacteria removal by
341 polyaluminium chloride modified clay (Yu et al., 1995). The close distance of phytoplankton
342 community after PIC and Phoslock® treatment (Figure 5) indicated the similar community
343 structure trends affected by the two phosphorus inactive materials, showing their feasibility in
344 preventing algal bloom formation. However, the cell size of Cyanobacteria is normally smaller
345 than Bacillariophyceae, indicating their stronger tolerance to low phosphorus. A larger scale of
346 mesocosm experiment is therefore suggested to address the long-term effects of PIC on
347 phytoplankton community dynamics, particularly harmful cyanobacterial abundance under low
348 phosphorus conditions.

349 4.3 Ecological risk assessment

350 The additives of phosphorus inactivate materials may cause the increase of metal ions in aquatic
351 environment, which possibly leads to their accumulation in the food chain and finally show
352 risks to human health. Lanthanum is the reactive component of Phoslock® with such potential
353 risks. The LD₅₀ of LaCl₃ is 4200 mg La per kilogram body weight for rats (Cochran et al.,
354 1950). A median threshold effects of LaCl₃ for Daphnia and Scenedesmus are reported as 160
355 mg La/L after 4 hours and 0.15 mg La/L for after 4 days, respectively (Bringmann and Kuhn,
356 1959). High level LaCl₃ exposure (>1 mg/L) can cause the death of fish within 24 hours
357 (Peterson et al., 1974). Compared to Phoslock®, PIC did not use lanthanum as the ingredient in
358 the present work. The residual lanthanum after PIC treatment was similar to the aquatic
359 background in both eutrophic waters and much lower than that after Phoslock® treatment,
360 showing relatively less ecological and health impacts.

361 Meanwhile, aluminium also has significant acute toxicity (Srinivasan et al., 1999). Particularly
362 in acidic waters (pH 4.2 to 5.6), 0.1-0.2 mg/L aluminium can cause the reduction of survival

363 and growth of larvae and postlarvae (Baker and Schofield, 1982). As for the risks on human
364 health, the possibility of an association between aluminium and neuropathological diseases
365 including presenile dementia, dialysis encephalopathy and Alzheimer's disease is frequently
366 hypothesized. The kidney dialysis patients suffer dementia when their dialysis fluid contains an
367 aluminium concentration of 0.08 mg/L (Davison et al., 1982). The presence of aluminium in
368 drinking water has given rise to discussions on possible health effects, because of its suspected
369 connection with Alzheimer's diseases or dialysis encephalopathy (Jekel and Heinzmann, 1989).
370 Higher rate of Alzheimer's disease is observed when the aluminium concentration exceeds 0.11
371 mg/L (Martyn et al., 1989), and similar results are found in the cases of animal
372 neuropathological disorders (Kopeloff et al., 1942). World Health Organization (WHO) thus
373 suggests the health-based value of 0.9 mg Al/L for drinking water, with detailed restriction of
374 0.1-0.2 mg Al/L for water after coagulation treatment (WHO, 2004). In the present work, the
375 residual concentration of Al in water was about 0.1 mg/L after PIC and Phoslock® treatment.
376 Though not exceeding the WHO recommended values, it still might be a potential source of
377 aluminium release to water. Previous research revealed that the majority of residual lanthanum
378 and aluminium is within the top 10 cm of sediments (Meis et al., 2013; Reitzel et al., 2005), and
379 their ecological and health risks are then at low level as an engineering approach for
380 phosphorus release control. We therefore suggested that the health risk of applying PIC or
381 Phoslock® is limited, but it needs careful monitoring and assessment in practical application in
382 reservoir or other drinking water sources.

383 4.4 Perspectives

384 Phosphorus is the key factor causing eutrophication and important for water quality. There are
385 many attentions on its immobilization or release control from sediments. The application of
386 various phosphorus inactive materials, including Phoslock®, has therefore attracted increasing
387 attentions from both academia and industries around the world. Phoslock® is proved to
388 immobilize phosphorus by creating phosphorus precipitate, form “active overlay” on the top of
389 the sediment to block phosphorus releasing into the aquatic phase, and effectively trap the
390 aquatic soluble phosphorus from other pathways (Meis et al., 2013). The present study
391 addressed the phosphorus release control of PIC in eutrophic waters and compared its
392 performance with widely accepted and applied Phoslock®. Their similar phosphorus
393 immobilization behavior and impacts on the phytoplankton abundance and community were
394 verified.

395 Applying Phoslock®, PIC or other phosphorus inactive materials is a strategic water restoration

396 approach for eutrophic water quality management. Treatments in summer or autumn can
397 immobilize all the SRP from aquatic phase. It may minimize the available phosphorus, reduce
398 phytoplankton abundance and achieve short-term water quality improvement. As for the
399 treatments in winter or spring, the phosphorus inactive materials can form the “active overlay”
400 at the water-sediment interface and effectively block the phosphorus release from sediment.
401 This strategy focuses on locking phosphorus within the sediment and contributes to long-term
402 water quality recovery. Combined with other water restoration methods, like coagulation or
403 oxidation, their performance can be even enhanced (Lürling and Faassen, 2012). Most of the
404 previous research on phosphorus inactive materials has highlighted the performance of
405 phosphorus fixation or immobilization (Lürling and Tolman, 2010; Spears et al., 2013a;
406 Wagenhoff et al., 2012). Recently, their impacts on phytoplankton abundance and community
407 structure are getting more attentions to be considered in eutrophic water restoration actions
408 (Lürling and van Oosterhout, 2013; Lang et al., 2016; Waajen et al., 2016). Although our study
409 aims to answer these questions, the laboratory-scale experiment cannot simulate the field reality
410 where the phosphorus cycle and phytoplankton community are affected by numerous
411 environmental factors (Paerl and Otten, 2013). The latest research has focused on the
412 large-sized **mesocosm experiment** on long-term impacts of phosphorus inactive materials on
413 phytoplankton abundance and community (Lang et al., 2016), and more work is suggested to
414 address this question to evaluate the engineering parameters and the ecological consequence on
415 the aquatic system for long-term phosphorus release control, especially in drinking water
416 reservoirs.

417

418 **5. Conclusion**

419 **The present study demonstrated the phosphorus inactivation by a new PIC in natural eutrophic**
420 **waters from Shanzi Reservoir and Xingyu Lake. After 15 days experiment, PIC achieved**
421 **effective phosphorus reduction, blocked phosphorus release from sediments, and significantly**
422 **altered the phytoplankton community structure. The main results included:**

423 **1. The initial PIC dosage was negatively correlated with the aqueous residual TP and SRP,**
424 **and the highest TP and SRP removal efficiency achieved 97.7% and 98.3%,**
425 **respectively.**

426 **2. The phytoplankton abundance was significantly decreased with the increasing PIC**
427 **dosage and the lowest residual phytoplankton abundance was less than 0.01% of**

428 original eutrophic waters, attributing to the oligotrophic condition of phosphorus
429 reduction.

430 3. Of all the phytoplanktons, the abundance of phylum Bacillariophyceae and
431 Cyanobacteria was most reduced due to their higher sensitivity to phosphorus.

432 4. The residual lanthanum and aluminium concentrations after PIC treatment were at low
433 levels and had minimal ecological or health risks.

434 The present work helps our deeper understanding on the performance of applying PIC to
435 improve eutrophic water quality and its potential impacts on aquatic ecosystem. Our study
436 shows that PIC is feasible for phosphorus release control and can be a practical tool in water
437 quality restoration.

438

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443

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627 **Figure caption**

628 **Figure 1.** The 15-day control performance of phosphorus release with PIC and Phoslock®
629 treatments. (A) and (C) represent TP in PIC treatments; (E) and (G) represent TP in Phoslock®
630 treatments. (B) and (D) represent SRP in PIC treatments; (F) and (H) represent SRP in
631 Phoslock® treatments.

632 **Figure 2.** Phosphorus profiles in surface sediments with PIC and Phoslock® treatments. (A) for
633 PIC and (B) for Phoslock® treatment in Shanzi Reservoir. (C) for PIC and (D) for Phoslock®
634 treatment in Xingyu Lake. The subgraphs represent phosphorus fraction in each treatment,
635 respectively.

636 **Figure 3.** TDP and SRP concentrations in interstitial water of sediments with PIC and
637 Phoslock® treatments. (A) for PIC and (B) for Phoslock® treatment in Shanzi Reservoir. (C)
638 for PIC and (D) for Phoslock® treatment in Xingyu Lake.

639 **Figure 4.** Abundance and structure changes of phytoplankton communities with PIC and
640 Phoslock® treatments. (A) for PIC and (B) for Phoslock® treatment in Shanzi Reservoir. (C)
641 for PIC and (D) for Phoslock® treatment in Xingyu Lake.

642 **Figure 5.** PCA analysis of phytoplankton community structure with PIC and Phoslock®
643 treatments. The categories of phytoplankton community in either PIC (green) or Phoslock®
644 (red) treatments co-cluster, with long distance to the *Original* (white) and *CK* (grey) groups in
645 both Shanzi Reservoir (circle) and Xingyu Lake (triangle).

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648

649 **Table**650 **Table 1.** Nutrient conditions in Shanzi Reservoir and Xingyu Lake.

Water samples	Season	TN (mg/L)	TP (µg/L)	TN/TP	pH	DO (mg/L)
Shanzi Reservoir	Autumn	0.15-1.03	20-80	35-57	7.50-7.65	8.50-8.70
	Winter	1.28-1.14	20-60	64-72	7.48-7.62	8.78-8.86
Xingyu Lake	Autumn	3.51-4.34	110-160	49-87	7.40-7.55	8.82-8.95
	Winter	2.72-11.72	120-240	50-145	7.39-7.53	10.11-10.32

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652

653 **Table 2.** F- and p-values of two-way ANOVAs on different phosphorus fractions in waters and
 654 sediments from Shanzi Reservoir and Xingyu Lake with/without PIC or Phoslock® treatments
 655 (Details of two-way ANOVAs for each phosphorus fraction in Table S2-S10).

Source	Water		Sediment					Interstitial water	
	TP	SRP	TP	Fe/Al-P	Ca-P	IP	OP	TDP	SRP
PIC/Phoslock	F=11.7 <i>p</i> =0.001	F=0.13 <i>p</i> =0.721	F=0.33 <i>p</i> =0.579	F=0.01 <i>p</i> =0.940	F=1.21 <i>p</i> =0.298	F=1.81 <i>p</i> =0.208	F=0.01 <i>p</i> =0.957	F=3.20 <i>p</i> =0.099	F=3.72 <i>p</i> =0.078
Dosage	F=1026.1 <i>p</i> =0.002	F=811.5 <i>p</i> <0.001	F=3.31 <i>p</i> =0.057	F=0.40 <i>p</i> =0.804	F=12.31 <i>p</i> =0.001	F=7.68 <i>p</i> =0.004	F=0.04 <i>p</i> =0.997	F=0.25 <i>p</i> =0.903	F=0.03 <i>p</i> =0.998
Time	F=1.64 <i>p</i> =0.150	F=1.25 <i>p</i> =0.291	NT	NT	NT	NT	NT	NT	NT

656 NT = not tested.

657

658 **Table 3.** Phosphorus removal efficiency at water-sediment interface of Shanzi Reservoir and
 659 Xingyu Lake.

Site	The added PIC concentration (mg/L)	Adsorption amount (mg/g)	Adsorption efficiency	TP removal efficiency	SRP removal efficiency
Shanzi Reservoir	10	8.98-10.00	90.4%-100.7%	60.0%-64.2%	73.9%-87.4%
	20	9.39-10.10	94.5%-101.7%	61.3%-64.6%	88.4%-98.4%
	30	7.82-8.23	78.8%-82.9%	94.0%-97.2%	87.8%-100.0%
	40	6.02-6.43	60.6%-64.7%	96.4%-98.9%	100.0%-100.0%
Xingyu Lake	10	9.18-11.20	92.5%-111.0%	29.9%-33.5%	68.5%-98.3%
	20	9.59-10.51	96.6%-105.8%	64.5%-67.2%	83.3%-100.0%
	30	8.91-9.46	89.7%-95.2%	94.0%-96.9%	80.9%-100.0%
	40	6.84-7.40	68.8%-74.5%	97.6%-99.0%	89.3%-100.0%

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661

662 **Table 4.** The residual lanthanum and aluminium concentrations in Shanzi Reservoir and Xingyu
 663 Lake after different treatments.

Treatment		Lanthanum (µg/L)	Aluminium (µg/L)
Shanzi Reservoir	Original water	1.25±0.21	59.15±9.11
	CK	1.32±0.17	68.79±11.97
	Phoslock®	26.04±0.27	99.38±20.88
	PIC	1.44±0.18	101.26±15.14
Xingyu Lake	Original water	3.21±0.22	62.90±12.98
	CK	3.53±0.39	70.11±16.79
	Phoslock®	23.12±1.01	104.09±19.01
	PIC	3.79±0.51	103.72±15.86

664 CK: Treatment without Phoslock® or PIC amendment.

665 PIC: Phosphorus inactive clay treatment.

666

1 **Assessing the impacts of phosphorus inactive clay on phosphorus release**
2 **control and phytoplankton community structure in eutrophic lakes**

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17 **Abstract**

18 Addressing the challenge that phosphorus is the key factor and cause for eutrophication, we
19 evaluated the phosphorus release control performance of a new phosphorus inactive clay (PIC)
20 and compared with Phoslock®. Meanwhile, the impacts of PIC and Phoslock® on
21 phytoplankton abundance and community structure in eutrophic water were also discussed.
22 With the dosage of 40 mg/L, PIC effectively removed 97.7% of total phosphorus (TP) and 98.3%
23 of soluble reactive phosphorus (SRP) in eutrophic waters. In sediments, Fe/Al-phosphorus and
24 organic phosphorus remained stable whereas Ca-phosphorus had a significant increase of
25 13.1%. The results indicated that PIC may form the active overlay at water-sediment interface
26 and decrease the bioavailability of phosphorus. The phytoplankton abundance was significantly
27 reduced by PIC and decreased from $(1.0-2.4) \times 10^7$ cells/L to $(1.3-4.3) \times 10^6$ cells/L after 15 d
28 simultaneous experiment. The phytoplankton community structure was also altered, where
29 Cyanobacteria and Bacillariophyceae were the most inhibited and less dominant due to their
30 sensitivity to phosphorus. After PIC treatment, the residual lanthanum concentration in water
31 was 1.44-3.79 $\mu\text{g/L}$, and the residual aluminium concentration was low as 101.26-103.72 $\mu\text{g/L}$,
32 which was much less than the recommended concentration of 200 $\mu\text{g/L}$. This study suggests
33 that PIC is an appropriate material for phosphorus inactivation and algal bloom control,
34 meaning its huge potential application in eutrophication restoration and management.

35
36 **Keywords:** Phosphorus; phosphorus inactive clay (PIC); Phoslock®; water-sediment interface;
37 eutrophication; phytoplankton community

38
39

40 **Capsule abstract**

41 Phosphorus inactive clay effectively immobilizes phosphorus in eutrophic waters, forms active
42 overlay for 15-day phosphorus release control, and inhibits algal bloom.

43

44 **1. Introduction**

45 Water eutrophication is a worldwide problem in water quality control, and algal bloom is one of
46 the most serious challenges in drinking water safety (Brookes and Carey, 2011). In most aquatic
47 ecosystems resilience to eutrophication, phosphorus is identified as the key restrict nutrient
48 (Schindler et al., 2008). Sediment is the sink of organic matters in the geochemical environment
49 and plays an essential role in aquatic ecosystem. It is not only the habitat for benthic and
50 aqueous organisms, but also the place where a variety of nutrients migrates and transforms
51 (Gulati and van Donk, 2002). Furthermore, sediment has been regarded as the main endogenous
52 source of phosphorus in most of the eutrophication cases, consequently resulting in the failure
53 of algal bloom control when the exogenous nutrients are cut off (Søndergaard et al., 2007;
54 Spears et al., 2012). Even worse, the recruitment of benthic species enhances the phosphorus
55 release and cause phosphorus accumulation in aqueous phase, consequently aggravating algal
56 bloom (Barbiero and Welch, 1992; Xie et al., 2003). It is necessary to develop effective
57 treatments, with high efficiency, low cost and minimal ecological risks, for endogenous
58 phosphorus release control and water restoration (Hickey and Gibbs, 2009).

59 Recently, Phoslock® becomes a popular phosphorus inactive material (Robb et al., 2003;
60 Spears et al., 2013a), which stabilizes the aqueous active phosphorus by forming the LaPO_4
61 chelate precipitate ($\text{La}^{3+} + \text{PO}_4^{3-} \rightarrow \text{LaPO}_4 \downarrow$, $K_{sp} = 10^{-24.7} - 10^{-25.7}$). The settlement of chelate
62 precipitate further forms the “active overlay” at water-sediment interface, contributing to
63 long-term phosphorus release control (Gibbs et al., 2011). As the most investigated and applied
64 phosphorus inactive materials (Lürling and Faassen, 2012; Meis et al., 2012; Moos et al., 2014;
65 van Oosterhout and Lürling, 2013), Phoslock® has attracted much attention in its good
66 performance of phosphorus release control in several lakes (Reitzel et al., 2013; Spears et al.,
67 2013b) or the potential ecological risks after Phoslock® amendment (Lürling and Tolman, 2010;
68 Wagenhoff et al., 2012). Though researches have discussed the change of phytoplankton
69 abundance in Phoslock® treatments (Lürling and van Oosterhout, 2013; Waajen et al., 2016),
70 there is still limited study addressing the dynamics and response of phytoplankton community
71 during phosphorus release control process (Lang et al., 2016). Considering the importance of
72 lake ecological stability, it is particularly necessary to assess the phytoplankton community
73 after water quality restoration practices.

74 In this research, we assessed the phosphorus release control for 15 days by a novel phosphorus
75 inactive clay (PIC) in two types of eutrophic water, deep reservoir (Shanzi Reservoir) as
76 drinking water source and shallow landscape water (Xingyu Lake). To identify the practicability

77 of PIC treatment and clarify its impacts on aquatic ecosystem, the present study compared the
78 efficiency of phosphorus release control and structure changes of phytoplankton community
79 after PIC treatment with those after Phoslock® treatment.

80 **2. Materials and Methods**

81 *2.1 Sites and sample collection*

82 The eutrophic water samples were collected by plexiglass sampler in October 2014 and January
83 2015 in Xingyu Lake (N26°1'40", E119°12'23") and Shanzi reservoir (N26°22'33",
84 E119°18'53"), respectively. These two waters suffered from serious eutrophication in early
85 spring and late summer (Su et al., 2016), and the present study focused on the phosphorus
86 release control during winter season to reduce the risks of spring algal bloom. At each sampling
87 point, about 50.0 L of water samples were collected. The 1,000 mL water sample was added
88 with Lugol's iodine solution as antiseptic and disinfectant immediately for phytoplankton
89 community analysis. The rest of water samples were directly stored at 4°C within 1 day for
90 further chemical analysis and phosphorus inactivation experiment. Sediment samples about 5.0
91 kg were collected at the same sites by Petersen grab (437 330, Bottom Sampler acc. to Van
92 Veen, 20×30×60 cm), immediately transferred into plastic bags and stored at -20°C for
93 chemical analysis or 4°C for phosphorus inactivation experiment.

94 *2.2 PIC and phosphorus adsorption isotherm*

95 In the present study, PIC was an aluminium-modified bentonite clay synthesized as previously
96 described (Hao et al., 2014). The bentonite clay behaved as the carrier for the reactive
97 aluminium for phosphorus immobilization. The Phoslock® was purchased from Sichuan
98 Phoslock Environmental Water Treatment Company. To test the phosphorus adsorption
99 isotherm, the 0.2 g PIC was air-dried and directly added into 50 mL deionized water,
100 supplemented with phosphorus concentration of 0, 0.5, 1.0, 1.5, 2.0, 3.0 and 5.0 mg/L. After
101 constant stirring at 26 °C at 240 rpm for series of time (0, 6, 9, 15, 30, 60, 240, 420, 720 and
102 1440 min), the suspension was centrifuged at 4,000 rpm for 10 min and the supernatant was
103 further analyzed for residual phosphorus concentration.

104 *2.3 Phosphorus inactivation and release control experiment*

105 The phosphorus inactivation and release control treatments were set up in column test (2.5 L
106 plastic barrel). For each treatment, the 2,000 mL water samples were gently overlaid on 200.0 g
107 sediments. The cultivation condition was 12h:12h light-dark-cycle (photon flux density was 65

108 $\mu\text{moles}/\text{m}^2\cdot\text{s}$) and 15°C . Intermittent aeration was conducted within the whole light period (12
109 hours each day) to simulate the *in-situ* physical disturbance at water-sediment interface in
110 winter season. From previous research on the optimal amendment of Phoslock® and the
111 phosphorus adsorption capacity of PIC, the ratio of Phoslock® or PIC to SRP was suggested as
112 100:1 to achieve the best phosphorus immobilization performance (Reitzel et al., 2013). From
113 the chemical analysis of phosphorus in the water samples, the optimal Phoslock® or PIC
114 dosage was around 30 mg/L. Therefore, the dosage of Phoslock® or PIC was set as 10, 20, 30
115 and 40 mg/L, and they were amended gently into the column after air dried. The control group
116 with neither PIC nor Phoslock® amendment was named as *CK* treatment for comparison with
117 Phoslock® or PIC treatments. The water samples were collected on 1, 3, 5, 7, 9, 12 and 15 days.
118 All the treatments were carried out in triplicates.

119 2.4 Chemical analysis

120 A JSM7500F (JOEL, Japan) scanning electron microscope (SEM) was used to study the
121 morphology of PIC by and the energy-dispersive X-ray spectroscopy (EDS) was obtained
122 TEAMTM EDS system (EDAX, USA). In 15-day phosphorus release control experiment, the
123 values of pH and dissolved oxygen (DO) in water samples were measured by a pH meter (pH
124 B-8, CSDIHO, China) and portable DO meter (JPB-607, INESA, China), respectively. Total
125 nitrogen (TN) was determined by alkaline potassium persulfate digestion UV
126 spectrophotometric method (Zhang et al., 2010). The soluble reactive phosphorus (SRP) in
127 water sample was directly measured by molybdenum blue UV spectrophotometric method
128 (Murphy and Riley, 1962). The extraction of phosphorus species in sediment samples followed
129 the Standards Measurements and Testing (SMT) method (Ruban et al., 2001) as a widely
130 applied routine method for studying phosphorus fractions in sediments (Pardo et al., 2004).
131 Briefly, the sediment was grounded to 100 mesh after air-dried. The 0.20 g of sediment powder
132 was added into 20 mL 1.0 mol/L NaOH and shaken for 16 hours. After centrifugation at 4,000
133 rpm for 20 min, the 10 mL supernatant was added with 4 mL 3.5 mol/L HCl and stabilized for
134 16 h as Fe/Al-phosphorus (Fe/Al-P) fraction. The pellets were further resuspended in 20 mL 1.0
135 mol/L HCl and kept shaking for 16 h as Ca-phosphorus (Ca-P) fraction. For inorganic
136 phosphorus (IP) and organic phosphorus (OP) fraction, the 0.20 g sediment was added with 20
137 mL 1.0 mol/L HCl and the IP fraction was within the supernatant after 16 h by stabilization.
138 After gently washed by deionized water, the pellets were burned in muffle furnace at 450°C for
139 3 h and dissolved in 20 mL 1.0 mol/L HCl. The OP fraction was in the supernatant after 16 h
140 shaking and centrifugation. The total dissolved phosphorus (TDP) and SRP in interstitial water

141 of sediments was extracted in the supernatant by centrifuging the sediment at 4,000 rpm for 5
142 min. For TP fraction in sediments, the 0.20 g sediment was burned directly in muffle furnace at
143 450°C for 3 h, dissolved in 20 mL 3.5 mol/L HCl and finally stabilized for 16 h. For TP in
144 water and TDP in supernatant, the water sample was digested by potassium persulfate. The
145 phosphorus of each fraction was determined according to the ammonium molybdate
146 spectrophotometric method (ISO, 2004), using a UV-Vis spectrophotometer with 700 nm wave
147 length (UV-1100, MAPADA, China).

148 Lanthanum and aluminium measurement followed the inductively coupled plasma mass
149 spectrometry (ICP-MS) method (Kajiya et al., 2004). After centrifugation at 10,000 rpm for 10
150 min, the supernatant passed through 20 µm filter and was injected into ICP-MS X-Series II
151 (Thermo Scientific, USA). Argon was the cooling, assistant and carrier gas, with the flow rate
152 of 13.0 L/min, 0.8 L/min and 0.82 L/min, respectively. In this study, the determination was
153 carried out in the X Series Default mode (three points per peak) with 10 ms detention time and
154 3 s total sampling time.

155 2.5 *Biological analysis*

156 The phytoplankton community structure and abundance in all the water samples was
157 determined with a binocular biological microscope (Motic, BM-1000, Guangzhou) (Casamayor
158 et al., 2000). The 20 mL water samples with Lugol's iodine fixation were centrifuged at 10,000
159 rpm for 10 min and concentrated to the final volume of 100 µL by deionized water. The
160 identification and counting of phytoplankton species was conducted in the 0.1 mL counting
161 chamber (20 mm × 20 mm) with three individual replicates. All the measurement was carried
162 out at 4°C in dark, and the phytoplankton abundance was calculated with the unit of cells per
163 liter (cells/L) by Equation (1).

$$164 \quad N = \left(\frac{A}{A_0} \times \frac{1}{V} \right) \times n \times 1000 \quad (1)$$

165 Here, N is phytoplankton abundance per microlitre water sample (cells/mL). A and V refer to
166 the area (mm²) and volume (0.1 mL) of counting chamber, respectively. A_0 represents the
167 counting area (mm²), and n is the number of phytoplanktons within the counting area (cells).

168 2.6 *Data analysis*

169 SPSS 17.0 was used for all statistical analysis. Between different treatments, the statistical
170 significance of differences in phosphorus concentration and phytoplankton abundance was

171 calculated by two-way ANOVA (Table 2). All the data were checked for normality (Shapiroe
172 Wilk) and heteroscedasticity (Equal Variance test). The correlation between PIC/Phoslock®
173 dosage and phosphorus immobilization performance was analysed by the Pearson correlation
174 coefficient by bivariate tool in SPSS. The phytoplankton community structure with/without PIC
175 or Phoslock® treatment was clustered by principal components analysis (PCA). The significant
176 level for all the statistical analysis was $p<0.05$.

177 **3. Results**

178 *3.1 Phosphorus adsorption by PIC*

179 The morphology of PIC before and after phosphorus fixation was illustrated in Figure S1. The
180 original PIC showed the round shape with an average diameter of 3 μm . After phosphorus
181 adsorption, the particle size increased to 5 μm attributing to the nested PO_4^{3-} molecules in the
182 crystal structure. From the EDS analysis results (Figure S1C and Table S1), the aluminium had
183 a high atom proportion of 9.82% in PIC, significantly higher than that in raw bentonite (Li et al.,
184 2016). Accordingly, the ratio of Na_2CO_3 to Al_2O_3 was estimated as 2.5:1 in PIC, and the results
185 confirmed the successful bentonite-modification with aluminium as the active element for
186 phosphorus immobilization. Phosphorus adsorption on PIC followed the Langmuir adsorption
187 isotherm, indicating the monolayer adsorption mechanisms (Figure S2). The maximum
188 phosphorus adsorption capacity (Q_{max}) was 9.93 mg/g and the Langmuir constant (K_L)
189 associated with adsorption energy was 25.3 L/mg.

190 *3.2 Phosphorus removal in water phase*

191 Nutrient conditions in Shanzi Reservoir and Xingyu Lake were listed in Table 1. The TN and
192 TP in Shanzi Reservoir varied in seasons, ranging from 0.15 to 1.14 mg/L and 20 to 80 $\mu\text{g/L}$,
193 respectively. Xingyu Lake had a significant higher TN and TP due to more nutrients input and
194 smaller water volume as landscape water. The addition of PIC or Phoslock® slightly decreased
195 the water pH value (Figure S3), gradually declining from 7.40 to 6.82-6.93 in waters from
196 Shanzi Reservoir and from 7.50 to 7.23-7.31 in waters from Xingyu Lake, respectively. They
197 were both significantly lower than that in the *CK* treatment ($p=0.03$). The values of DO in all
198 the treatments showed the same declining trend ($p=0.01$, Figure S4).

199 The 15-day phosphorus release control performance of PIC and Phoslock® was illustrated in
200 Figure 1 and Table 3. Except *CK* and 10 mg/L PIC/Phoslock® treatments, a significantly
201 dramatic decline of TP was observed within 1 day ($p<0.001$). Afterwards, the residual

202 phosphorus remained stable with tiny fluctuation ($p=0.150$, Table 2). The TP removal efficiency
203 was positively correlated with PIC dosage ($p=0.002$), and the Pearson coefficient is 0.918 for
204 Shanzi Reservoir ($p<0.001$) and 0.945 for Xingyu Lake ($p<0.001$), respectively. When the PIC
205 dosage was above 20 mg/L, the residual TP was less than 20 $\mu\text{g/L}$. Compared to the maximum
206 phosphorus adsorption capacity (Table 3), there was a negative correlation between the dosage
207 and phosphorus adsorption efficiency of PIC (Pearson coefficient is -0.892 in Shanzi Reservoir,
208 $p=0.003$; Pearson coefficient is -0.828 in Xingyu Lake, $p=0.011$). Compared to Phoslock®
209 (Figure 1E and 1G), PIC had a better TP removal efficiency ($p=0.001$).

210 Similarly, a significant removal of SRP was observed for all the PIC and Phoslock® treatments
211 ($p<0.001$). The SRP concentrations were lower than 10 $\mu\text{g/L}$ from Day 1 to Day 15 in PIC (Fig.
212 1B and 1D) and Phoslock® (Fig. 1F and 1H) treatments. The SRP removal efficiencies were
213 positively correlated with PIC dosage (Pearson coefficient 0.898 in Shanzi Reservoir, $p<0.001$;
214 Pearson coefficient 0.590 in Xingyu Lake, $p=0.001$). The performance of SRP reduction after
215 Phoslock® treatment was similar to that after PIC treatment ($p=0.721$, Table 2).

216 3.3 Impacts of PIC on sediment and interstitial water phosphorus profiles

217 The amendment of PIC and Phoslock® can form the “active overlay” and may affect the
218 sediment phosphorus profiles. Our results indicated that Ca-P and IP had a significant increase
219 after PIC treatment (Figure 2), from 95.34 $\mu\text{g/g}$ to 127.05 $\mu\text{g/g}$ ($p<0.001$) and 360.54 $\mu\text{g/g}$ to
220 413.99 $\mu\text{g/g}$ ($p=0.004$), respectively. The PIC dosage was positively correlated with the
221 concentrations of Ca-P (Pearson coefficient 0.910, $p<0.001$) and IP (Pearson coefficient 0.845,
222 $p<0.001$). For SRP and Fe/Al-P in sediments, there was no significant difference ($p>0.05$, Table
223 2 and Figure 2) before and after PIC or Phoslock® addition. Meanwhile, all the phosphorus
224 fractions in sediments showed no remarkable difference between PIC and Phoslock®
225 treatments (Table 2), indicating the similar mechanisms and performance of these two
226 phosphorus inactive materials.

227 From phosphorus concentrations in interstitial water of the sediments from Shanzi Reservoir
228 and Xingyu Lake (Figure 3), both TDP and SRP had a slightly increasing trend in either PIC or
229 Phoslock® treatments. The TDP and SRP concentration in Shanzi Reservoir was 240-320 $\mu\text{g/L}$
230 and 60-90 $\mu\text{g/L}$, respectively, and they were 330-400 $\mu\text{g/L}$ and 30-50 $\mu\text{g/L}$ in Xingyu Lake.
231 Nevertheless, there was no significant difference between each dosage or between PIC and
232 Phoslock® treatments from two-way ANOVAs (Table 2).

233 3.4 *Phytoplankton community structure change*

234 Both Shanzi Reservoir and Xingyu Lake were eutrophic waters with high phytoplankton
235 abundance (*Original* in Figure 4). The dominant phytoplankton was Bacillariophyceae
236 (7.76×10^6 cells/L), accounting for 85.80% of the total population in water from Shanzi
237 Reservoir, followed by Chlorophyta (1.04×10^6 cells/L, 11.48%), Cryptophyta (1.70×10^5 cells/L,
238 1.88%), Euglenophyta (5.66×10^4 cells/L, 0.63%) and Cyanobacteria (1.89×10^4 cells/L, 0.21%).
239 In Xingyu Lake, the total phytoplankton abundance was 2.03×10^7 cells/L, and the community
240 was consisted of Chlorophyta (8.17×10^6 cells/L, 40.34%), Bacillariophyceae (4.19×10^6 cells/L,
241 20.69%), Cyanobacteria (4.10×10^6 cells/L, 20.25%) and Euglenophyta (3.69×10^6 cells/L,
242 18.25%) at phylum level.

243 PIC and Phoslock® amendment affected the phytoplankton abundance and community
244 structure (Figure 4). In *CK* treatment, the total phytoplankton abundance increased to 9.63×10^6
245 cells/L and 2.38×10^7 cells/L in Shanzi Reservoir and Xingyu Lake, 6.5% and 17.4% higher than
246 original waters ($p=0.02$). In PIC treatments, the total phytoplankton abundance decreased to
247 $(0.014-0.626) \times 10^6$ cell/L in Shanzi Reservoir (Figure 4A) and $(0.002-0.429) \times 10^7$ cell/L in
248 Xingyu Lake (Figure 4C). The phytoplankton inhibition rates ranged from 93.6%-99.9% and
249 82.0%-99.9% respectively, slightly higher than those of Phoslock® treatments (Figure 4B and
250 4D). The phytoplankton abundance was negatively correlated with PIC dosage (Pearson
251 correlation coefficient -0.815 for Shanzi Reservoir and -0.852 for Xingyu Lake, $p<0.05$).

252 There was a significant difference in phytoplankton community structure after PIC or
253 Phoslock® treatments from PCA plot (Figure 5). The locations of phytoplankton community of
254 both Shanzi Reservoir and Xingyu Lake in *CK* treatment were close to those of original waters.
255 With the increasing PIC/Phoslock® dosage, the phytoplankton community groups of both
256 waters co-clustered, with longer distance to the *Original* and *CK* groups. The most obvious
257 change (Figure 4) was the significant increase of Euglenophyta and Cryptophyta. Accordingly,
258 Bacillariophyceae and Cyanobacteria were the main declining phylum.

259 3.5 *La/Al residues after PIC treatment*

260 To further evaluate the potential ecological risks of PIC, the residual lanthanum and aluminium
261 were measured and listed in Table 4. Since lanthanum was not the formula in PIC, there was no
262 significant difference in lanthanum concentrations before and after PIC amendment ($p>0.05$).
263 The residual lanthanum concentrations after PIC treatment were much lower (<20%) than those
264 after Phoslock® treatment ($p<0.01$). The residual aluminium after PIC treatment was 101.26

265 $\mu\text{g/L}$ and $103.72 \mu\text{g/L}$ for waters from Shanzi Reservoir and Xingyu Lake respectively, similar
266 to those in Phoslock® treatment ($p>0.05$). Considering the levels of residual lanthanum and
267 aluminium, PIC had relatively lower ecological risks than Phoslock®.

268 **4. Discussion**

269 *4.1 Dynamic change of phosphorus profiles in water and sediment*

270 The ratios of TN to TP in Shanzi Reservoir and Xingyu Lake range from 35 to 145 (mole:mole),
271 indicating that phosphorus concentration is relatively lower and behaves as the key nutrient
272 factor causing the eutrophication in both waters. Furthermore, the endogenous release from
273 sediments is also viewed as a key pathway of phosphorus nutrients for aquatic ecosystem. The
274 present study therefore investigated the 15-day phosphorus release process at the
275 water-sediment interface, considering the impacts of phosphorus inactive materials (PIC and
276 Phoslock®) on phosphorus immobilization and phytoplankton community.

277 In all the treatments, the high phosphorus removal efficiency and stability after 15-day
278 experiment demonstrated that the functional sites on PIC surface can effectively immobilize
279 phosphorus, particularly the soluble and active fraction. PIC had a similar maximum
280 phosphorus adsorption capacity to previously reported Phoslock® (9.5-10.5 mg/g)
281 (Haghseresht et al., 2009). Its high Langmuir constant also indicated the strong binding strength
282 between phosphorus molecules and PIC (Lin et al., 2015). From the negative correlation
283 between PIC/Phoslock® dosage and phosphorus adsorption efficiency, we suggested abundant
284 active sites on PIC and Phoslock®, which contributed to further phosphorus immobilization
285 and prevented phosphorus release from sediment for at least 15 days. Similar to Phoslock®,
286 PIC remained phosphorus inactivation capacity and behaved as the “active overlay” at the
287 water-sediment interface after the settlement.

288 The slight decrease of pH value during PIC treatment might be attributed to the acidity of
289 bentonite clay, which was the main ingredient of PIC (Liu et al., 2015; Penner and Lagaly,
290 2001), or the hydrolysis and exchange of element (Swartzen and Matijevi, 1974). The pH value
291 shows significant impacts on the phosphorus immobilization efficiency of phosphorus inactive
292 materials, particularly when the bentonite clay is used (Haghseresht et al., 2009; Reitzel et al.,
293 2005). In the present study, the declining pH values further improved the stability of
294 phosphorus precipitate. The results fitted well with previous research that the phosphorus
295 inactivation performance is dependent on the physical and chemical features of the targeted
296 water samples (Huser, 2012).

297 Previous research has revealed that sediment OP is positively correlated with the dosage of
298 Phoslock® (Meis et al., 2013). Nevertheless, the OP concentration in sediment did not change
299 with PIC addition in our study. It was reported that more phosphorus is released from sediment
300 under anaerobic conditions (Geng et al., 2007; Hupfer and Lewandowski, 2008; Song et al.,
301 2011). The increasing sediment OP is attributed to the settling phytoplankton and/or debris from
302 decomposing macrophytes (Meis et al., 2013). The high DO concentration (Figure S4) in our
303 work indicated the aerobic condition throughout the experiment. Thus, though the original
304 phytoplankton abundance was of high level, the aerobic condition did not promote the
305 transformation and release of phosphorus in sediment, causing less OP variation in sediments.
306 Meanwhile, the aquatic SRP/TP ratio decreased after PIC treatment, similar to the previous
307 results of Phoslock® (Reitzel et al., 2013). It indicated that PIC primarily reacts with the active
308 fraction of phosphorus (SRP), and its phosphorus immobilization is dependent on the natural
309 phosphorus cycling at the water-sediment interface.

310 The water-sediment interface plays a key role in phosphorus transportation and exchange. In all
311 the PIC and Phoslock® treatments, the concentrations of TDP and SRP in interstitial water of
312 sediments (Figure 3) were much higher than aqueous TP and SRP. From Yin's study, SRP fluxes
313 are determined by the phosphorus gradient across sediment-water interface (Yin and Kong,
314 2015). A strong SRP flux is therefore expected after PIC/Phoslock® treatment, but our results
315 showed the stable TP and SRP in waters throughout the 15-day experiment. It hinted limited
316 phosphorus release from sediments, suggesting the formation of "active overlay" at the
317 sediment surface by PIC or Phoslock® and effective phosphorus release control.

318 4.2 Mechanisms of phytoplankton community change

319 Algal bloom is the direct evidence of water eutrophication (Anderson et al., 2002; Smith, 2003),
320 when the exceeding growth of various algae caused serious challenges in drinking water safety,
321 particularly the toxigenic algae like *Microcystis aeruginosa*, *Aphanizomenon flos-aquae* and
322 *Anabaena flosaquas* (Codd et al., 2005; Collins, 1978). By immobilizing phosphorus as the key
323 nutrient in aquatic phase and blocking its release from the sediment, Phoslock® effectively
324 reduces the nutrient level and maintained the oligotrophic condition (Schindler et al., 2008).
325 Accordingly, our results showed that PIC had similar performance of significantly reducing
326 phytoplankton abundance by immobilizing phosphorus and minimizing the active phosphorus
327 (Figure 5). More interestingly, Bacillariophyceae and Cyanobacteria were identified as the key
328 declining phytoplankton phylum in both eutrophic waters. Since the majority of harmful algae
329 belongs to the phylum Cyanobacteria (Johnk et al., 2008; Landsberg, 2002; Paerl et al., 2001),

330 our results suggested that PIC particularly suppressed some harmful algae more than other algal
331 species, with the unexpected strong performance in reducing algal bloom and preventing their
332 recurring. It is hypothesized that Euglenophyta and Cryptophyta are not sensitive to inorganic
333 phosphorus and can tolerate low phosphorus environment after phosphorus inactive clay
334 treatment (Burgi et al., 2003; Chisholm and Stross, 1976). On the contrast, the
335 phosphorus-sensitive Bacillariophyceae and Cyanobacteria are significantly affected by low
336 phosphorus pressure (Lagus et al., 2004; Levine and Schindler, 1999; Lippemeier et al., 2001).
337 Lang et al. reported the decreasing cyanobacteria after Phoslock® treatment in shallow water
338 Loch Flemington, which is explained by the less competitive advantage of cyanobacteria under
339 reduced phosphorus conditions (Lang et al., 2016). Similar results are also found in shallow
340 reservoir in California (Bishop et al., 2014) and marine cyanobacteria removal by
341 polyaluminium chloride modified clay (Yu et al., 1995). The close distance of phytoplankton
342 community after PIC and Phoslock® treatment (Figure 5) indicated the similar community
343 structure trends affected by the two phosphorus inactive materials, showing their feasibility in
344 preventing algal bloom formation. However, the cell size of Cyanobacteria is normally smaller
345 than Bacillariophyceae, indicating their stronger tolerance to low phosphorus. A larger scale of
346 mesocosm experiment is therefore suggested to address the long-term effects of PIC on
347 phytoplankton community dynamics, particularly harmful cyanobacterial abundance under low
348 phosphorus conditions.

349 *4.3 Ecological risk assessment*

350 The additives of phosphorus inactivate materials may cause the increase of metal ions in aquatic
351 environment, which possibly leads to their accumulation in the food chain and finally show
352 risks to human health. Lanthanum is the reactive component of Phoslock® with such potential
353 risks. The LD₅₀ of LaCl₃ is 4200 mg La per kilogram body weight for rats (Cochran et al.,
354 1950). A median threshold effects of LaCl₃ for Daphnia and Scenedesmus are reported as 160
355 mg La/L after 4 hours and 0.15 mg La/L for after 4 days, respectively (Bringmann and Kuhn,
356 1959). High level LaCl₃ exposure (>1 mg/L) can cause the death of fish within 24 hours
357 (Peterson et al., 1974). Compared to Phoslock®, PIC did not use lanthanum as the ingredient in
358 the present work. The residual lanthanum after PIC treatment was similar to the aquatic
359 background in both eutrophic waters and much lower than that after Phoslock® treatment,
360 showing relatively less ecological and health impacts.

361 Meanwhile, aluminium also has significant acute toxicity (Srinivasan et al., 1999). Particularly
362 in acidic waters (pH 4.2 to 5.6), 0.1-0.2 mg/L aluminium can cause the reduction of survival

363 and growth of larvae and postlarvae (Baker and Schofield, 1982). As for the risks on human
364 health, the possibility of an association between aluminium and neuropathological diseases
365 including presenile dementia, dialysis encephalopathy and Alzheimer's disease is frequently
366 hypothesized. The kidney dialysis patients suffer dementia when their dialysis fluid contains an
367 aluminium concentration of 0.08 mg/L (Davison et al., 1982). The presence of aluminium in
368 drinking water has given rise to discussions on possible health effects, because of its suspected
369 connection with Alzheimer's diseases or dialysis encephalopathy (Jekel and Heinzmann, 1989).
370 Higher rate of Alzheimer's disease is observed when the aluminium concentration exceeds 0.11
371 mg/L (Martyn et al., 1989), and similar results are found in the cases of animal
372 neuropathological disorders (Kopeloff et al., 1942). World Health Organization (WHO) thus
373 suggests the health-based value of 0.9 mg Al/L for drinking water, with detailed restriction of
374 0.1-0.2 mg Al/L for water after coagulation treatment (WHO, 2004). In the present work, the
375 residual concentration of Al in water was about 0.1 mg/L after PIC and Phoslock® treatment.
376 Though not exceeding the WHO recommended values, it still might be a potential source of
377 aluminium release to water. Previous research revealed that the majority of residual lanthanum
378 and aluminium is within the top 10 cm of sediments (Meis et al., 2013; Reitzel et al., 2005), and
379 their ecological and health risks are then at low level as an engineering approach for
380 phosphorus release control. We therefore suggested that the health risk of applying PIC or
381 Phoslock® is limited, but it needs careful monitoring and assessment in practical application in
382 reservoir or other drinking water sources.

383 *4.4 Perspectives*

384 Phosphorus is the key factor causing eutrophication and important for water quality. There are
385 many attentions on its immobilization or release control from sediments. The application of
386 various phosphorus inactive materials, including Phoslock®, has therefore attracted increasing
387 attentions from both academia and industries around the world. Phoslock® is proved to
388 immobilize phosphorus by creating phosphorus precipitate, form “active overlay” on the top of
389 the sediment to block phosphorus releasing into the aquatic phase, and effectively trap the
390 aquatic soluble phosphorus from other pathways (Meis et al., 2013). The present study
391 addressed the phosphorus release control of PIC in eutrophic waters and compared its
392 performance with widely accepted and applied Phoslock®. Their similar phosphorus
393 immobilization behavior and impacts on the phytoplankton abundance and community were
394 verified.

395 Applying Phoslock®, PIC or other phosphorus inactive materials is a strategic water restoration

396 approach for eutrophic water quality management. Treatments in summer or autumn can
397 immobilize all the SRP from aquatic phase. It may minimize the available phosphorus, reduce
398 phytoplankton abundance and achieve short-term water quality improvement. As for the
399 treatments in winter or spring, the phosphorus inactive materials can form the “active overlay”
400 at the water-sediment interface and effectively block the phosphorus release from sediment.
401 This strategy focuses on locking phosphorus within the sediment and contributes to long-term
402 water quality recovery. Combined with other water restoration methods, like coagulation or
403 oxidation, their performance can be even enhanced (Lürling and Faassen, 2012). Most of the
404 previous research on phosphorus inactive materials has highlighted the performance of
405 phosphorus fixation or immobilization (Lürling and Tolman, 2010; Spears et al., 2013a;
406 Wagenhoff et al., 2012). Recently, their impacts on phytoplankton abundance and community
407 structure are getting more attentions to be considered in eutrophic water restoration actions
408 (Lürling and van Oosterhout, 2013; Lang et al., 2016; Waajen et al., 2016). Although our study
409 aims to answer these questions, the laboratory-scale experiment cannot simulate the field reality
410 where the phosphorus cycle and phytoplankton community are affected by numerous
411 environmental factors (Paerl and Otten, 2013). The latest research has focused on the
412 large-sized mesocosm experiment on long-term impacts of phosphorus inactive materials on
413 phytoplankton abundance and community (Lang et al., 2016), and more work is suggested to
414 address this question to evaluate the engineering parameters and the ecological consequence on
415 the aquatic system for long-term phosphorus release control, especially in drinking water
416 reservoirs.

417

418 **5. Conclusion**

419 The present study demonstrated the phosphorus inactivation by a new PIC in natural eutrophic
420 waters from Shanzi Reservoir and Xingyu Lake. After 15 days experiment, PIC achieved
421 effective phosphorus reduction, blocked phosphorus release from sediments, and significantly
422 altered the phytoplankton community structure. The main results included:

- 423 1. The initial PIC dosage was negatively correlated with the aqueous residual TP and SRP,
424 and the highest TP and SRP removal efficiency achieved 97.7% and 98.3%,
425 respectively.
- 426 2. The phytoplankton abundance was significantly decreased with the increasing PIC
427 dosage and the lowest residual phytoplankton abundance was less than 0.01% of

428 original eutrophic waters, attributing to the oligotrophic condition of phosphorus
429 reduction.

430 3. Of all the phytoplanktons, the abundance of phylum Bacillariophyceae and
431 Cyanobacteria was most reduced due to their higher sensitivity to phosphorus.

432 4. The residual lanthanum and aluminium concentrations after PIC treatment were at low
433 levels and had minimal ecological or health risks.

434 The present work helps our deeper understanding on the performance of applying PIC to
435 improve eutrophic water quality and its potential impacts on aquatic ecosystem. Our study
436 shows that PIC is feasible for phosphorus release control and can be a practical tool in water
437 quality restoration.

438

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443

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627 **Figure caption**

628 **Figure 1.** The 15-day control performance of phosphorus release with PIC and Phoslock®
629 treatments. (A) and (C) represent TP in PIC treatments; (E) and (G) represent TP in Phoslock®
630 treatments. (B) and (D) represent SRP in PIC treatments; (F) and (H) represent SRP in
631 Phoslock® treatments.

632 **Figure 2.** Phosphorus profiles in surface sediments with PIC and Phoslock® treatments. (A) for
633 PIC and (B) for Phoslock® treatment in Shanzi Reservoir. (C) for PIC and (D) for Phoslock®
634 treatment in Xingyu Lake. The subgraphs represent phosphorus fractions in each treatment,
635 respectively.

636 **Figure 3.** TDP and SRP concentrations in interstitial water of sediments with PIC and
637 Phoslock® treatments. (A) for PIC and (B) for Phoslock® treatment in Shanzi Reservoir. (C)
638 for PIC and (D) for Phoslock® treatment in Xingyu Lake.

639 **Figure 4.** Abundance and structure changes of phytoplankton communities with PIC and
640 Phoslock® treatments. (A) for PIC and (B) for Phoslock® treatment in Shanzi Reservoir. (C)
641 for PIC and (D) for Phoslock® treatment in Xingyu Lake.

642 **Figure 5.** PCA analysis of phytoplankton community structure with PIC and Phoslock®
643 treatments. The categories of phytoplankton community in either PIC (green) or Phoslock®
644 (red) treatments co-cluster, with long distance to the *Original* (white) and *CK* (grey) groups in
645 both Shanzi Reservoir (circle) and Xingyu Lake (triangle).

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649 **Table**650 **Table 1.** Nutrient conditions in Shanzi Reservoir and Xingyu Lake.

Water samples	Season	TN (mg/L)	TP ($\mu\text{g/L}$)	TN/TP	pH	DO (mg/L)
Shanzi Reservoir	Autumn	0.15-1.03	20-80	35-57	7.50-7.65	8.50-8.70
	Winter	1.28-1.14	20-60	64-72	7.48-7.62	8.78-8.86
Xingyu Lake	Autumn	3.51-4.34	110-160	49-87	7.40-7.55	8.82-8.95
	Winter	2.72-11.72	120-240	50-145	7.39-7.53	10.11-10.32

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653 **Table 2.** F- and p-values of two-way ANOVAs on different phosphorus fractions in waters and
654 sediments from Shanzi Reservoir and Xingyu Lake with/without PIC or Phoslock® treatments
655 (Details of two-way ANOVAs for each phosphorus fraction in Table S2-S10).

Source	Water		Sediment					Interstitial water	
	TP	SRP	TP	Fe/Al-P	Ca-P	IP	OP	TDP	SRP
PIC/Phoslock	F=11.7 <i>p</i> =0.001	F=0.13 <i>p</i> =0.721	F=0.33 <i>p</i> =0.579	F=0.01 <i>p</i> =0.940	F=1.21 <i>p</i> =0.298	F=1.81 <i>p</i> =0.208	F=0.01 <i>p</i> =0.957	F=3.20 <i>p</i> =0.099	F=3.72 <i>p</i> =0.078
Dosage	F=1026.1 <i>p</i> =0.002	F=811.5 <i>p</i> <0.001	F=3.31 <i>p</i> =0.057	F=0.40 <i>p</i> =0.804	F=12.31 <i>p</i> =0.001	F=7.68 <i>p</i> =0.004	F=0.04 <i>p</i> =0.997	F=0.25 <i>p</i> =0.903	F=0.03 <i>p</i> =0.998
Time	F=1.64 <i>p</i> =0.150	F=1.25 <i>p</i> =0.291	NT	NT	NT	NT	NT	NT	NT

656 NT = not tested.

657

658 **Table 3.** Phosphorus removal efficiency at water-sediment interface of Shanzi Reservoir and
 659 Xingyu Lake.

Site	The added PIC concentration (mg/L)	Adsorption amount (mg/g)	Adsorption efficiency	TP removal efficiency	SRP removal efficiency
Shanzi Reservoir	10	8.98-10.00	90.4%-100.7%	60.0%-64.2%	73.9%-87.4%
	20	9.39-10.10	94.5%-101.7%	61.3%-64.6%	88.4%-98.4%
	30	7.82-8.23	78.8%-82.9%	94.0%-97.2%	87.8%-100.0%
	40	6.02-6.43	60.6%-64.7%	96.4%-98.9%	100.0%-100.0%
Xingyu Lake	10	9.18-11.20	92.5%-111.0%	29.9%-33.5%	68.5%-98.3%
	20	9.59-10.51	96.6%-105.8%	64.5%-67.2%	83.3%-100.0%
	30	8.91-9.46	89.7%-95.2%	94.0%-96.9%	80.9%-100.0%
	40	6.84-7.40	68.8%-74.5%	97.6%-99.0%	89.3%-100.0%

660

661

662 **Table 4.** The residual lanthanum and aluminium concentrations in Shanzi Reservoir and Xingyu
 663 Lake after different treatments.

Treatment		Lanthanum (µg/L)	Aluminium (µg/L)
Shanzi Reservoir	Original water	1.25±0.21	59.15±9.11
	CK	1.32±0.17	68.79±11.97
	Phoslock®	26.04±0.27	99.38±20.88
	PIC	1.44±0.18	101.26±15.14
Xingyu Lake	Original water	3.21±0.22	62.90±12.98
	CK	3.53±0.39	70.11±16.79
	Phoslock®	23.12±1.01	104.09±19.01
	PIC	3.79±0.51	103.72±15.86

664 CK: Treatment without Phoslock® or PIC amendment.

665 PIC: Phosphorus inactive clay treatment.

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Figure

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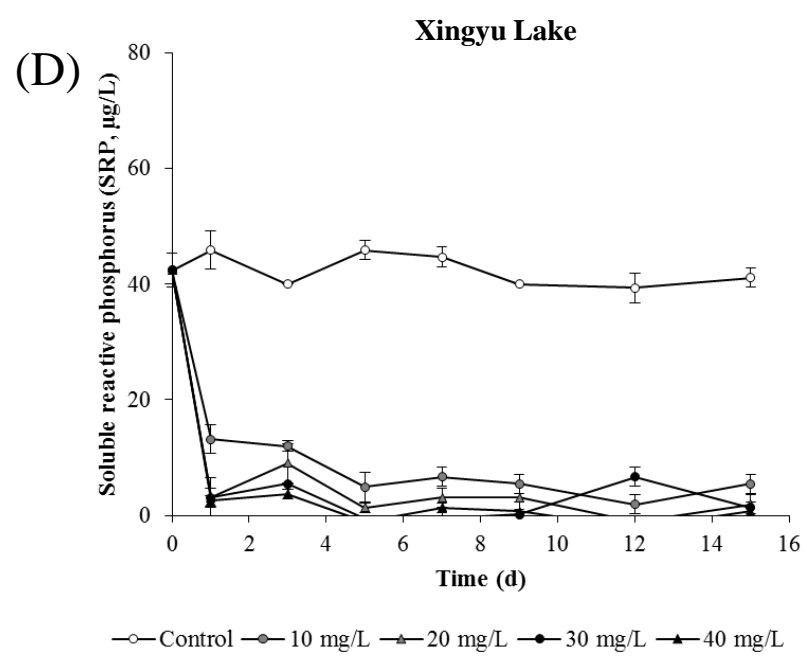
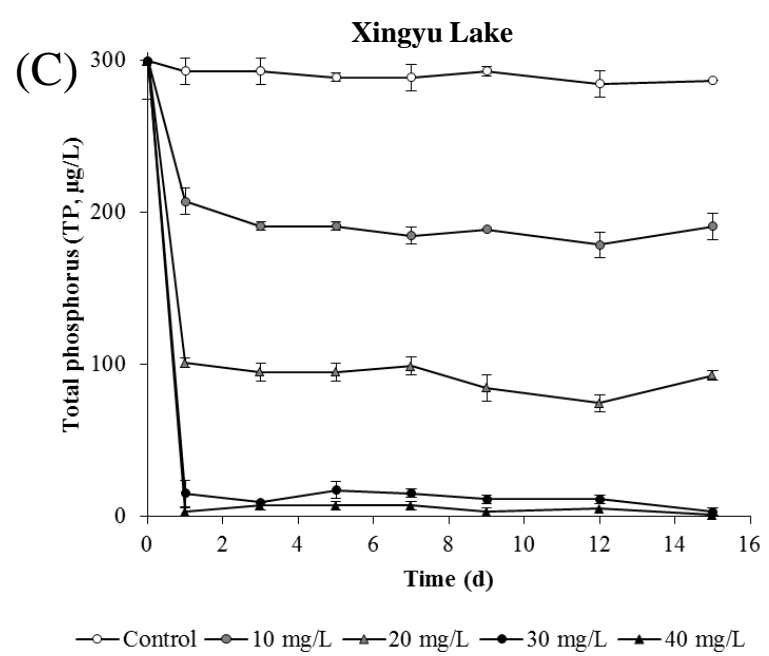
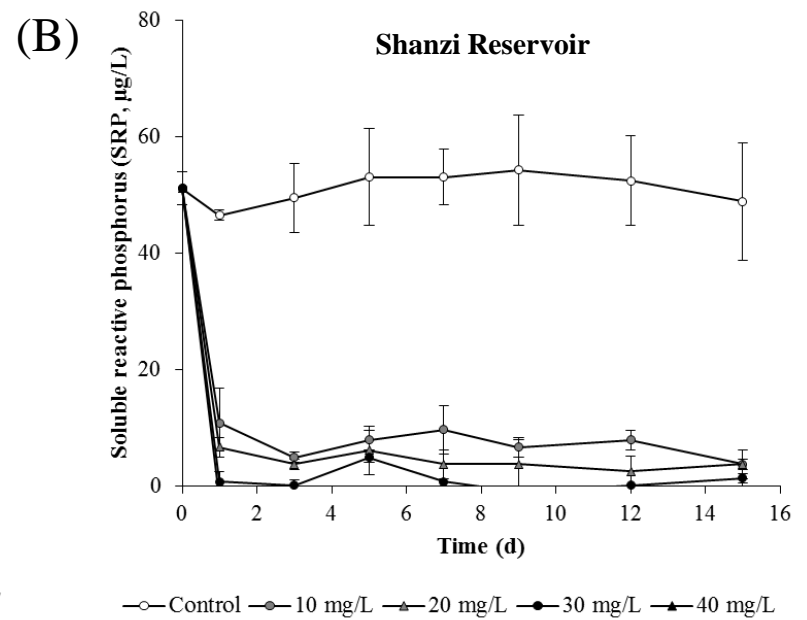
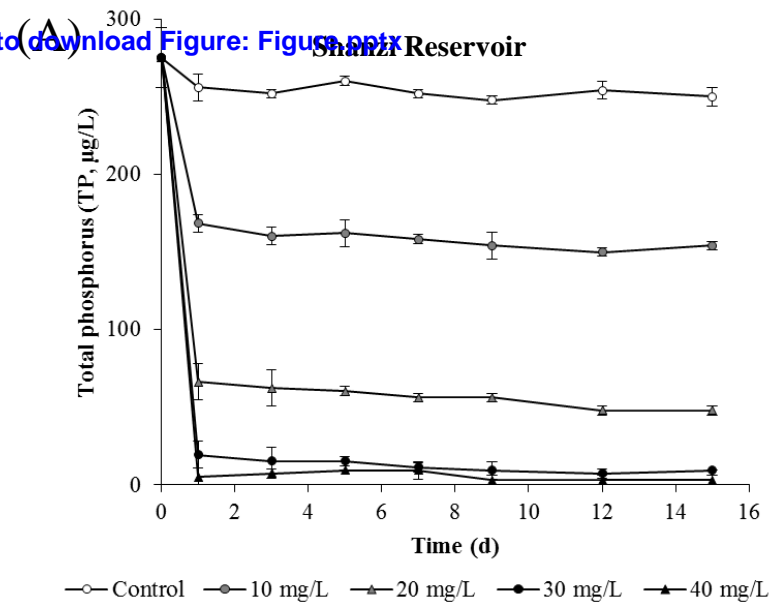


Figure 1

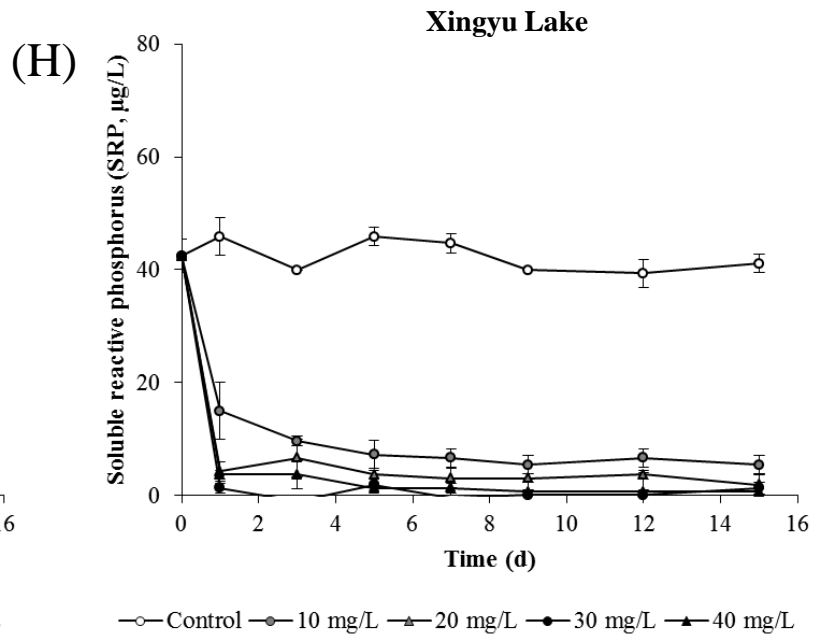
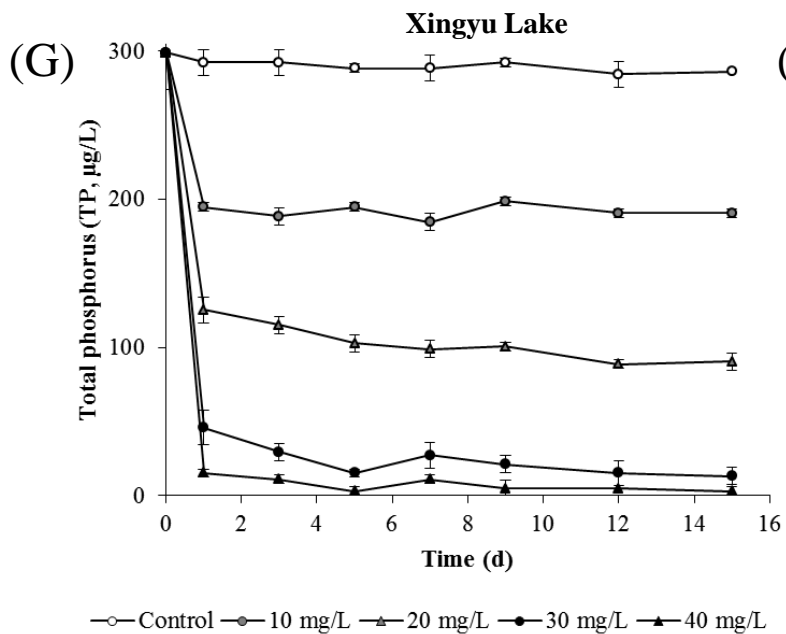
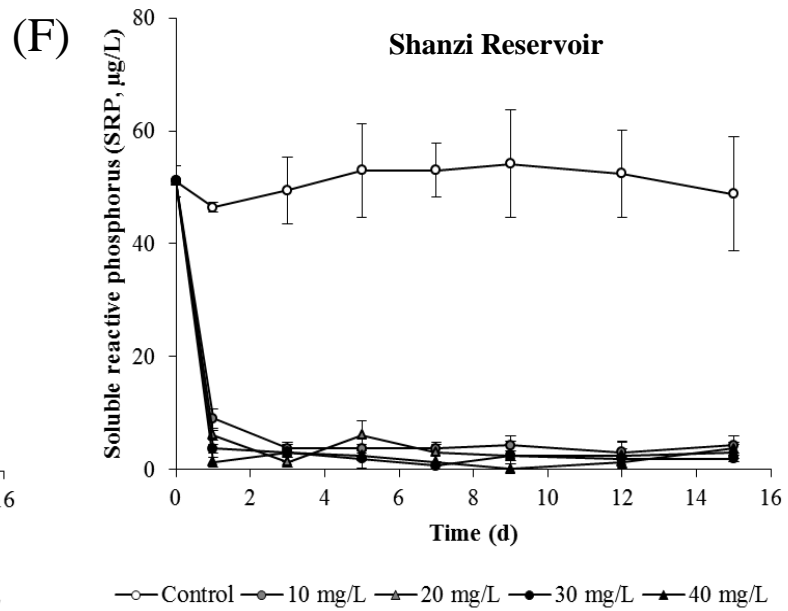
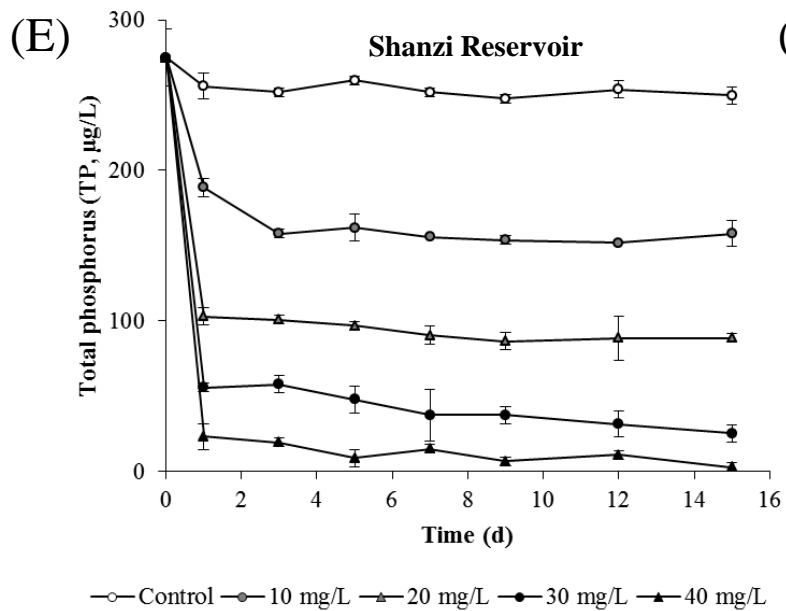


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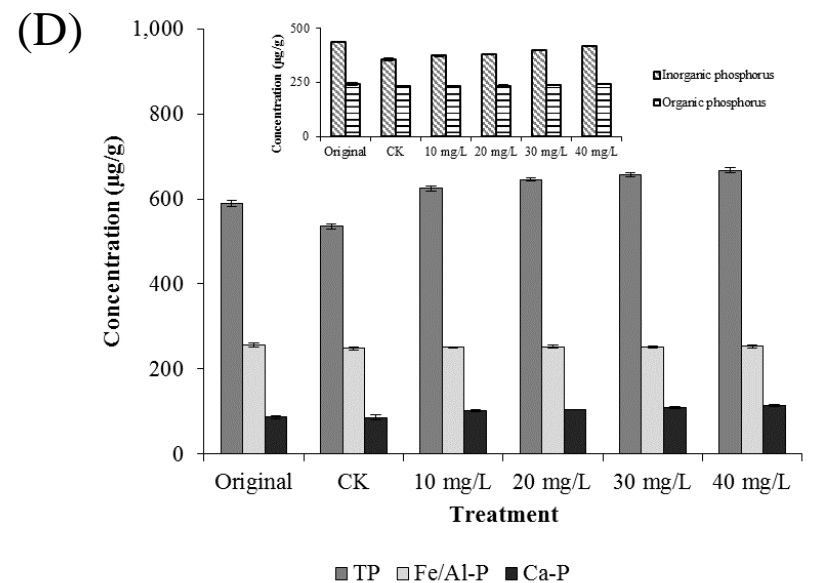
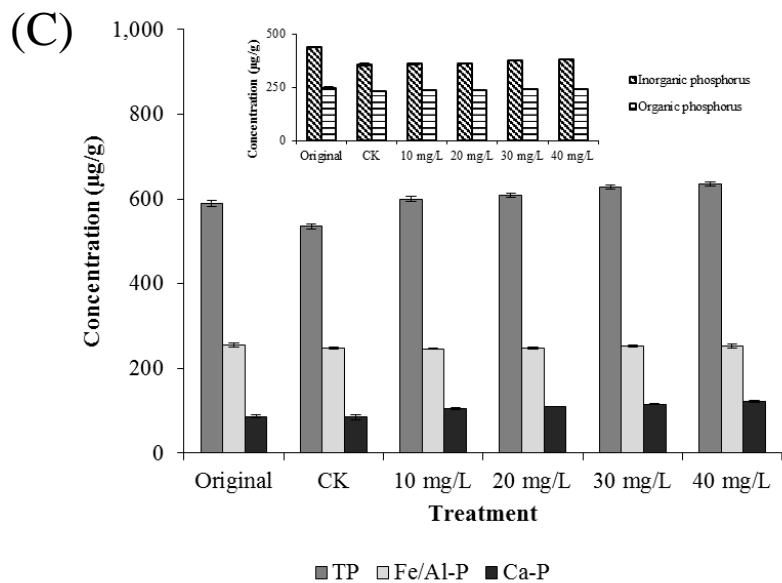
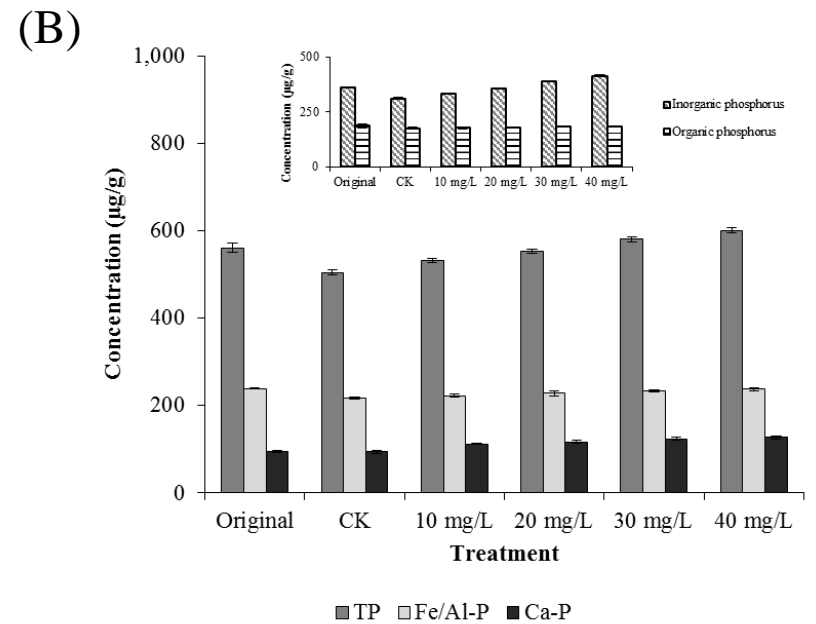
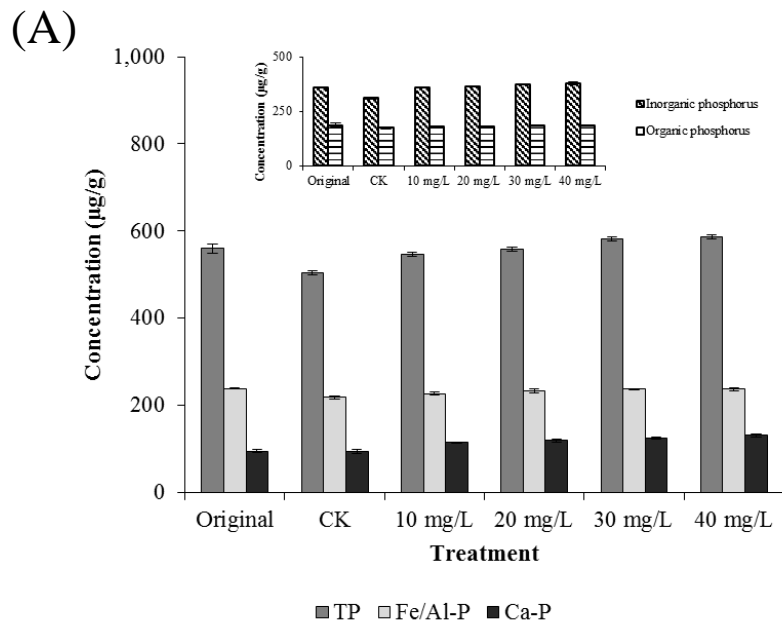


Figure 2

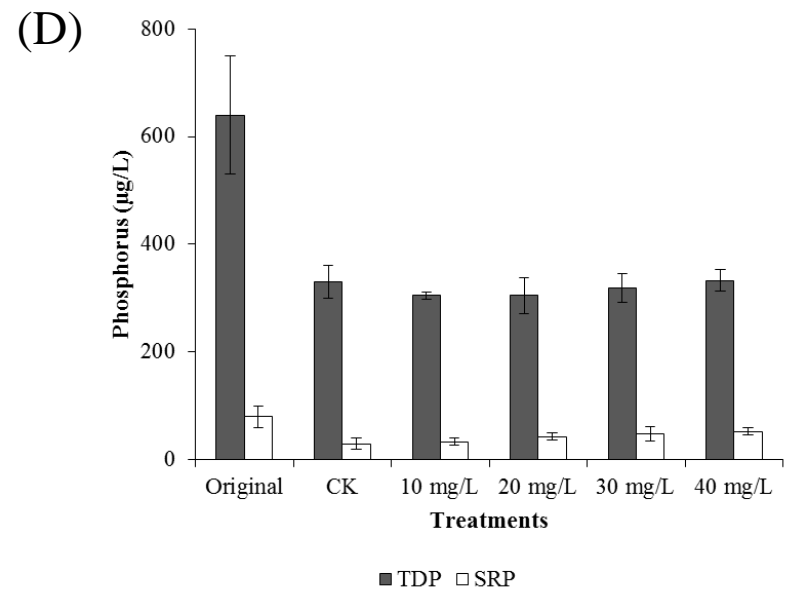
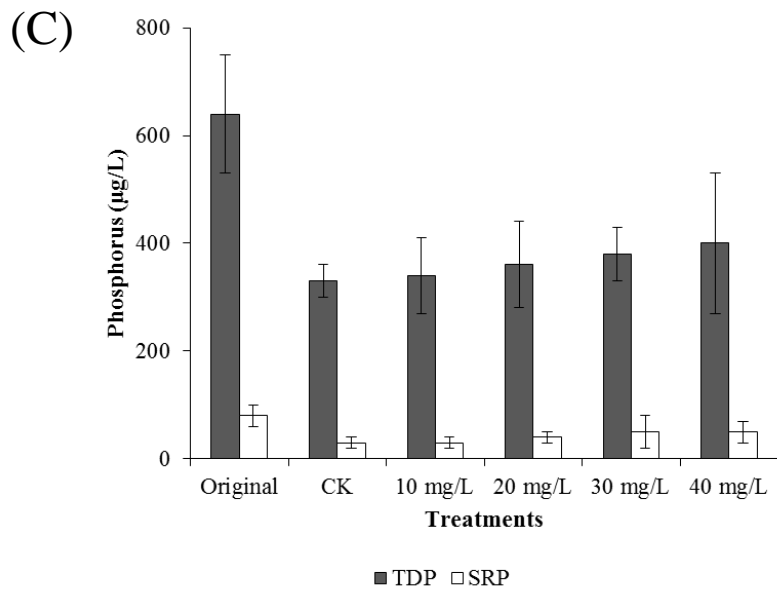
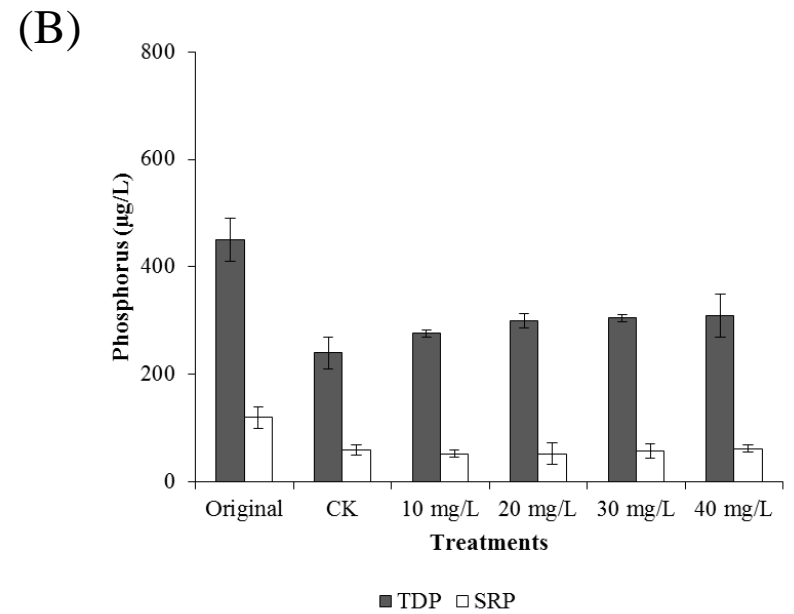
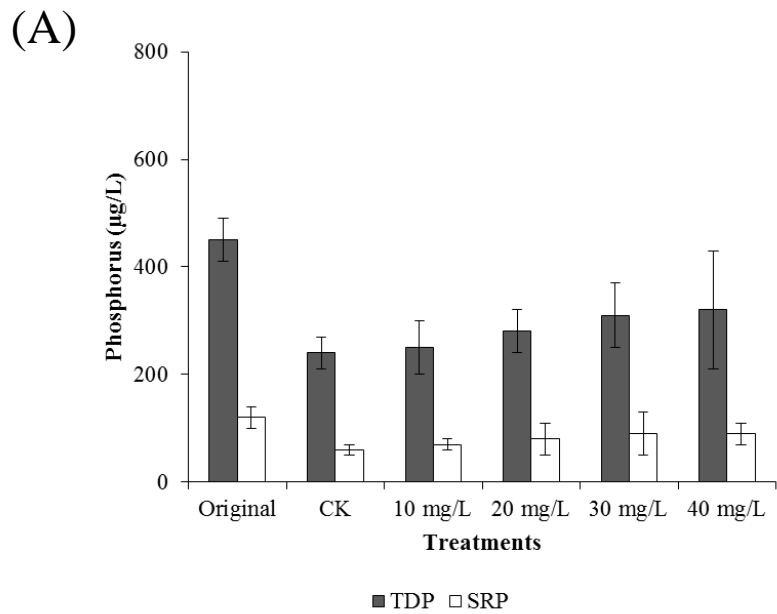
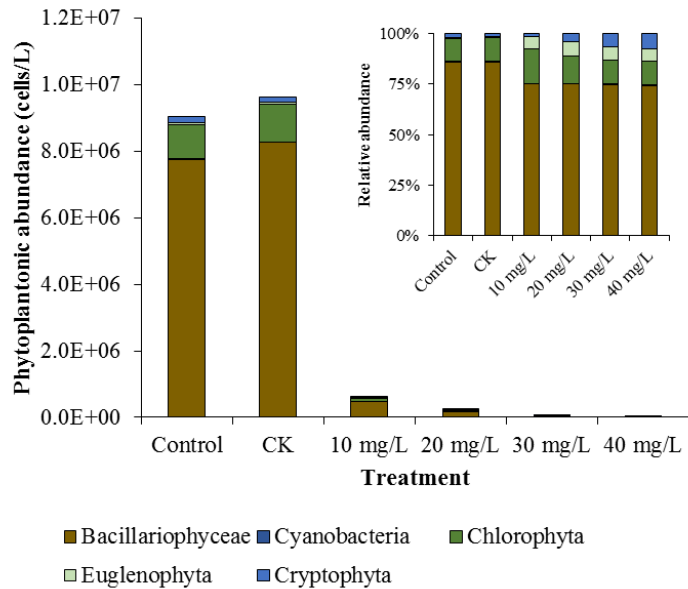
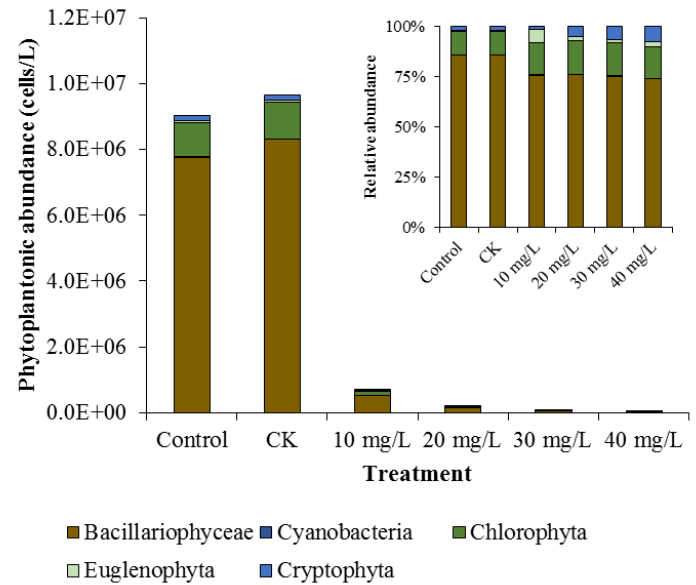
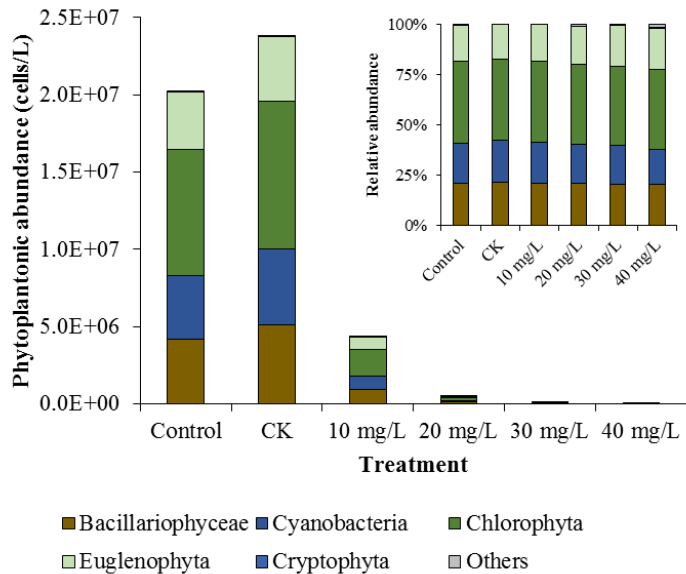
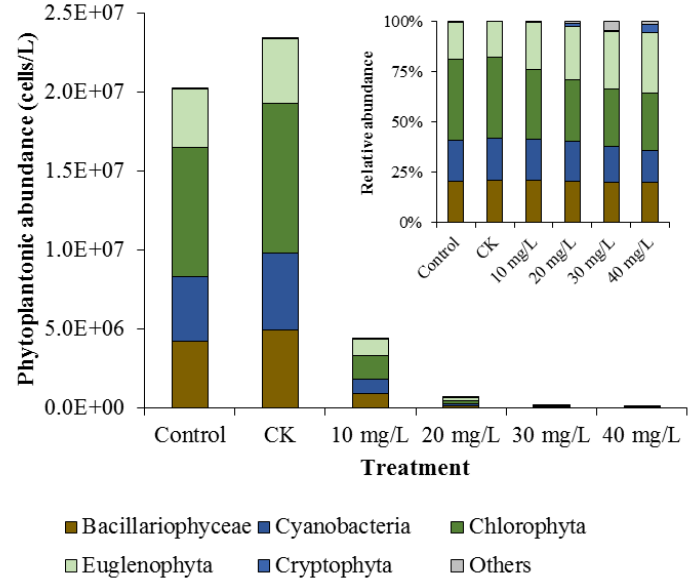


Figure 3

(A)**(B)****(C)****(D)****Figure 4**

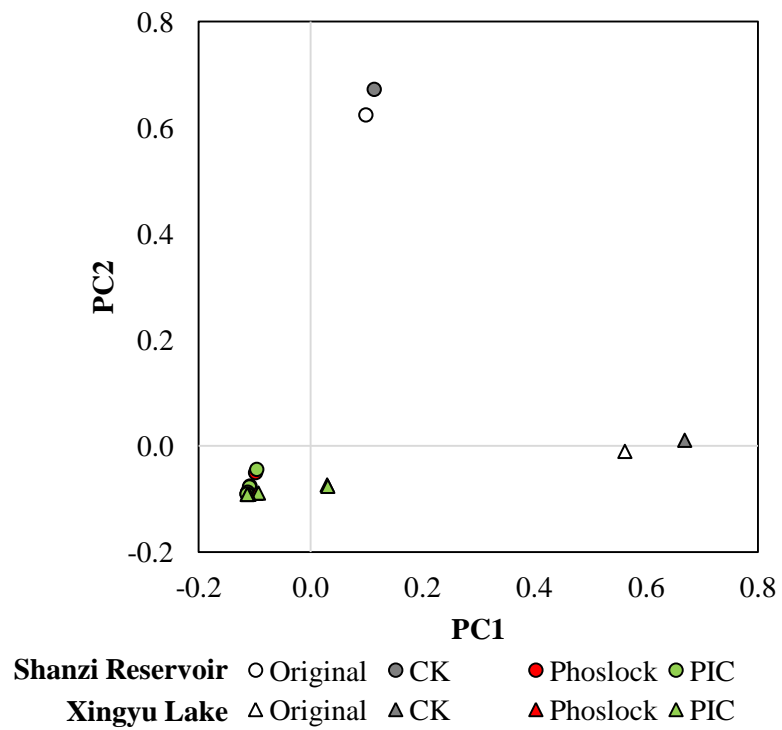


Figure 5

Supplementary Material

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