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1 Detection of phase specificity of *in vivo* germ cell mutagens in an *in vitro* germ cell
2 system

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20 germ-cell genotoxicity; *In vitro*.

21

22 Abstract

23 *In vivo* tests for male reproductive genotoxicity are time consuming, resource-
24 intensive and their use should be minimised according to the principles of the 3Rs.
25 Accordingly, we investigated the effects *in vitro*, of a variety of known, phase-specific
26 germ cell mutagens, i.e. pre-meiotic, meiotic, and post-meiotic genotoxins, on rat
27 spermatogenic cell types separated using Staput unit-gravity velocity sedimentation,
28 evaluating DNA damage using the Comet assay. N-ethyl-N-nitrosourea (ENU), N-
29 methyl-N-nitrosourea (MNU) (spermatogenic phase), 6-mercaptopurine (6-MP) and
30 5-bromo-2'-deoxy-uridine (5-BrdU) (meiotic phase), methyl methanesulphonate
31 (MMS) and ethyl methanesulphonate (EMS) (post-meiotic phase) were selected for
32 use as they are potent male rodent, germ cell mutagens *in vivo*. DNA damage was
33 detected directly using the Comet assay and indirectly using the TUNEL assay.
34 Treatment of the isolated cells with ENU and MNU produced the greatest
35 concentration-related increase in DNA damage in spermatogonia. Spermatocytes
36 were most sensitive to 6-MP and 5-BrdU while spermatids were particularly
37 susceptible to MMS and EMS. Increases were found when measuring both Olive tail
38 moment (OTM) and % tail DNA, but the greatest changes were in OTM. Parallel
39 results were found with the TUNEL assay, which showed highly significant,
40 concentration dependent effects of all these genotoxins on spermatogonia,
41 spermatocytes and spermatids in the same way as for DNA damage. The specific
42 effects of these chemicals on different germ cell types matches those produced *in*
43 *vivo*. This approach therefore shows potential for use in the detection of male germ
44 cell genotoxicity and could contribute to the reduction of the use of animals in such
45 toxicity assays.

46

47 1. Introduction

48 The detection and investigation of reproductive toxicants represent one of the major
49 current challenges in toxicology because of the great number of compounds to be
50 investigated and the difficulty of testing male germ cells at different phases of their
51 development (Parodi *et al.*, 2015; Tralau *et al.*, 2012). A particular problem in germ
52 cell mutagenicity studies is the relative lack of suitable tools for detecting mutation
53 induction (Yauk *et al.*, 2015). Historically, studies have utilized huge numbers of
54 animals in assays such as the morphological specific locus (MSL) test (Russell *et al.*,
55 1979) and dominant lethal assay (Anderson *et al.*, 1977), to reveal valuable
56 information about the relative sensitivities male germ cells at different phases of
57 development (phase-specificity). Nevertheless, there is still a general paucity of
58 information on how endogenous factors, for example genetic polymorphisms and
59 exogenous factors such as environmental-toxin exposure, affect the type of germ cell
60 mutations induced and the risk of their induction (Beal *et al.*, 2012).

61 In animal tests the rules of the 3 R's: Reduction, Refinement and Replacement
62 (Russell and Burch, 1959), should be applied in planning and performing
63 experiments (Flecknell, 2002). Currently, a variety of alternative animal techniques
64 for assessing the toxicity/genotoxicity of compounds have been developed (Jung *et al.*
65 *et al.*, 2015; Kandarova and Letasiova, 2011). STAPUT methods require far fewer
66 animals compared with traditional methods thus aiding reduction efforts. Since the
67 animals are not treated, this refines toxicological approaches. Therefore, because
68 the uses of STAPUT combine these advantages, its use in a novel toxicity testing
69 strategy could potentially replace some *in vivo* testing (Habas *et al.*, 2014). The
70 present study is a first step in testing this idea.

71 There is a growing consensus that the inability to detect mutagens in human germ
72 cells is the result of technological limitations rather than species differences between
73 animal and human susceptibility (Beal *et al.*, 2012). Furthermore, hereditary
74 disorders represent a major cost to health care systems (Directors, 2015). In
75 addition, there is now longstanding public concern over the genetic consequences of
76 lifestyle choices and environmental exposures (Chatterjee *et al.*, 2015). Therefore,
77 there is an urgent need to refine the appropriate germ cell mutagenesis tests and
78 deepen our understanding of germ cell mutagenesis (Beal *et al.*, 2012). Many
79 different experimental methods are in use for investigation of genotoxicity of
80 chemicals in animals (Parasuraman, 2011). There is, for example, increasing interest
81 in the use of omics technologies to guide the development of biomarker panels to
82 help predict whether a chemical would elicit a specific response under particular
83 exposure conditions (Hartung and McBride, 2011; Wilson *et al.*, 2013).

84 However, a number of common chemotherapy medications have toxic
85 consequences for spermatogenesis and can result in a drastic reduction in sperm
86 count and quality (Dere *et al.*, 2013). Animal models have frequently been used to
87 assess the toxicity of such drug exposures and such experiments permit researchers
88 to study the effects on both non-target and target tissue and cell types at a level of
89 detail which is usually not possible in humans (Dere *et al.*, 2013). In this way, safe
90 dose levels of chemotherapeutic compounds have been determined in mouse and
91 rat studies prior to their use in human clinical trials. Even so, the long-term effect of
92 some of these drugs on fertility and the risk of heritable disease still remain to be
93 clarified.

94 Faults in germ cell progression resulting from DNA damage can result in infertility
95 and the transmission of genetic alterations to the offspring (Anderson *et al.*, 1999;

96 Brinkworth, 2000; Li *et al.*, 2014). Additionally, spermatogenic failure can occur at
97 different levels, from defective transmigration of primordial germ cells (PGC),
98 through spermatogenic arrest and spermatogonial stem cell losses to errors in
99 spermiogenesis (Jan *et al.*, 2012). All or any of these complications can result in
100 infertility as a result of azoospermia, severe oligozoospermia and
101 asthenozoospermia or any combination of these. Indeed infertility can occur also in
102 the absence of these in normozoospermic men whose semen parameters are
103 nonetheless normal (Jan *et al.*, 2012). Thus, animal models are currently
104 indispensable in many areas of reproductive research, not just reproductive genetic
105 toxicology. The goal of the present work therefore was to explore a novel, *in vitro*
106 alternative to *in vivo* experimentation and determine the extent to which it has the
107 potential to yield information comparable to that already obtained in extensive,
108 historical *in vivo* (dominant lethal) assays, an assay that for many years formed the
109 bedrock of germline genotoxicity testing (e.g. Anderson *et al.*, 1981; Ehling *et al.*,
110 1978; Topham, 1980). This has been attempted for the Comet assay *in vivo*
111 (Hartmann *et al.*, 2013) but not yet with an *in vitro* approach.

112 It is well known that ENU and MNU, which are direct-acting alkylating agents
113 (Beckwith *et al.*, 2000; Seeley and Faustman, 1995), primarily affect spermatogonia
114 *in vivo* (Hitotsumachi *et al.*, 1985; O'Brien *et al.*, 2015). These alkylating agents
115 have been found to induce mutagenesis by transferring an ethyl group to
116 nucleophilic oxygen or nitrogen sites on deoxyribonucleotides, leading to base
117 mismatch within DNA replication (Imai *et al.*, 2000; van Boxtel *et al.*, 2010). Russell
118 *et al.*, (1979) reported that treating male mice with ENU causes mutations that affect

119 the spermatogonial stem cells and they also found that the spermatogonia had the
120 highest rate of mutation in all cell types examined. A high frequency of mutations
121 was also found in spermatogonial cells after treatment with ENU by others (Katoh *et al.*,
122 *al.*, 1994; Provost and Short, 1994; Russell *et al.*, 2007). Previous studies showed
123 that most of the mutations were intragenic after treatment with ENU (Marker *et al.*,
124 1997; Miltenberger *et al.*, 2002). In addition, mutations induced by ENU in
125 spermatogonia, were found to be single base pair changes (Miltenberger *et al.*,
126 2002). MNU also has been found to be highly mutagenic in differentiating
127 spermatogonia (Russell *et al.*, 2007; Russell and Hunsicker, 1983). Spermatogonia
128 also showed a highly significant increase in genetic damage and apoptosis after
129 exposure to ENU and MNU (O'Brien *et al.*, 2015). 6-MP and 5-BrdU are considered
130 to be the major analogue drugs for therapy of acute lymphoblastic leukemia and
131 autoimmune diseases, and have been used for four decades (Kanemitsu *et al.*,
132 2009; Levkoff *et al.*, 2008). 6-MP is metabolized by enzyme activity of thiopurine
133 methyltransferase (TPMT), and therefore is anabolized by several enzymes to form
134 6-thioguanine nucleotides, leading to the induction of cytotoxicity as a result of its
135 incorporation into DNA (Kanemitsu *et al.*, 2009). 6-MP has also been shown to
136 cause chromosomal damage and aberrations in the spermatocytes of male mice
137 (Mosesso and Palitti, 1993). It has also been shown that germ cells treated with 6-
138 MP have the greatest response in early meiotic spermatocytes (Generoso *et al.*,

139 1975). The thymidine analogue 5-bromo-2'-deoxyuridine (5-BrdU) is a genotoxic
140 compound that is incorporated into DNA, causing specific-locus mutations and
141 inhibition of cell proliferation (Morris, 1991). 5-BrdU is a nucleoside analogue
142 modified via halogen substitution, and its derivatives are widely used in antitumour
143 agent studies (Kagawa *et al.*, 2008). Histological examination of cultured rat
144 spermatocytes after injection with 5-BrdU has demonstrated that 5-BrdU mostly
145 labels spermatocytes (Hue *et al.*, 1998) where it causes a highly significant increase
146 in DNA damage and apoptosis (Attia, 2012). EMS and MMS are alkylating agents
147 that represent one of the most important classes of anticancer agents and play a
148 major role in the treatment of several types of cancers (Chaney and Sancar, 1996;
149 Kondo *et al.*, 2010). MMS and EMS have been studied in mature spermatozoa to
150 examine mutagenesis in different phases of spermatogenesis in male mice (van
151 Delft *et al.*, 1997). It has been found that EMS and MMS induce a high incidence of
152 dominant lethal mutations in spermatids (Ehling, 1971). They have also been found
153 to be mutagenic in the last phase of spermatogenesis, i.e. late spermatids and
154 spermatozoa (van Delft *et al.*, 1997) and to induce chromosomal aberrations,
155 dominant lethal mutations, and heritable translocations in these cells in mice (Ashby
156 *et al.*, 1996; Generoso *et al.*, 1995; Russell *et al.*, 1992; Vogel and Nivard, 1997).

157 2. Materials and Methods

158 2.1. Animals

159 Sexually mature male Sprague-Dawley rats (10-12 weeks old) were obtained from
160 the Institute of Cancer Therapeutics (ICT), University of Bradford, UK where they
161 were maintained under standard conditions. All animal care procedures were carried
162 out according to the National Research Council's Guide for the Care and Use of
163 Laboratory Animals (2010).

164 2.2. Chemicals

165 N-nitroso-N-methylurea (MNU, CAS number 684-93-5), N-ethyl-N-nitrosourea ENU,
166 CAS number 759-73-9), 5-bromo-2'-deoxy-uridine (5-BrdU, CAS number 59-14-3),
167 ethyl methanesulphonate (EMS, CAS number 62-50-0) and methyl
168 methanesulphonate (MMS CAS number 66-27-3) (Sigma Chemical Ltd., Gillingham,
169 UK) were dissolved in phosphate buffered saline (pH 7.3 ± 0.2) before treatment, 6-
170 mercaptopurine (6-MP, CAS number 50-44-2) was dissolved in dimethyl sulphoxide
171 (DMSO) before treatment, and the concentrations were adjusted to (0.05 mM, 0.5
172 mM and 1.0 mM) when mixed with the cells.

173 2.3. Cell isolation and culture.

174 The method for fractionation of rat testicular germ cells was modified from that
175 described previously for the mouse (Habas *et al.*, 2014). Briefly, four testes were
176 collected from two adult Sprague-Dawley rats (10-12 weeks old), decapsulated, and
177 the seminiferous tubules placed into ice cold Dulbecco's Modified Eagle's medium
178 (DMEM), dispersed by gentle pipetting, minced and resuspended in fresh DMEM
179 containing collagenase (5mg/ml) and DNase (1 μ g/ml) (both from Sigma, Poole, UK),
180 then incubated at 32°C for 20 min. The cells were left to stand for 5 min before being
181 filtered through an 80 μ m nylon mesh (Tetco Inc., Briarcliff Manor, NY), centrifuged at
182 600 $\times g$ for 10 min and bottom-loaded into the separation chamber of a Stapt

183 apparatus in a volume of 10ml. A 2-4% w/v concentration gradient of BSA was then
184 generated below the cells, which were allowed to sediment for a standard period of
185 2.5h before 31, 12ml fractions were collected at 60s intervals. The cells in each
186 fraction were examined under a phase contrast microscope, and consecutive
187 fractions containing cells of similar size and morphology spun down by low-speed
188 centrifugation and resuspended in DMEM. The identity and purity of all cell
189 preparations used in the experiments was confirmed by immunohistochemistry and
190 Western blotting for phase-specific markers exactly as described previously (Habas
191 *et al.*, 2014). The viabilities of the freshly isolated spermatogonia, spermatocytes
192 and spermatids were over 98%, as evidenced by trypan blue exclusion of these
193 cells. Immunocytochemical analysis revealed that the range of purities of the cells
194 from the spermatogonial fractions was 86% - 90% across the 3 independent
195 experiments that were performed. For spermatocyte fractions it was 88% - 90%
196 and 88% - 92% for the spermatid fractions. The results were confirmed by
197 Western blotting (Figure1 A and B).

198 Germ cell preparations showed >95% viability as indicated by the trypan blue
199 exclusion method (Phillips, 1973) at the time of plating, immediately after isolation.
200 Morphologically normal germ cells were found by examination of cell smears stained
201 with haematoxylin in the light microscope, and cells from each fraction were counted
202 on the haemocytometer to determine the number of cells obtained. To determine the
203 purity of the cell populations, slides with germ cell populations were stained by
204 immunohistochemistry according to the manufacturer's instructions, as described
205 previously (Habas *et al.*, 2014). After determining which fractions contained the
206 greatest number of each cell type they were pooled and the freshly isolated germ
207 cells were then washed with PBS and used immediately in the Comet or TUNEL

208 assays. The purity of each population was confirmed by Western blot for the
209 presence or absence of spermatogonia-, spermatocyte- and spermatid-specific
210 proteins Figure 1A and B.

211 2.4. Treatment

212 Germ cell suspensions ($1.5-2.5 \times 10^5$ cell/ml) were mixed with fresh RPMI medium
213 (total volume 1000 μ l). One hundred μ l of mixed germ cells were then added to each
214 treatment tube (100 μ l mixed germ cells, 890 μ l RPMI medium, plus 10 μ l of
215 chemical or 900 μ l RPMI for the negative control). Cells were treated with different
216 concentrations (0, 0.05, 0.5, and 1mM) of chemicals at 37°C for 1 h. Solvent controls
217 were used for the 0 mM dose level. The treated and untreated germ cells were used
218 in the Comet assay and TUNEL assay.

219 *In vivo* data can be used to guide the selection of exposure levels that were used *in*
220 *vitro* according to guidance provided (Guidance, FDA 2005). However, for animal
221 cells a simple rule of thumb is $\text{mg/ml} \equiv \text{mg/Kg.bw}$ is based on the principle that
222 organism are >90 % water.

223 2.5. Slide preparation

224 The method described by Anderson et al., (1997) was followed with some
225 modifications. One hundred μ l of 1% low melting agarose (LMP) (Invitrogen, Paisley,
226 UK: 15517-022) was added to the cell pellet to create a cell suspension. The cell
227 suspension was transferred to slides pre-coated with 1% normal melting point (NMP)
228 agarose. The slides were placed on an ice block for 5 min, after which 100 μ l of 0.5%
229 LMP was added on top and slides were placed on ice for 5 min. The slides then were
230 submerged in cell lysis buffer (2.5 M NaCl, 100 mM EDTA, 10 mM Tris HCl pH 10.0
231 containing 1% Triton X-100 and 40 mM dithiothreitol) for 1 h at room temperature

232 and protected from light to prevent any light-induced damage. Following this initial
233 lysis period, proteinase K (Sigma) was added to the lysis solution (final concentration
234 10µg/ml) and additional lysis was performed at 37°C for 2.5 h (Hughes *et al.*, 1997).
235 Following lysis, slides were placed in the electrophoresis buffer (0.3 M NaOH, 1 mM
236 EDTA) for 30 min.

237 2.6. Unwinding and electrophoresis

238 The slides were placed on a horizontal gel electrophoresis platform and covered with
239 an alkaline solution of 300 mM NaOH and 1 mM Na₂EDTA. The slides were left in
240 the solution for 30 min at 4 °C to allow unwinding of the DNA and expression of
241 alkali-labile sites. The power supply was set at 20 V and 300 mA. The DNA was
242 electrophoresed for 20 min and the slides rinsed gently 3 times with 400 mM Tris (pH
243 7.5) to neutralize the excess alkali. Each slide was stained with 50 µl of 20µg/ml
244 ethidium bromide (Sigma) and covered with a coverslip.

245 2.7. Examination of cells

246 Fifty cells per slide per 3 or 4 replicate experiments per treatment group were
247 analysed at 200X viewing magnification using a fluorescent microscope (Leica,
248 Wetzlar, Germany) equipped with a BP546/10 excitation filter and a 590 nm barrier
249 filter. Slides were analysed by a computerized image analysis system (Comet 6.0;
250 Andor Technology, formerly Kinetic Imaging) Belfast, UK In the Comet assay, Olive
251 tail moment (OTM) and % tail DNA were measured for isolated germ cells. Comet
252 tail length is the maximum distance the damaged DNA migrates from the centre of
253 the cell nucleus, and the OTM is a product of the tail length and the percentage of
254 tail DNA, which gives a more integrated measurement of overall DNA damage in the
255 isolated germ cells (Kumaravel and Jha, 2006; Kumaravel *et al.*, 2009).

256 2.8. TUNEL staining and quantitation

257 DNA fragmentation was examined on the separate cell samples of the same cell
258 populations by the modified TUNEL method first proposed by Gavrieli *et al.* (Gavrieli
259 *et al.*, 1992) with a commercial apoptosis detection kit (Terminal Deoxynucleotidyl
260 Transferase Detection Kit; Promega, UK, Ltd). In brief, the coverslips were incubated
261 with TUNEL reaction mixture (30 mM Tris pH 7.4; 140 mM sodium cacodylate; 1 mM
262 cobalt chloride; 5 μ M biotin-16-deoxyuridine triphosphate; 0.3 U/ μ l terminal
263 deoxynucleotidyl transferase [TdT]; all from Sigma) for 60 min (humidity chamber,
264 37°C) and then washed twice in PBS. (H_2O_2 -blocking of endogenous peroxidases
265 was not performed as the testis is low in peroxidases so it is rarely necessary.) After
266 multiple washing steps, the cells were treated with 2% v/v Extravidin peroxidase in
267 TBS with 0.1% w/v BSA for 30 min (humidity chamber, 37°C), rinsed with PBS, and
268 visualised by adding 3, 3-diaminobenzine (DAB) for 10 min at room temperature.
269 Cells were washed in phosphate buffered saline (PBS); each section was
270 counterstained with haematoxylin then examined and photographed under an
271 Olympus CKX31 microscope (Olympus, Southend on Sea, UK). For the negative
272 controls, sections were incubated with the reaction mix without TdT instead of the full
273 TUNEL reaction mixture. To quantitate the incidence of apoptosis, the three types of
274 isolated testicular germ cells were evaluated for morphology and staining, The
275 following findings were considered to represent apoptosis: marked condensation of
276 chromatin and cytoplasm clearly staining strongly brown or brown/black; The
277 TUNEL-positive cells were scored in several fields on each coverslip to yield a total
278 of at least 100 cells under a 40x objective of an Olympus CKX31 microscope. Values
279 represent percentages from at least 100 cells from each culture.

280 2.9. Statistical analysis

281 Data are expressed as mean \pm SEM of at least three independent experiments with
282 three replicates per experimental group. Comparisons were made by one-way
283 ANOVA followed by Bonferroni post hoc test; for all experiments, a P value of < 0.05
284 was considered significant.

285 3. Results

286 3.1. Effect of ENU and MNU treatment

287 MNU and ENU displayed a concentration-response curve in both *in vitro* assays
288 used for this investigation. The lowest doses that induced a statistically significant
289 increase in genetic damage were 0.05 mM ENU and 0.05 mM MNU for DNA
290 damage and 0.05 mM ENU or 0.05 mM MNU for apoptosis. In spermatogonia, both
291 the nitrosoureas showed highly increased OTM, % tail DNA and % of apoptotic cells
292 (Table 1). They were thus clearly much more potent as spermatogonial mutagens
293 than 6-MP and 5-BrdU or the methanesulphonates (Table 1). The response to ENU
294 and MNU treatment was also different between the three types of cells analysed:
295 spermatogonia, showed the highest levels of DNA damage and apoptosis in
296 response to these genotoxins in comparison to the other types of cells.
297 Spermatogonial cells showed a clear concentration dependent, statistically
298 significant increase in comet parameters and apoptosis from the concentration of
299 0.05 mM upwards (Figures 2 and 4) whereas the other compounds showed lower
300 means at each dose with generally lower levels of significance and only significant at
301 all from 0.5 mM upwards.

302 3.2. Effect of 6-MP and 5-BrdU treatment

303 6-MP and 5-Br-dU showed a concentration response-curve in both assays used for
304 this study. The lowest doses that induced a statistically significant increase in genetic
305 damage were 0.05 mM 6-MP and 0.05 mM 5-BrdU for DNA damage, and 0.05 mM
306 6-MP and 0.05 mM 5-BrdU for apoptosis. In spermatocytes, both 6-MP and 5-BrdU
307 showed highly increased OTM, % tail DNA and the percentage of apoptotic cells.
308 They were therefore clearly much more potent spermatocyte mutagens than MNU
309 and ENU or the methanesulphonates (Table 2). The response to 6-MP and 5-BrdU
310 treatment was also different for the three types of cells analysed with spermatocytes,
311 showing the highest levels of DNA damage and apoptosis in response to 6-MP and
312 5-BrdU treatment. Spermatocytes showed a clear concentration-dependent,
313 statistically significant increase in comet parameters and apoptosis from the
314 concentration of 0.05 mM upwards (Figure 2, 3 and 4) whereas the other compounds
315 showed lower means at each dose with generally lower levels of significance and
316 only significant from 0.5 mM upwards.

317 3.3. Effect of EMS and MMS treatment

318 MMS and EMS also showed a concentration-response curve in both assays used for
319 this study. The lowest concentrations that induced a statistically significant increase
320 in genetic damage were 0.05 mM MMS or 0.05 mM EMS for DNA damage and 0.05
321 mM MMS or 0.05 mM EMS for apoptosis. In spermatids, both the
322 methanesulphonates MMS and EMS showed highly increased OTM, % tail DNA and
323 the % of apoptotic cells. They were therefore clearly much more potent spermatid
324 mutagens than MNU and ENU or 6-MP and 5-BrdU (Figure 4). The response to
325 MMS and EMS treatment was also different for the three types of cells analysed:
326 spermatids, which showed the highest levels of DNA damage and apoptosis in
327 response to MMS and EMS treatment than the other types of cells. Spermatocytes

328 showed a clear concentration-dependent, statistically significant, increase in comet
329 parameters and apoptosis from the concentration of 0.05 mM upwards (Figures 2, 3
330 and 4) whereas the other compounds showed lower means at each dose with
331 generally lower levels of significance and only significant from 0.5 mM upwards. The
332 values of various Comet measurements as quantified with Comet 6.0 software
333 generated from MMS and EMS studies are given in Table 3. The results showed that
334 OTM and %Tail DNA gave good correlations with the concentration of MMS and
335 EMS.

336 4. Discussion

337 The purpose of this study was to explore the potential for our recently developed *in*
338 *vitro* male germ cell test system (Habas *et al.*, 2014) to detect male germ cell
339 genotoxins. Furthermore, this type of study could extend our understanding of how
340 rodent germ cells could be used for monitoring developmental toxicity/genotoxicity
341 and its relevance to spermatogenic processes. Compositional and metabolic
342 differences between different types of male germ cells lead to differing
343 susceptibilities to genotoxicity and mutation induction so the careful analysis of such
344 phase specificity is important. This can yield valuable information about the potential
345 mechanisms involved in the toxicity and thus increase the significance of the
346 findings. This is especially important since mutations induced in the germline can
347 affect not only the exposed generation but also an unlimited number of generations
348 thereafter (Verhofstad *et al.*, 2008). The most well-established techniques that have
349 historically been used to demonstrate that germline mutations appear in the next and
350 subsequent generations are all very animal-intensive. These include the MSL test
351 and the dominant lethal test (Verhofstad *et al.*, 2008). In addition, these approaches
352 have relatively low sensitivity, and are time consuming and expensive (Verhofstad *et*

353 *al.*, 2008). Nevertheless, these approaches have played a major role in genotoxicity
354 assessment and in particular, in establishing precise mutation rates associated with
355 specific exposure levels (MacGregor *et al.*, 2015).

356 To reduce the number of *in vivo* assays that detect effects in germ cells and to
357 adhere to the principles of the 3Rs, *in vitro* or *ex vivo* tests should be performed first
358 (Ouedraogo *et al.*, 2012; Verhofstad *et al.*, 2008). Currently, a variety of alternative
359 animal techniques for assessing the toxicity/genotoxicity of compounds have been
360 developed (Jung *et al.*, 2015; Kandarova and Letasiova, 2011). A number of *in vitro*
361 assays are currently available such as the detection of chromosome damage or
362 sister-chromatid exchanges in primary cultures of spermatogonia or spermatocytes
363 (Perrin *et al.*, 2007). However, these involve low-frequency end-points and are not
364 suitable for the rapid screening of chemicals for genotoxicity or mutagenicity.
365 Furthermore, even though it is possible to culture such cells in the presence of
366 Sertoli cells, there is doubt about how well these germ cells reflect the *in vivo*
367 situation, given that they do not have the intimate, all-enveloping contact with the
368 Sertoli cells found in the seminiferous tubule. The use of cultured Sertoli cells with
369 testicular germ cells has in fact been used with some success in the past (e.g., (Gray
370 and Beaman, 1984). However, it has not gained widespread applicability mainly
371 because of difficulty of reproducing *in vitro* the tight junctions between Sertoli cells
372 that form the blood-testis barrier. We have sought to avoid these problems by
373 culturing the cells for the shortest possible period and to turn the absence of Sertoli
374 cells (and hence the more direct exposure to chemicals that this affords) into an
375 advantage by using the system to detect reproductive hazards, rather than for strict
376 risk assessment.

377 Accordingly, we examined DNA-strand breakage and the induction of apoptosis in
378 short-term, primary cultures of testicular germ cells enriched for spermatogonia,
379 spermatocytes and spermatids. These were tested using 6 chemicals whose
380 reproductive genotoxicity is well established and which show clear cell-type
381 specificity *in vivo*. Genotoxicity assessment after exposure to ENU, MNU, 6-MP, 5-
382 BrdU and, MMS or EMS was conducted using the alkali version of the Comet assay
383 to detect DNA strand breaks and the TUNEL assay to detect apoptosis.
384 Spermatogonia were the most sensitive to ENU and MNU; spermatocytes were most
385 sensitive to 6-MP and 5-BrdU while spermatids were the most sensitive cell type to
386 MMS and EMS. Crucially, all these results match the positive results found *in vivo*
387 and the cell-type specificity of each chemical found *in vivo* was the same as that
388 which we found with our *in vitro* system. Parallel results were found using the TUNEL
389 assay, which also showed highly significant, concentration-dependent effects of
390 these 6 genotoxins on spermatogonia, spermatocytes and spermatids in the same
391 way as for DNA damage.

392 In the present study it would appear that the *in vitro* – *in vivo* correlation (IVIVC) is
393 working such that the *in vitro* concentrations and *in vivo* absorption directly reflect a
394 proportional, linear relationship between *in vitro* (dissolution) and *in vivo* (fraction
395 absorbed). This IVIVC has been assumed in all *in vitro* to *in vivo* calculations used in
396 our laboratory and in all cases; the results have revealed a similarly directly
397 proportional, linear IVIVC for all our *in vitro* to *in vivo* extrapolations (e.g., Anderson
398 *et al.*, 2003). This implies that in our hands, the *in vitro* and *in vivo* systems operate
399 under comparable kinetics and toxicodynamics.

400 The results for ENU and MNU are similar to findings by Russell, Hunsicker and
401 Russell (2007), who also found genetic damage in spermatogonia when treated with

402 ENU and MNU. In the present study, comparing the chemicals for the endpoints
403 studied (i.e., Comet assay and TUNEL assay) revealed that ENU and MNU are
404 much more effective on spermatogonia than spermatocytes and spermatids. These
405 results suggest that both chemicals are highly genotoxic in differentiating
406 spermatogonia, in agreement with *in vivo* data published previously (Guenet, 2004;
407 Russell et al., 2007; Russell and Hunsicker, 1983; Siepka and Takahashi, 2005). In
408 addition, increased spontaneous frequency of gene mutations and chromosome
409 damage has more recently been reported in these cells (O'Brien *et al.*, 2013).

410 A similar trend was observed in spermatocytes, which showed a highly significant
411 increase in DNA damage and apoptosis after exposure to 6-MP or 5-BrdU. This
412 increase was dramatically greater than in spermatids or spermatogonia. Thus,
413 spermatocytes are the most sensitive to 6-MP and 5-BrdU in agreement with the
414 findings *in vivo* that showed 6-MP to be a potent chemical for inducing DNA damage
415 in spermatocytes (Mosesso and Palitti, 1993) along with 5-BrdU (Perrard *et al.*,
416 2003). Similarly, Witt and Bishop (1996) have shown that 6-MP induced dominant
417 lethal mutations specifically in spermatocytes (Witt and Bishop, 1996).

418 Spermatids were found to be the most sensitive to MMS and EMS in the induction of
419 genetic damage and apoptosis in agreement with *in vivo* studies that showed a
420 decrease in the number of spermatids and a high frequency of chromosome
421 aberrations induced by these (and other) methanesulphonates in spermatids
422 (Kuriyama *et al.*, 2005; Matsuda *et al.*, 1989).

423 Our work with these chemicals also suggests that OTM and percent tail DNA give
424 good correlations with the concentration of genotoxic agents used. Statistically, our
425 results did not find much difference between OTM and % tail DNA. The close

426 concordance between the Comet assay and TUNEL assay results is remarkable.
427 Both assays detect DNA strand breakage but whereas the Comet assay reports
428 breakage caused by exogenous agents, the TUNEL assay shows strand breaks
429 induced by endogenous nucleases as part of a cellular suicide mechanism. Such
430 cellular suicide can be induced by events such as severe DNA-strand breakage so
431 the results demonstrate effects on two different end-points of genotoxicity, one direct
432 and one indirect. This demonstrates that multiple end-points can be utilised in our
433 short-term culture system, even when one is consequent upon another, showing that
434 the system is flexible enough to be used for mechanistic studies as well as hazard
435 detection.

436 The ease and consistency with which our short-term culture system coupled with the
437 comet and TUNEL assays has detected DNA damage and apoptosis in male rat
438 germ cells suggests it could have considerable utility for the identification of male
439 reproductive genotoxins. This is despite the fact that the cells are cultured in the
440 absence of Sertoli cells. However, it may be that in the absence of any protection
441 from either the blood-testis barrier or the detoxifying abilities of Sertoli cells, the germ
442 cells are rendered more susceptible to toxins, thus producing a more sensitive assay
443 for reproductive genotoxic potential.

444 5. Conclusion

445 The level of DNA damage *in vitro* is dependent on both the genotoxicant and the type
446 of germ cell, and occurs at concentrations known to be relevant to testicular and
447 reproductive toxicity *in vivo*. Furthermore, these results indicate that Staput-isolated
448 rat testicular germ cells provide a suitable model *in vitro* to study DNA damage in
449 different phases of spermatogenesis. The high concordance between the existing *in*

450 *vivo* data and the present results indicate that this approach should be further
451 investigated for its potential to detect genetic effects in reproductive cells *in vitro* with
452 the long-term aim of enabling a reduction in animal testing for reproductive
453 genotoxins.

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458 7. Conflict of Interest Statement

459 The authors have no conflicts of interest with regard to the funding of this research.

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688

689 9. Figure legends

690 Figure 1. Western blot analysis of Staput-purified germ cells using antibodies for
691 specific cell-type markers. Figure 1A: Anti-glia cell line-derived neurotrophic factor
692 receptor (GDNFR) antibody was used to detect spermatogonia (panel B); anti-
693 synaptonemal complex protein 3 (SCP-3) antibody was used to detect
694 spermatocytes (panel C); anti-transition protein 1 (TP1) antibody (panel D), and the
695 protein loading control GAPDH is shown in panel A. The relative expression levels of
696 GDNFR, SPC3 and TP1 were expressed as GDNFR, SCP-3 and TP1/ GAPDH
697 ratios. Results are the mean \pm SEM from four independent experiments. *** $p < 0.001$.

698 Figure 1B: Comparison of GDNFR, SCP-3 and TP1 expression in isolated testicular
699 germ cells. Spermatogonia, spermatocytes, and spermatids were examined by
700 Western blot analysis. The density of each band was quantified by Image 1.45
701 software (arbitrary units) and the relative expression levels of GDNFR, SCP-3 and
702 TP1 was measured by GDNFR, SCP3 and TP1 / GAPDH ratios. Results are the
703 mean \pm SEM. from four independent experiments. *** $p < 0.001$.

704 Figure 2. Induced DNA damage in germ cells in the Comet assay after treatment
705 with ENU, MNU, 6-MP, 5-BrdU, MMS and EMS at different concentrations (0.05 mM,
706 0.5 mM and 1 mM) for 1h. OTM was used for DNA damage quantification. Data
707 represent the means \pm SE obtained from three independent experiments. * $P < 0.05$,
708 ** $P < 0.01$, *** $P < 0.001$ when compared with the respective control group.

709 Figure 3. Induced DNA damage in germ cells in the Comet assay after treatment
710 with ENU, MNU, 6MP, 5BrdU, MMS and EMS at different concentrations (0.05 mM,
711 0.5 mM and 1 mM) for 1h. %Tail DNA was used for DNA damage quantification.
712 Data represent the means \pm SE obtained from three independent experiments. * P
713 < 0.05 , ** $P < 0.01$, *** $P < 0.001$ when compared with the respective control group.

714 Figure 4. Effect of ENU, MNU, 6MP, 5BrdU, MMS and EMS treatment on germ cells
715 evaluated in the TUNEL assay. Columns represent the mean percentages \pm SEM of
716 apoptotic cells for each of the three concentrations of ENU, MNU, 6-MP, 5-BrdU,
717 MMS and EMS used (0.05 mM, 0.5 mM and 1 mM). Data represent the means \pm SE
718 obtained from three independent experiments. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$
719 when compared with the respective control group.

720 Table 1. Individual data for the effects of ENU and MNU on isolated germ cells
 721 measured by the Comet and TUNEL assays. Comet parameters: OTM and % tail
 722 DNA; TUNEL parameter: percentage of apoptotic cells. Data shown represent group
 723 values (mean \pm SEM) of three experiments (100 cells scored per experiment). ns not
 724 significant, * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$ versus control.

| Germ cells | OTM | (%)Tail DNA | Apoptotic cells (%) |
|----------------------|---------------------|---------------------|---------------------|
| Spermatogonia | | | |
| Control | 1.23 \pm 0.06 | 9.19 \pm 0.06 | 8.00 \pm 0.58 |
| 0.05mM ENU | 2.33 \pm 0.13** | 11.45 \pm 0.37** | 13.67 \pm 0.33** |
| 0.5mM ENU | 6.21 \pm 0.49 *** | 20.64 \pm 0.52*** | 35.67 \pm 0.33*** |
| 1mM ENU | 9.29 \pm 0.20*** | 28.38 \pm 0.81*** | 49.00 \pm 0.58*** |
| Spermatocytes | | | |
| Control | 1.03 \pm 0.08 | 6.23 \pm 0.33 | 7.33 \pm 0.33 |
| 0.05mM MNU | 2.09 \pm 0.21* | 6.86 \pm 0.42* | 9.00 \pm 0.58* |
| 0.5mM MNU | 5.87 \pm 0.65** | 20.29 \pm 1.51** | 16.33 \pm 1.20** |
| 1mM MNU | 8.34 \pm 0.28*** | 25.10 \pm 0.67** | 37.67 \pm 1.20*** |
| Spermatids | | | |
| Control | 0.91 \pm 0.08 | 3.58 \pm 0.36 | 7.00 \pm 0.58 |
| 0.05mM ENU | 1.35 \pm 0.23 ns | 5.74 \pm 0.69 ns | 10.00 \pm 0.58 ns |
| 0.5mM ENU | 3.01 \pm 0.15 * | 10.71 \pm 1.13 * | 12.67 \pm 0.88 * |
| 1mM ENU | 3.78 \pm 0.21** | 14.40 \pm 1.12** | 19.67 \pm 0.67** |
| Control | 0.72 \pm 0.09 | 3.97 \pm 0.37 | 7.00 \pm 0.58 |
| 0.05mM MNU | 1.19 \pm 0.20 ns | 6.28 \pm 0.55 | 8.33 \pm 0.88 |
| 0.5mM MNU | 2.59 \pm 0.53 * | 11.73 \pm 1.16 | 11.00 \pm 0.58 |
| 1mM MNU | 3.94 \pm 0.43** | 14.45 \pm 1.18 | 17.00 \pm 0.58 |
| Spermatids | | | |
| Control | 0.59 \pm 0.05 | 2.77 \pm 0.31 | 6.67 \pm 0.33 |
| 0.05mM ENU | 0.75 \pm 0.09 ns | 5.46 \pm 0.95 ns | 8.67 \pm 0.33 ns |
| 0.5mM ENU | 1.87 \pm 0.37 * | 6.24 \pm 0.92 * | 11.00 \pm 0.58* |
| 1mM ENU | 2.66 \pm 0.28** | 11.71 \pm 1.20 ** | 17.67 \pm 0.88** |
| Control | 0.79 \pm 0.08 | 3.50 \pm 0.35 | 6.67 \pm 0.67 |
| 0.05mM MNU | 1.64 \pm 0.20 ns | 6.15 \pm 0.61ns | 8.67 \pm 1.20 ns |
| 0.5mM MNU | 2.11 \pm 0.24 * | 8.82 \pm 0.83 * | 12.00 \pm 0.58 * |
| 1mM MNU | 3.02 \pm 0.28** | 12.46 \pm 0.84** | 17.33 \pm 0.88** |

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727 Table 2. Individual data for the effects of 6-MP and 5-BrdU on isolated germ cells
 728 measured by the Comet and TUNEL assays. Comet parameters: OTM and % tail
 729 DNA; TUNEL parameter: percentage of apoptotic cells. Data shown represent group
 730 values (mean \pm SEM) of three experiments (100 cells scored per experiment). ns not
 731 significant, * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$ versus control.

| Germ cells | OTM | (%)Tail DNA | Apoptotic cells (%) |
|----------------------|---------------------|----------------------|---------------------|
| Spermatogonia | | | |
| Control | 0.80 \pm 0.06 | 3.35 \pm 0.33 | 8.38 \pm 0.72 |
| 0.05mM 6-MP | 1.20 \pm 0.10ns | 4.31 \pm 0.30 ns | 10.52 \pm 1.56 ns |
| 0.5mM 6-MP | 2.63 \pm 0.48ns | 7.20 \pm 0.70 ns | 11.14 \pm 0.50ns |
| 1mM 6-MP | 3.53 \pm 0.38** | 11.31 \pm 0.64** | 19.73 \pm 1.43** |
| Control | 0.80 \pm 0.04 | 3.59 \pm 0.13 | 7.67 \pm 0.33 |
| 0.05mM 5-BrdU | 1.50 \pm 0.20ns | 6.56 \pm 0.89ns | 11.00 \pm 1.15ns |
| 0.5mM 5-BrdU | 1.88 \pm 0.16* | 7.66 \pm 0.65* | 14.33 \pm 0.88* |
| 1mM 5-BrdU | 2.80 \pm 0.23** | 10.34 \pm 1.01** | 19.00 \pm 1.15** |
| Spermatocytes | | | |
| Control | 0.87 \pm 0.06 | 3.91 \pm 0.08 | 7.97 \pm 0.50 |
| 0.05mM 6-MP | 2.22 \pm 0.15* | 8.50 \pm 0.67 * | 18.11 \pm 1.69 * |
| 0.5mM 6-MP | 5.63 \pm 0.55 ** | 12.41 \pm 0.88 ** | 23.47 \pm 1.44** |
| 1mM 6-MP | 7.63 \pm 0.36 *** | 19.04 \pm 0.93 *** | 35.33 \pm 1.38*** |
| Control | 0.69 \pm 0.04 | 3.71 \pm 0.35 | 7.33 \pm 0.33 |
| 0.05mM 5-BrdU | 1.91 \pm 0.22* | 9.36 \pm 0.63* | 17.00 \pm 1.73* |
| 0.5mM 5-BrdU | 3.88 \pm 0.36** | 13.09 \pm 0.66** | 19.00 \pm 1.15** |
| 1mM 5-BrdU | 6.55 \pm 0.29*** | 16.77 \pm 0.79*** | 30.66 \pm 1.37*** |
| Spermatids | | | |
| Control | 0.75 \pm 0.03 | 3.81 \pm 0.17 | 6.19 \pm 0.58 |
| 0.05mM 6-MP | 0.98 \pm 0.28ns | 5.58 \pm 0.90ns | 8.21 \pm 0.62 ns |
| 0.5mM 6-MP | 1.24 \pm 0.13ns | 5.87 \pm 0.48ns | 12.52 \pm 1.28 ns |
| 1mM 6-MP | 2.45 \pm 0.26** | 8.60 \pm 0.38** | 16.27 \pm 1.01** |
| Control | 0.78 \pm 0.06 | 3.69 \pm 0.34 | 5.00 \pm 0.58 |
| 0.05mM 5-BrdU | 1.43 \pm 0.29ns | 5.77 \pm 0.88 ns | 7.00 \pm 0.58 ns |
| 0.5mM 5-BrdU | 1.62 \pm 0.21* | 7.43 \pm 1.11* | 11.00 \pm 1.15 * |
| 1mM 5-BrdU | 2.60 \pm 0.16** | 10.09 \pm 0.61** | 15.33 \pm 0.88** |

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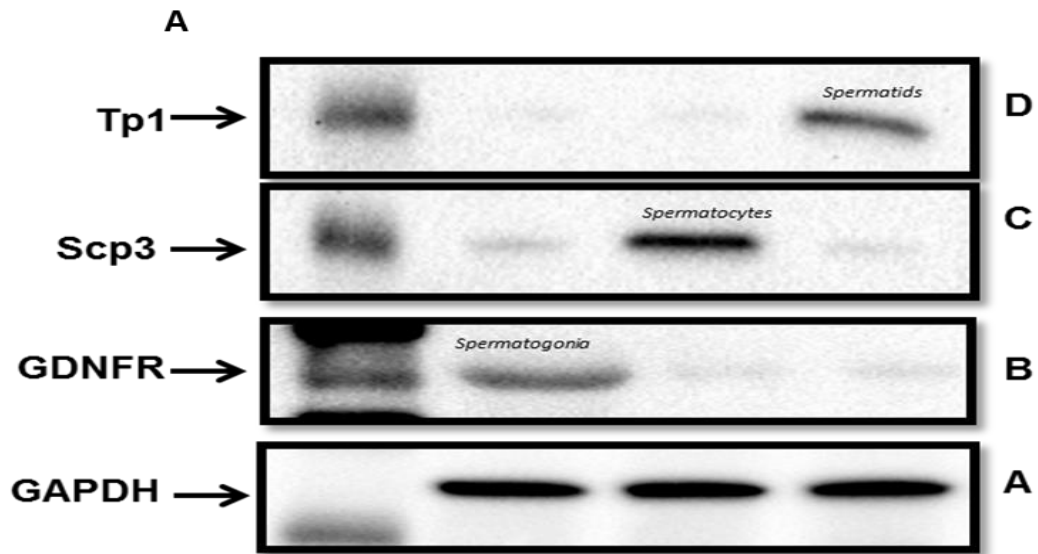
734 Table 3. Individual data for the effects of MMS and EMS on isolated germ cells
 735 measured by the Comet and TUNEL assays. Comet parameters: OTM and % tail
 736 DNA; TUNEL parameter: percentage of apoptotic cells. Data shown represent group
 737 values (mean \pm SEM) of three experiments (100 cells scored per experiment). ns not
 738 significant, * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$ versus control.

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| Germ cells | OTM | (%)Tail DNA | Apoptotic cells (%) |
|----------------------|---------------------|---------------------|---------------------|
| Spermatogonia | | | |
| Control | 0.92 \pm 0.06 | 3.96 \pm 0.24 | 8.33 \pm 0.33 |
| 0.05mM MMS | 1.69 \pm 0.19ns | 6.98 \pm 1.26ns | 11.00 \pm 0.58ns |
| 0.5mM MMS | 2.98 \pm 0.53* | 9.95 \pm 1.37* | 13.67 \pm 0.6* |
| 1mM MMS | 4.91 \pm 0.48** | 16.96 \pm 2.04** | 21.00 \pm 1.53** |
| Control | 1.11 \pm 0.16 | 3.99 \pm 0.38 | 7.33 \pm 0.33 |
| 0.05mM EMS | 1.37 \pm 0.35 ns | 6.15 \pm 0.99ns | 10.33 \pm 0.88ns |
| 0.5mM EMS | 2.95 \pm 0.21* | 8.87 \pm 0.92* | 12.33 \pm 0.88* |
| 1mM EMS | 4.36 \pm 0.31** | 14.09 \pm 1.17** | 20.33 \pm 1.33** |
| Spermatocytes | | | |
| Control | 1.03 \pm 0.13 | 4.59 \pm 0.46 | 7.67 \pm 0.67 |
| 0.05mM MMS | 1.77 \pm 0.09ns | 9.35 \pm 0.88 ns | 11.33 \pm 0.88 ns |
| 0.5mM MMS | 4.32 \pm 0.41* | 10.93 \pm 0.97* | 17.33 \pm 0.88* |
| 1mM MMS | 6.15 \pm 0.54** | 18.36 \pm 1.15** | 22.00 \pm 1.53** |
| Control | 0.90 \pm 0.09 | 4.12 \pm 0.25 | 8.00 \pm 0.58 |
| 0.05mM EMS | 2.32 \pm 0.31ns | 9.12 \pm 1.18ns | 11.33 \pm 0.67ns |
| 0.5mM EMS | 3.44 \pm 0.49* | 11.41 \pm 0.91* | 16.67 \pm 0.88* |
| 1mM EMS | 5.17 \pm 0.42** | 21.81 \pm 1.73** | 20.67 \pm 0.88** |
| Spermatids | | | |
| Control | 0.89 \pm 0.06 | 4.58 \pm 0.37 | 7.67 \pm 0.67 |
| 0.05mM MMS | 2.65 \pm 0.35* | 12.34 \pm 0.86* | 14.00 \pm 0.58* |
| 0.5mM MMS | 8.02 \pm 0.76** | 25.44 \pm 0.94** | 27.67 \pm 1.20** |
| 1mM MMS | 11.66 \pm 1.04*** | 36.63 \pm 0.75*** | 35.67 \pm 0.88*** |
| Control | 0.92 \pm 0.03 | 4.58 \pm 0.22 | 8.00 \pm 1.00 |
| 0.05mM EMS | 3.04 \pm 0.32* | 11.06 \pm 1.04* | 14.00 \pm 0.58 * |
| 0.5mM EMS | 6.80 \pm 0.85** | 24.49 \pm 2.10** | 20.33 \pm 1.86** |
| 1mM EMS | 9.89 \pm 0.36*** | 33.64 \pm 0.76*** | 34.00 \pm 1.15*** |

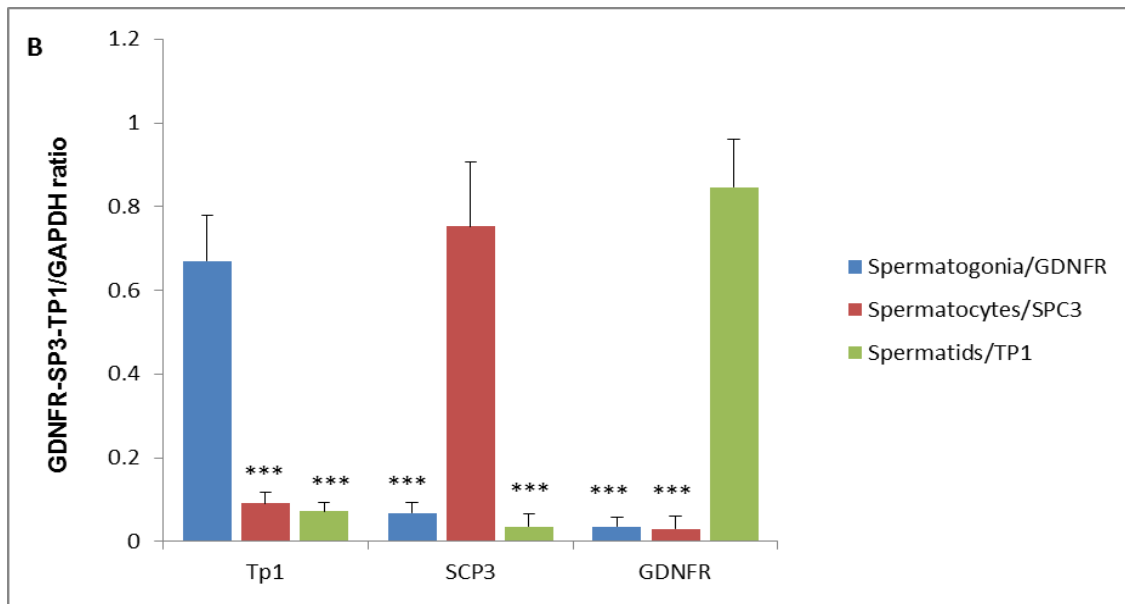
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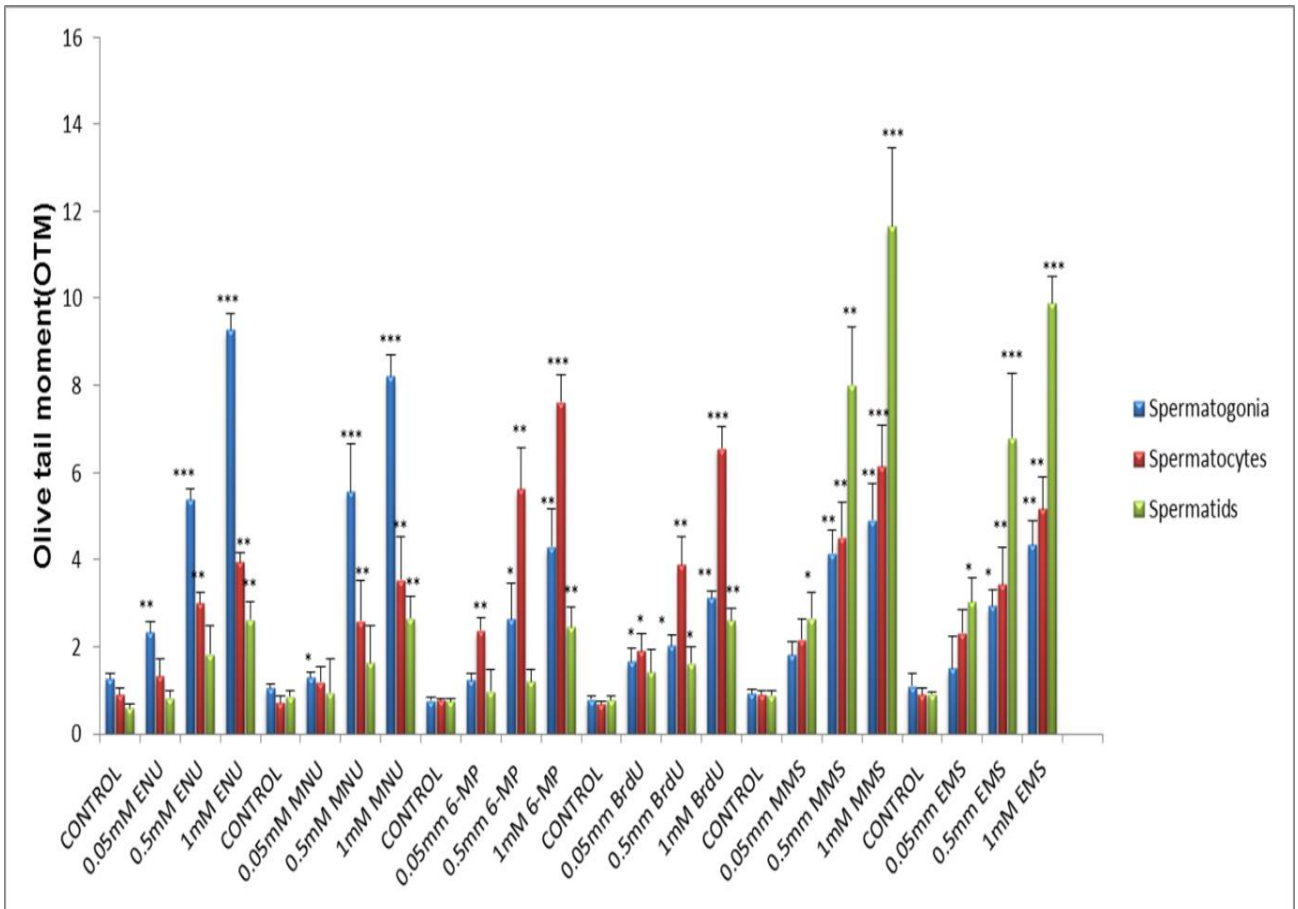
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747 Figure 1

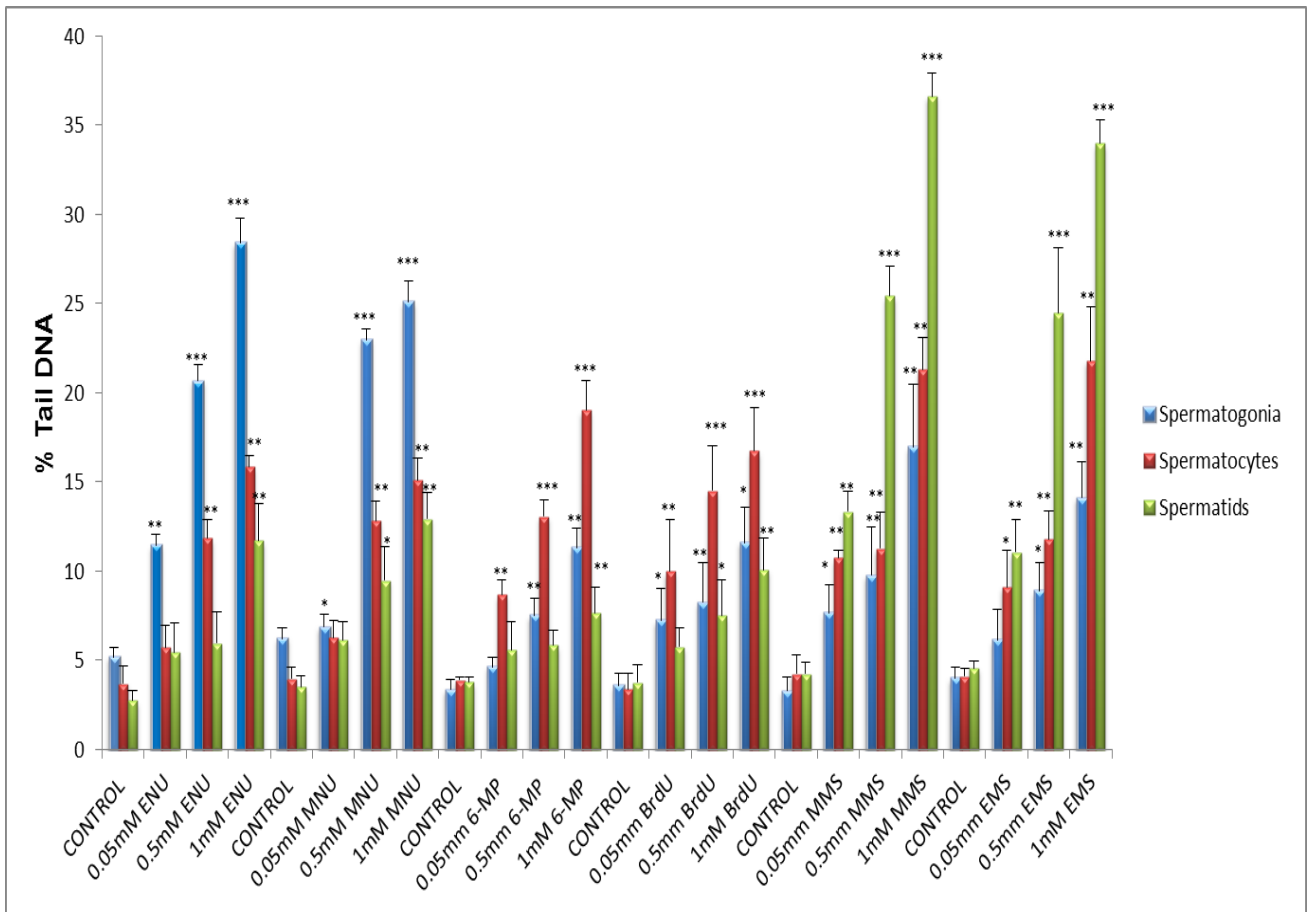
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Figure 2

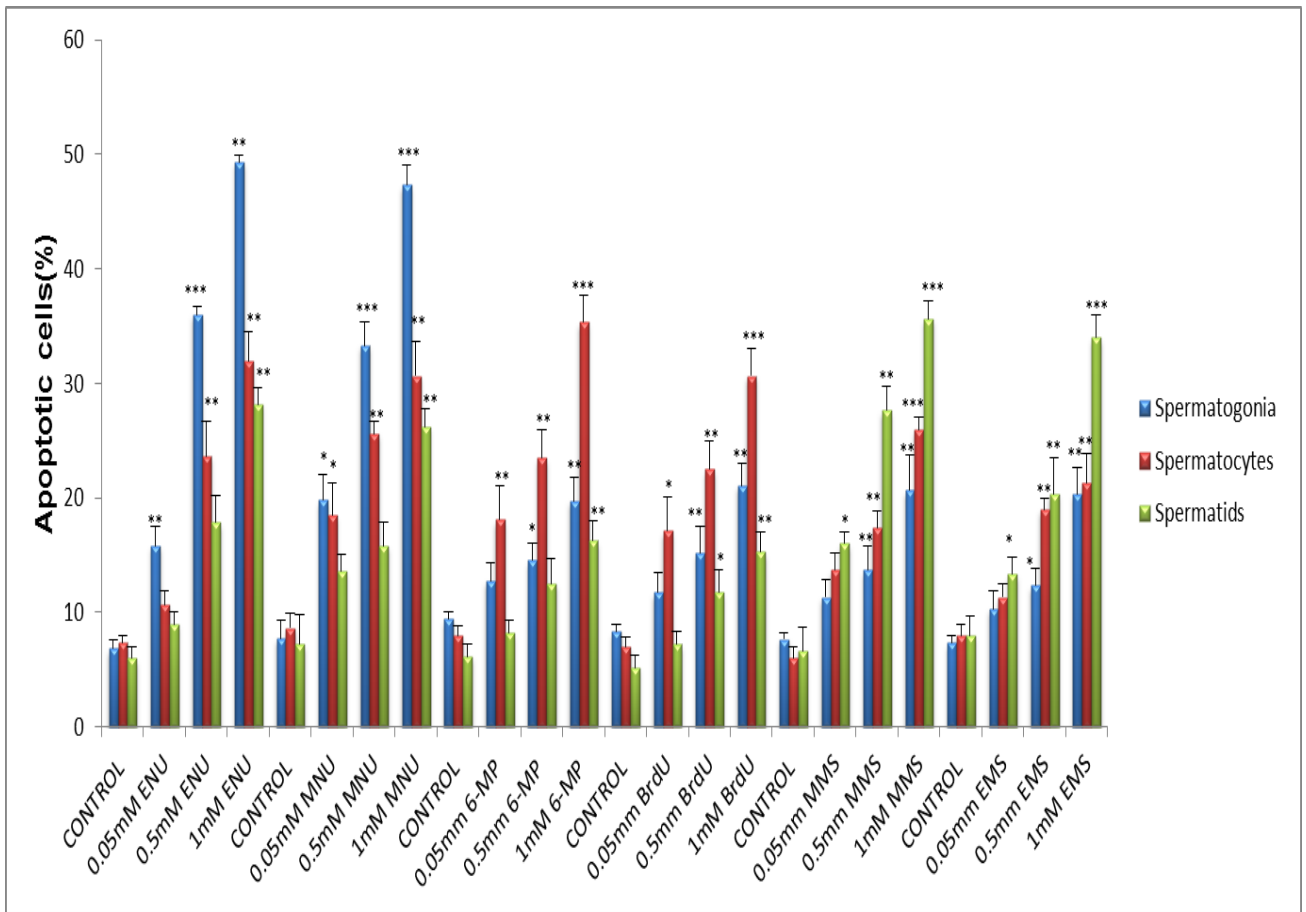
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Figure 3

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Figure 4