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Abstract

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Keywords

general agent, self-organizing memory, universal representation, belief update and revision, non-positively curved cubical complex, weak poc set

Disciplines

Artificial Intelligence and Robotics

Universal Memory Architectures for Autonomous Machines

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Abstract

We propose a self-organizing memory architecture (UMA) for perceptual experience provably capable of supporting autonomous learning and goal-directed problem solving in the absence of any prior information about the agent's environment. The architecture is simple enough to ensure (1) a quadratic bound (in the number of available sensors) on space requirements, and (2) a quadratic bound on the time-complexity of the update-execute cycle. At the same time, it is sufficiently complex to provide the agent with an internal representation which is (3) minimal among all representations which account for every sensory equivalence class consistent with the agent's belief state; (4) capable, in principle, of recovering a topological model of the problem space; and (5) learnable with arbitrary precision through a random application of the available actions. These provable properties — both the trainability and the operational efficacy of an effectively trained memory structure — exploit a duality between *weak poc sets* — a symbolic (discrete) representation of subset nesting relations — and *non-positively curved cubical complexes*, whose rich convexity theory underlies the planning cycle of the proposed architecture.

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1 1. Introduction

² 1.1. Motivation

A major obstacle to autonomous systems synthesis is the absence of a capacious but 3 efficient memory architecture. In humans, memory influences behaviour over a wide range of time scales, leading to the emergence of what seems to be a functional hierarchy of sub-systems [80]: from non-declarative vs. declarative through the split of declarative 6 memory into semantic and episodic [93]; and on to theories of attention and recall [3]. 7 This variety of scales is mirrored in the collection of problems addressed by the synthetic 8 sciences: from learning dependable actions/motion primitives [57, 86]; through learning 9 objects and their affordances [42, 38] to demonstration-driven task execution [84, 13]; 10 through exploring and mapping an unknown environment (SLAM) [47, 43, 88, 55] and 11

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motion planning [75, 69, 24]; and on to general problem solving [61] using artificial general
 intelligence architectures [46, 27, 63].

One idea stands out as common to all these approaches, beginning with the formal 14 notion of a problem space introduced by Newell and Simon [61, 59]: the purpose of 15 a memory architecture is to learn the transition structure (however deep) of the state 16 space \mathbf{X} of the system comprised of the agent and its environment \mathbf{E} while processing 17 the history of observations into a very general model M which facilitates future control 18 even in the face of fairly radical changes in the environment. It is often argued (e.g. 19 20 [14, 81, 53]) that memory architectures for general agents should enjoy a high degree of domain- and task-independence. However, clear definitions of notions such as 'domain' 21 and 'task' are not readily forthcoming across the vast breadth of literatures discussing 22 memory, agents and autonomy. Notions of 'universal learners' have been proposed [73] 23 24 based on optimizing gain in estimators of predictive entropy ('curiosity surfing'), but there is also evidence to suggest that the resulting generality may still be insufficient for 25 learning and retaining commonly considered highly repetitive tasks such as locomotion 26 [51].27

Absent broadly recognized formal foundations, we return to the most literal representation of information to study how perceptual bits might give rise to self-organizing internal representations capable of facilitating efficient control.

We introduce and characterize a very general class of representations supported by 31 an architecture provably satisfying intuitive universality properties, including, most cen-32 trally: (1) interactions with the environment are encoded in the most generic, yet min-33 imal, manner possible, while requiring no prior semantic information; and (2) learning 34 obtains from direct binary sensory input, automatically developing appropriate contex-35 tual links between sensations of arbitrary modality. A key improvement over state of the 36 art architectures is that an UMA provably encodes observation history in a geometry, or 37 model space, whose convexity theory allows the agent's problem solving to take the form 38 of reactive motion planning realized through following nearest point projection paths to 39 the designated target. 40

⁴¹ 1.2. Contributions and Challenges

We consider a generic discrete binary agent (DBA): a machine sensing and interacting with its environment in discrete time, equipped with a finite collection Σ of Booleanvalued sensors, some of which serve as triggers for actions/behaviors (switched on and off at will). Our formalism for a DBA may be viewed as a PSR [48] stripped of all probabilistic data. In that, it most resembles a discrete-time, non-deterministic version of a diversity automaton [70] allowing for an infinite/continuous environment. However, the internal representation developed by the agent differs significantly.

Given an instance of a DBA interacting with an environment \mathbf{E} , it is natural to view 49 the set Ξ of perceptual classes of the associated dynamical system X as a subset of 50 the power set $\{0,1\}^{\Sigma}$. It has been proposed [22, 91] that a memory architecture must 51 be capable of supporting an internal representation \mathbf{M} rich enough to account for the 52 diversity [70] of **X**: Exact problem solving, when construed as abstract motion planning, 53 requires an internal representation capable, eventually, of accounting for all the classes in 54 Ξ and the transitions between them. Unfortunately, as expressed forcefully in [70] and as 55 we review below, the task of obtaining an exact description of Ξ becomes intractable in 56 the absence of strong simplifying assumptions about \mathbf{X} , as the number of sensors grows. 57

To circumvent this obstacle, rather than imposing any specific structure on \mathbf{X} , we propose to relax the requirement for precise reconstruction by introducing an approximation whose discrepancy from Ξ we characterize exactly and show to be the smallest possible given the information recorded by the agent.

⁶² The new memory and control architecture we propose here consists of two layers:

- A data structure **S** called a *snapshot* keeping track of the current state and summarizing observations in terms of a collection of real-valued registers, of size quadratic in the number of sensors, summarizing the history of observations made by the agents.
- A reactive planner, built on a *weak poc set structure* **P** ([31, 71] and defn. 3.3) constituting a record of pairwise implications among the atomic sensations as observed by the agent; **P** is computed from **S** in each control cycle.

⁷⁰ A crucial property of our architecture is that **P** and **M** are formally reconstructible from ⁷¹ each other. The model space **M** takes the form of a CAT(0) cubical complex, or *cubing*¹, ⁷² whose 0-skeleton is contained in $\{0,1\}^{\Sigma}$. As the snapshot **S** is updated by incoming ⁷³ observations, the space **M**, as encoded by **P**, is transformed along with it. We can state ⁷⁴ our main contributions – albeit, necessarily, informally at this point – in terms of provable ⁷⁵ properties of the architecture and its model spaces:

- (i) Universality of Representation. M is the minimal model guaranteed to represent all the perceptual classes of *any* sensorium Σ satisfying the record P (Section 3.3, Theorem 3.15). In particular, given only the information encoded in P, it is impossible to distinguish the 0-skeleton of M from the set of perceptual classes, Ξ .
- (ii) Topological Approximation. As a topological space, \mathbf{M} is always contractible². Provided a sufficiently rich sensorium, the sub-complex $\mathbf{M}^{\times} \subset \mathbf{M}$ of faces all of whose vertices lie in Ξ inherits from \mathbf{M} the topology³ of the observed space \mathbf{X} (Section 3.4, Theorem 3.19).
- (iii) Low-complexity, Effective Learning. The proposed architecture requires
 quadratic space (in the number of sensors) for storage, and no more than quadratic
 time for updating. Furthermore, an agent picking actions at random learns an
 approximation of the resulting walk's limiting distribution on X (see 4.4.1).
- (iv) Efficiency of Planning. Planning the next action given a target sensation takes
 quadratic time in the number of sensors, while eliminating the need for searching
 in the model space. With sufficient parallel processing power, this bound may be
 reduced to a constant multiple of the height the maximum length of a chain of
 implications of the record P (see 5.2).

¹For a good introduction CAT(0) cubical complexes, see [96]. For a tutorial on cell complexes see [33], chapter 0 and appendix.

²The formal notion of being 'hole-free' — see [33], chapter 0.

 $^{^{3}}$ Up to homotopy equivalence — see definition in [33], chapter 0.

We note that implementing UMA on a truly parallel neural architecture featuring an appropriately modified Drescher "neural crossbar" [23], will reduce maintenance costs to O(1) and planning costs to sub-linear in the number of sensors. To the best of our knowledge, this combination of provable properties has not previously appeared in the literature.

⁹⁹ Caveats. It is crucial to remark here that, at this early stage, the reasoning capabilities of UMAs are limited by the following factors:

(a) The computational advantages of UMAs come at a significant cost, driven largely by the topological complexity introduced into the problem by the set of *essential obstacles*⁴, $\mathbf{M} \setminus \mathbf{M}^{\times}$. The points of this set serve as obstructions to an UMA's reactive planning mechanism which capitalizes mainly on the contractibility of the model space (see examples in Section 5.3).

(b) More generally, the lack of a principled mechanism for formulating strategically parsimonious new queries out of the available ones (e.g., through the formation of Boolean and LTL predicates) prevents agents from improving the resolution of the constructed model.

Consequently, at present, we only consider a narrow class of 'toy' examples in which the essential obstacles do not act as obstructions to the agent's planning mechanism in the context of a particular task. Of course, this contradicts the advocated goal of achieving generality in a synthetic agent. We discuss directions in our ongoing research meant to address this problem in Section 7.

115 1.3. Relation to Past Literature

116 1.3.1. Learning and Problem Solving as Abstracted Mapping and Navigation

Our point of departure is a general reduction of any discrete time problem (in the 117 sense of Newell and Simon [61]) to a navigation and mapping problem of a point agent 118 moving through a homotopically trivial ambient space, the model space M, while avoiding 119 a collection of obstacle regions corresponding to forbidden states, $\mathbf{M} \smallsetminus \mathbf{M}^{\times}$, as stated 120 in (i) and (ii) above. Problems of this kind are fundamental to motion planning [75, 121 69] and mapping [47, 88, 66]. The ubiquity of obstacles in these settings introduces 122 topological considerations whose primacy is well established in the algorithmic literature 123 [66, 90, 44, 65, 92, 19], governing the complexity of not only motion planning [25] but 124 even set membership [97]. The topological point of view has been shown to be well 125 warranted in the discrete setting as well [64, 29, 40], and compatible with current ideas 126 regarding localization based on estimating the nerve⁵ of a system of place fields [17, 55,127 53, 54]. Moreover, the idea of leveraging containment relations among sensor fields -128 the information used for encoding the model \mathbf{M} — to represent causal and contextual 129 information is a well-recognized tool across literatures, e.g. [66, 81, 57, 56], UMAs simply 130 being the first to apply it uniformly and systematically to the agent's entire sensorium, 131 regardless of modality, again see (i) above. 132

 $^{{}^{4}}$ As in the classical setting of navigation and planning problems [75, 69], computational complexity is driven by homotopy invariants of the problem domain [25].

⁵See nerve of a covering in [33], section 3.3.

133 1.3.2. Reinforcement Learning (RL) and Predictive State Representations (PSRs)

Similarly to the classical mapping and planning settings, the necessity to maintain and 134 explore high-dimensional representations of the dynamics of \mathbf{X} poses a major challenge for 135 all current approaches (e.g. POMDP, SMDP) to RL [6, 7]. Modern ideas on constructing 136 more compact representations — e.g. "object-focused" [14] and limited temporal horizon 137 [56] — can be traced back to Rivest and Schapire [70], who proposed to replace the 138 orthodox approach based on direct exploration of **X** with an approach based on learning 139 the dynamics (e.g. "diversity automaton" structure) induced by the agent's actions on 140 Ξ , as sensed by the agent through a collection of binary 'tests'. 141

This line of thought also germinated the notion of a predictive state representation, 142 or PSR [48]. A far-reaching generalization of POMDPs [79], a PSR is a high-order prob-143 abilistic model of the dynamics in Ξ (as opposed to an automaton), and much effort was 144 invested in learning linear approximations of PSRs. For example, [8] demonstrates an 145 impressive level of generality, with the agent reasoning about motion in a continuous, 146 topologically non-trivial environment (an annulus), based only on simulated visual snap-147 shots of the environment, without feature extraction. Still, the savings in representation 148 costs obtained in this way, though significant, do not alter the very nature of the represen-149 tation, which, in the general case, still requires a high-dimensional database instantiated 150 in memory (which is not the case for UMAs — see contribution **iii**), with each individual 151 task requiring a search (value optimization) through the space of action sequences. This 152 is where such representations differ sharply from UMA representations, the latter inte-153 grating planning information directly into the geometry of the model space — see (iv) 154 above. 155

156 1.3.3. Cognitive Architectures (CAs)

On the cognitive AI front, the curse of dimensionality led to a state of affairs where, 157 typically, representations with guaranteed tractable performance come at the expense 158 of generality, whereas the truly general architectures we know of eschew rigorous per-159 formance guarantees [34, 82], relying instead on *functional* modeling of problem-solving 160 processes in the human brain [1] from a "systems perspective", as proposed by Newell [58]. 161 The approaches range from "constructionist" hierarchical [30] architectures (GPS [60], 162 SOAR [45], ACT-R [2], LIDA [27]), to "constructivist" architectures⁶ such as Drescher's 163 "Schema Mechanism" (SM) [23] or Rieger's [68] frames, aiming to achieve some of the 164 functions of a problem-solving CA as emergent properties of a self-organizing network of 165 simple, low-level computational components. 166

Of the above, Drescher's architecture SM is closest in spirit and structure to UMAs, 167 but stops short of presenting a mathematical toolkit enabling a rigorous discussion of 168 the architecture's capabilities. While currently somewhat ahead of UMAs in terms of its 169 capacity for principled introduction of new computational elements (see our caveat (b) 170 in Section 1.2 above), SM lacks an efficient navigation mechanism, as its model of the 171 agent's interactions with the environment is, essentially, agglomerative. The fundamental 172 building blocks of the two architectures being closely related (UMA is based on estimation 173 of reliable implications, while SM is based on estimation of reliable causal descriptions 174

 $^{^{6}\}mathrm{Here}$ we make use of terms Thorisson used in his criticism [87] of the approach to cognitive architectures prevalent at the time.

of actions⁷), it is one of our goals to seek the development of a "common refinement" of the two (see discussion in Section 7).

177 1.3.4. Belief Update and Revision, Situation Calculus

An UMA agent may be thought of as reasoning over a set of literals, one for each 178 Boolean query from the agent's sensorium Σ (which is assumed closed under Boolean 179 complementation), while continually updating its belief state, represented by (1) a col-180 lection of formulae — the weak poc-set structure \mathbf{P} — of the form $a \to b, a, b \in \Sigma$, and 181 by (2) a term over Σ describing the current state of the world. The restricted nature 182 of this representation precludes applying the generally accepted updating/revision oper-183 ators [39, 9, 35, 50, 89] to P, motivating our use of snapshots: the latter keep track of 184 observation statistics and maintain a flexible Boolean network that encodes a belief state 185 of the required form, facilitating internal deliberation based on the encoded belief. 186

Thus, the rigidity of belief state representation in UMAs is offset by the computational efficiency of the updating mechanism and the planning cycle, — see (iii) and (iv) above — exposing a rigorous mathematical connection between low-level connectionist computation and high-level symbolic problem solving.

191 1.4. Organization of the Paper

Section 2 formalizes the notion of a DBA, which may be seen as an non-deterministic 192 abstraction of a PSR. Section 3 reviews weak poc set structures and the model spaces 193 they encode, anticipating some of their basic uses by an UMA agent, including its formal 194 properties expressed in contributions (i) and (ii) above. Additional technical details 195 regarding weak poc sets are relegated to Appendix 8 for the sake of completeness of 196 the exposition. Section 4 addresses contribution (iii), characterizing the properties of 197 a family of snapshots sufficient for learning. Section 5 is dedicated to planning and 198 control (iv), interpreting their algorithmic expression in terms of the geometry of convex 199 sets in the model space. Proofs are relegated to Appendix 9 (that also offers a table of 200 mathematical notation). Section 6 discusses the results of a variety of simulation studies. 201 Finally, in Section 7 we offer a brief conclusion with a summary of forthcoming work now 202 in progress. 203

204 2. Discrete Binary Agents

In this section we review and extend Sageev-Roller duality in parallel with the development of the notion of a *discrete binary agent*, or DBA. This overview of the preliminary meterial is meant to extend the initial discussion provided in [31] as well as to illustrate it with examples, intended as bridges to our current application. In keeping with tradition, we will develop a running example illustrating the various formal constructions.

This work hinges on a duality between poc sets and median algebras, going back to [36]. This duality was thoroughly studied by Martin Roller in [71], in a very successful attempt at constructing a rich and widely applicable theory of actions of discrete groups on simply connected non-positively curved cubical complexes — henceforth referred to as *cubings* — which was pioneered by Michah Sageev in [72]. In the end, an extension of

⁷Also known as "production rules" [45] and "contingencies", see [57].

this duality theory to *weak poc sets* will be called upon to provide the necessary formal guarantees that the proposed memory and control architectures actually do their job. We will mainly rely on [71] as a source of theoretical results, though sometimes it will be easier to use results from the elegant exposition in [62].

219 2.1. Environment and State

We place an agent in an environment **E**. The state space of the system will be denoted by **X**, where we assume there is a map $pos : \mathbf{X} \to \mathbf{E}$, unknown to the agent, producing the location pos(x) of the agent in **E**, given the state $x \in \mathbf{X}$ of the system as a whole. No further restrictions are placed on **E** or **X**. Time **T** is modeled as the set of integers (the subjective time of the agent), with t = 0 corresponding to the initial time.

Definition 2.1. An element of the (n+1)-fold Cartesian power \mathbf{X}^{n+1} is an *n*-transition. A map $\varphi = (\varphi|_t)_{t \in \mathbb{T}}$ from \mathbb{T} to \mathbf{X} is called a *trajectory through* \mathbf{X} , and gives rise to a trajectory $d^n \varphi$ through \mathbf{X}^{n+1} via $d^n \varphi|_t = \varphi|_{t-n} \times \cdots \times \varphi|_t$. 0-transitions are *states*, and to 1-transitions are just *transitions*.

With a mind toward inviting the broadest range of applications, we impose no additional requirements on either **X** or **E** at this point, much in the spirit of the way situation space is introduced in [52].

232 2.2. Binary Sensorium

We seek a language for discussing situated general agents observing their environment through binary input streams, or *sensors*. We start with:

Definition 2.2. A complemented set is a pair (A, *) where A is a non-empty set equipped with a self-map $a \mapsto a^*$, satisfying $a^{**} = a$ and $a^* \neq a$, for all $a \in A$.

²³⁷ Complemented sets provide the scaffolding for our formal notion of a *sensorium*, the
 ²³⁸ sensory suite provided to an agent.

Definition 2.3. A binary sensorium (hereafter simply sensorium) is a tuple $(\Sigma, *, 0, \rho)$ where $(\Sigma, *)$ is a complemented set with a distinguished element 0, and each $a \in \Sigma$ is assigned a non-negative integer order n_a , and a realization $\rho(a) \subseteq X^{n_a+1}$ such that:

242 1. $n_0 = 0$ and $\rho(0) = \emptyset$;

243 2. $\mathbf{n}_{a^*} = \mathbf{n}_a$ and $\rho(a^*) = \rho(a)^c := \mathbf{X}^{n_a+1} \smallsetminus \rho(a)$ for all $a \in \mathbf{\Sigma}$.

We refer to each $a \in \Sigma$ as a n_a-sensor. For $A \subseteq \Sigma$ we also denote $A^* := \{a^* | a \in A\}$ and, when relevant, $\rho_A := \bigcap_{a \in A} \rho(a)$.

²⁴⁶ In other words, sensors are *evaluated* according to the rule:

Definition 2.4. Let $(\Sigma, *, \mathbf{0}, \rho)$ be a sensorium. For $a \in \Sigma$, the value $\langle a : \varphi \rangle \in \{0, 1\}$ of *a* on a trajectory φ at time $t \in \mathbb{T}$ is defined by $\langle a : \varphi \rangle |_t = 1 \Leftrightarrow d^{n_a} \varphi |_t \in \rho(a)$.

Remark 2.5 (Notational conventions for evaluation). To avoid a proliferation of parentheses we will use the bracket notation $\langle g:s \rangle := g(s)$ to denote the evaluation of Booleanand scalar-valued functions. We will often abuse notation and write $\langle a:x \rangle := \langle \mathbb{1}_{\rho(a)}:x \rangle$ when $a \in \Sigma$ and $x \in \mathbf{X}^{n_a+1}$. The symbol $\mathbb{1}_A$ will denote the indicator function of a set Awith respect to the appropriate super-set. Also, note how the identity $\langle a^*:x \rangle \equiv 1-\langle a:x \rangle$ follows from $\rho(a^*) \equiv \rho(a)^c$; any Boolean function f (on any set) has a "complement" f^* defined through $\langle f^*:x \rangle = 1 - \langle f:x \rangle$. 256 2.3. Binary Observations

At any time $t \ge 0$, a sensorium $(\Sigma, *, 0, \rho)$ is assumed to produce an observation:

Definition 2.6. The unprocessed observation at time t along a trajectory φ is the set $O|_t := \{a \in \Sigma \mid \langle a : \varphi \rangle \mid_t = 1\}.$

260 More generally, we need the following notions:

Definition 2.7. Let $(\Sigma, *)$ be a complemented set. A *-selection on Σ is a subset $O \subset \Sigma$ satisfying $O \cap O^* = \emptyset$. A *-selection O is complete⁸ if $O \cup O^* = \Sigma$. In anticipation of definition 2.9, the set of all complete *-selections on Σ will be denoted $S(\Sigma)^0$.

Clearly, for a sensorium $(\Sigma, *, 0, \rho)$, the unprocessed observation $O|_t$ is a complete *-selection on Σ . It is time to introduce our running example.

Example 2.8. Setting $\mathbf{E} = \{0, \dots, L\}$, *L* a positive integer, endow an agent with position sensors $a_1, \dots, a_L \in \Sigma$ realized as:

$$\langle a_k : x \rangle = 1 \Leftrightarrow \mathsf{pos}(x) < k, \quad \langle a_\ell^* : x \rangle = 1 \Leftrightarrow \mathsf{pos}(x) \ge \ell$$
 (1)

Given a trajectory φ for the agent with $p = pos(\varphi|_t)$ we must then have:

$$O|_{t} \cap \{a_{1}, \dots, a_{L}, a_{1}^{*}, \dots, a_{L}^{*}\} = \{a_{k} | k > p\} \cup \{a_{k}^{*} | k \le p\}$$
(2)

 $_{269}$ We will keep expanding the sensory endowment of this agent in future examples.

It is well-known [62] that the following is a metric (i.e. distance function) on $S(\Sigma)^0$:

$$\Delta(A,B) = |A \smallsetminus B| = |B \smallsetminus A| = \frac{1}{2} |A \bigtriangleup B| \tag{3}$$

Indeed, fixing $A_0 \in S(\Sigma)^0$, an explicit isometry of the metric space $(S(\Sigma)^0, \Delta)$ onto 2^{72} 2^{A_0} endowed with the Hamming distance is constructed by sending $A \in S(\Sigma)^0$ to the indicator function of the] set $A_0 \smallsetminus A$. We then see that $S(\Sigma)^0$ may be thought of as the vertex set, or 0-skeleton, of a $(\frac{|\Sigma|}{2} - 1)$ -dimensional standard unit cube; the edges of this cube, forming its 1-skeleton, are pairs A, B of vertices with $\Delta(A, B) = 1$; the higher dimensional faces are given by:

Definition 2.9. Let $S(\Sigma)$ denote the cubical complex⁹ whose vertices are the complete *-selections on Σ and with faces F_A defined as follows: for any *-selection A on Σ , possibly incomplete, F_A is the set of all complete *-selections which, as subsets of Σ , contain A.

It is easy to verify that for any $0 \le d \le \frac{|\Sigma|}{2} - 1$, the *d*-dimensional faces of $S(\Sigma)$ are in one-to-one correspondence with *-selections $A \subset \Sigma$ satisfying $2(|A| + d) = |\Sigma|$.

⁸This is identical to the notion of a *term* (respectively, a *complete term*), when Σ is viewed as the set of literals used to maintain the belief state of the agent, see e.g. [50].

⁹Please see [41], Chapter 2, for a very quick introduction to polyhedral complexes.

283 2.4. Action Model and definition of a DBA

We model the decisions available to our agents as transition sensors (1-sensors, see Def. 2.3). Transitions have *outcomes*:

Definition 2.10. Let $(\Sigma, *, \mathbf{0}, \rho)$ be a sensorium and let $A \subset \Sigma$ be a set of transition sensors. The set of outcomes of A is defined to be $\mathsf{out}_A(x) := \{y \in \mathbf{X} | x \times y \in \rho_A\}$ (see Def. 2.3).

Embedding decisions in the sensorium reflects the viewpoint that (1) an action taken at a state $x \in \mathbf{X}$ may be seen as imposing a time-independent restriction on the set of states the system could enter in the following moment, and (2) the agent is capable of observing its own decisions as they are being invoked. This leads to the following formal and very broad definition of an agent (compare with Sec. 3 of [70]):

²⁹⁴ **Definition 2.11.** A discrete binary agent is a tuple $(\mathbf{X}, \mathbf{E}, \mathsf{pos}, \Sigma, *, \mathbf{0}, \rho, \Sigma_{act})$ such that ²⁹⁵ $(\Sigma, *, \mathbf{0}, \rho)$ is a sensorium on \mathbf{X} and $\Sigma_{act} \subset \Sigma$ satisfies the following requirements:

(a) Actions are binary. $\Sigma_{act} \cap \Sigma_{act}^* = \emptyset$, and denote $Act := \Sigma_{act} \cup \Sigma_{act}^* \cup \{0, 0^*\}$. Note that Act is itself a sensorium.

(b) Every action has outcomes. For all $x \in \mathbf{X}$ and any complete *-selection A on Act, the set $\operatorname{out}_A(x)$ is non-empty.

In summary, a DBA occupying the state $x \in \mathbf{X}$ at time t makes an observation $O = O|_t \in S(\mathbf{\Sigma})^0$, and is then tasked with producing a decision encoded as $A|_t \in S(\mathbf{Act})^0$. The agent's decision imposes the constraint $A|_t \subseteq O|_{t+1}$ on the next state of the system.

Remark 2.12. Our model departs from the ubiquitous practice of including possible 303 state-dependent restrictions on the executability of actions — see e.g. [24, 83, 35]. Here 304 we interpret actions as mere control signals sent by the agent's 'mind' to the agent's 305 'body' in an attempt to invoke one or more of a fixed set of available behaviors. The 306 signals may be sent — and will therefore appear in the next observation — regardless of 307 whether or not they result in producing a meaningful interaction with the environment.¹⁰ 308 The 'mind' should be tasked with identifying, over time, whether or not a control signal 309 produces meaningful outcomes. 310

Example 2.13. Continuing Example 2.8, provide the agent with the elementary actions enabling motion from any vertex $k \in \mathbf{E}$ to the adjacent k + 1 using $\{\mathtt{fd}, \mathtt{bk}^*\}$, and to k - 1 using $\{\mathtt{fd}^*, \mathtt{bk}\}$; standing still corresponds to $\{\mathtt{fd}^*, \mathtt{bk}^*\}$:

$$y \in fd(x) \iff pos(y) = \min\{L, pos(x) + 1\} \lor (pos(y) = pos(x) \land flt(y) = 1)$$

$$y \in bk(x) \iff pos(y) = \max\{0, pos(x) - 1\} \lor (pos(y) = pos(x) \land flt(y) = 1)$$

where $flt(x) \in \{0, 1\}$ is an auxiliary state variable whose existence is necessitated by the requirements of Defn. 2.11(b). In addition to the necessary expansion of **X**, its function

 $^{^{10}}$ It is easy to imagine more restrictive settings, where engaging in one set of elementary actions might preclude an agent from engaging in others (in fact, the example we consider is one such natural setting). While our formalism in this general case will have to be amended, our impression is that *at present* there is little to be gained in practice from extending it — see related discussion in Sec. 5.1.

is to declare a "fault" following any attempt to invoke the action $\{fd, bk\}$; note that no tangible outcome arises for this action: we did not even provide the agent with a sensor reporting the value of flt(x). It is critical to see though, that such synthetic augmentations of **X** are only required in simulated scenarios: for any robotic agent in a physical environment, physics mandates (and creates) outcomes in all contexts.

318 3. Overview: Memory Architecture and Model Spaces

319 3.1. Perceptual Classes

Since a sensorium $(\Sigma, *, \mathbf{0}, \rho)$ may contain sensors of different orders, we need to formalize the notion of a perceptual class with some care. Set $N = \max_{a \in \Sigma} n_a$. Then, for any $a \in \Sigma$ consider the set $\tilde{\rho}(a) := \{x \times y | y \in \rho(a), x \in \mathbf{X}^{N+1-n_a}\}$. This gives rise to a new sensorium $(\Sigma, *, \mathbf{0}, \tilde{\rho})$ where (1) all $a \in \Sigma$ have the same order N, and (2) the value of a on any trajectory φ at any time t coincides with its value as given by the original sensorium.

Definition 3.1. Let $(\Sigma, *, \mathbf{0}, \rho)$ be a sensorium and let $\tilde{\rho}$ be as above. The map ρ^* : $\mathbf{X}^{N+1} \to S(\Sigma)^0$ is then defined by $\mathbf{x} \mapsto \{\mathbf{a} \in \Sigma | \mathbf{x} \in \tilde{\rho}(\mathbf{a})\}$, and its fibers¹¹ are referred to as the *perceptual classes* of the sensorium (compare with [22]).

From the point of view of a DBA, the system is only observable through the map ρ^* , and the agent is only able to reason over the perceptual classes in their symbolic form, as observations belonging to the image of ρ^* :

Definition 3.2. Let $(\Sigma, *, 0, \rho)$ be a sensorium. We define Ξ_{ρ} to be the image of ρ^* . \Box

333 3.2. An Approximate Record of Implications: Weak Poc Sets

Informally, by a "record of implications in Σ " we mean a partial ordering on Σ intended to serve as the agent's belief regarding Boolean implications holding among the sensations and their complements. Formally, let us recall a definition from [31]:

Definition 3.3. A weak poc set is a tuple $\mathbf{P} = (\Sigma, \leq, \mathbf{0}, *)$ where $(\Sigma, *)$ is a complemented set and (Σ, \leq) is a poset with minimum $\mathbf{0} \in \Sigma$, with the two structures connected by the requirement that $a \leq b \Rightarrow b^* \leq a^*$ for all $a, b \in \Sigma$.

Remark 3.4 (Notation for Weak Poc Sets). For **P** as above we will often write $a \in \mathbf{P}$ meaning $a \in \Sigma$, by abuse of notation. Furthermore, for $A \subseteq \Sigma$ we will use the notation

$$A \uparrow = \bigcup_{a \in A} \{ b \in \mathbf{\Sigma} \mid b \ge a \} , \quad A \downarrow = \bigcup_{a \in A} \{ b \in \mathbf{\Sigma} \mid b \le a \} .$$

$$\tag{4}$$

³⁴² Where always $A^* \uparrow = A \downarrow^*$ and $A^* \downarrow = A \uparrow^*$, due to the order-reversal property of *.

We would like our agents to maintain their belief in the form of a weak poc set structure $\mathbf{P}|_t$ over the sensorium $(\mathbf{\Sigma}, *, \mathbf{0}, \rho)$. As the map ρ is unknown to the agent, we intend for the agent to interpret a relation of the form $a \leq b$ in $\mathbf{P}|_t$ as $\langle a : \varphi \rangle|_{t'} \leq \langle b : \varphi \rangle|_{t'}$ holding for all $t' \in \mathbb{T}$ and all trajectories φ . Back to our running example:

¹¹That is, the point-preimages of ρ^* .

Example 3.5. Continuing example 2.13, it would make sense for our agent to learn the relations $a_k < a_{k+1}$ for $k \le L-1$ ("standing to the left of position k implies standing to the left of k + 1"), as they provide information about the geometric structure of **E**, seen as a discretized interval. The same applies to the relations $\mathbf{fd} < a_1^*$ and $\mathbf{bk} < a_L$ which specify the special role of the endpoints $0, L \in \mathbf{E}$ with respect to the available actions.

³⁵² Some additional terminology will be useful:

Definition 3.6. In a weak poc set \mathbf{P} , an element $a \in \mathbf{P}$ is said to be *negligible* if $a \leq a^*$; *a* is *proper* if neither *a* nor a^* are negligible. If **0** is the only negligible element, then *P* is said to be a *(true) poc set*.

Weak poc sets form a category¹², with the following notion of map, or *morphism*:

Definition 3.7. A function $f : \mathbf{P} \to \mathbf{Q}$ between two weak poc sets is a *poc morphism* if $f(\mathbf{0}) = \mathbf{0}$ and $f(a^*) = f(a)^*$, $a \leq b \Rightarrow f(a) \leq f(b)$ are satisfied for all $a, b \in \mathbf{P}$. The set of all poc morphisms as above will be denoted $\operatorname{Hom}(P, Q)$.

Example 3.8 (The Minimal Weak Poc Set). The set $\{0, 1\}$ with the relations 0 < 1 and $1 = 0^*$ is a poc set, and it is denoted by **2**. Clearly, there is only one poc morphism of **2** into any weak poc set P, but then there may be many poc morphisms of a weak poc set P onto **2**.

Example 3.9 (The Orthogonal Poc Set). Any complemented set $(\Sigma, *)$ with distinguished element 0 gives rise to a poc set with minimum 0 and where no two elements in $\Sigma \setminus \{0, 0^*\}$ are comparable.

Example 3.10 (σ -Algebras as poc sets). Let \mathscr{B} be a σ -algebra on a non-empty (possibly infinite) set \mathbf{X} . Then $(\mathscr{B}, \subseteq, F \mapsto \mathbf{X} \smallsetminus F)$ is a poc set. In particular, the power set of \mathbf{X} , denoted $\mathbf{2}^{\mathbf{X}}$, obtains the structure of a poc set in this way. It is standard to identify $\mathbf{2}^{\mathbf{X}}$ with the space of functions $f: \mathbf{X} \to \mathbf{2}$: any such f will be identified with the subset $f^{-1}(1) \in \mathbf{2}^{\mathbf{X}}$. Recalling our notation for the evaluation of functions, the order structure on $\mathbf{2}^{\mathbf{X}}$ may be written as $f \leq g \Leftrightarrow \forall_{x \in \mathbf{X}} \langle f: x \rangle \leq \langle g: x \rangle \Leftrightarrow fg = f$. Also, $\mathbf{2}^{\mathbf{X}}$ is a true poc set, that is: $\mathbf{2}^{\mathbf{X}}$ contains no negligible elements save for the zero function $\mathbf{0}$.

Deferring additional examples we briefly turn to an important relationship between weak poc sets, true poc sets and the learning goals of DBAs:

Definition 3.11. Let \mathbf{P} be a weak poc set and let \mathbf{X} be a non-empty set. A *realization* of \mathbf{P} in \mathbf{X} is a poc morphism of \mathbf{P} into $\mathbf{2}^{\mathbf{X}}$.

A realization $r: \mathbf{P} \to \mathbf{2}^{\mathbf{X}}$ provides a consistent way of regarding each $a \in \mathbf{P}$ as a 378 binary query over **X**, so that the set of all $x \in \mathbf{X}$ with $\langle r(a) : x \rangle = 1$ is the set of all 379 points where the question is answered affirmatively. Thus, given a DBA with sensorium 380 $(\Sigma, *, 0, \rho)$, one way for the DBA to obtain a useful representation of the unknown sets 381 $\rho(a), a \in \Sigma$, is to make use of the observations $O|_s, 0 \leq s \leq t$ for evolving a weak 382 poc set structure $\mathbf{P}|_t$ over $(\mathbf{\Sigma}, *, \mathbf{0})$ — possibly beginning with $\mathbf{P}|_0$ as the orthogonal 383 poc set structure¹³ — such that $\mathbf{P}|_t$ is as rich as possible and such that the extended 384 map $\tilde{\rho}$ of Section 3.1 comes as close as possible to being a realization of \mathbf{P}_{t} in \mathbf{X}^{N+1} , 385 as t progresses. This is the Learning Objective of an UMA agent, which we further 386 substantiate in the next section. 387

 $^{^{12}\}mathrm{See}$ [41], chapter 4, for a quick reference on the elements and basic uses of Category Theory.

¹³Note that any map of the orthogonal poc set into $\mathbf{2}^{Z}$ is a realization, for any Z



Figure 1: (left) A simple poc set **P** over the complemented set $\Sigma = \{0, 0^*, a, a^*, b, b^*, c, c^*\}$ and the resulting cube complex Cube(**P**) (center), obtained by deleting all incoherent vertices from the cube $S(\Sigma)$ (right).

388 3.3. Model Spaces and Universality

Similarly to the situation in propositional belief updating, we would like a DBA with 389 sensorium $(\Sigma, *, 0, \rho)$ to reason over the collection $S(\Sigma)^0$ of all complete *-selections on 390 Σ . However, instead of a "possible worlds" interpretation, we see $S(\Sigma)^0$ as enumerating 301 the set Ξ_{ρ} of *possible* perceptual classes of the system. Clearly, it is to the advantage 392 of a DBA with this sensorium to be aware which $O \in S(\Sigma)^0$ are inconsistent (in other 393 words, will never be observed). However, distilling an explicit list thereof may require 394 prohibitive amounts of storage (exponential in $|\Sigma|$), not to mention the computational 395 costs. We propose a tractable alternative based on the following construction, due to 396 Sageev [72] and Roller [71]: 397

Definition 3.12. Let $\mathbf{P} = (\Sigma, \leq, \mathbf{0}, *)$ be a finite poc set. A pair of elements $a, b \in \mathbf{P}$ is said to be *incoherent* if $a \leq b^*$. A subset A of a poc set \mathbf{P} is said to be *coherent* if it contains no incoherent pair¹⁴. Furthermore:

- (a) The dual¹⁵ cubing of P, denoted Cube(P), is the (cubical) sub-complex of $S(\Sigma)$ induced by the set of coherent vertices (see Figure 1);
- (b) The set dual P, denoted \mathbf{P}° , is the vertex set (or 0-skeleton) of Cube(P);

(c) The dual graph of P, denoted $Dual(\mathbf{P})$, is the union of the vertex and edge sets (or 1-skeleton) of $Cube(\mathbf{P})$.

Example 3.13. Let us set $\Sigma = \{0, 0^*, a_1, a_1^*, \dots, a_L, a_L^*\}$ with two different poc set structures, **P** and **Q**, defined by the relations $a_k < a_{k+1}, 1 \le k < L$ in **P** and $a_i < a_j^*$, $1 \le i < j \le L$ in **Q** (and the necessary consequences required by the axioms of a weak poc set). These may be regarded as abstractions of two sensoria constructed as follows. Let $p_1 < \dots < p_L$ in [0, 1] be points that are pairwise at least ϵ apart, $\epsilon > 0$. Then **P** may be realized by setting $\langle a_k : x \rangle = 1 \Leftrightarrow \mathsf{pos}(x) < p_k$ ("threshold sensors"), while **Q** may be realized, for example, by $\langle a_k : x \rangle = 1 \Leftrightarrow \mathsf{dist}(\mathsf{pos}(x), p_k) < \epsilon$ ("beacon sensors").

The vertices of $\text{Cube}(\mathbf{P})$ have the form $V_k = {\mathbf{0}^*} \cup {\{a_j^*\}_{j>k}} \cup {\{a_i\}_{i\geq k}}, 0 \leq k \leq L$, with an edge joining V_k to V_{k+1} for all k < L (recall that edges in $\text{Cube}(\mathbf{P})$ are edges

 $^{^{14}\}mathrm{We}$ have chosen the term $coherent\ subset$ over Roller's $filter\ base$ to better fit the context of our application.

¹⁵Appendix 8.2 discusses the category-theoretical context within which duality should be understood.



Figure 2: Dual graphs for two arrangements of sensors along the real line (see Example 3.13): 'threshold' sensors encoding a path (left), and 'beacon' sensors encoding a starfish (right).

of the cube $S(\mathbf{P})$). The complex $\text{Cube}(\mathbf{Q})$ has a different collection of vertices, dictated by the fact that all pairs $\{a_i, a_j\}$ with $i \neq j$ are incoherent: there is a 'special' vertex $V'_0 = \{\mathbf{0}^*, a_1^*, \dots, a_L^*\}$ and a collection of 'generic' ones, $V'_k = \{\mathbf{0}^*, a_k\} \cup \{a_j^*\}_{j \neq k}$; all the $V'_k, k > 0$, are adjacent to V'_0 , and no other pair of vertices are adjacent. Figure 2 shows Cube(P) (left), which is an *L*-path, and Cube(Q) (right), which we will refer to in the future as a *starfish*. Note how, of the two model spaces, Cube(P) seems to provide the better discretization of [0, 1].

⁴²² **Definition 3.14.** The model space $\mathbf{M}|_t$ maintained by an UMA agent is derived from ⁴²³ $\mathbf{P}|_t$ through $\mathbf{M}|_t := \text{Cube}(\mathbf{P}|_t)$.

At any time t, our agents will reach decisions based on the assumption that they are navigating in the space $\mathbf{M}|_t$. A compelling reason for choosing $\mathbf{P}|_t^{\circ}$ as the vertex set of our model is the following simple extension of an observation from [31]:

⁴²⁷ **Theorem 3.15** (Universality of Representation). Let **P** be a weak poc set structure on the complemented set $(\Sigma, *)$ with minimum element **0**. Then **P**° contains Ξ_{ρ} for any nonempty set **X** and any sensorium $(\Sigma, *, \mathbf{0}, \rho)$, provided the map $\tilde{\rho}$ (as defined in Section 3.1) is a realization of **P**. Moreover, no proper subset of **P**° has this property.

⁴³¹ The proof is a standard argument from Sageev-Roller duality theory:

Proof. Pick any point $\mathbf{x} \in \mathbf{X}^{N+1}$. By definition, $\xi = \rho^*(\mathbf{x})$ lies in \mathbf{P}° if and only if no a, $b \in \xi$ satisfy $a \leq b^*$ in \mathbf{P} . However, if $\tilde{\rho}$ is order-preserving and $a \leq b^*$ for $a, b \in \xi$ then $\tilde{\rho}(a) \cap \tilde{\rho}(b) = \emptyset$ and $\mathbf{x} \in \tilde{\rho}(a) \cap \tilde{\rho}(b)$ at the same time — contradiction.

Now, consider the space $\mathbf{X} = \mathbf{P}^{\circ}$ with $\rho : \mathbf{\Sigma} \to \mathbf{2}^{\mathbf{X}}$ given by $\rho(a) = \{U \in \mathbf{P}^{\circ} | a \in U\}$. It is easily verified that ρ is a poc morphism and that $\rho^* : \mathbf{X} \to \mathbf{P}^{\circ}$ is the identity map (and hence surjective), finishing the proof.

Thus, $\mathbf{P}|_{t}^{\circ}$ is the "least biased" and minimalist choice of structure representing the possible perceptual classes given the belief state $\mathbf{P}|_{t}$. The last theorem may also be restated as follows: Given \mathbf{P} and any realization ρ of \mathbf{P} , $\mathsf{Cube}(\mathbf{P})$ is the smallest cubical sub-complex of $S(\Sigma)^{0}$ accounting for all the perceptual classes of the sensorium, no matter the particular choice of \mathbf{X} or the particular realization ρ . In the case of an embodied agent¹⁶ this result — in fact, its proof — demonstrates how implications learned in

 $^{^{16}}$ See Ziemke [98] on the role of situatedness and embodiment in the emergence of radical constructivism in AI.



Figure 3: Model space for a DBA placed in a discrete path and endowed with "GPS" sensors and a capability for a back and forth stepwise traversal of the path (Example 3.16 with L = 5). On left: agent does not have $fd < bk^*$ on record, which gives rise to 3-dimensional cubes. On right: agent has $fd < bk^*$ on record.

one environment may serve an UMA agent in another environment satisfying a similar collection of rules. To close this section, let us return to our running example:

Example 3.16. With the sensorium and poc set structure of Example 3.5, what is the model space $\text{Cube}(\mathbf{P})$? Since $\text{Cube}(\mathbf{P})$ is constructed from $S(\mathbf{P})$ by erasing vertices, $\text{Cube}(\mathbf{P})$ may be obtained by splitting Σ as the union of two subsets, A = $\{\mathbf{0}, \mathbf{0}^*, \text{fd}, \text{fd}^*, \text{bk}, \text{bk}^*\}$ and $B = \{\mathbf{0}, \mathbf{0}^*, a_1, a_1^*, \dots, a_L, a_L^*\}$, and executing the following steps:

- ⁴⁵¹ 1. Compute B° and A° where B and A are viewed as poc sets with respect to the ⁴⁵² ordering inherited from **P**;
- 453 2. Observe that $\mathbf{P}^{\circ} \subseteq B^{\circ} \times A^{\circ}$: any coherent *-selection on Σ restricts to a coherent 454 *-selection on either of A, B.

455 3. Obtain \mathbf{P}° by removing the vertices of $B^{\circ} \times A^{\circ}$ containing any incoherent pairs 456 $\{p, a\}$ with $p \in B$ and $a \in A$.

From the preceding example we already know that Cube(B) is the *L*-path, whereas Cube(*A*) is the complete 2-dimensional cube as all the *-selections on *A* are coherent (no relations between fd and bk, as these signals may be set arbitrarily). Therefore, Cube(P)needs to be "excavated" from a 1 × 1 × *L* stack of unit cubes. Figure 3(left) shows the result.

⁴⁶² Note, however, that a frustrated designer might want to supply the agent with the ⁴⁶³ information $fd < bk^*$ beforehand, since this relation may be regarded less a characteristic ⁴⁶⁴ of the environment and more as one of the "motor suite" provided to the agent. The ⁴⁶⁵ corresponding model space immediately simplifies to the one depicted in Figure 3(right), ⁴⁶⁶ through erasing all the vertices containing the now incoherent pair {fd, bk}.

⁴⁶⁷ Another illustration of universality is provided by Example 3.18.

468 3.4. Model Spaces, Topology and Control

Given the preceding results, why even consider the rest of the dual structure (the vertices and edges of $Dual(\mathbf{P})$ forming the 1-skeleton of $Cube(\mathbf{P})$; the higher-dimensional cubical cells of $Cube(\mathbf{P})$)?



Figure 4: Realizations (left) in \mathbb{S}^1 (black, dashed) for the sensors of the two search party members of Example 3.18. The corresponding punctured models are highlighted in yellow as sub-complexes of the common model space (right). Note how the subset of \mathbb{S}^1 realizing the vertex $\mathbf{n}^*\mathbf{s}^*\mathbf{e}^*\mathbf{w}^*$ is empty in one case and disconnected in the other.

Definition 3.17. Let **P** be a weak poc set structure on the complemented set $(\Sigma, *)$ with minimum element **0** and let $(\Sigma, *, \mathbf{0}, \rho)$ be a sensorium. The associated *punctured model space*, denoted Cube[×](**P**, ρ), is the sub-complex of Cube(**P**) induced by Ξ_{ρ} , that is: a cube $C \in \text{Cube}(\mathbf{P})$ belongs in Cube[×](**P**, ρ) if and only if all its vertices lie in Ξ_{ρ} . Faces of Cube(**P**) \smallsetminus Cube(**P**, ρ) will be referred to as the *essential obstacles* in this setting. \Box

Example 3.18. Consider the poc set **P** over $\Sigma = \{0, 0^*, n, n^*, s, s^*, e, e^*, w, w^*\}$ with the 477 relations $n < s^*$ and $e < w^*$. This may be thought of as representing the "least common 478 denominator" among, say, members of a search party, discussing the source direction of a 479 radio-locator signal. The state space for their common problem is the unit circle $\mathbf{X} = \mathbb{S}^1$, 480 but their criteria for identifying the four basic directions may differ, for example: suppose 481 members A and B in the search party both have $\rho_{\sigma} : \Sigma \to 2^{\mathbf{X}}, \sigma \in \{A, B\}$ specified via $\rho_{\sigma}(\mathbf{n}) = N_{\sigma}, \rho_{\sigma}(\mathbf{s}) = S_{\sigma}$ etc., as described in Figure 4 (left). Then both ρ_A and ρ_B 482 483 are legitimate realizations despite the significant differences between $Cube^{\times}(\mathbf{P}, \rho_A)$ and 484 $Cube^{\times}(\mathbf{P}, \rho_B)$, as shown in Figure 4 (right). We see how $Cube(\mathbf{P})$ provides a model space 485 just large enough to accommodate both 'viewpoints' (universality), while $Cube^{\times}(\mathbf{P},\rho_A)$ 486 is a much better model of a circle (the state space **X**) than $Cube^{\times}(\mathbf{P}, \rho_B)$. 487 \square

Again returning to the notation of Section 3.1 we may apply Theorem 3.1 of [31] to our setting *verbatim* to obtain:

Theorem 3.19. Let \mathbf{P} be a weak poc set structure on the complemented set $(\Sigma, *)$ with minimum element $\mathbf{0}$ and let $(\Sigma, *, \mathbf{0}, \rho)$ be a sensorium. Let $\emptyset \neq Z \subset \mathbf{X}^{N+1}$ be a subspace, and let $\tilde{\rho}_Z : \Sigma \to \mathbf{2}^Z$ be defined by $\tilde{\rho}_Z(a) = Z \cap \tilde{\rho}(a)$. Finally, for each cube $C \in \text{Cube}^{\times}(\mathbf{P}, \rho)$ let $Z_C = Z \cap (\rho^*)^{-1}(C)$ be the set of points in Z witnessing C.

Assume now that, for each $C \in \text{Cube}^{\times}(\mathbf{P}, \rho)$, Z_C has a contractible open neighbourhood N_C in Z such that the map from the nerve of the covering $\{Z_C | C \in \text{Cube}^{\times}(\mathbf{P}, \rho)\}$ to the nerve of the covering $\{N_C | C \in \text{Cube}^{\times}(\mathbf{P}, \rho)\}$ induced by $Z_C \mapsto N_C$ is an isomorphism. Then, if $\tilde{\rho}_Z$ is a realization, $\text{Cube}^{\times}(\mathbf{P}, \rho)$ is homotopy-equivalent to Z. \Box

Example 3.18 provides a simple but powerful illustration of this theorem: observe how $\text{Cube}^{\times}(\mathbf{P}, \rho_A)$ replicates the homotopy type of the circle, while $\text{Cube}^{\times}(\mathbf{P}, \rho_B)$ fails to ⁵⁰⁰ do so; at the same time we observe that the set of points witnessing the vertex $n^*s^*e^*w^*$ ⁵⁰¹ has four connected components and thus fails to be contractible¹⁷.

The implications of the above theorem in our discussion are as follows. Since, in 502 general, one cannot expect an agent to be capable of exploring the entirety of \mathbf{X}^{N+1} 503 from a given initial condition, pick Z to be the corresponding reachable set; the agent's 504 actions may be seen as providing an approximation to the connectivity structure in Z. 505 The theorem then states that, given a sufficiently rich and tame sensorium, if the agent 506 manages to learn a correct model **P** of the implication structure among the sensors then 507 knowledge of the essential obstacles allows the recovery of the "topological shape" of Z508 by computing Cube[×](\mathbf{P}, ρ). Adding to this the fact (see Theorems 3.28,3.29 below) that 509 Cube(P) is always contractible, we find that the role of Cube(P) in the agent's exploration 510 of Z is analogous to that of an occupancy grid in $SLAM^{18}$: a discretized model of the 511 state space of the system, where one of the objectives of the robot is to "black out" the 512 grid points corresponding to obstacles to identify the space in which it can move freely. 513 Obtaining an understanding of the homotopy type of Z is crucial to controlling embodied 514 agents, due to tame attractors (one possible representation of a desired task) inheriting 515 the homotopy type of their basins of attraction. 516

517 3.5. Interlude: Geometry and Convexity in the Model Spaces

We will now review the geometry of the dual graphs of weak poc sets. A feature of 518 poc set duals — perhaps the feature in our context — is their extremely strong convexity 519 theory. This theory was, historically, shown to accommodate only true poc sets. However, 520 the authors in [31] have pointed out the need for an extended theory encompassing 521 the weaker version of poc sets for the purpose of supporting the learning of poc set 522 representations. There, the observation was made that every weak poc set \mathbf{P} has a 523 canonical quotient map $\pi: a \mapsto \hat{a}$ onto a true poc set **P** inducing a canonical isomorphism 524 of Cube(P) onto Cube(P) (see also Appendix 8.1.2). Thus, all the results of "classical" 525 Sageev-Roller duality theory apply equally well to weak poc sets as they do to true poc 526 sets, enabling us to state them in the more general context of weak poc sets. 527

528 We briefly recall the graph-theoretic notion of convexity:

Definition 3.20. Let G = (V, E) be a connected simple graph¹⁹ and let $u, v \in V$. The hop distance $d_G(u, v)$ is defined to be the minimum length of an edge-path in G joining u with v. The interval I(u, v) is defined to be the set of all vertices $w \in V$ satisfying the equality $d_G(u, v) = d_G(u, w) + d_G(w, v)$.

Definition 3.21. Let G = (V, E) be a connected simple graph. A set $C \subseteq V$ is said to be *convex*, if $I(u, v) \subseteq C$ holds for all $u, v \in C$. A set $H \subseteq V$ is a *half-space of* G, if both H and $H^c = V \setminus H$ are convex sets in G. Let $\mathcal{H}(G)$ denote the poc set whose elements are half-spaces of G, ordered by inclusion, and with $H^* = H^c$.

 $^{^{17}{\}rm Recall}$ that a contractible space is continuously deformable to a point (within itself!), and therefore must be connected.

¹⁸Simultaneous Localization and Mapping

 $^{^{19}\}mathrm{By}$ a simple graph we mean a graph with no loops and at most one undirected edge joining any pair of vertices.

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Figure 5: Computing a median in a rectangle G cut out of the integer grid (all vertices of the form $m \times n$, $m, n \in \mathbb{Z}$, with edges joining a vertex $m \times n$ to the vertices $(m \pm 1) \times n$ and $m \times (n \pm 1)$).

We refer the reader to [62], section 4, for the (very elegant and much more general) proofs of the following results:

Lemma 3.22. Let $G = \text{Dual}(\mathbf{P})$ for a finite weak poc set \mathbf{P} . Then the metric Δ coincides with the hop metric on G.

Lemma 3.23. Let \mathbf{P} be a weak poc set. Then the half-spaces of $\text{Dual}(\mathbf{P})$ are precisely the subsets of \mathbf{P}° of the form²⁰ $\mathfrak{h}(a) := \{u \in \mathbf{P}^{\circ} | a \in u\}, a \in \mathbf{P}$. In particular, subsets of \mathbf{P}° of the form $\mathfrak{h}(K) := \{u \in \mathbf{P}^{\circ} | K \subseteq u\} = \bigcap_{a \in K} \mathfrak{h}(a)$ are convex in $\text{Dual}(\mathbf{P})$. \Box

The above are largely due to $Dual(\mathbf{P})$ being a *median graph* [12, 94]:

Definition 3.24. A connected simple graph G = (V, E) is said to be a *median graph*, if the set $I(u, v) \cap I(v, w) \cap I(u, w)$ contains exactly one vertex for each $u, v, w \in V$. This vertex is the *median* of the triple (u, v, w) and denoted by med(u, v, w) – see Figure 5.

Median graphs are a special subfamily of *median algebras*, [77, 78, 37, 4]. Some modern generalizations and applications may be found in [11].

A central result in Sageev-Roller duality, specialized here to the finite case, is:

Theorem 3.25. The dual G = Dual(P) of a finite poc set \mathbf{P} is a finite median graph, with the median calculated according to $\text{med}(u, v, w) = (u \cap v) \cup (u \cap w) \cup (v \cap w)$, for all $u, v, w \in \mathbf{P}^{\circ}$. Conversely, if G is a finite median graph then G is naturally isomorphic to $\text{Dual}(\mathcal{H}(G))$.

⁵⁵⁵ In fact, much more can be said in general:

Theorem 3.26 (Properties of median graphs, [71], section 2). Let G = (V, E) be a finite median graph. Then:

- ⁵⁵⁸ 1. Every convex set is an intersection of halfspaces;
- Any family of pairwise-intersecting convex sets has a common vertex (1-dimensional Helly property);
- 3. For any convex subset $K \subset V$, the subgraph of G induced by K is a median graph;

²⁰Note that $\mathfrak{h}(a^*) = \mathbf{P}^{\circ} \smallsetminus \mathfrak{h}(a)$ for all $a \in \mathbf{P}$.

- 4. For any convex $K \subset V$ and any vertex $v \notin K$ there exists a unique vertex $\operatorname{proj}_{K} v \in K$ at minimum hop distance from v.
- 5. For any convex $K \subset V$, the closest-point projection $\operatorname{proj}_{K}(\bullet)$ is a median-preserving, distance non-increasing map of G onto the subgraph of G induced by K. \Box

To see how all this connects with the higher-dimensional notions of a dual (in particular, with our model spaces), we recall a definition from [72]:

⁵⁶⁸ **Definition 3.27.** A *cubing* is a simply connected, non-positively curved cubical complex.

We point the reader to [10] for a detailed account of non-positively curved metric spaces. For the purpose of this paper it will suffice to quote a corollary of the well-known Cartan-Hadamard theorem ([10], II.4.1):

⁵⁷² Theorem 3.28. Cubings are contractible.

⁵⁷³ We owe the following theorem in its full generality (finite and infinite cases) to the ⁵⁷⁴ collective efforts of Michah Sageev [72], Martin Roller [71] and Victor Chepoi [12].

Theorem 3.29. The following are equivalent for a finite simple graph G:

⁵⁷⁶ 1. G is the 1-dimensional skeleton of a cubing;

- 577 2. G is a median graph;
- 578 3. G is isomorphic to $Dual(\mathbf{P})$ for some poc set \mathbf{P} ;
- 4. G is the 1-dimensional skeleton of $Cube(\mathbf{P})$ for some poc set \mathbf{P} .

Summarizing all the above from the point of view of the internal representation of an UMA agent we obtain the following: observations $O \subset \Sigma$ (complete or incomplete) that are coherent with respect to $\mathbf{P}|_t$ stand in one-to-one correspondence with convex subsets of the model space $\mathbf{M}|_t$. This leads us to a clear cut answer to the question of how the agent's belief regarding the current state should be maintained, to be addressed next.

585 3.6. Belief Update and Convexity

It is conceivable that an agent's belief state approaching time $t \in \mathbb{T}$ is contradicted by the incoming observation $O|_t$. Methods of the Belief Update and Revision literature have focused on maintaining the consistency of the belief state while obeying "minimal change" constraints, that is: the incoming observation triggers certain transformations in the agent's theory (the collection of formulae kept by the agent as its representation of the "possible worlds" it might occupy) so as to obtain a new theory within which this observation is possible, but differing as little as possible from the preceding one [9, 50].

For any DBA, an obvious choice for representing the perceived current state of the system at time $t \in \mathbb{T}$ is the unprocessed observation $O|_t$. In an UMA agent, this observation triggers an updating cycle of the algorithm in charge of learning the weak poc set presentation (Section 4), which produces $\mathbf{P}|_t$. However, with the agent's internal description of the world given away to $\mathbf{P}|_t$ (through $\mathbf{M}|_t = \text{Cube}(\mathbf{P}|_t)$), the problem may arise of $O|_t$ turning out incoherent. In other words, the current state literally "falls off the map", as $O|_t \notin \mathbf{M}|_t$.

The solution of the problem is to admit a relaxed current state representation, denoted by $S|_t := \operatorname{coh}(O|_t)$, where the operation $\operatorname{coh}(\bullet)$ yields the "best approximation" of $O|_t$ by a convex subset of $\mathbf{M}|_t$, echoing the principle of minimal change as seen through Dalal's way [18] of quantifying the distance between theories: Proposition 3.30 (Coherent Approximation). Let $\mathbf{P} = (\Sigma, *, \min, \leq)$ be a weak poc set. For any $A \subseteq \Sigma$ define $\operatorname{coh}(A) := A \uparrow \backslash A^* \downarrow = A \uparrow \land A \uparrow^*$. For any $A \in S(\Sigma)^0$, if $B \in \mathbf{P}^\circ$ minimizes the distance of A to \mathbf{P}° , then $B \in \mathfrak{h}(\operatorname{coh}(A))$.

⁶⁰⁷ *Proof.* See Appendix 9.1.

The mapping $A \mapsto \operatorname{coh}(A)$ enjoys additional properties standardly viewed as desirable in the context of belief update:

⁶¹⁰ **Proposition 3.31** (Coherent Projection). Let $\mathbf{P} = (\Sigma, *, \min, \leq)$ be a weak poc set. ⁶¹¹ Then the following hold for all $A \subseteq \Sigma$:

(a) $\operatorname{coh}(A)$ is coherent and $\operatorname{coh}(A)\uparrow = \operatorname{coh}(A);$

613 (b)
$$\operatorname{coh}(\operatorname{coh}(A)) = \operatorname{coh}(A),$$

- 614 (c) $A \subseteq \operatorname{coh}(A)$ whenever A is coherent;
- 615 (d) $\operatorname{coh}(A) = A$ if and only if A is coherent and $A \uparrow = A$.
- ⁶¹⁶ We will refer to the map $A \mapsto \operatorname{coh}(A)$ defined on 2^{Σ} as the coherent projection associated ⁶¹⁷ with **P**.

Note how (a) and (c) turn coh(•) into a closure operator with respect to inference (implication). At the same time, (b) and (d) characterize the set of terms that are closed under inference.

⁶²¹ *Proof.* See Appendix 9.2.

Thus, an UMA agent naturally resolves possible contradictions at the price of intro-622 ducing uncertainty/ambiguity into its record of the current state: instead of marking a 623 single vertex of $\mathbf{M}|_t$ as the current state, any vertex of the convex set $\mathfrak{h}(S|_t)$ may turn 624 out to be the correct current state. We find that this is an intriguingly natural way of 625 maintaining an internal model with a built-in degree of resilience to observations that fail 626 to make immediate sense to the agent. We will see in the sequel the learning mechanism 627 of a snapshot could be engineered so that repeated observations of this kind trigger a 628 revision of the model space after which the same unprocessed observation will no longer 629 require relaxation to be coherent. 630

The complexity of computing the coherent projection (lemma 5.3) and its role in the agent's reasoning processes, its interplay with the convexity theory of the model space $\mathbf{M}|_t$ and its interpretation as the basis for viewing our architecture as a connectionist model (albeit a very limited one) of cognition will all be discussed in section 5.2.2.

4. Snapshot Structures: From Observation Sequences to a Memory Structure

In [31] we have introduced the rather loose notion of a *snapshot*, aiming to outline a class of database structures for dynamically maintaining weak poc-set structures from a sequence of observations. This section provides a rigorous development of this tool.

639 4.1. Snapshots

⁶⁴⁰ **Definition 4.1.** Denote by \mathbf{K}_{Σ} the undirected graph obtained from the complete graph ⁶⁴¹ over the vertex set Σ by removing all edges of the form aa^* , $a \in \Sigma$. Edges of \mathbf{K}_{Σ} will ⁶⁴² be referred to as *proper pairs* in Σ . We will abuse notation and write $ab \in \mathbf{K}_{\Sigma}$ for the ⁶⁴³ edge $\{a, b\}$ of \mathbf{K}_{Σ} .

⁶⁴⁴ **Definition 4.2.** A snapshot **S** over Σ consists of the following:

(a) Each vertex $a \in \Sigma$ of \mathbf{K}_{Σ} is assigned a binary state $\#_a \mathbf{S} \in \{0, 1\}$. The set

$$\#\mathbf{S} = \{a \in \mathbf{\Sigma} \mid \#_a \mathbf{S} = 1\}$$
(5)

is called the state of the snapshot S and is required to be a *-selection on Σ .

⁶⁴⁷ (b) Each edge $ab \in \mathbf{K}_{\Sigma}$ is assigned a weight $w_{ab} = w_{ab}(\mathbf{S}) \in \mathbb{R}_{>0}$.

(c) Each edge $ab \in \mathbf{K}_{\Sigma}$ is assigned a *learning threshold* $\tau_{ab} = \tau_{ab}(\mathbf{S}) \in \mathbb{R}_{>0}$ satisfying

$$\tau_{ab} = \tau_{a^*b} = \tau_{ab^*} = \tau_{a^*b^*} \le \frac{1}{4} \tag{6}$$

For every $ab \in \mathbf{K}_{\Sigma}$, the restriction of **S** to the subgraph induced by the vertices a, a^*, b and b^* will be denoted by $\mathbf{S}|_{ab}$ and referred to as a square in **S**.

An UMA agent maintains snapshots $\mathbf{S}|_t$, $t \ge 0$, whose role in the control loop, at time t, is as follows:

553 Step 1. Apply $O|_t$ to $\mathbf{S}|_{t-1}$ to obtain new values for $w_{ab}^t := w_{ab}(\mathbf{S}|_t)$ and $\tau_{ab}^t := \tau_{ab}(\mathbf{S}|_t)$;

⁶⁵⁴ Step 2. From the new weights, compute the weak poc set structure $\mathbf{P}|_t$;

555 Step 3. Complete the update by computing $\#\mathbf{S}|_t := \operatorname{coh}(O|_t)$ from $\mathbf{P}|_t$;

656 **Step 4.** Use $\mathbf{P}|_t$ to reach a decision $A|_t \in S(\mathbf{Act})^0$;

⁶⁵⁷ Step 5. Invoke the action $A|_t$ to generate the observation $O|_{t+1}$.

In this work, we will keep the learning thresholds τ_{ab}^t fixed throughout the lifetime of an agent, setting the problem of controlling them aside for future research.

660 4.2. Weak Poc Set Structures from Snapshots

The original purpose [31] for the edge weights w_{ab} in a snapshot was to quantify the relevance (e.g. frequency) of the event (a and b), allowing one to obtain a graphical representation of $\mathbf{P}|_t$ from \mathbf{K}_{Σ} , first by *partially* orienting the edges of \mathbf{K}_{Σ} according to the rule of thumb illustrated in Figure 6(a), and then by removing all the unoriented edges. The resulting directed graph will then have the following properties:

⁶⁶⁶ **Definition 4.3.** A poc graph Γ over Σ is a directed graph with vertex set Σ , and edges ⁶⁶⁷ satisfying:

- For every proper pair $a, b \in \Sigma$, there is at most one directed edge ab from a to b, and at most one directed edge ba from b to a.



Figure 6: determining edge orientations in a snapshot to determine (a) implication, and (b) equivalence.

For any edge $ab \in \Gamma$ one also has $b^*a^* \in \Gamma$;

- For any edge $ab \in \Gamma$, the edges a^*b, ba^*, b^*a, ab^* do not lie in Γ .

The properties of Γ in this definition allow precisely for encoding a transitive relation on Σ by setting $a \leq b$ iff Γ contains a directed path from a to b.²¹ The property that $a \leq b$ implies $b^* \leq a^*$ immediately follows from the second requirement. Of the axioms of a weak poc set (Definition 3.3) only one remains that is not automatic: the anti-symmetry requirement of a partial ordering.

Lemma 4.4 (derived poc set). The transitive closure of Γ over Σ is a weak poc set structure on Σ if and only if, as a directed graph, Γ is acyclic (that is, contains no directed cycles).

Proof. This follows directly the standard fact that the transitive closure of a directed graph is a partial ordering if and only if the graph contains no directed cycles.

The implication record constructed from an acyclic poc graph cannot recognize possible equivalences among sensations — only irreversible implications. At the same time it makes perfect sense to interpret a relation of the form $w_{ab^*} = w_{a^*b} = 0$ in a snapshot **S** as encoding the logical equivalence $a \Leftrightarrow b$, see figure 6(b). This requires restricting attention to classes of snapshots which encode (in this way) poc graphs enjoying the following property:

Definition 4.5. A poc graph Γ is *weakly acyclic* if every proper pair $a, b \in \Sigma$ sharing a strong component²² of Γ also satisfies $ab, ba \in \Gamma$.

It is easy to see that contracting all strong components of a weakly acyclic poc graph yields an acyclic poc graph on the appropriate quotient of the sensorium. Appendix 9.4 proves the validity of this extension in the context of our application and discusses its impact on computations and model spaces.

²¹This relation is known [95] as the transitive closure of Γ .

²²Recall [95] that a pair of vertices in a directed graph Γ are said to lie in the same strong component if and only if there is a directed cycle containing them. The strong components of Γ form a partition of the vertex set.

694 4.3. A Natural Family of Snapshots

We now define the class of snapshots whose properties we intend to leverage for the purpose of learning in UMA agents.

⁶⁹⁷ 4.3.1. Probabilistic Snapshots — Definition and Motivation

⁶⁹⁸ **Definition 4.6.** We say that a snapshot **S** is *probabilistic*, if #**S** is a coherent *-selection ⁶⁹⁹ and the edge weights satisfy the following:

(a) **Consistency constraint.** If $ab, ac \in \mathbf{K}_{\Sigma}$ then $w_{ab} + w_{ab^*} = w_{ac} + w_{ac^*}$. This allows us to extend the function w_{\bullet} via $w_{aa} := w_{ab} + w_{ab^*}$, as this quantity is independent of the choice of $b \in \Sigma$.

(b) Normalization constraint. If $ab \in \mathbf{K}_{\Sigma}$ then $w_{ab} + w_{a^*b} + w_{a^*b^*} + w_{ab^*} = 1$.

(c) **Orientation constraint.** $\omega(ab) + \omega(bc) = \omega(ac)$ for all $a, b, c \in \Sigma$, where we define $\omega(ab) := w_{a^*b} - w_{ab^*}$.

(d) Measure constraint.
$$\delta(ac) \leq \delta(ab) + \delta(bc)$$
 for all $a, b, c \in \Sigma$, where we define
 $\delta(ab) := w_{a^*b} + w_{ab^*} \geq 0.$

We denote the set of all probabilistic snapshots over Σ by \mathscr{P}_{Σ} .

Remark 4.7. Note the symmetries of $\omega(\bullet)$ and of $\delta(\bullet)$: one has $\omega(ab) = -\omega(ba)$ and $\omega(aa) = 0$, as well as $\delta(ab) = \delta(ba) \in [0, 1]$, $\delta(aa) = 0$ and $\delta(aa^*) = 1$, emerging from the consistency and normalization constraints.

In 3.2 we informally stated the learning goal for our agents to be that of identifying 712 persistent time-independent implications within the sensorium. The formal restatement 713 of this goal is as follows. Assume a probability measure μ is defined on the space of trajec-714 tories $\mathbf{X}^{\omega} := \prod_{t=0}^{\infty} \mathbf{X}$, supported in the set of trajectories achievable by the agent (given 715 the initial conditions), representing a collection of desirable behaviors. For simplicity 716 let us assume that the character of interactions between the agent and the environment 717 does not change with time, implying μ is shift-invariant. Let $\mu_{A,t}$, $A \subseteq \Sigma$ denote the 718 probability measure on $S(\mathbf{\Sigma})^0$ obtained from the joint distribution of the random vari-719 ables $f_a(s) := \langle a : \bullet \rangle |_s, a \in A$, restricted to the time t. The shift-invariance of μ implies 720 the $\mu_{A,t}$ are independent of t, allowing us to suppress the time index. Thus, interpreting 721 the weights in $\mathbf{S}|_t$ as approximations $w_{ab}^t \approx \Pr(\{a, b\} \subset O|_t)$ is consistent with setting 722 the goal for the agent to learn the pairwise marginals μ_{ab} of the total joint probability 723 μ_{Σ} . It must be noted that the requirements of Definition 4.6 were originally distilled for 724 the sole purpose of characterizing weak acyclicity in derived poc graphs; we are grate-725 ful to Dr. Stephen Howard (DSTO, Melbourne, AU) for pointing out this probabilistic 726 interpretation of our approach. 727

In addition to serving as direct motivation of the requirements (a) and (b) of a probabilistic snapshot, the notion that the weights w_{ab}^t should derive from a common probability function also serves to motivate (c) and (d). Indeed, if w_{ab}^t were to coincide with the probability $Pr(\{a, b\} \subset O|_t)$ under μ_{Σ} for all $a, b \in \Sigma$, then:

$$\begin{aligned}
\delta(ab) &= \Pr(E_a + E_b) \\
\omega(ab) &= \Pr(E_a \smallsetminus E_b) - \Pr(E_b \smallsetminus E_a)
\end{aligned}$$
(7)



Figure 7: Illustrating the identity $(A \setminus B) \cup (B \setminus C) \cup (C \setminus A) = (B \setminus A) \cup (C \setminus B) \cup (A \setminus C)$ underlying the orientation constraint in Definition 4.6(c), as suggested by Equation (7).

where E_a denotes the event $a \in O|_t$ and the operator + on sets denotes symmetric difference. We conclude that (d) holds by the well-known fact²³ that $d(A, B) := \nu(A + B)$ satisfies the triangle inequality for any measure ν . Finally, the orientation constraint becomes an easy consequence of the elementary set-theoretic identity illustrated in Figure 7, upon substituting $A = E_a$, $B = E_b$ and $C = E_c$.

In view of the above, it is reasonable to employ the *coincidence indicators* along a trajectory φ as building blocks for probabilistic snapshots:

$$c_{ab}^{t} := \langle a : \varphi \rangle |_{t} \cdot \langle b : \varphi \rangle |_{t} \tag{8}$$

 Lemma 4.8. Any convex combination of coincidence indicators (for varying values of t) satisfies requirements (a)-(d) of a probabilistic snapshot.

⁷⁴¹ *Proof.* For each fixed t, the indicators $(c_{ab}^t)_{a,b\in\Sigma}$ satisfy the demands (a)-(d), as c_{ab}^t ⁷⁴² coincides with the probability of the event $E_a \cap E_b$ under the atomic probability measure ⁷⁴³ concentrated at the point $\varphi|_t \in \mathbf{X}$. The affine identities (a)-(d) then carry over to any ⁷⁴⁴ combination of the form $w_{ab} \equiv \sum_i q_i c_{ab}^{t_i}$ with $\sum_i q_i = 1$ and $q_i \ge 0$.

745 4.3.2. Weak Acyclicity of Probabilistic Snapshots

A fundamental observation regarding probabilistic snapshots is the following

⁷⁴⁷ **Proposition 4.9** (Weak Acyclicity Lemma). Suppose **S** is a probabilistic snapshot over ⁷⁴⁸ Σ and Γ is a poc graph satisfying the requirements:

- 749 1. $\delta(ab) = 0 \Rightarrow ab \in \Gamma;$
- 750 2. $ab \in \Gamma$, $\delta(ab) > 0 \Rightarrow \omega(ab) > 0$.
- ⁷⁵¹ Then Γ is weakly acyclic.
- ⁷⁵² *Proof.* See appendix 9.3.

This proposition puts the vague notion from figure 6 on how to derive implications and equivalences from a snapshot on a firm footing:

 $^{^{23}}$ See [20], Section 3.2.

Proposition 4.10. Suppose **S** is a probabilistic snapshot. Construct a poc graph Dir(S)by setting $ab \in Dir(S)$ if and only if either $\delta(ab) = 0$ or:

$$w_{ab^*} < \min\{\tau_{ab}, w_{ab}, w_{a^*b}, w_{a^*b^*}\}$$
(9)

⁷⁵⁷ Then Dir(S) is a weakly acyclic poc graph.

⁷⁵⁸ *Proof.* The symmetries of τ_{\bullet} and w_{\bullet} immediately imply $ab \in \text{Dir}(\mathbf{S})$ iff $b^*a^* \in \text{Dir}(\mathbf{S})$. ⁷⁵⁹ The strict inequality in (9) implies the second condition of a poc graph holds as well. To ⁷⁶⁰ finish the proof we apply the weak acyclicity lemma.

Following lemma 4.4 we may now safely define (see also appendix 9.4):

Definition 4.11. Let **S** be a probabilistic snapshot. Denote by $Poc(\mathbf{S})$ the quotient weak poc set structure obtained by first identifying any pair $a, b \in \Sigma$ having $\delta(ab) = 0$, and then setting $a \leq b$ iff there exists a directed path in $Dir(\mathbf{S})$ from a to b.

The fact that Poc(S) is indeed a weak poc set structure follows from lemma 9.3.

766 4.4. Examples of Snapshot Structures

767 4.4.1. Empirical Snapshots and Random Walks

The empirical snapshot structure maintains an empirical approximation of the relative frequencies of co-incidental occurrences of pairs $a, b \in \Sigma$:

$$w_{ab}^{t} := \sum_{k=0}^{t} c_{ab}^{k} = w_{ab}^{t-1} + c_{ab}^{t} , \qquad (10)$$

with $\mathbf{S}|_t$ trivial (that is, $\#\mathbf{S}|_t = \emptyset$ and $w^t_{\bullet} \equiv 0$) for t < 0. We refer to the snapshots $\mathbf{S}|_t$ as "empirical snapshots" and to the update rule above as the "empirical update", where τ_{72} c^t_{ab} are the coincidence indicators from (8). DBAs maintaining empirical snapshots are "empirical agents". An immediate corollary of Prop. 4.10 is:

Proposition 4.12 (empirical implies acyclic). Let $\mathbf{S}|_t$ be an empirical snapshot. Then the graph $\Gamma|_t = \text{Dir}(\mathbf{S}|_t)$ defined by setting $ab \in \Gamma$ iff $\delta(ab) = 0$ or

$$w_{ab^*} < \min\{t \cdot \tau_{ab}, w_{ab}, w_{a^*b}, w_{a^*b^*}\}$$
(11)

is a weakly acyclic poc graph, and $Poc(\mathbf{S}|_t)$ as defined in Defn. 4.11 is a weak poc set structure on Σ .

⁷⁷⁸ *Proof.* Normalizing the weights of $\mathbf{S}|_t$ yields a snapshot whose edge weights $\frac{w_{ab}}{t}$ coincide ⁷⁷⁹ with the sample mean of the coincidence indicator c_{ab} . By lemma 4.8, such a snapshot ⁷⁸⁰ is probabilistic.

An empirical agent starting out at time t = 0 with a trivial snapshot $\mathbf{S}|_0$, has no knowledge of its environment; it may therefore be directed to engage in random exploration, picking one of K decisions $A|_t \in S(\mathbf{Act})^0$ uniformly at random (or using some other weighting reflecting the designer's knowledge of the motor capabilities of the agent such as in Example 3.16) at each time $0 \leq t \in \mathbb{T}$ until actionable information becomes available. Formally, suppose the pairing of the agent with the environment satisfies the requirement that any of the allowed decisions $\alpha \in S(\mathbf{Act})^0$ induces the structure of a Markov chain on $S(\Sigma)^0$ with transition probabilities

$$p_{\alpha}(u \to v) := \Pr\left(O|_{t+1} = v \mid O|_t = u, A|_t = \alpha\right)$$
(12)

independent of the time t. Note that $p_{\alpha}(u \to v) = 0$ whenever $\alpha \notin v$. Averaging over all decisions α we obtain a Markov chain with transition probabilities

$$p(u \to v) = \frac{1}{K} \sum_{\alpha} p_{\alpha}(u \to v), \qquad (13)$$

and the problem of guaranteeing "good learning" by the agent becomes that of guaranteeing proper exposure to the environment: by the ergodic theorem for Markov chains [26]
we have —

Proposition 4.13. Suppose (13) defines an a-periodic, irreducible, positive-recurrent Markov chain with limiting distribution π . Then the empirical snapshot weight w_{ab}^t converges to the marginal π_{ab} , as defined above in 4.3.1, for all $a, b \in \Sigma$.

It follows from the decomposition theorem for Markov chains [26] that the ergodicity assumption on (13) in the above proposition does not impose undue restrictions on our model, as we only expect an agent to learn implications from recurring observations anyway. We also note that the special case when (13) is a (lazy) random walk guarantees an exponential rate of convergence to the limiting distribution (see Theorem 5.1 of [49] and Theorem 9 of [70]).

⁸⁰⁴ 4.4.2. Discounted Snapshots and Decaying Memories

A notable weakness of empirical agents is their dependence on the *entire* history of the agent's observations. Faulty decisions regarding the ordering in $\mathbf{P}|_t$ require an ever larger volume of evidence to contradict them as time progresses. Instead, we consider:

Definition 4.14. (discounted update) Let $q \in [0, 1]$ and let **S** be a probabilistic snapshot over Σ . For any complete *-selection O on Σ define the q-discounted update of **S** to be the snapshot $O *_q \mathbf{S}$ with weights determined by

$$w_{ab}(O *_q \mathbf{S}) := q w_{ab}(\mathbf{S}) + (1 - q) \langle \mathbb{1}_O : a \rangle \cdot \langle \mathbb{1}_O : b \rangle$$
(14)

The state of $O *_q \mathbf{S}$ is set to coh(O), the reduction being computed with respect to the weak poc set structure derived from the new weights. We refer to q as the *decay parameter*.

A significant advantage of the discounted update is its applicability to arbitrary probabilistic snapshots:

Lemma 4.15. The q-discounted update of a probabilistic snapshot by a complete *selection is probabilistic. Proof. It is clear that the discounted update preserves the property of being probabilistic,
as a convex combination of probabilistic snapshots is probabilistic.

We consider the length of time (or the amount of evidence) it takes a discounted snapshot to acquire an implication, compared to the amount of evidence required for giving up an implication already on record, assuming a fixed value of the decay parameter. It is easy to see that the shortest time Δt required for the acquisition of a relation $a \leq b$ corresponds to a sequence of consecutive observations satisfying $c_{ab^*} = 0$, with

$$\Delta t > \log_q \tau_{ab} \,. \tag{15}$$

Analogously, the shortest period Δt guaranteeing recovery from a false relation $a \leq b$ is realized by a sequence of Δt consecutive observations satisfying $c_{ab^*} = 1$, where, after some manipulation one obtains

$$\Delta t \ge \log_q (1 - \tau_{ab}) - \log_q (1 - w_{ab^*}) \tag{16}$$

Thus, $\log_q(1 - \tau_{ab})$ consecutive synchronous observations of a, b^* will result in recovery no matter how long the agent's record persisted in the error.

Since $\tau_{ab} < \frac{1}{4}$, the time for recovery from a false relation is significantly shorter than the time required for learning it. Pushing the learning threshold below (1 - q) ensures recovery by observing a single counter-example!

As each of the τ_{ab} may be set independently of the others, one could attempt improving the quality/dependability of the model space by altering the flexibility of the learning process in a localized manner²⁴. The simulation results in 6.1 emphasize the need for this kind of control, showing that a discounted agent is much more susceptible to changes in geometry and topology/combinatorics of the sensor fields than an empirical one. We conjecture that methods analogous to those of [51] and [14] may apply in this context.

5. Control with Snapshots

This section introduces the basic control function of a snapshot. For reasons of convenience we will assume all sensors are either of order 0 (state sensors) or of order 1 (transition sensors)²⁵. Recall that state sensors and transition sensors (our DBA's actions among them, recall Section 2.4) may be viewed as Boolean and situational fluents over the situation space \mathbf{X} , which is sufficient for setting up a discussion of actions and competencies according to [52].

At the technical level, this section requires a more thorough understanding of the convexity theory of cubings. While an overview of the relevant classical results was provided in Section 3.5, the new technical results we had to derive in support of our use of snapshots for greedy navigation in cubings are covered in appendix 9.5.

 $^{^{24}}$ In fact, one could imagine lowering some thresholds so drastically as to preclude learning in the corresponding squares, thus providing means for pre-wiring agents, if necessary.

²⁵A reduction to this case is easily achieved by replacing **X** with the "phase space" $\tilde{\mathbf{X}} = \mathbf{X}^{N+1}$ where $N = \max_{a \in \Sigma} n_a$, in a manner analogous to the standard reformulation of a higher-order ODE in one dimension as a first order ODE in multiple dimensions.

850 5.1. Actions and the Model Spaces

Recall from definition 2.11 and the discussion preceding it that a DBA's decision at any time $t \ge 0$ is a complete *-selection $A|_t$ on $\mathbf{Act} = \sum_{act} \bigcup \sum_{act}^* \bigcup \{\mathbf{0}, \mathbf{0}^*\}$, satisfying the condition that the actions listed in $A|_t$ have common outcomes in **X**. It is conceivable, however, that a specific problem setting places restrictions on the set of decisions: a motor cannot apply *both* a negative and a positive torque to its shaft (the torque values must be reconciled prior to feeding input to the motor); a chess player is only allowed to pick *one* move at a time.

The seeming contradiction between our formalism and reality may be resolved in two 858 ways. The first solution is to extend \mathbf{X} to accommodate for "failure states" and endow 859 the DBA with a mechanism to sense failure modes and reason about them. The second is 860 to restrict the DBA to decisions from a prescribed subset of $S(\mathbf{Act})^0$. Although, ideally, 861 the first solution is preferable, we do not yet have a principled way of endowing a DBA 862 with a mechanism for reasoning about failure and we are reluctant to introduce teachers 863 into the discussion at this point. We therefore resort in all examples in this paper to 864 the second solution, where some elements of $S(\mathbf{Act})^0$ may have no outcomes, but the 865 controller is restricted to producing only decisions with outcomes. 866

867 5.2. Reactive Planning

⁸⁶⁸ 5.2.1. Statement of the planning problem

In this section we consider a DBA at time t > 0, equipped with a snapshot $\mathbf{S}|_t$ with a derived poc graph $\Gamma|_t = \text{Dir}(\mathbf{S}|_t)$ and associated weak poc set $\mathbf{P}|_t$. The agent's tasks at hand are:

- (T1) Predict the immediate outcome of any available action $A \in \text{Cube}(\text{Act}|_t)$;
- (T2) Given a set $T \subset \Sigma$ of target sensations to be achieved *jointly*, decide on an action $A|_t \in S(\mathbf{Act}|_t)^0$ for the agent to invoke in the next transition.

It is crucial to interpret tasks (**T1-2**) in terms of the model space $\mathbf{M}|_t = \text{Cube}(\mathbf{P}|_t)$: recalling that the sets $\mathfrak{h}(B) := \{V \in \mathbf{P}|_t^{\circ} | B \subseteq V\}$ are *precisely* the convex subsets of the 1-skeleton of $\mathbf{M}|_t$ (Theorem 3.26), we observe that (**T2**) addresses the agent with the problem of reaching $\mathfrak{h}(T)$ from a (possibly unknown) position in the convex set $\mathfrak{h}(S|_t)$.

879 5.2.2. Signal Propagation over a Snapshot

To use $\Gamma|_t$ in calculations, we "load" it with information about the current state. Formally:

Definition 5.1. Let $B \subset \Sigma$. Denote by $[\Gamma|_t, B]$ the weighted graph obtained from $\Gamma|_t$ by attaching the Boolean weight $\langle \mathbb{1}_B : v \rangle$ to each vertex $v \in \Sigma$, and refer to it as $\Gamma|_t$ *being loaded with B.*

Definition 5.2. A propagation algorithm along $\Gamma|_t$ is any algorithm which, for any coherent load $B \subset \Sigma$ and any $T \subseteq \Sigma$ accepts $[\Gamma|_t, B]$ and T as input and produces as its output the loaded graph $[\Gamma|_t, R]$ where $a \in R$ if and only if:

- 1. there is a directed path in $\Gamma|_t$ from $B \cup T$ to a, or –
- 889 2. there is no directed path in $\Gamma|_t$ from a into T^* .

⁸⁹⁰ The set $R \subset \Sigma$ is said to be the result of propagating the signal T along $[\Gamma|_t, B]$.

Lemma 5.3 (Implementing the State Update). For any propagation algorithm, propagating the signal $O|_t$ along $[\Gamma|_t, \emptyset]$ produces $S|_t = \operatorname{coh}(O|_t)$, see Algorithm 1.

- The following result is the key tool for turning a propagation algorithm into a reactive planner:
- Lemma 5.4 (Reasoning in Snapshots). Let $T \subset \Sigma$ be any set. For any propagation algorithm, propagating the signal T along $[\Gamma|_t, S|_t]$ produces the projection in $\mathbf{M}|_t$ of the current state $\mathfrak{h}(S|_t)$ to the reduced target $\mathfrak{h}(\operatorname{coh}(T)) \subset \mathbf{M}|_t$.

Both lemmas are corollaries of the geometric interpretation of the planning tasks (T1-2) above and of the following new technical result:

Proposition 5.5. Let $S, T \subset \Sigma$ and suppose S is coherent in $\mathbf{P}|_t$. Let $L = \mathfrak{h}(S)$ and $K = \mathfrak{h}(\mathfrak{coh}(T))$. Then:

$$\operatorname{proj}_{K}L = (S \uparrow \cup T \uparrow) \smallsetminus T \uparrow^{*} = (S \uparrow \smallsetminus T \uparrow^{*}) \cup \operatorname{coh}(T)$$

$$(17)$$

where $\operatorname{proj}_{K}(\bullet)$ denotes the closest point projection to K in the model space $\mathbf{M}|_{t}$ and \uparrow denotes forward closure in $\Gamma|_{t}$ — see eqn. (34).

Proof. Corollary 9.17 proves this result for weak poc sets. Proposition 9.6 interprets it in terms of propagation on weakly acyclic poc graphs.

In practice, one can implement propagation using a variant of depth-first search (DFS) on $\Gamma|_t$, while maintaining an expanding record of vertices visited [15] — see Algorithm 2. This algorithm clearly has time complexity that is at most quadratic in the number of sensors, and we conclude:

⁹¹⁰ **Corollary 5.6** (Quadratic Snapshot Maintenance). Both the time and space complexity ⁹¹¹ of updating the snapshot $\mathbf{S}|_{t-1}$ with an observation $O|_t$ to form $\mathbf{S}|_t$ are at most quadratic ⁹¹² in $|\mathbf{\Sigma}|$.

Implementation on a truly parallel machine, realizing each vertex of $\Gamma|_t$ as an actor which responds to propagated signals as they arrive, will bring the complexity of propagation down to sub-linear in $|\Sigma|$, namely to "big O" of the height of $\mathbf{P}|_t$. The challenge is, of course, implementing in hardware the extreme plasticity of $\Gamma|_t$, observed as the snapshot structure adjusts itself to the observed reality of the agent. **Algorithm 2** Propagating a signal T over a loaded poc graph $[\Gamma, B]$ using depth-first search.

```
function PROPAGATE(\Gamma, B, T)
    \texttt{visited} \gets \varnothing
    U \leftarrow \text{CLOSURE}(\Gamma, T)
    return (B \cup U) \smallsetminus U^*
end function
function CLOSURE(\Gamma, T)
                                                                            \triangleright Forward closure of T in \Gamma
    for all a \in T do
         EXPLORE(\Gamma, a)
    end for
    return visited
end function
procedure EXPLORE(\Gamma, v)
                                                                                           \triangleright Recursive step
    visited \leftarrow visited \cup \{v\}
    for all w \in CHILDREN(\Gamma, v) \setminus visited do
         EXPLORE(\Gamma, w)
    end for
end procedure
function CHILDREN(\Gamma, v)
                                                                                      \triangleright Children of v in \Gamma
    return \{w \in \Sigma | vw \in \Gamma\}
end function
```

918 5.2.3. Evaluating a Decision

Planning of any kind requires an ability to sense the context of an action. We impart this ability to the agent by introducing sensors of the form

$$\langle \alpha \wedge s : \varphi \rangle |_{t} = \langle \alpha : \varphi \rangle |_{t} \cdot \#_{s} \mathbf{S}|_{t-1}$$
(18)

⁹²¹ where α is an action and $s \in \Sigma$ is any sensor.

The construction of a judicious process enriching the sensorium with a minimal and effective collection of introspective sensors of this kind is set aside for future research²⁶. In this paper we have, instead, committed to a sensorium containing an over-abundance of such sensors, finally clarifying to some degree the distinction we make between the state space X and the environment E.

• "Position" Sensors. We assume **E** is given as the union of a collection \mathscr{U} satisfying (1) $U \subset \mathbf{E}$ for all $U \in \mathscr{U}$, and (2) $\mathbf{E} \setminus U \in \mathscr{U}$ for all $U \in \mathscr{U}$, with the agent having a state sensor loc[U] for each $U \in \mathscr{U}$ defined by $\langle loc[U] : x \rangle =$ $\langle \mathbb{1}_U : pos(x) \rangle$.

• Actions. A collection of actions (in the form of 1-sensors) is provided.

• Contextualized actions. For each $U \in \mathscr{U}$ and $\alpha \in Act$ the agent is given the sensors $\alpha \wedge \log[U]$ and $\alpha^* \wedge \log[U]$.

 $^{^{26}}$ Though note that a self-enrichment mechanism similar to the one proposed by Drescher [23] may be used in the context of empirical snapshots.

Algorithm 3 Evaluation of an action A by a snapshot-driven DBA.

```
\begin{array}{l} \textbf{function} \hspace{0.1cm} \text{HALUCINATE}(A) \\ \hspace{0.1cm} \textbf{Signal} \leftarrow \varnothing \\ \hspace{0.1cm} \textbf{for all} \hspace{0.1cm} \alpha \in A \hspace{0.1cm} \textbf{do} \\ \hspace{0.1cm} \textbf{Signal} \leftarrow \textbf{Signal} \cup \{\alpha \wedge \texttt{loc}[U] \hspace{0.1cm} | \texttt{loc}[U] \in S|_t \} \\ \hspace{0.1cm} \textbf{end for} \\ \hspace{0.1cm} \textbf{return} \hspace{0.1cm} \text{PROPAGATE}(\boldsymbol{\Gamma}|_t, S|_t, \texttt{Signal}) \\ \hspace{0.1cm} \textbf{end function} \end{array}
```

Algorithm 4 Greedy Reactive Planning (GRP) for a snapshot-driven DBA.

 $\begin{array}{l} \textbf{function } \operatorname{GRP}(T) \\ \operatorname{Route} \leftarrow \operatorname{PROPAGATE}(\boldsymbol{\Gamma}|_t, S|_t, T) \\ \operatorname{Best} \leftarrow \arg\min_{A \in \operatorname{Act}|_t} \left| \operatorname{Route} \smallsetminus \operatorname{HALUCINATE}(A) \right| \\ \operatorname{return a random element from Best} \\ \textbf{end function} \end{array}$

⁹³⁴ Under these assumptions, the following result yields a mechanism allowing the agent to ⁹³⁵ 'hallucinate' the broadest consequences of an action for its position in the environment ⁹³⁶ within the context of its current model space $\mathbf{M}|_t$:

⁹³⁷ Corollary 5.7 (Computing the Consequences of an Action). For any decision $A|_t \in$ ⁹³⁸ Act $|_t$, the result of applying $A|_t$ in the transition from time t to time (t + 1) is computed ⁹³⁹ by Algorithm 3.

Thus, propagation provides a provably correct and computationally efficient mechanism for predicting the immediate outcomes of an action, provided a sensorium of the above form and a snapshot faithfully recording the nesting relations among the sensors.

943 5.2.4. Algorithm: Greedy Reactive Planning (GRP)

The ability to compute the immediate consequences of any available action and the convexity theory of $\mathbf{M}|_t$ underlie the greedy algorithm, Algorithm 4, used to decide on an action to be taken for the purpose of achieving a *long-term* goal.

By lemma 5.4, Algorithm 4 is directly analogous to motion planning in the Euclidean plane in the absence of obstacles: the agent selects an action which, to the best of its knowledge, best approximates the greedy path towards the closest point of the indicated target. The next section will consider difficulties arising in the presence of obstacles in the model space. Let us return to our running example one last time:

Example 5.8. To illustrate the above, we continue example 3.16. Recalling $\mathbf{E} = \{0, \ldots, L\}$ we see that the sensors a_k defined in (1) may be rewritten as:

$$a_k = \log[U_k], \quad U_k = \{i \in \mathbf{E} \mid 0 \le i < k\}$$
(19)

Thus, for example, adjoining the two sensors $fd \wedge a_2^*$ and $bk \wedge a_4$ to Σ implies the relations

$$\operatorname{fd} \wedge a_2^* < a_3^*, \quad \operatorname{bk} \wedge a_4 < a_3 \tag{20}$$

whose effect on $Cube(\mathbf{P})$, once they are learned by the agent, is shown in Figure 8 (left).

Further expanding Σ to include all the sensors

$$\begin{aligned} & \mathsf{fd} \wedge a_k^*, \quad k = 1, \dots, L - 1 \\ & \mathsf{bk} \wedge a_k, \quad k = 2, \dots, L \end{aligned}$$

⁹⁵³ turns $\text{Cube}(\mathbf{P})$ into the complex illustrated in figure 8 (right). The order structure on ⁹⁵⁴ \mathbf{P} encodes both large-scale geometry (the agent may use propagation to conclude "in ⁹⁵⁵ order to reach $\mathfrak{h}(a_5^*)$, I need to to reach $\mathfrak{h}(a_2^*)$ "), and the actions required to negotiate ⁹⁵⁶ this geometry ("I know that $\mathtt{fd} \wedge a_1^*$ implies a_2^* , and I am currently in $\mathfrak{h}(a_1^*)$ "). \Box



Figure 8: Left: model space for an agent on a discrete path, enriched with two contextualized action sensors of the form (21). Right: the model space arising with almost a full complement of contextualized action sensors (the full complement would be too cluttered if visualized), is now sufficiently rich to illustrate the geometry underlying planning by propagation in Example 5.8. For example, reaching a_5^* from the current state (yellow dot), it is necessary to cross over into $\mathfrak{h}(a_2^*)$; this can be done by deciding on {fd, bk*}.

957 5.3. Some Obstructions to Greedy Reactive Planning.

The constraints of a particular setting prevent a DBA from ever experiencing the vertices of $S(\Sigma)^0$ not corresponding to perceptual classes. Given the internal representation of a DBA with sensorium $(\Sigma, *, 0, \rho)$ at time t is $\mathbf{P}|_t$, the relevant space to consider is the punctured model space

$$\mathbf{M}^{\times}|_{t} := \operatorname{Cube}^{\times}(\mathbf{P}|_{t}, \rho) \tag{22}$$

(see Definition 3.17). In addition to the risk of false implications in $\mathbf{P}|_t$ influencing the agent's reasoning, it is also possible for $\mathbf{M}|_t$ to contain obstacles to GRP in the form of vertices in $\mathbf{M}|_t \setminus \mathbf{M}^{\times}|_t \neq \emptyset$. In fact, we recall that the presence of such obstacles is guaranteed by Theorem 3.19 — at least when the covering \mathscr{U} of \mathbf{E} by location fields satisfies the richness requirements placed on it by that theorem, and \mathbf{E} fails to have the homotopy type of a point. Let us consider two of examples of this kind.

968 5.3.1. Example: A Punctured Grid

We compare empirical agents in the square grid $G_N = \{0, \ldots, N\} \times \{0, \ldots, N\}$, 969 as described in Section 6 setting (c) — also see Figure 9 — with agents living in the punctured grid G_N^{\times} , obtained from G_N by removing an interior vertex v_0 . An agent in 970 971 G_N^{\times} attempting an action which would have resulted in it occupying v_0 had it lived in 972 G_N is assumed to retain its original position. For N sufficiently large, a random-walking 973 empirical agent is then guaranteed to learn the same weak poc set structure for either 974 environment. This results in the sensory equivalence class of v_0 obstructing GRP in 975 $\mathbf{E} = G_N^{\times}$ whenever v_0 belongs to a shortest path in G_N joining the current position to 976 the prescribed target. 977

978 5.3.2. Example: Agent on a Circular Rail

Consider setting (b) of Sec. 6. We specify a target $T = \{U_p\}$ where $p \in \mathbf{E}$ is sufficiently removed from the current position $q \in \mathbf{E}$ of the agent to accommodate a pair U_i, U_j with the property that $U_i \cup U_j$ separates the set U_p from the set U_q . Both the current state and the target region then satisfy the constraints $loc[U_i]^*$ and $loc[U_j]^*$, which implies that any geodesic in the model space joining the current model state with the target set passes through $\mathfrak{h}(loc[U_i]^*, loc[U_j]^*)$, yet it is impossible to guarantee these constraints by any of the available actions.

986 5.4. Closing the Loop with Excitation-Driven Navigation

The examples of section 5.3 demonstrate the necessity of sensory enrichment for overcoming the obstructions to GRP. In particular, these examples seem to favor the introduction of an internal state variable evaluating success (and failure) of invoking a planned action. The need for closed-loop control suggests implementing local control mechanisms based on internally-defined *navigation functions* [69].

⁹⁹² In the absence of tools for reactive replanning [67] (our current situation), we have ⁹⁹³ chosen to study a simplified notion of target, allowing us to close the control loop with ⁹⁹⁴ a motion command generated with the aim to guarantee an immediate decrease in the ⁹⁹⁵ value of an internal excitation signal.

The simplest instance of such a controller, applied to the navigation setting, seems to be the following. In addition to a sensorium of the form described above in 5.2.3, we endow the DBA with a pair of sensors **better** and **worse**, responding to the decrease and increase, respectively, in a fixed measure of distance to a target point in the environment **E**, over a single transition (think of this as a radically simplified sense of smell). This measure plays the role of a navigation function.

Starting out as a 'lazy' random-walking agent (the agent may choose not to act at all), the agent applies Algorithm 3 at each step to obtain an action resulting with **better** as its first priority. In the case of failure to produce such an action, the agent attempts to guarantee **worse**^{*}, periodically invoking a random action so as not to get stuck in place (upon having figured out that **worse**^{*} may be brought about by not moving). Section 6.2 presents simulation results for agents of this form.

1008 6. Simulation Results

Proposition 4.13 provides strong performance guarantees for learning done by empirical agents. In this section we examine, through numerical simulation, the effect of the



Figure 9: DBAs and environments considered in our simulations (a-d) in Section 6. Agents are colored yellow, with the available actions indicated by red arrows. Sensor fields are marked blue and red.

¹⁰¹¹ geometry and topology of the environment on (1) the performance of snapshot learning ¹⁰¹² algorithms (empirical and discounted) applied to random walking DBAs, and (2) the ¹⁰¹³ performance of the simple excitation-driven agents from section 5.4.

Our simulated agents are equipped with a sensorium of the form described in 5.2.3, sometimes with additional sensors. We conduct comparisons between four settings with an equal number (4N) of location sensors:

(a) **Discrete Path.** Here $\mathbf{E} = \{0, \dots, 2N\}$ and \mathcal{U} is the collection of sub-intervals of the form $U_i = \{p \in \mathbf{E} | p < i\}, i = 1, \dots, 2N$, and their complements.

(b) **Discretized Circle.** $\mathbf{E} = \{0, \dots, 2N - 1\}$, with an array of 4N location sensors with activation fields $U_i = \{i - 1, i, i + 1\}$ (operations modulo 2N).

(c) Square Grid. $\mathbf{E} = \{0, \dots, N\} \times \{0, \dots, N\}$, with \mathscr{U} containing all sets of the form $V_i = \{p \times q | p < i\}, H_j = \{p \times q | q < j\}, 1 \le i, j \le N$, and their complements.

(d) **Discrete Path with Random Sensors.** $\mathbf{E} = \{0, ..., 2N\}$, with 2N randomly selected location sensors (and their 2N complements).

The set of location sensors in Σ will be denoted Λ . The available elementary actions in (a) and (d) are those of advancing (fd) or retreating (bk) a single step along the path, when possible (example 2.13). Analogously in (b), but with a wrap-around modulo 2N, and in (c) where we provide the agent with the elementary actions up, dn, lt and rt as in section 5.3.1.

All the plots in this section are generated for environments with N = 10 (that is, 40 location sensors each), and depict averages over 50 distinct runs for each choice of



Figure 10: Logarithmic plots of the mean number of incorrect edges in the derived poc graph of a random-walking UMA agent in the settings of Section 6 (a-d) with N = 20 (40 sensors each), see Figure 9, averaged over 50 runs of random walks each. Left: empirical agent with learning thresholds varying linearly between $\frac{1}{4}$ (cyan/light) and $\frac{1}{20^3}$ (blue/dark). Right: discounted agent for varying values of the decay parameter, $q = 1 - \frac{1}{2^{k+2}}$, k from 0 (red/dark) to 9 (yellow/light).

parameters (learning thresholds, decay coefficients, etc.). The agent is provided with an 1032 "empty" snapshot²⁷ and occupies a random position in \mathbf{E} at the start of each run. 1033

6.1. Learning Implications from a Random Walk 1034

6.1.1. Learning in Empirical Agents 1035

Figure 10 (left) plots the number of incorrect recorded implications among the loca-1036 tion sensors for a random-walking empirical agent as a function of time. More formally, 1037 we plot the mean, taken over a number of runs, of the function Err(t) defined as follows: 1038

$$Err(t) := \left\| \mathsf{Dir}^{\infty} - \mathsf{Dir}^{t} \right\|_{1} \tag{23}$$

where $\operatorname{Dir}_{ab}^t \in \{0,1\}$ for $t \in \mathbb{T} \cup \{\infty\}$ and $a, b \in \Lambda$ are defined as²⁸: 1039

$$\begin{split} \operatorname{Dir}_{ab}^{t} &= 1 \quad \Leftrightarrow \quad ab \in \mathbf{\Gamma}|_{t} \\ \operatorname{Dir}^{\infty} &= 1 \quad \Leftrightarrow \quad \rho(a) \subseteq \rho(b) \end{split}$$
 (24)

We use a logarithmic plot due to the expected exponential convergence of the snapshot 1040 weights to the marginals of the limiting distribution — see remarks following Prop. 4.13. 1041 The figures seem to suggest a dependency of the upper bound on "effective" learning 1042

thresholds on the geometry/topology of the environment²⁹. 1043

²⁷Assuming $w_{ab}^t \equiv 0$ for an empirical agent and $w_{ab}^t \equiv \frac{1}{4}$ for a discounted agent, for all t < 0. ²⁸Recall that $\text{Dir}(\mathbf{S})$ introduced in Prop. 4.10 is a directed graph. This new notation is intended to connote a matrix representation of such a graph.

 $^{^{29}}$ We refer the reader to the technical report [32] for a more developed discussion of these results.



Figure 11: Mean deviation from target for empirical (blue) and a discounted (red) agents (40 sensors each), as a function of time in four different settings, averaging over 50 runs.

1044 6.1.2. Learning in Discounted Agents

Figure 10 (right) compares the mean error, see(24), for a discounted snapshot learning from a random walk, for a learning threshold of $\tau = \frac{1}{20^3}$ and decay parameter q given by $q = 1 - \frac{1}{2^{k+2}}$, $0 \le k \le 9$. Note the dependence of the learning process on q is not monotone: k = 5 seems to work best in terms of minimizing the eventual error; a choice of k = 4 is more reasonable given the observed waiting time until meaningful learning occurs in the structured environments (a)-(c).

1051 6.2. Excitation-Driven Agents

Figure 11 shows the average distance of an excitation-driven agent (section 5.4) to a randomly chosen target as a function of time. It is important to stress that, by the results of section 5, the guarantee of the agents in figure 11(a)-(c) finding their targets *provided sufficient exposure* is absolute. To see this, it suffices to verify for the *true* poc set structure on Σ that any position other than the target has associated with it a location sensor $a = \log[U]$ and an action α such that every state x with $\langle a: x \rangle = 1$ has $\alpha(x)$ closer to the target than x is.

1059 7. Conclusion

In this paper we introduce a new efficient architecture intended to endow a generic discrete binary agent with the capacity to build over time an actionable model, $\mathbf{M}|_t$, of its operations within a completely unknown and possibly dynamic environment, **E**. The proposed architecture has a dual nature. On one hand, the agent maintains an

evolving data structure, — the snapshot $\mathbf{S}|_t$ — of size quadratic in the number of sensors, 1064 encoding a planning mechanism based on propagation of excitation and inhibition signals 1065 through the highly plastic directed network $Dir(\mathbf{S}|_t)$, and is, thus, in a very crude sense, 1066 a connectionist learning and control architecture. On the other hand, the rather specific 1067 ordering properties of networks arising in this way (the weak poc set structure \mathbf{P}_{t} derived 1068 from $\mathbf{S}|_{t}$) also characterize any such network as encoding a system of "half-spaces" in 1069 a geometric internal model $\mathbf{M}|_t$ that is just rich enough to account for all perceptual 1070 classes derivable from the agent's sensorium Σ . 1071

Recall that the entropy $H(\mathscr{P})$ of a partition \mathscr{P} of a probability space equals the 1072 greatest lower bound on the expected number of *arbitrary* binary queries required for 1073 determining which block of \mathscr{P} contains a random point of the space [76]. Historically, 1074 this gave rise to the paradigm of efficient coding: given a partition of a probability 1075 space, one should attempt to characterize it by a collection of binary queries yielding 1076 performance near the entropy bound. A general agent — a DBA in particular mav 1077 be thought of as being faced, among other tasks, with the inverse problem: given a 1078 fixed collection of repeatable binary queries (which may or may not include means for 1079 active exploration), produce a decent approximation of the true probability distribution 1080 over the partition of the observed space into perceptual classes. If the set of available 1081 queries — the agent's sensorium Σ — forms an efficient coding of this partition, then the 1082 agent cannot avoid maintaining a database of exponential size in $|\Sigma|$, incurring super-1083 exponential computational costs in belief update, reasoning and planning. On the other 1084 hand, if the agent's queries happen to provide a highly redundant coding of the set of 1085 perceptual classes, the agent might be able to leverage the redundancies to obtain savings 1086 in representational and computational costs. 1087

UMAs are nothing but a formalization of this principle, where the meaning of the word 'reasoning' was limited *by design* to only the application of known implications and the negation operator. We find it surprising that despite these severe restrictions, snapshots are capable of encoding a high-level representation of the problem space.

Our simulation studies suggest that an UMA agent with sufficient sensing and actu-1092 ation is capable of learning a useful approximation of the gradient field of a navigation 1093 function [69] despite the lack of prior semantic information. A sensorium reflective of the 1094 topology of the environment (in the sense of theorem 3.19) is beneficial for learning such 1095 fields. At the same time, it appears that — see Fig. 11d — a random sensorium may be 1096 almost just as useful. Granted a principled mechanism for self-enrichment (see below), 1097 this motivates asking whether an initial "well-behaved" sensorium is at all necessary for 1098 the eventual proper functioning of an UMA agent. 1099

UMAs allow easy integration of motivational systems (such as, for example [16]) 1100 through introspective sensing of motivational signals. We have only considered very 1101 simple excitation mechanisms causing the agent to choose actions maximizing immediate 1102 excitation gain (to the extent measurable by the sensorium) but these mechanisms can 1103 be readily extended to a suite of sensors encoding tasks ranging from (a) maintaining 1104 internally available resources (e.g. battery charge); through (b) attraction/repulsion 1105 (either in the sense of navigation functions [69] or in the broader sense of RL [6]); and all 1106 the way to (d) dynamic replanning (frustration [67]) and curiousity-driven exploration [5, 1107 74]. 1108

¹¹⁰⁹ We expect such complex motivational mechanisms — especially ones including curios-

ity and frustration — to facilitate the control of structural parameters of the agent's snapshot architecture. A 'frustration' signal could be used to control learning thresholds and to facilitate chunking by driving the creation of new introspective sensors detecting essential obstacles in the model space, while curiosity could drive the learning of useful complex actions (as has already been proposed for many other architectures [73, 57, 14, 51]), improving the connectivity of the punctured model space.

In contrast to some AGI architectures such as Drescher's "Schema Mechanism" (SM), 1116 The current snapshot architectures (Section 4) still lack a mechanism for enriching the set 1117 of available queries with, for example, general Boolean predicates (or, even better, some 1118 limited LTL predicates) composed of the original atomic sensations, including actions. 1119 Such "compound" sensors are required for facilitating chunking and the learning of useful 1120 motor primitives. In fact, the task of characterizing the essential obstacles in **M** may be 1121 seen as an application of a chunking mechanism; finding a snapshot-based mechanism 1122 facilitating this function of the memory architecture is therefore a high priority for further 1123 research on UMAs. 1124

Another required feature is a capacity for symbolic abstraction, that is: relating problem spaces via symbolic substitution. While the duality theory of weak poc sets and their model spaces (appendix 8.2) enables a rigorous discussion of symbolic abstraction, it is not yet clear how to engineer an enlarged snapshot-like architecture realizing such meta-extensions.

Of course, the problem lies not in proposing intuitively attractive approaches (there 1130 are many) but rather doing so in a principled, economical way that maintains the present 1131 combination of analytical and computational tractability. For example, the closely re-1132 lated SM architecture of Drescher [23] uses an empirical estimate of the dependability 1133 of schema outcomes to determine the need for enriching the system with more special-1134 ized/detailed schemata; however Drescher readily admits that the approach is lacking in 1135 rigor, and concedes that garbage collection is one of the major challenges for his archi-1136 tecture. A similar problem occurs with the more recent QLAP architecture by Mugan 1137 and Kuipers [57], also based on a mechanism for the distillation of schema-like entities, 1138 where arbitrary choices have to be made to prune an otherwise unmanageable population 1139 of computational units. 1140

In contrast, the added power of understanding the relationship between the geom-1141 etry of the model spaces and snapshot plasticity in UMAs provide a novel direction of 1142 inquiry into the problem of judicious self-enrichment by introspective queries. For exam-1143 ple, enriching an agent with sensors characterizing newly discovered failure modes of the 1144 navigation algorithm (GRP, Section 5.2.4) should be possible; this will require the intro-1145 duction of intrinsic motivation mechanisms as discussed above, to steer the agent away 1146 from obstacle states in \mathbf{M} and towards desirable behaviors (that is, not necessarily states 1147 of \mathbf{X} , but reference dynamical systems over subsets of \mathbf{X}). It seems plausible that a com-1148 promise can be reached between the simplicity of representation and learning in UMAs 1149 and the versatility of state-of-the-art knowledge representations (e.g. [50, 28, 85, 89]) – 1150 especially those using prime forms, — which would allow for navigation and problem 1151 solving in the presence of broad classes of essential obstacles. 1152

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¹³⁶⁰ 8. Appendix: Poc Sets and Sageev-Roller Duality

The duality between poc sets and median algebras, going back to Isbell's work [36], was thoroughly studied by Martin Roller in [71] as part of a very successful program to push the envelope on a theory of actions of discrete groups on simply connected nonpositively curved cubical complexes – henceforth reffered to as *cubings* – pioneered by Michah Sageev in [72] and by Victor Chepoi [12], who characterized such complexes in terms of the convexity theory of their 1-dimensional skeleta.

This appendix provides additional details of this theory required to support the memory architecture proposed in this paper. This overview of the preliminary meterial is meant to extend the initial discussion provided in [31] and in Section 2, to provide additional examples and to prepare the necessary technical background for the proofs of the results of this paper. We will mainly rely on [71] as a source of theoretical results, though sometimes it will be easier to use results from the elegant exposition in [62].

1373 8.1. More on Poc Sets

¹³⁷⁴ We start out with a compact way of constructing and representing poc sets using ¹³⁷⁵ "generators and relations". The reader might want to skip the details at first reading.

1376 8.1.1. Generators and Relations

1377 A weak poc set $\mathbf{P} = \langle S | R \rangle$ may be specified using a set S of generators and a set of 1378 relations R of the form a < b or $a^* < b$ or $a < b^*$ for $a, b \in S$. One may also use weak 1379 inequalities (\leq) to specify relations in R.

Formally, \mathbf{P} is constructed as follows. Assume that the symbol $\mathbf{0}$ is not contained in 1380 S. First, set $S_{\pm} := (\{\mathbf{0}\} \sqcup S) \times \{+, -\}$ and define $(s, +)^* = (s, -)$ and $(s, -)^* = (s, +)$. 1381 Thus, S_{\pm} obtains the structure of a complemented set. For simplicity, for each $s \in \{0\} \cup S$ 1382 we identify (s, +) with s. The relation set R is required to be a subset of $S_{\pm} \times S_{\pm}$. We 1383 then define an extension $R_{_{poc}}$ of R to be the intersection of all relations $W \subseteq S_{\pm} \times S_{\pm}$ 1384 that are reflexive, transitive and, in addition, satisfy (1) $(0, a) \in W$ holds for all $a \in S_{\pm}$; 1385 and (2) For all $a, b \in S_{\pm}$, if $(a, b) \in W$ then $(b^*, a^*) \in W$. We set **P** to be the quotient 1386 of S_{\pm} modulo $x \sim y \Leftrightarrow (x,y) \in R_{poc} \land (y,x) \in R_{poc}$, with the induced partial ordering 1387 $[x] \le [y] \Leftrightarrow (x, y) \in R_{poc}.$ 1388

For example, the notation $\langle a, b, c | a < c, b < c \rangle$ stands for the poc set with elements **0**, **0**^{*}, *a*, *b*, *c*, *a*^{*}, *b*^{*} and *c*^{*} having the order relations **0** < *a* < *c* < **0**^{*}, **0** < *c*^{*} < *a*^{*} < **0**^{*}, **0** < *b* < *c* < **0**^{*} and **0** < *c*^{*} < *b*^{*} < **0**^{*}, as well as the ones derived from these by transitivity. Thus, generators and relations provide a compact way of representing a (weak) poc set explicitly.

As another example, consider the poc sets $\mathbf{P} = \langle a, b | a < b \rangle$, $\mathbf{Q} = \langle a, b | a^* < b \rangle$. The partial assignment $f : \mathbf{P} \to \mathbf{Q}$ satisfying $f(a) = a^*$, f(b) = b has one and only one extension to a poc morphism of \mathbf{P} into \mathbf{Q} .

Another, more general, example is provided by seeing the weak poc set $\mathbf{P} = \text{Poc}(\mathbf{\Gamma})$ derived from a weakly acyclic poc graph $\mathbf{\Gamma}$ over a complemented set $\mathbf{\Sigma}$ (Definition 9.4) as $\mathbf{P} = \langle \mathbf{\Sigma} | a \leq b \text{ iff } ab \in \mathbf{\Gamma} \rangle$.

1400 8.1.2. The Canonical Quotient of a Weak Poc Set

We have already mentioned in Section 3.5 that every weak poc set \mathbf{P} has a canonical true poc set quotient, $\hat{\mathbf{P}}$. It is obtained as the quotient of \mathbf{P} by the equivalence relation

$$a \sim b \Leftrightarrow a = b \text{ or } a, b \in N \text{ or } a, b \in N^*,$$
(25)

where N is the set of negligible elements in \mathbf{P} .

Definition 8.1. Let **P** be a weak poc set and let $\hat{\mathbf{P}}$ denote its canonical poc quotient. For every $a \in \mathbf{P}$, we denote the equivalence class of a in $\hat{\mathbf{P}}$ with \hat{a} . The map $a \mapsto \hat{a}$ will be denoted by π .

It follows that $\hat{\mathbf{P}}$ inherits from \mathbf{P} the structure of a complemented set (where $\mathbf{0} = N$ and $\hat{a}^* = \hat{a^*}$). Moreover, observing that $N \downarrow = N$, one easily deduces that $\hat{\mathbf{P}}$ has an induced partial ordering given by $\hat{a} \leq \hat{b}$ iff there exist $a' \sim a$ and $b' \sim b$ such that $a' \leq b'$ in \mathbf{P} . Together these structure define a *true* poc set structure on $\hat{\mathbf{P}}$. The main characteristic of $\hat{\mathbf{P}}$ is the following elementary lemma: Lemma 8.2. Let **P** be a weak poc set. Then any poc morphism $f : \mathbf{P} \to \mathbf{Q}$ of **P** into a true poc set **Q** factors through π , that is: there exists one and only one poc morphism $\hat{f} : \hat{\mathbf{P}} \to \mathbf{Q}$ satisfying $f = \hat{f} \circ \pi$.

Proof. Since \mathbf{Q} is a true poc set, $f(n) = \mathbf{0} \in \mathbf{Q}$ for all negligible n in \mathbf{P} . In other words, $f(N) = \{\mathbf{0}\}$ and $f(N^*) = \mathbf{0}^*$, which makes the assignment $\hat{f}(\hat{a}) := f(a)$ a well-defined poc morphism from $\hat{\mathbf{P}}$ into \mathbf{Q} . It is evident that this is the only possible assignment for the job.

1419 8.1.3. Nesting and Transversality

Sections 3.2–3.5 provide a bird's eye view of the geometry of $Dual(\mathbf{P})$ and $Cube(\mathbf{P})$, but the proofs of our new results require a slightly more detailed account. For this, we must consider the possible relations (if any) among elements a, b in a weak poc set \mathbf{P} :

$$a \le b, \quad a^* \le b, \quad a^* \le b^*, \quad a \le b^* \tag{26}$$

It is easy to see that a pair of distinct *proper* elements will never satisfy two of the above conditions at the same time, as $Cube(\mathbf{P})$ provides us with a realization of \mathbf{P} inside $2^{\mathbf{P}^{\circ}}$ - after all, $a \leq b$ if and only if $\mathfrak{h}(a) \subseteq \mathfrak{h}(b)$.

Definition 8.3. Suppose a, b are proper elements of a weak poc set **P**. We say that they *cross* $(a \pitchfork b)$, if none of (26) hold. Otherwise, we say they are *nested* (a||b). A subset *A* of **P** is said to be *nested* if all its elements are pairwise nested, and *transverse* if its elements cross pairwise.

Thus, the half-spaces of $Dual(\mathbf{P})$ are nothing more than the restriction to \mathbf{P}° of the half-spaces of $S(\mathbf{P})^1$, with two of them nesting if and only if the corresponding elements of \mathbf{P} are nested, that is, if and only if exactly one of the following holds:

$$\begin{aligned}
\mathfrak{h}(a) \cap \mathfrak{h}(b) &= \varnothing, \qquad \mathfrak{h}(a^*) \cap \mathfrak{h}(b) &= \varnothing, \\
\mathfrak{h}(a^*) \cap \mathfrak{h}(b^*) &= \varnothing, \qquad \mathfrak{h}(a) \cap \mathfrak{h}(b^*) &= \varnothing
\end{aligned}$$
(27)

We conclude that the more relations are on record in the order structure of ${f P}$ the fewer 1433 transverse sets there are to be found there. In other words, nesting relations are an 1434 obstruction to high-dimesional cubes in $Cube(\mathbf{P})$: each additional relation in \mathbf{P} implies 1435 fewer faces of the original cube $S(\mathbf{P})$ survive the culling of incoherent vertices used 1436 for obtaining $Cube(\mathbf{P})$. At one extreme one finds $Cube(\mathbf{P}) = S(\mathbf{P})$ when **P** itself (up 1437 to removing improper elements) is transverse (the orthogonal poc set). At the other 1438 extreme, Cube(P) forms a tree if and only if **P** is nested — a well-known result going 1439 back to Dunwoody's work on the almost-stability theorem, see [21] — which explains 1440 why both examples in Example 3.13 yield trees. 1441

- 1442 8.1.4. Example: direct sums of poc sets
- ¹⁴⁴³ The easiest way to join two poc sets together is to form their direct sum:

Definition 8.4. Let **P** and **Q** be poc sets. Their *direct sum* $\mathbf{P} \vee \mathbf{Q}$ is defined to be the quotient of their external disjoint union $P \sqcup Q$ by the identification $\mathbf{0}_{\mathbf{P}} = \mathbf{0}_{\mathbf{Q}}$ and $\mathbf{0}^*_{\mathbf{P}} = \mathbf{0}^*_{\mathbf{Q}}$, endowed with the following:



Figure 12: Cubical models for example 8.1.5 with poc relations $a_i < a_{i+x}^*$ where $x \in \{2, 3, 4\}$ and addition is modulo 6 (left), compared to the case when only the relations $a_i < a_{i+3}^*$ are present (right). Black vertices are those coherent in for both poc set structures. Vertices painted white are coherent vertices for agent #2 that are incoherent for agent #1. The vertex v corresponds to the shared coherent *-selection $\{a_0^*, \ldots, a_5^*\}$.

•
$$a < b$$
 in $\mathbf{P} \lor \mathbf{Q}$ iff $a, b \in \mathbf{P}$ and $a < b$ or $a, b \in \mathbf{Q}$ and $a < b$;
• $b = a^*$ iff both $a, b \in \mathbf{P}$ and $b = a^*$ or $a, b \in \mathbf{Q}$ and $b = a^*$.

We abuse notation by identifying each element of $\mathbf{P} \cup \mathbf{Q}$ with the equivalence class in $\mathbf{P} \vee \mathbf{Q}$ of its natural representative in $\mathbf{P} \sqcup \mathbf{Q}$. It is easy to verify, then, that

$$\texttt{Cube}(\mathbf{P} \lor \mathbf{Q}) \equiv \texttt{Cube}(\mathbf{P}) \times \texttt{Cube}(\mathbf{Q})$$
(28)

where the isomorphism is that of cubical complexes. Intuitively, any proper elements $a \in \mathbf{P}$ and $b \in \mathbf{Q}$ satisfy $a \pitchfork b$, resulting in every cube in $\mathsf{Cube}(\mathbf{P})$ and every cube in $\mathsf{Cube}(\mathbf{Q})$ to form a product cube in $\mathsf{Cube}(\mathbf{P} \lor \mathbf{Q})$. For example, the grid in Figure 5 may be thought of as the product of an N-path with an M-path (for the appropriate values of M and N) – hence the dual of the direct sum of two poc sets of the first type discussed in Example 3.13. This is also the principle formally underlying the computation in Example 3.16 of the cubings depicted in Figure 3.

1458 8.1.5. Example: a cycle of length 6

Imagine an agent – call it #1 – living on the unit circle $\mathbf{E} = \mathbb{S}^1$. We mark six vertices, spread uniformly along the circle, with the digits $\{0, \ldots, 5\}$. Suppose that agent #1 is capable, for each position it occupies on \mathbf{E} , of asking any of the binary questions

•
$$A_j$$
: Am I positioned at arc length $< \frac{\pi}{3}$ from position j along E?

1463 Agent #2 asks a slightly different set of questions:

• B_j : Am I positioned at arc length $< \frac{\pi}{2}$ from position j along E?

The questions available to either agent have sufficient resolution to pinpoint the agent's position wherever it is, but we claim that the collection $\{A_j\}_{j=0}^5$ is, in a sense, more efficient than $\{B_j\}_{j=0}^5$ (this should be reminiscent of Example 3.18, and is a good illustration of Theorem 3.19). Let $\Sigma = \{\mathbf{0}, \mathbf{0}^*\} \cup \{a_i, a_i^*\}_{i=0}^5$, where the a_i are symbols to represent the sensations corresponding to A_i for agent #1 and to B_i for agent #2. We compare the resulting embeddings $\rho_i : \Sigma \hookrightarrow 2^{\mathbf{E}}$ defined by

$$\rho_1(a_j) = A_j , \ \rho_1(a_j^*) = \mathbf{E} \smallsetminus A_j ,$$

$$\rho_2(a_j) = B_j , \ \rho_2(a_j^*) = \mathbf{E} \smallsetminus B_j ,$$

and with $\rho_i(\mathbf{0}) = \emptyset$ and $\rho_i(\mathbf{0}^*) = \mathbf{E}$, of course. We observe that both representations 1471 of P in 2^{E} form injective poc morphisms of P into 2^{E} if P is a poc set structure on Σ 1472 with relations of the form $a_i < a_{i+3}^*$ (addition modulo 6). However, only agent #1 can 1473 afford to also add the relations $a_i^{+-} < a_{i+2}^*$ and $a_i < a_{i+4}^*$ to the record without losing the property of ρ_1 being a poc morphism. The difference between the duals (of the two 1474 1475 different versions of \mathbf{P}) is significant – see figure 12 – clearly showing the advantage of 1476 the compact and simple world map that agent #1 could deduce over the cumbersome 1477 monstrosity agent #2 must deal with. Note how the complex (a) in the figure may be 1478 obtained from (b) through deleting the vertices painted white – those are precisely the 1479 vertices of (b) forming incoherent families for the poc set structure represented in (a). 1480

1481 8.2. Cubings and the Duality Theory of Weak Poc Sets

1482 8.2.1. Sageev-Roller Duality from the Categorical Viewpoint

In the finite case, the duality theory of poc sets has a very clean formulation in category-theoretical terms. For a quick review of the basic notions of Category Theory we refer the reader to Chapter 4 of [41], while here we will stick to the specific categories of interest:

• \mathbf{Poc}_{f} , the category of finite true poc sets³⁰, where each $\mathbf{P}, \mathbf{Q} \in \mathbf{Poc}_{f}$ have assigned to them the set $\operatorname{Hom}(\mathbf{P}, \mathbf{Q})$ of poc morphisms from \mathbf{P} to \mathbf{Q} ;

• \mathbf{Med}_f , the category of finite median graphs, where each $G, H \in \mathbf{Med}_f$ are assigned the set $\mathrm{Hom}(G, H)$ of median-preserving maps from the vertex set of G to the vertex set of H (such maps are called *median morphisms*).

¹⁴⁹² What connects the two categories is the assignment of the graph $Dual(\mathbf{P})$ to every poc ¹⁴⁹³ set **P**. The important bit here is that this assignment is not confined to the level of ¹⁴⁹⁴ objects, but, rather, extends over the level of maps as well, and in a natural way:

¹⁴⁹⁵ **Definition 8.5.** Let $f : \mathbf{P} \to \mathbf{Q}$ be a morphism of weak poc sets. The dual map ¹⁴⁹⁶ $f^{\circ} : \mathbf{Q}^{\circ} \to \mathbf{P}^{\circ}$ is defined to be the pullback map $f^{\circ}(A) = f^{-1}(A)$.

 $^{^{30}}$ One could work with the full category **Poc** of *all* poc sets (rather than just the finite ones) but this introduces major complications that seem unnecessary given the current application. Similarly for the case of median graphs/algebras.

It is easy to verify that $f^{\circ}: \mathbf{Q}^{\circ} \to \mathbf{P}^{\circ}$ is a median-preserving map, that is:

$$f^{\circ}(\operatorname{med}(u, v, w)) = \operatorname{med}(f^{\circ}(u), f^{\circ}(v), f^{\circ}(w))$$
(29)

where the medians are computed in the appropriate duals. Thus, a map $f \in \text{Hom}(\mathbf{P}, \mathbf{Q})$ 1498 yields a map $f^{\circ} \in \text{Hom}(\text{Dual}(\mathbf{Q}), \text{Dual}(\mathbf{P}))$. Moreover, one easily checks that this is 1499 done in a way that respects composition, that is: 1500

$$(g \circ f)^{\circ} = f^{\circ} \circ g^{\circ} \tag{30}$$

whenever the composition of the poc morphisms f, g is well-defined. This notion of map 1501 between categories is called a *functor*. The above constructions (of the dual graph and 1502 the dual map), together, are known as the Sageev-Roller duality. 1503

Applying Theorem 3.25 we conclude that the above assignments form a *complete* 1504 duality, or co-equivalence of categories, between \mathbf{Poc}_f and \mathbf{Med}_f . That is, there are: 1505

• A correspondence between Poc_f and Med_f at the level of objects: $P \mapsto$ 1506 $Dual(\mathbf{P})$ is a one-to-one correspondence between the collection of finite poc sets 1507 and the collection of median graphs; 1508

• A correspondence between Poc_f and Med_f at the level of maps: $f \mapsto f^\circ$ 1509 is a composition-reversing one-to-one correspondence between poc morphisms and 1510 median morphisms. 1511

Thus, Sageev-Roller duality is a dictionary, translating order-theoretic statements about 1512 finite poc sets into graph-theoretic statements about finite median graphs and vice-versa. 1513 Loosely speaking, the aspects of Boolean Algebra covered by poc sets may be conveniently 1514 interpreted in terms of the convex geometry of median graphs, reasoned about within this 1515 framework, and the conclusions may then be translated back into the Boolean Algebra 1516 setting for the purpose of dealing with applications. 1517

8.2.2. Extending Sageev-Roller Duality to Weak Poc Sets 1518

It is time to clarify the precise way in which Sageev-Roller duality extends to weak 1519 poc sets. 1520

Lemma 8.2 is instrumental in this. A particularly interesting case of this lemma is 1521 that of $\mathbf{Q} = \mathbf{2}$. It is easy to verify that $f: \mathbf{P} \to \mathbf{2}$ is a poc morphism if and only if $f^{-1}(\mathbf{1})$ 1522 is a complete coherent \ast -selection. Thus, the set-dual \mathbf{P}° is in one-to-one correspondence 1523 with the set of all poc morphisms from ${\bf P}$ to ${\bf 2}$ (which is what earns it the name of a 1524 'dual'). But then the lemma states that this latter set is in one-to-one correspondence 1525 with the set of all poc morphisms $\hat{\mathbf{P}} \to \mathbf{2}$, which is the dual of the canonical quotient $\hat{\mathbf{P}}$. 1526 Carefully tracing through the definitions one obtains: 1527

Corollary 8.6. Let **P** be a weak poc set and let π : **P** \rightarrow $\hat{\mathbf{P}}$ denote the canonical 1528 projection. Then $p^{\circ}: \hat{\mathbf{P}}^{\circ} \to \mathbf{P}^{\circ}$ is a median isomorphism. In particular, $Cube(\mathbf{P})$ and 1529 $Cube(\hat{\mathbf{P}})$ are naturally isomorphic cubical complexes. 1530

Thus, weak poc sets are indistinguishable from poc sets, as far as dual graphs are 1531 concerned. Applying Sageev-Roller duality (specifically, Theorems 3.25,3.29) one now 1532 obtains: 1533

1497



Figure 13: The dual of a poc morphism is not necessarily a graph morphism (details in 8.2.3).



Figure 14: The dual of a degeneration is an embedding of median graphs (details in 8.2.4).

1534 Corollary 8.7. For any weak poc set \mathbf{P} , $\hat{\mathbf{P}}$ is naturally isomorphic to $\mathcal{H}(\text{Dual}(\mathbf{P}))$.

At the same time, weak poc sets form a more flexible class of objects. In particular, weak poc set structures are easier to represent and to evolve dynamically using snapshots.

1537 8.2.3. Example: Higher-Dimensional Cubes and Duality

It is not true in general that the dual of a poc morphism $f : \mathbf{P} \to \mathbf{Q}$ extends to a morphism of graphs. For example, consider the situation

$$\mathbf{P} = \langle a, b, c | a < b, b < c \rangle, \quad \mathbf{Q} = \langle x, y | x < y \rangle$$
(31)

and $f: \mathbf{P} \to \mathbf{Q}$ is defined by f(a) = f(b) = x and f(c) = y. The duals and dual map are illustrated in figure 13.

The absence of a canonical choice of extension for f° to a graph morphism of Dual(Q) 1542 into Dual(P) hints at a solution directly involving cubings: if one were to extend the 1543 range of f° to include the 2-dimensional cube shown in the figure, it would have been 1544 possible to extend f° to a cellular map taking the edge of Dual(Q) crossed by x to an 1545 appropriately chosen diagonal of that cube. More generally, it is possible to extend f° to 1546 a continuous embedding of $Cube(\mathbf{Q})$ into $Cube(\mathbf{P})$ for any poc morphism $f: \mathbf{P} \to \mathbf{Q}$ by 1547 applying convexity properties of the canonical piecewise-Euclidean metrics on $Cube(\mathbf{P})$ 1548 and Cube(Q) ([10], II.2.7). Thus, although median graphs are sufficient for describing the 1549 dual graphs of poc sets, describing the *dual morphisms* requires the higher dimensional 1550 geometry of cubings. 1551

1552 8.2.4. Example: The Effect of Learning an Implication

Snapshots maintain weak poc set structures on a sensorium Σ dynamically, updating the ordering on Σ in real time. The duality theory of poc sets provided the hint as to how such maintenance should be done. The learning methods of section 4 are motivated by an analogy between the following observations and the ideas underlying Hebbian learning, which we try to explain in the following example.

The kind of update we expect to see in a simplest instance of learning is captured in the following pair of poc sets:

$$\mathbf{P}_1 = \langle a, b, c | a < c, b < c \rangle , \quad \mathbf{P}_2 = \langle a, b, c | a < b < c \rangle ,$$

where the two poc set structures share their underlying set (denote it by Σ), and the 1558 identity map $f = id_{\Sigma} : \mathbf{P}_1 \to \mathbf{P}_2$ is a morphism, while the inverse map $g = id_{\Sigma} : \mathbf{P}_2 \to$ 1559 \mathbf{P}_1 is not (we say that f is a *degeneration*). Thinking of \mathbf{P}_1 as representing an agent 1560 yet undecided regarding the nature of nesting (if any) of the pair $\{a, b\}$ and therefore 1561 maintaining $a \pitchfork b$ in \mathbf{P}_1 , we see poc set \mathbf{P}_2 as representing an observer with an identical 1562 set of beliefs except for the additional relation a < b. Figure 14 visualizes the dual 1563 map f° . In general, if \mathbf{P}_1 and \mathbf{P}_2 are poc sets with the same underlying set Σ and 1564 $f = id_{\Sigma} : \mathbf{P}_1 \to \mathbf{P}_2$ is a poc morphism, then the dual f° has the following properties 1565 (see e.g. [71]): 1566

1567 **Proposition 8.8.** Suppose $f : \mathbf{P}_1 \to \mathbf{P}_2$ is a bijective poc morphism. Then:

- 1568 1. f° is injective ([71], proposition 7.8);
- 1569 2. f° extends to an injective cellular embedding of Cube(\mathbf{P}_2) in Cube(\mathbf{P}_1);

1570 3. The image of $Cube(P_2)$ under this embedding is a strong deformation retract of Cube(P₁).

1572 9. Appendix: Proofs of Technical Results

1573 9.1. Proof of Proposition 3.30

Let $B \in \mathbf{P}^{\circ}$ be given such that $\operatorname{coh}(A)$ is not contained in B. We will find $B' \in \mathbf{P}^{\circ}$ such that $\Delta(A, B') < \Delta(A, B)$. Now find $a \in \operatorname{coh}(A) \setminus B$. Then $a^* \in B$ and there is an element $b \in \min(B)$ with $b \leq a^*$. If $b \in A$ then $a \in A \uparrow^*$, contradicting $a \in \operatorname{coh}(A)$; hence, $b \in A^*$, which implies that $B' = (B \setminus \{b\}) \cup \{b^*\}$ satisfies $\Delta(A, B') = |B' \setminus A| =$ $|B \setminus A| - 1 = \Delta(A, B)$, as desired.

1579 9.2. Proof of Proposition 3.31

Proof. Recall that $A \subseteq A \uparrow$, $A \uparrow = A \uparrow$ and $A^* \downarrow = A \uparrow^*$ for all $A \subseteq \Sigma$. We check 1580 that coh(A) is coherent for all A: for suppose that $b, c \in coh(A)$ satisfy $b \leq c^*$; 1581 find $a \in A$ with $a \leq b$ to observe that $c^* \in A \uparrow$; equivalently, $c \in A \uparrow^*$, but that is 1582 impossible since $c \in coh(A)$. Now we claim that coh(A) is upwards closed: to show 1583 that $\operatorname{coh}(A) \uparrow = \operatorname{coh}(A)$ it suffices to verify $\operatorname{coh}(A) \uparrow \subseteq \operatorname{coh}(A)$; since $\operatorname{coh}(A) \subseteq A \uparrow$ by 1584 definition, we have $\operatorname{coh}(A) \uparrow \subseteq A \uparrow$ and it suffices to show no $b \in \operatorname{coh}(A) \uparrow$ belongs to $A \uparrow^*$; 1585 were there such a b, there would have been $a \in \operatorname{coh}(A)$, $c \in A$ with $a \leq b$ and $c \leq b^*$, 1586 implying $a \leq c^*$ — a contradiction to $a \notin A^* \downarrow = A \uparrow^*$. This proves (a). 1587

Now let us calculate: $\operatorname{coh}(\operatorname{coh}(A)) = \operatorname{coh}(A) \uparrow \smallsetminus \operatorname{coh}(A) \uparrow^* = \operatorname{coh}(A) \smallsetminus \operatorname{coh}(A)^* = \operatorname{coh}(A)$, the last equality due to $\operatorname{coh}(A)$ being coherent. At the same time, if A itself

is coherent then $\operatorname{coh}(A) = A \uparrow \supseteq A$. Moreover, this shows $\operatorname{coh}(A) = A$ whenever $A \in \operatorname{coh}(\mathbf{P})$. Finally, if $A = \operatorname{coh}(A)$ then A is coherent and upwards closed because $\operatorname{coh}(A)$ is. This proves properties (b-d) for the map F.

1593 9.3. Proof of proposition 4.9

Suppose **S** is a probabilistic snapshot, and let $\Gamma = \text{Dir}(\mathbf{S})$. To prove Γ is weakly acyclic, we consider a proper pair of sensors $a, b \in \Sigma$ lying in the same strong component of Γ , and we are required to show that $\delta(ab) = 0$ holds, demonstrating that $ab, ba \in \Gamma$.

For any directed vertex path $p = (a_0, \ldots, a_m)$ in Γ from a to b, we apply the orientation constraint repeatedly to obtain:

$$\omega(ab) = \omega(a_0 a_1) + \ldots + \omega(a_{m-1} a_m), \qquad (32)$$

where we know that all the summands on the right-hand side are non-negative, and we conclude that $\omega(ab)$ is non-negative. Since Γ also contains a directed path from b to a, we must conclude $\omega(ab) = 0$, implying that $\omega(a_{i-1}a_i) = 0$ for all i. Now we apply the measure constraint repeatedly to obtain:

$$\delta(ab) \le \delta(a_0 a_1) + \ldots + \delta(a_{m-1} a_m), \tag{33}$$

For all *i*, since $a_{i-1}a_i \in \Gamma$ with $\omega(a_{i-1}a_i) = 0$, we must also have $\delta(a_{i-1}a_i) = 0$ and we have $\delta(ab) = 0$, as desired.

¹⁶⁰⁵ 9.4. Equivalences in probabilistic Snapshots

Throughout this section, let Γ denote a weakly acyclic poc graph on Σ (defn. 4.5). By assumption, each strong component of Γ is a strong clique. Let $\overline{\Sigma}$ denote the partition of Σ into strong components of Γ , and let $eq: \Sigma \to \overline{\Sigma}$ denote the quotient map sending each $a \in \Sigma$ to its strong component in Γ .

Recall the notion of *forward closure* in a directed graph (and, in particular, in a partially ordered set): for any set A of vertices in a directed graph G = (V, E),

$$A\uparrow := \{ v \in V | G \text{ contains a directed path from } A \text{ to } v \}$$
(34)

1612 It is customary to write $a \uparrow := \{a\} \uparrow$. Thus,

$$eq(a) = eq(b) \Leftrightarrow a \in b\uparrow \text{ and } b \in a\uparrow$$
. (35)

We will consider and compare two ways in which Γ gives rise to a weak poc set structure. The first is as follows:

Lemma 9.1 (Deleted weakly acyclic is acyclic). Let Γ^{\times} denote the poc graph obtained from Γ by deleting all edges joining vertices of the same strong component of Γ . Then Γ^{\times} is an acyclic poc graph.

Proof. By definition, Γ^{\times} contains no edge-loops since Γ does not. Suppose Γ^{\times} contained a directed cycle γ . But then the vertices of γ all lie in the same strong component of Γ , implying no edge of γ may lie in Γ^{\times} — a contradiction.

Another way Γ gives rise to an acyclic poc graph is by contracting its strong components. We verify: 1623 **Lemma 9.2.** For all $a \in \Sigma$ one has (1) $eq(a^*) = eq(a)^*$, and (2) $eq(a^*) \neq eq(a)$.

Proof. For (1), $b \in eq(a^*)$ iff $a^*b, ba^* \in \Gamma$, iff $b^*a, ab^* \in \Gamma$ (as Γ is a poc graph), iff $b^* \in eq(a)$, iff $b \in eq(a)^*$.

For (2), were it that $eq(a) = eq(a^*)$, then a^* would have belonged in eq(a). This is impossible, since $aa^* \notin \Gamma$.

We conclude that the operation $eq(a) \mapsto eq(a^*) = eq(a)^*$ satisfies the requirements a complemented set, as applied to $\overline{\Sigma}$. Now we are able to state:

Lemma 9.3. Let $\overline{\Gamma}$ denote the directed graph with vertex set $\overline{\Sigma}$ with $eq(a)eq(b) \in \overline{\Gamma}$ if and only if $eq(a) \neq eq(b)$ and there is an edge $a'b' \in \Gamma$ with $a' \in eq(a)$ and $b' \in eq(b)$. Then $\overline{\Gamma}$ is an acyclic poc graph on $\overline{\Sigma}$.

¹⁶³³ Proof. A directed cycle in $\overline{\Gamma}$ implies a directed cycle in Γ which is not contained in a ¹⁶³⁴ strong component — contradiction. The other properties of a poc graph (over $\overline{\Sigma}$) follow ¹⁶³⁵ immediately by construction.

Recall that an acyclic poc graph yields a derived poc set (lemma 4.4). Consequently we may define:

¹⁶³⁸ **Definition 9.4.** Let the weak poc set derived from the acyclic poc graph $\overline{\Gamma}$ be denoted ¹⁶³⁹ by $\mathsf{Poc}(\Gamma)$.

Remark 9.5. Note that the weak poc set derived from Γ^{\times} coincides with $Poc(\Gamma^{\times})$, as the strong components of Γ^{\times} are all degenerate (singletons).

¹⁶⁴² The following proposition list some important obvious corollaries of this construction.

¹⁶⁴³ **Proposition 9.6.** Let Γ be a weakly acyclic poc graph over Σ . Then:

(a) The map $eq: \Sigma \to \overline{\Sigma}$ is a poc morphism from $Poc(\Gamma^{\times})$ onto $Poc(\Gamma)$.

(b) The fibers $\{eq(a)\}_{a \in \Sigma}$ of the map eq are transverse subsets of $Poc(\Gamma)$.

1646 (c) For any subset $A \subset \Sigma$ one has $A \uparrow = eq^{-1} (eq(A) \uparrow)$.

Statements (a),(b) of the proposition establish the precise relationship between the poc set — here denoted $Poc(\Gamma^{\times})$ — originally proposed in [31] and the "reduced" weak poc set $Poc(\Gamma)$ we have chosen to work with, obtained through the introduction of equivalences according to figure 6(b).

Statement (c) becomes important in the context of propagation, section 5.2.2, establishing the equivalence of propagation over $\Gamma|_t = \text{Dir}(\mathbf{S}|_t)$ with closest point projection in the model space $\mathbf{M}|_t = \text{Cube}(\mathbf{P}|_t)$ where $\mathbf{P}|_t = \text{Poc}(\Gamma)$ (cor 9.17).

1654 9.5. Local Structure of Duals and Greedy Navigation

In [31] we suggested exploring the link between the convexity theory of duals of weak poc sets and planning in DBAs, yet the formal results contained therein proved insufficient for supporting the planning algorithms proposed in this paper. This section fills in this gap.

Throughout this section we fix a finite weak poc set P and the median graph $\Gamma =$ Dual(P) (which is to say, Γ is an arbitrary finite median graph). We study the problem of computing the image of a non-empty convex subset $\mathfrak{h}(S)$ of Γ under the closest point projection of Γ to the convex subset $\mathfrak{h}(T)$.

1663 9.5.1. Gates

¹⁶⁶⁴ We recall the following definitions and results from [71]:

1665 **Definition 9.7.** Let $K, L \subseteq P^{\circ}$ be sets. The set

$$\operatorname{sep}(K,L) = \{ a \in P \, | K \subseteq \mathfrak{h}(a) \}, \ L \subseteq \mathfrak{h}(a^*) \}$$
(36)

1666 is called the separator of K and L.

The inequality $\Delta(u, v) \ge |sep(K, L)|$ follows immediately for all $u \in K$ and $v \in L$. This motivates:

Definition 9.8. Let $K, L \subseteq P^{\circ}$. A gate for K, L is a pair of points $u \in K, v \in L$ such that $\Delta(u, v) = |sep(K, L)|$.

¹⁶⁷¹ The following result is well known in our setting:

Proposition 9.9. Let K, L be non-empty convex subsets of Γ and let $u \in K$ and $v \in L$. Then u, v form a gate for K, L if and only if $\operatorname{proj}_K v = u$ and $\operatorname{proj}_L u = v$. Moreover, any pair of non-empty convex subsets of Γ has a gate.

1675 We will apply this proposition without proof. An important consequence for us is the 1676 following:

Lemma 9.10. Suppose $K = \mathfrak{h}(S)$ and $S \subset P$ is coherent. Then, for any $a \in P$, if ¹⁶⁷⁸ $K \subseteq \mathfrak{h}(a)$ then there exists $s \in S$ such that $s \leq a$.

Proof. Let $u \in K$ and $v \in L := \mathfrak{h}(a^*)$ form a gate. Since $v \notin A$, there exists $s \in S$ such that $v \in \mathfrak{h}(s^*)$.

Suppose there were a $w \in B$ with $w \in \mathfrak{h}(s)$, and consider $m = \operatorname{med}(u, v, w)$. Then $a \in v, w$ implies $a \in m$, but the inequality

$$\Delta(u, v) = \Delta(u, m) + \Delta(m, v) \ge \Delta(u, m)$$
(37)

implies m = v, since $v = \text{proj}_L u$. On the other hand, $s \in u, w$ implies $s \in m - a$ contradiction.

Thus, we have shown that $L = \mathfrak{h}(a^*)$ is contained in $\mathfrak{h}(s^*)$. Equivalently, $a^* \leq s^*$, which is the same as $s \leq a$.

¹⁶⁸⁷ The same kind of reasoning yields:

Lemma 9.11. Suppose K, L are non-empty convex subsets of Dual(P). If $K \cap L \neq \emptyset$, then $\text{proj}_K L = \text{proj}_L K = K \cap L$.

Proof. Clearly, if $v \in K \cap L$ then $\operatorname{proj}_L(v) = v$, so $K \cap L \subset \operatorname{proj}_L K$. For the reverse inclusion, suppose $v \in \operatorname{proj}_L K$ and write $v = \operatorname{proj}_L u$, $u \in K$. Pick any point $w \in K \cap L$. Setting $m = \operatorname{med}(w, v, u)$ we note that $m \in L$ (because $w, v \in L$) and

$$\boldsymbol{\Delta}(u,v) = \boldsymbol{\Delta}(u,m) + \boldsymbol{\Delta}(m,v) \ge \boldsymbol{\Delta}(u,m) \ .$$

The uniqueness of projection forces $v = \operatorname{proj}_L u$ to coincide with m. However, since $w, u \in K$ we also have $m \in K$, showing $v \in K \cap L$.

1692 9.5.2. Computing the Projection Maps

For a vertex $u \in P^{\circ}$ and any subset $A \subset u$, one defines:

$$[u]_{\star} := (u \smallsetminus A) \cup A^* \tag{38}$$

Clearly, $[u]_A$ is a *-selection. It is easily verified that $[u]_A$ is coherent if and only if there exists no pair $a \in A$ and $b \in u \smallsetminus A$ satisfying b < a. This observation was first made in [72], leading to the following results in our setting:

Lemma 9.12. Let P be a finite weak poc set and let $u \in P^{\circ}$ be any vertex. Then the set N(u) of vertices adjacent to u in $\Gamma = \text{Dual}(P)$ coincides with the set of all $[u]_a$, a ranging over the minset of u:

$$\min(u) := \{ a \in u \mid b < a \Rightarrow b \notin u \}$$

$$(39)$$

More generally, the cubes in Cube(P) are characterized as follows:

Lemma 9.13. Let P be a finite weak poc set and $u \in P^{\circ}$ be a vertex. Then the cubes of Cube(P) incident to u are in one-to-one correspondence with the transverse subsets of min(u).

A particular application of these observations is an explicit construction of a geodesic path in Γ emanating from a given vertex u and terminating at its unique closest point projection $\operatorname{proj}_{\mathfrak{h}(T)} u$:

Proposition 9.14. Let P be a finite weak poc set and suppose $u \in P^{\circ}$ is a vertex. Let T be a coherent subset of P. Then the following algorithm constructs a shortest path in Γ from u to $K = \mathfrak{h}(T)$:

- 1710 1. Find an element $b \in T \setminus u$; if no such element, stop and output u.
- 1711 2. Find an element $c \leq b^*$ with $c \in \min(u)$;
- ¹⁷¹² 3. Replace u by $[u]_c$ and go to the first step.

1713 *Proof.* We have $u \in K$ iff $T \subset u$, which provides the stopping condition for the algorithm. 1714 Now, if $u \notin K$ and $b \in T \setminus u$ then for all $v \in K$ one has $v \in \mathfrak{h}(b)$ and $u \in \mathfrak{h}(b^*)$. Since 1715 $c \leq b^*$, we have $u \in \mathfrak{h}(c) \subseteq \mathfrak{h}(b^*)$, implying $v \in \mathfrak{h}(c^*)$ and $c \in u \setminus v$. As a result:

$$\Delta(v, [u]_{\circ}) = \Delta(v, u) - 1 \tag{40}$$

Having reduced $\Delta(u, v)$ by a unit for all $v \in K$, we have reduced $\Delta(u, K)$ by a unit as well.

Corollary 9.15 (Projection of a Point). Let *P* and *T* be as above. Then the closest point projection to $K = \mathfrak{h}(T)$ is given by the formula:

$$\operatorname{proj}_{K} u = (u \smallsetminus T^{*} \downarrow) \cup T \uparrow = (u \cup T \uparrow) \smallsetminus T^{*} \downarrow$$

$$\tag{41}$$

Proof. The second equality follows from the DeMorgan rules and the fact that $T \uparrow \cap T^* \downarrow = \emptyset$ (since T is coherent).

Set $K = \mathfrak{h}(T)$ and proceed by induction on $\Delta(u, K)$. If $\Delta(u, K) = 0$, then $u \in K$ and therefore $T \subset u$. In addition, u is coherent and we conclude $T^* \downarrow \cap u = \emptyset$, leaving us with

$$u \smallsetminus T^* \downarrow \cup T = u \cup T = u,$$

as desired. Now suppose $n := \Delta(u, K) > 0$. By the preceding proposition, there is $a \in T^* \downarrow \cap u$ such that $v := [u]_a \in P^\circ$, $\Delta(v, K) = n - 1$, and $\operatorname{proj}_K u = \operatorname{proj}_K v$. We thus have:

$$\operatorname{proj}_{K} u = \operatorname{proj}_{K} v = (v \smallsetminus T^{*} \downarrow) \cup T \uparrow = (u \smallsetminus T^{*} \downarrow) \cup T \uparrow,$$

the last equality being due to $a \in T^*$ and $a^* \in T$. Thus, the first identity has been proved.

1724 9.5.3. Projecting a Convex Set to a Convex Set

Proposition 9.16. Let K, L be non-empty convex subsets with $L = \mathfrak{h}(S)$ and $K = \mathfrak{h}(T)$. Then

$$proj_{K}L = \mathfrak{h}((S \uparrow \cup T \uparrow) \smallsetminus T^{*} \downarrow)$$

= $\mathfrak{h}(T) \cap \mathfrak{h}(S \uparrow \smallsetminus T^{*})$ (42)

1727 Proof. Since T is coherent, $T\uparrow$ and $T^*\downarrow = T\uparrow^*$ are disjoint. This allows us to write:

$$\mathfrak{h}((S\uparrow \cup T\uparrow) \smallsetminus T\uparrow^*) = \mathfrak{h}(T\uparrow \cup (S\uparrow \smallsetminus T\uparrow^*))$$

= $\mathfrak{h}(T\uparrow) \cap \mathfrak{h}(S\uparrow \smallsetminus T\uparrow^*)$

and the second equality in (42) follows from the identity $\mathfrak{h}(T) = \mathfrak{h}(T\uparrow)$. Denote $R = S\uparrow$ T^{*} and $N = \mathfrak{h}(R)$.

For every $u \in L = \mathfrak{h}(S)$ we have $S \uparrow \subset u$, implying $\operatorname{proj}_{K} u$ contains $T \uparrow \cup R$, by corollary 9.15. Thus, $\operatorname{proj}_{K} L \subset K \cap N$, as required.

For the converse, observe that the case $K \cap L \neq \emptyset$ was already dealt with in lemma 9.11: if $K \cap L \neq \emptyset$, then

$$\operatorname{proj}_{K} L = K \cap L = \mathfrak{h}(S\uparrow) \cap \mathfrak{h}(T\uparrow) = \mathfrak{h}(S\uparrow \cup T\uparrow)$$

In particular, $S \uparrow \cup T \uparrow$ is coherent, and hence does not intersect $T^* \uparrow$, and the formula (42) holds.

Thus we may henceforth assume $K \cap L = \emptyset$. Equivalently, $S \uparrow \cap T^* \downarrow \neq \emptyset$. In fact, by lemma 9.10 we have $S \uparrow \cap T^* \downarrow = \operatorname{sep}(A, B)$.

Starting with $v \in K \cap N$ we must show $v \in \operatorname{proj}_K L$. Set $u = \operatorname{proj}_L v$, $w = \operatorname{proj}_K u$, and $m = \operatorname{med}(u, v, w)$. Then $m \in K$ since $v, w \in K$. Since $K \cap L = \emptyset$, we have $\Delta(u, v) > 0$ and $\Delta(u, w) > 0$. Consider the point m: we have $m \in I(u, w)$ and $m \in K$; by the choice of w, m must equal w and therefore $w \in I(u, v)$. Thus, $w = \operatorname{proj}_{K} u \in I(u, v)$ and $u = \operatorname{proj}_{L} w$. By proposition 9.9, the pair u, w is a gate for K, L and we have

$$u \smallsetminus w = \operatorname{sep}(L, K) = S \uparrow \cap T^* \downarrow$$
.

Consider an element $a \in v \setminus u$. If $\mathfrak{h}(a) \cap L \neq \emptyset$, pick $u' \in \mathfrak{h}(a) \cap L$. Then $m = \operatorname{med}(u, v, u')$ will satisfy $m \in \mathfrak{h}(a) \cap L$ as well as

$$\Delta(v,L) = \Delta(v,u) = \Delta(v,m) + \Delta(m,u) .$$

Now, $\Delta(u,m) > 0$ since $u \in \mathfrak{h}(a^*)$ and a contradiction to $u \operatorname{proj}_L v$ is obtained. Thus, $\mathfrak{h}(a) \cap L$ must be empty, which means $L \subseteq \mathfrak{h}(a^*)$. Applying lemma 9.10 we obtain $a^* \in S^{\uparrow}$.

Overall, we have shown that $v \\ \leq S \\^*$. We will now verify that $v \\ w = \emptyset$, finishing the proof. Indeed, were it not so, there would have been $h \\\in v \\ w$. On one hand, $w \\\in I(u, v)$ implies $v \\ w \\\subset v \\ w$, and hence $h^* \\\in S \\^*$. On the other hand, $h \\\notin w$ means $h^* \\\in w$ and therefore $h^* \\\notin sep(L, K) = S \\\uparrow \\\cap T \\\uparrow^*$, which forces $h^* \\\in R$. Since $R \\\subset v$ (by choice of v), we have $h^* \\\in v$, contradicting our choice of h.

¹⁷⁴⁴ We will need the following technical corollary for the purposes of propagation:

1745 Corollary 9.17. Let $S, T \subset P$ be subsets and suppose S is coherent. Let $L = \mathfrak{h}(S)$ and 1746 $K = \mathfrak{h}(coh(T))$. Then:

$$\operatorname{proj}_{K}L = (S \uparrow \cup T \uparrow) \smallsetminus T \uparrow^{*} = (S \uparrow \smallsetminus T \uparrow^{*}) \cup \operatorname{coh}(T)$$

$$(43)$$

Proof. Recall that $\operatorname{coh}(T) = T \uparrow \ T \uparrow^*$, and set $J = T \uparrow \cap T \uparrow^*$, so that $T \uparrow = \operatorname{coh}(T) + J$ and $T \uparrow^* = \operatorname{coh}(T)^* + J$. Then,

$$\begin{array}{lll} (S \uparrow \cup T \uparrow) \smallsetminus T \uparrow^* & = & ((S \uparrow \cup \operatorname{coh}(T) \cup J) \smallsetminus \operatorname{coh}(T)^*) \smallsetminus J \\ & = & (S \uparrow \cup \operatorname{coh}(T)) \smallsetminus \operatorname{coh}(T)^* \end{array}$$

Since $\operatorname{coh}(T) \uparrow = \operatorname{coh}(T)$, the last expression equals $\operatorname{proj}_K L$, by the preceding proposition. The proof of the second equality is similar.

Table	1:	Table	of	Mathematical	Symbols
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	Topic/Notation	Ref.
	General Notation	
$\langle f:x\rangle$	Evaluation of $f \in 2^X$ on $x \in X$	Remark 2.5
$S\uparrow$	Forward closure of a set S in a poset or in a directed graph	Eqn. (34)
	DBA Model (general)	
$\mathbf{E}, \mathbf{X}, \mathbb{T}$	Environment, State and Time	Sec.2.1
pos	The position map $\mathbf{X} \to \mathbf{E}$	Sec.2.1
$ _t$	Reads as: "at time t "	Def.2.1
	DBA model (sensing)	
Σ	Binary sensorium	Def.2.3
$S(\mathbf{\Sigma})^0$	The set of *-selections on Σ	Def.2.7
ρ	Realization map of the sensorium Σ	Def.2.3
$\langle a:x angle$	Evaluation, e.g. of $a \in \Sigma$ on $x \in \mathbf{X}$	Remark 2.5
	DBA computational model (at time t)	
$\mathbf{S} _t$	Agent's snapshot	Sec. 5.2.1
$\Gamma _t$	The derived poc graph, $\text{Dir}(\mathbf{S} _t)$	Sec. 5.2.1
$\mathbf{P} _t$	Derived (weak) poc set structure on Σ , $Poc(\mathbf{S} _t)$	Sec. 3.2
$\mathbf{M} _t$	The model space $Cube(\mathbf{P} _t)$	Sec. 3.3
$\mathbf{M}^{\times} _{t}$	The punctured model space $\mathtt{Cube}^{\times}(\mathbf{P} _t, \rho)$	Def. 22
$O _t$	Unprocessed observation	Def. 2.6
$S _t$	Recorded observation	Sec. 3.6
$A _t$	Decision (action) following the observation	Sec. 2.4
	Contents/parameters of a snapshot S	
K_{Σ}	The complete graph on Σ with all aa^* edges removed	Def.4.1
$\#\mathbf{S}$	State of the snapshot	Def.4.2(a)
w_{ab}	Weight on the edge ab	Def.4.2(b)
$ au_{ab}$	Learning threshold for the pair $a, b \in \Sigma$	Def.4.2(c)
$\omega(ab)$	Orientation cocycle of ${f S}$	Prop.4.9
$\delta(ab)$	Dissimilarity measure of ${f S}$	App.9.4
	Objects derived from a snapshot S	
$\mathtt{Dir}(\mathbf{S})$	Derived poc graph	Prop.4.10
$\mathtt{Poc}(\mathbf{S})$	Derived weak poc set structure	Def.4.11
	Weak poc sets and their duals	
$\mathbf{P},\mathbf{Q},\ldots$	Poc sets (with and without indices)	Def.3.3
$S(\mathbf{\Sigma})$	The cubical complex of *-selections on Σ	Def.2.9
\mathbf{P}°	The set dual of \mathbf{P} , the 0-skeleton of $\mathtt{Cube}(\mathbf{P})$	Def. 3.12(b)
$\mathtt{Dual}(\mathbf{P})$	Dual graph of \mathbf{P} , the 1-skeleton of $\mathtt{Cube}(\mathbf{P})$	Def. 3.12(c)
$\mathtt{Cube}(\mathbf{P})$	Dual cubing of the poc set \mathbf{P}	Def. 3.12(a)
$\mathtt{Cube}^{\times}(\mathbf{P},\rho)$	The punctured dual with respect to a realization ρ of $\pmb{\Sigma}$	Def. 3.17
f°	The dual map $f^{\circ}: Q^{\circ} \to P^{\circ}$ of a poc morphism $f: P \to Q$	Defs. 3.7, 8.5