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Manufacturing of Non-Egg Based Influenza Vaccine

Abstract

Influenza is an annual health hazard with only about one-third of people in the United States receiving vaccinations for this pathogen. Epidemics are estimated to affect between 5% and 15% of the global population annually. Annually, the WHO estimates epidemics to result in between 3 and 5 million severe cases which lead to between 250,000 and 500,000 deaths. In industrialized countries, most of these deaths occur among victims who are chronically ill or are 65 years of age or older. In developing countries, particularly in tropic areas where transmission occurs year round, there is a higher rate of death to infection. For example in 2002, Madagascar experienced 800 deaths in 27,000 recorded cases of influenza over a 3 month period (WHO).

Recently, international awareness, spearheaded by the World Health Organization (WHO), has been paid to influenza since the pandemic outbreak and subsequent vaccine shortage during the H1N1 outbreak. Since then the WHO has published a set of guidelines to encourage production of safer and increasingly potent influenza vaccines for a greater number of recipients each year. WHO is attempting to increase public and private sector awareness of the importance of influenza vaccination to prevent any subsequent pandemics.

Current vaccines are produced in live, embryonated chicken eggs resulting in potential allergic reactions from animal products in the vaccines. Furthermore, this process is time-consuming and labor intensive, and the live, attenuated virus is considered to be a potential health risk for populations with suppressed immune function such as children, the elderly, and those who are sick or immunocompromised. Egg shortages can cause massive vaccine shortages, especially since only two companies currently produce most of the United States' influenza vaccines. We propose that virus- like particles offer a more robust and safer alternative to current vaccine manufacturing. Currently, FluBlok is a product that utilizes recombinant influenza antigens to produce a vaccine. We plan to take this strategy another step to creating replication-deficient, native conformation viruses to induce an immune response. These particles will retain all structural similarity to native virus and have been shown to produce more robust responses with smaller doses. Furthermore, these particles will carry no risk of influenza infection upon administration. Virus-like particles will become the next generation of vaccines, such as those for human papilloma virus currently manufactured, and should rectify the problems associated with egg-based production of influenza vaccines.

The proposed process is a fed-batch operation that will create about 100 million influenza vaccines during each influenza infection season from November to February, using insect cell lines and the baculovirus expression vector system (BEVS) to induce lytic formation of virus-like particles. This process will be performed in a single-use, disposable fermentation train with single-use components integrated in the purification process to take advantage of the timesaving techniques and disposable equipment. The production of influenza vaccines is time-sensitive with a limited duration of vaccine production from WHO's publishing strains to product shipment. Single-use equipment will be used to allow maximization of production time and minimization of down-time in this process.

Disciplines

Biochemical and Biomolecular Engineering | Chemical Engineering | Engineering

Department of Chemical & Biomolecular Engineering Senior Design Reports (CBE)

University of Pennsylvania

Year 2013

MANUFACTURING OF NON-EGG BASED INFLUENZA VACCINE

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3300 Walnut St Philadelphia, PA April 9, 2013

Professor Leonard Fabiano Dr. Miriam Wattenbarger University of Pennsylvania, Chemical and Biomolecular Engineering

Room 311A Towne Building 220 South 33rd Street University of Pennsylvania Philadelphia, PA 19104-6393

Dear Advisors,

The following enclosure is a detailed design of our solution to the growing concerns regarding the traditional egg based platform for the flu vaccine. We propose an innovative process that uses insect cells and the baculovirus expression system to produce virus-like particles, mimicking influenza. The process is designed in such a way as to easily incorporate annual changes in influenza strain and virulence. Furthermore, the facility is designed to accommodate extensive vaccine production in pandemic crises. The facility will be based entirely on single-use equipment to decrease lag time between strain identification and vaccination availability and to insure strict adherence to FDA regulation. Our plant is expected to manufacture 86,184,000 doses of trivalent seasonal influenza vaccine, and it is capable of manufacturing 344,736,000 doses of monovalent influenza vaccines during a pandemic situation.

The enclosed report documents our entire design process and decisions that were made during the formulation of the vaccine and manufacture facility. The report also contains a competitive analysis, market analysis, and financial models of the vaccine company.

This report contains all necessary information about the cell line selection for vaccine production and selection of necessary equipment. With the use of this report, an existing vaccine company would be able to design a plant to produce a non-egg based flu vaccine. This report also provides all necessary information that the company would require in order to make a decision to invest or not invest in this new product.

We submit this report for your review with our strongest recommendations of the potential success of a new non-egg based influenza vaccine.

Sincerely,

Erin Kinnevy

John Vogel

Ian Penkala

April Soohoo

Manufacturing of Non-Egg Based Influenza Vaccine

CBE 459 - Spring 2013

Erin Kinnevy, Ian Penkala, April Soohoo, John Vogel

Project Advisor: Dr. Miriam Wattenbarger

Project Recommendation: Dr. Tiffany Rau

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1.0 Abstract

Influenza is an annual health hazard with only about one-third of people in the United States receiving vaccinations for this pathogen. Epidemics are estimated to affect between 5% and 15% of the global population annually. Annually, the WHO estimates epidemics to result in between 3 and 5 million severe cases which lead to between 250,000 and 500,000 deaths. In industrialized countries, most of these deaths occur among victims who are chronically ill or are 65 years of age or older. In developing countries, particularly in tropic areas where transmission occurs year round, there is a higher rate of death to infection. For example in 2002, Madagascar experienced 800 deaths in 27,000 recorded cases of influenza over a 3 month period (WHO).

Recently, international awareness, spearheaded by the World Health Organization (WHO), has been paid to influenza since the pandemic outbreak and subsequent vaccine shortage during the H1N1 outbreak. Since then the WHO has published a set of guidelines to encourage production of safer and increasingly potent influenza vaccines for a greater number of recipients each year. WHO is attempting to increase public and private sector awareness of the importance of influenza vaccination to prevent any subsequent pandemics.

Current vaccines are produced in live, embryonated chicken eggs resulting in potential allergic reactions from animal products in the vaccines. Furthermore, this process is time-consuming and labor intensive, and the live, attenuated virus is considered to be a potential health risk for populations with suppressed immune function such as children, the elderly, and those who are sick or immunocompromised. Egg shortages can cause massive vaccine shortages, especially since only two companies currently produce most of the United States' influenza vaccines. We propose that viruslike particles offer a more robust and safer alternative to current vaccine manufacturing. Currently, FluBlok[™] is a product that utilizes recombinant influenza antigens to produce a vaccine. We plan to take this strategy another step to creating replication-deficient, native conformation viruses to induce an immune response. These particles will retain all structural similarity to native virus and have been shown to produce more robust responses with smaller doses. Furthermore, these particles will carry no risk of influenza infection upon administration. Virus-like particles will become the next generation of vaccines, such as those for human papilloma virus currently manufactured, and should rectify the problems associated with egg-based production of influenza vaccines.

The proposed process is a fed-batch operation that will create about 100 million influenza vaccines during each influenza infection season from November to February, using insect cell lines and the baculovirus expression vector system (BEVS) to induce lytic formation of virus-like particles. This process will be performed in a single-use, disposable fermentation train with single-use components integrated in the purification process to take advantage of the timesaving techniques and disposable equipment. The production of influenza vaccines is time-sensitive with a limited duration of vaccine production from WHO's publishing strains to product shipment. Single-use equipment will be used to allow maximization of production time and minimization of down-time in this process.

2.0 Introduction

Vaccines are an increasingly important cost-effective prophylactic measure against widespread disease, particularly for diseases of high morbidity or mortality or of pandemic proportions. Influenza is a virus that fits the latter category, for many of its strains have caused global pandemics and it recurs on a yearly basis. The entire population is at risk of contracting influenza, and it is especially virulent in children and the elderly, especially since it spreads as an aerosol. Influenza is responsible for about 17,000 to 51,000 deaths annually in the United States and global pandemic death tolls reach the millions (Kang).

Influenza is a lipid-encapsulated RNA virus consisting of four surface proteins essential for infection and replication: M1, M2, neuraminidase (NA) and hemagglutinin (HA). There exist two subclasses of influenza, A and B. Each year, the World Health Organization (WHO) issues a recommendation of the influenza A and B HA and NA variants most likely to cause disease. Classically, based on these recommendations, trivalent influenza vaccines have been produced in embryonated chicken eggs, consisting of two influenza A variants and a single influenza B variant, which are then activated and adjuvanted to increase the immune response. These vaccines are inefficient in children and the elderly, and antigenic drift can greatly decrease their efficacy in the rest of the population. Lately, egg shortages combined with increasingly virulent influenza strains (H1N1) have rendered this method of vaccine production archaic and inefficient for meeting the ever-increasing demand for yearly vaccination. A new method of vaccine production involving industrial cell culture would greatly increase the number of vaccines available. Current influenza vaccines are either produced from inactivated egg-grown virus particles or from trivalent recombinant HA proteins (rHA) grown in mammalian cells (Flucelvax, approved November 2012). While the recombinant protein vaccine is egg-free, it requires expensive mammalian cell culture and yields a protein product that is not entirely in its native conformation. Recently, virus-like particles (VLPs) have become a popular alternative to traditional inactivated or recombinant protein vaccines such as the human papilloma virus (HPV) vaccine currently on the market. VLPs can display any protein of interest on their surface in the native conformation found on the mimicked virus. However, these VLPs do not contain the necessary components for replication or infection (see Figure 2.1), so serve as a method to safely introduce antigenic material to the body as if it were an active virus (D'Aoust).

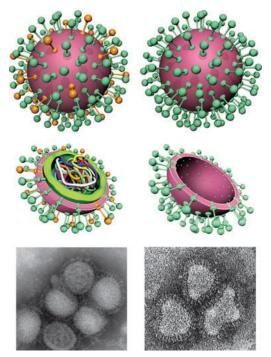


Figure 2.1 Virus particles(left) compared to VLPs (right). Note the missing genomic material in the VLPS as well as the simplified proteins in the membrane compared to the fully functional virus. The electron micrographs demonstrate their similarity in macrostructure. Reprinted from Landry, N. *PLoS One*.Volume 8, 2010.

VLPs present a much smaller chance of infection or side-effects when compared with the other vaccines available, and their immunogenic response is considerably more robust, on the order of a five-fold increase (Kushnir). Influenza is a lipid membrane-enclosed virus, obtaining this coating from the infected cell as it buds involving no internal protein capsid. This simplicity of viral structure allows for a simplified purification process, since it is considerably more thermodynamically stable than a VLP containing capsid proteins, keeping the downstream processing equipment similar to that used for rHA or inactivated virus vaccines (Roldao).

In the case of influenza VLPs, studies have demonstrated that the M1 matrix ion channel proteins in combination with HA are necessary and sufficient to induce VLP formation (Galarza). These VLPs tend to form with diameters between 80 and 120nm, about the same size as the influenza virus particles. Since these requirements for VLP budding are minimal, most of the protein production of the cells can be directed towards the production of HA rather than M1, such that the majority of the protein produced is HA on the surface of individual VLPs. The efficacy of vaccine is related to its ability to present HA in its native conformation to the immune system. The immunogenicity of VLPs is already about five-fold greater than that of rHA proteins or inactivated viruses. HA production will be dominant in the insect cells by insuring the upstream promoter is activated earlier in the life cycle of the virus, HA will be considerably more concentrated than M1, creating VLPs densely populated with HA surface proteins for a more robust vaccine.

The baculovirus expression vector system consists of the infection of an insect cell line with the baculovirus, which is only virulent to insect cells. These baculoviruses are recombinant and contain a DNA that the host cell will produce. Upon infection the host insect cells will produce large amounts of protein encoded by the recombinant baculovirus DNA. These proteins, mostly HA and in much smaller quantities, a matrix protein, will induce the formation of VLPs at sites of aggregation near the insect cell membrane. This process will result in VLPs made of insect cell membrane with the desired antigenic material to immunize against influenza on the surface. The baculoviruses are consumed in the process of VLP formation. The design and execution of the baculovirus constructs for each HA subtype and the method of promoter selection to provide the correct relative amounts of HA and M1 production are outside of the scope of this project, but have been mentioned for completeness of theoretical design. Furthermore, the verification of VLP budding and the average concentration of HA on the surface of each VLP would be carried out on the bench-top using HA activity assays and electron microscopy to determine the exact characteristics of the influenza VLPs.

2.1 Project Charter

Project Name: Influenza Virus-Like Particle Vaccine Biopharmaceutical Process

Project Team: Erin Kinnevy, Ian Penkala, April Soohoo, John Vogel

<u>Project Goal</u>: Design a biopharmaceutical plant and process to produce egg-free influenza vaccines

Project Scope:

In-Scope

- Observe cGMP in producing influenza vaccines, obeying all safety and health regulations
- Design the production stream from small scale fermentation to final product purification
- Design both a pilot- and a manufacturing-scale plant
- Deliver a product from R&D to final production in under six months
- Maintain a profit margin
- Price the vaccine competitively with egg-based vaccines
- Create an animal-free influenza vaccine

Out-of-Scope

- Baculovirus research and formulation
- Influenza strain research
- Toxicology studies
- Proof-of-Concept studies
- Clinical trials
- Product packaging & distribution
- Cell-line screening and testing
- Air flow profiles of facility

Deliverables

- Production timeline & individual batch timeline
- Achievement of regulatory requirements
- Technical feasibility assessment & supporting literature
- Business feasibility assessment & cost sensitivity analysis
- WHO vaccine guideline fulfillment

2.2 Innovation Map

Overall, the production of a non-egg based influenza vaccine is innovative, as it is a relatively new to the market. In regards to the production facility, the upstream process's innovations are specific to the single-use, stirred-tank bioreactors, and choice of other single-use technologies.

The downstream process follows the same path as traditional influenza vaccines, and thus the only innovation is the use of disposable technology as opposed to stainless steel equipment. As a result, an innovation map for the downstream process has not been included; the single-use technology innovations have the same advantages as in the upstream process.

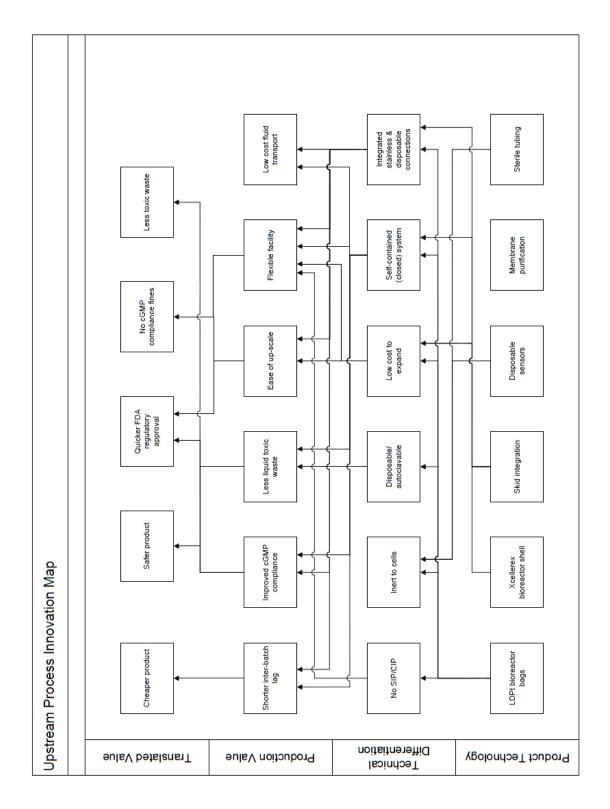


Figure 2.2 Upstream Process Innovation Map. The innovation map identifies key features of the upstream process for the non-egg based influenza vaccine system based on the process design and equipment choice.

2.3 Expression System Selection

A major focus of biopharmaceutical companies is to develop new technologies as alternatives to egg-based culture for production of viral vaccine antigens. Alternatives currently under development involve direct expression of selected viral antigens in mammalian cells, insect cells, plant cells, and avian cells. For the purposes of this design project, all of these alternatives were explored for their potential to overcome both the safety and efficacy limitations of egg-based vaccine production.

The mammalian cell lines under investigation included Madin-Darby Canine Kidney (MDCK) cells, Vero cells, and PERC6 cells. While these cell lines have been associated with high-titer productivity of influenza strains, few studies have reported on large-scale production. Other possible limitations involved with mammalian cell lines include the difficulty in selecting defined cell lines with the ability to propagate in a chemically defined medium under serum-free conditions and the vigorous testing required for clearance of adventitious or oncogenic agents (Montomoli). Plant cell lines and prokaryotic cell lines were eliminated based on the difficulties involving land and transportation routes, separations, and protein folding (D'Aoust).

Avian and insect cell lines showed the most benefits with high suspension growth, scalability, inexpensive growth conditions, and rapid turn-over rates. After analyzing the benefits and challenges associated with each of the alternatives, the insect cell line, SF², similar to the SF+ line developed by Protein SciencesTM, was designed by the R&D group as the VLP production system. Rather than using a cell culture-based platform, hemagglutinin (HA), the active component of the influenza vaccine, will be produced using recombinant DNA methods and the baculovirus expression vector system (BEVS).

Table 2.1 shows several influenza vaccines that are in development by different

biopharmaceutical companies (PCAST).

| Vaccine/Manufacturer | Type | Mode of Preparation | Adjuvant |
|--|--|------------------------------------|-----------|
| FluBlok™/Protein Sciences | Soluble protein (HA), trivalent | Insect Cell Culture/Baculovirus | None |
| PanBlock/Protein Sciences | Soluble protein (HA) | Insect Cell Culture/Baculovirus | None |
| Influenza/Novavax | VLP Insect Cell Culture/Baculovirus | | None |
| Pandemic & Seasonal Influenza/Lentigen | VLP | Human Cell Culture/Lentivirus | None |
| Pandemic & Seasonal Influenza/Medicago | VLP | Plant Cell Culture | None |
| Pandemic & Seasonal Influenza/Vaxinnate | and avian protein | | Flagellin |
| Universal Influenza/Dynavax | Soluble protein | E. Coli | CpG |

Table 2.1 Competing influenza vaccines made from non-egg based platforms that are currently in development, in clinical trials or on the market (PCAST).

The insect cells will be cultured in bioreactors and infected with the recombinant baculovirus, which is then purified and formulated into a vaccine. The specific cell line to be used for the vaccine production is SF^2 , a cell line derived from SF9 cells with a specific phenotype and genotype ideal for biomanufacturing. SF^2 cells have been proven to be non-tumorigenic, free of adventitious agents and retroviruses, and can remain stable for at least 50 passages, according to prior studies during the development phase of this cell line.

The BEVS platform offers several advantages over the other methods: (1) the recombinant HA is highly purified without egg derivatives, (2) the cloning, expression, and manufacturing of the recombinant HA is less time-consuming, (3) the production

system is highly scalable, (4) recombinant HA in insect cells has been tested in clinical trials with a positive safety record (McPherson).

2.4 Baculovirus Expression System

The baculovirus expression system (BEVS) has been chosen as the basis for the influenza vaccine production for its proven effectiveness in a variety of research applications. Baculovirus expression vectors have been used extensively for the production and characterization of virus-like particles (VLPs). The expression of baculovirus in insect cell cultures in particular represents a robust method for producing recombinant glycoproteins, and has been shown to be a reliable system for recombinant protein expression (Kushnir).

The pharmaceutical industry has shown that BEVS is an industrially relevant platform for the production of complex biologics. Vaccines such as GlaxoSmithKline's CervarixTM, a bivalent human papillomavirus vaccine was produced using the BEVS and was approved in 2009 for commercialization in the USA. Other candidate vaccines produced using the BEVS such as PanBlockTM and NovavaxTM are in late-stage development, and one, FluBlokTM, has been approved by the FDA. The biosafety of baculoviruses provide additional advantages to using this system. Baculoviruses are incapable of infecting mammals and plants and they have a restricted range of hosts that they can infect, which is typically restricted to a closely related insect species. The welldocumented safety profile of this expression system has lead to its increasing use in the production of biologics and other research applications (Kost).

The expression system works by designing baculoviruses to display foreign peptides and proteins on virus particles. When using the BEVS for vaccine production, mathematical models are used to help predict optimal conditions, aid in the control and operation of the process and help to formulate novel hypotheses. The overall development process is predominantly characterized by the cell growth period, which is followed by the addition of the virus. The cell growth period is typically modeled exponentially and constrained by either depletion of a limiting nutrient or by accumulation of toxic metabolic by-products. In the case of insect cells, however, no limiting nutrients or toxic metabolic by-products have currently been identified (Kost, Aucoin).

An additional concern when using the BEVS is the distribution of virus and quantification of baculovirus. The uptake of the virus once it is added to the system can have many important implications on further processing. For example, the amount of baculovirus added to the system can dramatically affect the production capacity of the system. However, the multiplicity of infection and the distribution between the insect cells in the population and the virus are aspects of this process that remain outside the scope of this design project.

3.0 Concept Stage

3.1 Total Market and Competitive Analysis

The company is targeting the annual production of seasonal influenza vaccines in the North American and European markets. In addition, the company must be able to respond to a pandemic. In 2004, the world market for influenza vaccines was \$1,525 million in revenues, and in 2008, \$3,400.1 million. Based on a compound annual growth rate of 14.0% from 2008-2013, it is projected that in 2013 the world market for influenza vaccines will reach \$6,546 million in revenues (World Market), of which approximately two thirds, or \$4,364 million can be estimated as part of the target markets.

Currently, the market is dominated by the production of influenza vaccines in an egg-based platform. However, this platform limits the population the vaccine is available to due to egg and feather allergies. In addition, this platform is also associated with long lead times, difficulties scaling up, and a less consistent, reproducible means of vaccine production, along with vulnerabilities from egg shortages from issues such as the avian flu. In particular, the egg-based platform struggles to create enough vaccines in a timely manner for a pandemic situation (Harding).

Vaccine companies are now branching out to produce flu vaccines using alternative expression systems from cell cultures in order to overcome these challenges. The FDA recently approved two seasonal flu vaccines produced from cell cultures-FlucelvaxTM, by NovartisTM, produced from MDCK cells, in November 2012, and FluBlokTM, by Protein SciencesTM, produced from insect cells, in January 2013 (Willyard). With growing research in the field of cell culture vaccine production, the company must be able to compete with both these vaccines, as well as vaccines produced from the egg-based platform. Influenza vaccines produced from cell cultures remains a largely new innovative field, which leaves room for this company to grow and expand into this market share. Furthermore, this company has the potential to expand into the market for other vaccines, since this method of production is also feasible system for other types of vaccines besides influenza.

3.2 Principal Competition Production Level and Sales

As a world-leading vaccine company, production must meet or exceed that of other major companies. Currently, NovartisTM, Sanofi PasteurTM, AstraZenecaTM, GSKTM, and CSLTM are leaders in the flu vaccine market (Kresse). In 2011, Sanofi PasteurTM produced over 200 million seasonal vaccine doses (Sanofi Pasteur fact sheet). Based on this number and annual growth of the market, it is estimated that the company will need to produce 100 million vaccine doses for the North American and European markets in 2013.

In response to the A(H1N1) 2009 pandemic, Sanofi Pasteur[™] recorded the production of over 250 million vaccine doses (Sanofi Pasteur fact sheet). This number represents an estimated maximum production level this new platform must be able to meet. Overall, in order to remain competitive in the market, the company must be able to produce each flu vaccine at a cost of \$1 per dose.

3.3 Customer Requirements

As an alternative to the egg-based flu vaccine, this new insect cell based flu vaccine must meet the same general customer requirements. These would include manufacturing protocols following FDA guidelines as well as FDA approval of the final flu vaccine product. In addition, the vaccine must also be stable, and either meet or exceed the industry standard for quality for a price similar to those of current competitive egg-based vaccine products.

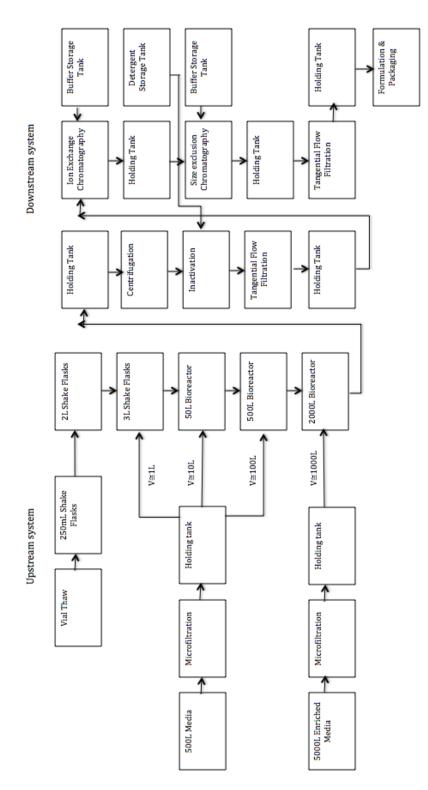


Figure 3.1 Block Flow Diagram. Outlines the process flow of the upstream and downstream systems. Note that three separate upstream and downstream process designs will operate in the facility to run each of the influenza strains.

4.0 Process Flow Diagrams

The following pages contain the process flow diagrams and material balances describing the manufacturing platform for the production of the non-egg based influenza vaccine. Although not shown in the block separate process flow diagrams, four lines will be included in the upstream and downstream processes. This is to ensure complete separation and purification of each of the viral strains to be included in the final product and a back-up line for the sake of a potential pandemic outbreak.

4.1Upstream Process

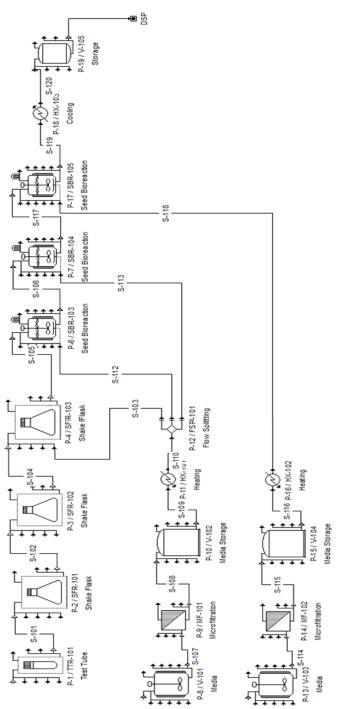


Figure 4.1 Upstream Process Diagram. Outlines the process flow of the upstream system using SuperPro Designer. Note that three separate upstream process designs will operate in the facility to run each of the influenza strains.

4.2 Downstream Process

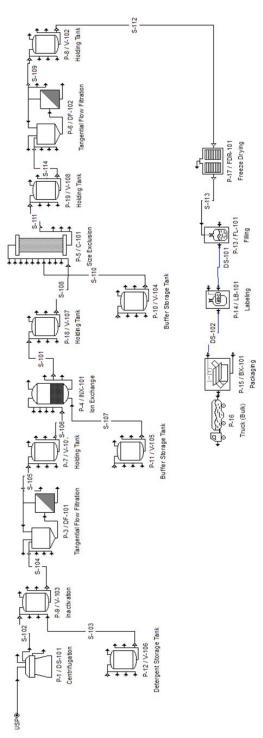


Figure 4.2 Downstream Process Diagram. Outlines the process flow of the downstream system using SuperPro Designer. Note that three separate downstream process designs will operate in the facility to run each of the influenza strains.

4.3 Overall Material Balance

| Table 4.1 Overall Component Balance. | Outlines initial value | es, final values | , inputs, outputs | , and change in values for |
|---------------------------------------|------------------------|------------------|-------------------|----------------------------|
| each component in the overall system. | | | | |

| Overall Component Balance (kg/batch) | | | | | |
|--------------------------------------|------------|----------|----------|---------------|--------|
| Component | Input | Output | Produced | Consumed/Lost | Out-In |
| EXCELL Medium | 102.71 | 34.51 | 0 | 68.20 | 0 |
| Biomass | .0.0000126 | 12.60 | 12.60 | 0 | 0 |
| VLP (3 strains) | 0 | 0.42 | 0.60 | 0.18 | 0 |
| Glucose | 19.10 | 0 | 0 | 19.10 | 0 |
| Pluronic F-68 | 3.3348 | 3.3348 | 0 | 0 | 0 |
| Dry Air | 13360.149 | 10554.52 | 0 | 2805.63 | 0 |
| Sodium Bicarbonate | 1.10 | 0 | 0 | 1.10 | 0 |
| Triton X-100 | 10500 | 10500 | 0 | 0 | 0 |
| TNBP | 3150 | 3150 | 0 | 0 | 0 |
| Water | 3334.8 | 3334.8 | 0 | 0 | 0 |

4.4 Utility Requirements

| (1) | Amount Per 3 Batches (Liters) | Number Sets of Batches | Total (L) | Cost (USD/L) | | |
|-----------------------------|--|--|---|---------------------------------|-----------------------------|---------------------------------|
| Water (Low Endotoxin) | 3334.8 | 6 | 20008.8 | 15.125 | | |
| (11) | Heat Exchanger Requirement (kg/sec) | Heat Exchanger Requirement (L/sec) | Operation Time (Seconds) | Number of Heat Exchangers | Total (L) | Cost (USD per foot cubed) |
| Water | 0.88673809 | 0.88673809 | 15778800 | 9 | 12592496 6.8 | 0.03 |
| (111) | Elucidation and Column Water (L per train) | Number of Downstream Trains | Number of Batches | Total (L) | Cost | |
| Water (Low Endotoxin) | 20 | 3 | 6 | 360 | 15.125 | |
| (111) | Amperage per Pump (Ampere s) | Voltage per Pump (Volts) | Power Per Pump (kW) | Number of Pump s | Operatio n Time (Hrs) | Power Needed (kW-hr) |
| Electricity | 6.5 | 115 | 0.7475 | 27 | 4383 | 88459.8975 |
| (IV) | Estimated Additional Plant Electricity (kW-hr) | Estimated Plant Operation Electricity Cost | | | | |
| Electricity | 5547335.738 | 338387.48 | (From Lang: 2% of Equipment Cost) | | | |

| Utility | Annual Requirement | Annual Cost (USD) |
|-------------------------------|--------------------|-------------------|
| Water (L) | 125924966.8 | \$133,410.07 |
| Low Endotoxin Water (L) | 20368.8 | \$308,078.10 |
| Electricity (kW-hr) | 5635795.635 | \$343,783.53 |

5.0 Process Descriptions

5.1 Upstream Process

The upstream process is used to culture SF^2 cells, and then infect the cells to produce VLPs. For each of the three influenza strains produced each year, a separate upstream process is run. The process begins with a vial thaw of 1.4×10^7 cells, scaled up to 1.4×10^{13} cells with fermentation using a series of shake flasks and bioreactors. After cell growth is complete, cells are infected to produce VLPs before entering the downstream process for isolation and purification.

5.1.1 Shake Flasks (P-1/TTR-101, P-2/SFR-101, P-3/SFR-102)

The scaling of SF² cells begins in shake flasks sized at 250mL, 2L, and 3L. Shake flasks will have a working volume that is a fraction of the flask size (50mL, 250 mL, 1000mL respectively) and will be used to grow cells at a ten-fold for each scaleup. Each scaling will take 3.32 days.

5.1.2 Bioreactors

Following the use of the shake flasks, bioreactors will be used to continue the scale-up of the number of SF^2 cells for vaccine production. Each bioreactor will be filled to a particular working volume, and will be used to grow cells to a final a ten-fold concentration. For this growth rate, the time spent in each bioreactor is 3.32 days. The bag bioreactors will receive media in a fed-batch process while under constant monitoring and feedback control of temperature, pH, dissolved oxygen (DO), and biomass. In the final 2000L bioreactor in each upstream process train, a virus titer will be

added to each bioreactor in order to provide a multiplicity of infection (MOI) of one. This will insure that the maximum number of cells will be infected without causing excessive infection. The contents will continue to be fermented for three days to insure maximum VLP production then harvested and sent to the downstream process train.

5.1.3 Harvest Bags

Harvest bags will be used to line the bioreactors as part of the single-use technology implementation. The bags are fitted with ports and disposable probes for simple interfacing with the bioreactor shells. This technology will eliminate CIP, SIP, and WFI requirements in the upstream process, decreasing both costs and batch turnover time. The harvest bags come sterilie-wrapped and ready to line the bioreactors. The bags will be changed in between batches, and will be disposed as solid biohazard waste.

5.1.4 Media Prep

Dry media for SF² cells will be enriched with sodium bicarbonate to maintain pH and mixed with filtered air and sterile water before being fed to the bioreactors. The media comes enriched with appropriate amounts of glucose, glutamine, pyruvate, calcium, and Pluronic F-68 to reduce shear. The media will be diluted in a ratio of 30.8 grams of media to one liter of water. Media will be sterile filtered and warmed to 28°C before addition to the flask or bioreactor.

5.1.5 Media Holding Bags

Media holding bags made of biologically inert low-density polyethylene (LDPE) will be used to line the holding totes in order to create a disposable process. This will eliminate CIP, SIP, and WFI requirements, thus decreasing costs and batch turnover time. These bags can be discarded in the solid biohazard waste stream and can be replaced within an hour. The bags will be pressurized slightly to maintain positive pressure, preventing contamination.

5.2 Downstream Process

The downstream process for the purification of influenza viruses includes clarification, concentration methods centered on diafiltration and centrifugation, chemical inactivation and treatment, further chromatography separations, and final polishing. Competing vaccine production companies generally require: whole virion inactivated cell culture-derived human influenza vaccines (1) 15 μ g of HA per strain and dose, (2) total protein content no more than 100 μ g of protein per virus strain and human dose, (3) bacterial endotoxins must be less than 25 IU per human dose, and (4) residual host cell DNA must be less than or equal to 10 ng per single human dose (Wolff).

5.2.1 Disk-Stack Centrifugation

To concentrate the influenza virus, high-speed centrifugation is used. Centrifugation is a preferred method for clarifying cell cultures from batch sizes of 2,000 L or larger. When a disk-stack centrifuge is used, cell debris in the centrifuge is minimized for better cell recovery and concentrate clarification (O'Brien).

5.2.2 Depth Filtration

Depth filtration is used to concentrate insoluble larger particles from the suspending medium. This process is conducted in two phases: first a filter with an openpore structure removes cell debris, then a filter with a tighter pore structure removes colloidal matter. The disposable depth filtration system consists of a set of inlet and outlet single-use manifolds with vents and a flexible number of capsule filters placed between the manifolds. Permeate flux, transmembrane pressure, and pore size are important parameters that influence process efficiency. To minimize losses, a pore diameter of 172 nm or less should be used for influenza A and B. An optimal pore size of 300 kDa and a wall shear rate of 5700 Hz have been previously identified for influenza strains. Under these conditions, virus losses based on HA activity were negligible (Vincente 2011), with total protein and host cell DNA reduction of 90% and 93% (Wolff 2008).

5.2.3 Virus Inactivation

To prohibit the advancement of untreated viral particles into the downstream purification process, any remaining baculovirus must undergo inactivation. Incubation for 30 minutes in 1% Triton X-100 and 0.3% tri(n-butyl)phosphate (TNBP) is sufficient to inactivate all remaining baculovirus particles in the fermentation broth. The filtrate will be transferred to a 1000L tank and charged with Triton X-100 and TNBP at 1% and 0.3% respectively post-mixing. Incubation at room temperature for 30 minutes will disrupt any remaining virus. This process can continue for several hours without damaging the VLPs at the detergent concentrations used (Rueda 2000, Pantua 2006)

5.2.4 Anion Exchange Chromatography

Ion exchange chromatography is a separation method, which relies on charge interactions between the virus particle and the charges on the chromatography resin. This step follows size exclusion chromatography to remove residual host cell DNA, protein contaminants, amino acids, and ions remaining from the fermentation reactions in a positive and negative mode. The detergents used to inactivate remaining baculovirus particles and inactivated baculovirus particles will also be removed during this chromatography step. Influenza VLPs have an acidic pI of 5.0, making anionic exchange more favorable for this process. The Sepharose Q ion exchange matrix is used with a loading buffer conditioned with 0.65 M NaCl. The resin capacity can be improved with optimal loading and washing conditions, which have been found to be at a pH of 6.0 (Bernd, Kalfbuss, Wolff). This process will largely remove media components and host cell DNA.

5.2.5 Size Exclusion Chromatography

Size exclusion chromatography (SEC) is used to separate the virus particles from contaminating host cell proteins and nucleic acids as well as inactivated baculovirus particles. Sepharose chromatography with a fractionation range of 70-40,000 kDa typically leads to a viral recovery of approximately 38%. The combination of ultrafiltration with size-exclusion chromatography leads to an overall virus recovery of 36% with a protein and DNA reduction of 96% and 99%, respectively (Liu, Wolff).

5.2.6 Tangential Flow Filtration

Following the chromatography units, tangential flow filtration is carried out to further concentrate the VLPs. Tangential flow filtration with microfiltration membranes produces a clear stream that requires minimal filtration before loading on a column. The buffer composition for this process is composed of 137 mM sodium chloride, 2.7 mM potassium chloride, 1.5 mM potassium dihydrogen phosphate, and 10 mM sodium phosphate dibasic (2). In this type of filtration, the fluid is pumped tangentially along the surface of the membrane and an applied pressure serves to force a portion of the fluid

through the membrane to the filtrate side. Molecules that are too large to pass through the membrane pores are swept away by the tangential flow (T. Brien, L. Brown, D. Battersby et al.).

6.0 Major Unit Descriptions

6.1 Equipment Selection

The selection of insect cells for the process allows for the choice between stainless steel and disposable equipment based facilities. Traditionally, stainless steel facilities are used for vaccine production, however currently there is a greater push in the industry to move towards disposable facilities, particularly in the areas of contract development and manufacturing.

With these two types of equipment sets available, there are three options that may be used moving forward for a commercial manufacturing facility. The first option uses traditional stainless steel equipment, which requires both SIP and CIP accommodations. The second option utilizes all disposable technologies, and the third option uses a combination of both traditional and disposable equipment.

Traditional facilities are advantageous in that large companies most commonly use them, and thus are already approved by regulatory agencies. In addition, the stainless steel equipment provides barriers for pathogens from the environment. The traditional facilities also are more environmentally friendly than disposable systems, since there would be less solid wastes and plastic accumulation (Mauter).

However, despite challenges that arise from using innovative technology such as disposable systems, disposable facilities offer several advantages. For example, disposable equipment provides several areas for cost savings, including initial equipment capital, process footprint, plant design costs, and removing the requirement for costly and timely CIP and SIP processes. In addition, disposable facilities increase efficiency of production by reducing process turnaround time and increasing safety in product changeover by eliminating cross-contamination and easing site-to-site transfer of materials (Whitford, Eibl). Despite rises in environmental impact from solid wastes from disposable equipment, these systems offer the advantage of decreasing the use of environmentally toxic cleaning agents and a lesser need for water treatments (Mauter). The single use equipment comes sterilized and will be replaced for each batch, thus eliminating exhaustive cleaning and validation procedures.

Disposable systems are a newer technology and the industry is still cautious about them. At the present time, disposable equipment has scalability limitations with an upper limit of a 2000L vessel. However, for this company's facility this proves to be irrelevant. Another major concern with the use of disposable equipment is the potential of extractables and leachables into the vaccine development process. Currently, there is a greater push for regulations and guidelines to minimize potential problems (Martin).

An in depth economic analysis was completed to further compare the different types of facilities. Based on a quantitative analysis comparing single-use disposable technology versus traditional stainless steel vessels for a monoclonal antibody process on a 2000L fermentation scale from 2012 (Sinclair, Monge). This study estimates the investment cost of the disposable facility at approximately \$25.6 million versus a stainless steel facility at approximately \$32.5 million. The difference in cost is largely due to the removal of costly procedures such as CIP and SIP. Using a 7-year MACRS table, these estimations were used as total depreciable capital. Profits were estimated based on production of 100 million doses, priced at \$8.12 each, for a total profit of \$1.2 billion each year. After depreciation, profits, interest rates, and tax rates are taken into account, the present value of the disposable facility is found to cost approximately \$21.2

million, while the present value of the traditional facility is found to be \$26.9 million (see Appendix A). Based on this the cost analysis and other benefits such as increased efficiency and faster batch turn around, a single-use disposable facility was chosen for the company's vaccine production.

6.2 Upstream Process

6.2.1 Shake Flasks (P-2/SFR-101, P-3/SFR-102, P-4/SFR-103)

Polycarbonate flasks (250mL, 2L, 3L) will be purchased from Corning for growing SF² cell in suspension culture, passaging cell lines, and seeding the 50L bioreactor. These flasks are gamma-irradiation sterilized and individually packaged to ensure no culture contamination. The lids are filter-vented to insure sterility and prevent contamination while allowing adequate gas exchange. They will be incubated in shaking incubators at 28°C at 90rpm. These flasks will be manipulated within a biosafety cabinet and are disposable in the biohazard waste stream.

6.2.2 Aseptic Transfer Equipment (S-101, S-102, S-104)

As shown in the process flowsheet, the streams labeled as S-101, S-102, and S-104 will be used Biosafe® Aseptic Transfer Equipment for transferring the material from the shake flasks. The equipment will be supplied from Sartorius Stedim with the purchase of storage tanks and bags. The aseptic transfer ports offer reliable and easy-to-use solutions for secure transfer of fluids. They are cost effective and have enhanced sterility assurance. All materials are compliant with 21 CFR Part 177.2600, 21 CFR Part 177.1630, and CFR Part 177.2470. The recommended temperature range for this equipment is 5-30°C, which is compliant with the 28°C temperature associated with the insect cell culture and processing. The materials of construction are stainless steel AISI 316 L, PETP, and silicone/EPDM for the gaskets. 6.2.3 Main Bioreactors (P-6/SFR-103, P-7/SFR-104, P-17/SFR-105)

The 50L, 500L, and 2000L bioreactors are the Xcellerex model from GE Life Sciences, and are sized at maximum capacity to enable room for larger culture sizes and future scale-up. The minimum working volumes are 20% of the maximum working volumes: 10L, 100L, and 400L respectively. The reactors are placed in series from 50L to 2000L, with each reactor's final product seeding the next reactor after three days of fermentation. These reactors are outfitted for disposable operation such that only the plastic liners need to be replaced between batches, cutting the time required between batch operations to just under one hour. This disposable technology also eliminates the necessity of both SIP and CIP procedures.

These reactors are outfitted with 2 pH probes, 2 DO probes, three pumps, an integrated electrical safety circuit, temperature control hardware, a sparger, and an agitator.

The disposable culture bags have an inert USP Class VI low-density polyethylene culture contact surface, a disposable pressure sensor, four Brooks mass flow controllers, complementary tubing, multiple culture access ports, filtered gas lines, multiple supply ports, and an agitation system. The agitation system consists of a high-density polyethylene impeller mounted at the bottom with a set of microporous stainless steel disks. Control of the system is based on the Rockwell/Allen Bradley Controller with Wonderware HMI. The controller allows for a closed loop monitoring and control of agitation, gas mixing, liquid agitation & removal, pH, weight, sparging, gas overlay, temperature, and DO. The control system also features real-time data collection, reporting, and trending, using PID control to aggressively maintain steady-state

conditions. The reactors will be purchased from GE at \$213,519, \$233,717, and \$930,446, respectively.

In the last bioreactor in the upstream process, the cells will be infected at the beginning of day 2 after beginning fermentation in the vessel. This will be preformed under aseptic conditions with a high-titer virus stock developed and prepared by R&D. This stock will contain the baculoviruses necessary for the infected cells to produce VLPs with the correct HA surface protein. The cells will be infected at a MOI of one to insure adequate infection: not many cells will survive the lytic VLP production process, and there will be no excess of virus remaining post-production. This MOI allows simple and efficient deactivation of the virus and separation of the few remaining host cells while providing a maximum number of active VLPs.

6.2.4 Pump (S-105, S-106)

The pumps connecting the final shake flask in the line to the first bioreactor and the first bioreactor to the 500 L bioreactor will be purchased from Cole-Palmer TM for \$4,640. Cole-Palmer TM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the cell broth to the bioreactors. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.2.5 Harvest Bags

Harvest bags are fitted to particular bioreactors, and thus will be purchased alongside each bioreactor from the same manufacturer. From Xcellerex, 50L, 500L, and 2000L fully-integrated XDR bioreactor bags will be purchased for \$447 each. These harvest bags are of USP Class VI, and have a LDPE fluid contact layer. The bags are also gamma irradiated, and follow validation guides. Each bag includes C-flex tubing, aspetic connectors, multiple feed/addition lines, a harvest line, filters (exhaust, sparge, overlay), a pressure sensor, sampling and probe ports, a thermowell, and a proprietary XDR impeller/sparge system.

6.2.6 Pumps (S-103, S-112, S-113)

The pumps connecting the media holding tank to the 3L shake flask and 50L and 200L bioreactors will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the 500 L media tank to the shake flasks and reactors. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.2.7 Media Holding Bags

Flexel® bags from Sartorious will be purchased with the plastic holding tanks for a combined cost of \$1,682 or \$2,915, depending on the volume. These are single use

bags designed for processing, mixing, storage, and transport of large volume of biopharmaceutical solutions. After use, the media holding bags will be disposed as solid biohazard waste.

6.2.8 500 L Holding Tanks (P-8/V-101, P-10/V-102)

For media and intermediate cell culture storage, the Plastic Palletank® from Sartorious will be used. For the first line, transferring media to the Shake Flask, and first two bioreactors, a 500 L storage tank will be purchased for \$2,915. This tank is designed for storage and processing of biopharmaceutical fluids, and can be used for both media storage and bulk intermediate hold in the upstream process. They are designed to be lined with Flexel® 3D bags, and meet in-process and storage requirements while minimizing required floor space in a plant.

6.2.9 Pump (S-107, S-108, S-114, S-115)

The pump connecting media to the filtration unit will be purchased from Cole-Palmer TM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the media tank to the filtration unit. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.2.10 Sterile Filtration (P-9/MF-101, P-14/MF-102)

Sterile filtration is a further clarification step that will be used for reactor medium. The upstream process will consist of two media storage tanks per line, one for media transferred to the final shake flask and first two bioreactors in series, and the second for media transferred to the production bioreactor.

The filtration system is made of cellulose fibers that are designed to trap submicron particles in their crevices. The Encapsulated Zeta Plus (EZP) System will be purchased from 3M Purification Inc. for \$9,000.

This is a single-use system designed for production scale biomanufacturing, which consists of a filter holder, top and bottom manifolds and capsules. The EZP system features ergonomically designed holders that can pivot for easy loading and unloading, and a translucent plastic shell for convenient liquid level detection. The large holder (model 16EZB) accommodates up to 17.5 m² of filter media and has the capability of processing up to 5000 L of liquid. The system requires the Zeta Plus EXT filter media (Zeta Plus 60SP02A), which is available in a single-use format (EZP Data Sheet).

6.2.11 3000 L Holding Tanks (P-13/V-103, P-15/V-104)

For media and intermediate cell culture storage before transfer to the 2000 L Bioreactor, the Palletank® In-Process Fluid Handling Tank from Sartorious Stedim will be used. This tank is designed for storage and processing of biopharmaceutical fluids, and can be used for both media storage and bulk intermediate hold in the upstream process. They are designed to be lined with Flexel® 3D bags, and meet in-process and storage requirements while minimizing required floor space in a plant.

6.2.12 Heat Exchanger (P-11/HX-101, P-16/HX-102)

For warming the medium prior to tank charging and cooling of the fermentation broth to prepare for downstream processing, it will be necessary to add heat to the liquid media streams through cGMP certified heat exchanger units. These units will operate such that the contents remain sterile throughout the heat transfer process and will be optimized to handle the maximum flow rates from the pump units. The heat exchangers were designed by our team and the unit most closely resembling our heat transfer area calculations will be purchased from Enerquip for this purpose.

6.2.13 Cooler (P-18/HX-103)

A cooler is necessary to chill the jackets of medium storage tanks and to chill the fermentation broth after completion of the upstream process. It will function as a refrigeration unit that sends coolant through pipes leading to vessel jackets. This system will allow the process to be flexible in terms of cooling requirements in case of added load or added storage vessels during periods of high demand.

6.3 Downstream Process

After the upstream process is complete, the medium will be aseptically transferred to a different location for the downstream purification. This will be done through a single-use transfer system with presterilized connectors and tubing. In addition to faster production, this system eliminates time that would be spent on CIP and SIP and reduces the risk of cross contamination.

6.3.1 Disk-Stack Centrifuge (P-1/DS-101)

Centrifugation with disk-stack bowls is used as the first step in the downstream processing. This method is preferred in biopharmaceutical processing for clarifying cell cultures from batch sizes larger than 2000 L. The harvested fluid and cultivation broth are clarified with low-speed centrifugation and the virus is later purified in an ultracentrifugation step. The virus solution is centrifuged through a sucrose gradient, which generally ranges from 20-60%, with the target virus at 40-45% sucrose.

The Carr® UniFuge® Pilot from Carr Centritech Separation Systems is purchased for \$400,000 and can be readily connected to the plant's other single-use bioreactor connections. This single-use disposable module is designed for gentle harvesting of shear-sensitive biological materials. Due to its single-use feature, this centrifugation system has no CIP or SIP requirements. The UniFuge® module is completely automated with flexible cycle parameter entry so that once the module has filled with cells, the controllers stops the rotor and discharges. The machine operating conditions include a feed flow range of 0.1-4 liters per minute and a bowl capacity of 1.6 liters (UniFuge® Data Sheet). 6.3.2 Pump (S-102)

The pump connecting the centrifuge to the virus inactivator tank will be purchased from Cole-Palmer[™] for \$4,640. Cole-Palmer[™] provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex[®] B/T[®] (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the centrifuge to the inactivator. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex[®] B/T[®] is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.3 Detergent Storage Tank (P-12/V-106)

The detergent for viral inactivation will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.4 Pump (S-103)

The pump connecting the storage tank to the virus inactivator tank will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the storage tank to the inactivator. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.5 Virus Inactivation Tank (P-9/V-103)

To prohibit the advancement of untreated viral particles into the downstream purification process, any remaining baculovirus must undergo inactivation. Incubation for 30 minutes in 1% Triton X-100 and 0.3% tri(n-butyl)phosphate (TNBP) is sufficient to inactivate all remaining baculovirus particles in the fermentation broth. The filtrate will be transferred to a 1000L tank and charged with Triton X-100 and TNBP to final volume percentages of 1% and 0.3% respectively. Incubation at room temperature for 30 minutes will disrupt any remaining baculovirus particles. This process can continue for several hours without damaging the VLPs at the detergent concentrations used (Rueda 2000, Pantua 2006). The vessels will be purchased from Sartorius Stedim at a cost of \$1,682.

6.3.6 Pump (S-104)

The pump connecting the virus inactivator tank to the depth filtration device will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the inactivator tank to the filtration device. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.7 Depth Filtration (P-3/DF-101)

Depth filtration is a further clarification step that follows the initial disk-stack centrifugation in downstream processing. The depth filtration system is made of cellulose fibers that are designed to trap submicron particles in their crevices. The Encapsulated Zeta Plus (EZP) System will be purchased from 3M Purification Inc. for \$9,000.

This is a single-use system designed for production scale biomanufacturing, which consists of a filter holder, top and bottom manifolds and capsules. The EZP system features ergonomically designed holders that can pivot for easy loading and unloading, and a translucent plastic shell for convenient liquid level detection. The large holder (model 16EZB) accommodates up to 17.5 m² of filter media and has the capability of processing up to 5000 L of liquid. The system requires the Zeta Plus EXT filter media (Zeta Plus 60SP02A), which is available in a single-use format.

6.3.8 Pump (S-105)

The pump connecting the depth filter to the holding tank will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from depth filter to the holding tank. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is

suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.9 Depth Filtration Holding Tank (P-7/V-101)

The fluid from the depth filtration unit will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.10 Pump (S-106)

The pump connecting the holding tank to the ion exchange chromatography column will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the holding tank to the ion exchange chromatography column. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.11 Chromatography Resin Storage Tank (P-11/V-105)

The chromatography resin for the first line of ion exchange chromatography columns will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.12 Pump (S-107)

The pump connecting the chromatography resin tank to the ion exchange chromatography column will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the resin material from its tank to the chromatography column. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulktransfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.13 Anion Exchange Chromatography Column (P-4/INX-101)

Ion exchange chromatography is used for further purification and separation of the product. Ion exchange chromatography uses the principle of binding based on charge differences between the resin and the product. The ReadyToProcess Q Sepharose FF chromatography column from GE Life Sciences will be used for this process and purchased for \$166,000. This system is designed for scale-up and production of biopharmaceuticals and is part of GE's single-use, disposable platform. These columns are closed units and the design allows easy disposal after completion. The system includes a column tube, lids, support nets, support screens, stream stoppers, hose connections, welding tubing, filter holders, media packing valves, a hose for the inlet tubing, O-rings, and TC gaskets. The column hardware and components are made from polypropylene, polyetheretherketone, Tygon 2275 Polyolefin, Fluorocarbon rubber, and Ethylenpropylenediene. The column has a bed volume of 2.5 L, with a minimum liquid temperature of 4°C and a maximum pressure of 1.2 bar (17 psi).

The column is pre-packed with bioprocess medium. Capto Q is a strong anion exchanger, and is resistant to chemical agents used in recovery. This media allows for fast mass transfer and is intended for capture and intermediate large-scale purification. The Capto Q media must be stored in 20% ethanol, at a pH between 2 and 12, and at a temperature between 4°C and 30°C. The filtrate containing the VLPs will be sent through the column at 300cm/h flow rate, and the column will be primed to bind DNA and any remaining baculovirus after the inactivation step while allowing the VLPs to flow through the column with less of an ionic affinity (GE Data sheet). The column will then be eluted into the waste stream and reset for the next batch. This column will remove the remaining medium components not filtered out during the filtration step or consumed during the fermentation reaction. The column run time is about 20 minutes per VLP strain batch based on size and flow rate calculations from column size and flow rate data shown on the process specification page. 6.3.14 Pump (S-108)

The pump connecting the ion exchange chromatography product to the holding tank will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the product from the first chromatography column to the holding tank. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.15 Ion Exchange Holding Tank (Sartorius Stedim, P-18/V-107)

The remaining product from the first line of chromatography columns will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.16 Pump (S-101)

The pump connecting the holding tank to the size exclusion chromatography column will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-

speed wash-down will be used to transfer the material from the holding tank to the second chromatography column. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex **®** B/T **®** is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.17 Chromatography Resin Storage Tank (Sartorius Stedim, P-10/V-104)

The chromatography resin for the second line of chromatography columns will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.18 Pump (S-110)

The pump connecting the chromatography resin tank to the size exclusion chromatography column will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the resin from the holding tank to the column. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids. 6.3.19 Size Exclusion Chromatography Column (P-5/C-101)

Chromatography is performed to bind and elute host cell impurities. The ReadyToProcess platform from GE Life Sciences is used for its single-use features and large-scale purification capabilities. Benefits of this column include quick start-up times and fast on-site maintenance without special tools or trained personnel. The system will be purchased for \$166,000.

The Capto Core 700 chromatography resin from GE Life Sciences will be used for this process. Capto Core 700 was recommended for its design, which focuses on intermediate purification and polishing of viruses. Capto Core 700 offers many performance advantages over typical gel filtration, which often causes a bottleneck in processing due to low flow rates and limited sample loads. Capto Core 700 is designed for high capacity, high flow velocities, and a high number of operational cycles. Capto Core 700 is composed of an inactive shell of highly cross-linked agarose, which excludes large molecules with a molecular weight cut off of 700 kDA. The inner core is ligand activated, hydrophobic and positively charged to induce binding of viral contaminants. The HA molecules on the VLP coat will prevent strong affinity for the column core, as described in the equipment test presented on the equipment data sheet. The column run time is about 40 minutes under average flow rate conditions but will be optimized to elute VLPs on a laboratory scale prior to manufacturing scale production.

6.3.20 Pump (S-111)

The pump connecting the size exclusion chromatography column to the holding tank will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides

peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variablespeed wash-down will be used to transfer the product from the column to the holding tank. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.21 Size Exclusion Holding Tank (Sartorius Stedim, P-19/V-108)

The product from the second line of chromatography columns will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.22 Pump (S-114)

The pump connecting the holding tank to the tangential flow filtration device will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex \mathbb{B} B/T (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the holding tank to the TFF device. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.23 Tangential Flow Filtration (P-6/DF-102)

Tangential flow filtration, also referred to as cross-flow filtration, with microfiltration membranes is used to clarify the culture broth. A major advantage of this process is that it requires minimal filtration before loading on a column. The Pellicon XL50 series from Millipore is purchased for \$200,000. The system is capable of processing batch sizes of 1 to 10,000 liters of feed material. The Pellicon XL50 system is composed of a positive displacement type pump for low shear operation, pressure sensors, temperature sensors, and magnetic flowmeters on feed. The filtration tank has a total volume of 890 L and a working volume of 600 L (Pellicon XL50 Data sheet). The filtration will be used to reduce the water in the downstream process from 1000 L to 20 L, which will simplify and economize downstream processing. This unit operation will also reduce the mass of remaining medium components from the fermentation reactions based on the amount of water lost. The concentration of these ions will be the same on both sides of the membrane, so a reduction of water from 1000 L to 200 L will result in a loss of 80% of the medium components considered to be contaminants in the downstream process.

6.3.24 Pump (S-109)

The pump connecting the tangential flow filtration tank to the holding tank will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for

large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the filtration tank to the holding tank. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.25 Tangential Flow Filtration Holding Tank (P-8/V-102)

The product from the tangential flow filtration unit will be stored in the Plastic Palletank® from Sartorius Stedim for \$1,682. This storage tank is a single-use piece of equipment to ensure process and user safety. Sartorius Stedim provides these single-use platforms for lower initial investment, process optimization, and faster time to market. The Palletank® is available in volumes of 200L and 500L and must be used with the Flexel® 3D Bags.

6.3.26 Pump (S-112)

The pump connecting the holding tank to the freeze dryer will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the holding tank to the freeze dryer. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is

suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

6.3.27 Pump (S-113)

The pump connecting the product from the freeze dryer to the filler will be purchased from Cole-PalmerTM for \$4,640. Cole-PalmerTM provides peristaltic pumps for large-scale biopharmaceutical processes to ensure sterility and a high degree of precision. The Masterflex® B/T® (12-321 rpm, 115 V) with variable-speed wash-down will be used to transfer the material from the freeze dryer to the filler. This model includes a new Rapid-Load pump head for fast and easy tubing changes. The Masterflex® B/T® is suitable for high-flow bulk-transfer and the gentle peristaltic action is ideal for pumping shear sensitive fluids.

7.0 Additional Equipment/Processes

7.1 Formulation & Final Packaging

Once the Active Pharmaceutical Ingredient has been obtained through downstream processing, the formulation procedure will be taken care of by another facility to prepare the product for the domestic market. The product will be shipped to a Formulation, Filling, and Packaging group where the secondary process parameters will be performed.

7.2 Filter Integrity Tester

Filters are used for sterilization of process components, specifically media ingredients, so it is imperative that these filters work properly to prevent adventitious agents from forming in the many fermentation stages. The integrity tester from Sartorius is compatible with the Sartorius filters used in this process. The filter tester is automated and simple to use. The filters are tested before installation into the process in the interbatch phase of operation. This tester is required per regulatory requirements and to insure cGMP operating conditions.

7.3 Tube Fusers/Tube Sealers

The sterile tube fuser is a fully automated device used to connect thermoplastic tubing in a sterile operation. The use of sterile tube fusing eliminates the need for a laminar flow cabinet. The tube fuser machine uses infrared technology along with disposable, single-use blades. The unit can connect large diameter tubing for easy transfer of large volumes, and each fusing cycle is approximately two minutes. The tube fuser will be purchased from Sartorius Stedim for \$15,300.

The tube sealer is used in conjunction with the tube fuser to seal bioprocess bags and bioreactors. The combined unit does not require compressed air or cooling water or any other additional accessories for operation. The tube sealer will be purchased from Sartorius Stedim for \$10,200.

7.4 Biosafety Cabinet

Biosafety cabinets are essential for laboratory scale cell culture work to provide both sterility and workplace safety. They operate using sterile-filtered laminar air flow to prevent contamination and provide a clean workspace for cell manipulations. These will be essential for the small scale manipulations required for seeding the larger fermenters. Shake flasks will be manipulated within the biosafety cabinet, and samples will be removed from reactors and manipulated under the biosafety cabinets for cGMP compliance testing protocols. These cabinets will have an 8 in. sash and will contain all of the necessary equipment for cell culture manipulations. They will be purchased from and installed by Thermo Fisher Scientific.

7.5 Incubator

A shaking incubator kept at 28°C is necessary for optimum cell growth while in shake flasks. The incubator will remain sterile and have copper surfaces to prevent microbial and fungal growth and will have a self-autoclaving feature. The incubator will be used to grow cells in all stages of shake-flask culture and will operate at 90rpm.

7.6 Cryopreservation Bank

A cryopreservation bank will be used for storage of the insect cell culture. Due to pre-existent cell culture lines within the facility, the -80°C and ultra-low -160°C freezers from Panasonic will already be provided in the manufacturing plant. Equipment and protocols for cell preservation will be followed according to Sigma-Aldrich's *Cell Culture Laboratory Handbook*-2nd Edition.

8.0 Unit Specification Sheets

The following pages contain the unit specification sheets for the equipment used in this vaccine manufacturing facility. Equipment models and prices have been obtained through contact with vendors, from equipment lists from CDI Engineering Group, or from sources such as BioProcess International and BioPharm International.

8.1 Upstream Section

250 mL Shake Flask (P-2/SFR-101)

| Function: | First phase of cell growth in 50 mL from storage in liquid nitrogen | |
|-----------------------|---|---|
| Vendor: | Corning | |
| Operation: | Batch | |
| Materials Handled: | Input | Quantity |
| | Medium | 3.08 g |
| | Biomass | 0.042 g |
| | Water | 100 g |
| | Total | 103.1 g |
| Characteristics: | Model: | 250mL Polycarbonate Erlenmeyer Flask with |
| | | Vent Cap |
| | Material: | Polycarbonate |
| | Flask Type: | Sterile |
| | Working Volume: | 50 mL |
| | Total Volume: | 250 mL |
| | Sterilization: | Single use |
| Operating Conditions: | Temp: | 28°C |
| | Pressure: | 1 atm |
| | Speed: | 90 rpm |
| | pH: | 6.2 |
| Purchase Cost: | \$595.89 | (50 flasks) |

| Function: | Second phase of cell growth to increase biomass, 250 mL working volume | | |
|-----------------------|--|--|--|
| Vendor: | Corning | | |
| Operation: | Batch | | |
| Materials Handled: | <u>Input</u> | Quantity | |
| | Medium | 7.7 g | |
| | Biomass | 0.42 g | |
| | Water | 250 g | |
| | Total | 258.1 g | |
| Characteristics: | Model: | 2L Polycarbonate Erlenmeyer Flask with Vent Cap | |
| | Material: | Polycarbonate | |
| | Flask Type: | Sterile | |
| | Working Volume: | 0.25 L | |
| | Total Volume: | 2 L | |
| | Sterilization: | Single use | |
| Operating Conditions: | Temp: | 28°C | |
| | Pressure: | 1 atm | |
| | Speed: | 90 rpm | |
| | pH: | 6.2 | |
| Purchase Cost: | \$204.55 | (6 flasks) | |

2 L Shake Flask (P-3/SFR-102)

Function: Third phase of biomass production, 2 L working volume Vendor: Corning Operation: Batch Materials Handled: Quantity Input Medium 61.6 g Biomass 4.2 g Water 2000 g Total 2065.8 g Characteristics: 3L Polycarbonate Erlenmeyer (Fernbach Model: Design) Flask with Vent Cap Material: Polycarbonate Flask Type: Sterile Working Volume: 2 L Total Volume: 3 L Sterilization: Single use Operating Conditions: 28°C Temp: Pressure: 1 atm 90 rpm Speed: 6.2 pH: Purchase Cost: \$236.60 (4 flasks)

3L Shake Flask (P-2/SFR-101)

Pump (S-105)

| Function: | To transfer material to the first bioreactor | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Medium Biomass Water Total | <u>Quantity</u> 308 g 4.2 g 10000.00 g 10312.2 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Function: Fourth phase of biomass production, 10 L working volume **GE Life Sciences** Vendor: Operation: Batch Materials Handled: Quantity Input Medium 308 g Biomass 42 g Water 10000.00 g Total 10350 g Characteristics: Working Volume: 10 L Total Volume: 50 L Sterilization: Single use bags **Operating Conditions:** Temp: 28°C Pressure: 1.1 atm 6.2 pH: 0.3 L O₂ min⁻¹ Sparging: Controller: Rockwell/Wonderware Agitation: 90 rpm Purchase Cost: \$213,519.00 (includes shell, control components, 3 pumps)

50 L Bioreactor (P-6/SBR-103)

Pump (S-106)

| Function: | To transfer material from the first bioreactor to the second bioreactor | |
|-----------------------|---|-------------------|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> | Quantity |
| | Medium | 308 g |
| | Biomass | 4.2 g |
| | Water | 10000.00 g |
| | Total | 10312.2 g |
| Characteristics: | Model: | Masterflex® B/T ® |
| | Pump Type: | Peristaltic |
| | Flow Rate: | 42 L/min |
| | Power: | 6.5 Amps |
| Operating Conditions: | Temp: | 28°C |
| | Pressure: | 1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | Fifth phase of biomass production, 100 L working volume | |
|---------------------------|---|--|
| Vendor: | GE Life Sciences | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Medium Biomass Water Total | <u>Quantity</u> 3080 g 420 g 100000.00 g 103500 g |
| Characteristics: | Working Volume: Total Volume: Sterilization: | 100 L 500 L Single use bags |
| Operating Conditions: | Temp: Pressure: pH: Sparging: Controller: Agitation: | 28°C 1.1 atm 6.2 0.3 L $O_2 \min^{-1}$ Rockwell/Wonderware 90 rpm |
| Purchase Cost: | \$233,717.00 | (includes shell, control components, 3 pumps) |

500 L Bioreactor (P-7/SBR-104)

Pump (S-117)

| Function: | To transfer material from the second bioreactor to the third bioreactor | |
|-----------------------|---|---|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Medium Biomass Water Total | <u>Quantity</u> 3080 g 42 g 100000 g 103122 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: Sterilization: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps SIP/CIP |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | Fifth phase of biomass production, 100 L working volume | |
|-----------------------|---|--|
| Vendor: | GE Life Sciences | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Medium Biomass Water Total | <u>Quantity</u> 30800 g 4200 g 1000000 g 1035000 g |
| Characteristics: | Working Volume: Total Volume: Sterilization: | 1000 L 2000 L Single use bags |
| Operating Conditions: | Temp: Pressure: pH: Sparging: Controller: Agitation: | 28°C 1.1 atm 6.2 0.3 L $O_2 \min^{-1}$ Rockwell/Wonderware 90 rpm |
| Purchase Cost: | \$930,466.00 | (includes shell, control components, 3 pumps) |

2000 L Bioreactor (P-7/SBR-104)

Pump (S-119)

| Function: | To transfer material from the third bioreactor to the cooling unit | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Medium Biomass Water Total | <u>Quantity</u> 30800 g 4200 g 1000000 g 1035000 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-103)

| Function: | To transfer material from the media storage tank to the 3 L flask | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 138.6 g 4500 g 4638.6 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Media Storage Tank (P-8/V-101)

| Function: | To hold media for the filtration unit | |
|-----------------------|--|--|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media Total | <u>Quantity</u> 100000 g 3080 g 103080 g |
| Characteristics: | Model: Working Volume: Total Volume: Sterilization: | Flexel 3D Palletank 100 L 200 L Single use bags |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$1,682.00 | (includes shell, control components, 3 pumps) |

Function: To hold media for the filtration unit Vendor: Sartorius Stedim Operation: Batch Materials Handled: Input Filtration Media Quantity 4.5 L

| | r nu auon mouta | 4.J L |
|-----------------------|--|--|
| | Total | 4.5 L |
| Characteristics: | Model: Working Volume: Total Volume: Sterilization: | Flexel 3D Palletank 100 L 200 L Single use bags |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$1,682.00 | (includes shell, control components, 3 pumps) |

Media Storage Tank (P-13/V-103)

| Function: | To store media from the filtration unit and supply to shake flask and bioreactors | |
|-----------------------|---|--|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media Total | <u>Quantity</u> 1112000 g 34250 g 1146250 g |
| Characteristics: | Model: Working Volume: Total Volume: Sterilization: | Flexel 3D Palletank 100 L 500 L Single use bags |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$2,915.00 | (includes shell, control components, 3 pumps) |

Media Storage Tank (P-10/V-102)

Function: To hold the product from the 2000 L bioreactor and transfer to downstream process (centrifugation unit) Sartorius Stedim Vendor: Batch Operation: Materials Handled: Input Quantity Medium 30800 g Biomass 4200 g 1000000 g Water Total 1035000 g Characteristics: Flexel 3D Palletank Model: Total Volume: 2000 L Sterilization: Single use bags 28°C **Operating Conditions:** Temp: Pressure: 1.1 atm Purchase Cost: \$2,915.00 (includes shell, control components, 3 pumps)

Final USP Storage Tank (P-19/V-105)

Pump (S-118)

| Function: | To transfer material from the heat exchanger to third bioreactor | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media Total | <u>Quantity</u> 1110000 g 34188 g 1144188 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-116)

| Function: | To transfer material from the media storage tank to the heat exchanger | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media Total | <u>Quantity</u> 1110000 g 34188 g 1144188 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-115)

| Function: | To transfer material from the filtration unit to the storage tank | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 15400 g 500000 g 515400 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-114)

| Function: | To transfer material from the media storage tank to the filtration unit | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 15400 g 500000 g 515400 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-107)

| Function: | To transfer material from the media storage tank to the 3 L flask | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 3080 g 100000 g 103080 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-108)

| Function: | To transfer material from the filtration unit to the media storage tank | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 3080 g 100000 g 103080 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-109)

| Function: | To transfer material from the media storage tank to the heat exchanger | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 3080 g 100000 g 103080 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-113)

| Function: | To transfer filtered media to the second bioreactor | |
|-----------------------|---|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 3080 g 100000 g 103080 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

Pump (S-112)

| Function: | To transfer filtered media to the first bioreactor | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media Water Total | <u>Quantity</u> 308 g 10000 g 10308 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 28°C 1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To sterilize media and remove bacteria from stream before entering bioreactors | |
|-----------------------|--|------------------------------------|
| Vendor: | 3M Purification, Inc. | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> | Quantity |
| | Media | 34266 g |
| | Water | 1113000 g |
| | Total | 1147266 g |
| Characteristics: | Model: | Encapsulated Zeta Plus |
| | Material: | Polycarbonate |
| | | Silicone |
| | | Polypropylene |
| | | Thermoplastic Elastomer |
| | | Nylon |
| | Filtration Area: | 2.5 m^2 |
| | Sterilization: | 1 cycle autoclave (30 min @ 126°C) |
| | Size: | 51" |
| | Additional Features: | Disposable/Single use |
| Operating Conditions: | Max Operating Temp: | 40°C |
| | Max Operating Temp | 3.1 bar (45 psi) |
| Purchase Cost: | \$9,000.00 | |

Depth Filtration Unit (P-9/MF-101)

| Function: | To sterilize media and remove bacteria from stream before entering bioreactors | |
|-----------------------|--|------------------------------------|
| Vendor: | 3M Purification, Inc. | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Media | <u>Quantity</u> 500 L |
| | Total | 500 L |
| Characteristics: | Model: | Encapsulated Zeta Plus |
| | Material: | Polycarbonate |
| | | Silicone |
| | | Polypropylene |
| | | Thermoplastic Elastomer |
| | | Nylon |
| | Filtration Area: | 2.5 m^2 |
| | Sterilization: | 1 cycle autoclave (30 min @ 126°C) |
| | Size: | 51" |
| | Additional Features: | Disposable/Single use |
| Operating Conditions: | Max Operating Temp: | 40°C |
| | Max Operating Pressure: | 3.1 bar (45 psi) |
| Purchase Cost: | \$9,000.00 | |

Depth Filtration Unit (P-14/MF-102)

8.2 Downstream Section

Disc-Stack Centrifuge (P-1/DS-101)

| Function: | To remove cells and biomass solids | |
|---------------------------|---|--|
| Vendor: | Carr Centritech Separation Systems | |
| Operation: | Continuous | |
| <u>Materials Handled:</u> | <u>Input</u> Biomass Water VLP Medium Baculovirus Total | <u>Quantity</u> 4200 g 1000000 g 200 g 30800 g trace 1035200 g |
| Characteristics: | Model: Material: Feed Flow Range: Bowl Capacity: Additional Features: | Unifuge(R) USP Class VI: Polycarbonate Polyurethane Silicone Bioprene C-Flex Polypropylene 0.1-4 L/min 1.6 L Disposable/Single use |
| Operating Conditions: | Max Operating Temp: Max Operating Pressure | 100°C N/A |
| Purchase Cost: | \$400,000.00 | |

Pump (S-102)

| Function: | To transfer material from centrifugation unit to inactivator tank | |
|-----------------------|---|-------------------|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> | Quantity |
| | Biomass | 0 |
| | Water | 990200 g |
| | Medium | 30800 g |
| | Baculovirus | trace |
| | Total | 1021000 g |
| Characteristics: | Model: | Masterflex® B/T ® |
| | Pump Type: | Peristaltic |
| | Flow Rate: | 42 L/min |
| | Power: | 6.5 Amps |
| Operating Conditions: | Temp: | 22°C |
| | Pressure: | 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To hold the detergent for the virus inactivation process | |
|-----------------------|---|--|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| Materials Handled: | Input Water TNBP Triton X-100 Total | <u>Quantity</u> 200000 g 3150 g 10500 g 213650 g |
| Characteristics: | Material: Working volume: Total volume: Sterilization: | Stainless steel 202.6 L 500 L SIP/CIP |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | (shell) |

Detergent Storage Tank (P-12/V-106)

Pump (S-103)

| Function: | To transfer material from centrifugation unit to inactivator tank | |
|-----------------------|---|-------------------|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> | <u>Quantity</u> |
| | Biomass | 0 g |
| | Water | 990200 g |
| | VLP | 200 g |
| | Medium | 30800 g |
| | Baculovirus | Trace |
| | Total | 1021200 g |
| Characteristics: | Model: | Masterflex® B/T ® |
| | Pump Type: | Peristaltic |
| | Flow Rate: | 42 L/min |
| | Power: | 6.5 Amps |
| Operating Conditions: | Temp: | 22°C |
| | Pressure: | 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To inactivate the virus | |
|---------------------------|--|--|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | Input Biomass Water TNBP Medium VLP Triton X-100 Baculovirus Total | <u>Quantity</u> 0 g 1100200 g 3150 g 30800 g 189 g 10500 g Trace 1144839 g |
| Characteristics: | Material: Total volume: Sterilization: | Stainless steel 1100 L SIP/CIP |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | (shell) |

Virus Inactivation Tank (P-9/V-103)

Pump (S-104)

| Function: | To transfer material from inactivator tank to depth filter | |
|-----------------------|--|-------------------|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> | Quantity |
| | Baculovirus | 0 g |
| | Water | 1100200 g |
| | TNBP | 3150 g |
| | Medium | 30800 g |
| | VLP | 190 g |
| | Triton X-100 | 10500 g |
| | Total | 1144840 g |
| Characteristics: | Model: | Masterflex® B/T ® |
| | Pump Type: | Peristaltic |
| | Flow Rate: | 42 L/min |
| | Power: | 6.5 Amps |
| Operating Conditions: | Temp: | 22°C |
| | Pressure: | 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To sterilize supernatant of centrifugation process and remove bacteria from stream | |
|-----------------------|--|------------------------------------|
| Vendor: | 3M Purification, Inc. | |
| Operation: | Batch | |
| Materials Handled: | Input | Quantity |
| | Media | 30800 g |
| | Water | 1100200 g |
| | Triton X-100 | 10500 g |
| | TNBP | 3150 g |
| | VLP | 190 g |
| | Baculovirus | Trace |
| | Total | 114480 g |
| Characteristics: | Model: | Encapsulated Zeta Plus |
| | Material: | Polycarbonate |
| | | Silicone |
| | | Polypropylene |
| | | Thermoplastic Elastomer |
| | | Nylon |
| | Filtration Area: | 2.5 m^2 |
| | Sterilization: | 1 cycle autoclave (30 min @ 126°C) |
| | Size: | 51" |
| | Additional Features: | Disposable/Single use |
| Operating Conditions: | Max Operating Temp: | 40°C |
| | Max Operating Pressure: | 3.1 bar (45 psi) |
| Purchase Cost: | \$9,000.00 | |

Depth Filtration Unit (P-3/DF-101)

Pump (S-105)

| Function: | To transfer material from filter to holding tank | |
|---------------------------|---|---|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Biomass Water TNBP Media VLP Triton X-100 Total | Quantity 0 g 200000 g 573 g 5600 g 190 g 1909 g 208272 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To hold the purified product from the depth filtration device | |
|---------------------------|--|---|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Water TNBP Media VLP Triton X-100 Total | <u>Quantity</u> 200000 g 573 g 5600 g 171 g 1909 g 208253 g |
| Characteristics: | Material: Total Volume: | Stainless steel 500 L |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | |

Depth Filtration Holding Tank (P-7/V-101)

Pump (S-106)

| Function: | To transfer material from the holding tank to the IEX column | |
|-----------------------|--|-------------------|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | Input | Quantity |
| | Biomass | 0 g |
| | Water | 200000 g |
| | TNBP | 573 g |
| | Medium | 5600 g |
| | VLP | 171 g |
| | Triton X-100 | 1909 g |
| | Total | 208253 g |
| Characteristics: | Model: | Masterflex® B/T ® |
| | Pump Type: | Peristaltic |
| | Flow Rate: | 42 L/min |
| | Power: | 6.5 Amps |
| Operating Conditions: | Temp: | 22°C |
| | Pressure: | 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To hold the IEX chromatography resin | |
|-----------------------|--|--|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Capto Q Medium | <u>Quantity</u> 50 L |
| Characteristics: | Material: Total Volume: Sterilization: | Stainless steel 50 L Column CIP medium |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | |

Chromatography Resin Tank (P-11/V-105)

Pump (S-107)

| Function: | To transfer material from the resin tank to the IEX column | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Capto Q Medium | <u>Quantity</u> 50 L |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To specifically bind and elute the product in the anion exchange chromatography resin | |
|-----------------------|---|---|
| Vendor: | GE Life Sciences | |
| Operation: | Batch | |
| Materials Handled: | Input | Quantity |
| | Water | 20000 g |
| | TNBP | 573 g |
| | Medium | 5600 g |
| | VLP | 171 g |
| | Triton X-100 | 1909 g |
| | Total | 28253 g |
| Characteristics: | Model: | ReadyToProcess Q Sepharose FF |
| | Material: | Stainless Steel 316 L, glass borosilicate |
| | Column Volume: | 215.9844949 L |
| | Column Height: | 0.4 m |
| | Column Diameter: | 0.5 m |
| | Sterilization: | 1 M NaOH, 30& isopropanol |
| Operating Conditions: | Minimum Temp: | 4°C |
| | Maximum Pressure: | 1.2 bar (17 psi) |
| | Bed Volume: | 78.53981634 L |
| | Resin: | Capto Q |
| | Binding Capacity: | .22 mmol Cl ⁻ /mL medium |
| | Flow Rate | 0.981747704 LPM |
| | VLP Run Time | 20.37183272 min |
| | | |

Anion Exchange Chromatography Column (P-4/INX-101)

Purchase Cost:

\$166,000.00

Pump (S-101)

| Function: | To transfer material from the IEX column to the holding tank | |
|---------------------------|--|---|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Water TNBP Medium Components VLP Triton X-100 Total | <u>Quantity</u> 20000 0 0 162 0 20162 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To hold the purified product from the IEX column | |
|-----------------------|--|---|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media VLP Total | <u>Quantity</u> 20000 g 0 g 162 g 20162 g |
| Characteristics: | Material: Total Volume: | Stainless steel 500 L |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | |

Ion Exchange Holding Tank (P-18/V-107)

Pump (S-108)

| Function: | To transfer material from the holding tank to the SEC column | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media VLP Total | <u>Quantity</u> 20000 g 0 g 162 g 20162 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

Size Exclusion Chromatography Column (P-5/C-101)

<u>Function:</u> To specifically bind and elute the product in the size-exclusion chromatography resin

Vendor: GE Life Sciences

| Operation: | Batch | |
|---------------------------|--|---|
| <u>Materials Handled:</u> | <u>Input</u> Water Media VLP Tris-HCl NaCl Total | <u>Quantity</u> 20000 0 g 162 g 4.8 g 14 g 20180.8 g |
| Characteristics: | Model: Material: Column Volume: Column Height: Column Diameter: Sterilization: | ReadyToProcess Q Sepharose FF Stainless Steel 316 L, glass borosilicate 12.56637061 L 0.4 m 0.5 m CIP buffer, 30% isopropanol in 1M NaOH |
| Operating Conditions: | Temp: pH: Resin: Flow Velocity Volume Medium Flow Rate Run Time Binding Capacity: | 22°C 7.5 Cross-linked agarose 0.05 m/min 5 L 0.628318531 LPM 39.78873577 min 13 mg/mL medium |
| Purchase Cost: | \$166,000.00 | |

Pump (S-111)

| Function: | To transfer material from the SEC column to the holding tank | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | Input Water Media VLP NaCl | <u>Quantity</u> 20000 g 0 g 154 g 14 g |
| | Tris-HCl Total | 4.8 g 20172.8 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To hold the purified product from the SEC column | |
|---------------------------|--|--|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Water Media VLP NaCl Tris-HCl Total | <u>Quantity</u> 20000 g 0 g 154 g 14 g 4.8 g 20172.8 g |
| Characteristics: | Material: Total Volume: | Stainless steel 500 L |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | |

Size Exclusion Holding Tank (P-19/V-108)

Pump (S-114)

| Function: | To transfer material from the holding tank to the TFF unit | |
|---------------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Water Media VLP Total | <u>Quantity</u> 20000 g 0 g 154 g 26544.27 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

| Function: | To filter product of size exclusion chromatography for any remaining contaminants and to insure small to no presence of detergents and media ions. | | |
|-----------------------|---|----------------------------|--|
| Vendor: | Millipore | | |
| Operation: | Continuous | | |
| Materials Handled: | <u>Input</u> Water | <u>Quantity</u> 20000 g | |
| | Media | 0 g | |
| | VLP | 154 g | |
| | Total | 20146 g | |
| | Total | 20140 g | |
| Characteristics: | Model: | Encapsulated Zeta Plus | |
| | Material: | 304 L Stainless steel | |
| | | Polyethylene | |
| | | Nylon | |
| | | Polysulfone | |
| | | Silicone | |
| | Flow Rate: | 0.4-4 L/min | |
| | Sterilization: | No CIP/SIP | |
| | Surface area: | $0.1-0.5 \text{ m}^1$ | |
| | Additional Features: | Disposable/Single use | |
| | | | |
| Operating Conditions: | Max Operating Temp: | 20-45°C | |
| | Max Operating Pressure: | 3.45 bar (50 psi) | |
| Purchase Cost: | \$200,000.00 | | |

Tangential Flow Filtration Unit (P-6/DF-102)

Pump (S-109)

| Function: | To transfer material from the TFF unit to the holding tank | |
|-----------------------|--|--|
| Vendor: | Cole-Palmer | |
| Operation: | Batch | |
| Materials Handled: | <u>Input</u> Water Media VLP Total | <u>Quantity</u> 10000 g 0 g 146 g 10146 g |
| Characteristics: | Model: Pump Type: Flow Rate: Power: | Masterflex® B/T ® Peristaltic 42 L/min 6.5 Amps |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$4,640.00 | |

TFF Holding Tank (P-8/V-102)

| Function: | To hold the purified product from the TFF device | |
|---------------------------|--|---|
| Vendor: | Sartorius Stedim | |
| Operation: | Batch | |
| <u>Materials Handled:</u> | <u>Input</u> Water Media VLP Total | <u>Quantity</u> 10000 g 0 g 146 g 10146 g |
| Characteristics: | Material: Total Volume: | Stainless steel 500 L |
| Operating Conditions: | Temp: Pressure: | 22°C 1.1 atm |
| Purchase Cost: | \$1,682.00 | |

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9.0 Cost Summary

9.1 Upstream Section

| Upstream Process Equipment | | | | | | | |
|----------------------------|---------------------------|----------|------------------|-----------------------------------|-------------------------|--|--|
| Name | Type | Size | Vendor | Equipment Name | Purchase Cost (\$/Unit) | | |
| P-1/TTR-101 | Test Tubes | 300 mL | Freund Container | 2 ml Sterile Plastic Vials | \$255.00 (500 vials) | | |
| P-2/SFR-101 | Shaker Flask | 250 mL | Corning | Polycarbonate Erlenmeyer | \$595.89 (50 flasks) | | |
| P-3/SFR-102 | Shaker Flask | 2 L | Corning | Polycarbonate Erlenmeyer | \$204.55 (6 flasks) | | |
| P-4/SFR-103 | Shaker Flask | 4 L | Corning | Polycarbonate Erlenmeyer | \$236.60 (4 flasks) | | |
| P-6/SBR-103 | Bioreactor | 50 L | GE Life Sciences | Xcellerex [®] Skid | \$213,519.00 | | |
| P-7/SBR-104 | Bioreactor | 500 L | GE Life Sciences | Xcellerex [®] Skid | \$233,717.00 | | |
| P-17/SBR-105 | Bioreactor | 2000 L | GE Life Sciences | Xcellerex [®] Skid | \$930,446.00 | | |
| | Bioreactor Bags | 50-2000L | GE Life Sciences | Xcellerex® Bags | \$1,000.00 | | |
| P-18/HX-103 | Heat Exchanger | 1.5 m | Enerquip | Pharmaceutical Enerquip Exchanger | \$109,082.86 | | |
| P-11/HX-101 | Heat Exchanger | 1.5 m | Enerquip | Pharmaceutical Enerquip Exchanger | \$109,082.86 | | |
| P-16/HX-102 | Heat Exchanger | 1.5 m | Enerquip | Pharmaceutical Enerquip Exchanger | \$109,082.86 | | |
| P-19/V-105 | Storage Tank | 200 L | Sartorius Stedim | Flexel 3D Palletank® with tote | \$1,682.00 | | |
| P-10/V-102 | Media Storage Tank | 200 L | Sartorius Stedim | Flexel 3D Palletank® with tote | \$1,682.00 | | |
| P-15/V-104 | Media Storage Tank | 500 L | Sartorius Stedim | Flexel 3D Palletank® with tote | \$2,915.00 | | |
| P-9/MF-101 | Sterile Filter | | 3M Purification | ZetaPlus Encapsulated | \$4,500.00 | | |
| P-14/MF-102 | Sterile Filter | | 3M Purification | ZetaPlus Encapsulated | \$4,500.00 | | |
| P-8/V-101 | Media Storage Tank | 200 L | Sartorius Stedim | Flexel 3D Palletank® with tote | \$1,682.00 | | |
| P-13/V-103 | Media Storage Tank | 500 L | Sartorius Stedim | Flexel 3D Palletank® with tote | \$2,915.00 | | |
| | Raw Materials | | | | | | |
| | Triton X-100 | | Millipore | | \$13311.51 | | |
| | Tributyl phosphate | | Millipore | | \$914.58 | | |
| | Sodium Hydrogen Carbonate | 2.5 kg | Millipore | | \$96.45 | | |
| | Sodium Hydrogen Carbonate | 12 kg | Millipore | | \$548.77 | | |
| | Sodium Hydrogen Carbonate | 25 kg | Millipore | | \$307.63 | | |
| | EXCELL-405 Medium | | Sigma-Aldrich | | \$96,820.00 | | |

9.2 Downstream Section

| Downstream I | Process Equipment | | | | |
|--------------|----------------------------------|-------|------------------|-----------------------|------------------------|
| Name | Type | Size | Vendor | Equipment Name | Purchase Cost (\$/Unit |
| | Cell Harvest/Primary Recovery | | | | |
| P-1/DS-101 | Disk-Stack Centrifuge | | Carr Centritech | Unifuge® | \$400,000.00 |
| S-102 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-12/V-106 | Storage Tank | 200 L | Sartorius Stedim | Palletank® | \$1,682.00 |
| S-103 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-9/V-103 | Inactivator Tank | 500 L | Sartorius Stedim | Palletank® | \$4,500.00 |
| S-104 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-3/DF-101 | Depth Filter | | 3M Purification | ZetaPlus Encapsulated | \$4,500.00 |
| | Depth Filtration Cassettes | | 3M Purification | ZetaPlus | \$4,500.00 |
| S-105 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-7/V-101 | Holding Tank | 200 L | Sartorius Stedim | Palletank® | \$1,682.00 |
| | Chromatography | | | | |
| S-106 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-11/V-105 | Chromatography Resin Tank | 200 L | Sartorius Stedim | Palletank® | \$1,682.00 |
| | Chromatography Resin | | GE Life Sciences | Sepharose Q XL | |
| P-4/INX-101 | Ion Exchange Chromat. Column | | GE Life Sciences | ReadyToProcess | \$166,000.00 |
| S-107 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| S-101 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-18/V-107 | Holding Tank | 200 L | Sartorius Stedim | Palletank® | \$1,682.00 |
| P-10/V-104 | Chromatography Resin Tank | 200 L | Sartorius Stedim | Palletank® | \$1,682.00 |
| S-108 | Pump | | Cole-Palmer | MasterFlex® B/T | \$12,500.00 |
| S-110 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-5/C-101 | Size Exclusion Chromat. Column | | GE Life Sciences | ReadyToProcess | \$166,000.00 |
| | Chromatography Resin | | GE Life Sciences | Sepharose 4 FF | |
| S-111 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-19/V-108 | Holding Tank | 200 L | Sartorius Stedim | Palletank® | \$1,682.00 |
| | Filtration | | | | |
| S-114 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-6/DF-102 | Tangential Flow Filtration (TFF) | | Millipore | Pellicon XL50 | \$200,000.00 |
| S-109 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |
| P-8/V-102 | Holding Tank | 200 L | Sartorius Stedim | Palletank® | \$4,500.00 |
| S-112 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 |

| Additional Equipment & Processes | | | | | | |
|----------------------------------|---|------|------------------|-----------------|-------------------------|--|
| Name | Туре | Size | Vendor | Equipment Name | Purchase Cost (\$/Unit) | |
| P-17/FDR-101 | Freeze Drier | | | | \$4,500.00 | |
| S-113 | Pump | | Cole-Palmer | MasterFlex® B/T | \$4,640.00 | |
| P-13/FL-101 | Filler | | | | | |
| P-14/LB-101 | Labeling | | | | | |
| P-15/BX-101 | Packaging | | | | | |
| P-16 | Removal | | SteriCycle | | | |
| | Incubator | | Sartorius Stedim | | | |
| | Tube Fusers | | Sartorius Stedim | | | |
| | Tube Sealers | | Sartorius Stedim | | | |
| | Cryopreservation Bank Temperature Control Module | | Sigma-Aldrich | | | |

9.3 Additional Equipment and Processes

10.0 Important Considerations

10.1 Scheduling 10.1.1 Gantt Chart

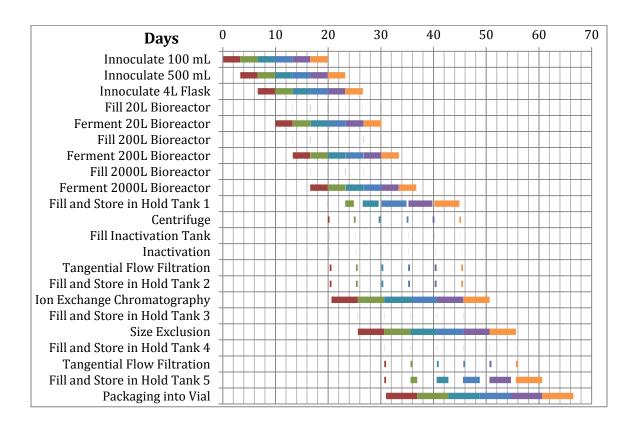


Figure 10.1 Gantt Chart. Shows scheduling times relative to each process equipment to give overall batch time.

Note: The change time of the bag liner of the bioreactors and storage tanks are 1 hour each, and they are included in the fill time of the corresponding unit.

10.2 Environmental Concerns

Biosynthesis of our vaccine product results in a number of waste streams. The process produces a substantial amount of solid biohazard waste which may contain recombinant baculovirus, insect cells, and metabolic waste products from the fermentation process. The solid waste components are collected into red biohazard receptacles within the facility for a biohazard waste removal service, Stericycle, to collect and treat. This waste will be incinerated to remove any potentially hazardous effects on the environment so that only greenhouse emissions from incineration are the only environmental impact. The facility will use StericycleTM as the solid biohazard management service to insure proper waste disposal protocols are rigidly maintained.

None of the components used in the fermentation steps of this process are particularly dangerous or toxic to wildlife or the environment, since many of them are required for cell growth of any organism and are often found in nature. Many of these components will be heat-treated to sterilize the fermentation broth after removal of the VLPs through the downstream components. This sterilization procedure will denature any potential problematic proteins in the mixture. This waste will be neutralized in pH to insure no detrimental effects to wildlife in the location of disposal. The detergents used in the deactivation of baculovirus are collected as liquid waste via diafiltration. The filtrate is collected and retained as hazardous liquid waste.

10.3 Current Good Manufacturing Practices

The United States Food and Drug Administration (FDA) is responsible for overseeing and enforcing Good Manufacturing Practices in the United States. Current Good Manufacturing Processes (cGMP) guidelines are found in the Code of Federal Regulation 21 Part 211 (21 CFR 211). The purpose of cGMP regulations is to ensure that drug products are manufactured in a manner making them safe for human consumption by monitoring how drug products are sterilized and purified.

Sterilization and purity are monitored by paying careful attention to the process, quality of manufacturing equipment components, raw materials, as well as characteristics of cell lines used in recombinant processes. The manufacturing facility design and layout, as well as frequent testing are quintessential in ensuring quality consistent with FDA cGMP regulations. All authorized persons allowed to enter designated limited access areas, such as the production floor will have the proper training.

All equipment purchased is certified as being GMP approved by the FDA. Bioreactors with disposable bags and disposable transfer lines are used to ensure sterility, while reducing time between batches. The disposable bags and transfer lines are GMP certified and guaranteed by their manufacturers, therefore there is no need for CIP and SIP procedures on the bioreactors, which reduces change over time between batches. Tube fusers as well as sterile tube connectors are used to ensure that chance of contamination is minimized during transfer.

Specific guidelines pertaining to vaccine manufacture are described by the FDA in "Guidance for Industry, Characterization and Qualification of Cell Substrates and Other Biological Materials Used in the Production of Viral Vaccines for Infectious Disease Indications." The guidelines are primarily concerned with modes of inactivating cells used for recombinant DNA, purity of vaccine, potential sources of contamination, and validation of purity at many stages during the vaccine manufacture. We have selected the SF+ insect cell line as our cell substrate. These are not bovine or human derived; therefore there is low pathogenic transmission risk. This eliminates adventitious agents affiliated with human or bovine cell lines, as well as retroviruses, such as HIV, and bovine diseases such as Bovine spongiform encephalopathy which can be transmitted to humans.

Research and Development (R&D) is responsible for characterizing the growth characteristics and life expectancy of the SF Plus cells according to 21 CFR 610.18(c)(1)(iii). This includes documenting species of origin, tissue type, tumorigenic properties, and ability to harbor latent viruses or express infectious prion proteins (PrP). They will also create a master cell bank (MCB) for each virus strain. Every season, R&D will renew the MCB. Working Cell Banks (WCB) will be derived from their corresponding MCB for each batch. All WCB used to create the VLPs for a particular strain will be derived from their corresponding MCB. R&D will periodically test the MCB and WCB for cell viability and stability. The history of all WCB will be documented by Quality Assurance (QA) according to 21 CFR 610.18(c)(1)(i), including agents used in same biosafety cabinets and conditions under which the cells were passaged.

R&D will also be responsible for characterizing and documenting the viral seed. For our production methods, the baculovirus will be used to insert the desired influenza

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DNA strain into SF Plus cells. The viral seed's stability will continually be monitored by R&D as well. Other documentation includes amino acids used in the growth media. To minimize potential contamination, human contact with cells will be minimized. All employees working with the cells directly or on the production floor are required to wear the proper attire as set in 21 CFR 211. Once the shaker flasks are inoculated with the SF Plus cells, the cells will not be exposed to the ambient air. The transfer methods during the scale up are such that the cells need not be exposed, minimizing potential contamination opportunities. All cells drawn from the working cell bank (WCB) will be tested by the Quality Control (QC) group to ensure they do not contain infectious viruses or retroviruses.

The identity and purity of all raw materials will be checked by QC to ensure that they fall within the specifications approved by the FDA for the specific vaccine production method. This will occur before they are moved onto the production floor. No serums are used, therefore there is no need to concern ourselves with irradiation of raw materials.

During production, all specific markers relevant to the SF Plus cell line will be analyzed by QC and tracked throughout the different manufacturing passage levels. The ability of the cells to produce recombinant protein will be tracked, evaluating each copy number and the stability at each relevant passage.

Our interpretation of the definition of purity used by the FDA is removing 99% of cell growth media, cell substrate DNA, as well as any other adventitious agents that may be the product of cell debris. Size exclusion chromatography will be used because the VLP vaccine has a diameter of 90 to 120 nanometers and DNA has a diameter of 2.2 to

2.6 nanometers. This will allow for removal of 99.9% of DNA debris from the cell substrate. An ion exchange column will be used to remove any charged cell debris.

QC will also use a control set of cells, which are uninfected cell substrate. These cells will be grown in parallel at the same time as each batch with identical conditions to the batches of cells that are to be infected. This will emulate the conditions of the bioreactors and allow QC to determine any adventitious agents naturally produced by the cell substrate. The cells used for QC must be from the same WCB as the ones used in the bioreactor for validity of the control test. All assays will be validated and signed off by Quality Assurance (QA). All tests will be consistent with 21 CFR 610.18(c)(1)(iv).

After harvest, QC will test for adventitious agents in a method consistent with 21 CFR 610.30. Since our production method uses a lytic infection, it is not necessary to check for surviving cells before harvest. To maintain full compliance with FDA regulations, QC will ensure there are no surviving cells. Chances are slim due to the lytic infection, but if surviving cells remain, the batch will be checked for adventitious agents. At this point the harvested batch can be filtered. Post filtration, QC will test each batch for bacterial and fungal sterility. Finally, each batch will be tested for residual cellular proteins and cellular nucleic acids. All residual cells and low levels of cell-substrate DNA will be removed to assure safety, identity, purity, potency, and quality.

In accordance with 21 CFR, all process automation will be consistent with Part 211.68; Filtration will be consistent with Part 211.72; Storage will be consistent with Parts 211.80, 211.82, and 211.94; Quality testing will be consistent with Parts 211.84, 211.87, and 211.89; Process time speculations will be consistent with Part 211.111; Contamination Control will be consistent with Part 211.113; Holding and Distribution

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will be consistent with parts 211,142 and 211,158; Control Units and software will be consistent with Part 211.160. Standard Operation Procedures will be developed for all actions necessary during the manufacturing production, including sterilization, facility cleaning, and training consistent with 21 CFR Parts 211.100, 211.101, 21.110. All Record keeping will be consistent with 21 CFR Part 211 Subpart J.

All relevant information regarding GMP was found on the FDA website and taken from *CFR- Code of Federal Regulations Title 21.*

10.4 Laboratory and Production Facility Layout

The diagram shown below is a typical layout of a vaccine manufacturing facility. The insect cell culture and influenza vaccine development facility will be modeled after this depiction and retrofit into an existing site used for additional vaccine manufacturing. For a goal of 100 million doses per year, the facility will have to be approximately 140K square feet. Single-use equipment will be used throughout the facility to reduce changeover time, fixed piping, cleaning and validation costs, and to increase operational flexibility.

The layout is designed to maintain multiple disposable bioreactors up to 2000 L in size. The facility design criteria will meet the BSL-2 requirements with inoculum and cell culture in class C, cell culture, downstream processing, and media and buffer preparation in class D. The media and buffer will be stored in controlled, non-classified areas. The batch duration will be approximately 8 weeks, including change-over times. This simplifies to 4 weeks allotted to inoculum and cell culture, 2 weeks for bioreactor production, and 2 weeks for further purification and downstream processing.



Figure 10.2 Design schematic for single-use influenza vaccine manufacturing facility with insect cell culture

10.5 Labor Costs and Structure

The influenza vaccine facility will operate under the Global Manufacture and Supply chain. Under this, the two major branches concerned with this process are quality and manufacturing. The quality side handles paperwork and checks that all products and processes fall within regulations. Manufacturing's scope consists of all operations facility and is split between process engineering and procurement. Positions of contract specialist manager, operators, and contractors within the warehouse will be paid on an hourly wage, while the general contract specialists, engineers, and the warehouse manager will be paid on a salary wage.

Positions such as internal auditors and higher management in procurement do not fall under the fixed costs for this facility. Based on average wages in Durham, North Carolina, the total cost of a labor force of 71 employees for the year is estimated at \$1.75 million. However, since the influenza vaccine production only operates for half the year, with only four months of production, only a third of the total cost of labor is accountable to this process, \$584,000 (see Appendix A, Labor Costs for calculations). This cost will go into fixed costs for each year the facility is operating.

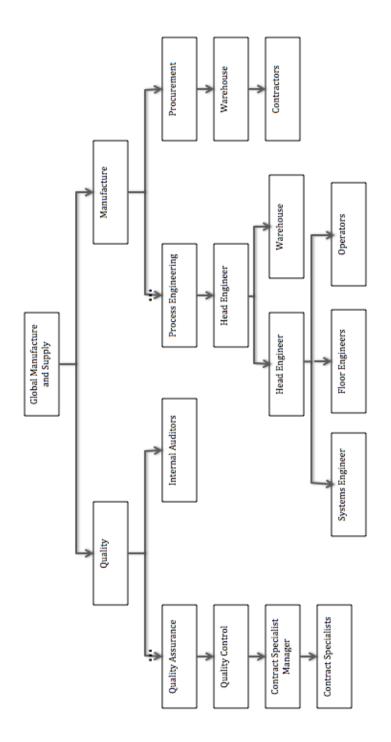


Figure 10.3 Diagram showing the labor structure for the vaccine manufacturing facility. The Global Manufacture and Supply Group for the vaccine plant branches into two major operations: quality and manufacture.

11.0 Economic Analysis

11.1 Market Analysis

The influenza virus is a seasonal virus that typically affects the upper respiratory track. In severe cases, it can lead to pneumonia and even death. Annually, the World Health Organization (WHO) estimates epidemics to affect anywhere from 5% to 15% of the global population ("World Health…"). Most patients affected by the influenza virus will suffer illness for a week, without requiring medical attention. This can lead to anywhere from three to five million cases of severe illness; it is estimated that there is an annual death rate of about 250,000 to 500,000 globally ("Influenza…"). These deaths usually occur among the chronically ill, the elderly, and the young.

The most common vaccine used to prevent the influenza virus is a trivalent vaccine containing an A type Subtype H3N2 virus strain, an A type Subtype H1N1 virus strain, and a B Type strain ("World Health..."). The common method for vaccine production is allowing the virus to proliferate in chicken eggs, and then harvesting the influenza virus from the chicken embryo. The large costs of treating the cases of influenza outbreak paired with the threat of pandemic outbreak have also led to a larger demand for influenza vaccine. New methods, such as the use of MDKC to produce the virus capsid and elicit an immune response have been investigated as potential methods to meet the growing demand for influenza vaccines ("Influenza...").

Between 2010 and 2011, the global market value reached \$4 billion US Dollars (USD) for all seven of the major influenza vaccine markets ("Influenza..."). Between 2008 and 2010, the influenza vaccine market grew a stunning 65%, driven primarily by the threat of a pandemic H1N1 outbreak (Wood). Market growth this large is not

expected to continue long term, however. The compound annual growth rate is expected to be 3.9% over the next six years ("Seasonal..."). By 2018, this market value is expected to reach up to \$5 billion USD ("Influenza..."). Currently, there are only five influenza vaccine producers in the US market with Sanofi Pasteur capturing the largest market share of \$1 billion USD. It is also estimated that annual influenza outbreak in the USA costs \$80 billion USD ("Influenza..."). The large market value is appealing to pharmaceutical companies that can effectively produce an influenza vaccine.

There are seven major vaccine markets globally. Seen in Figure 10.4 ("Influenza…"), the US is the largest market share of an individual country, occupying about 40% of the influenza vaccine market ("Influenza…"). Germany, Italy, Spain, France, the UK, and Japan are the other major vaccine market players. The European players (Germany, Italy, Spain, France, and the UK) account for about 50% of the European influenza market share ("Influenza…"). The Japanese market accounts for the remaining 10% of the influenza vaccine market.

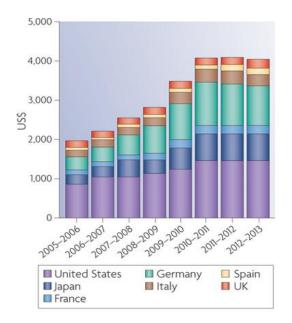


Figure 10.4 This shows the market share of the 7 major vaccine markets globally. It was used to show the feasibility of the market share we expect to capture. ("Influenza...")

Currently, WHO estimates the USA as being one of the leaders in influenza vaccination, consuming approximately 40% of total vaccines produced annually, seen in Figure 10.4. The threat of pandemic flu outbreak has led WHO to issue recommendations to increase vaccination up to 85% of the US population ("Influenza…"). To meet the increase in demand, we investigated the production of an influenza vaccine via the baculovirus in the SF+ insect cell line. In order for the vaccine to be lucrative and capture a share of the influenza vaccine market, production cost of the vaccine must be competitive with current egg-based production methods.

In 2009, the 149 million doses of the seasonal influenza vaccine were supplied to Europe, as seen in Figure 10.5 (Hombach). From Figure 10.6 ("Influenza…"), it is seen that 143 million doses of seasonal influenza vaccine were supplied to the United States in 2009 (Kresse). Based on current market growth rates, 3.9% compounded annual ("Seasonal…"), it is expected that the percentage of people vaccinated in Germany, Italy, Spain, France, the UK, the United States, and Japan will increase from 28.9% in 2011 to 32.9% by 2018. Based on these expected vaccination rates, the number of vaccines supplied to Europe and the US will increase from 292 million seasonal influenza doses to approximately 330 million doses.

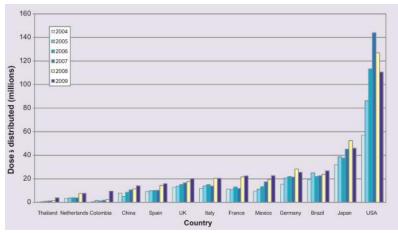


Figure 10.5 This shows the supply of influenza vaccines different countries globally between 2005 and 2009. It was used to determine the global market share and determine the number of doses that our company could potentially supple to the market. (Hombach)

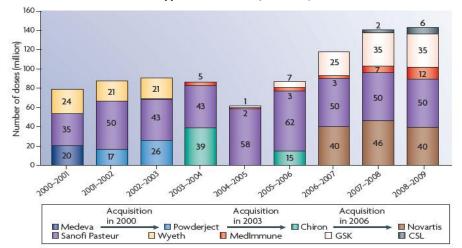


Figure 10.6 This shows the number of influenza vaccine doses supplied to the United States in 2009. It also shows the share of each company. This data was used in determining the number of doses our company can supply to the US. ("Influenza...")

Sanofi PasteurTM was responsible for producing 200 million doses of trivalent egg-based seasonal influenza vaccinations in 2010, as well as 126 million pandemic influenza vaccine doses (Sanofi Pasteur). From Figure 10.6 ("Influenza…"), it can be seen that they supplied 25% of their doses to the United States. For a company to enter the market and hold a large market share in Europe, a similar number of doses must be supplied to Europe as well; therefore, our company plans to manufacture and 100 million

doses of seasonal influenza to the United States and European markets. Half of the doses will be distributed to Europe, and half will be distributed to the United States.

This is a reasonable market stake, and feasible to produce in a single facility. Sanofi PasteurTM's facility in Swiftwater, Pennsylvania is capable of producing 150 million doses of trivalent seasonal influenza vaccine doses annually (Sanofi Pasteur). In 2009, members of The International Federation of Pharmaceutical Manufacturers & Associations (IFPMA) International Vaccine Supply (IVS) Task Force supplied 449 million doses of seasonal influenza vaccine, accounting for 75% of total influenza vaccines distributed (Palache). Therefore, the market is approximately 600 million seasonal influenza vaccines, annually. Our production goal of 100 million vaccines would increase the global market by 16.7%, moving the world closer to the WHO's goal of 750 million doses of influenza vaccine produced annually.

Since only 5 companies globally produce and supply an influenza vaccine, there is room for entry into the market. Our company will supply 86,184,000 doses of trivalent seasonal influenza vaccine to the market. This would capture about 14.7% of the global market share, supplying to only Europe and the United States.

11.1.1 Investor Interest

Health and Biotechnology sectors are known for their large profits. While it is true that there are potentially large returns in the pharmaceutical, biotechnology, and healthcare industries, it comes with a large investment risk. The risk comes from the Research and Development (R&D) side, with 38% of the firm's financial asset value being spent on research (Golec). Outside of the biotechnology industry, an average of 3% of a firm's financial asset value was spent on research.

There is more risk on the failure of products to reach the market. Approximately only 1 in every 10,000 compounds being investigated – commonly referred to as investigational new drugs (INV) – make it to market. When a drug makes it to market, it is quintessential that the company recovers money lost on research and clinical trials, as well as sunk costs from failed investigated new drugs. In 2011, the biotechnology market as a whole increased revenue to a 1% margin over costs, increasing revenue a full 8% from 2009 (Suresh). The small revenue margin is due to large research costs, clinical testing costs, and the sunk costs of failed products.

To succeed in a market with high failure rates, successful drugs must have high mark ups. In 2012, GSKTM and Sanofi PasteurTM had an overall product profit margin of 29% and 32%, respectively, in their vaccine divisions (Angelmar). The overall pharmaceutical industry mark-up is approximately 30% across the industry (Angelmar). Therefore, our company must be capable of producing our trivalent influenza vaccine with at least a 30% profit margin.

From the time a company files for a patent, they have 17 years before other companies can manufacture a generic form of the drug. Influenza vaccines are different because the strain changes annually. Because of antigenic drift, there is no patented influenza vaccine, or generic brand. The influenza vaccine is less proprietary than other drug products. The production method of the vaccine, the dosing, and method of delivery is where the proprietary value lies in the influenza vaccine market. Producing a non-egg based method with less lag time by using recombinant insect cell culture technology therefore has large value.

Currently, only egg-based methods and a recombinant mammalian cell culture are used to produce the influenza vaccine. FluBokTM a recombinant influenza vaccine produced using Chinese Hamster Ovary (CHO) cells, a mammalian cell culture. FluBokTM is the only approved non-egg based influenza vaccine on the market. With the push to move away from egg-based production methods that have large lead times, our company has room to enter the market.

11.2 Profitability Analysis

To determine the profitability of the vaccine manufacturing facility, the profitability analysis worksheet (Version 3.0), developed by Nickish Consulting was used. The analysis is broken down into equipment costs and total permanent investment, working capital, utilities, fixed costs, and other variable costs.

11.2.1. Equipment Costs and Total Permanent Investment

The purchase cost of all raw materials and equipment was determined by direct contact with vendors, through the use of CDI Engineering Group's Equipment List, or through sources such as BioPharm International or BioProcess International. The bare module factors for all units were gathered from Chapter 22 of *Product and Process Design Principles*.

The Total Permanent Investment accounts for the cost of site preparations, service facilities, contingencies and contractor fees, and plant start-up. As listed in Chapter 22 of *Product and Process Design Principles*, the cost of site preparations will be 5.0% of the total bare module cost, the cost of service facilities will be 5.0% of the Total Bare Module cost, the cost of contingencies and contractor fees will be 18.0% of the Total Depreciable Capital, and the cost of plant start-up will be 10.0% of the Total Depreciable Capital. Since this manufacturing facility will be retrofit to an existing site, there will be no cost associated with land.

| Fabricate | Equipment Costs Fabricated Equipment | | Quantity | Bare Module Factor | Bare Module Cost |
|-------------------|---|---------------------------|----------|--------------------|------------------|
| | Test Tubes/Plastic Vials | Purchase Cost \$255.00 | 1 | 1.00 | \$255.00 |
| | Shaker Flasks - 250 mL (pack of 6) | \$160.57 | 1 | 1.00 | \$160.57 |
| | Shaker Flasks - 2 L | \$51.71 | 6 | 1.00 | \$310.26 |
| | Shaker Flasks - 4 L | \$150.25 | 6 | 1.00 | \$901.50 |
| | Disposable Bioreactors -50 L | \$213,519.00 | 4 | 4.16 | \$3,552,956.16 |
| | Disposable Bioreactors -500 L | \$233,717.00 | 4 | 4.16 | \$3,889,050.88 |
| | Disposable Bioreactors- 2000L | \$930,446.00 | 4 | 4.16 | \$15,482,621.44 |
| | Disposable Reactor Bags -50 L | \$1,000.00 | 4 | 1.10 | \$4,400.00 |
| | Disposable Reactor Bags -500 L | \$1,000.00 | 4 | 1.10 | \$4,400.00 |
| | Disposable Reactor Bags -2000 L | \$1,000.00 | 4 | 1.10 | \$4,400.00 |
| | Depth Filtration Unit (DSP) | \$4,500.00 | 4 | 1.10 | \$19,800.00 |
| | Depth Filtration Cassettes | | 4 | 1.10 | |
| | Sterile Filtration Unit (USP) | \$4,500 | 8 | 1.10 | \$39,600.00 |
| | Filtration Cassettes | | 8 | 1.10 | |
| | Chromatography Columns | \$166,000.00 | 8 | 1.10 | \$1,460,800.00 |
| | pH Adjustment Tank | \$20,000.00 | 1 | 4.16 | \$83,200.00 |
| Process Machinery | | Purchase Cost | Quantity | Bare Module Factor | Bare Module Cos |
| | Disk-Stack Centrifuge | \$400,000.00 | 4 | 2.03 | \$3,248,000.00 |
| | Pumps | \$4,640.00 | 27 | 3.30 | \$459,360.00 |
| | Virus Inactivation Tank | \$4,500.00 | 4 | 4.16 | \$74,880.00 |
| | Holding Tanks | \$1,682.00 | 16 | 4.16 | \$111,953.92 |
| | Chromatography Resin Tanks | \$1,682.00 | 8 | 4.16 | \$55,976.96 |
| | Tangential Flow Filtration Unit | \$200,000.00 | 4 | 2.32 | \$1,856,000.00 |
| | Heat Exchangers | \$109,082.82 | 9 | 3.291 | \$3,230,924.00 |
| Spares | | Purchase Cost | Quantity | Bare Module Factor | Bare Module Cos |
| | Filter Integrity Tester | \$4,500.00 | 5 | 1 | \$22,500.00 |
| | Bioinactivation System | \$10,000.00 | 1 | 3.5 | \$35,000.00 |
| | Tube Fusers | \$15,300.00 | 1 | 1 | \$15,300.00 |
| | Tube Sealers | \$10,200.00 | 1 | 1 | \$10,200.00 |
| Storage 54 | | Purchase Cost | Quantity | Bare Module Factor | Bare Module Cos |
| <u> </u> | Incubator | \$3,700.00 | 4 | 1.74 | \$25,752.00 |
| | Storage Tanks | \$1,682.00 | 8 | 4.16 | \$55,976.96 |
| | Media Storage Tanks-200 L | \$1,682.00 | 8 | 4.16 | \$55,976.96 |
| | Media Storage Tanks-500 L | \$2,915.00 | 8 | 4.16 | \$97,011.20 |
| | Freeze Dryer | \$4,500.00 | 4 | 2.05 | \$36,900.00 |
| | Biosafety Cabinet | \$10,000.00 | 1 | 1.10 | \$11,000.00 |
| | Bag Holders | \$1,000.00 | 18 | 1.10 | \$19,800.00 |

Total Permanent Investment

Cost of Site Preparations: Cost of Service Facilities: Allocated Costs for utility plants and related facilities: Cost of Contingencies/Contractor Fees: Cost of Retrofit: Cost of Royalties: Cost of Plant Start-Up: 5.0% of Total Bare Module Costs 5.0% of Total Bare Module Costs \$0

18.0% of Direct Permanent Investment

\$0 \$0

10.0% of Total Depreciable Capital

11.2.2 Working Capital & Utilities

The working capital of a facility is defined as the sum of the cash reserves, inventory, and accounts receivable, minus the accounts payable. The cash reserves typically include 30 days of raw materials, utilities, operation, and maintenance. For the majority of cases, 30 days of accounts receivable for products at sales price and 30 days of feedstocks for accounts payable can be assumed. The inventory is assumed to be 7 days worth of liquid and solid products at sales price; however, this was very dependent on the material being considered. For the raw materials, such as media, cell culture supplies, and chemical ingredients, an inventory of 30 days was assumed.

11.2.3 Other Variable Costs

The other variable costs include the selling/transferring of expenses and the management incentive compensation. These factors are included in the Profitability Analysis spreadsheet.

| Working Capital | | | | |
|---------------------------------|---|--------|----------|------|
| | | | | |
| Accounts Receivable | | => | 30 | Days |
| Cash Reserves (excluding Raw Ma | Cash Reserves (excluding Raw Materials) | | | Days |
| Accounts Payable | · · · · · · · · · · · · · · · · · · · | | | |
| VLP for Influenza | | | | |
| Vaccine Inventory | | => | 28 | Days |
| Raw Materials | | => | 90 | Days |
| | | | | |
| Other Variable | | | | |
| Costs | | | | |
| <u>General Expenses</u> | | | | |
| | | | | |
| | Selling / Transfer | | | |
| | Expenses: | 3.00% | of Sales | |
| | Direct Research: | 10.00% | of Sales | |
| | Allocated Research: | 0.50% | of Sales | |
| | Administrative Expense: | 2.00% | of Sales | |
| | Management Incentive | 2.0070 | 01 04100 | |
| | Compensation: | 1.25% | of Sales | |
| | • | | | |
| | | | | |

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11.2.4 Fixed Costs

With a total of four months of operation (120 days), and 3 shifts per day, 71 employees will be required in both the Quality and Manufacture sectors. The upstream and downstream processes will require 6 workers each per shift. The fixed costs also include the wages and benefits of maintenance, quality control, and technical assistants. Section 10.5 on labor costs and structure goes into further detail regarding the personnel and services surrounding all units as well as their respective salaries and benefits.

11.2.5 Depreciation

The MACRS Tax-Basis Depreciation for 5 years was used for this manufacturing process. According to *Product and Process Design Principles*, the percentages of Total Depreciable Capital beginning with year 1 are: 20%, 32%, 19.2%, 11.52%, and 5.76%.

11.3 Input Summary

General Information

| Process Title: | Manufacturing of Insect Cell Culture VLP-based Influenza Vaccine |
|---------------------------|--|
| Product: | API for Influenza Vaccine |
| Plant Site Location: | Research Triangle Park, NC |
| Site Factor: | 1.10 |
| Operating Hours per Year: | 4383 |
| Operating Days Per Year: | 183 |
| Operating Factor: | 0.5003 |
| | |

Product Information

This Process will Yield

| 10,924 | doses of API for Influenza Vaccine per hour |
|------------|---|
| 262,177 | doses of API for Influenza Vaccine per day |
| 47,880,000 | doses of API for Influenza Vaccine per year |

Price

\$8.12 /doses

Chronology

| ennenegy | | | | | |
|-------------|------------|----------------------|-------------------|--------------|---------------|
| | | Distribution of | Production | Depreciation | Product Price |
| <u>Year</u> | Action | Permanent Investment | Capacity | 7 year MACRS | |
| 2013 | Production | 100% | 81.0% | 14.29% | \$8.12 |
| 2014 | Production | 0% | 90.0% | 24.49% | \$8.12 |
| 2015 | Production | 0% | 90.0% | 17.49% | \$8.12 |
| 2016 | Production | 0% | 90.0% | 12.49% | \$8.12 |
| 2017 | Production | | 90.0% | 8.93% | \$8.12 |
| 2018 | Production | | 90.0% | 8.92% | \$8.12 |
| 2019 | Production | | 90.0% | 8.93% | \$8.12 |
| 2020 | Production | | 90.0% | 4.46% | \$8.12 |
| 2021 | Production | | 90.0% | | \$8.12 |
| 2022 | Production | | 90.0% | | \$8.12 |

11.4. Profitability Analysis11.4.1 Profitability Analysis Results for 20% Efficiency in Downstream

Equipment Costs

| Equipment Description | | Bare Module Cost |
|------------------------------------|----------------------|------------------|
| Test Tubes | Fabricated Equipment | \$255 |
| 1 Shaker Flasks (250 mL) | Fabricated Equipment | \$596 |
| 6 Shaker Flasks (2 L) | Fabricated Equipment | \$5,106 |
| 6 Shaker Flasks (3 L) | Fabricated Equipment | \$1,969 |
| 4 Disposable Bioreactors (50 L) | Fabricated Equipment | \$3,552,956 |
| 4 Disposable Bioreactors (500 L) | Fabricated Equipment | \$3,889,051 |
| 4 Disposable Bioreactors (2000 L) | Fabricated Equipment | \$15,482,621 |
| 4 Reactor Totes (50 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (500 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (2000 L) | Fabricated Equipment | \$4,400 |
| 4 Depth Filtration Units | Fabricated Equipment | \$39,600 |
| 8 Sterile Filtration Units | Fabricated Equipment | \$79,200 |
| 8 Chromatography Columns | Fabricated Equipment | \$1,460,800 |
| 4 Disk-Stack Centrifuges | Process Machinery | \$3,248,000 |
| 4 Viral Inactivation Tanks | Process Machinery | \$74,880 |
| 16 Holding Tanks | Process Machinery | \$111,954 |
| 8 Resin Tanks | Process Machinery | \$55,977 |
| 4 Tangential Flow Filtration Units | Process Machinery | \$1,856,000 |
| 27 Pumps | Process Machinery | \$413,424 |
| 9 Heat Exchangers | Process Machinery | \$3,229,942 |
| 4 Incubators | Storage | \$25,752 |
| 8 Storage Tanks | Storage | \$55,977 |
| 8 Media Storage Tanks (200 L) | Storage | \$55,977 |
| 8 Media Storage Tanks (500 L) | Storage | \$97,011 |
| Biosafety Cabinet | Storage | \$11,000 |
| 18 Bag Holders | Storage | \$19,800 |
| Filter Integrity Tester | Spares | \$4,500 |
| Bioinactivation System | Spares | \$35,000 |
| Tube Fusers | Spares | \$15,300 |
| Tube Sealers | Spares | \$10,200 |

| riable Cost Summary | | | |
|-------------------------|---|---|---|
| Variable Costs at 100% | Capacity: | | |
| <u>General Expenses</u> | | | |
| | Selling / Transfer Expenses: Direct Research: Allocated Research: Administrative Expense: Management Incentive Cor | npensation: | \$11,667,303 \$38,891,009 \$149,730,384 \$7,778,202 \$4,861,376 |
| Total General Expense | S | | \$212,928,273 |
| Raw Materials | \$0.015991 | per doses of API for Influenza Vaccine | \$765,658 |
| Byproducts | \$0.000000 | per doses of API for Influenza Vaccine | \$0 |
| <u>Utilities</u> | \$0.018525 | per doses of API for Influenza Vaccine | \$886,971 |
| Total Variable Costs | | | <u>\$214,580,902</u> |
| | | | |

Fixed Cost Summary

| <u>Operations</u> | | |
|---------------------------|--|-------------|
| | Direct Wages and Benefits Direct Salaries and | \$364,000 |
| | Benefits | \$54,600 |
| | Operating Supplies and Services | \$21,840 |
| | Technical Assistance to Manufacturing | \$- |
| | Control Laboratory | \$- |
| | Total Operations | \$440,440 |
| <u>Maintenance</u> | | |
| | Wages and Benefits | \$1,522,744 |
| | Salaries and Benefits | \$380,686 |
| | Materials and Services | \$1,522,744 |
| | Maintenance Overhead | \$76,137 |
| | Total Maintenance | \$3,502,310 |
| Operating Overhead | | |
| | General Plant Overhead: | \$164,864 |
| | Mechanical Department Services: | \$55,729 |
| | Employee Relations Department: | \$137,000 |
| | Business Services: | \$171,830 |
| | Total Operating | |
| | Overhead | \$529,423 |
| Property Taxes and Insura | ance | |
| | Property Taxes and Insurance: | \$676,775 |
| Other Annual Expenses | | |
| | Rental Fees (Office and Laboratory | |
| | Space): | \$- |
| | Licensing Fees: | \$- |
| | Miscellaneous: | \$- |
| | Total Other Annual Expenses | \$ |
| Total Fixed Costs | | \$5,148,948 |

| Investment Summary | | |
|--|---------------------|---------------------|
| - - - - - - - - - - | | |
| Bare Module Costs | \$04 540 054 | |
| Fabricated Equipment | \$24,518,054 | |
| Process Machinery | \$8,990,177 | |
| Spares Storege | \$65,000 | |
| Storage Other Equipment | \$265,517 \$- | |
| Catalysts | \$- \$- | |
| Computers, Software, Etc. | \$- \$- | |
| Total Bare Module Costs: | | \$33,838,748 |
| Direct Permanent Investment | | |
| Cost of Site Preparations: | \$_ | |
| Cost of Service Facilities: | \$- \$- \$- | |
| Allocated Costs for utility plants and related facilities: | \$- \$- | |
| | Ŷ | |
| Direct Permanent Investment | | <u>\$33,838,748</u> |
| Total Depreciable Capital | | |
| Cost of Contingencies & Contractor Fees | \$- | |
| cost of contingencies & contractor rees | ψ- | |
| Total Depreciable Capital | | <u>\$33,838,748</u> |
| Total Permanent Investment | | |
| Cost of Land: | ŕ | |
| | \$- ¢ | |
| Cost of Royalties: Cost of Plant Start-Up: | \$- \$- | |
| GUST UL FIAIL STALLOP. | φ- | |
| Total Permanent Investment - Unadjusted | | \$33,838,748 |
| Site Factor | | 1.10 |
| | | |
| Total Permanent Investment | | \$37,222,623 |

Profitability Measures

| The Internal Rate of Return (IRR) for this project is | Negative IRR |
|--|---------------|
| The Net Present Value (NPV) of this project in 2013 is | \$619,244,200 |

ROI Analysis (Third Production Year)

| Annual Sales | 350,019,079 | |
|--------------------------|---------------|------------|
| Annual Costs | (198,271,760) | |
| Depreciation | (2,977,810) | |
| Income Tax | (6,694,628) | |
| Net Earnings | 142,074,882 | |
| Total Capital Investment | | 93,336,250 |
| ROI | 152.22% | |

| | Cumulative Net Present | 74105 41 20.7 76 36,816,400 | 157,190,600 | 256,847,500 | 339,369,900 | 407,714,300 | 464,337,600 | 511,250,000 | 550,098,800 | 582,269,900 | 619,244,200 |
|------------------|------------------------|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Cash Flour | 36,816,400 | 145,291,600 | 145,185,000 | 145,108,900 | 145,054,700 | 145,054,500 | 145,054,700 | 144,986,600 | 144,918,700 | 201,032,300 |
| | Mat Caminao | 125,317,100 | 137,004,500 | 139,266,600 | 140,882,400 | 142,032,900 | 142,036,100 | 142,032,900 | 143,477,400 | 144,918,700 | 144,918,700 |
| | Tavas | (5,905,000) | (6,455,700) | (6,562,300) | (6,638,400) | (6,692,600) | (6,692,800) | (6,692,600) | (6,760,700) | (6,628,600) | (6,828,600) |
| | Taulhla lacoma | 131,222,100 | 143,460,200 | 145,828,900 | 147,520,900 | 148,725,500 | 148,728,900 | 148,725,500 | 150,238,100 | 151,747,300 | 151,747,300 |
| | Depletion | | • | • | • | • | • | • | • | • | |
| ary | Descrition | (4,835,600) | (8,287,100) | (5,918,400) | (4,226,500) | (3,021,800) | (3,018,400) | (3,021,800) | (1,509,200) | • | |
| Cash Flow Summar | Clund Pasts | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5, 148, 900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) |
| Casl | Vian C anto | (173,810,500) | (193,122,800) | (193,122,800) | (193,122,800) | (193,122,800) | (193,122,800) | (193,122,800) | (193,122,800) | (193,122,800) | (193,122,800) |
| | | (56,113,600) | | • | • | • | • | • | • | • | 56,113,600 |
| | Canital Pasts | (37,222,600) | • | • | • | • | • | • | • | • | • |
| | Calae | 315,017,200 | 350,019,100 | 350,019,100 | 350,019,100 | 350,019,100 | 350,019,100 | 350,019,100 | 350,019,100 | 350,019,100 | 350,019,100 |
| | Product Unit | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 |
| | Percentage of | B1% | %06 | %06 | %06 | %06 | %06 | %06 | %06 | %06 | 90% |
| | Vare | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 |

| | Process Title: | Manufacturing of | Insect Cell (| Culture VI P-hase | d Influenza Vaccine | |
|--|--|---|---------------|--|---|--|
| | Product: | API for Influenza \ | | ounture ver -base | | |
| | Plant Site Location: | Research Triangle | | | | |
| | Site Factor: | 1.10 | | | | |
| | Operating Hours per Year: | 4383 | | | | |
| | Operating Days Per Year: | 183 | | | | |
| | Operating Factor: | 0.5003 | | | | |
| | opolating radion | | | | | |
| Product Infor | mation | | | | | |
| This Process v | vill Yield | | | | | |
| | | | | I for Influenza Vac | • | |
| | | | | PI for Influenza Vac | | |
| | | 00 101 000 | | 1 fan Influana //aa | aina narwaar | |
| | | 86,184,000 | doses of AP | Pl for Influenza Vac | cine per year | |
| | Price | | doses of AP | n for influenza vac | cine per year | |
| Chronology | Price | | | n for influenza vac | che per year | |
| Chronology | Price | | /doses | Production | Depreciation | Product Price |
| Chronology <u>Year</u> | Price Action | \$8.12 | /doses | | | Product Pric |
| | | \$8.12 <u>Distributio</u> | /doses | Production | Depreciation | Product Price \$8.12 |
| Year | Action | \$8.12 <u>Distributio</u> Permanent Inve | /doses | Production Capacity | Depreciation 7 year MACRS | |
| <u>Year</u> 2013 | <u>Action</u> Production | \$8.12 Distributio Permanent Inve 100% | /doses | Production Capacity 81.0% | Depreciation 7 year MACRS 14.29% | \$8.12 |
| <u>Year</u> 2013 2014 | Action Production Production | \$8.12 Distributio Permanent Inve 100% 0% | /doses | Production Capacity 81.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% | \$8.12 \$8.12 |
| <u>Year</u> 2013 2014 2015 | Action Production Production Production | \$8.12 Distributio Permanent Invo 100% 0% 0% | /doses | Production Capacity 81.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% | \$8.12 \$8.12 \$8.12 |
| <u>Year</u> 2013 2014 2015 2016 | Action Production Production Production Production | \$8.12 Distributio Permanent Invo 100% 0% 0% | /doses | Production Capacity 81.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% | \$8.12 \$8.12 \$8.12 \$8.12 |
| <u>Year</u> 2013 2014 2015 2016 2017 | Action Production Production Production Production Production | \$8.12 Distributio Permanent Invo 100% 0% 0% | /doses | Production Capacity 81.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 |
| Year 2013 2014 2015 2016 2017 2018 | Action Production Production Production Production Production Production | \$8.12 Distributio Permanent Invo 100% 0% 0% | /doses | Production Capacity 81.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% 8.92% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 |
| Year 2013 2014 2015 2016 2017 2018 2019 | Action Production Production Production Production Production Production | \$8.12 Distributio Permanent Invo 100% 0% 0% | /doses | Production Capacity 81.0% 90.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% 8.92% 8.93% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 |

Equipment Costs

| Equipment Description | | <u>Bare Module Cost</u> |
|------------------------------------|----------------------|-------------------------|
| Test Tubes | Fabricated Equipment | \$255 |
| 1 Shaker Flasks (250 mL) | Fabricated Equipment | \$596 |
| 6 Shaker Flasks (2 L) | Fabricated Equipment | \$5,106 |
| 6 Shaker Flasks (3 L) | Fabricated Equipment | \$1,969 |
| 4 Disposable Bioreactors (50 L) | Fabricated Equipment | \$3,552,956 |
| 4 Disposable Bioreactors (500 L) | Fabricated Equipment | \$3,889,051 |
| 4 Disposable Bioreactors (2000 L) | Fabricated Equipment | \$15,482,621 |
| 4 Reactor Totes (50 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (500 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (2000 L) | Fabricated Equipment | \$4,400 |
| 4 Depth Filtration Units | Fabricated Equipment | \$39,600 |
| 8 Sterile Filtration Units | Fabricated Equipment | \$79,200 |
| 8 Chromatography Columns | Fabricated Equipment | \$1,460,800 |
| 4 Disk-Stack Centrifuges | Process Machinery | \$3,248,000 |
| 4 Viral Inactivation Tanks | Process Machinery | \$74,880 |
| 16 Holding Tanks | Process Machinery | \$111,954 |
| 8 Resin Tanks | Process Machinery | \$55,977 |
| 4 Tangential Flow Filtration Units | Process Machinery | \$1,856,000 |
| 27 Pumps | Process Machinery | \$413,424 |
| 9 Heat Exchangers | Process Machinery | \$3,229,942 |
| 4 Incubators | Storage | \$25,752 |
| 8 Storage Tanks | Storage | \$55,977 |
| 8 Media Storage Tanks (200 L) | Storage | \$55,977 |
| 8 Media Storage Tanks (500 L) | Storage | \$97,011 |
| Biosafety Cabinet | Storage | \$11,000 |
| 18 Bag Holders | Storage | \$19,800 |
| Filter Integrity Tester | Spares | \$4,500 |
| Bioinactivation System | Spares | \$35,000 |
| Tube Fusers | Spares | \$15,300 |
| Tube Sealers | Spares | \$10,200 |

| riable Cost Summary Variable Costs at 100% C | apacity: | | |
|---|---|---|--|
| <u>General Expenses</u> | | | |
| | Selling / Transfer Expenses: Direct Research: Allocated Research: Administrative Expense: Management Incentive Con | npensation: | \$21,001,145 \$70,003,816 \$269,514,691 \$14,000,763 \$8,750,477 |
| Total General Expenses | | | \$383,270,892 |
| Raw Materials | \$0.008884 | per doses of API for Influenza Vaccine | \$765,658 |
| Byproducts | \$0.000000 | per doses of API for Influenza Vaccine | \$0 |
| <u>Utilities</u> | \$0.010292 | per doses of API for Influenza Vaccine | \$886,971 |
| Total Variable Costs | | | \$384,923,520 |

Fixed Cost Summary

| Direct Wages and Benefits\$364,000Direct Salaries andBenefitsBenefits\$54,600Operating Supplies and Services\$21,840Technical Assistance to Manufacturing\$-Control Laboratory\$-Total Operations\$440,440 | |
|--|----------|
| Benefits\$54,600Operating Supplies and Services\$21,840Technical Assistance to Manufacturing\$-Control Laboratory\$- | |
| Operating Supplies and Services\$21,840Technical Assistance to Manufacturing\$-Control Laboratory\$- | |
| Technical Assistance to Manufacturing \$- Control Laboratory \$- | |
| | |
| Total Operations \$440,440 | |
| | |
| Maintenance | |
| Wages and Benefits \$1,522,744 | |
| Salaries and Benefits \$380,686 | |
| Materials and Services \$1,522,744 | |
| Maintenance Overhead \$76,137 | |
| Total Maintenance \$3,502,310 | |
| Operating Overhead | |
| General Plant Overhead: \$164,864 | |
| Mechanical Department Services: \$55,729 | |
| Employee Relations Department: \$137,000 | |
| Business Services: \$171,830 | |
| Total Operating | |
| Overhead \$529,423 | |
| Property Taxes and Insurance | |
| Property Taxes and Insurance: \$676,775 | |
| Other Annual Expenses | |
| Rental Fees (Office and Laboratory | |
| Space): \$- | |
| Licensing Fees: \$- | |
| Miscellaneous: \$- | |
| Total Other Annual Expenses\$- | |
| Total Fixed Costs \$5,148,948 | <u>.</u> |

| Investment Summary | | |
|--|-------------------|---------------------|
| Bare Module Costs | | |
| Fabricated Equipment | \$24,518,054 | |
| Process Machinery | \$8,990,177 | |
| Spares | \$65,000 | |
| Storage | \$265,517 | |
| Other Equipment | \$- | |
| Catalysts | \$- | |
| Computers, Software, Etc. | \$- | |
| Total Bare Module Costs: | | <u>\$33,838,748</u> |
| Direct Permanent Investment | | |
| Cost of Site Preparations: | \$- | |
| Cost of Service Facilities: | \$- \$- \$- | |
| Allocated Costs for utility plants and related facilities: | \$- | |
| Direct Permanent Investment | | <u>\$33,838,748</u> |
| Total Depreciable Capital | | |
| Cost of Contingencies & Contractor Fees | \$- | |
| Total Depreciable Capital | | \$33,838,748 |
| Total Permanent Investment | | |
| Cost of Land: | \$- | |
| Cost of Royalties: | \$- \$- | |
| Cost of Plant Start-Up: | \$- | |
| Total Permanent Investment - Unadjusted | | \$33,838,748 |
| Site Factor | | 1.10 |
| Total Permanent Investment | | \$37,222,623 |

Profitability Measures

| The Internal Rate of Return (IRR) for this project is | Negative IRR |
|---|--------------|
| | |

The Net Present Value (NPV) of this project in 2013 is

ROI Analysis (Third Production Year)

| Annual Sales | 630,034,343 | |
|--------------------------|---------------|-------------|
| Annual Costs | (351,580,117) | |
| Depreciation | (2,977,810) | |
| Income Tax | (12,396,439) | |
| Net Earnings | 263,079,977 | |
| Total Capital Investment | | 137,831,826 |
| ROI | 190.87% | |

\$1,168,887,900

| | Cumulative Net Present Value at 20.7% | 101,225,500 | 321,852,400 | 504,568,700 | 655,906,000 | 781,263,400 | 885,122,100 | 971,169,000 | 1,042,440,700 | 1,101,474,300 | 1,168,887,900 |
|------------------|--|---------------|---------------|---------------|---------------|----------------|---------------|---------------|---------------|---------------|---------------|
| | Cash Flow | 101,225,500 | 266,296,700 | 266,190,100 | 266,114,000 | 266,059,800 | 266,059,600 | 266,059,800 | 265,991,700 | 265,923,800 | 366,533,000 |
| | Net Famines | 234,221,700 | 258,009,600 | 260,271,700 | 261,887,500 | 263,038,000 | 263,041,200 | 263,038,000 | 264,462,500 | 265,923,800 | 265,923,800 |
| | Тахое | (11,036,600) | (12,157,500) | (12,264,100) | (12,340,200) | (12, 394, 500) | (12, 394,600) | (12,394,500) | (12,462,500) | (12,530,400) | (12,530,400) |
| | Taxihle Income | 245,258,400 | 270,167,100 | 272,535,800 | 274,227,800 | 275,432,400 | 275,435,800 | 275,432,400 | 276,945,000 | 278,454,200 | 278,454,200 |
| | Depletion Allowance | | • | • | • | • | • | • | • | • | |
| ary | Denreciation | (4,835,600) | (8,287,100) | (5,918,400) | (4,226,500) | (3,021,800) | (3,018,400) | (3,021,800) | (1,509,200) | • | |
| Cash Flow Summar | Fived Costs | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) |
| Cast | Var Criete | (311,788,100) | (346,431,200) | (346,431,200) | (346,431,200) | (346,431,200) | (346,431,200) | (346,431,200) | (346,431,200) | (346,431,200) | (346,431,200) |
| | 3 | (100,609,200) | | • | • | • | | • | • | • | 100,609,200 |
| | Canital Costs | (37,222,600) | • | • | • | • | • | • | • | • | • |
| | Salee | 567,030,900 | 630,034,300 | 630,034,300 | 630,034,300 | 630,034,300 | 630,034,300 | 630,034,300 | 630,034,300 | 630,034,300 | 630,034,300 |
| | Product Unit Price | \$6.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 |
| | Percentage of Design Canacity | 81% | %06 | %06 | %06 | %06 | %06 | %06 | %06 | 90% | %06 |
| | Year | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 |

| General Infor | Dreeses Tiller | Manufaatuulaa | f Image Coll | Culture VI D have | d Influence Veesin- | | |
|--|--|---|--|--|---|--|--|
| | Process Title: | - | | Culture VLP-base | ed Influenza Vaccine | | |
| | Product: Plant Site Location: | | API for Influenza Vaccine | | | | |
| Site Factor: | - | Research Triangle Park, NC | | | | | |
| | | 1.10 4383 | | | | | |
| | Operating Hours per Year: | | | | | | |
| | Operating Days Per Year: | 183 | | | | | |
| | Operating Factor: | 0.5003 | | | | | |
| Product Infor | mation | | | | | | |
| This Process | will Yield | | | | | | |
| | | 27,310 | | PI for Influenza Vac | • | | |
| | | 655 //1 | docae of AL | PI for Influenza Vac | cine per dav | | |
| | | 655,441 | | | | | |
| | | 119,700,000 | | Pl for Influenza Vac | | | |
| | Price | | | | | | |
| Chronology | Price | 119,700,000 | doses of AF | | | | |
| Chronology | Price | 119,700,000 | doses of AF /doses | | | Product Pric | |
| Chronology <u>Year</u> | Price Action | 119,700,000 \$8.12 | doses of AF /doses on of | PI for Influenza Vac | cine per year | Product Pric | |
| | | 119,700,000 \$8.12 <u>Distributi</u> | doses of AF /doses on of vestment | Pl for Influenza Vac | cine per year | Product Pric \$8.12 | |
| <u>Year</u> | Action | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> | doses of AF /doses on of vestment | Pl for Influenza Vac Production Capacity | cine per year Depreciation 7 year MACRS | | |
| <u>Year</u> 2013 | <u>Action</u> Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% | doses of AF /doses on of vestment | Pl for Influenza Vac Production Capacity 81.0% | Depreciation 7 year MACRS 14.29% | \$8.12 | |
| <u>Year</u> 2013 2014 | <u>Action</u> Production Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% 0% | doses of AF /doses on of vestment | Pl for Influenza Vac Production Capacity 81.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% | \$8.12 \$8.12 | |
| <u>Year</u> 2013 2014 2015 | <u>Action</u> Production Production Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% 0% 0% | doses of AF /doses on of vestment | PI for Influenza Vac Production Capacity 81.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% | \$8.12 \$8.12 \$8.12 | |
| <u>Year</u> 2013 2014 2015 2016 | <u>Action</u> Production Production Production Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% 0% 0% | doses of AF /doses on of vestment | Pl for Influenza Vac Production <u>Capacity</u> 81.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% | \$8.12 \$8.12 \$8.12 \$8.12 | |
| <u>Year</u> 2013 2014 2015 2016 2017 | Action Production Production Production Production Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% 0% 0% | doses of AF /doses on of vestment | Pl for Influenza Vac Production Capacity 81.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 | |
| Year 2013 2014 2015 2016 2017 2018 | Action Production Production Production Production Production Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% 0% 0% | doses of AF /doses on of vestment | PI for Influenza Vac Production <u>Capacity</u> 81.0% 90.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% 8.92% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 | |
| Year 2013 2014 2015 2016 2017 2018 2019 | Action Production Production Production Production Production Production | 119,700,000 \$8.12 <u>Distributi</u> <u>Permanent In</u> 100% 0% 0% | doses of AF /doses on of vestment | PI for Influenza Vac Production <u>Capacity</u> 81.0% 90.0% 90.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% 8.92% 8.93% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 | |

Equipment Costs

| Equipment Description | | Bare Module Cost |
|------------------------------------|----------------------|------------------|
| Test Tubes | Fabricated Equipment | \$255 |
| 1 Shaker Flasks (250 mL) | Fabricated Equipment | \$596 |
| 6 Shaker Flasks (2 L) | Fabricated Equipment | \$5,106 |
| 6 Shaker Flasks (3 L) | Fabricated Equipment | \$1,969 |
| 4 Disposable Bioreactors (50 L) | Fabricated Equipment | \$3,552,956 |
| 4 Disposable Bioreactors (500 L) | Fabricated Equipment | \$3,889,051 |
| 4 Disposable Bioreactors (2000 L) | Fabricated Equipment | \$15,482,621 |
| 4 Reactor Totes (50 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (500 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (2000 L) | Fabricated Equipment | \$4,400 |
| 4 Depth Filtration Units | Fabricated Equipment | \$39,600 |
| 8 Sterile Filtration Units | Fabricated Equipment | \$79,200 |
| 8 Chromatography Columns | Fabricated Equipment | \$1,460,800 |
| 4 Disk-Stack Centrifuges | Process Machinery | \$3,248,000 |
| 4 Viral Inactivation Tanks | Process Machinery | \$74,880 |
| 16 Holding Tanks | Process Machinery | \$111,954 |
| 8 Resin Tanks | Process Machinery | \$55,977 |
| 4 Tangential Flow Filtration Units | Process Machinery | \$1,856,000 |
| 27 Pumps | Process Machinery | \$413,424 |
| 9 Heat Exchangers | Process Machinery | \$3,229,942 |
| 4 Incubators | Storage | \$25,752 |
| 8 Storage Tanks | Storage | \$55,977 |
| 8 Media Storage Tanks (200 L) | Storage | \$55,977 |
| 8 Media Storage Tanks (500 L) | Storage | \$97,011 |
| Biosafety Cabinet | Storage | \$11,000 |
| 18 Bag Holders | Storage | \$19,800 |
| Filter Integrity Tester | Spares | \$4,500 |
| Bioinactivation System | Spares | \$35,000 |
| Tube Fusers | Spares | \$15,300 |
| Tube Sealers | Spares | \$10,200 |

| riable Cost Summary Variable Costs at 100% C | Capacity: | | |
|---|---|---|---|
| <u>General Expenses</u> | | | |
| | Selling / Transfer Expenses: Direct Research: Allocated Research: Administrative Expense: Management Incentive Con | npensation: | \$29,168,257 \$97,227,522 \$374,325,960 \$19,445,504 \$12,153,440 |
| Total General Expenses | | | \$532,320,683 |
| Raw Materials | \$0.006396 | per doses of API for Influenza Vaccine | \$765,658 |
| <u>Byproducts</u> | \$0.000000 | per doses of API for Influenza Vaccine | \$C |
| <u>Utilities</u> | \$0.007410 | per doses of API for Influenza Vaccine | \$886,971 |
| Total Variable Costs | | | \$533,973,312 |

Fixed Cost Summary

| Operations | | |
|--------------------------|--|--------------------|
| | Direct Wages and Benefits Direct Salaries and | \$364,000 |
| | Benefits | \$54,600 |
| | Operating Supplies and Services | \$21,840 |
| | Technical Assistance to Manufacturing | \$- |
| | Control Laboratory | \$- |
| | Total Operations | \$440,440 |
| <u>Maintenance</u> | | |
| | Wages and Benefits | \$1,522,744 |
| | Salaries and Benefits | \$380,686 |
| | Materials and Services | \$1,522,744 |
| | Maintenance Overhead | \$76,137 |
| | Total Maintenance | \$3,502,310 |
| Operating Overhead | | |
| | General Plant Overhead: | \$164,864 |
| | Mechanical Department Services: | \$55,729 |
| | Employee Relations Department: | \$137,000 |
| | Business Services: | \$171,830 |
| | Total Operating | |
| | Overhead | \$529,423 |
| Property Taxes and Insur | rance | |
| | Property Taxes and Insurance: | \$676,775 |
| Other Annual Expenses | | |
| | Rental Fees (Office and Laboratory | |
| | Space): | \$- |
| | Licensing Fees: | \$- |
| | Miscellaneous: | \$- |
| | Total Other Annual Expenses | \$- |
| Total Fixed Costs | | <u>\$5,148,948</u> |

| Investment Summary | | |
|--|-------------------|---------------------|
| Bare Module Costs | | |
| Fabricated Equipment | \$24,518,054 | |
| Process Machinery | \$8,990,177 | |
| Spares | \$65,000 | |
| Storage | \$265,517 | |
| Other Equipment | \$- | |
| Catalysts | \$- | |
| Computers, Software, Etc. | \$- | |
| Total Bare Module Costs: | | <u>\$33,838,748</u> |
| Direct Permanent Investment | | |
| Cost of Site Preparations: | \$- | |
| Cost of Service Facilities: | \$- \$- \$- | |
| Allocated Costs for utility plants and related facilities: | \$- | |
| Direct Permanent Investment | | <u>\$33,838,748</u> |
| Total Depreciable Capital | | |
| Cost of Contingencies & Contractor Fees | \$- | |
| Total Depreciable Capital | | <u>\$33,838,748</u> |
| Total Permanent Investment | | |
| Cost of Land: | \$- | |
| Cost of Royalties: | \$- \$- | |
| Cost of Plant Start-Up: | \$- | |
| Total Permanent Investment - Unadjusted | | \$33,838,748 |
| Site Factor | | 1.10 |
| Total Permanent Investment | | \$37,222,623 |

Profitability Measures

| The Internal Rate of Return (IRR) for this project is | Negative IRR |
|---|--------------|
| | |

The Net Present Value (NPV) of this project in 2013 is

ROI Analysis (Third Production Year)

| Annual Sales | 875,047,698 | |
|--------------------------|---------------|-------------|
| Annual Costs | (485,724,929) | |
| Depreciation | (2,977,810) | |
| Income Tax | (17,385,523) | |
| Net Earnings | 368,959,436 | |
| Total Capital Investment | | 176,765,455 |
| ROI | 208.73% | |

\$1,649,826,200

| 0 | /0 | - 1 | | 10 | | у | 10 | 1 | | J V1 | / 11 | 511 | uai | |
|---|------------------------|----------------|-----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|--|
| | Cumulative Net Present | Value at 20.7% | 157,583,300 | 465,931,400 | 721,324,800 | 932,875,000 | 1,108,118,900 | 1,253,308,500 | 1,373,598,200 | 1,473,240,000 | 1,555,778,200 | 1,649,826,200 | | |
| | | | Cash Flow | 157,583,300 | 372,176,200 | 372,069,600 | 371,993,400 | 371,939,200 | 371,939,100 | 371,939,200 | 371,871,200 | 371,803,200 | 511,346,100 | |
| | | | Net Earnings | 329,513,200 | 363,869,100 | 366,151,200 | 367,767,000 | 368,917,400 | 368,920,700 | 368,917,400 | 370,362,000 | 371,803,200 | 371,803,200 | |
| | | | Taxes | (15,526,800) | (17,146,600) | (17,253,200) | (17,329,300) | (17,383,500) | (17,383,700) | (17,383,500) | (17,451,600) | (17,519,500) | (17,519,500) | |
| | | | Taxible Income | 345,040,000 | 361,035,700 | 383,404,400 | 385,096,300 | 386,301,000 | 386,304,400 | 386,301,000 | 367,813,600 | 369,322,800 | 389,322,800 | |
| | | Depletion | Allowance | • | • | • | • | • | • | • | • | • | | |
| | lary | | Depreciation | (4,835,600) | (8,287,100) | (5,918,400) | (4,226,500) | (3,021,800) | (3,018,400) | (3,021,800) | (1,509,200) | • | | |
| i | Cash Flow Summa | | Fixed Costs | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | |
| • | Cas | | Var Costs | (432,518,400) | (480,576,000) | (480,576,000) | (480,576,000) | (480,576,000) | (480,576,000) | (480,576,000) | (480,576,000) | (480,576,000) | (480,576,000) | |
| | | | Working Capital | (139,542,800) | | • | • | • | • | • | • | • | 139,542,800 | |
| | | | | Capital Costs | (37,222,600) | • | • | • | • | • | • | • | • | |
| | | Sales | 787,542,900 | 875,047,700 | 875,047,700 | 875,047,700 | 875,047,700 | 875,047,700 | 875,047,700 | 875,047,700 | 875,047,700 | 875,047,700 | | |
| | Product Unit | Price | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | | |
| | | Percentage of | Design Capacity | 81% | %06 | %06 | %06 | %06 | %06 | %06 | %06 | %06 | 90% | |
| | | | Year | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | |

| | Process Title: | Manufacturing of Insec | t Cell Culture VLP-based I | Influenza Vaccine | | | | | | |
|--|--|--|---|---|--|--|--|--|--|--|
| | Product: | API for Influenza Vaccine | | | | | | | | |
| | Plant Site Location: | | Research Triangle Park, NC | | | | | | | |
| | Site Factor: | 1.10 | | | | | | | | |
| | Operating Hours per Year: | 4383 | | | | | | | | |
| | Operating Days Per Year: | 183 | | | | | | | | |
| | Operating Factor: | 0.5003 | | | | | | | | |
| | | | | | | | | | | |
| Product Inform | | | | | | | | | | |
| his Process | WIII YIEID | 70 650 | an of ADI for Influence Mar | acina nar haur | | | | | | |
| | | | es of API for Influenza Vac | | | | | | | |
| | | | es of API for Influenza Vac es of API for Influenza Vac | | | | | | | |
| | | 344,730,000 0056 | es of AFT for infinuenza vau | | | | | | | |
| | | | | 1 2 | | | | | | |
| | Price | \$5.44 /dos | | | | | | | | |
| | Price | \$5.44 /dos | | | | | | | | |
| hronology | Price | \$5.44 /dos | | | | | | | | |
| Chronology | Price | \$5.44 /dos | | Depreciation | Product Price | | | | | |
| <u>Chronology</u> | Price <u>Action</u> | | es <u>Production</u> | | Product Price | | | | | |
| | | Distribution of | es <u>Production</u> | Depreciation | Product Price \$8.12 | | | | | |
| <u>Year</u> | Action | Distribution of Permanent Investm | es <u>Production</u> ent <u>Capacity</u> | Depreciation 7 year MACRS | | | | | | |
| <u>Year</u> 2013 | <u>Action</u> Production | <u>Distribution of</u> <u>Permanent Investm</u> 100% | ent Capacity 81.0% | Depreciation 7 year MACRS 14.29% | \$8.12 | | | | | |
| <u>Year</u> 2013 2014 | <u>Action</u> Production Production | <u>Distribution of</u> <u>Permanent Investm</u> 100% 0% | ent <u>Capacity</u> 81.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% | \$8.12 \$8.12 | | | | | |
| <u>Year</u> 2013 2014 2015 | <u>Action</u> Production Production Production | Distribution of Permanent Investm 100% 0% 0% | ent <u>Production</u> <u>ent Capacity</u> 81.0% 90.0% 90.0% | <u>Depreciation</u> 7 year MACRS 14.29% 24.49% 17.49% | \$8.12 \$8.12 \$8.12 | | | | | |
| <u>Year</u> 2013 2014 2015 2016 | Action Production Production Production Production | Distribution of Permanent Investm 100% 0% 0% | ent <u>Production</u> <u>ent Capacity</u> 81.0% 90.0% 90.0% 90.0% | <u>Depreciation</u> 7 year MACRS 14.29% 24.49% 17.49% 12.49% | \$8.12 \$8.12 \$8.12 \$8.12 | | | | | |
| Year 2013 2014 2015 2016 2017 | Action Production Production Production Production Production | Distribution of Permanent Investm 100% 0% 0% | ent <u>Production</u> <u>Capacity</u> 81.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 | | | | | |
| <u>Year</u> 2013 2014 2015 2016 2017 2018 | Action Production Production Production Production Production Production | Distribution of Permanent Investm 100% 0% 0% | ent <u>Production</u> <u>ent Capacity</u> 81.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% 8.92% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 | | | | | |
| Year 2013 2014 2015 2016 2017 2018 2019 | Action Production Production Production Production Production Production | Distribution of Permanent Investm 100% 0% 0% | ent <u>Production</u> 81.0% 90.0% 90.0% 90.0% 90.0% 90.0% 90.0% | Depreciation 7 year MACRS 14.29% 24.49% 17.49% 12.49% 8.93% 8.92% 8.93% | \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 \$8.12 | | | | | |

Equipment Costs

| Equipment Description | | <u>Bare Module Cost</u> |
|------------------------------------|----------------------|-------------------------|
| Test Tubes | Fabricated Equipment | \$255 |
| 1 Shaker Flasks (250 mL) | Fabricated Equipment | \$596 |
| 6 Shaker Flasks (2 L) | Fabricated Equipment | \$5,106 |
| 6 Shaker Flasks (3 L) | Fabricated Equipment | \$1,969 |
| 4 Disposable Bioreactors (50 L) | Fabricated Equipment | \$3,552,956 |
| 4 Disposable Bioreactors (500 L) | Fabricated Equipment | \$3,889,051 |
| 4 Disposable Bioreactors (2000 L) | Fabricated Equipment | \$15,482,621 |
| 4 Reactor Totes (50 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (500 L) | Fabricated Equipment | \$4,400 |
| 4 Reactor Totes (2000 L) | Fabricated Equipment | \$4,400 |
| 4 Depth Filtration Units | Fabricated Equipment | \$39,600 |
| 8 Sterile Filtration Units | Fabricated Equipment | \$79,200 |
| 8 Chromatography Columns | Fabricated Equipment | \$1,460,800 |
| 4 Disk-Stack Centrifuges | Process Machinery | \$3,248,000 |
| 4 Viral Inactivation Tanks | Process Machinery | \$74,880 |
| 16 Holding Tanks | Process Machinery | \$111,954 |
| 8 Resin Tanks | Process Machinery | \$55,977 |
| 4 Tangential Flow Filtration Units | Process Machinery | \$1,856,000 |
| 27 Pumps | Process Machinery | \$413,424 |
| 9 Heat Exchangers | Process Machinery | \$3,229,942 |
| 4 Incubators | Storage | \$25,752 |
| 8 Storage Tanks | Storage | \$55,977 |
| 8 Media Storage Tanks (200 L) | Storage | \$55,977 |
| 8 Media Storage Tanks (500 L) | Storage | \$97,011 |
| Biosafety Cabinet | Storage | \$11,000 |
| 18 Bag Holders | Storage | \$19,800 |
| Filter Integrity Tester | Spares | \$4,500 |
| Bioinactivation System | Spares | \$35,000 |
| Tube Fusers | Spares | \$15,300 |
| Tube Sealers | Spares | \$10,200 |

| Variable Costs at 100% | <u> 6 Capacity:</u> | | |
|-------------------------|--------------------------|---|-----------------|
| <u>General Expenses</u> | | | |
| | Selling / Transfer | | |
| | Expenses: | | \$56,260,915 |
| | Direct Research: | | \$187,536,384 |
| | Allocated Research: | | \$722,015,078 |
| | Administrative Expense: | | \$37,507,277 |
| | Management Incentive Com | pensation: | \$23,442,048 |
| Total General Expense | 95 | | \$1,026,761,702 |
| Raw Materials | \$0.002221 | per doses of API for Influenza Vaccine | \$765,658 |
| Byproducts | \$0.00000 | per doses of API for Influenza Vaccine | \$0 |
| <u>Utilities</u> | \$0.002573 | per doses of API for Influenza Vaccine | \$886,971 |

Fixed Cost Summary

| Operations | | |
|---------------------------|--|-------------------|
| | Direct Wages and Benefits Direct Salaries and | \$364,000 |
| | Benefits | \$54,600 |
| | Operating Supplies and Services | \$21,840 |
| | Technical Assistance to Manufacturing | \$- |
| | Control Laboratory | \$- |
| | Total Operations | \$440,440 |
| Maintenance | | |
| | Wages and Benefits | \$1,522,744 |
| | Salaries and Benefits | \$380,686 |
| | Materials and Services | \$1,522,744 |
| | Maintenance Overhead | \$76,137 |
| | Total Maintenance | \$3,502,310 |
| Operating Overhead | | |
| | General Plant Overhead: | \$164,864 |
| | Mechanical Department Services: | \$55,729 |
| | Employee Relations Department: | \$137,000 |
| | Business Services: | \$171,830 |
| | | |
| | Total Operating Overhead | \$529,423 |
| Property Taxes and Insura | nce | |
| | Property Taxes and Insurance: | \$676,775 |
| Other Annual Expenses | | |
| | Rental Fees (Office and Laboratory | |
| | Space): | \$- |
| | Licensing Fees: | \$- \$- \$- |
| | Miscellaneous: | \$- |
| | Total Other Annual Expenses | \$ |
| Total Fixed Costs | | \$5,148,948 |
| | | <u> </u> |

Investment Summary Bare Module Costs Fabricated Equipment \$24,518,054 **Process Machinery** \$8,990,177 Spares \$65,000 Storage \$265,517 Other Equipment \$-Catalysts \$-Computers, Software, Etc. \$-Total Bare Module Costs: \$33,838,748 **Direct Permanent Investment** Cost of Site Preparations: \$-\$-\$-Cost of Service Facilities: Allocated Costs for utility plants and related facilities: **Direct Permanent Investment** \$33,838,748 Total Depreciable Capital \$-Cost of Contingencies & Contractor Fees **Total Depreciable Capital** \$33,838,748 **Total Permanent Investment** Cost of Land: \$-\$-\$-Cost of Royalties: Cost of Plant Start-Up: Total Permanent Investment - Unadjusted \$33,838,748 Site Factor 1.10 **Total Permanent Investment** \$37,222,623

11.4.4 Profitability Analysis for Pandemic Case

Profitability Measures

The Internal Rate of Return (IRR) for this project is

The Net Present Value (NPV) of this project in 2013 is

ROI Analysis (Third Production Year)

| Annual Sales | 2,520,137,370 | |
|--------------------------|---------------|-------------|
| Annual Costs | (930,721,846) | |
| Depreciation | (2,977,810) | |
| Income Tax | (71,389,697) | |
| Net Earnings | 1,515,048,017 | |
| Total Capital Investment | | 374,328,533 |
| ROI | 404.74% | |

Negative IRR

\$7,038,423,300

| | Cumulative Net Present | Value at 20.7% | 991,500,000 | 2,249,363,000 | 3,291,466,400 | 4,154,789,600 | 4,870,027,700 | 5,462,602,700 | 5,953,551,400 | 6,360,264,300 | 6,697,247,700 | 7,038,423,300 |
|-----------------|------------------------|-----------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | | Cash Flow | 991,500,000 | 1,518,264,700 | 1,518,158,200 | 1,518,082,000 | 1,518,027,800 | 1,518,027,700 | 1,518,027,800 | 1,517,959,700 | 1,517,891,800 | 1,854,997,700 |
| | | Net Earnings | 1,360,993,000 | 1,509,977,600 | 1,512,239,800 | 1,513,855,600 | 1,515,006,000 | 1,515,009,200 | 1,515,006,000 | 1,516,450,500 | 1,517,891,800 | 1,517,891,800 |
| | | Taxes | (64,130,600) | (71,150,800) | (71,257,400) | (71,333,500) | (71,387,700) | (71,387,900) | (71,387,700) | (71,455,800) | (71,523,700) | (71,523,700) |
| | | Taxible Income | 1,425,123,500 | 1,581,128,400 | 1,583,497,100 | 1,585,189,100 | 1,586,393,700 | 1,586,397,100 | 1,586,393,700 | 1,587,906,300 | 1,589,415,500 | 1,569,415,500 |
| | Depletion | Allowance | • | • | • | • | • | • | • | • | • | |
| ary | 3 | Depreciation | (4,835,600) | (8,287,100) | (5,918,400) | (4,226,500) | (3,021,800) | (3,018,400) | (3,021,800) | (1,509,200) | • | |
| Cash Flow Summa | : | Fixed Costs | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) | (5,148,900) |
| Cas | • | Var Costs | (833,015,600) | (925,572,900) | (925,572,900) | (925,572,900) | (925,572,900) | (925,572,900) | (925,572,900) | (925,572,900) | (925,572,900) | (925,572,900) |
| | | Working Capital | | | | | | | | | | 337,105,900 |
| | | Capital Costs | (37,222,600) | | | | | | | | | • |
| | ; | Sales | 2,268,123,600 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 | 2,520,137,400 |
| | Product Unit | Price | \$6.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 | \$8.12 |
| | Percentage of | Design Capacity | 81% | %06 | %06 | %06 | %06 | %06 | %06 | %06 | %06 | %06 |

11.5 Sensitivity Analysis 11.5.1 Efficacy and Dosing

Presently, live attenuated influenza virus vaccines are considered to be both safe and effective in preventing acute illness and serious complications (Nichol). The effectiveness of the vaccine changes seasonally as the virus evolves, so the WHO must identify strains that will be a threat each influenza season for vaccine manufacturers to target. This annual process requires that the vaccine be reformulated. Influenza vaccines were first manufactured in 1943, and use among high-risk patients has been recommended since 1963. At that point in history, organizations began to collect data to determine vaccine efficacy.

A common method for measuring the efficacy of a vaccine in preventing the virus from infecting the patient is Vaccine Effectiveness (VE). The Center for Disease Control (CDC) of the United States defines VE as the "overall effectiveness of seasonal [influenza] vaccine for preventing laboratory-confirmed [influenza] virus infection ("Influenza..")."

The CDC reported the 2012-2013 VE to be 62% on January 11, 2013 ("Influenza…"). This was reported with a 95% confidence interval of 51% to 71% for the VE. A 95% confidence interval sets the range of VE for which there is a 95% chance of the VE being between for a larger data sample. On February 21, 2013, the CDC reported the VE for the influenza vaccine to be 56% with a 95% confidence interval of 47% to 63% based on a larger data sample ("Influenza…"). Note that this data was collected based solely on 2697 United States patients or varying ages ("Influenza…"). This level of efficacy for the seasonal influenza vaccine is considered low compared to that of vaccines for Rubella and tetanus, which have efficacies larger than 95% and 90%, respectively (Palache).

The magnitude of these values seems to be consistent over time. Data collected by the NIH shows that for 2011, aggregated vaccine efficacy for patients 18 to 65 was 59% (Hombach). A similar study conducted in 2003 by the NIH revealed a vaccine efficacy of 68% with a 95% confidence interval of 49% to 79% for the 2003 seasonal influenza vaccine for healthy patients age 18 to 65 (Hombach). Despite the slight change the seasonal influenza vaccine, the 95% interval for the vaccine efficacy is consistent year to year.

There is a variance in efficacy between the Type A and Type B influenza strains. The influenza virus is classified into three types: A, B, and C. Types A and B are responsible for epidemic respiratory illness, which is why they are primarily included in the seasonal influenza vaccine. A recent study shows that the human body generally elicits a larger and more effective response to Type B vaccinations. A study released February 18, 2003 proved that the influenza vaccine for Type B had a VE of 78% in European patients (Golec). The vaccine administered was trivalent, and the VE for both Type A strains were only 62% and 42% for the same patients (Golec). This was consistent with data collected by the CDC for United States Patients. The data collected by the CDC showed a vaccine efficacy of 70% for Type B and 55% for Type A ("Influenza…"). Both studies showed an overall VE of 62% for the 2013 influenza vaccine (Golec).

For the influenza vaccine, VE tends to vary depending on the age of the patient group. Data for childhood vaccinations for the influenza virus will regularly record a 90%

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vaccine efficacy in the United States (Hombach). Global data disagrees; one study conducted on 247517 patients aged 18 years and younger showed that the vaccine prevented 61% of clinical cases of influenza (Hickling). This is excluding data from the Russia. When data from Russia was included, the vaccine effectively prevented 36% of clinical cases of influenza (Hickling). The discrepancy is most likely due to harsher winter conditions as well as a lower standard of living in the region for which the data was excluded. The data for children shows a large discrepancy from data for the age 65 and older group. The data collected by the CDC for the patients age 65 and up group showed a VE of 9%, far below the 51% to 71% interval presented for the 2012-2013 influenza vaccine for all age groups aggregated ("Influenza…").

Current egg-based vaccine production methods for preventing influenza consist primarily of monomeric hemagglutinin (HA) antigen. There has been no industry or regulatory standard for neuraminidase (NA) antigen in the vaccines. Live viruses contain both HA and NA antigens arranged in a particular pattern giving them biological activity. This may explains why vaccines containing monomeric units of mostly the HA antigen does not elicit a broad level immune response necessary to fully protect the larger population from the influenza virus (Palkonyay).

Virus-like Particles (VLPs) are a noninfectious and non-replicating method of presenting the proper influenza antigens to B and T cells to elicit an immune response. Since VLPs are self-assembling, they correctly fold and display the trimeric HA spikes of a live influenza virus ("Seasonal..."). These self-assembling particles are multivalent because they display multiple antigens as well, which innately gives them a larger immunogenicity than monomeric antigens present in egg-based influenza vaccines. Joel R Haynes, Senior Director of Vaccine Development at TakedaTM, believes that producing VLPs in insect cells will lead to enhanced immunogenicity. This is due to the insect-cell glycosylation patterns and the possibility that the VLPs produced in insect cells also contain a finite quantity of insect cell-derived lipid raft-associated proteins. Patients immune systems will recognize these as foreign bodies and potentially enhance the immunogenicity compared to the live or attenuated virus vaccines (Bodimeade).

Recent studies have shown that VLPs have a higher immunogenicity compared to live or attenuated viruses, as well as recombinant hemagglutinin. One study conducted by Rick A. Bright et al. showed that VLPs produced in insect cells for hemagglutinin (HA), neuraminidase (NA), and influenza core matrix (M1) proteins all elicited an immune response that protected mice from a deadly dose of the H5N1 strain of the avian influenza virus. Both intramuscular (IM) injection and intranasal (IN) injection elicited the proper response to protect the mice from the deadly dose of H5N1. Bright et al. used a hemagglutinin inhibition (HAI) assay to determine the functionality of the antigens produced in the subject mice. An HAI titer greater than 40 is usually considered to be 50% effective in preventing the seasonal influenza virus strain of interest. Both IM and IN injected VLPs in mice had measured HAI titers greater than 40; similar results were obtained in ferrets (Wood). VLP vaccines present a promising and viable future in protecting against the avian influenza strain based on the studies conducted by Bright et al. (Bright 2007)

The WHO has collected data from many clinical trials over the past 20 years to help determine an effective dosing level for the influenza virus; "...it is generally accepted that one dose of vaccine containing 15µg of hemagglutinin per strain per dose will stimulate hemagglutination-inibition antibody levels consistent with immunity in most primed individuals. (Suresh)" The standard dose administered to patients age 3 years to 65 years old is 0.5mL (Angelmar), therefore a trivalent vaccine must contain 45µg of HA protein per 0.5mL, or 90µg/mL. Young children and adults over 65 years of age must receive a more concentrated dose in order to overcome lower efficacy of the vaccine of such patients. Typically, children 6 months to 35 months of age will receive an influenza vaccine dose of 0.25mL (Angelmar). Patients 65 years and older qualify for Sanofi Pasteur's Fluzone High-Dose®, which contains four times the amount of antigen as other vaccines (Angelmar).

Due to the larger immune response typically affiliated with VLPs, our group has chosen a dosage of 5 micrograms of each strain of influenza antigen per dose. For our trivalent seasonal influenza vaccine, the total dose will be 15 micrograms. The formulation group will concentrate the protein so that the suspension concentration is 5 micrograms of each of the three identified vaccine strain antigens in 0.5mL. Since VLPs have been shown to elicit up to 5-fold larger immune response compared to other vaccination methods (Kushnir), a 3-fold reduction in dose compared to current vaccination dosing should elicit a broad immune response capable of protecting the patient from influenza transmission. Our clinical trials group will confirm the immunogenicity of a dose on an annual basis and assess the need to increase or decrease the dose accordingly.

11.5.2 Gross Revenue

A sensitivity analysis was conducted on production capability to account for unpredictable events, market trends, market demand, production level, and other factors capable of affecting the number of doses produced annually. Unpredictable events can be beneficial or detrimental to gross revenue.

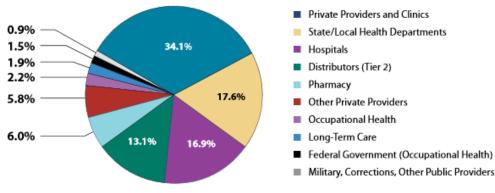
The number of doses produced annually can increase if the amount of protein required to elicit an immune response decreases. Due to antigenic drift, the strain of influenza targeted by an influenza vaccine changes each season. Some strains are more immunogenic to the general population, in which case, it may be plausible for a vaccine to contain less protein. On the contrary, some strains may elicit a smaller than usual immune response, in which case, the protein per dose must be increased. This process is uncommon with the influenza vaccine, and generally the only variable that affects dosing is addition of adjuvants in order to increase the immunogenicity of the VLP influenza vaccine.

The number of batches produced annually will also affect the gross revenue of the company. If the company is able to increase the number of batches produced in a 6 month time period, protein production and therefore the number of doses produced will increase. If a batch becomes contaminated, protein is lost; this decreases the number of doses provided to the market, decreasing gross revenue. If a batch is lost due to contamination, production may need to be extended to fulfill market share. Our company expects to produce 6 batches of each strain, allowing us to produce approximately 86,000,000 doses of trivalent seasonal influenza vaccine. A Gantt chart is included in section 10.1 to show the time period needed to produce 6 batches.

Downstream processing of the VLPs will heavily affect the number of doses sent to market. If the equipment is not running properly, the efficiency of the process decreases, and protein is removed with adventitious materials. Downstream processing equipment must be properly maintained, calibrated, and tested regularly to ensure maximum unit efficiency. The sensitivity of the gross revenue generated by this process is primarily a function of the number of doses produced annually, which is highly dependent upon the downstream process efficiency. Thus, efficiency of VLP retention throughout the purification process is the variable having the greatest effect on gross revenue.

If a pandemic occurs, the government may approve production of a monovalent influenza vaccine. The WHO is responsible for determining the strain of relevance for each year. Our production facility has 4 operating lines, and, typically, three are used for production of a seasonal trivalent vaccine. All 4 lines can be converted to pandemic production lines for production of monovalent vaccines when the WHO declares a pandemic. During a pandemic our facility is capable of accommodating up to four-fold greater vaccine production than the traditional annual trivalent VLP vaccine. Our plant has a greater capacity for transfer to pandemic production mode compared to traditional egg-based plants, since recombinant technology including BEVS produces a much smaller lag time associated with growth and upstream production of the virus than the lag time associated with egg-based production. This change over can occur at the beginning of the next batch cycle, contingent upon R&D providing baculovirus to properly infect 4 production lines with the single pandemic strain identified by the WHO. For a pandemic situation, it is expected that the selling price of a vaccine will match the buying price of the government during non-pandemic conditions.

Our design process is capable of maximally producing 0.1995 kg of VLP, given a 95% efficiency of the upstream process. In industry, the lowest recovery of VLP is typically occurs downstream in the purification process. For the purposes of our process, we have assumed 95% recovery from fermentation in the upstream process and 36% recovery from the purification process downstream. Producing 6 batches of each strain annually, we are able to produce 86,184,000 doses of trivalent influenza vaccine containing 5 micrograms of each strain. Of the vaccines produced, 77.8% will be sold to the private sector at \$8.50 (USD) per dose. The remaining 22.2% of the doses will be sold to government organizations at \$6.80 (USD) per dose. The weighted average price per dose sold is \$8.12 (USD). These calculations are based off of data collected by the Center for Disease Control (CDC) in 2007 and 2008 (HIDA Market Brief). The profitability analysis for this scenario is found in section 11.4. The calculated Net Present Value is found; to account for potential inefficiency on the downstream side, we conducted a sensitivity analysis for a range of 20% to 50% efficiency on the downstream side. This gives a production range of 47,880,000 to 119,700,000 doses of trivalent 5microgram influenza vaccine. The net earnings for 86,184,000 is predicted to be \$263,079,977 (USD). The spread sheets demonstrating the sensitivity analysis are contained in Appendix B. See the part entitled "Cash Flow" in section 11.4 for more information.



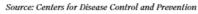


Figure 11.1. This shows the percent of doses supplied to private and public sector. It was used to calculate the weighted value of a dose of influenza vaccine based on the markets to which we supply. We have chosen to supply 77.8% of our doses to the private sector and 22.2% of doses to the government sector. (HIDA Market Brief)

During a pandemic, the facility will run 4 lines in parallel, each producing 6 batches in total. Each line will produce the same strain, allowing a maximum production of 334,736,000 doses of a monovalent 5-microgram influenza vaccine. During pandemic production, it is more likely that the doses will be sold at a government sector price, and even more likely at a reduced government sector price. Our group estimates that the purchasing price per dose will decrease 20% from \$6.80 (USD) to \$5.44 (USD) in the government sector during a pandemic. This would generate \$1,515,048,017 (USD) in net earnings. A more dynamic range of purchasing prices at which the vaccines may be sold can be found in Appendix B section 11.4.

12.0 Conclusions and Recommendations

This proposal has explored in depth the feasibility of starting up a flexible facility to produce an influenza vaccine from SF^2 insect cells. This vaccine company aims to remain a large competitor in the influenza vaccine industry for the United States and European markets by supplying vaccines to fulfill regular demand, as well as having the capability of scaling up during a pandemic. With the use of a non-egg based platform, this new vaccine avoids potential limitations from egg allergies or shortages. In addition, the production of VLPs for use in the vaccine increases the safety and consistency of the product. In regards to the manufacturing facility, the selection of single-use disposable equipment enables increased efficiency of product turnover and flexibility to produce a separate product during the off-season for influenza.

By analyzing the current influenza vaccine market, it was established that the VLP can be produced at approximately \$0.01 per dose, which will be sold for an average of \$8.12 per dose. It should be noted that this cost does not include formulation and packaging, only manufacturing of the VLP. Based on the economics of facility and production costs in conjunction with projected revenues, it was found that the project would be feasible with a return on investment (ROI) of 190.87% (See Section 11.4). As a result, we recommend this project as a profitable endeavor for the company due to its safety and efficacy.

We would like to acknowledge the help and support of several individuals who made this project possible. We are thankful to all of the industrial consultants who took the time to meet with us and offer advice and guidance during our weekly design meetings.

In particular, we would like to thank Dr. Tiffany Rau for all of her help throughout this entire project. As our primary industrial consultant, Dr. Rau has been extremely helpful in supplying useful references from early on in the process. In addition, she has been very accommodating in taking time out of her busy work schedule to teleconference with us not only during our weekly design meetings, but also during additional meeting times at our request. Dr. Rau has helped to clarify the problem statement, answer our questions, provide feedback and offer advice. We are truly appreciative of Dr. Rau's assistance and would like to acknowledge her part in helping us reach our end goal in completing the project.

Next, we would like to thank Mr. Edward Steve, a retired industrial consultant from the biopharmaceutical field. With his expertise in pharmaceutical products, Mr. Steve was particularly helpful in answering specific questions regarding the equipment and facility design. Specifically, we appreciate his assistance in obtaining price quotes for our manufacturing equipment, as this was one of the larger roadblocks we faced.

We would also like to thank our advisors, Dr. Miriam Wattenbarger and Professor Leonard Fabiano. Dr. Wattenbarger has attended our weekly design meetings, and has been helpful in clarifying the problem statement, and raising concerns we may have initially overlooked. She has also helped make sure we remained on track to meet each checkpoint along the way, and we are appreciative of all of her support. Professor Fabiano has also offered advice during our weekly design meetings to help move our project forward. We are thankful to both advisors for all of their assistance and encouragement.

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Appendix A – Calculations

Growth Rate

The doubling time of an insect cell in batch suspension culture is given by

$$-\tau_D = \frac{ln2}{\mu_s} \tag{A.1}$$

where μ_s is the growth rate of the SF² cells during the exponential growth phase (Figure A.1), defined as the increase in cell mass per unit cell mass per unit time. In our following calculations, we used a specific growth rate of 0.034hr⁻¹ as a reasonable estimate of the average growth rate of the SF² cells. This number was obtained from studies stating maximal growth rates of Sf9 cells, from which SF² cells are derived. Experimentally, SF² cells grow much more quickly than Sf9 cells, suggesting that our estimate, while on the upper end of Sf9 growth rates, is conservative when considering SF² cells. (Cox 2009)

Growth Curve

Figure A.1 depicts a typical growth curve for cells in suspension culture, such as the insect-derived SF^2 cell line.

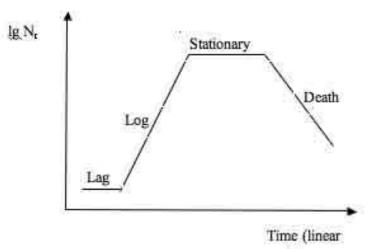


Figure A.1. Cell growth curve consisting of four distinct phases, lag, log, stationary, and death. The log of the number of cells or cell concentration(y-axis) is plotted against linear time (x-axis). This basic model of cell growth holds true for most cell types. From Börje Lindström, LTU, Sweden

The typical growth curve is split into four distinct phases: lag, log, stationary, and death. The amount of time a suspension culture will remain in each phase is dependent upon the cell line. The lag phase occurs when a high density is diluted and the cells must adapt to the new conditions and a lowered density. This lag may have to do with soluble factors being less concentrated than in the high density culture or an adjustment to the change in medium composition. After readjusting to their new environment, the cells enter a logarithmic (exponential) growth phase, characterized by equation A.1, which we assume the cells maintain throughout our process for simplicity, a reasonable assumption at lower cell density and in fed-batch cultures with tight pH, osmolality, and nutrient control. After reaching a critical cell density where the medium is depleted of many nutrients or the cells are too crowded to grow, the cells enter the stationary growth phase, where the cell density changes very little and cells are grow slowly or senesce rather than divide. Finally, the nutrients are fully depleted from the medium if not replenished, and the cells begin to die at an exponential rate. We avoided the modeling of the lag, stationary, and death phases of cell proliferation, since our process aims to maintain cells in the exponential growth phase through specially configured medium, supplementation, and minimizing the time spent in each bioreactor.

When infected with Baculovirus, the ^{SF2} cells will reach the death phase soon after induction, which is the method of VLP production and release into the medium. Therefore, the death phase is an important factor in designing the infection and harvest protocols.

VLP Production & Yield

The VLP production rate is a function of several key factors: cell line, cell density, nutrient concentration, and the recombinant protein itself. The VLP works by combining two essential proteins that associate at the cell surface to induce each budding event. The HA protein will be produced predominantly, expressed under an earlier promoter to insure its greater production levels, and the M1 matrix protein will be produced in smaller amounts to coordinate budding. As discussed previously, these two proteins are essential and sufficient to induce budding events in SF² cells to create influenza VLPs (Section 2.1)

Protein production in SF^2 cells will be at minimum the production level attained in High-Five cells, from which protein production characteristics were derived and transferred into Sf9 cells. Thus, a conservative estimate of the specific HA production is on the order of $15mg/10^9$ cells. This figure takes into account the fed-batch operating mode and escalated protein production levels of SF^2 cells compared to Sf9 cells. The enhanced high density culture cell viability and, therefore, the volumetric protein production is dependent upon the optimization of the growth medium and feed medium as well as the TOI and MOI. With all of these parameters optimized in the laboratory research stage, we can expect a specific HA yield of $15mg/10^9$ cells to be associated with VLPs (Rausch 2012).

A key assumption in the mass balance is that cells are on average 300pg of dry mass, which is a good assumption for metabolically normal eukaryotes. (Popescu 2008). Furthermore, it was assumed that this dry mass accounts for about 30% of the cell weight, certainly a liberal estimate. From these values, dry mass and wet mass were calculated to determine the loss of water from the process due to centrifugation for biomass removal.

St² cells consume glucose at a rate of 2.07 pmol/cell-day based on an averaged data set from a number of metabolic measurement literature for insect cells. This value led to an estimated 53mM glucose concentration required to sustain the cells for the 3.32 days spent in each fermentation culture. This is the same concentration as in prepared EXCELL-405 medium from Sigma Aldrich, necessitating no additional glucose purchase or medium formulation. Medium utilization was estimated based on recommendations from Sigma Aldrich that medium should be changed every 3-4 days. This data implies a maximum of five days for medium use, so medium consumption was estimated to be about 66.4% on average.

Appendix- Batch Times

Based on the SF^2 cell growth profile, it was found that SF^2 cells maintain a doubling time between 18-24 hours in suspension culture during log phase growth conditions. Both the lag and stationary phases are neglected, and for the most conservative analysis, 24 hours is used as the doubling time.

For batch time estimations, it was desired to reach the maximum allowable cell density for protein production in the 2000L fermenter, which is 1.40×10^7 cells/mL. To minimize the scale-up time, the cells will grow at a ten-fold in each reactor. The growth of cells in the log phase is given by

$$X = X_0 2^{\frac{t}{\tau_d}}$$

where X is the final cell density, X_0 is the initial cell density, t is the time spent in the reactor, and τ_d is the doubling time. Based on this, it was found that time for each scale-up is 3.32 days. Total scale-up time adds up to 19.93 days, and the initial cell density needed in the first 100 mL shake flask is 2.80×10^5 cells/mL

| | Filled | Cell density | | Cell density | | | |
|---------|-----------|--------------|-----------|--------------|----------|--------|--------|
| | Volume | (initial) | # cells | (final) | # cells | Time | Time |
| Reactor | Size (mL) | (cells/mL) | (initial) | (cells/mL) | (final) | (hrs) | (days) |
| 250 mL | 50 | 2.80E+05 | 1.40E+07 | 2.80E+06 | 1.40E+08 | 79.73 | 3.32 |
| 2 L | 250 | 5.60E+05 | 1.40E+08 | 5.60E+06 | 1.40E+09 | 79.73 | 3.32 |
| 3 L | 1000 | 1.40E+06 | 1.40E+09 | 1.40E+07 | 1.40E+10 | 79.73 | 3.32 |
| 50 L | 10000 | 1.40E+06 | 1.40E+10 | 1.40E+07 | 1.40E+11 | 79.73 | 3.32 |
| 500 L | 100000 | 1.40E+06 | 1.40E+11 | 1.40E+07 | 1.40E+12 | 79.73 | 3.32 |
| 2000 L | 1000000 | 1.40E+06 | 1.40E+12 | 1.40E+07 | 1.40E+13 | 79.73 | 3.32 |
| | | | | | | | |
| | | | | | Total | 478.36 | 19.93 |

To find the total batch time for the upstream process, time for vial thawing,

harvest time after cell growth, and time to transfer the cell broth between reactors must be

accounted for as well. Furthermore, this table accounts for only the batch time required for cell growth. Additional time must be provided (an additional two days) at the end of each batch cycle for virus production. This additional step results in a single-batch time of about 22 days. Addition of virus occurs ideally one day after beginning the 2000L bioreactor fermentation. Upon virus addition, the medium is supplemented with 100L additional medium, bringing the final reaction volume to 1000L and putting the culture in fed-batch mode. This supplementation is associated with a dramatic increase in the production of recombinant baculovirus product and sustains the culture in the protein production phase until the harvest time (Carinhas 2010). The harvest time has similarly been optimized by the research team to be 72 hours post-infection. This timing insures that the maximum number of cells has engaged in protein production and that the VLPs are not subject to proteases and other enzymes that result in degradation (Bright 2007).

Appendix -- Toxicology Studies

The toxicological properties of the VLPs and any process reagents must be tested to insure adequate product safety. Laboratory studies will determine that each batch is completely void of remaining baculovirus particles and unable to form plaques. The first few batches produced of each HA strain VLP will be subjected to animal testing to determine any deleterious effects of over vaccination and the specific dose response to influenza vaccination on a number of VLP basis. A maximum dose before toxicity will be determined in several species to prevent potential overdosing of humans in clinical trials.

Proof of Concept Studies

Each stage of the downstream purification process will undergo rigorous validation to insure adequate immunogenicity and utmost safety of the VLP product and to insure that none of the unit operation deactivates the VLPs or renders them unable to vaccinate. In a laboratory scale, VLP product from fermentation will be subjected to a variety of chromatography buffer preparations to insure the VLPs remain intact in processing buffers. They will also be subjected to differing concentrations of detergents for baculovirus deactivation to reassess the effects on immunogenicity. Finally, the VLP products will be tested in animals for efficacy and undergo the appropriate clinical trials in order to garner FDA approval and to demonstrate the validity of VLP vaccines.

Vaccine Formulation

Upon final preparation of the VLPs in freeze-dried form, the formulation team will determine the dose requirements for the specific strains of any particular influenza season based on vaccine studies and laboratory analysis. This team will also be responsible to determine the minimum effective vaccine dose and the dose response curve for a given HA variant. This data will be cross-referenced with the WHO database each year to determine the required production capacity and operating conditions to best fulfill the demand for influenza vaccines. The vaccine formulation, mixing of strains, dosing, and stabilization for transport and sale are outside the scope of this manufacturing feasibility study.

Heat Exchanger Calculations

| | Water | Medium | | | | |
|----|-------------|----------|-------|-----|-------------|---------------|
| Ti | 47 | 4 | | | | |
| Tf | 14 | 27 | | Ad | | |
| μ | 0.000404 | 0.000404 | Pa*S | ui | 0.042589438 | m/s |
| ρ | 1000 | 1000 | kg/m3 | Aci | 0.0002935 | |
| k | 0.58 | 0.58 | W/mK | Nt | 1.029741615 | |
| Di | 0.01905 | | | L | 1.5 | m |
| Do | 0.0254 | | | At | 0.11969468 | |
| Ai | 0.08977101 | | | Np | 4.054970786 | Assume Ft = 1 |
| | | | | | | |
| Am | 0.069344305 | | | | | |

| Q | | 0.00075 | m3/s |
|--------|-------------|---------|------|
| uo | 0.05 | m/s | |
| Nu | 1.441086794 | | |
| hi | 43.87560842 | | |
| Nuo | 3.582558523 | | |
| ho | 81.80645447 | | |
| | | | |
| Uo est | 10 | | |
| Qdot | 72.105 | | |
| TLM | 14.42695041 | | |
| Ad | 0.499793775 | | |
| | | | |
| 1/U | 0.047612917 | | |
| Uo | 21.00270411 | | |
| | | | |
| Qwater | 0.886738088 | kg/s | |
| | 53.20428531 | | |

Purchase Cost:

| Cb | \$22,226.87 |
|----|--------------|
| Fp | 0.983253195 |
| Fm | 3.514991792 |
| Fl | 1.42 |
| Ср | \$109,082.82 |

Purchase Cost Calculations:

To determine the purchase cost of the heat exchangers that will be used in this facility, the following equations were used:

Where,

$$C_P = F_P F_M F_L C_B$$

$$F_{M} = a + \left(\frac{A}{100}\right)^{b}$$

$$F_{P} = 0.9803 + 0.018 \left(\frac{P}{100}\right) + 0.0017 \left(\frac{P}{100}\right)^{2}$$

and F_L (the length correction factor), was determined by extrapolating for a tube length of 5 ft.

Appendix A – Labor Force and Structure

Based on influenza vaccine production of six months, with approximately two months of research and development, four months of labor are needed for the plant's production. For each of the three influenza strains, two operators will be needed to operate the upstream process, and two operators will be needed to operate the downstream process. Assuming the plant operates daily in three 8-hour shifts, with operators working 5-days a week, approximately 51 operators will need to be hired.

| Months of operation | 4 |
|--|------|
| Days of operation | 120 |
| Shifts per day | 3 |
| Total shifts | 360 |
| | |
| Upstream process operators per shift | 6 |
| Downstream process operators per shift | 6 |
| Total employees per day | 36 |
| Days/shifts worked per floor engineer | 85.7 |
| Total floor engineers | 51 |

Salaries were estimated using the average salaries of manufacturing

pharmaceutical employees in Raleigh, North Carolina. The contract specialist manager is only paid for 40 hours a month, and contractors and operators are paid an hourly wage for 160 hours a week. For the contract specialist manager, an average of 3 hours of overtime per month are taken into account, and for contractors and operators, 12 hours of overtime per month are taken into account.

| Position | Number of Positions | Salary (k) | Hourly | Hours per month | Overtime per month | Total (k) |
|-------------------------------|------------------------|-------------|--------|--------------------|-----------------------|-----------|
| Quality Assurance group | 1 051010115 | Sultify (K) | mouny | monun | per monti | |
| Contract Specialists | 4 | 53 | | | | 212 |
| Contract Specialist | | | | | | |
| Manager | 1 | | 32 | 40 | 3 | 17.09 |
| Process Engineering | | | | | | |
| group | | | | | | |
| Head engineer- | | | | | | |
| supervisory physical | | | | | | |
| scientist | 1 | 82 | | | | 82.00 |
| Systems engineer | 3 | 70 | | | | 210.00 |
| Floor engineers | 5 | 55 | | | | 275.00 |
| Operators | 51 | | 38.46 | 160 | 12 | 4189.68 |
| Procurement group | | | | | | |
| Warehouse | | | | | | |
| engineering manager | 1 | 37 | | | | 37.00 |
| Contractors | 5 | | 22 | 160 | 12 | 234.96 |
| Total (annual) | 71 | | | | | 1752.58 |
| () | - | | | | | |
| Total (4 months of operation) | 71 | | | | | 584.19 |

Appendix A – Labor Force and Structure

Appendix A

Cost Analysis of Single-Use Disposable Facility vs. Traditional Stainless Steel Facility

Using a 7-year MACRS table, the cost of each facility was estimated based on depreciable capital, profits, and taxes. Cost estimations were evaluated based on a monoclonal antibody process in a 2000L fermentation scale from 2012 (Sinclair, Monge).

| Doses | 100 million |
|--------------------------------|-------------|
| Cost per dose | \$8.12 |
| Nominal Interest Rate | 20.7% |
| Income Tax Rate | 4.5% |
| Dollars to Euros Exchange Rate | 1.3 |

Single-Use Disposable Facility Cost (in millions of dollars)

| | | 1 2 | | | / | | |
|------|------------------------------|-------------------|--------|--------------|-------------------------|----------------|---------------|
| | Investment Cost: | 19,670,3555 Euros | | | | | |
| Year | Total Depreciable Capital | Depreciation | Profit | Net Earnings | Discounted Cash Flow | Cash Flow (PV) | Cumulative PV |
| 1 | 25.6 | | | 0 | -25.6 | -21.2 | -21.2 |
| 2 | | 3.65 | 812 | 772 | 776 | 532 | 511 |
| 3 | | 6.26 | 812 | 769 | 776 | 441 | 952 |
| 4 | | 4.47 | 812 | 771 | 776 | 365 | 1320 |
| 5 | | 3.19 | 812 | 772 | 776 | 303 | 1620 |
| 6 | | 2.28 | 812 | 772 | 776 | 251 | 1870 |
| 7 | | 2.28 | 812 | 773 | 776 | 208 | 2080 |
| 8 | | 2.28 | 812 | 773 | 776 | 172 | 2250 |
| 9 | | | 812 | 775 | 775 | 143 | 2390 |
| 10 | | | 812 | 775 | 775 | 118 | 2510 |

Traditional Stainless Steel Facility Cost (in millions of dollars)

| | Investment Cost: | 24,970,532 Euros | | | | | |
|------|------------------------------|------------------|--------|--------------|-------------------------|----------------|---------------|
| Year | Total Depreciable Capital | Depreciation | Profit | Net Earnings | Discounted Cash Flow | Cash Flow (PV) | Cumulative PV |
| 1 | 32.46 | | | 0 | -32.5 | -26.9 | -26.9E |
| 2 | | 4.64 | 812 | 771 | 776 | 532 | 506 |
| 3 | | 7.95 | 812 | 768 | 776 | 441 | 947 |
| 4 | | 5.68 | 812 | 770 | 776 | 365 | 1310 |
| 5 | | 4.05 | 812 | 772 | 776 | 303 | 1620 |
| 6 | | 2.90 | 812 | 773 | 776 | 251 | 1870 |
| 7 | | 2.90 | 812 | 773 | 776 | 208 | 2070 |
| 8 | | 2.90 | 812 | 773 | 776 | 172 | 2250 |
| 9 | | | 812 | 775 | 775 | 143 | 2390 |
| 10 | | | 812 | 775 | 775 | 118 | 2510 |

$\label{eq:appendix} Appendix \ B-Sensitivity \ Analysis$

$20\,\%$ Downstream Efficiency

| | | Ghange mi | | EIIICacy | | |
|-----------|----------|----------------------|------------|----------|---------------------------|---------------------------|
| | | | | | \$8.5/dose private sector | \$6.8/dose from Gov.'t |
| Percent | Dose | Forcasted Protein | Forecasted | Forecas | Gross Private | Gross Gov.'t |
| Change in | (microgr | Production per Batch | Number of | ted | Revenue | Revenue |
| Dose | ams) | (kg) | Batches | Doses | (\$USD) | (\$ USD) |
| | | 0.0399 | | 59,850,0 | | 406,980,000.0 |
| -20% | 4 | 0.0399 | 6 | 00.00 | 508,725,000.00 | 0 |
| | | 0.0399 | | 56,329,4 | | 383,040,000.0 |
| -15% | 4.25 | 0.0399 | 6 | 11.76 | 478,800,000.00 | 0 |
| | | 0.0399 | | 53,200,0 | | 361,760,000.0 |
| -10% | 4.5 | 0.0399 | 6 | 00.00 | 452,200,000.00 | 0 |
| | | 0.0399 | | 50,400,0 | | 342,720,000.0 |
| -5% | 4.75 | 0.0399 | 6 | 00.00 | 428,400,000.00 | 0 |
| | | 0.0399 | | 47,880,0 | | 325,584,000.0 |
| 0% | 5 | 0.0399 | 6 | 00.00 | 406,980,000.00 | 0 |
| | | 0.0399 | | 45,600,0 | | 310,080,000.0 |
| 5% | 5.25 | 0.0399 | 6 | 00.00 | 387,600,000.00 | 0 |
| | | 0.0399 | | 43,527,2 | | 295,985,454.5 |
| 10% | 5.5 | 0.0399 | 6 | 72.73 | 369,981,818.18 | 5 |
| | | 0.0399 | | 41,634,7 | | 283,116,521.7 |
| 15% | 5.75 | 0.0399 | 6 | 82.61 | 353,895,652.17 | 4 |
| | | 0.0399 | | 39,900,0 | | 271,320,000.0 |
| 20% | 6 | 0.0399 | 6 | 00.00 | 339,150,000.00 | 0 |
| | | | | | | |

Change in Dose due to Efficacy

Change in Number of Batches

| Change in Number of Batches | Dose (microgr ams) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecas ted Doses | Gross Private Revenue (\$ USD) | Gross Gov.'t Revenue (\$ USD) |
|-----------------------------------|--------------------------|---|------------------------------------|-------------------------|--|---|
| -2 | 5 | 0.0399 | 4 | 31,920, 000.00 | 271,320,000.0 0 | 217,056,000.0 0 |
| -1 | 5 | 0.0399 | 5 | 39,900, 000.00 | 339,150,000.0 0 | 271,320,000.0 0 |
| 0 | 5 | 0.0399 | 6 | 47,880, 000.00 | 406,980,000.0 0 | 325,584,000.0 0 |
| 1 | 5 | 0.0399 | 7 | 55,860, 000.00 | 474,810,000.0 | 379,848,000.0 |
| 2 | 5 | 0.0399 | 8 | 63,840, 000.00 | 542,640,000.0 0 | 434,112,000.0 0 |

$\label{eq:appendix} Appendix \ B-Sensitivity \ Analysis$

20% Downstream Efficiency

| | | , | Change in | ı Selling | | | | |
|--|------------------------------|--|-------------------------------------|-------------------------|---------------------------------------|--------------------------------------|--|---|
| Percent Change in Selling Price | Dose (micro grams) | Forcasted Protein Production per Batch (kg) | Forecaste d Number of Batches | Forec asted Doses | Private Sector Selling Price | Gov.'t Sector Selling Price | Gross Private Revenue (S USD) | Gross Gov.'t Revenue (S USD) |
| | , | (0) | | 47,88 | | | , , , , , , , , , , , , , , , , , , , | , |
| • • • • • | - | 0.0399 | <i>.</i> | 0,000. | 6.0 | | 325,584,00 | 260,467,2 |
| -20% | 5 | | 6 | 00 47,88 | 6.8 | 5.44 | 0.00 | 00.00 |
| | | 0.0399 | | 47,88 0,000. | | | 345,933,00 | 276,746,4 |
| -15% | 5 | 0.0577 | 6 | 00 | 7.225 | 5.78 | 0.00 | 00.00 |
| | | | | 47,88 | | | | |
| | | 0.0399 | | 0,000. | | | 366,282,00 | 293,025,6 |
| -10% | 5 | | 6 | 00 | 7.65 | 6.12 | 0.00 | 00.00 |
| | | 0.0200 | | 47,88 | | | 296 (21.00 | 200 204 9 |
| -5% | 5 | 0.0399 | 6 | 0,000. 00 | 8.075 | 6.46 | 386,631,00 0.00 | 309,304,8 00.00 |
| -570 | 5 | | 0 | 47,88 | 0.075 | 0.40 | 0.00 | 00.00 |
| | | 0.0399 | | 0,000. | | | 406,980,00 | 325,584,0 |
| 0% | 5 | | 6 | 00 | 8.5 | 6.8 | 0.00 | 00.00 |
| | | | | 47,88 | | | | |
| 50/ | ~ | 0.0399 | 6 | 0,000. | 0.025 | 7.1.4 | 427,329,00 | 341,863,2 |
| 5% | 5 | | 6 | 00 47,88 | 8.925 | 7.14 | 0.00 | 00.00 |
| | | 0.0399 | | 0,000. | | | 447,678,00 | 358,142,4 |
| 10% | 5 | 0.0577 | 6 | 00 | 9.35 | 7.48 | 0.00 | 00.00 |
| | | | | 47,88 | | | | |
| | | 0.0399 | | 0,000. | | | 468,027,00 | 374,421,6 |
| 15% | 5 | | 6 | 00 | 9.775 | 7.82 | 0.00 | 00.00 |
| | | 0.0200 | | 47,88 | | | 499 276 00 | 200 700 8 |
| 20% | 5 | 0.0399 | 6 | 0,000. 00 | 10.2 | 8.16 | 488,376,00 0.00 | 390,700,8 00.00 |
| 2070 | 5 | | 0 | 00 | 10.2 | 0.10 | 0.00 | 00.00 |

Pandemic Scale-Up

| Dose (microgra ms) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecaste d Doses | Gov.'t Sector Selling Price | Gross Gov.'t Revenue (\$ USD) |
|--------------------------|---|------------------------------------|---------------------------------|--------------------------------|--|
| 5 | 0.0399 | 24 | 191,520,0 00.00 101 520 0 | 5.44 | 1,041,868,800.00 |
| 5 | 0.0399 | 24 | 191,520,0 00.00 191,520,0 | 5.78 | 1,106,985,600.00 |
| 5 | 0.0399 | 24 | 00.00 | 6.12 | 1,172,102,400.00 |
| 5 | 0.0399 | 24 | 191,520,0 00.00 | 6.46 | 1,237,219,200.00 |
| 5 | 0.0399 | 24 | 191,520,0 00.00 | 6.8 | 1,302,336,000.00 |
| 5 | 0.0399 | 24 | 191,520,0 00.00 191,520,0 | 7.14 | 1,367,452,800.00 |
| 5 | 0.0399 | 24 | 00.00 | 7.48 | 1,432,569,600.00 |
| 5 | 0.0399 | 24 | 191,520,0 00.00 101 520 0 | 7.82 | 1,497,686,400.00 |
| 5 | 0.0399 | 24 | 191,520,0 00.00 | 8.16 | 1,562,803,200.00 |

Appendix B - Sensitivity Analysis

36% Downstream Efficiency

| Change in | Dose due to | Efficacy |
|-----------|-------------|----------|
|-----------|-------------|----------|

| I.) Change in Dose due to Efficacy | | | | | \$8.5/dose private sector | \$6.8/dose from Gov.'t |
|--|--------------------------|---|------------------------------------|-------------------------|---|-----------------------------------|
| Percent Change in Dose | Dose (microg rams) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecas ted Doses | Gross Private Revenue (s USD) | Gross Gov.'t Revenue (SUSD) |
| -20% | 4 | 0.07182 | 6 | 107,730 ,000.00 | 915,705,000.0 0 | 732,564,000.0 0 |
| -15% | 4.25 | 0.07182 | 6 | 101,392 ,941.18 | 861,840,000.0 0 | 689,472,000.0 0 |
| -10% | 4.5 | 0.07182 | 6 | 95,760, 000.00 | 813,960,000.0 0 | 651,168,000.0 0 |
| -5% | 4.75 | 0.07182 | 6 | 90,720, 000.00 | 771,120,000.0 | 616,896,000.0 0 |
| 0% | 5 | 0.07182 | 6 | 86,184, 000.00 | 732,564,000.0 | 586,051,200.0 0 |
| 5% | 5.25 | 0.07182 | 6 | 82,080, 000.00 | 697,680,000.0 0 | 558,144,000.0 0 |
| 10% | 5.5 | 0.07182 | 6 | 78,349, 090.91 | 665,967,272.7 3 | 532,773,818.1 8 |
| 15% | 5.75 | 0.07182 | 6 | 74,942, 608.70 | 637,012,173.9 | 509,609,739.1 |
| 20% | 6 | 0.07182 | 6 | 71,820, 000.00 | 610,470,000.0 0 | 488,376,000.0 0 |

Change in Number of Batches

| Change in Number of Batches | Dose (microgr ams) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecas ted Doses | Gross Private Revenue (\$ USD) | Gross Gov.'t Revenue (\$ USD) |
|-----------------------------------|--------------------------|---|------------------------------------|-------------------------|--|---|
| -2 | 5 | 0.07182 | 4 | 57,456, 000.00 | 488,376,000.0 0 | 390,700,800.0 0 |
| -1 | 5 | 0.07182 | 5 | 71,820, 000.00 | 610,470,000.0 0 | 488,376,000.0 0 |
| 0 | 5 | 0.07182 | 6 | 86,184, 000.00 | 732,564,000.0 0 | 586,051,200.0 0 |
| 1 | 5 | 0.07182 | 7 | 100,548 | 854,658,000.0 0 | 683,726,400.0 0 |
| 2 | 5 | 0.07182 | 8 | 114,912 ,000.00 | 976,752,000.0 0 | 781,401,600.0 0 |

$\label{eq:appendix} Appendix \ B-Sensitivity \ Analysis$

36% Downstream Efficiency

| 00 /0 DOW | motroa | | Change in | Sallin | a Drica | | | | |
|--|------------------------------|--|-------------------------------------|-------------------------|---------------------------------------|--------------------------------------|-----------------------------|--------------|---------------------------------------|
| Percent Change in Selling Price | Dose (micro grams) | Forcasted Protein Production per Batch (kg) | Forecaste d Number of Batches | Forec asted Doses | Private Sector Selling Price | Gov.'t Sector Selling Price | Gro Priv Reve (SUS | vate enue | Gross Gov.'t Revenue (S/USD) |
| -20% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 6.8 | 5.44 | 586,0: 0.0 | - | 468,840,9 60.00 |
| -15% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 7.225 | 5.78 | 622,6 0.0 | - | 498,143,5 20.00 |
| -10% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 7.65 | 6.12 | 659,30 0.0 | - | 527,446,0 80.00 |
| -5% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 8.075 | 6.46 | 695,92 0.0 | | 556,748,6 40.00 |
| 0% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 8.5 | 6.8 | 732,50 0.0 | - | 586,051,2 00.00 |
| 5% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 8.925 | 7.14 | 769,19 0.0 | | 615,353,7 60.00 |
| 10% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 9.35 | 7.48 | 805,82 0.0 | - | 644,656,3 20.00 |
| 15% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 9.775 | 7.82 | 842,44 0.0 | | 673,958,8 80.00 |
| 20% | 5 | 0.07182 | 6 | 86,18 4,000. 00 | 10.2 | 8.16 | 879,0° 0.0 | | 703,261,4 40.00 |
| | | | Pandem | ic Scal | e-Up | | | | |
| Dose (microgra ms) | | casted Protein uction per Batch (kg) | Forecas Numbe Batch | sted r of | Forecaste d Doses | Gov.'t Se Selling P | | | oss Gov.'t nue (\$ USD) |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 5.44 | | 1,875 | ,363,840.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 5.78 | | 1,992 | ,574,080.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 6.12 | | 2,109 | ,784,320.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 6.46 | | 2,226 | ,994,560.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 6.8 | | 2,344 | ,204,800.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 7.14 | | 2,461 | ,415,040.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 7.48 | | 2,578 | ,625,280.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 7.82 | | 2,695 | ,835,520.00 |
| 5 | | 0.07182 | 24 | | 344,736,0 00.00 | 8.16 | | 2,813 | ,045,760.00 |

Appendix B - Sensitivity Analysis

50% Downstream Efficiency

| | | Unange in D | | inicacy | | |
|--|--------------------------|---|------------------------------------|-------------------------------|--|-------------------------------------|
| l.) Change in Dose due to Efficacy | | | | | \$8.5/dose private sector | \$6.8/dose from Gov.'t |
| Percent Change in Dose | Dose (microg rams) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecas ted Doses | Gross Private Revenue (\$ USD) | Gross Gov.'t Revenue (SUSD) |
| -20% | 4 | 0.09975 | 6 | 149,625 ,000.00 | 1,271,812,500. 00 | 1,017,450,000 .00 |
| -15% | 4.25 | 0.09975 | 6 | 140,823 ,529.41 133,000 | 1,197,000,000. 00 1,130,500,000. | 957,600,000.0 0 904,400,000.0 |
| -10% | 4.5 | 0.09975 | 6 | ,000.00 126,000 | 00 | 0 856,800,000.0 |
| -5% | 4.75 | 0.09975 | 6 | ,000.00 119,700 | 00 1,017,450,000. | 0 813,960,000.0 |
| 0% | 5 | 0.09975 | 6 | ,000.00 114,000 | 00 969,000,000.0 | 0 775,200,000.0 |
| 5% | 5.25 | 0.09975 | 6 | ,000.00 108,818 | 0 924,954,545.4 | 0 739,963,636.3 |
| 10% | 5.5 | 0.09975 | 6 | ,181.82 104,086 | 5 884,739,130.4 | 6 707,791,304.3 |
| 15% | 5.75 | 0.09975 | 6 | ,956.52 99,750, | 3 847,875,000.0 | 5 678,300,000.0 |
| 20% | 6 | 0.09975 | 6 | 000.00 | 0 | 0 |

$Change \ in \ Dose \ due \ to \ Efficacy$

Change in Number of Batches

| Change in Number of Batches | Dose (microgr ams) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecas ted Doses 79,800, | Gross Private Revenue (\$ USD) 678,300,000.0 | Gross Gov.'t Revenue (\$ USD) 542,640,000.0 |
|-----------------------------------|--------------------------|---|------------------------------------|------------------------------------|---|--|
| -2 | 5 | 0.09975 | 4 | 000.00 | 0 | 0 |
| | | | | 99,750, | 847,875,000.0 | 678,300,000.0 |
| -1 | 5 | 0.09975 | 5 | 000.00 | 0 | 0 |
| | | | | 119,700 | 1,017,450,000. | 813,960,000.0 |
| 0 | 5 | 0.09975 | 6 | ,000.00 | 00 | 0 |
| | | | | 139,650 | 1,187,025,000. | 949,620,000.0 |
| 1 | 5 | 0.09975 | 7 | ,000.00 | 00 | 0 |
| | | | | 159,600 | 1,356,600,000. | 1,085,280,000. |
| 2 | 5 | 0.09975 | 8 | ,000.00 | 00 | 00 |

$\label{eq:appendix} Appendix \ B-Sensitivity \ Analysis$

50% Downstream Efficiency

| | | | Change in | Selling | | | | |
|--|------------------------------|--|-------------------------------------|-------------------------|---------------------------------------|--------------------------------------|---------------------------------------|---|
| Percent Change in Selling Price | Dose (micro grams) | Forcasted Protein Production per Batch (kg) | Forecaste d Number of Batches | Forec asted Doses | Private Sector Selling Price | Gov.'t Sector Selling Price | Gross Private Revenue (SUSD) | Gross Gov.'t Revenue (S USD) |
| | , | | | 119,7 | | | 012.0(0.00 | . , |
| -20% | 5 | 0.09975 | 6 | 00,00 0.00 | 6.8 | 5.44 | 813,960,00 0.00 | 651,168,0 00.00 |
| | | | | 119,7 00,00 | | | 864,832,50 | 691,866,0 |
| -15% | 5 | 0.09975 | 6 | 0.00 | 7.225 | 5.78 | 0.00 | 00.00 |
| | | | | 119,7 00,00 | | | 915,705,00 | 732,564,0 |
| -10% | 5 | 0.09975 | 6 | 0.00 119,7 | 7.65 | 6.12 | 0.00 | 00.00 |
| -0/ | _ | | <i>,</i> | 00,00 | 0 0 - | <i></i> | 966,577,50 | 773,262,0 |
| -5% | 5 | 0.09975 | 6 | 0.00 119,7 | 8.075 | 6.46 | 0.00 | 00.00 |
| 0% | 5 | 0.09975 | 6 | 00,00 0.00 | 8.5 | 6.8 | 1,017,450, 000.00 | 813,960,0 00.00 |
| 070 | 5 | 0.09975 | 0 | 119,7 | 0.5 | 0.8 | | |
| 5% | 5 | 0.09975 | 6 | 00,00 0.00 | 8.925 | 7.14 | 1,068,322, 500.00 | 854,658,0 00.00 |
| | | | | 119,7 00,00 | | | 1,119,195, | 895,356,0 |
| 10% | 5 | 0.09975 | 6 | 0.00 | 9.35 | 7.48 | 000.00 | 00.00 |
| | | | | 119,7 00,00 | | | 1,170,067, | 936,054,0 |
| 15% | 5 | 0.09975 | 6 | 0.00 119,7 | 9.775 | 7.82 | 500.00 | 00.00 |
| 2004 | - | 0.00075 | <i>(</i> | 00,00 | 10.2 | 0.16 | 1,220,940, | 976,752,0 |
| 20% | 5 | 0.09975 | 6 | 0.00 | 10.2 | 8.16 | 000.00 | 00.00 |

Pandemic Scale-Up

| Dose (microgra ms) | Forcasted Protein Production per Batch (kg) | Forecasted Number of Batches | Forecaste d Doses | Gov.'t Sector Selling Price | Gross Gov.'t Revenue (\$ USD) |
|--------------------------|---|------------------------------------|----------------------|--------------------------------|--|
| | | | 478,800,0 | | |
| 5 | 0.09975 | 24 | 00.00 | 5.44 | 2,604,672,000.00 |
| | | | 478,800,0 | | |
| 5 | 0.09975 | 24 | 00.00 | 5.78 | 2,767,464,000.00 |
| | | | 478,800,0 | | |
| 5 | 0.09975 | 24 | 00.00 | 6.12 | 2,930,256,000.00 |
| | | | 478,800,0 | | , , , , |
| 5 | 0.09975 | 24 | 00.00 | 6.46 | 3,093,048,000.00 |
| U | 0.07770 | | 478,800,0 | 0.10 | 2,022,010,000.00 |
| 5 | 0.09975 | 24 | 00.00 | 6.8 | 3,255,840,000.00 |
| U | 0.07770 | | 478,800,0 | 0.0 | 5,200,010,000.00 |
| 5 | 0.09975 | 24 | 00.00 | 7.14 | 3,418,632,000.00 |
| 5 | 0.07975 | 27 | 478,800,0 | /.14 | 5,410,052,000.00 |
| 5 | 0.00075 | 24 | , , | 7.48 | 2 591 424 000 00 |
| 5 | 0.09975 | 24 | 00.00 | 7.48 | 3,581,424,000.00 |
| _ | | | 478,800,0 | | |
| 5 | 0.09975 | 24 | 00.00 | 7.82 | 3,744,216,000.00 |
| | | | 478,800,0 | | |
| 5 | 0.09975 | 24 | 00.00 | 8.16 | 3,907,008,000.00 |
| | | | | | |

| | Overall F | rocess Data | | | |
|----------------------------|----------------|-----------------|--------------|------------|-----------|
| Annual Operating Time | | | 2922 | hours | |
| Annual Throughput | | | 83,790,000 | doses | |
| Number of Batches per Year | | | 3 per strain | | |
| Stream Details | | Upst | ream Proce | SS | |
| Stream Name | Initial Charge | S-101 | S-102 | S-104 | S-105 |
| Source | Input | P-1 | P-2 | P-3 | P-4 |
| Destination | P-1 | P-2 | P-3 | P-4 | P-6 |
| Activity | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 |
| Temperature (°C) | 28.00 | 28.00 | 28.00 | 28.00 | 28.0 |
| Pressure (bar) | 1.01 | 1.01 | 1.01 | 1.01 | 1.0 |
| | | owrates (g/batc | | | |
| Biomass | 0.04 | 0.04 | 0.42 | 4.20 | 4.2 |
| Media | 3.08 | 3.08 | 7.70 | 61.60 | 308.0 |
| Water | 100.00 | 100.00 | 250.00 | 2000.00 | 10000.0 |
| Total (g/batch) | 103.12 | 103.12 | 258.12 | 2065.80 | 10312.2 |
| Total (L/batch) | 103.12 | 103.12 | 258.12 | 2065.80 | 10312.2 |
| | | | | | |
| Stream Name | S-106 | S-117 | S-118 | S-119 | S-120 |
| Source | P-6 | P-7 | P-16 | P-17 | P-18 |
| Activity (U/ml) | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 |
| Temperature (°C) | 28.00 | 28.00 | 28.00 | 28.00 | 4.0 |
| Pressure | 1.01 | 1.01 | 1.01 | 1.01 | 1.0 |
| | | owrates (g/batc | , | | |
| Biomass | 4.20 | 42.00 | 0.00 | 4200.00 | 38.0 |
| Media | 308.00 | 3080.00 | 34188.00 | 30800.00 | 0.0 |
| Water | 10000.00 | 100000.00 | 1110000.00 | 1000000.00 | 100000.0 |
| Total (g/batch) | 10312.20 | 103122.00 | 1144188.00 | 1035000.00 | 100038.0 |
| Total (L/batch) | 10312.20 | 103122.00 | 1144188.00 | 1035000.00 | 100038.0 |
| Stream Name | S-107 | S-108 | S-109 | S-110 | S-103 |
| Source | P-8 | P-9 | P-10 | P-11 | P-12 |
| Destination | P-9 | P-10 | P-11 | P-12 | P-4 |
| Activity | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 |
| Temperature (°C) | 4.00 | 4.00 | 4.00 | 28.00 | 28.0 |
| Pressure | 1.01 | 1.01 | 1.01 | 1.01 | 1.0 |
| | Component Fl | | | | |
| Biomass | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 |
| Media | 3080.00 | 3080.00 | 3080.00 | 3080.00 | 138.6 |
| Water | 100000.00 | 100000.00 | 100000.00 | 100000.00 | 4500.0 |
| Total (g/batch) | 103080.00 | 103080.00 | 103080.00 | 103080.00 | 4638.6 |
| Total (L/batch) | 103080.00 | 103080.00 | 103080.00 | 103080.00 | 4638.6 |
| Stream Name | S-112 | S-113 | S-114 | S-115 | S-116 |
| Source | P-12 | P-12 | P-13 | P-14 | P-15 |
| Destination | P-6 | P-7 | P-14 | P-15 | P-16 |
| Activity | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 |
| Temperature (°C) | 28.00 | 28.00 | 4.00 | 4.00 | 4.0 |
| Pressure | 28.00 | 28.00 | 4.00 | 1.01 | 4.0 |
| 11000010 | Component FI | | | 1.01 | 1.0 |
| Biomass | 0.00 | 0.00 | 0.00 | 0.00 | 0.0 |
| Media | 308.00 | 3080.00 | 15400.00 | 15400.00 | 34188.0 |
| | | 100000.00 | | 500000.00 | 1110000.0 |
| Water | 10000.00 | 100000.00 | 500000.00 | 500000.00 | 1110000.0 |
| Water Total (g/batch) | 10000.00 | 100000.00 | 515400.00 | 515400.00 | 1144188.0 |

Appendix C – SuperPro Stream Reports

| Appendix | С – | SuperPro | Stream | Reports |
|----------|-----|----------|--------|---------|
|----------|-----|----------|--------|---------|

| Stream Details | | Dowr | n s tream Pro | cess | |
|------------------|------------|-------------------|----------------------|-------------|------------|
| Stream Name | FROM USP | S-102 | S-103 | Det. Charge | S-104 |
| Source | Input | P-1 | P-12 | Input | P-9 |
| Destination | P-1 | P-9 | P-9 | P-12 | P-3 |
| Activity | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Temperature (°C) | 28.00 | 28.00 | 28.00 | 28.00 | 28.00 |
| Pressure (bar) | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 |
| · · · | Component | Flowrates (kg/ba | atch) | | |
| Biomass | 4200.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Water | 1000000.00 | 990200.00 | 990200.00 | 200000.00 | 1100200.00 |
| VLP | 200.00 | 0.00 | 200.00 | 0.00 | 189.00 |
| Medium | 30800.00 | 30800.00 | 30800.00 | 0.00 | 30800.00 |
| Baculovirus | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| TNBP | 0.00 | 0.00 | 0.00 | 3150.00 | 3150.00 |
| Triton X-100 | 0.00 | 0.00 | 0.00 | 10500.00 | 10500.00 |
| Total (g/batch) | 1035200.00 | 1021000.00 | 1021200.00 | 213650.00 | 1144839.00 |
| Total (L/batch) | 1035200.00 | 1021000.00 | 1021200.00 | 213650.00 | 1144839.00 |
| Stream Name | S-105 | S-106 | S-107 | IEX Resin | S-101 |
| Source | P-3 | P-7 | P-11 | Input | P-4 |
| Destination | P-7 | P-4 | P-4 | P-11 | P-18 |
| Activity | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Temperature (°C) | 28.00 | 28.00 | 28.00 | 28.00 | 28.00 |
| Pressure (bar) | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 |
| | | t Flowrates (g/ba | | 1.01 | 1.01 |
| Biomass | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Water | 200000.00 | 200000.00 | 0.00 | 0.00 | 20000.00 |
| VLP | 190.00 | 190.00 | 0.00 | 0.00 | 162.00 |
| Medium | 5600.00 | 5600.00 | 0.00 | 0.00 | 0.00 |
| Baculovirus | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| TNBP | 573.00 | 573.00 | 0.00 | 0.00 | 0.00 |
| Triton X-100 | 1909.00 | 1909.00 | 0.00 | 0.00 | 0.00 |
| Total (g/batch) | 208272.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total (L/batch) | 208272.00 | 208272.00 | 0.00 | 0.00 | 20162.00 |
| Stream Name | S-108 | SEC Resin | S-111 | S-114 | S-109 |
| Source | P-18 | Input | P-5 | P-19 | P-6 |
| Destination | P-5 | P-10 | P-19 | P-6 | P-8 |
| Activity | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Temperature (°C) | 28.00 | 28.00 | 28.00 | 28.00 | 28.00 |
| Pressure (bar) | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 |
| (***) | | t Flowrates (g/ba | | | |
| Biomass | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Water | 20000.00 | 200000.00 | 20000.00 | 20000.00 | 10000.00 |
| VLP | 162.00 | 0.00 | 154.00 | 154.00 | 146.00 |
| Medium | 0.00 | 864.00 | 0.00 | 0.00 | 0.00 |
| Baculovirus | 0.00 | 5680.00 | 0.00 | 0.00 | 0.00 |
| Tris-HCL | 0.00 | 171.05 | 4.80 | 0.00 | 0.00 |
| NaCl | 0.00 | 0.00 | 14.00 | 0.00 | 0.00 |
| Total (g/batch) | 20162.00 | 0.00 | 20172.80 | 20154.00 | 0.00 |
| Total (L/batch) | 20162.00 | 206715.05 | 20172.80 | 20154.00 | 10146.00 |

Appendix C -- Gantt Chart Data

| Fermentation | | 3.32d | each stage |
|--------------|----------------------------|---|---|
| Fill Times | 1L 10L 100L 1000L | 0.024min 0.238min 2.38min 23.81min | Tank charging & draining is always 42 LPM Odays Odays Odays Odays |

| | Batch 1 | | | |
|-----------------------------|-------------|----------|-------------|-------------|
| Task | Start Date | Gap Time | Time (days) | End Date |
| Innoculate 100 mL | 0 | | 3.32 | 3.32 |
| Innoculate 500 mL | 3.32 | | 3.32 | 6.64 |
| Innoculate 4L Flask | 6.64 | | 3.3234725 | 9.9634725 |
| Fill 20L Bioreactor | 9.9634725 | | 2.75463E-06 | 9.963475255 |
| Ferment 20L Bioreactor | 9.963475255 | | 3.32 | 13.28347525 |
| Fill 200L Bioreactor | 13.28347525 | | 2.75463E-05 | 13.2835028 |
| Ferment 200L Bioreactor | 13.2835028 | | 3.32 | 16.6035028 |
| Fill 2000L Bioreactor | 16.6035028 | | 0.000275579 | 16.60377838 |
| Ferment 2000L Bioreactor | 16.60377838 | | 3.32 | 19.92377838 |
| Fill Hold Tank 1 | 19.92377838 | | 0.000275579 | 19.92405396 |
| Centrifuge | 19.92405396 | | 0.347083333 | 20.27113729 |
| Fill Inactivation Tank | 20.27113729 | | 0.000275579 | 20.27141287 |
| Inactivation | 20.27141287 | | 0.020833333 | 20.2922462 |
| Tangential Flow Filtration | 20.2922462 | | 0.347083333 | 20.63932954 |
| Fill Hold Tank 2 | 20.2922462 | | 0.347358912 | 20.63960512 |
| Ion Exchange Chromatography | 20.63960512 | | 5 | 25.63960512 |
| Fill Hold Tank 3 | 25.63960512 | | 0.000275579 | 25.63988069 |
| Size Exclusion | 25.63988069 | | 5 | 30.63988069 |
| Fill Hold Tank 4 | 30.63988069 | | 0.000275579 | 30.64015627 |
| Tangential Flow Filtration | 30.64015627 | | 0.347083333 | 30.98723961 |
| Fill Hold Tank 5 | 30.64015627 | | 0.347358912 | 30.98751519 |
| Packaging into Vial | 30.98751519 | | 5.92593 | 36.91344519 |

| Batch 2 | | | |
|-------------|-------------|-------------|-------------|
| Start Date | Gap Time 1 | Time (days) | End Date |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.3234725 | 3.3234725 |
| 9.963475255 | 3.281803079 | 0.041669421 | 10.00514468 |
| 13.28347525 | 0.041669421 | 3.32 | 16.60347525 |
| 13.2835028 | 3.32 | 0.041694213 | 13.32519701 |
| 16.6035028 | 0.041694213 | 3.32 | 19.9235028 |
| 16.60377838 | 3.32 | 0.041942245 | 16.64572063 |
| 19.92377838 | 0.041942245 | 3.32 | 23.24377838 |
| 19.92405396 | 3.32 | 1.630782063 | 21.55483602 |
| 20.27113729 | 4.603423151 | 0.347083333 | 20.61822063 |
| 3.667358912 | 4.950506484 | 0.000275579 | 3.667634491 |
| 20.2922462 | 4.975783243 | 0.020833333 | 20.31307954 |
| 19.94461173 | 4.632594937 | 0.347083333 | 20.29169506 |
| 20.63960512 | 4.632319358 | 0.347358912 | 20.98696403 |
| 25.63960512 | 0.000275579 | 5 | 30.63960512 |
| 25.63988069 | 5 | 0.000275579 | 25.64015627 |
| 30.63988069 | 0.000275579 | 5 | 35.63988069 |
| 30.64015627 | 5 | 0.000275579 | 30.64043185 |
| 30.98723961 | 4.652916667 | 0.347083333 | 31.33432294 |
| 30.98751519 | 4.652090021 | 1.273839979 | 32.26135516 |
| 36.91344519 | 0 | 5.92593 | 42.83937519 |

| Batch 3 | | | |
|-------------|-------------|-------------|-------------|
| Start Date | Gap Time 2 | Time (days) | End Date |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.3234725 | 3.3234725 |
| 10.00514468 | 3.281803079 | 0.041669421 | 10.0468141 |
| 16.60347525 | 0.041669421 | 3.32 | 19.92347525 |
| 13.32519701 | 3.32 | 0.041694213 | 13.36689123 |
| 19.9235028 | 0.041694213 | 3.32 | 23.2435028 |
| 16.64572063 | 3.32 | 0.041942245 | 16.68766287 |
| 23.24377838 | 0.041942245 | 3.32 | 26.56377838 |
| 21.55483602 | 1.689217937 | 3.013743403 | 24.56857942 |
| 4.704076849 | 4.356153585 | 0.347083333 | 5.051160183 |
| 3.667634491 | 4.702031255 | 0.000275579 | 3.667910069 |
| 20.31307954 | 4.66924063 | 0.020833333 | 20.33391287 |
| 19.59697725 | 4.50924063 | 0.347083333 | 19.94406058 |
| 20.98696403 | 4.50924063 | 0.347358912 | 21.33432294 |
| 30.63960512 | 0.000275579 | 5 | 35.63960512 |
| 25.64015627 | 5 | 0.000275579 | 25.64043185 |
| 35.63988069 | 0.000275579 | 5 | 40.63988069 |
| 30.64043185 | 5 | 0.000275579 | 30.64070743 |
| 31.33432294 | 4.652916667 | 0.347083333 | 31.68140627 |
| 32.26135516 | 3.671233238 | 2.254696762 | 34.51605193 |
| 42.83937519 | 0 | 5.92593 | 48.76530519 |
| | | | |
| Batch 4 | | | |
| Start Date | Gap Time 3 | Time (days) | End Date |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.3234725 | 3.3234725 |
| 10.0468141 | 3.281803079 | 0.041669421 | 10.08848352 |
| 19.92347525 | 0.041669421 | 3.32 | 23.24347525 |
| 13.36689123 | 3.32 | 0.041694213 | 13.40858544 |
| 23.2435028 | 0.041694213 | 3.32 | 26.5635028 |
| 16.68766287 | 3.32 | 0.041942245 | 16.72960512 |
| 26.56377838 | 0.041942245 | 3.32 | 29.88377838 |
| 24.56857942 | 0.5452 | 4.755043545 | 29.32362297 |
| 5.051160183 | 4.967960212 | 0.347083333 | 5.398243516 |
| 3.667910069 | 5.309416241 | 0.000275579 | 3.668185648 |
| 20.33391287 | 5.250399148 | 0.020833333 | 20.3547462 |
| 19.24934277 | 4.70924063 | 0.347083333 | 19.5964261 |
| 21.33432294 | 4.70924063 | 0.347358912 | 21.68168185 |
| 35.63960512 | 0.000275579 | 5 | 40.63960512 |
| 25.64043185 | 5 | 0.000275579 | 25.64070743 |
| 40.63988069 | 0.000275579 | 5 | 45.63988069 |
| 30.64070743 | 5 | 0.000275579 | 30.64098301 |
| 31.68140627 | 4.652916667 | 0.347083333 | 32.02848961 |
| 34.51605193 | 2.741330053 | 3.184599947 | 37.70065187 |
| 48.76530519 | 0 | 5.92593 | 54.69123519 |

| Batch 5 | | | |
|---------------------------|---------------------|------------------|---------------------------|
| Start Date | Gap Time 4 | Time (days) | End Date |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.3234725 | 3.3234725 |
| 10.08848352 | 3.281803079 | 0.041669421 | 10.13015294 |
| 23.24347525 | 0.041669421 | 3.32 | 26.56347525 |
| 13.40858544 | 3.32 0.041694213 | 0.041694213 3.32 | 13.45027965 |
| 26.5635028 16.72960512 | 0.041694213 | 0.041942245 | 29.8835028 16.77154736 |
| 29.88377838 | 0.041942245 | 0.041942243 | 33.20377838 |
| 29.32362297 | 0.041942243 | 4.555043545 | 33.87866651 |
| 5.398243516 | 4.592055729 | 0.347083333 | 5.745326849 |
| 3.668185648 | 4.969469349 | 0.000275579 | 3.668461227 |
| 20.3547462 | 5.033726493 | 0.020833333 | 20.37557954 |
| 18.90170829 | 4.688407297 | 0.347083333 | 19.24879162 |
| 21.68168185 | 4.688407297 | 0.347358912 | 22.02904076 |
| 40.63960512 | 0.000275579 | 5 | 45.63960512 |
| 25.64070743 | 5 | 0.000275579 | 25.64098301 |
| 45.63988069 | 0.000275579 | 5 | 50.63988069 |
| 30.64098301 | 5 | 0.000275579 | 30.64125859 |
| 32.02848961 | 4.652916667 | 0.347083333 | 32.37557294 |
| 37.70065187 | 1.849642068 | 4.076287932 | 41.7769398 |
| 54.69123519 | 0 | 5.92593 | 60.61716519 |
| 0 | Ű | 0.02000 | 00.01/1001/ |
| Batch 6 | | | |
| Start Date | Gap Time 5 | Time (days) | End Date |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.32 | 3.32 |
| 0 | 0 | 3.3234725 | 3.3234725 |
| 10.13015294 | 3.281803079 | 0.041669421 | 10.17182236 |
| 26.56347525 | 0.041669421 | 3.32 | 29.88347525 |
| 13.45027965 | 3.32 | 0.041694213 | 13.49197387 |
| 29.8835028 | 0.041694213 | 3.32 | 33.2035028 |
| 16.77154736 | 3.32 | 0.041942245 | 16.81348961 |
| 33.20377838 | 0.041942245 | 3.32 | 36.52377838 |
| 33.87866651 | 0.3652 | 4.755043545 | 38.63371006 |
| 5.745326849 | 4.714055729 | 0.347083333 | 6.092410183 |
| 3.668461227 | 4.934629029 | 0.000275579 | 3.668736806 |
| 20.37557954 | 4.924669688 | 0.020833333 | 20.39641287 |
| 18.55407381 | 4.688407297 | 0.347083333 | 18.90115714 |
| 22.02904076 | 4.688407297 | 0.347358912 | 22.37639968 |
| 45.63960512 | 0.000275579 | 5 | 50.63960512 |
| 25.64098301 | 5 | 0.000275579 | 25.64125859 |
| 50.63988069 | 0 | 5 | 55.63988069 |
| 30.64125859 | 5 | 0.000275579 | 30.64153417 |
| 32.37557294 | 4.652916667 | 0.347083333 | 32.72265627 |
| 41.7769398 | 0.957954083 | 4.967975917 | 46.74491572 |
| 60.61716519 | 0 | 5.92593 | 66.54309519 |

SIGMA-ALDRICH

Material Safety Data Sheet

Version 3.4 Revision Date 04/26/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | |
|--|---|---|--|
| Product name | : | D-(+)-Glucose | |
| Product Number Brand | : | G7528 Sigma | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | |
| Telephone | : | +1 800-325-5832 | |
| Fax | | +1 800-325-5052 | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance according to GHS.

| HMIS CI | assification |
|---------|--------------|
|---------|--------------|

| Health hazard: | 0 |
|-----------------------|---|
| Flammability : | 0 |
| Physical hazards: | 0 |
| NFPA Rating | |

| r A naung | |
|--------------------|---|
| Health hazard: | 0 |
| Fire: | 0 |
| Reactivity Hazard: | 0 |

Potential Health Effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |
| Ingestion | May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Synonyms | : Dextrose |
|-----------------------------|---|
| Formula Molecular Weight | : C ₆ H ₁₂ O ₆ : 180.16 g/mol |
| Moloodial Wolgin | . 100.10 g/mor |

No ingredients are hazardous according to OSHA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid dust formation. Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed. Normal measures for preventive fire protection.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

Keep in a dry place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Immersion protection Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: > 480 min Material tested:Dermatril® (Aldrich Z677272, Size M)

Splash protection Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: > 30 min Material tested:Dermatril® (Aldrich Z677272, Size M)

data source: KCL GmbH, D-36124 Eichenzell, phone +49 (0)6659 873000, e-mail sales@kcl.de, test method: EN374

If used in solution, or mixed with other substances, and under conditions which differ from EN 374, contact the supplier of the CE approved gloves. This recommendation is advisory only and must be evaluated by an Industrial Hygienist familiar with the specific situation of anticipated use by our customers. It should not be construed as offering an approval for any specific use scenario.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| | Form | crystalline, powder |
|----|---|--|
| | Colour | white |
| Sa | afety data | |
| | рН | no data available |
| | Melting point/freezing point | Melting point/range: 150 - 152 °C (302 - 306 °F) |
| | Boiling point | no data available |
| | Flash point | no data available |
| | Ignition temperature | no data available |
| | Autoignition temperature | no data available |
| | Lower explosion limit | no data available |
| | Upper explosion limit | no data available |
| | Vapour pressure | no data available |
| | Density | no data available |
| | Water solubility | soluble |
| | Partition coefficient: n-octanol/water | no data available |
| | Relative vapour density | no data available |
| | Odour | no data available |
| | Odour Threshold | no data available |
| | Evaporation rate | no data available |
| | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid no data available

Materials to avoid Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50

LD50 Oral - rat - 25,800 mg/kg Remarks: Behavioral:Coma. Cyanosis Diarrhoea

Inhalation LC50 no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

Skin corrosion/irritation no data available

Serious eye damage/eye irritation no data available

Respiratory or skin sensitization no data available

Germ cell mutagenicity

Genotoxicity in vitro - mouse - lymphocyte Mutation in mammalian somatic cells.

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System)

no data available

Aspiration hazard no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects no data available

Additional Information

RTECS: LZ6600000

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential no data available

Mobility in soil no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG Not dangerous goods

IATA Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

| Pennsylvania Right To Know Components | | Devision Dete |
|---------------------------------------|--------------------|---------------|
| Glucose | CAS-No. 50-99-7 | Revision Date |
| New Jersey Right To Know Components | | Devision Data |
| Glucose | CAS-No. 50-99-7 | Revision Date |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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| Health | 2 |
|------------------------|---|
| Fire | 0 |
| Reactivity | 1 |
| Personal Protection | С |

Material Safety Data Sheet Calcium chloride, Anhydrous MSDS

| 1400 |
|----------------------|
| |
| elephone), call: |
| -703-527-3887 |
| |
| call: 1-281-441-4400 |
| |

Section 2: Composition and Information on Ingredients

Composition:

| Name | CAS # | % by Weight |
|-----------------------------|------------|-------------|
| Calcium chloride, Anhydrous | 10043-52-4 | 100 |

Toxicological Data on Ingredients: Calcium chloride, Anhydrous: ORAL (LD50): Acute: 1000 mg/kg [Rat]. 1940 mg/kg [Mouse].

Section 3: Hazards Identification

Potential Acute Health Effects:

Hazardous in case of skin contact (irritant), of eye contact (irritant), of ingestion, of inhalation. Slightly hazardous in case of skin contact (permeator).

Potential Chronic Health Effects:

CARCINOGENIC EFFECTS: Not available. MUTAGENIC EFFECTS: Mutagenic for mammalian somatic cells. Mutagenic for bacteria and/or yeast. TERATOGENIC EFFECTS: Not available. DEVELOPMENTAL TOXICITY: Not available. The substance may be toxic to heart, cardiovascular system. Repeated or prolonged exposure to the substance can produce target organs damage.

Section 4: First Aid Measures

Eye Contact:

Check for and remove any contact lenses. In case of contact, immediately flush eyes with plenty of water for at least 15 minutes. Cold water may be used. Get medical attention.

Skin Contact:

In case of contact, immediately flush skin with plenty of water. Cover the irritated skin with an emollient. Remove contaminated clothing and shoes. Cold water may be used. Wash clothing before reuse. Thoroughly clean shoes before reuse. Get medical attention.

Serious Skin Contact:

Wash with a disinfectant soap and cover the contaminated skin with an anti-bacterial cream. Seek immediate medical attention.

Inhalation:

If inhaled, remove to fresh air. If not breathing, give artificial respiration. If breathing is difficult, give oxygen. Get medical attention.

Serious Inhalation: Not available.

Ingestion:

Do NOT induce vomiting unless directed to do so by medical personnel. Never give anything by mouth to an unconscious person. If large quantities of this material are swallowed, call a physician immediately. Loosen tight clothing such as a collar, tie, belt or waistband.

Serious Ingestion: Not available.

Section 5: Fire and Explosion Data

Flammability of the Product: Non-flammable.

Auto-Ignition Temperature: Not applicable.

Flash Points: Not applicable.

Flammable Limits: Not applicable.

Products of Combustion: Not available.

Fire Hazards in Presence of Various Substances: Not applicable.

Explosion Hazards in Presence of Various Substances:

Risks of explosion of the product in presence of mechanical impact: Not available. Risks of explosion of the product in presence of static discharge: Not available.

Fire Fighting Media and Instructions: Not applicable.

Special Remarks on Fire Hazards: Not available.

Special Remarks on Explosion Hazards: Furan-2-peroxycarboxylic acid + calcium chloride causes explosion at room temperature.

Section 6: Accidental Release Measures

Small Spill:

Use appropriate tools to put the spilled solid in a convenient waste disposal container. Finish cleaning by spreading water on the contaminated surface and dispose of according to local and regional authority requirements.

Large Spill:

Use a shovel to put the material into a convenient waste disposal container. Finish cleaning by spreading water on the contaminated surface and allow to evacuate through the sanitary system.

Section 7: Handling and Storage

Precautions:

Keep locked up.. Do not ingest. Do not breathe dust. Wear suitable protective clothing. In case of insufficient ventilation, wear suitable respiratory equipment. If ingested, seek medical advice immediately and show the container or the label. Avoid contact with skin and eyes. Keep away from incompatibles such as moisture.

Storage:

Hygroscopic. Keep container tightly closed. Keep container in a cool, well-ventilated area. Do not store above 30°C (86°F).

Section 8: Exposure Controls/Personal Protection

Engineering Controls:

Use process enclosures, local exhaust ventilation, or other engineering controls to keep airborne levels below recommended exposure limits. If user operations generate dust, fume or mist, use ventilation to keep exposure to airborne contaminants below the exposure limit.

Personal Protection: Safety glasses. Synthetic apron. Gloves (impervious).

Personal Protection in Case of a Large Spill:

Splash goggles. Full suit. Boots. Gloves. Suggested protective clothing might not be sufficient; consult a specialist BEFORE handling this product.

Exposure Limits: Not available.

Section 9: Physical and Chemical Properties

Physical state and appearance: Solid. (Crystalline solid.)

Odor: Odorless.

Taste: Saline.

Molecular Weight: 110.99 g/mole

Color: Colorless. White. Off-white.

pH (1% soln/water): 9 [Basic.]

Boiling Point: 1670°C (3038°F)

Melting Point: 772°C (1421.6°F)

Critical Temperature: Not available.

Specific Gravity: 2.15 (Water = 1)

Vapor Pressure: Not applicable.

Vapor Density: Not available.

Volatility: Not available.

Odor Threshold: Not available.

Water/Oil Dist. Coeff.: Not available.

lonicity (in Water): Not available.

Dispersion Properties: See solubility in water, acetone.

Solubility:

Easily soluble in cold water, hot water, acetone. Freely soluble in alcohol. Soluble in Acetic Acid.

Section 10: Stability and Reactivity Data

Stability: The product is stable.

Instability Temperature: Not available.

Conditions of Instability: Incompatible materials, moisture.

Incompatibility with various substances: Reactive with moisture.

Corrosivity: Non-corrosive in presence of glass.

Special Remarks on Reactivity:

Hygroscopic. Reacts violently (violent boiling) with water, generating heat. Forms flammable gases and evolves hydrogen when reacted with zinc. Solutions attack some metals. Generates heat and violent polymerization occurs when mixed with methyl vinyl ether. Bromine trifluoride reacts violently with and attacks calcium chloride.

Special Remarks on Corrosivity: Not available.

Polymerization: Will not occur.

Section 11: Toxicological Information

Routes of Entry: Absorbed through skin. Inhalation. Ingestion.

Toxicity to Animals: Acute oral toxicity (LD50): 1000 mg/kg [Rat].

Chronic Effects on Humans:

MUTAGENIC EFFECTS: Mutagenic for mammalian somatic cells. Mutagenic for bacteria and/or yeast. May cause damage to the following organs: heart, cardiovascular system.

Other Toxic Effects on Humans:

Hazardous in case of skin contact (irritant), of ingestion, of inhalation. Slightly hazardous in case of skin contact (permeator).

Special Remarks on Toxicity to Animals:

Lowest Published Lethal Dose: LDL [Rabbit] - Route: Oral; Dose: 1384 mg/kg

Special Remarks on Chronic Effects on Humans:

May affect genetic material based on animal data. May cause cancer (tumorigenic) based on animal data.

Special Remarks on other Toxic Effects on Humans:

Acute Potential Health Effects: Skin: May cause severe irritation and possible burns, especially if skin is wet. Contact with dry skin causes mild irritation. Contact of solid with moist/wet skin or skin contact with strong solutions may cause marked irritation or possible burns. Eyes: May cause severe irritation, possible transient corneal injury, and possible eye burns. Inhalation: May cause severe irritation of the upper respiratory tract with pain, inflammation and possible burns. Ingestion: May cause severe gastrointestinal (digestive) tract irritation with nausea, vomiting and possible burns. May affect cardiovascular system (cardiac disturbances, slow heart beat), behavior (seizures), metabolism, blood, and brain, respiration (rapid respiration). Chronic Potential Health Effects: effects may be delayed.

Section 12: Ecological Information

Ecotoxicity: Ecotoxicity in water (LC50): 100 mg/l 96 hours [Fish].

BOD5 and COD: Not available.

Products of Biodegradation:

Possibly hazardous short term degradation products are not likely. However, long term degradation products may arise.

Toxicity of the Products of Biodegradation: The products of degradation are less toxic than the product itself.

Special Remarks on the Products of Biodegradation: Not available.

Section 13: Disposal Considerations

Waste must be disposed of in accordance with federal, state and local environmental control regulations.

Section 14: Transport Information

DOT Classification: Not a DOT controlled material (United States).

Identification: Not applicable.

Special Provisions for Transport: Not applicable.

Section 15: Other Regulatory Information

Federal and State Regulations: TSCA 8(b) inventory: Calcium chloride, Anhydrous

Other Regulations: EINECS: This product is on the European Inventory of Existing Commercial Chemical Substances.

Other Classifications:

WHMIS (Canada): CLASS D-2B: Material causing other toxic effects (TOXIC).

DSCL (EEC):

R36- Irritating to eyes. S2- Keep out of the reach of children. S22- Do not breathe dust. S24- Avoid contact with skin.

HMIS (U.S.A.):

Health Hazard: 2

Fire Hazard: 0

Reactivity: 1

Personal Protection: C

National Fire Protection Association (U.S.A.):

Health: 2

Flammability: 0

Reactivity: 2

Specific hazard:

Protective Equipment:

Gloves (impervious). Synthetic apron. Wear appropriate respirator when ventilation is inadequate. Safety glasses.

Section 16: Other Information

References: Not available.

Other Special Considerations: Not available.

Created: 10/09/2005 04:31 PM

Last Updated: 06/09/2012 12:00 PM

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Material Safety Data Sheet

acc. to ISO/DIS 11014

Date Prepared: 11/01/2011

Reviewed On: 07/15/2011

1 Identification of the substance/mixture and of the company/undertaking

- · Product Identifier
- · Product Name: Bacto TC Yeastolate
- · Catalog Number: 255771
- · Details of the supplier of the safety data sheet
- Manufacturer/Supplier: BD Diagnostic Systems 7 Loveton Circle Sparks, MD 21152 Telephone: (410) 771 - 0100 or (800) 638 – 8663
- · Information Department: Technical Service
- *Emergency telephone number:* In case of a chemical emergency, spill, fire, exposure, or accident, contact BD Diagnostic Systems (410) 771-0100 or (800)-638-8663, or ChemTrec at (800) 424-9300.

2 Composition/information on ingredients

· Chemical characterization: Substances

· CAS No. Description:

3 Hazards identification

• *Classification of the substance or mixture* The substance is not classified according to the Globally Harmonized System (GHS).

Classification according to Directive 67/548/EEC or Directive 1999/45/EC This product contains no hazardous constituents, or the concentration of all chemical constituents are below the regulatory threshold limits described by Occupational Safety Health Administration Hazard Communication Standard 29 CFR 1910.1200, the Canada's Workplace Hazardous Materials Information System (WHMIS) and the European Directive 67/548/EEC and 1999/45/EC.

Void

· Label elements

· Labelling according to EU guidelines:

Observe the general safety regulations when handling chemicals

The substance is not subject to classification according to the sources of literature known to us.

The product is not subject to identification regulations pertaining to regulations on hazardous materials.

NFPA ratings (scale 0-4)



Health = 0 Flammability = 0 Reactivity = 0

(Contd. on page 2)



Material Safety Data Sheet acc. to ISO/DIS 11014

Date Prepared: 11/01/2011

Reviewed On: 07/15/2011

Product Name: Bacto TC Yeastolate

(Contd. of page 1)

HMIS ratings (scale 0-4)

| HEALTH 0 | Health = 0 |
|----------|------------------|
| | Flammability = 0 |
| | Reactivity = 0 |

 REACTIVITY
 Reactivity
 0

 · Other hazards

4 First aid measures

- · General information No special measures required.
- · After inhalation Seek medical treatment in case of complaints.
- · After skin contact Immediately wash with water and soap and rinse thoroughly.
- · After eye contact

Rinse opened eye for several minutes under running water. If symptoms persist, consult a doctor.

- · After swallowing If symptoms persist consult doctor.
- Information for doctor Show this product label or this MSDS.

5 Firefighting measures

- Suitable extinguishing agents CO2, ABC multipurpose dry chemical or water spray. Fight larger fires with water spray or alcohol resistant foam.
- Special hazards arising from the substance or mixture No further relevant information available.
- Protective equipment: No special measures required.

6 Accidental release measures

- · Personal precautions, protective equipment and emergency procedures Not required.
- Environmental precautions: Wipe up with damp sponge or mop.
- · Methods and material for containment and cleaning up: No special measures required.
- Reference to other sections No dangerous substances are released.

7 Handling and storage

- · Handling
- · Precautions for safe handling No special measures required.
- Information about protection against explosions and fires: No special measures required.
- · Storage
- \cdot Requirements to be met by storerooms and receptacles: < 30 $\,^{\circ}\!\mathcal{C}$
- Information about storage in one common storage facility: Store away from oxidizing agents.

(Contd. on page 3)



Material Safety Data Sheet

acc. to ISO/DIS 11014

Date Prepared: 11/01/2011

Reviewed On: 07/15/2011

Product Name: Bacto TC Yeastolate

(Contd. of page 2)

- Further information about storage conditions:
- Store in cool, dry conditions in well sealed containers.
- **Specific end use(s)** No further relevant information available.

8 Exposure controls/personal protection

- Additional information about design of technical systems: No further data; see Section 7.
- · Components with limit values that require monitoring at the workplace: Not required.
- Additional information: The lists that were valid during the creation were used as basis.
- · Personal Protective Equipment
- General protective and hygienic measures The usual precautionary measures for handling chemicals should be followed.
- · Breathing equipment:

In case of brief exposure, use a chemical fume hood or a NIOSH/MSHA-approved respirator. • **Protection of hands:**



Chemical resistant gloves (i.e. nitrile, or equivalent).

- · Eye protection: Safety glasses
- · Body protection: Protective work clothing (lab coat).

9 Physical and chemical properties

| General Information Appearance: Form: Color: Odor: | Solid. According to product specification Characteristic |
|---|--|
| Change in condition Melting point/Melting range: Boiling point/Boiling range: | Undetermined Not determined Not determined |
| · Flash point: | Not applicable |
| · Flammability (solid, gaseous) |) Product is not flammable. |
| · Danger of explosion: | Product does not present an explosion hazard. |
| · Density: | Not determined |
| Solubility in / Miscibility with Water: | Soluble |
| Solids content: | 100.0 % |
| | (Contd. on page 4) |

(Contd. of page 3)



Material Safety Data Sheet

acc. to ISO/DIS 11014

Date Prepared: 11/01/2011

Reviewed On: 07/15/2011

Product Name: Bacto TC Yeastolate

Other information

No further relevant information available.

10 Stability and reactivity

- **Thermal decomposition / conditions to be avoided:** No decomposition if used according to specifications.
- · Incompatible materials: No further relevant information available.
- · Hazardous decomposition products: No dangerous decomposition products known.

11 Toxicological information

- · Acute toxicity:
- Primary irritant effect:
- on the skin: No irritating effect.
- on the eye: No irritating effect.
- Sensitization: No sensitizing effects known.
- · Additional toxicological information:

The product is not subject to OSHA classification according to internally approved calculation methods for preparations.

When used and handled according to specifications, the product does not have any harmful effects according to our experience and the information provided to us. The substance is not subject to classification.

12 Ecological information

- Aquatic toxicity: No further relevant information available.
- Persistence and degradability No further relevant information available.
- · Behavior in environmental systems:
- · Bioaccumulative potential No further relevant information available.
- · Ecotoxical effects:
- Other information:

The ecological effects have not been thoroughly investigated, but currently none have been identified.

- · Additional ecological information:
- · General notes: Generally not hazardous for water.

13 Disposal considerations

- · Waste treatment methods
- · Recommendation

Smaller quantities can be disposed of with solid waste.

Dispose of material in accordance with federal (40 CFR 261.3), state and local requirements.

(Contd. on page 5)



Material Safety Data Sheet acc. to ISO/DIS 11014

Reviewed On: 07/15/2011

Product Name: Bacto TC Yeastolate

(Contd. of page 4)

This product is not considered a RCRA hazardous waste.

· Uncleaned packagings:

Date Prepared: 11/01/2011

· Recommendation: Disposal must be made according to state and federal regulations.

· Recommended cleansing agent: Water, if necessary with cleansing agents.

| 4 Transport information | |
|--|---|
| · UN-Number | |
| · DOT, ADR, ADN, IMDG, IATA | Void |
| · UN proper shipping name | |
| · DOT, ADR, ADN, IMDG, IATA | Void |
| · Transport hazard class(es) | |
| · DOT, ADR, ADN, IMDG, IATA | |
| · Class | Void |
| · Packing group | |
| · DOT, ADR, IMDG, IATA | Void |
| · Environmental hazards: · Marine pollutant: | No |
| · Special precautions for user | Not applicable. |
| • Transport in bulk according to Annex MARPOL73/78 and the IBC Code | ll of Not applicable. |
| • Transport/Additional information: | If a "void" appears in the Hazard Class section for the type of transportation, this indicates the product is not regulated for transportation. |
| · UN "Model Regulation": | - |

15 Regulatory information

SARA Section 355 (extremely hazardous substances)

Substance is not listed.

· SARA Section 313 (specific toxic chemical listings)

Substance is not listed.

· TSCA (Toxic Substances Control Act)

Substance is not listed.

(Contd. on page 6)

- USA



Material Safety Data Sheet

acc. to ISO/DIS 11014

Date Prepared: 11/01/2011

Reviewed On: 07/15/2011

Product Name: Bacto TC Yeastolate

(Contd. of page 5)

· California Proposition 65 - Chemicals known to cause cancer

Substance is not listed.

- California Proposition 65 Chemicals known to cause reproductive toxicity for females:
- Substance is not listed.
- California Proposition 65 Chemicals known to cause reproductive toxicity for males:
- Substance is not listed.
- · California Proposition 65 Chemicals known to cause developmental toxicity:
- Substance is not listed.

· Carcinogenic categories

- · NTP (National Toxicology Program)
- Substance is not listed.
- TLV (Threshold Limit Value established by ACGIH)

Substance is not listed.

Product related hazard information:

Observe the general safety regulations when handling chemicals

The substance is not subject to classification according to the sources of literature known to us.

The product is not subject to identification regulations pertaining to regulations on hazardous materials.

· National regulations

· Water hazard class: Generally not hazardous for water.

16 Other information

To the best of our knowledge, the information contained herein is accurate. However, neither Becton, Dickinson and Company or any of its subsidiaries assumes any liabilities whatsoever for the accuracy or completeness of the information contained herein. Final determination of suitability of any material is the sole responsibility of the user. All materials may present unknown hazards and should be used with caution. Although certain hazards are described herein, we can not guarantee that these are the only hazards that exist.

- **Department issuing MSDS:** Environmental, Health & Safety Created by Michael J. Spinazzola
- · Contact: Technical Service Representative
- Abbreviations and acronyms:

ADR: Accord européen sur le transport des marchandises dangereuses par Route (European Agreement concerning the International Carriage of Dangerous Goods by Road) RID: Règlement international concernant le transport des marchandises dangereuses par chemin de fer (Regulations Concerning the International Transport of Dangerous Goods by Rail) IMDG: International Maritime Code for Dangerous Goods IATA: International Air Transport Association ICAO: International Civil Aviation Organization

(Contd. on page 7)



Material Safety Data Sheet acc. to ISO/DIS 11014

Date Prepared: 11/01/2011

Reviewed On: 07/15/2011

Product Name: Bacto TC Yeastolate

(Contd. of page 6)

| ACGIH: American Conference of Governmental Industrial Hygienists | |
|---|-----|
| CAS: Chemical Abstracts Service (division of the American Chemical Societ | (y) |
| NFPA: National Fire Protection Association (USA) | • · |
| HMIS: Hazardous Materials Identification System (USA) | |

USA

SIGMA-ALDRICH

Material Safety Data Sheet

Version 5.2 Revision Date 11/05/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | |
|--|---|---|--|
| Product name | : | Sodium pyruvate | |
| Product Number Brand | : | 80443 Sigma-Aldrich | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | |
| Telephone | : | +1 800-325-5832 | |
| Fax | : | +1 800-325-5052 | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance or mixture according to the Globally Harmonised System (GHS).

| HMIS Classification Health hazard: Flammability: Physical hazards: | 0 0 0 |
|---|--|
| NFPA Rating Health hazard: Fire: Reactivity Hazard: | 0 0 0 |
| Potential Health Effects | |
| Inhalation Skin Eyes Ingestion | May be harmful if inhaled. May cause respiratory tract irritation. May be harmful if absorbed through skin. May cause skin irritation. May cause eye irritation. May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Formula | : | C ₃ H ₃ NaO ₃ |
|------------------|---|--|
| Molecular Weight | : | 110.04 g/mol |

No ingredients are hazardous according to OSHA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid dust formation. Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

Keep in a dry place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| - | • | |
|----|---|---|
| | Form | powder |
| | Colour | white |
| Sa | afety data | |
| | рН | 7 |
| | Melting point/freezing point | Melting point/range: > 300 °C (> 572 °F) - lit. |
| | Boiling point | no data available |
| | Flash point | no data available |
| | Ignition temperature | no data available |
| | Autoignition temperature | no data available |
| | Lower explosion limit | no data available |
| | Upper explosion limit | no data available |
| | Vapour pressure | no data available |
| | Density | no data available |
| | Water solubility | soluble |
| | Partition coefficient: n-octanol/water | no data available |
| | Relative vapour density | no data available |
| | Odour | no data available |
| | Odour Threshold | no data available |
| | Evaporation rate | no data available |
| | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid no data available

Materials to avoid Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 no data available

Inhalation LC50

no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

no data avaliable

Skin corrosion/irritation no data available

Serious eye damage/eye irritation no data available

Respiratory or skin sensitization no data available

Germ cell mutagenicity no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects no data available

Additional Information

RTECS: Not available

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential

no data available

Mobility in soil

no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG Not dangerous goods

IATA Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

Pennsylvania Right To Know Components

| Sodium pyruvate | CAS-No. 113-24-6 | Revision Date |
|-------------------------------------|---------------------|---------------|
| New Jersey Right To Know Components | CAS-No. | Revision Date |
| Sodium pyruvate | 113-24-6 | Revision Date |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

Copyright 2012 Sigma-Aldrich Co. LLC. License granted to make unlimited paper copies for internal use only. The above information is believed to be correct but does not purport to be all inclusive and shall be used only as a guide. The information in this document is based on the present state of our knowledge and is applicable to the product with regard to appropriate safety precautions. It does not represent any guarantee of the properties of the product. Sigma-Aldrich Corporation and its Affiliates shall not be held liable for any damage resulting from handling or from contact with the above product. See www.sigma-aldrich.com and/or the reverse side of invoice or packing slip for additional terms and conditions of sale.

SIGMA-ALDRICH

Material Safety Data Sheet

Version 3.6 Revision Date 04/24/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | |
|--|---|---|
| Product name | : | L-Glutamine |
| Product Number Brand | : | G8540 Sigma |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA |
| Telephone | : | +1 800-325-5832 |
| Fax | : | +1 800-325-5052 |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance according to GHS.

| HMIS | Classification |
|------|----------------|
|------|----------------|

| Health hazard: | 0 |
|-----------------------|---|
| Flammability : | 0 |
| Physical hazards: | 0 |
| NFPA Rating | |

| Health hazard: | 0 |
|--------------------|---|
| Fire: | 0 |
| Reactivity Hazard: | 0 |

Potential Health Effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |
| Ingestion | May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Synonyms | : | (S)-2,5-Diamino-5-oxopentanoic acid L-Glutamic acid 5-amide |
|-----------------------------|---|---|
| Formula Molecular Weight | | C ₅ H ₁₀ N ₂ O ₃ C ₅ H ₁₀ N ₂ O ₃ 146.14 g/mol |

No ingredients are hazardous according to OSHA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, nitrogen oxides (NOx)

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid dust formation. Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

Keep in a dry place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the

specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| | Form | powder |
|----|---|--|
| | Colour | white |
| Sa | afety data | |
| | рН | 5.0 - 6 at 14.6 g/l at 25 °C (77 °F) |
| | Melting point/freezing point | Melting point/range: 185 °C (365 °F) |
| | Boiling point | no data available |
| | Flash point | no data available |
| | Ignition temperature | no data available |
| | Autoignition temperature | no data available |
| | Lower explosion limit | no data available |
| | Upper explosion limit | no data available |
| | Vapour pressure | no data available |
| | Density | no data available |
| | Water solubility | 14.6 g/l at 20 $^\circ\text{C}$ (68 $^\circ\text{F})$ - completely soluble |
| | Partition coefficient: n-octanol/water | log Pow: -4.609 |
| | Relative vapour density | no data available |
| | Odour | no data available |
| | Odour Threshold | no data available |
| | Evaporation rate | no data available |
| | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions no data available

Conditions to avoid no data available

Materials to avoid Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, nitrogen oxides (NOx) Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 7,500 mg/kg

Inhalation LC50 no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

Skin corrosion/irritation no data available

Serious eye damage/eye irritation no data available

Respiratory or skin sensitization no data available

Germ cell mutagenicity

no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects

no data available

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential no data available

Mobility in soil no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG

Not dangerous goods

ΙΑΤΑ

Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

Pennsylvania Right To Know Components

| L-Glutamine | CAS-No. 56-85-9 | Revision Date |
|-------------------------------------|--------------------|---------------|
| New Jersey Right To Know Components | | Devision Data |
| L-Glutamine | CAS-No. 56-85-9 | Revision Date |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

Copyright 2012 Sigma-Aldrich Co. LLC. License granted to make unlimited paper copies for internal use only. The above information is believed to be correct but does not purport to be all inclusive and shall be used only as a guide. The information in this document is based on the present state of our knowledge and is applicable to the product with regard to appropriate safety precautions. It does not represent any guarantee of the properties of the product. Sigma-Aldrich Corporation and its Affiliates shall not be held liable for any damage resulting from handling or from contact with the above product. See www.sigma-aldrich.com and/or the reverse side of invoice or packing slip for additional terms and conditions of sale.

SIGMA-ALDRICH

Material Safety Data Sheet

Version 4.3 Revision Date 12/31/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | |
|--|---|---|
| Product name | : | Sodium bicarbonate |
| Product Number Brand | : | S8875 Sigma-Aldrich |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA |
| Telephone | : | +1 800-325-5832 |
| Fax | : | +1 800-325-5052 |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance or mixture according to the Globally Harmonised System (GHS).

| Health hazard: | 0 |
|-------------------|---|
| Flammability: | 0 |
| Physical hazards: | 0 |
| IEDA Boting | |

NFPA Rating

| Health hazard: | 0 |
|--------------------|---|
| Fire: | 0 |
| Reactivity Hazard: | 0 |

Potential Health Effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |
| Ingestion | May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Synonyms | : Sodium hydrogen carbonate |
|-----------------------------|---------------------------------------|
| Formula Molecular Weight | : CHNaO ₃ : 84.01 g/mol |
| Woleedidi Welgin | . 04.01 g/mol |

No ingredients are hazardous according to OHSA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid dust formation. Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Full contact Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: 480 min Material tested:Dermatril® (KCL 740 / Aldrich Z677272, Size M)

Splash protection Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: 480 min Material tested:Dermatril® (KCL 740 / Aldrich Z677272, Size M)

data source: KCL GmbH, D-36124 Eichenzell, phone +49 (0)6659 87300, e-mail sales@kcl.de, test method: EN374 If used in solution, or mixed with other substances, and under conditions which differ from EN 374, contact the supplier of the CE approved gloves. This recommendation is advisory only and must be evaluated by an Industrial Hygienist familiar with the specific situation of anticipated use by our customers. It should not be construed as offering an approval for any specific use scenario.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| Form | crystalline | |
|---|-------------------|--|
| Colour | no data available | |
| Safety data | | |
| рН | no data available | |
| Melting point/freezing point | 300 °C (572 °F) | |
| Boiling point | no data available | |
| Flash point | no data available | |
| Ignition temperature | no data available | |
| Auto-ignition temperature | no data available | |
| Lower explosion limit | no data available | |
| Upper explosion limit | no data available | |
| Vapour pressure | no data available | |
| Density | 2.160 g/cm3 | |
| Water solubility | 50 g/l | |
| Partition coefficient: n-octanol/water | no data available | |
| Relative vapor density | no data available | |
| Odour | no data available | |
| Odour Threshold | no data available | |
| Evaporation rate | no data available | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid Exposure to moisture.

Materials to avoid Strong acids, Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 4,220 mg/kg

Inhalation LC50 no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

Skin corrosion/irritation Skin - Human - Mild skin irritation - 3 d

Serious eye damage/eye irritation Eyes - rabbit - Mild eye irritation - 30 s

Respiratory or skin sensitization no data available

Germ cell mutagenicity

no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

Exposure to large amounts can cause:, Gastrointestinal disturbance, Heavy or prolonged skin exposure may result in the absorption of harmful amounts of material.

Synergistic effects no data available

Additional Information

RTECS: VZ0950000

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential no data available

Mobility in soil no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG Not dangerous goods

IATA Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

| Pennsylvania Right To Know Components | | |
|---------------------------------------|----------|---------------|
| | CAS-No. | Revision Date |
| Sodium hydrogencarbonate | 144-55-8 | |
| New Jersey Right To Know Components | | |
| | CAS-No. | Revision Date |
| Sodium hydrogencarbonate | 144-55-8 | |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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GMA-ALDRIC

3050 Spruce Street, Saint Louis, MO 63103, USA Website: www.sigmaaldrich.com Email USA: techserv@sial.com Outside USA: eurtechserv@sial.com

Product Specification

Product Name: Kolliphor® P 188 - solid, suitable for cell culture, suitable for insect cell culture, suitable for plant cell culture

н 0 0 0 0 0

Product Number:

CAS Number:

K4894 9003-11-6

Formula:

(C3H6O.C2H4O)x

| TEST | Specification | |
|---|----------------|--|
| Appearance (Color) | White | |
| Appearance (Form) Prill/Cast Solid | Conforms | |
| Solubility (Color) | Colorless | |
| Solubility (Turbidity) | Clear | |
| 100 mg/ml H2O | | |
| Cloud Point | ≥ 100 °C | |
| 10% Solution | | |
| Brookfield Viscosity | 700 - 1300 cps | |
| at 77 deg C | | |
| рН | 5.0 - 7.5 | |
| 2.5% solution | | |
| Water Content | ≤ 0.75 % | |
| COLOR TEST (APHA) | ≤ 100 | |
| (50:50 in MeOH) | | |
| Cell Culture Test | Pass | |
| Insect Cell Test | Pass | |
| Plant Cell Culture Test | Pass | |
| Note | | |
| Kolliphor is a registered trademark of BASF | | |
| Recommended Retest Period | | |
| 2 years | | |

Specification: PRD.3.ZQ5.10000023427

Sigma-Aldrich warrants, that at the time of the quality release or subsequent retest date this product conformed to the information contained in this publication. The current Specification sheet may be available at Sigma-Aldrich.com. For further inquiries, please contact Technical Service. Purchaser must determine the suitability of the product for its particular use. See reverse side of invoice or packing slip for additional terms and conditions of sale.

SIGMA-ALDRICH

Material Safety Data Sheet

Version 4.2 Revision Date 05/08/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | | |
|--|---|---|--|--|
| Product name | : | Pluronic® F-68 | | |
| Product Number Brand | : | P1300 Sigma | | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | | |
| Telephone | : | +1 800-325-5832 | | |
| Fax | : | +1 800-325-5052 | | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

GHS Classification Skin irritation (Category 3)

GHS Label elements, including precautionary statements

| Pictogram | none | |
|---|--|--|
| Signal word | Warning | |
| Hazard statement(s) H316 | Causes mild skin irritation. | |
| Precautionary statement(s) | none | |
| HMIS Classification Health hazard: Flammability: Physical hazards: | 1 0 0 | |
| NFPA Rating Health hazard: Fire: Reactivity Hazard: | 1 0 0 | |
| Potential Health Effects | | |
| Inhalation Skin Eyes Ingestion | May be harmful if inhaled. May cause respiratory tract irritation. May be harmful if absorbed through skin. May cause skin irritation. May cause eye irritation. May be harmful if swallowed. | |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Synonyms | : Polyoxyethylene-polyoxypropylene block copolymer |
|----------|--|
|----------|--|

Formula : (C3H6O.C2H4O)x

No ingredients are hazardous according to OSHA criteria.

4. FIRST AID MEASURES

General advice

Consult a physician. Show this safety data sheet to the doctor in attendance.

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration. Consult a physician.

In case of skin contact

Wash off with soap and plenty of water. Consult a physician.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water. Consult a physician.

5. FIREFIGHTING MEASURES

Conditions of flammability Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Use personal protective equipment. Avoid dust formation. Avoid breathing vapors, mist or gas. Ensure adequate ventilation. Avoid breathing dust.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Pick up and arrange disposal without creating dust. Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Eye protection

Safety glasses with side-shields conforming to EN166 Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

Handle in accordance with good industrial hygiene and safety practice. Wash hands before breaks and at the end of workday.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| Appearance | | | | |
|---|-------------------|--|--|--|
| Form | solid | | | |
| Colour | colourless | | | |
| Safety data | | | | |
| рН | 6.0 - 7 | | | |
| Melting point/freezing point | no data available | | | |
| Boiling point | no data available | | | |
| Flash point | no data available | | | |
| Ignition temperature | no data available | | | |
| Autoignition temperature | no data available | | | |
| Lower explosion limit | no data available | | | |
| Upper explosion limit | no data available | | | |
| Vapour pressure | no data available | | | |
| Density | no data available | | | |
| Water solubility | no data available | | | |
| Partition coefficient: n-octanol/water | no data available | | | |
| Relative vapour density | no data available | | | |
| Odour | no data available | | | |
| Odour Threshold | no data available | | | |
| Evaporation rate | no data available | | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions no data available

Conditions to avoid no data available

Materials to avoid Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 9,380 mg/kg

LD50 Oral - mouse - 15,000 mg/kg

Inhalation LC50 no data available

Dermal LD50

LD50 Dermal - rabbit - 20,000 mg/kg

Other information on acute toxicity no data available

Skin corrosion/irritation Skin - rabbit - Mild skin irritation - 24 h

Serious eye damage/eye irritation Eyes - rabbit - Mild eye irritation - 24 h

Eyes - rabbit - No eye irritation - OECD Test Guideline 405

Respiratory or skin sensitization

rabbit - Did not cause sensitization on laboratory animals.

Germ cell mutagenicity

Tests on bacterial or mammalian cell cultures did not show mutagenic effects.

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

Effects due to ingestion may include:, Diarrhoea, Weakness, To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects

no data available

Additional Information

RTECS: MD0911050

12. ECOLOGICAL INFORMATION

Toxicity

Toxicity to fish static test LC50 - other fish - > 10,000 mg/l - 96 h Method: OECD Test Guideline 203

Persistence and degradability

no data available

Bioaccumulative potential Bioaccumulation is unlikely.

Mobility in soil no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG Not dangerous goods

IATA Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

| Pennsylvania Right To Know Components | | |
|---------------------------------------|----------------------|---------------|
| Polyethylene glycol, propoxylated | CAS-No. 9003-11-6 | Revision Date |
| New Jersey Right To Know Components | | |
| Polyethylene glycol, propoxylated | CAS-No. 9003-11-6 | Revision Date |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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SIGMA-ALDRICH

Material Safety Data Sheet

Version 4.9 Revision Date 02/19/2013 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | | |
|--|---|---|--|--|
| Product name | : | Triton™ X-100 | | |
| Product Number Brand | : | X100 Sigma-Aldrich | | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | | |
| Telephone | : | +1 800-325-5832 | | |
| Fax | : | +1 800-325-5052 | | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

Harmful by ingestion., Irritant

GHS Classification

Acute toxicity, Oral (Category 4) Skin irritation (Category 3) Eye irritation (Category 2A) Acute aquatic toxicity (Category 2) Chronic aquatic toxicity (Category 2)

GHS Label elements, including precautionary statements

Pictogram



| Signal word | Warning |
|---------------------------|--|
| Hazard statement(s) | |
| H302 | Harmful if swallowed. |
| H316 | Causes mild skin irritation. |
| H319 | Causes serious eye irritation. |
| H411 | Toxic to aquatic life with long lasting effects. |
| Precautionary statement(s |) |
| P273 | Avoid release to the environment. |
| P305 + P351 + P338 | IF IN EYES: Rinse cautiously with water for several minutes. Remove contact lenses, if present and easy to do. Continue rinsing. |
| HMIS Classification | |
| Health hazard: | 2 |
| Flammability: | 1 |
| Physical hazards: | 0 |
| NFPA Rating | |
| Health hazard: | 2 |

| Fire: Reactivity Hazard: | 1 0 | | | |
|---|---|--|--|--|
| Health hazard: Fire: Reactivity Hazard: | 2 1 0 | | | |
| Potential Health Effects | | | | |
| Inhalation Skin Eyes Ingestion | May be harmful if inhaled. Causes respiratory tract irritation. Harmful if absorbed through skin. Causes skin irritation. Causes eye irritation. Harmful if swallowed. | | | |
| 3. COMPOSITION/INFORMATION ON INGREDIENTS | | | | |
| Synonyms | t-Octylphenoxypolyethoxyethanol 4-(1,1,3,3-Tetramethylbutyl)phenyl-polyethylene glycol Polyethylene glycol tert-octylphenyl ether | | | |
| Formula | : (C2H4O)nC14H22O | | | |

| Component | | Concentration |
|------------------------|----------------------|---------------|
| p-tertiary-Octylphenox | ky polyethyl alcohol | |
| CAS-No. | 9002-93-1 | 90 - 100 % |

4. FIRST AID MEASURES

General advice

Consult a physician. Show this safety data sheet to the doctor in attendance. Move out of dangerous area.

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration. Consult a physician.

In case of skin contact

Wash off with soap and plenty of water. Consult a physician.

In case of eye contact

Rinse thoroughly with plenty of water for at least 15 minutes and consult a physician.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water. Consult a physician.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Use personal protective equipment. Avoid breathing vapours, mist or gas. Ensure adequate ventilation.

Environmental precautions

Prevent further leakage or spillage if safe to do so. Do not let product enter drains. Discharge into the environment must be avoided.

Methods and materials for containment and cleaning up

Soak up with inert absorbent material and dispose of as hazardous waste. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Avoid contact with skin and eyes. Avoid inhalation of vapour or mist.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place. Containers which are opened must be carefully resealed and kept upright to prevent leakage.

Packaged under inert gas.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Where risk assessment shows air-purifying respirators are appropriate use a full-face respirator with multi-purpose combination (US) or type ABEK (EN 14387) respirator cartridges as a backup to engineering controls. If the respirator is the sole means of protection, use a full-face supplied air respirator. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Full contact Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: 480 min Material tested:Dermatril® (KCL 740 / Aldrich Z677272, Size M)

Splash contact Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: 480 min Material tested:Dermatril® (KCL 740 / Aldrich Z677272, Size M)

data source: KCL GmbH, D-36124 Eichenzell, phone +49 (0)6659 87300, e-mail sales@kcl.de, test method: EN374 If used in solution, or mixed with other substances, and under conditions which differ from EN 374, contact the supplier of the CE approved gloves. This recommendation is advisory only and must be evaluated by an industrial hygienist and safety officer familiar with the specific situation of anticipated use by our customers. It should not be construed as offering an approval for any specific use scenario.

Eye protection

Face shield and safety glasses Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Complete suit protecting against chemicals, The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

Handle in accordance with good industrial hygiene and safety practice. Wash hands before breaks and at the end of workday.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

Form

liquid, clear

| | Colour | light yellow | | | |
|----|---|---|--|--|--|
| Sa | Safety data | | | | |
| | рН | 9.7 | | | |
| | Melting point/freezing point | 6 °C (43 °F) | | | |
| | Boiling point | > 200 °C (> 392 °F) | | | |
| | Flash point | 251 °C (484 °F) - closed cup | | | |
| | Ignition temperature | no data available | | | |
| | Auto-ignition temperature | no data available | | | |
| | Lower explosion limit | no data available | | | |
| | Upper explosion limit | no data available | | | |
| | Vapour pressure | < 1.33 hPa (< 1.00 mmHg) at 20 °C (68 °F) | | | |
| | Density | 1.0700 g/cm3 | | | |
| | Water solubility | soluble | | | |
| | Partition coefficient: n-octanol/water | no data available | | | |
| | Relative vapour density | no data available | | | |
| | Odour | no data available | | | |
| | Odour Threshold | no data available | | | |
| | Evapouration rate | no data available | | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions no data available

Conditions to avoid

no data available Materials to avoid

Strong acids, Strong bases, Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 1,800 mg/kg

Inhalation LC50 no data available

Dermal LD50 LD50 Dermal - rabbit - 8,000 mg/kg

Other information on acute toxicity no data available

Skin corrosion/irritation

no data available

Serious eye damage/eye irritation Eyes - rabbit - Moderate eye irritation - 24 h

Respiratory or skin sensitisation no data available

Germ cell mutagenicity

no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard no data available

Potential health effects

| Inhalation | May be harmful if inhaled. Causes respiratory tract irritation. |
|------------|---|
| Ingestion | Harmful if swallowed. |
| Skin | Harmful if absorbed through skin. Causes skin irritation. |
| Eyes | Causes eye irritation. |

Signs and Symptoms of Exposure To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects no data available

Additional Information

RTECS: MD0907700

12. ECOLOGICAL INFORMATION

Toxicity

Toxicity to fish LC50 - Pimephales promelas (fathead minnow) - 8.9 mg/l - 96.0 h

Toxicity to daphnia EC50 - Daphnia - 26 mg/l - 48 h and other aquatic invertebrates

Persistence and degradability

Biodegradability

Biotic/Aerobic Biochemical oxygen demand Result: 36 % - Not readily biodegradable. Method: Closed Bottle test

Bioaccumulative potential no data available

Mobility in soil no data available

PBT and vPvB assessment

no data available

Other adverse effects

Chemical Oxygen 2.19 mg/g Demand (COD)

An environmental hazard cannot be excluded in the event of unprofessional handling or disposal.

Toxic to aquatic life with long lasting effects.

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company. Contact a licensed professional waste disposal service to dispose of this material.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US)

UN number: 3082 Class: 9 Packing group: III Proper shipping name: Environmentally hazardous substances, liquid, n.o.s. (p-tertiary-Octylphenoxy polyethyl alcohol) Reportable Quantity (RQ): Marine pollutant: Marine pollutant Poison Inhalation Hazard: No

IMDG

UN number: 3082 Class: 9 Packing group: III EMS-No: F-A, S-F Proper shipping name: ENVIRONMENTALLY HAZARDOUS SUBSTANCE, LIQUID, N.O.S. (p-tertiary-Octylphenoxy polyethyl alcohol) Marine pollutant: No

ΙΑΤΑ

UN number: 3082 Class: 9 Packing group: III Proper shipping name: Environmentally hazardous substance, liquid, n.o.s. (p-tertiary-Octylphenoxy polyethyl alcohol)

15. REGULATORY INFORMATION

OSHA Hazards

Harmful by ingestion., Irritant

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

Acute Health Hazard

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

| Pennsylvania Right To Know Components | | |
|---|----------------------|---------------|
| p-tertiary-Octylphenoxy polyethyl alcohol | CAS-No. 9002-93-1 | Revision Date |
| New Jersey Right To Know Components | CAS-No. | Revision Date |
| p-tertiary-Octylphenoxy polyethyl alcohol | 9002-93-1 | Revision Date |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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SAFETY DATA SHEET

1. Identification of the substance/mixture and of the company/undertaking

Identification of the substance/preparation

| Product code | 11300027 |
|--------------|--|
| Product name | Grace's Insect Cell Culture Medium, powder |

Company/Undertaking Identification

Life Technologies 5791 VAN ALLEN WAY PO BOX 6482 CARLSBAD, CA 92008 +1 760 603 7200 INVITROGEN CORPORATION 5250 MAINWAY DRIVE BURLINGTON, ONT CANADA L7L 6A4 800/263-6236

24 hour Emergency Response (Transport):

866-536-0631 301-431-8585 Outside of the U.S. +1-301-431-8585

For research use only. Not intended for human or animal diagnostic or therapeutic uses.

GHS - Classification

Signal Word not hazardous

Health Hazard not hazardous

Physical Hazards not hazardous

| Principle Routes of Exposure/ Potential Health effects | |
|---|--|
| Eyes | May cause eye irritation with susceptible persons. |
| Skin | May cause skin irritation in susceptible persons. |
| Inhalation | May be harmful by inhalation. |
| Ingestion | May be harmful if swallowed. |
| Specific effects | |
| Carcinogenic effects | none |
| Mutagenic effects | none |
| Reproductive toxicity | none |
| Sensitization | none |
| Target Organ Effects | No known effects under normal use conditions. |

HMIS

| Health | 0 |
|--------------|---|
| Flammability | 0 |
| Reactivity | 0 |

3. Composition/information on ingredients

The product contains no substances which at their given concentration, are considered to be hazardous to health. We recommend handling all chemicals with caution.

4. First aid measures

Revision Date01-May-2012Product code11300027

| Skin contact Eye contact | Rinse with plenty of water. If symptoms arise, call a physician. Rinse immediately with plenty of water, also under the eyelids, for at least 15 minutes. If symptoms persist, call a physician. | |
|-----------------------------|--|--|
| Ingestion | Never give anything by mouth to an unconscious person. If symptoms persist, call a physician. Do not induce vomiting without medical advice. | |
| Inhalation | Move to fresh air. If symptoms persist, call a physician. If not breathing, give artificial respiration. | |
| Notes to physician | Treat symptomatically. | |
| 5. Fire-fighting measures | | |

Suitable extinguishing media Special protective equipment for firefighters

Water spray. Carbon dioxide (CO2). Foam. Dry chemical. Wear self-contained breathing apparatus and protective suit.

6. Accidental release measures

| Personal precautions | Use personal protective equipment. |
|-------------------------|--|
| Methods for cleaning up | Take up mechanically and collect in suitable container for disposal. |

Environmental precautions

Prevent further leakage or spillage if safe to do so.

See Section 12 for additional information.

7. Handling and storage

| Handling | Always wear reccommended Personal Protective Equipment. No special handling advice required. |
|----------|--|
| Storage | Keep in a dry, cool and well-ventilated place. |

8. Exposure controls/personal protection

Exposure limits

The product does not contain any hazardous materials with occupational exposure limits established.

Engineering measures Ensure adequate ventilation, especially in confined areas.

Personal protective equipment

| Respiratory protection | In case of insufficient ventilation wear suitable respiratory equipment. |
|--|---|
| Hand protection Eye protection Skin and body protection. Hygiene measures | Impervious gloves. Safety glasses with side-shields. Lightweight protective clothing. Handle in accordance with good industrial hygiene and safety practice. |
| Environmental exposure controls | Prevent product from entering drains. |

9. Physical and chemical properties

General Information

- Form Appearance Odor Boiling Point/Range Melting point/range Flash point Autoignition temperature Oxidizing properties Water solubility
- powder No information available No information available °C no data available °C no data available °C no data available °C no data available No information available. no data available
- °F no data available
- °F no data available
- **°F** no data available
- °F no data available

10. Stability and reactivity

| Stability | Stable under normal conditions. |
|----------------------------|---|
| Materials to avoid | No dangerous reaction known under conditions of normal use. |
| Hazardous decomposition | None under normal use |
| products polymerization | Hazardous polymerisation does not occur. |

11. Toxicological information

Acute toxicity

not hazardous

| Principle Routes of Exposure/ | |
|-------------------------------|--|
| Potential Health effects | |
| Eyes | May cause eye irritation with susceptible persons. |
| Skin | May cause skin irritation in susceptible persons. |
| Inhalation | May be harmful by inhalation. |
| Ingestion | May be harmful if swallowed. |
| Carcinogenic effects | none |
| Mutagenic effects | none |
| Reproductive toxicity | none |
| Sensitization | none |
| Target Organ Effects | No known effects under normal use conditions. |

12. Ecological information

Ecotoxicity effects Mobility Biodegradation Bioaccumulation No information available. No information available. Inherently biodegradable Does not bioaccumulate.

13. Disposal considerations

Dispose of in accordance with local regulations.

14. Transport information

ΙΑΤΑ

Proper shipping nameNot classified as dangerous in the meaning of transport regulationsHazard classnoneSubsidiary ClassnonePacking groupnoneUN-NoNone

15. Regulatory information

U.S. Federal Regulations

SARA 313

This product is not regulated by SARA.

Clean Air Act, Section 112 Hazardous Air Pollutants (HAPs) (see 40 CFR 61) This product does not contains HAPs.

U.S. State Regulations

California Proposition 65

This product does not contain chemicals listed under Proposition 65

WHMIS hazard class:

Non-controlled

This product has been classified according to the hazard criteria of the CPR and the MSDS contains all of the information required by the CPR

16. Other information

Reason for Revision

(M)SDS sections updated.

For research use only. Not intended for human or animal diagnostic or therapeutic uses.

The above information was acquired by diligent search and/or investigation and the recommendations are based on prudent application of professional judgment. The information shall not be taken as being all inclusive and is to be used only as a guide. All materials and mixtures may present unkown hazards and should be used with caution. Since the Company cannot control the actual methods, volumes, or conditions of use, the Company shall not be held liable for any damages or losses resulting from the handling or from contact with the product as described herein. THE INFORMATION IN THIS MSDS DOES NOT CONSTITUTE A WARRENTY, EXPRESSED OR IMPLIED, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR ANY PARTICULAR PUPOSE.

End of Safety Data Sheet

| MATERIAL SAFETY DATA SHEET | Page | 1 of 8 |
|--|---------|----------|
| | Revised | 1/14/04 |
| GRACE'S INSECT CELL CULTURE MEDIUM, POWDER | | 11/17/03 |
| INVITROGEN CORPORATION | | 1/15/04 |
| MSDS ID: 11300 | | |

1. PRODUCT AND COMPANY INFORMATION

| INVITROGE | N CC | ORPORATION |
|------------|------|------------|
| 1600 FARAI | DAY | AVE. |
| CARLSBAD, | CA | 92008 |
| 760/603-72 | 200 | |

GIBCO PRODUCTS INVITROGEN CORPORATION 3175 STALEY ROAD P.O. BOX 68 GRAND ISLAND, NY 14072 716/774-6700

INVITROGEN CORPORATIONINVITROGEN CORPORATION3 FOUNTAIN DR.P.O. BOX 12-502INCHINNAN BUSINESS PARKPENROSEPAISLEY, PA4 9RFAUCKLAND 1135SCOTLANDNEW ZEALAND44-141 814-610064-9-579-3024

INVITROGEN CORPORATIONINVITROGEN AUSTRALIA PTY LIMITED2270 INDUSTRIAL ST.2A/14 LIONEL ROADBURLINGTON, ONTMOUNT WAVERLY VIC 3149CANADA L7P 1A1AUSTRALIA905/335-22551-800-331-627

EMERGENCY NUMBER (SPILLS, EXPOSURES): 301/431-8585 (24 HOUR) 800/451-8346 (24 HOUR) 800/955-6288

Product Name: GRACE'S INSECT CELL CULTURE MEDIUM, POWDER Stock Number: 11300

NOTE: If this product is a kit or is supplied with more than one material, please refer to the MSDS for each component for hazard information.

Product Use: These products are for laboratory research use only and are not intended for human or animal diagnostics, therapeutic, or other clinical uses.

Synonyms: Not available.

2. COMPOSITION, INFORMATION ON INGREDIENTS

The following list shows components of this product classified as hazardous based on physical properties and health effects:

| Component | CAS No. | Percent |
|-------------|---------|-----------|
| L-GLUTAMINE | 56-85-9 | 0.5 - 1.5 |

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| MSDS ID: 11300 | |

HAZARDS IDENTIFICATION 3.

Occupational exposure presents little or no health hazard.

Potential Health Effects:

Eve:

Can cause minor irritation, tearing and reddening.

Skin:

Can cause minor skin irritation, defatting, and dermatitis.

Inhalation: Can cause minor respiratory irritation, dizziness, weakness, fatigue, nausea, and headache. No toxicity expected from inhalation.

Ingestion: Mildly irritating to mouth, throat, and stomach. Can cause abdominal discomfort.

Chronic: No data on cancer.

FIRST AID MEASURES 4.

Eye:

Use an eye wash to remove a chemical from your eye regardless of the level of hazard. Flush the affected eye for at least twenty minutes. Tilt the head to prevent chemical from transferring to the uncontaminated eye. Seek medical advice after flushing.

Skin:

Wash with soap and water. Get medical attention if irritation develops or persists.

Inhalation: Remove to fresh air. If breathing is difficult, have a trained individual administer oxygen.

Ingestion: Minimal risk of harm if swallowed. Do not induce vomiting. Seek medical attention immediately. Provide medical care provider with this MSDS.

Note To Physician: Treat symptomatically.

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| MSDS ID: 11300 | | |

5. FIRE FIGHTING MEASURES

Flashpoint Deg C:

| riubhpoine beg e. | Not available. |
|---------------------------------|----------------|
| Upper Flammable Limit %: | |
| | Not available. |
| Lower Flammable Limit %: | |
| Autoignition Momporphyre Dog C. | Not available. |
| Autoignition Temperature Deg C: | Not available. |

Extinguishing Media:

Use alcohol resistant foam, carbon dioxide, or dry chemical when fighting fires. Water or foam may cause frothing if liquid is burning but it still may be a useful extinguishing agent if carefully applied to the surface of the fire. Do Not direct a stream of water into the hot burning liquid. Use water spray/fog for cooling.

Firefighting Techniques/Equipment:

Do not enter fire area without proper protection including self-contained breathing apparatus and full protective equipment. Fight fire from a safe distance and a protected location due to the potential of hazardous vapors and decomposition products.

Hazardous Combustion Products: Includes carbon dioxide, carbon monoxide, dense smoke.

6. ACCIDENTAL RELEASE MEASURES

Accidental releases may be subject to special reporting requirements and other regulatory mandates. Refer to Section 8 for personal protection equipment recommendations.

Spill Cleanup: No health affects expected from the clean-up of this material if contact can be avoided. Follow personal protective equipment recommendations found in Section VIII of this MSDS. No special spill clean-up considerations. Collect and discard in regular trash.

7. HANDLING AND STORAGE

Storage of some materials is regulated by federal, state, and/or local laws.

Storage Pressure: Ambient

MATERIAL SAFETY DATA SHEET

GRACE'S INSECT CELL CULTURE MEDIUM, POWDER INVITROGEN CORPORATION MSDS ID: 11300 Revised 1/14/04 Replaces 11/17/03 Printed 1/15/04

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7. HANDLING AND STORAGE (CONT.)

Handling Procedures: Mildly irritating material. Avoid unnecessary exposure. Keep closed or covered when not in use.

Storage Procedures: Store in a cool dry place. Isolate from incompatible materials. Suitable for most general chemical storage areas.

8. EXPOSURE CONTROLS, PERSONAL PROTECTION

Exposure Limits:

ComponentOSHA PELAGCIH TWAL-GLUTAMINE(ppm)(ppm)Not established.Not established.

Engineering Controls: No exposure limits exist for the constituents of this product. General room ventilation might be required to maintain operator comfort under normal conditions of use.

Personal Protective Equipment:

Eye: Safety glasses should be the minimum eye protection. Wear chemical goggles.

Skin:

Not normally considered a skin hazard. Where use can result in skin contact, practice good personal hygiene and wear a barrier cream and/or impervious surgical style gloves. Wash hands and other exposed areas with mild soap and water before eating, drinking, and when leaving work. Gloves should be used as minimum hand protection.

Respiratory: No respiratory protection will be needed under normal industrial operating conditions.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance/physical state: Odor:

Powder. No odor. Not established. Not established. Not established.

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9. PHYSICAL AND CHEMICAL PROPERTIES (CONT.)

Not established. Not established.

10. STABILITY AND REACTIVITY

Stability: Stable under normal conditions.

Conditions to Avoid: Strong oxidizing agents.

Hazardous Decomposition Products: Carbon monoxide. Carbon dioxide. Toxic gases. Nitrogen oxides. Hydrogen chloride.

Hazardous Polymerization: Hazardous polymerization will not occur.

11. TOXICOLOGICAL INFORMATION

Acute Toxicity:

Dermal/Skin: Not determined.

Inhalation/Respiratory: Not determined.

Oral/Ingestion: L-GLUTAMINE: 7500 MG/KG

Target Organs: No data found.

Carcinogenicity:

NTP: Not tested.

IARC: Not listed.

MATERIAL SAFETY DATA SHEET

GRACE'S INSECT CELL CULTURE MEDIUM, POWDER INVITROGEN CORPORATION MSDS ID: 11300 Revised 1/14/04 Replaces 11/17/03 Printed 1/15/04

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11. TOXICOLOGICAL INFORMATION (CONT.)

OSHA:

Not regulated.

Other Toxicological Information

12. Ecological Information

Ecotoxicological Information: No ecological information available.

Environmental Fate (Degradation, Transformation, and Persistence): Bioconcentration is not expected to occur.

13. DISPOSAL CONSIDERATIONS

Regulatory Information: Not applicable.

Disposal Method: Clean up and dispose of waste in accordance with all federal, state, and local environmental regulations.

14. TRANSPORT INFORMATION

Proper Shipping Name: Not Determined. Subsidiary Hazards:

15. REGULATORY INFORMATION

UNITED STATES:

TSCA:

This product is solely for research and development purposes only and may not be used, processed or distributed for a commercial purpose. It may only be handled by technically qualified individuals.

Prop 65 Listed Chemicals:PROP 65PERCENTNo Prop 65 Chemicals.PROP 65PERCENT

No 313 Chemicals

CANADA:

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15. REGULATORY INFORMATION (CONT.)

DSL/NDSL: Not determined.

COMPONENT L-GLUTAMINE WHMIS Classification Not classified as hazardous.

EUROPEAN UNION:

| PRODUCT RISK PHRASES: | None assigned. |
|-------------------------|-----------------------------|
| PRODUCT SAFETY PHRASES: | 2 |
| PRODUCT CLASSIFICATION: | Not applicable. |
| | Not classified as hazardous |

Component L-GLUTAMINE EINECS Number 200-292-1

16. OTHER INFORMATION

HMIS Rating 0-4: Not determined. FIRE: HEALTH: Not determined. REACTIVITY: Not determined. Abbreviations N/A - Data is not applicable or not available SARA - Superfund and Reauthorization Act HMIS - Hazard Material Information System WHMIS - Workplace Hazard Materials Information System NTP - National Toxicology Program OSHA - Occupational Health and Safety Administration IARC - International Agency for Research on Cancer PROP 65 - California Safe Drinking Water and Toxic Enforcement Act of 1986 EINECS - European Inventory of Existing Commercial Chemical Substances

The above information was acquired by diligent search and/or investigation and the recommendations are based on prudent application of professional judgment. The information shall not be taken as being all inclusive and is to be used only as a guide. All materials and mixtures may present unknown hazards and should be used with caution. Since Invitrogen Corporation cannot control the actual methods, volumes, or conditions of use, the Company shall not be held liable for any damages or losses resulting from the handling or from contact with the product as described herein. THE INFORMATION IN THIS

| MATERIAL SAFETY DATA SHEET | Page 8 of 8 |
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| MSDS ID: 11300 | |

16. OTHER INFORMATION (CONT.)

MSDS DOES NOT CONSTITUTE A WARRANTY, EXPRESS OR IMPLIED, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR ANY PARTICULAR PURPOSE.

SIGMA-ALDRICH

Material Safety Data Sheet

Version 5.0 Revision Date 12/03/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | |
|--|---|---|--|
| Product name | : | Tributyl phosphate | |
| Product Number Brand | : | 240494 Aldrich | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | |
| Telephone | : | +1 800-325-5832 | |
| Fax | | +1 800-325-5052 | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

Target Organ Effect, Harmful by ingestion., Irritant, Carcinogen

Target Organs

Blood, Central nervous system

GHS Classification

Acute toxicity, Inhalation (Category 4) Acute toxicity, Dermal (Category 5) Acute toxicity, Oral (Category 4) Skin irritation (Category 2) Eye irritation (Category 2B) Carcinogenicity (Category 2) Acute aquatic toxicity (Category 2)

GHS Label elements, including precautionary statements

Pictogram



Signal word

Warning

| Hazard statement(s) | |
|---------------------|--------------------------------------|
| H302 + H332 | Harmful if swallowed or if inhaled |
| H313 | May be harmful in contact with skin. |
| H315 + H320 | Causes skin and eye irritation. |
| H351 | Suspected of causing cancer. |
| H401 | Toxic to aquatic life. |
| | |

Precautionary statement(s) P281 P305 + P351 + P338

Use personal protective equipment as required. IF IN EYES: Rinse cautiously with water for several minutes. Remove contact lenses, if present and easy to do. Continue rinsing.

| HMIS Classification | |
|------------------------|---|
| Health hazard: | 2 |
| Chronic Health Hazard: | * |
| Flammability: | 1 |
| Physical hazards: | 0 |
| NFPA Rating | |
| Health hazard: | 3 |
| Fire: | 1 |
| Reactivity Hazard: | 0 |

Potential Health Effects

| Inhalation | May be harmful if inhaled. Causes respiratory tract irritation. |
|------------|---|
| Skin | Harmful if absorbed through skin. Causes skin irritation. |
| Eyes | Causes eye irritation. |
| Ingestion | Harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Formula Molecular Weight | : C ₁₂ H ₂₇ O ₄ P : 266.31 g/mol | |
|-----------------------------|--|---------------|
| Component | | Concentration |
| Tributyl phospha | te | |
| CAS-No. | 126-73-8 | - |
| EC-No. | 204-800-2 | |
| Index-No. | 015-014-00-2 | |
| | | |

4. FIRST AID MEASURES

General advice

Consult a physician. Show this safety data sheet to the doctor in attendance. Move out of dangerous area.

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration. Consult a physician.

In case of skin contact

Wash off with soap and plenty of water. Consult a physician.

In case of eye contact

Rinse thoroughly with plenty of water for at least 15 minutes and consult a physician.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water. Consult a physician.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Oxides of phosphorus

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Use personal protective equipment. Avoid breathing vapors, mist or gas. Ensure adequate ventilation. Evacuate personnel to safe areas.

Environmental precautions

Prevent further leakage or spillage if safe to do so. Do not let product enter drains. Discharge into the environment must be avoided.

Methods and materials for containment and cleaning up

Soak up with inert absorbent material and dispose of as hazardous waste. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Avoid contact with skin and eyes. Avoid inhalation of vapour or mist.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place. Containers which are opened must be carefully resealed and kept upright to prevent leakage.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Components with workplace control parameters

| Components | CAS-No. | Value | Control parameters | Basis |
|--------------------|----------|-----------|----------------------|---|
| Tributyl phosphate | 126-73-8 | TWA | 0.2 ppm | USA. ACGIH Threshold Limit Values (TLV) |
| Remarks | | posure In | | leadache Nausea Substances for which there is a BEI® section), see BEI® for Acetylcholinesterase |
| | | TWA | 0.2 ppm 2.5 mg/m3 | USA. OSHA - TABLE Z-1 Limits for Air Contaminants - 1910.1000 |
| | | TWA | 5 mg/m3 | USA. Occupational Exposure Limits (OSHA) - Table Z-1 Limits for Air Contaminants |
| | | TWA | 0.2 ppm 2.5 mg/m3 | USA. NIOSH Recommended Exposure Limits |

Personal protective equipment

Respiratory protection

Where risk assessment shows air-purifying respirators are appropriate use a full-face respirator with multi-purpose combination (US) or type ABEK (EN 14387) respirator cartridges as a backup to engineering controls. If the respirator is the sole means of protection, use a full-face supplied air respirator. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Full contact Material: butyl-rubber Minimum layer thickness: 0.3 mm Break through time: 480 min Material tested:Butoject® (KCL 897 / Aldrich Z677647, Size M)

Splash protection Material: butyl-rubber Minimum layer thickness: 0.3 mm Break through time: 480 min Material tested:Butoject® (KCL 897 / Aldrich Z677647, Size M)

data source: KCL GmbH, D-36124 Eichenzell, phone +49 (0)6659 87300, e-mail sales@kcl.de, test method: EN374

If used in solution, or mixed with other substances, and under conditions which differ from EN 374, contact the supplier of the CE approved gloves. This recommendation is advisory only and must be evaluated by an Industrial Hygienist familiar with the specific situation of anticipated use by our customers. It should not be construed as offering an approval for any specific use scenario.

Eye protection

Face shield and safety glasses Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Complete suit protecting against chemicals, The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

Handle in accordance with good industrial hygiene and safety practice. Wash hands before breaks and at the end of workday.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| | Form | liquid |
|----|---|--|
| | Colour | colourless |
| Sa | afety data | |
| | рН | no data available |
| | Melting point/freezing point | Melting point/range: -79 °C (-110 °F) - lit. |
| | Boiling point | 180 - 183 °C (356 - 361 °F) at 29 hPa (22 mmHg) - lit. |
| | Flash point | 145 °C (293 °F) - closed cup |
| | Ignition temperature | 410 °C (770 °F) |
| | Auto-ignition temperature | no data available |
| | Lower explosion limit | no data available |
| | Upper explosion limit | no data available |
| | Vapour pressure | 36 hPa (27 mmHg) at 178 °C (352 °F) 9.7 hPa (7.3 mmHg) at 150 °C (302 °F) |
| | Density | 0.979 g/cm3 at 25 °C (77 °F) |
| | Water solubility | no data available |
| | Partition coefficient: n-octanol/water | no data available |
| | Relative vapor density | 9.19 - (Air = 1.0) |
| | Odour | no data available |
| | Odour Threshold | no data available |
| | Evaporation rate | no data available |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid

no data available

Materials to avoid Strong oxidizing agents, Strong bases

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Oxides of phosphorus Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 1,552 mg/kg

Inhalation LC50 LC50 Inhalation - rat - 1 h - 28,000 mg/m3

Dermal LD50

LD50 Dermal - rabbit - > 3,100 mg/kg

Other information on acute toxicity no data available

Skin corrosion/irritation Skin - rabbit - Mild skin irritation

Serious eye damage/eye irritation Eyes - rabbit - Mild eye irritation

Respiratory or skin sensitization no data available

Germ cell mutagenicity

no data available

Carcinogenicity

Carcinogenicity - rat - Oral Tumorigenic:Neoplastic by RTECS criteria. Kidney, Ureter, Bladder:Tumors.

Carcinogenicity - mouse - Oral Tumorigenic:Neoplastic by RTECS criteria. Liver:Tumors.

Limited evidence of carcinogenicity in animal studies

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

Reproductive toxicity - rat - Oral Effects on Newborn: Growth statistics (e.g., reduced weight gain).

no data available

Teratogenicity

Developmental Toxicity - rat - Oral Effects on Embryo or Fetus: Fetotoxicity (except death, e.g., stunted fetus).

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. Causes respiratory tract irritation. |
|------------|---|
| Ingestion | Harmful if swallowed. |
| Skin | Harmful if absorbed through skin. Causes skin irritation. |
| Eyes | Causes eye irritation. |

Signs and Symptoms of Exposure

CNS stimulation., To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects

no data available

Additional Information RTECS: TC7700000

12. ECOLOGICAL INFORMATION

Toxicity

| Toxicity to fish | LC50 - Carassius auratus (goldfish) - 8.8 mg/l - 96 h |
|---|--|
| Toxicity to daphnia and other aquatic invertebrates | EC50 - Daphnia magna (Water flea) - 3.6 mg/l - 48 h |
| Toxicity to algae | EC50 - Desmodesmus subspicatus (green algae) - 1.1 mg/l - 72 h |

Persistence and degradability

Bioaccumulative potential

Bioaccumulation Oryzias latipes - 38 d Bioconcentration factor (BCF): 21 - 35

Mobility in soil no data available

PBT and vPvB assessment

no data available

Other adverse effects

An environmental hazard cannot be excluded in the event of unprofessional handling or disposal.

Toxic to aquatic life.

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company. Contact a licensed professional waste disposal service to dispose of this material. Dissolve or mix the material with a combustible solvent and burn in a chemical incinerator equipped with an afterburner and scrubber.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US)

Not dangerous goods

IMDG

Not dangerous goods

ΙΑΤΑ

Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

Target Organ Effect, Harmful by ingestion., Irritant, Carcinogen

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

Acute Health Hazard, Chronic Health Hazard

Massachusetts Right To Know Components

| Tributyl phosphate | CAS-No. 126-73-8 | Revision Date 1993-04-24 |
|---------------------------------------|---------------------|--------------------------|
| | 120-7-0-0 | 1000-04-24 |
| Pennsylvania Right To Know Components | | |
| | CAS-No. | Revision Date |
| Tributyl phosphate | 126-73-8 | 1993-04-24 |
| New Jersey Right To Know Components | | |
| | CAS-No. | Revision Date |
| Tributyl phosphate | 126-73-8 | 1993-04-24 |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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SIGMA-ALDRICH

Material Safety Data Sheet

Version 5.0 Revision Date 12/03/2012 Print Date 03/31/2013

| 1. PRODUCT AND COMPANY II | DENT | IFICATION | |
|--|------|---|--|
| Product name | : | Tributyl phosphate | |
| Product Number Brand | : | 240494 Aldrich | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | |
| Telephone | : | +1 800-325-5832 | |
| Fax | | +1 800-325-5052 | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

Target Organ Effect, Harmful by ingestion., Irritant, Carcinogen

Target Organs

Blood, Central nervous system

GHS Classification

Acute toxicity, Inhalation (Category 4) Acute toxicity, Dermal (Category 5) Acute toxicity, Oral (Category 4) Skin irritation (Category 2) Eye irritation (Category 2B) Carcinogenicity (Category 2) Acute aquatic toxicity (Category 2)

GHS Label elements, including precautionary statements

Pictogram



Signal word

Warning

| Hazard statement(s) | |
|---------------------|--------------------------------------|
| H302 + H332 | Harmful if swallowed or if inhaled |
| H313 | May be harmful in contact with skin. |
| H315 + H320 | Causes skin and eye irritation. |
| H351 | Suspected of causing cancer. |
| H401 | Toxic to aquatic life. |
| | |

Precautionary statement(s) P281 P305 + P351 + P338

Use personal protective equipment as required. IF IN EYES: Rinse cautiously with water for several minutes. Remove contact lenses, if present and easy to do. Continue rinsing.

| HMIS Classification | |
|------------------------|---|
| Health hazard: | 2 |
| Chronic Health Hazard: | * |
| Flammability: | 1 |
| Physical hazards: | 0 |
| NFPA Rating | |
| Health hazard: | 3 |
| Fire: | 1 |
| Reactivity Hazard: | 0 |

Potential Health Effects

| Inhalation | May be harmful if inhaled. Causes respiratory tract irritation. |
|------------|---|
| Skin | Harmful if absorbed through skin. Causes skin irritation. |
| Eyes | Causes eye irritation. |
| Ingestion | Harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Formula Molecular Weight | : C ₁₂ H ₂₇ O ₄ P : 266.31 g/mol | |
|-----------------------------|--|---------------|
| Component | | Concentration |
| Tributyl phospha | te | |
| CAS-No. | 126-73-8 | - |
| EC-No. | 204-800-2 | |
| Index-No. | 015-014-00-2 | |
| | | |

4. FIRST AID MEASURES

General advice

Consult a physician. Show this safety data sheet to the doctor in attendance. Move out of dangerous area.

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration. Consult a physician.

In case of skin contact

Wash off with soap and plenty of water. Consult a physician.

In case of eye contact

Rinse thoroughly with plenty of water for at least 15 minutes and consult a physician.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water. Consult a physician.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Oxides of phosphorus

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Use personal protective equipment. Avoid breathing vapors, mist or gas. Ensure adequate ventilation. Evacuate personnel to safe areas.

Environmental precautions

Prevent further leakage or spillage if safe to do so. Do not let product enter drains. Discharge into the environment must be avoided.

Methods and materials for containment and cleaning up

Soak up with inert absorbent material and dispose of as hazardous waste. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Avoid contact with skin and eyes. Avoid inhalation of vapour or mist.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place. Containers which are opened must be carefully resealed and kept upright to prevent leakage.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Components with workplace control parameters

| Components | CAS-No. | Value | Control parameters | Basis | |
|--------------------|---------------|--|----------------------|---|--|
| Tributyl phosphate | 126-73-8 | TWA | 0.2 ppm | USA. ACGIH Threshold Limit Values (TLV) | |
| Remarks | Biological Ex | Eye & Upper Respiratory Tract irritation Headache Nausea Substances for which there is a Biological Exposure Index or Indices (see BEI® section), see BEI® for Acetylcholinesterase Inhibiting Pesticide | | | |
| | | TWA | 0.2 ppm 2.5 mg/m3 | USA. OSHA - TABLE Z-1 Limits for Air Contaminants - 1910.1000 | |
| | | TWA | 5 mg/m3 | USA. Occupational Exposure Limits (OSHA) - Table Z-1 Limits for Air Contaminants | |
| | | TWA | 0.2 ppm 2.5 mg/m3 | USA. NIOSH Recommended Exposure Limits | |

Personal protective equipment

Respiratory protection

Where risk assessment shows air-purifying respirators are appropriate use a full-face respirator with multi-purpose combination (US) or type ABEK (EN 14387) respirator cartridges as a backup to engineering controls. If the respirator is the sole means of protection, use a full-face supplied air respirator. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Full contact Material: butyl-rubber Minimum layer thickness: 0.3 mm Break through time: 480 min Material tested:Butoject® (KCL 897 / Aldrich Z677647, Size M)

Splash protection Material: butyl-rubber Minimum layer thickness: 0.3 mm Break through time: 480 min Material tested:Butoject® (KCL 897 / Aldrich Z677647, Size M)

data source: KCL GmbH, D-36124 Eichenzell, phone +49 (0)6659 87300, e-mail sales@kcl.de, test method: EN374

If used in solution, or mixed with other substances, and under conditions which differ from EN 374, contact the supplier of the CE approved gloves. This recommendation is advisory only and must be evaluated by an Industrial Hygienist familiar with the specific situation of anticipated use by our customers. It should not be construed as offering an approval for any specific use scenario.

Eye protection

Face shield and safety glasses Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Complete suit protecting against chemicals, The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

Handle in accordance with good industrial hygiene and safety practice. Wash hands before breaks and at the end of workday.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| | Form | liquid |
|----|---|--|
| | Colour | colourless |
| Sa | afety data | |
| | рН | no data available |
| | Melting point/freezing point | Melting point/range: -79 °C (-110 °F) - lit. |
| | Boiling point | 180 - 183 °C (356 - 361 °F) at 29 hPa (22 mmHg) - lit. |
| | Flash point | 145 °C (293 °F) - closed cup |
| | Ignition temperature | 410 °C (770 °F) |
| | Auto-ignition temperature | no data available |
| | Lower explosion limit | no data available |
| | Upper explosion limit | no data available |
| | Vapour pressure | 36 hPa (27 mmHg) at 178 °C (352 °F) 9.7 hPa (7.3 mmHg) at 150 °C (302 °F) |
| | Density | 0.979 g/cm3 at 25 °C (77 °F) |
| | Water solubility | no data available |
| | Partition coefficient: n-octanol/water | no data available |
| | Relative vapor density | 9.19 - (Air = 1.0) |
| | Odour | no data available |
| | Odour Threshold | no data available |
| | Evaporation rate | no data available |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid

no data available

Materials to avoid Strong oxidizing agents, Strong bases

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Oxides of phosphorus Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 1,552 mg/kg

Inhalation LC50 LC50 Inhalation - rat - 1 h - 28,000 mg/m3

Dermal LD50

LD50 Dermal - rabbit - > 3,100 mg/kg

Other information on acute toxicity no data available

Skin corrosion/irritation Skin - rabbit - Mild skin irritation

Serious eye damage/eye irritation Eyes - rabbit - Mild eye irritation

Respiratory or skin sensitization no data available

Germ cell mutagenicity

no data available

Carcinogenicity

Carcinogenicity - rat - Oral Tumorigenic:Neoplastic by RTECS criteria. Kidney, Ureter, Bladder:Tumors.

Carcinogenicity - mouse - Oral Tumorigenic:Neoplastic by RTECS criteria. Liver:Tumors.

Limited evidence of carcinogenicity in animal studies

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

Reproductive toxicity - rat - Oral Effects on Newborn: Growth statistics (e.g., reduced weight gain).

no data available

Teratogenicity

Developmental Toxicity - rat - Oral Effects on Embryo or Fetus: Fetotoxicity (except death, e.g., stunted fetus).

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. Causes respiratory tract irritation. |
|------------|---|
| Ingestion | Harmful if swallowed. |
| Skin | Harmful if absorbed through skin. Causes skin irritation. |
| Eyes | Causes eye irritation. |

Signs and Symptoms of Exposure

CNS stimulation., To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects

no data available

Additional Information RTECS: TC7700000

12. ECOLOGICAL INFORMATION

Toxicity

| Toxicity to fish | LC50 - Carassius auratus (goldfish) - 8.8 mg/l - 96 h |
|---|--|
| Toxicity to daphnia and other aquatic invertebrates | EC50 - Daphnia magna (Water flea) - 3.6 mg/l - 48 h |
| Toxicity to algae | EC50 - Desmodesmus subspicatus (green algae) - 1.1 mg/l - 72 h |

Persistence and degradability

Bioaccumulative potential

Bioaccumulation Oryzias latipes - 38 d Bioconcentration factor (BCF): 21 - 35

Mobility in soil no data available

PBT and vPvB assessment

no data available

Other adverse effects

An environmental hazard cannot be excluded in the event of unprofessional handling or disposal.

Toxic to aquatic life.

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company. Contact a licensed professional waste disposal service to dispose of this material. Dissolve or mix the material with a combustible solvent and burn in a chemical incinerator equipped with an afterburner and scrubber.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US)

Not dangerous goods

IMDG

Not dangerous goods

ΙΑΤΑ

Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

Target Organ Effect, Harmful by ingestion., Irritant, Carcinogen

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

Acute Health Hazard, Chronic Health Hazard

Massachusetts Right To Know Components

| Tributyl phosphate | CAS-No. 126-73-8 | Revision Date 1993-04-24 |
|---------------------------------------|---------------------|--------------------------|
| | 120-7-0-0 | 1000-04-24 |
| Pennsylvania Right To Know Components | | |
| | CAS-No. | Revision Date |
| Tributyl phosphate | 126-73-8 | 1993-04-24 |
| New Jersey Right To Know Components | | |
| | CAS-No. | Revision Date |
| Tributyl phosphate | 126-73-8 | 1993-04-24 |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

Copyright 2012 Sigma-Aldrich Co. LLC. License granted to make unlimited paper copies for internal use only. The above information is believed to be correct but does not purport to be all inclusive and shall be used only as a guide. The information in this document is based on the present state of our knowledge and is applicable to the product with regard to appropriate safety precautions. It does not represent any guarantee of the properties of the product. Sigma-Aldrich Corporation and its Affiliates shall not be held liable for any damage resulting from handling or from contact with the above product. See www.sigma-aldrich.com and/or the reverse side of invoice or packing slip for additional terms and conditions of sale.

(Encpeth)

Section 1. Chemical Product and Company Identification

| Product Name | Product Code | | |
|--|----------------------------------|--|--|
| Formalin Solution 10% Neutral Buff. pH 7.0 | 28600 | | |
| Manufacturer's Name | Emergency Telephone Number | | |
| StatLab Medical Products, Inc. | 800-424-9300 | | |
| Address (Number, Street, City, State, and ZIP Code | Telephone Number for Information | | |
| 407 Interchange st. | 800-442-3573 x 2 | | |
| | Date Preparec | | |
| McKinney Tx 75071 | 10/14/2003 (rev 10/20/05) | | |
| | Signature of Preparer (optional | | |
| | | | |

Section 2. Composition/Information on Ingredients

| | | | Other Limits | |
|------------|---|---|---|--|
| CAS # | OSHA PEL | ACGIH TLV | Recommended | Percent |
| 50-00-0 | 0.75 ppm | C 0.3 mg/m^3 | | 3-4 |
| 67-56-1 | 200 ppm | 250 ppm | | 1-1.5 |
| 10049-21-5 | N/A | N/A | | <1 |
| | | | | |
| 7558-79-4 | N/A | N/A | | <1 |
| 7732-18-5 | N/A | N/A | | Balance |
| | 50-00-0 67-56-1 10049-21-5 7558-79-4 | 50-00-0 0.75 ppm 67-56-1 200 ppm 10049-21-5 N/A | 50-00-0 0.75 ppm C 0.3 mg/m³ 67-56-1 200 ppm 250 ppm 10049-21-5 N/A N/A 7558-79-4 N/A N/A | CAS # OSHA PEL ACGIH TLV Recommended 50-00-0 0.75 ppm C 0.3 mg/m³ 67-56-1 200 ppm 250 ppm 10049-21-5 N/A N/A N/A 7558-79-4 N/A N/A |

Section 3. Hazards Identification

Emergency Overview

Contains Formaldehyde, a suspected carcinogen. Irritating to the eyes, respiratory system and skin. May cause sensitization by inhalation or skin contact. May be fatal if swallowed. If ingested, dilute with water, induce vomiting then call a physician. Wash areas of contact with water. If inhaled, remove to

fresh air.

| Eyes, skin, respiratory system. Causes irritation, redness and pain. May cause irritation, redness and pain. Frequent or prolonged hypersensitivity leading to contact dermatitis. |
|---|
| May cause irritation, redness and pain. Frequent or prolonged |
| |
| hypersensitivity leading to contact dermatitis. |
| |
| May cause severe abdominal pain, vomiting, headache and diarrhea. |
| Causes irritation of respiratory tract. Symptoms may include |
| ing and shortness of breath. |
| IARC-Formaldehyde is probably carcinogenic. NTP-Formaldehyde |
| cipated to be a carcinogen. OSHA-Yes (Formaldehyde) |
| Mutation data cited in "Registry of Toxic Effects of Chemical |
| naldehyde. |
| Reproductive effects cited in "Registry of Toxic Effects |
| nces" on Formaldehyde. |
| |
| i |

Material Safety Data Sheet

Product Name Formalin Solution 10% Neutral Buff. pH 7.0

Section 4. First Aid Measures

| Еуе | Irrigate immediately with large quantity of water for at |
|-----------------------------|--|
| least 15 minutes. | |
| Skin | Flush with water for at least 15 minutes. |
| | |
| Ingestion | Dilute immediately with water or milk. Induce vomiting. |
| Call a physician. | |
| Inhalation | Remove to fresh air. Give artificial respiration if necessary. |
| All Other Means of Exposure | CONTACT POISON CONTROL CENTER IMMEDIATELY. Be prepared to |
| provide hazardous | ingredient information from Section 2. |

| Section 5. Fire Fighting Measures | | | | | |
|-----------------------------------|--------------|------------|-------------|---------------------------------|--|
| Flammable Properties | Flash Point | N/A | Method | N/A | |
| Flammable Limits | Lower | N/A | Upper | N/A | |
| Autoignition Temperature | | | | | |
| Hazardous Combustion Products | | | | | |
| | | | | | |
| Extinguishing Media | Use any me | ans suit | able for ex | tinguishing the surrounding | |
| fire. (Water spray, d | ry chemical, | , alcohol | foam, or | carbon dioxide.) | |
| Fire & Explosion Hazards | Not consid | lered to 1 | oe a fire d | or explosion hazard. | |
| | | | | | |
| Fire Fighting Instructions | Use normal | procedu | res/instru | ctions. | |
| | | | | | |
| Fire Fighting Equipment | Use protec | tive clo | thing and b | preathing equipment appropriate | |
| for the surrounding fi | re. | | | | |

Section 6. Accidental Release Measures

| Ventilate area of leak or spill. Cover spill with 1:1:1 mixture of Sodium Carbonate, clay |
|---|
| cat litter and sand. Scoop into container and transport to fume hood. Add the |
| mixture to cold water (about 10 mL water for each 1 mL of Formaldehyde solution). |
| Slowly add household bleach (2.5 mL bleach for each 1 mL of Formaldehyde solution). |
| Allow to stand for 20 minutes. Decant liquid to drain. Flush with water. Treat |
| solid residue as normal refuse. |

Section 7. Handling and Storage

| Handling/Storage | As with all chemicals, wash hands thoroughly after handling. | | | |
|-----------------------------|--|--|--|--|
| Avoid contact with eyes | . Protect from freezing and physical damage. Use with | | | |
| adequate ventilation. | Store at controlled room temperature, 15-30°C. | | | |
| SAFETY STORAGE CODE: HEALTH | | | | |



28600

Material Safety Data Sheet

Product Name Formalin Solution 10% Neutral Buf: Product Code

| (Ancpeth)* |
|------------|
| (ranchean) |

28600

| Engineering Controls | Use of a fume hood | is recommend | ed. | | | | |
|-----------------------------|--------------------------|--|-----------|-----------------------------|---------|--|--|
| Respiratory Protection | If the exposure lev | If the exposure level is exceeded, wear a full facepiece | | | | | |
| respirator equippe | d with a formaldehyde ca | rtridge. | | | | | |
| Skin Protection | Gloves | | | | | | |
| Eye Protection | Safety glasses or o | Safety glasses or goggles. | | | | | |
| Permissible Exposure Levels | s (see also Section 2) | | | | | | |
| Component | CAS # | OSHA PEL | ACGIH TLV | Other Limits Recommended | Percent | | |
| | | | | | | | |

| Methyl Alcohol | 67-56-1 | 200 ppm | 250 ppm | 1-1.5 |
|----------------------------|------------|---------|---------|---------|
| Sodium Phosphate Monobasic | 10049-21-5 | N/A | N/A | <1 |
| Monohydrate | | | | |
| Sodium Phosphate Dibasic | 7558-79-4 | N/A | N/A | <1 |
| Water, Deionized | 7732-18-5 | N/A | N/A | Balance |

| | approx. 100°C N/A Infinite | Specific Gravity (H2O = 1) Melting Point Evaporation rate (Butyl Acetate = 1) Physical State Other | approx 1.02 approx 0°C | |
|--|---|--|---------------------------------|--|
| Vapor Density (AIR = 1) Solubility in Water Appearance and Odor Clear, colorless/pungen | Infinite | Evaporation rate (Butyl Acetate = 1) Physical State | | |
| Solubility in Water Appearance and Odor Clear, colorless/pungen | | (Butyl Acetate = 1) Physical State | | |
| Appearance and Odor Clear, colorless/pungen | | | | |
| Clear, colorless/pungen | | | | |
| Clear, colorless/pungen | nt odor | Other | | |
| | nt odor | Other | | |
| | ar, colorless/pungent odor pH: 7.0 | | | |
| Section 10. Stability and Reactivity | | | | |
| Chemical Stability | Stable under normal conditions of use and storage. | | | |
| Incompatibility | Strong oxidizers, strong alkalies, acids, phenol, urea. | | | |
| Hazardous Decomposition Products | May form Carbon Dioxide, Carbon Monoxide and | | | |
| Formaldehyde when heated to decom | nposition. | | | |
| Hazardous Polymerization | Nonhazardous polymerization may occur, forming | | | |
| paraformaldehyde, a white solid. | | | | |

Section 11. Toxological Information

| LD_{50} , Oral, Rat: | (Formaldehyde) 100 m | ng/kg; LĐ ₀ , Oral, Rat: | (Sodium Phosphate |
|------------------------|-----------------------|-------------------------------------|----------------------|
| Diabasic) 17,000 |) mg/kg; Details of t | oxic effects not report | ed other than lethal |
| dose value. | | | |

Material Safety Data Sheet

Product Name Formalin Solution 10% Neutral Buf: Product Code

Section 12. Ecological Information

Ecotoxicological Information: Formaldehyde is expected to be slightly toxic

to aquatic life.

Chemical Fate Information: Formaldehyde is expected to readily biodegrade when

released into water.

Section 13. Disposal Considerations

Whatever cannot be saved for recovery or recycling should be disposed of in a RCRA approved waste disposal facility. Dispose of in accordance with local,

state, and federal regulations.

Section 14. Transport Information

| GROUND SHIPMENTS: | Not regulated | |
|-------------------|----------------------------------|---------------------------|
| AIR SHIPMENTS: | Aviation Regulated Liquid n.o.s. | (formaldehyde), 9, UN3334 |

NOTE: It is ultimately the shippers responsibility to make hazard class

determination based on their best information available.

Section 15. Regulatory Information

OSHA Status: This item meets the OSHA Hazard Communication Standard (29 CFR 1910.1200) definition of a hazardous material.

TSCA Status: All components of this solution are listed on the TSCA Inventory.

CERCLA Reportable Quantity: Formaldehyde, RQ 100 pounds.

SARA TITLE III: Section 302 Extremely Hazardous Substances: Formaldehyde TPQ 500 pounds

Section 311/312 Hazardous Categories: No

Section 313 Toxic Chemicals: Formaldehyde, 0.1% De Minimus concentration

RCRA Status: No

California Proposition 65: No listed (Formaldehyde gas is listed)

Florida: Formaldehyde is listed on the state Toxic Substances List.

Pennsylvania: Formaldehyde is listed as an environmental and special hazard on the

Hazardous Substances List.

Section 16. Other Information

| NFPA Ratings: | Health: 2 | 2 Flammability | y: 2 Reactivity: | 0 Special Not | ice Key: None |
|---------------|-----------|----------------|-------------------|---------------|---------------|
| HMIS® Ratings | : Health: | 4 Flammabilit | ty: 2 Reactivity: | 0 Protective | Equipment: C |

(protective eyewear and gloves)

When handled properly by qualified personnel, the product described herein does not present a significant health or safety hazard. Alteration to its characteristics by concentration, evaporation, addition of other substances, or other means may present hazards not specifically addressed herein and which must be evaluated by the user. The information furnished herein is believed to be accurate and represents the best data currently available to us. No warranty, expressed or implied, is made and STATLAB MEDICAL PRODUCTS, INC. assumes no legal responsibility or liability whatsoever resulting from its use.



28600





| Health | 0 |
|------------------------|---|
| Fire | 2 |
| Reactivity | 0 |
| Personal Protection | H |
| | |

Material Safety Data Sheet beta-Propiolactone MSDS

Section 1: Chemical Product and Company Identification

Product Name: beta-Propiolactone

Catalog Codes: SLP4486

CAS#: 57-57-8

RTECS: RQ7350000

TSCA: TSCA 8(b) inventory: beta-Propiolactone

Cl#: Not available.

Synonym: 2-Oxetanone

Chemical Formula: C3H4O2

Contact Information:

Sciencelab.com, Inc. 14025 Smith Rd. Houston, Texas 77396

US Sales: **1-800-901-7247** International Sales: **1-281-441-4400**

Order Online: ScienceLab.com

CHEMTREC (24HR Emergency Telephone), call: 1-800-424-9300

International CHEMTREC, call: 1-703-527-3887

For non-emergency assistance, call: 1-281-441-4400

Section 2: Composition and Information on Ingredients

Composition:

| Name | CAS # | % by Weight |
|----------------------|---------|-------------|
| {beta-}Propiolactone | 57-57-8 | 100 |

Toxicological Data on Ingredients: beta-Propiolactone: VAPOR (LC50): Acute: 25 ppm 4 hour(s) [Rat].

Section 3: Hazards Identification

Potential Acute Health Effects:

Very hazardous in case of ingestion, of inhalation. Hazardous in case of skin contact (irritant), of eye contact (irritant). Slightly hazardous in case of skin contact (permeator). Corrosive to skin and eyes on contact. Liquid or spray mist may produce tissue damage particularly on mucous membranes of eyes, mouth and respiratory tract. Skin contact may produce burns. Inhalation of the spray mist may produce severe irritation of respiratory tract, characterized by coughing, choking, or shortness of breath. Severe over-exposure can result in death.

Potential Chronic Health Effects:

CARCINOGENIC EFFECTS: Classified + (PROVEN) by OSHA. Classified 2B (Possible for human.) by IARC. Classified A2 (Suspected for human.) by ACGIH, 2 (Reasonably anticipated.) by NTP. MUTAGENIC EFFECTS: Not available. TERATOGENIC EFFECTS: Not available. DEVELOPMENTAL TOXICITY: Not available. The substance is toxic to kidneys, lungs, liver, digestive system. Repeated or prolonged exposure to the substance can produce target organs damage. Repeated or prolonged contact with spray mist may produce chronic eye irritation and severe skin irritation. Repeated or prolonged exposure to spray mist may produce respiratory tract irritation leading to frequent attacks of bronchial infection. Repeated exposure to an highly toxic material may produce general deterioration of health by an accumulation in one or many human organs.

Section 4: First Aid Measures

Eye Contact:

Check for and remove any contact lenses. Immediately flush eyes with running water for at least 15 minutes, keeping eyelids open. Cold water may be used. Do not use an eye ointment. Seek medical attention.

Skin Contact:

If the chemical got onto the clothed portion of the body, remove the contaminated clothes as quickly as possible, protecting your own hands and body. Place the victim under a deluge shower. If the chemical got on the victim's exposed skin, such as the hands : Gently and thoroughly wash the contaminated skin with running water and non-abrasive soap. Be particularly careful to clean folds, crevices, creases and groin. Cold water may be used. If irritation persists, seek medical attention. Wash contaminated clothing before reusing.

Serious Skin Contact:

Wash with a disinfectant soap and cover the contaminated skin with an anti-bacterial cream. Seek immediate medical attention.

Inhalation: Allow the victim to rest in a well ventilated area. Seek immediate medical attention.

Serious Inhalation:

Evacuate the victim to a safe area as soon as possible. Loosen tight clothing such as a collar, tie, belt or waistband. If breathing is difficult, administer oxygen. If the victim is not breathing, perform mouth-to-mouth resuscitation. WARNING: It may be hazardous to the person providing aid to give mouth-to-mouth resuscitation when the inhaled material is toxic, infectious or corrosive. Seek immediate medical attention.

Ingestion:

Do not induce vomiting. Examine the lips and mouth to ascertain whether the tissues are damaged, a possible indication that the toxic material was ingested; the absence of such signs, however, is not conclusive. Loosen tight clothing such as a collar, tie, belt or waistband. If the victim is not breathing, perform mouth-to-mouth resuscitation. Seek immediate medical attention.

Serious Ingestion: Not available.

Section 5: Fire and Explosion Data

Flammability of the Product: Combustible.

Auto-Ignition Temperature: Not available.

Flash Points: OPEN CUP: 70°C (158°F).

Flammable Limits: LOWER: 2.9%

Products of Combustion: These products are carbon oxides (CO, CO2).

Fire Hazards in Presence of Various Substances: Flammable in presence of heat.

Explosion Hazards in Presence of Various Substances:

Risks of explosion of the product in presence of mechanical impact: Not available. Risks of explosion of the product in presence of static discharge: Not available.

Fire Fighting Media and Instructions:

SMALL FIRE: Use DRY chemical powder. LARGE FIRE: Use water spray, fog or foam. Do not use water jet.

Special Remarks on Fire Hazards: Not available.

Special Remarks on Explosion Hazards: Not available.

Section 6: Accidental Release Measures

Small Spill:

Dilute with water and mop up, or absorb with an inert dry material and place in an appropriate waste disposal container.

Large Spill:

Combustible material. Corrosive liquid. Keep away from heat. Keep away from sources of ignition. Stop leak if without risk. Absorb with DRY earth, sand or other non-combustible material. Do not get water inside container. Do not touch spilled material. Use water spray curtain to divert vapor drift. Prevent entry into sewers, basements or confined areas; dike if needed. Eliminate all ignition sources. Call for assistance on disposal. Be careful that the product is not present at a concentration level above TLV. Check TLV on the MSDS and with local authorities.

Section 7: Handling and Storage

Precautions:

Keep locked up Keep container dry. Keep away from heat. Keep away from sources of ignition. Ground all equipment containing material. Do not ingest. Do not breathe gas/fumes/ vapour/spray. Never add water to this product Wear suitable protective clothing In case of insufficient ventilation, wear suitable respiratory equipment If ingested, seek medical advice immediately and show the container or the label. Avoid contact with skin and eyes

Storage:

Flammable materials should be stored in a separate safety storage cabinet or room. Keep away from heat. Keep away from sources of ignition. Keep container tightly closed. Keep in a cool, well-ventilated place. Ground all equipment containing material. Keep container dry. Keep in a cool place.

Section 8: Exposure Controls/Personal Protection

Engineering Controls:

Provide exhaust ventilation or other engineering controls to keep the airborne concentrations of vapors below their respective threshold limit value. Ensure that eyewash stations and safety showers are proximal to the work-station location.

Personal Protection:

Splash goggles. Lab coat. Vapor respirator. Be sure to use an approved/certified respirator or equivalent. Gloves.

Personal Protection in Case of a Large Spill:

Splash goggles. Full suit. Vapor respirator. Boots. Gloves. A self contained breathing apparatus should be used to avoid inhalation of the product. Suggested protective clothing might not be sufficient; consult a specialist BEFORE handling this product.

Exposure Limits:

TWA: 0.5 (ppm) TWA: 1.5 (mg/m3) Consult local authorities for acceptable exposure limits.

Section 9: Physical and Chemical Properties

Physical state and appearance: Liquid.

Odor: Pungent.

Taste: Not available.

Molecular Weight: 72.06 g/mole

Color: Colorless.

pH (1% soln/water): Not available.

Boiling Point: Decomposes. (155°C or 311°F)

Melting Point: -33.4°C (-28.1°F)

Critical Temperature: Not available.

Specific Gravity: 1.48 (Water = 1)

Vapor Pressure: 3.4 mm of Hg (@ 20°C)

Vapor Density: 2.5 (Air = 1)

Volatility: Not available.

Odor Threshold: Not available.

Water/Oil Dist. Coeff .: Not available.

lonicity (in Water): Not available.

Dispersion Properties: See solubility in water.

Solubility: Soluble in cold water.

Section 10: Stability and Reactivity Data

Stability: The product is stable.

Instability Temperature: Not available.

Conditions of Instability: Not available.

Incompatibility with various substances: Not available.

Corrosivity: Non-corrosive in presence of glass.

Special Remarks on Reactivity: Not available.

Special Remarks on Corrosivity: Not available.

Polymerization: Yes.

Section 11: Toxicological Information

Routes of Entry: Eye contact. Inhalation. Ingestion.

Toxicity to Animals:

WARNING: THE LC50 VALUES HEREUNDER ARE ESTIMATED ON THE BASIS OF A 4-HOUR EXPOSURE. Acute toxicity of the vapor (LC50): 25 ppm 4 hour(s) [Rat].

Chronic Effects on Humans:

CARCINOGENIC EFFECTS: Classified + (PROVEN) by OSHA. Classified 2B (Possible for human.) by IARC. Classified A2 (Suspected for human.) by ACGIH, 2 (Reasonably anticipated.) by NTP. The substance is toxic to kidneys, lungs, liver, digestive system.

Other Toxic Effects on Humans:

Very hazardous in case of ingestion, of inhalation. Hazardous in case of skin contact (irritant). Slightly hazardous in case of skin contact (permeator).

Special Remarks on Toxicity to Animals: Not available.

Special Remarks on Chronic Effects on Humans: Not available.

Special Remarks on other Toxic Effects on Humans: Not available.

Section 12: Ecological Information

Ecotoxicity: Not available.

BOD5 and COD: Not available.

Products of Biodegradation:

Possibly hazardous short term degradation products are not likely. However, long term degradation products may arise.

Toxicity of the Products of Biodegradation: The products of degradation are more toxic.

Special Remarks on the Products of Biodegradation: Not available.

Section 13: Disposal Considerations

Waste Disposal:

Section 14: Transport Information

DOT Classification: CLASS 6.1: Poisonous material.

Identification: : Toxic liquids n.o.s. : UN2810 PG: Not available.

Special Provisions for Transport: Not available.

Section 15: Other Regulatory Information

Federal and State Regulations:

California prop. 65: This product contains the following ingredients for which the State of California has found to cause cancer, birth defects or other reproductive harm, which would require a warning under the statute: beta-Propiolactone California prop. 65: This product contains the following ingredients for which the State of California has found to cause cancer which would require a warning under the statute: beta-Propiolactone Pennsylvania RTK: beta-Propiolactone Florida: beta-Propiolactone Minnesota: beta-Propiolactone Massachusetts RTK: beta-Propiolactone New Jersey: beta-Propiolactone TSCA 8(b) inventory: beta-Propiolactone SARA 313 toxic chemical notification and release reporting: beta-Propiolactone CERCLA: Hazardous substances.: beta-Propiolactone

Other Regulations: OSHA: Hazardous by definition of Hazard Communication Standard (29 CFR 1910.1200).

Other Classifications:

WHMIS (Canada):

CLASS B-3: Combustible liquid with a flash point between 37.8°C (100°F) and 93.3°C (200°F). CLASS D-1A: Material causing immediate and serious toxic effects (VERY TOXIC). CLASS D-2A: Material causing other toxic effects (VERY TOXIC). CLASS E: Corrosive liquid.

DSCL (EEC):

R26- Very toxic by inhalation. R36/38- Irritating to eyes and skin. R45- May cause cancer.

HMIS (U.S.A.):

Health Hazard: 0

Fire Hazard: 2

Reactivity: 0

Personal Protection: h

National Fire Protection Association (U.S.A.):

Health: 0

Flammability: 2

Reactivity: 0

Specific hazard:

Protective Equipment:

Gloves. Lab coat. Vapor respirator. Be sure to use an approved/certified respirator or equivalent. Wear appropriate respirator when ventilation is inadequate. Splash goggles.

Section 16: Other Information

References: Not available.

Other Special Considerations: Not available.

Created: 10/09/2005 06:12 PM

Last Updated: 06/09/2012 12:00 PM

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SIGMA-ALDRICH

Material Safety Data Sheet

Version 5.2 Revision Date 11/05/2012 Print Date 02/11/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | | |
|--|---|---|--|--|
| Product name | : | Sodium pyruvate | | |
| Product Number Brand | : | 80443 Sigma-Aldrich | | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | | |
| Telephone | : | +1 800-325-5832 | | |
| Fax | : | +1 800-325-5052 | | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance or mixture according to the Globally Harmonised System (GHS).

| HMIS Classification Health hazard: Flammability: Physical hazards: | 0 0 0 |
|---|--|
| NFPA Rating Health hazard: Fire: Reactivity Hazard: | 0 0 0 |
| Potential Health Effects | |
| Inhalation Skin Eyes Ingestion | May be harmful if inhaled. May cause respiratory tract irritation. May be harmful if absorbed through skin. May cause skin irritation. May cause eye irritation. May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Formula | : | C ₃ H ₃ NaO ₃ |
|------------------|---|--|
| Molecular Weight | : | 110.04 g/mol |

No ingredients are hazardous according to OSHA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid dust formation. Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

Keep in a dry place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| - | • | | | |
|----|---|---|--|--|
| | Form | powder | | |
| | Colour | white | | |
| Sa | afety data | | | |
| | рН | 7 | | |
| | Melting point/freezing point | Melting point/range: > 300 °C (> 572 °F) - lit. | | |
| | Boiling point | no data available | | |
| | Flash point | no data available | | |
| | Ignition temperature | no data available | | |
| | Autoignition temperature | no data available | | |
| | Lower explosion limit | no data available | | |
| | Upper explosion limit | no data available | | |
| | Vapour pressure | no data available | | |
| | Density | no data available | | |
| | Water solubility | soluble | | |
| | Partition coefficient: n-octanol/water | no data available | | |
| | Relative vapour density | no data available | | |
| | Odour | no data available | | |
| | Odour Threshold | no data available | | |
| | Evaporation rate | no data available | | |
| | | | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid no data available

Materials to avoid Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 no data available

Inhalation LC50

no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

no data avaliable

Skin corrosion/irritation no data available

Serious eye damage/eye irritation no data available

Respiratory or skin sensitization no data available

Germ cell mutagenicity no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects no data available

Additional Information

RTECS: Not available

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential

no data available

Mobility in soil

no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG Not dangerous goods

IATA Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

Pennsylvania Right To Know Components

| Sodium pyruvate | CAS-No. 113-24-6 | Revision Date |
|-------------------------------------|---------------------|---------------|
| New Jersey Right To Know Components | CAS-No. | Revision Date |
| Sodium pyruvate | 113-24-6 | Revision Date |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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SIGMA-ALDRICH

Material Safety Data Sheet

Version 4.3 Revision Date 12/31/2012 Print Date 02/11/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | |
|--|---|---|--|
| Product name | : | Sodium bicarbonate | |
| Product Number Brand | : | S8875 Sigma-Aldrich | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | |
| Telephone | : | +1 800-325-5832 | |
| Fax | : | +1 800-325-5052 | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance or mixture according to the Globally Harmonised System (GHS).

| Health hazard: | 0 |
|-----------------------|---|
| Flammability : | 0 |
| Physical hazards: | 0 |
| NEDA Dating | |

| 141 | FA | nau | ng | |
|-----|----|-----|----|--|
| | | | | |

| Health hazard: | 0 |
|--------------------|---|
| Fire: | 0 |
| Reactivity Hazard: | 0 |

Potential Health Effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |
| Ingestion | May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

| Synonyms | : | Sodium hydrogen carbonate |
|------------------|---|---------------------------|
| Formula | : | CHNaO ₃ |
| Molecular Weight | : | 84.01 g/mol |

No ingredients are hazardous according to OHSA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Conditions of flammability

Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid dust formation. Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Sweep up and shovel. Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Precautions for safe handling

Provide appropriate exhaust ventilation at places where dust is formed.

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection is not required. Where protection from nuisance levels of dusts are desired, use type N95 (US) or type P1 (EN 143) dust masks. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Full contact Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: 480 min Material tested:Dermatril® (KCL 740 / Aldrich Z677272, Size M)

Splash protection Material: Nitrile rubber Minimum layer thickness: 0.11 mm Break through time: 480 min Material tested:Dermatril® (KCL 740 / Aldrich Z677272, Size M)

data source: KCL GmbH, D-36124 Eichenzell, phone +49 (0)6659 87300, e-mail sales@kcl.de, test method: EN374 If used in solution, or mixed with other substances, and under conditions which differ from EN 374, contact the supplier of the CE approved gloves. This recommendation is advisory only and must be evaluated by an Industrial Hygienist familiar with the specific situation of anticipated use by our customers. It should not be construed as offering an approval for any specific use scenario.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

Choose body protection in relation to its type, to the concentration and amount of dangerous substances, and to the specific work-place., The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

| Form | crystalline | | |
|---|-------------------|--|--|
| Colour | no data available | | |
| Safety data | | | |
| рН | no data available | | |
| Melting point/freezing point | 300 °C (572 °F) | | |
| Boiling point | no data available | | |
| Flash point | no data available | | |
| Ignition temperature | no data available | | |
| Auto-ignition temperature | no data available | | |
| Lower explosion limit | no data available | | |
| Upper explosion limit | no data available | | |
| Vapour pressure | no data available | | |
| Density | 2.160 g/cm3 | | |
| Water solubility | 50 g/l | | |
| Partition coefficient: n-octanol/water | no data available | | |
| Relative vapor density | no data available | | |
| Odour | no data available | | |
| Odour Threshold | no data available | | |
| Evaporation rate | no data available | | |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions

no data available

Conditions to avoid Exposure to moisture.

Materials to avoid Strong acids, Strong oxidizing agents

Hazardous decomposition products

Hazardous decomposition products formed under fire conditions. - Carbon oxides, Sodium oxides Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 LD50 Oral - rat - 4,220 mg/kg

Inhalation LC50 no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

Skin corrosion/irritation Skin - Human - Mild skin irritation - 3 d

Serious eye damage/eye irritation Eyes - rabbit - Mild eye irritation - 30 s

Respiratory or skin sensitization no data available

Germ cell mutagenicity

no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard

no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

Exposure to large amounts can cause:, Gastrointestinal disturbance, Heavy or prolonged skin exposure may result in the absorption of harmful amounts of material.

Synergistic effects no data available

Additional Information

RTECS: VZ0950000

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential no data available

Mobility in soil no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US) Not dangerous goods

IMDG Not dangerous goods

IATA Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

| Pennsylvania Right To Know Components | | |
|---------------------------------------|----------|---------------|
| | CAS-No. | Revision Date |
| Sodium hydrogencarbonate | 144-55-8 | |
| New Jersey Right To Know Components | | |
| | CAS-No. | Revision Date |
| Sodium hydrogencarbonate | 144-55-8 | |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

16. OTHER INFORMATION

Further information

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SIGMA-ALDRICH

Material Safety Data Sheet

Version 5.1 Revision Date 01/20/2012 Print Date 04/07/2013

| 1. PRODUCT AND COMPANY IDENTIFICATION | | | |
|--|---|---|--|
| Product name | : | EX-CELL(TM) 420 w/L-glutamine | |
| Product Number Brand | : | 24420C Sigma | |
| Supplier | : | Sigma-Aldrich 3050 Spruce Street SAINT LOUIS MO 63103 USA | |
| Telephone | : | +1 800-325-5832 | |
| Fax | : | +1 800-325-5052 | |
| Emergency Phone # (For both supplier and manufacturer) | : | (314) 776-6555 | |
| Preparation Information | : | Sigma-Aldrich Corporation Product Safety - Americas Region 1-800-521-8956 | |

2. HAZARDS IDENTIFICATION

Emergency Overview

OSHA Hazards

No known OSHA hazards

Not a dangerous substance or mixture according to the Globally Harmonised System (GHS).

| HMIS Classification | |
|--------------------------|---|
| Health hazard: | 0 |
| Flammability: | 0 |
| Physical hazards: | 0 |
| NFPA Rating | |
| Health hazard: | 0 |
| Fire: | 0 |
| Reactivity Hazard: | 0 |
| Potential Health Effects | |
| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |
| Ingestion | May be harmful if swallowed. |

3. COMPOSITION/INFORMATION ON INGREDIENTS

No ingredients are hazardous according to OHSA criteria.

4. FIRST AID MEASURES

If inhaled

If breathed in, move person into fresh air. If not breathing, give artificial respiration.

In case of skin contact

Wash off with soap and plenty of water.

In case of eye contact

Flush eyes with water as a precaution.

If swallowed

Never give anything by mouth to an unconscious person. Rinse mouth with water.

5. FIREFIGHTING MEASURES

Conditions of flammability Not flammable or combustible.

Suitable extinguishing media

Use water spray, alcohol-resistant foam, dry chemical or carbon dioxide.

Special protective equipment for firefighters

Wear self contained breathing apparatus for fire fighting if necessary.

Hazardous combustion products

6. ACCIDENTAL RELEASE MEASURES

Personal precautions

Avoid breathing vapors, mist or gas.

Environmental precautions

Do not let product enter drains.

Methods and materials for containment and cleaning up

Keep in suitable, closed containers for disposal.

7. HANDLING AND STORAGE

Conditions for safe storage

Keep container tightly closed in a dry and well-ventilated place. Containers which are opened must be carefully resealed and kept upright to prevent leakage.

8. EXPOSURE CONTROLS/PERSONAL PROTECTION

Contains no substances with occupational exposure limit values.

Personal protective equipment

Respiratory protection

Respiratory protection not required. For nuisance exposures use type OV/AG (US) or type ABEK (EU EN 14387) respirator cartridges. Use respirators and components tested and approved under appropriate government standards such as NIOSH (US) or CEN (EU).

Hand protection

Handle with gloves. Gloves must be inspected prior to use. Use proper glove removal technique (without touching glove's outer surface) to avoid skin contact with this product. Dispose of contaminated gloves after use in accordance with applicable laws and good laboratory practices. Wash and dry hands.

Eye protection

Use equipment for eye protection tested and approved under appropriate government standards such as NIOSH (US) or EN 166(EU).

Skin and body protection

impervious clothing, The type of protective equipment must be selected according to the concentration and amount of the dangerous substance at the specific workplace.

Hygiene measures

General industrial hygiene practice.

9. PHYSICAL AND CHEMICAL PROPERTIES

Appearance

Form

liquid

| Colour | no data available |
|---|-------------------|
| Safety data | |
| рН | no data available |
| Melting point/freezing point | no data available |
| Boiling point | no data available |
| Flash point | no data available |
| Ignition temperature | no data available |
| Autoignition temperature | no data available |
| Lower explosion limit | no data available |
| Upper explosion limit | no data available |
| Vapour pressure | no data available |
| Density | no data available |
| Water solubility | no data available |
| Partition coefficient: n-octanol/water | no data available |
| Relative vapour density | no data available |
| Odour | no data available |
| Odour Threshold | no data available |
| Evaporation rate | no data available |

10. STABILITY AND REACTIVITY

Chemical stability

Stable under recommended storage conditions.

Possibility of hazardous reactions no data available

Conditions to avoid no data available

Materials to avoid no data available

Hazardous decomposition products Other decomposition products - no data available

11. TOXICOLOGICAL INFORMATION

Acute toxicity

Oral LD50 no data available

Inhalation LC50 no data available

Dermal LD50 no data available

Other information on acute toxicity no data available

Skin corrosion/irritation no data available

Serious eye damage/eye irritation Eyes: no data available

Respiratory or skin sensitization

no data available

Germ cell mutagenicity

no data available

Carcinogenicity

- IARC: No component of this product present at levels greater than or equal to 0.1% is identified as probable, possible or confirmed human carcinogen by IARC.
- ACGIH: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by ACGIH.
- NTP: No component of this product present at levels greater than or equal to 0.1% is identified as a known or anticipated carcinogen by NTP.
- OSHA: No component of this product present at levels greater than or equal to 0.1% is identified as a carcinogen or potential carcinogen by OSHA.

Reproductive toxicity

no data available

Teratogenicity

no data available

Specific target organ toxicity - single exposure (Globally Harmonized System) no data available

Specific target organ toxicity - repeated exposure (Globally Harmonized System) no data available

Aspiration hazard no data available

Potential health effects

| Inhalation | May be harmful if inhaled. May cause respiratory tract irritation. |
|------------|---|
| Ingestion | May be harmful if swallowed. |
| Skin | May be harmful if absorbed through skin. May cause skin irritation. |
| Eyes | May cause eye irritation. |

Signs and Symptoms of Exposure

To the best of our knowledge, the chemical, physical, and toxicological properties have not been thoroughly investigated.

Synergistic effects no data available

Additional Information

RTECS: Not available

12. ECOLOGICAL INFORMATION

Toxicity

no data available

Persistence and degradability no data available

Bioaccumulative potential no data available

Mobility in soil

no data available

PBT and vPvB assessment no data available

Other adverse effects

no data available

13. DISPOSAL CONSIDERATIONS

Product

Offer surplus and non-recyclable solutions to a licensed disposal company.

Contaminated packaging

Dispose of as unused product.

14. TRANSPORT INFORMATION

DOT (US)

Not dangerous goods

IMDG Not dangerous goods

ΙΑΤΑ

Not dangerous goods

15. REGULATORY INFORMATION

OSHA Hazards

No known OSHA hazards

SARA 302 Components

SARA 302: No chemicals in this material are subject to the reporting requirements of SARA Title III, Section 302.

SARA 313 Components

SARA 313: This material does not contain any chemical components with known CAS numbers that exceed the threshold (De Minimis) reporting levels established by SARA Title III, Section 313.

SARA 311/312 Hazards

No SARA Hazards

Massachusetts Right To Know Components

No components are subject to the Massachusetts Right to Know Act.

Pennsylvania Right To Know Components

| EX-CELL(TM) 420 w/L-glutamine | CAS-No. - | Revision Date |
|-------------------------------------|--------------|---------------|
| New Jersey Right To Know Components | CAS-No. | Revision Date |
| EX-CELL(TM) 420 w/L-glutamine | - | |

California Prop. 65 Components

This product does not contain any chemicals known to State of California to cause cancer, birth defects, or any other reproductive harm.

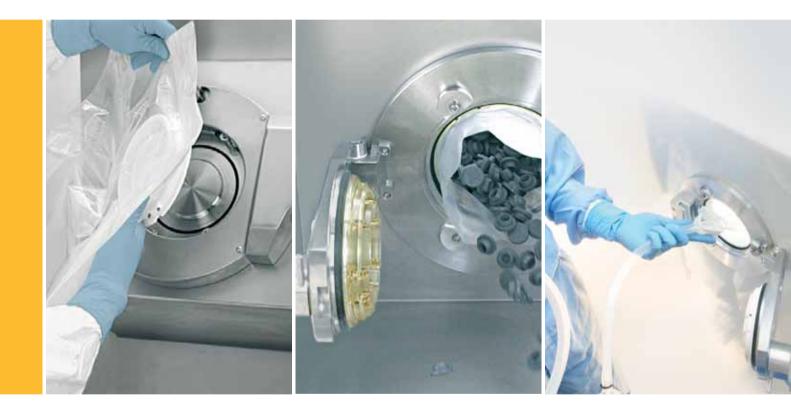
16. OTHER INFORMATION

Further information

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Biosafe[®] Single-Use Aseptic Transfer Systems



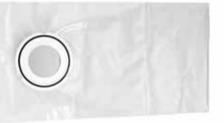
turning science into solutions

A Complete Range...

Autoclavable Biosafe Bags

- Open autoclavable Biosafe bags are filled on-site prior to autoclave sterilization and aseptic transfer.
- Prefilled autoclavable Biosafe bags are delivered ready-to-sterilize by your component supplier.
- Entry of stoppers, tools, pump into isolators, RABS and cleanrooms.

...of Bags for a Variety of Applications The Biosafe range of bags is designed to best fit your requirements for aseptic transfer of stoppers, pumps, tools, QC test devices, fluids and powders into critical processing areas.



Gamma Irradiatable Biosafe Bags

- Prefilled Biosafe bags are delivered gamma sterilized by your component supplier for the entry of stoppers (prefillable syringe, vial, carpule), QC test devices and any other gamma sterilizable parts.
- Gamma irradiated Biosafe bags are used for the removal of waste, tools, pumps and QC test devices from critical areas.
- Rapid Aseptic Fluid Transfer (RAFT) System is a sterile system designed for the aseptic transfer of liquids.

Gamma Irradiated

Double-Connector Biosafe Bags Specifically designed for the two-step transfer of components from autoclave (using the Biosafe Biosteam Port) into an isolator.



Dummy Service Connector For sterilization and maintenance of the Biosafe Ports.

The Biosafe® Port

The Biosafe range of aseptic transfer ports offers reliable and easy-to-use solutions that meet your specific needs and applications. The unique design of the Biosafe system enables the secure transfer of components, fluids and powders while maintaining the integrity of the critical area. **Biosafe Three-Lever Port** For the aseptic transfer of fluids into cleanrooms.

Biosafe Monolever Port For the aseptic transfer of components and fluids into isolators, RABS and cleanrooms.

Biosafe Biosteam® Port

- When installed on autoclaves, the steamable version of the Biosafe Port allows reliable aseptic discharging of bulk stoppers from the autoclave into double-connector gamma irradiated bags prior to transfer into an isolator.
- Allows the contained addition of powders into formulation vessels.

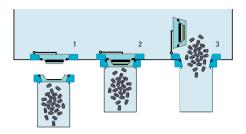
The Highest Quality Assurance

- Manufactured in ISO 9001 and ISO 13485 certified facilities
- 100% quality control on Biosafe
 Ports Dimensional and leak testing
 100% and literature least Piece for least
- 100% quality control on Biosafe bag connector assemblies
- Biosafe bags assembled in Class C (ISO 7) cleanrooms

Biosafe Automatic Port Automated aseptic transfer of components into isolators and RABS.



Achieving Safe, Easy-to-Use & Reliable Aseptic Transfer



Biosafe Aseptic Transfer Systems

- 1. Biosafe bag approach
- 2. Magnetic docking
- 3. Transfer

Enhanced Sterility Assurance in Aseptic Processing

- Offers a contained and single-use technology for safer aseptic transfer.
- Limits the number and complexity of personnel interventions in the aseptic processing area.
- Offers a completely closed aseptic process.

Safer Processing of Potent Drugs

- Maintains the barrier integrity of RABS and isolators and security of operators.

Ease-of-Use

- The magnet on the port allows easy centering and secure docking of the Biosafe bag.

Process Safety

- Mechanical interlock securities prevent door opening when a bag is not connected or from disconnecting a bag if the Biosafe Port is not closed.
- Automatic inter-door vacuum integrity test of Biosafe Port and connector.

Versatile Technoloy

- The range of Biosafe systems is designed to best fit a variety of applications while ensuring a high level of containment.
- Biosafe is a unique technology for the aseptic transfer of components, fluids and powders.

Simplified Maintenance and Sterilization

 When connected to the Biosafe Port, the dummy service connector facilitates the sterilization of the critical area, the inner side of the Biosafe Port and maintenance operations.

Proven Containment

- Biosafe Ports and connectors are 100% leak tested during production.
- Packaging Biosafe bags under vacuum can offer an additional guaranty of integrity.

Practical and Economical Benefits

- The Biosafe bags eliminate cleaning and sterilization required for traditional transfer containers.
- The RAFT System simplifies facility layout and reduces higher classification cleanroom area by keeping large volume support solutions outside the cleanroom.

| In Out Aseptic Transfer into | Clean- room | Isolator | RABS | Auto- clave | Formulation vessel |
|-----------------------------------|----------------|----------|------|----------------|--------------------|
| Biosafe 110 Three-Lever TTI Port | • | | | | |
| Biosafe 110 Monolever Port | • | • | •* | | |
| Biosafe 110 Automatic Port | | • | •* | | |

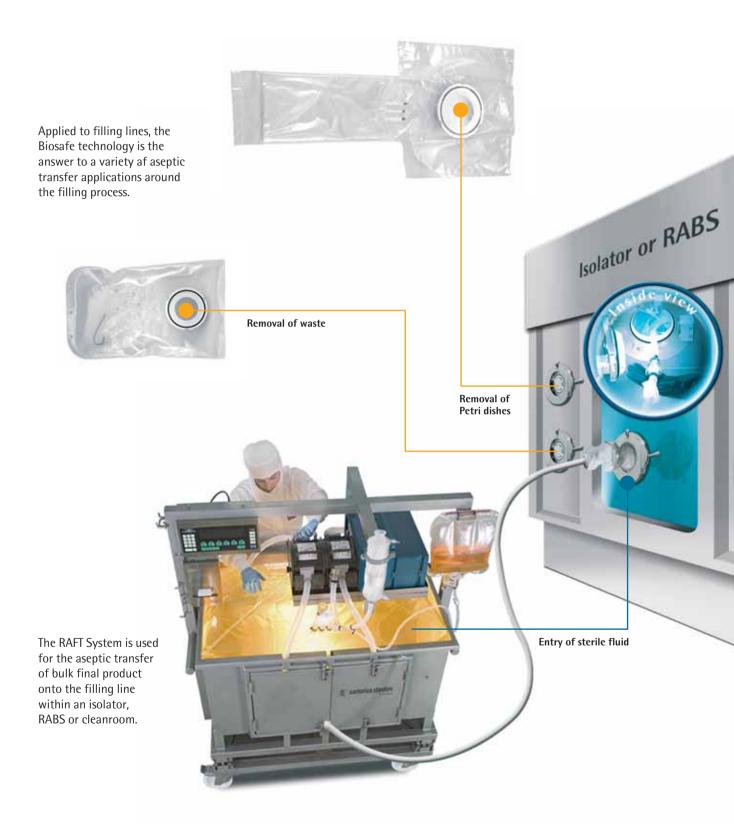
Biosafe 110 Biosteam Port

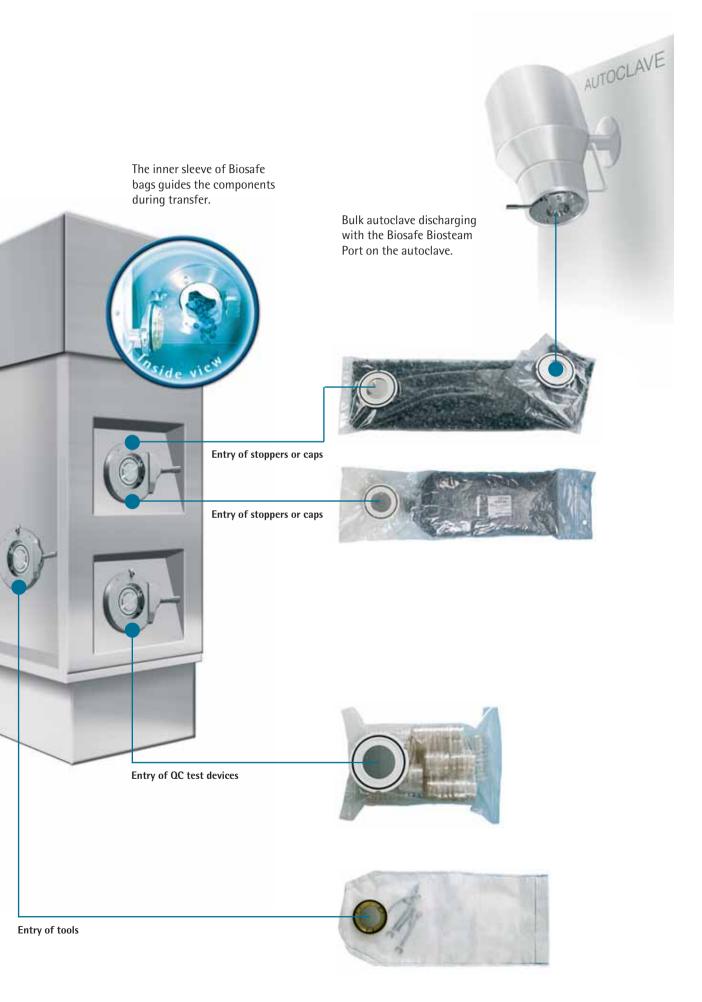
* The Biosafe Port with outside opening is the best choise to prevent air turbulence in RABS



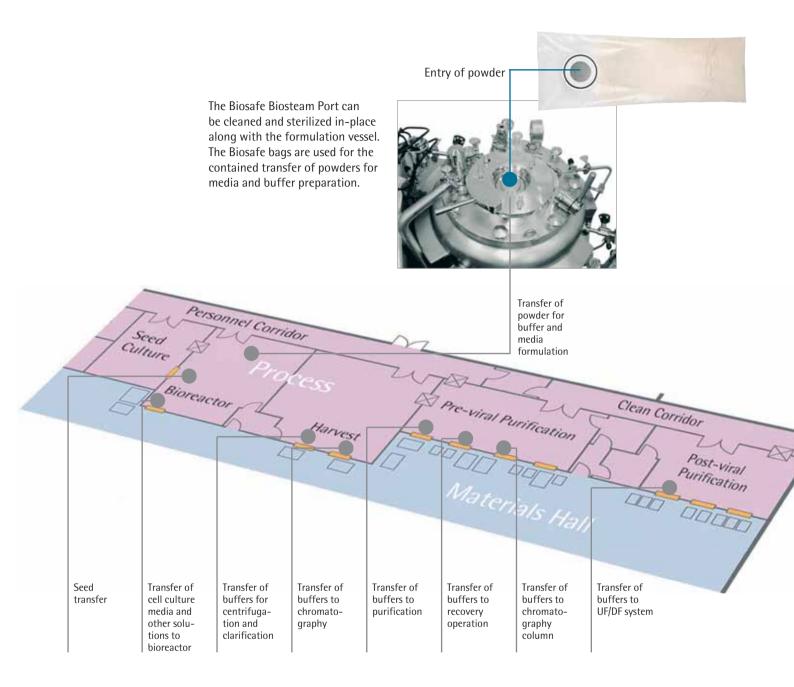


Services to Filling Line in Aseptic Processing





Upstream & Downstream Processing





The RAFT System provides easy-to-use and reliable through-the-wall aseptic transfer of fluids between biomanufacturing zones. The attached diagram demonstrates segregation of media and buffer solutions maintained in the materials hall, enabling substantial reduction of higher classification cleanroom areas.

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Sterile Tube Fuser & Hot Lips Tube Sealer[®]

Catalog

The Sterile Tube Fuser and Hot Lips Tube Sealer II provide a complete solution to aseptic fluid handling in pharmaceutical and biotech environments. These products allow for leakproof aseptic connections and thermal seals of large bore thermoplastic tubing. This catalog includes features, specifications and pricing information for both products. Please contact Wave Biotech for additional technical or validation information.





Hot Lips Tube Sealer II

Sterile Tube Fuser - IRc



Sterile Tube Fuser - IRcWW



The Sterile Tube Fuser

is a fully automated device for welding together thermoplastic tubing in a sterile operation without the need for a laminar flow cabinet. The machine is useful for connecting tubing between sterile containers, bags, and process equipment. The unit can connect large diameter (up to 7/8" OD) tubing for the rapid and easy transfer of large volumes. Major uses are bioprocessing and aseptic pharmaceutical applications.

The compact Sterile Tube Fuser (STF-IRc) uses infrared technology to control depyrogenation and welding temperatures. Disposable, single-use, PTFE coated blades are used in the process. The new, long awaited wet welding model, STF-IRcWW allows welding of liquid-filled C-Flex tubing.







Applications

- Sterile media and buffer transfer.
- Connection of bioreactors for feed and harvest.
- Process fluid transfer.
- In-process pooling.
- Final fill operations.

Features

- Welds any thermoplastic tubing from 6.4mm to 22.2mm OD (¼ in. to 7/8 in.) using interchangeable holders.
- Wet welding of 6.4,11.2,12.5 and 15.5mm OD C-Flex available in STF-IRcWW model.
- Use C-Flex[®], PharMed[®], Tygon[®]/PVC or similar tubing.
- Thermal weld provides exceptional strength.
- Make aseptic connections without a laminar flow cabinet.
- New, more compact design; portable.
- User-programmable for various tubing types.
- Requires only electrical service. 110/220VAC universal voltage.
- Proven, validated performance for GMP operations.

Specifications

| Power: Size: | 110/220 VAC (auto switching) 1 Amp max. 381mm x 325mm x 254mm (15" L x 13" W x 10" H) |
|-----------------------|--|
| Weight: | 16 Kg (35 lbs) |
| Max tubing OD: | 22mm (7/8") |
| Min tubing OD: | 6.4mm (¼") |
| Approvals: | CE, EMV |
| Typical fusing cycle: | ~2 minutes |
| Cutting blades: | non-sterile, PTFE-coated, single-use |

Quick Selection Guide - COMPONENTS

| PART NUMBER | DESCRIPTION | IMAGE |
|----------------|--|-------|
| STF-IRc | Sterile Tube Fuser -IRc -Compact - equipped with TUBE1-IR (15.5mm OD tube holder set). Pre-programmed for C-Flex, Sanipure ,PharMed and Tygon/PVC. 110/220VAC (auto switching). | |
| STF-IRcWW | Sterile Tube Fuser - IRc for Liquid-Filled Welding - equipped with TUBE1 -IR/WW (15.5mm OD wet welding tube holder set). Pre-programmed for C-Flex. 110/220VAC universal voltage. | |
| TUBE1-IR | Tube holder set for 15.5mm (5/8") OD tubing. | |
| TUBE2-IR | Tube holder set for 19.1mm (3/4") OD tubing. | |
| TUBE3-IR | Tube holder set for 11.2mm (7/16") OD tubing. | |
| TUBE4-IR | Tube holder set for 6.4mm (1/4") OD tubing. | |
| TUBE5-IR | Tube holder set for 8.0mm (5/16") OD tubing. | |
| TUBE6-IR | Tube holder set for 9.6mm (3/8") OD tubing. | |
| TUBE7-IR | Tube holder set for 12.5mm (1/2") OD tubing. | |
| TUBE8-IR | Tube holder set for 22.2mm (7/8") OD tubing. | |
| TUBE1-IR/WW | Tube holder set for 15.5mm (5/8") OD tubing. For use with wet welding STF-IRcWW only. | |
| TUBE3-IR/WW | Tube holder set for 11.2mm (7/16") OD tubing. For use with wet welding STF-IRcWW only. | |
| TUBE4-IR/WW | Tube holder set for 6.4mm (1/4") OD tubing. For use with wet welding STF-IRcWW only. | |
| TUBE7-IR/WW | Tube holder set for 12.5mm (1/2") OD tubing. For use with wet welding STF-IRcWW only. | |
| BLADES-IR/50 | Single use stainless steel cutting blades with PTFE coating. Non-sterile. 50 blades/package. | |
| STF-IRc.003214 | Two color thermal printer option for compact Sterile Tube Fuser - IRc. Includes one roll of paper. | |
| STF-IRc-CALKIT | Calibration verification kit for Sterile Tube Fuser. Includes Mainte documents, PCKit, BLADESENSOR-K, & security key for access | |



The Hot Lips Tube Sealer II

is an ultraportable device for heat sealing thermoplastic tubing. The leakproof, tamperproof seal produced is ideal for ensuring inoculum, products, media and buffers do not leak as with tubing clamps or plugs on bioprocess bags and other vessels. Hot Lips is a fully automated device for sealing thermoplastic tubing from 6.4mm (1/4") OD to 31.8mm (1.25") OD in a sterile operation without the need for a laminar flow hood. Major uses are for sealing bags prior to transport, or after sampling and process fluid transfer.



Applications

- Use wherever a tamperproof, leakproof seal is desired.
- Sealing bioprocess bags and bioreactors.
- In-process pooling.
- Sampling operations.
- Storage vessel closure.

Features

- Seals any thermoplastic tubing from 6.4mm (1/4") OD to 31.8mm (1.25") OD.
- Use PharMed, C-Flex, PVC, Sanipure or similar tubing.
- Thermal seal provides tamperproof protection.
- Compact and ultraportable.
- User-programmable for various tubing types.
- Requires only electrical service 110/220VAC, auto switching.
- No compressed air or cooling water needed.
- No accessories required for operation.
- Made in the U.S.A. Proven, validatable performance.



Specifications

| Power: | 110/220 VAC universal voltage |
|------------------------|---|
| Size: | 165mm x 356mm x 203mm (6.5" W x 14" D x 8" H) |
| Weight: | 8 Kg (18 lb) |
| Max tubing OD: | 31.8mm (1.25") |
| Min tubing OD: | 6.4mm (0.25") |
| Typical sealing cycle: | <2 minutes |

Quick Selection Guide - COMPONENTS

| PART NUMBER | DESCRIPTION | IMAGE | | | | |
|----------------|--|-----------------------------------|--|--|--|--|
| HLTS-II | Hot Lips Tube Sealer II - pre-programmed to thermally seal C-Flex, Sanipure, PVC, Tygon, & PharMed thermoplastic tubing 6.4mm (1/4") OD to 31.8mm (1.25") OD. 110/220VAC (auto switching) universal voltage. Please confirm plug type required where applicable. No accessories or disposables required. | | | | | |
| HLTS-PCKIT | PC kit for Hot Lips Tube Sealer. Allows user res Includes hardware and software. | et of register and reprogramming. | | | | |
| HLTS-II-CALKIT | Calibration verification kit includes Maintenance manual, Validation documents, PCKit with security key and jaw distance calibration tool. | | | | | |





Standard Flexel[®] 3D bioprocessing bags for Palletank[®] (US and Canada)



Description

Flexel[®] 3D standard bags are designed for processing, storage and transport of large volume biopharmaceutical solutions in Sartorius Stedim Biotech's proven Palletank® containers. They provide a single-use alternative to traditional stainless steel vessels in a large variety of applications.

Cost reduction and risk reduction

Single-use systems used in biopharmaceutical manufacturing improve process safety as they reduce the risk of cross contamination from batch-to-batch and product-to-product. Costly and time consuming CIP & SIP operations are minimized. This results not only in significant cost savings within the entire manufacturing process, but also in the optimization of capacity utilization.

Applications

The multi-layer film construction of different materials provides a strong structure with low gas permeability and high chemical resistance for the safe processing of a wide range of biopharmaceutical fluids in a variety of applications such as:

- Buffers and media filtration & storage
- Bulk harvest
- Product pooling
- Fraction collection
- Sample collection
- Bulk intermediate filtration & hold
- Final product storage and transport



Flexibility

Standard Flexel[®] 3D bags are available as stand-alone bags with silicone tubing, standalone bags with C-Flex tubing and filter & bag assemblies incorporating a variety of filter and bag sizes allowing easy adoption to process volume and media. Multiple configurations that also integrate thermoweldable TPE tubing are provided for flexible incorporation into your process. Thus, sterile connection and disconnection devices like the BioWelder® and the BioSealer® can be used to allow safe connections and disconnections from and to another process step.

Female luer fittings with a needle free sampling port may be used for easy and convenient sampling, quick connects may be attached directly or adapted to a variety of connections and tri-clamps that are widely used in a production environment assure maximum flexibility.

Fast operation

The new defined range of standard Flexel® 3D bag systems incorporates 1,000 L standard bag solutions that enable the user to empty the bags quickly through a 3/4" ID tubing.

| Features | Benefits |
|---|--|
| Multiple manu- facturing sites | High security of supply |
| All connections extensively qualified | Safe and robust |
| Full compliance with ISO11137 | Highest sterility assurance level |
| Standard design | Most designs available from stock |
| Designed to fit Palletank [®] | Market leading space saving bag containment system |
| ³ /4" ID bottom drain | Quick transfer of process fluid |
| Various bag & filter sizes | High flexibility |

Standard Flexel[®] 3D for Palletank[®]

| Bag Chamber: | Multiple layer film construction, including EVOH gas barrier layer ULDPE contact layer |
|------------------|---|
| Tubing: | Silicone, TPE |
| Fittings: | MPX Couplings, Female Luer Lock, MPC Male Coupling, Triclamp, Needle-less sampling port |
| Filters: | Sartopore [®] 2 Gamma Filter Capsule |
| Volumes: | 100 L-1,000 L |
| Number of Ports: | Standard silicone: 100 L-1,000 L: 3 (2 top, 1 bottom) |
| | Standard TPE: 100 L-1,000 L: 4 (3 top, 1 bottom) |
| | Standard TPE & Sartopore® 2: 100 L–1,000 L: 4 (3 top, 1 bottom) |
| Sterilization: | by Gamma Irradiation |

Security of Supply

Sartorius Stedim Biotech has established multiple manufacturing sites with consistent industrial processes. The expertise of designing Single Use solutions combined with collaborative supplier management and customer demand planning assures a state of the art product supported by a robust supply chain that can cope with strong market growth.

Validation

Flexel® bags have been qualified applying the most comprehensive and innovative test regimes. Biological, chemical and physical tests combined with extensive extractable testings provide users of Flexel® 3D bags with data representing the widest range of process fluids in a variety of processing conditions. Full compliance with ISO11137 allows for a validated claim of sterility on all Sartorius Stedim Biotech single-use products with a sterility assurance level of 10⁻⁶ over the shelf life.

Quality Assurance

Sartorius Stedim Biotech Quality Systems for Single-use products follow applicable ISO and FDA regulations for Medical Devices. Design, Manufacture and Sterilization processes are conducted under conditions that mirror biopharmaceutical operations and meet cGMP requirements.

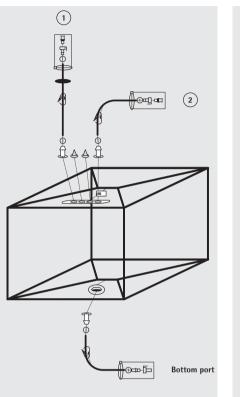
Flexel[®] 3D bags for Palletank[®] are tested for compliance to:

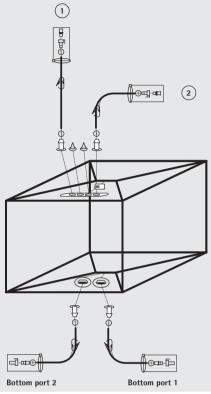
- USP <87>: Biological reactivity tests, in Vitro
- USP <88>: Biological reactivity tests, in Vivo
- USP <661>: Tests for plastic
- USP <788> and E.P. 2.9.19 : Particulate
- ISO 11737 : Bioburden
- ISO 11137 : Sterilization of Medical Devices

Supply Chain

The majority of standard Flexel® 3D bags for Palletank® systems are available from stock.

1. Standard Flexel[®] 3D bags with silicone tubes



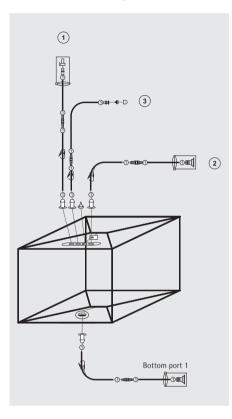


Standard Flexel® 3D bags with silicone tubes (100 L to 500 L) Standard Flexel® 3D bags with silicone tubes (1,000 L)

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Bottom Port 1 | Qty/Box |
|-------------|--|----------|--|--|--|---------|
| FXB207591 | Flexel [®] 100L for Palletank [®] with GammaTag™ | Silicone | 1/2" × 11/16" × 1.5 m (60"), 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1.5 m (60"), 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1 m (40") 1/2" MPX male + sealing cap | 10 |
| FXB207592 | Flexel [®] 200L for Palletank [®] with GammaTag™ | Silicone | 1/2" × 11/16" ×1.5 m (60"), 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1.5 m (60"), 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1 m (40"), 1/2" MPX male + sealing cap | 10 |
| FXB207593 | Flexel [®] 500L for Palletank [®] with GammaTag™ | Silicone | 1/2" × 11/16" × 1.5 m (60"), 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1.5 m (60"), 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1 m (40"), 1/2" MPX male + sealing cap | 3 |

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Bottom Port 1 | Bottom Port 2 | Qty/Box |
|-------------|---|----------|---|---|--|--|---------|
| FXB207595 | Flexel [®] 1000L for Palletank [®] – Silicone | Silicone | 1/2" × 11/16" × 1.5 m (60") 1/2" MPX female + sealing plug | 1/2" × 11/16" × 1.5 m (60") 1/2" MPX male + sealing plug | 1/2" × 11/16" × 1 m (40") 1/2" MPX male + sealing cap | 1/2" × 11/16" × 1 m (40") 1/2" MPX male + sealing cap | 5 |

2. Standard Flexel® 3D bags with TPE tubes





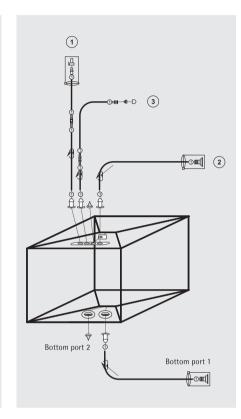
Standard Flexel $^{\circ}$ 3D bags with TPE tubes (1,000 L)

Bottom port 2

0**++** • 0 3

2

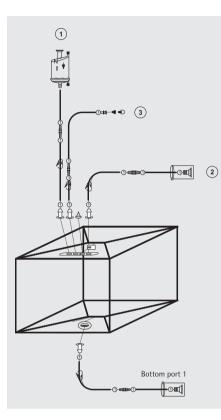
Bottom port 1

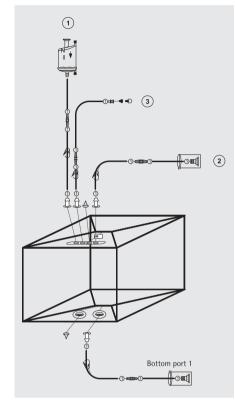


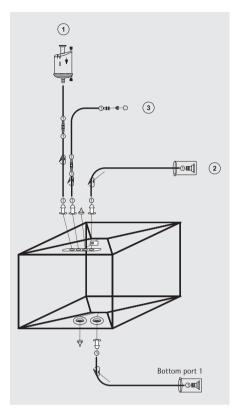
Standard Flexel[®] 3D bags with TPE tubes High Flow Rate (1,000 L)

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Top Port 3 | Bottom Port 1 | Qty/Box |
|-------------|--|--|--|--|--|--|---------|
| FXB110925 | Flexel® 100L for Palletank® – PTE | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") 1/2" MPX male + sealing cap | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 4 |
| FXB110927 | Flexel® 200L for Palletank® – TPE | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") 1/2" MPX male + sealing cap | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |
| FXB110929 | Flexel® 500L for Palletank® – TPE | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") 1/2" MPX male + sealing cap | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |
| FXB110930 | Flexel® 1000L for Palletank® – TPE | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") 1/2" MPX male + sealing cap | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |
| FXB111157 | Flexel [®] 1,000L for Palletank [®] – HIGH FLOW – TPE | Silicone + Clear C-Flex [®] 374 | 1/2" × 3/4" × 1.5 m (60") 1/2" MPX male + sealing cap | 3/4" × 1-1/8" × 1.5 m (60") silicone 1-1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 3/4"×1-1/8"×1.5 m (60") silicone 1-1/2" Tri-Clamp | 2 |

3. Standard $\mathsf{Flexel}^*\operatorname{3D}\mathsf{bags}$ with TPE tubes and $\mathsf{Sartopore}^*\operatorname{2}\mathsf{Gamma}$ MidiCaps







Standard Flexel® 3D bags with TPE tubes and Sartopore® 2 Gamma MidiCaps (100 L to 500 L)

Standard Flexel® 3D bags with TPE tubes and Sartopore® 2 Gamma MaxiCaps (1,000 L)

Standard Flexel® 3D bags with TPE tubes and Sartopore® 2 Gamma MaxiCaps - High Flow rate (1,000 L)

Standard Flexel® 3D bags with TPE tubes and Sartopore® 2 Gamma MidiCaps, 0.2 µm (100 L to 500 L)

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Top Port 3 | Bottom Port 1 | Qty/Box |
|-------------|--|------------------------------------|--|--|--|--|---------|
| FXB110962 | Flexel® 100L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore® 2 Gamma, MidiCaps size 8, 0.2 µm, filter inlet 1.5" sanitary flange 1,000 cm ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 3 |
| FXB110964 | Flexel® 200L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore [®] 2 Gamma, MidiCaps size 9, 0.2 µm, filter inlet 1.5" sanitary flange 2,000 cm ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |
| FXB110966 | Flexel® 500L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore [®] 2 Gamma, MidiCaps size 0, 0.2 µm, filter inlet 1.5" sanitary flange 4,500 cm ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Top Port 3 | Bottom Port 1 | Qty/Box |
|-------------|--|------------------------------------|--|--|--|--|---------|
| FXB110975 | Flexel® 100L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore® 2 Gamma, MidiCaps size 8, 0.1 µm, filter inlet 1.5" sanitary flange 1,000 cm ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 3 |
| FXB110976 | Flexel® 200L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore [®] 2 Gamma, MidiCaps size 9, 0.1 µm, filter inlet 1.5" sanitary flange 2,000 cm ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |
| FXB110977 | Flexel® 500L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | $1/2" \times 3/4" \times 1.5 m$ (60") Sartopore [®] 2 Gamma, MidiCaps size 0, 0.1 µm, filter inlet 1.5" sanitary flange 4,500 cm ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 2 |

Standard Flexel* 3D bags with TPE tubes and Sartopore* 2 Gamma MidiCaps, 0.1 μm (100 L to 500 L)

Standard Flexel* 3D bags with TPE tubes and Sartopore* 2 Gamma MaxiCaps, 0.2 and 0.1 μm (1,000 L)

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Top Port 3 | Bottom Port 1 | Qty/Box |
|-------------|--|------------------------------------|---|--|--|--|---------|
| FXB110967 | Flexel® 1,000L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore® 2 Gamma, MaxiCaps size 2, 0.2 µm, filter inlet 1.5" sanitary flange 1.2 m ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1 |
| FXB110978 | Flexel® 1,000L for Palletank® – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore [®] 2 Gamma, MaxiCaps size 2, 0.1 µm, filter inlet 1.5" sanitary flange 1.2 m ² | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 1/2" × 3/4" × 1.5 m (60") 1–1/2" Tri-Clamp | 1 |

Standard Flexel® 3D bags with TPE tubes and Sartopore® 2 Gamma MaxiCaps (1,000 L - High flow rate)

| Part number | Description | Tubing | Top Port 1 | Top Port 2 | Top Port 3 | Bottom Port 1 | Qty/Box |
|-------------|---|------------------------------------|---|--|--|--|---------|
| FXB111153 | Flexel® 1,000L for Palletank® HIGH FLOW – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore® 2 Gamma, MaxiCaps size 2, 0.2 µm, filter inlet 1.5" sanitary flange 1.2 m ² | 3/4" × 1-1/8" × 1.5 m (60") silicone 1-1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 3/4" × 1-1/8" × 1.5 m (60") silicone 1-1/2" Tri-Clamp | 1 |
| FXB111154 | Flexel® 1,000L for Palletank® HIGH FLOW – TPE – Sartopore® 2 Gamma | Silicone + Clear C-Flex® 374 | 1/2" × 3/4" × 1.5 m (60") Sartopore [®] 2 Gamma, MaxiCaps size 2, 0.1 μm, filter inlet 1.5" sanitary flange 1.2 m ² | 3/4" × 1" × 1.5 m (60") 1–1/2" Tri-Clamp | 1/8" × 1/4" × 1.1 m (40") LL female + needle free sampling port | 3/4" × 1" × 1.5 m (60") 1–1/2" Tri-Clamp Silicone | 1 |

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Flexel[®] 3D Palletank[®] for storage



Introduction

The Palletank[®] for storage are stainless steel containers designed for the safe and robust storage of biopharmaceutical fluids contained in Flexel® 3D Bags. They are available in volumes of 50 L, 200 L and 500 L to be used with 50 L, 100 L 200 L and 500 L Flexel® 3D bags. The Flexel[®] 3D Bag are manufactured according to a patented design that precisely fits the Palletank[®].

Applications

Palletank[®] Systems that incorporate Flexel[®] 3D Bags have been designed for the safe processing of a wide range of biopharmaceutical fluids in a variety of applications such as:

- Buffers and media storage
- Bulk harvest
- Product pooling
- Fraction collection
- Sample collection
- Bulk intermediate hold
- Final product transport

Space-saving

The stackable version of the Flexel® 3D Palletank[®] for storage enables users to meet the complex in-process demands as well as the high requirements for storage while maximizing the utilization of the available clean room area. It saves up to 50% of the space required for cylindrical drums.

Safety

Flexel[®] 3D Bags coupled with the rigid structure of Palletank® provide a stable and secure solution for processing, storage and transportation of buffers, media, intermediates and final bulk products.

Palletank® family

Besides the Palletank[®] for storage, the product range of Palletank® container includes the following lines specifically developed for the various application requirements on fluid management in the biopharmaceutical industry:

- Palletank[®] for shipping
 Palletank[®] for in-process fluid handling
- Palletank[®] for weighing
- Palletank[®] for recirculation mixing

Technology Integration Support

Sartorius Stedim Biotech supports users from the design & implementation phase of a new production facility with the most comprehensive support program that ensures successful design implementation and validation of Single-Use Manufacturing.

Security of Supply

Sartorius Stedim Biotech has established multiple manufacturing sites with consistent industrial processes. The expertise of designing Single-Use solutions based on collaborative supplier management and customer demand planning assures a state of the art and robust supply chain that can cope with strong market growth.

Quality Assurance

Flexel[®] 3D Palletank[®] Systems are designed, developed and manufactured in accordance with a ISO 9001 certified Quality Management System. They undergo extensive testing before shipping

Key features & benefits

| Patented system | Perfect fit and protection of the Flexel [®] 3D Bag in its Palletank [®] |
|--------------------------------|---|
| Standard design | Most design are available from stock |
| Stackable version | Space saving containment system |
| Technology integration support | For a successful Single-Use manu- facturing imple- mentation and validation |

Specifications

1. Palletank® for storage

| Description | Palletank [®] 200 L for storage | Palletank [®] 500 L for storage |
|--------------------------|---|---|
| Bag Volume(s) | 100 L or 200 L | 500 L |
| Construction Material | 304L Stainless Steel | |
| Surface Finishing | Bead Blasted | |
| Dimensions (w×d×h) | 789×592×891 mm (31.2×23.3×35.1 in) | 1192×792×1010 mm (46.9×31.2×39.7 in) |
| Weight | 35 kg (77.17 lb) | 92 kg (202.8 lb) |
| Bottom Gate | 1 | 1 |
| Stackability | No | No |

2. Palletank® for storage stackable

| Description | Palletank [®] 50 L for storage stackable | Palletank [®] 200 L for storage stackable | Palletank [®] 500 L for storage stackable |
|-------------------------------|--|--|--|
| Bag Volume(s) | 50 L | 100 L or 200 L | 500 L |
| Construction Material | 304L Stainless Steel | | |
| Surface Finishing | Bead Blasted | | |
| Dimensions (w×d×h) | 490 × 490 × 750 mm (19,3 × 19,3 × 29,5 in) | 789 × 592 × 915 mm (31.2 × 23.3 × 36 in) | 1192 × 792 × 1060 mm 46.9 × 31.2 × 41.7 in |
| Weight | 24 kg (52.8 lb) | 48.4 kg (106.7 lb) | 87.5 kg (192.9 lb) |
| Bottom Gate Sliding Gate | 1 | 1 | 1 |
| Stackability (Static) | 3 high | 3 high | 2 high |

3. Ancillary products

3.1. Dolly

| Description | Dolly for Palletank® 50 L for Storage and Shipping | Dolly for Palletank® 200 L for Storage and Shipping | Dolly for Palletank® 500 L for Storage and Shipping |
|------------------------------------|--|---|---|
| Construction Material | 304L and polyamide (wl | heels) | |
| Surface Finishing | Glass Bead blasted | | |
| Dimensions $(w \times d \times h)$ | 490×490×185 mm (19.3×19.3×7.3 in) | 815×615×188 mm (32.1×24.2×6.4 in) | 1215×815×161 mm (47.8×32.1×6.4 in) |
| Weight (approx.) | 7 kg (15.4 lb) | 10 kg (22 lb) | 18 kg (39.7 lb) |



3.2. Weighing platforms

The IFS4 flat-bed scales are entirely constructed of stainless steel and have an extremely low height, making it ideally suited for floor installation without a pit or anchoring. The ramp is securely attached to the scale using special retainers for prevention of force shunt. This highquality platform can be connected to any of a wide range of indicators, for use as a Class III legal measuring instrument or without legal verification. The CIS1 Combics 1 indicator allows strain gauge weighing with flat bed scales as well as with load cells to be connected.

| | IFS4-150GG-I | IFS4-300LI-I | IFS4-1000RN-I |
|---|--|--|--|
| Weighing capacity | 150 kg (330.7 lb) | 300 kg (661.4 lb) | 1000 kg (2204.6 lb) |
| Platform size | 600 × 600 mm (23.6 × 23.6 in) | 1000 × 800 mm (39.3 × 31.5 in) | 1500 × 1250 mm (59 × 49.2 in) |
| Height | Standard: 35 mm | Standard: 35 mm | Standard: 45 mm |
| Load Plate | AISI304 1.4301 (V2A) bead-blasted | AISI304 1.4301 (V2A) bead-blasted | AISI304 1.4301 (V2A) bead-blasted |
| Resolution | 30.000 d | 30.000 d | 30.000 d |
| Readability | 5 g | 10 g | 50 g |
| Suitable with Palletank [®] | 50 L | 200 L | 500 L |



Storage and

Refer to specific Sartorius Mechatronics datasheet for Combics indicators ranges, printers and other accessories specifications and ordering information.

Integrated features

| Features | Benefits | Palletank [®] for storage | Palletank [®] for storage stackable |
|-------------------------------|--|------------------------------------|--|
| Level marks | allow rapid visual monitoring of the fluid level in the bag | • | • * |
| Integrated pallet | allows easy carriage by pallet-jack or forklift | • | • |
| Tubing & Fitting Tray | simplifies fluid handling operations & provides a convenient and secure place for inlet & outlet tubing assemblies during transport. | • | • |
| Lid | protects the bag against dust and light | | • * |
| Bottom gate Sliding gate | allows passage of large bore tubing, 1,5" tri clamps, QC bags and filters; facilitates bag positioning and maintain in position | • | • |
| Stacking corner | enables the stacking of Flexel [®] 3D Systems in order to maximise the utilisation of available clean room area | | • |
| Dolly (accessory) | facilitates the movement of material throughout a facility | • | • |

* Except 50 L volume

Storage Stackable

| Order Code | Description | |
|------------|---|--|
| FXC110888 | Palletank [®] 200 L for storage | |
| FXC110889 | Palletank [®] 500 L for storage | |
| FXC113946 | Palletank [®] 50 L for storage stackable | |
| FXC110733 | Palletank [®] 200 L for storage stackable | |
| FXC110734 | Palletank [®] 500 L for storage stackable | |
| FXA113988 | Dolly for Palletank [®] 50 L for storage | |
| FXS102254 | Dolly for Palletank $^{\circ}$ 100 L 200 L for storage & shipping | |
| FXS102256 | Dolly for Palletank [®] 500 L for storage & shipping | |

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Flexel® 3D Plastic Palletank® for Storage



Features & Benefits

| PP and ABS containers | Low cost and robust storage solution |
|--|--------------------------------------|
| Stackable when full | Minimize floor space utilizations |
| Foldable and collapsible when empty | Minimize storage volume |
| Low tare weight | Easy setting and maneuverability |
| Smooth scratch- resistant plastic surface | Clean room compatible |
| Standard product | Available from stock |

Introduction

The Plastic Palletank[®] for storage represents a cost-effective containment solution for the storage and processing of biopharmaceutical fluids contained in Flexel[®] 3D Bags. Their flat, smooth surfaces and rounded corners facilitate cleaning and sanitization for clean room operations. The Plastic Palletank[®] for storage are foldable for minimal storage space when empty. They are also stackable for optimal floor space utilization when filled with biopharmaceutical solutions. They are available as standard products with volumes of 200 L, 500 L and 1000 L that perfectly fit with 100 L|200 L, 500 L and 1000 L Flexel[®] 3D Bags.

Applications

The Plastic Palletank[®] for storage with Flexel[®] 3D Bags have been designed for the safe storage and processing of a wide range of biopharmaceutical fluids in a variety of applications such as:

- Buffers and media storage
- Bulk harvest
- Product pooling
- Fraction collection
- Sample collection
- Bulk intermediate hold

Optimal space utilization

The Plastic Palletank[®] for storage allows for secure stacking, up-to 3-high, to meet the complex in-process and storage requirements, while maximizing the utilization of the available clean room area. It saves up-to 50% of the space required for cylindrical drums. When not in use, the collapsible tank can be folded to approximately half of its height for intermediate storage.

| Volume | Floor space requir | Space | |
|--------|--|---|--------|
| | Plastic Palletank [®] for storage | Drum | saving |
| 200 L | 9 × 200 L Stacked 3-high 1.5 m ² (16.1 sq ft) | 9 × 200 L 3.2 m ² (34.4 sq ft) | 50% |
| 500 L | 6 × 500 L Stacked 2-high 3 m ² (32.3 sq ft) | 6 × 500 L 3.7 m ² (40 sq ft) | 20% |
| 1000 L | $2 \times 1000 L$ Stacked 2-high 1.3 m ² (14 sq ft) | 2 × 1000 L 2.3 m ² (25 sq ft) | 40% |

Easy setting and use

One person can easily prepare a Plastic Palletank[®] for storage; the walls are raised and locked in place by hand. Their low tare weight ensures easier operator manipulation and facilitates intra facility transfer.

Hygienic design

The Plastic Palletank® for storage is not subject to rust and corrosion due to all plastic construction. The containers are made from a robust, scratch-resistant plastic material. The dismountable flat, smooth walls and the rounded corners facilitate cleaning operations. The Plastic Palletank® for storage is compatible with cleaning and decontaminating agents commonly used in the biopharmaceutical industry.

Specifications

1. Plastic Palletank[®] for storage

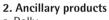
| Description | | STD Plastic Palletank [®] for storage | | |
|--|----------------------|--|---|--|
| | | 200 L | 500 L | 1000 L |
| Part Number | | FXC116215 | FXC116216 | FXC116217 |
| Bag Volume(s) | | 100 L 200 L | 500 L | 1000 L |
| Main Constrution Material | | PP | ABS | ABS |
| Dimensions (w \times d \times h) Approx. | Unfolded | 805 × 607 × 969 mm 32 × 24 × 38 in. | 1215 × 805 × 1086 mm 48 × 31 × 43 in. | 1225 × 1022 × 1437 mm 48 × 40 × 57 in. |
| | Folded | 805 × 607 × 510 mm 32 × 24 × 20 in. | 1215 × 805 × 572 mm 48 × 31 × 22 in. | 1225 × 1022 × 598 cm 48 × 40 × 23 in. |
| Weight incl. lid | (approx.) | 25 kg (55 lb) | 79 kg (174 lb) | 125 kg (275 lb) |
| Bottom Gate | | 1 | 1 | 2 |
| Stackability -Static | Folded | 5 | 3 | 3 |
| | Unfolded and full | 3 | 2 | 2 |

Ordering Information

| FXC116215 | STD Plastic Palletank [®] Storage 200 L |
|-----------|--|
| FXC116216 | STD Plastic Palletank [®] Storage 500 L |
| FXC116217 | STD Plastic Palletank [®] Storage 1000 L |
| FXA116630 | STD Palletank [®] Accessory Dolly 200 L (Plastic Storage) |
| FXA116631 | STD Palletank [®] Accessory Dolly 500 L (Plastic Storage) |
| FXA116632 | STD Palletank [®] Accessory Dolly 1000 L (Plastic Storage) |



a. Dolly



a. Dolly

| Description | STD Palletank® Accessory Dolly | | | |
|--------------------------------------|--------------------------------|---------------|--|--|
| | 200 L | 500 L | 1000 L | |
| Part Number | FXA116630 FXA116631 FXA116632 | | | |
| Construction Material | 304 L and polyamide (wheels) | | | |
| Surface Finishing | Glass bead blasted | | | |
| Dimensions (w \times d \times h) | · | | $\begin{array}{l} 1207 \times 1007 \times 214 \text{ mm} \\ 47 \times 39 \times 8 \text{ in.} \end{array}$ | |
| Weight (approx.) | 10 kg (22 lb) | 20 kg (44 lb) | 22 kg (48 lb) | |



b. Weighing Platform

b. Weighing Platform

| | IFS4-300LL-I | IFS4-600RN-I | IFS4-1500RN-I |
|---|--|--|--|
| Weighing Capacity | 300 kg 1322 lb | 600 kg 1322 lb | 1500 kg 3306 lb |
| Platform Size (D \times W) | 1000 × 1000 mm 39 × 39 in. | 1500 × 1250 mm 59 × 49 in. | 1500 × 1250 mm 59 × 49 in. |
| Height | Standard: 35 mm 1.4 in. | Standard: 45 mm 1.8 in. | Standard: 45 mm 1.8 in. |
| Load Plate | AISI304 1.4301 (V2A) bead blasted | AISI304 1.4301 (V2A) bead blasted | AISI304 1.4301 (V2A) bead blasted |
| Resolution | 30.000 d | 30.000 d | 30.000 d |
| Readability | 10 g | 20 g | 50 g |
| Suitable with Plastic Palletank [®] storage | 200 L | 500 L | 1000 L |

Palletank[®] storage

Please contact your Sartorius Mechatronics Sales Representative for detailed ordering information.

Sartorius Stedim Biotech GmbH August-Spindler-Strasse 11 37079 Goettingen, Germany Phone +49.551.308.0 Fax +49.551.308.3289 www.sartorius-stedim.com

USA Toll-Free +1.800.368.7178 UK +44.1372.737159 France +33.442.845600 Italy +39.055.63.40.41 Spain +34.90.2110935 Japan +81.3.3740.5407

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XDR Single-Use Bioreactors

Introduction

The XDR Single-Use Bioreactor is a fully-integrated system that delivers proven stirred-tank performance across a wide range of cell lines. Single use technology eliminates time consuming and costly clean in place (CIP), steam in place (SIP) and cleaning validation procedures. The system's turnkey design enables fast installation and startup as well as rapid batch-to-batch turnover and increased process flexibility compared to fixed stainless steel hard piped vessels.

The XDR is offered in five sizes (50, 200, 500, 1000, and 2000L) with working volumes ranging from 10L to 2,000L. The system's unique design enables each XDR to operate down to 20% of maximum working volume (5:1 turn-down ratio), which can often eliminate the need for an additional seed reactor and related costs. Each reactor system includes a stainless steel vessel, vital process instrumentation, state-of-the-art control automation, and an optimized single-use bioreactor bag assembly. For high-density microbial applications, ask about the XDR-50 Turbo model.

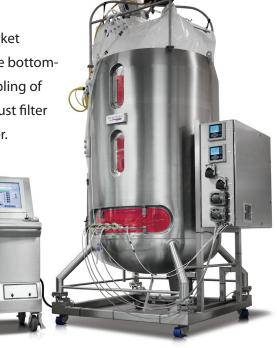
► Efficient, Convenient Vessel Design The vessel features a dimpled jacket heat transfer surface for efficient heating and cooling, a high performance bottommounted magnetic drive agitator system that facilitates loading and coupling of the single-use bag assembly, load cells for weight measurement, an exhaust filter heater box, flexible hoses for the heat transfer fluid, and a tubing manager.

Critical Process Instrumentation Mass flow controllers, peristaltic pumps, and probe transmitters are built into the cabinet adjacent to the vessel, and an external temperature control unit is available as an option for process heating/cooling. The system requires only gases, power and minimal water for full functionality.

XDR 2000 🕨

SYSTEM SNAPSHOT

- Fully-integrated, turnkey GMP system
- Proven, scalable stirred tank performance across a wide range of cell lines
- Single-use design eliminates CIP/SIP
- Operating volumes of 10L to 2,000L
- Essential process instrumentation included and fully characterized
- Integrated temperature control and dimpled vessel jacket for efficient heat transfer
- Powerful, user-friendly control system
- Predictive modeling and Xcellerex process expertise eliminates start-up headaches and ensures process efficiency



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Powerful, User-Friendly Controls The XDR is equipped with a fully integrated control console,

featuring intuitive process controls, data historian, and robust automation hardware/software. The system enables precise process control, and offers convenient real-time trending and other valuable data. When coupled with Xcellerex's process development experience and predictive modeling support,



the XDR control platform assures optimized first-run process performance and reliability through tech transfer and scale-up.

► Robust Single-Use Components The XDR irradiated single-use bag assembly consists of a USP Class VI LDPE fluid contact layer, tubings for liquid additions and harvest, sampling and probe ports, a disposable pressure sensor, filtered gas lines, and high-functioning agitator system. The

Key XDR Advantages

- 5:1 turndown means each XDR can operate at 20% of maximum working volume, doing the work of multiple reactors
- True plug-and-play performance: Connect gases and power and get started
- Robust, self-installing magnetically-driven bottom-mount impeller: No rotating seals, insertable shafts and connection hassles
- Jacketed vessel ensures uniform temperature distribution throughout reactor
- Flexible control systems automate temperature shifts, feeds, changes to sparge composition
- Multiple probe configurations available, including pH, DO, CO2, and biomass
- Accurate gas control using mass flow controllers on all systems (optional MFC for headspace on XDR50)
- Bag material sourced from multiple manufacturers for supply chain security
- Single-use design eliminates time consuming CIP, SIP and cleaning validations procedures

agitator includes a HDPE bottom mounted impeller and a unique sparge system consisting of microporous stainless steel disks and optional drilled holes that together impart the system with tremendous flexibility crucial for today's cell culture processes. Xcellerex also maintains multiple supply sources to guard against supply chain disruptions.

Expert process support from Xcellerex With years

of experience running and supporting GMP biomanufacturing operations, Xcellerex designed the XDR system to deliver complete process control from the moment each system starts up. No other single-use bioreactor is available with the XDR's depth of characterization, process support, and GMPreadiness. Xcellerex is uniquely able to deliver critical control automation with instrumentation, functionality, process modeling, tech transfer, and scalability.



- XDR Single Use Reactor Systems are Factory Acceptance Tested (FAT) prior to delivery and Site Acceptance Tested (SAT) upon installation
- Each XDR Single Use Reactor System is shipped with a Turnover Package which includes XDR Operating Manual, System Specifications, FAT commissioning report, system drawings, component operating manuals, calibration records, and optional IQ/OQ protocols
- Xcellerex process experience and know how is built into every XDR System: our process development expertise ensures that the process is up and running right the first time
- Xcellerex offers extensive XDR-specific predictive modeling and expert scale-up support
- Enhanced sparge control and CO2 stripping capabilities not offered on other single use systems
- Designed and fully characterized to deliver scalable cGMP processes from 10L to 2000L
- Xcellerex has years of cGMP manufacturing experience operating the XDR system. GMP product made in the XDR is currently being used in clinical trials and commercial XDR facilities are currently being prepared for commissioning.

All specifications are subject to change without notice.

SYSTEM SPECIFICATIONS

| STSTEM SPECI | | | | | | |
|---|------------------------|---|-------------------------------|--|---------------------------------|------------------------------|
| | | XDR-50 | XDR-200 | XDR-500 | XDR-1000 | XDR-2000 |
| BIOREACTOR | | | | | | |
| Max Working Volume (| L) | 50 | 200 | 500 | 1000 | 2000 |
| Min Working Volume (I | .) | 10 | 40 | 100 | 200 | 400 |
| Volume turn-down rati | io | 5:1 | 5:1 | 5:1 | 5:1 | 5:1 |
| Tank ID (in) | | 12 | 22 | 30 | 38 | 48 |
| H/D | | 2.5 | 1.5 | 1.5 | 1.5 | 1.5 |
| Vessel Material of Cons | truction | Jacketed 304 SS | Jacketed 304 SS | Jacketed 304 SS | Jacketed 304 SS | Jacketed 304 SS |
| Filter Heater Box | | 1 | 1 | 1 | 1 | 1 |
| Bag Hoist | | NA | NA | NA | Automated | Automated |
| Impeller | | | Bottom mounted, magnet | tically-driven, single-use impeller inte | egrated with bag assembly | |
| Impeller type | | | | M40E | | |
| Impeller diamete | r (in) | 8 | 8 | 10 | 12 | 16 |
| Di/Dt | | 0.67 | 0.36 | 0.33 | 0.33 | 0.33 |
| Impeller location | | Center 90° | 15° off center | 15° off center | 15° off center | 15° off center |
| | Standard | Stanless steel sintered disks | Stanless steel sintered disks | Stanless steel sintered disks | Stanless steel sintered disks | Stanless steel sintered disk |
| Sparger | | Drilled Hole | Drilled Hole | Drilled Hole | Drilled Hole | Drilled Hole |
| | Optional | CO2 stripping | CO2 stripping | CO2 stripping | CO2 stripping | CO2 stripping |
| PROCESS INSTRUMENTAT | TION | | | | | |
| pH probes | | 1 | 2 | 2 | 2 | 2 |
| D0 probes | | 1 | 2 | 2 | 2 | 2 |
| MFCs (Brooks) | Standard | 4 | 4 | 4 | 4 | 4 |
| MILCS (DIOUKS) | Additional (optional) | 2 | 2 | 2 | 2 | 2 |
| Pumps | | | 3 Pumps are sta | ndard. Additional pumps are availa | ble as an option | |
| Temperature Control Uni | t | Optional: 3 kw heater Optional: 9 kw heater | | | | |
| Optional: 3 kw heater/ 0.5 ton chiller combo | | r/ 1.5 hp chiller combo | | | | |
| Load Cells | | 3 | 4 | 4 | 4 | 4 |
| Utility Requirements | | | Process gases / 110V, single | phase, 60 hz / TCU: 230V, 3 phase, 6 | 0 hz (EU: 380V, 3 phase, 50 hz) | |
| E-Stop | | Integrated Safety Circuit for pumps, agitator, TCU, and filter heater | | | | |
| CONTROL UNIT (see page 5 t | for additional detail) | | | | | |
| Integrated Control Panel | | Built to GAMP5 standards/ 21 CFR Part 11 Compliant | | | | |
| Hardware | | Rockwell/ Allen Bradley | | | | |
| Operator Interface | | Wonderware HMI | | | | |
| Data Historian | | Wonderware | | | | |
| Programming | | Rockwell Allen Bradley/Wonderware Archestra | | | | |

NOTE: This document describes standard systems and commonly requested options. Additional custom-engineered systems are also available to meet unique application needs. Ask your Xcellerex representative for details.

PERFORMANCE CONTROL SPECIFICATIONS

All specifications are subject to change without notice.

| | | XDR-50 | XDR-200 | XDR-500 | XDR-1000 | XDR-2000 |
|--|------------------|-------------------------------|-----------------|-------------------------------|----------|----------|
| рН | Range | 2-12 pH units | | | | |
| | Accuracy | | ± 0.05 pH units | | | |
| DO | Range | | | 0-100% atmospheric saturation | | |
| | Accuracy | \pm 1.0% of setpoint | | | | |
| Sparge Rate (slpm) | Air* | 0-5 | 0-5 | 0-10 | 0-10 | 0-20 |
| *Additional MFC/sparge | 02* | 0-5 | 0-5 | 0-10 | 0-10 | 0-20 |
| ranges can be supplied based on application | C0 _{2*} | 0-5 | 0-5 | 0-10 | 0-10 | 0-20 |
| requirements | Air Headsweep* | 0-10 | 0-10 | 0-20 | 0-20 | 0-25 |
| Temperature | Range | 4-60 °C | | | | |
| | Accuracy | ±0.1 °C | | | | |
| Bag Pressure | Range | 0 - 30 psig (0 - 2068 mbar) | | | | |
| | Accuracy | ± 0.1 psig (± 6.89 mbar) | | | | |
| Agitation (rpm) | | 0-360 | 0-360 | 0-250 | 0-140 | 0-115 |
| Load Cell Accuracy | | \pm 0.02% of max bag volume | | | | |

NOTE: For high-density microbial applications, please ask about the XDR-50 Turbo system.

SYSTEM DIMENSIONS

| | XDR-50 | XDR-200 | XDR-500 | XDR-1000 | XDR-2000 |
|-----------------------------------|-----------|----------|-----------|----------|-----------|
| Width (Vessel & Instrumentation) | 43.375 in | 52.25 in | 57 in | 64 in | 23.375 in |
| | (111 cm) | (133 cm) | (145 com) | (163 cm) | (182 cm) |
| Depth (Vessel & Instrumentation) | 28 in | 37 in | 48 in | 52 in | 61 in |
| | (71 cm) | (94 cm) | (122 cm) | (132 cm) | (155 cm) |
| Height (Vessel & Instrumentation) | 73.5 in | 85.125 | 93.75 in | 115 in | 140 in |
| | (187 cm) | (216 cm) | (238 cm) | (292 cm) | (356 cm) |

HMI Control Unit Dimensions: 23.375 in x 30.75 in x 56.75 in (60 cm x 78 cm x 144 cm)

FULLY-INTEGRATED XDR BIOREACTOR BAG ASSEMBLY

- USP Class VI
- LDPE fluid contact layer
- Gamma Irradiated
- Validation Guide

Standard "off-the-shelf" designs impart flexibility for a wide range of cell culture and microbial processes.

Custom application-specific designs available upon request.

Each bag comes complete with:

- C-flex tubing (for tube-to-tube aseptic welding)
- Aseptic connectors
- Multiple feed/addition lines
- Harvest line
- Exhaust filter
- Sparge filter
- Overlay filter
- Pressure sensor
- Sampling ports
- Probe ports
- Thermowell (for temperature probe)
- Proprietary XDR impeller/sparge system

CONTROL AUTOMATION PLATFORM

The XDR Control Platform is comprised of a Rockwell/Allen Bradley controller with Wonderware HMI and data historian. The platform delivers precise process control and offers a level of flexibility and convenience that is unmatched in the industry.

In addition to robust control architecture, the XDR features a highly intuitive user interface that speeds operator training and efficiency.

Intuitive, Powerful Interface

The XDR control system user interface was designed to provide fast and easy, yet comprehensive control over process configuration to the operator. The easy-to-read screens enable dynamic adjustment to key variables, and enable the operator to review complete configuration schemes at a glance. The high clarity touchscreen display provides an intuitive, visual interface that operators can use with confidence. (See Screen 1)

The XDR system enables rapid understanding and interpretation of active control strategies through the use of graphical symbols. (See Screen 2)

In addition, set-point automation tables enable the easy automation of set-point changes, permitting live adjustments to ramp or step to new value. (See Screen 3)

Powerful Control Strategies for Fast Response, Tight Control

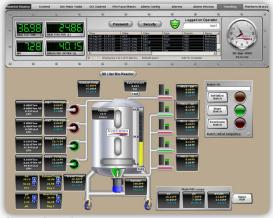
- Cascade control strategies of pH, DO, and volume result in very tight control of critical process conditions.
- Flexible closed loop control of critical process parameters support improved yields:
 - Agitation

- Sparge
- Gas mixing · Liquid addition and removal
- pH Weight

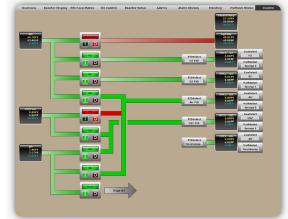
- Gas overlay
- Temperature
- DO (See Screen 4)
- Dynamic reconfiguring of cascade control loops enables exceptional process flexibility.

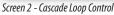
Comprehensive, Real-Time Data Capture, Reporting and Trending

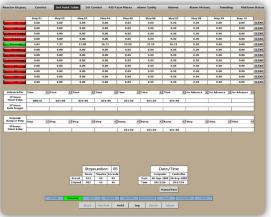
- The real-time data historian captures all process parameters and times, and offers accessible look-up.
- Export of data to other master data repository systems is supported with built-in functionality
- Real time alarming protects process integrity and helps avoid lost batches
- All alarms are logged to database



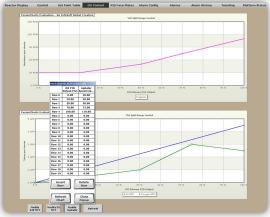
Screen 1 - System Overview







Screen 3 -Set Point Automation Tables



Screen 4 - DO Strategy Set-Up Screen

XDR PERFORMANCE DATA

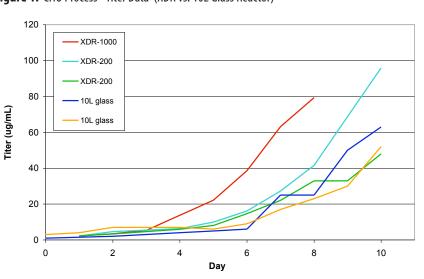
Figures 1-3 illustrate examples of *first-run* data for a series of CHO processes, demonstrating the XDR system's ability to achieve immediate process equivalence. Additional, extensive data is available on request.

FIGURE 1: XDR achieved equivalent or better titer during scale-up from a 10 liter glass reactor to a 200 L XDR to a 1000 liter XDR.

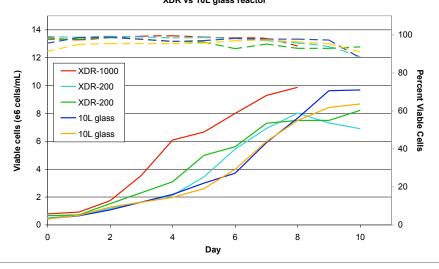
FIGURE 2: XDR achieved equivalent or better cell viability during scale-up from a 10 liter glass reac-

tor to a 200L XDR to a 1000 liter XDR.

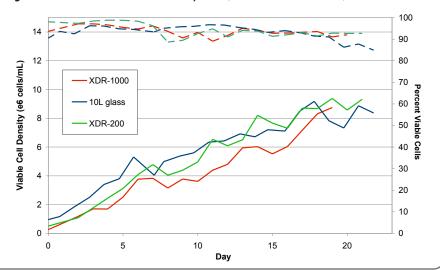
Figure 1: CHO Process - Titer Data (XDR vs. 10L Glass Reactor)

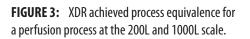












FOR ADDITIONAL INFORMATION

To learn more about XDR Single-Use Bioreactors or other Xcellerex systems or services, please contact customer service at:

Toll Free: 1-866-Xcellerex (1-866-923-5537)

Telephone: 1-508-480-9235

Email: sales@xcellerex.com



Xcellerex, Inc. 170 Locke Drive Marlborough, MA 01752 USA Toll Free: 1-866-Xcellerex Tel: 508-480-9235 info@xcellerex.com www.xcellerex.com rev. xdr031111

Carr Centritech Separation Systems Carr[®] UniFuge[®] Pilot

Carr Centritech's new UniFuge® from PneumaticScaleAngelus utilizes a gamma irradiated, single-use module that requires NO CIP and NO SIP. All process contact surfaces are easy to install and are 100% replaceable after each run. Low shear harvesting of mammalian and insect cells is possible, and minimal reduction in viability of recovered cells is achievable. Since the cells are not lysed, production of cell debris in the centrifuge is minimized, making the UniFuge® an excellent choice for both cell recovery or centrate clarification. UniFuge® modules are readily tube welded to your single-use bioreactor connections. (Customer-specified single-use connectors available upon request.)

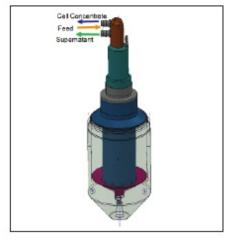
The UniFuge[®] is completely automated with flexible cycle parameter entry. The feed suspension is gently pumped to the module and the cells settle to the outer radius while the clear supernatant is continuously discharged. Once the module has filled with cells, the controller stops the rotor and discharges the cells. This cycle is repeated until the bioreactor volume has been processed.

Machine Specifications

Bowl Capacity:

 Utility Requirements Power Configurations: US 120 VAC 15A EU 230 VAC 50 Cycle 10A Construction Process Wetted Parts: USP Class VI Polycarbonate USP Class VI Polyurethane USP Class VI Silicone USP Class VI Bioprene USP Class VI C-Flex USP Class VI Polypropylene Terminally Gamma Irradiated Dimensions 53" L X 32" W X 46" H Cart: Centrifuge: 33.5" L X 19" W X 35" H Weight: 640 lbs. total Operating Specifications Feed Flow Range: 0.1-4 liters per min.

1.6 liters





For more information, please contact your Carr Centritech representative at:

> 5320 140th Avenue North Clearwater, FL 33760 USA (727) 535-4100

PneumaticScaleAngelus

10 Ascot Parkway, Cuyahoga Falls, OH 44223 USA • (330) 923-0491 sales@psangelus.com • www.pneumaticscaleangelus.com

Zeta Plus[™] Single-Use Depth Filtration Product Portfolio







Zeta Plus[™] Encapsulated System... The System of Choice for Single-Use Depth Filtration

Features & Benefits

Ergonomically Designed Holder System:

Large holders (Model# 16EZB and #16EZC [not shown]) can be pivoted between

horizontal and vertical positions.

- Enables loading and unloading at waist height.
- Minimal fluid spills when handling spent capsules.
- Single or two-stage depth filtration within one filter holder.

Vertical flow path.

- Full utilization of the filter media.
- Small footprint during filtration.

Innovative Capsule/Manifold Design:

Translucent plastic shell (Standard Capsules).

• Easy detection of the liquid level inside, providing real time monitoring of the filtration process.

Solid core design with fully encapsulated shell.

- Eliminates the need for a stainless steel housing and the cleaning step after filtration.
- Low hold-up volume.

Self guiding locking mechanism.

• Fast, reliable and robust capsule-to-capsule connectivity.

Lenticular style capsule design.

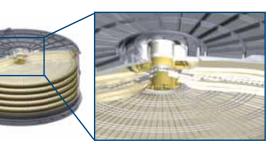
- Linear scalability from small to large systems.
- Consistency between single-use and conventional depth filtration.

Zeta Plus[™] filter media:

- Both double layer and single layer Zeta Plus filter media are available.
- Superior performance in throughput and filtration efficiency.



Model# 16EZB





Zeta Plus™ Encapsulated Standard Capsule Offering With Polycarbonate Shells



Zeta Plus[™] Encapsulated Capsule Offering With Alkaline Resistant* Polyphenylene / Polystyrene Shells

The Zeta Plus[™] Encapsulated System is a single-use depth filtration system designed for the bioprocessing industry where upstream cell culture clarification or downstream impurity removal is required. The Zeta Plus Encapsulated System consists of three product lines: a small system that is ideal for lab scale production or scale-up studies, and two larger systems that are designed for production scale biomanufacturing. Each system is comprised of a filter holder, top and bottom manifolds, and the required number of capsules.

The Zeta Plus Encapsulated Systems for production scale biomanufacturing feature an ergonomically designed large holder (Models# 16EZB and 16EZC) that can be pivoted between horizontal and vertical positions, allowing for convenient loading and unloading, minimal footprint during filtration, minimal fluid spills during unloading, and full utilization of the filter media.

The capsule design features a translucent plastic shell (standard capsules) for easy liquid level detection; a self guiding locking mechanism for fast and robust capsuleto-capsule connection; and the industry leading Zeta Plus depth filter media that provides superior filtration performance.



Zeta Plus™ Cartridges and Capsule Family



Self Guiding Locking Mechanism Enables Fast And Robust Capsule-To-Capsule Connection

* Based on testing with 1M NaOH and 5% NaClO (Bleach). See Chemical Compatibility Guide (70-0202-2023-5/LITPHG03) for more information.



High Performance filter media

The Zeta Plus[™] Encapsulated System utilizes the industry leading Zeta Plus depth filter media, including the double layer Zeta Plus EXT Series filter media and many popular single layer Zeta Plus filter media options including SP media and ZA media grades. The Zeta Plus EXT Series is a family of advanced dual zone depth filters designed to provide optimal clarification of bioprocess and biological fluids. Most Zeta Plus products using EXT Series filter media consist of two distinct layers, or "zones" of filter media with the upstream zone more open than the downstream one. This structure enhances the contaminant holding capacity of the filter media, reduces premature plugging and helps extend service life. All Zeta Plus EXT filter media grades are available in single-use format including a special grade (DELI08A) that is designed for lipid removal.

Applications

- Clarification of mammalian cell culture harvest.
- Enhanced protection of downstream processes.
- Clarification of bacteria, yeast and insect cell lysates.
- Host Cell Proteins (HCP) removal.
- Viruses and DNA reduction.
- Protein aggregates removal.
- Endotoxin removal.
- Anti-foaming agents removal.

Table 1a. Recommended Operating Parameters

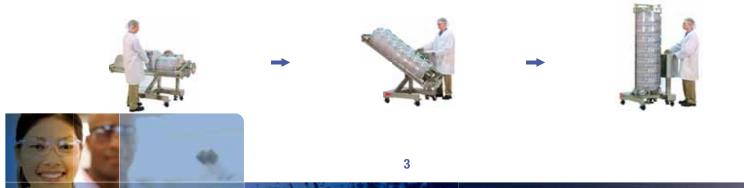
| | Zeta Plus™ Encapsulated System | Zeta Plus™ Scale-Up Capsules | |
|--|--------------------------------------|--------------------------------------|--|
| Maximum Operating Pressure Inlet Pressure Differential Pressure | 3.4 bar (50 psi) 2.4 bar (35 psi) | 3.1 bar (45 psi) 35 psi (2.4 bar) | |
| Maximum Operating Temperature | 40°C (104°F) | | |
| Recommended Pre-use Rinse | 54 L/m² (1.3 gal/ft²) | | |

The primary application for the Zeta Plus Encapsulated System is cell culture clarification after fermentation. The System can be employed alone, or in combination with centrifugation or Tangential Flow Filtration (TFF). An alternative application for the Zeta Plus Encapsulated System is downstream impurity removal. Taking advantage of its positive charge capacity, Zeta Plus filter media has been shown to be effective in removing contaminants such as host cell proteins (HCP), viruses, DNA, protein aggregates, and endotoxins.

In monoclonal antibody production, Zeta Plus filter media is increasingly being used as a polishing step after the Protein A column capture step, replacing traditional anion exchange chromatography.

Ergonomically Designed Filter Holders

Traditional depth filtration systems utilize lenticular style cartridge filters and a vertical filtration flow path to allow easy access to process liquids and efficient utilization of filter media. However, stacking cartridges from bottom to top can be cumbersome and dismantling the spent cartridges is often labor intensive. Furthermore, fluid spills during the unloading process could be problematic and, in some instances, might expose the operators to the process fluids.







Recognizing the need for a depth filtration system that is fast, easy and clean, 3M designed filter holders (Model# 16EZB and #16EZC) that can be pivoted between the horizontal position for loading and unloading the capsules and manifolds, and the vertical position for filtration. Allowing loading and unloading at waist height eliminates the need for operators to lift capsules above their heads and reduces the risk of fluid spills when handling spent capsules. The use of the vertical flow path allows for full media utilization and a small system footprint during filtration.

Small Holder (Model# 16EZA)

The small holder is available for scale-up studies and lab scale protein production. This holder can accommodate from one to four 0.23 m^2 capsules, or one 1.6 m^2 (double layer) or 2.5 m^2 (single layer) capsule. Either single stage or two-stage depth filtration can be performed within the same holder. The standard small holder has a built-in torque limiter that will signal the operator when the holder assembly is properly sealed. A modified version is available that is ideal for applications where autoclaving is required.

Large Holder (Model# 16EZB)

The large holder can accommodate up to 17.5 m^2 of single layer Zeta Plus filter media (or 11.2 m^2 of double layer Zeta Plus filter media), and is ideal for small to large production scale purification processes.

Multi-Round Holder (Model# 16EZC)

The Multi-Round Holder incorporates multiple carousels to allow for additional filtration area for larger scale applications. Movement of the carousel from the horizontal load position to the vertical filtration position is done via automation. The Multi-Round Holder can be adapted to meet the specific needs of large-scale biomanufacturers. For more information, please contact your 3M Purification representative.



Innovative Capsule/Manifold Design

Two capsule configurations are available for use with the Zeta Plus[™] Encapsulated System. The small capsule is designed for use with the small holder in lab scale production and scale-up studies. It has 0.23 m² filtration area and it accommodates both double layer and single layer Zeta Plus filter media. The large capsule is designed for use with both the small and large holders. It accommodates 1.6 m² double layer media or 2.5 m² single layer media. The large capsule has a self guiding locking mechanism for a fast, easy, and robust capsule-to-capsule connection, and a solid core design that eliminates the need for stainless steel bands and center post. In addition, this capsule also has two handles for convenient loading and unloading, and a low capsule fill volume and post-blown down hold-up volume. The capsule plastic shells are available in two different materials for a broad range of applications. The standard capsules use polycarbonate, thus have the translucent plastic shells that enables easy liquid level detection. The alkaline resistant* capsules use polyphenylene/polystyrene, and can be used in applications where NaOH is required either before or after filtration. Correspondingly, the single use top and bottom manifolds are also available in these two materials. The manifold set ensures the entire filtration process is fast, easy, and clean. An extra pair of manifolds is required to perform two-stage filtration within one holder assembly.



Top Manifold



Bottom Manifold



Small Capsule 0.23 m² Capsule (with double layer or single layer media)



Large Capsule 1.6 m² Capsule (with double layer media) 2.5 m² Capsule (with single layer media)

Figure 2. Nominal Retention Ratings for Zeta Plus[™] EXT Grades (For reference only. Retention ratings may vary depending on application.)



* Based on testing with 1M NaOH and 5% NaClO (Bleach). See Chemical Compatibility Guide (70-0202-2023-5/ LITPHG03) for more information.



Figure 3. The Zeta Plus[™] Encapsulated System Single-Use Depth Filtration Product Portfolio

Scalability

The Zeta Plus[™] Encapsulated System retains the lenticular filter design and vertical flow path that are characteristics of traditional depth filtration systems, helping ensure consistent performance from bench scale to production scale, and from traditional non-disposable to disposable systems.

Four disposable scale-up devices are available for media grade selection and filter sizing. The BC25 capsule with 25 cm^2 filter media is ideal for media grade screening. The scale-up capsule filters with 170 cm², 340 cm² and 1020 cm² media are designed for intermediate scale-up studies and lab scale protein production. Combined with these small scale devices, the Zeta Plus Encapsulated System offers a completely single-use solution to process from 0.5 L to 2500+ L of liquid.



Figure 4. Zeta Plus[™] Scale-Up Capsules





Figure 6. Multi-Round System

Figure 5. Large Holder with Mechanical Polish Finish

Table 2a. Zeta Plus[™] Encapsulated System Capsule Filter and Manifold Specifications

| | | Configuration | | | | | |
|----------------------------------|------------------------|--|-------------------------------------|--|---------------------------------|--|--|
| | | Small | Capsule | Large | Capsule | | |
| | | Standard Alkaline Resistant ¹ | | Standard | Alkaline Resistant ¹ | | |
| Dimensions (Height x Diar | neter) | 5.7 cm x 45.2 | cm (2.2" x 17.8") | 20.3 cm x 45.2 | cm (8.0" x 17.8") | | |
| Weight | | | | | | | |
| Dry | | 3.3 kg (7 lbs) | 3.4 kg (8 lbs) | 10.0 kg (22 lbs) | 10.7 kg (24 lbs) | | |
| Wet (post Blow-Down) | | 4.4 kg (10 lbs) | 4.8 kg (11 lbs) | 19.3 kg (43 lbs) | 19.7 kg (43 lbs) | | |
| Materials of Construction | | | | | | | |
| filter media | | Filter aids, cellul | ose, binding resin | Filter aids, cellul | ose, binding resin | | |
| Outer Shell | | Polycarbonate | Polyphenylene / Polystyrene | Polycarbonate | Polyphenylene / Polystyrene | | |
| 0-rings | | Sili | cone | Sili | cone | | |
| Separators, Spacers a | nd Connectors | | opylene | | opylene | | |
| Edge Seals | | Thermoplastic Elastomer | | Thermoplas | tic Elastomer | | |
| Handles | | N/A | | Nylon | | | |
| Hold-up Volume | | | | | | | |
| Capsule Fill Volume ² | Double Layer: | ~ 1.1 L | (~ 0.3 gal) | ~ 5.2 L (~ 1.4 gal) | | | |
| Gapsule Fill volutte | Single Layer: | ~ 2.6 L (~ 0.7 gal) | | ~ 4.2 L (~1 .1 gal) | | | |
| Post Blow-Down Hold- | up Volume ³ | < 100 mL (<0.026 gal) | | < 100 mL (<0.026 gal) | | | |
| Maximum Operating Line | Pressure | 3.4 bar (50 psig) | | 3.4 bar (50 psig) | | | |
| Maximum Differential Pre | ssure | | | | | | |
| Forward | | 2.4 bar (35 psid) | | 2.4 bar (35 psid) | | | |
| Sterilization | | 1 cycle autoclave (30 | mins) at 126°C (259°F) | 1 cycle autoclave (30 | mins) at 126°C (259°F) | | |
| | | 0.00 | 0. (0. 4. (10) | Double layer: 1.6 m ² (17.2 ft ²) | | | |
| Effective Filtration Area | | 0.23 m | ² (2.4 ft ²) | Single layer: 2.5 m ² (27.0 ft ²) | | | |
| Top/Bottom Manifold | | | | | | | |
| Dimensions (Height x Diameter) | | 5.2 cm x 45.2 c | cm (2.0" x 17.8") | 5.2 cm x 45.2 c | m (2.0" x 17.8") | | |
| Connector | | 1.5" Sar | itary Style | 1.5" San | itary Style | | |
| Material | | Polycarbonate | Polyphenylene / Polystyrene | Polycarbonate | Polyphenylene / Polystyrene | | |
| Weight | | 4.5 kg (10 lbs) | 4.5 kg (10 lbs) | 4.5 kg (10 lbs) | 4.5 kg (10 lbs) | | |
| Hold up volume | | < 250 mL | . (<0.07 gal) | < 250 mL | < 250 mL (<0.07 gal) | | |

¹ Based on testing with 1M NaOH and 5% NaCIO (Bleach). See Chemical Compatibility Guide (70-0202-2023-5/LITPHG03) for more information.

² Capsule Fill Volume is defined as the volume of liquid that is required to fill the portion of the capsule outside the filter media.

³ Post Blow-Down Hold-up Volume is defined as the volume of the residual liquid after nitrogen gas blow-down.

Figure 7. Single-Use Manifolds and Capsule Filter Schematic

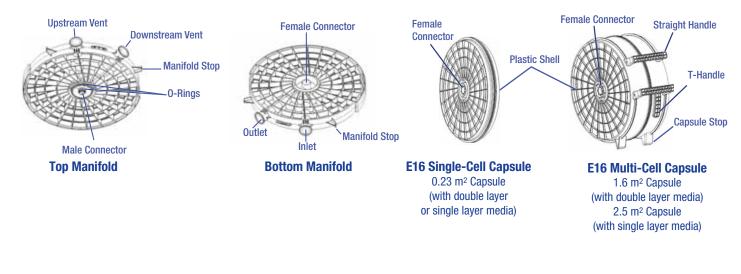






Table 2b: Zeta Plus Scale-Up Capsule Filter Specifications

| | 170 cm ² Capsule | 340 cm ² Capsule | 1020 cm ² Capsule | |
|---|---|---|---|--|
| Dimensions | | | | |
| Height x Diameter | 4.1" x 8.5" (10 | .3 cm x 21.6 cm) | 6.0" x 8.5" (15.2 cm x 21.6 cm) | |
| Weight | | | | |
| Dry - Single Layer | 1.0 kg (2.2 lb) | 1.0 kg (2.1 lb) | 1.4 kg (3.0 lb) | |
| Dry - Double Layer | 1.0 kg (2.2 lb) | 1.0 kg (2.3 lb) | 1.6 kg (3.5 lb) | |
| Wet Post Blow-Down - Single Layer | 1.1 kg (2.4 lb) | 1.1 kg (2.5 lb) | 1.8 kg (4.0 lb) | |
| Wet Post Blow-Down - Double Layer | 1.2 kg (2.6 lb) | 1.3 kg (2.9 lb) | 2.4 kg (5.2 lb) | |
| Materials of Construction | | | | |
| Capsule Shells | | Polysulfone | | |
| Separator, Spacer, Vent Cap | | Polypropylene | | |
| O-ring | | Fluorocarbon | | |
| Endcap & Edge Seals | | Thermoplastic Elast | omer | |
| Volume | | | | |
| Capsule ¹ Fill Volume - Single Layer | 0.48 L | 0.40 L | 0.91 L | |
| Capsule ¹ Fill Volume - Double Layer | 0.43 L | 0.34 L | 0.62 L | |
| Post ² Blow-Down Hold-up Volume - Single Layer | < 40 mL | < 40 mL | < 40 mL | |
| Post ² Blow-Down Hold-up Volume - Double Layer | < 40 mL | < 40 mL | < 40 mL | |
| Miscellaneous | | | | |
| Sterilization | | 1 cycle autoclave (30 min) @ | 126°C (259°F) | |
| Effective Filtration Area | 170 cm ² (0.18 ft ²) | 340 cm ² (0.37 ft ²) | 1,020 cm ² (1.10 ft ²) | |
| Connector | 1/2" Sanitary Style | | | |

¹ Capsule Fill Volume is defined as the volume of liquid that is required to fill the portion of the capsule outside the filter media.

² Post Blow-Down Hold-up Volume is defined as the volume of the residual liquid after nitrogen gas blow-down.

IMPORTANT NOTICE: Always operate the filter system within the maximum differential pressure of 2.4 bar (35 psig).

Figure 8. Scale-Up Capsule Filter Schematics

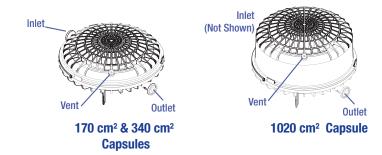




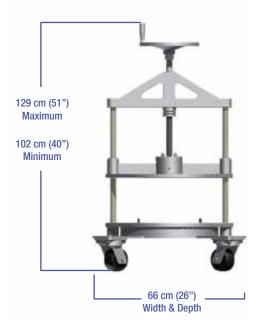
Table 3. Zeta Plus[™] Encapsulated System Holder Specifications

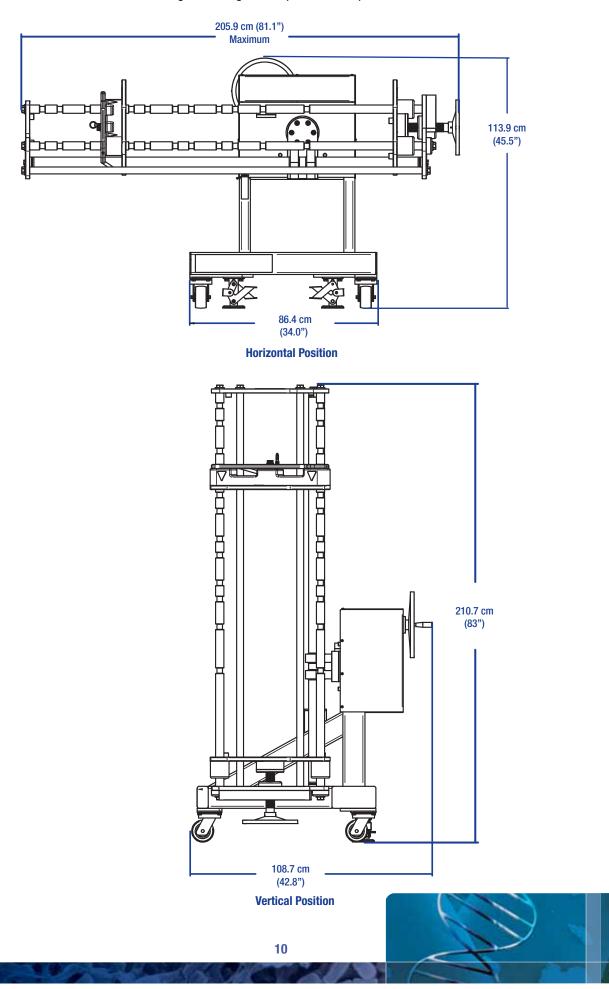
| | Holder | | | | |
|----------------------------|--|---------------------------------------|---|--|--|
| | Small Holder (Model# 16EZA) | Large Holder (Model# 16EZB) | Multi-Round Holder (Model# 16EZC) | | |
| Maximum Operating Pressure | 3.4 bar (50 psi) | 3.4 bar (50 psi) | 3.4 bar (50 psi) | | |
| Materials of Construction | | | | | |
| Frame | 304 Stainless Steel | 304 Stainless Steel | | | |
| End Plates | 304 Stainless Steel | 304 Stainless Steel | | | |
| Support Rods | 440 Stainless Steel | 316 Stainless Steel | Please contact your | | |
| Stand | 304 Stainless Steel | 304 Stainless Steel | 3M Purification representative for details. | | |
| Hand Wheels | 300 Series Stainless Steel | 300 Series Stainless Steel | | | |
| Gear Box | N/A | Epoxy Coated Cast Iron Cover | | | |
| Locking Bar | N/A | 304 Stainless Steel | | | |
| Casters | Stainless Steel | Stainless Steel | N/A | | |
| Wheels | Phenolic | Polyurethane | IN/A | | |
| Surface Finish | | | | | |
| Standard | Satin Glass Bead Finish (4814901 and 4815501) | Mechanical Polish Finish (6123502) | Please contact your 3M Purification | | |
| Special | Electropolish Finish (4814902) | N/A | representative for details. | | |

Table 4. Zeta Plus[™] Encapsulated System Holder Capacity

| | Single | Stage | Two Stage | | | |
|-------|----------------------------|---------------------------|----------------------------|---------------------------|--|--|
| Model | E16 Single-Cell Capsule | E16 Multi-Cell Capsule | E16 Single-Cell Capsule | E16 Multi-Cell Capsule | | |
| 16EZA | 4 | 1 | 2 | NA | | |
| 16EZB | NA | 7 | NA | 6 | | |







Ordering Guide

Capsule Filter Ordering Information - Double layer (U.S. Customers)

| Catalog Number | Configuration | Number of Cells | Gasket Material | | Gra | de | | N 1 2 |
|-------------------|---|----------------------------|--------------------|-------------------------------|-------------------------------|----------------------------------|---------|-----------------|
| E16 | E - Standard R - Alkaline Resistant* | 01 - 1 Cell 07 - 7 Cell | A - Silicone | 05SP01A 10SP01A 10SP02A | 60SP02A 60SP03A | 120ZA05A 120ZA08A 120ZA10A | DELI08A | 2 |
| | | | | 30SP02A 30SP03A 60SP01A | 60SP05A 90SP05A 90SP08A | 60ZA05A 90ZA05A 90ZA08A | DELIUOA | |

Capsule Filter Ordering Information - Single layer (U.S. Customers)

| Catalog Number | Configuration | Number of Cells | Gasket Material | _ | Gra | ade | | 4. |
|-------------------|---|---|--------------------|------------------------------|------------------------------|--------------------------------------|------------------------------|----|
| E16 | E - Standard R - Alkaline Resistant* | 01 - 1 Cell 11 - 11 Cell | _ A - Silicone _ | 30LA 50LA 60LA 90LA | 30LP 50LP 60LP 90LP | 10SP 30SP 50SP 60SP 90SP | 30ZA 60ZA 90ZA DELI | |

Manifold Ordering Information

| Manifold Part | 3M PI Part Number | - 3M ID |
|------------------------------------|----------------------|-------------|
| Manifold Set (Standard) | 6128901 | 70020256221 |
| Manifold Set (Alkaline Resistant*) | 6129001 | 70020262369 |

Filter Holder Ordering Information

| Model 3M Catalog ID Name (U.S. Customers | Description | 3M ID | Model 3M Catalog ID Name (U.S. Customers) Description 3M ID |
|---|---|-------------|---|
| 16EZA – 4814901 | Standard Small Holder | 70020233048 | 16EZB 6123502 Large Holder with Mechanical Polish Finish 70020252899 |
| 16EZA 4814902 | Small Holder with Electropolish Finish | 70020253889 | |
| 16EZA 4815501 | Modified Small Holder Suitable for Autoclaving | 70020236512 | |

Scale-Up Capsules - Single Layer

Scale-Up Capsules - Double Layer

| 3M Catalog ID (U.S. Customers) | EFA cm ² | Material Code | | Grade | | 3M Catalog ID (U.S. Customers) | EFA cm ² | Material Code | | Gra | ade | |
|-----------------------------------|------------------------|------------------|---|---|--------------------|-----------------------------------|--------------------------|------------------|--|------------------------------|------------------------------|------------------------------|
| E - | 0170 0340 1020 | - FSA - | 05SP01A 10SP01A 10SP02A 30SP02A 30SP03A 60SP01A 60SP02A 60SP03A 60SP05A 90SP05A 90SP05A | 60ZA05A 90ZA05A 90ZA08A 120ZA05A 120ZA08A 120ZA10A | DELI08A DELP08A | E | 0170 - 0340 - 1020 | - FSA - | 05SP 10SP 30SP 50SP 60SP 90SP | 30LA 50LA 60LA 90LA | 30LP 50LP 60LP 90LP | 30ZA 60ZA 90ZA DELI |



 * Based on testing with 1M NaOH and 5% NaClO (Bleach). See Chemical Compatibility Guide (70-0202-2023-5/LITPHG03) for more information.

NOTES:

- 1. All Zeta Plus[™] EXT media grades are available for order.
- 2. For further information regarding Zeta Plus EXT Series filter media, please reference 3M Purification Literature 70-0201-8862-2 (LITZPMEXT) or 70-0201-8863-0 (LITZPMEXTSP1) and Chemical Compatibility Guide 70-0202-2023-5 (LITPHG03)
- 3. DELI08A is a Zeta Plus EXT grade with two single layers of Delipid (DELI) media.
- Single layer 05SP media is offered in 0.23 m² and 1.6 m² Capsule format. One part number example is E16E07A05SP.



3M ID (International

Customers)

Capsule 3M ID Numbers for International Customers

| 3M Catalog ID (U.S. Customers) | 3M ID (International Customers) | (U.S. Customers) | 3M ID (International Customers) | | 3M Catalog ID (U.S. Customers) | 3M ID (International Customers) | (U.S. Customers) |
|-----------------------------------|---------------------------------------|------------------|---------------------------------------|---|-----------------------------------|---------------------------------------|------------------|
| E16E01A05SP | 70020288414 | E16E07A05SP | 70020288562 | | E16R01A05SP | 70020288992 | E16R07A05SP |
| E16E01A05SP01A | 70020239011 | E16E07A05SP01A | 70020236918 | 1 | E16R01A05SP01A | 70020262609 | E16R07A05SP01A |
| E16E01A10SP | 70020288422 | E16E07A10SP02A | 70020236561 | | E16R01A10SP | 70020288794 | E16R07A10SP02A |
| E16E01A10SP02A | 70020239029 | E16E07A120ZA08A | 70020236926 | | E16R01A10SP02A | 70020262625 | E16R07A120ZA08A |
| E16E01A120ZA08A | 70020238526 | E16E07A30SP02A | 70020236942 | | E16R01A120ZA08A | 70020262641 | E16R07A30SP02A |
| E16E01A30LA | 70020288430 | E16E07A60SP02A | 70020236603 | | E16R01A30LA | 70020288802 | E16R07A60SP02A |
| E16E01A30LP | 70020288448 | E16E07A60SP05A | 70020236959 | | E16R01A30LP | 70020288810 | E16R07A60SP05A |
| E16E01A30SP | 70020288455 | E16E07A60ZA05A | 70020236967 | | E16R01A30SP | 70020288828 | E16R07A60ZA05A |
| E16E01A30SP02A | 70020238534 | E16E07A90SP05A | 70020236629 | | E16R01A30SP02A | 70020262948 | E16R07A90SP05A |
| E16E01A30ZA | 70020288364 | E16E07A90ZA05A | 70020236975 | | E16R01A30ZA | 70020288364 | E16R07A90ZA05A |
| E16E01A50LA | 70020288471 | E16E07A90ZA08A | 70020236983 | | E16R01A50LA | 70020288836 | E16R07A90ZA08A |
| E16E01A50LP | 70020288489 | E16E11A10SP | 70020288596 | | E16R01A50LP | 70020288844 | E16R11A10SP |
| E16E01A50SP | 70020274430 | E16E11A30LA | 70020288604 | | E16R01A50SP | 70020288851 | E16R11A30LA |
| E16E01A60LA | 70020288497 | E16E11A30LP | 70020288612 | | E16R01A60LA | 70020288869 | E16R11A30LP |
| E16E01A60LP | 70020288505 | E16E11A30SP | 70020288620 | | E16R01A60LP | 70020288877 | E16R11A30SP |
| E16E01A60SP | 70020288513 | E16E11A30ZA | 70020288638 | | E16R01A60SP | 70020288885 | E16R11A30ZA |
| E16E01A60SP02A | 70020239078 | E16E11A50LA | 70020288646 | | E16R01A60SP02A | 70020262682 | E16R11A50LA |
| E16E01A60SP05A | 70020239094 | E16E11A50LP | 70020288653 | | E16R01A60SP05A | 70020262955 | E16R11A50LP |
| E16E01A60ZA | 70020283605 | E16E11A50SP | 70020288661 | | E16R01A60ZA | 70020284587 | E16R11A50SP |
| E16E01A60ZA05A | 70020238542 | E16E11A60LA | 70020288679 | | E16R01A60ZA05A | 70020262708 | E16R11A60LA |
| E16E01A90LA | 70020288521 | E16E11A60LP | 70020288687 | | E16R01A90LA | 70020288893 | E16R11A60LP |
| E16E01A90LP | 70020288539 | E16E11A60SP | 70020288695 | | E16R01A90LP | 70020288901 | E16R11A60SP |
| E16E01A90SP | 70020288547 | E16E11A60ZA | 70020288703 | | E16R01A90SP | 70020288919 | E16R11A60ZA |
| E16E01A90SP05A | 70020239102 | E16E11A90LA | 70020288711 | | E16R01A90SP05A | 70020262716 | E16R11A90LA |
| E16E01A90ZA | 70020288356 | E16E11A90LP | 70020288729 | | E16R01A90ZA | 70020288927 | E16R11A90LP |
| E16E01A90ZA05A | 70020239128 | E16E11A90SP | 70020288737 | | E16R01A90ZA05A | 70020262963 | E16R11A90SP |
| E16E01A90ZA08A | 70020238559 | E16E11A90ZA | 70020288745 | | E16R01A90ZA08A | 70020262732 | E16R11A90ZA |
| E16E01ADELI | 70020256130 | E16E11ADELI | 70020288588 | | E16R01ADELI | 70020288786 | E16R11ADELI |

Alkaline Resistant* Zeta Plus[™] Encapsulated System Capsule Filters







Zeta Plus™ ScaleUp Capsule 3M ID Numbers

| Zela Flus Scaleup C | apsule sivi id ivuillu | | | | |
|-----------------------------------|---------------------------------------|--|--|--|--|
| 3M Catalog ID (U.S. Customers) | 3M ID (International Customers) | | | | |
| E0170FSA05SP01A | 70020290170 | | | | |
| E0170FSA10SP01A | 70020290196 | | | | |
| E0170FSA10SP02A | 70020290204 | | | | |
| E0170FSA30SP02A | 70020290279 | | | | |
| E0170FSA30SP03A | 70020290287 | | | | |
| E0170FSA60SP01A | 70020290360 | | | | |
| E0170FSA60SP02A | 70020290378 | | | | |
| E0170FSA60SP03A | 70020290386 | | | | |
| E0170FSA60SP05A | 70020290394 | | | | |
| E0170FSA90SP05A | 70020290451 | | | | |
| E0170FSA90SP08A | 70020290469 | | | | |
| E0170FSA60ZA05A | 70020290410 | | | | |
| E0170FSA90ZA05A | 70020290485 | | | | |
| E0170FSA90ZA08A | 70020290493 | | | | |
| E0170FSA120ZA05A | 70020290212 | | | | |
| E0170FSA120ZA08A | 70020290220 | | | | |
| E0170FSA120ZA10A | 70020290238 | | | | |
| E0170FSADELI08A | 70020290147 | | | | |
| E0170FSADELP08A | 70020290154 | | | | |
| E0170FSA05SP | 70020290162 | | | | |
| E0170FSA10SP | 70020290188 | | | | |
| E0170FSA30SP | 70020290261 | | | | |
| E0170FSA50SP | 70020290329 | | | | |
| E0170FSA60SP | 70020290352 | | | | |
| E0170FSA90SP | 70020290444 | | | | |
| E0170FSA30ZA | 70020290295 | | | | |
| E0170FSA60ZA | 70020290402 | | | | |
| E0170FSA90ZA | 70020290477 | | | | |
| E0170FSA30LA | 70020290246 | | | | |
| E0170FSA50LA | 70020290303 | | | | |
| E0170FSA60LA | 70020290337 | | | | |
| E0170FSA90LA | 70020290428 | | | | |
| E0170FSA30LP | 70020290253 | | | | |
| E0170FSA50LP | 70020290311 | | | | |
| E0170FSA60LP | 70020290345 | | | | |
| E0170FSA90LP | 70020290436 | | | | |
| E0170FSADELI | 70020290139 | | | | |

| 3M Catalog ID (U.S. Customers) | 3M ID (International Customers) |
|-----------------------------------|---------------------------------------|
| E0340FSA05SP01A | 70020290543 |
| E0340FSA10SP01A | 70020290568 |
| E0340FSA10SP02A | 70020290576 |
| E0340FSA30SP02A | 70020290642 |
| E0340FSA30SP03A | 70020290659 |
| E0340FSA60SP01A | 70020290733 |
| E0340FSA60SP02A | 70020290741 |
| E0340FSA60SP03A | 70020290758 |
| E0340FSA60SP05A | 70020290766 |
| E0340FSA90SP05A | 70020290824 |
| E0340FSA90SP08A | 70020290832 |
| E0340FSA60ZA05A | 70020290782 |
| E0340FSA90ZA05A | 70020290857 |
| E0340FSA90ZA08A | 70020290865 |
| E0340FSA120ZA05A | 70020290584 |
| E0340FSA120ZA08A | 70020290592 |
| E0340FSA120ZA10A | 70020290600 |
| E0340FSADELI08A | 70020290519 |
| E0340FSADELP08A | 70020290527 |
| E0340FSA05SP | 70020290535 |
| E0340FSA10SP | 70020290550 |
| E0340FSA30SP | 70020290634 |
| E0340FSA50SP | 70020290691 |
| E0340FSA60SP | 70020290725 |
| E0340FSA90SP | 70020290816 |
| E0340FSA30ZA | 70020290667 |
| E0340FSA60ZA | 70020290774 |
| E0340FSA90ZA | 70020290840 |
| E0340FSA30LA | 70020290618 |
| E0340FSA50LA | 70020290675 |
| E0340FSA60LA | 70020290709 |
| E0340FSA90LA | 70020290790 |
| E0340FSA30LP | 70020290626 |
| E0340FSA50LP | 70020290683 |
| E0340FSA60LP | 70020290717 |
| E0340FSA90LP | 70020290808 |
| E0340FSADELI | 70020290501 |

| 3M Catalog ID (U.S. Customers) | 3M ID (International Customers) | |
|-----------------------------------|---------------------------------------|--|
| E1020FSA05SP01A | 70020290915 | |
| E1020FSA10SP01A | 70020290931 | |
| E1020FSA10SP02A | 70020290949 | |
| E1020FSA30SP02A | 70020291012 | |
| E1020FSA30SP03A | 70020291020 | |
| E1020FSA60SP01A | 70020291103 | |
| E1020FSA60SP02A | 70020291111 | |
| E1020FSA60SP03A | 70020291129 | |
| E1020FSA60SP05A | 70020291137 | |
| E1020FSA90SP05A | 70020291194 | |
| E1020FSA90SP08A | 70020291202 | |
| E1020FSA60ZA05A | 70020291152 | |
| E1020FSA90ZA05A | 70020291228 | |
| E1020FSA90ZA08A | 70020291236 | |
| E1020FSA120ZA05A | 70020290956 | |
| E1020FSA120ZA08A | 70020290964 | |
| E1020FSA120ZA10A | 70020290972 | |
| E1020FSADELI08A | 70020290881 | |
| E1020FSADELP08A | 70020290899 | |
| E1020FSA05SP | 70020290907 | |
| E1020FSA10SP | 70020290923 | |
| E1020FSA30SP | 70020291004 | |
| E1020FSA50SP | 70020291061 | |
| E1020FSA60SP | 70020291095 | |
| E1020FSA90SP | 70020291186 | |
| E1020FSA30ZA | 70020291038 | |
| E1020FSA60ZA | 70020291145 | |
| E1020FSA90ZA | 70020291210 | |
| E1020FSA30LA | 70020290980 | |
| E1020FSA50LA | 70020291046 | |
| E1020FSA60LA | 70020291079 | |
| E1020FSA90LA | 70020291160 | |
| E1020FSA30LP | 70020290998 | |
| E1020FSA50LP | 70020291053 | |
| E1020FSA60LP | 70020291087 | |
| E1020FSA90LP | 70020291178 | |
| E1020FSADELI | 70020290873 | |



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"Shell and Tube Heat Exchange Fundamentals, Design and Case Studies"

by Kirk R. Novak, Krishnan Ramanathan, Tom Steen, and Nick Ziembo, Enerquip, LLC

ABSTRACT:

As companies examine their total cost of operations, energy usage and heat recovery deliver cost savings through increased energy utilization and efficiency. Heat exchangers offer companies the opportunity to reuse energy generated for a specific purpose instead of venting that energy to the atmosphere. Shell and tube heat exchangers are in wide use throughout the Food, Dairy, Beverage, Pharmaceutical, Chemicals, Petroleum Refining, and Utility industries. This paper briefly explores three modes of heat transfer and basic designs found in shell and tube heat exchangers. Also included are several case studies from different industries where Enerquip's heat exchangers have saved the operators energy and money.

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BASIC MODES OF HEAT TRANSFER

Heat transfer occurs when a temperature gradient exists within a system. Thermodynamics states that energy cannot be created or destroyed, only transformed from one state to another and transferred between different media. In basic heat exchange, there are three modes of heat transfer: conduction, convection and radiation. One or more of these conditions may occur simultaneously. Following are brief descriptions, mathematical equations of these different modes of heat transfer and a sample problem.

HEAT TRANSFER BY CONDUCTION

Heat transfer by conduction occurs when a temperature gradient exists between different media across a defined boundary. This mode of heat transfer is sustained by diffusion at the atomic and molecular levels due through the interaction of particles at different energy levels. Kinetic energy (energy of motion) transfer during these collisions is perceived as changes in temperature or the flow of heat.

Conduction may be observed in all states of matter (solids, liquids, and gases). Due to the mean free path or the spacing between the molecules in these three states, the extent of conductive heat transfer is most pronounced in tightly spaced solids and then liquids. Gases have the lowest level of conductive heat transfer.

A pot of boiling water on an electric hot plate illustrates conduction heat transfer. Heat is conducted from the hot plate to the pot placed on it (solid to solid conduction). The heated pot in turn transfers heat to the water in contact with it (solid to liquid conduction).

Fourier's law quantifies the process of heat conduction. It is based on the observed phenomenon that the rate of heat conduction is directly proportional to the temperature difference between the two media and surface area, and, indirectly proportional to the thickness of the material. It is expressed as:

$$Q = k \cdot A \cdot (dT/dx)$$

Where,

Q = rate of heat transfer (Btu/h) k = thermal conductivity (Btu/ft-hr-°F) A = surface area (ft²) dT = temperature difference (°F), dx = thickness (ft) (dT / dx) = the temperature gradient.

HEAT TRANSFER BY CONVECTION

Heat transfer by convection occurs by two coupled mechanisms: interaction of particles at the microscopic level and bulk motion of particles at the macroscopic level when a temperature differential exists. This mode of heat transfer is observed in liquids and gases because movement is very restricted in solids. The bulk transport of particles is the predominant mechanism compared to the diffusive transfer of energy which is only present close to the boundary of a solid surface in contact with the fluid.

The characteristic macroscopic movement observed in convective heat transfer may be caused by an external agency (forced convection), due to the inherent buoyancy forces (free or natural

convection), or a combination of both (mixed convection). A special case of convection is the transfer of latent energy during change of phase in processes like boiling, evaporation, and condensation.

Heating water in a pot on an electric hot plate also serves to illustrate the concept of convection. When heat is conducted from the hot plate to the pot and then to the water, the density difference between the hot and the cold water increases the buoyancy of the hot water and induces movements at the macroscopic level, forming convection currents and heating the water within the pot.

The rate of heat transfer by convection is quantitatively expressed by Newton's empirical law of cooling, which states that the rate of heat transfer is directly related to the temperature difference and the surface area. It is expressed in the general form as:

$$Q = h \cdot A \cdot (T_s - T_\infty)$$

Where,

Q = rate of heat transfer (Btu/h) h = heat transfer coefficient (Btu/ft²-°F) A= surface area (ft²) T_s = temperature of the surface (°F) T_{∞} = temperature of the fluid (°F).

The heat transfer coefficient is a function of thermodynamic, transport, and geometrical parameters.

HEAT TRANSFER BY RADIATION

All materials, solids, liquids, and gases, emit thermal radiation in the form of electromagnetic waves when they are at a temperature above absolute zero (\sim -459.67 °F). When they interact with other materials, heat energy transfer is said to occur through radiation. The infrared, visible, and part of the ultraviolet portion of the electromagnetic spectrum is considered pertinent to heat transfer. The waves originate at the atomic and molecular level but at the interior locations they are absorbed by the surrounding particles and hence radiation is generally treated as a surface phenomenon.

Heat transfer by radiation differs from conduction and convections in two aspects. While conduction and convection require a material medium, radiation does not and heat transfer can occur even under conditions of vacuum where it is generally the most effective. Also, the existence of a temperature gradient drives heat transfer by conduction and convection but the finite temperature of a single body is sufficient to initiate radiation. The transfer of energy from the sun to the earth, the warmth of a campfire, furnaces, light bulbs, and infrared scanners are some of the familiar examples of heat transfer by radiation.

The amount of energy radiated by a body is quantified by Stefan-Boltzmann's law for ideal surfaces or black bodies and is given as follows:

$$Q = \partial \cdot A \cdot T_s^4$$

Where,

Q = rate of heat transfer (Btu/h) ∂ = Stefan-Boltzmann's constant (Btu/hr-ft²-°F) A = surface area (ft²)

 $T_{\rm S}$ = temperature of the surface (°F)

The radiation originating from a surface occurs by one or a combination of several mechanisms: absorption, reflection or transmission. The extent of radiation emanating from the surface is dependent on the rates of each of these mechanisms.

EXAMPLE PROBLEM #1

"A glass contains two parts water at room temperature (62°F). If one part of boiling water (212)°F is poured into it, what will be the temperature of the entire system after one hour assuming a water height of 6" and a glass internal radius of 2"?"

Assumptions:

- Glass container is 1/8" thick, inner radius of 2", and height of 6".
- Water in glass is 1/3 boiling water (212°F) and 2/3 room temperature water (62°F) results in an initial water temp of 112°F.
- Glass (including top) is perfectly insulated (no convection loss to the atmosphere).
- Free convection in the water is ignored.

Solution:

In order to find the equilibrium temperature (ignoring the natural convection in the fluid), we must find the amount of energy that is contained in the $112^{\circ}F$ water, and compare that to amount of energy in the glass material at $62^{\circ}F$. Solve for the amount of energy held in the volume of the $112^{\circ}F$ water and the volume of the $62^{\circ}F$ glass, then use those values to find the total energy. From that total energy, solve for the equilibrium temperature of the system.

The properties of water and glass are needed for the calculation and all temperatures in the formula must be in degrees Rankine (an absolute temperature measurement based on the Fahrenheit scale). The total energy of the two bodies must then be distributed between them equally (for equilibrium) and a new T_{equil} is to be found.

Step 1 – Calculate the volume of water and glass -

From various tables the following are true:

| T _{water} = 112°F = 571.67 °R | $T_{galss} = 62^{\circ}F = 521.67 {}^{\circ}R$ |
|--|--|
| Density _{water} = 61.84 lb/ft ³ | Density _{glass} = 138.9 lb/ft ³ |
| C _{p water} = 0.999 BTU/lb ^o R (specific heat) | C _{p glass} = 0.199 BTU/lb ^o R (specific heat) |
| t = height of base = 0.125 ft | h = height of water = 0.5 ft |

The volume calculations are as follows:

Volume_{water} = $\pi * r_i^2 * h = \pi * (2/12)^2 * (6/12)$ = 0.0436 ft³ (the volume of the water)

Volume_{glass} = $\pi * r_o^2 * t + \pi * (r_o^2 - r_i^2) * h = \pi * (2.125/12)^2 * (.125/12) + \pi * [(.125/12)^2 - (2/12)^2] * (6/12)$ = 0.006656 ft³ (the volume of the glass material)

Note: The glass volume includes the volume of the base and the side walls of the glass.

Step 2 – Calculate the energy for the system.

```
Energy of the water at 112 °F:
```

 $\begin{array}{l} \mathsf{E}_{\mathsf{water}} \; [\mathsf{BTU}] = \mathsf{Volume}_{\mathsf{water}} \; [\mathsf{ft}^3] \; x \; \mathsf{Density}_{\mathsf{water}} \; [\mathsf{lb}/\mathsf{ft}^3] \; x \; \mathsf{C}_{\mathsf{p_water}} [\mathsf{BTU}/\mathsf{lb} \; {}^\circ\mathsf{R}] \; x \; \mathsf{T}_{\mathsf{water}} [{}^\circ\mathsf{R}] \\ \mathsf{E}_{\mathsf{water}} \; [\mathsf{BTU}] = \; 0.0436 \; [\mathsf{ft}^3] \; x \; 61.84 \; [\mathsf{lb}/\mathsf{ft}^3] \; x \; 0.999 \; [\mathsf{BTU}/\mathsf{lb} \; {}^\circ\mathsf{R}] \; x \; 571.67 \; [{}^\circ\mathsf{R}] \\ \mathsf{E}_{\mathsf{water}} = \; 1,540 \; \mathsf{BTU} \end{array}$

Energy of the glass at 62 °F:

 $\begin{array}{l} \mathsf{E}_{\mathsf{glass}} \; [\mathsf{BTU}] = \mathsf{Volume}_{\mathsf{glass}} \; [\mathsf{ft}^3] \; x \; \mathsf{Density}_{\mathsf{glass}} [\mathsf{lb}/\mathsf{ft}^3] \; x \; \mathsf{C}_{\mathsf{p_glass}} [\mathsf{BTU}/\mathsf{lb} \; {}^\circ\mathsf{R}] \; x \; \mathsf{T}_{\mathsf{glass}} \; [{}^\circ\mathsf{R}] \\ \mathsf{E}_{\mathsf{glass}} \; [\mathsf{BTU}] = 0.006656 \; [\mathsf{ft}^3] \; x \; 138.9 \; [\mathsf{lb}/\mathsf{ft}^3] \; x \; 0.199 \; [\mathsf{BTU}/\mathsf{lb} \; {}^\circ\mathsf{R}] \; x \; 521.67 \; [{}^\circ\mathsf{R}] \\ \mathsf{E}_{\mathsf{glass}} = 96 \; \mathsf{BTU} \end{array}$

The total energy in the system is $E_{water} + E_{glass} = 1,636$ BTU (*Note: This assumes a fully insulated glass.*)

Step 3 – Calculate the initial equilibrium temperature of the glass and water.

Find how that energy is distributed at equilibrium by solving the following equation:

 $E_{water(equil)} + E_{glass(equil)} = 1,636 BTU.$

Using the same equation as above $T_{water} = T_{glass}$ (equilibrium), gives us the following:

Volume_{water} [ft³] x Density_{water} [lb/ft³] x C_{p_water}[BTU/lb ^oR] x <u>T_{EQUIL}[</u>^oR]

Volume_{glass} [ft³] x Density_{glass} [lb/ft³] x C_{p_glass}[BTU/lb °R] x <u>**T**</u>_{EQUIL} [°**R**]

= 1,636 BTU

Solving for T_{EQUIL} gives the following:

 $T_{EQUIL} = 108.88 \,^{\circ}F$

Assuming a fully insulated glass, ignoring convection in the water, and using an energy balance, the glass and the water will reach an initial equilibrium temperature of 108.88°F.

Part 2 – Calculate the convection to the atmosphere after one hour – After confirming the initial equilibrium temperature of the glass and water is 108.88 °F, calculate the effect that convection plays when the atmosphere at $62^{\circ}F$ comes in contact with the outside of the glass.

Assumptions:

- Glass container is 1/8" thick, inner radius of 2", and height of 6".
- The outer surface is now at 108.88 °F (from Part 1).
- Top of glass in covered (no heat loss through the top)
- T_{glass} = 108.88 °F (568.55 °R) / T_{air} = 62 °F (521.67 °R) from Part 1
- Free convection in the water is ignored.
- Radiation to the atmosphere is ignored.

Solution:

In order to find the temperature of the glass and water after 1 hour, calculate the amount of heat lost to the atmosphere by convection over 1 hour using Newton's law of cooling:

$$Q_{convection} = h * A_s * (T_{glass} - T_{air})$$

Step 1 - Calculate the outer surface area of the glass (ignoring top and bottom of glass):

 $A_s = 2^* \pi^* r_o^* h = 2^* \pi^* (2.125/12)^* (6/12) = 0.556 \text{ ft}^{2.}$

Step 2 – Assume the heat transfer coefficient of air in contact with a cylinder:

h = 1.40 BTU/hr-ft²-
$$^{\circ}$$
R

Step 3 – Calculate the convection heat loss for one hour

 $Q_{\text{convection}}$

= h * A_s * (T_{glass} - T_{air}) =1.40 [BTU/hr-ft²-^OR] * .556 [ft²] * (538.55 - 521.67)[^OR] = **36.49 BTU/hr**

Based on this total, the system loses 36.49 BTU of system energy per hour. The energy remaining after one hour is:

1,636 BTU (original energy) – 36.49 BTU (energy lost to the air = 1,599.51 BTU

Step 4 – Calculate the initial equilibrium temperature of the glass and water.

Find how that energy is distributed at equilibrium by solving the following equation:

E_{water(equil)} +E_{glass(equil)} = 1,599.51 BTU

Using the same equation as above $T_{water} = T_{glass}$ (equilibrium), gives us the following:

Volume_{water} [ft³] x Density_{water} [lb/ft³] x C_{p_water}[BTU/lb ^oR] x T_{EQUIL}[^oR]

Volume_{glass} [ft³] x Density_{glass} [lb/ft³] x C_{p_glass}[BTU/lb °R] x T_{EQUIL} [°R]

= 1,599.51 BTU

Solving for T_{EQUIL} gives the following:

T_{EQUIL} = 96.2 °F

If it is assumed that only convection heat is loss to the atmosphere occurs, the system temperature will be at 96.2 °F after one hour.

Part 3 – Combined Convection and Radiation to the Atmosphere – In addition to convection heat transfer, radiation heat transfer will also affect the final temperature of the glass after one hour. Calculating the temperature of the glass after one hour when both convection and radiation heat transfer occur starts by using the 108.88 °F initial equilibrium temperature that was calculated in Part 1 (when the glass was fully insulated).

Assumptions:

- Glass container is 1/8" thick, inner radius of 2", and height of 6".
- Outer surface is now at 108.88 °F (568.55 °R) from Part 1
- The air temperature is at 62 °F (521.67 °R)
- Top of glass is covered (no heat loss through the top)
- Free convection in the water is ignored.

Solution:

Find the radiation heat loss and add it to the convection heat loss calculated in Part 2 to find a total heat loss from the system.

For the radiation portion of the heat loss, use the following relationship (note-temperatures must be in ${}^{\circ}R$ for this calculation) :

$$Q_{\text{radiation}} = A_{\text{s}} * \epsilon * \sigma * (T_{\text{glass}}^{4} - T_{\text{air}}^{4})$$

Calculate the outer surface area of the glass (ignoring top and bottom of glass):

A_s = 2*π*r_o*h = 2*π*(2.125/12)*(6/12) = 0.556 ft^{2.}

$$\sigma$$
 = 0.1714 x 10⁻⁸ BTU/hr-ft²-°R⁴
(Note: σ is Stefan-Boltzmann's constant)

From tables, assume the emissivity of the glass to be: $\epsilon = 0.85$

Based on these values calculate the radiation heat loss to the system using the following equation:

 $\begin{aligned} & Q_{\text{radiation}} = A_{\text{s}} * \epsilon * \sigma * (T_{\text{glass}}^{4} - T_{\text{air}}^{4}) \\ & = .556 \text{ [ft}^{2}] * 0.85 * 0.1714 \text{ x } 10^{-8} \text{ [BTU/hr-ft}^{2} \circ \text{R}^{4}] * \{(568.55 \circ \text{R})^{4} - (521.67 \circ \text{R})^{4}\} \\ & = 24.65 \text{ BTU/hr.} \end{aligned}$

The convection and radiation heat exchange losses from the glass to the atmosphere can be calculated as follows:

$$Q_{total} = Q_{radiation} + Q_{convection}$$

= 24.65 BTU/hr + 36.49 BTU/hr
= **61.14 BTU/hr**

If 61.14 BTU's are lost over one hour, the new total energy of the system (from Part 1 and Part 2) is calculated to be:

1,636 BTU (original heat at equilibrium) – 61.14 BTU = 1,574.86 BTU

Solving this for the T_{EQUIL} gives the following $T_{EQUIL} = 87.63 \text{ }^{O}F$

Conclusions - The example calculates the effect that the various heat transfer mechanisms had on the glass. It shows that a glass at room temperature of $62^{\circ}F$ filled with $112^{\circ}F$ water and insulated from all heat loss to the atmosphere will reach an equilibrium temperature of $108.88^{\circ}F$. If that same glass is exposed to convection heat transfer with air at $62^{\circ}F$ with no radiation heat

loss, the glass will reach a temperature of 96.2°F after one hour. If radiation heat loss also occurs, the glass reaches 87.63 °F after one hour.

In this example, the dominant heat transfer from the water is conduction into the glass itself, while the convection and radiation play lesser roles. If this same glass were to be exposed to forced convection (E.g. – a fan blows air across the glass surface) heat transfer from convection would be more pronounced because the heat transfer coefficient (h) would be much higher than the one in the example.

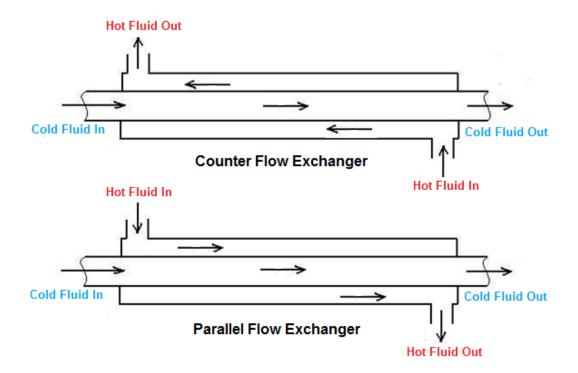
Shell and Tube Heat Exchangers

Heat exchangers operate because heat transfer occurs when there is a temperature difference between a cold process stream and a hot process stream. The two streams are separated by a thin, solid wall. This wall must be conductive in order for heat exchange to occur yet strong enough to withstand any pressure by the fluids or gases. In a shell and tube heat exchanger, two closed process streams move through the unit – one on the tube side (inside the tube) and one on the shell side. One is hot and the other is cold. Using convection and conduction, heat passes from the hot stream to the cold stream from the tube side or from the shell side.

The rate of heat transfer is affected by the temperature difference between the two process streams. As the temperature difference increases between the two process streams, the heat exchange rate per unit of surface area increases. Conversely, the heat exchanger per unit of surface area drops at a non-linear rate as the temperature difference between the two process streams drops. Raising the effective surface area of the total system helps to maintain total heat transfer between the two streams but eventually a point is reached where additional surface area cannot affect any significant additional heat transfer.

Another variable affecting heat exchange in a shell and tube exchanger is the velocity of each process stream. Velocity contributes directly to increased convection between the cold and hot process streams. Depending on the type of shell and tube heat exchanger, increasing velocity increases heat exchange, especially in a countercurrent design. Eventually, velocity increases are limited by the maximum allowed for a specific metallurgy comprising the shell or the tube. In carbon steel, velocity cannot go above is 6 ft/sec. while in stainless and high alloy steel, the rate is 12 ft/sec. for liquids.

The three kinds of shell and tube heat exchangers produced are parallel, cross flow and countercurrent flow. The names indicate the direction of the process streams in relationship with each other. In a countercurrent heat exchanger, the average temperature difference between the two process streams is maximized over the length of the exchanger, exhibiting the highest heat transfer rate efficiency per unit of surface area. In terms of available temperature differences observed during operation, parallel exchangers exhibit the lowest, followed by cross flow, then countercurrent exchangers. The Figure below shows designs of countercurrent and parallel flow heat exchangers.



The log mean temperature difference (also known by its acronym LMTD or ΔT_{Im}) is used to determine the temperature driving force for heat transfer in flowing systems, most notably in heat exchangers. The ΔT_{Im} is a logarithmic average of the temperature difference between the hot and cold streams at each end of the exchanger. The larger the ΔT_{Im} , the more efficiently heat is transferred. ΔT_{Im} assumes constant flow rate and fluid thermal properties. It is calculated using the following equation:

$$\Delta \mathbf{T}_{\rm lm} = \frac{\Delta T_2 - \Delta T_1}{ln \frac{\Delta T_2}{\Delta T_1}}$$

Once calculated, the ΔT_{im} is usually applied to calculate the rate of heat transfer in an exchanger according to the following simple equation:

$$\mathbf{Q} = \mathbf{U}_{\mathbf{o}} * \mathbf{A}_{\mathbf{o}} * \Delta \mathbf{T}_{\mathsf{Im}}$$

Where:

*Note: estimating the heat transfer coefficient may be quite tricky.

Below is a sample problem that calculates the heat transfer rate between a countercurrent and a parallel shell and tube heat exchanger.

EXAMPLE PROBLEM #2: Calculate the heat transfer rate in parallel and countercurrent heat exchangers operated under the same conditions with the same two liquids.

 $\frac{T_1 = \text{the hot fluid temperature}}{T_{1in} = \text{the hot fluid temperature in} = 195 °F}$ $T_{1out} = \text{the hot fluid temperature out} = 145 °F$ $\frac{T_2 = \text{the cold fluid temperature}}{T_{2in} = \text{the cold fluid temperature in} = 60 °F}$ $T_{2out} = \text{the cold fluid temperature out} = 100 °F$ $U_o = 70 \text{ BTU/hr}\text{-ft}^2\text{-}^\circ\text{F}$ $A_o = 100 \text{ ft}^2$ Solution: First, calculate the log mean temperature for each of the two systems: Counter-current Flow $\Delta T_{Im} = \frac{(195-100F)-(145-60F)}{ln(\frac{195-100F}{(145-60F)})} = 89.9 °F$ Parallel Flow $\Delta T_{Im} = \frac{(195-60F)-(145-100F)}{ln(\frac{(195-60F)}{(145-100F)})} = 81.9 °F$

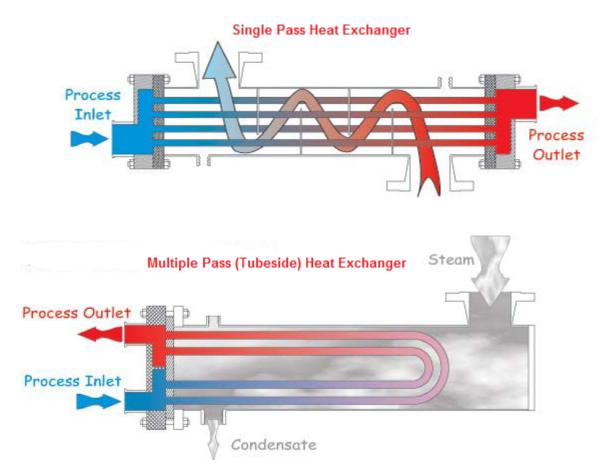
Second, calculate the rate of heat transfer for each of the two systems: **Counter-current Flow U (BTU/hr)** = (70 BTU/hr-ft²-°F) * (100 ft²) * 89.9 °F = <u>629,300 (BTU/hr)</u>

Parallel Flow U (BTU/hr) = (70 BTU/hr-ft²-°F) * (100 ft²) * 81.9 °F = <u>573,300 (BTU/hr)</u>

As demonstrated by the sample problem, operating a shell and tube heat exchanger in the counter-current mode results in greater heat exchange between the two streams than what could be expected in a parallel flow exchanger. It also shows that the countercurrent exchanger requires less total surface area to achieve the same level of heat exchanger. (If the U value and the LMTD are fixed, surface area must increase).

Actual heat exchangers are more complex than the simple components shown in the idealized figures used above. Many factors impact heat exchanger design. These include size, cost, weight, efficiency, fluid types, operating pressures, temperatures and fouling factors. Each individual characteristic impacts the resulting design and must be considered in the final thermodynamics calculations.

One approach used by heat exchanger designers to maximize the available heat transfer within the exchanger is having two streams pass each other several times within a single exchanger. This increases the performance and reduces the size needed for a specific heat exchange application. When a heat exchanger's fluids pass each other more than once, a heat exchanger is called a multi-pass heat exchanger. If the fluids pass each other only once, the heat exchanger is called a single-pass heat exchanger. Commonly, the multi-pass heat exchanger reverses the flow in the tubes by use of one or more sets of "U" bends in the tubes. The "U" bends allow the fluid to flow back and forth across the length of the heat exchanger. The figure below shows both single- and multiple pass designs.



Heat exchanger designs vary based on throughput, thermal duty, local maintenance requirements, size requirements, heat transfer media, the chemical state of the materials (liquid or gas) and physical properties of the materials (e.g. specific heat, viscosity, specific gravity, fouling factors, etc.). Each design incorporates all of these factors. After looking at heat transfer requirements, the designer takes the following into consideration:

- Tube Size smaller diameter tubes mean more surface area and increased fluid turbulence but also higher pressure drop
- Tube Count more tubes mean more surface area but there might not be enough room in the given diameter
- Tube Length longer tubes mean more surface area but plant space or pressure drop may limit the final length of a specific design
- Process Stream Velocity higher velocity (usually created in a design by increasing the number of passes) increases heat exchange but is limited by materials of construction, viscosity of the process stream and available pressure drop
- Baffles increasing the number of baffles increases heat transfer and pressure drop
- Shell Diameter increasing diameter allows more tubes but greatly increases the cost
- Materials of Construction stainless steel, higher alloy steels, carbon steel affect the performance of the exchanger due to the thermal conductive properties of each material.

Every shell and tube heat exchanger uses several unique subassemblies to ensure proper heat transfer The process flow occurs. requires the tube side stream to flow into a chamber or Waterbox that is designed to equally distribute the stream across the tubesheet into the Tube Bundle. The stream progresses through the tubes until it exits across a tubesheet into a waterbox. lf the



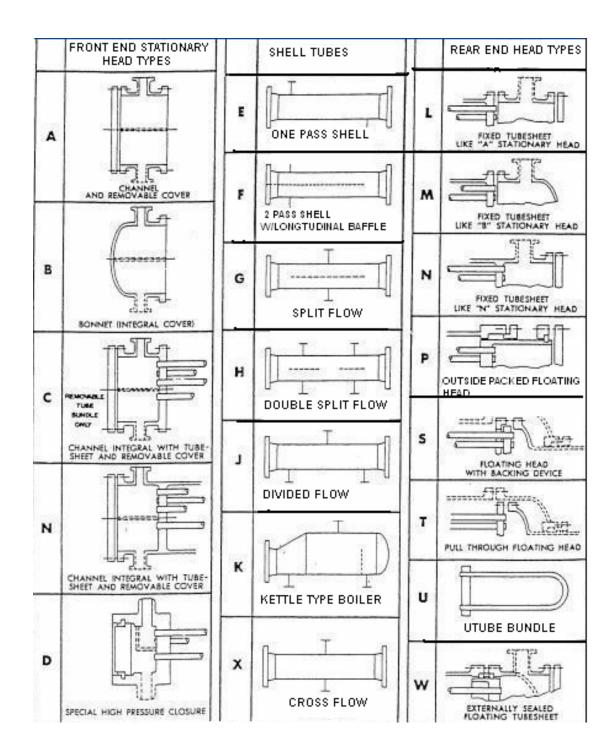
exchanger is straight through, the stream exits the unit. If it is a "U" tube design, the stream flows back into a different zone of the original waterbox for another pass or exits the exchanger. From the shell side, the process stream enters the shell and may impinge on a deflection plate to move it through the shell. Depending on the design, a preselected number of baffles force the stream through an indirect path so that it passes around all of the tubes until it exits. **Appendix A** contains a glossary of common heat exchanger terms.

Due to local maintenance requirements or the materials of exchange, the tube bundle can be designed to be removable for scheduled cleaning or replacement on a regular schedule. The design could also utilize a **double tube sheet** where a second tube sheet connects the bundle to the shell for quick leak detection and sanitary construction. Most sanitary shell and tube



exchangers have the requirement to be drainable so all cleaning solutions can be completely removed. These designs require **weep holes**, a **bowtie** configuration for the **pass partitions** in the waterbox, outlet holes flush with the edge of the exchanger wall, and supports that angle the entire unit slightly.

The ASME (American Society of Mechanical Engineers) sets the basic manufacturing standards for pressure vessels like heat exchangers. Other industry groups include 3-A, TEMA, PED, and CE. These standards are meant to define basic construction types, welding processes, and material thicknesses while providing safety, reasonable cost and serviceability. Common nomenclature was developed by TEMA to describe the front head, shell, rear head and tubes. Every variety of shell and tube heat exchanger that can be described using this nomenclature is found in the table below from the TEMA website.



Process connections are the last element of the design. Ranging from sanitary tri-clamps to threaded connections to ANSI flanges, these unique accessories are the process link to the rest of the operation.

At Enerquip, most of the designs we build are for sanitary applications for use by plants producing food, beverages, milk, cheese, and pharmaceutical products. We also design and build a number of industrial grade units for use by fine chemical, petroleum refining, pulp and paper, utility, and clean fuels plants.

Case Studies

After reviewing the basic fundamentals of heat exchange and shell and tube heat exchanger design, it is time to show how these heat exchange principals are applied to real world applications.

Heat exchangers perform process work. They also recover energy, a key issue given the cost of energy in resources and its effect on the environment. After looking at heat exchange fundamentals, a key objective of this paper was to show how companies use heat exchangers and benefit from reduced greenhouse gas emissions. For the purpose of this discussion, only CO2 emissions have been calculated.

Three case studies were developed from real customer plant situations. The company names and complete application are withheld under confidentiality. Each case will discuss the situation, the conditions, the heat exchanger details and the results. Using energy calculations from several different websites, the Btu's / hour that were recovered have been converted into pounds of CO2 saved and the efficiency of using that fuel are found in the following factor table.

| <u>Fuel</u> | <u>Usage Rate</u> | <u>CO2 / MBtu</u> | Efficiency Rating |
|-------------|-------------------|-------------------|-------------------|
| Fuel Oil | \$3.61 / gal | 161 lbs | 70% |
| Natural Gas | \$1.469 / ccf | 117 lbs | 80% |

At the end of each case is a summary of the cost savings and tons of Greenhouse Gas reduction (GHG).

Case 1 – Potato Chip Fryers

- **Situation** A potato chip plant operated several lines where 6,000 pounds per hour of potato chips are fried in hot oil. The vapor from the fryer line contained steam and air. It was being vented to atmosphere without any opportunity to recover it for use elsewhere in the plant.
- **Conditions** Stream / Air Mixture = 26,500 #/hr @ 230°F On steam Efficiency Factor = 330 days

Heat Exchanger Details

| yer Detans | |
|------------------------|---|
| Diameter: | 36 Inches |
| Length: | 240 Inches |
| Configuration: | BEMH (straight through design horizontally mounted on |
| | top of the roof) |
| Heat Transfer Area: | 3,471 Sq. Ft |
| Tube side Fluid: | Steam / Air Mixture (26,500 #/hr) |
| Tube side conditions: | Inlet = 230°F |
| | Outlet = 147°F @ atmospheric conditions |
| Shell Side Fluid: | Propylene Glycol (40%) (275,000 #/hr) |
| Shell side conditions: | Inlet temperature = 120°F |
| | Outlet temperatures = 175°F |
| Heat Exchanged: | 14,000,000 Btu / Hr |
| U Clean: | 188.76 (unit has excess surface area in clean condition) |
| U Dirty: | 145.94 (excess surface area kicks in during fouled state) |
| Note: | Water vapor condensed is 13,080 #/hr (phase change) |
| | - |

Result The Company used the 14 MBtu/hr recovered by the heat exchanger to preheat city water using a propylene glycol loop, saving fuel costs and reducing emissions. When designing this solution, allowance had to be made for the condensation of the steam formed when the potatoes cooked in the hot oil. This affects the heat exchange through heat of condensation and the vapor pressure for the entire system. As steam condenses, partial pressure can drop and lower the condensation temperature as the system moves into a partial vacuum range. This was not the case in this application as the tube side out stayed at atmospheric conditions. The company was also able to recover all of the water and reuse it elsewhere in the plant instead of releasing it to atmosphere with the heat.

The energy and GHG emission savings from the project are in the table. No attempt was made to measure the value from the recovered water.

| <u>Fuel</u> | Quantity / Yr. | <u>Savings / Yr.</u> | Emissions/Yr |
|-------------|----------------------------|----------------------|--------------|
| Fuel Oil | 1,147,826 gal | \$4,143,652 | 8,926 tons |
| Natural Gas | 136,821,323ft ³ | \$2,009,905 | 522 tons |

Case 2 – Oil Cooler / Water Heater

- **Situation** A pulp plant wanted to reuse heat from ISO 32 Grade oil (SAE Grade 10) to heat water in the tower and cool the oil servicing a large engine. In this case, the heat transfer coefficient values for oil are low so a larger heat transfer area is needed to capture the latent heat.
- **Conditions** Oil flow 61,703 #/hr @ 129 °F On stream Efficiency Factor = 345 days

Heat Exchanger Details

| gor Dotano | |
|------------------------|--|
| Diameter: | 18 Inches |
| Length: | 120 Inches |
| Configuration: | 2 exchangers BEW (Straight through design with removable tube bundle) Oil - series flow through both exchangers Water - parallel flow split 50/50 into each exchanger |
| Heat Transfer Area: | 982 ft ² (491 ft ² in each exchanger) |
| Tube side Fluid: | Tower water (105,150 #/hr – 2 units @ 52,575 #/hr each) |
| Tube side conditions: | Inlet temperature = 90°F |
| | Outlet temperature = 96.7°F (average) |
| Shell Side Fluid: | ISO 32 Oil (61,703 #/hr – series flow through 2 units) |
| Shell side conditions: | Inlet temperature = 129°F |
| | Outlet temperature = 104°F (after 2 nd exchanger) |
| Heat Exchanged: | 706,112 Btu / Hr |
| U Clean: | 44.73 (unit has excess surface area in clean condition) |
| U Dirty: | 40.80 (excess surface area kicks in during fouled state) |
| | |

Result These exchangers were designed to cool the oil, transferring heat at a rate of 706,112 Btu/hr. With the poorer heat transfer coefficient on the shell side for the oil and an inlet water temperature that was only 40°F lower than the oil inlet, a lower number of Btu's were transferred than if the shell side were steam or hot water. The energy and GHG emission savings are in the table below.

| <u>Fuel</u> | <u>Quantity / Yr.</u> | <u>Savings / Yr.</u> | Emissions/Yr |
|-------------|---------------------------|----------------------|--------------|
| Fuel Oil | 60,524 gal | \$218,491 | 470.7 tons |
| Natural Gas | 7,214,471 ft ³ | \$105,980 | 27.5 tons |

Case 3 – Concentrate Sugar Slurry

- **Situation** A cereal manufacturer needed to concentrate a sugar solution from 67 Brix to 81 Brix in its process to achieve a higher concentration homogenous slurry. After concentration, cereals are coated with various flavored ingredients. This is a two phase application where liquid slurry is carried by vaporizing fluid.
- **Conditions** Convert sugar slurry from 67 Brix (3,022 #/hr) to 81 Brix (2,500 #/hr) On stream Efficiency Factor = 330 days

Heat Exchanger Details

| Diameter: | 8.625 Inches |
|------------------------|--|
| Length: | 96 Inches |
| Configuration: | BEMV (Vertical Straight through Design) |
| Heat Transfer Area: | 109 Sq. Ft |
| Tube side Fluid: | Inlet sugar slurry = 67 Brix (3,022 #/hr) |
| | Outlet sugar slurry = 81 Brix (2,500 #/hr) |
| Tube side conditions: | Inlet temperature = 180°F |
| | Outlet temperature = 235°F |
| Shell Side Fluid: | Saturated Steam (687 #/hr) |
| Shell side conditions: | 80 PSIG at 324°F |
| Heat Exchanged: | 611,206 Btu / Hr |
| U Clean: | 138.69 (unit has excess surface area in clean condition) |
| U Dirty: | 74.96 (excess surface area kicks in during fouled state) |
| | |

Result This was a process driven application reusing low pressure steam from another area of the plant. In food applications, fouling factors can vary widely. Compared to the other cases, this sugar case requires more excess surface area to maintain heat transfer when fouling occurs. To minimize this issue, a vaporizing fluid is used and the heat exchange occurs in two stages. Please note that the on stream efficiency factor is only 330 days per year due to the downtime required for cleaning after fouling reaches a certain level. The energy and GHG emission savings are in the table below.

| <u>Fuel</u> | <u>Quantity / Yr.</u> | <u>Savings / Yr.</u> | Emissions/Yr |
|-------------|---------------------------|----------------------|--------------|
| Fuel Oil | 50,111 gal | \$180,902 | 389.7 tons |
| Natural Gas | 5,973,287 ft ³ | \$87,748 | 22.8 tons |

Appendix A - Glossary of Heat Exchanger Terminology

- ASME ASME stands for "The American society of Mechanical Engineers. The ASME Boiler and Pressure Vessel Code is comprised of a set of manufacturing standards that are meant to define basic construction types and material thickness while providing safety, reasonable cost and serviceability. ASME Section VIII Div 1 Standards for unfired pressure vessels always govern shell and tube heat exchangers. Materials used in fabrication of these heat exchangers are in accordance with ASME Section II and all welding processes associated with fabrication are in accordance with ASME Section V.
- **Baffle** Typically these are plates with holes about the size of tube diameters. They provide structural integrity to tube bundle that is at times referred to as "stack of tubes". The primary function of baffles is to provide turbulence and proper mixing of shell side fluids.
- **Baffle Spacing** Baffle spacing is the distance between baffles in a tube bundle. As it forces liquid to change direction from one end to the other, the baffle spacing has an effect on fluid velocity, pressure drop and heat transfer rate. This effect is more pronounced when there is liquid media on the shell side. An optimal design uses the available pressure drop in an application by spacing the baffles accordingly to maximize the effective heat transfer rate.
- **Bundle Assembly** A common term used in U-tube heat exchangers. The bundle contains tubes, tie rods, baffles and tubesheet/tubesheets.
- **Channel Box** Also referred to as head or waterbox of the heat exchanger. They contain pass partitions that constrain fluid to flow through defined number of tubes. In straight through designs there are two channel boxes; one defined as front end and the other as return end.
- **Core Assembly** A common term used in straight through fixed tubesheet designs. The core assembly contains tubes, tie rods, baffles and tubesheets.
- **Design Pressure** The pressure used to determine the thickness of components and serves as criteria for determining the hydrotest pressure.
- **Ferrules** These are also referred to as Triclamps that are used in Sanitary Heat Exchangers where threaded or flanged connections are unacceptable.
- **Fixed Tubesheet** A tubesheet that is permanently welded to the shell as in the case of nonremovable core assembly.
- **Floating Tubesheet** A tubesheet that is not permanently welded to the shell as in the case of a BEP style design. A floating tubesheet design allows for thermal expansion and contraction of the tube bundle independent of the shell.
- **Foot Supports** Adjustable saddle supports with strap-around for horizontal heat exchangers. For vertical heat exchangers, mounting supports are welded to a bellyband that is inturn welded to the exterior of the shell.
- **Impingement Plate** A plate that is welded inside a shell entrance port in a domed design or welded directly to the tube bundle. Impingement plates help reduce fluid velocity, provide better fluid distribution across the tube bundle and protect tubes from surface

erosion. In U-tube heat exchangers it is common to have the shell side inlet off the end of the tube bundle rendering an impingement plate unnecessary.

- **Lantern Ring** A metal ring on BEP style designs, which are generally installed over the outside of diameter of rear floating tubesheets to hold the seals in place.
- **Nameplate** This carries the ASME U Stamp and valuable information such as design pressure, design temperature and mean design metal temperature.
- **O-rings** This serves as a sealing device between two components. O-rings are generally used between the tube sheet and channel box, between the tube sheet and shell flange in U-tube construction. O-ring materials are usually EPDM, Viton or in high pressure applications, spiral wound metal.
- **Packed Head** The end of the tube bundle that contains the floating head design and lantern rings in a BEP style design.
- **Pass Partition** The rib section inside a channel head that constrains tube side flow through defined number of tubes in a multi-pass heat exchanger.
- **Shell Head** A formed plate which is welded to the shell pipe. In most instances, a flat end plate cover is more economical.
- **Slip On Flange** A flange design that features a flange ring that slides over and is welded onto a pipe section or nozzle pipe.
- **Spacer** A piece of tubing that slides over the tie rod between baffle plates to secure the baffle position in a tube bundle.
- **Stud Bolt** A stud bolt is threaded rod that extends through holes in two mating surfaces and is secured with hex nuts on each end.
- **TEMA** It stands for Tubular Exchanger Manufacturers Association. TEMA guidelines cover manufacturing, installation, operation and maintenance of shell and tube heat exchangers.
- **Test Pressure** Pressure at which hydrostatic testing is carried out on heat exchangers to detect any leaks on shell and tube sides of the heat exchanger. Test pressures are defined by ASME that is generally 1.3 times design pressure plus pressure compensation for ambient water used during hydrostatic test.
- **Tie Rod** A small diameter rod that threads into the tubesheet and secures the baffles and spaces together.
- **Tube Layout** Defines the size of tube, tube pitch and pattern of tubes within a tube bundle or core assembly.
- **Tubesheet** Typically made out of a plate. Tube sheets have holes that correspond with tube layout. Tubes are secured in holes by roller expansion and seal welding. Tube sheets can contain grooves inside tube holes to provide strength to joints.
- **Weld Neck Flange** These types are generally used on pulled nozzle ports. In some cases, welding is more efficient by using a backing ring behind two mating beveled edges.

Masterflex®



L/S[®] Cartridge Pump Systems

Low-flow capability with a high-accuracy digital drive

- Cartridges accept multiple tubing sizes for wide flow range
- Cartridges snap in and out for tubing changes; change tubing in one channel without disturbing others
- Finely adjust occlusion to increase accuracy
- Use only one cartridge, or load to capacity
- Digital dispensing drive features maintenance-free brushless motor
- Program dispensing parameters, including delay interval, for automated dispensing
- Four-channel, eight-roller pump offers lowest pulsation for better accuracy
- 1/10-hp continuous-duty brushless drive
- Tach feedback for ±0.1% drive speed control
- Remote control via DB25 female connector on drive
- IP33 rated, stackable ABS housing
- Membrane keypad with lockout
- See pages 1540–1541 for complete drive specifications

Multiple-channel low-flow transfer and perfusion!

How to Load Your Pump Head

1. Select tubing, load tubing

into cartridge, and set

tubing retainers.

77919-20



2. Snap cartridge into place on pump head.



3. Adjust occlusion using index scale on cartridge.

Specifications & Ordering Information

| Specification | ns & Orderi | ng Information | | | | c | SO9001:2 | | 2 _{year} warranty |
|-------------------|---|--|---|--|-------------------|----------------------------|--------------------|---|--------------------------------------|
| Catalog number | Flow range [†] (mL/min) | Pump head included | Tubing included | Tubing sizes accepted | Drive included | Drive speed range (rpm) | Drive IP rating | Power (50/60 Hz) | Price |
| L/S eight-cha | L/S eight-channel four-roller cartridge pump system | | | | | | | | |
| <u>T-77919-20</u> | 0.0034 to 18 | L/S Cartridge head 07519-06 with eight small cartridges 07519-80 | Tygon E-LFL microbore tube set, 1.42-mm ID 06447-34; pk of 12 | Microbore tube sets; L/S 13, L/S 14 | 07523-90 | 0.02 to 100 | IP33 | 90 to 130 VAC, 2.2 A; 190 to 260 VAC, 1.1 A | |
| L/S reduced-p | L/S reduced-pulsation four-channel eight-roller cartridge pump system | | | | | | | | |
| <u>T-77919-30</u> | 0.0024 to 12 | L/S Cartridge head 07519-20 with four small cartridges 07519-85 | Tygon E-LFL microbore tube set, 1.42-mm ID 06447-34; pk of 12 | Microbore tube sets; L/S 13, L/S 14 | 07523-90 | 0.02 to 100 | IP33 | 90 to 130 VAC, 2.2 A; 190 to 260 V AC, 1.1 A | |

[†]Flow range with included tubing; extend the flow range of these systems with additional sizes of tubing; order microbore two-stop tube sets below and L/S tubing on pages 1521–1527.

Additional Microbore Two-Stop Pump Tube Sets

| Pump tubing | | 0.89 mm ID | 1.42 mm ID | 2.06 mm ID | 2.79 mm ID |
|--------------------------|-------------------------|---------------|--------------|--------------|--------------|
| Flow rate per | Cartridge head 07519-06 | 0.0015 to 7.4 | 0.0034 to 18 | 0.0074 to 37 | 0.0126 to 63 |
| channel (mL/min) | Cartridge head 07519-20 | 0.0010 to 5.2 | 0.0024 to 12 | 0.0044 to 22 | 0.0068 to 34 |
| Platinum-cured | | T-06421-26 | T-06421-34 | T-06421-42 | T-06421-48 |
| silicone | | /pk of 6 | /pk of 6 | /pk of 6 | /pk of 6 |
| • • • | | T-06431-26 | T-06431-34 | T-06431-42 | T-06431-48 |
| Santoprene® | | /pk of 12 | /pk of 12 | /pk of 12 | /pk of 12 |
| Tunon® E I EI | | T-06447-26 | T-06447-34 | T-06447-42 | T-06447-48 |
| Tygon [®] E-LFL | | /pk of 12 | /pk of 12 | /pk of 12 | /pk of 12 |
| Viton [®] | | T-96428-26 | T-96428-34 | T-96428-42 | T-96428-48 |
| | | /pk of 12 | /pk of 12 | /pk of 12 | /pk of 12 |

Additional Cartridges

T-07519-80 Additional small cartridge for pump system 77919-20 T-07519-85 Additional small cartridge for pump system 77919-30



Data file 28-9159-87 AC

ReadyToProcess[™] Columns

ReadyToProcess columns are prepacked, prequalified, and presanitized process chromatography columns available with a range of BioProcess™ media in four different sizes: 1.0 I, 2.5 I, 10 I, and 20 I (Fig 1).

ReadyToProcess columns are designed for purification of biopharmaceuticals for clinical phase I and II studies. Depending of the scale of operations they can also be used for full-scale manufacturing, as well as for preclinical studies. The columns can, however, be used in any chromatographic application for separation of various compounds, for example proteins, endotoxins, DNA, plasmids, vaccines, and viruses.

ReadyToProcess columns provide:

- Time savings by making several time-consuming steps redundant
- Cost savings by lowering buffer consumption and reducing cleaning validation demands
- Process security in terms of robust column performance
- Scalability to facilitate conventional approach in larger scale
- No cross-contamination problems

ReadyToProcess columns make several steps redundant (column packing, column qualification, and sanitization), and significant time saving can be achieved in the downstream processing. ReadyToProcess columns are closed units and the design allows easy disposal after completed production.

ReadyToProcess chromatography columns offer the possibility to work in a fully flexible mode in early clinical phases while keeping a conventional re-use option for large-scale manufacturing open. The chromatography media used in ReadyToProcess columns have a long track-record of use in full-scale manufacturing using conventional, large-scale chromatography, where columns can be used for tens or hundreds of cycles. The transition from ReadyToProcess format to full-scale manufacturing is therefore straightforward.



Fig 1. ReadyToProcess columns are easily connected to a chromatography system and can be disposed of after completed production.

Currently, the following BioProcess media are available in the ReadyToProcess format: MabSelect SuReTM, CaptoTM Q, Capto S, Capto adhere, and Phenyl SepharoseTM 6 Fast Flow (low sub). On request, also the following media are available: Capto MMC, Q Sepharose FF, SP Sepharose FF, and DEAE Sepharose FF.

ReadyToProcess column characteristics

The hardware of ReadyToProcess columns follows the construction of BPG^{IM} columns, with a media packing value added, and is designed for upwards flow (Fig 2).

The columns have fixed bed heights of 200 mm, which is the optimum for contact time, flow, and capacity for modern chromatography media. Pressure/flow curves for ReadyToProcess Capto adhere, MabSelect SuRe, and Phenyl Sepharose 6 Fast Flow columns are shown in Figure 3 for operation with water at room temperature (20°C).

The polymer materials used to manufacture ReadyToProcess columns have been chosen for their biological and chemical compatibility with the samples, buffers, and solutions used during operation and during sanitization procedures.

ReadyToProcess





Fig 2. ReadyToProcess column with assembled nets and media packing valve. Arrows show flow direction.

The materials meet the USP (United States Pharmacopeia) class VI requirements according to USP <88> Biological Reactivity Tests, "In Vivo" and FDA CFR 177. The material is free from material of animal origin or has been produced under manufacturing conditions complying with EMEA/410/01. The columns are designed to comply with hygienic requirements. Table 1 below lists the wetted materials.

Table 1. List of wetted materials in ReadyToProcess columns

| Trade name | Material | Column part |
|-------------|------------------------|--|
| РР | Polypropylene | Column tube, lids, TC connections, support nets, support screens, stream stoppers, hose connections, welded tubing for inlet/outlet protection |
| PEEK | Polyetheretherketone | Plug at inlet tubing, filter holder, media packing valve |
| Tygon™ 2275 | Polyolefin | Hose (inlet tubing) |
| FPM | Fluorocarbon rubber | O-rings |
| EPDM | Ethylenepropylenediene | TC gaskets |

Table 2. Characteristics of ReadyToProcess columns

| | 11 | 2.5 l | 10 I | 20 I |
|--|-----------------|-----------------|------------------|-----------------|
| Inner diameter (mm) | 80 | 126 | 251 | 359 |
| Inner cross section (cm ²) | 50 | 124 | 495 | 1012 |
| Column volume (I) | 1.0 | 2.5 | 9.9 | 20.2 |
| Packed bed height (mm) | 200 | 200 | 200 | 200 |
| Net mesh (µm) | 23 | 23 | 23 | 23 |
| Mechanical compression factor (%)* | ≤15 | ≤15 | ≤15 | ≤15 |
| Outer height (mm) | 382 | 378 | 388 | 407 |
| Outer diameter incl. lid (mm) | 155 | 195 | 342 | 484 |
| Weight (kg) | ~3 | ~6 | ~25 | ~55 |
| Inlet TC25 connectors, tubing i.d. | 4.8 mm 0.19" | 6.3 mm 0.25" | 9.5 mm 0.375" | 12.7 mm 0.5" |
| Outlet TC25 connectors, tubing i.d. | 4.8 mm 0.19" | 6.3 mm 0.25" | 9.5 mm 0.375" | 12.7 mm 0.5" |
| Ambient temperature ⁺ (°C) | 4-30 | 4-30 | 4-30 | 4-30 |
| Liquid temperature [†] (°C) | 4-40 | 4-40 | 4-40 | 4-40 |
| Maximum liquid pressure, bar‡ | 4 | 4 | 4 | 4 |
| Estimated shelf life (months) | 18 | 18 | 18 | 18 |

* The mechanical compression factor varies depending on the medium.

[†] The temperature difference between the fluid running through the column and the ambient temperature in the room should never be greater than 20°C.

While the maximum liquid pressure stated depends on the pressure rating of the column, restrictions for the maximum pressure drop over the column depend on the packed chromatography medium, in order to ensure bed stability. See User Manual for details.

The most important characteristics of the ReadyToProcess columns are listed in Table 2.

On delivery, ReadyToProcess columns are ready for immediate use. The columns are packed in clean-room environment (class ISO 8) using validated packing protocols. To guarantee a well-packed column, each individual ReadyToProcess column is qualified by efficiency testing, that is, by analysis of theoretical plates per m packed bed (N/m) and asymmetry factor (A_s), as a part of the production procedure. Acceptance limits have been established for efficiency testing at 100 cm/h and the analysis results are specified in the Certificate of Analysis accompanying each column. After qualification, the columns are sanitized and equilibrated with storage solutions.

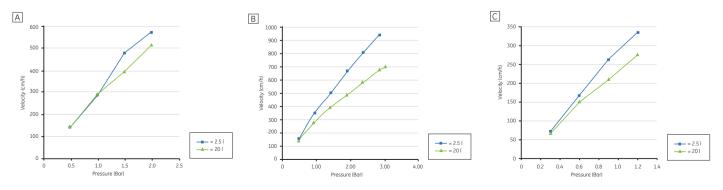


Fig 3. Examples of pressure/flow curves for 2.5 and 20 | ReadyToProcess columns prepacked with (A) MabSelect SuRe, (B) Capto adhere, and (C) Phenyl Sepharose 6 Fast Flow (low sub).

The sanitization procedure removes both micro-organisms, including spore-forming organisms, and endotoxins. The columns are sampled for endotoxin analysis (acceptance limit is <0.25 EU/ml) and microbiology growth (CFU<10/100 ml). The results including the curve from the efficiency test as well as the endotoxin and microbiology analysis results are presented in the Certificate of Analysis, which is a part of the Product Documentation accompanying each column. As a last step, the column is equilibrated in 20% ethanol (20% ethanol with 0.2 M sodium acetate, pH 5.5 when applicable). There is no need to repeat either the efficiency test or the sanitization procedure.

Transport simulation studies

Transport simulation studies were performed at a certified testing facility (Packforsk AB) as follows: Vibration testing (IEC 68-2-6), Shock testing (IEC 68-2-27), and Drop testing (IEC 68-2-31).

Four column sizes, ReadyToProcess 1 l, ReadyToProcess 2.5 l, ReadyToProcess 10 l, and ReadyToProcess 20 l, were subjected to testing and the asymmetry factor and plate number were measured.

Acceptance criteria for the testing procedure performed at a flow velocity of 30 cm/h were set at 3700 N/m

(N = number of theoretical plates) for Capto Q, Capto S, and Phenyl Sepharose 6 FF (low sub); 3900 for MabSelect SuRe, and 4400 for Capto adhere. The asymmetry factor should be within the range 0.8 to 1.8, both before and after the testing.

The results in Figure 4 show that these parameters lie within the set limits, demonstrating that ReadyToProcess columns are stable and robust and can be transported without effect on their performance.

BioProcess media characteristics

BioProcess media are specifically designed to meet the demands of industrial biotechnology. This means that the medium is scalable from laboratory to production, is produced with validated manufacturing procedures, and can withstand standard CIP and sanitization-in-place procedures.

In addition, BioProcess media are supported with Regulatory Support Files (RSF) and comprehensive documentation, as well as security of supply service.

Characteristics of some of the chromatography media available in ReadyToProcess columns are listed in Table 3. Detailed information about each medium is available in the respective BioProcess medium data file.



- 1 Capto adhere 2 Capto Q
- 3 Capto S

MabSelect SuRe
 Phenyl Sepharose 6 Fast Flow (low sub)

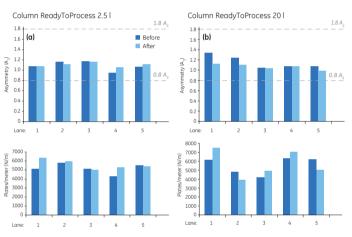


Fig 4. Transport simulation studies on ReadyToProcess 2.5 I (a) and ReadyToProcess 20 I (b) columns show that both the number of theoretical plates, N/m, and the Asymmetry factor, A_s , were within the predefined ranges after testing.

Table 3. Characteristics of some of the chromatography media available in ReadyToProcess columns

| | MabSelect SuRe | Capto Q | Capto S | Capto adhere | Phenyl Sepharose 6 Fast Flow (low sub) |
|----------------------------------|--|---|---|--|---|
| Matrix | Rigid, highly cross- linked agarose | Rigid, highly cross- linked agarose with dextran surface extenders | Rigid, highly cross- linked agarose with dextran surface extenders | Rigid, highly cross- linked agarose | Highly cross-linked agarose |
| Average particle size | 85 µm | 90 µm | 90 µm | 75 µm | 90 µm |
| Functional group | Alkali-stabilized protein A-derived | Strong anion exchanger (Q-type) | Strong cation exchanger (S-type) | Multimodal strong anion exchanger | Phenyl |
| Dynamic binding capacity data | ≥ 30 mg human IgG/ ml medium at 2.4 min residence time | > 100 mg BSA/ml | > 120 mg lysozyme/ml medium | NA | 24 mg HSA/ml |
| pH working range | 3-12 | 2-12 | 4-12 | 3-12 | 3-13 |
| Cleaning-in-place stability | 0.1-0.5 M NaOH | 2-14 | 3-14 | 2-14 | 2-14 |

Regulatory Product Documentation

Each ReadyToProcess column is accompanied with an extensive documentation package to help customers register a production process containing a chromatography step on a ReadyToProcess column. The documentation is divided into three parts.

- Product Documentation A certificate of conformance is provided, showing each wetted material's conformance with 21CFR177, USP Class VI, and animal-free origin (or EMEA/410/01). The certificate of conformance ensures full traceability of materials. The product documentation also contains a certificate of analysis showing packing performance as well as endotoxin and microbiology test results for the delivered column. The documentation is delivered with each column.
- Regulatory Support Files (RSF) Addendum Gives access to product information of the ReadyToProcess column including stability and quality, as well as a brief description of the preparation.
- **RSF** current RSF includes additional information on each BioProcess chromatography medium.

RSF Addenda and RSF are available at www.gelifesciences.com/rsf

Scale-up study

A study was performed to verify that the results of a protein separation experiment give the same result regardless of column size or chromatography system used. A mixture of two proteins, bovine serum albumin, BSA, (M_r 66 000) and lactoferrin (M_r 90 000), was applied to columns of different sizes, and eluted. The elution peaks in the resulting chromatograms were compared. The columns were XK 16/40 packed with Capto S and BPG packed with 1.5 I Capto S (both to a to a bed height of 20 cm), ReadyToProcess Capto S 2.5, ReadyToProcess Capto S 10, and ReadyToProcess Capto S 20.

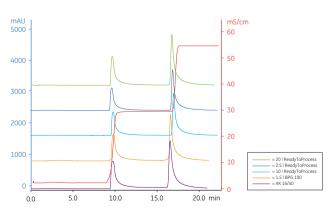


Fig 5. Stacked chromatogram comparing step elution on the five different columns. The elution buffers were 50 mM sodium acetate, 0.3 M NaCl, pH 5.0 and 50 mM sodium acetate, 0.65 M NaCl, pH 5.0. The elution steps were 3 CV each. The chromatograms were obtained using ÄKTAready system, except for XK 16/40 which was run on an ÄKTAexplorer™ system.

The results indicate that scale-up from an XK 16/40 to the ReadyToProcess columns was possible and the results were similar regardless of the chromatography system used (Fig 5).

ReadyToProcess columns in the purification of monoclonal antibodies (MAbs)

When choosing a process for large-scale purification, the possibility to scale up operations without too much process development is important.

To further demonstrate the scalability and assess the overall performance of ReadyToProcess columns, they were compared with an established small-scale format (XK 16) in the purification of a MAb from cell culture supernatant. The processes were run side-by-side using a generally applicable three-step process consisting of MabSelect SuRe, Capto Q, and Capto adhere. The ReadyToProcess columns behaved similarly to the XK 16/40 columns in all aspects studied, demonstrating that the purification process is directly scalable between XK and ReadyToProcess.

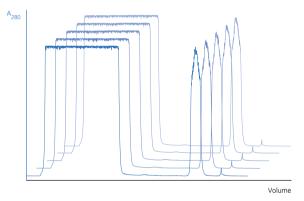


Fig 6. Overlay chromatogram of MabSelect SuRe, cycle 1-5.

To investigate the effects of multiple runs on column performance, the MabSelect SuRe columns were run five times consecutively (Fig 6). All chromatograms were practically identical, showing that multiple runs with CIP between cycles could be run with retained performance.

Operation

Fast method development - fully scalable

The matrices used in ReadyToProcess columns are also available as bulk media. Fast method development can be achieved using Tricorn™ or XK columns for the first experiments. After optimizing the purification at laboratory scale, the process can be scaled up by keeping the residence time constant in order to maintain capacity. This can be achieved by increasing the column diameter and keeping the mobile phase velocity and sample-to-bed volume ratio constant. Scale-up is typically performed by keeping bed height and liquid velocity (cm/h) constant (i.e., constant residence time) while increasing column bed diameter and flow rate (I/min). Yield and clearance of critical impurities may change when column bed height or residence time is changed and should be validated using the final bed height.

Storage

ReadyToProcess columns are delivered packed with chromatography media in a storage solution consisting of 20% ethanol. ReadyToProcess Capto S columns are delivered in 20% ethanol and 0.2 M sodium acetate pH 5.5.

All columns, except ReadyToProcess MabSelect SuRe, are preferably stored at room temperature but can also be stored in a cold room (the storage temperature range for these columns is 4°C to 30°C). ReadyToProcess MabSelect SuRe columns should be stored at 4°C to 8°C.

The column needs to be washed to clear it from the storage solution before starting the purification process. The wash will also adjust the temperature of the column to the working temperature.

Equipment

ReadyToProcess columns are intended for use with ÄKTAready™ chromatography system, but can also be used with standard chromatography systems, for example ÄKTAprocess™. ReadyToProcess 1 I and 2.5 I columns can also be used with ÄKTApilot™ systems within a limited liquid velocity range. Alarm setting of pressure must be set in order not to exceed the maximal pressure for the packed column.

Ordering information ReadyToProcess Columns

| Product | Column size | Code no. |
|---|-------------|------------|
| ReadyToProcess Capto Q 1 | 1 | 28-9510-90 |
| ReadyToProcess Capto Q 2.5 | 2.5 | 28-9017-23 |
| ReadyToProcess Capto Q 10 | 10 | 28-9017-24 |
| ReadyToProcess Capto Q 20 | 201 | 28-9017-25 |
| ReadyToProcess Capto S 1 | 1 | 28-9510-93 |
| ReadyToProcess Capto S 2.5 | 2.5 | 28-9017-29 |
| ReadyToProcess Capto S 10 | 10 | 28-9017-30 |
| ReadyToProcess Capto S 20 | 201 | 28-9017-31 |
| ReadyToProcess Capto adhere 1 | 1 | 28-9511-09 |
| ReadyToProcess Capto adhere 2.5 | 2.5 | 28-9017-14 |
| ReadyToProcess Capto adhere 10 | 10 | 28-9017-15 |
| ReadyToProcess Capto adhere 20 | 201 | 28-9017-16 |
| ReadyToProcess MabSelect SuRe 1 | 1 | 28-9511-10 |
| ReadyToProcess MabSelect SuRe 2.5 | 2.5 l | 28-9017-17 |
| ReadyToProcess MabSelect SuRe 10 | 10 | 28-9017-18 |
| ReadyToProcess MabSelect SuRe 20 | 201 | 28-9017-19 |
| ReadyToProcess Phenyl Sepharose 6 FF (low sub) 1 | 1 | 28-9511-11 |
| ReadyToProcess Phenyl Sepharose 6 FF (low sub) 2.5 | 2.5 | 28-9017-35 |
| ReadyToProcess Phenyl Sepharose 6 FF (low sub) 10 | 10 I | 28-9017-36 |
| ReadyToProcess Phenyl Sepharose 6 FF (low sub) 20 | 20 l | 28-9017-37 |
| ReadyToProcess Capto MMC 1 | 11 | 28-9511-18 |
| ReadyToProcess Capto MMC 2.5 | 2.5 | 28-9291-20 |
| ReadyToProcess Capto MMC 10 | 10 | 28-9291-21 |
| ReadyToProcess Capto MMC 20 | 201 | 28-9291-22 |
| ReadyToProcess Q Sepharose FF 1 | 1 | 28-9511-25 |
| ReadyToProcess Q Sepharose FF 2.5 | 2.5 | 28-9290-76 |
| ReadyToProcess Q Sepharose FF 10 | 10 | 28-9290-79 |
| ReadyToProcess Q Sepharose FF 20 | 201 | 28-9290-82 |
| ReadyToProcess SP Sepharose FF 1 | 11 | 28-9510-97 |
| ReadyToProcess SP Sepharose FF 2.5 | 2.5 l | 28-9291-05 |
| ReadyToProcess SP Sepharose FF 10 | 10 | 28-9291-06 |
| ReadyToProcess SP Sepharose FF 20 | 20 | 28-9291-07 |
| ReadyToProcess DEAE Sepharose FF 1 | 1 | 28-9511-26 |
| ReadyToProcess DEAE Sepharose FF 2. | .5 2.5 l | 28-9291-14 |
| ReadyToProcess DEAE Sepharose FF 1 | 0 10 | 28-9291-15 |
| ReadyToProcess DEAE Sepharose FF 2 | 0 201 | 28-9291-16 |

| Application notes | Code no. |
|---|------------|
| Efficiency test of ReadyToProcess columns | 28-9198-21 |
| Purification of a monoclonal antibody using ReadyToProcess columns | 28-9198-56 |
| Data files | Code no. |
| ÄKTAready | 28-9159-86 |
| Capto adhere | 28-9078-88 |
| Capto MMC | 11-0035-45 |
| Capto Q and Capto S | 11-0025-76 |
| MabSelect SuRe | 11-0011-65 |
| Phenyl Sepharose 6 Fast Flow (low sub) | 18-1020-53 |
| Sepharose Fast Flow ion exchangers | 18-1020-66 |

For local office contact information, visit **www.gelifesciences.com/contact**

www.gelifesciences.com/ReadyToProcess

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imagination at work

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Separating viral particles with Capto Q products may require a license under United States patent number 6,537,793 B2 and equivalent patents and patent applications in other countries awned by Captelion SAS. Such a license is not included with the purchase of Capto ViralQ products. The Tricorn column and components are protected by US design patents USD500856, USD506261,

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Germany Germany GE Healthcare Bio-Sciences Corp. 800 Centennial Avenue, P.O. Box 1327, Piscataway, NJ 08855-1327 USA GE Healthcare Bio-Sciences KK Sanken Bldg., 3-25-1, Hyakunincho, Shinjuku-ku, Tokyo 169-0073 Japan



Data Sheet

Mobius[®] FlexReady Solution for TFF Fast setup. Maximum adaptability. Pre-designed and optimized.



Part of the Mobius FlexReady family of application-specific solutions, the Mobius FlexReady Solution for Tangential Flow Filtration (TFF) is an easy-to-use system featuring an optimized single-use flowpath, and is designed to fully support your TFF needs. The system uses Millipore's leading Pellicon[®] cassettes, which are ideally suited for purification or concentration/diafiltration of monoclonal antibodies, vaccines and therapeutic proteins.

The Mobius FlexReady Solution for TFF consists of single-use Flexware® assemblies, innovative separation devices and process-ready hardware systems to deliver optimal operational flexibility, from process development to clinical production to small-scale commercial manufacturing. Accompanied by extensive Millipore support and services, the Mobius FlexReady Solution for TFF can help you maximize resource productivity and reduce risk.

- Fast setup of hardware with easy installation of optimally designed Flexware assemblies
- Maximum adaptability to your changing operational needs with single-use flowpaths
- Pre-designed and optimized for TFF to give you confidence and peace of mind



MODULAR, OPTIMIZED DESIGN

Mobius FlexReady Solutions are ergonomically designed for fast setup, maximum adaptability to changing process needs and reduced operator error. Specially designed hardware conveniently holds filters and containers, right where you need them. The modular, interlocking multi-cart design lets you easily and safely move your system from one space to another. The filter end cart is designed to work with all Mobius FlexReady Solutions, leveraging your investment in capital equipment and maximizing resource utilization.



Figure 1. The filter end cart works with all Mobius FlexReady Solutions for optimum equipment utilization.



Figure 2. Hardware system components move and connect easily for maximum mobility.

TWO SYSTEMS FOR OPTIMUM SCALABILITY

The TF-1 system contains a 10L retentate recycle container and, in typical conditions, will use Pellicon cassettes of up to 0.5 m^2 filtration area. The TF-2 system is designed with a 50L retentate recycle container and, in typical conditions, will use Pellicon cassettes of up to 2.5 m^2 filtration area.

- Designed for minimum working volume and high recovery of protein at high concentrations
- Novel retentate recycle container with levitating magnetic impeller, retentate divertor, and vortex breaker for efficient mixing
- Retentate recycle tank mounted on load cells and integrated transfer pump to enable fed batch and constant volume diafiltration operations
- Innovative low dead volume t-connectors, enabling the use of traditional pressure transducers
- Flexware assemblies designed to fit the hardware and install quickly and easily
- $\circ~$ Constant ΔP and constant feed flow (calculated) operation
- Ease of operation with an intuitive touch screen interface and user-defined process and alarm set points

Tank Designed for Efficient Mixing

The retentate recycle tank is specifically designed for efficient concentration and diafiltration of proteins. An innovative single-use process container design incorporates a magnetically coupled levitating impeller, ensuring efficient mixing at high tank levels.

The Mobius FlexReady Solution for TFF provides maximum adaptability to your changing process needs, such as varying volume levels. The low-point retentate return with patented retentate diverter plate and vortex breaker on the container outlet, reduces air entrapment and ensures efficient mixing at low tank levels. The retentate recycle tank is mounted on load cells to enable fed-batch operation, diafiltration and final concentration based on set-point input.



Figure 3. A pivoting retentate recycle tank design facilitates container loading.

Low Dead Volume T-connector

The Mobius FlexReady Solution for TFF features our innovative low dead volume t-connector built into the flowpath which is designed for use with traditional pressure transducers. A LLDPE septum provides the barrier between the process fluid and the transducer thereby eliminating the risk of contamination.



Figure 4. The innovative low dead volume t-connector prevents pressure sensor contact with the fluid path.

Pellicon[®] Cassettes

Pellicon cassettes are the optimum tangential flow filtration (TFF) devices for solutions containing monoclonal antibodies, therapeutic proteins, albumin, hormones, vaccines and growth factors. These advanced, high-performance cassettes are ideal for today's higher titer therapeutic antibodies as well as the more demanding filtration processes that require greater operating pressures and temperatures.



Figure 5. Pellicon Cassettes are ideal for higher titers and more demanding filtration processes.

Easy and Intuitive Operation

An intuitive, touch screen interface, makes the Mobius FlexReady Solution for TFF easy to operate. The system uses a combination of configurable process alarm set points and automated data acquisition. Transmembrane pressure (TMP) can be set with the help of an automated pressure control valve, and controlled through the touch screen interface along with set point operation for constant pump speed or constant ΔP .

The system features a user-configurable set point for controlling tank volume during fed batch processing and diafiltration, as well as a final tank volume end point during ultrafiltration. The TMP value is calculated and shown on the main piping and instrumentation diagram (P&ID) on the interface screen.

The onscreen P&ID provides an easy way to monitor your process in real time, including pump speed, mixer speed, feed, retentate and filtrate pressures, tank temperature, calculated feed flow rate, ΔP , TMP, calculated filtrate flow rate, and totalized filtrate weight (requires optional weigh scale). A separate screen shows key process parameter changes over the course of the run.



Figure 6. The Automated Pressure Control Valve (PCV) features a clear outer door enabling a clear view during operation. If the door is opened during operation, the valve automatically shuts off ensuring operator safety.

Simple Data Management

The system automatically captures time-stamped data for the active parameters and separately logs the alarm and event history. These tab delimited/CSV files can be uploaded directly to your computer. The standard design includes a Profibus card allowing data export to a DCS. The data can also be exported to meet 21CFR Part 11 compliance. An option is available to allow data export through an Ethernet IP protocol.

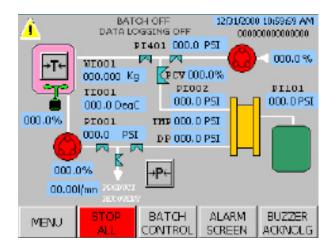


Figure 7. The P&ID provides real-time display of all active parameters.

Standardized Configurations for Reliable Results

The flowpath has been carefully designed and tested by our design and applications engineers, resulting in a consistent, standardized flowpath. You are guaranteed that the flowpath is designed to fit the system and your TFF needs. The flowpath (See Fig. 8) consists of:

 Core Flexware assemblies which includes the transfer manifold line and vent filter, retentate recycle container, retentate and feed lines, retentate flush line, and filtrate drain line

- Sterilizing-grade filter assembly for final collection with sampling bag and NovaSeal[™] Crimping Sleeve for sterile disconnect
- Optional Flexware assemblies including process containers for source, NaOH, buffer, WFI, filtrate, and waste, and integrity tester (IT) connector line
- Pellicon cassettes

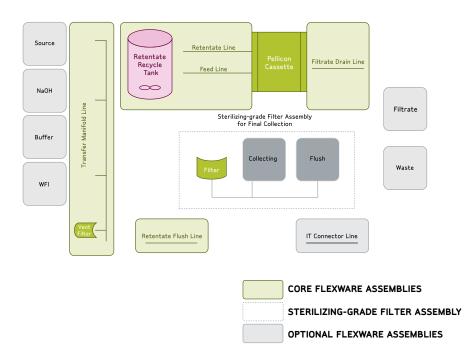


Figure 8. TFF Flowpath

Select from Standard Flowpath Configurations for Each System

| | TF-1 | TF-2 | |
|--|---|--|--|
| Core Flexware Assemblies | 10L retentate recycle container | 50L retentate recycle container | |
| Sterilizing-grade filter assembly for final collection | 5L or 10L collecting container featuring Opticap® XL 600 capsule with Millipore Express® SHC membrane | 10L or 20L collecting container featuring Opticap® XL 600 capsule with Millipore Express® SHC membrane | |
| Process container for source | 10L, 20L, 50L | 50L, 250L | |
| Process container for NaOH | 10L, 20L, 50L | 250L, 500L, 1,000L | |
| Process container for buffer | 10L, 20L, 50L | 250L, 500L, 1,000L | |
| Process container for WFI | 10L, 20L, 50L, 250L | 250L, 500L, 1,000L | |
| Process container for filtrate | 10L, 20L, 50L | 250L (accommodates up to 2 process containers for filtrate) | |
| Process container for waste | 250L | 1,000L | |
| Pellicon 2 cassettes | 0.1 m² (1-5 cassettes) | 0.5 m² (1-5 cassettes) | |
| | | 2.5 m² (1 cassette) | |
| Pellicon 3 cassettes | 0.11 m ² (1-4 cassettes) | 0.56 m ² (1-4 cassettes) | |
| | | 1.14 m ² (1-2 cassettes) | |

SPECIFICATIONS

| | TF-1 | TF-2 | |
|--|--|--|--|
| Recommended Operating Parameters | | | |
| Retentate recycle tank | 10L | 50L | |
| Flowrate | 0.4 - 4.0 L/min | 2 – 18 L/min | |
| Surface area Pellicon 2 | 0.1 – 0.5 m ² | 0.5 - 2.5 m ² | |
| Surface area Pellicon 3 | 0.11 - 0.44 m ² | 0.56 – 2.28 m ² | |
| Min. working volume (based on feed flow of 8 L/min/m ²) Min. working volume is the volume in the retentate recycle container, feed line, Pellicon filters, and retentate line. Min. working volume is a function of the membrane surface area and the feed flowrate. | 320 mL @ 0.1 m ² 490 mL @ 0.5 m ² | 1,350 mL @ 0.5 m ² 2,400 mL @ 2.5 m ² | |
| Fluid operating temperature | 20 - 45 °C (68 - 113 °F) | | |
| Max. operation pressure | 50 psi (3.45 bar) | | |
| Environmental Requirements | 20-25 °C, (68 - 113 °F) Relative humidity 10-9 | 0% (non condensing) | |

| Utilities | | | | |
|-------------------|--|-------------------------------|-------------------------------------|-------------------------------|
| Supply voltage | 100 - 110 VAC, 50/60 Hz, 1 phase | 230 VAC, 50/60 Hz, 1 phase | 200 - 208 VAC, 50/60 Hz, 3 phase | 400 VAC, 50/60 Hz, 3 phase |
| Compressed Air/N2 | Clean, dry air or nitrogen source at 3.5 to 6.0 bar (50 – 90 psi) for connecting to Integritest® 4 a for bag inflation | | | g to Integritest® 4 and |

| Instrumentation | | | |
|---------------------------------|---|--------------------------|--|
| Filtrate weigh scale (optional) | up to 60 kg (132.3 lbs) | up to 600 kg (1,328 lbs) | |
| Pressure transducer | Sanitary diaphragm pressure transducer located at retentate and filtrate lines | | |
| High pressure cut-off switch | Sanitary diaphragm pressure transducer located at feed and transfer pump outlet | | |
| Temperature sensor | Located at retentate recycle container outlet | | |
| Weight load cells | Located at retentate recycle tank (qty. of 4) | | |

| Control Modes: Operations will be supervised by alarm setpoints | | | | |
|---|---|--|--|--|
| Set point | Details | | | |
| Constant $\Delta P (P_{feed} - P_{retentate})$ | Operate at constant ΔP or calculated constant feed flow rate | | | |
| $\frac{(P_{feed} + P_{retentate})}{2} - P_{filtrate}$ | Operate at constant TMP or retentate pressure | | | |
| Constant retentate tank weight | For fed batch concentration, for constant-volume diafiltration | | | |
| Cumulative filtrate | For concentration or diafiltration endpoint determination volume/weight | | | |

Languages Supported

English

| Weight (Approx.) | | |
|--------------------|--------------------|------------------|
| Filter support kit | 10 kg (22 lbs) | 30 kg (66.1 lbs) |
| Pump cart | 330 kg (727.5 lbs) | |
| Filter cart | 150 kg (330.7 lbs) | |
| Manifold plate | 5 kg (11 lbs) | |

| Dimensions (H x W x D) | |
|------------------------|---|
| Pump cart | 1910 x 1250 x 800 mm (75.1 x 49.2 x 31.5 in.) |
| Filter cart | 1050 x 1100 x 800 mm (41.3 x 43.3 x 31.5 in.) |

SPECIFICATIONS

| | TF-1 | TF-2 |
|--|---|-------------|
| Materials of Construction (product contact) | | |
| Pellicon cassette liners | UDEL P-1700 | Polysulfone |
| Process container | ULDPE (PureFlex™ film) | |
| Low dead volume t-connector | LLDPE film (septum), Polysulfone (body nut), LLDPE (Gauge Protector), Silicone (o-ring) | |
| Tubing | Nylon-braided silicone, Bioprene®, Platinum-cured silicone tubing | |
| Fittings | Polypropylene, HDPE, polysulfone, silicone o-rings/gaskets, polyvinylidene difluoride | |
| Connectors | Polypropylene, silicone | |
| Filters | See individual datasheets | |

| Materials of Construction (non-product contact) | |
|--|--|
| Retentate recycle tank | HDPE |
| Frame | Stainless steel 304L |
| Panels | Stainless steel 304L, Epoxy coating PMS233 |
| Drip tray | Stainless steel 304L |
| Non-painted exposed surfaces, e.g. shelves, in- stallation bar, installation support, handle bars | Stainless steel 304L Polished 220 grid |
| Bench | Laminated glass |
| Pellicon holder | Stainless steel 316L |
| Trays/bins | Polyethylene |
| NovaSeal crimp | Nickel-coated brass |
| Wheels | Nylon non-marking wheels with locks |
| Low dead volume t-connector | Polysulfone (body nut), nylon (washer), PVC (plug) |
| Manifold Plate | |
| Plate | Polycarbonate |
| Supports | Stainless steel 316L |
| Pinch valves | Glass-reinforced nylon |
| Filter Support Kit | |
| Frame | Stainless steel 304L |
| Support | Stainless steel 304L/316L |
| Holder nuts | Bronze |
| Pinch valves | Glass-reinforced nylon |
| Filtrate Weigh Scale (Optional) | |
| 60 kg (132.3 lbs) | Stainless steel 316TI |
| 600 kg (1,328 lbs) | Stainless steel 304 |

Flexware Assemblies

Mobius Bronze Certification: Each assembly is exposed to a gamma irradiation level of 25-40 kGy.

Component materials meet criteria for USP<88> Biological Reactivity Test, Class VI Plastics. Flexware assemblies are compliant with EMEA410/01 Rev. 2.

Regulatory Information

The system is manufactured according to the $\mathsf{ISO}^{\textcircled{0}}$ 9001 quality standard.

The system is designed to be compliant with CE and EN 60204.

ORDERING INFORMATION

| Description | Catalogue No. | | |
|--|-----------------|--|--|
| Core Hardware System | | | |
| The core hardware system includes the pumping cart, filter cart with 2 trays (10L and 50L), and filter supports. | | | |
| Mobius FlexReady System, TF-1 (10L retentate | e recycle tank) | | |
| 100-110VAC 50/60Hz 1 phase (North American) | MBSTA1 | | |
| 230VAC 50/60Hz 1 phase (European) | MBSTA2 | | |
| Mobius FlexReady System, TF-2 (50L retentate recycle tank) | | | |
| 200 - 208VAC, 50/60Hz 3 phase (North American) | MBSTB1 | | |
| 400VAC 50/60Hz 3 phase (European) | MBSTB2 | | |
| | | | |
| Hardware Accessories | | | |
| Collapsible Containers | | | |
| 250L bin (holds 100L or 250L process containers) | MBSACC006U | | |
| 1,000L bin (holds 500L, 750L, or 1,000L process containers) | MBSACC007U | | |
| Containment trays | | | |
| 10L tray (holds 5 or 10L process containers) | MBSACC005U | | |
| 50L tray (holds 20L or 50L process container) | MBSACC004U | | |
| Filtrate Weigh Scale | | | |
| 60 kg (132.3 lbs) for TF-1 | MBSACC019U | | |
| 600 kg (1,328 lbs) for TF-2 | MBSACC020U | | |

 Description
 Catalogue No.

 Services
 Choose from a suite of services, including installation, commissioning validation, training and annual performance

review to meet your specific processing requirements. All services are performed by Mobius FlexReady services certified engineers. Factory Acceptance Testing SVCMBSTFAT

| Installation and Operational Qualification Protocol Performance Zone* 1 | SVCMBSTIQOQZ1 |
|--|---------------|
| Installation and Operational Qualification Protocol Performance Zone* 2 | SVCMBSTIQOQZ2 |
| Installation and Operational Qualification Protocol Performance Zone* 3 | SVCMBSTIQOQZ3 |
| Installation and Operational Qualification Protocol, TF-1 | DOCMBST1IQOQ |
| Installation and Operational Qualification Protocol, TF-2 | DOCMBST2IQOQ |
| Annual Performance Review Zone* 1 | SVCMBSTAPRZ1 |
| Annual Performance Review Zone* 2 | SVCMBSTAPRZ2 |
| Annual Performance Review Zone* 3 | SVCMBSTAPRZ3 |
| | |

* For Zone and pricing information, contact your local Millipore representative.

Flexware Assemblies (Pellicon cassettes sold separately)

For flowpath drawing, see Fig. 7 on pg. 4. Consult with your sales representative to configure your TFF flowpath using standard, optimized options.

Each system has a dedicated flowpath, and the flowpath components are not interchangeable between TF-1 and TF-2. Ensure you order the flowpath for the hardware system you have selected.

Pellicon Cassettes

All Pellicon cassettes must be purchased separately. See datasheets DS1324EN00 Rev. B, DS1209EN00 (Pellicon 3) and DS1210EN00 (Pellicon 2) for ordering information.

NovaSeal Crimping Solution

The flowpath includes the NovaSeal Crimping Sleeve for sterile disconnect of sample containers. The NovaSeal Crimping Tool is sold separately. See datasheet DS1040EN00 Rev. B for ordering information.

TO PLACE AN ORDER OR RECEIVE TECHNICAL ASSISTANCE

For additional information call your nearest Millipore office:

In the U.S. and Canada, call toll-free **1-800-MILLIPORE (1-800-645-5476)**

In the U.S., Canada and Puerto Rico, fax orders to 1-800-MILLIFX (1-800-645-5439)

Outside of North America contact your local office.

To find the office nearest you: www.millipore.com/offices

Internet: www.millipore.com

Technical Service: www.millipore.com/techservice



www.millipore.com/mobius

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