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## Non-Gaussianities in New Ekpyrotic Cosmology

#### Abstract

The new ekpyrotic model is an alternative scenario of the early Universe which relies on a phase of slow contraction before the big bang. We calculate the 3-point and 4-point correlation functions of primordial density perturbations and find a generically large non-Gaussian signal, just below the current sensitivity level of cosmic microwave background experiments. This is in contrast with slow-roll inflation, which predicts negligible non-Gaussianity. The model is also distinguishable from alternative inflationary scenarios that can yield large non-Gaussianity, such as Dirac-Born-Infeld inflation and the simplest curvatonlike models, through the shape dependence of the correlation functions. Non-Gaussianity therefore provides a distinguishing and testable prediction of New Ekpyrotic Cosmology.

#### Disciplines

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#### Non-Gaussianities in New Ekpyrotic Cosmology

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The new ekpyrotic model is an alternative scenario of the early Universe which relies on a phase of slow contraction before the big bang. We calculate the 3-point and 4-point correlation functions of primordial density perturbations and find a generically large non-Gaussian signal, just below the current sensitivity level of cosmic microwave background experiments. This is in contrast with slow-roll inflation, which predicts negligible non-Gaussianity. The model is also distinguishable from alternative inflationary scenarios that can yield large non-Gaussianity, such as Dirac-Born-Infeld inflation and the simplest curvatonlike models, through the shape dependence of the correlation functions. Non-Gaussianity therefore provides a distinguishing and testable prediction of New Ekpyrotic Cosmology.

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The ekpyrotic scenario is a candidate theory of early-Universe cosmology. Instead of invoking a short burst of accelerated expansion from a hot initial state, as in inflation, the ekpyrotic scenario relies on a cold beginning followed by a phase of very slow contraction. Despite such diametrically opposite dynamics, both models predict a flat, homogeneous, and isotropic Universe, endowed with a nearly scale-invariant spectrum of density perturbations, and are, therefore, equally successful at accounting for all current cosmological observations.

An important drawback of the original ekpyrotic theory [1] is how to avoid the big crunch singularity without introducing ghost instabilities. Moreover, the matching of perturbations through the bounce is ambiguous [2].

Both of these issues have been resolved in the recently proposed New Ekpyrotic scenario [3]. In [3], we derived a fully *nonsingular* bounce within a controlled and ghost-free four-dimensional effective theory using the ghost condensation mechanism [4]. Moreover, the curvature perturbation on uniform-density hypersurfaces,  $\zeta$ , acquires a scale-invariant spectrum well before the bounce, thanks to an entropy perturbation generated by a second scalar field [3,5,6]. Thus, New Ekpyrotic Cosmology appears to be a consistent alternative to the inflationary scenario.

A distinguishing prediction lies in the tensor spectrum [1]: inflation predicts scale-invariant primordial gravity waves, whereas ekpyrosis does not. Detecting tensor modes from cosmic microwave background (CMB) *B*-mode polarization could rule out the ekpyrotic scenario, whereas an absence of detection would not discriminate between the two models.

In this Letter, we focus on another key observable: the non-Gaussianity of primordial density perturbations. We show that New Ekpyrotic Cosmology generically predicts large non-Gaussianity, potentially just below current sensitivity levels and detectable by near-future experiments.

We calculate the 3-point and 4-point functions. For typical parameter values, the amplitude of the 3-point function is generically large, with  $f_{\rm NL}$  around the current Wilkinson microwave anisotropy probe (WMAP) bound [7]:  $-36 < f_{\rm NL} < 100$ . That is, assuming all parameters are  $\mathcal{O}(1)$ ,  $f_{\rm NL}$  approaches the limits of this bound, depending on the sign of a parameter. These values are well above the expected sensitivity of the Planck experiment:  $|f_{\rm NL}| \leq$ 20. The amplitude of the 4-point function is also generically large:  $\tau_{\rm NL} \sim 10^4$ , which is again near the estimated WMAP bound and within the reach of Planck:  $\tau_{\rm NL} \leq 600$ [8].

This is in stark contrast with the highly Gaussian spectrum predicted by slow-roll inflation. Comparably large non-Gaussianity does arise in non-slow-roll models, such as Dirac-Born-Infeld (DBI) inflation [9], and whenever the precursor of density fluctuations is a light spectator field, such as in the curvaton [10,11] or modulon scenarios [12,13]. However, as we will see, New Ekpyrosis predicts a different shape dependence in momentum space for the 3- and/or 4-point spectrum than the simplest such models.

Non-Gaussianity therefore offers a distinguishing prediction of New Ekpyrotic Cosmology, potentially testable in CMB experiments within the next few years.

New ekpyrotic cosmology.—As with inflation, ekpyrosis relies on a scalar field  $\phi$  rolling down a potential  $\mathcal{V}(\phi)$ . Instead of being flat and positive, however, here  $\mathcal{V}(\phi)$  must be steep, negative, and nearly exponential in form. For concreteness, we take

$$\mathcal{V}(\phi) = -V_0 e^{-\phi/\Lambda},\tag{1}$$

where  $\Lambda \equiv \sqrt{\epsilon}M_{\rm Pl}$  and  $\epsilon \ll 1$ . The Friedmann and scalar field equations then yield a background scaling solution,

$$a(t) \sim (-t)^{2\epsilon}; \qquad \bar{\phi}(t) = \Lambda \log\left(\frac{V_0}{2\Lambda^2(1-6\epsilon)}t^2\right), \quad (2)$$

with Hubble parameter  $H = 2\epsilon/t$ . Since  $\epsilon \ll 1$ , this describes a slowly-contracting Universe with rapidly increas-

ing H, again in contrast with the rapid expansion and nearly constant H in inflation.

In single-field ekpyrosis, fluctuations in  $\phi$  acquire a scale-invariant spectrum. As we review shortly, this traces back to the fact that the above solution satisfies  $\tilde{V}_{,\phi\phi} = -2/t^2$ . However, this contribution exactly projects out of  $\zeta$ , leaving the latter with an unacceptably blue spectrum. Since  $\zeta$  is conserved on super-horizon scales barring entropy perturbations, it is generally expected to match continuously through the bounce, although stringy effects could alter this picture [2].

New Ekpyrotic Cosmology introduces a second field,  $\chi$ , as the progenitor of the scale-invariant perturbation spectrum [3,5]. This field has no dynamics during the ekpyrotic phase and remains approximately fixed at  $\bar{\chi} = 0$ . However, as we describe below, its fluctuations generate a scale-invariant spectrum of entropy perturbations, which gets imprinted onto  $\zeta$  at the end of the ekpyrotic phase.

An essential condition in obtaining a scale-invariant spectrum is that at  $\bar{\chi} = 0$ , the curvature of the potential be nearly the same along the  $\chi$  and  $\phi$  directions:  $\bar{V}_{,\chi\chi} \approx \bar{V}_{,\phi\phi}$ . An example of such a potential is

$$V(\phi, \chi) = \mathcal{V}(\phi) \left( 1 + \frac{\chi^2}{2\Lambda^2} + \frac{\alpha_3}{3!} \frac{\chi^3}{\Lambda^3} + \frac{\alpha_4}{4!} \frac{\chi^4}{\Lambda^4} + \dots \right).$$
(3)

The higher-order  $\chi$  terms are naturally expected to be suppressed by the same scale  $\Lambda$  as the quadratic term, hence the form (3). For simplicity, we take  $\alpha_3, \alpha_4, \ldots$  to be constants. While potential (3) yields a slightly blue spectral tilt, a more general potential is presented in [3] which allows for the observed red tilt without altering the conclusions for non-Gaussianity arrived at in this Letter. Note that the required field trajectory lies along an unstable point. However, a pre-ekpyrotic, stabilizing phase can easily create initial conditions so that this trajectory is arbitrarily close to the tachyonic ridge [3].

*Power spectrum for*  $\chi$ .—Since our space-time background is nearly static, we ignore gravity in studying  $\chi$ perturbations. To linear order, the Fourier modes  $\delta \chi_k^{(0)}$ around  $\bar{\chi} = 0$  satisfy a free field equation with timedependent mass  $\bar{V}_{,\chi\chi} = \bar{V}_{,\phi\phi} = -2/t^2$ :

$$\ddot{\delta}\chi_k^{(0)} + \left(k^2 - \frac{2}{t^2}\right)\delta\chi_k^{(0)} = 0.$$
 (4)

Assuming the usual adiabatic vacuum, we find

$$\delta\chi_k^{(0)} = \frac{e^{-ikt}}{\sqrt{2k}} \left(1 - \frac{i}{kt}\right). \tag{5}$$

On super-Hubble scales,  $k(-t) \ll 1$ , the power spectrum, defined by  $\langle \delta \chi_k^{(0)} \delta \chi_{k'}^{(0)} \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k'}) P_{\chi}(k)$ , is

$$k^{3}P_{\chi}(k) = \frac{1}{2t^{2}},\tag{6}$$

which is scale invariant. Including gravity and departing from the pure exponential form (1) results in small deviations from scale invariance. This can yield a small red tilt, consistent with current CMB observations [3].

Evolution of  $\zeta$ .—We focus for simplicity on the regime where all relevant modes are well outside the horizon,  $k \ll aH$ . In the small-gradient approximation, the metric can be written as  $ds^2 = -\mathcal{N}^2 dt^2 + e^{2\zeta(\vec{x},t)}a^2(t)d\vec{x}^2$  [14], where  $\mathcal{N}$  is the lapse function, and  $\zeta$  is the curvature perturbation. The evolution of  $\zeta$  on uniform-density hypersurfaces is governed by

$$\dot{\zeta} = 2H \frac{\delta V}{\dot{\phi}^2 - 2\delta V},\tag{7}$$

where  $\delta V \equiv V(\phi, \chi) - V(\bar{\phi}, \bar{\chi})$ . A key simplification is that  $\delta \phi$  has a steep blue spectrum at long wavelengths and, hence, can be neglected. Thus, for the potential (3), we have  $\delta V \approx \mathcal{V}(\bar{\phi}) \delta \chi^2 / 2\Lambda^2 + \dots$ 

To proceed further, one needs an expression for  $\delta \chi$  to higher-order than the "free" part  $\delta \chi^{(0)}$ . To do this, we solve  $\ddot{\delta}\chi + \bar{V}_{\chi\chi}\delta\chi = 0$ , valid at long wavelengths, perturbatively:  $\delta\chi = \delta\chi^{(0)} + \delta\chi^{(1)} + \dots$  To lowest order, this equation reduces to (4) in the limit  $k \to 0$ . The next order,  $\delta\chi^{(1)}$ , satisfies  $\ddot{\delta}\chi^{(1)} + \bar{V}_{\chi\chi}\delta\chi^{(1)} + \bar{V}_{\chi\chi\chi}(\delta\chi^{(0)})^2/2 = 0$ . Using (2) and (3), and  $\delta\chi^{(0)} \sim 1/t$ , we find

$$\delta\chi = \delta\chi^{(0)} + \frac{\alpha_3}{4\Lambda} (\delta\chi^{(0)})^2 + \dots$$
(8)

Substituting into (7), one can integrate to obtain

$$\zeta_{\rm ek} = \frac{1}{2} \left( \frac{\delta \chi^{(0)}}{M_{\rm Pl}} \right)^2 + \frac{5\alpha_3}{18\sqrt{\epsilon}} \left( \frac{\delta \chi^{(0)}}{M_{\rm Pl}} \right)^3 + \dots \tag{9}$$

The ekpyrotic phase must eventually end if the Universe is to undergo a smooth bounce and reheat into a hot big bang phase. This is achieved by adding a feature to the potential (3) which eventually pushes  $\chi$  away from the tachyonic ridge [3]. Denote the time at which ekpyrosis stops as  $t_{end}$ . For simplicity, we model this with  $V_{,\chi}$  suddenly becoming nonzero and nearly constant at  $\chi = 0$ . Denote this constant by  $V_{,\chi}$ . The exit phase is assumed to last for a time interval  $\Delta t$  which is short compared to a Hubble time:  $|H_{end}|\Delta t \ll 1$ . This will be the case provided the potential satisfies

$$\epsilon_{\chi} \equiv \frac{H_{\text{end}}^4 M_{\text{Pl}}^2}{V_{,\chi}|^2} \lesssim 1.$$
 (10)

The exit phase generates an additional contribution to  $\zeta$ . To compute this in the rapid-exit approximation, we can treat the right-hand side of (7) as approximately constant. In evaluating this constant, note that, to leading order in  $\delta \chi$ , we have  $\delta V \approx V_{,\chi} | \delta \chi = \pm H_{end}^2 M_{Pl} \delta \chi / \sqrt{\epsilon_{\chi}}$ . (Higher-order terms in  $\delta \chi$  yield small corrections to (9) and are therefore negligible.) Thus,  $\zeta$  changes from  $\zeta_{ek}$  by an amount  $\zeta_c$  during the exit, given by

$$\zeta_c = \mp 2\sqrt{\epsilon}\beta \frac{\delta\chi(t_{\rm end})}{M_{\rm Pl}},\tag{11}$$

where  $\beta \equiv |H_{\text{end}}|\Delta t \sqrt{\epsilon/\epsilon_{\chi}}$ . Noting that to lowest order  $\delta \chi \approx \delta \chi^{(0)}$  and substituting (6) evaluated at  $t_{\text{end}}$ , it follows from (11) that the  $\zeta$  power spectrum is

$$k^{3}P_{\zeta}(k) = \frac{4\epsilon\beta^{2}}{M_{\rm Pl}^{2}}k^{3}P_{\chi}(k) = \beta^{2}\frac{H_{\rm end}^{2}}{2\epsilon M_{\rm Pl}^{2}}.$$
 (12)

Up to the prefactor  $\beta^2$ , this is identical to the inflationary result, with  $\epsilon$  playing the role of the usual slow-roll parameter. In the exit mechanism of [3],  $\beta$  denotes the overall change in angle in the field trajectory:  $\beta = \Delta \theta$ .

Let us pause to discuss the parameter values that satisfy the CMB constraint  $k^3 P_{\zeta}(k) \approx 10^{-10}$ . Although *H* passes through zero at the bounce, as argued in [3] its magnitude is essentially the same at the beginning of the hot big bang phase as it was at  $t_{end}$ , the end of the ekpyrotic phase. In other words,  $H_{end}$  sets the reheat temperature in the expanding phase. For GUT-scale reheat temperature, we have  $H_{end}/M_{Pl} \approx 10^{-6}$ . Meanwhile,  $\beta$  is a free parameter whose value depends on the exit dynamics. For the explicit exit mechanism of [3], however, the natural value is  $\beta \sim$  $\mathcal{O}(1)$ . In this case, setting  $k^3 P_{\zeta}(k) = 10^{-10}$  implies  $\epsilon \approx$  $10^{-2}$ . We will henceforth take  $\beta = 1$  and  $\epsilon = 10^{-2}$  as fiducial parameter values.

Combining (11) with (8) and (9) yields

$$\zeta(x) = \zeta_c(x) + \frac{1}{8\epsilon\beta^2}\zeta_c^2(x) \mp \frac{5\alpha_3}{144\epsilon^2\beta^3}\zeta_c^3(x) + \dots \quad (13)$$

The exit from the ekpyrotic phase is followed by a ghost condensate phase which leads to a *nonsingular* bounce and reheating. Meanwhile,  $\chi$  gets stabilized and further evolution is governed by the single scalar  $\phi$ . It follows that  $\zeta$  is conserved through the bounce and emerges unscathed in the hot big bang phase.

*3-point function.*—The 3-point  $\zeta$  correlation function in New Ekpyrotic Cosmology is given by [3]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^3 \delta^3 (\vec{k}_1 + \vec{k}_2 + \vec{k}_3) \\ \cdot \frac{6}{5} f_{\rm NL} \{ P_{\zeta}(k_1) P_{\zeta}(k_2) + \text{perm.} \}.$$
(14)

This *local* shape [15] is consistent with  $\zeta(x)$  of the form  $\zeta(x) = \zeta_g(x) + \frac{3}{5}f_{\text{NL}}\zeta_g^2(x)$ , where  $\zeta_g$  is Gaussian. The correlation function is evaluated at  $t_{\text{end}}$  ignoring gravity.

Thus, the 3-point function is fully specified by  $f_{\rm NL}$  [16]. This parameter receives two contributions. To begin with, the non-Gaussianity of  $\delta \chi$ , due to its cubic interaction in (3), is inherited by  $\zeta$  through (11). Following Maldacena [17], the  $\delta \chi$  3-point function is given by

$$\langle \delta \chi_1 \delta \chi_2 \delta \chi_3 \rangle = -i \int_{-\infty}^{t_{\text{end}}} ds \langle 0 | [\delta \chi_1 \delta \chi_2 \delta \chi_3, \mathcal{H}_{\text{int}}(s)] | 0 \rangle$$
  
+ c.c., (15)

where  $\delta \chi_i \equiv \delta \chi(x_i)$ , and  $\mathcal{H}_{int}$  is the cubic interaction Hamiltonian from (3):  $\mathcal{H}_{int} = \mathcal{V}(\bar{\phi})\alpha_3\chi^3/3!\Lambda^3$ . An explicit calculation yields the *intrinsic* contribution

$$f_{\rm NL}^{\rm int} = \mp \frac{5}{24} \frac{\alpha_3}{\beta \epsilon}.$$
 (16)

The  $\mp$  sign corresponds to choosing  $V_{\chi}$  to be  $\pm$ .

The second contribution comes from the nonlinear relation between  $\delta \chi$  and  $\zeta$  embodied in (11) and (13). Even if  $\delta \chi$  were Gaussian, this nonlinearity would make  $\zeta$  non-Gaussian. This *conversion* contribution to  $f_{\rm NL}$  is

$$f_{\rm NL}^{\rm conv} = \frac{5}{24} \frac{1}{\beta^2 \epsilon}.$$
 (17)

Summing (16) and (17) yields a combined  $f_{\rm NL}$ :

$$f_{\rm NL} \equiv f_{\rm NL}^{\rm int} + f_{\rm NL}^{\rm conv} = \frac{5}{24\beta^2\epsilon} \left(1 \mp \alpha_3\beta\right).$$
(18)

Since this is inversely proportional to  $\epsilon \ll 1$ , non-Gaussianity tends to be large in New Ekpyrotic Cosmology. Related ekpyrotic models [6,18] also give  $f_{\rm NL} \sim \epsilon^{-1}$ . (A ghost condensate bounce and second scalar field are also invoked in [6], albeit without an explicit conversion mechanism, and while the two-field ekpyrotic phase of [18] is similar to ours, the bounce physics remains unspecified.) This is in sharp contrast with slow-roll inflation, where  $f_{\rm NL}$  is *proportional* to the slow-roll parameters and therefore unobservably small. For concreteness, consider our fiducial model with GUT-scale reheating,  $\beta = 1$  and  $\epsilon = 10^{-2}$ . Taking, for example, the - sign in (16) and choosing  $2.728 > \alpha_3 > -3.8$  yields  $f_{\rm NL}$  within the present WMAP  $2\sigma$  range:  $-36 < f_{\rm NL} < 100$ . Thus,  $\alpha_3 \sim \mathcal{O}(1)$  yields a non-Gaussian signal near the WMAP bound. Lower reheating temperatures correspond to smaller  $\epsilon$  and, therefore, larger non-Gaussian signal. Of course,  $|f_{\rm NL}|$  can always be made smaller by taking  $\beta$ ,  $\epsilon$  to be larger and/or by suitably choosing  $\alpha_3$ .

4-point function.—The connected 4-point function,

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \zeta_{k_4} \rangle = (2\pi)^3 \delta^3(\vec{k}_1 + \vec{k}_2 + \vec{k}_3 + \vec{k}_4) \cdot [T(k_1, k_2, k_3, k_4) + T'(k_1, k_2, k_3, k_4)],$$
(19)

involves two different shape functions, evaluated at  $t_{end}$ :

$$T = \frac{1}{2} \tau_{\rm NL} \{ P_{\zeta}(k_1) P_{\zeta}(k_2) P_{\zeta}(k_{14}) + 23 \text{ perm.} \};$$
  

$$T' = \kappa_{\rm NL} \{ P_{\zeta}(k_1) P_{\zeta}(k_2) P_{\zeta}(k_3) + 3 \text{ perm.} \},$$
(20)

where  $\vec{k}_{ij} \equiv \vec{k}_i + \vec{k}_j$ . Thus, T and T' are specified, respectively, by the  $\tau_{\rm NL}$  and  $\kappa_{\rm NL}$  parameters. (Note that  $\kappa_{\rm NL}$  is

proportional to the  $f_2$  parameter of [8].) Equations (19) and (20) are consistent with  $\zeta(x)$  of the form  $\zeta(x) = \zeta_g(x) + \frac{\sqrt{\tau_{\rm NL}}}{2} \zeta_g^2(x) + \frac{\kappa_{\rm NL}}{6} \zeta_g^3(x)$ , where  $\zeta_g$  is Gaussian. Note that we can obtain  $\tau_{\rm NL}$  immediately by simply comparing its definition with that of  $f_{\rm NL}$ :

$$\tau_{\rm NL} = \frac{36}{25} f_{\rm NL}^2 = \frac{1}{16\beta^4 \epsilon^2} \left( 1 \mp \alpha_3 \beta \right)^2.$$
(21)

This was also checked by explicit computation.

Meanwhile,  $\kappa_{\rm NL}$  receives two contributions. The first contribution arises from cubic and quartic terms in  $\chi$  in the potential (3). An explicit calculation gives

$$\kappa_{\rm NL}^{\rm int} = \frac{2\alpha_4 + 3\alpha_3^2}{40\beta^2\epsilon^2}.$$
 (22)

The second contribution is encoded in the  $\zeta_c^2$  and  $\zeta_c^3$  terms in (13). Comparing with (19), we obtain

$$\kappa_{\rm NL}^{\rm conv} = \mp \frac{5\alpha_3}{24\beta^3\epsilon^2}.$$
 (23)

Combining the above results, we find

$$\kappa_{\rm NL} \equiv \kappa_{\rm NL}^{\rm int} + \kappa_{\rm NL}^{\rm conv} = \frac{\alpha_3 (9\alpha_3\beta \mp 25) + 6\alpha_4\beta}{120\beta^3\epsilon^2}.$$
 (24)

Both  $\tau_{\rm NL}$  and  $\kappa_{\rm NL}$  are proportional to  $\epsilon^{-2}$  and therefore also tend to be relatively large. Note that  $\tau_{\rm NL}$  is always positive, whereas  $\kappa_{\rm NL}$  can have either sign. For instance, our fiducial parameter values for GUT-scale reheating with  $\alpha_3$ ,  $\alpha_4 \sim \mathcal{O}(1)$  yield  $\tau_{\rm NL} \sim 10^4$ , which is around the estimated WMAP bound [8]. Lower non-Gaussianity can again be achieved by taking larger  $\beta$ ,  $\epsilon$  and/or by a suitable choice of  $\alpha_3$  and  $\alpha_4$ .

*Discussion.*—The simplest inflationary models, consisting of one or more slowly-rolling scalar fields, all predict negligible 3-point and higher-order correlation functions. Non-Gaussianity therefore offers a robust test to distinguish New Ekpyrotic Cosmology from slow-roll inflation.

Significant inflationary non-Gaussianity can be obtained in non-slow-roll models, such as DBI, albeit with a distinguishable 3-point shape dependence [15].

Large non-Gaussianity may also be achieved in the curvaton scenario. While the 3-point function is also local, there is an essential difference at the 4-point level. In the simplest curvaton model, the progenitor of density perturbations is a free field. Thus,  $\kappa_{\rm NL} \sim f_{\rm NL}$  [11]. In New Ekpyrosis, however, both  $\tau_{\rm NL}$  and  $\kappa_{\rm NL}$  are  $\sim f_{\rm NL}^2$ , leading to a distinguishable 4-point shape dependence. More intricate curvaton models can also yield large  $\kappa_{\rm NL}$ . Similarly, for general modulon scenarios [13].

Near-future non-Gaussianity observations will, therefore, test the new ekpyrotic paradigm and can potentially distinguish it from its inflationary alternatives.

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