

Potential climate change impacts on temperate forest ecosystem processes

Emily B. Peters, Kirk R. Wythers, Shuxia Zhang, John B. Bradford, and Peter B. Reich

Abstract: Large changes in atmospheric CO₂, temperature, and precipitation are predicted by 2100, yet the long-term consequences for carbon (C), water, and nitrogen (N) cycling in forests are poorly understood. We applied the PnET-CN ecosystem model to compare the long-term effects of changing climate and atmospheric CO₂ on productivity, evapotranspiration, runoff, and net nitrogen mineralization in current Great Lakes forest types. We used two statistically downscaled climate projections, PCM B1 (warmer and wetter) and GFDL A1FI (hotter and drier), to represent two potential future climate and atmospheric CO₂ scenarios. To separate the effects of climate and CO₂, we ran PnET-CN including and excluding the CO₂ routine. Our results suggest that, with rising CO₂ and without changes in forest type, average regional productivity could increase from 67% to 142%, changes in evapotranspiration could range from -3% to +6%, runoff could increase from 2% to 22%, and net N mineralization could increase 10% to 12%. Ecosystem responses varied geographically and by forest type. Increased productivity was almost entirely driven by CO₂ fertilization effects, rather than by temperature or precipitation (model runs holding CO₂ constant showed stable or declining productivity). The relative importance of edaphic and climatic spatial drivers of productivity varied over time, suggesting that productivity in Great Lakes forests may switch from being temperature- to water-limited by the end of the century.

Résumé : On prévoit que le CO₂ atmosphérique, la température et la précipitation auront subi d'importants changements vers 2100 mais notre compréhension des conséquences à long terme sur le recyclage du carbone (C), de l'eau et de l'azote (N) est déficiente. Nous avons appliqué le modèle d'écosystème PnET-CN pour comprendre les effets à long terme du changement climatique sur la productivité, l'évapotranspiration, le ruissellement et la minéralisation nette de N dans les divers types actuels de forêt des Grands Lacs. Nous avons utilisé deux projections du climat à échelle statistiquement réduite : PCM B1 (plus chaud et plus humide) et GFDL A1FI (plus chaud et plus sec) représentatives de deux scénarios futurs potentiels du climat et du CO₂ atmosphérique. Nous avons exécuté PnET-CN en incluant ou non la routine pour le CO₂ pour distinguer les effets du climat de ceux du CO₂. Avec une augmentation du CO₂ et sans que le type de forêt change, nos résultats indiquent que : la productivité régionale moyenne pourrait augmenter de 67 % à 142 %, les changements dans l'évapotranspiration pourraient varier de -3 % à +6 %, le ruissellement pourrait augmenter de 2 % à 22 % et la minéralisation nette de N pourrait augmenter de 10 % à 12 %. Les réactions de l'écosystème variaient selon les caractéristiques géographiques et le type de forêt. L'augmentation de la productivité était presque entièrement due aux effets de fertilisation du CO₂ plutôt qu'à la température ou à la précipitation (les passages du modèle en gardant le CO₂ constant prédisaient une productivité stable ou décroissante). L'importance relative des facteurs spatiaux édaphiques et climatiques de productivité variaient avec le temps indiquant que la productivité des forêts des Grands Lacs qui est aujourd'hui limitée par la température pourrait être limitée par la précipitation vers la fin du siècle. [Traduit par la Rédaction]

Introduction

Recent changes in global and regional climate are now well-documented and generally show an increase in mean annual temperature, more extreme hot days, and more episodic and intense precipitation events (Solomon et al. 2007; Karl et al. 2009; Hansen et al. 2012). While these trends are expected to continue into the future, the exact magnitude of change is highly uncertain (Solomon et al. 2007). For example, by 2100 global mean temperature is projected to rise by 1.4 °C to 5.8 °C, depending in part on the quantity of future greenhouse gas emissions. The resilience of ecosystems to these current and projected changes in climate is of great concern, as humans rely on a multitude of services provided by healthy functioning ecosystems.

Forest ecosystems, in particular, represent 30% of the world's land surface and provide a suite of services, including timber

products, clean water, and carbon storage, that depend on underlying ecosystem processes related to carbon (C), water, and nitrogen (N) cycling (Lindquist et al. 2012). Many experiments and observational studies have been conducted with the aim to better understand the potential effects of rising temperature, rising atmospheric CO₂, and changing precipitation regimes on these forest ecosystem processes. For example, CO₂-enrichment experiments in forests suggest net primary productivity will increase under elevated CO₂, although this response can diminish over time because of water or nutrient limitation (Norby et al. 2010; Norby and Zak 2011; Kallarackal and Roby 2012). Reduced stomatal conductance to water vapor is also well-documented under elevated CO₂, with little evidence of acclimation (Medlyn et al. 2001). Climate change experiments document a range of ecosystem responses, although for high-latitude systems projected warming often

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increases process rates (Gunderson et al. 2000; Aerts et al. 2006; Hyvonen et al. 2007).

While these manipulative field studies provide useful insight into how forests respond to individual environmental change factors, logistical constraints often prevent the examination of complex and antagonistic interactions between multiple and simultaneously changing environmental factors (Frelich and Reich 2010; Fisichelli et al. 2012). For example, increases in productivity under elevated CO₂ can be partially offset by reductions in productivity from warming-induced drought stress (Dieleman et al. 2012). Additionally, studies typically conducted at plot or stand scales and over relatively short time scales leave gaps in our understanding about the long-term effects of climate change at landscape and regional scales. Ecosystem models, therefore, can be useful tools for assessing the relative importance and impact of different environmental change factors on regional forest ecosystems (Medlyn et al. 2011).

In this study, we applied the ecosystem model PnET-CN to compare the long-term effects of two potential future climate and atmospheric CO₂ scenarios on ecosystem function in forests across the Great Lakes region of North America. The Great Lakes region is an ideal landscape in which to examine the effects of climate change on forest ecosystem function because it contains a diversity of forest types and exists at the intersection of three major biomes (northern boreal forests, southern hardwood forests, and western tall-grass prairie). Thus, forests in this area may be particularly sensitive to environmental change (Scheller and Mladenoff 2008; Galatowitsch et al. 2009; Frelich and Reich 2010). Previous climate change projections in the region suggest that by 2069, the average annual temperature will increase by 3 °C and precipitation will increase by 6% (Galatowitsch et al. 2009).

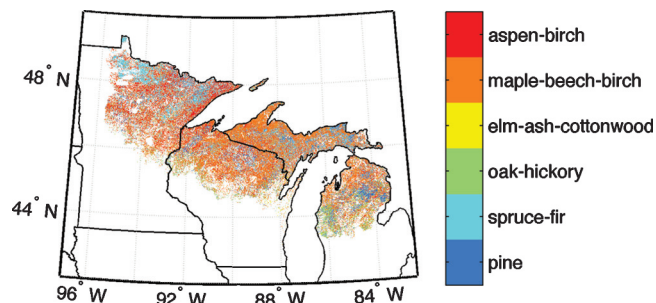
Several previous studies have applied PnET-CN to evaluate past and future climate change effects on forest ecosystem processes (Ollinger et al. 2002, 2008; Pan et al. 2009), yet most of these simulations occurred at the stand scale and without the realistic respiration algorithms recently incorporated into PnET-CN (Wythers et al. 2013). No studies to date have applied PnET-CN to evaluate potential long-term climate change effects on future ecosystem function at the regional scale. In this study, our main objectives were to (1) compare the long-term (1960–2099) effects of two potential future climate and atmospheric CO₂ scenarios (PCM B1 and GFDL A1FI) on productivity, evapotranspiration, runoff, and net N mineralization in forests across the Great Lakes region; and (2) assess the relative importance of spatially defined drivers, including forest type and edaphic and climatic conditions, on the variability in ecosystem responses across the region.

Methods

Study region

The northern Great Lakes region of the United States (40.3°–50.3°N latitude and 80.5°–97.2°W longitude), also known as the Laurentian Mixed Forest Province, covers 26 million hectares (Bailey 1995). Forests in this region include six major forest types: aspen–birch (30%), maple–beech–birch (26%), spruce–fir (20%), pine (10%), oak–hickory (9%), and elm–ash–cottonwood (5%) (Wilson et al. 2012). Aspen–birch, elm–ash–cottonwood, and pine forests are distributed relatively evenly throughout the region, whereas spruce–fir forests are most abundant in northern Minnesota and upper Michigan, maple–beech–birch forests in northern Wisconsin and upper Michigan, and oak–hickory forests along the southern edge of the region (Fig. 1). The climate is characterized by short mild summers and long cold winters with a north–south gradient in mean annual temperature that ranges from 1 °C in northern Minnesota to 9 °C in central Michigan and an east–west gradient in annual precipitation that ranges from 530 mm in central Min-

Fig. 1. Distribution of six forest types across the northern Great Lakes region of the United States, also known as the Laurentian Mixed Forest Province. Figure 1 is available in color online.



nesota to 940 mm in Michigan (Stoner et al. 2012). Soils are derived from glacial outwash and include sands, silt loams, and clays.

PnET-CN model description

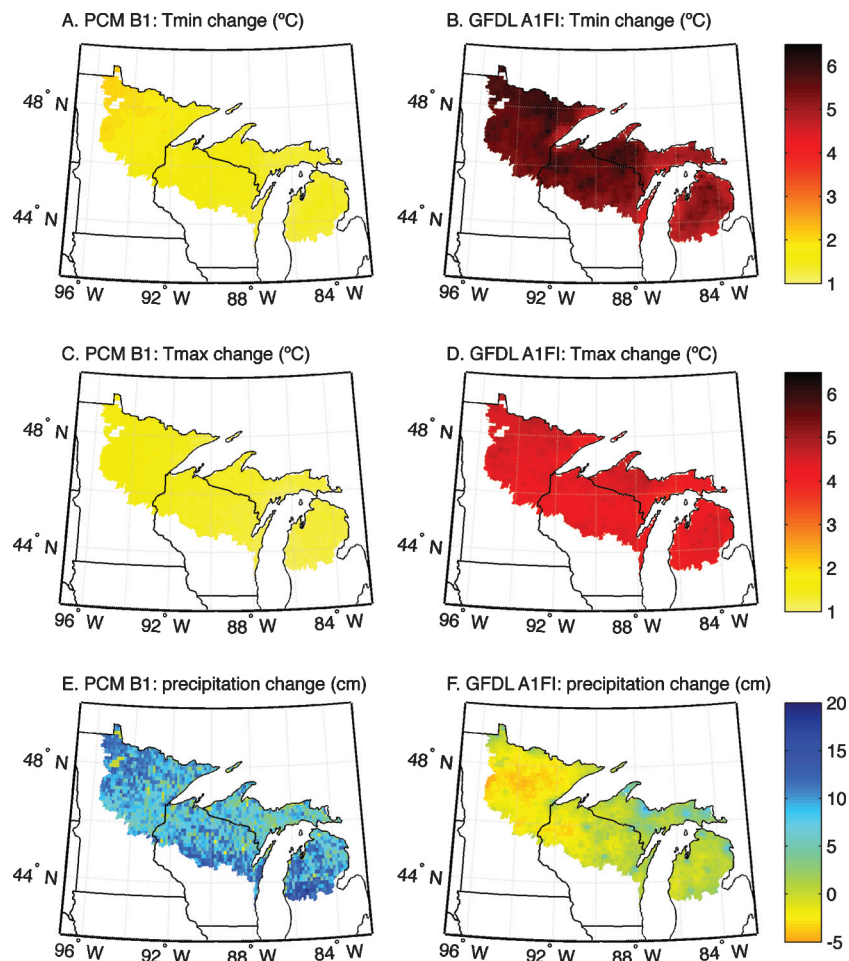
PnET-CN is a widely used and, in some regions, well-tested ecosystem model that simulates C, water, and N dynamics in forests over time (Aber et al. 1997; Ollinger et al. 2002; Peters et al. 2012). The PnET suite of models use generalized empirical relationships between foliar N, photosynthetic capacity, vertical scaling of leaf mass per area, and leaf lifespan (Ellsworth and Reich 1993; Reich et al. 1998) to simulate photosynthesis and transpiration in a multilayered canopy (Aber et al. 1996). The canopy model is nested within a model that estimates respiration, C allocation, and water balances (Aber et al. 1995), which is further nested within a model of biomass accumulation, decomposition, and N cycling (Aber et al. 1997). PnET-CN accounts for physiological and biogeochemical feedbacks by allowing C, water, and N cycles to interact with each other, which enables the model to simulate both water and N limitations on productivity. A strength of the PnET-CN model is its ability to self-generate canopy N concentrations and leaf area index, as well as to simulate forest responses over time to many simultaneously changing environmental factors, including climate, N deposition, tropospheric ozone, atmospheric CO₂, and land-use history (Aber et al. 1997, 2002; Ollinger et al. 2002). More recently, the model has been modified to incorporate respiration acclimation of plants to changes in temperature (Wythers et al. 2013). Model outputs have been previously corroborated in the Great Lakes region for current forest productivity, net N mineralization, leaf area index, and foliar N concentrations (Peters et al. 2012).

While PnET is not a spatially dynamic model, it can be applied to large geographic regions where each grid cell represents an independent simulation (Ollinger et al. 1998; McNeil et al. 2006; Pan et al. 2009). The number of calculations required to run PnET-CN across our study region using 1 km × 1 km grid cells (159 072 total forest cells), however, created an impractical computational challenge for a single multicore computer. We developed a distributed parallel-computing framework for PnET-CN to reduce the computing time from 60 days (if the simulation ran sequentially) to 5 h by using 96 cores on a Linux cluster. This approach also included the functionality of fault tolerance (checkpointing), efficient I/O management, and is expandable for integrating other ecological models and exploring horizontal flows between grid cells, although cell interactions were not incorporated in the runs reported here.

Model inputs

PnET-CN requires input information on climate, atmospheric conditions, soils, and vegetation. We used gridded (~13 km) monthly temperature and precipitation data from 1960 to 2099 from two statistically downscaled climate projections (PCM B1 and GFDL A1FI) (Stoner et al. 2012). These two climate projections

Fig. 2. Change in (A, B) minimum mean annual temperature (T_{min}) in $^{\circ}\text{C}$, (C, D) maximum mean annual temperature (T_{max}) in $^{\circ}\text{C}$, and (E, F) annual precipitation in cm under the PCM B1 and GFDL A1FI climate scenarios, respectively, from 1971–2000 to 2070–2099 across the northern Great Lakes region of the United States. **Figure 2** is available in color online.



encompass a wide range in potential future CO_2 emissions (B1, low emissions; A1FI, high emissions) and sensitivities among general circulation models (PCM, low sensitivity; GFDL, high sensitivity). CO_2 concentrations rise over time under both the PCM B1 and GFDL A1FI scenarios, reaching 548 and 970 ppm, respectively, by 2099. From 1971–2000 to 2070–2099, the PCM B1 scenario predicts a regional average increase in mean annual temperature of 1.5°C and an increase in annual precipitation of 84 mm, whereas the GFDL A1FI scenario predicts a 4.8°C increase in mean annual temperature and 1 mm decline in annual precipitation (Fig. 2).

To separate the effects of climate and CO_2 as drivers of forest ecosystem processes, we ran PnET-CN including and excluding the CO_2 routine. In response to rising CO_2 , PnET-CN increases leaf photosynthetic rates using a saturating Michaelis–Menton equation and reduces stomatal conductance as a function of the CO_2 concentration gradient across the leaf surface (Ollinger et al. 2002). When the CO_2 routine was included, atmospheric CO_2 concentrations were allowed to rise for each climate scenario. We excluded the CO_2 routine and the effects of CO_2 on photosynthesis and stomatal conductance from the model by holding CO_2 concentrations equal to 350 ppm for both climate scenarios.

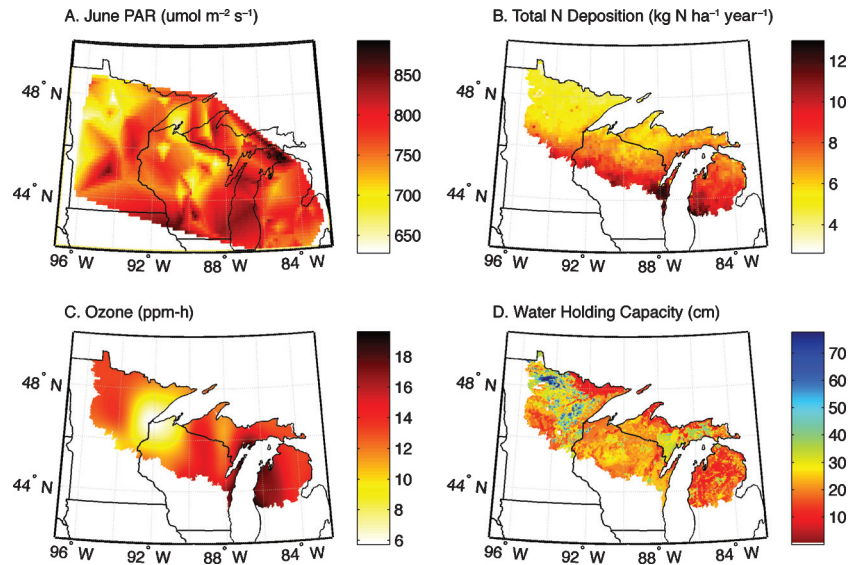
We used solar radiation data measured at 169 sites across the study region between 1981 and 2011 (compiled by the Northern Institute of Applied Climate Science). Each site varied in the duration and time period of data collection. Because of poor spatial and temporal coverage of solar radiation measurements and the large uncertainty in future changes in solar radiation (Liepert

2002; Taylor 2012), we created spatial interpolations of mean monthly photosynthetically active radiation (PAR) that were applied across all years of our model simulations (e.g., Fig. 3A).

We used current modeled wet and dry nitrate (NO_3) and ammonium (NH_4) deposition data (Fig. 3B; <http://www.epa.gov/amad/Tools/wdt.html>, 13 December 2012) and tropospheric ozone anomalies (Fig. 3C; B. Wells and D. Mintz, Environmental Protection Agency, personal communication (2011)). We assumed N deposition and ozone concentrations in 1930 were 20% and 10%, respectively, of their contemporary levels and increased linearly to the present (Ollinger et al. 2008; Pan et al. 2009). Under future climate scenarios, feedback mechanisms will likely influence N deposition and ozone concentrations. Owing to the great uncertainty in future projections, especially for our study region (Galloway et al. 2004; Vingarzan 2004; Racherla and Adams 2006; Sitch et al. 2007), these feedbacks were not included in our simulations and we held current N deposition and ozone concentration values constant into the future. In PnET-CN, N deposition affects soil C and N pools, which affect rates of N supply to vegetation and N losses to drainage. Tropospheric ozone works to limit photosynthesis in the model, which in turn affects C allocation.

We used a gridded (4 km) soil water-holding capacity map of our study region (Fig. 3D) based on the National Conservation Resource Service's Soil Survey Geographic Database (M. Peters, personal communication (2011)). Water-holding capacity values were calculated based on soil texture and soil depth to 1 m from SSURGO (where available) and STATSGO data sets.

Fig. 3. Gridded input data used to run the PnET-CN model across the northern Great Lakes region of the United States. (A) Spatial interpolation of mean instantaneous photosynthetically active radiation in $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ for the month of June. (B) Mean current (2002–2006) total dry and wet nitrogen (N) deposition including NO_3 and NH_4 in $\text{kg N}\cdot\text{ha}^{-1}\cdot\text{year}^{-1}$. (C) Spatially interpolated mean current (2005–2009) AOT40 cumulative hourly ozone anomalies greater than the threshold of 40 ppb from April to September in $\text{ppm}\cdot\text{h}$. (D) Soil water-holding capacity in cm. [Figure 3](#) is available in color online.



Current land cover composition across the study region was defined using a gridded (1 km) forest-type map based on USFS Forest Inventory and Analysis data and MODIS satellite imagery (Fig. 1) (Wilson et al. 2012). We parameterized these forest types following Peters et al. (2012) with a few slight modifications (Table 1). To reflect delayed wood production relative to foliage production in forest types dominated by diffuse-porous species (aspen–birch and maple–beech–birch), the growing degree-days required for wood production to start and end were changed to 764 and 1600, respectively, from 332 and 764, respectively, for ring-porous species. Leaf mass per area at the top of the canopy was set equal to $91 \text{ g}\cdot\text{m}^{-2}$ for aspen–birch, $70 \text{ g}\cdot\text{m}^{-2}$ for maple–beech–birch, $73 \text{ g}\cdot\text{m}^{-2}$ for elm–ash–cottonwood, and $87 \text{ g}\cdot\text{m}^{-2}$ for oak–hickory (Ravenscroft et al. 2010). PnET-CN holds forest composition static over time, as it is not a spatially explicit model and does not represent ecological processes such as succession or migration. Neither we, nor the model developers, assume this to be likely; instead the model is used to explore how productivity would change holding composition constant, as allowing both composition and climate to vary makes it more challenging to understand the mechanisms of shifts in productivity.

Our analyses focused on changes in aboveground net primary productivity (ANPP) because modeled ANPP has been previously validated in the Great Lakes region (Peters et al. 2012), ANPP represents a familiar measure of productivity to forest managers, and our collective understanding of belowground production is still so poor that modeling it is extremely challenging. The long-term effects of future climate scenarios were evaluated by comparing two discrete time periods, current (1971–2000) and future (2070–2099), following meteorological convention that defines climate averages over 30 years. To assess the importance of climatic and spatial drivers on ANPP, we calculated Pearson correlations between ANPP and temperature, precipitation, and water-holding capacity by forest type across the study region. All analyses were performed using Matlab (version 2012b). We did not test for statistically significant differences between model simulations, as stochasticity was not incorporated into individual PnET-CN runs.

Results

Productivity

We found that predicted changes in ANPP from 1971–2000 to 2070–2099 varied widely, depending on the climate scenario and direct CO_2 effects (Fig. 4). With the CO_2 routine implemented in PnET-CN, average regional ANPP increased under both climate scenarios, but showed a smaller average increase of $372 \text{ g}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ (67%) under the PCM B1 scenario (Fig. 4A) compared with $750 \text{ g}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ (142%) under the GFDL A1FI scenario (Fig. 4B). When the CO_2 routine was not implemented in the model, average regional ANPP did not change under the PCM B1 scenario (Fig. 4C) and declined by $-103 \text{ g}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ (–18%) under the GFDL A1FI scenario (Fig. 4D). These results suggest ANPP is sensitive to both changes in climate and CO_2 and that responses to future warming are likely to be larger because of rising CO_2 .

Changes in predicted ANPP varied markedly across the region. With the CO_2 routine implemented, the increases in ANPP under the PCM B1 scenario ranged from 64 to $821 \text{ g}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ or +9% to +134% (Fig. 4A), and under the GFDL A1FI scenario the increases ranged from 7 to $1493 \text{ g}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ or +6% to +254% (Fig. 4B). Under both climate scenarios, the largest increases in ANPP occurred in eastern Minnesota, northern Wisconsin, and upper Michigan (the cooler, moister part of the region), whereas the smallest changes in ANPP occurred in the northwestern part of the region, in northern Minnesota.

Changes in ANPP also varied by forest type. Under both climate scenarios with the CO_2 routine implemented, the four deciduous forest types (aspen–birch, oak–hickory, maple–beech–birch, and elm–ash–cottonwood) had the highest average regional ANPP from 1960 to 2099 (Fig. 5), as well as the largest increases in average regional ANPP, followed by pine and spruce–fir forests (Table 2). For all forest types, average regional ANPP peaked around the year 2080 under the PCM B1 scenario (Fig. 5A), yet continued to rise until 2099 under the GFDL A1FI scenario (Fig. 5B).

Within a given forest type, the relative importance of different spatial drivers of ANPP varied over time. For all deciduous forest types, spatial differences in current ANPP were most strongly and positively correlated with summer temperature, summer

Table 1. Vegetation parameters in PnET-CN representing aspen–birch, elm–ash–cottonwood, maple–beech–birch, oak–hickory, pine, and spruce–fir forest types.

Parameter	Description	Aspen– birch	Elm–ash– cottonwood	Maple– beech– birch	Oak– hickory	Pine	Spruce– fir
AmaxA	Intercept (A) and slope (B) for foliar N – photosynthesis relationship ($\text{nmol CO}_2 \cdot \text{g}^{-2} \text{ leaf} \cdot \text{s}^{-1}$)	–46	–46	–46	–46	5.3	5.3
AmaxB		71.9	71.9	71.9	71.9	21.5	21.5
AmaxFrac	Amax as a fraction of early morning instantaneous rate	0.75	0.75	0.75	0.75	0.75	0.75
BaseFolRespFrac	Respiration as a fraction of max. photosynthesis	0.1	0.1	0.1	0.1	0.1	0.1
CFracBiomass	Carbon fraction of biomass	0.45	0.45	0.45	0.45	0.45	0.45
DVPD1	Coefficients for power function that convert VPD to fractional loss in photosynthesis	0.05	0.05	0.05	0.05	0.05	0.05
DVPD2		2	2	2	2	2	2
f	Soil H ₂ O release parameter	0.04	0.04	0.04	0.04	0.04	0.04
FastFlowFrac	Fraction of H ₂ O lost to drainage	0.1	0.1	0.1	0.1	0.1	0.1
FLPctN	Min. [N] in foliar litter (%)	0.009	0.009	0.009	0.009	0.0045	0.0025
FolNConRange	Max. fractional increase in [N]	0.6	0.6	0.6	0.6	0.7	0.6
FolNRetrans	Fraction of foliar N lost as litter N (litter N / foliar N)	0.5	0.5	0.5	0.5	0.5	0.5
FolRelGrowMax	Max. relative foliage growth rate (year^{-1})	0.95	0.95	0.95	0.95	0.3	0.3
FolReten	Foliage retention time (years)	1	1	1	1	2.3	4
GDDFolEnd	Growing degree day end of foliage production	764	764	764	764	1031	1400
GDDFolStart	Growing degree-day onset of foliage production	332	332	332	332	332	300
GDDWoodEnd	End of wood production (growing degree-days)	1600	764	1600	764	1031	1400
GDDWoodStart	Wood production onset (growing degree-days)	764	332	764	332	332	300
GRespFrac	Growth respiration, fraction of allocation	0.25	0.25	0.25	0.25	0.25	0.25
HalfSat	Half saturation light level ($\mu\text{mol CO}_2 \cdot \text{m}^{-2} \cdot \text{s}^{-1}$)	200	200	200	200	200	200
k	Canopy light attenuation constant	0.58	0.58	0.58	0.58	0.5	0.5
Kho (Ksom)	Decomposition constant for SOM (year^{-1})	0.075	0.075	0.075	0.075	0.075	0.075
MaxNStore	Max. N content in mobile plant pool ($\text{g} \cdot \text{m}^{-2}$)	76	76	76	76	76	76
MinWoodFolRatio	Wood : foliage C allocation min.	0.8	0.8	0.8	0.8	0.5	1
NImmoba	Coefficients of fraction of mineralized N remobilized as function of SOM C:N	151	151	151	151	151	151
NImmobb		–35	–35	–35	–35	–35	–35
PlantCReserveFrac	Plant C fraction reserved after bud allocation	0.75	0.75	0.75	0.75	0.75	0.75
PrecIntFrac	Fraction of precipitation intercepted and evaporated	0.11	0.11	0.11	0.11	0.15	0.15
PsnTMin	Min. temperature for photosynthesis ($^{\circ}\text{C}$)	4	4	4	4	4	0
PsnTOpt	Optimum temperature for photosynthesis ($^{\circ}\text{C}$)	24	24	24	24	24	20
RespQ10	Q10 of respiration	2	2	2	2	2	2
RLPctN	Min. [N] in root litter (%)	0.012	0.012	0.012	0.012	0.012	0.011
RootAllocA	Intercept (A) and slope (B) of the fine root – foliage relationship	0	0	0	0	0	0
RootAllocB		2.63	2.63	2.63	2.63	2.63	2.63
RootMRespFrac	Fine root maintenance respiration to biomass production ratio	1	1	1	1	1	1
RootTurnOverA	Quadratic coefficients for fine root turn over ($\text{fraction} \cdot \text{year}^{-1}$) as a function of annual N mineralization	0.789	0.789	0.789	0.789	0.789	0.789
RootTurnOverB		0.191	0.191	0.191	0.191	0.191	0.191
RootTurnOverC		0.0211	0.0211	0.0211	0.0211	0.0211	0.0211
SLWdel	ΔSLW as foliar mass increases above ($\text{g} \cdot \text{m}^{-2} \cdot \text{g}^{-1}$)	0.2	0.2	0.2	0.2	0	0
SLWmax	Specific leaf mass at canopy top ($\text{g} \cdot \text{m}^{-2}$)	91	73	70	87	200	170
SoilMoistFract	Exponent in computing the efficiency of soil respiration	0	0	0	0	–1	0
SoilRespA	Intercept (A) and slope (B) in the relationship of mean temp. and soil respiration ($\text{g C} \cdot \text{m}^{-2} \cdot \text{month}^{-1}$)	27.46	27.46	27.46	27.46	27.46	27.46
SoilRespB		0.0684	0.0684	0.0684	0.0684	0.0684	0.0684
WLPctN	Min. [N] in wood litter (%)	0.002	0.002	0.002	0.002	0.002	0.002
WoodLitCLoss	Fractional loss of C mass in wood decomposition	0.8	0.8	0.8	0.8	0.8	0.8
WoodLitLossRate	Fraction transfer from dead wood to SOM (year^{-1})	0.1	0.1	0.1	0.1	0.1	0.1
WoodMRespA	Wood maintenance respiration as a fraction of gross photosynthesis	0.07	0.07	0.07	0.07	0.07	0.07
WoodTurnOver	Live wood mortality fraction (year^{-1})	0.025	0.025	0.025	0.025	0.025	0.025
WUEConst	WUE as a function of VPD equation constant	10.9	10.9	10.9	10.9	10.9	10.9

Note: VPD, vapor pressure deficit; SOM, soil organic matter; WUE, water use efficiency.

Fig. 4. Change in aboveground net primary productivity (ANPP) in $\text{g biomass}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ from 1971–2000 to 2070–2099 across the northern Great Lakes region of the United States under two climate scenarios (PCM B1 and GFDL A1FI) with and without the CO_2 routine implemented in PnET-CN. **Figure 4** is available in color online.

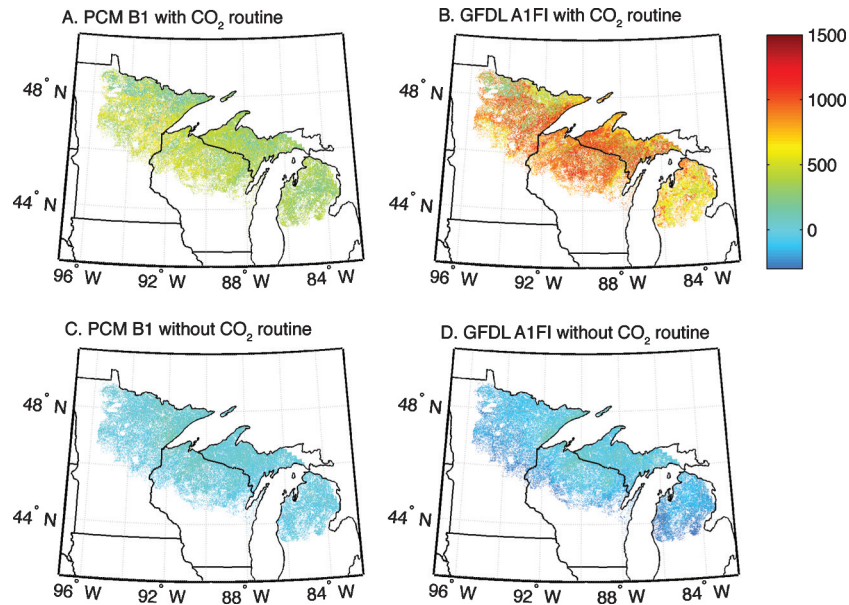
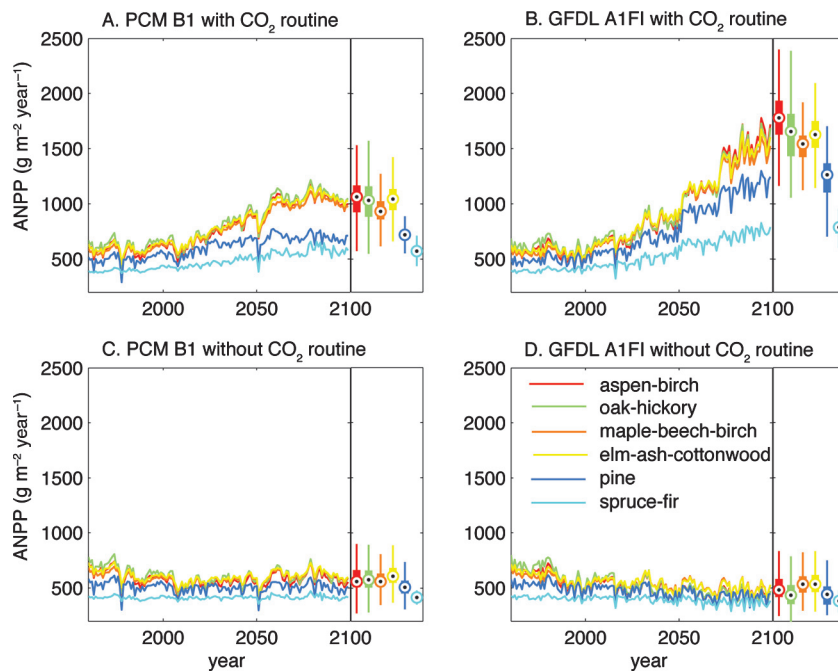


Fig. 5. Average aboveground net primary productivity (ANPP) in $\text{g biomass}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ from 1960 to 2099 across the northern Great Lakes region of the United States for six forest types under two climate scenarios (PCM B1 and GFDL A1FI) with and without the CO_2 routine implemented in PnET-CN. Box-and-whisker plots show median (●), 25th and 75th percentiles (box), and extreme ANPP values (whiskers) by forest type for the year 2099. **Figure 5** is available in color online.



precipitation, and soil water-holding capacity (Supplementary data Table 4),¹ whereas spatial differences in future ANPP were most strongly and positively correlated with summer precipitation and water-holding capacity (Supplementary data Table 5).¹ This pattern was the same for pine forests, except spatial differences in future ANPP under the PCM B1 scenario were most strongly and positively correlated with summer temperature and

water-holding capacity. For spruce–fir forests, spatial differences in current ANPP were instead most strongly and positively correlated with annual temperature and annual precipitation, whereas spatial differences in future ANPP were most strongly and positively correlated with annual temperature and water holding capacity under the PCM B1 scenario and most strongly and negatively correlated with summer temperature under the GFDL A1FI

¹Supplementary data are available with the article through the journal Web site at <http://nrcresearchpress.com/doi/suppl/10.1139/cjfr-2013-0013>.

Table 2. Predicted changes from 1971–2000 to 2070–2099 in mean (± 1 standard deviation) regional aboveground net primary productivity (ANPP), evapotranspiration, runoff, and net N mineralization by forest type under two climate change scenarios with and without implementing the CO₂ routine of PnET-CN.

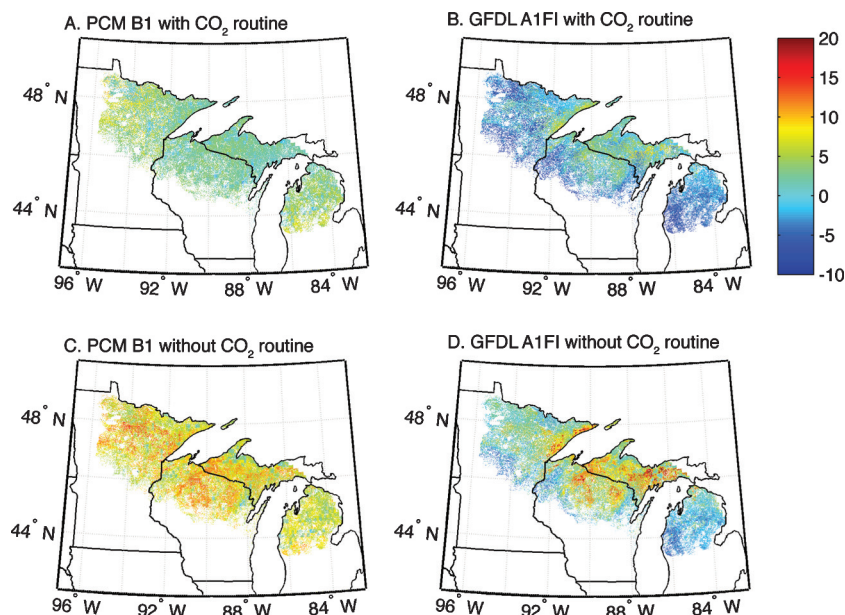
Ecosystem function	Aspen–birch	Elm–ash–cottonwood	Maple–beech–birch	Oak–hickory	Pine	Spruce–fir
ANPP (g biomass·m⁻²·year⁻¹)						
PCM B1 with CO ₂ routine	465 (31)	459 (20)	439 (15)	454 (25)	190 (17)	174 (7)
GFDL A1FI with CO ₂ routine	934 (60)	882 (57)	855 (41)	853 (55)	643 (41)	322 (12)
PCM B1 without CO ₂ routine	-13 (11)	8 (17)	21 (12)	-31 (13)	-14 (8)	7 (3)
GFDL A1FI without CO ₂ routine	-148 (25)	-95 (36)	-62 (23)	-200 (29)	-94 (22)	-44 (9)
Evapotranspiration (cm·year⁻¹)						
PCM B1 with CO ₂ routine	4.1 (0.5)	3.1 (0.6)	2.8 (0.4)	3.9 (0.6)	2.3 (0.5)	1.2 (0.2)
GFDL A1FI with CO ₂ routine	-0.9 (1.0)	0.2 (1.2)	0.8 (0.8)	-2.9 (1.1)	-1.6 (1.5)	-3.3 (0.7)
PCM B1 without CO ₂ routine	7.5 (0.7)	8.9 (1.1)	8.8 (0.8)	7.0 (0.8)	6.9 (0.7)	5.6 (0.2)
GFDL A1FI without CO ₂ routine	1.9 (1.6)	4.9 (2.3)	5.9 (1.6)	-0.1 (1.4)	0.4 (1.9)	4.0 (0.6)
Runoff (cm·year⁻¹)						
PCM B1 with CO ₂ routine	4.2 (0.4)	5.6 (0.9)	5.2 (0.6)	5.0 (0.7)	6.1 (0.7)	7.0 (0.7)
GFDL A1FI with CO ₂ routine	0.5 (1.0)	-0.9 (1.2)	-1.3 (0.8)	2.3 (1.1)	1.0 (1.4)	3.1 (0.6)
PCM B1 without CO ₂ routine	0.9 (0.9)	-0.1 (1.2)	-0.7 (0.8)	1.9 (0.9)	1.5 (0.8)	2.6 (0.7)
GFDL A1FI without CO ₂ routine	-2.3 (1.5)	-5.5 (2.2)	-6.3 (1.7)	-0.4 (1.4)	-0.9 (1.9)	-4.3 (0.6)
Net N mineralization (g N·m⁻²·year⁻¹)						
PCM B1 with CO ₂ routine	0.5 (0.3)	0.7 (0.2)	0.7 (0.2)	0.4 (0.2)	1.0 (0.3)	1.1 (<0.1)
GFDL A1FI with CO ₂ routine	0.6 (0.5)	1.4 (0.4)	1.4 (0.3)	0.0 (0.5)	0.8 (0.8)	1.3 (0.2)
PCM B1 without CO ₂ routine	-0.8 (0.2)	-0.2 (0.3)	-0.1 (0.2)	-1.0 (0.2)	-0.9 (0.3)	0.3 (<0.1)
GFDL A1FI without CO ₂ routine	-1.6 (0.4)	-0.6 (0.4)	-0.5 (0.3)	-2.3 (0.4)	-3.1 (0.6)	0.4 (0.1)

Table 3. Correlation coefficients of the relationship between change in aboveground net primary productivity (ANPP) from 1971–2000 to 2070–2099 and climatic and edaphic spatial drivers by forest type under two climate change scenarios with and without implementing the CO₂ routine of PnET-CN.

Spatial driver	Aspen–birch	Elm–ash–cottonwood	Maple–beech–birch	Oak–hickory	Pine	Spruce–fir
PCM B1 with CO₂ routine						
2070–2099 annual T _{min}	-0.26	-0.53	-0.40	-0.39	0.13	0.24
2070–2099 annual T _{max}	-0.14	-0.51	-0.21	-0.50	0.15	0.18
2070–2099 annual precipitation	-0.19	-0.25	0.00	-0.13	0.01	-0.02
2070–2099 summer T _{min}	0.09	-0.17	0.03	0.09	-0.07	0.25
2070–2099 summer T _{max}	0.15	-0.08	0.19	-0.05	-0.04	0.20
2070–2099 summer precipitation	0.49	0.55	0.66	0.59	-0.22	-0.09
Water-holding capacity	0.64	0.57	0.58	0.63	-0.14	0.27
GFDL A1FI with CO₂ routine						
2070–2099 annual T _{min}	-0.18	-0.48	-0.42	-0.36	-0.25	0.14
2070–2099 annual T _{max}	-0.25	-0.57	-0.40	-0.53	-0.40	-0.34
2070–2099 annual precipitation	0.05	-0.09	0.07	-0.09	0.11	0.30
2070–2099 summer T _{min}	-0.11	-0.30	-0.22	-0.09	-0.20	-0.58
2070–2099 summer T _{max}	-0.23	-0.48	-0.33	-0.45	-0.41	-0.67
2070–2099 summer precipitation	0.33	0.54	0.49	0.51	0.39	-0.12
Water-holding capacity	0.56	0.56	0.64	0.63	0.56	-0.31
PCM B1 without CO₂ routine						
2070–2099 annual T _{min}	-0.53	-0.70	-0.62	-0.22	-0.68	-0.48
2070–2099 annual T _{max}	-0.55	-0.71	-0.61	-0.15	-0.69	-0.64
2070–2099 annual precipitation	-0.15	-0.17	0.03	0.05	-0.16	0.04
2070–2099 summer T _{min}	-0.59	-0.68	-0.49	-0.40	-0.66	-0.76
2070–2099 summer T _{max}	-0.48	-0.58	-0.36	-0.27	-0.60	-0.62
2070–2099 summer precipitation	0.31	0.44	0.49	0.18	0.33	-0.01
Water-holding capacity	-0.15	0.19	0.24	-0.27	0.12	-0.37
GFDL A1FI without CO₂ routine						
2070–2099 annual T _{min}	-0.45	-0.67	-0.62	-0.57	-0.62	-0.10
2070–2099 annual T _{max}	-0.67	-0.78	-0.74	-0.57	-0.76	-0.56
2070–2099 annual precipitation	0.09	-0.05	0.08	-0.02	0.02	0.26
2070–2099 summer T _{min}	-0.78	-0.74	-0.63	-0.76	-0.68	-0.79
2070–2099 summer T _{max}	-0.79	-0.80	-0.69	-0.69	-0.77	-0.84
2070–2099 summer precipitation	0.47	0.65	0.59	0.37	0.64	0.12
Water-holding capacity	-0.08	0.20	0.32	0.04	0.34	-0.39

Note: Climatic drivers have a spatial resolution of ~13 km (Stoner et al. 2012), whereas water-holding capacity has a spatial resolution of 4 km (M. Peters, personal communication (2011)).

Fig. 6. Change in evapotranspiration in $\text{cm}\cdot\text{year}^{-1}$ from 1971–2000 to 2070–2099 across the northern Great Lakes region of the United States under two climate scenarios (PCM B1 and GFDL A1FI) with and without the CO_2 routine implemented in PnET-CN. **Figure 6** is available in color online.



scenario (Supplementary data Table 5).¹ For nearly all forest types, spatial differences in predicted changes in ANPP under both climate scenarios with the CO_2 routine implemented were most strongly and positively correlated with future summer precipitation and soil water-holding capacity (Table 3). When the CO_2 routine was not implemented, spatial differences in predicted changes in ANPP were most strongly and negatively correlated with future temperature, suggesting the important role that CO_2 fertilization plays in allowing forests to overcome warming-induced drought stress through increased water-use efficiency.

Growing season length, as defined by the first and last month of the year with a positive net C balance, increased by 1–2 months under both climate projections by 2070–2099 (data not shown). However, the increase in growing season length coincided with a decline in mid-summer net C uptake. Across the growing season and under both climate scenarios, net C uptake was higher with the CO_2 routine implemented than without.

Evapotranspiration and runoff

Predicted changes in evapotranspiration and runoff from 1971–2000 to 2070–2099 varied widely, depending on the climate scenario and direct CO_2 effects (Figs. 6 and 7). Under the PCM B1 scenario with the CO_2 routine, average regional evapotranspiration increased by $3 \text{ cm}\cdot\text{year}^{-1}$ (+6%) and average regional runoff increased by $5 \text{ cm}\cdot\text{year}^{-1}$ (+22%). Under the GFDL A1FI scenario with the CO_2 routine, average regional evapotranspiration declined by $1 \text{ cm}\cdot\text{year}^{-1}$ (–3%), whereas average regional runoff increased by $1 \text{ cm}\cdot\text{year}^{-1}$ (+2%). When the CO_2 routine was not implemented, average regional evapotranspiration increased by $7 \text{ cm}\cdot\text{year}^{-1}$ (+16%) under the PCM B1 scenario and by $3 \text{ cm}\cdot\text{year}^{-1}$ (+7%) under the GFDL A1FI scenario, whereas average regional runoff increased by $1 \text{ cm}\cdot\text{year}^{-1}$ (4%) under the PCM B1 scenario and decreased by $3 \text{ cm}\cdot\text{year}^{-1}$ (–11%) under the GFDL A1FI scenario. These results suggest that climate (particularly precipitation inputs) and CO_2 fertilization (through effects on stomatal conductance) are both important drivers of future annual evapotranspiration and runoff in the Great Lakes region.

Changes in evapotranspiration and runoff varied across the region. Under the PCM B1 scenario with the CO_2 routine, changes in evapotranspiration ranged from -12 to $+13 \text{ cm}\cdot\text{year}^{-1}$ with the

largest increases in north-central Minnesota and lower Michigan (Fig. 6A), whereas changes in runoff ranged from -3 to $+20 \text{ cm}\cdot\text{year}^{-1}$ with the largest increases in northern Minnesota, central Wisconsin, and lower Michigan (Fig. 7A). Under the GFDL A1FI scenario with the CO_2 routine, changes in evapotranspiration likewise ranged from -12 to $+12 \text{ cm}\cdot\text{year}^{-1}$ with the largest increases in lower Michigan (Fig. 6B), whereas changes in runoff ranged from -14 to $+12 \text{ cm}\cdot\text{year}^{-1}$ with the largest increases in lower Michigan (Fig. 7B).

Changes in water balance also varied by forest type (Table 2). Under the PCM B1 scenario with the CO_2 routine, the four deciduous forest types showed the largest average regional increases in evapotranspiration, followed by pine and spruce–fir forests. Increases in runoff, however, were largest in spruce–fir forests, followed by pine and the four deciduous forest types. Under the GFDL A1FI scenario with the CO_2 routine, maple–beech–birch and elm–ash–cottonwood forests showed moderate increases in average regional evapotranspiration, whereas spruce–fir showed the largest declines, followed by oak–hickory, pine, and aspen–birch forests.

Net N mineralization

Predicted changes in net N mineralization from 1971–2000 to 2070–2099 were moderate, regardless of the climate scenario or whether the CO_2 routine was implemented (Fig. 8). Average regional net N mineralization increased by 0.7 (10%) and 0.9 (12%) $\text{g N}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ under the PCM B1 and GFDL A1FI scenarios with the CO_2 routine, respectively. When the CO_2 routine was not implemented, average regional net N mineralization declined by $0.4 \text{ g N}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ (–3%) under the PCM B1 scenario and by $1.1 \text{ g N}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ (–10%) under the GFDL A1FI scenario. These results suggest net N mineralization is sensitive to both climate and CO_2 and that increases in net N mineralization are only substantive when both temperature and CO_2 increase.

Changes in net N mineralization varied across the region. When the CO_2 routine was implemented, changes in net N mineralization under the PCM B1 scenario ranged from -2.5 to $+5.4 \text{ g N}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ or -16% to $+52\%$ (Fig. 8A), and from -2.9 to $+7.6 \text{ g N}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ or -47% to $+82\%$, under the GFDL A1FI scenario (Fig. 8B). Under both climate scenarios, the largest increases in net N mineralization occurred in

Fig. 7. Change in runoff in $\text{cm}\cdot\text{year}^{-1}$ from 1971–2000 to 2070–2099 across the northern Great Lakes region of the United States under two climate scenarios (PCM B1 and GFDL A1FI) with and without the CO_2 routine implemented in PnET-CN. **Figure 7** is available in color online.

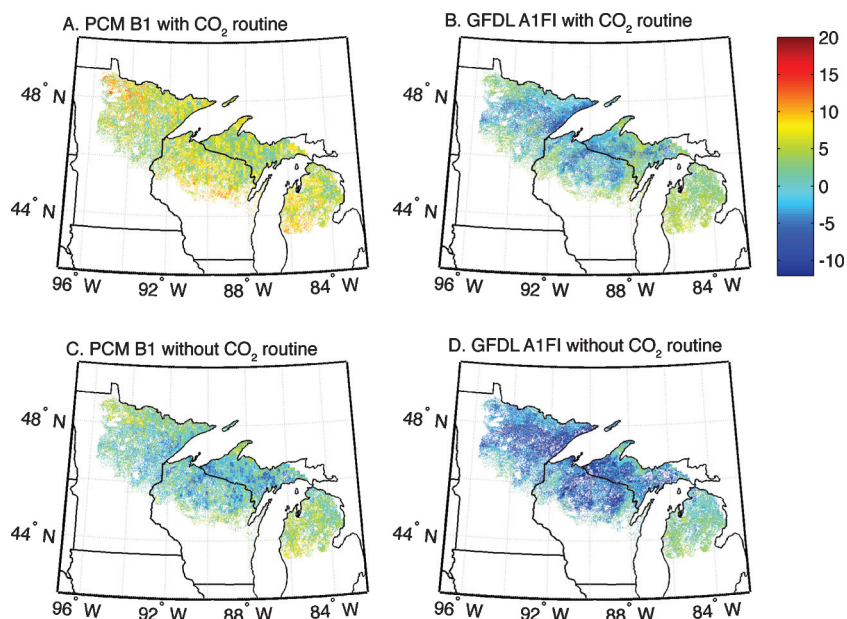
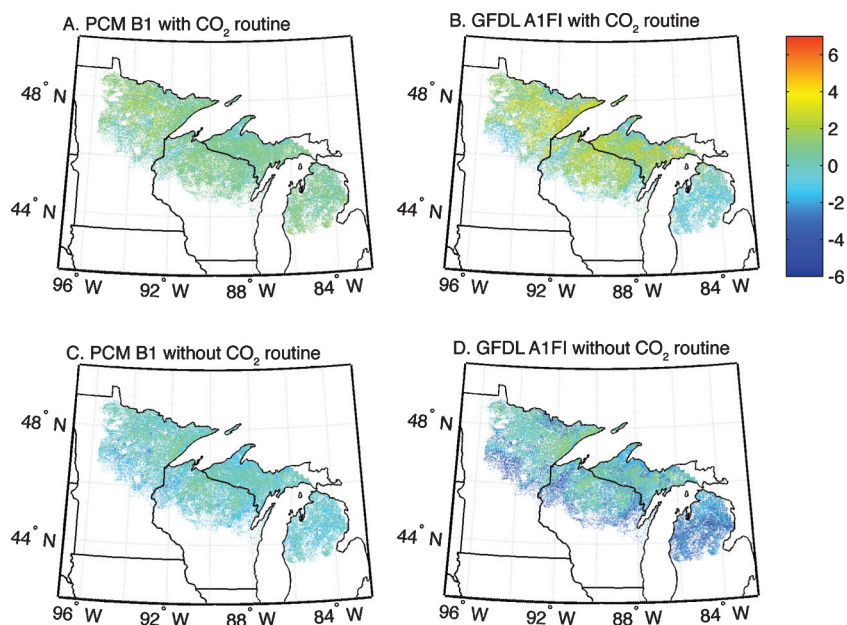


Fig. 8. Change in net nitrogen (N) mineralization in $\text{g N}\cdot\text{m}^{-2}\cdot\text{year}^{-1}$ from 1971–2000 to 2070–2099 across the northern Great Lakes region of the United States under two climate scenarios (PCM B1 and GFDL A1FI) with and without the CO_2 routine implemented in PnET-CN. **Figure 8** is available in color online.



north-central and northeastern Minnesota, northern Wisconsin, and upper Michigan.

Changes in net N mineralization also varied with forest type. The largest average regional increases in net N mineralization with the CO_2 routine implemented occurred in spruce–fir forests, whereas oak–hickory and aspen–birch forests showed the smallest average regional increases in net N mineralization (Table 2).

Discussion

Under two potential future climate and atmospheric CO_2 scenarios (selected to bookend a range of climate predictions), PnET-CN predicted a wide variety of impacts on forest ecosystem processes in the Great Lakes region of North America, with im-

pacts varying geographically within the region. Depending on the climate scenario (but including rising CO_2), our results suggest average regional aboveground net primary productivity could increase from 67% to 142%, changes in evapotranspiration could range from -3% to +6%, runoff could increase from 2% to 22%, and net N mineralization could increase from 10% to 12%. Using PnET-CN at five sites in northeastern USA with similar forest types to our study region, Ollinger et al. (2008) found net primary productivity increased by 25%–88% and runoff changed by -2% to +53% on average under four future climate scenarios (PCM and HadCM3 general circulation models with B1 and A1 emission scenarios). Using the detailed hydrological model VIC, Cherkauer and Sinha (2010) predicted changes in annual evapotranspiration

of $\pm 7\%$ across the Lake Michigan region under six climate scenarios (GFDL and HadCM3 general circulation models with B1, A2, and A1B emission scenarios), with Wisconsin and Michigan generally showing small increases in evapotranspiration. In addition, [Cherkauer and Sinha \(2010\)](#) found annual runoff increased on average by 10% across the Lake Michigan region. It is important to note that CO_2 effects on stomatal conductance, however, were not accounted for in this hydrological model.

We found that predicted impacts to forest ecosystem processes were generally influenced more by potential changes in CO_2 than by potential changes in temperature or precipitation; moreover, that climate change effects were also quite dependent on CO_2 levels. More specifically, the predicted increases in productivity when the CO_2 routine was implemented could largely be attributed to the indirect effect of rising CO_2 on reduced water stress and, hence, higher photosynthetic rates. In the PnET-CN model, direct CO_2 effects on photosynthesis are represented as a saturating response, whereas indirect effects via reduced stomatal conductance and water stress do not acclimate with increasing CO_2 concentrations. While PnET-CN tends to predict a larger CO_2 fertilization effect on productivity than other ecosystem models ([Medlyn et al. 2011](#)), it is difficult to assess whether our predicted increases are unreasonably high because no field studies have yet examined ecosystem responses to CO_2 concentrations >700 ppm in mature forests. Until the mechanisms are more clearly understood for how CO_2 concentrations affect ecosystem C dynamics, modifying the algorithms of the PnET-CN CO_2 routine to more accurately simulate the effects of CO_2 on forest ecosystem processes remains a challenge.

Despite the predicted strong average increase in productivity under both climate scenarios, there was a remarkable range of responses depending on geography, climate, and forest type. However, even with the wide range in predicted productivity, the most important spatial drivers of this variation were relatively consistent among future climate scenarios and suggest the productivity of Great Lakes forests switch from being temperature- to water-limited by the end of the century. These findings are consistent with other studies that show cold temperate and boreal forests are currently temperature-limited ([Hyvonen et al. 2007](#); [Gough et al. 2008](#); [Reich and Oleksyn 2008](#); [Bradford 2011](#); [Dieleman et al. 2012](#); [Fisichelli et al. 2012](#)). We found, however, that predicted temperature increases under the warmest climate scenario (GFDL A1FI) exceeded forest-type-specific temperature thresholds and increased vapor pressure deficits enough to shift current Great Lakes forest systems to being water-limited by 2099. For all forest types under the GFDL A1FI scenario with the CO_2 routine implemented, future productivity was negatively correlated with temperature across the region (Supplementary data Table 5).¹ Soils also played a critical role in determining the vulnerability and potential of forests to respond positively or negatively to projected climate changes. Specifically, areas with lower water-holding capacity were less buffered from water limitation and more prone to reductions or smaller increases in productivity. The suggestion that plant–soil–water relations will become an increasingly important control over forest productivity in the region implies that regional monitoring efforts should explicitly include a range of soil conditions for each forest type.

Although ecosystem responses to future climate scenarios varied by forest type in this study, we found relatively small differences among the four deciduous forest types (aspens–birch, maple–beech–birch, elm–ash–cottonwood, and oak–hickory). This is not surprising given that the main difference in how these forest types were parameterized in PnET-CN was the leaf mass per area parameter. Also, all deciduous and pine forest types were parameterized using the same minimum (4°C) and optimum (24°C) temperature parameters for photosynthesis ([Aber and Driscoll 1997](#); [Aber et al. 1997](#)). These temperature thresholds likely vary within and among species composing these forest types, although

preliminary analyses from studies of 10 Great Lakes species did not find interspecific differences (P.B. Reich, unpublished data); however, there are insufficient studies at present to allow for changing these values to represent Great Lakes forest types.

When interpreting the results from this study, it is important to consider several additional sources of uncertainty and limitations of the PnET-CN model. First, spatial processes, such as succession, migration, and natural disturbances, are not accounted for in the model. Interactions between climate change, fragmentation, dispersal, and competition will likely play an important role in influencing the future composition and structure and, hence, function of Great Lakes forests ([Scheller and Mladenoff 2008](#)). Second, PnET-CN does not account for climate-sensitive stages of plant regeneration, such as germination and seedling establishment ([Price et al. 2001](#); [De Frenne et al. 2012](#); [Fisichelli et al. 2012](#); [Walker et al. 2012](#)). Third, although it is possible to account for land-use history in PnET-CN, we did not include disturbance events (harvest, fire, windthrow, and insect or disease defoliation) in this study because trends in disturbance intensity and frequency are difficult to predict ([Flannigan et al. 2009](#)) and previous work shows disturbance is a relatively less important control on long-term forest productivity in the Great Lakes region than climate, soil, or species traits ([Peters et al. 2012](#)). Results from this study, therefore, should be regarded as “best case” or potential ecosystem responses to future changes in climate and CO_2 . Fourth, major uncertainties remain about future greenhouse gas emissions, N deposition rates, and tropospheric ozone concentrations. While we held future N deposition rates and ozone concentrations equal to current values, it is possible these values could rise or decline over time, depending on complex interactions between emissions, atmospheric composition, and climate ([Galloway et al. 2004](#); [Vingarzan 2004](#); [Racherla and Adams 2006](#); [Sitch et al. 2007](#)). We purposely chose to examine the effects of both a plausible rising and an unrealistic low, stable CO_2 emissions scenario to help interpret the role of rising CO_2 in future forest processes; however, it is possible future emissions will exceed the A1FI emissions projection (our most plausible scenario). Indeed, future emissions projections that exceed A1FI are currently being considered by the Intergovernmental Panel on Climate Change in its fifth assessment report (<http://www.ipcc.ch/>; 10 December 2012).

Conclusion

This model application study evaluates the long-term effects of two potential climate change scenarios on forest ecosystem processes, particularly productivity, evapotranspiration, runoff, and net N mineralization, across the Great Lakes region of North America. When the CO_2 routine was included (rising CO_2), our results suggest that, depending on the climate scenario, average regional changes in productivity could increase from 67% to 142%, changes in evapotranspiration could range from -3% to 6%, runoff could increase from 2% to 22%, and net N mineralization could increase 10% to 12%. When the CO_2 routine was excluded (constant CO_2), productivity was either stable or decreased, suggesting that increases in productivity were the consequence of the rising CO_2 itself, not the changing climate. Ecosystem responses varied geographically with the largest increases in productivity and net N mineralization in northeastern Minnesota, northern Wisconsin, and upper Michigan, and the largest increases in evapotranspiration and runoff in lower Michigan. Ecosystem responses also varied by forest type, with deciduous forest types (aspens–birch, maple–beech–birch, elm–ash–cottonwood, and oak–hickory) showing the largest increases in productivity, followed by pine and spruce–fir forests. Despite the wide range in predicted productivity, the most important spatial drivers of this variation were relatively consistent among future climate scenarios and suggest the productivity of Great Lakes forests will switch from being temperature-limited to water-limited by the end of the century.

Impacts of climate scenarios were strongly sensitive to the effects of CO₂, particularly through reduced stomatal conductance and water stress, supporting the idea that interactions between simultaneously changing environmental factors can be complex and antagonistic. This work identifies a range of potential forest ecosystem responses to climate change in the Great Lakes region while highlighting geographical differences in these responses that will be useful for natural resource planning and management.

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