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#### EQUIVARIANT QUANTUM SCHUBERT POLYNOMIALS

#### DAVE ANDERSON AND LINDA CHEN

ABSTRACT. We establish an equivariant quantum Giambelli formula for partial flag varieties. The answer is given in terms of a specialization of universal double Schubert polynomials. Along the way, we give new proofs of the presentation of the equivariant quantum cohomology ring, as well as Graham-positivity of the structure constants in equivariant quantum Schubert calculus.

#### 1. Introduction

Classical Schubert calculus is concerned with the cohomology rings of Grassmannians and (partial) flag varieties. In recent years, equivariant and quantum versions of Schubert calculus have been developed. Key ingredients in each of these theories are a presentations of the ring and "Giambelli formulas" expressing the additive basis of Schubert classes in terms of the presentation. For example, the ordinary cohomology of the Grassmannian is generated by Chern classes, and the classical Giambelli formula in this context states that a Schubert class is represented by a Schur polynomial, which has a determinantal expression in terms of Chern classes.

The past fifteen years have seen great progress in modern Schubert calculus. Many authors—including Bertram, Buch, Ciocan-Fontanine, Coskun, Kresch, Knutson, Mihalcea, Tamvakis, and Vakil—have proven results on quantum cohomology, equivariant cohomology, K-theory, and, more recently, equivariant K-theory and equivariant quantum cohomology. The latter theory has connections with affine Schubert calculus: following ideas of Peterson, the relationship between (equivariant) homology of affine Grassmannians and (equivariant) quantum cohomology of partial flag varieties has been developed by Lapointe and Morse [LM] and Lam and Shimozono [LaSh1, LaSh2]. The associated combinatorics of k-Schur functions imparts further interest to the study of equivariant quantum Schubert calculus.

In this article, we study the equivariant quantum cohomology ring of a partial flag variety; the main results give Giambelli formulas for Schubert classes. Specifically, we define equivariant quantum Schubert polynomials  $\mathfrak{S}_w^q(\sigma,t)$  (or more simply,  $\mathfrak{S}_w^q(x,t)$  in the case of complete flag varieties) as

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specializations of Fulton's universal double Schubert polynomials. This specialization is analogous to the specialization of universal (single) Schubert polynomials to Fomin-Gelfand-Postnikov's quantum Schubert polynomials for the quantum cohomology of complete flag varieties [FGP]. In the case of complete flags, our equivariant polynomial  $\mathfrak{S}_w^q(x,t)$  is equal to the specialization studied by Kirillov-Maeno under the name quantum double Schubert polynomial [KiMa]. As a key feature of our point of view, we obtain a direct relationship between universal double Schubert polynomials, which solve a degeneracy locus problem, and their specialization to (equivariant) quantum Schubert polynomials (cf. [Ch]).

Fix  $\mathbf{n} = (0 < n_1 < \dots < n_m < n)$  and let  $Fl(\mathbf{n})$  denote the partial flag variety parametrizing flags  $V_1 \subset \dots \subset V_m \subset \mathbb{C}^n$ , with dim  $V_i = n - n_i$ . Recall that the Schubert classes  $\sigma_w$  are parametrized by certain permutations  $S^{\mathbf{n}} \subseteq S_n$  (see §2.1). We write Fl(n) for the complete flag variety, corresponding to the case  $\mathbf{n} = \{1, \dots, n-1\}$ .

To state the main theorem, we describe a specialization of the universal double Schubert polynomial  $\mathfrak{S}_w(g,h)$ , which is a polynomial in variables  $g_i[j]$  and  $h_i[j]$  defined by Fulton [Fu]. Specialize these variables as follows:

(1) 
$$g_{i}[0] \mapsto x_{i} \quad \text{for } 1 \leq i \leq n,$$

$$g_{n_{i-1}+1}[n_{i+1} - n_{i-1} - 1] \mapsto (-1)^{n_{i}-n_{i-1}+1}q_{i} \quad \text{for } 1 \leq i \leq m,$$

$$h_{i}[0] \mapsto t_{i} \quad \text{for } 1 \leq i \leq n, \text{ and}$$

$$g_{i}[j], h_{i}[j] \mapsto 0 \quad \text{for all other } i, j.$$

Writing  $\sigma_i^j$  for the *i*th elementary symmetric polynomial in the variables  $x_{n_{j-1}+1}, \ldots, x_{n_j}$ , the equivariant quantum Schubert polynomial  $\mathfrak{S}_w^q(\sigma, t)$  is the result of these specializations applied to  $\mathfrak{S}_w(g, h)$ . (See §3 for more background on universal Schubert polynomials and these specializations.)

**Theorem 1.1.** For any permutation  $w \in S^n$ , we have

$$\sigma_w = \mathfrak{S}_w^q(\sigma, t)$$

as classes in  $QH_T^*(Fl(\mathbf{n}))$ .

As an example, the equivariant quantum Schubert polynomials for Fl(3) are listed in Table 1.

Our approach is to adapt the methods in [Ch] to the equivariant situation. One of the main geometric tools used in previous approaches to quantum Giambelli formulas (cf. [Be, CF2, Ch] is a moving lemma for quot schemes which relies on general position arguments not immediately available in equivariant cohomology. To surmount this technical difficulty, we use the "mixing group" action introduced in [An] to prove an equivariant moving lemma. The new equivariant moving lemma proved in §6 should be of independent interest; we use a version to prove positivity of equivariant Gromov-Witten invariants in [AC].

| w   | $\mathfrak{S}_w^q(x,t)$                    |
|-----|--|
| 123 | 1  |
| 213 | $x_1 - t_1$                                |
| 132 | $x_1 + x_2 - t_1 - t_2$                    |
| 231 | $x_1 x_2 + q_1 - (x_1 + x_2) t_1 + t_1^2$  |
| 312 | $x_1^2 - q_1 - x_1(t_1 + t_2) + t_1 t_2$   |
| 321 | $(x_1-t_2)(x_1x_2+q_1-(x_1+x_2)t_1+t_1^2)$ |

Table 1. Equivariant quantum Schubert polynomials for Fl(3).

For the present paper, the main consequence of the moving lemmas is that the equivariant quantum structure constants can be computed on quot schemes (Proposition 4.2). This allows us to use the inductive method of [Ch] to prove the equivariant quantum Giambelli formula (§7). We expect it to have further applications, since it also allows one to use the apparatus of equivariant localization on quot schemes, which is well understood, thanks to [BCS].

Our results immediately recover the presentation of the equivariant quantum ring presentation computed in [Kim] (see Corollary 7.3), the equivariant quantum Giambelli formula for Grassmannians in terms of factorial Schur polynomials in [Mi3], and the equivariant Giambelli formula for flag varieties in terms of double Schubert polynomials in [KnMi]. In addition, our approach recovers the Graham-positivity result in [Mi2]: as polynomials in a natural choice of variables, the structure constants for equivariant quantum multiplication have nonnegative coefficients (Corollary 6.3).

Like their non-equivariant counterparts, the equivariant quantum Schubert polynomials possess a stability property: the same polynomial represents a Schubert class  $\sigma_w$  of codimension  $\ell(w)$ , independently of which flag variety Fl(n) it is in, for sufficiently large n. In §8, we give a precise statement to this effect. A useful computational consequence is that equivariant quantum products are computed (on the nose, not up to an ideal) by products of Schubert polynomials, at least for sufficiently large n. We include some examples, using the equivariant quantum Schubert polynomials to produce multiplication tables for  $QH_T^*Fl(n)$  (for small n).

After the results presented here were announced, the equivariant quantum Giambelli formula was proved by Lam and Shimozono, using different methods [LaSh3].

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#### 2. Background and notation

2.1. Flag varieties. We recall some basic facts about partial flag varieties. Let

$$\mathbf{n} = \{0 = n_0 < n_1 < \dots < n_m < n_{m+1} = n\}$$

be a strictly increasing sequence of integers, and let  $V = \mathbb{C}^n$ . The *m*-step partial flag variety  $Fl(\mathbf{n}) = Fl(n_1, \dots, n_m; V)$  parametrizes flags  $V_{\bullet} = (V_m \subset \dots \subset V_1 \subset V)$ , with dim  $V_i = n - n_i$ ; equivalently,  $Fl(\mathbf{n})$  parametrizes successive quotients  $V/V_i$  of dimension  $n_i$ . This is a smooth projective variety of dimension dim  $Fl(\mathbf{n}) = \sum_{i=1}^m n_i(n_{i+1} - n_i)$ , and it comes equipped with a universal sequence of quotient bundles:

$$V_{Fl(\mathbf{n})} = Q_{m+1} \twoheadrightarrow \cdots \twoheadrightarrow Q_2 \twoheadrightarrow Q_1,$$

where  $Q_i$  is the vector bundle of rank  $n_i$  whose fiber over  $V_{\bullet}$  is  $V/V_i$ . When  $\mathbf{n} = \{1, 2, \dots, n-1\}$ , we obtain the complete flag variety, which we write as  $Fl(\mathbb{C}^n)$ .

These bundles give generators for cohomology ring of  $Fl(\mathbf{n})$ , as follows. For  $1 \leq j \leq m+1$ , let  $x_{n_{j-1}+1}, \ldots, x_{n_j}$  be the Chern roots of the bundle  $\ker(Q_j \to Q_{j-1})$ . For  $1 \leq i \leq n_j - n_{j-1}$ , set

(2) 
$$\sigma_i^j = c_i(\ker(Q_i \to Q_{j-1}));$$

this is the *i*th elementary symmetric polynomial in  $x_{n_{j-1}+1}, \ldots, x_{n_{j}}$ . Since the Chern class  $c_{k}(Q_{j})$  is symmetric in  $x_{n_{j-1}+1}, \ldots, x_{n_{j}}$  for every  $1 \leq j \leq l$ , it can be written as a polynomial in  $\sigma_{i}^{j}$ , which we denote by  $\widetilde{e}_{k}(l)(\sigma)$  or  $\widetilde{e}_{k}(l)$ . Note that when  $Fl(\mathbf{n})$  is the complete flag variety  $Fl(\mathbb{C}^{n})$ ,  $\sigma_{i}^{j}$  is defined for i=1, and in that case,  $\sigma_{1}^{j}=x_{j}$ .

**Theorem 2.1.** The cohomology ring of  $Fl(\mathbf{n})$  is presented as

$$H^*(Fl(\mathbf{n})) \cong \mathbb{Z}[\sigma_1^1, \dots, \sigma_{n_1}^1, \dots, \sigma_1^{m+1}, \dots, \sigma_{n-n_m}^{m+1}]/I,$$

where I is the ideal  $(\widetilde{e}_1(m+1), \dots, \widetilde{e}_n(m+1))$ .

In the special case of the complete flag variety  $Fl(\mathbb{C}^n)$ , this gives

$$H^*(Fl(\mathbb{C}^n)) \cong \mathbb{Z}[x_1, \dots, x_n]/(e_1(x), \dots, e_n(x))$$

where  $x_i = c_1(\ker(Q_j \to Q_{j-1}))$  and  $e_i(x)$  is the *i*th elementary symmetric polynomial in  $x_1, \ldots, x_n$  for  $1 \le i \le n$ .

2.2. **Permutations and Bruhat order.** The cohomology ring  $H^*(Fl(\mathbf{n}))$  has a  $\mathbb{Z}$ -basis of Schubert classes, indexed by permutations in the set

$$S^{\mathbf{n}} := \{ w \in S_n : w(i) < w(i+1) \text{ if } n-i \notin \mathbf{n} \}.$$

If  $S_{\mathbf{n}}$  denotes the subgroup of  $S_n$  generated by adjacent transpositions (i, i + 1) for  $n - i \notin \mathbf{n}$ , then  $S^{\mathbf{n}}$  is a set of coset representatives for  $S_n/S_{\mathbf{n}}$ . (For the complete flag variety  $Fl(\mathbb{C}^n)$ , so  $\mathbf{n} = \{1, 2, \dots, n-1\}$ , we have  $S^{\mathbf{n}} = S_n$ .)

For any permutation  $w \in S_n$ , define

$$r_w(p,q) = \#\{i \le p \mid w(i) \le q\}.$$

This is the rank of the upper-left  $p \times q$  submatrix of the permutation matrix corresponding to w (which has 1's in positions (i, w(i))) and 0's elsewhere).

The Bruhat order on  $S_n$  is a partial order which may be defined by  $v \leq w$  iff  $r_v(p,q) \geq r_w(p,q)$  for all  $1 \leq p,q \leq n$ . The length of w is the number

$$\ell(w) = \#\{i < j \mid w(i) > w(j)\}.$$

The Bruhat order is ranked by length:  $v \leq w$  implies  $\ell(v) \leq \ell(w)$ . There is a unique permutation of greatest length, denoted  $w_0$ ; it is given by  $w_0(i) = n + 1 - i$ .

The subset  $S^{\mathbf{n}} \subseteq S_n$  is also characterized as the set of minimal-length coset representatives for  $S_n/S_{\mathbf{n}}$ . Given any permutation  $w \in S_n$ , one gets an element  $\overline{w}$  of  $S^{\mathbf{n}}$  by taking the representative of  $wS_{\mathbf{n}}$  of smallest length; concretely, this means sorting the entries of each block  $[w(n_i + 1), w(n_i + 2), \ldots, w(n_{i+1})]$  into increasing order.

Bruhat order induces a partial order on the subset  $S^{\mathbf{n}}$ , and the unique permutation of greatest length in  $S^{\mathbf{n}}$  is  $w^{\circ} := \overline{w_{\circ}}$ , given explicitly by

$$w^{\circ} = [n_m + 1, n_m + 2, \dots, n, n_{m-1} + 1, n_{m-1} + 2, \dots, n_m, \dots, n_2, 1, 2, \dots, n_1].$$
  
Its length is  $\ell(w^{\circ}) = \dim Fl(\mathbf{n}) = \sum_{i=1}^{m} n_i(n_{i+1} - n_i).$ 

2.3. Schubert cells and Schubert varieties. Fix a basis  $\{e_1, \ldots, e_n\}$  for  $V = \mathbb{C}^n$ . The standard flag  $E_{\bullet}$  is defined by  $E_i = \operatorname{span}\{e_1, \ldots, e_i\}$  and the opposite flag  $\widetilde{E}_{\bullet}$  is defined by  $\widetilde{E}_i = \operatorname{span}\{e_n, e_{n-1}, \ldots, e_{n-i+1}\}$ . The Schubert varieties in  $Fl(\mathbf{n})$  can be described in several ways. Fixing the standard flag  $E_{\bullet}$ , we define  $\Omega_w = \Omega_w(E_{\bullet}) \subseteq Fl(\mathbf{n})$  by

$$\Omega_w = \{V_{\bullet} \in Fl(\mathbf{n}) \mid \operatorname{rk}(E_q \to \mathbb{C}^n/V_p) \le r_w(n_p, q) \text{ for all } 1 \le q \le n, n_p \in \mathbf{n} \}$$
  
This is the closure of the *Schubert cell*

$$\Omega_w^{\circ} = \{ V_{\bullet} \in Fl(\mathbf{n}) \mid \operatorname{rk}(E_q \to \mathbb{C}^n/V_p) = r_w(n_p, q) \text{ for all } 1 \le q \le n, n_p \in \mathbf{n} \},$$

which is isomorphic to  $\mathbb{A}^{\dim Fl(\mathbf{n})-\ell(w)}$ . Replacing  $E_{\bullet}$  with the opposite flag  $\widetilde{E}_{\bullet}$ , we obtain the *opposite Schubert varieties*  $\widetilde{\Omega}_w$  and the *opposite Schubert cells*  $\widetilde{\Omega}_w^{\circ}$ . Here, the Bruhat order on  $S^{\mathbf{n}}$  is identified with the order induced by inclusions of Schubert varieties:  $v \leq w$  iff  $\Omega_v \supseteq \Omega_w$  iff  $\widetilde{\Omega}_v \subseteq \widetilde{\Omega}_w$ .

Regarding  $E_{\bullet}$  as a flag of trivial vector bundles on  $Fl(\mathbf{n})$ , the Schubert variety may be defined equivalently as the degeneracy locus of points  $x \in Fl(\mathbf{n})$  where  $\mathrm{rk}_x(E_q \to Q_p) \leq r_w(n_p,q)$ , and similarly for the opposite Schubert varieties.

The Schubert cells give an (affine) cell decomposition of the partial flag variety, so the classes of Schubert varieties form a linear basis for the cohomology ring of  $Fl(\mathbf{n})$ :

$$H^*Fl(\mathbf{n}) = \bigoplus_{w \in S^{\mathbf{n}}} \mathbb{Z} \cdot [\Omega_w].$$

Since  $\Omega_w$  has codimension  $\ell(w)$ , its class lies in  $H^{2\ell(w)}Fl(\mathbf{n})$ .

The Schubert classes  $[\Omega_w]$  are written in terms of this presentation as  $Schubert \ polynomials \ \mathfrak{S}_w(x) \ [BGG][D][LaSch]$ . For the moment, consider the complete flag variety Fl(n). Let  $w_o$  be the longest permutation in  $S_n$ , and write  $w = w_o s_{i_1} \dots s_{i_k}$ , where  $s_i$  is the simple transposition (i, i+1) and  $k = \binom{n}{2} - \ell(w)$ . For  $1 \le i < n$ , let  $\partial_i$  be the divided difference operator acting on  $\mathbb{Z}[x_1, \dots, x_n]$  by

(3) 
$$\partial_i P = \frac{P(x_1, \dots, x_n) - P(x_1, \dots, x_{i-1}, x_{i+1}, x_i, x_{i+2}, \dots, x_n)}{x_i - x_{i+1}}.$$

Then the Schubert polynomials are defined by

$$\mathfrak{S}_w(x) = \partial_{i_k} \cdots \partial_{i_1} (x_1^{n-1} x_2^{n-2} \dots x_{n-1}).$$

The Giambelli formula gives  $[\Omega_w]$  in terms of the presentation. For the complete flag variety  $Fl(\mathbb{C}^n)$ , we have for any  $w \in S_n$ ,

$$[\Omega_w] = \mathfrak{S}_w(x)$$
 as classes in  $H^*(Fl(\mathbb{C}^n))$ .

For  $w \in S^{\mathbf{n}}$ ,  $\mathfrak{S}_w(x)$  can be written as a polynomial in the classes  $\sigma_i^j$  from Theorem 2.1. We write this polynomial as  $\mathfrak{S}_w^{\mathbf{n}}(\sigma)$ , and for partial flag varieties, we have

**Theorem 2.2.** For any 
$$w \in S^{\mathbf{n}}$$
,  $[\Omega_w] = \mathfrak{S}_w^{\mathbf{n}}(\sigma)$  as classes in  $H^*(Fl(\mathbf{n}))$ .

The Schubert cells may also be described as orbits, and we will need this point of view. The group  $GL_n$  acts on  $Fl(\mathbf{n})$  via its action on  $\mathbb{C}^n$ , and the parabolic subgroup P of staircase (block upper-triangular) matrices fixes the standard flag. This gives rise to an isomorphism  $Fl(\mathbf{n}) \cong GL_n/P$  with a decomposition into Schubert cells indexed by permutations  $w \in S^{\mathbf{n}}$ . Given  $w \in S^{\mathbf{n}}$ , let  $p(w) \in Fl(\mathbf{n})$  be the flag  $(F_m \subset \cdots \subset F_1 \subset V)$  with  $F_i = \operatorname{span}\{e_{w(n)}, e_{w(n-1)}, \ldots, e_{w(n_i+1)}\}$ . We have

$$\Omega_w^{\circ} = B \cdot p(w)$$

and

$$\widetilde{\Omega}_w^{\circ} = \widetilde{B} \cdot p(\overline{w_{\circ}w}),$$

where B and  $\widetilde{B}$  are the groups of upper- and lower-triangular matrices, respectively. The cells  $\Omega_w$  and  $\widetilde{\Omega}_{\overline{w}\circ\overline{w}}$  intersect transversally in the point p(w), and it follows from this (together with dimension considerations) that the classes  $[\widetilde{\Omega}_{\overline{w}\circ\overline{w}}]$  form a Poincaré dual basis: writing  $\pi: Fl(\mathbf{n}) \to \mathrm{pt}$ ,

$$\pi_*([\Omega_w] \cdot [\widetilde{\Omega}_{\overline{w} \circ \overline{v}}]) = \delta_{w,v}.$$

(In fact,  $[\widetilde{\Omega}_w] = [\Omega_w]$  in  $H^*Fl(\mathbf{n})$ , but since this does not hold in equivariant cohomology, we prefer to use distinct notation.) To emphasize this duality, we often write  $w^{\vee} = \overline{w_{\circ}w}$ . Note that  $\ell(w^{\vee}) = \dim Fl(\mathbf{n}) - \ell(w)$ .

2.4. Equivariant cohomology. Let  $T \cong (\mathbb{C}^*)^n$  be a torus. One can find a contractible space  $\mathbb{E}T$  on which T acts freely, and the quotient  $\mathbb{B}T = \mathbb{E}T/T$  is then unique up to homotopy. The equivariant cohomology of a space X equipped with a T-action is defined by

$$H_T^*X = H^*(\mathbb{E}T \times^T X),$$

where  $Y \times^T Z$  denotes the quotient of  $Y \times Z$  by the relation  $(y \cdot t, z) \sim (y, t \cdot z)$ . The map  $\mathbb{E} T \times^T X \to \mathbb{B} T$  makes  $H_T^* X$  an algebra over

$$\Lambda_T = H_T^*(\mathrm{pt}) = H^*(\mathbb{B}T) \cong \mathbb{Z}[t_1, \dots, t_n].$$

The spaces  $\mathbb{E}T$  are infinite-dimensional, but one can find finite-dimensional algebraic varieties which serve as "approximations." To describe these, we will need to pay attention to the isomorphism  $T \cong (\mathbb{C}^*)^n$ . Let  $M = \text{Hom}(T,\mathbb{C}^*)$  be the character group of T, so  $M \cong \mathbb{Z}^n$ , and there is the standard basis  $t_1, t_2, \ldots, t_n$ . We will also use the positive basis

$$\alpha_1,\ldots,\alpha_{n-1},\alpha_n$$

for M, where  $\alpha_i = t_i - t_{i+1}$  for i < n, and  $\alpha_n = t_n$ . (The reason for this choice will become evident in §5.)

Now take  $\mathbb{E}$  to be  $(\mathbb{C}^N \setminus \{0\})^n$ , for  $m \gg 0$ . With T acting on the n factors via the positive basis, we set

$$\mathbb{B} = \mathbb{E}/T = (\mathbb{P}^{N-1})^n.$$

These spaces approximate  $\mathbb{E}T \to \mathbb{B}T$  in the sense that

$$H^k(\mathbb{E}\times^TX)=H^k(\mathbb{E}T\times^TX)=H^k_TX$$

for all sufficiently small k, and there are compatible inclusion maps as  $N \to \infty$ . See [EG] for details on approximation spaces in equivariant cohomology; the key point for our purposes is that one can carry out any given computation in  $H_T^*X$  using  $H^*(\mathbb{E} \times^T X)$ .

As a matter of notation, given a T-space X, we will denote the corresponding approximation space by a bold letter  $\mathbf{X} = \mathbb{E} \times^T X$ , always understanding some fixed  $N \gg 0$ .

We will also need to consider certain linear subspaces of  $\mathbb{B}$ . Specifically, for each integer j with  $0 \leq j \leq N-1$ , fix transverse linear subspaces  $\mathbb{P}^{N-1-j}$  and  $\widetilde{\mathbb{P}}^j$  inside  $\mathbb{P}^{N-1}$ , and for a multi-index of such integers  $J=(j_1,\ldots,j_n)$ , set

$$\mathbb{B}^{J} = \mathbb{P}^{N-1-j_1} \times \cdots \times \mathbb{P}^{N-1-j_n} \quad \text{and} \quad \mathbb{B}_{J} = \widetilde{\mathbb{P}}^{j_1} \times \cdots \times \widetilde{\mathbb{P}}^{j_n}.$$

Thus dim  $\mathbb{B}_J = \operatorname{codim}(\mathbb{B}^J, \mathbb{B}) = |J| = j_1 + \cdots + j_n$ . These subspaces carry the effective equivariant classes in  $H_T^*(\operatorname{pt})$ ; hence their significance:

(4) 
$$[\mathbb{B}^J] = (-\alpha_1)^{j_1} \cdots (-\alpha_n)^{j_n} \quad \text{in} \quad H^*\mathbb{B} = H_T^*(\text{pt}).$$

Finally, let  $\mathbf{X}_J$  and  $\mathbf{X}^J$  denote the preimages of  $\mathbb{B}_J$  and  $\mathbb{B}^J$ , respectively, under the projection  $\mathbf{X} \to \mathbb{B}$ .

Let  $\pi^{\mathbb{B}}$  be the map  $\mathbb{B} \to \operatorname{pt}$ . Note that any polynomial  $c(t) \in H_T^*(\operatorname{pt}) = \mathbb{Z}[t_1, \ldots, t_n]$  can be written as

(5) 
$$c(t) = \sum_{I} c_{I} (-\alpha_{1})^{j_{1}} \cdots (-\alpha_{n})^{j_{n}},$$

where  $c_J = \pi_*^{\mathbb{B}}(c(t) \cdot [\mathbb{B}_J])$ . (This is just Poincaré duality on  $\mathbb{B}$ .) In particular, we have:

**Lemma 2.3.** Suppose  $c(t) = \pi_*^T(\sigma)$ , for some class  $\sigma \in H_T^*X$ , where  $\pi_*^T \colon H_T^*X \to H_T^*(\operatorname{pt})$  is the equivariant pushforward. Identify  $\pi^T$  with the corresponding projection  $\mathbf{X} \to \mathbb{B}$ , and let  $\pi^{\mathbf{X}}$  be the map  $\mathbf{X} \to \operatorname{pt}$ . Then the coefficient  $c_J$  appearing in (5) is equal to  $\pi_*^{\mathbf{X}}(\sigma \cdot [\mathbf{X}_J])$ .

In the present context,  $T \cong (\mathbb{C}^*)^n \subset GL_n$  is the maximal torus of diagonal matrices, acting on Fl(n). The equivariant cohomology of the complete flag variety has a well-known presentation

$$H_T^* Fl(\mathbb{C}^n) = \Lambda_T[x_1, \dots, x_n]/(e_1(x) - e_1(t), \dots, e_n(x) - e_n(t)),$$

where  $x_i = c_1^T(\ker(Q_i \to Q_{i-1}))$ , and  $e_i$  is the *i*th elementary symmetric function. More generally, as in (2), define

(6) 
$$\sigma_i^j = c_i^T(\ker(Q_i \to Q_{i-1})).$$

For the partial flag variety  $Fl(\mathbf{n})$ , we have

$$H_T^*Fl(\mathbf{n}) = \Lambda_T[\sigma_1^1, \dots, \sigma_{n-n_m}^{m+1}]/(\widetilde{e}_1(m+1)) - e_1(t), \dots, \widetilde{e}_n(m+1) - e_n(t)).$$

Moreover, the equivariant classes of Schubert varieties form a  $\Lambda$ -basis for  $H_T^*Fl(\mathbf{n})$ , and for essentially the same reason as in the classical case, the classes of opposite Schubert varieties are the Poincaré dual basis:

(7) 
$$\pi_*^T([\Omega_w]^T \cdot [\widetilde{\Omega}_{v^\vee}]^T) = \delta_{w,v}$$

in  $\Lambda$ . (This is a stronger statement, since a priori, these classes could pair to a nonzero polynomial in t.)

The equivariant Giambelli formula is given by double Schubert polynomials  $\mathfrak{S}_w(x,t)$ , defined by

$$\mathfrak{S}_w(x,t) = \sum_{u,v} (-1)^{\ell(v)} \mathfrak{S}_u(x) \mathfrak{S}_v(t),$$

where the sum is over  $u, v \in S_{n+1}$  such that  $v^{-1}u = w$  and  $\ell(u) + \ell(v) = \ell(w)$ . A key property of the double polynomials is that  $\partial_i^t \mathfrak{S}_w(x,t) = -\mathfrak{S}_{s_iw}(x,t)$  whenever  $\ell(s_iw) < \ell(w)$ , where  $\partial_i^t$  is the divided difference operator (defined in (3)) applied to the t variables.

The equivariant Giambelli formula gives  $[\Omega_w]^T$  in terms of the presentation (see, e.g., [KnMi]). For the complete flag variety  $Fl(\mathbb{C}^n)$ , we have

$$[\Omega_w]^T = \mathfrak{S}_w(x,t) \text{ in } H_T^*(Fl(\mathbb{C}^n)).$$

For  $w \in S^{\mathbf{n}}$ ,  $\mathfrak{S}_w(x,t)$  can be written as a polynomial in  $\sigma_i^j$  and  $t_i$ , which we write as  $\mathfrak{S}_w^{\mathbf{n}}(\sigma,t)$ , and for partial flag varieties, we have

**Theorem 2.4.** For any  $w \in S^{\mathbf{n}}$ ,  $[\Omega_w]^T = \mathfrak{S}_w^{\mathbf{n}}(\sigma, t)$  as classes in  $H_T^*(Fl(\mathbf{n}))$ .

One can realize  $\mathbf{Fl}(\mathbf{n}) = \mathbb{E} \times^T Fl(\mathbf{n})$  as a flag bundle  $\mathbf{Fl}(\mathbf{n}; E) \to \mathbb{B}$ , for a vector bundle E on  $\mathbb{B}$ . Specifically, let  $pr_i : \mathbb{B} \to \mathbb{P}^{N-1}$  be the projection on the ith factor, and let  $L_i = pr_i^*\mathcal{O}(-1)$ . (One has  $t_i = c_1(L_i)$  in  $H_T^2(\mathrm{pt}) = H^2(\mathbb{B})$ .) Then set  $E_i = L_1 \oplus L_2 \oplus \cdots \oplus L_i$  and  $E = E_n$ , so we obtain a flag of vector bundles  $E_{\bullet}$ . The equivariant Schubert class  $[\Omega_w]^T$  is identified with the class of the degeneracy locus  $\Omega_w \subseteq \mathbf{Fl}(E)$ .

2.5. Quantum cohomology. The (small) quantum cohomology ring  $QH^*Fl(\mathbf{n})$  is a commutative and associative graded algebra over  $\mathbb{Z}[\mathbf{q}] = \mathbb{Z}[q_1, \dots, q_m]$ , where  $q_i$  is a parameter of degree  $n_{i+1} - n_{i-1}$ . As a module,  $QH^*Fl(\mathbf{n})$  is simply  $\mathbb{Z}[\mathbf{q}] \otimes_{\mathbb{Z}} H^*Fl(\mathbf{n})$ , so it has a  $\mathbb{Z}[\mathbf{q}]$ -basis of Schubert classes  $\sigma_w$ :

$$QH^*Fl(\mathbf{n}) = \bigoplus_{w \in S^{\mathbf{n}}} \mathbb{Z}[\mathbf{q}] \cdot \sigma_w.$$

The quantum product is a deformation of the usual cup product. For permutations u, v, define a product by

(8) 
$$\sigma_u \star \sigma_v = \sum_{w,\mathbf{d}} \mathbf{q}^{\mathbf{d}} c_{u,v}^{w,\mathbf{d}} \sigma_w,$$

where **d** ranges over (n-1)-tuples of nonnegative integers. The quantum Littlewood-Richardson coefficient  $c_{u,v}^{w,\mathbf{d}}$  is a three-point Gromov-Witten invariant; it may be interpreted informally as the number of maps  $f: \mathbb{P}^1 \to Fl(\mathbf{n})$  of degree **d** such that f(0), f(1), and  $f(\infty)$  lie in general translates of  $\Omega_u$ ,  $\Omega_v$ , and  $\Omega_{w^\vee}$ , respectively. (A map has degree **d** if  $f_*[\mathbb{P}^1] = d_1\sigma_{s_{n_1}^\vee} + \cdots + d_m\sigma_{s_{n_m}^\vee}$ . Since the classes  $\sigma_{s_{n_i}^\vee}$  form a basis for  $H_2Fl(\mathbf{n})$ , this is well-defined.)

The precise definition of  $c_{u,v}^{w,\mathbf{d}}$  is usually phrased in terms of the Kontsevich moduli space of stable maps. In order to set up notation, we sketch the construction here. (See, e.g., [FP] for details.) There is a smooth, proper Deligne-Mumford stack

$$\overline{M}_r(\mathbf{d}) = \overline{M}_{0,r}(Fl(\mathbf{n}), \mathbf{d}),$$

called the (Kontsevich) space of stable maps, which parametrizes data  $(f, C, \{p_1, \ldots, p_r\})$ , where C is a genus-zero curve with marked points  $p_1, \ldots, p_r$ , and  $f: C \to Fl(\mathbf{n})$  is a map of degree  $\mathbf{d}$ , and a certain stability condition is imposed. The space of stable maps has dimension equal to  $\dim Fl(\mathbf{n}) + \sum_{i=1}^m d_i(n_{i+1} - n_{i-1}) + r - 3$ , and its coarse moduli space (with which we will tacitly work) is a Cohen-Macaulay projective variety.

This space of stable maps comes with natural evaluation morphisms

$$\operatorname{ev}_i: \overline{M}_r(\mathbf{d}) \to Fl(\mathbf{n}),$$

for  $i=1,\ldots,r,$  defined by sending  $(f,C,\{p_j\})$  to  $f(p_i)$ . It also has a forgetful morphism

$$f: \overline{M}_r(\mathbf{d}) \to \overline{M}_{0,r}$$

to the space of stable curves, which is a smooth projective variety of dimension r-3.

The quantum product is defined using  $\overline{M}_3(\mathbf{d})$ . Write  $\pi : \overline{M}_3(\mathbf{d}) \to \mathrm{pt}$  for the map to a point. Now one defines

(9) 
$$c_{u,v}^{w,\mathbf{d}} = \pi_*((\operatorname{ev}_1^* \sigma_u) \cdot (\operatorname{ev}_2^* \sigma_v) \cdot (\operatorname{ev}_3^* \sigma_{w^{\vee}})),$$

where  $\alpha \cdot \beta$  denotes the usual cup product in  $H^*\overline{M}_3(\mathbf{d})$ .

2.6. Equivariant quantum cohomology. When a torus T acts on  $Fl(\mathbf{n})$ , there is an induced action on  $\overline{M}_r(\mathbf{d}) = \overline{M}_{0,r}(Fl(\mathbf{n}), \mathbf{d})$ , so one can define a quantum deformation of  $H_T^*Fl(\mathbf{n})$  analogously to the classical case. Let  $\pi_*^T: H_T^*\overline{M}_3(\mathbf{d}) \to H_T^*(\mathrm{pt})$  be the equivariant pushforward. One defines a product on  $QH_T^*Fl(\mathbf{n}) = \Lambda[\mathbf{q}] \otimes_{\Lambda} H_T^*Fl(\mathbf{n})$  by

$$\sigma_u \circ \sigma_v = \sum_{w,\mathbf{d}} \mathbf{q}^{\mathbf{d}} \, c_{u,v}^{w,\mathbf{d}}(t) \, \sigma_w,$$

where the coefficient is a three-point equivariant Gromov-Witten invariant

$$c_{u,v}^{w,\mathbf{d}}(t) = \pi_*^T (\operatorname{ev}_1^* \sigma_u \cdot \operatorname{ev}_2^* \sigma_v \cdot \operatorname{ev}_3^* \widetilde{\sigma}_{w^{\vee}}).$$

(In contrast to the non-equivariant case, it is important to use the *opposite* Schubert class  $\widetilde{\sigma}_{w^{\vee}}$  as the third insertion, rather than  $\sigma_{w^{\vee}}$ .)

As before, this defines an associative product [Kim]. Following [Mi2, §5], we will call the polynomials  $c_{u,v}^{w,\mathbf{d}}$  equivariant quantum Littlewood-Richardson (EQLR) coefficients, and use this term also for the coefficients defined by associativity:

$$\sigma_{v_1} \circ \sigma_{v_2} \circ \cdots \circ \sigma_{v_r} = \sum_{w,\mathbf{d}} \mathbf{q}^{\mathbf{d}} c_{v_1,\dots,v_r}^{w,\mathbf{d}}(t) \sigma_w.$$

The proof of associativity given in [Kim, §3.3] shows that the EQLR coefficients may be described equivalently as

(10) 
$$c_{v_1,\dots,v_r}^{w,\mathbf{d}}(t) = \pi_*^T(\operatorname{ev}_1^*\sigma_{v_1} \cdots \operatorname{ev}_r^*\sigma_{v_r} \cdot \operatorname{ev}_{r+1}^* \widetilde{\sigma}_{w^{\vee}} \cdot f^*[\operatorname{pt}]),$$

where  $f: \overline{M}_{r+1}(\mathbf{d}) \to \overline{M}_{0,r+1}$  is the forgetful map and  $[pt] \in H_T^* \overline{M}_{0,r+1}$  is the class of a point, with T acting trivially on the space of stable curves.

**Remark 2.5.** The (r+1)-point equivariant Gromov-Witten invariant is defined via r+1 evaluation maps from  $\overline{M}_{0,r+1}(Fl(\mathbf{n}),\mathbf{d})$  to  $Fl(\mathbf{n})$  as

$$\pi_*^T(\operatorname{ev}_1^*\sigma_{v_1}\cdots\operatorname{ev}_r^*\sigma_{v_r}\cdot\operatorname{ev}_{r+1}^*\widetilde{\sigma}_{w^\vee}).$$

While the EQLR coefficients for r=2 agree with the corresponding threepoint invariants, in general  $c_{v_1,\dots,v_r}^{w,\mathbf{d}} \neq \pi_*^T(\mathrm{ev}_1^*\sigma_{v_1}\cdots\mathrm{ev}_r^*\sigma_{v_r}\cdot\mathrm{ev}_{r+1}^*\widetilde{\sigma}_{w^\vee})$ . The situation is the same in the non-equivariant case; see, e.g., [FP, §10].

A presentation of the ring  $QH_T^*Fl(\mathbf{n})$ , specializing to one for  $QH^*Fl(\mathbf{n})$ , is given in [Kim, Theorem 2]. We will give a different proof of this in Corollary 7.3.

#### 3. Universal Schubert Polynomials

3.1. **Definitions.** Universal double Schubert polynomials were introduced in [Fu] as the solution to a certain degeneracy locus problem. They specialize to double Schubert polynomials as well as to quantum Schubert polynomials for complete and partial flag varieties [FGP][CF2]. To describe the universal double Schubert polynomials  $\mathfrak{S}_w(c,d)$ , for  $w \in S_n$ , we first give two formulations of universal (single) Schubert polynomials:  $\mathfrak{S}_w(c)$  and  $\mathfrak{S}_w(g)$ . The first form, denoted  $\mathfrak{S}_w(c)$ , is a polynomial in independent variables  $c_k(l)$  of degree k, for  $1 \le k \le l \le n$ ; we set  $c_0(l) = 1$  and  $c_k(l) = 0$  when k < 0 or k > l. The second form, denoted  $\mathfrak{S}_w(g)$ , is a polynomial in variables  $g_i[j]$  for  $i, j \ge 0$ , and  $i + j \le n$ , with  $g_i[j]$  of degree j + 1.

For  $w \in S_{n+1}$ , the classical Schubert polynomial  $\mathfrak{S}_w(x)$  can be written uniquely as

$$\mathfrak{S}_w(x) = \sum a_{k_1 \dots k_n} e_{k_1}(1) \cdot \dots \cdot e_{k_n}(n),$$

where the sum ranges over sequences  $(k_1, \ldots, k_n)$  with  $0 \le k_p \le p$  and  $\sum k_p = \ell(w)$ , and where  $e_k(l) := e_k(x_1, \ldots, x_l)$  is the kth elementary symmetric polynomial in the variables  $x_1, \ldots, x_l$ . Define the universal Schubert polynomial by

(11) 
$$\mathfrak{S}_w(c) = \sum a_{k_1 \dots k_n} c_{k_1}(1) \cdot \dots \cdot c_{k_n}(n).$$

When  $c_k(l)$  is specialized to  $e_k(l)$ , the polynomial  $\mathfrak{S}_w(c)$  becomes the classical Schubert polynomial  $\mathfrak{S}_w(x)$ .

The second formulation of universal Schubert polynomials  $\mathfrak{S}_w(g)$  is as follows. Label the vertices of the Dynkin diagram  $(A_n)$  by  $x_1, \ldots, x_n$ , and label the edges  $g_1[1], \ldots, g_{n-1}[1]$ , where  $g_i[1]$  connects  $x_i$  and  $x_{i+1}$ . Now denote by  $g_i[j]$  the path covering the j+1 consecutive vertices  $x_i, \ldots, x_{i+j}$ , and define  $E_k^l(g)$  to be the sum of all monomials in paths  $g_i[j]$  covering exactly k of the vertices  $x_1, \ldots, x_l$  with no vertex covered more than once. When the variables g are understood, we may simply write  $E_k^l$ .

Alternatively, consider the  $l \times l$  matrix  $M_l$  with  $g_a[b-a]$  in the (a,b)th entry for  $1 \le a \le b \le l$ , -1 in the (a+1,a) entries below the diagonal, and zero elsewhere. Define a polynomial  $E_k^l(g)$  in the variables  $g_i[j]$  as the coefficient of  $T^k$  in the determinant of  $M_l + IT$ , setting  $g_i[0] = x_i$ . Both this and the description of  $E_k^l$  in the previous paragraph are equivalent to the inductive definition:

(12) 
$$E_k^l(g) = E_k^{l-1}(g) + \sum_{j=0}^{k-1} E_{k-j-1}^{l-j-1}(g) g_{l-j}[j].$$

The universal Schubert polynomial  $\mathfrak{S}_w(g)$  is obtained by substituting  $c_k(l) = E_k^l(g)$  into the expression (11) for  $\mathfrak{S}_w(c)$ .

We can now define universal double Schubert polynomials in variables  $c_k(l)$  and  $d_k(l)$  by

(13) 
$$\mathfrak{S}_w(c,d) = \sum_{u,v} (-1)^{\ell(v)} \mathfrak{S}_u(c) \mathfrak{S}_v(d),$$

where the sum is over  $u, v \in S_{n+1}$  such that  $v^{-1}u = w$  and  $\ell(u) + \ell(v) = \ell(w)$ . These polynomials can also be written as  $\mathfrak{S}_w(g,h)$ , using variables  $g_i[j]$  and  $h_i[j]$  obtained by substituting  $c_k(l) = E_k^l(g)$  and  $d_k(l) = E_k^l(h)$  into  $\mathfrak{S}_w(c,d)$ . Upon setting  $h_i[0] = y_i$  and  $h_i[j] = 0$  for all j > 0,  $\mathfrak{S}_w(c,d)$  specializes to polynomials  $\mathfrak{S}_w(c,y)$ ; this is equivalent to specializing  $d_k(l)$  to the elementary symmetric polynomial  $e_k(y_1,\ldots,y_l)$ .

For the purposes of this paper, we will focus on the specialized double Schubert polynomial  $\mathfrak{S}_w(c,y)$  and its alternative form  $\mathfrak{S}_w(g,y)$ , obtained by the substitution  $c_k(l) = E_k^l(g)$  into  $\mathfrak{S}_w(c,y)$ . These specializations can be computed inductively from a "top" polynomial, using divided difference operators, as in the classical case. Specifically, for the longest permutation  $w_0$  in  $S_{n+1}$ , we have

$$\mathfrak{S}_{w_{\circ}}(c,y) = \prod_{i=1}^{n} \left( \sum_{j=0}^{i} c_{i-j}(i) (-y_{n+1-i})^{j} \right),$$

and  $\mathfrak{S}_{s_iw}(c,y) = -\partial_i^y \mathfrak{S}_w(c,y)$  whenever  $\ell(s_iw) < \ell(w)$ , where  $\partial_i^y$  is the divided difference operator applied to the y variables [Fu, eqs. (7) and (8)]; see also [CF2, pp. 502–503] and [KiMa]. (In a similar fashion, one can also compute the unspecialized versions inductively, but the analogues of divided difference operators are a little more complicated.)

When  $c_k(l)$  is also specialized to  $e_i(x_1, \ldots, x_l)$ ,  $\mathfrak{S}_w(c, d)$  becomes the classical double Schubert polynomial  $\mathfrak{S}_w(x, y)$ .

**Example 3.1.** For w = 312, we have

$$\mathfrak{S}_{312}(x) = x_1^2 = x_1(x_1 + x_2) - x_1x_2 = e_1(1)e_1(2) - e_2(2)$$

$$\mathfrak{S}_{312}(c) = c_1(1)c_1(2) - c_2(2)$$

$$\mathfrak{S}_{312}(g) = x_1(x_1 + x_2) - (x_1x_2 + g_1[1])$$

$$\mathfrak{S}_{312}(c, d) = c_1(1)c_1(2) - c_2(2) - c_1(2)d_1(2) - d_2(2)$$

**Remark 3.2.** The inductive relation (12) can be inverted to express the variables  $g_i[j]$  as polynomials in  $c_k(l)$ . In other words, the variables  $c_k(l)$  and  $g_i[j]$  generate the same polynomial rings,  $\mathbb{Z}[g] = \mathbb{Z}[c]$ . Therefore  $\mathbb{Z}[g,y] = \mathbb{Z}[c,y]$ . For  $1 \leq k \leq n_l$ , the cyclic permutation  $\alpha_{k,l} := s_{n_l-k+1} \cdots s_{n_l}$ , has universal (single) Schubert polynomial  $\mathfrak{S}_{\alpha_{k,l}}(c) = c_k(l)$ , and therefore

 $\mathbb{Z}[g,y] = \mathbb{Z}[\mathfrak{S}_{\alpha_{k,l}}(c),y]$ . Moreover, the corresponding universal double Schubert polynomials can be written

$$\begin{split} \mathfrak{S}_{\alpha_{k,l}}(c,y) &= \sum_{0 \leq k' \leq k} (-1)^{k-k'} \mathfrak{S}_{\alpha_{k',l}}(c) \, \mathfrak{S}_{s_{n_l-k+1} \cdots s_{n_l-k'}}(y) \\ &= c_k(l) + \sum_{0 \leq k' \leq k} (-1)^{k-k'} c_{k'}(l) \, h_{k-k'}(y), \end{split}$$

where  $h_k(y)$  is the kth complete symmetric polynomial in  $y_1, \ldots, y_n$ . Therefore we can recursively write each  $c_k(l)$  in terms of polynomials  $\mathfrak{S}_{\alpha_{k,l}}(c,y)$  and variables y, and therefore  $\mathbb{Z}[g,y] = \mathbb{Z}[c,y] = \mathbb{Z}[\mathfrak{S}_{\alpha_{k,l}}(c,y),y]$ .

In particular, each  $g_i[j]$  can be written as a polynomial in the  $\mathfrak{S}_{\alpha_{k,l}}(c,y)$  and  $y_1,\ldots,y_n$ , with  $k\leq j+1$ .

Remark 3.3. Several properties of certain of the polynomials  $\mathfrak{S}_w(c,y)$  will be useful in studying partial flag varieties. Let  $\mathbf{n}$  and  $S^{\mathbf{n}}$  be as in Section 2. We define polynomials  $\mathfrak{S}_w^{\mathbf{n}}(g,y)$  as follows. For a permutation  $w \in S^{\mathbf{n}}$ , let  $\mathfrak{S}_w^{\mathbf{n}}$  be the result after setting  $g_i[0] = x_i$  and  $g_i[j] = 0$  if j > 0 and  $i + j \neq n_p$  for some p. An alternative definition of  $\mathfrak{S}_w^{\mathbf{n}}$  is given by performing the substitutions  $c_k(l) \to c_k(n_p)$  for  $l \in [n_p, n_{p+1})$  into  $\mathfrak{S}_w(c, y)$  and then performing the above substitutions for the  $g_i[j]$ . The proof of [Fu, Proposition 4.3] shows that these two constructions yield the same  $\mathfrak{S}_w^{\mathbf{n}}(g,y)$ .

Remarks 3.2 and 3.3 yield the following useful lemma.

**Lemma 3.4.** Each  $g_i[j]$  can be written as a polynomial in  $\mathfrak{S}_w(g,y)$  and  $y_1, \ldots, y_n$ , with  $\ell(w) \leq j+1$ . Moreover, when  $i+j \in \mathbf{n}$ ,  $g_i[j]$  can be written as a polynomial in  $\mathfrak{S}_w^{\mathbf{n}}(g,y)$  and  $y_1, \ldots, y_n$ , where w is a permutation in  $S^{\mathbf{n}}$  with  $\ell(w) \leq j+1$ .

3.2. **Degeneracy locus formula.** Fulton proves that universal double Schubert polynomials give the answer to a degeneracy locus problem. While not explictly stated in [Fu, Theorem 3.7], the formula holds equivariantly.

Let T act on an algebraic Cohen-Macaulay scheme X, and consider maps of equivariant vector bundles

$$E_1 \to \cdots \to E_n \to F_n \to \cdots \to F_1$$

where  $E_i$  and  $F_i$  are of rank i. Let  $\Omega_w$  be the degeneracy locus

$$\Omega_w = \{x \in X | \operatorname{rank}_x(E_q \to F_p) \le r_w(p, q) \text{ for all } 1 \le p, q \le n\},$$

and let  $\mathfrak{S}_w(c^T(F_{\bullet}), c^T(E_{\bullet}))$  be the image of  $\mathfrak{S}_w(c, d)$  by specializing  $c_k(l)$  to the equivariant Chern class  $c_k^T(F_l)$ , and specializing  $d_k(l)$  to  $c_k^T(E_l)$ .

**Theorem 3.5.** For  $w \in S_n$ , we have  $[\Omega_w] = \mathfrak{S}_w(c^T(F_{\bullet}), c^T(E_{\bullet}))$  in  $H_T^*(X)$  whenever  $\operatorname{codim}_X(\Omega_w) = \ell(w)$ .

*Proof.* Apply [Fu, Theorem 3.7] to the corresponding degeneracy locus on the mixing space X.

We will be interested in the following situation. For a sequence of integers  $\mathbf{n} = \{0 < n_1 < \cdots < n_m < n\}$ , consider maps of vector bundles

$$(14) E_1 \to \cdots \to E_n \to F_m \to \cdots \to F_1$$

where rank $(F_p) = n_p$ , and  $E_i \cong \mathbb{C}^i \otimes \mathcal{O}_X$  is trivial, but has the nontrivial equivariant structure coming from the diagonal action of T on  $\mathbb{C}^n$ . Let  $\Omega_w$  be the degeneracy locus

$$\Omega_w = \{x \in X | \operatorname{rank}_x(E_q \to F_p) \le r_w(n_p, q) \text{ for all } p, q\}.$$

For  $w \in S^{\mathbf{n}}$ , let  $\mathfrak{S}_{w}^{\mathbf{n}}(c^{T}(F_{\bullet}),t)$  denote the result of specializing  $c_{k}(n_{p})$  to  $c_{k}^{T}(F_{p})$  in  $\mathfrak{S}_{w}^{\mathbf{n}}(c,t)$ . We obtain

Corollary 3.6. Given maps as in (14), for  $w \in S^{\mathbf{n}}$ , we have  $[\Omega_w]^T = \mathfrak{S}_w^{\mathbf{n}}(c^T(F_{\bullet}),t)$  in  $H_T^*(X)$ .

Fix **n**. As in Remark 3.2, the polynomial ring generated by  $c_k(n_p)$  (for  $1 \leq k \leq n_p$  and  $1 \leq p \leq m$ ) is equal to the polynomial ring generated by  $g_i[j]$  (for  $i+j=n_p$  and  $1 \leq p \leq m$ ). Using the corresponding identification  $\mathbb{Z}[c,y] = \mathbb{Z}[g,y]$ , consider the map  $b: \mathbb{Z}[c,y] \to H_T^*X$  defined by  $c_k(n_p) \mapsto c_k^T(F_p)$  and  $y_i \mapsto t_i$ . We define classes  $Q_i[j]$  in  $H_T^{2(j+1)}(X)$  by

$$(15) Q_i[j] = b(g_i[j]).$$

With this notation, we can write  $[\Omega_w]^T = \mathfrak{S}_w^{\mathbf{n}}(Q,t)$  in  $H_T^*(X)$ . Since  $c_k^T(F_l)$  is symmetric in  $x_{n_{j-1}+1},\ldots,x_{n_j}$  for every  $1 \leq j \leq l$ , it can be written as a polynomial in variables  $\sigma_i^j$  and  $Q_i[j]$ , for  $i+j=n_p$  and  $1 \leq p \leq m$ . We denote this polynomial by  $\widetilde{E}_k^l(\sigma)$  or  $\widetilde{E}_k^l(Q)$ .

With this  $\widetilde{E}_k^l(Q)$ , and  $Q_i[j]$  as defined in (15), we have the following:

**Lemma 3.7.** Given equivariant maps of vector bundles  $F_{m+1} \to \cdots \to F_1$  with rank $(F_i) = n_i$  and  $c_k(F_l) = \tilde{E}_k^l(Q)$ , for  $1 \le i \le n_{l+1} - n_l$ , we have

$$c_i^T(\ker(F_{l+1} \to F_l)) = Q_{n_{l+1}-i+1}[i-1]$$

for  $n_{l+1} - n_l < i \le n_{l+1} - n_{l-1}$ .

Moreover, if  $F_b \to F_a$  is a surjection of vector bundles for some a < b, then  $F_{b'} \to F_a$  is also a surjection of bundles for  $a \le b' \le b$ . From Lemma 3.7, [Ch, Proposition 6.2], and Remark 3.3, we obtain

**Lemma 3.8.** If  $F_b \to F_a$  is a surjection of vector bundles, then  $Q_i[j] = 0$  for all  $i < n_a + 1 \le i + j \le n_b$ .

For  $1 \le a \le b$ , define  $H_a^b(g)$  to be the polynomial obtained by the substitution  $c_k(l) = E_k^l(g)$  into det  $(c_{1+j-i}(b+j-1))_{1 \le i,j \le a}$ . This is the universal analogue of the complete symmetric polynomial  $h_a(x_1, \ldots, x_b)$ .

**Remark 3.9.** For  $1 \le k \le n - n_l$ , write  $\beta_{k,l}$  for the cyclic permutation  $\beta_{k,l} := s_{n_l+k-1} \cdots s_{n_l}$ . This is a Grassmannian permutation with descent at  $n_l$  (of length k), so by Proposition 4.4 of [Fu], its (single) universal Schubert

polynomial is  $\mathfrak{S}_{\beta_{k,l}}(c) = \det(c_{1+j-i}(n_l+j-1))_{1\leq i,j\leq k}$ , so that  $\mathfrak{S}_{\beta_{k,l}}(g) = H_k^{n_l}(g)$ . Note that  $\alpha_{k,l}$  and  $\beta_{k,l}$  are permutations in  $S^{\mathbf{n}}$ , and by Remark 3.3, for  $0 \leq k \leq n_{l+1} - n_l$ , we have  $\mathfrak{S}^{\mathbf{n}}_{\beta_{k,l}}(c) = \det(c_{1+j-i}(n_l))_{1\leq i,j\leq k}$ .

Moreover, given maps of vector bundles  $F_{m+1} \to \cdots \to F_1$  with rank $(F_i) = n_i$  as above, for  $0 \le k \le n_{l+1} - n_l$ , by expanding det  $(c_{1+j-i}(n_l))_{1 \le i,j \le k}$  along the top row, we obtain inductively that  $\mathfrak{S}^{\mathbf{n}}_{\beta_{k,l}}(c) = (-1)^k c_k(-F_l)$ .

#### 4. Quot schemes and spaces of maps

Recall that the EQLR coefficients are defined using the Kontsevich compactification of the space of maps  $f: \mathbb{P}^1 \to Fl(\mathbf{n})$  of degree  $\mathbf{d}$ . Using the fact that a degree- $\mathbf{d}$  map  $\mathbb{P}^1 \to Fl(\mathbf{n})$  corresponds to successive quotient bundles of  $V_{\mathbb{P}^1}^* = V^* \otimes \mathcal{O}_{\mathbb{P}^1}$  of rank  $n_i$  and degree  $d_i$ , there is another compactification of  $M_{r+1}(\mathbf{d})$ . The hyperquot scheme  $\mathcal{Q}_{\mathbf{d}}$  parametrizes flat families of successive quotient sheaves of  $V_{\mathbb{P}^1}^*$  of rank  $n-n_i$  and degree  $d_i$ , generalizing Grothendieck's Quot scheme. (We consider quotients of  $V^*$  rather than of V for technical reasons.) It is a smooth, projective variety of dimension dim  $Fl(\mathbf{n}) + \sum d_i(n_{i+1} - n_{i-1})$ , and comes with a universal sequence of quotient sheaves on  $\mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}}$ 

$$V_{\mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}}}^* = \mathscr{B}_{m+1} \twoheadrightarrow \cdots \twoheadrightarrow \mathscr{B}_2 \twoheadrightarrow \mathscr{B}_1,$$

where  $\mathscr{B}_i$  has rank  $n-n_i$  and relative degree  $d_i$ . The space of maps  $M(\mathbf{d})=M_3(\mathbf{d})$  embeds in  $\mathscr{Q}_{\mathbf{d}}$  as the largest (open) subscheme such that each restriction  $\mathscr{B}_i|_{\mathbb{P}^1\times M(\mathbf{d})}$  is locally free.

To avoid torsion, we prefer to work with the locally free sheaves  $\mathscr{A}_i := \ker(V_{\mathbb{P}^1 \times \mathscr{Q}_{\mathbf{d}}}^* \to \mathscr{B}_i)$  which are locally free of rank  $n_i$  and degree  $-d_i$ . There is a sequence

$$\mathscr{A}_1 \to \mathscr{A}_2 \to \cdots \to \mathscr{A}_{m+1} = V_{\mathbb{P}^1 \times \mathscr{Q}_d}^*$$

The tradeoff here is that although the map  $\mathscr{A}_i \to \mathscr{A}_{i+1}$  is an inclusion of sheaves, it is not necessarily an inclusion of vector bundles (i.e., the cokernel is not locally free in general).

Dualizing again, and regarding the standard flag  $E_{\bullet}$  as a flag of trivial vector bundles on  $\mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}}$ , we have a sequence

(16) 
$$E_1 \hookrightarrow \cdots \hookrightarrow E_{n-1} \hookrightarrow E_n = V_{\mathbb{P}^1 \times \mathcal{Q}_d} = \mathscr{A}_{m+1}^* \to \mathscr{A}_m^* \to \cdots \to \mathscr{A}_1^*.$$

Note that the maps  $\mathscr{A}_{i+1}^* \to \mathscr{A}_i^*$  are not necessarily surjective.

Define  $D_w \subseteq \mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}}$  as the degeneracy locus associated to this sequence:

(17) 
$$D_w = \{x \mid \operatorname{rk}_x(E_q \to \mathscr{A}_p^*) \le r_w(p,q) \text{ for all } 1 \le q \le n, n_p \in \mathbf{n}\}.$$

Fix a point  $z \in \mathbb{P}^1$ , and let  $D_w(z) = D_w \cap (\{z\} \times \mathcal{Q}_{\mathbf{d}})$  be the corresponding closed subscheme of  $\mathcal{Q}_{\mathbf{d}}$ . Define  $\widetilde{D}_w$  and  $\widetilde{D}_w(z)$  similarly, using the opposite flag  $\widetilde{E}_{\bullet}$  in place of  $E_{\bullet}$ .

For a point  $z \in \mathbb{P}^1$ , there is an evaluation map  $\operatorname{ev}_z : M(\mathbf{d}) \to Fl(\mathbf{n})$ , defined by the sequence of quotient sheaves  $\mathscr{A}_i^*$  restricted to  $M(\mathbf{d}) = \{z\} \times$ 

 $M(\mathbf{d})$ . We write  $\Omega_w(z) = \operatorname{ev}_z^{-1}(\Omega_w)$  and  $\widetilde{\Omega}_w(z) = \operatorname{ev}_z^{-1}(\widetilde{\Omega}_w)$ . From the definitions, one sees

(18) 
$$\Omega_w(z) = D_w(z) \cap M(\mathbf{d}) \quad \text{and} \quad \widetilde{\Omega}_w(z) = \widetilde{D}_w(z) \cap M(\mathbf{d}).$$

The group  $GL_n = GL(V)$  acts on  $\mathcal{Q}_{\mathbf{d}}$  via its action on V: a quotient sheaf of  $V_{\mathbb{P}^1}$  is sent to the quotient obtained by precomposing with an automorphism of V. The above constructions are all equivariant for appropriate subgroups; in particular, we set

$$\mu_w = [D_w(z)]^T$$
 and  $\widetilde{\mu}_w = [\widetilde{D}_w(z)]^T$ 

in  $H_T^*(\mathcal{Q}_{\mathbf{d}})$ . Since T acts trivially on the  $\mathbb{P}^1$  factor of  $\mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}}$ , these equivariant classes are independent of the choice of z.

Write  $A_l$  for the restriction of  $\mathscr{A}_l$  to  $\{z\} \times \mathscr{Q}_{\mathbf{d}}$ . (The choice of point  $z \in \mathbb{P}^1$  will usually be irrelevant.) The degeneracy locus formula of Corollary 3.6 yields the following:

**Proposition 4.1.** Setting  $c_k(l) = c_k^T(A_l^*)$ , we have  $\mu_w = \mathfrak{S}_w^{\mathbf{n}}(c,t)$  in  $H_T^* \mathscr{Q}_{\mathbf{d}}$ .

We also have interpretations of the classes  $Q_i[j]$ . In this context, Lemma 3.7 says

$$Q_{n_{l+1}-i+1}[i-1] = c_i^T(\ker(\mathscr{A}_{l+1}^* \to \mathscr{A}_l^*)),$$

for  $n_{l+1} - n_l < i \le n_{l+1} - n_{l-1}$ , so in particular,

(19) 
$$Q_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1] = c_{n_{l+1}-n_{l-1}}^T(\ker(\mathscr{A}_{l+1}^* \to \mathscr{A}_l^*)).$$

In the case of the complete flag variety,  $Q_l[1]$  is the equivariant class of the locus where  $\mathscr{A}_{l+1}^* \to \mathscr{A}_l^*$  fails to be surjective.

Intersection theory on hyperquot schemes was used to obtain a quantum Schubert calculus on Grassmannians and flag varieties [Be, CF1, CF2, Ch]. These articles rely on the fact that Gromov-Witten invariants can be computed as intersection numbers on quot schemes. The fundamental fact we use in the proof of Theorem 1.1 is an equivariant version of that statement:

**Proposition 4.2.** The equivariant quantum product can be computed on (hyper)quot schemes. That is, given permutations  $v_1, \ldots, v_r$  in  $S^{\mathbf{n}}$ , the EQLR coefficient  $c_{v_1, \ldots, v_r}^{w, \mathbf{d}}(t)$  is equal to

$$\widetilde{\pi}_*^T(\mu_{v_1}\cdots\mu_{v_r}\cdot\widetilde{\mu}_{w^\vee}),$$

where  $\widetilde{\pi}_*^T \colon H_T^*(\mathcal{Q}_{\mathbf{d}}) \to H_T^*(\mathrm{pt})$  is the equivariant pushforward to a point.

To prove this, we will check equality of the coefficient of each monomial  $(-\alpha_1)^{j_1} \cdots (-\alpha_{n-1})^{j_{n-1}} \cdot (-t_n)^{j_n}$ , using Lemma 2.3. In fact, we will see that these coefficients count points in certain intersections taking place inside the mixing space  $\mathbf{M}(\mathbf{d})$ , so they are nonnegative integers; see Corollary 6.3.

We also write

$$(\mu_{v_1}\cdots\mu_{v_r}\cdot\widetilde{\mu}_{w^\vee})_{\mathbf{d}}^T=\widetilde{\pi}_*^T(\mu_{v_1}\cdots\mu_{v_r}\cdot\widetilde{\mu}_{w^\vee})$$

for the EQLR coefficient  $c_{v_1,\dots,v_r}^{w,\mathbf{d}}(t)$ . With this notation, we have

$$\sigma_{v_1} \circ \sigma_{v_2} \circ \cdots \circ \sigma_{v_r} = \sum_{w,\mathbf{d}} \mathbf{q}^{\mathbf{d}} \left( \mu_{v_1} \cdots \mu_{v_r} \cdot \widetilde{\mu}_{w^{\vee}} \right)_{\mathbf{d}}^T \sigma_w.$$

By linearity of equivariant quantum cohomology of flag varieties and of equivariant cohomology of quot schemes, for any polynomial F in variables indexed by  $S^{\mathbf{n}}$ , we obtain

### Corollary 4.3.

$$F(\sigma, t) = \sum_{w, \mathbf{d}} \mathbf{q}^{\mathbf{d}} \left( F(\mu, t) \cdot \widetilde{\mu}_{w^{\vee}} \right)_{\mathbf{d}}^{T} \sigma_{w} \text{ in } QH_{T}^{*}(Fl(\mathbf{n})).$$

Unlike the Kontsevich compactification, there is no globally defined evaluation map from  $\mathcal{Q}_{\mathbf{d}}$  to  $Fl(\mathbf{n})$ . However, the boundary  $\mathcal{Q}_{\mathbf{d}} \setminus M(\mathbf{d})$  can be broken into pieces which do map to (different) partial flag varieties. This is described in detail in [Be], [CF1], and [CF2]; we summarize the relevant facts here.

Fix **n**. Given **d**, let  $\mathbf{e} = (e_1, \dots, e_m)$  be such that

(20) 
$$e_i \leq \min(n_i, d_i) \quad \text{for} \quad 1 \leq i \leq m, \text{ and} \\ e_i - e_{i-1} \leq n_i - n_{i-1} \quad \text{for} \quad 2 \leq i \leq m.$$

In addition to  $\mathcal{Q}_{\mathbf{d}}$  and  $Fl(\mathbf{n})$ , we will also consider quot schemes  $\mathcal{Q}_{\mathbf{d}-\mathbf{e}}$  (parametrizing quotients whose ranks are still  $\mathbf{n}$ , but whose degrees are  $\mathbf{d} - \mathbf{e}$ ) and partial flag varieties  $Fl(\mathbf{n}')$  (where  $\mathbf{n}' = \{n_1 - e_1 \leq n_2 - e_2 \leq \cdots \leq n_m - e_m\}$ ).

**Theorem 4.4** ([CF1, CF2]). Assume some  $e_i > 0$ . There are smooth irreducible varieties  $\mathcal{U}_{\mathbf{e}}$  with the following properties.

- (1) There is a morphism  $h_{\mathbf{e}}: \mathscr{U}_{\mathbf{e}} \to \mathscr{Q}_{\mathbf{d}}$ , which is birational onto its image. Every point of  $\mathscr{Q}_{\mathbf{d}} \setminus M(\mathbf{d})$  lies in the image of  $h_{\mathbf{e}}$ , for some  $\mathbf{e}$ .
- (2) There is a smooth morphism  $\rho: \mathcal{U}_{\mathbf{e}} \to \mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}-\mathbf{e}}$ , whose image contains  $\mathbb{P}^1 \times M(\mathbf{d}-\mathbf{e})$ .
- (3) Fix a point  $z \in \mathbb{P}^1$ , and write  $\mathscr{U}_{\mathbf{e}}(z) = \rho^{-1}(\{z\} \times \mathscr{Q}_{\mathbf{d}-\mathbf{e}})$ . There is a natural morphism

$$\psi_{\mathbf{e}}(z): \mathscr{U}_{\mathbf{e}}(z) \to Fl(\mathbf{n}'),$$

and for each  $w \in S^{\mathbf{n}}$ , there is a  $w' \in S^{\mathbf{n}'}$  such that

$$h_{\mathbf{e}}^{-1}(D_w(z)) = \rho^{-1}(\mathbb{P}^1 \times D_w^{\mathbf{e}}(z)) \cup \psi_{\mathbf{e}}(z)^{-1}(\Omega_{w'}),$$

where the superscript in  $D_w^{\mathbf{e}}$  indicates the degeneracy locus inside  $\mathcal{Q}_{\mathbf{d}-\mathbf{e}}$ . The same holds with  $D_w(z)$  and  $\Omega_{w'}$  replaced by  $\widetilde{D}_w(z)$  and  $\widetilde{\Omega}_{w'}$ , respectively.

Moreover, the morphisms  $h_{\mathbf{e}}$ ,  $\rho$ , and  $\psi_{\mathbf{e}}(z)$  are equivariant for natural actions of  $GL_n = GL(V)$ .

#### 5. The mixing group

Recall that the T-equivariant cohomology of  $Fl(\mathbf{n})$  is computed as the ordinary cohomology of a flag bundle  $\mathbf{Fl} = \mathbf{Fl}(\mathbf{n}; E) \to \mathbb{B}$ , where  $E = L_1 \oplus \cdots \oplus L_n$ . There is a large group acting on  $\mathbf{Fl}$ , using the transitive automorphism group of  $\mathbb{B}$  together with a "fiberwise" action of lower-triangular matrices. This group was introduced in [An] and dubbed the "mixing group" in [AGM]; in this section, we describe its construction concretely for the present context.

We identify T with  $(\mathbb{C}^*)^n$  using the basis  $\alpha_1, \ldots, \alpha_{n-1}, t_n$  for M, where  $\alpha_i = t_i - t_{i+1}$ . Using the approximation space  $\mathbb{B} = (\mathbb{P}^{N-1})^n$  (for  $N \gg 0$ , as in §2.4), set  $M_i = pr_i^*\mathcal{O}(-1)$ , so  $c_1(M_i) = \alpha_i$  for  $1 \leq i \leq n-1$ , and  $c_1(M_n) = t_n$ . With this setup, we have  $L_i = M_i \otimes M_{i+1} \otimes \cdots \otimes M_n$ , so  $c_1(L_i) = t_i$ .

Observe that for  $i \geq j$ , the line bundle

$$L_i \otimes L_j^{-1} = M_j^{-1} \otimes \cdots \otimes M_{i-1}^{-1}$$

is generated by global sections. It follows that the bundle

$$End(E) = \bigoplus_{i,j} L_i \otimes L_j^{-1}$$

has global sections in lower-triangular matrices.

Let  $Aut(E) \subset End(E)$  be the automorphism bundle of E; this is a group scheme over  $\mathbb{B}$ , whose fiber at x is GL(E(x)). The group  $\Gamma_0 = \operatorname{Hom}_{\mathbb{B}}(\mathbb{B}, Aut(E))$  of global sections is a connected algebraic group over  $\mathbb{C}$ . This group acts on the total space of the bundle E, and hence also on the flag bundle  $\mathbf{Fl}$ , preserving the fibers of the projection to  $\mathbb{B}$ . The group acts similarly on  $\mathcal{Q}_{\mathbf{d}}$ , the mixing space for the quot scheme  $\mathcal{Q}_{\mathbf{d}}$ .

The group  $(PGL_N)^n$  acts naturally on  $\Gamma_0$  via its transitive action on  $\mathbb{B}$ . Let  $\Gamma = \Gamma_0 \rtimes (PGL_N)^n$  be the semidirect product; this is a connected linear algebraic group acting on  $\mathbf{Fl}$  and  $\mathcal{Q}_{\mathbf{d}}$ , which we call the *mixing group*.

Let  $\widetilde{E}_{\bullet}$  be the flag of bundles on  $\mathbb{B}$  with  $\widetilde{E}_{i} = L_{n} \oplus \cdots \oplus L_{n+1-i}$ , and let  $\widetilde{\Omega}_{w} \subseteq \mathbf{Fl}$  be the corresponding Schubert loci. Then  $\widetilde{\Omega}_{w} \cong \mathbb{E} \times^{T} \widetilde{\Omega}_{w}$ .

**Lemma 5.1** ([An], [AGM, §6]). Let X be a scheme with an action of  $\widetilde{B}$ , and let  $X_i$  be the  $\widetilde{B}$ -orbits. Let  $\mathbf{X} = \mathbb{E} \times^T X$  and  $\mathbf{X}_i = \mathbb{E} \times^T X_i$  be the mixing spaces. Then the orbits of  $\Gamma$  on  $\mathbf{X}$  are  $\mathbf{X}_i$ .

In particular, the orbits of  $\Gamma$  on  $\mathbf{Fl}$  are the cells  $\widetilde{\Omega}_w^{\circ} \cong \mathbb{E} \times^T \widetilde{\Omega}_w^{\circ}$  (so  $\Gamma$  acts with finitely many orbits).

#### 6. Equivariant moving Lemmas

In this section we use the action of the mixing group to put certain subvarieties of the space of maps into (generically) transverse position. Our goal is to prove Proposition 4.2, establishing that the EQLR coefficients can be computed on quot schemes. Along the way, we will obtain an "enumerative" interpretation of these coefficients.

We will need a generalization of Kleiman's transversality theorem [Kl, Theorem 8]. As a matter of notation, for an algebraic group G acting on a scheme X, a map  $\psi \colon Z \to X$ , and an element  $g \in G$ , we write  $g \cdot Z$  to denote Z equipped with the composite map  $Z \xrightarrow{\psi} X \xrightarrow{g \cdot} X$ . (When  $\psi$  is an embedding, this is simply the translation of Z by g.)

A map  $\psi: Z \to X$  is **dimensionally transverse** to a (locally closed) subvariety  $V \subseteq X$  if  $\operatorname{codim}_Z(\psi^{-1}V) = \operatorname{codim}_X(V)$ .

**Proposition 6.1.** Let X be a smooth variety with an action of an algebraic group G, and let Y and Z be Cohen-Macaulay varieties with maps  $\varphi \colon Y \to X$  and  $\psi \colon Z \to X$ . Assume that  $\psi$  is dimensionally transverse to the orbits of G on X, that is,

$$\operatorname{codim}_Z(\psi^{-1}O) = \operatorname{codim}_X(O)$$

for all orbits O. Then for general g in G, the scheme  $W_g = Y \times_X (g \cdot Z)$  is pure-dimensional, of dimension

$$\dim W_q = \dim Y + \dim Z - \dim X.$$

(When  $\psi$  is an embedding of Z in X, this says  $\operatorname{codim}_Y(\varphi^{-1}(g \cdot Z)) = \operatorname{codim}_X(Z)$ .) If the ground field has characteristic zero, and Y and Z are reduced, then for general g,  $W_g$  is also reduced.

The proof is the same as those appearing in [Kl] and [Sp]: the essential point is that the action map  $G \times Z \to X$  is flat.

We will apply Proposition 6.1 repeatedly in the situation where  $X = \mathbf{Fl}$  is the flag bundle (or approximation space), or a product of such spaces. In what follows, we work with the setup:

- $\Omega_1, \ldots, \Omega_r \subseteq Fl$  are Schubert varieties of codimensions  $\ell_1, \ldots, \ell_r$ , and  $\widetilde{\Omega}$  is an opposite Schubert variety, of codimension  $\ell$ .
- $p_1, \ldots, p_r, p$  are fixed, distinct points of  $\mathbb{P}^1$ .
- $J = (j_1, \ldots, j_n) \in \{0, \ldots, m-1\}^n$  is an index.
- $\bullet \ A = \ell_1 + \dots + \ell_r + \ell.$

As usual, let  $M_r(\mathbf{d})$  denote the space of maps with r marked points, let  $\overline{M}_r(\mathbf{d})$  be the Kontsevich space of stable maps, and let  $\mathbf{M}_r(\mathbf{d})$  and  $\overline{\mathbf{M}}_r(\mathbf{d})$  be the corresponding approximate mixing spaces.

**Lemma 6.2** (Moving Lemma A). There are elements  $\gamma_1, \ldots, \gamma_r$  in the mixing group  $\Gamma$  such that

$$\overline{W}' = \operatorname{ev}^{-1}(\gamma_1 \cdot \Omega_1 \times \cdots \times \gamma_r \cdot \Omega_r \times \widetilde{\Omega}) \cap (\overline{\mathbf{M}}_{r+1}(\mathbf{d}))_J$$

is either empty, or reduced and pure dimensional of codimension A inside  $(\overline{\mathbf{M}}_{0,r+1}(\mathbf{d}))_J$ . Moreover,

$$W' = \operatorname{ev}^{-1}(\gamma_1 \cdot \Omega_1 \times \cdots \times \gamma_r \cdot \Omega_r \times \widetilde{\Omega}) \cap (\mathbf{M}_{r+1}(\mathbf{d}))_J$$

is Zariski-dense inside  $\overline{W}'$ .

In fact,  $\gamma_1, \ldots, \gamma_r$  can be chosen so that the same results hold for

$$\overline{W} = \operatorname{ev}^{-1}(\gamma_1 \cdot \Omega_1 \times \dots \times \gamma_r \cdot \Omega_r \times \widetilde{\Omega}) \cap (\overline{\mathbf{M}}_{r+1}(\mathbf{d}))_J \cap \widetilde{f}^{-1}(x)$$

and

$$W = \operatorname{ev}^{-1}(\gamma_1 \cdot \Omega_1 \times \cdots \times \gamma_r \cdot \Omega_r \times \widetilde{\Omega}) \cap (\mathbf{M}_{r+1}(\mathbf{d}))_J \cap \widetilde{f}^{-1}(x),$$

where  $x \in \overline{M}_{0,r+1}$  is the point corresponding to  $(p_1, \ldots, p_r, p)$ , and  $\widetilde{f}$  is the composition  $\overline{\mathbf{M}}_{r+1}(\mathbf{d}) \to \overline{\mathbf{M}}_{0,r+1} = \mathbb{B} \times \overline{M}_{0,r+1} \to \overline{M}_{0,r+1}$ .

*Proof.* Apply Proposition 6.1, using the group  $G = \Gamma^{(r+1)} = (\Gamma_0)^{r+1} \rtimes (PGL_N)^n$ , with

$$X = \mathbf{Fl} \times_{\mathbb{B}} \cdots \times_{\mathbb{B}} \mathbf{Fl} \quad (r+1 \text{ factors}),$$
  
$$Z = \mathbf{\Omega}_1 \times_{\mathbb{B}} \cdots \times_{\mathbb{B}} \mathbf{\Omega}_r \times_{\mathbb{B}} \mathbf{Fl} \hookrightarrow X,$$

and

$$Y = \operatorname{ev}^{-1}(\operatorname{Fl} \times_{\mathbb{B}} \cdots \times_{\mathbb{B}} \operatorname{Fl} \times_{\mathbb{B}} \widetilde{\Omega}) \cap (\overline{\mathbf{M}}_{r+1}(\mathbf{d}))_J \xrightarrow{\operatorname{ev}|_Y} X.$$

Observe that Y has codimension  $\ell$  in  $(\overline{\mathbf{M}}_{r+1}(\mathbf{d}))_J$ , and is therefore Cohen-Macaulay, since  $\overline{\mathbf{M}}_{r+1}(\mathbf{d})$  is.

The statement about W' follows by showing that

$$\overline{W}' \setminus W' = \partial \overline{W}' = \operatorname{ev}^{-1}(\gamma_1 \cdot \Omega_1 \times \dots \times \gamma_r \cdot \Omega_r \times \widetilde{\Omega}) \cap (\partial \overline{\mathbf{M}}_{r+1}(\mathbf{d}))_J$$

has strictly smaller dimension than  $\overline{W}'$ , where  $\partial \overline{\mathbf{M}}_{r+1}(\mathbf{d}) = \overline{\mathbf{M}}_{r+1}(\mathbf{d}) \setminus \mathbf{M}_{r+1}(\mathbf{d})$  is the boundary divisor. For this, apply the proposition with Y replaced by  $\partial Y$ , defined similarly. (This divisor in Y is again Cohen-Macaulay, for the same reasons.)

In Moving Lemma A, W can be identified with  $\gamma_1 \cdot \Omega_1(p_1) \cap \cdots \cap \gamma_r \cdot \Omega_r(p_r) \cap \widetilde{\Omega}(p) \cap (\mathbf{M}(\mathbf{d}))_J$ , where  $M(\mathbf{d})$  is the space of degree  $\mathbf{d}$  maps  $\mathbb{P}^1 \to Fl$ , and  $\Omega_i(p_i)$  is the locus sending  $p_i$  into  $\Omega_i$ . This gives us our enumerative interpretation of the EQLR coefficients.

Corollary 6.3. Write the polynomial  $c_{v_1,...,v_r}^{w,\mathbf{d}}(t)$  as  $\sum_J c_{v_1,...,v_r}^{w,\mathbf{d},J}(-\alpha)^J$ . Then for general  $\gamma_1,\ldots,\gamma_k$  chosen as in Lemma 6.2, we have

$$c_{v_1,\dots,v_r}^{w,\mathbf{d},J} = \begin{cases} \#W & \text{if } \dim W = 0; \\ 0 & \text{otherwise.} \end{cases}$$

Consequently, the EQLR coefficient  $c_{v_1,\dots,v_r}^{\mathbf{w},\mathbf{d}}(t)$  is Graham-positive; that is, when written as a polynomial in the variables  $-\alpha_i$ , it has nonnegative coefficients.

*Proof.* Use the description of  $c_{v_1,\dots,v_r}^{w,\mathbf{d}}(t)$  given in (10), together with the last part of Moving Lemma A. Recalling that  $f:\overline{M}_{r+1}(\mathbf{d})\to\overline{M}_{0,r+1}$  denotes the forgetful map, note that  $[\widetilde{f}^{-1}(x)]=f^*[\mathrm{pt}]$  as classes in  $H^*\overline{\mathbf{M}}_{r+1}(\mathbf{d})=H_T^*\overline{M}_{r+1}(\mathbf{d})$ .

Next we must prove an analogue of Moving Lemma A for quot schemes. Continuing the notation, we write  $D_i \subseteq \mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}}$  for the degeneracy locus

of (17) corresponding to the Schubert variety  $\Omega_i$ , and we write  $\mathcal{Q}_{\mathbf{d}}$  and  $\mathbf{D}_i$  for the mixing spaces.

**Lemma 6.4** (Moving Lemma B). There are elements  $\gamma_1, \ldots, \gamma_r$  in the mixing group  $\Gamma$  such that

$$\overline{W} = \gamma_1 \cdot \mathbf{D}_1(p_1) \cap \cdots \cap \gamma_r \cdot \mathbf{D}_r(p_r) \cap \widetilde{\mathbf{D}}(p) \cap (\mathcal{Q}_{\mathbf{d}})_J$$

is either empty, or reduced and pure dimensional of codimension A inside  $(Q_d)_J$ . Moreover,

$$W = \gamma_1 \cdot \Omega_1(p_1) \cap \cdots \cap \gamma_r \cdot \Omega_r(p_r) \cap \widetilde{\Omega}(p) \cap (\mathbf{M}(\mathbf{d}))_J$$

is Zariski-dense inside  $\overline{W}$ .

The proof is essentially the same as that of [CF2, Theorem 4.3(ii)], using the mixing group action and Proposition 6.1 in place of the transitive group action on  $Fl(\mathbf{n})$ .

*Proof.* In Moving Lemma A, we have already seen that W is reduced and pure-dimensional of codimension A (when nonempty). To prove this lemma, it will suffice to show that  $\overline{W} \cap (\partial \mathcal{Q}_{\mathbf{d}})_J$  has codimension greater than A in  $(\mathcal{Q}_{\mathbf{d}})_J$ , where  $\partial \mathcal{Q}_{\mathbf{d}} = \mathcal{Q}_{\mathbf{d}} \setminus \mathbf{M}(\mathbf{d})$  is the boundary.

Consider **e** as in (20), with some  $e_i > 0$ . By induction on **d**, we may assume the statement holds for  $\mathcal{Q}_{\mathbf{d-e}}$ . (The base case is  $\mathcal{Q}_{\mathbf{0}} = \mathbf{Fl}$ , where Proposition 6.1 applies directly.) Let  $\mathcal{U}_{\mathbf{e}}$  be the mixing space for the variety  $\mathcal{U}_{\mathbf{e}}$  of Theorem 4.4. Since the map  $h_{\mathbf{e}} : \mathcal{U}_{\mathbf{e}} \to \mathcal{Q}_{\mathbf{d}}$  is birational onto its image, and  $\partial \mathcal{Q}_{\mathbf{d}}$  is covered by such images, it will suffice to show

(21) 
$$\operatorname{codim}_{\mathcal{U}_{\mathbf{e}}} \left( \bigcap_{i=1}^{r} h_{\mathbf{e}}^{-1}(\gamma_i \cdot \mathbf{D}_i(p_i)) \cap h_{\mathbf{e}}^{-1}(\widetilde{\mathbf{D}}(p)) \right) > A - \operatorname{codim}_{\mathcal{Q}_{\mathbf{d}}}(h_{\mathbf{e}}(\mathcal{U}_{\mathbf{e}}))$$

for general  $\gamma_1, \ldots, \gamma_r$  in  $\Gamma$ . Using Theorem 4.4(3), the intersection on the LHS can be written as

(22) 
$$\bigcap_{i=1}^{r} \left( \rho^{-1}(\mathbb{P}^{1} \times \gamma_{i} \cdot \mathbf{D}_{i}^{\mathbf{e}}(p_{i})) \cup \psi_{\mathbf{e}}(p_{i})^{-1}(\gamma_{i} \cdot \Omega_{w'_{i}}) \right) \\ \cap \left( \rho^{-1}(\mathbb{P}^{1} \times \widetilde{\mathbf{D}}^{\mathbf{e}}(p)) \cup \psi_{\mathbf{e}}(p)^{-1}(\widetilde{\Omega}_{w'}) \right).$$

(Here  $\Omega_{w'_i} \subseteq Fl(\mathbf{n}')$  is the Schubert variety whose existence is claimed in Theorem 4.4, where  $\Omega_i = \Omega_{w_i}$ .) Since the points  $p_1, \ldots, p_r, p$  are distinct, any nonempty component of the expansion of this intersection contains at most one factor of  $\psi_{\mathbf{e}}(p_i)^{-1}(\mathbf{\Omega}_{w'})$  or  $\psi_{\mathbf{e}}(p)^{-1}(\widetilde{\mathbf{\Omega}}_{w'})$ .

If there are no factors of  $\psi_{\mathbf{e}}(p_i)^{-1}(\Omega_{w_i'})$  or  $\psi_{\mathbf{e}}(p)^{-1}(\widetilde{\Omega}_{w'})$ , the intersection is the inverse image of a similar intersection on  $\mathcal{Q}_{\mathbf{d}-\mathbf{e}}$ . By induction and the fact that  $\rho$  is smooth, the inequality (21) holds for these components.

For the case where  $\psi_{\mathbf{e}}(p)^{-1}(\mathbf{\Omega}_{w'_{\mathbf{e}}})$  occurs, let

$$X = \mathbf{Fl}(\mathbf{n}'),$$
  
$$Z = \mathbf{\Omega}_{w_r'} \hookrightarrow X,$$

and

$$Y = \bigcap_{i=1}^{r-1} \rho^{-1}(\{p_r\} \times \gamma_i \cdot \mathbf{D}_i(p_i)) \cap \rho^{-1}(\{p_r\} \times \widetilde{\mathbf{D}}(p)) \xrightarrow{\psi_{\mathbf{e}}(p_r)} X.$$

By the previous case, Y has codimension  $\ell_1 + \cdots + \ell_{r-1} + \ell$  in  $\mathcal{U}_{\mathbf{e}}(p_r) = \rho^{-1}(\{p_r\} \times \mathcal{Q}_{\mathbf{d}-\mathbf{e}})$ . By Proposition 6.1, we can choose  $\gamma_r \in \Gamma$  so that

$$Y \cap \psi_{\mathbf{e}}(p_r)^{-1}(\gamma_r \cdot \mathbf{\Omega}_{w_r'})$$

has codimension  $\ell(w'_r)$  in Y. The inequality (21) follows from the estimates on  $\ell(w'_r)$  from [CF2, Lemma 5.5(ii), Lemma 5.8(i)], as in [CF2, §6.1].

Finally, for the case where  $\psi_{\mathbf{e}}(p)^{-1}(\mathbf{\Omega}_{w'})$  occurs, first observe that each  $D_i^{\mathbf{e}}(p_i) \subseteq \mathcal{Q}_{\mathbf{d}-\mathbf{e}}$  is a B-invariant subscheme (where  $B \subseteq GL_n$  is upper-triangular matrices), and it is dimensionally transverse to all  $\widetilde{B}$ -orbits in  $\mathcal{Q}_{\mathbf{d}-\mathbf{e}}$ . It follows that  $\mathbf{D}_i^{\mathbf{e}}(p_i)$  is dimensionally transverse to  $\Gamma$ -orbits on  $\mathcal{Q}_{\mathbf{d}-\mathbf{e}}$ . Now apply Proposition 6.1, with

$$X = \mathcal{Q}_{\mathbf{d}-\mathbf{e}} \times_{\mathbb{B}} \cdots \times_{\mathbb{B}} \mathcal{Q}_{\mathbf{d}-\mathbf{e}} \quad (r \text{ factors}),$$
  
$$Z = \mathbf{D}_{1}^{\mathbf{e}}(p_{1}) \times_{\mathbb{B}} \cdots \times_{\mathbb{B}} \mathbf{D}_{r}^{\mathbf{e}}(p_{r}) \hookrightarrow X,$$

and

$$Y = \psi_{\mathbf{e}}(p)^{-1}(\widetilde{\Omega}_{w'}) \xrightarrow{\overline{\rho} \times \cdots \times \overline{\rho}} X,$$

where  $\overline{\rho}$  is the restriction of  $\rho: \mathcal{U}_{\mathbf{e}} \to \mathbb{P}^1 \times \mathcal{Q}_{\mathbf{d}-\mathbf{e}}$  to  $\psi_{\mathbf{e}}(p)^{-1}(\widetilde{\Omega}_{w'}) \subseteq \mathcal{U}_{\mathbf{e}}(p) = \rho^{-1}(\{p\} \times \mathcal{Q}_{\mathbf{d}-\mathbf{e}})$ . We find  $\gamma = (\gamma_1, \ldots, \gamma_r)$  in  $\Gamma^{(r)}$  such that

$$(\overline{\rho} \times \cdots \times \overline{\rho})^{-1}(\gamma \cdot Z) = \bigcap_{i=1}^{r} \rho^{-1}(\mathbb{P}^{1} \times \gamma_{i} \cdot \mathbf{D}_{i}^{\mathbf{e}}(p_{i})) \cap \psi_{\mathbf{e}}(p)^{-1}(\widetilde{\Omega}_{w'})$$

has codimension  $\ell_1 + \cdots + \ell_r$  in Y. Since Y has codimension  $\ell(w')$  in  $\mathcal{U}_{\mathbf{e}}(p)$ , the same estimate as in the previous case proves the inequality (21).

We can now complete the proof of Proposition 4.2.

Corollary 6.5. Writing  $c_{v_1,\dots,v_r}^{\mathbf{w},\mathbf{d}}(t) = \sum_J c_{v_1,\dots,v_r}^{\mathbf{w},\mathbf{d},J}(-\alpha)^J$  as above, we have

$$c_{v_1,\dots,v_r}^{\mathbf{w},\mathbf{d},J} = \pi_*^{\mathcal{Q}_{\mathbf{d}}}([\mathbf{D}_1(p_1)]\cdots[\mathbf{D}_r(p_r)]\cdot[\widetilde{\mathbf{D}}(p)]\cdot[(\mathcal{Q}_d)_J]),$$

where  $\pi^{\mathcal{Q}_{\mathbf{d}}}$  is the map  $\mathcal{Q}_{\mathbf{d}} \to \mathrm{pt}$ .

*Proof.* By Moving Lemma B, the right-hand side is equal to #W. However, this W is the same as the one from Moving Lemma A, where x corresponds to the point  $(p_1, \ldots, p_r, p) \in \overline{M}_{0,r+1}$ , so the claim follows from Corollary 6.3.

#### 7. Proofs of the main results

For a partial flag variety  $Fl(\mathbf{n})$ , recall that the equivariant quantum Schubert polynomial  $\mathfrak{S}_w^q(x,t)$  is defined to be polynomial obtained from the universal double Schubert polynomial  $\mathfrak{S}_w(g,h)$  (or  $\mathfrak{S}_w(g,y)$ ) by the specialization (1), setting the variables  $g_i[0]$  to  $x_i$ , the variables  $h_i[0]$  (or  $y_i$ ) to the variables  $t_i$ , the variables  $g_{n_{i-1}+1}[n_{i+1}-n_{i-1}-1]$  to  $(-1)^{n_i-n_{i-1}+1}q_i$  for  $1 \leq i \leq m$ , and setting all other  $g_i[j]$  and  $h_i[j]$  to zero. Letting  $\sigma_i^j$  be the ith symmetric polynomial in  $x_{n_{j-1}+1},\ldots,x_{n_j}$ , we write  $\mathfrak{S}_w^q(x,t)$  as a polynomial in the  $\sigma_i^j$  and  $t_i$ , and denote this by  $\mathfrak{S}_w^q(\sigma,t)$ .

In (19), we observed that  $g_{n_{i-1}+1}[n_{i+1}-n_{i-1}-1]$  maps to the class

$$Q_{n_{i-1}+1}[n_{i+1} - n_{i-1} - 1] = c_{n_{i+1}-n_{i-1}}^T(\ker(\mathscr{A}_{i+1}^* \to \mathscr{A}_i^*))$$

in  $H_T^*\mathcal{Q}_{\mathbf{d}}$ . Note that the same variable maps to  $(-1)^{n_i-n_{i-1}+1}q_i$ . In the case of the complete flag variety, the class  $Q_i[1]$  represents the locus on  $\mathcal{Q}_{\mathbf{d}}$  where  $\mathscr{A}_{i+1}^* \to \mathscr{A}_i^*$  is not surjective, and the corresponding variable  $g_i[1]$  specializes to  $q_i$ .

More generally, for any polynomial P(g, y) in variables  $g_i[j]$  and  $y_i$ , denote by  $P^q(x,t)$  the polynomial which results after performing the above substitutions. Denote by  $e_k^q(l)$  the polynomial obtained by the above substitutions into  $E_k^l(g)$ , for  $0 \le k \le l$  (see (12)). Since  $e_k^q(n)$  is symmetric in  $x_{n_{j-1}+1}, \ldots, x_{n_j}$  for every  $1 \le j \le m+1$ , it can be written as a polynomial in the  $\sigma_i^j$ , which we denote by  $\tilde{e}_k^q(m+1)$ .

Our main goal is to prove the equivariant quantum Giambelli formula, which we restate here for convenience:

**Theorem 7.1.** For 
$$w \in S^{\mathbf{n}}$$
, we have  $\sigma_w = \mathfrak{S}^q_w(\sigma, t)$  in  $QH_T^*(Fl(\mathbf{n}))$ .

In the course of proving this, we will simultaneously prove an auxiliary result, as in [Ch].

**Proposition 7.2.** Let P(Q,t) be a polynomial in the classes  $Q_i[j]$  and t, where  $i + j \in \mathbf{n}$ . Then

$$P^q(x,t) = \sum_{\mathbf{d}.v} q^{\mathbf{d}} \left( P(Q,t) \cdot \widetilde{\mu}_{v^{\vee}} \right)_{\mathbf{d}}^T \sigma_v \ in \ QH_T^*(Fl(\mathbf{n})).$$

Before proceeding to the proofs, we note that the presentations of the cohomology ring of  $Fl(\mathbf{n})$  given in [Kim] can be deduced from Proposition 7.2. Recall from §2.4 that  $\Lambda_T = H_T^*(\mathrm{pt}) \cong \mathbb{Z}[t_1, \ldots, t_n]$ , and let q and x stand for the variable sets  $(q_1, \ldots, q_m)$  and  $(x_1, \ldots, x_n)$ , respectively.

Corollary 7.3. We have

$$QH_T^*(Fl(\mathbf{n})) \cong \Lambda_T[q][\sigma_1^1, \dots, \sigma_{n_1}^1, \dots, \sigma_1^{m+1}, \dots, \sigma_{n-n_m}^{m+1}]/I_T^q$$

where  $I_T^q$  is the ideal  $(\widetilde{e}_1^q(m+1) - e_1(t), \dots, \widetilde{e}_n^q(m+1) - e_n(t))$ . In the special case of the complete flag variety  $Fl(\mathbb{C}^n)$ , this gives

$$QH_T^*(Fl(\mathbb{C}^n)) \cong \Lambda_T[q][x]/(e_1^q(n) - e_1(t), \dots, e_n^q(n) - e_n(t)).$$

Proof. The argument is the same as in [Ch, Theorem 7.1]; we sketch it here. We have  $E_k^n(Q) = c_k^T(A_n^*) = c_k^T(V^*) = e_k(t_1, \ldots, t_n)$ , since  $V^*$  is a trivial (but not equivariantly trivial) vector bundle. Now apply Proposition 7.2 to the polynomials  $P(Q,t) = E_k^n(Q) - e_k(t) = 0$ , for  $k = 1, \ldots, n$ , obtaining n relations which specialize to the known relations defining  $H_T^*(Fl(\mathbf{n}))$ . The claim follows.

In the proof of Theorem 7.1 and Proposition 7.2, we will use three lemmas.

**Lemma 7.4.** For 
$$w \in S^{\mathbf{n}}$$
,  $\sigma_w = \sum_{\mathbf{d},v} q^{\mathbf{d}} (\mu_w \cdot \widetilde{\mu}_{v^{\vee}})_{\mathbf{d}}^T \sigma_v$  in  $QH_T^*(Fl(\mathbf{n}))$ .

*Proof.* When  $\mathbf{d} = 0$ , the quot scheme  $\mathcal{Q}_{\mathbf{d}}$  is the flag variety  $Fl(\mathbf{n})$ , and in this case duality (see (7)) gives  $(\mu_w \cdot \widetilde{\mu}_{v^{\vee}})_{\mathbf{0}}^T = [\Omega_w]^T \cdot [\widetilde{\Omega}_{v^{\vee}}]^T = \delta_{wv}$ . More generally, we have

$$(\mu_w \cdot \widetilde{\mu}_{v^{\vee}})_{\mathbf{d}}^T = \widetilde{\pi}_*^T(\mu_w \cdot \widetilde{\mu}_{v^{\vee}}) = \widetilde{\pi}_*^T([D_w(0)] \cdot [\widetilde{D}_{v^{\vee}}(\infty)]).$$

For degree reasons,  $(\mu_w \cdot \widetilde{\mu}_{v^{\vee}})_{\mathbf{d}}^T$  can be nonzero only when  $\ell(w) + \ell(v^{\vee}) \ge \dim \mathcal{Q}_{\mathbf{d}}$ . Using Lemma 6.4, in the case r = 1, one sees that  $D_w(0)$  and  $\widetilde{D}_{v^{\vee}}(\infty)$  are dimensionally transverse. It follows that

$$[D_w(0)] \cdot [\widetilde{D}_{v^{\vee}}(\infty)] = [D_w(0) \cap \widetilde{D}_{v^{\vee}}(\infty)],$$

and that  $D_w(0) \cap \widetilde{D}_{v^\vee}(\infty)$  is empty when  $\ell(w) + \ell(v^\vee) > \dim \mathscr{Q}_{\mathbf{d}}$ . Therefore  $(\mu_w \cdot \widetilde{\mu}_{v^\vee})_{\mathbf{d}}^T$  can be nonzero only when  $\ell(w) + \ell(v^\vee) = \dim \mathscr{Q}_{\mathbf{d}}$ ; that is, when  $D_w(0) \cap \widetilde{D}_{v^\vee}(\infty)$  consists of finitely many points. Moreover, Lemma 6.4 also implies  $D_w(0) \cap \widetilde{D}_{v^\vee}(\infty) \cap M(\mathbf{d})$  is dense in  $D_w(0) \cap \widetilde{D}_{v^\vee}(\infty)$ , so these points must lie in  $M(\mathbf{d})$ .

Finally, recall there is an action of  $\mathbb{C}^*$  on  $\mathcal{Q}_{\mathbf{d}}$ , coming from the standard action on  $\mathbb{P}^1$ , and  $D_w(0) \cap \widetilde{D}_{v^\vee}(\infty)$  is stable under this  $\mathbb{C}^*$  action. When  $\mathbf{d} \neq 0$ , this action has no fixed points inside  $M(\mathbf{d})$ , since a non-constant map is changed by reparametrization. Therefore  $D_w(0) \cap \widetilde{D}_{v^\vee}(\infty)$  is empty whenever  $\ell(w) + \ell(v^\vee) = \dim \mathcal{Q}_{\mathbf{d}}$ , and it follows that  $(\mu_w \cdot \widetilde{\mu}_{v^\vee})_{\mathbf{d}}^T = 0$  in this case.

The following lemma shows that a similar sum which involves a single  $Q_i[j]$  only has one non-zero term. Let  $\mathbf{e}_l = (0, \dots, 1, \dots, 0)$  be the *m*-tuple whose only nonzero entry is in the *l*th position.

**Lemma 7.5.** For  $1 \le l \le m$  and  $1 \le i \le n_l$ ,

$$\sum_{\mathbf{d},v} q^{\mathbf{d}} \left( Q_i [n_{l+1} - i] \cdot \widetilde{\mu}_{v^{\vee}} \right)_{\mathbf{d}}^T \sigma_v = \left( Q_{n_{l-1}+1} [n_{l+1} - n_{l-1} - 1] \cdot \widetilde{\mu}_{w^{\circ}} \right)_{\mathbf{e}_l}^T$$

if  $i = n_{l-1} + 1$ , and is equal to zero otherwise.

*Proof.* Note that when  $d_a = 0$ ,  $A_a \to A_b$  is an inclusion of vector bundles on  $\mathcal{Q}_{\mathbf{d}}$  for all b > a, or equivalently  $A_b^* \to A_a^*$  is a surjection of bundles on  $\mathcal{Q}_{\mathbf{d}}$ .

Let l' < l be such that  $n_{l'} + 1 \le i \le n_{l'+1}$ . If  $d_a = 0$  for any  $l' + 1 \le a < l$ , then by Lemma 3.8 applied to b = l + 1, we obtain  $Q_i[n_{l+1} - i] = 0$ , so that  $Q_i[n_{l+1} - i] \ne 0$  only if  $d_{l'+1}, \ldots, d_{l-1} > 0$ .

By this and degree considerations, we conclude that  $(Q_i[n_{l+1} - i] \cdot \widetilde{\mu}_{v^{\vee}})_{\mathbf{d}}^T$  is zero unless

$$n_{l+1} - i + 1 + \ell(v^{\vee}) \ge \dim \mathcal{Q}_{\mathbf{d}} = \dim F + \sum_{k=1}^{m} d_k (n_{k+1} - n_{k-1})$$
  
  $\ge \dim F + n_{l+1} + n_l - n_{l'} - n_{l'+1}.$ 

Since  $n_{l+1} - n_{l'} + \dim F \ge n_{l+1} - i + 1 + \ell(v^{\vee})$ , these inequalities can only hold when l' = l - 1 and every inequality is an equality. Therefore  $\mathbf{d} = \mathbf{e}_l$ ,  $i = n_{l-1} + 1$ , and  $v^{\vee} = w^{\circ}$ , the permutation of longest length.

**Lemma 7.6.** Assume that Proposition 7.2 holds for polynomials P(Q,t) of Q-degree up to  $n_{l+1} - n_{l-1} - 1$ . Then

$$(Q_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1]\cdot \widetilde{\mu}_{w^{\circ}})_{\mathbf{e}_{l}}^{T}=(-1)^{n_{l+1}-n_{l}+1}.$$

*Proof.* Recall that  $(Q_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1]\cdot\widetilde{\mu}_{w^{\circ}})_{\mathbf{e}_{l}}^{T}$  is defined as the equivariant pushforward  $\widetilde{\pi}_{*}^{T}(Q_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1]\cdot\widetilde{\mu}_{w^{\circ}})$ , for  $\widetilde{\pi}_{*}^{T}:H_{T}^{*}\mathscr{Q}_{\mathbf{e}_{l}}\to H_{T}^{*}(\mathrm{pt})$ . Moreover, since the degree of  $Q_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1]\cdot\widetilde{\mu}_{w^{\circ}}$  is equal to  $n_{l+1}-n_{l-1}+\ell(w^{\circ})=\dim\mathscr{Q}_{\mathbf{e}_{l}}$ , the equivariant pushforward is equal to the non-equivariant one:

$$\widetilde{\pi}_{*}^{T}(Q_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1]\cdot\widetilde{\mu}_{w^{\circ}}) = \widetilde{\pi}_{*}(\overline{Q}_{n_{l-1}+1}[n_{l+1}-n_{l-1}-1]\cdot\overline{\widetilde{\mu}}_{w^{\circ}})$$

in  $H_T^0(\mathrm{pt}) = H^0(\mathrm{pt}) = \mathbb{Z}$ , where for a class  $\gamma$  in  $H_T^*\mathcal{Q}_{\mathbf{e}_l}$ , we write  $\overline{\gamma}$  for its image in  $H^*\mathcal{Q}_{\mathbf{e}_l}$ . By [Ch, Proposition 11.1], the right-hand side is  $(-1)^{n_{l+1}-n_l+1}$ , as desired. (To apply this result of [Ch], note that our Proposition 7.2 for polynomials P(Q,t) of Q-degree up to  $n_{l+1}-n_{l-1}-1$  implies the corresponding non-equivariant statement in [Ch, Proposition 8.1].)

We are now ready to prove the main results of this section.

Proof of Proposition 7.2 and Theorem 7.1. We will use induction on the length of w, and on the degree of P(Q,t), viewed as a polynomial in  $Q_i[j]$  with coefficients in  $\mathbb{Z}[t]$ .

We proceed by showing that Theorem 7.1 for  $\ell(w) \leq s$  implies Proposition 7.2 for polynomials P(Q,t) of Q-degree at most s, and then showing that Proposition 7.2 for polynomials P(Q,t) of Q-degree at most s, together with Lemma 7.5, imply Theorem 7.1 for permutations w of length at most s+1. The base case s=0 for Theorem 7.1 holds because  $\sigma_{id}=1$  as needed.

First suppose that Theorem 7.1 holds when  $\ell(w) \leq s$ . Let P(Q,t) be a polynomial of Q-degree at most s. Then its terms involve only  $t_i$  and  $Q_i[j]$  with  $j \leq s-1$  and  $i+j \in \mathbf{n}$ . By Lemma 3.4, each  $Q_i[j]$  that could occur can be written as a polynomial in t and  $\mathfrak{S}_w^{\mathbf{n}}(Q,t)$  with  $\ell(w) \leq s$ . Therefore a polynomial P(Q,t) in t and  $Q_i[j]$  with  $j \leq s-1$  can be rewritten as

$$P(Q,t) = F(\mathfrak{S}_w^{\mathbf{n}}(Q,t),t) = F(\mu_w,t),$$

where the second equality holds by Proposition 4.1. Note that F is a polynomial in  $\mu_w$  and t with  $w \in S^{\mathbf{n}}$  and  $\ell(w) \leq s$ .

By Corollary 4.3, we obtain the equalities

$$\sum_{\mathbf{d},v} q^{\mathbf{d}} \left( P(Q,t) \right) \cdot \widetilde{\mu}_{v^{\vee}} )_{\mathbf{d}}^{T} \sigma_{v} = \sum_{\mathbf{d},v} q^{\mathbf{d}} \left( F(\mu,t) \cdot \widetilde{\mu}_{v^{\vee}} \right)_{\mathbf{d}}^{T} \sigma_{v} = F(\sigma,t)$$

in  $QH_T^*(Fl(\mathbf{n}))$ . Since  $F(\sigma,t)$  is a polynomial in  $\sigma_w$  with  $\ell(w) \leq s$ , we can apply our hypothesis to obtain  $F(\sigma,t) = F(\sigma_w^q(x,t),t) = P^q(x,t)$  so that Proposition 7.2 holds for polynomials P(Q,t) with Q-degree at most s.

Now suppose that Proposition 7.2 holds for polynomials of Q-degree at most s. Let  $w \in S^{\mathbf{n}}$  with  $\ell(w) = s + 1$ . Consider the polynomial  $\mathfrak{S}_w(Q, t)$ . By degree considerations, since the degree of  $Q_i[j]$  is j + 1, each  $Q_i[s]$  can only appear linearly, and we can write  $\mathfrak{S}_w(Q,t) = P(Q,t) + \sum a_i Q_i[s]$ , where P(Q,t) is a polynomial of Q-degree at most s, and  $a_i \in \mathbb{Z}$ . Therefore

$$\sigma_{w} = \sum_{\mathbf{d},v} q^{\mathbf{d}} \left( \mathfrak{S}_{w}(Q,t) \cdot \widetilde{\mu}_{v^{\vee}} \right)_{\mathbf{d}} \sigma_{v}$$

$$= \sum_{\mathbf{d},v} q^{\mathbf{d}} \left( P(Q,t) \right) \cdot \widetilde{\mu}_{v^{\vee}} \right)_{\mathbf{d}} \sigma_{v} + \sum_{i} a_{i} \sum_{\mathbf{d},v} q^{\mathbf{d}} \left( Q_{i}[s] \cdot \widetilde{\mu}_{v^{\vee}} \right)_{\mathbf{d}} \sigma_{v}$$

$$= P^{q}(x,t) + \sum_{i} a_{i} (-1)^{n_{l+1}-n_{l}+1} q_{l}$$

$$= \mathfrak{S}_{w}^{q}(x,t),$$

where the final sum is over  $(i,s) = (n_{l-1} + 1, n_{l+1} - n_{l-1} - 1)$ . The last line follows from Proposition 7.2 for polynomials of Q-degree at most s, Lemma 7.5, and Lemma 7.6, which holds since  $s = n_{l+1} - n_{l-1} - 1$ . We have shown that Theorem 7.1 holds for permutations  $w \in S^{\mathbf{n}}$  of length at most s + 1.

This concludes the proof of Theorem 7.1 and Proposition 7.2, and therefore the proofs of the equivariant quantum Giambelli formula and presentation of the equivariant quantum cohomology ring for partial flag varieties.

#### 8. Further properties

We conclude with some brief remarks about the stability properties of the equivariant quantum Schubert polynomials. First, the universal Schubert polynomials are independent of n, from the definition (see §3 and [Fu, §2]):

**Lemma 8.1.** Consider  $w \in S_n$ . The polynomial  $\mathfrak{S}_w(c,d)$  is the same when w is considered as a permutation in  $S_{n'}$ , for n' > n, using the standard embedding of symmetric groups.

As an immediate consequence, we have a weak stability property of equivariant quantum Schubert polynomials for partial flags. Let  $\mathbf{n} = (n_1 < \cdots < n_m < n_{m+1} = n)$ , and for any n' > n, let  $\mathbf{n}' = (n_1 < \cdots < n_m < n_{m+1} < n_{m+2} = n')$ . The standard embedding  $S_n \subset S_{n'}$  leads to a canonical inclusion  $S^{\mathbf{n}} \subset S^{\mathbf{n}'}$ . (Concretely, given  $w \in S^{\mathbf{n}}$ , the corresponding minimal length

representative in  $S^{\mathbf{n}'}$  is given by appending  $n_{m+1}+1, n_{m+1}+2, \dots, n_{m+2}=n'$  to w.) Then  $\mathfrak{S}^q_w(\sigma,t)$  is the same whether w is considered in  $S^{\mathbf{n}}$  or  $S^{\mathbf{n}'}$ .

For the remainder of this section, we will focus on the complete flag case. Consider the standard embeddings of symmetric groups  $S_n \subset S_{n+1} \subset \cdots \subset S_{\infty}$ , and for each n, let  $I_T^q(n)$  be the ideal  $(e_1^q(n) - e_1(t), \ldots, e_n^q(n) - e_n(t))$  from Corollary 7.3. In analogy with [FGP, Theorem 10.1], the polynomials for complete flags may be characterized as follows:

**Proposition 8.2.** For  $w \in S_n$ , the equivariant quantum Schubert polynomial  $\mathfrak{S}_w^q(x,t)$  is the unique polynomial in  $\mathbb{Z}[t_1,\ldots,t_n;q_1,\ldots,q_{n-1};x_1,\ldots,x_n]$  with the property that, for all  $N \geq n$ ,  $\mathfrak{S}_w^q(x,t)$  represents the Schubert class  $\sigma_w$  in the ring

$$QH_T^*(Fl(n)) = \mathbb{Z}[t_1, \dots, t_N; q_1, \dots, q_{N-1}; x_1, \dots, x_N]/I_T^q(N).$$

*Proof.* As remarked above, in Lemma 8.1, the polynomial  $\mathfrak{S}_w^q(x,t)$  is independent of n, so long as  $w \in S_n$ . That  $\mathfrak{S}_w^q(x,t)$  represents  $\sigma_w$  is the content of Theorem 1.1, so the only question is uniqueness. This follows from Lemma 8.4 below.

As polynomials in x and q, our  $e_k^q(l)$  are the same as the quantum elementary symmetric polynomials considered in [FGP] (and denoted  $E_k^l$  there); the following "quantum straightening lemma" therefore applies without change.

**Lemma 8.3** ([FGP, Lemma 3.5]). For  $0 \le j, k \le l$ , we have

$$\begin{split} e^q_j(l)\,e^q_{k+1}(l+1) + e^q_{j+1}(l)\,e^q_{k}(l) + q_l\,e^q_{j-1}(l-1)\,e^q_{k}(l) \\ &= e^q_{k}(l)\,e^q_{j+1}(l+1) + e^q_{k+1}(l)\,e^q_{j}(l) + q_l\,e^q_{k-1}(l-1)\,e^q_{j}(l). \end{split} \qquad \Box$$

As in [FGP], this straightening relation means that any monomial in the quantum elementary symmetric polynomials can be written as a  $\mathbb{Z}[q]$ -linear combination of "standard" monomials  $e_{i_1}^q(1) \cdot e_{i_2}^q(2) \cdots e_{i_n}^q(n)$ , with  $0 \leq i_l \leq l$ .

**Lemma 8.4.** For each n, the following  $\mathbb{Z}[t,q]$ -submodules of  $\mathbb{Z}[t,q,x]$  are the same:

- the submodule spanned by equivariant quantum Schubert polynomials  $\mathfrak{S}_w^q(x,t)$ , for  $w \in S_n$ ;
- the submodule spanned by ordinary (single) quantum Schubert polynomials  $\mathfrak{S}_w^q(x)$ , for  $w \in S_n$ ;
- the submodule spanned by the monomials  $x_1^{a_1} \cdots x_n^{a_n}$  with  $a_i \leq n-i$ .

Moreover, in each case the submodule is free and the indicated spanning set is a basis.

*Proof.* The argument is the same as in [FGP, §3]. From the definition of double universal Schubert polynomials (13), we have

$$\mathfrak{S}_{w}^{q}(x,t) = \mathfrak{S}_{w}^{q}(x) + R,$$

where R lies in the  $\mathbb{Z}[t,q]$ -submodule spanned by Schubert polynomials  $\mathfrak{S}_u^q(x)$  with u < w. It follows that the equivariant quantum Schubert polynomials lie in the span of the ordinary quantum Schubert polynomials, and since the transition matrix between these two bases is uni-triangular, the submodules are the same. The equality of the last two spans follows directly from [FGP, Proposition 3.6].

Taking n to infinity, it follows from Lemma 8.4 that the equivariant quantum Schubert polynomials  $\mathfrak{S}_w^q(x,t)$  form a  $\mathbb{Z}[t,q]$ -basis for  $\mathbb{Z}[t,q,x]$ , as w runs over  $S_{\infty}$ , and all three sets of variables are infinite. This means that any product  $\mathfrak{S}_u^q \cdot \mathfrak{S}_v^q$  can be expanded as a  $\mathbb{Z}[t,q]$ -linear combination of equivariant quantum Schubert polynomials. We conclude with an observation about these products.

It will be convenient to have notation for the polynomial ring: let

$$R_N = \mathbb{Z}[t_1, \dots, t_N; q_1, \dots, q_{N-1}; x_1, \dots, x_N].$$

**Lemma 8.5.** For n < N, consider the standard embedding  $S_n \subset S_N$ . Let  $w \in S_N$ , so  $\mathfrak{S}_w^q = \mathfrak{S}_w^q(x,t)$  is a polynomial in  $R_N$ . Let J be the ideal

$$J = I_T^q(n) \cdot R_N + (t_{n+1}, \dots, t_N) \cdot R_N + (q_n, \dots, q_{N-1}) \cdot R_N + (x_{n+1}, \dots, x_N) \cdot R_N.$$

If  $w \notin S_n$ , the polynomial  $\mathfrak{S}_w^q$  lies in J. Equivalently,  $\mathfrak{S}_w^q$  maps to zero in  $QH_T^*(Fl(n)) \cong R_N/J$ .

*Proof.* Consider a quot scheme  $\mathscr{Q}_{\mathbf{d}}$  compactifying maps to Fl(n). For any  $u \in S_N$  and  $v \in S_n$ , the proof of Lemma 7.4 shows that  $(\mu_u \cdot \widetilde{\mu}_{v^{\vee}})_{\mathbf{d}}^T = 0$  unless  $\mathbf{d} = \mathbf{0}$  and u = v. Since  $w \notin S_n$ , we have

$$(\mathfrak{S}_w(Q,t)\cdot\widetilde{\mu}_{v^\vee})_{\mathbf{d}}^T = (\mu_w\cdot\widetilde{\mu}_{v^\vee})_{\mathbf{d}}^T = 0$$

for all **d**, where for i, j > n and k > n - 1, we set the extra variables  $t_i = Q_j[0] = Q_k[1] = 0$  in  $\mathfrak{S}_w(Q, t)$ . The lemma now follows from Proposition 7.2.

An immediate consequence is that the equivariant quantum Schubert polynomials multiply like Schubert classes in  $QH_T^*(Fl(n))$ .

Corollary 8.6. Given permutations  $u, v \in S_n$ , expand the product of equivariant quantum Schubert polynomials as

$$\mathfrak{S}_{u}^{q}(x,t) \cdot \mathfrak{S}_{v}^{q}(x,t) = \sum_{w} a_{w} \, \mathfrak{S}_{w}^{q}(x,t),$$

with  $w \in S_{\infty}$  and  $a_w \in \mathbb{Z}[t,q]$ . Then the coefficient of  $\mathbf{q^d}$  in  $a_w$  is equal to  $c_{u,v}^{w,\mathbf{d}}$  when  $w \in S_n$ .

In other words, the equivariant quantum product  $\sigma_u \circ \sigma_v$  (in  $QH_T^*(Fl(n))$ ) is equal to the product of the polynomials  $\mathfrak{S}_u^q$  and  $\mathfrak{S}_v^q$ , after discarding the terms  $a_w \mathfrak{S}_w^q$  for  $w \notin S_n$ .

For example, as polynomials in  $\mathbb{Z}[t,q,x]$ , we have

$$\mathfrak{S}_{231}^{q} \cdot \mathfrak{S}_{231}^{q} = q_{1}(t_{2} - t_{1}) \,\mathfrak{S}_{21}^{q} + (t_{2} - t_{1})(t_{3} - t_{1}) \,\mathfrak{S}_{231}^{q} + q_{2} \,\mathfrak{S}_{312}^{q} + (t_{2} - t_{1}) \,\mathfrak{S}_{2413}^{q} + \mathfrak{S}_{3412}^{q},$$

where  $\mathfrak{S}_w^q = \mathfrak{S}_w^q(x,t)$ . To get the corresponding product  $\sigma_{231} \circ \sigma_{231}$  in  $QH_T^*(Fl(3))$ , simply discard the last two terms.

This provides an easy way to compute equivariant quantum products. Using Maple<sup>1</sup>, we computed the full multiplication tables for  $QH_T^*(Fl(n))$  for  $n \leq 5$ ; individual products are also quickly computable for higher n. We include the n = 3 case in Table 2.

| u   | v   | $\sigma_u \circ \sigma_v$  |
|-----|-----|--|
| 213 | 213 | $\sigma_{312} + (t_2 - t_1)  \sigma_{213} + q_1  \sigma_{123}$                                     |
| 213 | 132 | $\sigma_{231} + \sigma_{312}$  |
| 213 | 231 | $\sigma_{321} + (t_2 - t_1)  \sigma_{231}$   |
| 213 | 312 | $(t_3 - t_1)\sigma_{312} + q_1\sigma_{132}$  |
| 213 | 321 | $(t_3 - t_1) \sigma_{321} + q_1 \sigma_{231} + q_1 q_2 \sigma_{123}$                               |
| 132 | 132 | $\sigma_{231} + (t_3 - t_2)\sigma_{132} + q_2\sigma_{123}$   |
| 132 | 231 | $(t_3 - t_1)\sigma_{231} + q_2\sigma_{213}$  |
| 132 | 312 | $\sigma_{321} + (t_3 - t_2)  \sigma_{312}$   |
| 132 | 321 | $(t_3 - t_1)\sigma_{321} + q_2\sigma_{312} + q_1q_2\sigma_{123}$                                   |
| 231 | 231 | $(t_2 - t_1)(t_3 - t_1) \sigma_{231} + q_2 \sigma_{312} + q_2(t_2 - t_1) \sigma_{213}$             |
| 231 | 312 | $(t_3 - t_1)\sigma_{321} + q_1q_2\sigma_{123}$   |
| 231 | 321 | $(t_2 - t_1)(t_3 - t_1)\sigma_{321} + q_2(t_3 - t_1)\sigma_{312}$                                  |
|     |     | $+q_1q_2\sigma_{132}+q_1q_2(t_2-t_1)\sigma_{123}$  |
| 312 | 312 | $(t_2 - t_1)(t_3 - t_1)\sigma_{312} + q_1\sigma_{231} + q_1(t_3 - t_2)\sigma_{132}$                |
| 312 | 321 | $(t_2 - t_1)(t_3 - t_1) \sigma_{321} + q_1(t_3 - t_1) \sigma_{231} + q_1q_2 \sigma_{213}$          |
|     |     | $+q_1q_2(t_3-t_2)\sigma_{123}$   |
| 321 | 321 | $(t_2 - t_1)(t_3 - t_1)(t_3 - t_2)\sigma_{321} + [q_2(t_3 - t_1)(t_3 - t_2) + q_1q_2]\sigma_{312}$ |
|     |     | $+[q_1(t_2-t_1)(t_3-t_1)+q_1q_2]\sigma_{231}+q_1q_2(t_3-t_2)\sigma_{132}$                          |
|     |     | $+q_1q_2(t_2-t_1)\sigma_{213}+q_1q_2(t_2-t_1)(t_3-t_2)\sigma_{123}$                                |

Table 2. Equivariant quantum products in  $QH_T^*Fl(3)$ .

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