

Giving in the Aftermath of 9/11

An Update on the Foundation and Corporate Response

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Introduction

The unprecedented outpouring of charitable support that followed the September 11, 2001, terrorist attacks prompted the Foundation Center to launch a multi-year effort to track relief and recovery funding by foundations, corporations, and other institutional donors. Our goal is to provide a definitive record of their philanthropic response to these tragic events. In the first phase of the project—spanning the year following the attacks—we built a comprehensive database of institutional donors that reported contributions in response to 9/11. In the second phase, continuing through 2003, we will track the distribution or use of donations by recipient organizations. Beyond compiling these data, the Foundation Center will strive to place 9/11 funding by foundations and corporations in the context of all private giving in response to the tragedy and to assess the impact of their relief and recovery support on their overall giving patterns.

This report provides an overview of 9/11 giving by institutional donors based on data compiled through September 2002. It updates and expands the findings on funding patterns presented in the Foundation Center’s first report on giving in response to the 9/11 attacks.¹ The latest information is based primarily on 2002 surveys of 9/11 donors.² Information also comes from grantmaker news releases, Web sites of grantmaker associations, and donor lists compiled by leading recipients, such as the September 11th Fund of the United Way of New York and the New York Community Trust and the American Red Cross.

The Foundation Center’s database contains the most complete national record of institutional funding related to 9/11 and its aftermath. However, it is still a work in progress, and reporting remains incomplete. For example, the database includes pledges that are as

yet undesignated and donations to named organizations for unspecified amounts.

The Foundation Center will continue to collect and refine the data on donors and recipients. In 2003, these efforts will include indexing the purposes and beneficiaries of 9/11 gifts and surveying recipients about the ultimate uses of these funds. In the fall, the Foundation Center will publish a report focusing on 9/11 recipients. In the interim, at our Web site we have created a searchable database on foundation and corporate 9/11 response funding and posted copies of this and earlier reports on 9/11 giving in PDF format (see www.fdncenter.org/research/911/).

Donors

Institutional donors include corporations and corporate foundations; independent and family foundations; community foundations and other public foundations; and a variety of associations.

- The Foundation Center has identified 1,000 foundations, corporations, and other institutional donors that have together contributed over \$1.0 billion for relief and recovery efforts and for purposes related to the 9/11 attacks and their aftermath (Table 1).³ The sweeping breadth of the response has proven a milestone for philanthropy. Prior to 9/11, the institutional philanthropic response to natural disasters and other regional or international crises had been far narrower in scope.
- Giving by institutional donors represented 39 percent of an estimated \$2.6 billion in total private giving in response to 9/11 (Figure 1).⁴ This proportion was more than double the 17 percent

TABLE 1. Foundation/Corporate 9/11 Response Funding by Donor Type*

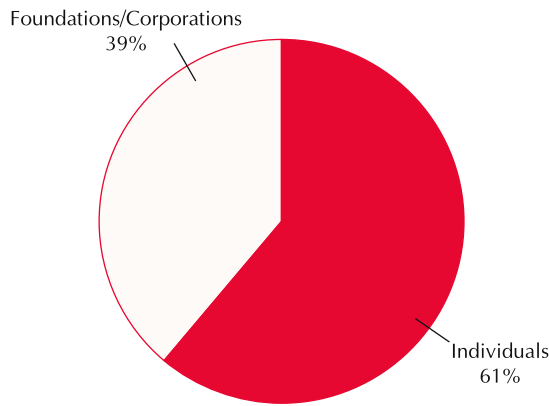
Donor Type	No. of Donors	%	Amount ¹	%	No. of Gifts	%
Corporations/Corporate Foundations	568	56.8	\$ 682,394,281	67.5	989	38.5
Independent and Family Foundations	255	25.5	261,510,938	25.9	1,231	48.0
Community Foundations	110	11.0	18,009,644	1.8	209	8.1
Other Public Foundations	52	5.2	29,644,152	2.9	121	4.7
Associations/Other	15	1.5	19,835,700	2.0	16	0.6
TOTAL	1,000	100.0	\$1,011,394,715	100.0	2,566	100.0

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

¹Includes cash donations and amounts pledged to match employee gifts; excludes in-kind donations.

FIGURE 1. Foundation/Corporate 9/11 Response Funding as a Share of All Private Giving



All Private 9/11 Giving = \$2.6 billion¹

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

¹Estimate includes \$2.3 billion received by major relief funds and reported by *The Chronicle of Philanthropy* (9/5/02) and an additional \$250+ million in 9/11 donations reported by institutional donors and tracked by the Foundation Center.

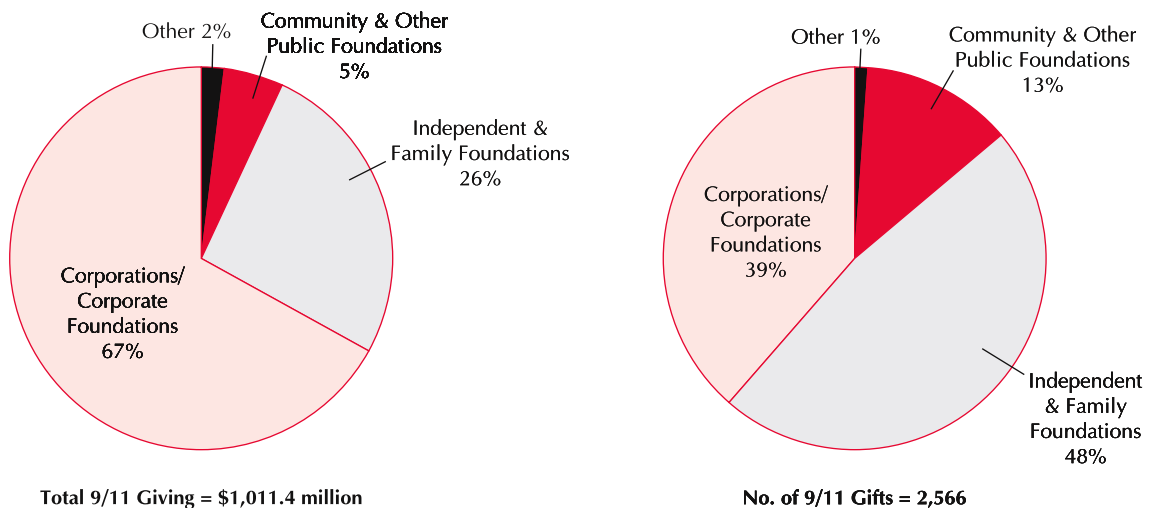
share of all U.S. private giving provided by foundations and corporations in 2001.⁵

- Foundations and corporations reported a total of 2,566 donations, but the proportion of unspecified funding remains substantial. The number of gifts in the database will continue to rise as donors fulfill their undesignated pledges and report the exact amounts given to named recipients.⁶

By Funder Type

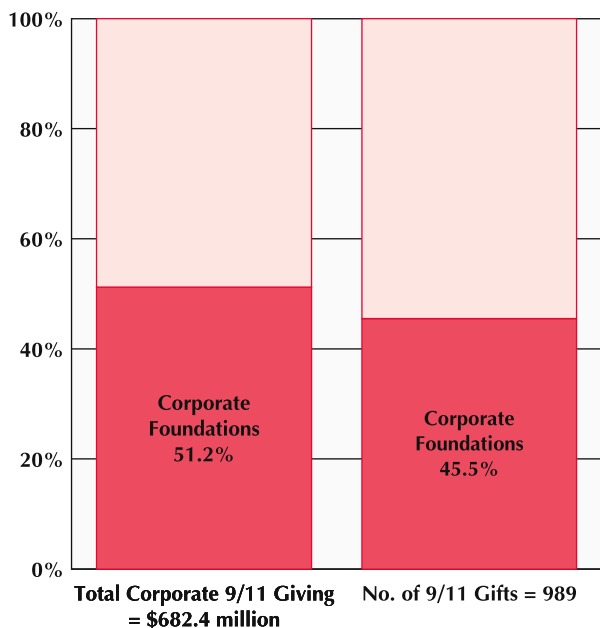
- 568 corporations pledged \$682.4 million, or 67 percent of all institutional funding in response to the 9/11 attacks (Figure 2).⁷ This figure includes cash donations and more than \$110 million pledged to match employee gifts.⁸ (In-kind gifts are excluded from this analysis.)
- Corporate donors reported 989 donations to named recipient groups, mainly 9/11 relief funds. These donations accounted for less than two-fifths of all designated gifts. If reporting of gifts were more complete, the number of gifts would increase substantially.
- Among various types of corporate donors were 222 corporate foundations. They contributed \$349.1 million, or 51 percent of all corporate 9/11 giving (Figure 3). This is roughly double the proportion of total annual corporate giving typically provided by corporate foundations. Possible explanations for this higher proportion include corporations' use of their foundation endowments to help fund the unbudgeted 9/11 donations and the use of their foundations for matching employee contributions and providing assistance to victims or their families.
- 417 independent and community or other public foundations pledged \$309.2 million, or 31 percent of institutional 9/11 funding. Independent foundations alone provided \$261.5 million, while community foundations

FIGURE 2. Foundation/Corporate 9/11 Response Funding by Donor Type*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

FIGURE 3. Corporate Foundation Giving as a Share of All Corporate 9/11 Giving

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

contributed \$18.0 million and other public foundations gave \$29.7 million.

- Independent and community or other public foundations reported 1,561 gifts to specific recipient organizations, or more than three-fifths of all institutional gifts reported. Independent foundations alone made 1,231 gifts, or 48 percent of all designated gifts. In addition to supporting relief funds, larger independent foundations supported a wide range of local and national direct service

providers, groups directly affected by the 9/11 attacks, and organizations addressing issues related to the attacks and their aftermath. (See “Recipients” for an analysis of 9/11 giving to recipient organizations.)

- 15 associations, including business groups, sports groups, and trade unions pledged \$19.8 million, or over 2 percent of the total. They reported only 16 gifts, or less than 1 percent of designated gifts. Like corporations, they mainly channeled their support through 9/11 relief funds.

By Range of Giving

- Giving per funder ranged from less than \$500 for a small community foundation in Michigan to the \$50 million pledged by the New York-based Andrew W. Mellon Foundation, one of the ten largest U.S. independent foundations. Among corporations, giving ranged from less than \$5,000 to the \$16.2 million pledged by ExxonMobil through its foundation.
- Nearly two-thirds (64 percent) of all institutional donors gave less than \$500,000 for 9/11 relief and recovery (Table 2). The median amount—the mid-point for all donors ranked by giving—was \$125,000, but it varied widely by donor type. Among the principal donor types in the Foundation Center’s 9/11 database, the median giving amount ranged from \$70,000 for independent foundations, including family foundations, to \$369,000 for corporations overall and \$500,000 for corporate foundations. For community foundations it was \$31,000; for other public foundations, \$100,000; and for associations, \$575,000.

TABLE 2. Foundation/Corporate 9/11 Response Funding By Range of Giving*

Range of 9/11 Giving	No. of Donors	%	Amount ¹	%
\$10 million and over	27	2.7	\$ 383,573,066	37.9
\$5 million–\$10 million	38	3.8	215,504,937	21.3
\$2 million–\$5 million	49	4.9	122,421,937	12.1
\$1 million–\$2 million	175	17.5	189,163,962	18.7
\$500,000–\$1 million	76	7.6	44,159,016	4.4
\$250,000–\$500,000	75	7.5	23,271,482	2.3
\$100,000–\$250,000	175	17.5	22,503,779	2.2
\$50,000–\$100,000	100	10.0	6,058,376	0.6
\$25,000–\$50,000	96	9.6	2,904,586	0.3
Less than \$25,000	189	18.9	1,833,574	0.2
TOTAL	1,000	100.0	\$1,011,394,715	100.0

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

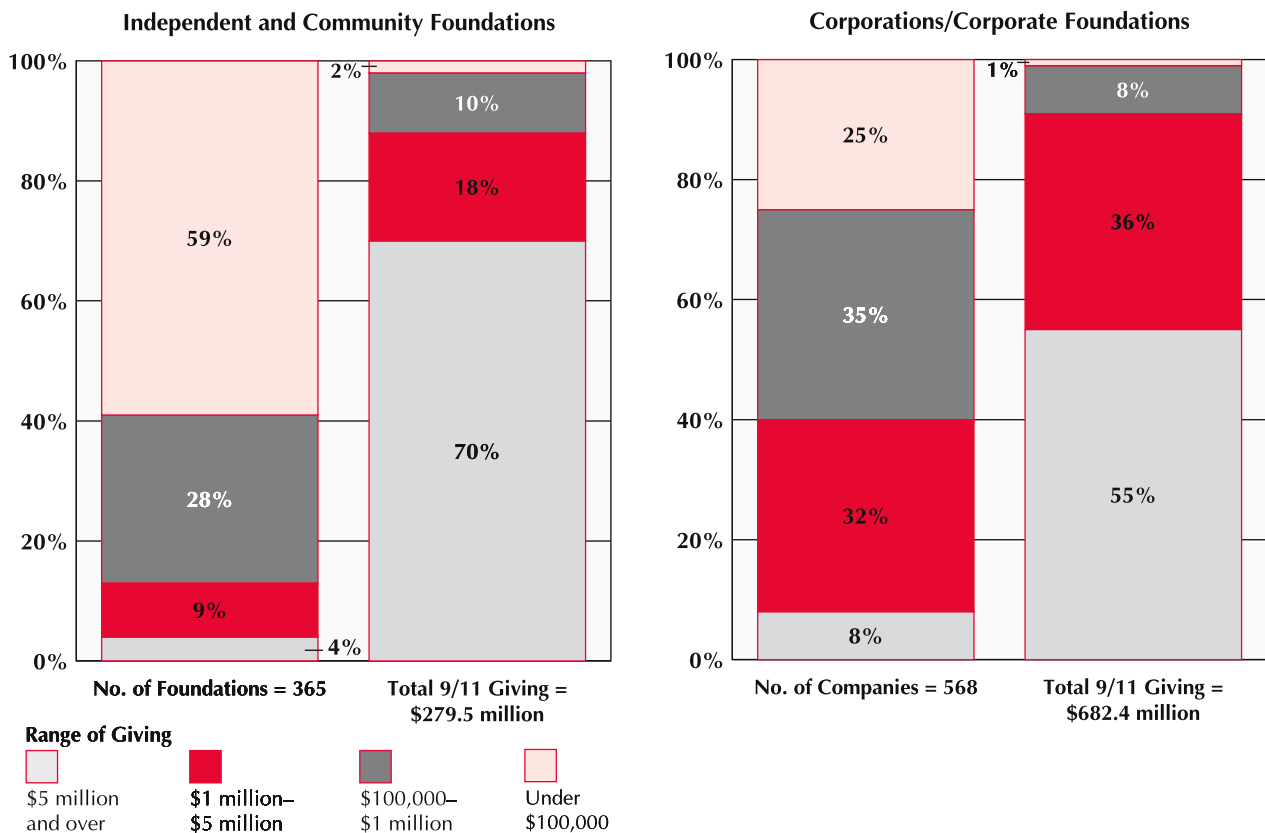
¹Includes cash donations and amounts pledged to match employee gifts; excludes in-kind donations.

- 289 donors, or less than 30 percent, contributed \$1 million or more for relief and recovery efforts. Together they gave \$910.7 million, or 90 percent of total funding.
- \$1 million+ contributors included 225 corporations, 43 independent foundations, 10 public foundations, 6 associations, and 5 community foundations. Forty percent of the corporate donors gave \$1 million or more in 9/11 response funding, compared to roughly 13 percent of the independent and community foundations.
- 65 donors—less than 7 percent—pledged \$5 million or more. Their contributions totaled \$599.1 million, or 59 percent of institutional 9/11 giving. The proportion of funding provided by the largest contributors varied by donor type. For example, 46 corporations—or 8 percent of the corporate donors—reported giving \$5 million or more, and they represented 55 percent of the corporate giving (Figure 4). In contrast, only 14

independent or community foundations—just 4 percent—gave \$5 million or more, yet they provided 70 percent of 9/11 giving by independent and community foundations.

- Ten corporate contributors gave in excess of \$10 million: ExxonMobil (TX), Citigroup (NY), General Electric (CT), Deutsche Bank (NY), Verizon (NY), Coca-Cola (GA), J.P. Morgan Chase (NY), ChevronTexaco (CA), Fannie Mae (DC), and Philip Morris (NY) (Table 3). Seven of the top ten corporate donors made their 9/11 gifts from their foundations.
- Foundation donors that gave at least \$10 million each included the Andrew W. Mellon Foundation (NY), Lilly Endowment (IN), Starr Foundation (NY), Ford Foundation (NY), Carnegie Corporation (NY), California Endowment (CA), and Atlantic Philanthropies (NY) (Table 4).⁹

FIGURE 4. Foundation vs. Corporate 9/11 Response Funding by Range of Giving*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Based on total giving figures for latest fiscal year reported (2001 for most foundations, 2000 for others); due to rounding, percentages may not total 100.

TABLE 3. Top 25 Corporate Donors Ranked By Total 9/11 Response Funding*

Corporation/Corporate Foundation	State	Amount ¹	Purpose of Funding
1. ExxonMobil Foundation	TX	\$16,250,000	To support various relief and recovery organizations through employee, retiree, dealer, and distributor matching gift programs.
2. Citigroup Foundation	NY	15,191,000	To establish the Citigroup Relief Fund for scholarships for the children of victims and to provide disaster assistance to various NYC nonprofits.
3. GE Fund	CT	15,000,000	To support the Twin Towers Fund and the American Red Cross Liberty Disaster Relief Fund.
4. Deutsche Bank Americas Foundation	NY	14,597,823	To support the 9/11 Widows' and Children's Benefit Fund and various organizations helping with relief and recovery.
5. Verizon Foundation	NY	12,625,000	To support the 9/11 Widows' and Children's Benefit Fund, the American Red Cross Liberty Disaster Relief Fund (and local chapters), and the September 11th Fund.
6. Coca-Cola Company	GA	12,000,000	To support the American Red Cross Liberty Disaster Relief Fund and various organizations assisting the children of victims.
7. J.P. Morgan Chase Foundation	NY	11,972,956	To establish the J. P. Morgan Chase WTC Disaster Relief Fund to aid victims and families.
8. ChevronTexaco Corporation	CA	10,500,000	To support the American Red Cross (NY and DC), the September 11th Fund, NYSE Fallen Heroes Fund, Marsh and McLennan Victims' Relief Fund, and the Metropolitan Opera Fundraiser for Disaster Relief.
9. Fannie Mae Foundation	DC	10,050,000	To support various organizations including the Community Foundation for the National Capital Region Survivors' Fund, REALTORS Housing Relief Fund, September 11th Fund, and the DC Disaster Relief Fund.
10. Philip Morris Companies	NY	10,042,000	To support various organizations including the American Red Cross Liberty Disaster Relief Fund and the September 11th Fund.
11. AXA Foundation	NY	10,000,000	To establish the AXA 9/11 Relief Fund aiding those affected by 9/11.
12. Bear, Stearns & Co.	NY	10,000,000	To establish the Bear Stearns Relief Fund to benefit victims and families.
13. DaimlerChrysler Corporation	MI	10,000,000	To establish the DaimlerChrysler Help the Children Fund to benefit the children of those killed or injured in the attacks.
14. Freddie Mac Foundation	VA	10,000,000	To support various relief organizations providing for the short-term needs of survivors and families of victims and long-term relief for victims' families.
15. Goldman Sachs Foundation	NY	10,000,000	To establish the Goldman Sachs Relief Fund to aid victims and families.
16. Johnson & Johnson Family of Companies	NJ	10,000,000	To support the American Red Cross Liberty Disaster Relief Fund, September 11th Fund, and various organizations to help speed relief and recovery.
17. Lehman Brothers	NY	10,000,000	To support various relief and recovery organizations.
18. Marsh & McLennan Companies	NY	10,000,000	To establish the Marsh & McLennan Victims' Relief Fund to aid victims and families.
19. Merrill Lynch & Co.	NY	10,000,000	To support various relief and recovery organizations.
20. Morgan Stanley Dean Witter & Co.	NY	10,000,000	To establish the Morgan Stanley Victims Relief Fund to aid victims and families.
21. Pfizer/Pfizer Foundation	NY	9,263,500	To support the Twin Towers Fund, September 11th Fund, American Red Cross Liberty Disaster Relief Fund, and various relief organizations.
22. Anheuser-Busch Family of Wholesalers/ Anheuser-Busch Foundation	MO	8,400,000	To support the NYS World Trade Center Relief Fund, September 11th Fund, Community Foundation for the National Capital Region Survivors' Fund, the American Red Cross (for long-term personal needs of victims and families), and the Salvation Army (to provide meals for emergency workers in NY and DC).
23. Vivendi Universal Foundation	NY	8,000,000	To support the September 11th Fund, Robin Hood Relief Fund (to underwrite the Concert for NYC), and various organizations through employee matching gift programs.
24. Credit Suisse First Boston	NY	6,648,345	To support various relief and recovery organizations including the 9/11 Widows' and Children's Benefit Fund and the Children's Aid Society WTC Relief Fund.
25. Prudential Foundation	NJ	6,163,407	To establish the Prudential CARES Disaster Relief Fund to aid victims and families.

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Aggregate corporate giving information in other tables and figures is based on data reported through September 20, 2002. Giving data on individual companies included in this table may be more current.

¹Includes cash donations and amounts pledged to match employee gifts; excludes in-kind donations.

TABLE 4. Top 20 Independent and Operating Foundations Ranked By Total 9/11 Response Funding*

Foundation	State	Foundation Type ¹	Amount	Purpose of Funding
1. Andrew W. Mellon Foundation	NY	IN	\$50,000,000	To assist NYC cultural and performing arts organizations directly affected by September 11th; support also for various organizations' New York disaster response.
2. Lilly Endowment	IN	IN	34,600,000	To support the American Red Cross Liberty Disaster Relief Fund, the September 11th Fund, and the Salvation Army's national disaster training project for faith-based disaster relief organizations.
3. Starr Foundation	NY	IN	18,036,446	To support the 9/11 Widows' and Children's Benefit Fund, the NYC Police Foundation's Heroes Fund, and various NYC nonprofit organizations affected by September 11th; support also for a matching gift program for AIG employees.
4. Ford Foundation	NY	IN	15,889,800	To support the September 11th Fund, nonprofits directly affected by the WTC disaster, and those working to promote public discourse on issues related to September 11th.
5. Carnegie Corporation	NY	IN	13,450,000	To support various educational and media-related organizations dealing with public awareness and response to the aftermath of the attacks; support also for NYC cultural organizations through an anonymous \$10 million gift.
6. California Endowment	CA	IN	13,318,041	To support workers and nonprofit organizations in California affected by September 11th; support also for CA-based nonprofits working to promote intercultural communication, religious tolerance, conflict resolution, and violence prevention.
7. Atlantic Philanthropies ²	NY	IN	10,050,000	To support the September 11th Fund and Meals On Wheels.
8. John S. and James L. Knight Foundation	FL	IN	8,786,000	To support various relief-related activities for the secondary economic victims of September 11th in the 26 communities served by the foundation and for memorial efforts honoring hijacking victims of Flight 93.
9. William Randolph Hearst Foundation	NY	IN	6,100,000	To support the Lower Manhattan Small Business and Workforce Retention Project, the Asian American Federation WTC Fund (for technical assistance programs and recovery efforts), and various relief organizations.
10. W. K. Kellogg Foundation	MI	IN	5,635,492	To support various educational and community outreach organizations that provided support to communities and population groups affected by the attacks.
11. Alfred P. Sloan Foundation	NY	IN	5,230,597	To support the September 11th Fund and various educational institutions responding to the needs of those affected in NYC and Washington, DC, and seeking to prevent the threat of bioterrorism through research and program development.
12. John D. and Catherine T. MacArthur Foundation	IL	IN	5,155,000	To support organizations addressing issues arising from September 11th related to strengthening the quality of public information and analysis, understanding the underlying causes of terrorism, and determining the implications for civil liberties.
13. Rockefeller Foundation	NY	IN	5,092,596	To support various relief organizations and organizations providing protection and support to minority communities in NYC, particularly Arab, South Asian, and Muslim communities.
14. Robert Wood Johnson Foundation	NJ	IN	5,000,000	To support the September 11th Fund and various relief and recovery efforts, including public health services, counseling, and direct aid to recovery workers.
15. Charles Stewart Mott Foundation	MI	IN	3,643,737	To support the September 11th Fund, American Red Cross Liberty Disaster Relief Fund, and various organizations dealing with the aftermath of the attacks.
16. Annie E. Casey Foundation	MD	IN	3,000,000	To support various organizations providing assistance to the children and families directly affected by the attacks.
17. Bill & Melinda Gates Foundation	WA	IN	3,000,000	To support the September 11th Fund, the New York Times 9/11 Neediest Cases Fund, and the American Red Cross Liberty Disaster Relief Fund.
18. Lumina Foundation for Education	MN	IN	3,000,000	To support the Families of Freedom Scholarship Fund.
19. Open Society Institute	NY	OP	2,520,000	To support various organizations that promote policy development, advocacy, and monitoring pertaining to civil liberties of immigrant and minority groups affected by 9/11.
20. William R. Kenan, Jr., Charitable Trust	NC	IN	2,500,000	To provide scholarships to NYC uniformed services personnel.
Robert R. McCormick Tribune Foundation	IL	IN	2,500,000	To establish the McCormick Tribune Disaster Relief Fund to aid organizations providing services to the victims of the attacks, their families, and those in the impacted communities.

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Aggregate foundation giving information in other tables and figures is based on data reported through September 20, 2002. Giving data on individual foundations included in this table may be more current.

¹Includes independent and family (IN) and operating foundations (OP); excludes company-sponsored foundations.

²An international endowed philanthropy with a principal domicile outside the U.S., it operates like an independent foundation and maintains a grant selection and administration office in New York.

By Funder Location

- While corporations, foundations, and other institutional donors across the United States and abroad responded generously to the 9/11 terrorist attacks, New York State-based corporations and foundations provided more than two-fifths of all 9/11 response funding (\$418.2 million) (Figure 5 and Table 6). Tri-state (NY, NJ, CT) grantmakers together contributed over half of the support (\$517.0 million). Six of the top ten independent foundations, six of the top ten public foundations, and six of the ten corporate donors giving \$10 million or more were located in New York State or in the tri-state area.
- California ranked second after New York among states providing institutional philanthropic support, with nearly 9 percent of funding (\$86.8 million). California Endowment, the largest California 9/11 donor, ranked sixth among independent foundation donors.
- 26 donors—mainly corporations—based outside of the United States provided nearly 2 percent of 9/11 response funding (\$18.1 million). Canada accounted for the largest number of donors (10) and the largest giving amount (\$8.0 million),

followed by Japan and the Netherlands. It should be noted that many foreign and multinational companies donated 9/11 funds through their U.S.-based affiliates. Therefore, the total amount reported does not represent all funding from overseas corporations and foundations.

By Funder Size

The following analysis of 9/11 giving by funder size is limited to independent, community, and corporate foundations, for which asset information is available in the Foundation Center's foundation database.¹⁰ While not all foundations maintain large endowments relative to their giving, asset size nevertheless provides one criterion for assessing giving capacity.

- Larger foundations represented the majority of 9/11 donors identified by the Foundation Center. Three-quarters of independent and community foundation 9/11 donors held \$10 million or more in assets in their latest fiscal year, while more than half held at least \$50 million in assets (Figure 6 and Table 7).¹¹
- Large foundations provided the vast majority of 9/11 support. The 187 foundation 9/11 donors holding at least \$50 million in assets accounted

TABLE 5. Top 10 Community and Other Public Foundations and Associations Ranked By Total 9/11 Response Funding*

Donor Name	State	Donor Type ¹	Amount	Purpose of Funding
1. Robin Hood Foundation	NY	PC	\$7,000,000	To establish the Robin Hood Relief Fund for organizations helping victims and families, uniformed service workers, and low-income victims impacted by the resulting economic downturn.
2. Major League Baseball Charity	NY	PC	5,000,000	To establish the MLB-MLBPA Disaster Relief Fund to aid victims and families.
3. Major League Baseball Players Association	NY	AS	5,000,000	To establish the MLB-MLBPA Disaster Relief Fund to aid victims and families.
4. National Association of Theatre Owners	CA	AS	5,000,000	To support various relief and recovery organizations.
5. National Collegiate Athletic Association	IN	AS	5,000,000	To support the Families of Freedom Scholarship Fund to benefit former or current NCAA student-athletes, firefighters, police officers, and emergency medical technicians who were permanently disabled as a result of the WTC tragedy.
6. New York Community Trust	NY	CM	2,180,000	To support the September 11th Fund, Twin Towers Fund, and local NYC organizations directly involved in relief and recovery efforts.
7. Community Foundation of New Jersey	NJ	CM	2,040,000	To provide scholarships for individuals.
8. Britney Spears Foundation	NY	PC	2,000,000	To support the children of NYC police and firefighters.
9. PGA Tour Charities	FL	PC	2,000,000	To support various relief and recovery organizations.
10. Community Foundation of Silicon Valley	CA	CM	1,950,000	To support the September 11th Fund.

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Aggregate foundation giving information in other tables and figures is based on data reported through September 20, 2002. Giving data on individual grantmakers included in this table may be more current.

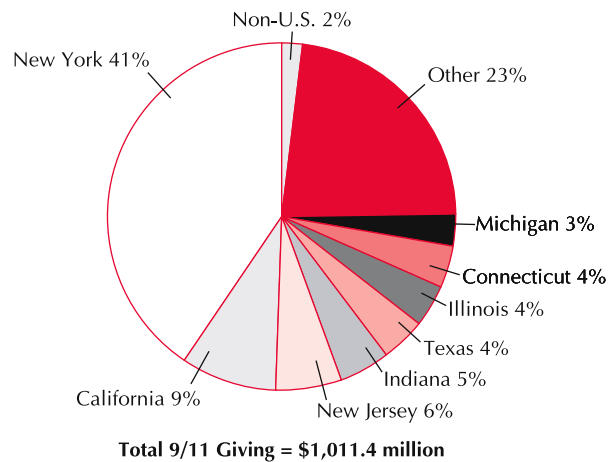
¹AS = Association; CM = Community Foundation; PC = Public Charity.

for nearly nine-tenths of all 9/11 giving by independent and community foundations. The 30 foundation 9/11 donors with assets of \$1 billion or more provided 72 percent of all foundation 9/11 giving (nearly \$201 million).

- Compared with independent and community foundations, the 222 corporate foundations that made 9/11 gifts were more equally distributed by asset size. Less than half (46 percent) held

assets of \$10 million or more, while fewer than 18 percent held at least \$50 million in assets. Close to one-fifth of corporate foundation donors held less than \$1 million in assets (Figure 7 and Table 8).¹²

FIGURE 5. Foundation/Corporate 9/11 Response Funding by Donor State*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

TABLE 6. Top 15 States by Total Foundation/Corporate 9/11 Response Funding

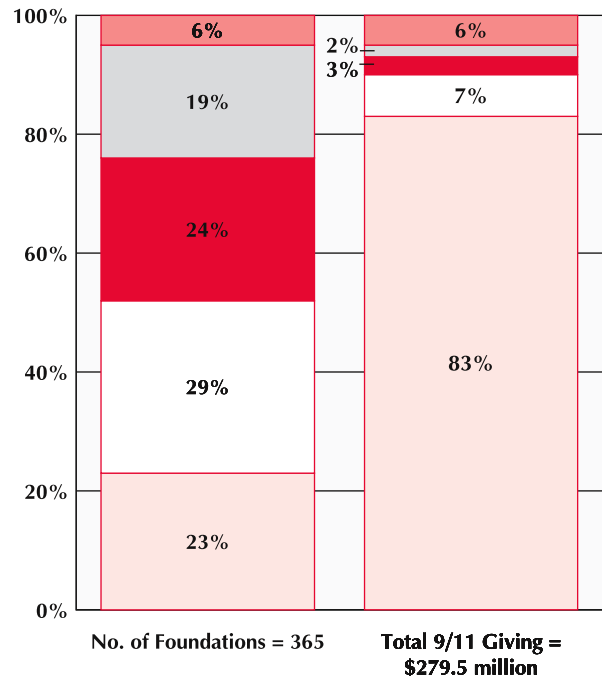
State	No. of Donors ¹	%	Amount	%
1. New York	183	18.2	\$ 418,176,189	41.3
2. California	105	10.4	86,797,494	8.6
3. New Jersey	57	5.7	63,639,075	6.3
4. Indiana	18	1.8	46,608,175	4.6
5. Texas	47	4.7	39,973,217	4.0
6. Illinois	53	5.3	36,797,142	3.6
7. Connecticut	38	3.8	35,168,028	3.5
8. Michigan	40	4.0	31,390,404	3.1
9. Georgia	23	2.3	22,963,791	2.3
10. Florida	27	2.7	22,344,368	2.2
11. Pennsylvania	41	4.1	18,464,444	1.8
12. Virginia	20	2.0	17,861,700	1.8
13. Washington	15	1.5	17,207,699	1.7
14. District of Columbia	13	1.3	15,898,398	1.6
15. Ohio	40	4.0	14,586,705	1.4
Subtotal	720	71.4	\$ 887,876,829	87.8
All Other States	262	26.0	\$ 105,394,152	10.4
Non-U.S. ²	26	2.6	\$ 18,123,734	1.8
TOTAL	1,008	100.0	\$1,011,394,715	100.0

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

¹Includes 5 corporate donors with funding sources in more than one location.

²Principal countries by amount of giving include Canada, Japan, Netherlands, Germany, England, France, and Kuwait.

FIGURE 6. Distribution of Independent and Community Foundation 9/11 Response Funding by Foundation Asset Size*



Asset Range



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Based on assets for latest fiscal year reported (2001 for most foundations, 2000 for others); due to rounding, percentages may not total 100.

TABLE 7. Breakdown of Independent and Community Foundation 9/11 Response Funding by Foundation Asset Size*

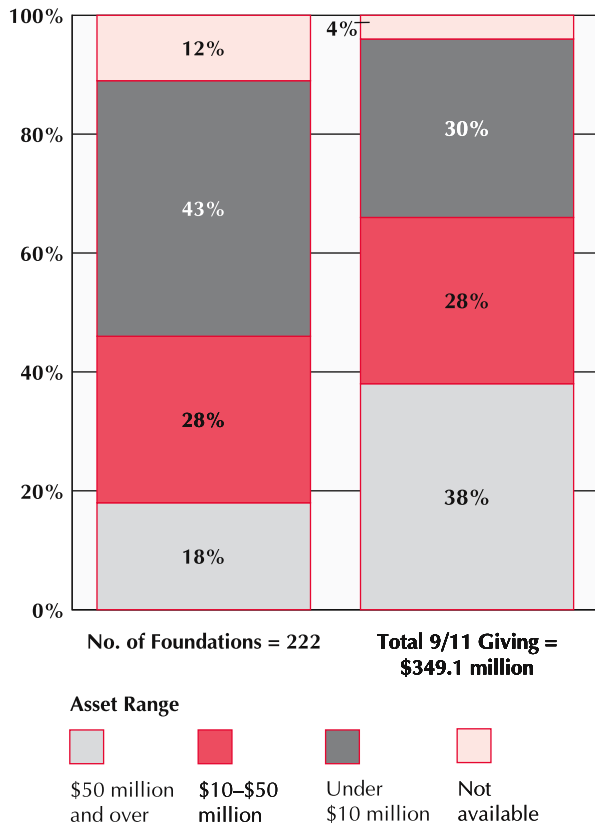
Asset Range	No. of Foundations	%	9/11 Giving Amount	%
\$1 billion and over	30	8.2	\$200,897,209	71.9
\$250 million-\$1 billion	52	14.2	29,621,135	10.6
\$50 million-\$250 million	105	28.8	18,445,788	6.6
\$10 million-\$50 million	87	23.8	8,446,882	3.0
\$1 million-\$10 million	47	12.9	3,637,579	1.3
Under \$1 million	23	6.3	2,645,075	0.9
Not available	21	5.8	15,826,914	5.7
TOTAL	365	100.0	\$279,520,582	100.0

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Based on assets for latest fiscal year reported (2001 for most foundations, 2000 for others); due to rounding, percentages may not total 100.

- Despite the more even distribution of corporate foundation 9/11 donors by asset size, larger corporate foundations also provided a majority of corporate foundation 9/11 funding. In the top asset ranges, those reporting assets of \$10 million or more accounted for two-thirds of all corporate foundation 9/11 giving. Those holding assets of \$50 million or more contributed 38 percent of all corporate foundation 9/11 support.

FIGURE 7. Distribution of Corporate Foundation 9/11 Response Funding by Foundation Asset Size*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

TABLE 8. Breakdown of Corporate Foundation 9/11 Response Funding by Foundation Asset Size*

Asset Range	No. of Foundations	%	9/11 Giving Amount	%
\$250 million and over	8	3.6	\$ 26,756,096	7.7
\$50 million-\$250 million	31	14.0	106,100,756	30.4
\$10 million-\$50 million	62	27.9	98,802,333	28.3
\$1 million-\$10 million	54	24.3	63,266,072	18.1
Under \$1 million	41	18.5	41,623,192	11.9
Not available	26	11.7	12,515,625	3.6
TOTAL	222	100.0	\$349,064,074	100.0

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Based on assets for latest fiscal year reported (2001 for most foundations, 2000 for others); due to rounding, percentages may not total 100.

Recipients

The following is an analysis of donations from institutional donors to approximately 1,150 recipient groups, including relief funds created by relief agencies, government agencies, corporations, and foundations to aid 9/11 victims and affected communities; emergency relief and other service providers; local nonprofits directly affected by the disaster and its aftermath; and organizations working on issues related to the attacks. As part of our tracking effort, the Foundation Center has created a recipient database and classified recipients by organization type. During 2003, in the second phase of this project, we will track the ultimate distribution and use of contributions by major relief funds and other recipients. (For a first look at 9/11 relief fund distributions, see page 17.)

- Three-fifths of the more than \$1.0 billion pledged by foundations, corporations, and other institutional donors supported 173 relief funds created after 9/11 to aid victims, their families, and other persons and communities affected by the disaster, mainly through regranting (Figure 8). These intermediaries included general- and special-purpose funds created by relief agencies and other nonprofits and also funds created by institutional donors, especially corporations, community and other public foundations, federated funds, and associations.¹³
- The September 11th Fund is the largest 9/11 relief fund ranked by amount received from institutional donors (\$144.2 million, or 14 percent) and number of gifts (409). It also ranks as the top recipient in the Foundation Center’s database overall (Table 9). The Fund’s broad purpose includes providing direct assistance to victims and their families and services to affected communities. Excluding donations raised for the Fund in a national telethon, foundations and corporations provided nearly 38 percent of the Fund’s reported \$378 million in private support. (Including the telethon pledges, the Fund received \$506 million.)¹⁴

- The American Red Cross Liberty Disaster Relief Fund, which raised funds for victims and their families and also provided direct services, ranked second in institutional donor contributions (\$133.3 million, or 13 percent) and in number of gifts (300). Yet, compared with the September 11th Fund, foundations and corporations provided a much smaller, 13 percent share of the Liberty Fund’s \$1 billion of overall support, most

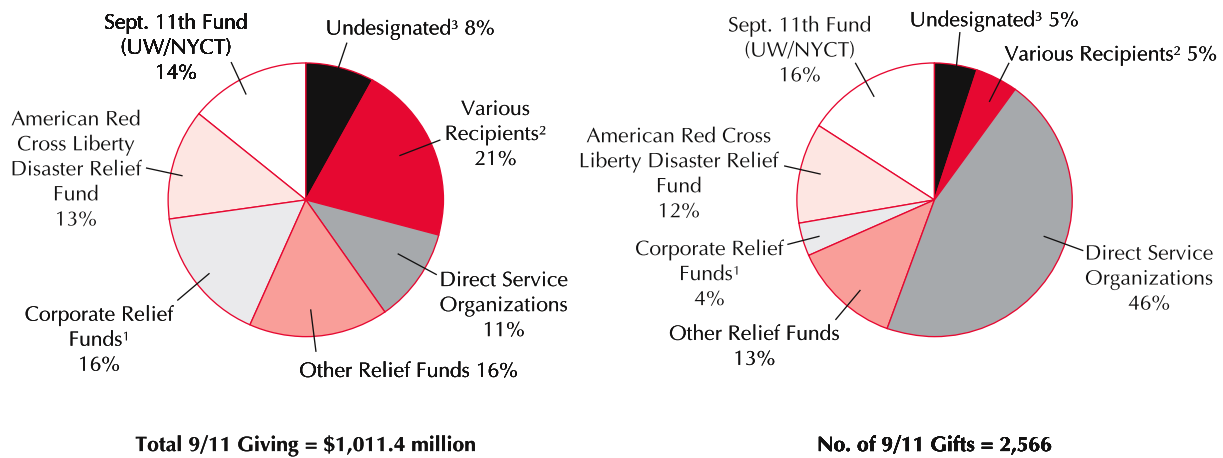
of which came from individuals.¹⁵ The Liberty Fund captured roughly 38 percent of all private 9/11 response funding, which is estimated at \$2.6 billion.

- Other top 9/11 relief fund recipients of institutional donors' gifts included the Twin Towers Fund (\$32.4 million) and the New York Police and Fire 9/11 Widows' and Children's Benefit

Fund (\$25.3 million). Both funds were established to aid the families of New York City police, firefighters, and rescue workers who were victims of the attacks.

- Relief funds created by more than 50 corporations to aid victims (especially employees) and their families and to support relief and recovery efforts together received \$166.0 million, or 16

FIGURE 8. Foundation/Corporate 9/11 Response Funding by Type of Recipient*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

¹Regranting funds established by individual companies after 9/11.

²Various recipients named, but amount not reported by recipient; includes grants to unspecified individuals totaling \$2,965,000.

³No recipient named at time of pledge.

TABLE 9. Top 20 Designated Recipients of Foundation/Corporate 9/11 Response Funding*

Recipient/Recipient Fund	State	Amount ¹	% of Total Funding	No. of Gifts
1. September 11th Fund of the United Way of NY and New York Community Trust	NY	\$144,200,868	14.3	409
2. American Red Cross Liberty Disaster Relief Fund ²	DC	133,251,868	13.2	300
3. New York City Public/Private Initiatives—Twin Towers Fund	NY	32,419,861	3.2	46
4. NY Police & Fire 9/11 Widows' and Children's Benefit Fund	NY	25,268,200	2.5	16
5. Salvation Army	VA	17,403,880	1.7	18
6. Citizens' Scholarship Foundation—Families of Freedom Scholarship Fund	MN	11,727,500	1.2	13
7. MLB-MLBPA Disaster Relief Fund	NY	10,250,000	1.0	3
8. Community Foundation for the National Capital Region—Survivors' Fund and other purposes	DC	8,372,500	0.8	13
9. Robin Hood Foundation—Robin Hood Relief Fund	NY	8,120,000	0.8	5
10. New York State World Trade Center Relief Fund	NY	6,116,247	0.6	10
11. Greater Kansas City Community Foundation—September 11th Fund and other purposes	MO	6,085,573	0.6	4
12. New York University—NYU WLRK Scholarship Fund and other purposes	NY	5,350,000	0.5	3
13. New York Foundation for the Arts	NY	3,525,000	0.3	7
14. Fund for Public Schools—World Trade Center School Relief Fund	NY	3,350,000	0.3	2
15. New York City Police Foundation Heroes Fund	NY	3,250,000	0.3	5
16. International Association of Fire Fighters—New York Firefighters 9-11 Disaster Relief Fund	NY	2,946,541	0.3	27
17. American Music Center—Music Liberty Initiative for New York	NY	2,750,000	0.3	2
18. Alliance of Resident Theaters/New York—September 11th Fund	NY	2,750,000	0.3	2
19. SEEDCO—Lower Manhattan Small Business & Workforce Retention Program	NY	2,650,000	0.3	3
20. Heroes of New York Scholarship Fund	NY	2,500,000	0.2	1

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Excludes relief funds established by individual corporate donors.

¹Excludes undesignated pledges and unspecified amounts donated to named recipients.

²Includes all contributions to headquarters for 9/11-related efforts.

percent of institutional funding. Eight corporate funds received at least \$10 million in support (Table 10). The largest relief fund recipient by far was the Citigroup Relief Fund, which received \$15 million from Citigroup Foundation to provide scholarships for the children of victims.

- 978 direct service providers, groups directly affected by the 9/11 attacks, and organizations addressing issues related to the attacks and their aftermath received \$109.8 million, or 11 percent of funding. These organizations received 1,178 donations from institutional funders, or 46 percent of their gifts.
- The Salvation Army was the top direct service recipient, with contributions from foundations and corporations totaling \$17.4 million. In all, eleven organizations and institutions received \$1 million or more from donors—mainly foundations—for such direct services as outreach, emergency needs, special programming, and advocacy. Close to 250 groups received \$100,000 or more. The large majority of service providers received less than \$50,000.
- Twenty-nine percent of institutional giving (\$290.0 million) could not be assigned to a recipient because donors either did not break down amounts pledged to named recipients (\$215.8 million, or 21 percent) or did not designate a recipient (\$77.2 million, or 8 percent).¹⁶

By Donor Type

- Corporate donors pledged 23 percent of their 9/11 funding (\$156.7 million) to corporate relief funds, 17 percent to the American Red Cross Liberty Disaster Relief Fund, 13 percent to the September 11th Fund, and more than 15 percent to other relief funds, especially the Twin Towers Fund and the New York Police and Fire 9/11 Widows' and Children's Benefit Fund (Figure 9). Just 2 percent of corporate giving (\$14.9 million) supported direct service agencies. One-fifth of corporate pledges (\$140.6 million) could not be allocated by recipient, while 9 percent (\$58.6 million) was undesignated. (A portion of corporate donors' undesignated giving represents funds pledged to match employee gifts.)
- Independent and community or other public foundations gave 17 percent of their 9/11 funding (\$52.2 million) to the September 11th Fund but less than 6 percent to the American Red Cross Liberty Disaster Relief Fund; another 20 percent of donations went to various other relief funds (Figure 10). In contrast with corporate donors, the largest single share of foundation funding—\$90.6 million, or 29 percent—supported direct service organizations active in relief and recovery efforts or addressing issues related to the attacks. Similar to corporate giving patterns, 23 percent of funds (\$70.3 million) could not be broken down by recipient, and under 6 percent (\$17 million) of pledges was undesignated.¹⁷

TABLE 10. Top 15 Corporate Relief Fund Recipients of Corporate 9/11 Response Funding*

Corporate Relief Fund	State	Amount ¹	% of Total Corporate Funding	No. of Gifts
1. Citigroup Relief Fund	NY	\$15,000,000	2.2	1
2. J. P. Morgan Chase WTC Disaster Relief Fund	NY	11,972,956	1.8	2
3. Marsh & McLennan Victims' Relief Fund	NY	10,150,000	1.5	3
4. Goldman Sachs Relief Fund	NY	10,010,000	1.5	1
5. AXA 9/11 Relief Fund	NY	10,000,000	1.5	1
6. Bear Stearns Relief Fund	NY	10,000,000	1.5	1
7. DaimlerChrysler Help the Children Fund	MI	10,000,000	1.5	1
8. Morgan Stanley Victims Relief Fund	NY	10,000,000	1.5	1
9. New York Times 9/11 Neediest Fund ²	NY	7,250,100	1.1	9
10. Homebuilders Care Victims' Relief Fund ³	DC	7,000,000	1.3	7
11. Prudential CARES Disaster Relief Fund	NJ	6,163,407	0.9	2
12. Cantor Fitzgerald Relief Fund	NY	6,069,666	0.9	5
13. DisneyHand: Survivor Relief Fund	CA	5,000,000	0.7	1
14. BP Employee Disaster Relief Fund	IL	4,266,667	0.6	1
15. AMEX WTC Disaster Relief Fund	NY	4,100,000	0.6	1

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Regranting funds established by individual companies after 9/11 to assist victims and their families.

¹Amount includes pledges and matching gifts from the institutional donors in the 9/11 response database only; excludes funds contributed by employees.

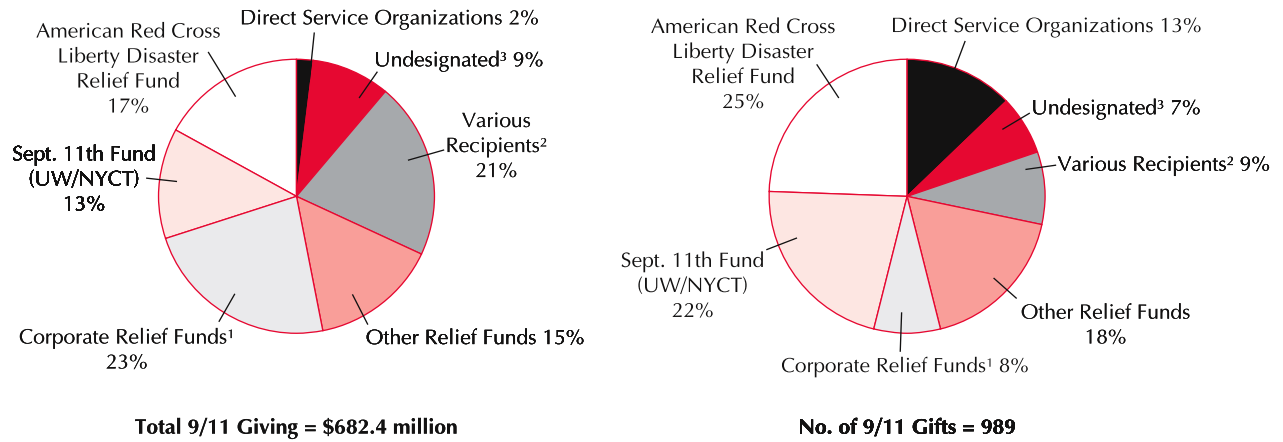
²Fund received additional \$2.1 million in gifts from independent and public foundations.

³Includes gifts from 7 corporate donors made through the National Housing Endowment.

- Corporations and foundations differed strikingly in the degree to which they supported relief funds. Corporations gave 73 percent of their 989 gifts to corporate and other relief funds and only 13 percent to direct service organizations. Another 16 percent is as yet undesignated or unspecified. In contrast, 68 percent of

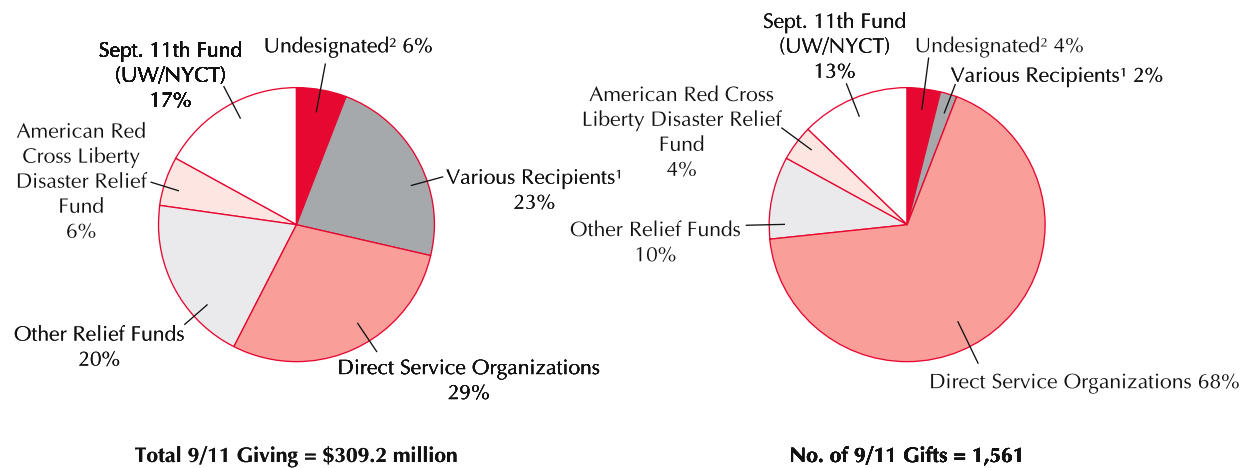
foundations' 1,561 gifts went to direct service organizations, including nonprofits affected by 9/11 and those working on related issues, while only 27 percent went to relief funds. A modest 6 percent of foundation gifts is either undesignated or cannot be allocated by recipient.

FIGURE 9. Corporate 9/11 Response Funding by Type of Recipient*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.
 *Donors include 568 corporations and corporate foundations; due to rounding, percentages may not total 100.
¹Regranting funds established by individual companies.
²Various recipients named, but amount not reported by recipient.
³No recipient named at time of pledge.

FIGURE 10. Foundation 9/11 Response Funding by Type of Recipient*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.
 *Donors include 255 independent and family foundations, 110 community foundations, and 52 other public foundations; due to rounding, percentages may not total 100.
¹Various recipients named, but amount not reported by recipient; includes grants to unspecified individuals totaling \$2,965,000.
²No recipient named at time of pledge.

By Recipient Location

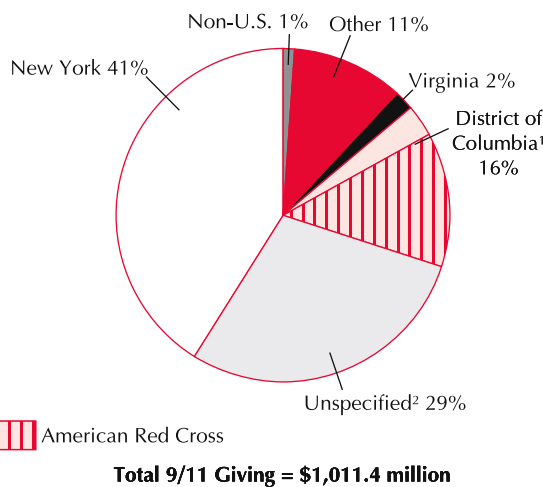
- Foundations and corporations supported 9/11 relief funds and service organizations located in 42 states and eleven countries, including Afghanistan and Pakistan. New York area funds and recipients garnered \$414.5 million, or more than two-fifths of all 9/11 response funding (Figure 11). They received 1,196 gifts, or 47 percent of the total, including many of those to direct service providers and groups affected by the attacks.
- Including gifts to the American Red Cross Liberty Disaster Relief Fund, the District of Columbia ranked second by dollars received (\$164.9 million) and number of grants (426). Virginia, where the Salvation Army is headquartered, ranked third by dollars received. Organizations in nine states received more than \$10 million from foundations and corporations for 9/11-related causes. Recipients included local 9/11 relief funds, local chapters of the American Red Cross and Salvation Army, fire departments and emergency services, and nonprofits working locally, nationally, and internationally on issues related to the attacks.

By Recipient Field of Activity

As noted above, recipients fell into two broad categories: 9/11 relief funds and direct service agencies. The following is an analysis of funding for direct service organization recipients by principal field of activity. Recipients were coded using the National Taxonomy of Exempt Entities (NTEE). Direct service organizations received more than 1,170 gifts totaling nearly \$110 million, mainly from foundations. Of that total, roughly \$105 million could be identified by recipient field.

- Human service providers received nearly 39 percent of all 9/11 funding for direct service organizations (\$40.9 million) and 42 percent of the gifts (466) (Figure 12 and Table 11). Funding supported multipurpose human service agencies, especially the Salvation Army and 37 chapters of the American Red Cross, but also agencies working in such areas as food distribution, housing and shelter, crime and abuse prevention, legal services, youth services, employment, and safety/emergency services. Included among the largest multipurpose recipients were community organizations serving Arab and other ethnic communities in New York, Michigan, and other states.

FIGURE 11. Foundation/Corporate 9/11 Response Funding by Recipient Location*



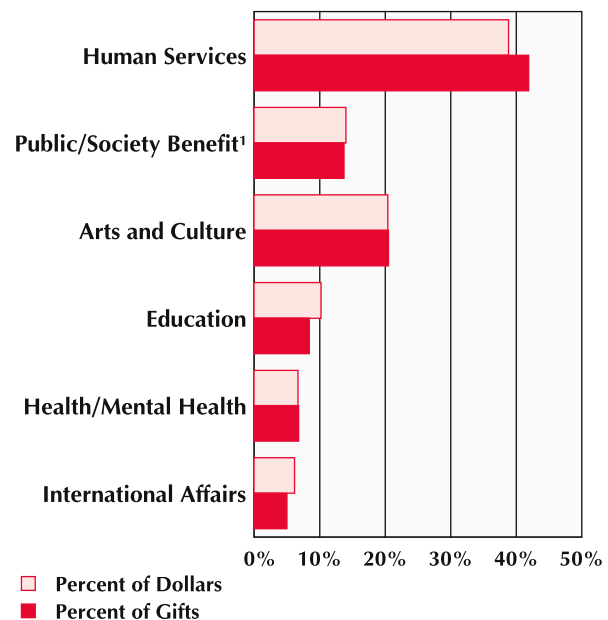
Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Due to rounding, percentages may not total 100.

¹In the 9/11 response database, all gifts to the American Red Cross (ARC) Liberty Disaster Relief Fund are accounted for in the District of Columbia, which houses ARC headquarters.

²Includes grants to various organizations for unspecified amounts and undesignated pledges.

FIGURE 12. Foundation/Corporate 9/11 Direct Giving to Service Organizations by Major Recipient Field*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Includes recipient type fields representing at least 5 percent of the dollars donated directly to service organizations; excludes gifts to relief funds and other funding intermediaries.

¹Includes civil rights and social action, community improvement, philanthropy and voluntarism, and public affairs.

TABLE 11. Foundation/Corporate 9/11 Direct Giving to Service Organizations by Recipient Field and Sub-Field*

Recipient Field	Amount	%	No. of Gifts	%
Arts and Culture	\$ 21,494,022	20.4	228	20.5
Education	10,742,621	10.2	93	8.4
Environment and Animals	1,156,170	1.1	20	1.8
Health	7,084,000	6.7	76	6.8
General Health Care	5,491,500	5.2	52	4.7
Mental Health	1,592,500	1.5	24	2.2
Human Services	40,915,716	38.8	466	41.9
Crime, Justice, Legal services	2,155,311	2.0	29	2.6
Employment	915,792	0.9	20	1.8
Food Distribution	2,520,500	2.4	48	4.3
Youth Development	1,285,812	1.2	25	2.2
Human Services—Multipurpose	33,161,396	31.4	304	27.3
All Other	876,905	0.8	40	3.6
International Affairs	6,540,440	6.2	56	5.0
Public/Society Benefit	14,775,073	14.0	153	13.7
Civil Rights	5,135,878	4.9	50	4.5
Community Improvement	2,772,096	2.6	50	4.5
Philanthropy/Voluntarism	4,915,824	4.7	33	3.0
Public Affairs	1,951,275	1.8	20	1.8
Science and Social Science	1,800,970	1.7	7	0.6
Religion	972,000	0.9	14	1.3
TOTAL	\$105,481,012	100.0	1,113	100.0

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Includes giving to agencies and organizations that provide services or conduct programs; excludes gifts to 9/11 relief funds, scholarship funds, and other funding intermediaries.

- Arts and culture groups account for the second largest share of 9/11 funding dollars (\$21.5 million, or more than 20 percent) and of gifts (228, or more than 20 percent) to service organizations.¹⁸ The vast majority of gifts supported performing and visual arts groups and museums in the New York metropolitan area that suffered displacement or loss of income following the attacks. (Several New York foundations responded to the emergency needs of small and mid-size groups and individual artists, either directly or through grants to arts funds and technical assistance providers, such as the New York Foundation for the Arts.) Cultural recipients included public broadcasting and other media organizations in New York, as well as in a number of other cities.
- Public/society benefit organizations ranked third by share of 9/11 funding for service organizations (\$14.8 million, or 14 percent) and share of gifts (153, or nearly 14 percent). More than a third of funds supported civil rights and other advocacy groups, such as the New York Immigrant Coalition, Arab-American Anti-Discrimination Committee (DC), and Capital Unity Council (CA). Gifts also supported philanthropy organizations, such as community foundations and United Ways, national public affairs groups, and community improvement organizations active in recovery and

rebuilding efforts. In the last category, the top recipient was the Regional Plan Association of New York, which has convened the Civic Alliance to Rebuild Downtown New York (in partnership with New School University, New York University, and Pratt Institute) to develop strategies for redeveloping lower Manhattan.

- Educational organizations and institutions received roughly 10 percent of 9/11 funding (\$10.7 million) and 8 percent of grants (93) for service organizations. In New York City, funds were targeted to elementary and secondary schools and higher education institutions directly affected by the attacks. Gifts were also made to colleges and universities across the country and around the world for activities addressing issues related to the attacks.
- The majority of the remaining 9/11 funds for service organizations supported hospitals, blood banks, and emergency medical services serving affected communities; counseling and mental health groups around the country; and international affairs, relief, and human rights groups in the United States and overseas. Small amounts of funding also went to social science research groups, environment and animal welfare organizations, and churches and interfaith councils.

Impact of 9/11 Response Funding on Prospects for Giving

The more than \$1.0 billion in 9/11 response funding provided by foundations, corporations, and other institutional donors in such a short time frame is unprecedented. Still, the scale of this funding should be kept in perspective. The \$280 million donated by independent and community foundations represented less than 1 percent of the estimated \$26 billion they gave to all causes in 2001. In contrast, the \$682 million pledged by corporations represented more than 7 percent of the estimated \$9.1 billion they contributed in the same year.¹⁹ These findings suggest that the overwhelming philanthropic response to 9/11 may have weakened corporate funding capacity in 2002, whereas the impact on foundation giving levels was probably modest.

Of far greater consequence in terms of impact on funding capacity, especially of endowed foundations, has been the faltering economy and declining stock market. In a survey of 9/11 donors conducted earlier this year, grantmakers emphasized that it was the continuing stock market slide—starting well in advance of September 2001—and not 9/11 giving that was clouding their future giving prospects and driving changes in grantmaking practice.²⁰ Yet, despite market losses, most grantmakers expected their giving to remain stable through 2002. The outlook for 2003 and beyond was gloomy. Nearly all surveyed funders were worried about the health of nonprofits in their communities and about their ability to fund programs adequately in the future.

Endnotes

1. See Renz, L., *Giving in the Aftermath of 9/11: Foundations and Corporations Respond*, New York, NY: Foundation Center, February 2002. Findings were based on data compiled through December 2001.
2. In 2002, 576 donors provided information on their 9/11 giving through Foundation Center surveys. Funders were asked to verify or update their 9/11 giving information and to indicate the amount and purpose of gifts to each recipient.
3. Since the publication of the first edition of *Giving in the Aftermath of 9/11* in February 2002, the number of 9/11 donors identified by the Foundation Center has increased by roughly 30 percent, while the total for 9/11 giving has risen by almost 12 percent. These increases reflect both more complete reporting by donors of their 9/11 giving and contributions made after the *Giving in the Aftermath of 9/11* report was completed.
4. The estimate of total private 9/11 response funding includes an estimated \$2.3 billion raised by major relief funds from all sources (see Greene, S., "In Disaster's Wake," *Chronicle of Philanthropy*, September 5, 2002), and an

estimated \$250+ million tracked by the Foundation Center in gifts from institutional donors to corporate relief funds (mainly for individual beneficiaries) and direct service providers. Still, because of incomplete information and inter-fund transfers, foundation and corporate 9/11 gifts cannot be fully allocated to these recipients. As a result, the figure for all 9/11 giving is subject to change.

5. See Brown, M., *Giving USA 2002: The Annual Report on Philanthropy for the Year 2001*, Indianapolis, IN: AAFRC Trust for Philanthropy, 2002.
6. Since publication of the first edition of *Giving in the Aftermath of 9/11* in February 2002, the number of gifts tracked has more than doubled (from 1,183). This increase reflects more detailed and complete reporting by donors of recipient names and gift amounts. Many foundations that announced large pledges immediately following the attacks waited to assess needs before earmarking the funds for specific recipients.
7. For the purpose of this analysis, giving by separate corporate-directed entities—including corporate foundations, corporate direct giving programs, and corporate affiliates and subsidiaries—has been collapsed into a single 9/11 giving figure tied to the corporate parent.
8. Some companies reporting matching gifts did not provide an exact dollar figure. As a result, the actual figure for 9/11-related employee matching gifts is likely higher.
9. An international endowed philanthropy with a principal domicile outside the United States, the Atlantic Philanthropies operates like an independent foundation and maintains a grant selection and administration office in New York.
10. Since corporate direct giving programs do not maintain assets, comparisons can only be made with corporate foundations.
11. By comparison, only 8 percent of United States foundations overall held assets of \$10 million or more, while only 2 percent held assets of \$50 million or more. Fiscal year reported was 2001 for most foundations, 2000 for others.
12. By comparison, 14 percent of the nation's roughly 2,000 corporate foundations held assets of \$10 million or more, while less than 4 percent held assets of \$50 million or more. More than half held less than \$1 million in assets.
13. Due to more complete reporting by donors, the total number of relief funds tracked in the Foundation Center's 9/11 database has increased by more than 70 since publication of the first edition of *Giving in the Aftermath of 9/11* in February 2002.
14. See September 11th Fund of the United Way of New York and the New York Community Trust, "Contributions," available from www.uwnyc.org/sep11/contributions.html; Internet; accessed 10/9/02.
15. See American Red Cross, "September 11, 2001: Unprecedented Events, Unprecedented Response," available from www.redcross.org; Internet; accessed on 10/10/02.
16. Since publication of the first edition of *Giving in the Aftermath of 9/11* in February 2002, the proportion of undesignated pledges has decreased, while the proportion of giving that is not broken down by recipient has increased. More complete reporting will likely lead to an increase in the contribution totals of top recipients. The Foundation Center will continue to seek detailed gift information from donors in an effort to reduce the number of gifts recorded as undesignated and to allocate specific gift amounts to named recipients.
17. Unspecified funds include \$50 million set aside by the Andrew W. Mellon Foundation to benefit New York City arts and culture groups affected by 9/11 events. Although several rounds of awards have been made, a complete listing of recipients and gift amounts was not available for processing before these data were compiled.
18. Funding for the arts is expected to more than triple once information on 9/11 giving by the Andrew W. Mellon Foundation in the Foundation Center's database is complete (see also Endnote no. 17).
19. Since not all corporate 9/11 pledges were paid in 2001, this comparison overestimates the share of overall corporate giving directed to the 9/11 response. Nonetheless, this comparison provides a useful yardstick for the scale of corporate support. For more information on overall 2001 corporate giving, see Brown, M., *Giving USA 2001*, Indianapolis, IN: AAFRC Trust for Philanthropy, 2002.
20. See Renz, L., *Assessing the Post-9/11 Funding Environment: Grantmakers' Perspectives*, New York, NY: Foundation Center, 2002.

Appendix

Tracking Distributions from 9/11 Relief Funds: A Preview

by Elizabeth Cuccaro and Loren Renz

This analysis examines distributions by a sample of 30 of the largest 9/11 relief funds ranked by total amount raised from all sources.¹ These funds account for the vast majority of dollars raised and disbursed by the more than 200 relief funds created after 9/11 to aid victims, their families, and other persons and communities affected by the disaster, mainly through regranting. While this analysis is preliminary and based on a sample of funds, it provides a useful first look at the purposes and beneficiaries of relief funds overall.

Of the 30 funds included in this analysis, twelve were formed by public charities (including relief agencies), while eleven were created by corporations or corporate foundations. Other types of sponsoring organizations included associations, a faith-based charity, a government agency, a community foundation, and a federated fund working in partnership with a community foundation.

Some of the general-purpose funds in the sample (e.g., the September 11th Fund of the United Way of New York and the New York Community Trust, the MLB-MLBPA

Disaster Relief Fund, and several company-sponsored funds) re-granted a portion of the monies they raised to other funds in the sample, specifically to those funds that make direct cash payments to individuals, provide direct services, or administer long-term scholarship funds. To the extent known, amounts representing inter-fund transfers have been deducted from the aggregate distribution amount reported to avoid double counting of dollars. However, the distribution amounts reported for individual funds on the accompanying table include these inter-fund grants.

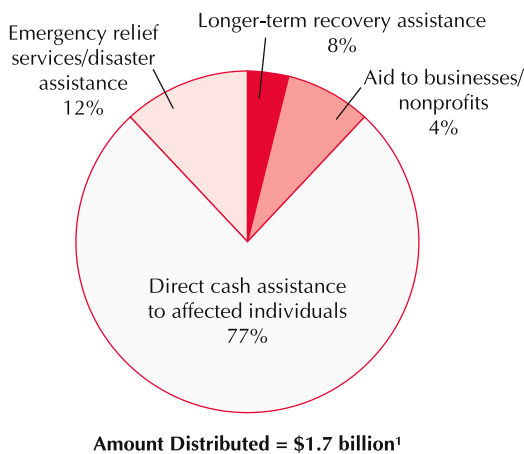
The data on 9/11 relief funds were compiled by Foundation Center staff mainly from surveys, press releases, and online sources. The Center will continue to track the distributions of 9/11 relief funds as part of a larger effort to create the definitive record of institutional philanthropy's response to 9/11.

Overview of Distributions

- The 30 funds included in this analysis distributed close to \$1.9 billion for 9/11 relief and recovery. Excluding inter-fund transfers of approximately \$150 million, these funds distributed \$1.7 billion to 9/11 relief and recovery. More than half of the total (52 percent) was distributed by the two largest funds—American Red Cross Liberty Disaster

1. For detailed contact and purpose information on the relief funds included in the sample and on the larger set of 9/11 relief funds, visit the Better Business Bureau of Metro New York's online charities database (<http://bbb.blenderbox.com/disasterrelief/charities.asp>).

FIGURE A1. Purposes of 9/11 Relief Fund Distributions*

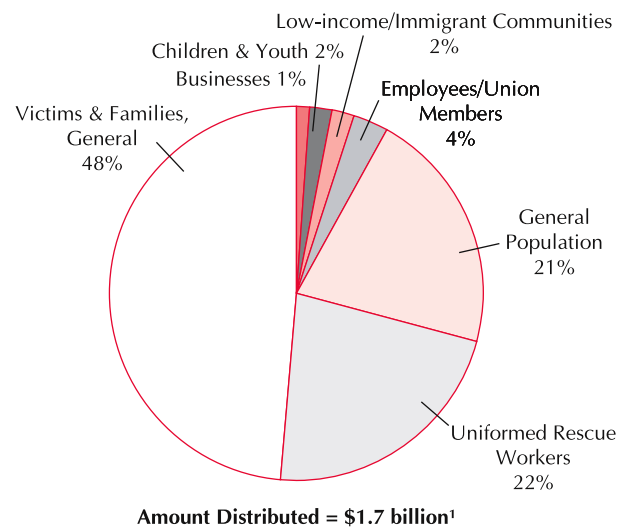


Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Based on a sample of 30 of the top relief funds ranked by amount raised.

¹Adjusted total excludes inter-fund grants; due to rounding, percentages may not total 100.

FIGURE A2. Beneficiaries of 9/11 Relief Fund Distributions*



Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Based on a sample of 30 of the top relief funds ranked by amount raised.

¹Adjusted total excludes inter-fund grants; due to rounding, percentages may not total 100.

TABLE A.1. Top 30 9/11 Relief Funds Ranked by Total Funds Raised*

Fund Name	Sponsoring Institution(s)	State	Sponsor Type ¹	Amount Raised	Amount Distributed ²	% Distributed	Purpose of Fund
1. American Red Cross Liberty Disaster Relief Fund	American Red Cross	VA	PC	\$1,010,000,000	\$643,000,000	63.7	To provide immediate and long-term relief assistance and services through direct support of victims and affected communities.
2. September 11th Fund	United Way of New York/ New York Community Trust	NY	FF/ CM	506,000,000	336,000,000	66.4	To support the provision of immediate and long-term assistance to the victims, their families, and affected communities through direct support of individuals and nonprofit organizations.
3. Twin Towers Fund	New York City Public/ Private Initiatives	NY	PC	185,000,000	155,000,000	83.8	To support the long-term financial needs of the families of uniformed service workers and government personnel lost in the attacks.
4. New York Firefighters 9-11 Disaster Relief Fund	International Association of Fire Fighters	DC	AS	156,000,000	144,000,000	92.3	To support the financial needs of the families of fire fighters and emergency medical services personnel lost in the attacks.
5. New York Police and Fire 9/11 Widows' and Children's Benefit Fund	New York Police and Fire Widows' and Children's Benefit Fund	NY	PC	115,000,000	56,000,000	48.7	To support the immediate and long-term financial needs of the families of fallen NYC fire fighters and police officers.
6. Safe Horizon World Trade Center Fund	Safe Horizon	NY	PC	108,000,000	108,000,000	100.0	To support the immediate financial needs of victims and families, as well as the provision of victims assistance services, including trauma counseling, referrals for mental health, and other social services.
7. Families of Freedom Scholarship Fund	Citizens' Scholarship Foundation	MIN	PC	105,000,000	370,000	0.4	To provide educational assistance for post-secondary study for the financial dependents of those killed or permanently disabled as a result of the attacks.
8. 9/11 Disaster Response ³	Salvation Army USA	VA	PC	88,000,000	65,000,000	73.9	To provide direct relief to recovery workers, displaced workers, and direct victims of the attacks along with long-term assistance, including trauma counseling, legal services, and social service referrals to affected populations.
9. Uniformed Firefighters Association Widows' and Children's Fund	Uniformed Firefighters Association	NY	AS	70,000,000	13,000,000	18.6	To provide financial assistance, health and welfare benefits, and educational assistance to the dependents of fire fighters killed in the attacks.
10. WTC Relief Fund	New York State	NY	GA	68,400,000	56,400,000	82.5	To provide direct financial assistance to the families and dependents of victims, including civilians, fire fighters, policemen, Port Authority officers, emergency medical personnel, and relief workers.
11. New York Times 9/11 Neediest Fund	New York Times Foundation	NY	CS	61,042,222	60,473,268	99.1	To support community-based social service agencies serving victims and affected populations.
12. Robin Hood Relief Fund	Robin Hood Foundation	NY	PC	59,600,000	37,100,000	62.2	To provide direct aid to victims' families and to support organizations serving affected low-income communities.
13. Catholic Charities USA Disaster Relief Fund	Catholic Charities USA	VA	FA	25,400,000	17,300,000	68.1	To support the immediate and long-term recovery needs of affected individuals and families through local Catholic Charities agencies.
14. Marsh & McLennan Victims' Relief Fund	Marsh & McLennan Companies	NY	CS	24,000,000	22,000,000	91.7	To support the health, welfare, and education needs of affected families of Marsh & McLennan employees through the provision of direct financial assistance.
15. Children's Aid Society WTC Relief Fund	Children's Aid Society	NY	PC	23,700,000	8,000,000	33.8	To provide emergency assistance to affected children, families, and low-income workers, and to support the long-term recovery needs of affected communities.
16. McCormick Tribune Foundation Disaster Relief Fund	Robert R. McCormick Tribune Foundation	IL	CS	22,557,000	22,553,306	100.0	To support relief and recovery efforts in NYC and Washington, DC, through funding for community-based organizations serving populations in need.
17. Citigroup Relief Fund	Citigroup Foundation	NY	CS	20,100,000	1,041,000	5.2	To support post-secondary scholarships for the children of victims who were killed or permanently disabled as a result of the attacks.
18. Survivors' Fund	Community Foundation for the National Capital Region	DC	CM	20,000,000	4,000,000	20.0	To support long-term educational, health, grief counseling, and other support needs of individual victims and their families in the Washington, DC, area.

TABLE A.1. (continued)

Fund Name	Sponsoring Institution(s)	State	Sponsor Type ¹	Amount Raised	Amount Distributed ²	% Distributed	Purpose of Fund
19. Windows of Hope Family Relief Fund	Windows of Hope Family Relief Fund	NY	PC	19,900,000	8,000,000	40.2	To provide immediate and long-term assistance to the families of victims of the WTC attacks who worked in the food, beverage, and hospitality professions, including health insurance coverage and scholarship funds.
20. AIG Disaster Relief Fund	AIG International/Starr Foundation	NY	CS	18,036,446	18,036,446	100.0	To support the immediate and long-term financial needs of victims and families, as well as the long-term recovery needs of affected communities.
21. Cantor Fitzgerald Relief Fund	Cantor Fitzgerald	NY	CS	18,000,000	18,000,000	100.0	To provide direct financial assistance, as well as long-term assistance, to the families of employees and subcontractors lost in the attacks.
22. Lower Manhattan Small Business and Workforce Retention Project	SEEDCO	NY	PC	17,000,000	13,500,000	79.4	To provide financial and technical assistance to small and medium-sized businesses located in lower Manhattan.
23. Morgan Stanley Victims Relief Fund	Morgan Stanley Dean Witter & Co.	NY	CS	13,500,000	9,600,000	71.1	To provide financial and other assistance to victims and their families, including company employees, fire fighters, police, and emergency medical personnel.
24. American Families Assistance Fund	World Vision	NY	PC	12,400,000	12,000,000	96.8	To support faith-based organizations assisting victims and families in greatest need.
25. J.P. Morgan Chase WTC Disaster Relief Fund	J.P. Morgan Chase Foundation	NY	CS	11,972,956	9,557,500	79.8	To support recovery efforts in three major areas of concern: economic development of lower Manhattan, support for victims and families, and diversity and tolerance.
26. Goldman Sachs Relief Fund	Goldman Sachs Foundation	NY	CS	11,163,644	10,400,000	93.2	To support relief efforts and to provide financial assistance to rescue workers, affected Goldman Sachs families, restaurant workers, small businesses, and community organizations; support also for scholarship funds for children of victims.
27. MLB-MLBPA Disaster Relief Fund	Major League Baseball Charity/Major League Baseball Players Association	NY	AS	10,750,000	8,000,000	74.4	To provide post-secondary level scholarship assistance to victims' spouses and children and to other affected individuals through support for the Families of Freedom scholarship programs.
28. WTC Police Disaster Relief Fund	New York State Fraternal Order of Police Foundation	NY	PC	10,746,995	10,746,995	100.0	To provide direct financial support to families of all law enforcement personnel killed or injured in the collapse of the WTC.
29. AXA 9/11 Relief Fund	AXA Foundation	NY	CS	10,660,000	N/A	N/A	To support relief and recovery efforts through aid to relief and recovery organizations in NYC and Washington, DC.
30. DaimlerChrysler Help the Children Fund	DaimlerChrysler Corporation	MI	CS	10,400,000	10,400,000	100.0	To provide post-secondary scholarships for the children of victims through the Families of Freedom Scholarship Fund.

Source: *Giving in the Aftermath of 9/11*, The Foundation Center, November 2002.

*Information based on research through September 2002; due to inter-fund regrating, amounts raised and distributed by the funds listed in this table should not be aggregated.

¹AS = Association; CM = Community Foundation; CS = Corporation/Corporate Foundation; FA = Religious Organization; FF = Federated Fund; GA = Government Agency; PC = Public Charity.

²Note: The majority of undistributed funds have been earmarked for long-term needs and services, such as educational costs, mental health services, and community recovery needs.

³The Salvation Army's 9/11 disaster response involved relief operations in New York, Washington, DC, and Pennsylvania. Operation Compassion Under Fire, the Salvation Army of Greater NY's relief and recovery effort, raised \$4.2 million and provided approximately \$40 million in relief and recovery assistance to the NY area.

Relief Fund (\$643 million) and the September 11th Fund (\$336 million). The top five funds by amount disbursed—at least \$100 million each—accounted for 71 percent of all distributions reported by the sampled funds.

- Eleven company-sponsored funds together distributed \$171 million, representing roughly 11 percent of all distributions reported by the sampled funds.
- Overall, excluding inter-fund regrating, 64 percent of the total amount raised by sampled funds has been distributed.² Among company-sponsored funds, 84 percent of the total amount raised has been distributed.
- More than half of sampled funds have already distributed at least three-quarters of the amount that they raised.

Purposes of the Funds

- The vast majority of distributions by sampled funds (\$1.3 billion, or 77 percent) took the form of direct aid to victims and other affected individuals, such as those who lost their jobs.
- The second largest share of distributions (\$207 million, or 12 percent) paid for emergency rescue services and other immediate disaster assistance. The latter category included the provision of food, supplies, and temporary shelter to affected persons by sponsoring relief agencies, such as the American Red Cross and the Salvation Army.
- The third largest share of disbursements (\$129 million, or nearly 8 percent) supported longer-term recovery needs of affected individuals and communities, such as mental health counseling, financial and legal advisory services, and employment and training assistance. (This category also includes support for 9/11 scholarship funds, which will provide for the long-term educational needs of children of 9/11 victims.)
- The remaining funds were used for grants, loans, and technical assistance to affected businesses and nonprofits (\$60 million, or almost 4 percent).

2. This figure excludes the one fund for which distribution information was not available; undistributed funds are mainly earmarked for long-term needs and services.

- Compared to overall patterns, company-sponsored funds in the sample allocated a smaller 63 percent of their distributions as direct aid to individuals but a much larger 30 percent to support longer-term recovery services. In addition, they allocated a moderately larger 6 percent of their support to assist affected businesses and nonprofits.

Beneficiaries of the Funds

- Victims of the attacks and their families were the principal beneficiaries of the sampled funds. Close to half of distributions (\$831 million) was targeted to the “general” victims population.³ An additional 22 percent of distributions (\$383 million) was earmarked for deceased or injured firemen, policemen, and other affected uniformed rescue workers and their families.
- Other groups of victims or related beneficiaries included employees/union members (\$61 million, or close to 4 percent), low-income/immigrant communities (\$39 million, or over 2 percent), children of victims and other children affected by the attacks (nearly \$26 million, or close to 2 percent), and businesses (\$23 million, or just over 1 percent).
- Approximately one-fifth of distributed funds (nearly \$357 million) was intended for the general population, including individuals and communities that suffered indirect effects of the attacks and nonprofit service providers supporting these populations.

3. For some relief funds, this category also includes persons directly affected by the attacks, such as those who lost their jobs.

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