

PEACE AND SECURITY FUNDING INDEX

An Analysis of Global Foundation Grantmaking

2016 EDITION

Preventing and Mitigating Conflict

Resolving Conflict

Building Stable, Resilient, Peaceful Societies

Escalation

Active

Resolution

Rebuilding

Stability



Produced by Foundation Center and the Peace and Security Funders Group



September 24, 2015: Syrian refugees enter the train at the railway station in Tovarnik, Croatia.

288

FOUNDATIONS MADE NEARLY

2,000

GRANTS TOTALING

\$283 Million

IN 2013

Introduction

From the ongoing conflicts in Iraq, Syria, and South Sudan to the horrors committed by ISIL, we live in a world that is seemingly rife with violence and instability. What we don't see, read, or hear often enough is another truth: today's world is also filled with incredible stories of resilience, peace and security that arc toward a more peaceful and stable world.



Alexandra I. Toma



Rachel LaForgia

The *Peace and Security Funding Index: An Analysis of Global Foundation Grantmaking* is a first-of-its-kind research project that showcases the foundations and philanthropists dedicated to building a safer, more peaceful and prosperous global future. These funders are investing in efforts to prevent, mitigate, and resolve conflict and to rebuild after conflict. From research on stopping nuclear terrorism to citizen journalism in Egypt, peace and security funders are supporting peace, justice, diplomacy, and dialogue in a variety of ways. In 2013, the latest year data is available, 288 foundations supported over 1,200 organizations with more than \$283 million spread across nearly 2,000 grants.

The Peace and Security Funders Group (PSFG) and Foundation Center created the Index to help funders, policymakers, and the general public better understand the peace and security funding landscape. The Index identifies **who** “peace and security” funders are, **what** issues they fund (e.g., cybersecurity, preventing genocide and atrocities, climate security), **where** they focus (i.e., specific regions or countries), and **how** they make an impact (e.g., through public education efforts, journalism, research).

We hope that our analysis will allow funders to identify new partners and areas of opportunity. The examples from PSFG members highlighted in this report and on peaceandsecurityindex.org will showcase opportunities where investments of various sizes have made a real, lasting, and positive difference in the lives of people and communities around the world.

Finally, our hope is that this first annual *Peace and Security Funding Index* will serve as the primary source for funders, nonprofits, policymakers, and the general public to learn more about the peace and security sector, and how we can all help to build a better future.

– Alexandra I. Toma and Rachel LaForgia,
Peace and Security Funders Group

PEACE AND SECURITY IN PERSPECTIVE

Although grantmakers included in this analysis made a substantial contribution to peace and security in 2013, their \$283 million in giving represented a fraction of the roughly \$2 billion foundations provided that year focused on human rights.

To understand how peace and security funding compared to overall foundation giving, this project also separately examined giving by the subset of peace and security funders included in Foundation Center's 2013 FC 1000 data set.¹ This analysis showed that grantmaking for peace and security represented just 0.6 percent of the close to \$25 billion in giving by foundations in that set.

How Much Foundation Funding Supports Peace and Security?

The following analysis is based on grantmaking by a set of the largest U.S. foundations, whose grants data is publicly available. It also includes foundations based in the U.S. and other countries that provide grants data directly to Foundation Center. See Methodology for details.

Foundations engage in peace and security funding at every level of giving. While the top 15 peace and security funders provided two-thirds (67 percent) of the \$283 million awarded in 2013, the vast majority of foundations engaged at far more modest levels. In fact, 70 percent of funders had combined peace and security giving of less than \$250,000; well over one-third (37 percent) gave less than \$50,000. Across this set of funders, there are numerous models of engagement for foundations of any size looking to become active in the peace and security field.

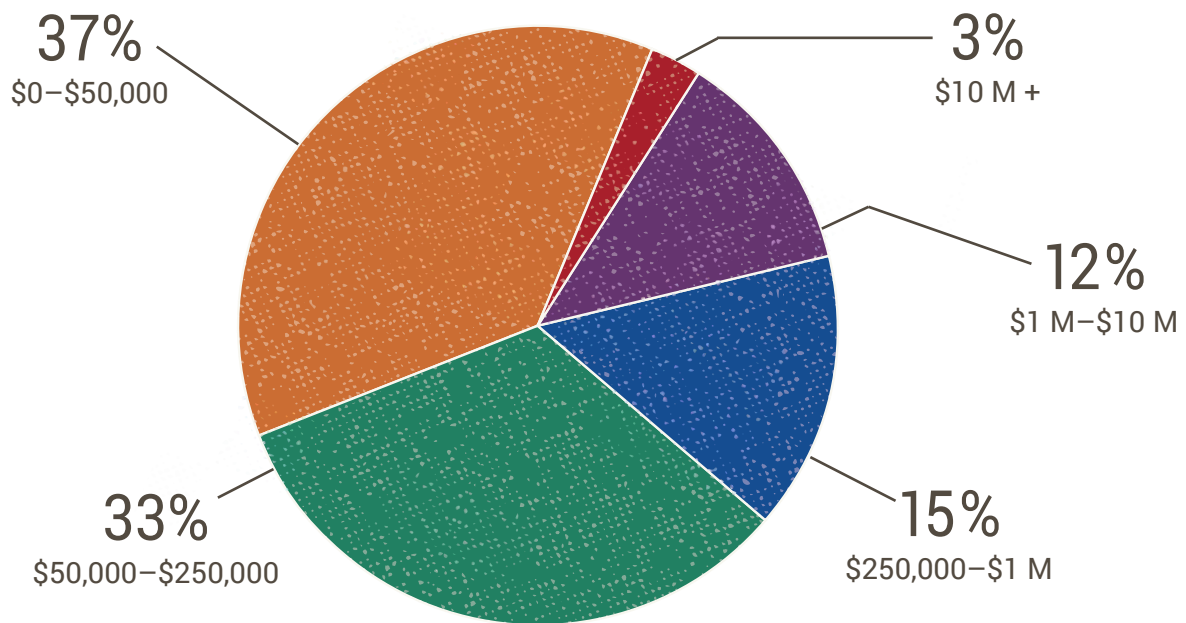
SPOTLIGHT

Catalyst for Peace

Peace and security funders understand that building peace is a long-term process. Since 2007, Catalyst for Peace has been supporting its longtime partner Fambul Tok, a locally owned and locally led peacebuilding and reconciliation program helping communities across Sierra Leone heal from its decade-long civil war. Catalyst for Peace aims to create structures and systems to address challenges, while developing the local resources required to sustain peace.



FOUNDATIONS BY RANGE OF PEACE AND SECURITY GIVING, 2013



Source: Foundation Center, 2016. M=Million.

WHO ARE PEACE AND SECURITY FUNDERS?

The peace and security funding landscape encompasses a diverse range of foundations. Beyond the top 15 funders, this report identified an additional 273 funders supporting peace and security work, such as:

- **Global Peace Building Foundation**, a public foundation established by Tom Etzel after losing his niece and a close friend in the 9/11 terrorist attacks, issues precision micro-grants focused on youth to cultivate global peace through dialogue, sports, and the arts.
- **Robert Bosch Stiftung GmbH**, a private German corporate foundation, supports global work on strengthening international relations, including peace and conflict transformation projects.
- **Arca Foundation** supports organizations that work to advance more just U.S. foreign policies and greater human rights. Over the foundation's history, Arca has supported organizations working on a range of issues including U.S. policy toward Cuba, U.S.-Iran relations, peace and security and the Pentagon budget, and human rights in Latin America.



TOP PEACE AND SECURITY FUNDERS, 2013

1	Open Society Foundations	USA	\$29.9 M
2	Carnegie Corporation of New York	USA	\$25.0 M
3	National Endowment for Democracy	USA	\$17.7 M
4	Ford Foundation	USA	\$16.9 M
5	Howard G. Buffett Foundation	USA	\$14.1 M
6	John D. and Catherine T. MacArthur Foundation	USA	\$13.3 M
7	Oak Foundation	Switzerland	\$12.1 M
8	Nationale Postcode Loterij	Netherlands	\$11.7 M
9	Humanity United	USA	\$11.4 M
10	Bill & Melinda Gates Foundation	USA	\$10.7 M
11	William and Flora Hewlett Foundation	USA	\$9.9 M
12	International Development Research Centre	Canada	\$8.1 M
13	Susan Thompson Buffett Foundation	USA	\$7.0 M
14	Rockefeller Brothers Fund	USA	\$5.7 M
15	Marcus Foundation, Inc.	USA	\$5.5 M

Source: Foundation Center, 2016. For the purpose of this analysis, selected grantmaking by various Open Society Foundations throughout the world is attributed to the network's New York, USA location. The figures presented here have been updated from the original version based on feedback provided by one funder; this adjustment is not reflected in the other analyses included in the report.

What Do Peace and Security Grants Support?

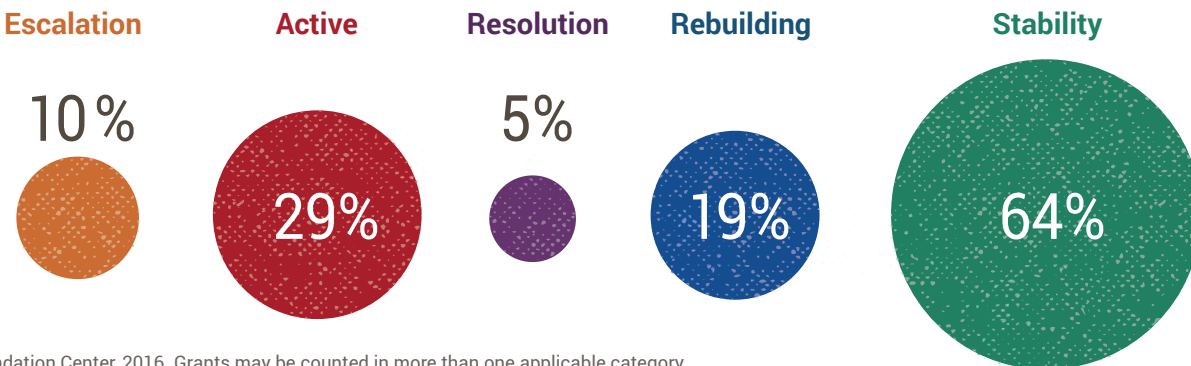
Across the five overlapping stages of the conflict spectrum—escalation, active, resolution, rebuilding, stability—peace and security funders support activities to prevent, mitigate, and end conflict, rebuild after conflict is resolved, and provide the elements needed to maintain stability.²

PREVENTING AND MITIGATING CONFLICT. Peace and security funders support efforts to prevent and mitigate conflict prior to its outbreak and during periods of active conflict. In 2013, 35 percent of grant dollars included a focus on efforts to prevent and mitigate conflict during the escalation and active stages of conflict. For example, Humanity United made a grant to the United States Holocaust Memorial Museum for the creation of its Atrocities Early Warning System, which aims to help prevent civilian mass atrocities by providing earlier and more accurate warning to governments, advocacy groups, and at-risk societies on an ongoing basis.

RESOLVING CONFLICT. Peace and security funders support a range of activities to end conflicts, such as peace negotiations. In 2013, the second-largest share of their giving (5 percent) included a focus on activities encompassed within the period of resolution. For example, the Oak Foundation made a grant to the Women’s International League for Peace and Freedom to link Bosnian women’s groups with women in Syria to help prepare the Syrian women to participate in peace negotiations and post-conflict transition processes.

BUILDING STABLE, RESILIENT, PEACEFUL SOCIETIES. Peace and security funders support a range of activities to maintain global stability—from addressing nuclear and other national security challenges to supporting international institutions like the UN. Foundations evidenced a clear belief that conflicts will not be resolved until participants come to terms with what happened—before, after and during the conflict—and create the mechanisms and institutions to guard against their recurrence. In 2013, 80 percent of peace and security grant dollars included a focus on rebuilding and stability-related activities (with some of this funding also including a focus on preventing and mitigating conflict or resolving conflict). For example, the Stewart R. Mott Foundation made a grant to the Washington Office on Latin America, an organization that tackles problems that demand cross-border solutions, for educating policymakers and the public about the implications of U.S. foreign policy on Latin America.

FOUNDATION GIVING FOR PEACE AND SECURITY BY ISSUE FOCUS, 2013



Source: Foundation Center, 2016. Grants may be counted in more than one applicable category.

FOUNDATION GIVING FOR PEACE AND SECURITY BY ISSUE FOCUS, 2013

ISSUE	AMOUNT	NO. GRANTS
Escalation	\$27.2 M	230
Conflict Prevention	\$6.0 M	53
Early Warning Mechanisms	\$0.3 M	4
Small Arms/Light Weapons	\$0.0 M	1
Other	\$21.2 M	175
Active	\$80.8 M	746
Arms Trade	\$0.1 M	2
Chemical/Biological/Radiological Weapons	\$0.2 M	3
Cyber-security	\$0.2 M	1
Gender-based Violence	\$7.0 M	121
Mass Atrocities and Genocide	\$2.7 M	26
Counter-terrorism	\$5.5 M	30
Military Technologies	\$0.3 M	5
Other	\$65.3 M	562
Resolution	\$13.5 M	162
Demobilization, Disarmament and Reintegration	\$0.7 M	9
Peace Negotiations	\$1.0 M	9
Peacebuilding	\$9.8 M	131
Other	\$2.0 M	14
Rebuilding	\$54.8 M	549
Democracy-promotion/Building	\$1.6 M	8
Gender Equality/Participation	\$22.3 M	290
Peacekeeping	\$1.6 M	2
Reconciliation and Transitional Justice	\$10.1 M	91
Rule of Law and Institution-building	\$10.8 M	69
Security Sector Reform	\$2.1 M	14
Other	\$8.8 M	103
Stability	\$181.7 M	1,037
Climate Security and Natural Resource Management	\$21.4 M	63
Corruption, Criminality, and Criminal Networks	\$1.6 M	10
Elections	\$6.5 M	63
Countering and Preventing Violent Extremism	\$1.1 M	18
Human Trafficking	\$0.8 M	10
Militarism	\$0.1 M	5
National Security, Foreign Policy, and Diplomacy	\$25.6 M	158
Nuclear Issues	\$29.8 M	125
Space	\$0.0 M	0
Other	\$100.9 M	614
TOTAL	\$283.2 M	1,999

Source: Foundation Center, 2016. Grants may be counted in more than one applicable category.

What Strategies Do Peace and Security Funders Use?

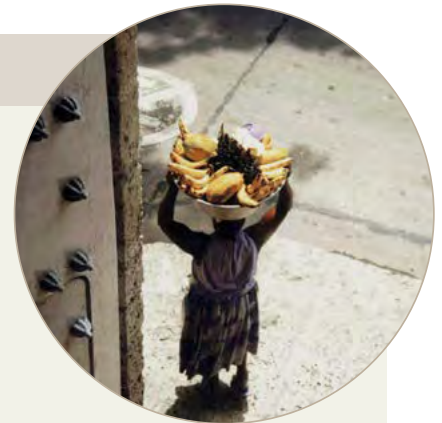
Peace and security funders are more likely than grantmakers in other fields to fund policy and advocacy work. Foundations directed 15 percent of their peace and security giving for policy and advocacy-related activities. By comparison, education funders and health funders target far smaller shares to policy and advocacy (8 percent and 7 percent, respectively). This finding suggests that many peace and security funders believe being engaged with the policymaking process is a critical aspect of being effective in this area. Another priority for peace and security grantmakers is amassing the knowledge needed to better understand how conflict happens in order to end it. Overall, one in five peace and security grant dollars (20 percent) awarded in 2013 funded research.

General support accounts for one-fifth of peace and security giving. Peace and security funders provided 20 percent of their grant dollars as general support, roughly consistent with U.S. foundations overall. Just over one-third (37 percent) of the 288 peace and security funders included in this analysis made at least one general support grant in 2013. Most foundations do not provide unrestricted support, which was consistent with peace and security funders.

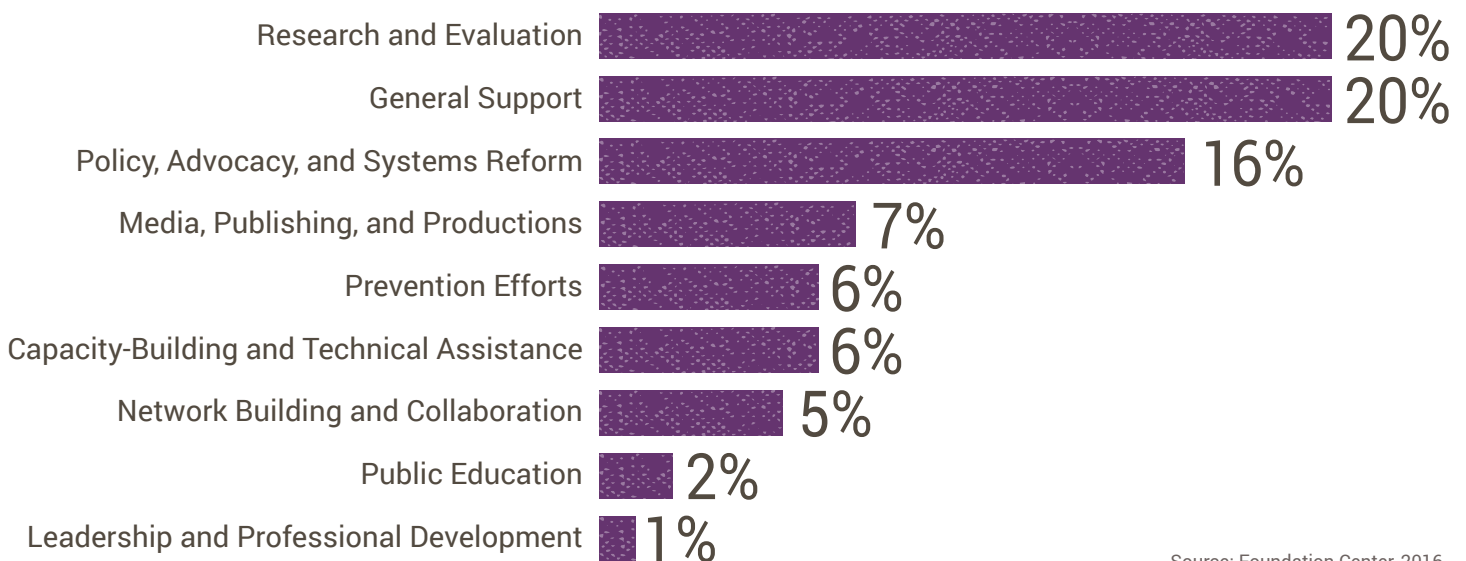
SPOTLIGHT

Peace Negotiations in Colombia

In December of 2015, as part of its attempt to negotiate the end of 50 years of armed conflict, the Government of Colombia and the Revolutionary Armed Forces of Colombia (FARC) announced a comprehensive agreement on compensation for victims. The Institute for Integrated Transitions (IFIT), founded in 2012 with seed funding from the Compton Foundation and the Rockefeller Brothers Fund, among others, participated in each part of the talks, as well as in the government delegation's major strategy and drafting meetings. IFIT's advice directly shaped the contents of the final accord, which include a special court, truth commission, missing persons unit, reparations package, and integrated set of rules on how each of these mechanisms relates to the other.



FOUNDATION GIVING FOR PEACE AND SECURITY BY STRATEGY, 2013



Source: Foundation Center, 2016.

Where Do Peace and Security Grants Go?

The vast majority of peace and security funding goes to organizations headquartered in the Global North. Of the 1,260 organizations that received at least one peace and security grant in 2013, close to half (587) were based in North America, Western Europe, or Australia. These organizations also received close to 75 percent of peace and security grant dollars. However, much of this funding was focused on global peace and security or projects relating to the Global South.

The largest shares of peace and security funding for specific regions focused on Sub-Saharan Africa and North America. In 2013, Sub-Saharan Africa was the focus of 26 percent of foundation peace and security funding, followed by North America (21 percent). Nonetheless, the single largest share of grantmaking (33 percent) focused on “global” activities.

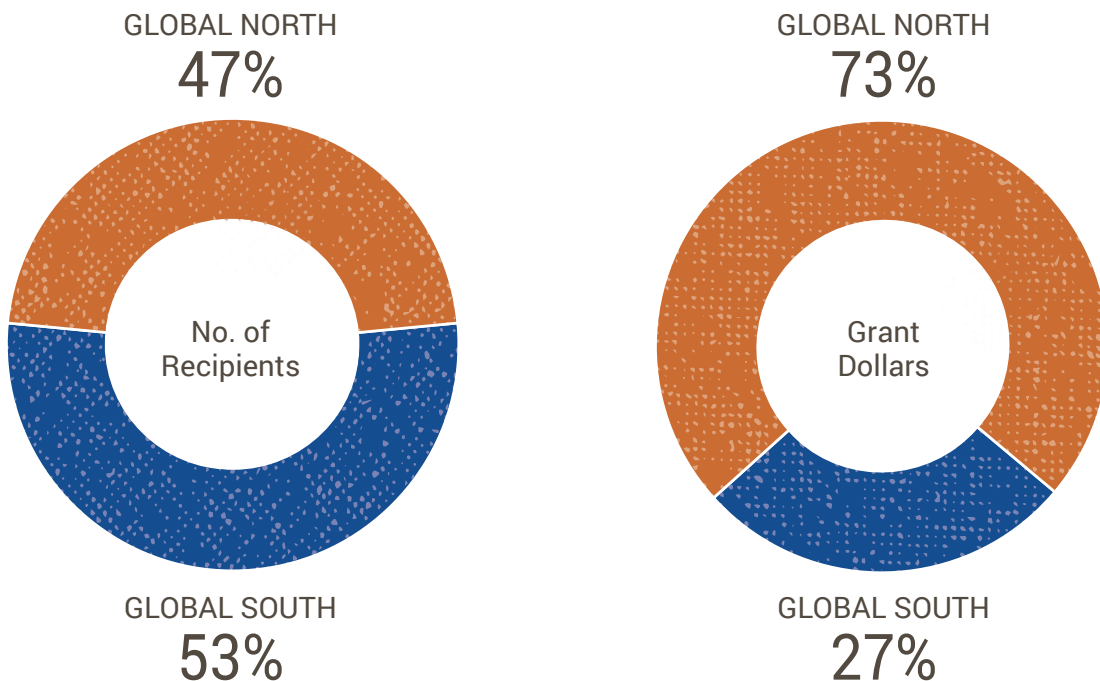
SPOTLIGHT

The Power of Diplomacy: Iran Nuclear Agreement

After years of negotiations, in July 2015, the United States, its international partners, and Iran signed a landmark agreement to place strict limits on Iran’s nuclear program to prevent it from building a nuclear weapon. Since 2010, peace and security funders, including Ploughshares Fund, the Rockefeller Brothers Fund, and the Carnegie Corporation of New York, have supported an array of advocacy projects, scientific research, and Track II diplomacy that were vital to making this landmark agreement possible.



FOUNDATION GIVING FOR PEACE AND SECURITY BY RECIPIENT LOCATION, 2013



Source: Foundation Center, 2016.

Who Is the Focus of Peace and Security Grants?

Just over half of peace and security grantmaking include some type of population focus. A lack of specificity in grant descriptions accounted for some of the 46 percent of 2013 peace and security funding that could not be coded for a specific population group. But this finding may primarily reflect the nature of much peace and security funding, which often tends to be more general in focus. In 2013, the largest share of foundation funding focused on women and girls (11 percent), and this grantmaking was concentrated in the rebuilding and active conflict stages.

Conclusion

The *2016 Peace and Security Funding Index* reflects philanthropic commitments to building a more stable, peaceful world. By working with foundations to improve our data set, we hope that future iterations of the Index will provide a more nuanced, complete picture of the

peace and security funding landscape. We also hope that the Index encourages other funders to join us in working towards creating tangible, lasting, positive change for people and communities around the world.



Endnotes

1. The FC 1000 data set includes all grants of \$10,000 or more awarded by 1,000 of the largest U.S. foundations. Of the 288 funders included in the analysis of peace and security grantmaking presented in this report, 182 were also included in the FC 1000 set.
2. For the purposes of this project, PSFG's definition of conflict includes recurrent violence involving multiple perpetrators and victims that takes place in locations where there is a partial or complete breakdown in the state's monopoly on violence or perpetrated by the state itself against a civil population.

CHALLENGES WITH THE DATA SET

Peace and security funders can improve the understanding of their giving by providing more detailed information of a grant's purpose and objective in their reporting. A majority of the almost 2,000 peace and security grants awarded by foundations in 2013 were included in one of the five "Other" categories, often due to a lack of specificity in the grant description. For example, included in "Other—Stability" are grants to Seeds of Peace for "peace educator programs" and to the International Peace Institute for "general operating support." Providing additional detail would benefit the entire field and enable more in-depth analyses in future Indexes.

METHODOLOGY

This analysis reflects grantmaking by 288 foundations worldwide that made at least one grant in 2013 consistent with the project's definition of peace and security funding. Foundation Center and Peace and Security Funders Group (PSFG) staff, along with an advisory group of peace and security grantmakers, developed strategies to identify grantmaking consistent with the goals of peace and security and to map this giving to a funding framework that would be meaningful to the field. PSFG also identified a set of countries considered to be "in conflict" in 2012 or 2013, which were used to identify additional peace and security-related grantmaking. To avoid double-counting giving, this analysis excludes grants made between foundations included in the Index. However, to illustrate the full value of foundation commitments, foundation-to-foundation grants are included in the totals for the list of top funders. Finally, the full value of each grant has been applied toward the totals for all issue, region, strategy, and population focus areas for which that particular grant was relevant.

FOUNDATION GIVING FOR PEACE AND SECURITY SAMPLE GRANTS, 2013

FUNDER	GRANTEE	AMOUNT (USD)	PURPOSE
Escalation			
Peace Direct	Le Reseau des Batisseurs de la Paix	\$15,569	To implement a rapid response project to prevent conflict from escalating.
Humanity United	Global Public Policy Institute	\$195,429	To engage policymakers in Brazil, China, and South Africa in a constructive debate around the "Responsibility to Protect."
Ford Foundation	Crisis Action	\$1,000,000	For general support to develop and use new models of collaboration to stop and prevent human rights abuses and protect civilians from armed conflict.
Active			
New Israel Fund	Givat Haviva Jewish-Arab Center for Peace	\$2,324	For general support.
Tides Foundation	Association des Jeunes pour le Developpement Integre-Kalundu	\$10,510	To reinforce local agencies to monitor and document child rights violations in the context of the armed conflict in eastern Democratic Republic of Congo.
The Charitable Foundation	CARE International	\$101,619	For distribution of food to Syrians displaced by the conflict.
Resolution			
National Endowment for Democracy	Blogosfera Producciones	\$40,000	To promote greater oversight of the implementation of the Victims Law and peace processes in Colombia.
Carnegie Corporation of New York	Norwegian Peacebuilding Centre	\$50,000	For U.S.-Russia Dialogue on Syria.
Howard G. Buffett Foundation	Republic of Uganda	\$500,000	For peace negotiations in Uganda.
Rebuilding			
Channel Foundation	International Civil Society Action Network	\$25,000	For awareness-raising, capacity building, and monitoring of UNSCR 1325 and 1820 implementation in South Sudan.
Charles Stewart Mott Foundation	Central European News Service	\$60,000	To support local documentation centers in Bosnia-Herzegovina, Croatia, and Kosovo to provide access to testimony from the proceedings of the ICTY.
Trust Africa	Coalition Malienne des Defenseurs des Droits Humains	\$100,000	Civil Society agenda-setting Workshop on Transitional Justice in Mali.
Stability			
Global Peace Building Foundation	Seeds of Peace	\$500	For peace educator programs.
Ploughshares Fund	Portal A	\$15,000	For the distribution of a short video that seeks to engage the broader public in the conversation about policy toward Iran.
The John D. and Catherine T. MacArthur Foundation	University of Sydney	\$400,000	To assess new American security partnerships in the Asia Pacific.

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Acknowledgments

The authors wish to thank the William and Flora Hewlett Foundation for their generous support of this project. We would also like to thank the PSFG members who made up the advisory group: Henry Alt-Haaker (Robert Bosch Stiftung GmbH), Carl Robichaud (Carnegie Corporation of New York), Ellen Friedman (Compton Foundation), Eli Sugarman (William and Flora Hewlett Foundation), Michelle Breslauer (Institute for Economics and Peace), Angelic Young (Institute for Inclusive Security), Conor Seyle (One Earth Future), and Sadia Hameed (Wellspring Advisors, LLC).

About the Peace and Security Funders Group

The Peace and Security Funders Group is a network of public, private and family foundations, and individual philanthropists who make grants or expenditures that contribute to peace and global security. We maintain an informed, engaged and collegial community of funders, whose numbers and investments in the field are steadily increasing. We are dedicated to enhancing the effectiveness of philanthropy that's focused on peace and security issues. To this end, we facilitate the exchange of information and ideas; foster collaboration; and provide educational opportunities for our members. We also encourage new funders to join the field. Learn more at peaceandsecurity.org.

About Foundation Center

Established in 1956, Foundation Center is the leading source of information about philanthropy worldwide. Through data, analysis, and training, it connects people who want to change the world to the resources they need to succeed. Foundation Center maintains the most comprehensive database on U.S. and, increasingly, global grantmakers and their grants — a robust, accessible knowledge bank for the sector. It also operates research, education, and training programs designed to advance knowledge of philanthropy at every level. Thousands of people visit Foundation Center's website each day and are served in its five library/learning centers and at more than 450 Funding Information Network locations nationwide and around the world.

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Design by Betty Saronson, Foundation Center

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ISBN 978-1-59542-513-3

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