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- 5 Innovative tidal notch detection using TLS and fuzzy logic: implications for palaeo-shorelines from 6 compressional (Crete) and extensional (Gulf of Corinth) tectonic settings
- 7

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#### 17 Abstract

18 Tidal notches are a generally accepted sea-level marker and maintain particular interest for 19 palaeoseismic studies since coastal seismic activity potentially displaces them from their genetic 20 position. The result of subsequent seismic events is a notch sequence reflecting the cumulative coastal 21 uplift. In order to evaluate preserved notch sequences, an innovative and interdisciplinary workflow is 22 presented that accurately highlights evidence for palaeo-sea-level markers. The workflow uses data 23 from terrestrial laser scanning and iteratively combines high-resolution curvature analysis, high 24 performance edge detection, and feature extraction. Based on the assumptions that remnants, such 25 as the roof of tidal notches, form convex patterns, edge detection is performed on principal curvature 26 images. In addition, a standard algorithm is compared to edge detection results from a custom Fuzzy 27 logic approach. The results pass through a Hough transform in order to extract continuous line features 28 of an almost horizontal orientation. The workflow was initially developed on a single, distinct, and 29 sheltered exposure in southern Crete and afterwards successfully tested on laser scans of different 30 coastal cliffs from the Perachora Peninsula. This approach allows a detailed examination of otherwise 31 inaccessible locations and the evaluation of lateral and 3D geometries, thus evidence for previously 32 unrecognised sea-level markers can be identified even when poorly developed. High resolution laser 33 scans of entire cliff exposures allow local variations to be quantified. Edge detection aims to reduce 34 information on the surface curvature and Hough transform limits the results towards orientation and 35 continuity. Thus, the presented objective methodology enhances the recognition of tidal notches and 36 supports palaeoseismic studies by contributing spatial information and accurate measurements of 37 horizontal movements, beyond that recognized during traditional surveys. This is especially useful for 38 the identification of palaeo-shorelines in extensional tectonic environments where coseismic footwall 39 uplift (only 1/2 to 1/4 of net slip per event) is unlikely to raise an entire notch above the tidal range.

Keywords: Tidal notches; Terrestrial laser-scanning; Computer vision; Fuzzy logic; Hough
 transformation; Palaeoseismology.



43 1. Introduction

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In microtidal seas, such as the Mediterranean, tidal notches can be used to derive and quantify relative 44 45 coastal movements during the Holocene (Pirazzoli, 1991). To develop these prominent strandlines, ranging from a few centimetres to several metres deep, the sustained action of physical, chemical, and 46 47 biological erosion within the tidal range is necessary. Therefore, exposure to wave action, lithologic 48 resistance to quarrying, and the strength of the rock able to support the weight of the overburden are 49 key parameters effecting the shape of resultant notches (Trenhaile, 2015). In tectonically active 50 regions, these distinct ecological and morphological features define the modern shoreline, and when 51 equivalent older features are different from the present-day sea-level coseismic activity can be 52 inferred (Fig. 1) (i.e., Boulton and Stewart, 2015). However, a direct correlation of individual sea-level 53 markers to palaeoearthquake parameters is an outstanding challenge especially in extensional tectonic 54 settings. For example, the shoreline of western Crete was uplifted by up to 9 m during the 55 compressional M 8.5 Hellenic earthquake in 365 A.D., forming a classic example for a lifted prominent 56 strandline as a consequence of rapid emergence (Shaw et al., 2008). This distinct palaeoshoreline is 57 well-preserved and has not been affected by wave attack or midlittoral erosion. By contrast, shorelines 58 that experienced rapid emergence due to extensional tectonic movements, such as those from 59 Perachora Peninsula in the Gulf of Corinth, are not likely to preserve fully developed tidal notches. In 60 these settings, the amount of coseismic displacement is usually up to an order of magnitude lower 61 than in megathrust events, and moreover the uplift component is estimated to be only 1/4 to 1/2 of 62 the net slip per earthquake (e.g. Armijo et al., 1996; McNeill et al., 2005; Papanikolaou et al., 2010) 63 and thus not likely to exceed the tidal range of ~0.4 m in the Mediterranean Sea (Evelpidou et al., 64 2012). Therefore, it is suggested that apparent notches reflect the cumulative effect of multiple seismic 65 events and individual notch levels cannot usually be attributed to specific earthquakes in regions of 66 tectonic extension (e.g. Stewart and Vita-Finzi, 1996; Cooper et al., 2007; Boulton and Stewart, 2015). 67 The identification of a palaeoshoreline is, among bioerosional remnants or consolidated beach 68 deposits, based on the recognition of distinct erosional marks of former midlittoral zones (Pirazzoli et 69 al., 1994). Typically, the notch position is mapped on a 1:5000-scale map (Cooper et al., 2007) and 70 measurements are made to create morphometric profiles. Profiles are usually collected by tape 71 measure (e.g. Kershaw and Guo, 2001) and include the average vertical extent of a notch and the 72 maximum indentation (e.g. Antonioli et al., 2015). Vertical sheltered coasts are preferred for precise notch measurements (Pirazzoli, 1986), yet often these cliffs are inaccessible, and for that reason midrange profiling using a handheld laser distance meter allowing evaluation of inaccessible and dangerous cliffs has also been employed (Kázmér and Taboroši, 2012). To address morphometric variations, a structure-from-motion (SfM) approach is also presented by Bini et al. (2014), which produces high resolution 3D models from a surface using a series of overlapping photographs.

78 The problem of lateral profile heterogeneity is extensively discussed by Kershaw and Guo (2001), 79 demonstrating that active fault segments crossing cliffs, local variations of different wave and surf 80 regime, and/or bedrock heterogeneity result in different notch profiles even in nearby sites (see also 81 Evelpidou et al., 2012). Furthermore, collecting multiple profiles manually is time consuming and 82 contains potential error sources. For instance, the correlation of different extracted levels from 83 morphometric profiles is challenging and requires a constant reference datum over the time period of 84 profile collection. We suggest that terrestrial laser scanning (TLS) provides all requirements for 85 palaeoseismological studies on emerging coasts. The data are of high precision and resolution, and 86 enables the analysis of the surface curvature of a whole cliff in a reasonable amount of time.

This paper aims to present an interdisciplinary study of computer vision and palaeoseismology. High resolution data from TLS is investigated utilising multiscale image analysis and semi-automatic edge detection. Conventional gradient analysis is compared to modern modelling from Fuzzy logic methodology. Afterwards, feature extraction by Hough transformation gives spatial evidence for the existence of tidal notches within an entire sequence of palaeo-strandlines on a cliff.

92 In their comprehensive analysis of tidal notches in the Mediterranean, Antonioli et al. (2015) concluded 93 that notch formation processes have not changed during the last 125 kyrs. Similar widths of both last 94 interglacial and modern notches suggest equivalent tidal ranges as zones of notch formation. Hence, 95 the retreat zone of a tidal notch representing mean sea-level can be inferred by knowing the local tidal 96 amplitude and the position of either roof or floor. Particularly in the Mediterranean, the use of tidal 97 notches as palaeo-sea-level markers to determine rates of tectonic activity is widespread, since 98 potential errors are limited by low tidal ranges and the lack of strong waves (Pirazzoli and Evelpidou, 99 2013). Therefore, the coastline at Perachora Peninsula in the eastern Gulf of Corinth provides suitable 100 conditions to apply an innovative method improving tidal notch identification and comparison on local 101 and regional scales. In order to verify and calibrate the method, which focusses on changing curvature 102 at the roof or bottom of a notch, a distinct tidal notch in southwestern Crete ~1 m above recent sea-103 level uplifted by the 365 A.D. earthquake (Shaw et al., 2008) is investigated as reference model.



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Fig. 1. Collage of raised shorelines on Crete and Central Greece and associated notch profiles extracted from TLS data. The tidal notch at Agios Pavlos (a) was raised by the 365 A.D. earthquake and forms the reference for notch detection (\* Shaw et al., 2008). Exposures at the coast of Perachora Peninsula (Gulf of Corinth) are known from literature (\*\* Kershaw and Guo, 2001; \*\*\* Pirazzoli et al., 1994) and pose testing targets in this study: b) Mylokopy Bay; c) Heraion Harbour, and d) Heraion Lighthouse.

- 111
- 112
- 113 2. Study sites

## 114 2.1. Agios Pavlos, SW Crete

The island of Crete is directly adjacent to the Hellenic subduction zone between Europe and Africa (Fig. 115 116 2) and comprises a complex geological and tectonic structure that results from successive thrusting of 117 alpine geotectonic units and the activity of major detachment faults. Crustal extension orientated both 118 arc-parallel and arc-perpendicular has led to the development of Quaternary carbonate bedrock fault 119 scarps throughout the island (Caputo et al., 2010). These normal faults mainly juxtapose Mesozoic 120 carbonates of the Pindos unit in their footwall against hanging-wall flysch and /or post-alpine 121 sediments. Vertical tectonic movements along the western part of the island are associated with both 122 fault populations, causing earthquakes along the nearby Hellenic trench and on normal faults onshore. 123 As a result, clearly visible emerged shorelines are developed on the limestone cliffs. The 365 A.D. 124 earthquake rapidly uplifted the well indented strandline by ~1 m at Agios Pavlos, located approximately 70 km eastwards from the activated structure and evidences the recent regional uplift 125 126 phase (Stiros, 2010). Crete has experienced ~2.5 km of uplift since the Early Tortonian (Miocene) in 127 several different phases (Meulenkamp et al., 1994). The most recent phase of uplift, as evidenced by 128 uplifted Messinian deposits (Krijgsman, 1996), began at around 6 Ma and continues to the present day. 129 The study location is located inside a 200 m wide bay and is protected from rough seas in accordance 130 with official nautical cartographies data and from oceanographic buoys (http://utmea.enea.it/energiadalmare/). 131

## 133 2.2. Perachora Peninsula, eastern Gulf of Corinth

North-South directed extension with rates of 10–15 mm yr<sup>-1</sup> makes the Gulf of Corinth one of the most 134 rapidly extending areas on Earth. Along the southern shore of the graben are active north-dipping 135 normal faults uplifting coastal regions in the footwall. Rates of fault motion lie in the range of 1–10 136 137 mm  $yr^{-1}$  and are evidenced by Quaternary and Holocene palaeoshorelines (Armijo et al., 1996; Morewood and Roberts, 1999; Cowie and Roberts, 2001; McNeill and Collier, 2004; Leeder et al., 2003; 138 139 Cooper et al., 2007; Roberts et al., 2009). Leeder et al. (2005) estimate slip rates of ~2.5 mm yr<sup>-1</sup> for normal faulting structures in the Alkyonides Gulf and the Perachora Peninsula over a period of 0.6 Myrs 140 141 (Fig. 2). However, the authors also postulate that onshore faults (Schinos and Pisia) are more active 142 than parallel offshore structures.

143 The coastline of the Perachora Peninsula is predominantly comprised of Mesozoic and Pleistocene 144 carbonates. In some parts of the southwestern part of the peninsula, a thin composite 145 volcanosedimentary series of basic rocks occurs. Occasionally, marine deposits of Tyrrhenian age 146 comprising conglomerates crop out along northern coastlines (Bornovas et al., 1984).

The Heraion archaeological site is located at the northwestern tip of the Perachora Peninsula (Fig. 2b). The tidal notches at this site are described by several authors. Pirazzoli et al. (1994) identified four raised notches at the lighthouse between +1.1 and +3.2 m and dated them to 4.4–4.3 kyrs BP (+3.2 m), 2.4–2.2 kyrs BP (+2.6 m), and 0.4–0.2 kyrs BP (+1.1 m) (see Fig. 1). Kershaw and Guo (2001) tried to correlate these notches to exposures at the harbour of Heraion only a few hundreds of metres to the east (+0.75 and +2.05 m). The authors conclude that differential uplift on cross-cutting faults causes dislocations of former strandlines and prevents a correlation between the two sites.

Another site mentioned by both studies is located along the northern shore of the peninsula. The Mylokopy beach actually consists of two small bays, separated by a tombolo. At the tip of the tombolo a massive limestone block contains up to five notch generations, which vary in height from the surrounding cliffs because of fault activity. In addition, three different notch morphometric profiles (identified notches at +0.4, +1.2, +2.0, and +2.6 m) can be extracted due to varying exposure to the sea and abrasional components (Kershaw and Guo, 2001).



162 Fig. 2. Overview map of studied sites. a) Map of Greece showing simplified large-scale tectonic 163 structures (CG, Corinthian Gulf; CF, Cephalonia Fault; NAF, North Anatolian Fault; NAT, North Aegean Trough; black lines with barbs show active thrusts; black lines with marks show active faults) (after 164 Papanikolaou and Royden, 2007; Shaw et al., 2008). Red boxes highlight study areas. b) DEM (from 165 166 10m contour lines) of the Perachora Peninsula. Red lines with marks indicate normal faults that have 167 been activated during the 1981 earthquake sequence (Bornovas et al., 1984). LV, Lake Vouliagmeni. c) 168 DEM (SRTM-1) of the southwestern coast of Crete. The morphology indicates tectonic structures (black 169 line with marks) that potentially down-throw coastal areas (Bonneau, 1985).

170

# 171 3. Methodology

The methods presented include data acquisition from TLS and processing for semi-automated edge detection based on the surface curvature of a cliff. One scan from the distinct shoreline at Agios Pavlos operates as a reference for a unique tidal notch at this particular cliff, since the 365 A.D. thrust event raised the strandline > 1 m from the erosional zone. Thus, we assume this exposure is not affected by ongoing erosion. Consequently, the method is developed from this exposure and then tested on sites from the Perachora Peninsula.

# 178 *3.1 Theoretical assumptions*

The term tidal notch refers to a horizontal erosion feature formed at sea-level due to coeval action (Antonioli et al., 2015) of chemical, physical, and biological factors (Pirazzoli, 1986). However, the predominant agent is commonly assumed to be bioerosion (Evelpidou et al., 2012), which is restricted to carbonate rocks. Well-defined vegetational belts are the result of different grazing or boring organisms each living in individual horizontal galleries. Therefore, Pirazzoli (1986) suggested a vertical zonation (Fig. 3a) for notches, which also indicates maximum erosional potential at mean sea-level (Fig. 3b). Moreover, the classical symmetrical notch profile (e.g. Laborel et al., 1999; Trenhaile, 2015) is formed of three main sections (Fig. 3): I) A floor or base which extends to the limit of permanent
immersion at tidal low stand; II) a retreat zone of maximum concavity exhibiting the inflection point
near mean sea-level, and III) a roof near high tide level.

189 In an area of extensional tectonics, such as the Gulf of Corinth, the ratio of footwall uplift to hanging-190 wall subsidence is estimated to 1/4 to 1/2 where the total net slip is not likely to exceed ~2 m, since 191 normal faulting structures usually do not produce earthquakes > M 7.0 (e.g. Jackson et al., 1982; 192 Stewart and Vita-Finzi, 1996; Papanikolaou et al., 2010). Offshore, but close to the coast, normal 193 faulting seismic activity causes rapid emergence of coastal cliffs; however, coseismic uplift exceeding 194 the tidal range of ~0.4 m is unlikely since it would require minimum mean displacements of 1.6±0.4 m 195 (based on Wells and Coppersmith, 1994; for M 6.5–7.0 empirical maximum displacements range from 196 0.8 to 2.1 m) which are unrealistic values of surface faulting for the vast majority of normal faulting 197 earthquakes. Thus, the former and new erosional zone along the cliff would overlap, overprinting the 198 earlier notch (Fig. 3b). Pirazzoli (1986) labels features of this origin as 'ripple notches'. However, 199 depending on the time and vertical displacement, the resulting shape is tantamount to a widened 200 single notch; due to the tidal range variation. Only at close range minor variations will be detectable 201 on the surface curvature and normal to the orientation of the roof (Fig. 3c).

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Fig. 3. Theoretic assumptions. a) Zonation of a simplified tidal notch (R, roof; F, floor; IP, inflection Point) following suggestions of Pirazzoli (1986). b) Evenly distributed erosional potential pointing at mean sea-level causes a symmetrical shape of a tidal notch (I). When the erosional zone gets offset by an earthquake (II–IV), the level-based erosional potential attacks the prior to this created cliff morphology (III). The resulting shape comprising two notch generations (1 and 2) exhibits patterns of convex or concave curvature (c). d) Visualisation of the estimate of the normal vector (N) at any point (P) along a normal section from principal curvatures  $k_1$  and  $k_2$ .

211

212 *3.2 TLS* 

Terrestrial laser scanning (TLS) is a commonly used remote sensing technique with a high spatial and temporal resolution and is highly effective for reconstructing morphology (Wilkinson et al., 2015), interpreting trenches and outcrops (Schneiderwind et al., 2016), monitoring movements (Rosser et al., 2013), extracting slip vectors (Jones et al., 2009), and recording smoothness along fault planes (Wiatr et al., 2015).

The fundamental principle underlying TLS is rapid measurement of one-dimensional distances using a 218 219 model-specific wavelength within the electromagnetic spectrum. A coherent laser beam with little 220 divergence propagates dominantly in a well-defined direction and is reflected off surfaces, forming a 221 non-contact and non-penetrative active and stationary recording system. Most common are systems 222 that make use of the time-of-flight principle, where the instrument measures the time delay between 223 emission, reflection and receiving the laser pulse. Phase-based TLS bypass the requirement of a high-224 precision clock by modulating the power of the laser beam and measuring the phase difference 225 between the emitted and received waveforms (Smith, 2015). The result is an irregular but dense point 226 cloud (x,y,z coordinates) representing a highly detailed digital 3D surface model. In both systems, the 227 data quality is controlled by the range between sensor and target, surface properties (e.g. moisture, 228 roughness), and also the angle of incidence.

In this study we used a time-of-flight mode operating Optech ILRIS 3D system for scans collected on Crete and a Faro Focus 3D system (phase-based mode) during the survey in central Greece due to logistical constraints. All scans were undertaken during calm sea conditions and from close-range to mid-range (max. 100 m). In order to correlate the data from multiple sites at the Perachora Peninsula, hourly tide gauge data from the Posidonia station (Hellenic Navy Hydrographic Service) was applied to

the individual point clouds referenced to mean sea-level (Fig. 4).

235



236

Fig. 4. Data acquisition and processing. a) Close- to mid-range laser scanning. b) Tide gauge data

238 provided by the Hellenic Navy Hydrographic Service (x = mean sea-level,  $\sigma$  = standard deviation, r =

tidal range) from the moment of scanning (red dots). c) High resolution point cloud data adjusted to
mean sea-level using the tide gauge data as a reference datum. d) Segments prepared for surface
curvature analysis (d). Extraction of two-dimensional information about the surface curvature reduces
error sources from interpreting 3D surfaces.

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Once the point clouds are corrected for their individual spatial information, principal curvature analysis is performed. In general, curvature is the second derivative of a function f(x) and describes the amount by which a geometric object differs from being flat. Depending on the sign, the object is either convex or concave at any point P, and the surface normal  $\vec{N}$  is oriented perpendicular to the surface towards maximum curvature. The magnitude k of difference from a flat object is quantitatively described by:

249 
$$k = \frac{f''(x)}{[1+(f'(x))^2]^{\frac{3}{2}}}$$
(1)

The mean curvature at a point on a third dimension uses both the maximum and minimum normal curvatures. These principal curvatures are orientated mutually perpendicular with  $k_1 > k_2$  (Fig. 3d). However, since tidal notches are a horizontal sea-level marker, only the vertical principal curvature is respected for the analysis. Moreover, the minimum curvature  $k_2$  highlights exclusively convex patterns corresponding to features such as the roof of a tidal notch. This automatically excludes sources of misinterpretation (e.g. joints or cracks) and focuses on horizontal differences (Fig. 4d).

To calculate the surface curvature, TLS data provides surface information with *x*, *y*, *z* coordinates, where the *z*-coordinate describes the lateral indentation value. To sharpen the principal curvature information, standard averaging and 2D median filtering are applied.

259

#### 260 *3.3 Edge detection*

The curvature defines a parameter essential for curve sketching. However, this value does not have a primary link to neighbourhood relationships. Indeed, the curvature at any point is calculated from the adjacent points but it does not quantify geometric alignments, such as straight edges, and the curvature of neighbouring pixels is not compared. Therefore, methods of edge detection are applied which aim to identify points where abrupt changes and discontinuities in the surface curvature occur. Furthermore, the process reduces the curvature plot to its significant details that appear as convex objects.

268

## 269 3.3.1 Canny method

Edge detection is an integral part of many computer vision systems and multiscale image analysis. The method results in a dramatic reduction of processed data, while preserving structural information about object boundaries (Canny, 1986). In general, an image contains edges where the gradients along the *x*- or *y*-axis show rapid changes in image intensity. For instance, the transition from black to white (which equals the values of 0 and 255 in an 8-bit array) within just two pixel cells depicts a sharp edge with the highest possible gradient. Ideally, the result is a binary image that only contains information about edges within the initial intensity image. To decide whether an edge is located at a certain partof the image, one of the following criteria has to be fulfilled:

- a) The first derivative of the intensity is larger in magnitude than a given threshold; or
- b) The second derivative of the intensity has a zero-crossing (i.e. where the intensity of the image changes rapidly or the first derivative changes sign).

The built-in Matlab<sup>™</sup> edge function provides several estimators that implement these rules. 281 282 Furthermore, sensitivity for horizontal over vertical edges can be applied. The Canny edge detector 283 has become standard in edge detection by defining two thresholds for strong and weak edges, 284 respectively. Technically, the algorithm applies a Gaussian noise reduction and a non-maximum 285 suppression to eliminate multiple responses. Edges classified as weak only persist in the resulting binary image when these are connected to strong edges. Therefore, the three criteria of edge 286 287 detection (good detection, good localization, and low spurious response) are addressed (Canny, 1986; 288 Bao et al., 2005).

289

## 290 *3.3.2 Fuzzy logic*

291 Zadeh (1965) described a fuzzy set as a class of objects without a precisely defined criterion of 292 membership. Within a fuzzy set each object is assigned to a grade of membership ranging between 293 zero and one. Hence, approaches for decision-making (Bellman and Zadeh, 1970) and cluster analysis 294 (Bezdek and Harris, 1978) were developed. Translated to edge detection from surface curvature the 295 Fuzzy logic approach allows the use of membership functions to define the degree at which a pixel 296 belongs to a convex edge or a different region. This is also the essential statement defining the 297 membership function. Therefrom, other than from the Canny edge detector, the result is an intensity 298 image and not a binary type. Consequently, edge detection and recognition still belongs to the user 299 and is not the result of any blackbox approach securing transparency in the process.

300 Edge detection using Fuzzy logic comprises three steps. Firstly, directional gradients ( $G_x$ ,  $G_y$ ) and 301 gradient magnitudes ( $Maq_x$ ,  $Maq_y$ ) serve as input information for a fuzzy set and have to be obtained 302 from the curvature plot using the Prewitt gradient operator (Fig. 5). The Prewitt operator is a standard 303 edge detection algorithm that accurately highlights vertical or horizontal alignments (Zhang et al., 304 2013) (Fig. 5b). Secondly, a fuzzy inference system (FIS) specifies a zero-mean Gaussian membership 305 function for each input where the range of directional magnitudes depicts the limiting range values 306 (Fig. 5c). If the gradient value is zero the pixel belongs to the zero membership function of grade 1. The 307 grade along function quantifies the degree of membership of a certain element to the fuzzy set. In order to adjust the sensitivity of edge detection, multiples of standard deviation  $(s_x, s_y)$  of both zero 308 309 membership inputs control the edge detector performance. Because of the high resolution of TLS data 310 and dense point cloud, those values should be >1 to decrease sensitivity for areas of minor interest 311 (e.g. small cracks or joints). Furthermore, defining  $s_x >> 1$  encompasses the majority of plan curvature 312 within the zero-membership function and thus excludes those from analysis. Therefore, a triangular 313 membership function is specified for the output intensity image. Start, peak, and end of the triangles 314 influence the intensity of the detected edges and can be adjusted as required to improve edge 315 detection performance.





Fig. 5. Fuzzy set edge detection. Edge detection is performed on principal curvature images (a). Twodimensional gradients (b) are individually addressed in defined membership functions (c). The intensity map (d) shows subsets of different memberships. White pixels belong to a uniform region; only very

321 dark pixels represent detected edges (Fig. 6c).

322 The third step of edge detection from Fuzzy logic includes rule specification and evaluation of the FIS.

For classification of the intensity map, two rules are necessary which access three simple principles of set theory (If-then, AND, OR):

- 325 If  $Mag_x$  is zero and  $Mag_y$  is zero then intensity is white
- 326 If  $Mag_x$  is not zero or  $Mag_y$  is not zero then intensity is black
- 327 By this formulation a pixel of gradient different from zero depicts black and belongs to an edge (Fig.

328 6). Furthermore, the gradient is defined to be zero by Gaussian membership functions and forms the

input for the applied FIS.



Fig. 6. Comparison of applied analyses. a) Principal curvature depicting a high resolution image of the cliff morphology. b) Edge detection after Canny. It is successful in notch detection but also highlights small edges of minor interest. c) Edge detection from Fuzzy logic, highlighting rapidly changing gradients in a horizontal manner.

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#### 337 3.4 Hough transform

The Hough transform is a popular tool for feature detection due to its robustness to noise (Fernandes and Oliveira, 2008). The technique aims to find imperfect instances of objects representing line features by a voting procedure. For this procedure image objects are compared to the parametric term of a straight line. For some technical reasons, it is proposed to use its Hesse normal form since vertical lines would give rise to unbounded values of the slope (Duda and Hart, 1972):

343 
$$\rho = x \cos \theta + y \sin \theta \tag{2}$$

344 where the variable  $\rho$  is the distance from the origin (0,0) to the line along a vector perpendicular to 345 the line, and  $\vartheta$  is the angle between the *x*-axis and this vector with a range of -90° <  $\vartheta$  < 90°. Thus, the 346 gradient of a line feature is the tangent of 90 –  $\vartheta$ . The result of the Hough transformation is a parameter 347 space matrix comprising  $\rho$  and  $\vartheta$  vectors for each pixel (*x*, *y*), where the algorithm determines evidence of a straight line with respect to neighbouring pixels. Furthermore, it depicts a voting map [0 1] representing the discretised parameter space of detected objects (Fernandes and Oliveira, 2008). Local maxima (peaks) in this map represent parameters ( $\rho$ ,  $\vartheta$ ) of the most likely lines that can be extracted.

351 Since the Matlab<sup>™</sup> Hough function requires a binary image input, the intensity map from Fuzzy Logic 352 edge detection is converted using a global image threshold (Otsu, 1979). Beside that, line segment 353 extraction from the Hough transform follows the same workflow for both data sets from edge 354 detection (Canny Method and Fuzzy Logic) (Fig. 7). After the Hough transform is computed, peak values

- in the voting map are identified, where the user specifies the number of peaks to identify and thus,
- 356 controls the influence of minor objects.
- 357



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Fig. 7. Hough transform from detected edges. Dashed areas indicate potential line features with absolute  $\vartheta > 80^\circ$ . Due to its sensitivity, extracted line segments from Canny edge detector (a) are more spread and randomly orientated than from Fuzzy Logic edge detection (b). Peaks in the normalised voting map (squares) represent parameters for most likely lines. Zoom indicates to peak cluster of almost horizontal oriented line features corresponding to elevations of the notch's roof and floor, respectively.

365

## 366 4. Results

# 367 4.1. Developing methods, Agios Pavlos, Crete

The workflow was developed utilising curvature analysis, edge detection using a Canny algorithm, a Fuzzy logic approach, and Hough line extraction on laser scan data from Agios Pavlos. The principal curvature analysis clearly highlights convex patterns (Fig. 6a) as expected from theoretical assumptions 371 (Fig. 3). The prominent strandline is obviously defined by an evenly convex roof and floor. However, 372 not only horizontal asperities resulting from erosion at sea-level are registered. In order to reduce the 373 image information and to focus on almost horizontal and continuous features, two individual edge 374 detection approaches were applied. The conventional Canny edge detector predicts sharp changes in 375 surface curvature suitable for the roof and the floor of the notch. Furthermore, minor morphological 376 irregularities are ignored and not interpreted as a discontinuity. However, the algorithm does not 377 sufficiently exclude information from plan curvature and consequently omits edges from features of 378 minor interest, such as joints, cracks or weathering aspects (Fig. 6b). The Canny edge detector returns 379 a bivalent set of uniform areas and edges and thus, does not differ for gradual irregularities within the 380 subset "edge". Consequently, only the predominant horizontal orientation of edges detected at the 381 extents of the notch is evidence for its existence. The membership functions of the Fuzzy logic 382 approach allow outputs of quasi-probabilistic edge occurrence (Fig. 6c). This means detected edges, 383 which are almost the same as from the Canny detector, are ranked towards the grade of conformance 384 with formulated rules. Furthermore, focus is complied with horizontal features reducing image 385 information once more towards the recognition of sea-level marker.

386 The Hough transform returns a matrix of a discretised parameter space displayed as a graph of line 387 feature distance from the origin ( $\rho$ ) against line feature deviation from vertical ( $\vartheta$ ). Fig. 7 contrasts the 388 resulting matrices from Canny edges with edges determined from Fuzzy logic. It is obvious that peaks 389 and hot spots representing accumulations of  $\rho$ ,  $\vartheta$ -pairs are wider spread when Canny edges determine 390 the input for Hough transform. Especially from  $\vartheta$ -values distributed edge orientations are confirmed 391 (see also Fig. 6b). However, for almost horizontal line features the corresponding absolute  $\vartheta$ -value 392 should be  $> 80^\circ$ , since it represents the normal vector orientation. When edges determined from the 393 Fuzzy logic approach are input for the Hough transform the resulting peaks are clustered at highest θ-394 values. Furthermore, hot spots are clearly separated from each other and enable correlation to 395 corresponding heights in the laser scan (Fig. 7b). Peaks located at minimum or maximum  $\rho$ -values 396 correspond to the upper or lower image extent. The laser scan at Agios Pavlos shows some minor wave 397 action resulting in a lack of data in the lower part of the cliff section and causing detected edges and 398 determined line features in this region (Fig. 8).

399 When comparing the results of line feature determination from different inputs, it is conspicuous how 400 spread peaks in the parameter space influence the focus on distinct morphological features. Line 401 structures extracted from Canny edges do not represent the roof and floor of the notch exclusively. 402 Lines following edges from generic irregularities, such as those from weathering in the lower parts, are 403 also extracted. Indeed, features with  $\vartheta$ -values <80° can be suppressed in the plot (see Fig. 8b) but this 404 still does not provide a threshold for distinct features. Due to the membership functions of the Fuzzy 405 logic approach gradual distinction of edge detection enables adjustment of such thresholds. As a result, 406 only the notch at ~1.2 m is highlighted (Fig. 8c). Therefore, it seems the identification of tidal notch 407 morphologies on coastal cliffs is possible.





Fig. 8. Feature extraction from scan of the cliff at Agios Pavlos. a) Overall result. b) Extracted line objects
from Canny edges. c) Objects from Fuzzy logic edge detection representing the sea-level marker more
concentrated along the notch extent line.

# 414 4.2. Testing methods, Perachora Peninsula, eastern Gulf of Corinth

415 The entire workflow was tested at different sites along the coast of the Perachora Peninsula. This 416 setting has been extensively studied due to the 1981 earthquake sequence that attracted several 417 research groups, and Holocene tidal notches have been described. Kershaw and Guo (2001) recognised 418 five different notch generations (~2.7, ~2, ~1.2, ~0.4, and 0 m) at Mylokopy Bay (see Fig. 1b). Laser 419 scan data, covering an area of almost 6.5 x 3.8 m of the cliff, was processed for curvature analysis. Line 420 feature extraction from Hough transformation confirmed evidence for all five levels (Fig. 9a). 421 Obviously, edges from the Canny detector result in many more line features across the scan than from 422 the Fuzzy logic approach. Canny edges produce line structures almost evenly spread from ~+1 m up to 423 the top of the scan window. Only insignificant line features are determined for the lower most part of 424 the scan data. A confirmation of published indentations is only possible because of their known 425 extents. Furthermore, the recently developing notch is only evidenced by Fuzzy logic edges. Thus, 426 Canny edges indicate remnants of tidal notches but are accompanied by noise which is the result of 427 morphological structures of minor significance. Due to the significant number of extracted lines from 428 Canny edges it is hard to identify distinct levels. Also, line orientation is predominantly not horizontal 429 but showing slight inclined trends although only features of  $\vartheta > 80^\circ$  are considered. Structures from 430 Fuzzy logic edges appear much more horizontal. It is noticeable that even Fuzzy logic edge detection 431 method produces line features of considerable length that do not belong to any of the published notch 432 morphologies, yet are located between two published notch levels (+2.4 m).

- 433 Similar results can be noticed for both sites at Heraion. Kershaw and Gou (2001) identified two notches
- at the southern part of the Harbour and correlated them to four notches determined by Pirazzoli et al.
- 435 (1994). The output for both sites supports the potential of tidal notch detection from Fuzzy logic edges.
- 436 Lower parts at the Heraion harbour site are significantly rougher than from the rest of the scan and
- 437 produce line structures without significant cluster levels. A horizontal and convex morphology ~2 m
- a.s.l. evidences the remnant of a notch roof (Fig. 9b). Its remains are poorly preserved and only a few
- 439 line features are extracted from Fuzzy logic edges. However, a conventional 2D profile supports its
- existence. A notch at +1.8 m in between both published notches might represent a so far unrecognised
- 441 earthquake event.
- 442 At the cliff beneath the lighthouse, Canny edges only produce poor results (Fig. 9c). There is only one 443 evidence for a notch provided as a line feature at ~1 m. This feature matches to a convex edge that
- 444 might represent the roof of a so far unpublished notch just below the lowermost notch identified by
- Pirazzoli et al. (1994) at +1.1 m (see also Fig. 1d). It is worth noting that the roof corresponding to the
- notch at +1.1 m was missed by the Canny algorithm. Contrastingly, Fuzzy logic edges provide evidence
- for the roofs (+3.5, +3.0, +2.0, and +1.3 m) of all four published notches at corresponding heights
- 448 (inferred mean sea-levels at ~3.2, ~2.6, ~1.7, and ~1.1 m). However, there is also evidence for a further
- 449 notch roof at ~2.4 m in between two recognised notch horizons. This evidence is supported by both
- 450 edge inputs following same parameters in the Hough transform and the 2D profile (Fig. 9c).



Fig. 9. Results of testing methods along coast of the Perachora Peninsula. The locations of investigated data in each scan are indicated colourising the height levels. Published notches are provided and correlated to the results of line extraction at Mylokopy bay (a), Heraion harbour site (b), and Heraion Lighthouse site (c). Red arrows indicate the position of the roof of known notches. Black arrows point at morphological characteristics that could correspond to new notches.

458

#### 459 5. Discussion and concluding remarks

460 TLS is a commonly used technique for morphological purposes (e.g. Rosser et al., 2014; Wilkinson et 461 al., 2015). Due to its flexibility, quality, and accuracy, the resulting data highlights even minor evidence 462 of spatial peculiarities. In this study, the detailed examination of tidal notches preserved owing to 463 tectonic activity and coastal uplift has been undertaken. Thereby, uplift values in the order of a few 464 decimetres are expected in extensional settings (Papanikolaou et al., 2010) and therefore, a high 465 spatial resolution is required and this is offered by the TLS. Furthermore, a mesoscale downward 466 widening of pre-existing tidal notches is likely. The former notch floor as well as biological markers, 467 such as Lithophaga agents, could be overprinted by the newer tidal notch generation. Thus minor but 468 horizontally consistent changes in the surfaces' curvature might be evidence for sea-level indicators 469 that were eroded along their lower extent over time, or did not have enough time to develop because 470 of short recurrence intervals between uplift events. Thereby, the local tidal amplitude (here: 0.2 m) 471 forms the resolution limit. Traditional profiling with tape measures or laser distance meter (Kázmér 472 and Taboroši, 2012) aims to identify tidal notches from a digital copy of the vertical cliff topography. 473 When corrected for sea-level datum, information about elevation and notch dimensions can be 474 inferred. This includes both horizontal and vertical extent per feature (Pirazzoli, 1986). Multiple profiles 475 can only be correlated when referring to the same datum. However, spatial variations in cliff 476 topography of closely positioned sites are hard to verify from horizontally stacked 2D profiles, as a 477 consequence of bedrock heterogeneity, local variations of wave action, and/or fault movements 478 (Kershaw and Guo, 2001). Utilising TLS measurements in notch studies presents the opportunity to 479 collect high resolution spatial data from exposures (even from distance) in a rectified manner, which 480 is not possible using conventional tape measurement or photogrammetry and SfM approaches (Bini et 481 al., 2014). Even submerged notches down to 0.8 m are not excluded from TSL surveys when using 482 systems operating at the green-wavelength (Smith, 2015).

483 The presented workflow aims to detect the roof and/or floor of raised tidal notches by reducing spatial 484 information and focussing on horizontal continuities. Convex patterns, pointing towards the sea, pose 485 evidence for remnants of tidal notches (see Fig. 3). The principal curvature analysis highlights such 486 patterns but does not link those to the attributes of two-dimensional orientation or continuity. 487 However, the magnitude of curvature can be utilised to describe significant morphological changes. 488 Such information is input data for edge detection analysis. Herein, two methods of edge detection 489 were tested in order to reduce spatial information towards its varying significance. In computer vision 490 and image processing, the Canny edge detector algorithm depicts a standard operator (Bao et al., 491 2005) for tracking ridges in gradient magnitude images (Canny, 1986). A disadvantage of this method 492 is that all extracted edges appear to have the same significance (see Fig. 6b). Thus, edges in areas of 493 minor interest and oriented both vertically and horizontally, appear the same as those of relevance for 494 tidal notch detection. Therefore, a Fuzzy logic sequence was constructed comprising of membership 495 functions that enable exclusive focus on significant horizontal changes in surface curvature. Even if the 496 input information is incomplete or imprecise, the approach outputs predominantly continuous and 497 horizontally oriented structures. Instead of crisp boundaries between two classes (e.g. edge or 498 uniform), the membership functions are defined to give probabilistic information on edge existence 499 (see Fig. 6c). However, resulting edge information from both algorithms were individually used as input 500 data for the final Hough transform, which intends to extract continuous line features. Missing points 501 on the desired curves as well as spatial variations between the ideal line and the noise edge points are 502 the result of imperfections in either the image data or the applied edge detection algorithm. The Hough 503 transform produces discrete parameter space matrices of the spatial data in which voting peaks 504 indicate a continuous line object. Furthermore, minor restrictions to the objects orientation yield in 505 spatial matching of identified lines and tidal notch extents (see Fig. 8). The ability to adjust the edge 506 detection algorithm for individual requirements, using a Fuzzy logic approach appears to be more 507 reliable for highlighting notch morphologies than the Canny edge detection. Due to the possibility of 508 excluding plan changing curvature and defining membership grades, the line objects extracted from 509 Fuzzy logic edge detection is most suitable.

510 As mentioned above edge detection and line object extraction target remnants of raised notches, such 511 as their roof and/or floor. This should not be confused with the aims of traditional cliff profiling. Here, 512 the depth of a notch is not analysed and thus the outcome does not allow any conclusion on the 513 developing period as a function of the erosion rate. Only the vertical extent is measurable if the notch 514 is completely preserved. In Agios Pavlos, it is possible to obtain estimates of the tidal range (~ 0.35 ± 515 0.05 m) which are consistent with estimates from Evelpidou et al. (2012). However, assuming a 516 constant local tidal range throughout the Holocene allows the projection of the historic mean sea-517 levels with half the erosive zone beneath the detected roof and half above the detected floor, 518 respectively. Hence, historic sea-levels can be reconstructed although the majority of their 519 morphological footprints in a coastal cliff are no longer existent. Furthermore, data collection via TLS 520 enables the extraction of multiple traditional profiles easily for conventional analyses as well (see 521 profiles in Fig. 1) and adds coherent information on the third dimension to address local 522 heterogeneities. Therefore, traditional and presented approaches validate and complete each other 523 from the same data base.

524 Palaeoseismological studies are frequently assisted by tidal notch investigations in areas of coastal 525 tectonic activity (e.g. Kershaw and Guo, 2001). In particular, in extensional tectonic settings the 526 footwall coastal uplift is not likely to exceed several decimetres (e.g. Papanikolaou et al., 2010). 527 However, Pirazzoli et al. (1994) identified a series of four tidal notches of Holocene age at Heraion (Fig. 528 1d), each displaced by repeated uplifts of about  $0.8 \pm 0.3$  m. Assuming a ratio of 1/4 net slip per event, 529 this would equate to 4 m total offset in an area where Jackson et al. (1982) reported just minor 530 coseismic uplift of 0.2 during the Alkyonides earthquake sequence (M 6.4–6.7) in February and March 531 1981. If evidence for remnants of tidal notches in between more distinct features are detected by using 532 high resolution data in high performance algorithms, palaeomagnitude estimates get more realistic. 533 For instance, both Canny and Fuzzy logic edges provided evidence for notch roofs at +1.0 and +2.4 m 534 at the cliff beneath the lighthouse, respectively. These positions fit in the idea of regular displacements 535 during earthquakes and reduce mean notch offset yielding reliable values of coseismic uplift (0.5±0.2 536 m per event). A second example is obtained at Mylokopy. Including additional notch roofs (~0.6, ~1.3, 537 and ~2.25 m) would result in repeated uplifts of about 0.4  $\pm$  0.18 m corresponding to magnitudes of M 538  $6.7 \pm 0.1$  in accordance with Wells and Coppersmith (1994). The results help to reconcile the 539 discrepancy between the palaeoseismic record and the direct observations of co-seismic displacements provided by Jackson et al. (1982). Minor but horizontally continuous remnants revealed

- 541 by dense point cloud data are usually not validated in single 2D profiles. However, the identification of 542 new notch levels would (partially) solve the paradox between large tectonic uplift values and plausible
- 543 palaeomagnitudes.

544 The results show the possibility of tidal notch detection by curvature analysis and subsequent edge 545 detection and line feature extraction. It is shown that morphologies accepted as tidal notches can be 546 detected by reducing high resolution point cloud data towards the principal curvature pointing at the 547 roof or the floor of a notch, respectively (see Figs. 8c and 9b). Even evidence for previously unidentified 548 structures are extracted from the data. As a consequence more realistic uplift values would result if 549 these features get proven as remnants of tidal notches. The workflow enables the objective validation 550 of observations along coastline by evaluating coastal cliffs in three dimensions. Therefore, reliable 551 statements on coast uplifting earthquake events are possible. The variability of conventionally 552 collected tidal notch profiles (Kershaw and Guo, 2001) is circumvented by instant 3D data collection in 553 high resolution and applied spatial analytics. Furthermore, the semi-automated workflow provides fast 554 results once adjusted for individual needs. The benefits are as follows:

555 - Enhanced objectivity in recognising tidal notch morphologies on cliff faces.

556 - More insights from high-resolution 3D TLS by recognising undiscovered notches or features
 557 corresponding to multiple notches.

Valuable information on morphological characteristics even of only minor distinction and their
 spatial distribution especially in extensional tectonic settings, where coseismic uplift is much
 less than in compressional environments.

561 However, data quality and thus the reliability of the outcome remain dependent on the preservation 562 of individual tidal notches on a coastal cliff. Sheltered sites in microtidal seas provide perfect conditions 563 for tidal notch preservation after emergence whereas inhomogeneous and disturbed cliffs exposed to 564 the open sea (Pirazzoli, 1986) are not likely to be good archives of Holocene earthquake events. 565 Furthermore, varying bedrock consistency or the presence of bedding planes may yield in the formation of minor structural notches. Especially when the bedding is horizontally oriented, 566 567 misinterpretation by remote morphological analysis cannot be neglected (Kershaw and Guo, 2001). 568 This implies that along coast a natural variance of tidal notches masked by surf processes and 569 inhomogeneities yields different results of tidal notch identification. Therefore, careful site selection 570 for palaeo-shoreline identification should consider constraints of marine attacks, tectonic influences 571 on- and offshore and coastal geology. In order to consider such local lateral variations, 3D data 572 acquisition helps to reduce sources of misinterpretation. Therefore, we show that TLS combined with 573 up to date post-processing edge analyses can form a rigorous and useful approach to the interpretation 574 of palaeoseismic records from Holocene tidal notches.

575

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