

1 **Complement protein C1q interacts with DC-SIGN via its globular**
2 **domain, and thus may interfere with HIV-1 transmission**

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17 **Running title:** C1q and DC-SIGN in HIV-1 pathogenesis

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23 **Abstract**

24 Dendritic Cells (DCs) are the most potent antigen presenting cells capable of priming naïve T-
25 cells. Its C-type lectin receptor, DC-SIGN, regulates a wide range of immune functions. Along
26 with its role in HIV-1 pathogenesis through complement opsonization of the virus, DC-SIGN has
27 recently emerged as an adaptor for complement protein C1q on the surface of immature DCs via
28 a trimeric complex involving gC1qR, a receptor for the globular domain of C1q. Here, we have
29 examined the nature of interaction between C1q and DC-SIGN in terms of domain localization,
30 and implications of C1q-DC-SIGN-gC1qR complex formation on HIV-1 transmission. We first
31 expressed and purified recombinant extracellular domains of DC-SIGN and its homologue
32 SIGN-R as tetramers comprising of the entire extra cellular domain including the α -helical neck
33 region, and monomers comprising of the carbohydrate recognition domain only. Direct binding
34 studies revealed that both DC-SIGN and SIGN-R were able to bind independently to the
35 recombinant globular head modules ghA, ghB and ghC, with ghB being the preferential binder.
36 C1q appeared to interact with DC-SIGN or SIGN-R in a manner similar to IgG. Mutational
37 analysis using single amino acid substitutions within the globular head modules showed that
38 Tyr^{B175} and Lys^{B136} were critical for the C1q-DC-SIGN/SIGN-R interaction. Competitive studies
39 revealed that gC1qR and ghB shared overlapping binding sites on DC-SIGN, implying that HIV-
40 1 transmission by DCs could be modulated due to the interplay of gC1qR-C1q with DC-SIGN.
41 Since C1q, gC1qR and DC-SIGN can individually bind HIV-1, we examined how C1q and
42 gC1qR modulated HIV-1-DC-SIGN interaction in an infection assay. Here, we report, for the
43 first time, that C1q suppressed DC-SIGN-mediated transfer of HIV-1 to activated PBMCs,
44 although the globular head modules did not. The protective effect of C1q was negated by the
45 addition of gC1qR. In fact, gC1qR enhanced DC-SIGN-mediated HIV-1 transfer, suggesting its
46 role in HIV-1 pathogenesis. Our results highlight the consequences of multiple innate immune
47 pattern recognition molecules forming a complex that can modify their functions in a way which
48 may be advantageous for the pathogen.

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52 **Introduction**

53 Dendritic Cell Specific Intracellular adhesion Grabbing Non Integrin (DC-SIGN) is a C-type
54 lectin expressed on dendritic cells (DCs) that functions as a pattern recognition receptor (PRR).
55 It can interact with a range of viral, bacterial and fungal pathogens to primarily promote Th2
56 responses via activation of the mitogen activated protein kinases Erk1 and Erk2 (1), leading to
57 the clearance of pathogens. DC-SIGN also modulates TLR signaling through activating Serine
58 and Threonine kinase Raf1, which acetylates the NF- κ B subunit p65 upon interaction with
59 various pathogens, such as *Mycobacterium tuberculosis*, *M. leprae*, *C. albicans*, and measles
60 virus (2, 3). Acetylation of p65 and increased IL-10 transcription leads to an enhanced anti-
61 inflammatory cytokine response (2). DC-SIGN also mediates DC-T cell interaction via
62 Intracellular Adhesion Molecule-3 (ICAM-3) (4). In addition, DCs can adhere to endothelial
63 cells expressing high levels of ICAM-2 via DC-SIGN. Further interactions between Lymphocyte
64 Function-Associated Antigen-1 (LFA-1) and ICAM-1 with ICAM-2–DC-SIGN (5) promote
65 trans-endothelial migration of DCs, allowing them to travel from the blood to the lymphatic
66 system where they can induce T cell responses. Martinez et al have shown that DC-SIGN
67 stimulated CD3-activated T cells produce IL-2, which, in turn, enhances T cell differentiation
68 (6). DC-SIGN can bind the cell wall component, glycolipid ManLAM of *M. tuberculosis*, and
69 inhibit DC maturation through the suppression of TLR-4 (7). Such a cross-talk between TLRs
70 and DC-SIGN that generates anti-inflammatory immune response highlights the two faced role
71 of DC-SIGN in immune regulation.

72 DC-SIGN can bind to HIV-1 envelope protein gp120 through glycan structures (8) and mediate
73 HIV transmission in cis- and trans- fashion. The cis-mode supports DC-SIGN-mediated viral
74 internalization and limited replication; in trans-mode, viral particles are endocytosed and
75 presented to CD4⁺ cells (9). DC-SIGN, thus, allows DCs to carry HIV-1 to the lymph nodes
76 where interactions between DCs and T cells leads to transmission of the virus to CD4⁺ T cells,
77 leading to their infection and eventual depletion (10). The Hepatitis C Virus (HCV) envelope
78 glycoprotein E2 is another viral protein DC-SIGN engages with (11). This is achieved through
79 utilizing its high quality endocytic capability to internalize the viral antigen, leading to the
80 infection of DCs (12).

81 Structurally, DC-SIGN is composed of an extracellular domain which exists as a tetramer,
82 stabilized by an N-terminal α -helical neck region, followed by a Carbohydrate Recognition
83 Domain (CRD) (8) . Its affinity for N-linked high mannose oligosaccharides is evident through
84 its ligands HIV-1 gp120 and ICAM-3 being highly glycosylated, indicating that this binding is
85 mediated through the CRD region (13, 14) . Studies have shown that the interaction between
86 gp120 and DC-SIGN triggers a drop in IL-6 production by immature DCs. In addition to this,
87 gp120 binding to DC-SIGN has also been shown to suppress the anti-apoptotic activity of Nef
88 and induce apoptosis in immature DCs (14) . Thus, HIV pathogenesis heavily relies on the
89 interplay of molecular mechanisms involving DC-SIGN.

90 Recently, it has emerged that DC-SIGN interacts with the complement classical pathway
91 recognition protein, C1q (15) , in conjunction with its globular head receptor, gC1qR, on the
92 surface of immature DCs. C1q as well as gC1qR are known to associate with the viral envelope
93 protein gp41 of HIV-1 (16, 17) . C1q has been shown to interact with gp41 ectodomain via its
94 globular head (gC1q) domain (18) , specifically via the A chain (19) , in a way similar to C1q-
95 IgG interaction (20, 21) . Similar to IgG, the ability of gp41 to form aggregates (16) leads to an
96 enhanced activation of the C1 complex, as well as the function of gp41 to initiate the classical
97 pathway on the surface of infected cells in an antibody-independent manner (22) . The C1q
98 binding site on gp41 resides within residues 601-613 of the immunodominant loop region (16) ,
99 which contains hydrophobic side chains and forms a cleft (23) .

100 gC1qR on its own has been shown to suppress the production of HIV-1 in MT-4 and H9 human
101 T cell lines, and macrophages infected with HIV-1_{IIIIB} and HIV-1_{Ba-L} (24) . Suppression of virus
102 production is further enhanced when gC1qR is pre-incubated with the target cell lines prior to
103 HIV-1 challenge, suggesting that interference with viral entry by gC1qR occurs through
104 interaction with CD4 (24) . gC1qR is known to bind to a range of viral ligands including the
105 HCV core protein (25) , Adenovirus core protein V (26) EBNA-1 (27) and Rubella virus
106 capsid protein (28) . gC1qR can also act as a receptor for HIV-1 gp41 and target healthy CD4⁺ T
107 cells to Natural Killer (NK) cell-mediated lysis (17) . This bystander effect of autologous killing
108 occurs through the surface translocation of NKP44L, through activation of PI3K, NADPH
109 oxidase and p190 RhoGAP.

110 Recently, DC-SIGN, C1q and gC1qR on immature DCs have been shown to form a tripartite
111 complex, with a plausible role in DC differentiation through signaling via the NF- κ B pathway
112 (15) . Given that each of these innate immune proteins (C1q, DC-SIGN and gC1qR) can bind
113 HIV-1, we set out to dissect the nature of interaction between C1q and DC-SIGN, and examine
114 how it can impact upon HIV-1 transmission.

115 **MATERIALS AND METHODS**

116 **Expression and purification of soluble DC-SIGN and SIGN-R**

117 The pT5T constructs expressing tetrameric and monomeric forms of DC-SIGN and SIGN-R
118 were transformed into *Escherichia coli* BL21 (λ DE3) (8) . Protein expression was performed in
119 bacterial culture using Luria-Bertani medium containing 50 μ g/ml of ampicillin at 37°C until
120 OD₆₀₀ reached 0.7. The bacterial culture was induced with 10mM isopropyl- β -D-thiogalactoside
121 (IPTG) and incubated for a further 3 h. Bacterial cells (1 litres) were centrifuged at 4500 x g for
122 15 min at 4°C and cell pellet was treated with 22 ml of lysis buffer containing 100 mM Tris, pH
123 7.5, 0.5 M NaCl, lysozyme (50 μ g/ml), 2.5 mM EDTA, pH 8.0 and 0.5 mM
124 phenylmethylsulfonyl fluoride (PMSF), and left to stir for 1 hour at 4°C. Cells were then
125 sonicated for 10 cycles for 30 seconds with 2 minute intervals and the sonicated suspension was
126 spun at 10,000g for 15 min at 4°C. The inclusion bodies, present in the pellet, were solubilized
127 in 20 ml of 6 M Urea, 10 mM Tris-HCl, pH 7.0 and 0.01% β -mercaptoethanol by rotating on a
128 shaker for 1 h at 4°C. The mixture was then centrifuged at 13,000 x g for 30 min at 4°C and the
129 supernatant was drop-wise diluted 5-fold with loading buffer containing 25 mM Tris-HCl pH
130 7.8, 1 M NaCl, and 2.5 mM CaCl₂ with gentle stirring. This was then dialysed against 2 litres of
131 loading buffer with 3 buffer changes every 3 h. Following further centrifugation at 13,000 x g for
132 15 min at 4°C, the supernatant was loaded onto a Mannan agarose column (5ml; Sigma) pre-
133 equilibrated with the loading buffer. The column was washed with 5 bed volumes of the loading
134 buffer and the bound protein was eluted in 1 ml fractions using the elution buffer containing 25
135 mM Tris-HCl pH 7.8, 1 M NaCl, and 2.5 mM EDTA. The absorbance was read at 280 nm and
136 the peak fractions were frozen at -20. Purity of protein was analyzed by 15% w/v SDS-PAGE.

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138 **Expression and purification of recombinant wild type globular head modules ghA, ghB and**
139 **ghC of human C1q, and their substitution mutants**

140 The recombinant globular head regions of human C1q, ghA, ghB, and ghC modules (19) and
141 their respective mutants (29) were expressed in *E. coli* BL21 as fusion to maltose-binding
142 protein (MBP). Bacterial cells were grown in 200 ml LB medium containing ampicillin
143 (100µg/ml) at 37°C, were induced with 0.4 mM IPTG at OD₆₀₀ of 0.6 for 3 h and then
144 centrifuged (4500 x g for 15 min). The cell pellet was suspended in 25 ml of lysis buffer (20 mM
145 Tris-HCl pH 8.0, 0.5 M NaCl, 1 mM EDTA, 0.2% v/v Tween 20, 5% glycerol, 0.1 mM PMSF
146 and 0.1 mg lysozyme) and incubated at 4°C for 1 h on a rotary shaker. Cell suspension was then
147 sonicated for 30 seconds with 2 minute gaps for 10 cycles. After centrifugation (13,000 x g for
148 15 min), the supernatant was diluted 5-fold in buffer I (20 mM Tris-HCl, pH 8.0, 100 mM NaCl,
149 0.2% Tween 20, 1 mM EDTA and 5% glycerol), passed through an amylose resin column (15
150 ml; New England Biolabs), and then washed with 3 bed volumes of buffer I followed by buffer II
151 (50 ml of buffer I without Tween 20). The protein was then eluted in 1ml fractions with 10 mM
152 maltose in 100 ml of buffer II and frozen at -20°C after determining protein concentration and
153 purity via Nanodrop and 10% w/v SDS-PAGE, respectively.

154

155 **Purification of human C1q from plasma**

156 C1q was purified from freshly-thawed plasma as described previously (19) . Briefly, plasma was
157 made 5mM EDTA, pH 7.5 and centrifuged to remove aggregated lipids. It was then incubated
158 with non-immune IgG coupled to CNBr-activated Sepharose (GE Healthcare, UK) for 1 h at
159 4°C. The plasma with IgG-Sepharose was filtered through a sintered glass funnel, and C1q-
160 bound Sepharose was then washed extensively with 10 mM HEPES, 140 mM NaCl, 0.5 mM
161 EDTA, pH 7.0. C1q was eluted with CAPS (N-cyclohexyl-3-aminopropanesulfonic acid) buffer
162 (100 mM CAPS, 1 M NaCl, 0.5 mM EDTA, pH 11). The eluted C1q was then passed through a
163 HiTrap Protein G column (PierceNet, USA) to remove IgG contaminants and dialyzed against
164 the washing buffer.

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168 **Expression and purification of human gC1qR**

169 The recombinant mature gC1qR protein containing 74-282 residues was expressed in *E. coli*
170 BL21 (λ DE3) (Pednekar et al, *Frontiers in Immunology*, in revision). Bacterial cells were grown
171 in 250 ml of LB at 37°C until an OD of 0.6 was reached and induced with 0.5mM IPTG. After 3
172 h, the bacterial cell culture was spun down (4500g 15min). The cell pellet was treated with lysis
173 buffer (20 mM Tris-HCl pH 8.0, 0.5 M NaCl, 1 mM EDTA, 0.2% Tween, 5% glycerol, 0.1mg
174 lysozyme) and incubated for 1 hour at 4°C with shaking. The cell lysate was then sonicated for
175 10 cycles at 30 seconds with 2 minute intervals. The lysate was spun down at 13000g for 15min
176 and the supernatant was collected and dialyzed for 2 h against 20 mM Tris-HCl, pH 7.5. The
177 dialyzed protein was subjected to ion exchange using a DEAE column and eluted at a peak of
178 0.45 M NaCl.

179 **Direct Binding ELISA**

180 Microtitre wells were coated overnight at 4°C with DC-SIGN or SIGN-R (5, 2.5, 1.25 and 0.625
181 μ g/well) in carbonate-bicarbonate buffer, pH 9.6) and left overnight at 4°C. Wells were blocked
182 with 100 μ l of 2% w/v BSA in PBS for 2 h at 37°C. Following 3 washes with PBS + 0.05%
183 Tween 20, ghA, ghB, ghC, or its substitution mutants (2.5 μ g/100 μ l) was added to each well in
184 the buffer containing 50 mM NaCl, 100 mM Tris-HCl, pH 7.5 and 5 mM CaCl₂. MBP (Sigma)
185 was used as a negative control. The plate was incubated at 37°C for 1.5 h and then at 4°C for 1.5
186 h. The wells were washed and the bound protein was detected with anti-MBP monoclonal
187 antibodies in PBS (1:5000, Sigma) followed by rabbit anti-mouse IgG-HRP conjugate (1:5000;
188 Sigma) for 1 h. The colour was developed using OPD (o-phenylenediamine dihydrochloride,
189 Sigma) and read at 415 nm.

190 **Competitive ELISA**

191 DC-SIGN and SIGN-R were coated on microtitre wells by overnight incubation at 4°C using 5
192 μ g/well (in 100 μ l) in carbonate-bicarbonate buffer pH 9.6. Wells were blocked with 2% BSA in
193 PBS for 2 h at 37°C. Following washing with PBS + 0.05% Tween, the plate was incubated with
194 a steady concentration (5 μ g/well) of one competing protein (gC1qR) and various concentrations
195 (5, 2.5, 1.25, 0.625 μ g/well) of the second competing protein (ghB) in calcium buffer to give a
196 total of 100 μ l per well. After incubating for 1.5 h at 37°C and 1.5 h at 4°C the wells were

197 washed and anti-gC1qR polyclonal antibody (1:1000) in PBS was added and incubated for a
198 further 1 h at 37°C. Bound protein was detected by Protein A-HRP conjugate (1:5000) and the
199 colour was developed using o-Phenylenediamine dihydrochloride (OPD). Data was plotted to
200 determine inhibition values of competitive ligand binding.

201
202 In order to examine if C1q and globular head modules can inhibit binding of DC-SIGN to gp120,
203 microtitre wells were coated with 250 ng of gp120 (Abcam) in carbonate-bicarbonate buffer and
204 left overnight at 4°C. Plate was blocked with 2% w/v BSA in PBS for 2 h at 37°C, followed by
205 washing three times with PBS + 0.05% Tween 20. Various concentration of ghA, ghB, ghC and
206 C1q (10, 5, 2.5, 0 µg/ml) were co-mixed with 2.5µg/ml of DC-SIGN, and added to wells in
207 calcium buffer (100 µl per well). After incubation for 1 h at 37°C and 1 h at 4°C, the wells were
208 washed again three times using PBS + 0.05% Tween 20. The binding of DC-SIGN to gp120 in
209 the presence of globular heads and C1q as detected using rabbit anti-DC-SIGN antibody (1:500),
210 and probed with protein A-HRP conjugate (1:5000). The colour was developed using 3,3',5,5'-
211 Tetramethylbenzidine (TMB) and read at 450nm spectrophotometrically.

212

213 **Western Blotting**

214 Recombinant ghA, ghB and ghC modules (15 µg), in addition to MBP and BSA as negative
215 control proteins, were run separately on a 12% SDS-PAGE gel and transferred onto PDVF
216 membrane for 1 h at 320 mA. Membrane was blocked in 5% non-fat milk (1 h at room
217 temperature) and 50µg of recombinant DC-SIGN (tetramer) in loading buffer (25 mM Tris-HCl,
218 pH 7.8, 1 M NaCl, 2.5 mM CaCl₂) was added and incubated overnight at room temperature. The
219 blot was washed three times for 10 min each in PBS containing 0.05% Tween 20 and then
220 incubated with anti-DC-SIGN (1:1000) polyclonal antibody (ProSci) in 1% non-fat milk (2 h at
221 37°C). Following subsequent washes, the membrane was incubated with Protein A-conjugated
222 HRP (1:1000) (1h at room temperature). The blot was developed using 3, 3'-Diaminobenzidine
223 (Sigma D7679) (DAB) as a substrate.

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227 **Fluorescent microscopy**

228 **Binding of C1q globular head modules to DC-SIGN expressed on HEK cells**

229 DC-SIGN expressing HEK 293 (DC-HEK) cells, as reported by Lang et al (30) were grown in
230 DMEM-F12 (Life technologies, UK) containing 10% v/v FCS and blasticidin (5µg/ml) (Gibco).
231 The cells were grown on 13mm glass cover slips till a monolayer of cells was formed, and then
232 incubated with 15µg/ml of recombinant ghA, ghB and ghC (MBP as a negative control)
233 separately in serum free medium and left to incubate for 30 min in 37°C. Cells were washed with
234 PBS and fixed using 4% v/v Paraformaldehyde (PFA) for 10 min, rinsed again with PBS three
235 times, and then blocked with 5% FCS for 30 min. The slides were incubated for 30 min with
236 mouse anti-MBP antibody to detect MBP fusion proteins and rabbit anti-DC-SIGN antibody to
237 reveal expression of DC-SIGN in DC-HEK cells. After three washes for 30 min each and
238 incubation with secondary antibodies: Alexa Fluor 568 conjugated goat-anti-mouse antibody
239 (Thermo Fisher) and Alexa Fluor 488 conjugated goat anti-rabbit antibody (Abcam) for 30 min,
240 the slides were then washed in PBS, mounted and observed under Leica DM4000 Fluorescent
241 microscope using Leica Application Suite.

242 **HIV-1 transfer assay with DC-HEK cells and PBMC**

243 Pooled Peripheral Blood Mononuclear Cells (PBMCs) (HiMedia Laboratories, India) were
244 cultured in RPMI 1640 medium (Sigma Aldrich) containing 10% FBS, 1% Penicillin-
245 Streptomycin (Complete RPMI medium), and stimulated with 5 µg/ml phytohemagglutinin (PHA)
246 and 10 U/ml of recombinant-human IL-2 (Gibco) for 24 h. PHA/IL-2 was washed off and
247 activated PBMCs were cultured further for 3 days in complete RPMI 1640 medium.

248 DC-HEK cells were grown and maintained in DMEM-F12 (Sigma Aldrich USA) containing
249 10% FBS and blasticidin (5µg/ml) (Gibco). Cells were sub-cultured every 3 days and those in the
250 log phase were used for assays. DC-HEK cells were grown in a 12 well plate until 80%
251 confluence. Indicated concentrations of C1q, ghA, ghB, ghC, gC1qR individually, or in
252 combination, in medium containing 5mM CaCl₂ were added to each well and incubated for 2 h
253 to allow binding. Excess protein was removed and cells were challenged with 5 ng/ml p24 of
254 HIV-1 SF-162 strain for 1 h. MBP was added along with the virus as a negative protein control.
255 Unbound virus was washed off and cells were co-cultured with PHA/IL-2 activated PBMCs for

256 24 h to facilitate viral transfer. PBMCs in the supernatant were then separated from the adhered
257 DC-HEK monolayer and were cultured further for 7 days and viral titre was determined using
258 HIV-1 p24 antigen ELISA of supernatants collected on day 4 and 7 (XpressBio Life Science
259 Products, Frederick, MD). That the reduction in p24 levels was not due to cellular death was
260 confirmed by MTT assay of cultured PBMCs on day 7.

261 **Statistical analysis**

262 Viral transfer experiment data is plotted using Graph-Pad Prism version 5.0 and data has been
263 analysed for statistical significance using one way ANOVA. $P < 0.05$ was considered as
264 statistically significant.

265 **RESULTS**

266 **Both DC-SIGN and SIGN-R bind to C1q**

267 DC-SIGN and SIGN-R comprising of the entire extracellular domain (ECD) (Figure 1a, c) and
268 the CRD region alone (Figure 1b, d) alone were expressed in *E. coli* and affinity-purified on
269 Mannose-agarose. The CRD regions of DC-SIGN and SIGN-R bound mannose weakly as
270 majority of the proteins appeared in the flow through. The ECD domains of both DC-SIGN and
271 SIGN-R bound to mannose with much greater affinity in the presence of Ca^{2+} and eluted with
272 EDTA. Previously, Kang et al have shown that SIGN-R interacts with C1q (31) . Recently,
273 work by Hosszu et al revealed that DC-SIGN bound directly to C1q (15) . Thus, we examined
274 direct binding of both the tetrameric and monomeric variants of DC-SIGN and SIGN-R with
275 purified human C1q on microtitre plates. Both DC-SIGN (Figure 2a) and SIGN-R (Figure 3a) in
276 their tetrameric and monomeric forms were able to bind to C1q in a dose-dependent manner.
277 Experiment showed a strong binding of the tetramers to C1q when compared to the CRD region
278 alone, with the ability of C1q to bind nearly 50% more when the α -helical neck was intact. C1q
279 bound to SIGN-R (Figure 3a) better than DC-SIGN (Figure 2a). The ability of the globular head
280 modules to bind DC-SIGN was also examined via a far-western blot (Figure 2d), where ghA,
281 ghB and ghC, run on a SDS-PAGE and transferred on a nitrocellulose membrane, were probed
282 with soluble DC-SIGN tetramer. ghA and ghB appeared to bind DC-SIGN well compared to the
283 ghC module. MBP and BSA, used a negative control proteins, did not bind DC-SIGN tetramer.

284

285 **DC-SIGN and SIGN-R neck region is required for efficient binding to C1q and individual**
286 **globular head modules**

287 Tetrameric (Figure 2b) and monomeric (Figure 2c) DC-SIGN and SIGN-R (Figure 3b, c) coated
288 on microtitre wells were probed with ghA, ghB and ghC to examine whether these globular head
289 modules were able to bind to the extracellular domain and carbohydrate recognition domain
290 (CRD) with similar avidity. ghA, ghB and ghC bound with much greater affinity to DC-SIGN
291 and SIGN-R tetramer in comparison to the monomers, indicating that the neck is required for the
292 individual globular heads to bind efficiently. Like C1q, the ghA, ghB and ghC modules bound
293 SIGN-R better than DC-SIGN (Figure 3b).

294

295 **DC-SIGN and SIGN-R bind preferentially to ghB module**

296 Since C1q bound to DC-SIGN and SIGN-R via its globular head region, as evident from the use
297 of ghA, ghB and ghC models, we sought to map their specificity for DC-SIGN and DC-SIGN-R
298 binding (Figure 2b, 3b). When DC-SIGN and SIGN-R were coated on microtitre wells and
299 probed with ghA, ghB and ghC, all three globular heads binds DC-SIGN and SIGN-R in a dose
300 dependent manner indicating that all three heads are capable of binding to the ligands
301 independently. Furthermore, ghB module was preferential in binding to DC-SIGN (Figure 2b); it
302 bound much better to DC-SIGN compared to ghA and ghC. In addition, ghB was a better binder
303 of SIGN-R, as was ghA for SIGN-R (Figure 3b) than DC-SIGN (Figure 2b), compared with the
304 ghC module. Although binding of ghA, ghB and ghC to the CRD domain only was significantly
305 lower, the ghB module was still a better binder of DC-SIGN (Figure 2c) and SIGN-R (Figure 3c)
306 monomers.

307 **The ghA substitution mutants bind differentially to DC-SIGN and SIGN-R**

308 The ability of substitution mutants Arg^{A162}Glu and Arg^{A162}Ala to bind to DC-SIGN and SIGN-R
309 was assessed by ELISA. Both substitution mutants bound DC-SIGN (Figure 4a) and SIGN-R
310 (Figure 5a) in a dose-dependent manner. SIGN-R was able to interact with Arg^{A162}Glu nearly as
311 efficiently as it did with the wild-type ghA, showing a reduction in binding of only 15% (5%) at
312 the highest concentration of 5µg (Figure 5a). Arg^{A162}Ala, on the other hand, bound SIGN-R with
313 much less affinity, showing a drop of 27% (Figure 5a). Considering DC-SIGN and SIGN-R are
314 both highly conserved, Arg^{A162}Glu was able to interact with DC-SIGN weakly than it did with
315 SIGN-R (Figure 4a, 5a), showing a ~35% reduced binding as opposed to ~5% (seen with SIGN-

316 R.) The mutant Arg^{A162}Ala bound DC-SIGN in a similar manner as it did to its homologue
317 SIGN-R showing a reduced binding of ~25% (Figure 4a).

318 **ghB substitution mutants bind differentially to DC-SIGN and SIGN-R**

319 Using ELISA, we examined the ability of DC-SIGN to bind to the ghB substitution mutants
320 Arg^{B114}Gln, Arg^{B114}Ala, Arg^{B163}Glu, Arg^{B163}Ala, Arg^{B129}Ala, Arg^{B129}Glu, His^{B117}Asp,
321 Tyr^{B175}Leu and Leu^{B136}Gly (29). All the ghB substitution mutants bound DC-SIGN (Figure 4b)
322 and SIGN-R (Figure 5b) in a dose dependent manner. Substituting Arg^{B114} to Gln and Ala
323 resulted in a reduction of ~50% in the case of DC-SIGN (Figure 4b) and SIGN-R binding
324 (Figure 5b), suggesting that the Arg residue at this position plays an important role in the C1q-
325 DC-SIGN/SIGN-R interaction (Table 1, 2).

326
327 Substituting the ghB mutant Arg^{B129} with Glu and Ala caused a slight reduction of ~20% binding
328 with DC-SIGN (Figure 4b) and up to ~40% with SIGN-R (Figure 5b). When Arg^{B163} was
329 replaced with the negatively charged Glu, its affinity for DC-SIGN and SIGN-R was reduced by
330 50% and 35%, respectively (Figure 4b, 5b) (Table 1, 2); substitution with Ala resulted in 30%
331 reduction for DC-SIGN (Figure 4b) and increase by 20% for SIGN-R (Figure 5b) (Table 1.2). A
332 greater reduction in DC-SIGN and SIGN-R binding of ~60% was observed for the ghB mutant
333 His^{B117} substituted for Asp. For the ghB module, Tyr^{B175} substitution to Leu had the most
334 significant effect, showing a dramatic decrease of up to 90% in binding to DC-SIGN as well as
335 SIGN-R at a concentration of 0.625µg, this is not surprising due to its role in stabilizing the
336 gC1q domain.

337 338 **Residue Leu¹³⁶ on ghB, important for IgG binding, is also involved in DC-SIGN binding**

339 Using a series of globular head single residue substitution mutants (29), we sought to examine
340 which residues in the ghB chain offered complementary binding sites for DC-SIGN. Since
341 Leu^{B136} and Tyr^{B175} residues are considered important in maintaining the gC1q structure as well
342 as for IgG binding (32), we used Leu^{B136} substituted for Glu and Tyr^{B175} substituted for Leu in
343 direct- binding ELISA. Leu^{B136}Gly showed ~50% less binding to DC-SIGN at the highest
344 concentration (Figure 4b), suggesting that DC-SIGN and IgG binding sites on C1q (ghB) are
345 overlapping.

346 **The contributions of ghC substitution mutants to DC-SIGN binding**

347 The substitution mutants His¹⁰¹Ala, Arg¹⁵⁶Glu and Leu¹⁷⁰Glu bound to DC-SIGN in a dose-
348 dependent manner (Figure 4c). In fact, replacing Leu¹⁷⁰ with Glu of the ghC chain reduced
349 binding to DC-SIGN with a decrease in ~25% at the highest concentration. The ghC mutants
350 His¹⁰¹Ala reduced binding by 10%, suggesting that the contributions of His¹⁰¹ and Leu¹⁷⁰ are
351 comparable in the DC-SIGN-C1q interaction. The ghC substitution mutants also bound to SIGN-
352 R in a dose-dependent manner.

353 The mutants His¹⁰¹Ala appeared to show ~10% better binding to SIGN-R with compared to wild
354 type, whereas Leu¹⁷⁰Glu and Arg¹⁵⁶Glu showed reduced binding by up to 25% at the highest
355 concentration of 5 µg of SIGN-R (Figure 5c) (Table 2)

356

357 **gC1qR and ghB compete for the same binding site on DC-SIGN**

358 In view of the recent report of gC1qR, C1q and DC-SIGN forming a trimeric complex on
359 immature DCs (15), we examined whether DC-SIGN has complementary and overlapping
360 binding site for C1q and gC1qR. We have recently mapped the gC1qR binding site on ghA, ghB
361 and ghC (Pednekar et al, in revision). Since ghB was found to be the preferential binder of DC-
362 SIGN, ghB modules were tested in a competitive assay. When different concentrations of ghB
363 and a constant concentration of gC1qR were challenged against DC-SIGN, probing with anti-
364 gC1qR polyclonal antibody revealed that with decreasing concentration of ghB, more gC1qR
365 was able to bind to solid-phase DC-SIGN (Figure 6a), thereby implying an overlapping binding
366 site between the proteins. 5µg of DC-SIGN and 5µg of gC1qR were able to bind efficiently,
367 showing an OD of 1 (data not shown); this binding appeared to be drastically reduced when 5µg
368 of gC1qR was allowed to compete with 5µg of ghB.

369

370 **C1q, ghA, ghB, ghC inhibit the binding of DC-SIGN to gp120**

371 C1q, ghA, ghB and ghC were able to inhibit the binding of DC-SIGN to immobilized gp120 in a
372 dose dependent manner. The highest concentration of C1q, ghA, ghB, and ghC were able to
373 significantly compete out the binding of DC-SIGN (Figure 6b).

374

375

376

377 **The ghA, ghB, and ghC modules bind to cell surface expressed DC-SIGN**

378 The binding of globular head modules to DC-SIGN was also performed using HEK 293 cells
379 expressing DC-SIGN on the cell surface. The surface expression of DC-SIGN on DC-HEK cells
380 was first confirmed with antibodies against DC-SIGN. To confirm the binding of individual
381 globular head modules to DC-SIGN on DC-HEK cells, ghA, ghB and ghC fused with MBP were
382 added to the DC-HEK cells (Figure 7). Incubation of the globular head modules and probing
383 with anti-MBP monoclonal antibodies showed that each globular head module bound on the
384 surface of DC-HEK cells co-localizing with DC-SIGN expressed on DC-HEK cells unlike MBP
385 (Figure 7). This shows that globular heads interacted with DC-SIGN via head region not via
386 MBP.

387 **C1q inhibits DC-SIGN mediated transfer of HIV-1 to PBMC in culture**

388 Since CD4⁺ T cells and macrophages are the main cells targeted by HIV-1, we looked at the
389 potential of C1q, ghA, ghB, ghC, and gC1qR to modulate DC-SIGN-mediated transfer of HIV-1
390 to activated PMBCs. As shown in Figure 8a, C1q considerably inhibited viral transfer to PMBCs
391 in a dose-dependent manner on day 4 and 7. The globular head modules, ghA, ghB and ghC,
392 surprisingly did not interfere with HIV-1 transfer, neither individually nor collectively, when
393 compared to untreated or MBP-treated control, suggesting that the collagen region of C1q,
394 and/or its multivalency of the gC1q domains are likely requirement for enforcing inhibitory
395 properties. Addition of MBP in the Control wells did not significantly affect the p24 levels in
396 comparison with untreated controls (data not shown). Furthermore, during the assay period,
397 cellular viability was not affected by any of the protein treatments, suggesting that differences in
398 the infectivity were not due to cell death.

399

400 We further examined the involvement of gC1qR with DC-SIGN in HIV-1 infection transmission
401 (Figure 8b). gC1qR has previously been shown to inhibit CD4-gp120 interaction in HIV-1
402 isolates. It has also been recognized as a receptor on CD4⁺ T cells that gp41 engages with in
403 order to cause death of bystander CD4⁺ T cells. We wanted to determine the role of gC1qR in
404 DC-SIGN mediated infection with or without C1q. Figure 8b shows that gC1qR alone did not
405 mediate viral transfer through DC-SIGN but significantly promoted viral transfer in the presence
406 of C1q as well as the three globular head modules together for up to 7 days. This suggests that

407 the tripartite interaction between C1q, gC1qR and DC-SIGN enhances DC-SIGN mediated viral
408 transfer. Thus, association of these proteins on DCs may actually promote HIV-1 infection. In
409 addition, involvement of gC1qR in the tripartite complex is likely to negate the protective effect
410 of C1q.

411

412 **DISCUSSION**

413 The role of complement in HIV-1 pathogenesis is well-documented. Complement-opsonized
414 HIV-1 causes enhanced viral infection of CD4⁺ T cell lines (33), PBMCs, monocytes and
415 macrophages (34). DC-SIGN on the surface of immature DCs is involved in the capture of C3
416 opsonized R5 and X4 tropic HIV, and enhanced transmission to T cells (35). C1q can bind to
417 gp41 directly in an antibody-independent manner and activate the classical pathway (16);
418 however, this leads to an enhanced infection of complement receptor bearing cells (36). To
419 escape complement mediated destruction, HIV-1 uses follicular DCs as a viral reservoir (37),
420 following its internalization via CR2, and remain within its protective recycling endosome.
421 Following emergence from the endosome to the cell surface, HIV-1 infects follicular T cells
422 through CD4. HIV-1 opsonized with C3b interacts with CR1 on erythrocytes with factor I
423 dissociating erythrocytes from this complex and converting C3b to iC3b and C3d. C3d opsonized
424 HIV-1 is then able to bind to CR2 on B cells (38). In this study, we have examined involvement
425 of C1q in a complement-independent interaction with HIV-1 via DC-SIGN.

426 C1q is a charge pattern recognition protein that binds to a variety of ligands via its gC1q domain
427 (19). Following its ability to interact with SIGN-R (31), Hosszu et al recently have shown that
428 C1q also recognizes a peptide derived from its homologue DC-SIGN (15). In the current study,
429 we made use of the availability of recombinant individual globular head modules of C1q (ghA,
430 ghB and ghC) and its substitution mutants to establish that C1q binds DC-SIGN (and DC-
431 SIGNR) via its gC1q domain.

432 Structure-function studies have demonstrated that the CRD region of DC-SIGN is the specific
433 site for ligand binding and only functions in the presence of the neck region within the ECD (12)
434 . We performed a series of binding experiments involving both the tetrameric forms of DC-SIGN
435 and SIGN-R (comprising of the extracellular domain and CRD region) as well as the monomeric
436 forms, which only consist of the CRD region. We asked the question whether DC-SIGN and

437 SIGN-R binding sites for C1q (and its gC1q domain) lies within their CRD region, or the α -
438 helical neck region also plays an important role in these interactions. Both proteins have an
439 increased affinity for glycoproteins containing high mannose oligosaccharides, such as mannan
440 (39) , gp120 (10) and ICAM-3 (40) , via the CRD region. Here, individual globular head
441 modules bound better to the tetrameric forms of DC-SIGN and SIGN-R as opposed to just the
442 CRD region alone, indicating that the neck region, and hence, multimerization is needed to
443 facilitate C1q binding. The neck region of DC-SIGN and SIGN-R interestingly differ most in
444 their α helical structures (8) as the 23 amino acid repeats only show the first half of each repeat
445 presenting a pattern of hydrophobic residues spaced at intervals, a feature that is abundant in
446 most dimeric and trimeric coiled-coils (41) .

447 We also found that the ghB module bound better to DC-SIGN and SIGN-R. Previous studies
448 have identified ghB as a key module of the gC1q domain in binding to IgG, PTX3 and CRP (42,
449 43) . Interestingly, C1q and ghB bound SIGN-R better than DC-SIGN, given 77% sequence
450 similarity. We also expressed and purified globular head mutants (29) where single amino acid
451 residues were substituted in order to localize key residues involved in C1q interaction with its
452 various ligands. These mutants were designed based on the crystal structure and are the residues
453 known to be important in binding to various C1q ligands (42) . It appears that IgG and DC-
454 SIGN binding sites on ghB are overlapping and shared since Lys¹³⁶ and Tyr¹⁷⁵ on ghB, which
455 have been previously shown to be important for binding to IgG (29) and for gC1q assembly
456 (44) . We also examined the roles of Arg¹¹⁴, Arg¹²⁹ and Arg¹⁶³ of the ghB module since Arginine
457 residues have previously been shown to be important for the C1q-IgG interaction (45) .
458 Moreover, Hosszu et al have reported that C1q binds DC-SIGN via its IgG binding site. Our
459 results highlighted the significance of Arg¹¹⁴ in C1q interaction with DC-SIGN and SIGN-R
460 (Figure 4b, 5b). Substituting Arg¹¹⁴ with the polar residue Glutamine and hydrophobic residue
461 Ala led to ~80% reduction, highlighting a very important role for Arginine¹¹⁴ of B chain in C1q-
462 DC-SIGN interaction. In addition, Tyr¹⁷⁵ appears critical for C1q interaction with DC-SIGN and
463 SIGN-R (Figure 4b, 5b); the binding analysis revealed a dramatic reduction (82% for DC-SIGN
464 and 90% for SIGN-R following substitution of Tyr with Leu. This is not the first time Tyr¹⁷⁵ has
465 been shown to be a critical residue in gC1q binding (32) . Gadjeva et al have shown that this
466 residue mainly constitutes C1q binding to IgM. Overall, our binding studies suggest that Tyr¹⁷⁵
467 and Arg¹¹⁴ of ghB are critical for the C1q-DC-SIGN and C1q-SIGN-R.

468 The known dual roles of DC-SIGN as a facilitator of adaptive immune response as well as
469 promoter of HIV-1 infection prompted us to examine if innate immune soluble factors such as
470 C1q and gC1qR can potentially modulate viral transmission via DC-SIGN (46) , similar to
471 reports involving CD4⁺ T cells (47) and a lectin drug GRFT (*Griffithsia*) isolated from the red
472 algae (48) . We also included DC-SIGN-R (DC-SIGN-Related), a homolog of DC-SIGN, in our
473 study. DC-SIGN-R is expressed on endothelium including liver sinusoidal (49) , lymph node
474 sinuses and placental capillary (8) . DC-SIGN-R can bind ICAM-3 as well as gp120 to facilitate
475 HIV-1 viral infection (49) . As a receptor for bacterial dextrans (50) and capsular pneumococcal
476 polysaccharide (CPS) of *S. pneumoniae*, DC-SIGN-R can cause proteolysis of C3 (31) . DC-
477 SIGN-R is shown to be highly expressed by spleen marginal zone macrophages (MZM) and
478 lymph node macrophages (51). SIGN-R1 in MZM interacts with C1q in the spleen and enhances
479 apoptotic cell clearance via activation of the classical pathway (52) .

480 The transmembrane envelope gp41 protein of HIV-1 is known to interact with C1q (53)
481 through its A chain (19) , leading to complement activation but no viral lysis (54) . Instead, the
482 virus is transmitted to complement receptor bearing cells such as macrophages and CD4⁺ T cells
483 allowing infection to take place (36, 53, 55, 56) . HIV-1 infected CD4⁺ T cells can activate the
484 classical pathway via shedding of gp120, leading to unmasking of the gp41 epitope 601-613
485 available for interaction with C1q (23) . C1q is also involved in a range of processes
486 independent to its complement functions (57, 58) , including DC differentiation (59) . C1q,
487 along with its globular head receptor gC1qR and DC-SIGN can co-localize on the surface of
488 blood precursor DCs to promote DC differentiation (15) . gC1qR, a multi-functional pathogen
489 recognition receptor (60, 61) , can also interact with gp41 of HIV-1 (17) on uninfected CD4⁺ T
490 cells and upregulate NK cell ligand NKP44-L, rendering healthy CD4⁺ T cells susceptible to NK
491 cell lysis. Since DC-SIGN is a receptor for HIV-1 through its binding to gp120, it is interesting
492 that it co-localizes with C1q and gC1qR, the two proteins, also known for HIV-1 binding and
493 transmission of the viral infection. Such association forming a tri-molecular unit on the target
494 cell surface may create a vehicle that promotes pathogen entry and immunosuppression (15) .

495

496 We wanted to examine if C1q-DC-SIGN interaction modulated HIV-1 transfer. We found that
497 full length C1q, but not its individual globular heads, suppressed DC-SIGN-mediated HIV-1
498 transfer to activated PBMCs. Curiously, addition of gC1qR negated the protective effects of C1q

499 by enhancing DC-SIGN-mediated viral transfer. gC1qR, as an inhibitor of HIV-1 infection, can
500 block the interaction between CD4 and gp120 and prevent viral entry (24) . Since DC-SIGN
501 binds to gp120 and gC1qR to gp41, both processes which are shown to promote infection, we
502 can consider that even if gC1qR does interfere with the DC-SIGN-gp120 interaction, its active
503 binding site for gp41 is still available to facilitate infection. The increased viral transmission of
504 gC1qR seen when in association with C1q suggests that C1q bound to gC1qR can enhance its
505 function. It is possible that C1q plays a protective role by blocking access of gp120 to DC-SIGN
506 (Figure 9). This can happen if C1q shares sharing binding sites on DC-SIGN. The globular
507 heads, individually or in combination, did not appear to inhibit virus transmission as full length
508 C1q, suggesting that the Collagen domain of C1q, and/or probably oligomeric form of C1q is
509 required for the observed inhibitory effect.

510

511 In summary, we found that gC1qR can alter C1q-DC-SIGN interaction in a way that it promotes
512 viral transfer, thus neutralizing the protective effect of C1q. The tripartite complex involving
513 DC-SIGN-gC1qR-C1q probably leads to an increase in the distance between DC-SIGN and C1q
514 that permits DC-SIGN interaction with gp120; this allows DC-SIGN and gC1qR to bind to the
515 virus with enhanced affinity (Figure 9).

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749 **FIGURE LEGENDS**

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751 **Figure 1:** SDS-PAGE under reducing conditions (12% v/v) showing purified fractions of soluble
752 DC-SIGN tetramer (a), DC-SIGN Monomer (b), SIGN-R tetramer (c), and SIGN-R monomer
753 (d), following purification by Mannose-Agarose affinity chromatography.

754

755 **Figure 2. Interaction of C1q, ghA, ghB and ghC with DC-SIGN tetramer and monomer.** (a)
756 Microtitre wells coated with different concentrations (5, 2.5, 1.25, 0.625 $\mu\text{g}/\text{well}$) of DC-SIGN
757 Tetramer or Monomer were probed with 2 $\mu\text{g}/\text{well}$ of C1q. Bound C1q was detected with anti-
758 C1q polyclonal antibodies (1:1000 in PBS) and Protein A HRP conjugate (1:1000 in PBS). BSA
759 was used as a negative control protein. (b) Binding of ghA, ghB and ghC to DC-SIGN Tetramer
760 and DC-SIGN Monomer involved coating a range of concentrations of the respective proteins on
761 microtitre wells, which were then incubated with a fixed concentration of ghA, ghB, ghC and
762 MBP (2.5 $\mu\text{g}/\text{well}$ in 5mM CaCl_2 buffer) at 37°C. Binding was detected using anti-MBP
763 monoclonal antibodies (1:5000 in PBS) and then rabbit anti-mouse IgG-HRP (1:5000 in PBS).
764 (d) Far western blot to show DC-SIGN tetramer binding to membrane-bound ghA, ghB and ghC:
765 15 μg of ghA, ghB and ghC (BSA and MBP as negative control proteins) were run on a 12%
766 SDS-PAGE gel, and then transferred on to nitrocellulose membrane. The blot was incubated
767 with 50 μg of DC-SIGN in PBS overnight at room temperature. The bound DC-SIGN protein was
768 detected using anti-DC-SIGN polyclonal antibodies and Protein A HRP conjugate. Bands were
769 developed using DAB tablets dissolved in water.

770

771 **Figure 3: Interaction of C1q, ghA, ghB and ghC with SIGN-R tetramer and monomer.** (a)
772 ELISA to examine binding of C1q to SIGN-R Tetramer and SIGN-R Monomer: SIGN-R
773 Tetramer or Monomer were coated at different concentrations, followed by addition of 2 $\mu\text{g}/\text{well}$
774 of C1q. Bound C1q was probed with anti-C1q polyclonal antibodies (1:1000 in PBS) and Protein
775 A HRP (1:1000 in PBS), and the colour was developed using OPD. (b) Binding of ghA, ghB
776 and ghC to SIGN-R Tetramer and (c) SIGN-R Monomer: Different concentrations of SIGN-R
777 Tetramer (b) and SIGN-R Monomer (b) were coated on microtitre wells in carbonate buffer and
778 incubated overnight at 4°C and then incubated with ghA, ghB, ghC and MBP (2.5 $\mu\text{g}/\text{well}$ in
779 5mM CaCl_2 buffer). Binding was detected using anti-MBP monoclonal antibody and rabbit anti-
780 mouse IgG-HRP conjugate.

781

782 **Figure 4: Binding of globular head substitution mutants to DC-SIGN:** Different
783 concentrations of DC-SIGN tetramer were coated on microtitre wells in carbonate buffer
784 overnight at 4°C. Wells were then incubated with 2.5 $\mu\text{g}/\text{well}$ (in CaCl_2) of recombinant globular
785 head wild type and mutant proteins and probed with anti-MBP monoclonal antibody and rabbit
786 anti-mouse IgG-HRP conjugate, as described earlier. Per cent binding was calculated for each
787 mutant using binding of the wild type globular head module as 100%. (a) Binding of ghA, ghA-
788 R162E and ghA-R162A to DC-SIGN; (b) Binding of ghB mutants ghB- L136G, ghB-T175L,
789 ghB- R114Q, ghB-R114A, ghB-R163A, ghB-R163E, ghB-R129E, ghB-R129A and ghB-H117D
790 to DC-SIGN; (c) Binding of ghC mutants ghC-R156E, ghC-L170E and ghC-H101A to DC-
791 SIGN.

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795 **Figure 5: Binding of globular head substitution mutants to SIGN-R:** Different
796 concentrations of SIGN-R tetramer were coated on microtitre wells in carbonate buffer overnight
797 at 4°C and then incubated with 2.5µg/well of recombinant globular head wild type and mutant
798 proteins and probed with anti-MBP monoclonal antibody and rabbit anti-mouse IgG-HRP
799 conjugate. % binding was calculated for each mutant using binding of the wild type globular
800 head module as 100%. (a) Binding of ghA, ghA-R162E and ghA-R162A to SIGN-R; (b) Binding
801 of ghB mutants ghB- L136G, ghB-T175L, ghB- R114Q, ghB-R114A, ghB-R163A, ghB-R163E,
802 ghB-R129E, ghB-R129A and ghB-H117D to SIGN-R; (c) Binding of ghC mutants ghC-R156E,
803 ghC-L170E and ghC-H101A to SIGN-R.

804
805 **Figure 6: Competitive ability of ghA, ghB and ghC for interaction with DC-SIGN in the**
806 **presence of gC1qR and gp120.** (a) ELISA to assess whether gC1qR and ghB directly compete
807 for the same binding site on DC-SIGN: DC-SIGN was coated at 5µg/well overnight at 4°C.
808 Wells were blocked with 2% BSA in PBS for 2 hours at 37°. 5µg/well of gC1qR and different
809 concentrations of ghB (5, 2.5, 1.25, 0.625µg/well) were added in buffer containing 5mM CaCl₂.
810 Incubation was carried out at 37° for 1.5 hours and 4° for 1.5 hours. Following repeated washes,
811 bound gC1qR was probed using rabbit anti-gC1qR polyclonal antibodies (1:1000) and Protein A-
812 HRP (1:1000). Colour was developed using OPD substrate; (b) Competition between DC-SIGN
813 tetramer and C1q globular head modules to bind solid-phase gp120. Microtitre wells were coated
814 with 250 ng of gp120. Various concentrations of ghA, ghB, ghC, and C1q and constant 2.5ug/ml
815 of DC-SIGN were incubated at 37°C for 1 h and then at 4°C for 1 h. The binding of DC-SIGN to
816 gp120 in the presence of globular heads or C1q was detected using rabbit anti-DC antibody
817 (1:500), probed with PA HRP (1:5000). 100% binding was taken of DC-SIGN alone binding to
818 gp120.

819
820 **Fig 7: *In vitro* binding of Globular heads modules to DC-SIGN expressed on HEK cells:**
821 DC-SIGN expressing HEK cells (DC-SIGN cells) were incubated with recombinant globular
822 head modules (ghA, ghB, ghC) and MBP as control for 30 mins at 37°C. DC-HEK cells were
823 fixed with 4% PFA, washed and blocked with 5% FCS, and probed with mouse anti MBP
824 antibody to detect the presence of MBP fused globular head modules and rabbit anti DC-SIGN to
825 detect DC-SIGN expressed on the cells. Goat anti-mouse secondary antibody conjugated with
826 Alexa Fluor 568 was used to detect binding of globular heads to DC-SIGN visualized using goat
827 anti-rabbit IgG conjugated with Alexa Fluor 488 antibody. Scale bar 20µm.

828 **Figure 8: HIV transfer assay mediated by DC-SIGN**
829 HEK/DC-SIGN cells were grown in a 12 well-plate to form a confluent layer. Different
830 concentrations of proteins were added to the cells and incubated for 2 hours for binding.
831 Unbound proteins were removed and cells were challenged with 2.5ng/ml p24 of HIV-1 (SF-2
832 strain) for 1 hour. Unbound virus was washed off and cells were co-cultured with PHA-activated
833 PBMCs for 24 hours. PBMCs were separated from the DC-HEK monolayer and cultured
834 separately for 7 days to determine viral titre of the supernatants collected on day 4 and day 7. (a)
835 C1q, ghA, ghB, ghC and ghABC; (b) gC1qR in presence of C1q, ghA, ghB, ghC and ghABC.
836 Data represents Mean ± SD. P<0.05 is considered significant. * and # indicate statistical
837 significance in comparison untreated controls of day 4 and day 7, respectively.

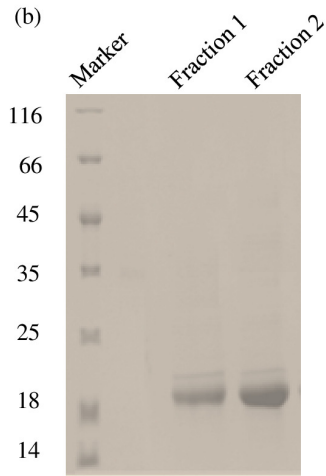
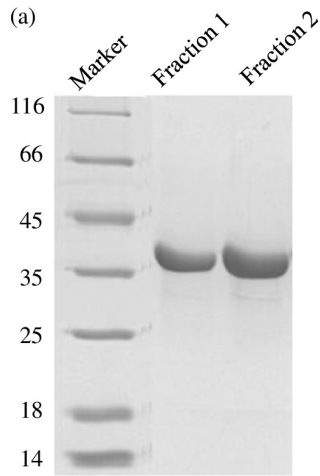
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841 **Figure 9. Diagrammatic model explaining the possible implications of the tripartite**
842 **molecular interplay between DC-SIGN, C1q and gC1qR.** (a) By virtue of its ability to bind to
843 DC-SIGN on the cell surface, C1q is likely to inhibit interaction between DC-SIGN and HIV-1
844 gp120, resulting in the inhibition of viral transfer. (b) On the DC/Monocyte surface, a tri-
845 molecular receptor complex is formed between gC1qR, C1q and DC-SIGN. Although each of
846 these molecules can bind the HIV-1 virus independently, we postulate that it is the binding of the
847 HIV-1 gp-41 to both gC1qR and C1q that initiates the membrane fusion before the final binding
848 of gp120 to DC-SIGN and/or CD4, eventually allowing the internalization of the virus. It is
849 possible that HIV-1 interaction with DC/monocytes causes recruitment of gC1qR to the cell
850 surface, or its secretion, which in turn, can bind to C1q globular heads, thereby neutralizing the
851 protection offered by C1q.
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DC-SIGN Tetramer

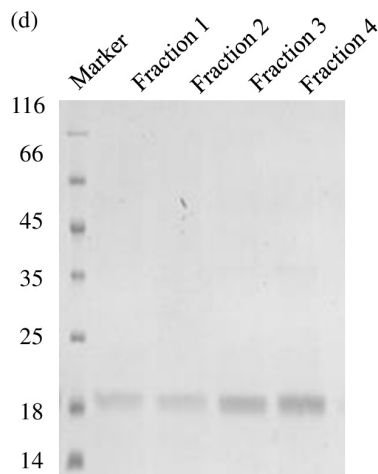
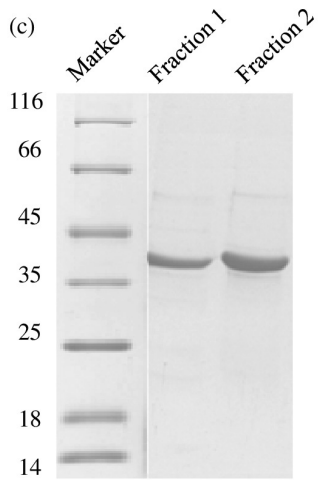
DC-SIGN Monomer



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SIGN-R Tetramer

SIGN-R Monomer



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Figure 1 Pednekar et al

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873 **Figure 2**

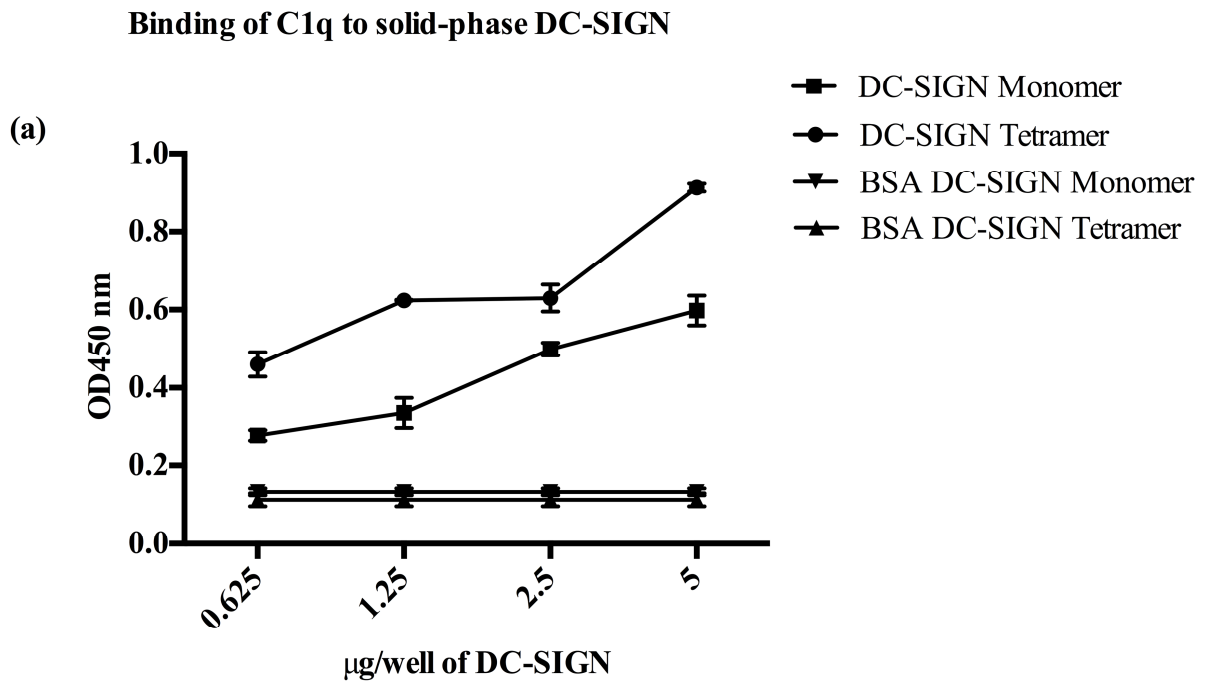


Figure 2: Pednekar et al

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Binding of C1q globular heads modules to tetrameric DC-SIGN

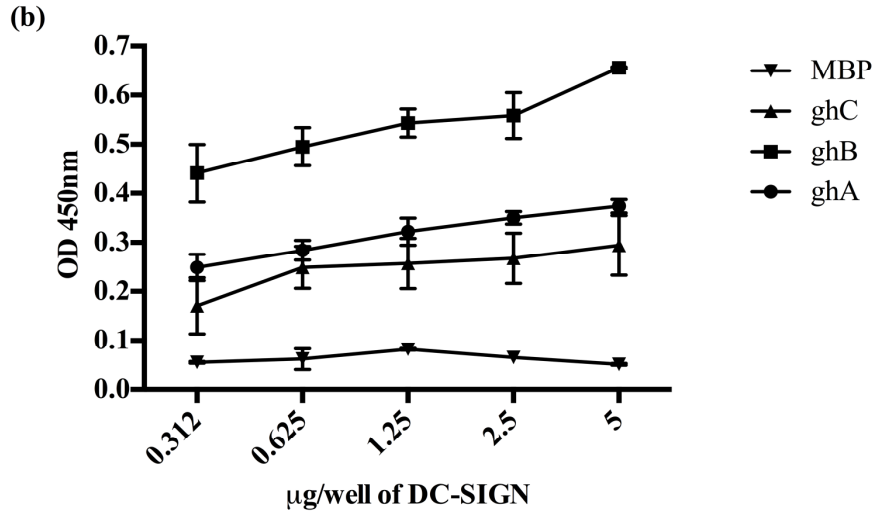


Figure 2: Pednekar et al

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Binding of C1q globular heads modules to monomeric DC-SIGN

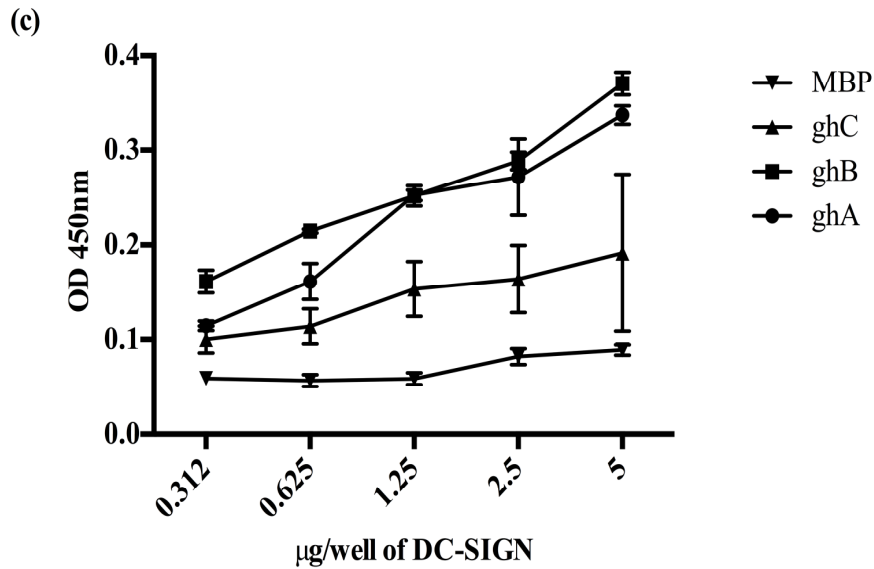


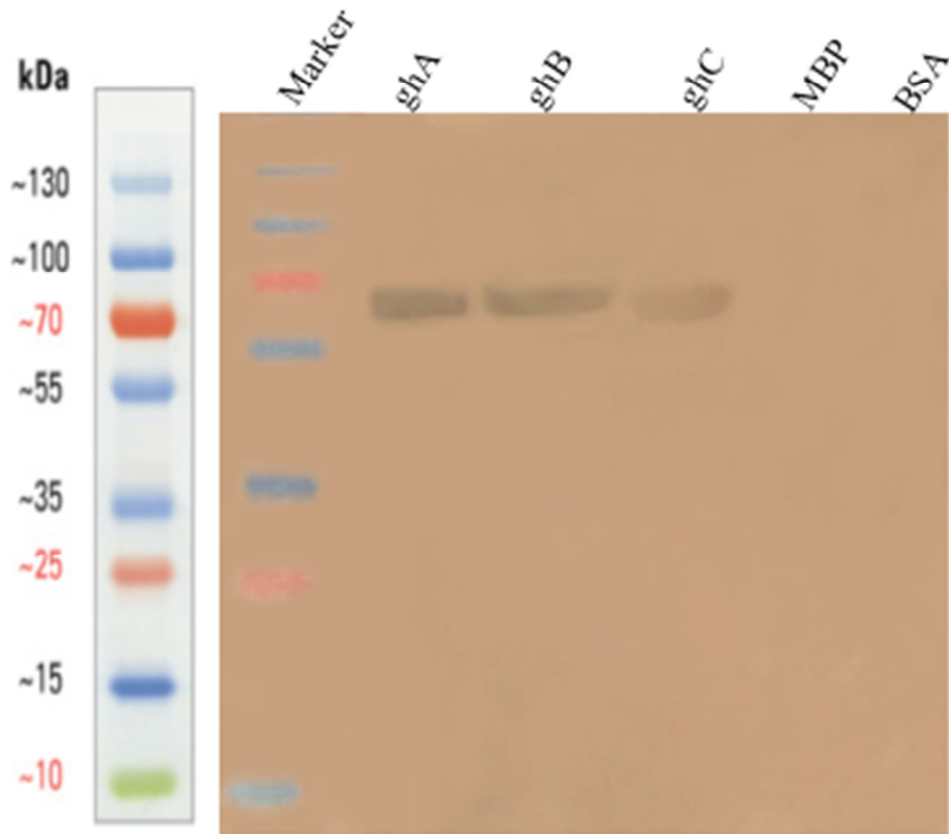
Figure 2: Pednekar et al

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(d) Far Western Blot showing immobilized ghA, ghB and ghC bind soluble DC-SIGN tetramer

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Binding of C1q to solid-phase SIGN-R

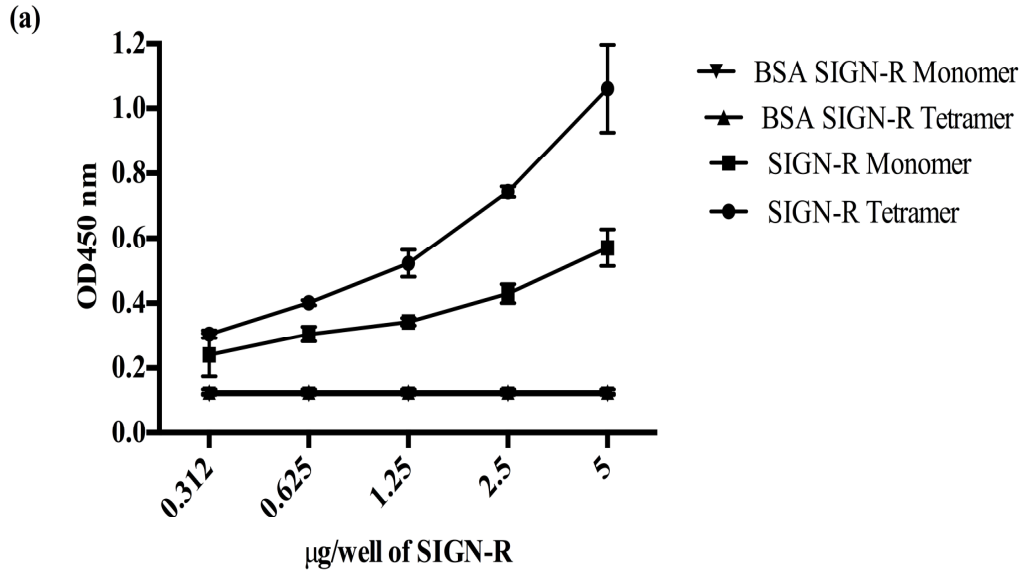


Figure 3: Pednekar et al

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Binding of C1q globular heads modules to tetrameric SIGN-R

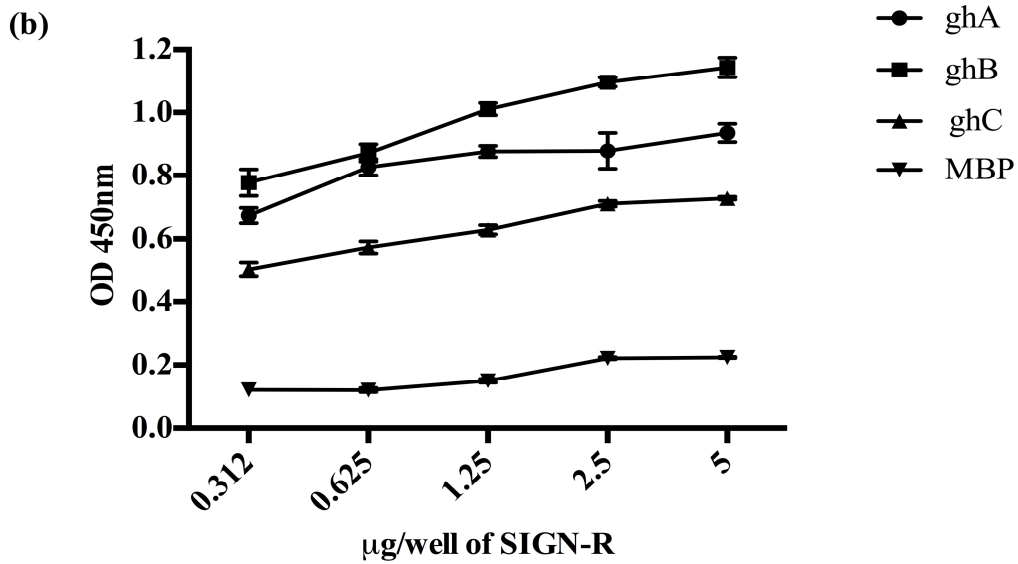


Figure 3: Pednekar et al

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Binding of C1q globular heads modules to monomeric SIGN-R

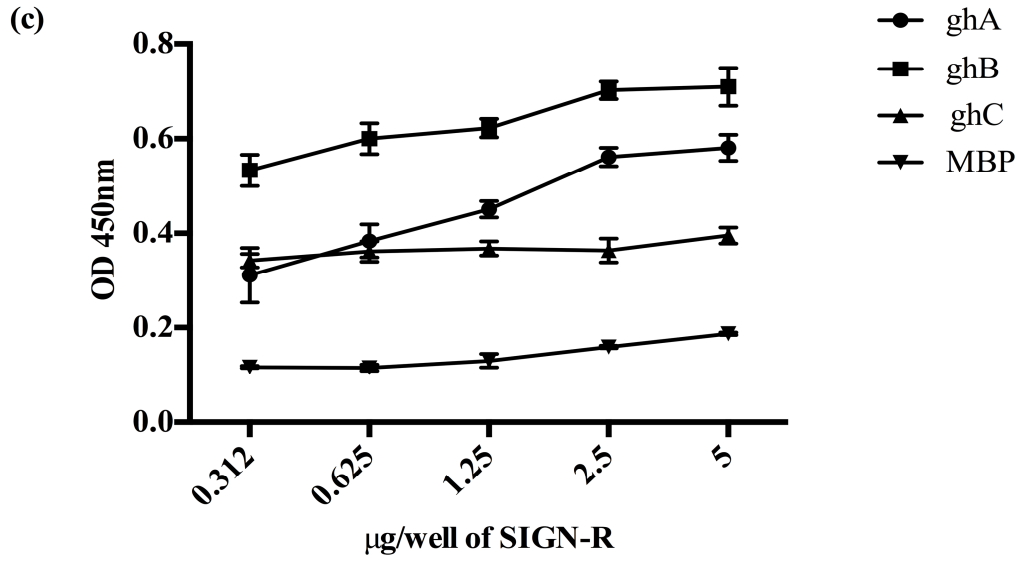


Figure 3: Pednekar et al

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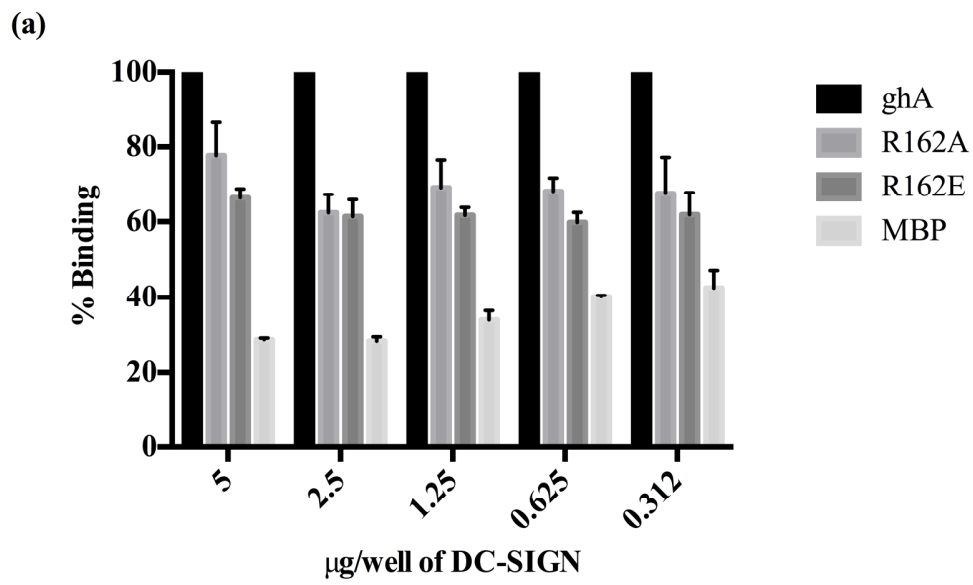


Figure 4: Pednekar et al

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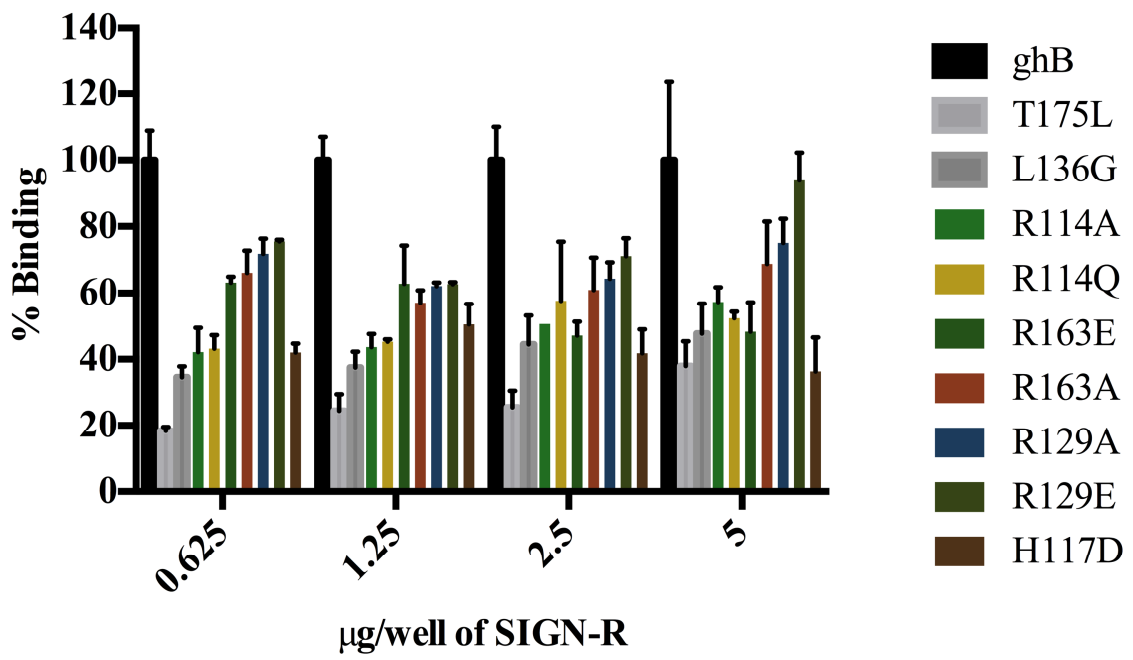


Figure 4: Pednekar et al

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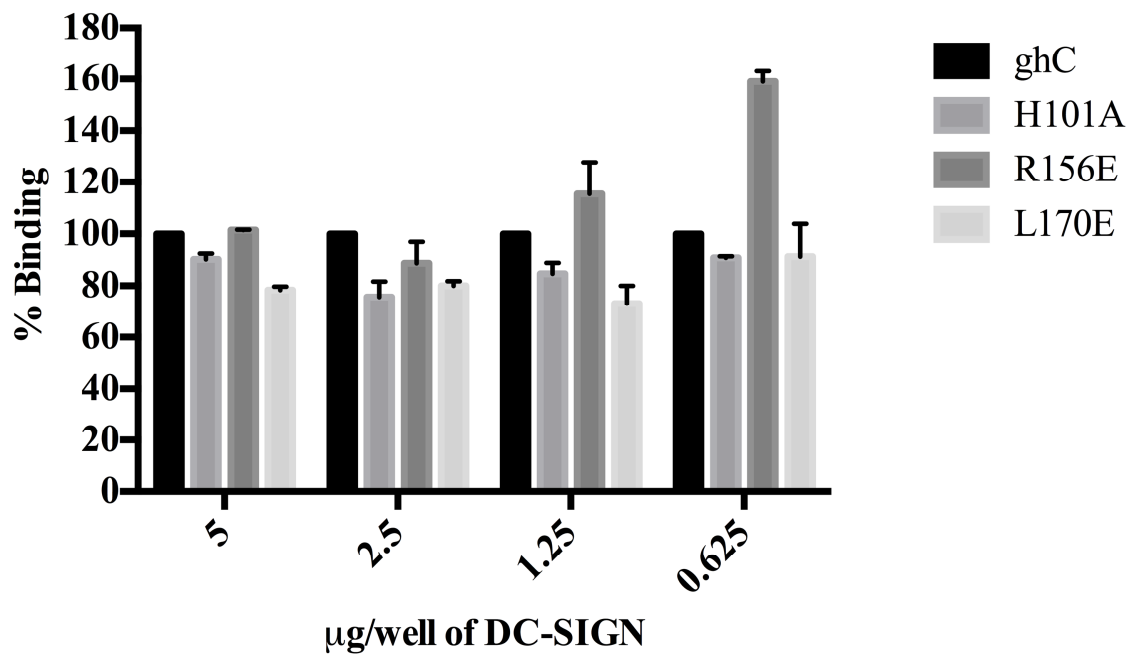
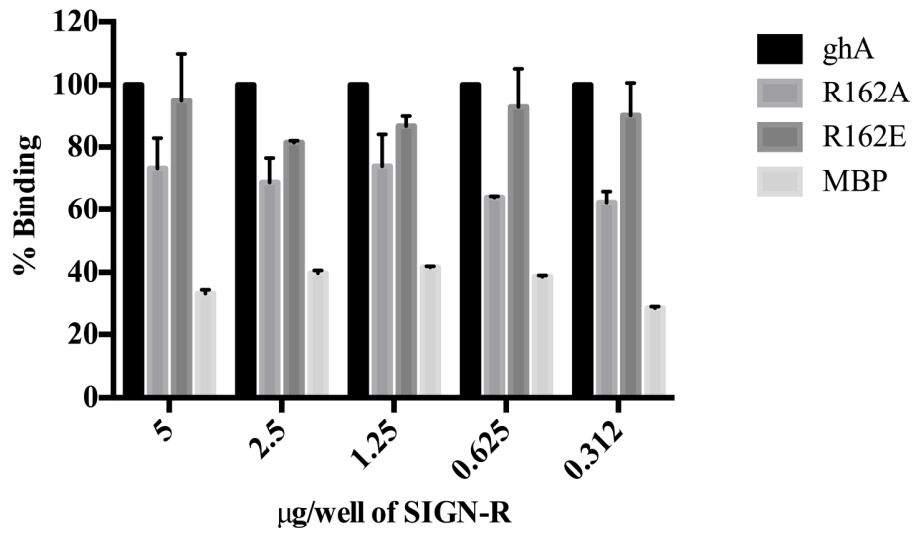


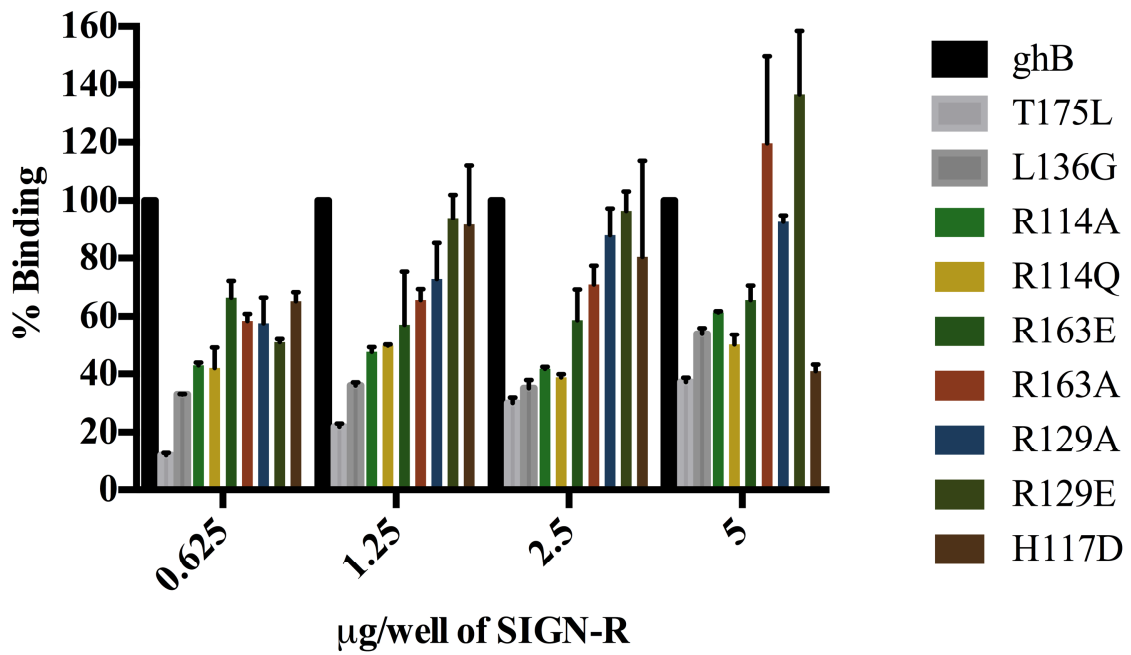
Figure 4: Pednekar et al

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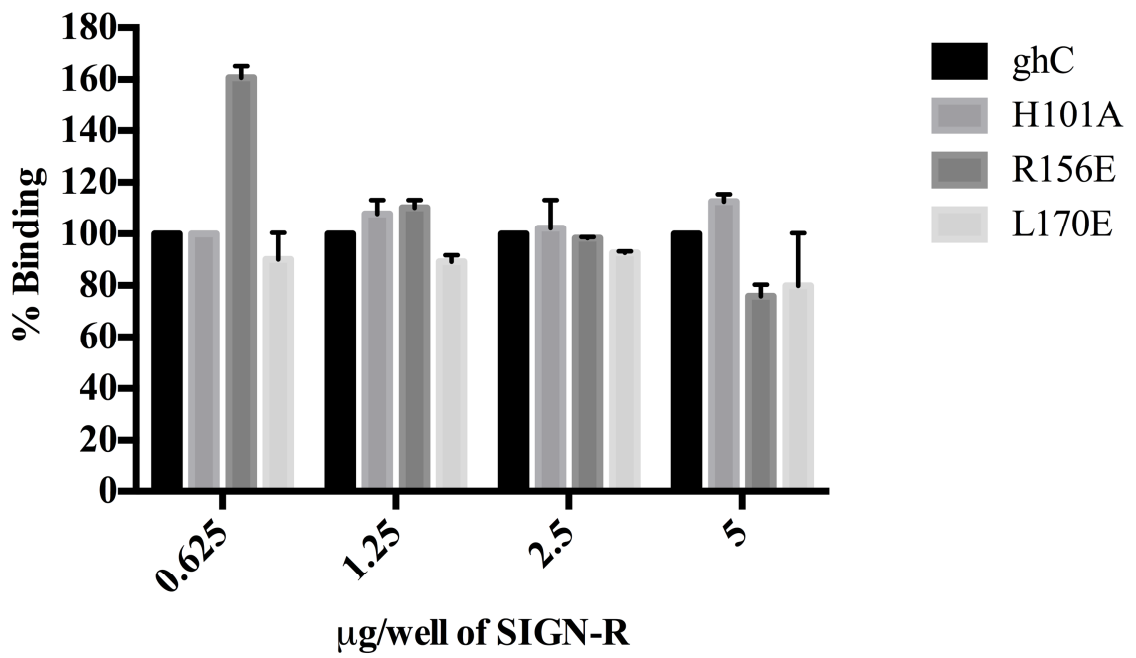


Figure 5: Pednekar et al

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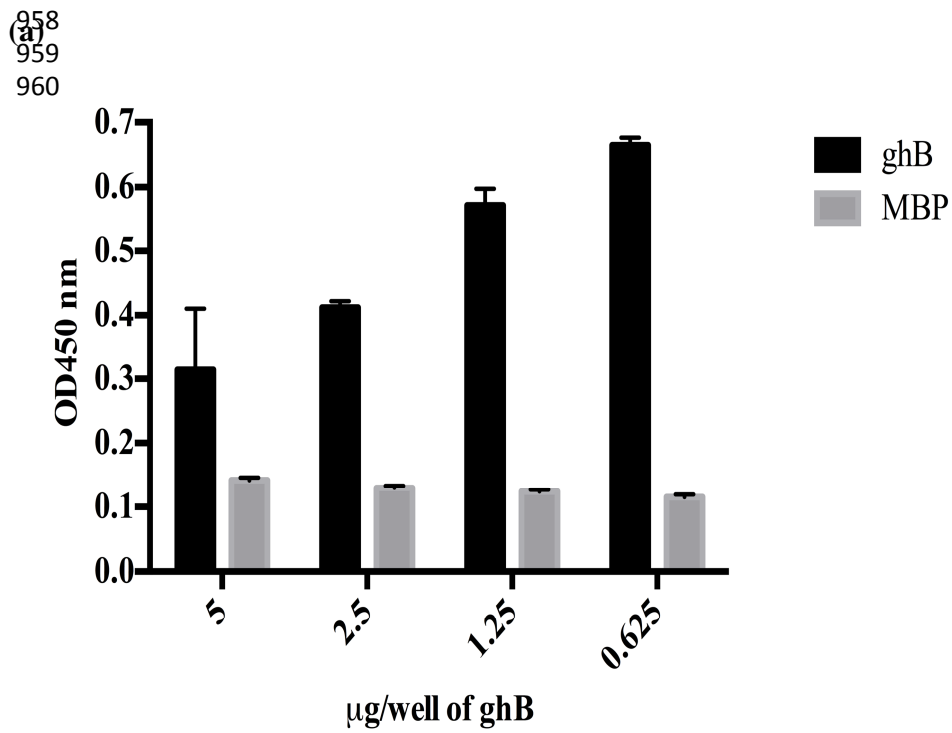
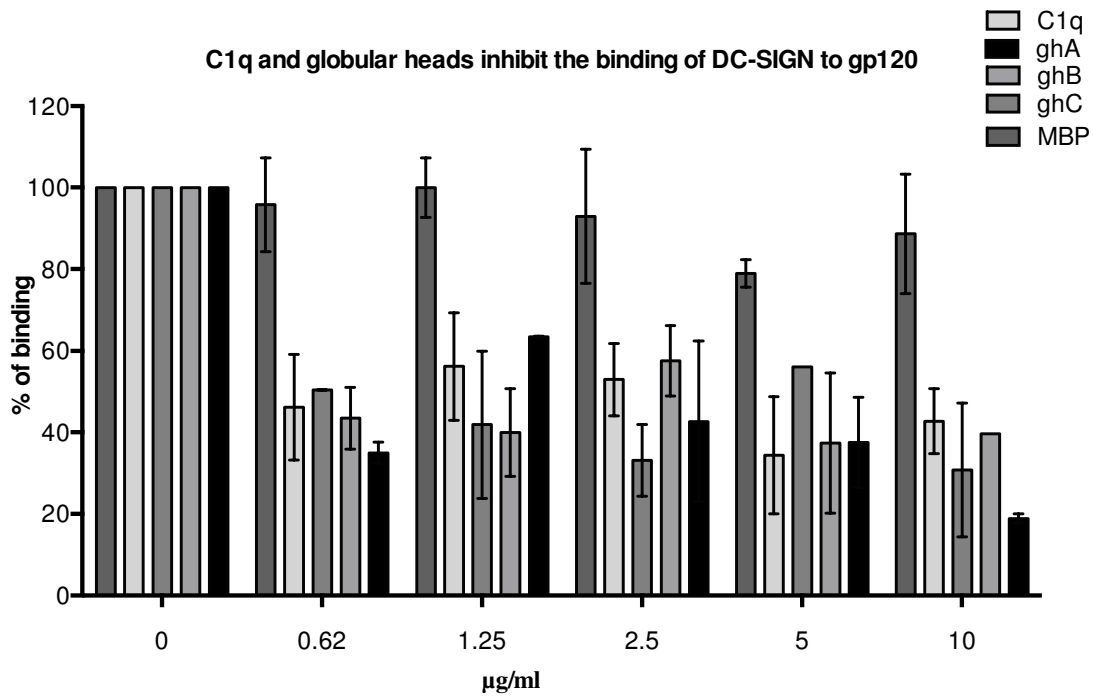


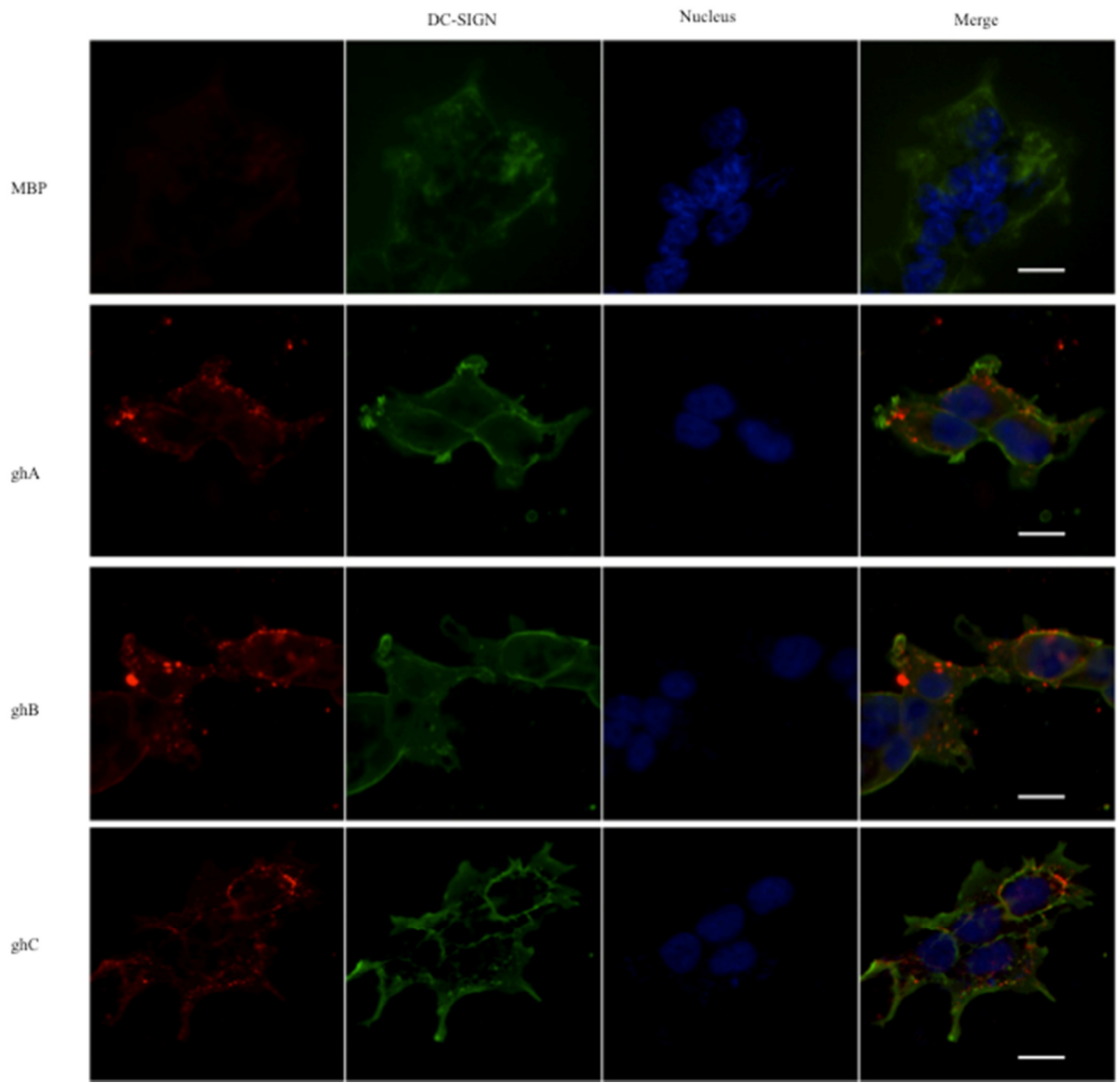
Figure 6: Pednekar et al

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(b)



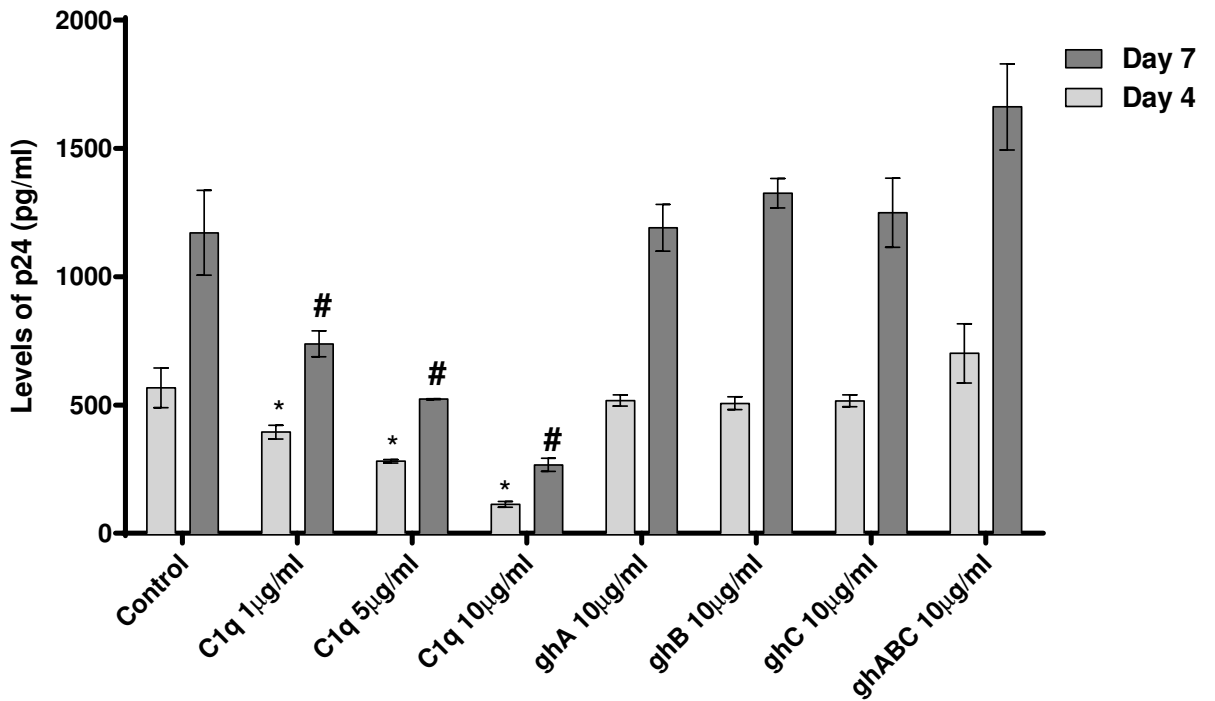
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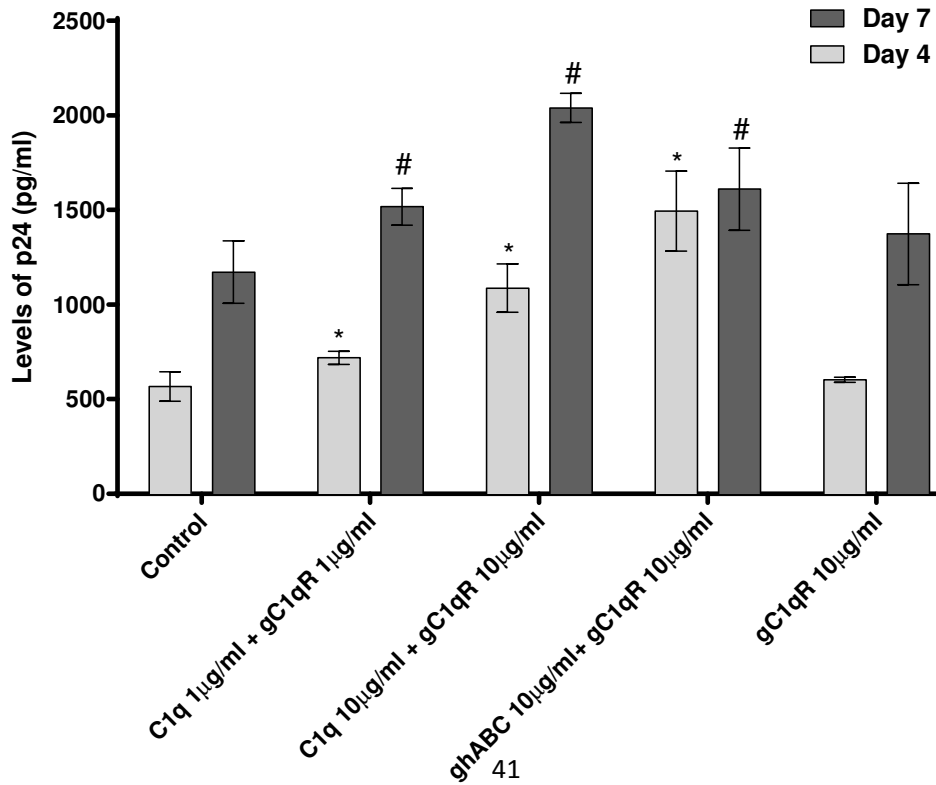
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Figure 7.

981 Figure 8 (a)

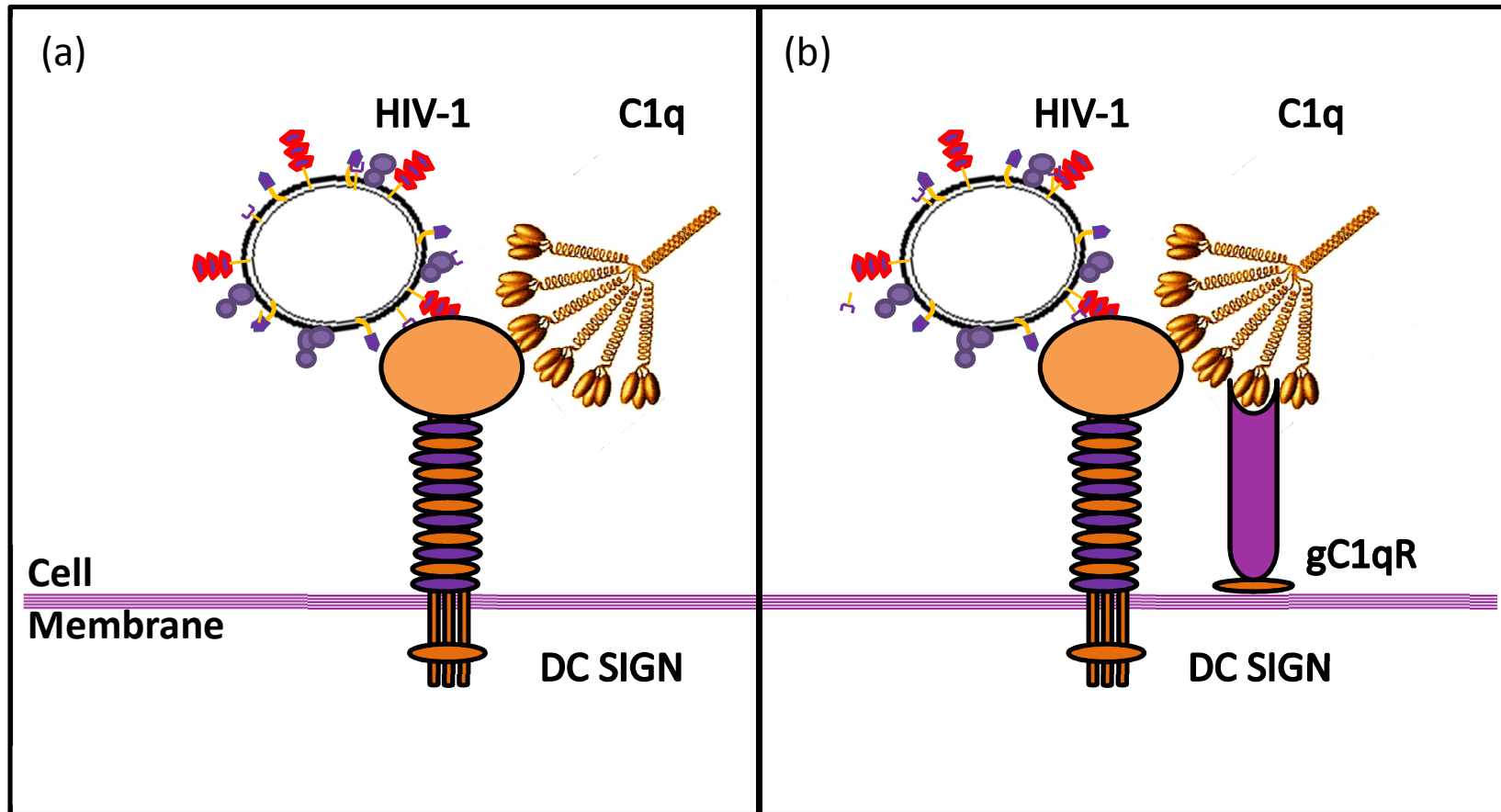


(b)



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1020 **Figure 9.**



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