

## Experimental Investigations into low concentrating line axis solar concentrators for CPV applications

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### Abstract

Solar photovoltaic conversion systems with integrated, low concentration ratio, non-imaging reflective concentrators, could be on south facing building roofs used to generate power at a lower cost than currently available proprietary systems. The experimental investigation presented by this research provides information on the optical and energy conversion characteristics of two geometrically equivalent non-imaging concentrators; a compound parabolic concentrator and a V-trough reflector. The aim was to investigate the assumption of uniform cell illumination when PV cells located on the receiver surface with their central axes are aligned parallel with the focal line of the line-axis concentrator. Solar radiation incident was measured at the aperture and the PV cell surface using respectively a pyranometer and photodiodes at six different collector tilt angles of 0°, 10°, 20°, 30°, 40° and 52°. The analysis of the collected experimental data presented demonstrated that the V-trough system had a more even distribution of solar radiation than the CPC and a higher optical concentration ratio (ratio of solar radiation incident on the aperture cover to that incident on the receiver) though the geometrical concentration ratio of the two collectors was equal to 2.2x. Also, the V-trough concentrator had an electrical power output up to 17.2% higher than the CPC system at a

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4 specific tilt angle of 30°. The V-trough had a consistently higher receiver plate  
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6 temperature as it was reflecting larger quantities of solar radiation than the CPC. Over 17  
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8 consecutive typical summer days' similar performance was observed over the range of  
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10 tilt angles studied. The development of V-trough concentrators should be preferred due  
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12 to higher power production, reduced complexity, increased uniformity of illumination  
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14 and lower manufacturing costs compared to CPCs.  
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18 *Keywords:* Photovoltaic (PV), Concentrating PV (CPV), V-trough, Compound Parabolic  
19 Concentrating (CPC), PV-Thermal, Photodiode.  
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## 21 22 23 **1. Introduction**

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25 The development of non-imaging optics with their lower optical tolerances (Winston, 1974)  
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27 enabled the development of line axis solar energy concentrating systems that can asymptotically  
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29 approach the maximum geometric concentration of a light source for a particular angle of view.  
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31 An ideal or perfect concentrator has the same fraction of solar radiation incident on the receiver  
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33 as the aperture which is the thermodynamic limit of concentration (Duffie & Beckman, 2006).  
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35 Non-imaging systems are more suited to Concentrating Solar Photovoltaic (CPV) systems as they  
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37 distribute incident radiation across the absorber providing the uniformity of illumination required  
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39 by solar cells to avoid shading, reduced longevity, hot spots and reduced performance.  
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43 Imaging optics are more constrained, requiring solar tracking even at low concentration  
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45 levels increasing the complexity, cost and maintenance needed. Systems with high concentration  
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47 ratios (>10x) need two-axis high precision tracking with tolerances below 0.2° (Leutz et al.,  
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49 1999).  
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52 Non-imaging optical systems use reflective surfaces such as mirrors or lenses designed to  
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54 collect extreme angular rays of incident solar radiation rather than just the axial rays collected by  
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56 imaging optical systems (Welford & Winston, 1978). The economics of solar Photovoltaic (PV)  
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58 systems are improved through concentration as the area of solar cells required is reduced for CPV  
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4 systems, though factors such as non-uniform cell illumination and higher cell operating  
5 temperatures, which degrade performance, must be investigated and solutions proposed if CPV  
6 systems are to become commercially viable. If the optical system was free, then the overall  
7 system cost could be reduced by a factor equal to the inverse of the concentration ratio. The  
8 requirement for the development of low cost specular reflective materials is imperative.  
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16 Compound Parabolic Concentrating (CPC) and V-trough reflectors, non-imaging line axis  
17 optical systems, could be attractive option for integration to photovoltaic and thermal solar arrays  
18 which are mounted on south facing roofs and walls (in the southern hemisphere arrays must face  
19 north). Such concentrating solar energy systems could be used similarly but at a lower cost than  
20 conventional solar thermal collectors, PV modules and hybrid PV-Thermal units.  
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27 CPC's and V-trough reflectors are the least complex solar reflective concentrating systems to  
28 manufacture and operate with reasonable efficiency with zero or infrequent single axis  
29 adjustments, monthly or seasonal (O'Gallagher, 2008). CPC and V-trough reflective  
30 concentrators can collect significant amount of incident diffuse solar radiation at a concentration  
31 ratio  $\leq 4$ ; for particular stationary systems concentration ratios of up to 4 have been reported  
32 (O'Gallagher, 2008). Low concentration ratio systems (2x) have been found by previous research  
33 (O'Gallagher, 2008) to collect up to 92% of diffuse incident solar radiation making these  
34 particularly appropriate for regions experiencing high levels of diffuse radiation. The work  
35 presented by (Winston, 1974) reported that the proportion of diffuse radiation that would be  
36 collected would be the reciprocal of the concentration ratio i.e. at a concentration ratio of 2X,  
37 50% of the diffuse radiation incident would be collected. O'Gallagher, (2008) reported than this  
38 proportion was much higher when the solar flux incident upon the receiver was actually  
39 measured. This characteristic makes these reflective concentrators more favourable than  
40 conventional non concentrating solar energy conversion systems especially for those locations  
41 where diffuse radiation forms a significant proportion of incident solar radiation (Singh and  
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4 Eames, 2012) as the solar flux incident on the receiver will be increased improving the quantity  
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6 of useful energy collected.  
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10 PV cell performance is degraded by hot spots and partial shading (Ghitas and Sabry, 2006)  
11 and over a prolonged operation these phenomena will reduce the longevity of the cell as its  
12 encapsulation will degrade more rapidly than more uniformly illuminated cell surfaces. Few  
13 studies have reported information on the optical performance of low concentrating line-axis solar  
14 collectors, which is required to generate designs with more evenly distributed illuminance and  
15 more efficient concentrators. The optical precision required for line-axis concentrators is less  
16 stringent compared to point focussing optical concentrators, such as Fresnel lens.  
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25 It is usually assumed that the PV cells located on the receiver surface with their central axes  
26 aligned parallel with the focal line of a line-axis concentrator always receive a uniform  
27 illumination intensity along the width and length of the receiver surface. This paper investigates  
28 this assumption and reports coupled ray trace and experimental investigations into the optical and  
29 energy conversion performance of the geometrically equivalent CPC and V-trough solar  
30 collectors shown in figures 1 and 2. Focal aberrations suffered by non-imaging CPC and V-  
31 trough reflectors were simulated using a ray-trace computer model which can account for, the  
32 acceptance half-angles for the plane perpendicular to the cross section, more accurately  
33 simulating reflector characteristics providing a more accurate prediction of the optical efficiency  
34 of the reflective concentrators in redirecting solar radiation collected by the aperture on to the  
35 receiver This value could be significantly different from that theoretically predicted using simple  
36 correlations. Predictions have been verified against experimental measurements. Accurate  
37 measurement and prediction of the illumination pattern via experimentally validated numerical  
38 models could identify hot spot locations on PV cells allowing strategies to be created to mitigate  
39 such occurrences (Hatwaambo et al., 2009). On the scale of a PV module, this would help  
40 identify the cells with the lowest power output enabling strategies to enhance the panel output to  
41 be developed.  
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## 2. Solar Collector Geometries Investigated

An experimental and numerical investigation of two different non-imaging line-axis low concentrating geometries, a CPC and a V-trough, with the same receiver width ( $W_r$ ) of 125 mm, was carried out at Brunel University London. The geometric concentration ratio of a CPC,  $C_{CPC}$ , is defined in terms of its acceptance angle ( $\theta_{accept}$ ) using Equation 1:

$$C_{CPC} = \frac{1}{\sin\left(\frac{1}{2}\theta_{accept}\right)} \quad (1)$$

The geometry of V-Trough concentrator is defined by its trough angle ( $\theta$ ) and concentration ratio ( $C_V$ ), which was calculated using Equation 2 (Fraidenraich and Almeida, 1991; Shaltout et al., 1995)

$$C_V \geq 1 + 2 \cos 2\theta \quad (2)$$

The geometric details and dimensions of the CPC and V-trough solar energy collectors investigated are shown in figures 1 and 2. These concentrating systems are also under consideration for future development into combined glazed PV-thermal systems, so the width of the absorber was fixed at the same size of the solar cells used (125mm), further details are shown in table 1. Low iron Pilkington Optiwhite glass aperture covers (transmissivity 0.91, reflectance 0.08, thickness 3mm) were used to maximise transmissivity and reduce thermal losses. Previous research by Zondag, (2008) demonstrated that glazed PVT systems are the most effective PVT configuration; single glazing reduced the electrical output by 1% but doubled the thermal output.

Two prototype non-imaging line axis solar energy concentrators, a CPC (figure 1) and V-trough (figure 2), were manufactured using in house facilities to the geometric parameters shown

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4 figures 1 and 2 at Brunel University, London. Outdoor tests, under real life ambient and solar  
5 radiation conditions, were undertaken to determine the uniformity of illumination on the receiver  
6 surfaces when their central axes were aligned in parallel to the focal line of the line-axis  
7 concentrators. Typically shading of solar cells limits the overall circuit current to that generated in  
8 the shaded region. The effect of the optical performance of the concentrators' geometries on their  
9 power generation characteristics was measured and is presented in section 4.

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16 The geometric details and the concentration ratios of the systems shown in figures 1 and 2  
17 were selected so that both diffuse and direct radiation incident on the collector apertures could be  
18 collected and supplied to the PV cells on the receiver at the geographical location of the  
19 experiments, London (latitude 51.5°N). Each concentrator was 500mm long with MiroSilver  
20 (ALANOD Ltd, 2006) reflective sheet (0.5 mm thick) bonded to a polystyrene substrate forming the  
21 side and end walls. The CPC solar collector had a full height geometric concentration ratio ( $C_{CPC}$ ) of  
22 3 and it was truncated to one-quarter of its full height, to 176.78mm, as shown in figure 1 and table  
23 1. The V-trough concentrating system had a trough angle of 25.95° as shown in figure 2. Both  
24 concentrators had a geometrical concentration ratio of 2.2.

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35 Each concentrator had four series connected mono-crystalline silicon PV cells with a width of  
36 125mm, length of 125mm and a thickness of 0.2mm attached to the flat receiver plate. The  
37 properties of the PV cells used are shown in table 2. The PV cells were adhered to the copper  
38 receiver plate with a graphite thermal pad (thermal conductivity 3.85 W/m. K) sandwiched between  
39 the cells and the plate to increase heat transfer and ensure electrical insulation of the cells.

### 40 41 42 43 44 45 46 47 **3. Experimental methodology**

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49 The experimental apparatus, figure 3, consisted of the solar collectors described in section  
50 2, an adjustable angular orientation table, two Kipp and Zonen SP Lite2 Pyranometers (Kipp &  
51 Zonen, 2016), photodiodes, a digital multi-meter, and a Grant SQ 2020 Series data logger. The  
52 solar collectors were orientated East-West (E-W) and tilted about an axis parallel to their central  
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4 long axis. The angular orientation table was employed for simultaneous longitudinal tilting of the  
5 mounted solar collectors over an angular range of 0° to 40° at steps of 10°.  
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9 Fourteen photodiodes, figure 4, measured the intensity of the solar radiation received at the  
10 receiver area cell. Photodiodes are essentially semiconducting p-n junctions like photovoltaic  
11 cells, such that an increase of the irradiance gives a corresponding rise in the current produced by  
12 the photodiode. Surface mounted photodiodes, OSRAM SFH 2400, with a radiant sensitive area  
13 of 1 x 1 mm<sup>2</sup> and spectral sensitivity range of 400-1100nm were used (Osram, 2015). For these  
14 photodiodes, an increase in current is directly proportional to a rise in irradiance and linear for the  
15 majority of the I-V curve. A shunt with a fixed resistive load was joined across photodiode ends  
16 and measuring the voltage across it allowed the current to be calculated using Ohm's law. As the  
17 data logger had a measurement range of ±75mV the voltage reading at the resistor was kept  
18 within this value.  
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29 The experiment was conducted in the month of September (3<sup>rd</sup> Sep) under a cloudless sky.  
30 Each measurement period, for all 14 photodiodes, lasted a total of 9 minutes. Measurements were  
31 recorded for 6 different tilt angles (0°, 10°, 20°, 30°, 40° and 52°) to assess the distribution of  
32 irradiance across the receiver surface in both the solar concentrators. Tilt angles were measured  
33 to an accuracy of ± 0.5°. The time recorded by the data logger and London's geographical  
34 position (latitude and longitude) were used to calculate the angles of incidence employing the  
35 solar position algorithm developed by (NREL, 2014). The mounting table shown in figure 3 was  
36 capable of tilting and holding the collectors at the required angles. The irradiance at the aperture  
37 of the solar concentrators was recorded using two pyranometers. The experimental aim was to  
38 measure the light intensity and distribution along the width of the PV cell using the photodiodes  
39 and the power developed by the PV cells under varying angles of incidence for both systems.  
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#### 53 **4. Results and Analysis**

##### 54 ***4.1 Distribution of solar radiation intensity at the receiver surface***

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58 A three-dimensional ray tracing simulation was performed to model the reflection and  
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4 propagation of rays through optical elements. The optical performance of both concentrator  
5 systems was tested by using a known intensity of solar radiation incident on their apertures, and  
6 predicting radiation intensities received on the receiver of each system. The optical concentration  
7 ratio of the systems was calculated as the ratio of the solar radiation intensity incident on the  
8 aperture to that incident at the receiver.  
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14 The ray trace model predicted optical performance was validated against the experimentally  
15 measured data and an excellent match was found between the two, for example see figure 5.  
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19 The spread of solar irradiance along the width of the PV surface was measured at the mid-  
20 length of the concentrator cavities as shown in figures 6 and 7. The measured distribution of the  
21 solar radiation was clearly dependent on the angle of incidence; it was observed that for angles  
22  $>40^\circ$  the relative intensity varied from very low values in certain part of the receiver to high  
23 values in the other. The exact location (width of the receiver surface) of transition from low to  
24 high intensity depended on the collector geometry type, CPC or V-trough.  
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31 The height of the reflecting side walls ( $H_V$  and  $H_{CPC}$ , see figures 1 and 2) affected the  
32 radiation intensity variation by shadowing the absorber surface more predominantly in the case of  
33 the V-trough concentrator than CPC. In the case of the V-trough cavity, the distribution was  
34 uneven at smaller angles of incidence,  $1.1^\circ$  and  $10.7^\circ$ , as observed in figure 7. The radiation  
35 intensity distribution observed for the CPC was comparatively uniform as shown in figure 6.  
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#### 43 ***4.2 The Variation of Optical Concentration Ratio and Radiative Power Collection Efficiency***

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46 Using the radiation intensities measured along the width of the receiver, the optical  
47 concentration ratio of the V-trough collector was calculated as up to 42.8% higher than that of the  
48 CPC collector over the complete range of angles of incidence studied, see figure 8. Clearly any  
49 reduction in concentration caused by truncation was compensated by the harnessing of extra  
50 radiation over incidence angles greater than the half-acceptance angle for the V-trough though this  
51 phenomenon was not observed for the CPC concentrator. Similar results were predicted by the ray  
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4 trace simulation as well as seen in figure 8.  
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#### 7 8 ***4.3 Power Generation characteristics of the solar concentrators studied*** 9

10 On a sunny day, 3<sup>rd</sup> July 2015, the solar concentrators were horizontally orientated, at an  
11 angle of tilt 0°, in the E-W configuration and tested for generation characteristics such as voltage,  
12 current and electrical power produced. The solar radiation received at the aperture of the  
13 concentrators is shown in figure 9. Figure 10 shows that the V-trough concentrator produced up to,  
14 11.9% higher levels of power than that generated by the CPC system, during periods of testing, from  
15 10.30am till 2.30pm. Figure 11 shows the amount of electrical energy generated by these two  
16 concentrators over 17 consecutive days during July 2015. These 17 days represented typical summer  
17 conditions in the UK; some days had mixed sky conditions, partly cloudy, and some completely  
18 clear sky conditions. It can be seen that the V-trough consistently performed better throughout the  
19 monitoring period than the CPC collector, for example by up to 17.2% for tilt angle of 30°. No  
20 method was employed to cool the receiver plates for either non imaging optical system.  
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32 T-type thermocouples, three each, were attached to the lower side of the receiver plate at  
33 100mm, 250mm and 400mm for both concentrator systems. The thermocouples were calibrated to  
34 an accuracy of  $\pm 0.3\text{K}$  using the procedure outlined in the Standard BS 1041-4 (1992). The receiver  
35 plate temperatures data recorded on 3<sup>rd</sup> July 2015 for both concentrators under investigation is  
36 shown in figure 12. The highest temperature recorded for the V-trough and CPC receiver plates was  
37 110.3 °C and 98 °C respectively. The experimental data shown in figure 12 demonstrates that the V-  
38 trough concentrating solar collector had a consistently higher receiver plate temperature throughout  
39 the day increasing at a greater rate than that of the CPC. This was due to its ability to intercept  
40 greater amounts of solar radiation, as evidenced by the higher cell current of the V-trough than that  
41 of the CPC system, see figure 10. This resulted into a 11.1% greater maximum temperature than that  
42 measured for the CPC system. Such lower receiver temperature in the case of CPC collector caused  
43 the PV cells to generate a higher voltage than the V-trough panel as shown in figure 10.  
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5 **5. Conclusions**  
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7 Experimental testing and numerical investigations compared two geometrically equivalent  
8 non-imaging optical line axis solar energy concentrators; CPC and V-trough. The results from ray  
9 tracing undertaken before the collectors were fabricated, were in good agreement with the collected  
10 experimental results indicating that the evaluated prototypes were constructed to a high standard.  
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16 The V-trough configuration was experimentally found to have superior performance  
17 collecting a greater quantity of energy. The results presented by this research have clearly shown  
18 that equal geometric concentration ratios do not have similar outputs for different optical imaging  
19 systems.  
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25 If designers compare optically equivalent (in terms of optical concentration ratio)  
26 concentrators for solar energy collection, then CPC collectors perform better theoretically, but the  
27 geometrical size and higher manufacturing cost of the CPC collectors will limit both, the application  
28 and scope for their integration within the built environment. By using a V-trough non-imaging  
29 optical solar energy concentrating system, the experimental data demonstrated how an increase in  
30 power production and a reduction in manufacturing costs is achievable. It was experimentally  
31 demonstrated that in a V-trough system the temperature of the PV receiver surface reached 110 °C  
32 on a sunny day in London, 11.1% higher than a CPC collector with a similar equivalent geometric  
33 concentration ratio. Whilst higher cell operating temperatures on one hand reduce the amount of  
34 electrical power produced by the PV element, on the other hand it offers an opportunity to harness  
35 more solar thermal energy, improving the cost effectiveness of systems making these more attractive  
36 to consumers and stimulating market demand.  
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51 Further development of such non-imaging optical solar energy concentrating systems will  
52 benefit both performance and cost effectiveness. Such technical interventions are particularly  
53 pertinent at present, when feed-in-tariff rates and other forms of government aided support are being  
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4 reduced globally whilst solar PV industry has to expand in the domestic and commercial sectors to  
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6 sustain its recent growth.  
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Figure 1

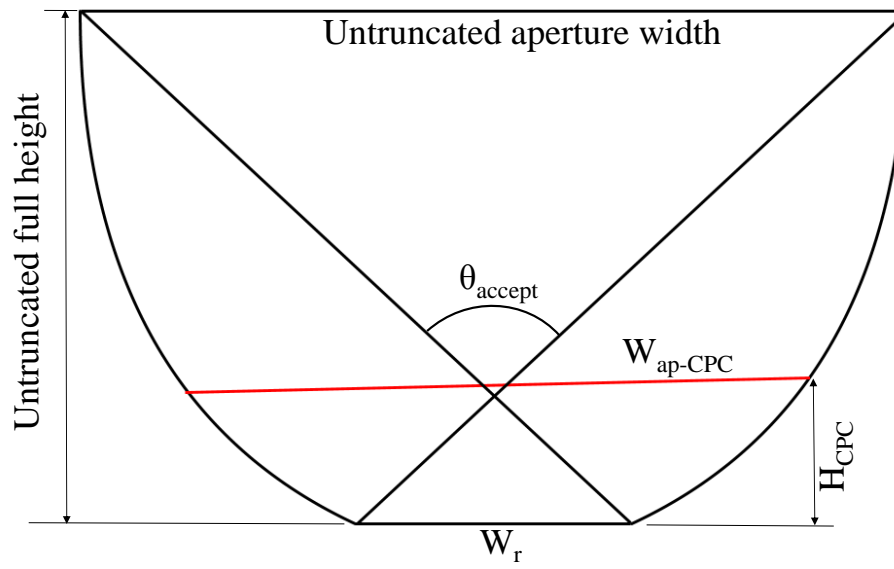


Figure 1. Schematic detailing the geometry and cross sectional view of the truncated CPC system studied (red line shows the final truncated height, one-fourth of the full height)

Figure 2

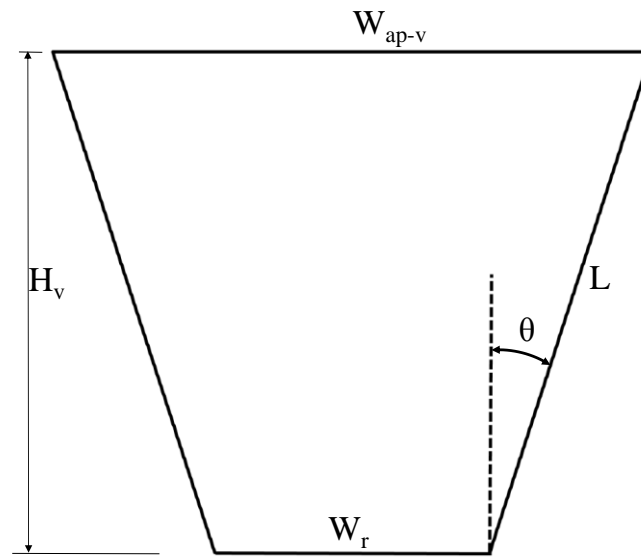


Figure 2. Schematic detailing the geometry and cross sectional view of V-trough system used for the experimental investigation

Figure 3

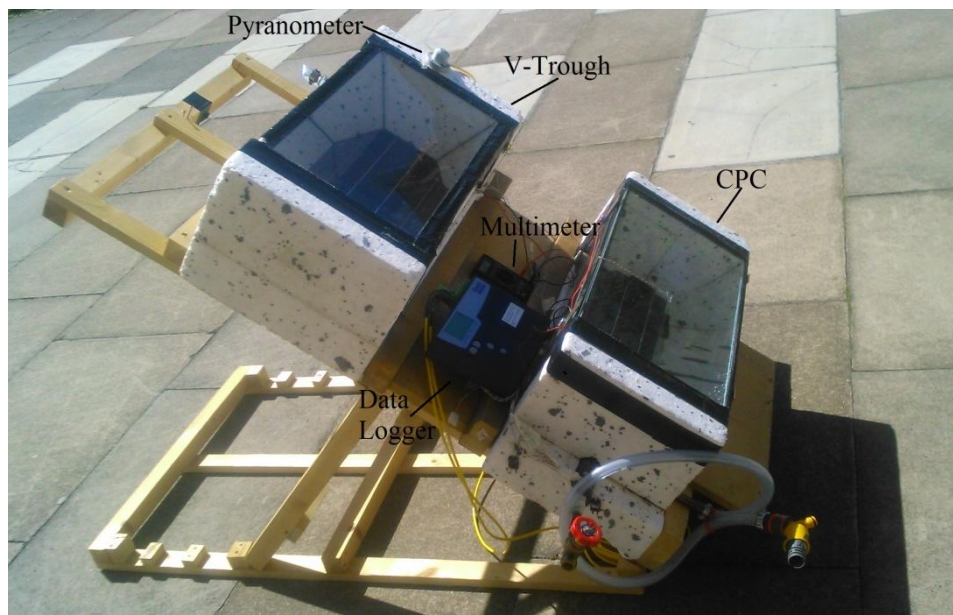


Figure 3. Experimental setup

Figure 4

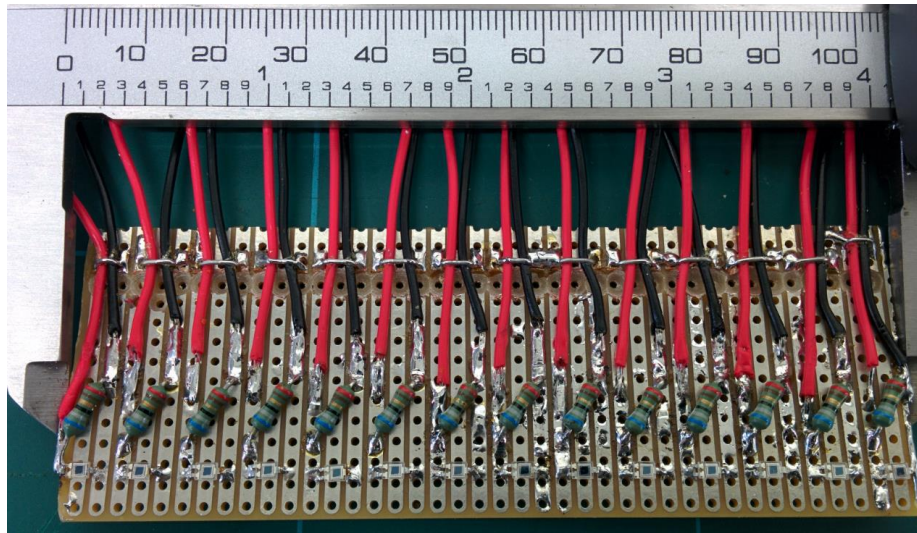


Figure 4. Positioning of photodiodes along the width of the receiver plate

Figure 5

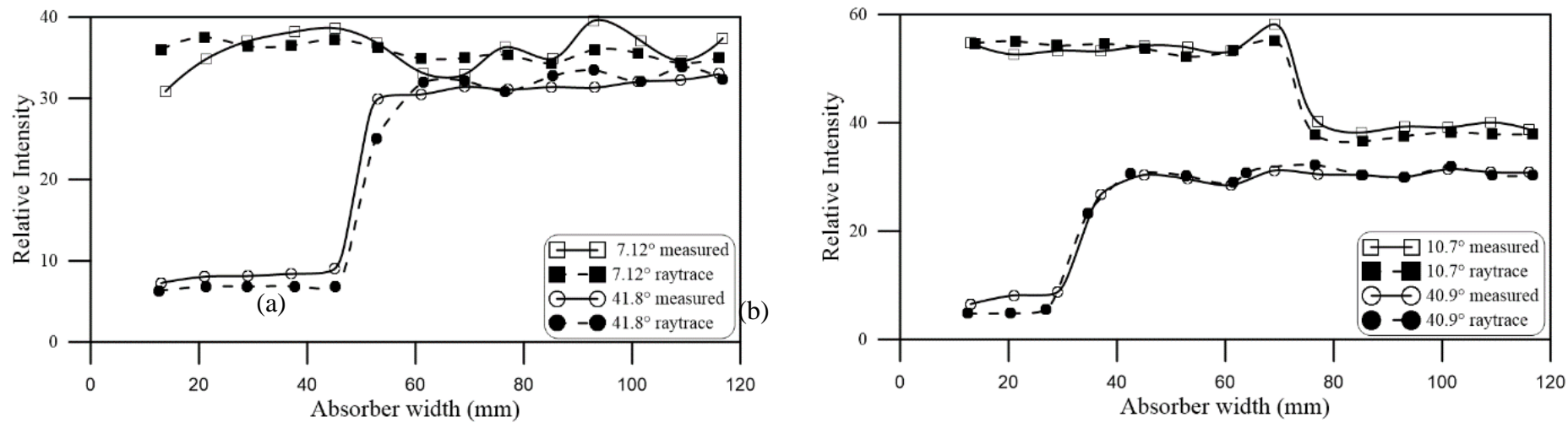


Figure 5. Comparison between ray trace modelling and experimental data measured at specific angles of incidences for (a) CPC and (b) v-trough concentrator



Figure 6

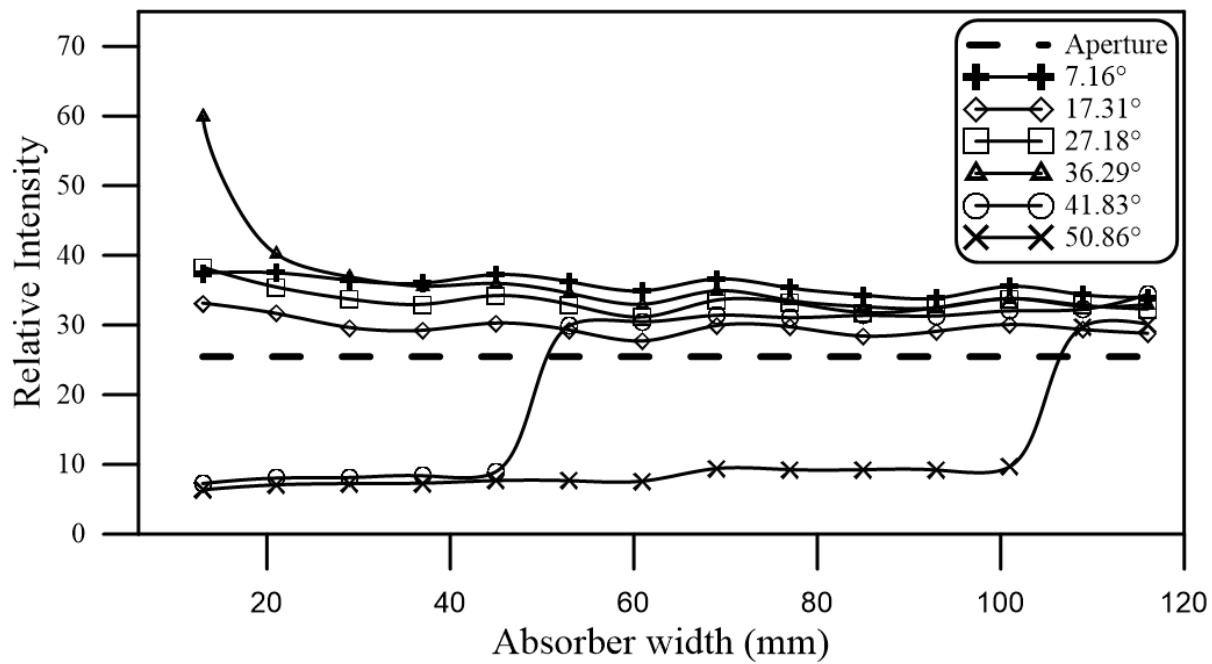


Figure 6. Solar radiation intensity on the receiver surface at mid-length of the CPC solar concentrator cavity

Figure 7

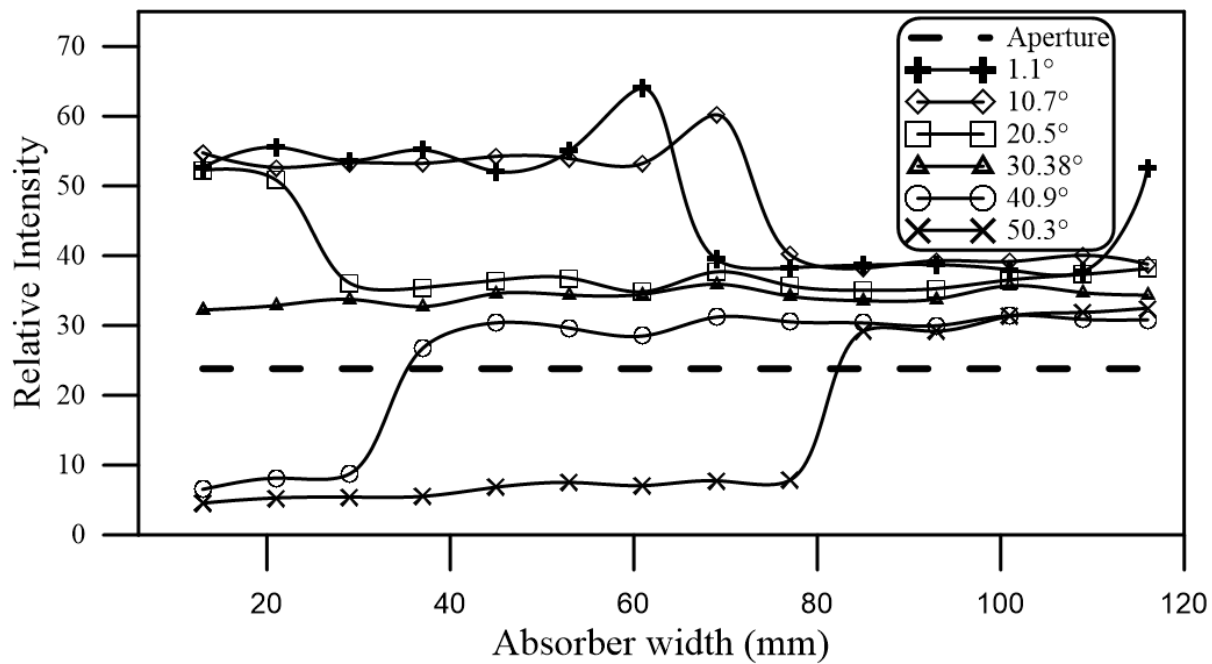


Figure 7. Solar radiation intensity measured on the receiver surface at mid-length of the V-trough solar concentrator cavity

Figure 8

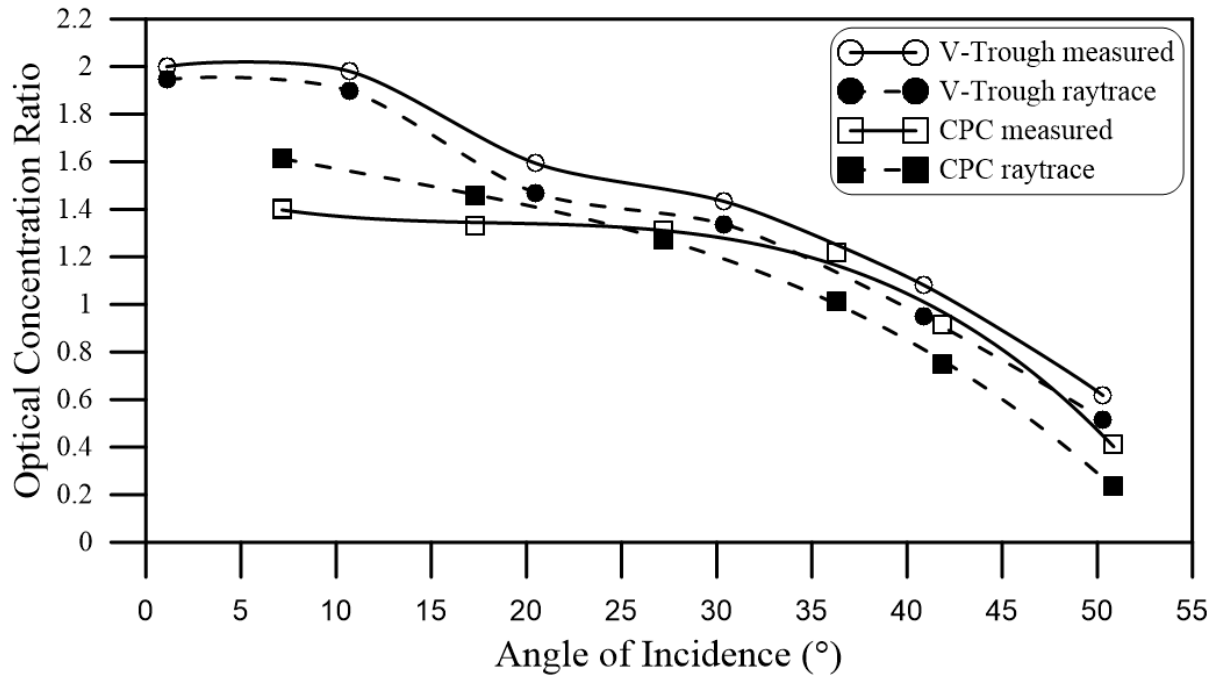


Figure 8. Optical concentration ratio of CPC and V-trough solar collectors

Figure 9

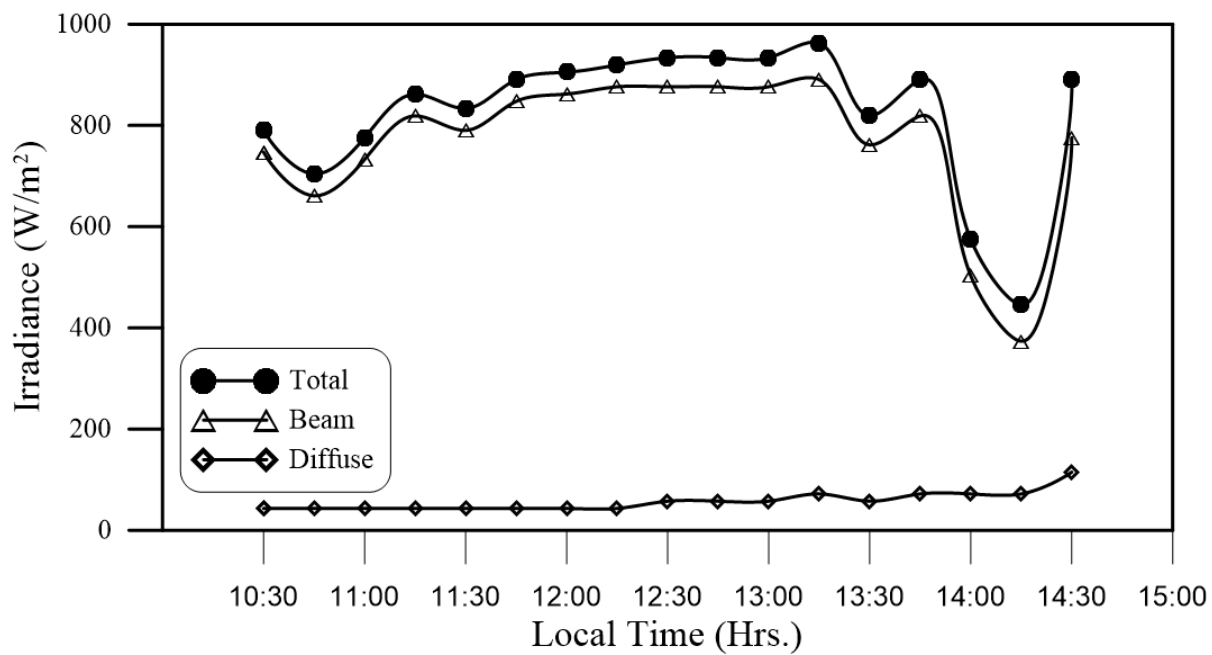


Figure 9. Solar radiation received at the horizontally held concentrator apertures on 3<sup>rd</sup> July 2015

Figure 10

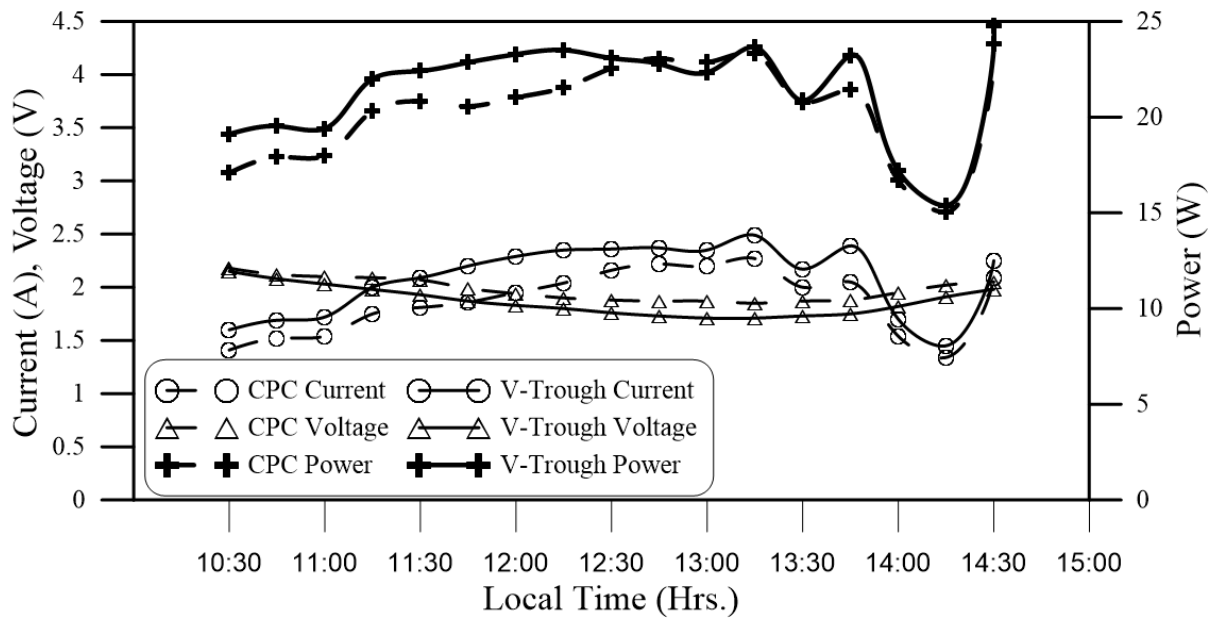


Figure 10. Generation characteristics of the horizontally held concentrators, tilt angle  $0^\circ$  on 3<sup>rd</sup> July 2015

Figure 11

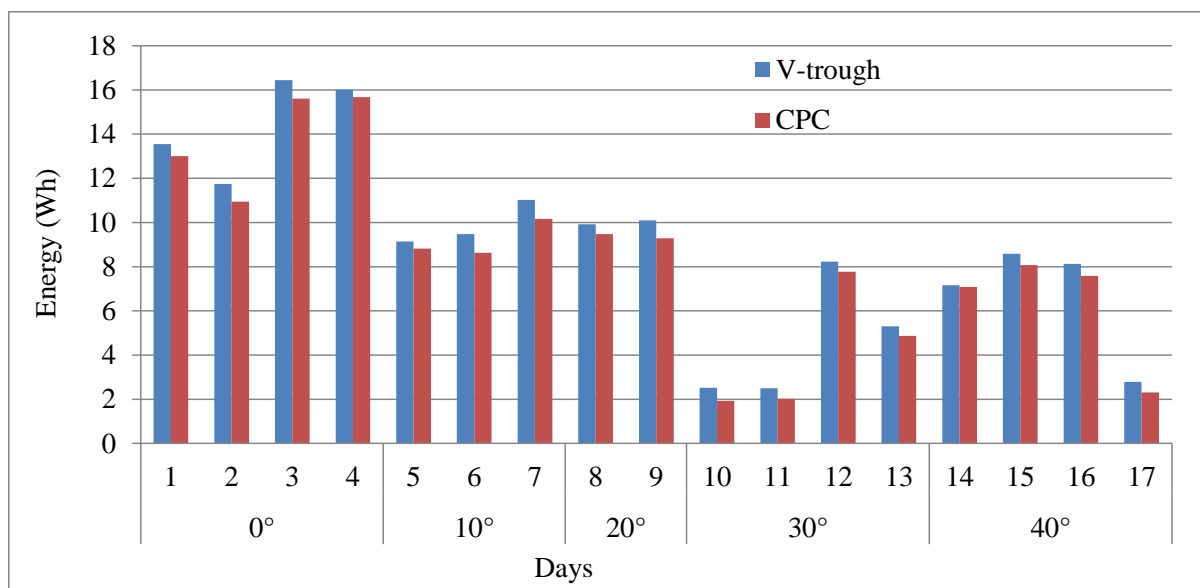


Figure 11. Energy generated by CPC and v-trough concentrators at different tilt angles

Figure 12

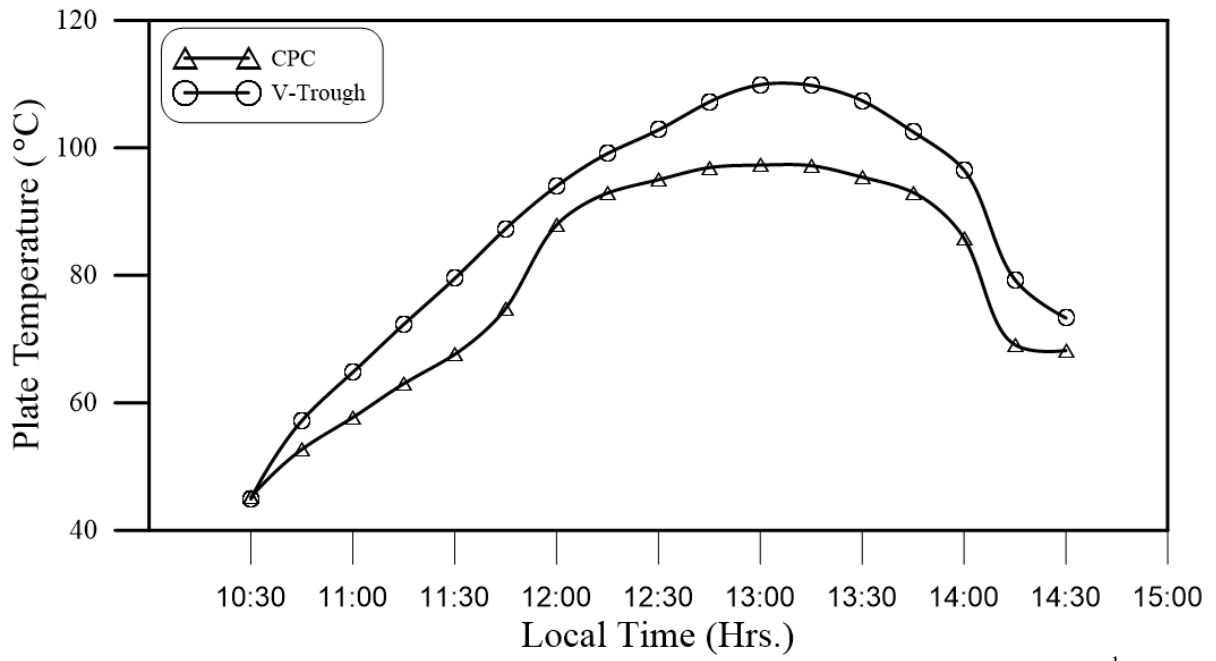


Figure 12. Receiver plate temperatures for the horizontally held concentrators on 3<sup>rd</sup> July 2015

Table 1. Geometric specifications of the concentrators studied (a) CPC and (b) V-trough

$W_r$ (mm)	$H_{CPC}$ (mm)	$W_{ap-CPC}$ (mm)	$C_{CPC}$	$W_r$ (mm)	$W_{ap-V}$ (mm)	$C_V$	$\theta$ ( $^\circ$ )	$L$ (mm)	$H_V$ (mm)
125	176.78	274.5	2.196	125	273.78	2.2	25.95	170	152.86

(a)

(b)



Table 2. PV cell properties

Cell efficiency (%)	$P_{mp}$ (W)	$V_{mp}$ (V)	$I_{mp}$ (A)	$V_{oc}$ (V)	$I_{sc}$ (A)
18.5	2.85	0.525	5.43	0.63	5.7