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6	Predicting copper speciation in estuarine waters – Is dissolved organic carbon a
7	good proxy for the presence of organic ligands?
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15	A new generation of speciation-based aquatic environmental quality standards
16	(EQS) for metals have been developed using models to predict the free metal ion
17	concentration, the most ecologically relevant form, to set site-specific values. Some
18	countries such as the UK have moved towards this approach by setting a new
19	estuarine and marine water EQS for copper, based on an empirical relationship
20	between copper toxicity to mussels (Mytilus sp.) and ambient dissolved organic
21	carbon (DOC) concentrations. This assumes an inverse relationship between DOC
22	and free copper ion concentration owing to complexation by predominantly organic
23	ligands. At low DOC concentrations the new EQS is more stringent, but above 162
24	μM DOC it is higher than the previous value. However, the relationship between
25	DOC and copper speciation is poorly defined in estuarine waters. This research
26	discusses the influence of DOC from different sources on copper speciation in
27	estuaries and concludes that DOC is not necessarily an accurate predictor of copper
28	speciation. Nevertheless, the determination of ligand strength and concentrations by
29	Competitive Ligand Exchange Adsorptive Cathodic Stripping Voltammetry enabled
30	the prediction of the free metal ion concentration within an order of magnitude for
31	estuarine waters by using a readily available metal speciation model (Visual
32	MINTEQ).
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- 34 Keywords: Copper, speciation, estuarine waters, dissolved organic carbon, ligands,
- 35 modelling

1. INTRODUCTION

The importance of chemical speciation is widely recognised in the scientific and regulatory 38 39 community, where physico-chemical characteristics control solubility, reactivity, biological availability and toxicity in the environment.^{1,2} The most toxic form of copper (Cu) in natural 40 waters is the free metal ion [Cu²⁺] which can also be incorporated into the "labile" fraction, 41 42 consisting of the free hydrated Cu cation plus weakly bound (largely inorganically complexed) Cu.³ Water quality parameters, such as major ion concentrations, pH and organic chelating 43 44 agents (ligands) associated with dissolved organic carbon (DOC) are recognised as 45 quantifiable parameters that significantly influence metal toxicity, through competitive binding by other major cations at reactive sites,³ lowered ionic activity of the metal,⁴ or complexation 46 of the metal by the organic ligands. Increasing DOC concentrations have been shown to 47 48 mitigate the toxic effects of Cu in organisms.⁵

49 In the USA, speciation-based approaches to Cu regulation in fresh water have been established since 2003 using the Biotic Ligand Model (BLM).^{6,7} The BLM combines 50 thermodynamic equilibrium calculations of free metal ion concentrations generated by 51 52 development of the Free Ion Activity Model (FIAM) using information on ambient water 53 quality including at its most basic level, calcium concentrations (hardness), pH and DOC, 54 with ecotoxicological endpoints to predict toxicity to classes of organisms or to set site-55 specific water quality standards.^{6,7} The complex chemistry within estuarine systems and lack of research slowed the development of BLMs for estuarine and coastal waters. Recently, a 56 number of studies have shown that Cu toxicity can be predicted: model development is 57 underway predicting the fate of Cu in US harbours⁸ and a BLM has been developed for 58 59 marine waters.⁹

Although some studies addressed the complexation of metals, such as Cu, in saline 60 waters,^{10,11} data are limited and rarely combine other potentially important parameters such 61 62 as DOC concentration and ligand characterisation with measurements of speciation. 63 Currently no saline water BLM has been developed for the EU regulatory framework. The 64 latest UK environmental quality standard (EQS) for Cu in saline waters has been revised under the Water Framework Directive (WFD) does, however recognise the bioavailability-65 controlling role of organic ligands present within the DOC pool.¹² The new Cu EQS is based 66 on a species sensitivity distribution of toxicity data for predominantly marine organisms 67 68 (salinity greater than 20). The generic EQS generated using this approach (applicable to all 69 estuarine and coastal waters) is converted to a site-specific EQS based on the measured 70 ambient DOC concentration as a surrogate for copper complexation (Figure S1 in the supporting information). The DOC correction factor developed for the new Cu EQS 71

applicable to estuaries and coastal waters across all salinities is based on observed mussel

73 (*Mytilus galloprovincialis*) toxicity data across a range of DOC concentrations (Figure S2).¹²

T4 Up to a DOC concentration of 83 μ M (1 mg C L⁻¹) the Cu EQS is fixed at 59 nM (3.8 μ g Cu L⁻¹

¹). The EQS increases linearly above DOC = 83 μ M (1 mg C L⁻¹) to a point where, above

76 DOC = 162 μ M (1.95 mg C L⁻¹), the site specific Cu EQS is more relaxed than the previous

fixed value of 78 nM Cu. If DOC concentrations reach 475 μ M (5.71 mg C L⁻¹) the Cu EQS is

78 twice the previous value.

79 The EQS derivation therefore relies on the assumption that DOC is 'protective' which is well 80 established under laboratory conditions using DOC sources such as humic and fulvic acids.¹³ Figure S2 shows the relationship between DOC and EC50 (the concentration that 81 82 negatively affects 50% of the tested population) for Mytilus. However, increased data scatter 83 above 500 μ M (6 mg L⁻¹) DOC is evident. For example, the EC50 varies by up to a factor of 5 at ca. 500 µM DOC, and DOC varies by up to a factor of 3 for a given EC50 of ca. 500 µM 84 85 Cu. Although the EQS derivation uses the same experimental data expressed as EC10 rather than EC50, the same variability in DOC vs toxicity response is observed. This scatter 86 87 originates in the variability of ecotoxicological data within and across experiments and the fact that not all DOC contributes to Cu complexation. The latter is reflected in existing 88 computational models for Cu toxicity, where various correction factors are applied to 89 90 observed DOC concentrations to account for the contribution of organic ligands to Cu complexation. This is described as 'active DOC' in the Windermere Humic Aqueous Model 91 (WHAM) models,¹⁴ 'humic acid content' in BLMs³ and is converted into ligands via algorithms 92 such as the NICA-Donnan, Gaussian or Stockholm Humic Models in Visual MINTEQ.¹⁵ The 93 94 effectiveness of these assumptions in characterising the Cu complexation within saline waters is variable and often leads to over prediction of free Cu ion concentrations ([Cu²⁺]), 95 particularly at low Cu concentrations.¹⁴ Although this is conservative, a lack of agreement 96 97 between predicted and actual values suggests improvements in the existing models are 98 possible which would further strengthen the application of BLMs for saline waters BLMs. Studies generally show weak relationships between Cu complexation capacity ($[L_x]$) and 99 DOC concentrations measured in saline waters (Figure 1),^{11,16-19} unless samples were taken 100 101 across a limited range of salinities and/or restricted to a specific ligand strength, typically derived from a single source such as river humic and fulvic acids.^{16,18} It is important to note 102 that in all cases the organic ligand complexation capacities are in the order of 1 to 100×10^{-9} 103 M of Cu compared with DOC concentrations in the $1 - 100 \times 10^{-6}$ M range. In other words, 104 the complexing ligands are present at one thousandth of the total DOC concentration. 105





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109 Are there better ways to capture the effects of metal complexation by organic ligands in 110 estuarine waters than using DOC as a surrogate for bioavailability? Most existing research in freshwater has focussed on the complexation of Cu by humic and fulvic acids, but these 111 112 materials, derived mainly from breakdown of terrestrially derived organic matter are, while important,⁴ not the only source of DOC to the estuarine environment. Wastewater Treatment 113 114 Works (WwTW) effluent contains high concentrations of DOC (in excess of 833 µM (10 mg C L⁻¹)),²⁰ comprising natural and synthetic ligands capable of strongly complexing metals.^{17,21} 115 Estuaries are habitats of high primary productivity and ligand production through cell lysis 116 117 after phytoplankton blooms often exceeding 1000's of cells per mL and proteinaceous exudates of macrophytes.^{22,19} 118

119 This study seeks relationships between the different forms of Cu within an estuarine 120 environment subject to varying salinity, DOC concentration and potential organic ligand 121 sources. A degree of *in situ* organic matter source characterisation was performed using 122 established fluorimetric techniques to determine humification and biological indices (Section 123 S2.5), to seek improved relationships between Cu speciation and potential organic ligands. 124 This was achieved by collecting samples in different seasons between 2013 and 2015 from 125 the Tamar; a temperate, macrotidal estuary in SW England. This estuary is subject to inputs 126 of organic ligands from sources including WwTW effluent, natural breakdown of organic 127 matter and primary production (Figure 2). Stripping voltammetry was used to determine operationally defined Cu-complexing organic ligand concentrations (complexation capacity, 128 $[L_x]$), stability constants (Log K) of Cu-organic ligand complexes, $[Cu^{2+}]$ and labile Cu 129

130 concentrations. Copper speciation data have been interpreted in combination with measured 131 DOC concentrations and characteristics (indices), which can indicate the presence of humic 132 and fulvic acids and/or in situ biologically derived organic compounds. In order to establish if 133 the toxic [Cu²⁺] fraction can be successfully predicted for the samples collected, a freely available program, Visual MINTEQ (VM) was used to calculate [Cu²⁺] in each sample in two 134 135 modes: (i) using the "default" NICA-Donnan humic complexation model to convert measured 136 DOC concentration into Cu-complexing organic ligand concentrations and (ii) using the 137 measured $[L_x]$ and log K for two ligand strengths determined by stripping voltammetry with 138 complexation capacity titrations. The results allowed an evaluation of the effectiveness of 139 DOC as a proxy for predicting Cu speciation in saline waters and of the accuracy of in-built 140 model algorithms for calculating the distribution of Cu species. The combination of measured 141 environmental Cu speciation data, DOC characterisation and modelling carried out in this 142 study provides vital data and critical analysis to support on-going BLM development for 143 saline waters.



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Figure 2. Map of the Tamar Estuary, UK, with sampling station locations. Abbrev: PS Plymouth Sound; DVP Devonport; LC Lynher Confluence; STSH Saltash; SNP South of Neal Point; CGR Cargreen; HF Haye Farm; WQ Weir Quay; HH Holes Hole; PC Pentillie Castle; HQ Halton Quay; COT Cotehele; CAL Calstock; MWH Morwellham Quay; GNL Gunnislake; WwTW Waste water treatment works. Potential DOC sources are marked with coloured symbols. Outline derived from Ordnance Survey (1:50000, 2001). Insert: Made with Natural Earth. Free vector and raster map data @ naturalearthdata.com.

157 2. EXPERIMENTAL

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159 2.1 Tamar catchment and sampling sites

160 The Tamar Estuary extends 16 km from Gunnislake Weir to the English Channel via 161 Plymouth Sound, with the Lynher and Tavy rivers being significant tributaries near its mouth (Figure 2). The catchment area is 1700 km² and its length is close to the average for U.K. 162 163 estuaries.²³ The upper estuary receives inputs of contaminants, such as As, Cu, Zn and Pb, 164 from unconstrained mining waste from historic mining activities, particularly in the vicinity of Gunnislake, where the tidal influence terminates above a weir.²⁴ The DOC in the river inputs 165 166 from the Tamar, Lynher and Tavy are likely to be dominated by humic and fulvic acids from degradation of vegetation and run-off from upstream agricultural and moor land.²⁵ Effluent 167 from WwTW at Ernesettle and Camels Head (Figure 2) with an approximate combined 168 169 discharge of 30 million litres per day also enters the estuary in the higher salinity region, introducing metals, as well as natural and synthetic complexing ligands.²¹ From the 170 171 confluence with the Lynher (denoted as site LC in Figure 2), intense phytoplankton blooms 172 occur through the spring and summer months as visibly seen in the water column in the July 2013 and 2014 samples as part of this work and previously.²⁶ Anthropogenically derived 173 contamination originating from the naval dockyard and urbanised areas near the mouth of 174 the estuary impacts on coastal water quality.²⁷ Sampling sites (between 5 and 8 depending 175 176 on tidal regime) along the length of the estuary were chosen to provide a range of salinities 177 as well as a variety of known metal and ligand sources (Figure 2). Sampling was carried out 178 on five occasions between July 2013 and February 2015, covering different seasons with 179 variations in river flow, salinity, and the presence of phytoplankton blooms during the spring 180 and summer months.

181 2.2 Chemicals and reagents

All chemicals used were of analytical grade or higher, and ultrahigh purity (UHP) water (Elga 182 Process Water, resistivity = $18.2 \text{ M}\Omega \text{ cm}$) was used for all applications. Hydrochloric acid (6 183 M, ROMIL SpA) was used throughout. Element reference solutions (ROMIL PrimAg) were 184 185 used to prepare Cu standards to a concentration of 1 µM. A 1 M stock solution of HEPES 186 buffer was prepared from N-hydroxyethylpiperazine-N'-2'-ethanesulphonic acid (Biochemical 187 grade, BDH Laboratory Supplies). The pH of the HEPES buffer was adjusted to ~7.8 using 188 ammonium hydroxide solution (ROMIL SpA). A 0.05 M stock solution of salicylaldoxime (SA; 189 98% Acros Organics) was prepared by dissolving in 0.5 mL 6 M HCl and making up to 30 190 mL with UHP water. This was diluted daily to make a working stock solution of 0.01 M SA 191 when used for Cu complexation capacity titrations (CCT) at concentrations of 2 and 10 μ M, 192 and for determinations of [Cu_{LAB}] and [Cu_{TD}] at 25 μ M.

193 2.3 Sampling and sample handling protocol

Section A1 (SI) details the sampling protocol. All sampling and filtration equipment was acid 194 195 washed and rinsed with UHP water prior to use. Samples for dissolved Cu were filtered through acid washed track-edged 47mm <0.45 µm Nuclepore (Whatman) membrane filters 196 197 using acid washed Nalgene filtration units. Samples for DOC were filtered within 24 h of 198 collection, acidified to ca. pH 2, and refrigerated in glass vials. Samples for the determination 199 of total dissolved ([Cu_{TD}]) and labile ([Cu_{LAB}]) Cu concentrations were refrigerated and 200 analysed within 48 h at ambient room temperature. Samples for the determination of metal 201 complexation capacity were frozen for later analysis.

202 2.4 Analytical methods, procedures and calculations

All dissolved Cu analysis was undertaken by competitive ligand exchange adsorptive cathodic stripping voltammetry (CLE-AdCSV, Section S2, SI). Labile Cu is operationally defined as the fraction of Cu that will form a complex with the added ligand salicylaldoxime (SA) at a set concentration. This includes $[Cu^{2+}]$ plus Cu held in weak complexes (e.g. bound by inorganic and weak organic ligands) that are substituted by the SA ligand within the timeframe of the determination. $[Cu_{LAB}]$ was calculated from triplicate voltammetric scans (RSD \leq 5%) conducted on duplicate aliquots of sample (RSD \leq 10%).

Prior to $[Cu_{TD}]$ determinations, acidified samples were UV irradiated in the presence of hydrogen peroxide (final concentration of 15 mM). Sample pH was raised to ca. 6 with ammonia solution (SpA, ROMIL) prior to adding HEPES and SA for analysis using the same voltammetric procedure as for labile Cu. Certified reference materials (CRMs) were included with each batch of samples. Recoveries were between 89 and 112%, with a typical precision of \leq 10% RSD. The limit of detection (LOD) was typically 0.33 nM Cu using maximum drop size, stirring speed and 60 s deposition.

217 Complexation capacity titrations (CCT) were performed at a fixed pH of 7.8 using 218 HEPES buffer (at a final concentration of 10 mM in the 10 mL sample) at two 219 competitive ligand strengths, using SA at 2 and 10 μ M to provide detection windows $(\log \alpha_{CuSA} = 3.01 - 4.59 \text{ and } 3.03 - 5.29, \text{ respectively}), \text{ consistent with Cu ligand}$ 220 221 strengths previously investigated in the estuarine environment. Aliquots of sample were 222 spiked with 10 incremental additions of Cu to a final concentration of ca 1.5 orders of 223 magnitude greater than [Cu_{TD}] in the sample and allowed to equilibrate overnight. Each 224 sample aliquot was determined by CLE-AdCSV using analytical parameters provided in 225 Section A2. Peaks for each voltammetric sweep were typically in the 5 to 100 nA range.

Duplicate titrations were carried out on all samples at each SA concentration, with 226 227 triplicate voltammetric sweeps carried out on each aliquot to generate a mean peak height for each Cu addition. Data were transformed using a method reported by van den 228 Berg/Ruzic^{28, 29} to quantify the [L_x] (in nM), the conditional stability constant (log \mathcal{K}_{CuL}) of 229 the Cu-natural ligand complexes and [Cu²⁺]. Owing to the very low concentration of 230 [Cu²⁺] in natural waters (typically 10⁻¹³ to 10⁻¹¹ M), for the purpose of displaying the data 231 232 and showing trends, the negative logarithm of the Cu concentration has been taken and concentrations (rather than activity) shown as pCu^{2+} . 233

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DOC was determined using high temperature catalytic combustion (Shimadzu TOC V analyser).³⁰ A marine water CRM (Florida Strait 700 m depth) was determined with each batch of samples and the LOD for DOC determination was 4 µM. Characterisation of the DOC was undertaken using 3-D fluorimetry with a Hitachi F-4500 FL spectrophotometer. Calibration standards were diluted into UHP using Sigma Aldrich humic acid (55.1% C; Sigma Aldrich, UK) and Nordic aquatic fulvic acid reference material supplied by the International Humic Substances Society (45% C).

242 2.5 Thermodynamic equilibrium speciation calculations

243 All calculations were undertaken using Visual MINTEQ version 3.1¹⁵, a freeware chemical equilibrium model for the calculation of metal speciation, solubility equilibria, sorption etc. for 244 245 natural waters. It combines descriptions of sorption and complexation reactions on a 246 Windows platform and has the capacity for users to input new ligands (in this case log K' cutvalues and ligand concentrations derived from the CCT field data at two separate artificial 247 248 ligand strengths) into its database. Input cation and anion concentrations were generated using an ion-pairing model³¹ (see section S2.1) using the Gunnislake freshwater sample 249 composition from this survey and available Environment Agency data³² as well as reported 250 coastal water data³³ as endmembers. 251

252 VM was used to predict [Cu²⁺] using the following input values (further default 253 parameters in section S3):

- 1) Major ion concentrations (Na⁺, Mg²⁺, K⁺, H⁺, Sr²⁺, Cl⁻, Br⁻, SO₄²⁻, F⁻) generated from conservative mixing of Tamar freshwater (mean concentrations from Gunnislake sample from present surveys and available Environment Agency data)³² and sea water³³ end member data obtained from the ion-pairing seawater model (see section S2.1)
- 259 2) [Cu_{TD}] measured as part of this work
- 260 3) Ligand concentrations:

- a. Measured as part of this work using 2 and 10 μM SA with their accompanying
 conditional stability constants
- b. Ligands generated within VM 3.1 using measured DOC concentrations from
 these surveys (Table S2) and the NICA-Donnan model for generating
 complexing ligands based on default assumptions that all active DOM is fulvic
 acid with no humic acid being 'active' in complexing Cu. Furthermore, 82.5%
 of DOC is assumed to be "generic fulvic acid" with a C content of 50%; giving
 a DOM to DOC ratio of 1.65 (see Section S2 of the SI)

The calculations were run at pH 7.8 and the default temperature of 15 °C, using Davies method for activity correction, with no other organic ligands present and assuming thermodynamic equilibrium. Sensitivity analyses (results not shown) performed by running VM calculations at the natural sample pH and temperature showed negligible difference in calculated speciation using either approach.

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275 3. RESULTS AND DISCUSSION

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277 3.1 Measured Cu speciation within estuarine waters

The Tamar estuary features a tidally induced, strong and well defined turbidity maximum zone (TMZ) between 0 and 10 salinity²³ and the extensive mudflats in midestuary are contaminated as a result of the mining legacy within the catchment.²⁴ Both impact on overlying water chemistry and Cu speciation over the course of tidal cycles.

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284 The full set of [Cu_{TD}] and [Cu_{LAB}] for all the surveys are displayed in Table S

285 2 of the SI. The $[Cu_{TD}]$ ranged from 8 to 189 nM (Figure 3), with highest concentrations invariably observed in the freshwater sample taken at Gunnislake, 286 reflecting the continuous contamination emanating from historic mine sites.²⁴ These 287 concentrations are comparable with those found in previous studies of the Tamar.³⁴ A 288 289 plot of [Cu_{TD}] versus salinity shows non-conservative behaviour as fresh and saline 290 waters mix at low salinities. This was likely a result of (i) loss of metal from the dissolved phase owing to a combination of sorption to suspended solids within the 291 TMZ³⁵ and/or (ii) precipitation reactions associated with amorphous Fe oxyhydroxides 292 and flocculation of colloidal material.³⁶ 293

295 The increase of $[Cu_{TD}]$ at mid to high salinity in July 2013 and April 2014 is potentially attributable to additional inputs from tributaries contaminated with historical mine 296 waste (Lynher, Tavy)²⁴ or mobilisation of metal from bed sediment during tidal 297 pumping.³⁵ The combined effluent input to the lower estuary from Plymouth WwTW 298 works is approximately 72 x 10⁶ L d⁻¹, with median copper concentrations of 5.6 μ g L⁻¹ 299 reported for English WwTW effluents²⁰. This equates to approximately 400 g of Cu 300 301 per day, which, with dilution, is unlikely to impact on observed concentrations.



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Figure 3. Total dissolved (dark lines) and labile Cu (light lines) and new EQS (dotted lines) concentration profiles plotted against salinity observed during the seasonal Tamar transects (note the different scale for February 2014). Error bars represent the range about the mean of duplicate aliquots.

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In most cases, the site-specific Cu EQSs for estuarine waters were slightly higher 311 than the previous value (78 nM Cu), owing to the DOC concentrations determined in 312 313 the samples being significantly above 83 μ M (1 mg C L⁻¹). No exceedance of the EQS 314 was observed within the estuary for any survey, whether comparing with new or previous standards. In terms of BLM development and further consideration of the 315

bioavailability of the metals however, it is necessary to consider the speciation of Cuin more detail.

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319 Compared with total dissolved concentrations, [Cu_{LAB}] can better reflect the likely bioavailability of the metal.³⁶ Labile Cu is relatively simple to measure and if it can be 320 321 shown to provide useable relationships with DOC or other measures of metal 322 complexing ligands then it could have a place within a regulatory framework. Overall, 323 proportions of labile and complexed Cu determined using the two competitive ligand 324 strengths showed similar patterns along the estuary (Figure 4). There were, however, 325 no strong trends in the % labile Cu present across the estuary transects, reflecting the 326 complex physico-chemical nature of this estuarine system. This, combined with the 327 presence of varying organic and inorganic ligand concentrations and strength 328 (potentially linked to source), means that strong trends in observed Cu speciation 329 along the length of an estuary are unlikely. However, some broad observations are possible. Firstly, both the lowest $[Cu_{TD}]$ and $[L_x]$ are recorded at the seawater end 330 331 member, reflecting high dilution of any riverine sources and a lack of other significant 332 inputs. As a result, a significant proportion of the Cu is complexed at the mouth of the 333 estuary. The proportion, however, varies between 20% and 90% depending on the 334 survey and ligand strength detected. At the highest salinities, in almost all cases there 335 is only marginal organic ligand excess over [Cu_{TD}].

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337 It is also clear from the data that the Tamar is not always the main source of organic 338 ligands within the Tamar Estuary. The [Cu_{TD}] is generally high in the river water end 339 member and can exceed the $[L_r]$, resulting in increased $[Cu_{LAB}]$. Furthermore, there is 340 an obvious input of ligands within the estuary at salinities between 5 and 25 for all 341 surveys. This drives a ligand excess in mid-estuary, leading to percentages of 342 complexed Cu being greater than 70% of the total present for all but the April 2014 343 survey. The HIX and BIX ratios suggest an important humic character of the DOC 344 present in this region (Figure 5), possibly originating from tidal resuspension from the 345 mudflats rich in organic matter, and/or potential inputs from the Tavy and Lynher 346 rivers.

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In this work, $[Cu^{2+}]$ is a more defined sub-set of Cu speciation than $[Cu_{LAB}]$ (see equations 1 and 2 in Section S2.1) as determination is undertaken at a predefined competitive ligand strength and reflects only the free metal ion, rather than additional 'labile' forms. Free Cu²⁺ concentrations in the region of 10⁻¹² M were determined (Table S2, Figure S3, not shown owing to the scale), which are typical of those measured in other estuaries.^{8,18,37} The [Cu²⁺] determined with any of the three ligand strengths fluctuated throughout the estuary, with no obvious relationship with salinity (contrary to the trend of increasing [Cu²⁺] with salinity reported elsewhere).³⁸ This highlights the fact that the complex nature and diverse sources of organic ligands makes it difficult to predict their binding capacity, and thus the potential [Cu²⁺] in the water column, without some form of direct measurement, even when organic carbon is characterised and quantified.

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361 The next question is, therefore, how influential is DOC and its measurement on the 362 observed Cu speciation?



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Figure 4. Ligand concentrations ([L_x]), Ligand excess ([L_x] – [Cu_{TD}]), and labile and (organically) complexed Cu as a percentage of total dissolved Cu, for each sampling occasion. Dark red lines represent concentrations determined using 10 μ M SA, pale red lines 2 μ M SA. The x-axes represent salinity in all cases. Error bars on [L_x] plots represent upper and lower confidence limits calculated from the standard error of the slope of the transformed data. NB. [Cu²⁺] concentrations are < 1 % of [Cu_{TD}] for all samples.

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373 3.2 DOC characterisation and influence on copper speciation

374 A comparison of the data from this study in a plot of Cu complexing capacity ($[L_x]$) versus 375 [DOC] reported in previous studies of other saline waters shows no obvious correlation (data 376 not shown), nor is this the case when separating out weaker ligands (Log K<13) likely to be 377 derived from a mixture of autochthonous and allochthonous sources (Figure 5A). However, trends emerge for two of the datasets^{16,18} when isolating the stronger ligands with a Log K >378 13 (Figure 5B) that are potentially derived from terrestrial humic sources.¹⁸ A study on the 379 river Scheldt¹⁶ showed that the ligand concentration can be predicted based on measured 380 381 DOC and salinity because the source of organic ligands was dominated by a single riverine 382 source. This indicates that for estuaries that feature a strong negative correlation between 383 salinity and DOC, the assumption is reasonable that the DOC is dominated by a riverine 384 source and may therefore be associated with humic and fulvic acids known to complex strongly with Cu.³⁹ For the Tamar, no obvious trends were observed between DOC and 385 386 either [Cu²⁺] or salinity (Figure S4), confirming the complex nature of the interactions between Cu, ligands, sources and concentrations, set against a background of varying 387 388 physico-chemical conditions within this estuary. Furthermore, DOC concentrations in estuarine waters are typically between 100 and 1000 µM,¹⁷ whereas the reported 389 390 concentrations of copper complexing ligands are typically in the 4 to 400 nM range,¹² i.e. up to 3 orders of magnitude lower, which may explain the observed apparent threshold of 100 391 392 µM DOC before significant, detectable complexation capacity is measured (Figure 5 A and 393 B).



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397Figure 5.Comparison of data from this study with previously reported DOC and Cu398complexation capacity in saline waters for ligands with [A]: Log K < 13.0</td>399and [B]: Log K > 13.0.

From a modelling perspective the use of DOC alone as a proxy for Cu complexation and hence speciation and, more importantly, as a predictor of the most toxic (Cu²⁺) form, is therefore unlikely to generate sufficiently accurate estimates. The ability to predict Cu speciation is considered in more detail in the next section.

405 It is possible to further characterise DOC in water using established 3-D fluorimetry 406 methods which can also help to imply sources of the DOC present and this was 407 explored as a another option for potentially predicting Cu speciation. The ratios of 408 observed fluorescence peaks can be used to categorise the organic carbon as humic 409 and fulvic, terrestrial or in situ generated material using the humification (HIX) and biological (BIX) indices (A2.5). HIX ratios (Ex260-Em320)/(Ex260-Em460) <4 suggest 410 411 biological or aquatic bacterial origin, while increasing humic character up to ratios >16 show progressively stronger humic character (Figure 6).⁴⁰ For BIX (Ex310-412 413 Em380)/(Ex310-Em430) it has been observed that high ratios (0.8–1.0) corresponded 414 to a predominantly autochthonous origin of DOC from recent aquatic and bacterial
 415 activity freshly released into water.⁴¹

416 Fluorescence data for the Tamar surveys showed HIX values generally decreased towards the sea water end member (Figure 6, no data for July 2013). Important humic 417 418 character was indicated for the fresh water endmember (HIX > 10), while DOC 419 generated by in situ biological processes increased in importance towards the sea 420 water end member (BIX > 0.7). It is likely that the DOC in the lower estuary was at 421 least partially derived from phytoplanktonic activity and/or WwTW effluents. The 422 combined effluent input to the lower estuary from Plymouth works is approximately 72 x 10⁶ L d⁻¹, with median DOC concentrations of 12 mg L⁻¹ reported for English effluents,²⁰ thus 423 424 equating to an input of ca. 864 kg of DOC per day.

425 Upper estuary and riverine HIX indices were > 10 during three surveys, supporting 426 the hypothesis that the DOC was of mainly terrestrial origin, comprising mostly humic 427 and fulvic acids. The BIX index corroborated this, with values increasing towards the 428 sea water end member, demonstrating the autochthonous origin of the DOC present 429 likely to be from primary production during summer months when this signal was 430 strongest at salinities greater than 20.

Data from February 2014 appeared to show extreme DOC characteristics within the estuary. Sampling followed a prolonged period of intense rainfall across the SW of England, resulting in widespread flooding. River flows into the Tamar estuary were high enough to suppress salinity at its mouth in Plymouth Sound to 20, where the HIX index of > 20 indicated the strong terrigenous humic character of the DOC. These unusual estuarine conditions are also reflected in the Cu speciation discussed above (Figures 3 and 4).

The data presented above shows that $[L_x]$ was higher at salinities below 20 than towards the seawater end member, reflecting dilution with seawater containing low concentrations of DOC (Figure 4). Concurrently, mostly higher HIX and lower BIX values were observed at salinity < 20, suggesting the DOC present was derived from riverine sources and largely humic in character (Figure 5).

443 A plot of $[L_x]$ against HIX and BIX shows weak positive and negative correlations, 444 respectively (Figure 6); albeit it should be noted that the range of BIX indices is low 445 for the Tamar Estuary (typically 0.6 to 0.8). Highest HIX values were associated with 446 higher complexation capacities, which reflects the strong affinity of Cu for humic and 447 fulvic acids,^{18,42} which dominate the lower salinity regions in the upper estuary.

However, this is not necessarily reflected in the observed Cu speciation (Figures 3 448 and 4), which would be expected to give rise to less $[Cu_{IAB}]$ and/or $[Cu^{2+}]$ in this 449 region of the estuary owing to complexation (Figures 3, 4 and A3). The presence of a 450 451 turbidity maximum with commensurate resuspension of contaminated bottom 452 sediment and mine water discharges in the upper estuary containing labile or free 453 metal ion, is therefore likely to be a complicating factor, potentially masking the 454 expectation of lower labile Cu concentration occurring concomitant with a higher HIX index. Based on this dataset, although the indices provide useful information 455 regarding possible sources of ligands, it appears that correlations are not sufficiently 456 robust to improve greatly on the existing use of DOC concentration as a surrogate for 457 458 Cu complexation characterisation.

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466 3.3 Predicting Cu speciation and implications for regulation

Models such as the BLM⁴³ and Gill Surface Interaction Model (GSIM)⁴³ combine 467 predictions of metal ion activity and organism response to generate site-specific water 468 469 quality standards taking account of ambient conditions. It should be noted that these 470 models use metal ion activity, not concentration, for calculations. In general, the 471 activity depends on any factor that alters the chemical potential, including 472 concentration, temperature, pressure, interactions between chemical species and electric fields. For saline waters ionic strength can be a significant factor in 473 determining model accuracy⁴⁴ and models typically use the Debye–Hückel or Davies 474 equations to predict species activity.⁴³ 475

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477 In addition, any chemical speciation model within a BLM needs to incorporate 478 thermodynamic data for organic ligands that are known to be capable of complexing 479 metals in natural waters. Although metal interactions with individual synthetic and 480 some natural organic ligands are well defined, metal - organic ligand interactions in 481 natural waters are complex, variable and more poorly characterised. Given the 482 analytical complexity and time required to determine the complexation capacity and 483 strength of natural organic ligand mixtures, most models apply an algorithm to the 484 DOC concentration to parameterise metal - natural organic ligand interactions. These 485 algorithms are based on the assumption that humic and fulvic acids are the dominant 486 metal complexing ligands in natural waters and estimate their concentrations. Model codes that use such algorithms for DOC corrections, and for which predicted and 487 observed organically bound and/or free metal ion concentrations have been 488 compared, include WHAM VII,⁴⁵ FIAM,⁴⁶ and VM⁴⁷. However, Figure 1 demonstrates 489 490 that DOC is often a poor predictor of $[L_x]$ and possible explanations for this include 491 the assumption of chemical equilibrium in thermodynamic speciation models (unlikely 492 within an estuarine environment) and the very small proportion of metal complexing 493 ligands in the overall DOC pool in natural waters. Some of the challenges associated 494 with predicting free metal ions in saline waters were recently highlighted using the 495 WHAM VII model, which assumes a fixed proportion of measured DOC actively complexes Cu.⁴⁵ The authors showed that approximately 59% of predictions were 496 within one order of magnitude of the data determined using competitive ligand 497 498 methods, although the agreement was much better at higher free ion concentrations (>10⁻¹³ M), which are more typically observed in estuarine waters. 499

To test the NICA-Donnan approach for converting DOC into active Cu binding ligands, the 501 502 freeware metal speciation programme Visual MINTEQ¹⁵ was applied to the dataset obtained 503 from the Tamar Estuary and model outputs compared with observed Cu speciation. Using 504 default parameters (Section S3), concentrations for 16 samples from this study across the 505 full salinity range (Table S3) were entered into VM. Two scenarios were run, (i) $[L_x]$ and $\log K_{CuLe}$ obtained via CLE-AdCSV at two detection windows were entered to calculate [Cu²⁺] 506 (Figure 7A) and (ii) DOC concentrations were entered to calculate [Cu2+] using the NICA-507 508 Donnan humic complexation model approach (Figure 6B). The results showed a significant difference in the outputs. Predicted versus measured [pCu²⁺] for scenario (i) showed that the 509 16 data points were relatively evenly distributed ($r^2 = 0.44$) along the 1:1 line, with up to an 510 order of magnitude variation either side and no obvious bias (Figure7A). In contrast, 511 512 scenario (ii) resulted in a biased prediction of [Cu²⁺], generally under-predicting by as much 513 as two orders of magnitude (Figure 7B), clearly showing that not all of the DOC was active in 514 Cu complexation. Insufficient HIX data did not permit examination as to whether the bias 515 was directly associated with humic character. However, as higher HIX ratios would be 516 expected at low salinity (Figure 5) and there was no systematic variance with salinity 517 (coloured symbols), this estuary is unlikely to show a relationship between humic acids and speciation. Because predictions were generally closer to observations at higher [Cu²⁺], either 518 modelling approach can more accurately predict [Cu²⁺] when the risk of toxic effects 519 520 occurring is greater.



523Figure 7.Measured versus predicted (using Visual Minteq) $[Cu^{2+}]$ concentration524plotted as pCu^{2+} (-log₁₀ of the molar concentration) with 1:1 line included525(dashed line). Solid lines represent the linear least squares regression of526the data. [A] using ligands measured as part of this work and [B] using VM527ligands generated using measured DOC and the Nica-Donnan method528(Green, amber and red symbols = salinity <10; 10-20 and >20 respectively).

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530 The under-prediction of [Cu²⁺] present in estuarine waters would be a concern, as regulators 531 and practitioners rely on models to provide conservative estimates to ensure environmental 532 protection. Under-predicting the most toxic fraction of Cu cannot be considered 533 precautionary and may not provide adequate protection to vulnerable aquatic species.

These results show that VM or similar equilibrium speciation codes can be used to predict free metal ion concentrations with adequate certainty, given sufficient Culigand data. Obtained results can be used in conjunction with data on ecotoxicological endpoints to assist in developing BLMs. This approach may help to eliminate the uncertainties observed in EC50 values plotted against DOC (Figure S2). The priority is to characterise a set of organic ligands within individual estuarine scenarios wherethe sources are potentially very varied and quite different from riverine situations.

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542 From a regulatory perspective, estuarine dissolved Cu concentrations were lower than the 543 new DOC-corrected Cu EQS; however the relevance of DOC as an accurate proxy for Cu 544 speciation (and hence potential toxicity) throughout an estuarine salinity profile is 545 questionable, as discussed in section 3.3. The Tamar data shows no direct relationship 546 between complexation capacity or Cu speciation and DOC concentration, and metal 547 complexation trends are not related to the source and characteristics of the Cu complexing 548 ligands. The lack of relationships between these parameters in the Tamar Estuary is 549 probably the result of a number of factors including ligand source and strength, resuspension 550 and particulate sorption chemistry, chemical precipitation reactions and colloidal interactions. 551 The Tamar Estuary is not likely to be unique in this complexity and in addition, many 552 estuaries contain a continuum of ligands with strengths that vary from very weak to very 553 strong, at variable concentrations and which also exhibit temporal variability. Consequently, 554 attributing a single factor to explain observed metal speciation may not be adequate to 555 provide regulators with sufficiently accurate speciation predictions.

556 Even with this degree of complex physico-chemical interactions, and accepting that 557 speciation models are based on an assumption of chemical equilibrium, this research has 558 shown that it is possible to predict free metal concentrations within an order of magnitude of 559 measured concentrations by using freely available chemical speciation software and 560 inputting determined major ion concentrations and Cu ligand data (concentration and 561 $\log K_{CuL_x}$ values). In contrast, using the NICA-Donnan complexation model to predict Cu speciation based on inputting DOC concentrations generated a bias in [Cu²⁺] predictions 562 563 which overestimated the free metal ion concentration for 14 out 16 samples across all 564 salinities by up to almost two orders of magnitude. It should be noted that as part of the Nica-Donnan assumptions, the DOM:DOC ratio is generated from Swedish lakes and rivers 565 samples dominated by humic and fulvic acids⁴⁸ which may not be reflective of sources of 566 DOC in estuaries for the reasons discussed above. The data presented here show that, 567 568 although DOC is broadly indicative of Cu complexation, it cannot be relied on as a basis for 569 predicting free Cu concentrations in estuarine waters. Consequently, the use of DOC 570 concentration in setting EQS should be considered as an interim step, with future BLM 571 development for estuarine waters needing to take into account more detailed Cu speciation. 572 Further data are required regarding the characterisation of organic ligands and their sources, 573 matched to observed ecotoxicological outcomes, to generate a robust BLM upon which 574 future environmental legislation and robust environmental quality standards can be based.

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Supporting Information. 583

584 Provides details:

585 New vs previous EQS for Cu in estuarine and coastal waters

- Mussel toxicity data vs DOC 586
- 587 Free Cu figures vs salinity and vs DOC for two different ligand strengths
- 588 Details of sampling protocol and detailed analytical methodology.
- 589 Further details of the VM model
- 590 Tabulated raw data for the Tamar surveys and tabulated VM input data
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