1	The effect of gaze angle on visual acuity in infantile nystagmus					
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20 Running title: Visual acuity in the nystagmus null zone

22 Abstract

23 PURPOSE: Most individuals with infantile nystagmus (IN) have an idiosyncratic gaze angle at 24 which their nystagmus intensity is minimised. Some adopt an abnormal head posture to use 25 this 'null zone', and it has therefore long been assumed that this provides nystagmats with 26 improved visual acuity (VA). However, recent studies suggest that 'improving' the 27 nystagmus waveform could have little, if any, influence on VA; i.e., VA is fundamentally 28 limited in IN. Here, we examined the impact of the null zone on VA. 29 **METHODS**: VA was measured in eight adults with IN using a psychophysical staircase 30 procedure with reversals at three horizontal gaze angles, including the null zone. 31 **RESULTS**: As expected, changes in gaze angle affected nystagmus amplitude, frequency, 32 foveation duration and variability of inter-cycle foveation position. Across participants, each 33 parameter (except frequency) was significantly correlated with VA. Within any given 34 *individual*, there was a small but significant improvement in VA (0.08 logMAR) at the null 35 zone as compared with the other gaze angles tested. Despite this, no change in any of the 36 nystagmus waveform parameters was significantly associated with changes in VA within individuals. 37 38 **CONCLUSIONS:** A strong relationship between VA and nystagmus characteristics exists

between individuals with IN. Although significant, the improvement in VA observed within
individuals at the null zone is much smaller than might be expected from the occasionally
large variations in intensity and foveation dynamics (and anecdotal patient reports of
improved vision), suggesting that improvement of other aspects of visual performance may
also encourage use of the null zone.

44 Introduction

Infantile nystagmus (IN) is a regular, repetitive, predominantly horizontal involuntary
movement of the eyes. It usually develops within the first six months of life, resulting in
ocular oscillations that are constantly present and persist throughout life. Even in the
absence of any other detectable pathology, cases of IN are typically associated with a
moderate reduction in visual acuity (VA).¹

50 For reasons that are not fully understood, the orientation of the eye in the orbit (i.e. gaze 51 angle) affects one or more of the characteristics of the involuntary oscillations, including the amplitude, frequency and/or waveform type.^{2,3} This results in a direction of gaze in which 52 the intensity of the oscillations is at a minimum, termed the null position or null zone.⁴ 53 54 Individuals with IN whose null zone is not straight ahead will often adopt an abnormal head posture in order to place the eyes at this gaze angle,¹ thus dampening the nystagmus and 55 56 often increasing the duration of foveations (the period in each cycle of the waveform during 57 which the eyes move most slowly). This null zone may be used preferentially in many situations.¹ One might therefore presume that utilising the null zone would cause VA to 58 59 increase. Indeed, when plotted between individuals with IN, foveation duration is positively associated with VA.⁵ Moreover, a study by Costa et al. demonstrated that the clinical VA of 60 61 children with IN (as measured using the Lea Grating Acuity Test) was significantly improved by using the null zone⁶. A recent study by Proudlock et al.⁷ has found similar results, 62 reporting that changes in gaze angle (through use of the nystagmus null zone) cause 63 significant changes in clinically-measured VA. 64

In contrast to these findings, recent work has suggested that VA may be fundamentally
limited in adults with IN,⁸ meaning that treatments aiming to reduce (or even eliminate)

67 retinal image motion associated with the eye movements are unlikely to yield large 68 improvements to VA. This is at direct odds with the conventional view that reducing 69 nystagmus intensity and/or increasing foveation duration will lead to improved VA. It should 70 be remembered that, due to the retinal image motion resulting from the incessant eye 71 movements, there is likely to be a dynamic component to the visual input in the presence of 72 nystagmus, unlike most visual pathologies, which are 'static'. As a result, VA (an exclusively 73 spatial measure of the resolving power of the visual system) cannot provide a complete 74 account of the visual experience in those with IN. Temporal factors, such as cycle-to-cycle 75 variability in foveation position (which is known to be correlated with clinical VA between individuals^{9,10}), are also likely to have an impact on visual performance. In the clinic, the 76 77 time taken to make a measurement of VA is not standardised. Factors specific to IN may affect how long it takes to achieve a VA threshold. This may explain why some clinical 78 79 studies report a link between nystagmus characteristics and VA, whereas others do not. In 80 studies that have measured VA using a psychophysical protocol, such as a forced choice 81 staircase in which the participants have unlimited time to achieve their threshold resolution, modifications to the nystagmus waveform have repeatedly failed to elicit significant changes 82 in VA.¹¹⁻¹³ On the other hand, therapeutic studies that measure VA using clinical letter 83 charts frequently report changes in acuity.^{14,15} 84

Between individuals, VA is known to correlate with characteristics of the nystagmus waveform, such as foveation duration and accuracy.^{5,16–18} Furthermore, several studies have investigated, in normally-sighted individuals, the relationship between VA and foveation duration in *simulated* nystagmus waveforms (i.e., the test stimulus is moved in such a way as to mimic nystagmus).^{19–22} The data from each of these studies are presented in Figure 1,

90 and clearly show an exponential relationship between simulated foveation duration and VA



91 *across* individuals, i.e. VA improves with foveation duration.

- Figure 1: The relationship between VA and foveation duration in simulated nystagmus in normally-sighted individuals:
 results from four studies (reproduced with permission from Chung and Bedell [1996])20.
- 95 In the present study, we aimed to determine the extent to which use of the null zone (as
- 96 opposed to other gaze angles) affects VA in adults with IN, using a staircase protocol.
- 97 Although lengthy in duration, these psychophysical techniques provide a more accurate
- 98 visual resolution threshold than standard clinical testing, due to repeated measurement and
- 99 the explicit lack of time constraints. In order to achieve this, we displayed visual targets at
- 100 three horizontal gaze angles (null zone and two positions away from the null, including
- 101 straight-ahead) to provoke changes in the participants' eye movements, and measured the
- 102 threshold VA at each position while simultaneously recording eye movements.

103 Methods

- 104 Eight individuals with idiopathic IN participated in the study (three female; 20-50 years
- 105 [mean age 33]). The diagnosis of IN as reported by the participant or their ophthalmologist
- 106 was investigated by an optometrist using high-speed eye movement recording,

107 ophthalmoscopy, colour vision testing, slit-lamp examination and a detailed family history.

108 No participants reported being under medical treatment or having undergone previous

109 surgery for nystagmus. *Clinical* VA was measured using a self-illuminated Bailey-Lovie chart;

- 110 participants were given as long as they wished to view the chart, and encouraged to
- 111 continue reading until at least four letters on a line were incorrectly identified. Participants
- 112 with any comorbid visual pathology besides nystagmus were excluded (one participant from
- an original total of nine was excluded due to previous retinal detachment). The investigation
- 114 was carried out in accordance with the Declaration of Helsinki; informed consent was

obtained from the participants after explanation of the nature and possible consequences of

- the study. The Cardiff School of Optometry and Vision Sciences Research Ethics Audit
- 117 Committee granted approval for this study.
- 118 Participants were fitted with a head-mounted 1000 Hz eye tracker (IRIS; Skalar Medical BV,
- 119 Delft, The Netherlands) and seated at a table with a chin/headrest. The head was
- 120 comfortably restrained with foam inserts placed beside the temples. A computer-controlled
- 121 rotational mirror system was used to calibrate the eye tracker. The experimental equipment
- 122 and calibration method have been described previously.²³ Following calibration, the foam

123 **inserts were removed, and the** null position (rounded to the nearest 5°) for each participant

- 124 was determined by asking participants to view a Landolt C target presented in the centre of
- 125 a 17" monitor at an optical distance of 7 m, using the head posture with which they could
- 126 most easily view the target. This gave a reading from the IRIS system of orbital eye position,
- indicating the amount of head turn required to view the target most comfortably.
- 128 All participants were made familiar with the psychophysical staircase procedure before
- 129 recording began. The foam inserts were returned to the headrest to stabilise the head, and

130 participants were asked to locate the gap in a single Landolt C, using a two-alternative 131 forced choice paradigm (gap left or gap right). The starting size optotype was 0.40 logMAR 132 above each participant's best clinical VA. The presentation of subsequent Landolt C targets 133 followed a staircase procedure using a fixed step size of 0.075 logMAR, and a three-up/one-134 down criterion. The staircase terminated after the criteria of 80 presentations and eight 135 reversals had been satisfied. VA was estimated as the mean of the final six reversals.²⁴ 136 Participants performed the task at three gaze positions: their null position, primary gaze and 137 one other eccentric gaze position, chosen to represent a wide range of viewing angles. In 138 the one participant whose null position coincided with straight-ahead, two eccentric gaze positions were used. Eye movements were recorded throughout. Gaze angles were 139 achieved by using the computer-controlled rotational mirror system to present the stimulus 140 141 at specific angles of gaze (see Figure 2).





Figure 2: A) Schematic of laboratory layout, showing relative positions of mirror system and display. B) Photograph
 showing participant setup.

- 144 Regression analyses of the resulting dataset were performed using SPSS for Windows.²⁵ The
- 145 changes to waveform characteristics (amplitude, frequency, foveation duration and
- 146 variability of foveation position) elicited by varying gaze angle were compared to the change
- 147 in VA obtained both *across* and *within*-participants.

148 **Results**

149 Clinical details for each of the participants are presented in Table 1.

	Participant	Age / Sex	Clinical diagnosis	Ocular alignment	Refraction	Clinical VA (logMAR)	Null angle (°)	Latent component	Waveform type
-	<mark>P1</mark>	37 / M	Idiopathic	Ortho	RE: +2.25/-1.25x170 LE: +0.50/-0.75x5	RE: 0.30 LE: 0.10 BE: 0.10	10° right	No	JR _{ef}
_	<mark>P2</mark>	37 / M	Idiopathic	L ET	RE: +1.50/-2.50x5 LE: +2.75/-2.75x5	RE: 0.32 LE: 0.32 BE: 0.32	5° left	No	P _{FS}
_	<mark>P3</mark>	38 / M	Idiopathic	R XT	RE: -1.00/-0.75x35 LE: -0.50/-0.25x160	RE: 0.50 LE: 0.44 BE: 0.46	15° right	No	DJL / DJR / P _{FS}
_	<mark>P4</mark>	33 / M	Idiopathic	Ortho	RE: -2.00/-2.75x180 LE: -3.00/-1.75x170	RE: 0.24 LE: 0.18 BE: 0.18	15° left	Yes	P / PC / T / JL
_	<mark>P5</mark>	24 / F	Idiopathic	Ortho	RE: -5.00DS LE: -5.00DS	RE: 0.00 LE: 0.00 BE: 0.00	5° left	No	J _{EF}
	<mark>P6</mark>	50 / M	Idiopathic	Ortho	RE: -11.50/-2.00x30 LE: -10.00/-1.50x90	RE: 0.42 LE: 0.52 BE: 0.42	10° right	Yes	JL
	<mark>P7</mark>	25 / F	Idiopathic	Ortho	RE: ∞ LE: ∞	RE: 0.40 LE: 0.30 BE: 0.30	Primary	No	J _{EF} / PC
_	P8	20 / F	Idiopathic	Ortho	RE: -4.25/-0.75x125 LE: -3.50/-1.50x55	RE: 0.22 LE: 0.32 BE: 0.12	10° left	No	J _{EF}

150 Table 1: Clinical data for study participants

DJ(L), dual jerk (left); ET, esotropia; J(R)(_{EF}), jerk (right) (with extended foveation); L, left; Ortho, orthotropia; P, pure
 pendular; PC, pseudocycloid; P_{FS}, pendular with foveating saccades; R, right; T, triangular; XT, exotropia

- 153 Table 2 shows the experimental data (VA and eye movement characteristics) at each of the
- 154 three gaze angles for each participant. Foveation *duration* indicates the length of time
- 155 participants spend with low-velocity eye movements during each nystagmus cycle, whereas
- 156 standard deviation of foveation position can be considered as a measure of foveation
- 157 *accuracy*, i.e. the cycle-to-cycle repeatability of foveation position. Foveations were defined
- as periods lasting longer than 5 ms during which eye velocity was < 4°/s and eye position
- 159 was within $\pm 2^{\circ}$ of the stimulus, parameters which have been used in previous studies by
- 160 others, e.g. ^{10,26}.

161 Table 2: Experimental data for study participants

pant	tion (°)	MAR)	(°) abı	cy (Hz)	(s/°) y	Foveation parameters		
Partici	Eye posi	VA (log	Amplitu	Frequen	Intensit	Foveation duration (ms)	Standard deviation of position (°)	
	+10 (Null)	0.056	2.22	4.50	9.99	62.29	0.697	
<mark>P1</mark>	0	0.068	2.68	4.33	11.61	46.49	0.371	
	-10	0.081	2.67	5.55	14.24	37.30	0.403	
	-5 (Null)	0.219	1.78	3.50	6.23	39.37	0.543	
P2	0	0.406	2.37	3.50	8.30	22.34	0.651	
	+15	0.431	7.08	4.67	33.04	2.25	0.449	
	+15 (Null)	0.306	0.96	5.83	5.60	19.18	0.282	
<mark>P3</mark>	0	0.306	5.67	3.50	19.85	21.29	0.727	
	-15	0.331	9.59	3.67	35.16	10.08	1.19	
	-15 (Null)	0.094	1.85	7.00	12.95	5.60	0.439	
<mark>P4</mark>	0	0.181	2.64	4.83	12.76	1.86	0.524	
	+15	0.231	5.86	5.83	34.18	10.03	1.051	
	-5 (Null)	0.001	2.11	4.33	9.14	93.35	0.216	
P5	0	0.080	2.12	4.50	9.54	81.62	0.259	
	+10	0.068	3.06	4.33	13.25	93.72	0.313	
	+10 (Null)	0.437	3.11	4.67	14.51	29.94	0.279	
<mark>P6</mark>	0	0.462	3.83	4.83	18.51	4.24	0.523	
	-10	0.524	10.14	4.33	43.94	2.13	1.343	
	0 (Null)	0.206	2.60	6.17	16.03	25.12	0.665	
P7	-5	0.231	4.38	6.00	26.28	12.22	0.746	
	+5	0.319	4.43	5.50	24.37	19.64	0.796	
	-10 (Null)	0.056	2.24	4.17	9.33	77.34	0.310	
<mark>P8</mark>	0	0.069	3.02	4.33	13.09	51.50	0.326	
	+10	0.044	3.33	4.50	14.99	66.16	0.296	

162 To illustrate the effects of different gaze angles on the nystagmus waveform, Figure 3 shows

163 eye movement recordings at three gaze angles for three participants (P1, P3 and P4),

164 representing a range of waveforms (see Table 1). The upper plot in each figure shows the

165 nystagmus waveform in the participant's null zone. In each case, nystagmus intensity

166 reduces considerably in the null zone.



- 167 Figure 3: Eye position recordings from three participants at varying gaze angles.
- 168 The relationships between VA and the properties listed in Table 2 (except intensity, which is
- 169 calculated as *amplitude × frequency*) are depicted in Figure 4. Each participant is
- 170 represented by a different coloured symbol.



Figure 4: The relationship between VA and nystagmus amplitude (A), frequency (B), standard deviation of foveation position (C) and foveation duration (D) for all participants. Significant regression lines are shown.

173 Across-participant analysis

- 174 Grouping data from all participants, amplitude exhibited a significant linear relationship with
- 175 VA ($R^2 = 0.33$, $F_{1,22} = 10.82$, p = 0.003). Approximately 33% of the variance in VA can be

accounted for by nystagmus amplitude. No significant correlation (linear or exponential)

177 between VA and nystagmus *frequency* was evident in this group of participants.

Again, grouping data from all participants, standard deviation of foveation position showed a significant linear relationship with VA ($R^2 = 0.27$, $F_{1,22} = 8.24$, p = 0.009; Figure 4.C). The relationship between foveation duration and VA (Figure 4.D) can be described by an exponential function with the following equation:

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$$y = 0.4406e^{-0.0336x}$$

The time constant of this function is 30 ms, which is within the range of time constants
previously reported by Chung and Bedell and others in studies in which normally-sighted
individuals were exposed to stimuli with motion simulating nystagmus waveforms^{19–22} (see
Figure 1). Thus, 95% of the total VA change occurred after three times the exponential time
constant. Data across participants in our study indicate that maximal VA should be achieved
with foveation durations of 90 ms or longer.

- 189 Conducting a regression ANOVA revealed a significant relationship between foveation
- 190 duration and VA across individuals ($R^2 = 0.58$, $F_{1,22} = 30.72$, p < 0.0001). Indeed, nearly 60%

191 of the variation in VA can be accounted for by foveation duration.

192 Within-participant analysis

In order to determine whether there was a *within-participant* effect of gaze angle on VA, the
change in VA was plotted against the change in each parameter of the nystagmus waveform
at and furthest away from the null zone. These are shown in Figure 5.



196Figure 5: The change in VA and nystagmus amplitude (A), frequency (B), standard deviation of foveation position (C) and197foveation duration (D) within individual participants, in and out of the preferred null zone

Using a linear mixed model analysis, none of the five nystagmus parameters (amplitude, frequency, intensity, foveation duration or foveation position variability) showed a significant relationship with VA in the eight participants. Nonetheless, paired samples t-tests examining VA in the null zone and at the two other recorded gaze angles, i.e. away from null

and then farther from the null zone, showed statistically significant *improvements* in VA
(0.05 logMAR: p = 0.046 and 0.08 logMAR: p = 0.015, respectively).

204 **Discussion**

205 For many years, potential therapeutic interventions for IN have been based on the 206 assumption that reducing nystagmus should improve VA (such as biofeedback, surgery, 207 drugs, etc.). The implicit assumption has been that the self-generated image motion caused 208 by nystagmus is an important contributor to poor VA. This is especially the case for the 209 'pure' idiopath in which there is assumed to be no underlying sensory defect. Contrary to 210 this intuition, this study has shown that changes in nystagmus intensity induced by changes in gaze direction are associated with only very small changes in VA (mean = 0.08 logMAR). 211 212 Nevertheless, these changes are significant.

213 Our study is based on participants' own changes in nystagmus parameters with gaze angle; 214 that is, each participant is their own control. Other studies that are also based on within-215 participant comparisons have reported similarly small effects of nystagmus intensity on VA. 216 For example, studies on biofeedback have reported changes in nystagmus intensity, but only limited improvements in VA.^{27,28} Inducing stress increases nystagmus intensity, but again 217 has minimal effect on VA.^{11,12} McLean et al.¹⁴ showed that memantine and gabapentin can 218 219 substantially reduce nystagmus intensity, but produce only small improvements in VA: 0.15 220 (±0.18), 0.09 (±0.05), and 0.04 (±0.03) logMAR for the idiopathic group and 0.05 (±0.04), 221 0.04 (±0.07), and -0.03 (±0.05) logMAR for the sensory defect group on memantine, gabapentin and placebo treatment, respectively. McLean et al.²⁹ recently expanded their 222 223 study to a crossover design, and found no significant change in VA, despite large significant changes to nystagmus characteristics. Dunn et al.⁸ argued that if nystagmus-induced motion 224

blur contributed to poor VA in adults, then VA should improve if retinal smear were eliminated. By using very brief stimulus exposure times (< 1 ms), they found no such improvement relative to control participants. They concluded that the lack of improvement in VA in idiopaths may be due to an unknown underlying sensory defect or meridional amblyopia.

In stark contrast, many studies have shown a strong relationship between VA and 230 nystagmus parameters when compared between participants.^{5,16–22} Indeed, our study 231 232 highlights this difference, as seen by comparing the between-participant effects in Figure 4 233 to the within-participant effects in Figure 5. Clearly, there is a much wider range of 234 nystagmus parameters across individuals than can be induced within any of the individuals 235 in this study. Thus, one possibility is that there is an underlying relationship between the 236 nystagmus waveform and VA (as seen in Figure 4 [a, c and d]), but that there is a limited 237 range of nystagmus parameters available to any individual. However, we are not convinced that this is the case, as individual changes do not follow the aggregate curve closely. 238 239 Nevertheless, given the large variability in the relationship between VA and foveation 240 duration, we cannot rule out this possibility. A second possibility is that the waveform 241 adapts to the underlying VA: those with poorer VA develop nystagmus with shorter 242 foveation periods, and the between-participant effect is the manifestation of this 243 adaptation across participants. Individuals, on the other hand, show little or no relationship 244 with foveation duration, as their VA is more-or-less fixed. Since the participants in the present study were all adults (mean age 33 years), we cannot rule out the possibility that 245 246 adoption of the nystagmus null zone might have a greater impact on VA in infancy than in 247 adulthood, and that early treatment of nystagmus might have greater long-term benefits to

VA. Indeed, Felius, Stager and Jost³⁰ have demonstrated that the benefits to VA of four muscle surgery are greater during the critical period of visual development.

250 There have been attempts to relate VA to the nystagmus waveform, such as the *eXpanded* Nystagmus Acuity Function (NAFX) and many others.^{10,16,17,31,32} These are based on the 251 252 exponential relationship between VA and foveation duration (Figure 1). The idea is that one can predict VA based purely on the waveform, rather than measuring VA.¹⁷ However, these 253 254 indices are based on between-participant data, and are not based on how an individual's VA changes with waveform.³³ Thus, an individual's NAFX score places the individual's average 255 VA along a scale relative to other individuals' average VA, based on the average duration of 256 257 foveation periods. As we have seen, within an individual, the relationship between VA and 258 foveation periods is very weak, and does not follow the exponential relationship seen between participants. Thus, it is not possible to predict changes in VA for a specific 259 individual based on changes in mean foveation duration. For these reasons, the use of these 260 various indices is not only inappropriate, but is also misleading and circular. It would be 261 262 interesting to examine however, in a larger cohort of participants, whether certain waveforms might be more susceptible to gaze angle induced changes in psychophysically-263 measured VA. 264

Dickinson has previously demonstrated that the repeatable changes in nystagmus intensity elicited by convergence do not cause VA, or any aspect of contrast sensitivity function, to improve.³⁴ These data raise the intriguing question of why participants choose to use their null zone, even to the extent of adopting head postures. As reported here, although statistically significant, the spatial resolution benefit (on average) of aligning the null zone with the stimulus is small; equivalent to less than a line on a standard Bailey-Lovie chart. Are these very small VA benefits significant enough to drive participants to adopt their preferred head posture in most visual tasks, or do other related factors such as response times or even comfort contribute? We have previously argued that the standard clinical protocol for measuring VA does not control for aspects of visual timing, and that this may explain why studies that do not employ a psychophysical protocol tend to find somewhat larger VA changes in response to nystagmus waveform modifications (since viewing times are naturally constrained by the implicit need to 'move on' to the next test).^{6,7,33}

278 In accordance with previous studies, we have demonstrated a relationship between 279 foveation duration and VA *across* participants. However, *within* an individual, there is only a 280 small (yet significant) relationship between the change in any aspect of nystagmus and VA, 281 which is also consistent with previous studies that have measured VA using a staircase protocol.^{11–13} Therefore, VA in IN would appear not to be as sensitive to changes in 282 nystagmus, presumably because VA is fundamentally limited, either due to amblyopia or 283 undetected pathology.³³ This raises doubts about the usefulness of pursuing treatments that 284 285 reduce nystagmus in the hope of improving vision, at least when VA is the sole outcome 286 measure. Another consequence is that indirect measures of VA such as nystagmus acuity 287 functions (which are based on between-participant factors) are not valid for predicting 288 individual changes in VA. At a more fundamental level, it is not clear why patients prefer to 289 use their null zone, as the improvement in VA is very small, unless there are improvements 290 in other aspects of 'functional vision' such as response times. Therefore, we question the 291 relevance of using time-unrestricted VA as a sole outcome measure for nystagmus 292 interventions, and argue that new methods of visual assessment are required to more 293 accurately reflect the impact of real-time changes in nystagmus intensity on visual function.

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