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A retrospective cohort study of the influence of lifestyle factors on survival of patients undergoing surgery for colorectal cancer

Dayna Alexander¹, Gwen M Allardice², Susan J Moug³ *, David S Morrison⁴.

- 1 Undergraduate Medical Student, University of Glasgow, University Avenue, Glasgow G12 8QQ. 1007951A@student.gla.ac.uk
- ² Statistician, West of Scotland Cancer Surveillance Unit, Glasgow G12 8RZ. Gwen.Allardice@glasgow.ac.uk
- ³ *Corresponding author. PhD, FRCS. Consultant Colorectal Surgeon, Department of Surgery, Royal Alexandra Hospital, Paisley, Corsebar Road, PA2 9PN. ++44-141-314-6965. susanmoug@nhs.net
- ⁴ MRCPI, MPH, FFPH, MD. Consultant in Public Health Medicine, West of Scotland Cancer Surveillance Unit, Glasgow G12 8RZ. David.Morrison@glasgow.ac.uk

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Authors' contributions

SM & DM led the development and design of the study.

DA led the research and wrote the first draft of the paper under the supervision of DM & SM

DA & GA shared in the analyses of the data

GA and SM led the final submission

All authors contributed to the manuscript preparation. All authors read and approved the final manuscript.

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Abstract

Aim: Several modifiable and non-modifiable health related behaviours are associated with the incidence of Colorectal Cancer (CRC), but there is little research on their association with survival. The project aimed to investigate possible relationships between modifiable behavioural factors and outcomes on a study cohort of CRC patients undergoing potentially curative surgery.

Method: A retrospective cohort study was carried out of patients diagnosed with non-metastatic CRC undergoing elective curative surgery (January 2011 - December 2012), residing in the NHS Greater Glasgow & Clyde (NHSGGC) area, UK. Data were obtained from the Scottish Cancer Registry, National Scottish Death Records. Pre-operative assessment of smoking, alcohol consumption, nurse-measured body mass index (BMI) and exercise levels were recorded and patients were followed until death or censorship. Survival analysis was carried out and

proportional hazards assumptions were assessed graphically using plots and were then formally tested using the PHTEST procedure in STATA.

Results: Of the initial 527 patients, 181 (34%) satisfied the inclusion criteria. The total duration of follow up was 480 person-years. At the pre-operative assessment, 75% were overweight or obese, 10.6% were current smokers, 13.1% recorded excess alcohol consumption and 8.5% had physical difficulty climbing stairs. Age, BMI, histopathological stage and physical capacity all independently affected survival (P<0.05). Overweight patients (HR 2.81) and those who had difficulty climbing stairs (HR 3.31) had a significantly poorer survival.

Conclusion: The study found evidence that pre-operative exercise capacity and BMI are important independent prognostic factors of survival in patients undergoing curative surgery for CRC.

What does this paper add to the literature?

There is strong evidence for associations between lifestyle factors and the incidence of colorectal cancer, yet there is little information on their possible effect on long-term outcomes. This paper found pre-operative physical capacity and body mass index to be independent prognostic factors for survival in patients undergoing curative surgery for CRC.

Introduction

Colorectal cancer (CRC) is the third most common cancer world wide and the second most frequent cause of cancer related death world wide[1, 2]. Approximately 1,600 people die from colorectal disease in Scotland each year, the highest rate in the western world¹. High rates of unhealthy lifestyle behaviours and the poor prognosis of many patients with CRC make it a major public health concern in Scotland [1, 3].

There are many known causal factors for CRC. Some are modifiable, such as diet, smoking, body weight and physical activity and others are not such as age, family history and inflammatory bowel disease¹. With the increasing incidence of the disease and decreasing mortality, the number of survivors after treatment of CRC is rising and is likely to continue to do so [4]. Understanding and identifying determinants of the outcome of CRC is therefore of increasing importance. While much literature focuses on the association between lifestyle factors and the incidence of CRC, there is little research on their association, with survival and recurrence [1]. The literature on recurrence is particularly limited due to difficulty obtaining a consistent definition of recurrence. Despite increasing attention in the literature to the role of lifestyle behaviour in the outcome of CRC, much research is inconclusive and contradictory, with studies repeatedly citing the need for further research [5,6].

The aim of the present project was to investigate possible relationships between modifiable behavioural lifestyle factors and the long-term outcome in a cohort of CRC patients who underwent potentially curative surgery.

Method

Study Population and Data Collection

All patients, resident in the NHS Greater Glasgow & Clyde (NHSGGC) area, diagnosed with colorectal cancer (ICD 10 code: C18-20) between 1st January 2011 and 31st December 2012, undergoing elective curative surgery were included [7]. Data were obtained from the West of Scotland Cancer Surveillance Unit, which holds Scottish Cancer Registry (SCR) data. SCR data are linked to National Records of Scotland (formerly General Register Office) death records and the last date of follow-up (date of censorship) was 30th June 2015. Formal ethical approval for the study was not required as patients were not approached and only routinely collected data were used.

A database was created to collate the required information. Age, sex, postcode, alcohol consumption, smoking, physical capacity, and body mass index (BMI) were obtained from the pre-operative assessment form on the NHS portal system. BMI was calculated from height and weight measured in the pre-operative assessment clinic using the formula weight/ (height)² and other items were self-reported by patients. Cancer site, resection type, histopathological (Dukes) stage and surgical date were obtained from pathology and operation records.

Exclusion Criteria

Patients were excluded from the initial dataset if they were diagnosed with metastatic CRC ('Dukes D'), underwent emergency surgery, experienced an incomplete (R1) resection, had a polyp excised at colonoscopy, had multiple (synchronous) CRC tumours. Patients were also excluded if they did not have a pre-operative assessment form available within three months before surgery.

Variables

Cancers of the caecum to sigmoid colon were grouped as colonic cancers (C18) and cancers of the recto-sigmoid junction and rectum as rectal cancers (C19-20)[7]. Histopathological stage was obtained from SCR in the form of Dukes Stage (A-C2). Tumour site and stage were extracted from pathology and operation notes.

The patient's postcode was linked to the Scottish Index of Multiple Deprivation (SIMD) 2009 [9] to assess the deprivation of their area of residence. The index is based on seven weighted domains: income, employment, health, education, access to services, housing and crime rates. SIMD2009 is ranked into five quintiles with the numeral 1 representing the most deprived and 5 the least deprived [8].

Body Mass Index (BMI) was categorised as follows; <18.5 (underweight), 18.5-24.9 (healthy weight), 25-29.9 (overweight), 30-34.9 (obese I), 35-39.9 (obese II) and ≥ 40 (obese III) [10]. Patients reported drinking alcohol or not and if so, how many units they consumed per week. A unit of alcohol is 10mL of pure alcohol. The Scottish government's recommended limits were used as follows; females, ≤14 units per week and males, ≤21 units per week [11]. Smokers were classified as 'current smoker', 'ex-smoker' or 'non-smoker' and the number of cigarettes consumed per day was recorded. An ex-smoker was defined as one previously smoking but not currently. Physical capacity of patients was expressed as the ability to climb stairs and recorded in three levels as follows; 'climbs stairs without stopping', 'climbs stairs with stopping' or 'cannot climb stairs'.

Statistical Analysis

Tests of association were based on Pearson's Chi-squared test, the Chi-squared test for trend or Wilcoxon's paired test depending on parametric or non-parametric distribution of the data. Differences in survival were assessed graphically by Kaplan-Meier survival plots and then formally tested using the log-rank test. Cox proportional hazards model was used for multivariate analysis. The best model was assumed to be the one with the smallest value of the Akaike Information Criterion (AIC). Proportional hazards assumptions were assessed graphically using plots and were then formally tested using the PHTEST. All statistical analyses were carried out using STATA version 14.

Results

Clinicopathological data

Of the initial 527 patients only 181 (34%) satisfied all the inclusion criteria. Of those excluded 325 were due to no surgery being performed, two had only an R1 resection and a further 19 had no valid pre-operative form. There were 152 (46.8%) deaths among the 325 non-surgical patients compared with 27 (14.9%) deaths among the 181 in the surgical study group (Chi-squared test: p < 0.001). Data were missing on Dukes stage (seven patients, smoking (one patient), alcohol consumption (three patients) and exercise capacity (two patients). These were not necessarily different individuals, but were all excluded from analysis because it was not possible to calculate the effect of each factor on survival.

Of the 181 patients, 114 (63%) were male (Table 1). The age of the study population was similar in males (68.7 (9.2) years) and females (67.0 (9.9) (Chi-squared test for trend: p = 0.14). The population was deprived with 78 (43%) in the lowest SIMD quintile. There was no difference in deprivation by gender (Chi-squared test for trend: p = 0.25).

Nineteen (10.6%) patients reported being current smokers, 77 (42.8%) were former smokers and 84 (46.7%) had never smoked. Of 176 patients reporting the ability to climb stairs, 16 (91.5%) reported being able to climb stairs without stopping, 10 (5.7%) could not climb without a stop and 5 (2.8%) could not climb stairs at all. Among the 179 patients with BMI data, 68 (38.0%) were overweight and 67 (37.4%) were obese. Of 174 patients with data on alcohol consumption, 82 (46.6%) took none, 71 (40.3%) did so within the guidelines and 23 (13.0%) exceeded the recommended weekly limit. Females had a higher BMI than males (p=0.004), but gender was not significantly associated with smoking (0=0.54), exercise tolerance (=0.17) or alcohol consumption (P=0.08).

Of the 181 patients, the cancer was in the colon in 129 (71.3%) with 75 (58%) being male and 54 (41.8%) being female (p = 0.03). It was in the rectum in 52 (28.7%) patients with a gender distribution of 39 [75%] (male) and 13 [25%] (female). Of the 174 having a Dukes stage classification, the stages were as follows: Dukes A 45 (25.9%), Dukes B 77 (44.35) and Dukes C 52 (29.9%) with no significant statistical difference according to gender (p = 0.78).

Survival

This cohort was followed up from date of surgery to death or 30th June 2015 giving a total follow-up time of 480 person-years. During the period of follow up 27 deaths occurred, two within 30 days of surgery.

Of those studied, the only variables significantly affecting survival (P<0.05) were age, BMI, Dukes stage and physical capacity (Table 2).

The best multivariate Cox proportional hazard model included only the same four variables (Table 3). Proportionality assumptions for the Cox model were tested and found to hold. Being over 70 years of age at diagnosis was independently associated with poorer survival compared with those younger (HR 2.74). Survival was significantly poorer among the 52 patients with a Dukes C stage tumour than among the 122 patients with a Dukes stage A or B tumour (HR 6.32). Overweight or obese patients had a poorer survival than those of normal weight (HR 2.81). The 15 patients who had difficulty in climbing stairs had a significantly poorer survival than the 161 who were able to climb stairs without stopping (HR 3.31).

Discussion

The aim of this project was to investigate possible relationships between modifiable behavioural factors and the outcome of patients undergoing curative surgery for CRC. The key statistically verified prognostic factors were age, Dukes stage, BMI and physical capacity. After adjustment, higher BMI (overweight and obese) (HR 2.81) and limited physical capacity (HR 3.31) were both associated with approximately a three-fold increase in mortality. The study also confirmed the

well-known positive relationship between age and Dukes stage with survival [12, 13] with no significant associations with smoking or alcohol consumption.

The results suggest that being overweight or obese is associated with poorer survival, a finding consistent with previous literature [6, 14-16]. Dignam et al reported that patients with a high BMI had a 28% increased risk of death from cancer (HR 1.28) than those with a normal BMI. Boyle et al found that overweight and obese women had almost twice the mortality of women with a normal BMI. Other studies have however demonstrated no association between BMI and survival after treatment for CRC [17,18], in some cases regarding BMI as an inappropriate measurement. We did not identify any underweight patients and therefore could not determine whether they too had a poorer outcome.

The relationship between poor physical capacity and lower survival may reflect patients' general wellbeing, in a similar way to the World Health Organisation Performance Status scale or it may be an indicatior of the degree of morbidity. There is evidence that Performance Status is an important predictor of the outcome of colorectal cancer, particularly when it is advanced. There is also evidence that patients who take exercise have between a 14% and 28% better survival than those who do not. Such results suggest that prehabilitation of patients with colorectal cancer before treatment may not only optimize peri-operative outcomes, but potentially improve the long-term outcomes also [19-21].

The study has several strengths. It is novel and uses up-to-date patient data. It is based on general questions at preoperative assessment and therefore no forward assumptions were made. The data were collected routinely as part of the hospital management of the patient, making the study simple to undertake. It also included all patients with non-metastatic colon and rectal cancer and addressed objective and subjective variables. Comparing the demographic and

clinical characteristics of the included and excluded patients showed that the study was sufficiently representative of the total dataset, with only a slightly older mean age among the excluded patients. It may be that older patients were more likely to be unfit for surgery or to present with a synchronous tumour.

The study has several important limitations. Many studies of survival among patients with CRC have also shown a relationship between survival and age, gender and deprivation [23, 24]. The lack of significance of gender and deprivation in the present study is most likely due to the small sample size and the short duration of follow up. Another limitation is the potential information bias of self-reported information of behavioural factors. Other studies have used objective measures to reduce patient reported error, such as serum cotinine estimation to identify a smoker. As might be expected, there is evidence that self-reported smoking status is very accurate [25] but other data suggest that 20% of never-smokers underestimate their past environmental tobacco exposure [26]. The retrospective nature of the study may mean that the assessment of physical capacity is inaccurate when compared with those publications in the literature, which take detailed exercise history and categorise as in weekly MET hours or obtain information through accelerometers for example [16, 27] The increasingly topical question of the effect of diet on the outcome of outcome of CRC [1] is indicated by Meyerhardt et al who discovered that a western diet resulted in a worse recurrence-free and overall-survival [28]. Dietary information was not collected at the pre-operative assessment as it would have required a much more extensive form of data collection [29, 30].

The current study demonstrated the risk of low survival among overweight and obese patients. These might be helped by a weight loss programme such as BeWEL ²⁸, which might also reduce their risk of cardiovascular disease. Health-related behaviour may change after the diagnosis and

treatment of malignancy and it would be useful to see from future research whether there is any change on repeated assessment following treatment.

In conclusion the study demonstrated a significant negative effect of physical capacity and increased BMI determined at the pre-operative assessment on survival after surgery for CRC. It did not, however, demonstrate an association between alcohol consumption or smoking with survival. It is uncertain whether changes in lifestyle behaviour improve the outcome of patients with surgically curable CRC, but there is good evidence that the burden of many other diseases and co-morbidity can be reduced through risk identification.

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Table 1. Baseline socio-demographic, behavioural and tumour characteristics of 181 patients with Colorectal Cancer (CRC) by gender.

	male	female	total
	N %	N %	N %
Age group			
<=59 yrs	18 (15.8%)	16 (23.9%)	34 (18.8%)
60-69 yrs	37 (32.5%)	23 (34.3%)	60 (33.1%)
70-79 yrs	46 (40.4%)	22 (32.84%)	68 (37.6%)
>= 80 yrs	13 (11.4%)	6 (9.0%)	19 (10.5%)
Deprivation			
1. most deprived	45 (39.5%)	33 (49.3%)	78 (43.01%)
2	20 (17.5%)	10 (14.9%)	30 (16.6%)
3	8 (7.0%)	5 (7.5%)	13 (7.2%)
4	16 (14.0%)	6 (9.0%)	22 (12.2%)
5. least deprived	25 (21.9%)	13 (19.4%)	38 (21.0%)
Smoking			
Current	10 (8.9%)	9 (13.4%)	19 (10.6%)
Never	54 (47.8%)	30 (44.8%)	84 (46.7%)
Former	49 (43.4%)	28 (41.8%)	77 (42.8%)
Fitness			
cannot climb stairs	5 (4.6%)	0 (0%)	5 (2.8%)
Climb stairs with stopping	6 (5.5%)	4 (6.1%)	10 (5.7%)
Climb stairs without stopping	99 (90.0%)	62 (93.9%)	161 (91.5%)

ВМІ			
18.5-25 Normal	32 (28.6%)	12 (17.9%)	44 (24.6%)
25-29 Overweight	44 (39.3%)	24 (35.8%)	68 (38.0%)
30-34 Class I	29 (25.9%)	17 (25.4%)	46 (25.7%)
35-39 Class II	5 (4.5%)	7 (10.5%)	12 (6.7%)
>=40 Class III	2 (1.8%)	7 (10.5%)	9 (5.0%)
Alcohol consumption			
None	44 (40.0%)	38 (57.6%)	82 (46.6%)
within guidance	51 (46.4%)	20 (30.3%)	71 (40.3%)
above guidance	15 (13.6%)	8 (12.1%)	23 (13.1%)
Location of tumour			
Colon	75 (65.8%)	54 (80.6%)	129 (71.3%)
Rectal	39 (34.2%)	13 (19.4%)	52 (28.7%)
Dukes stage			
А	30 (27.8%)	15 (22.7%)	45 (25.9%)
В	45 (41.7%)	32 (48.5%)	77 (44.3%)
С	2 (1.9%)	1 (1.5%)	3 (1.7%)
C1	25 (23.2%)	18 (27.3%)	43 (24.7%)
C2	6 (5.6%)	0 (0%)	6 (3.5%)

Table 2. Hazard Ratios (HR) and 95% Confidence Intervals (CI) of potential factors relating to allcause mortality of 181 patients having surgery for colorectal cancer (CRC).

		N	Log rank test p-value	HR ± 95% CI	P(PH)*
	under 70	8		1	
	70 or over	19	0.013	2.72 (1.19 , 6.21)	0.90
Gender	male	20		1	
	female	7	0.13	0.52 (0.22,1.24)	0.43
SIMD	most deprived 1&2	16		1	
	3-5	11	0.97	0.98 (0.46, 2.12)	0.96
Site	colon	20		1	
	rectal	7	0.89	0.94 (0.39, 2.23)	0.33
Dukes		10			
Stage	Stage A-B			1	
	Stage C	17	<0.001	4.4 (2.02, 9.65)	0.51
BMI	normal	12		1	
	overweight/obese	14	0.006	2.8 (1.29, 6.06)	0.16
Smoking	smoking never	12		1	
	ever	15	0.89	1.05 (0.49, 2.25)	0.63
Alcohol	none	12		1	
	any	14	0.91	1.05 (0.48, 2.27)	0.87
	no problem with	20			
Exercise	stairs			1	
	problem with stairs	5	0.02	2.95 (1.1, 7.87)	0.36

• **p-value of proportional hazards;** P(PH) is the p-value associated with the formal test of the proportionality of the hazards.

BMI = body mass index,

SIMD = Scottish Index of Multiple Deprivation

Table 3 Hazard Ratios (HR) and 95% Confidence Intervals (CI) of adjusted all-cause mortality of 181 patients having surgery for colorectal cancer (CRC).

		P-value	Hr 95% CI	P(PH)*
Age				
years	under 70		1	
	70 or over	0.032	2.74 (1.09, 6.88)	0.72
Dukes				
Stage	Stage A,B		1	
	Stage C	<0.001	6.32 (2.69,14.8)	0.17
ВМІ	normal		1	
	Overweight/ obese	0.013	2.81 (1.24, 6.35)	0.19
	able to climb stairs			
Exercise	without testing		1	
	unable to stairs			
	without resting	0.03	3.31 (1.13, 9.66)	0.44

^{*} p-value of proportional hazards; P(PH) is the P_value associated with the formal test of the proportionality of the hazards.