

Reconstruction of α -attractor supergravity models of inflationAlessandro Di Marco,^{*} Paolo Cabella, and Nicola Vittorio*University of Rome - Tor Vergata, Via della Ricerca Scientifica 1 INFN Sezione di Roma Tor Vergata,
via della Ricerca Scientifica 1, 00133 Roma, Italy*

(Received 22 July 2016; published 25 January 2017)

In this paper, we apply reconstruction techniques to recover the potential parameters for a particular class of single-field models, the α -attractor (supergravity) models of inflation. This also allows us to derive the inflaton vacuum expectation value at horizon crossing. We show how to use this value as one of the input variables to constrain the postaccelerated inflationary phase. We assume that the tensor-to-scalar ratio r is of the order of 10^{-3} , a level reachable by the expected sensitivity of the next-generation cosmic microwave background (radiation) experiments.

DOI: [10.1103/PhysRevD.95.023516](https://doi.org/10.1103/PhysRevD.95.023516)**I. INTRODUCTION**

Different cosmological observations [1–5] have converged by now to support Λ CDM as the concordance model of modern cosmology. This model, and all its possible other extensions, assumes as a paradigm the inflationary scenario. This is needed for two reasons: on one hand, to justify the observed flatness and isotropy of the Universe, as well as the absence of magnetic monopoles [6–10]; on the other hand, to exploit quantum mechanisms for explaining the origin of matter [11–15] and the production of those fluctuations responsible for the formation of the large-scale structure of the Universe [16–28]. However, two general questions still need to be investigated: the shape of the inflationary potential and its energy scale. The quantities related to these features are the scalar spectral index, n_s , and the tensor-to-scalar ratio amplitude, r . The current estimations for these parameters provide $n_s = 0.968 \pm 0.006$ and $r_{0.002} < 0.07$ at the 95% confidence level (see [4,5]). Because of this, we now have a clear idea on the health state of some specific inflationary models: most of the minimally coupled power-law potentials are ruled out, while exponential potentials with a very flat region seem to be favored by current data, as outlined especially in [4]. Furthermore, there is still room to look into other aspects of inflation: the fundamental mechanism that induces the inflationary phase; the initial condition for the inflaton field, its nature, and its mass m_ϕ [29–35]; and the possibility for a multifield inflation and the induced non-Gaussianity in the cosmic microwave background (CMB) fluctuations [36]. In this paper we will consider a tensor-to-scalar ratio $r \approx 10^{-3}$, consistent with the expected sensitivity of the next-generation CMB experiments [37–41]. We will show the statistical information that can be derived on a very important class of inflationary potential, the so-called α -attractor models of inflation. This class of models can be generated in

different ways, although the most advanced version emerges from the supergravity context (see Refs. [42–47] for properties and details). It is important to stress that α -attractors include the first plateau-type potential, the Goncharov-Linde model [48], the Starobinsky modified gravity R^2 scenario [49,50], and Higgs inflation [51]. In particular we consider the E-model version of the α -attractor class. To reach this goal we have to reconstruct the inflationary potential. Among the different algorithms proposed in the literature [52–63], we will focus on the simple approach based on constraining the local shape of the potential during the pure accelerated phase. This is done by implementing a Taylor expansion around the vacuum expectation value of the inflaton field at horizon crossing, ϕ_* , and by connecting the coefficients of the expansion to the observables n_s and r (details in [52,53]). In its simplicity, this procedure provides a model-independent estimation of the inflationary potential around ϕ_* . Hereafter, we show that for α -attractor models it is possible to derive constraints also on the vacuum expectation value ϕ_* . Now, on one hand it is true that ϕ_* by itself is not important: the fundamental quantity that parametrizes the inflationary evolution and the cosmological variables is the number of e -foldings N_* . On the other hand, there could be a couple of reasons to constrain ϕ_* . First, this value could be of interest from the particle physics point of view. Secondly, as we shall see later, it is simpler to directly use ϕ_* as one of the input variables to constrain N_* and so the reheating phase. In the following, we use the natural units of particle and cosmology $c = \hbar = k_B = 1$, unless otherwise indicated.

The paper is organized as follows. In Sec. II, we review the general properties of inflation and of the slow roll dynamics. In Sec. III, we focus on the magnitude of ϕ_* in different inflationary models. In Sec. IV, we discuss the basics of the local potential reconstruction and we apply such a procedure to evaluate the parameters of the chosen inflationary models. Finally, the last section is dedicated to the discussion of our findings and to possible extensions of this work.

^{*}alessandro.di.marco@roma2.infn.it

II. INFLATIONARY SLOW ROLL DYNAMICS AND ITS OBSERVABLES

Inflation is defined as an early accelerated expansion phase. Therefore, the evolution of the scale factor is almost nearly exponential, $a(t) \sim e^N$, where N is the so-called number of e -foldings. Such a condition implies a nearly constant Hubble rate, $H(t)$, i.e., a nearly constant Hubble radius, $R_H = c/H$. The simplest scenario for inflation involves a neutral and homogeneous scalar field ϕ , called the inflaton, that is minimally coupled to gravity with a canonical kinetic term. When such a field dominates, inflation occurs, giving rise to an accelerated expansion that occurred between $10^{-35}s$ and $10^{-32}s$ after the initial singularity, on an energy scale below the Grand Unification Theory scale ($E < 10^{16}$ GeV). The inflaton field evolves accordingly to a potential $V(\phi)$, characterized by an almost flat region. The cosmological action for early times is the following:

$$S = \int d^4x \sqrt{-g} \left\{ \frac{1}{2} M_p^2 R - \frac{1}{2} g_{\mu\nu} \partial^\mu \phi \partial^\nu \phi - V(\phi) \right\}, \quad (1)$$

where R is the Ricci scalar, $g_{\mu\nu}$ is the metric tensor, g its determinant, and M_p the reduced Planck mass. The inflationary equations for a Friedmann-Robertson-Walker (FRW) flat universe in the Hamilton-Jacobi formalism are

$$V(\phi) = 3M_p^2 H^2(\phi) - 2M_p^2 H'(\phi) \quad (2)$$

$$\dot{\phi} = -2M_p^2 H'(\phi), \quad (3)$$

where $'$ denotes derivative with respect to the scalar field. It is required that the sign of $\dot{\phi}$ does not change, in order to have a monotonic evolution of the field. Therefore, without loss of generality, we can choose $\dot{\phi} < 0$ so that $H' \geq 0$, or the opposite case. Inflation starts when the inflaton moves slowly through the almost flat region of the potential. In this phase, the kinetic term in the action is negligible with respect to the potential:

$$\partial_\mu \phi \partial^\mu \phi \ll V(\phi). \quad (4)$$

Afterwards, when the inflaton reaches the potential global minimum, the reheating phase can start (see [11–15] for more details). In this phase, the field oscillates and decays, producing entropy.

Once the functional form of the potential is given, one can describe the inflaton dynamics via the potential slow roll parameters (PSRP), defined as follows:

$$\epsilon_V(\phi) = \frac{M_p^2}{2} \left(\frac{V'(\phi)}{V(\phi)} \right)^2, \quad \eta_V(\phi) = M_p^2 \left(\frac{V''(\phi)}{V(\phi)} \right), \quad (5)$$

or alternatively, by the Hubble slow roll parameters (HSRP),

$$\epsilon(\phi) = 2M_p^2 \left(\frac{H'(\phi)}{H(\phi)} \right)^2, \quad \eta(\phi) = 2M_p^2 \left(\frac{H''(\phi)}{H(\phi)} \right). \quad (6)$$

To first order,

$$\epsilon \simeq \epsilon_V, \quad \eta \simeq \eta_V - \epsilon_V. \quad (7)$$

For an exhaustive discussion on these two formalisms, their properties, and their relation, we refer to Ref. [64]. Typically, inflation occurs when $\epsilon(\phi) < 1$ [or $\epsilon_V(\phi) \ll 1$] and finishes when $\epsilon \sim 1$.

Inflation also provides a solution for the origin of primordial perturbations. In the inflationary universe there are quantum fluctuations of thermal type with temperature equal to the Gibbons-Hawking temperature $T_H = H/2\pi$. These thermal fluctuations allow us to treat the inflaton field as a quantum field $\hat{\phi}(x, t)$ with zero mean value in a macro time scale. Such a condition implies fluctuations on the stress-energy tensor and then on the metric tensor. The cosmic acceleration due to inflation stretches fluctuations up to astronomical scales. At this stage, fluctuations freeze out and become classical metric perturbations. At the end of inflation, the Hubble radius starts to grow, catching those perturbations that will produce the anisotropies of the CMB and the formation of the large-scale structures.

Let us now consider the cosmological perturbation field $\delta(x, t)$, with power spectrum and spectral index

$$P(k) = \frac{k^3}{2\pi^2} |\delta(k)|^2 \quad (8)$$

$$n(k) = \frac{dP(k)}{d \ln k}, \quad (9)$$

where k is the wave number. The first one describes the presence of the perturbation on a given scale k , while the second describes the variation of $\delta(k)$ with respect to the scale. Because of the homogeneity and isotropy of the FRW background, one can decompose the perturbations into scalar, vector, and tensor modes. In particular, inflation excites only the scalar and tensor modes. The most familiar forms of the power spectrum for the scalar and tensor sector, to first order in the slow roll parameters, are

$$P_s(k) = \frac{1}{8\pi^2 M_p^2} \frac{H^2}{\epsilon} \Big|_{k=aH} \quad (10)$$

$$P_t(k) = \frac{2}{\pi^2} \frac{H^2}{M_p^2} \Big|_{k=aH}. \quad (11)$$

The corresponding spectral indices are defined as follows:

$$n_s = 1 - 4\epsilon + 2\eta \quad (12)$$

$$n_t = -2\epsilon, \quad (13)$$

and the tensor-to-scalar ratio of the perturbation amplitudes is

$$r = \frac{P_s(k)}{P_t(k)} = 16\epsilon = -8n_t \quad (14)$$

(see [16–25], while for the Scalar-Vector-Tensor decomposition, see [26–28]). The quantities n_s and r can be computed for any given theoretical model and, then, compared with the ones estimated by the experiments. To do this, one can use Eq. (7) to express n_s and r in terms of the PSRP, providing the following familiar relations:

$$n_s \sim 1 - 6\epsilon_V(\phi) + 2\eta_V(\phi), \quad r \sim 16\epsilon_V(\phi). \quad (15)$$

Note that these quantities are functions of the scalar field because of Eq. (5) or Eq. (6). Their magnitudes are evaluated by setting $\phi = \phi_*$, where ϕ_* is the value of inflaton field at the horizon crossing epoch:

$$n_s = n_s(\phi_*), \quad r = r(\phi_*). \quad (16)$$

In the following section, we discuss how to compute such a value.

III. THE CLASSICAL TRAJECTORY: RELATION BETWEEN ϕ_* AND N_*

The inflaton dynamics is quite interesting. In principle, once a particular potential is chosen, one can follow numerically the evolution of the function $\phi(t)$ by solving the system of Eqs. (2) and (3). However, if the slow roll condition is satisfied, one can simplify the system with the following formula [65]:

$$\Delta N = \frac{1}{M_p} \int_{\Delta\phi} d\phi \frac{1}{\sqrt{\epsilon_V(\phi)}}, \quad (17)$$

where the inflaton field can have, as discussed above, either a positive or negative sign in its time derivative. Here, $\Delta\phi = |\phi - \phi_{\text{end}}|$ is the range of variation of the scalar field up to the final value ϕ_{end} and ΔN the related number of e -foldings. The solution of this integral represents the classical trajectory of motion that we can rewrite as

$$\phi = \phi(\phi_{\text{end}}, \Delta N). \quad (18)$$

The inflationary trajectory is characterized by different phases which are worthy of attention: the initial conditions for both inflation and the cosmological fluctuations; the epochs of the horizon crossing and of the end of inflation. The end of inflation is quite simple to evaluate. In fact, it is sufficient to solve the algebraic broken-inflation condition $\epsilon(\phi_{\text{end}}) = 1$ to get ϕ_{end} 's possible values. While the fundamental mechanism that induces the inflationary phase is actually unknown, one can still say something about the initial condition for inflation, ϕ_0 . In particular, in the case of large field models, the inflationary trajectory is a ‘‘local’’ attractor solution in the ϕ_0 space, as summarized by Brandenberger in [29]. Such evidence suggests that the subsequent physical events, as the generation of cosmological perturbations, do not depend explicitly on ϕ_0 [30–32].

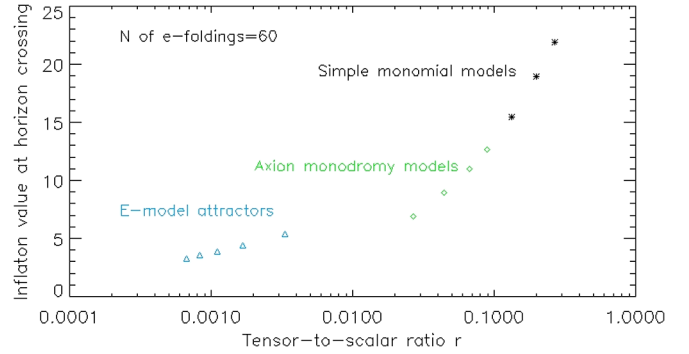


FIG. 1. Inflaton field values at horizon crossing vs tensor-to-scalar ratio for monomial models with $n = 2, 3, 4$ [8]; α -attractor models with $\alpha = 1/5, 1/4, 1/3, 1/2, 1$ [42–47]; axion monodromy models with $n = 2/5, 2/3, 1, 4/3$ [66–68]. As expected, the value of the inflaton field increases if one moves toward large field scenarios.

On the other hand, the generation of cosmological fluctuations in the inflationary background occurs at an epoch commonly associated with the Bunch-Davies vacuum condition, which is an attractor in the (state) space. This epoch is also related to the so-called ‘‘trans-Planckian’’ problem, as again suggested in [29]. Finally, the horizon crossing of cosmological fluctuations occurs when the inflaton field explores the almost flat region of the effective potential: as seen before, when the potential term is dominant [see Eq. (4)], the value of the scalar field remains substantially the same, say, ϕ_* . The order of magnitude of ϕ_* depends on the inflationary potential $V(\phi)$ and on the number of e -foldings N_* before the end of inflation. From Eq. (18), we have

$$\phi_* = \phi_*(\phi_{\text{end}}, \beta_i, N_*), \quad (19)$$

where β_i are the parameters describing the specific potential function. As shown in Eq. (16), the knowledge of ϕ_* is useful for calculating n_s and r . However, ϕ_* depends on N_* through Eq. (19). Then both n_s and r can be explicitly calculated once the fundamental parameter N_* is given. The most common prescription for an order-of-magnitude evaluation of ϕ_* (and also n_s and r) requires $N_* = 60$. In Fig. 1, we show a nonexhaustive plot for ϕ_* (in units of M_p), in terms of the predicted r , for some one-parameter inflationary models. In particular, we present qualitative results for single power-law models, an E-model version of the α -attractor class (see Sec. I for references), and axion monodromy inflation [66–68].

IV. RECONSTRUCTING THE α -ATTRACTOR SUPERGRAVITY MODELS FROM NEXT-GENERATION CMB EXPERIMENTS

The simplest version of the potential reconstruction technique is based on a local constraint on the shape of the inflationary potential. In fact, during inflation [52],

- (i) The value of the inflaton field is approximately constant, since $\dot{\phi}^2 \ll V(\phi)$, and
 - (ii) The observable modes are stretched out over the Hubble radius, R_H , when $N_* \sim 60$.
- Therefore, it is possible to expand the potential around ϕ_* , the value of the inflaton field at the horizon crossing:

$$V(\phi) = V(\phi_*) + V'(\phi_*)(\phi - \phi_*) + \frac{1}{2}V''(\phi_*)(\phi - \phi_*)^2 + \dots$$

At this point, one can write the coefficients of this expansion in terms of the slow roll parameters and then with respect to the observable quantities, n_s and r . The weights of the polynomial form are given by the Hamilton-Jacobi equation [cf. Eq. (2)]. The expansion up to the second order in $\Delta\phi$ is given by [52]

$$V(\phi) = \Lambda^4 \left[1 + d_1 \left(\frac{\Delta\phi}{M_p} \right) + \frac{1}{2} d_2 \left(\frac{\Delta\phi}{M_p} \right)^2 + \dots \right], \quad (20)$$

where, to first order in n_s and r , one has

$$\Lambda^4 = \frac{3}{2} \pi^2 M_p^4 P_s(k) r \quad (21)$$

and

$$d_1 = \frac{1}{2} \sqrt{\frac{r}{2}}, \quad d_2 = \frac{1}{3} \left[9 \frac{r}{16} - \frac{3}{2} (1 - n_s) \right]. \quad (22)$$

Note that d_i are dimensionless quantities, as well as the ratio $\Delta\phi/M_p$. These definitions provide a model-independent constraint on the shape of the inflaton potential, as they are directly connected with the first and second order derivatives of the potential. This formalism has been used for example in [53], for comparing theory with observations. Even if further approaches for the reconstruction problem have been discussed in the literature (see references in Sec. I), here we want to use this local analytical approach to constrain the parameters for a class of inflationary potentials. The general recipe is the following. Let us consider a specific model of inflation with a potential $V_{\beta_i}(\phi)$, where β_i is the set of parameters that modulates the potential function. We can expand this potential up to second order around ϕ_* :

$$V(\phi) = \Lambda^4 \left[1 + c_1 \left(\frac{\Delta\phi}{M_p} \right) + \frac{1}{2} c_2 \left(\frac{\Delta\phi}{M_p} \right)^2 + \dots \right]. \quad (23)$$

The coefficients c_1 and c_2 are both functions of the inflaton value, ϕ_* , and of the free parameters, β_i : $c_1 = c_1(\phi_*, \beta_i)$ and $c_2 = c_2(\phi_*, \beta_i)$. By comparing the model-independent and model-dependent expansion, we have

$$c_1 = d_1, \quad c_2 = d_2. \quad (24)$$

Using these relations, we can derive predictions for ϕ_* and β_i in the form $\phi_* = \phi_*(n_s, r)$, $\beta_i = \beta_i(n_s, r)$. The functional dependency of d_1 and d_2 on n_s and r is strongly model dependent. So, there may be cases in which it is not possible to write both ϕ_* and β_i in terms of the cosmological observables.

An interesting class of inflationary models is the so-called α -attractor class (see Sec. I for details and references). In particular, the E-model attractors are characterized by the following standard function:

$$V(\phi) = \Lambda^4 (1 - e^{-b\phi/M_p})^2, \quad b = \sqrt{\frac{2}{3\alpha}}. \quad (25)$$

In the supergravity framework, the parameter α is related to the Kähler curvature of the inflaton's scalar manifold:

$$R_K = -\frac{2}{3\alpha}. \quad (26)$$

This is a fundamental parameter in the framework of attractor models. Let us now compute the quadratic Taylor expansion of V :

$$V(\phi) \simeq \Lambda^4 \left[c_0 + c_1 \left(\frac{\Delta\phi}{M_p} \right) + \frac{1}{2} c_2 \left(\frac{\Delta\phi}{M_p} \right)^2 \right], \quad (27)$$

where

$$c_0 = 1 - 2e^{-b\phi_*/M_p} \sim 1 \quad (28)$$

$$c_1 = 2be^{-b\phi_*/M_p} \quad (29)$$

$$c_2 = -2b^2 e^{-b\phi_*/M_p}, \quad (30)$$

with $\phi_*/M_p \gg 1$. We can use these relations to evaluate ϕ_* and α from a given CMB experiment. From Eq. (24) it follows that

$$\frac{d_2}{d_1} = -b. \quad (31)$$

Moreover, from Eq. (29), we get

$$\frac{\phi_*}{M_p}(b, d_1) = -\frac{1}{b} \ln \left(\frac{d_1}{2b} \right). \quad (32)$$

These equations provide information on the inflationary models, given n_s and r from CMB data. Since current CMB experiments still do not provide a measurement on r , this approach is by now not very effective. However, the situation should rapidly change in the near future, with a strong improvement on the knowledge of the tensor-to-scalar ratio. Having this in mind, we discuss what kinds of

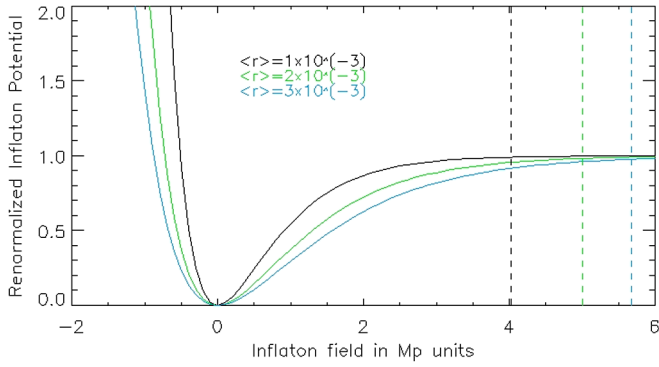


FIG. 2. Shape of the potentials normalized to the energy density Λ^4 and the relative inflaton value at horizon exit: the solid lines represent the three “mean” potential curves for the computed simulations. When the mean value of r increases, the curve is less steep. The dashed lines represent the three “mean” values of ϕ_* at horizon crossing. When r increases, ϕ_* is always moved farther.

constraints we may have on α -attractor models, assuming that the next generation of CMB experiments will be able to probe a tensor-to-scalar ratio of the order of $r \sim 10^{-3}$.

In the following, we simulate values of n_s and r , randomly extracted from a Gaussian multivariate distribution of the form

$$\mathcal{G}(n_s, r) = \frac{1}{\sqrt{4\pi^2(1 - \sigma_{n_s}\sigma_r\rho^2)}} \exp\left(-\frac{Q^2}{2}\right). \quad (33)$$

Here

$$Q^2 = \left[\frac{(n_s - \mu_{n_s})^2}{\sigma_{n_s}^2} + \frac{(r - \mu_r)^2}{\sigma_r^2} + 2 \frac{(n_s - \mu_{n_s})(r - \mu_r)}{\sigma_{n_s}\sigma_r} \right], \quad (34)$$

where μ_{n_s} , μ_r and σ_{n_s} , σ_r are mean and rms values of the scalar spectral index and the tensor-to-scalar ratio, respectively, while ρ is the correlation coefficient. In particular, we use (consistently with the current PLANCK data) the values $\mu_{n_s} = 0.968$ and $\sigma_{n_s} = 0.006$, while for r we choose three different values ($\mu_r = 0.001, 0.002, 0.003$) with $\sigma_r = 0.0001$. The correlation coefficient between n_s and r is fixed to be $\rho = 0.1$. We extract from the distribution of Eq. (33) pairs of values for n_s and r . For each extraction, we reconstruct the coefficients d_1 and d_2 from Eq. (22). Then, we use Eqs. (31) and (32) to estimate α , ϕ_* , and R_K , from a sample of $\approx 10^4$ draws. We note that, with increasing r , the shape of the inflaton potential gets smoother, pushing ϕ_* to larger values, as shown in Fig. 2.

The resulting distribution functions for ϕ_* are shown in Figs. 3, 4, and 5, while those of $p = \ln \alpha$ are shown in Figs. 6, 7, and 8. The mean and standard deviation values for the distribution of the coefficients d_1 and d_2 are summarized in Table I and in Table II. The resulting mean

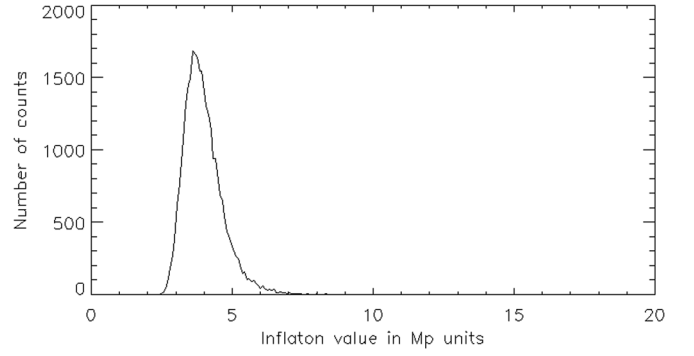


FIG. 3. Distribution of the estimated ϕ_* values for $r = 0.001$.

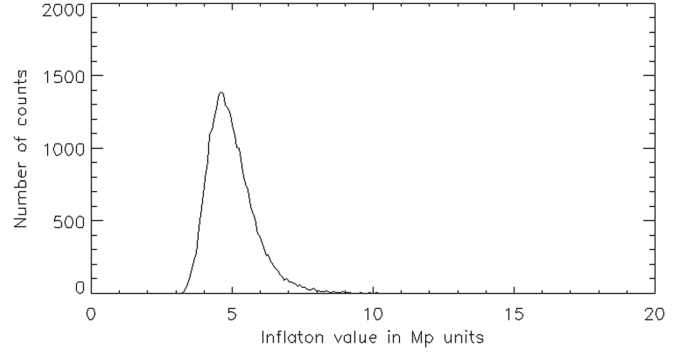


FIG. 4. Distribution of the estimated ϕ_* values for $r = 0.002$.

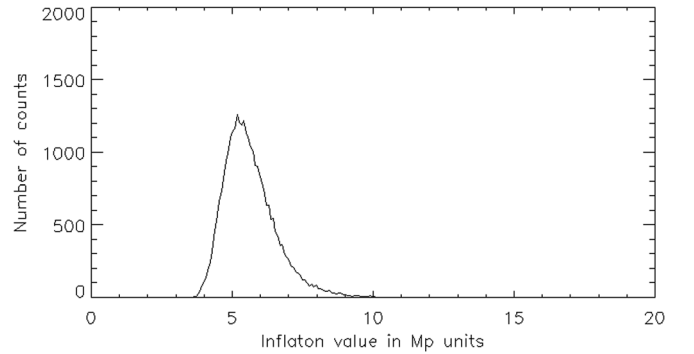


FIG. 5. Distribution of the estimated ϕ_* values for $r = 0.003$. As one can expect, the vacuum expectation value of the scalar field increases as r increases.

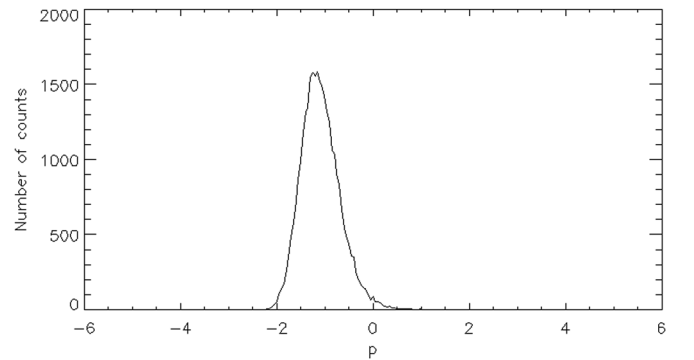
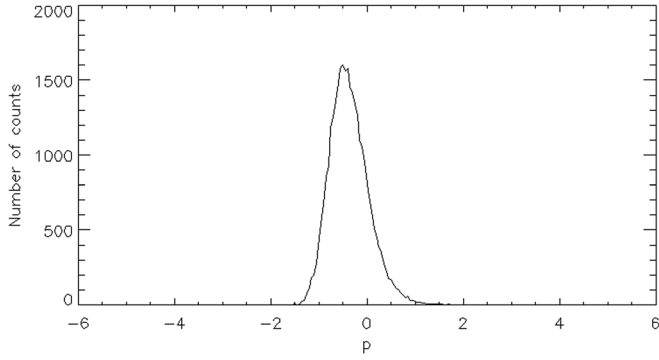
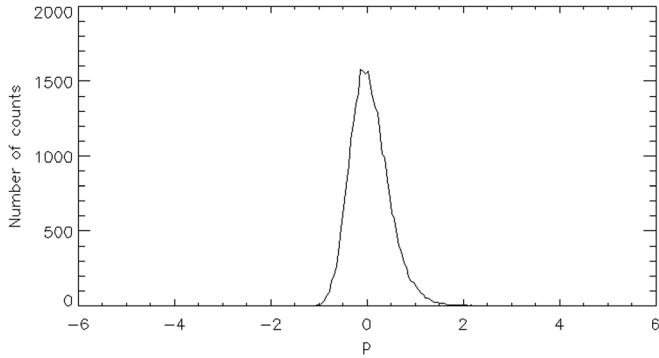


FIG. 6. Distribution of the $p = \ln \alpha$ values for $r = 0.001$.

FIG. 7. Distribution of the $p = \ln \alpha$ values for $r = 0.002$.FIG. 8. Distribution of the $p = \ln \alpha$ values for $r = 0.003$. The vacuum expectation value of α itself increases as r increases, recovering Starobinsky inflation $\alpha \sim 1$ for $r = 0.003$.

and standard deviation values for the parameters ϕ_* , p , and R_K are summarized in Tables III, IV, and V. Figures 3, 4, and 5 and Figs. 6, 7, and 8 show the constraining power of hypothetical CMB experiments of a new generation.

TABLE I. Simulation results for the coefficients d_1 of the Taylor expansion. As we can see, the $1-\sigma$ value increases as the mean value of r gets larger.

r	d_1 mean value	d_1 $1-\sigma$ value
0.001	0.01117	0.00056
0.002	0.01581	0.00039
0.003	0.01936	0.00032

TABLE II. Simulation results for the coefficients d_2 of the Taylor expansion: the resulting $1-\sigma$ converges to the same value up to the fifth decimal place. The negative sign suggests that the shape of the inflationary potential about the horizon crossing moment is locally described by a parabola which opens downward.

r	d_2 mean value	d_2 $1-\sigma$ value
0.001	-0.01583	0.00302
0.002	-0.01564	0.00302
0.003	-0.01545	0.00302

TABLE III. Simulation results for the vacuum expectation value of the scalar field in the (supergravity) α -attractor models. The table shows an increasing uncertainty σ as the mean value of r increases.

r	ϕ_* mean value	ϕ_* $1-\sigma$ value
0.001	4.02	0.70
0.002	5.02	0.85
0.003	5.68	0.95

TABLE IV. Simulation results for the $p = \ln \alpha$ parameter. In this case the uncertainty on the parameter is (by and large) the same up to the second decimal place, for all three cases.

r	p mean value	p $1-\sigma$ value
0.001	-1.07	0.40
0.002	-0.34	0.40
0.003	0.08	0.41

TABLE V. Simulation results for the scalar Kähler curvature. Here, the $1-\sigma$ value gets larger as r increases. In particular, it gets smaller as the value of R_K becomes larger.

r	R_K mean value	R_K $1-\sigma$ value
0.001	-2.09	0.79
0.002	-1.01	0.38
0.003	-0.66	0.25

As expected in this inflationary scenario, when the mean value of the tensor-to-scalar ratio increases, the mean values of p and ϕ_* increase as well. Indeed, the distribution functions of the constrained parameters move to high values in the frequency plots. The mean value of R_K decreases (in modulus) in complete agreement with its definition, Eq. (26).

V. DISCUSSION AND PERSPECTIVES

In the previous section we reconstructed the probability distributions for the vacuum expectation value (VEV) ϕ_* , the parameter α , and the scalar curvature R_K for the supergravity α -attractor models (E-model), starting from a set of observations for the main inflationary observables: the scalar spectral index, n_s , and the tensor-to-scalar ratio, r .

The advantage of this method stands on the fact that just the computations of V' and V'' are required. Then, the ratio c_1/c_2 provides information on α . Note, as remarked in Sec. III, that in the usual blind approach to reconstruct the inflationary potential, the coefficients d_1 and d_2 given by Eq. (22) do not seem to depend on ϕ_* . On the contrary, the main coefficients of the α -attractor potential expansion c_1 and c_2 given by Eqs. (29) and (30) are explicitly field dependent. So, one could ask how our procedure (i.e., matching c_1 and c_2 with d_1 and d_2) is consistent with

the general results of the blind expression. Actually, the consistency is guaranteed by the fact that the coefficients of the α -attractor potential expansion c_1 and c_2 are evaluated at the moment of horizon exit, as well as d_1 and d_2 . In fact, in the blind reconstruction, the expansion is again performed around ϕ_* , but the dependence on ϕ_* is hidden in the choice of fixing (n_s, r) from given experimental results, and n_s and r do depend on the value of the field (via slow roll parameters) as shown in Eqs. (15) and (16) of Sec. II. In our analysis it is clearly important to get an accurate estimate of ϕ_* . In fact, on the basis of Eq. (19), one can infer that changing N_* implies different values for ϕ_* . However, we can read this relation in the opposite sense: in each model of inflation, N_* is sensitive to ϕ_* and to the other free parameters of the model, α in our case. Therefore, one can conclude that an independent estimate of ϕ_* and α can provide information on N_* : $N_* = N_*(\phi_*, \alpha)$. In this respect, it is also possible to put bounds on any deviations from the most common assumed value $N_* = 60$. Another important reason to explore this issue is that statistical information on α and ϕ_* may also imply information on postinflationary physics. Indeed, distribution functions such as those previously reconstructed for α and ϕ_* can be used to provide a collection of possible postinflationary energy density paths. This can be done by solving numerically the appropriate system of coupled differential equations. A discussion of this possibility is given in [69]. Moreover, N_* (algebraically connected with ϕ_*) depends on the physical events from inflation to recent epochs, i.e., from a nontrivial collection of quantities, as also summarized in [69–74]. Usually, it is often expressed as

$$N_* = 67 - \ln\left(\frac{k_*}{a_0 H_0}\right) + \frac{1}{4} \ln\left(\frac{V_*^2}{M_p^4 \rho_{\text{end}}}\right) + \frac{1 - 3w_{\text{eff}}}{12(1 + w_{\text{eff}})} \ln\left(\frac{\rho_{\text{reh}}}{\rho_{\text{end}}}\right) - \frac{1}{12} \ln(g_{\text{reh}}). \quad (35)$$

Here, $a_0 H_0$ is the actual Hubble scale, k_* is a pivot scale (typically of the order of 0.002 Mpc^{-1}), $V_* = \Lambda^4$ is the inflationary energy scale, ρ_{end} is the energy density at the end of inflation, $w_{\text{eff}} = p/\rho$ is the effective equation of state of the reheating fluid, ρ_{reh} is the energy density when reheating is completed, and g_{reh} is the effective number of boson degrees of freedom at ρ_{reh} . However, from the complete expression of N_* , one can derive the number of e -foldings during the reheating stage [72–74]:

$$N_{\text{reh}} = \frac{4}{1 - 3w_{\text{eff}}} \left[-N_* - \ln\left(\frac{k_*}{a_0 H_0}\right) + \ln\left(\frac{T_0}{H_0}\right) \right] + \frac{4}{1 - 3w_{\text{eff}}} \left[\frac{1}{4} \ln\left(\frac{V_*^2}{M_p^4 \rho_{\text{end}}}\right) - \frac{1}{12} \ln(g_{\text{reh}}) \right] + \frac{4}{1 - 3w_{\text{eff}}} \left[\frac{1}{4} \ln\left(\frac{1}{9}\right) + \ln\left(\frac{43}{11}\right)^{\frac{1}{3}} \left(\frac{\pi^2}{30}\right)^{\frac{1}{4}} \right]. \quad (36)$$

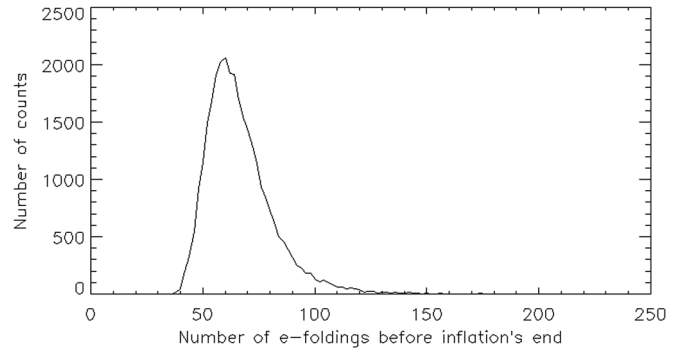


FIG. 9. Distribution function of the number of e -foldings before the end of inflation, N_* , related to the α -attractor model with $r = 0.003$ as a mean value of the tensor-to-scalar ratio. The result has been computed by the approximate solution of the classical equation of motion.

So, once we know N_* from Eq. (19), we can put better constraints on several aspects of the reheating physics by Eq. (36). For example, we can derive a distribution function for the reheating temperature realized in the E-model α -attractor framework by the relation

$$T_{\text{reh}} = \left(\frac{40V_{\text{end}}}{\pi^2 g_{\text{reh}}}\right)^{1/4} \exp\left[-\frac{3}{4}(1 + w_{\text{eff}})N_{\text{reh}}\right]. \quad (37)$$

An example of different reheating constraints can be found in [72–74]. In a forthcoming paper, we plan to apply the reconstruction technique presented above to describe the α -attractor postaccelerated phase.

To conclude this last section, we want to discuss a “naive” procedure to reconstruct the potential function. As we have seen in Secs. II and III, when a potential function is given, one can compute the related predictions in terms of the number of e -foldings. In the case of α -attractor models, at lowest order, one has

$$n_s \sim 1 - \frac{2}{N_*}, \quad r \sim \frac{12\alpha}{N_*^2}. \quad (38)$$

However, starting from a given experimental or simulated CMB data set, we can reconstruct the probability distributions for α and N_* (instead of ϕ_*). This procedure is valid but the estimation of N_* is related only to n_s with no direct influence of r that is (on the contrary) important to specify the attractor model, and this influences the estimation of α or b :

$$N_* = \frac{2}{1 - n_s}, \quad \alpha = \frac{r}{3(1 - n_s)^2}. \quad (39)$$

In the standard reconstruction scheme, we do not pass through such a degree of approximation because of the Hamilton-Jacobi equation: the estimation of α is more precise than the “naive” case. In fact, the limit of negligible

r in Eq. (31) corresponds to the α -definition of Eq. (39). Furthermore we also immediately provide information on ϕ_* . Hereafter, we can constrain N_* using the potential parameters and the VEV of the field (α, ϕ_*) by the solution (approximated or not) of the equation of motion. In Fig. 9 we report the resulting distribution of N_* in the case of $r = 0.003$, using the first order solution of the classical equation of motion given by Eq. (17).

VI. CONCLUSIONS

In this paper, we have used the potential reconstruction method to evaluate the inflaton field at horizon crossing and the potential parameter α of supergravity α -attractor models. We have shown the possible constraints that next-generation CMB experiments can provide. The method is applicable to different inflationary models and provides an estimate of ϕ_* completely independent of N_* . In this sense, it is possible to use the VEV as the input variable to estimate the number of e -foldings before the end of inflation and

then the postaccelerated physics. There were two reasons for choosing E-models. The first one lies in the capability of the model to interpolate a broad range of predictions for r . The second one is related to the capability of these attractor models to reproduce during the accelerated phase, for some values of α , the exponential potentials typically developed in the string inflation moduli context (see [75–79] for detailed papers).

ACKNOWLEDGMENTS

This work has been supported in part by the String Theory and Inflation (STaI) “Uncovering Excellence” grant of the University of Rome “Tor Vergata,” CUP E82L15000300005. We are grateful to Nicola Bartolo, Sabino Matarrese, and Dario Cannone for useful comments and discussions. A. D. M. also thanks Michele Cicoli for discussion on the recent developments of string theories. P. C. thanks L’isola che non c’è S.r.l for the support.

-
- [1] G. F. Smoot, Summary of results from COBE, AIP Conf. Proc. **476**, 1 (1999).
 - [2] C. J. MacTavish *et al.*, Cosmological parameters from the 2003 flight of BOOMERANG, *Astrophys. J.* **647**, 799 (2006).
 - [3] G. Hinshaw *et al.*, Nine-Year Wilkinson Microwave Anisotropy Probe (WMAP) observations: Cosmological parameter results, *Astrophys. J. Suppl. Ser.* **208**, 19 (2013).
 - [4] P. A. R. Ade *et al.* (Planck Collaboration), Planck 2015 results. XX. Constraints on inflation, *Astron. Astrophys.* **594**, A20 (2016).
 - [5] P. A. R. Ade *et al.* (Planck Collaboration), Planck 2015 results. XIII. Cosmological parameters, *Astron. Astrophys.* **594**, A13 (2016).
 - [6] A. Guth, Inflationary universe: A possible solution to the horizon and flatness problems, *Phys. Rev. D* **23**, 347 (1981).
 - [7] A. D. Linde, A new inflationary universe scenario: A possible solution of the horizon, flatness, homogeneity, isotropy and primordial monopole problems, *Phys. Lett.* **108B**, 389 (1981).
 - [8] A. D. Linde, Chaotic inflation, *Phys. Lett.* **129B**, 177 (1983).
 - [9] S. W. Hawking and I. G. Moss, Supercooled phase transition in the very early universe, *Phys. Lett.* **110B**, 35 (1982).
 - [10] A. Albrecht and P. J. Steinhardt, Cosmology for Grand Unified Theories with Radiatively Induced Symmetry Breaking, *Phys. Rev. Lett.* **48**, 1220 (1982).
 - [11] A. Albrecht, P. J. Steinhardt, M. S. Turner, and F. Wilczek, Reheating an Inflationary Universe, *Phys. Rev. Lett.* **48**, 20 (1982).
 - [12] L. F. Abbott, E. Farhi, and M. B. Wise, Particle production in the new inflationary cosmology, *Phys. Lett.* **117B**, 29 (1982).
 - [13] L. Kofman, A. D. Linde, and A. Starobinsky, Reheating after Inflation, *Phys. Rev. Lett.* **73**, 3195 (1994).
 - [14] L. Kofman, The origin of matter in the Universe: Reheating after inflation, [arXiv:astro-ph/9605155](https://arxiv.org/abs/astro-ph/9605155).
 - [15] L. Kofman, A. D. Linde, and A. Starobinsky, Towards the theory of reheating after inflation, *Phys. Rev. D* **56**, 3258 (1997).
 - [16] A. A. Starobinsky, Spectrum of relic gravitational radiation and the early state of the universe, *JETP Lett.* **30**, 683 (1979).
 - [17] V. F. Mukhanov and C. V. Chibisov, Quantum fluctuations and a non singular universe, *JETP Lett.* **33**, 532 (1981).
 - [18] V. F. Mukhanov and C. V. Chibisov, Vacuum energy and large scale structure of the universe, *JETP Lett.* **83**, 475 (1982).
 - [19] S. W. Hawking, The development of irregularities in a single bubble inflationary universe, *Phys. Lett.* **115B**, 295 (1982).
 - [20] A. A. Starobinsky, Dynamics of phase transition in the new inflationary universe scenario and generation of perturbations, *Phys. Lett.* **117B**, 175 (1982).
 - [21] A. Guth and S.-Y. Pi, Fluctuations in the New Inflationary Universe, *Phys. Rev. Lett.* **49**, 1110 (1982).
 - [22] J. M. Bardeen, P. J. Steinhardt, and M. S. Turner, Spontaneous creation of almost scale-free density perturbations in an inflationary universe, *Phys. Rev. D* **28**, 679 (1983).
 - [23] L. F. Abbott and M. B. Wise, Constraints on generalized inflationary cosmologies, *Nucl. Phys.* **B244**, 541 (1984).
 - [24] F. Lucchin and S. Matarrese, Power-law inflation, *Phys. Rev. D* **32**, 1316 (1985).
 - [25] E. D. Stewart and D. H. Lyth, A more accurate analytic calculation of the spectrum of cosmological perturbations produced during inflation, *Phys. Lett. B* **302**, 171 (1993).

- [26] E. M. Lifshitz, On the gravitational stability of the expanding universe, *J. Phys. USSR* **10**, 116 (1946).
- [27] J. M. Bardeen, Gauge-invariant cosmological perturbations, *Phys. Rev. D* **22**, 1882 (1980).
- [28] E. Bertschinger, Cosmological perturbation theory and structure formation, [arXiv:astro-ph/0101009](https://arxiv.org/abs/astro-ph/0101009).
- [29] R. Brandenberger, Initial conditions for inflation: A short review, [arXiv:1601.01918](https://arxiv.org/abs/1601.01918).
- [30] A. D. Linde, Initial conditions for inflation, *Phys. Lett.* **162B**, 281 (1985).
- [31] D. S. Goldwirth and T. Piran, Initial conditions for inflation, *Phys. Rep.* **214**, 223 (1992).
- [32] N. Kaloper, M. Kleban, A. Lawrence, S. Shenker, and L. Susskind, Initial condition for inflation, *J. High Energy Phys.* **11** (2002) 037.
- [33] F. Bezrukov and D. Gorbunov, Distinguishing between R^2 -inflation and Higgs-inflation, *Phys. Lett. B* **713**, 365 (2012).
- [34] L. McAllister, An inflaton mass problem in string inflation from threshold corrections to volume stabilization, *J. Cosmol. Astropart. Phys.* **02** (2006) 010.
- [35] J. McDonald, Reheating temperature and inflaton mass bounds from thermalization after inflation, *Phys. Rev. D* **61**, 083513 (2000).
- [36] N. Bartolo, E. Komatsu, S. Matarrese, and A. Riotto, Non-Gaussianity from inflation: Theory and observations, *Phys. Rep.* **402**, 103 (2004).
- [37] J. Bock *et al.*, Study of the Experimental Probe of Inflationary Cosmology (EPIC): Intermediate mission for NASA's Einstein Inflation Probe, [arXiv:0906.1188](https://arxiv.org/abs/0906.1188).
- [38] CORe Collaboration, CORe (Cosmic Origins Explorer): A white paper, [arXiv:1102.2181](https://arxiv.org/abs/1102.2181).
- [39] A. Kogut *et al.*, The Primordial Inflation Explorer (PIXIE): A nulling polarimeter for cosmic microwave background observations, *J. Cosmol. Astropart. Phys.* **07** (2011) 025.
- [40] T. Matsumura *et al.*, Mission design of LiteBIRD, *J. Low Temp. Phys.* **176**, 733 (2014).
- [41] PRISM Collaboration, PRISM (Polarized Radiation Imaging and Spectroscopy Mission): A white paper on the ultimate polarimetric spectro-imaging of the microwave and far-infrared sky, [arXiv:1306.2259](https://arxiv.org/abs/1306.2259).
- [42] R. Kallosh and A. Linde, Universality class in conformal inflation, *J. Cosmol. Astropart. Phys.* **07** (2013) 002; Multi-field conformal cosmological attractors, *J. Cosmol. Astropart. Phys.* **12** (2013) 006.
- [43] S. Ferrara, R. Kallosh, and M. Porrati, Minimal supergravity models of inflation, *Phys. Rev. D* **88**, 085038 (2013).
- [44] R. Kallosh and A. D. Linde, Superconformal generalizations of the Starobinsky model, *J. Cosmol. Astropart. Phys.* **06** (2013) 028.
- [45] R. Kallosh, A. D. Linde, and D. Roest, Superconformal inflationary α -attractors, *J. High Energy Phys.* **11** (2013) 198.
- [46] M. Galante, R. Kallosh, A. Linde, and D. Roest, The Unity of Cosmological Attractors, *Phys. Rev. Lett.* **114**, 141302 (2015).
- [47] R. Kallosh and A. Linde, Cosmological attractors and asymptotic freedom of the inflaton field, *J. Cosmol. Astropart. Phys.* **06** (2016) 047.
- [48] A. S. Goncharov and A. D. Linde, Chaotic inflation of the Universe in supergravity, *Sov. Phys. JETP* **59**, 930 (1984) [*Zh. Eksp. Teor. Fiz.* **86**, 1594 (1984)]; Chaotic inflation in supergravity, *Phys. Lett. B* **139B**, 27 (1984).
- [49] A. A. Starobinsky, A new type of isotropic cosmological models without singularity, *Phys. Lett. B* **91B**, 99 (1980).
- [50] M. B. Mijic, M. S. Morris, and W. M. Suen, The R^2 cosmology: Inflation without a phase transition, *Phys. Rev. D* **34**, 2934 (1986).
- [51] F. L. Bezrukov and M. Shaposhnikov, The Standard Model Higgs boson as the inflaton, *Phys. Lett. B* **659**, 703 (2008).
- [52] J. E. Lidsey, A. R. Liddle, E. W. Kolb, E. J. Copeland, T. Barreiro, and M. Abney, Reconstructing the inflaton potential: An overview, *Rev. Mod. Phys.* **69**, 373 (1997).
- [53] Y. Ma and Y. Wang, Reconstructing the local potential of inflation with BICEP2 data, *J. Cosmol. Astropart. Phys.* **09** (2014) 041.
- [54] J. Lesgourgues and W. Valkenburg, New constraints on the observable inflaton potential from WMAP and SDSS, *Phys. Rev. D* **75**, 123519 (2007).
- [55] J. Lesgourgues, The Cosmic Linear Anisotropy Solving System (CLASS) I: Overview, [arXiv:1104.2932](https://arxiv.org/abs/1104.2932).
- [56] D. Blas, J. Lesgourgues, and T. Tram, The Cosmic Linear Anisotropy Solving System (CLASS) II: Approximation schemes, *J. Cosmol. Astropart. Phys.* **07** (2011) 034.
- [57] W. H. Kinney, Inflation: Flow, fixed points and observables to arbitrary order in slow roll, *Phys. Rev. D* **66**, 083508 (2002).
- [58] W. H. Kinney, E. W. Kolb, A. Melchiorri, and A. Riotto, Inflation model constraints from the Wilkinson Microwave Anisotropy Probe three-year data, *Phys. Rev. D* **74**, 023502 (2006).
- [59] B. A. Powell and W. H. Kinney, Limits on primordial power spectrum resolution: An inflationary flow analysis, *J. Cosmol. Astropart. Phys.* **08** (2007) 006.
- [60] J. Lesgourgues, A. A. Starobinsky, and W. Valkenburg, What do WMAP and SDSS really tell about inflation?, *J. Cosmol. Astropart. Phys.* **01** (2008) 010.
- [61] M. J. Mortonson, H. V. Peiris, and R. Easther, Bayesian analysis of inflation: Parameter estimation for single field models, *Phys. Rev. D* **83**, 043505 (2011).
- [62] R. Easther and H. V. Peiris, Bayesian analysis of inflation II: Model selection and constraints on reheating, *Phys. Rev. D* **85**, 103533 (2012).
- [63] J. Noreña, C. Wagner, L. Verde, H. V. Peiris, and R. Easther, Bayesian analysis of inflation III: Slow roll reconstruction using model selection, *Phys. Rev. D* **86**, 023505 (2012).
- [64] A. R. Liddle, P. Parsons, and J. D. Barrow, Formalising the slow-roll approximation in inflation, *Phys. Rev. D* **50**, 7222 (1994).
- [65] J. Martin, C. Ringeval, and V. Vennin, Encyclopaedia inflationaris, *Phys. Dark Univ.* **5–6**, 75 (2014).
- [66] E. Silverstein and A. Westphal, Monodromy in the CMB: Gravity waves and string inflation, *Phys. Rev. D* **78**, 106003 (2008).
- [67] L. McAllister, E. Silverstein, and A. Westphal, Gravity waves and linear inflation from axion monodromy, *Phys. Rev. D* **82**, 046003 (2010).
- [68] L. McAllister, E. Silverstein, A. Westphal, and T. Wrase, The powers of monodromy, *J. High Energy Phys.* **09** (2014) 123.

- [69] P. Creminelli, D. L. Nacir, M. Simonovi, G. Trevisan, and M. Zaldarriaga, ϕ^2 inflation at its endpoint, *Phys. Rev. D* **90**, 083513 (2014).
- [70] A. R. Liddle and S. M. Leach, How long before the end of inflation were observable perturbations produced?, *Phys. Rev. D* **68**, 103503 (2003).
- [71] J. Martin and C. Ringeval, First CMB constraints on the inflationary reheating temperature, *Phys. Rev. D* **82**, 023511 (2010).
- [72] L. Dai, M. Kamionkowski, and J. Wang, Reheating Constraints to Inflationary Models, *Phys. Rev. Lett.* **113**, 041302 (2014).
- [73] J. Munoz and M. Kamionkowski, Equation-of-state parameter for reheating, *Phys. Rev. D* **91**, 043521 (2015).
- [74] Y. Ueno and K. Yamamoto, Constraints on α -attractor inflation and reheating, *Phys. Rev. D* **93**, 083524 (2016).
- [75] J. P. Conlon and F. Quevedo, Kahler moduli inflation, *J. High Energy Phys.* **01** (2006) 146.
- [76] M. Cicoli, C. P. Burgess, and F. Quevedo, Fibre inflation: Observable gravity waves from IIB string compactifications, *J. Cosmol. Astropart. Phys.* **03** (2009) 013.
- [77] M. Cicoli, F. G. Pedro, and G. Tasinato, Polyinstanton inflation, *J. Cosmol. Astropart. Phys.* **12** (2011) 022.
- [78] C. P. Burgess, M. Cicoli, and F. Quevedo, String inflation after Planck 2013, *J. Cosmol. Astropart. Phys.* **11** (2013) 003.
- [79] C. P. Burgess, M. Cicoli, S. de Alwis, and F. Quevedo, Robust inflation from fibrous strings, *J. Cosmol. Astropart. Phys.* **05** (2016) 032.