

1 **Correlation of carotid artery reactivity with cardiovascular risk**
2 **factors and coronary artery vasodilator responses in**
3 **asymptomatic, healthy volunteers**

4
5 **ANKE C.C.M VAN MIL, MSc.,^{A,B}, YVONNE HARTMAN, MSc.,^A, FREDERIEKE VAN**
6 **OORSCHOT, BSc.,^A, ANNEMIEKE HEEMELS, BSc.,^A, NIKKI BAX, MSc.,^A, ELLEN A.**
7 **DAWSON, PhD,^B, NICOLA HOPKINS, PhD,^B, MARIA T.E. HOPMAN, PROF.,^{A,C}, DANIEL**
8 **J. GREEN, PROF.,^{B,D}, DAVID L. OXBOROUGH, PhD,^B, DICK H.J THIJSSSEN, PROF.,^{A,B}**

9
10 ^{A)} Department of Physiology, Radboudumc, Nijmegen, The Netherlands

11 ^{B)} Research institute for Sport and Exercise Sciences, Liverpool John Moores University,
12 Liverpool, United Kingdom

13 ^{C)} Division of Human Nutrition, Wageningen University, Wageningen, The Netherlands.TI

14 ^{D)} School of Sports Science, Exercise and Health, The University of Western Australia,
15 Nedlands, Australia

16
17 **Short title:** Relation CAR with CV risk & coronaries

18
19 **WORD COUNT:** 5868

20 **ABSTRACT WORD COUNT:** 249

21 **FIGURES:** 4

22 **TABLES:** 4

23
24 **Author for correspondence:**

25 Prof. Dr. Dick Thijssen, Research Institute for Sport and Exercise Sciences, Liverpool John
26 Moores University, Tom Reilly Building, Byrom Street L3 3AF, Liverpool, United Kingdom

27 Email: D.Thijssen@ljmu.ac.uk, Tel: +441519046264

28

29 **SOURCES OF FUNDING**

30 Dr. Dick Thijssen is financially supported by the Netherlands Heart Foundation (E Dekker-
31 stipend, 2009T064). DJG is supported by a National Health and Medical Research Council
32 (NHMRC) Principal Research Fellowship (APP1080914).

33

34 **DISCLOSURES**

35 No conflicts of interest, financial or otherwise, are declared by the author(s).

36

37 **ABSTRACT**

38 **Objectives.** Carotid artery reactivity (CAR%), involving carotid artery diameter responses to
39 a cold pressor test, is a non-invasive measure of conduit artery function in humans. This study
40 examined: 1. the impact of age and cardiovascular risk factors on the CAR% and 2. The
41 relationship between CAR% and coronary artery vasodilator responses to the cold pressor
42 test.

43 **Methods.** Ultrasound was used to measure resting and peak carotid artery diameters during
44 the cold pressor test, with CAR% being calculated as the relative change from baseline (%).
45 We compared CAR% between young (n=50, 24±3 years) and older participants (n=44, 61±8
46 years), and subsequently assessed relationships between CAR% *and* traditional cardiovascular
47 risk factors in 50 participants (44±21 years). Subsequently, we compared left anterior
48 descending (LAD) artery velocity (using transthoracic Doppler) *with* carotid artery diameter
49 (i.e. CAR%) during the cold pressor test (CPT, n=33, 37±17 years).

50 **Results.** A significantly larger CAR% was found in young *versus* older healthy participants
51 (4.1±3.7 *versus* 1.8±2.6, $P<0.001$). Participants without cardiovascular risk factors
52 demonstrated a higher CAR% compared to those with ≥ 2 risk factors (2.9±2.9 *versus* 0.5±2.9,
53 $P=0.019$). Carotid artery diameter and LAD velocity increased during CPT ($P<0.001$).
54 Carotid diameter and change in velocity correlated with LAD velocity ($r=0.486$ and 0.402 ,
55 $P<0.004$ and 0.02 , respectively).

56 **Conclusion.** Older age and cardiovascular risk factors are related to lower CAR%, whilst
57 CAR% shows good correlation with coronary artery responses to the CPT. Therefore, CAR%
58 may represent a valuable technique to assess cardiovascular risk, whilst CAR% seems to
59 reflect coronary artery vasodilator function.

60

61 **KEYWORDS:** Endothelial function, coronary arteries, carotid artery reactivity test, cold
62 pressor test, cardiovascular risk

63 INTRODUCTION

64 Previous studies have explored the impact of stimulation of the sympathetic nervous system,
65 using the cold pressor test (CPT), on coronary artery responses.¹⁻³ Coronary artery responses
66 to CPT are suggested to be endothelium-dependent.⁴ Whilst coronary dilation is observed in
67 healthy volunteers, participants with CV risk or disease demonstrate attenuated dilation or
68 even constriction during the CPT.^{2, 4-8} Moreover, CPT-induced constriction of coronary
69 arteries independently predicts future cardiovascular (CV) events.⁹ Non-invasive assessment
70 of coronary artery diameter, however, is currently technically challenging, expensive and
71 lacks sufficient temporal resolution to assess rapid changes in diameter.

72

73 Similar to coronary arteries, CPT may dilate carotid artery in asymptomatic older participants,
74 whereas significant constriction is present in those with coronary heart disease.¹⁰ No previous
75 study examined whether the magnitude of response (i.e. dilation or constriction) of the carotid
76 artery reactivity (CAR%) to the CPT is altered by older age and/or presence of cardiovascular
77 risk factors. Furthermore, given similarity in vascular responsiveness between coronary and
78 carotid arteries to CPT, with opposite responses between healthy participants (i.e. dilation)
79 *versus* patients with coronary heart disease (i.e. constriction),^{9, 10} one may question whether a
80 correlation exists between coronary and carotid artery responses to the CPT, such as described
81 previously for other measures of peripheral vascular function.¹¹⁻¹⁶ This would provide the first
82 study to assess whether CAR% directly relates to coronary artery vascular function.

83

84 This study aims to better understand the potential clinical relevance of CAR% as a putative
85 marker of cardiovascular risk and surrogate for coronary artery function. First, we examined
86 the hypothesis that older age and increasing number of traditional cardiovascular risk factors
87 (e.g. blood pressure, cholesterol, hypertension, diabetes, and smoking) are associated with a

88 smaller CAR% in healthy, asymptomatic participants. Secondly, we explored the relation
89 between coronary artery and carotid artery responses to the CPT in healthy, asymptomatic
90 participants. This work will provide important information to determine if the carotid and
91 coronary arteries exhibit similar functional responses in the presence of cardiovascular risk
92 factors and disease.

93

94

95 **METHODS**

96 **Participants**

97 We recruited 94 healthy participants without clinical presentation of atherosclerosis.
98 Exclusion criteria were a history of cardiovascular disease (i.e. angina, myocardial infarction,
99 and heart failure), presence of Raynaud's phenomenon, scleroderma, chronic pain and/or open
100 wounds on the upper extremities. Written informed consent was obtained from all participants
101 prior to participation. Ethical approval was obtained from local Ethics committee (Aim 1:
102 Radboud university medical centre, Aim 2: Liverpool John Moores University), in accordance
103 with the latest revision of the Declaration of Helsinki.

104

105 **Experimental design**

106 All participants (n=94) reported to our laboratory for a single visit. Participants were asked to
107 abstain from strenuous exercise for 24 hours, fast for ≥ 6 hours, and to abstain from dietary
108 products known to alter endothelial function for ≥ 18 hours prior to the testing sessions (i.e.
109 caffeine, vitamin C) according to guidelines to assess peripheral vascular function.¹⁷ Upon
110 arrival, weight (kg) and height (cm) were measured and participants rested in the supine
111 position for at least 15 minutes on a comfortable bed in a temperature-controlled room. All
112 subjects underwent the CPT, involving continuous ultrasonography measurements of the

113 carotid artery diameter and velocity as well as haemodynamics at baseline (1-min) and during
114 (3-min) CPT. Peak changes in diameter during CPT, presented as the relative change from
115 baseline, represents the CAR%. To reduce measurement error, procedures were repeated after
116 1 h and averaged for analyses. For Aim 1 (i.e. relationship CAR% & risk factors), we divided
117 the entire study population (n=94) into young (n=50, age range 19-30 years) and older adults
118 (n=44, age range 50-82 years). Cardiovascular risk profile was assessed in 50 participants
119 (Radboud university medical centre, 44±21 years), who were divided in subjects with 0, 1 or
120 ≥ 2 cardiovascular risk factors. These different subgroups are presented in Figure 1.

121

122 For Aim 2 (i.e. CAR% vs coronary artery velocity), we studied a subgroup of 44 participants
123 (Liverpool John Moores University), and simultaneously examined carotid artery diameter
124 and left anterior descending coronary artery velocity responses using Doppler ultrasound
125 during the CPT. Due to technical constraints 11 participants were excluded from analysis.
126 This left us with 33 participants to assess the relation between CAR% and coronary artery
127 velocity responses to the CPT (37±17 years).

128

129 **Experimental measures**

130 *Cold pressor test.* The CPT consisted of a 3-minute immersion of the left hand in a bucket of
131 ice slush (~4.0°C). The participant was positioned supine on a comfortable bed, facilitating
132 arm movement of the left hand into the bucket of ice slush without significant movement of
133 the neck to enable assessment of the carotid and coronary arteries. After a 1-minute baseline
134 period, the participant immersed the hand up to the wrist in the ice slush for 3 minutes. The
135 participant was instructed not to speak and breathe normally (to prevent hyperventilation)
136 when the hand was submerged into the ice slush.

137

138 *Carotid artery diameter, blood flow and shear rate.* Participants were positioned with the
139 neck extended to allow assessment of the carotid artery. Left carotid artery diameter and red
140 blood cell velocity were recorded continuously during baseline (1-minute) and CPT (3-
141 minutes) with a 10-MHz linear array handheld probe attached to a high resolution ultrasound
142 machine (Terason T3000, Aloka, United Kingdom). When an optimal image was found, the
143 probe was held stable and the ultrasound parameters were set to optimise the longitudinal, B-
144 mode image of the lumen-arterial wall interface. Continuous pulsed wave Doppler velocity
145 assessments were also obtained and were collected at the lowest possible insonation angle
146 (always $<60^\circ$). Following a 1-minute baseline assessment of carotid artery diameter and
147 velocity, the hand was immersed for 3-minutes with simultaneous and continuous assessment
148 of carotid artery diameter and velocity.

149

150 *Intima-media thickness.* Previous studies found carotid artery intima-media thickness (IMT)
151 to relate to cardiovascular risk and predict future cardiovascular disease.¹⁸ To explore the
152 relevance of studying CAR% *and* IMT, we included measurements of the IMT (mm) of the
153 left common carotid artery. According to widely adopted recommendations, we measured the
154 IMT approximately 2cm proximal to the bulbous. We recorded the IMT continuously for 10
155 seconds, in 2 different perpendicular planes (differing 90°). From the 2 measurements wall
156 thickness was calculated. Analyses were performed with edge-detection and wall-tracking
157 software, as described elsewhere.¹⁹

158

159 *Blood pressure and heart rate.* Before and during CPT, we continuously measured blood
160 pressure using non-invasive photoplethysmography (Aim 1: Nexfin, BMEYE, Amsterdam,
161 The Netherlands, Aim 2: Portapress, Finapres Medical Systems, Amsterdam, Netherlands).

162

163 *Cardiovascular risk factors (Aim 1; CAR% vs Risk factors)*. For the subgroups of 50
164 participants, we performed additional assessment of cardiovascular risk factors. To examine
165 systolic and diastolic blood pressure, we performed two assessments of blood pressure using
166 the manual approach (sphygmomanometer, on the left arm). Hypertension was defined as
167 systolic pressure ≥ 140 mmHg and/or diastolic pressure ≥ 90 mmHg.²⁰ We reported diagnosis of
168 type 1 or 2 diabetes mellitus and recorded (past and current) smoking habits. We used
169 capillary blood to assess total cholesterol, high density lipoprotein cholesterol, low density
170 lipoprotein cholesterol and triglycerides (35 μ L blood, Mission, ACON Laboratories, Inc., San
171 Diego, USA). Elevated cholesterol levels were defined as total cholesterol > 5.0 mmol/L.²¹⁻²³
172 Based on the presence of risk factors, these participants were subdivided in; *i.* 0 risk factors,
173 *ii.* 1 risk factor, and *iii.* ≥ 2 risk factors.

174

175 *Coronary artery responses (Aim 2; CAR% vs coronary artery)*. In a subgroup of 33
176 participants (37 \pm 17 years), left anterior descending (LAD) coronary artery velocity responses
177 to the CPT were examined using transthoracic ultrasound, during simultaneous assessment of
178 the CAR. Transthoracic assessment was performed by a highly experienced sonographer
179 using a Vivid Q (GE Medical, Horten, Norway), with a 4 MHz phased array transducer. To
180 this end, participants assumed a slightly left lateral position to allow access and measurement
181 of the proximal end of the LAD from a modified parasternal window. When the vessel was
182 detected (using color flow mapping), the Doppler sample volume was positioned in the
183 vessel, to allow for real-time velocity assessment during the cardiac cycle. Acquisition of the
184 coronary velocity was obtained at baseline and during CPT.²⁴

185

186 **Data analysis**

187 *Carotid artery diameter, velocity, blood flow and shear rate.* CAR% responses were assessed
188 for both diameter and blood flow. Analysis of the carotid artery diameter was performed
189 using custom-designed edge-detection and wall-tracking software, which is largely
190 independent of investigator bias, by a single blinded investigator.²⁵ Details of this technique
191 can be found elsewhere.²⁶ Baseline diameter, velocity, shear rate,²⁵ and blood flow were
192 calculated as the mean of data acquired across the 1 minute preceding the CPT test. After
193 submersion of the hand in ice slush, data were calculated as the mean value for 10-second
194 intervals, involving 8-10 full cardiac cycles. Based on this data we calculated the peak
195 diameter change (i.e. the 10-second bin with the highest value, CAR%) and area-under-the-
196 curve for the diameter change during CPT (CAR_{AUC}). The peak diameter change can refer to a
197 maximum constriction *or* dilation. The direction of this change was determined by a positive
198 (i.e. dilation) or negative (i.e. constriction) CAR_{AUC}. In keeping with previous work, we also
199 calculated the diameter change at 90 seconds (CAR₉₀).¹⁷ Reproducibility (coefficient of
200 variation, CV) of diameter responses to CPT was assessed with a 1- and 24-hour interval.
201 Within-day CV for baseline and peak diameters was 2.2 and 2.6%, whilst day-to-day CV
202 were 2.3% and 2.7%. Furthermore, the CAR% (i.e. maximum change in diameter) showed a
203 within-day reproducibility of 2.6% and between-day reproducibility of 2.8%.

204

205 *Blood pressure and heart rate.* Analyses included baseline and peak mean arterial pressure
206 (MAP, mmHg), and baseline and peak heart rate (HR, beats per minute). Analyses were
207 performed in labchart (LabChart 7, ADInstruments, Colorado Springs, USA) and/or excel.
208 Both MAP and HR were averaged per 30 second bins for analyses. All values were averaged
209 over the 2 CPTs.

210

211 *Coronary artery responses.* All images were exported to DVD in raw format, for offline
212 analyses. The coronary blood velocity was analysed using commercially available software
213 (EchoPAC Version 7.0; GE Medical, Horten, Norway). Measurements were performed at
214 both baseline and during CPT and included peak systolic (S), peak diastolic (D) velocity and
215 the velocity time integral (VTI).

216

217 **Statistical analysis**

218 All data were presented as mean \pm SD unless stated otherwise. Statistical analysis was done
219 using IBM SPSS Statistics 20.0 (IBM SPSS, IBM Corp., Armonk, NY, USA). For Aim 1, we
220 examined differences between young and older groups using an independent Students' *t*-tests
221 (when data were normally distributed, following Kolmogorov-Smirnov tests of normality) or
222 Mann-Whitney U tests (when data was not normally distributed). Effects of CPT differences
223 between the groups (young *vs* older, and 0 *vs* 1 *vs* ≥ 2 risk factors) and time (baseline *vs* CPT)
224 was assessed by 2-way repeated measures ANOVAs. Subsequently, 50 individuals with
225 assessment of traditional cardiovascular risk factors were categorised into presence of 0, 1 or
226 ≥ 2 cardiovascular risk factors. A one-way ANOVA (data normally distributed) or Kruskal-
227 Wallis (data not normally distributed) was adopted to examine differences in our primary
228 outcome parameters between groups. A Pearson's correlation was adopted to assess the
229 relation between CAR% (i.e. carotid artery function) and carotid artery intima-media
230 thickness and diameter (i.e. carotid artery structure). For Aim 2, we first examined the change
231 in carotid artery diameter and LAD velocity in response to CPT using a paired Student's *t*-
232 tests. Pearson's correlation coefficient was used to explore the relation between the change in
233 carotid artery diameter (i.e. CAR%) and change in coronary artery velocity (i.e. VTI).

234

235

236 **RESULTS**

237 In healthy young subjects, CPT caused a gradual increase in carotid artery diameter that
238 peaked around 90 seconds and, subsequently, returned towards baseline (Figure 2A). Carotid
239 artery velocity and blood flow showed a gradual (~15%), but significant increase across the 3-
240 minutes of the CPT-response (Figure 2B-C). Interestingly, shear rate remained around
241 baseline levels until 90/100 seconds, after which it showed a marginal (~10%) increase
242 (Figure 2D).

243

244 **Aim 1: CAR% versus cardiovascular risk factors**

245 *Young and older participants.* Older participants demonstrated higher weight and BMI, but no
246 differences in height (Table 1). Systolic and diastolic blood pressure were higher in older
247 compared to young participants (Table 1). Mean arterial pressure was lower in young
248 compared to the older group, whilst heart rate was not different between groups (Table 2).
249 Carotid artery diameter was larger in the older group than in young participants, whilst carotid
250 artery shear rate was higher in the young group (Table 2). CPT induced a significant increase
251 in heart rate and mean arterial pressure in both groups, with older participants demonstrating a
252 larger increase in heart rate and a larger increase in mean arterial pressure (Table 2). Both
253 groups demonstrated a significant increase in carotid artery diameter in response to the CPT
254 (Table 2). The diameter response during the CPT was significantly larger in young compared
255 to older humans when data were presented as the peak diameter change (i.e. CAR%), area-
256 under-the-curve across the 3-minute CPT (i.e. CAR_{AUC}) and diameter change at 90-seconds
257 (i.e. CAR₉₀) (Table 2, Figure 3A).

258

259 *Cardiovascular risk factors.* Cholesterol and LDL levels were highest in those with 1 RF
260 compared to 0 or ≥ 2 RF, whilst no differences between groups were found for any of the other

261 parameters (Table 3). We found a significantly different CAR%, CAR_{AUC} and CAR₉₀ across
262 the 3 groups (Figure 3B, Table 3), with a smaller carotid artery dilation observed in the
263 presence of more cardiovascular risk factors. Specifically, we found that participants with ≥ 2
264 risk factors showed a smaller dilation compared to those without risk factors (Table 3). In line
265 with the CAR%, carotid artery diameter, IMT, and IMT ratio (i.e. intima-media
266 thickness/baseline diameter) were higher in participants with more risk factors (Table 3).
267 However, no significant correlation was found between CAR% and carotid artery baseline
268 diameter ($r = -0.16$, $P = 0.274$), IMT ($r = -0.09$, $P = 0.524$), or IMT ratio ($r = -0.06$, $P = 0.678$).

269

270 **Aim 2: CAR% versus coronary artery**

271 The CPT caused a significant increase in heart rate, mean arterial pressure, and carotid artery
272 flow, velocity and shear rate (Table 4). A significant increase in carotid artery diameter was
273 found when presented as CAR%, CAR_{AUC} and CAR₉₀ (Table 4). Furthermore, a significant
274 increase in LAD velocity was found during the CPT (Table 4). We found a significant,
275 positive correlation between the CAR% and the change in LAD velocity time integral
276 ($r = 0.486$, $P < 0.004$, Figure 4). A significant, positive correlation was also found between
277 changes in carotid artery velocity and flow, and the change in LAD velocity time integral
278 ($r = 0.402$, $P = 0.021$, and $r = 0.368$, $P = 0.035$, respectively). This relation between carotid and
279 coronary artery responses was reinforced when data were presented as CAR₉₀, but not for
280 CAR_{AUC} ($r = 0.361$ and 0.258 , $P = 0.039$ and 0.146 , respectively).

281

282

283 **DISCUSSION**

284 In this study we explored the relationship between age, cardiovascular risk factors and CAR%
285 and whether carotid artery responses to CPT reflect coronary artery vascular function. We
286 found that the CPT induces carotid artery dilation in healthy, asymptomatic young

287 participants, with no changes in shear rate. This highlights the ability of the carotid artery to
288 dilate in response to the CPT, a functional change that is unlikely to be related to shear-
289 mediated responses, as the dilation response of the carotid artery preceded any change in
290 shear. Secondly, the CAR% was significantly attenuated in healthy, asymptomatic older
291 participants, whilst presence of traditional cardiovascular risk factors was also associated with
292 a smaller CAR%. These findings cannot be ascribed to structural characteristics of the carotid
293 artery diameter (i.e. diameter or intima-media thickness), given the absence of a significant
294 correlation between CAR% and these factors. Finally, a moderate-to-strong correlation was
295 apparent between carotid artery dilation (i.e. diameter and velocity) and coronary artery
296 dilator (i.e. velocity) responses to the CPT. These observations provide evidence that the
297 CAR%, most likely independent of carotid artery structural characteristics, may represent a
298 valuable test to assess arterial function and health and that it reflects coronary artery
299 vasomotor function.

300

301 Our study reveals the novel observation that, in a healthy, asymptomatic population, who
302 generally demonstrate carotid artery dilation in response to the CPT, the CAR% successfully
303 distinguishes between subjects with incremental number of risk factors. Also carotid artery
304 IMT and diameter, both predictors for CV risk,¹⁸ were different between groups, with a higher
305 value for those with ≥ 2 traditional cardiovascular risk factors. Since we found no correlation
306 between CAR% and carotid IMT or diameter, it is possible that CAR% provides information
307 that is independent from that of measures of carotid artery structure (i.e. diameter and IMT).
308 This observation provides further support that CAR% may represent relevant information on
309 CV risk.

310

311 Ideally, a test of (peripheral) vascular function related to CV risk should also reflect vascular
312 health of coronary vessels, since coronary arteries are prone to the development of
313 atherosclerosis and cardiovascular events. Previous studies have explored the relationship
314 between measures of coronary and peripheral artery vascular function.^{14, 16} In line with these
315 studies, carotid artery and coronary artery responses to the CPT show a moderate-to-strong
316 correlation, a finding that is reinforced by earlier cross-study observations of comparable
317 coronary and carotid artery responses to the CPT; dilation in healthy subjects or constriction
318 in those with coronary artery disease.^{2, 4, 10} The ability for marked vasomotion of the carotid
319 artery during the CPT is different to peripheral conduit arteries that typically show negligible
320 change in diameter.^{27, 28} This further highlights the potential relevance for studying the carotid
321 artery as a surrogate for coronary artery vascular function, since both of these conduit vessels
322 demonstrate similar responses to the CPT. The agreement between the coronary and carotid
323 artery responses to the CPT somewhat contrasts with the lack of correlation between
324 measures of carotid artery atherosclerosis (i.e. intima-media thickness) and coronary artery
325 atherosclerosis (i.e. plaque burden).¹⁸ Our data, nonetheless, suggest that functional, rather
326 than structural, measures in the two vascular beds may be related.

327

328 The ability of the carotid artery to dilate (or constrict) during the CPT raises questions
329 regarding the potential underlying mechanisms. Whilst no extant study has examined the
330 carotid artery, several studies explored pathways contributing to coronary artery vasomotion
331 to the CPT.^{1, 2, 4, 6-9, 29} First, the diameter change to the CPT may be endothelium-dependent,
332 since coronary artery responses to the CPT and acetylcholine (i.e. an endothelium-dependent
333 stimulus) show similarity in vasomotion.^{4, 29} To explain diameter response to the CPT, an
334 increase in shear stress during CPT may contribute to an endothelium-dependent
335 vasodilation.³⁰ However, the increase in shear rate during CPT occurred *after* occurrence of

336 the peak diameter (Figure 2), making changes in shear an unlikely explanation for carotid
337 artery dilation. Another possibility is that the increase in blood pressure accounts for the
338 diameter response to CPT. Indeed, we found a relation between increase in MAP and CAR%.
339 However, the magnitude of increase in MAP did not differ between groups, whilst an increase
340 in MAP was also observed in those who demonstrate a decrease in CAR%. This suggests that
341 the increase in MAP is unlikely causally linked to carotid diameter changes. This notion is
342 further supported when examining the timing of the peak responses, since peak diameter
343 precede peak blood pressure responses by ~30 seconds. Nonetheless, we cannot exclude the
344 possibility that increases in blood pressure contribute (partly) to the CAR%. Alternatively, the
345 release of catecholamines during the CPT may contribute to vasomotion of the carotid artery
346 during CPT,^{31, 32} with some work linking catecholamines (e.g. norepinephrine [NE]) to
347 coronary artery dilation in healthy vessels or constriction in diseased arteries.^{2, 29} More
348 specifically, NE may contribute to vasodilation via endothelium-dependent release of
349 vasodilators,^{1, 33} whilst a direct impact of NE on smooth muscle cells causes
350 vasoconstriction.^{34, 35} The balance between both effects may ultimately determines the
351 vasomotor response, which could be influenced by endothelium dysfunction. Although these
352 mechanisms were explored in coronary arteries, comparable mechanisms may be present in
353 the carotid artery during the CPT. Further research is required to characterize the physiology
354 of the carotid artery responses to sympathetic stimulation using the CPT.

355

356 *Clinical relevance.* Previous studies adopting invasive intracoronary Doppler catheters^{2, 4, 29}
357 and quantitative angiography,^{2, 4, 9, 29} have shown strong predictive capacity of coronary artery
358 responses to sympathetic stimuli for future CV disease and/or events.^{6, 7, 9} Our observation of
359 agreement between coronary and carotid artery responses to the CPT, combined with the
360 relation of the CAR% with age and cardiovascular risk factors, suggest the potential utility of

361 the CAR% test. This is further supported by the observation that the CAR% provides
362 information that seems independent from that of structural measures of the carotid artery, i.e.
363 diameter and intima-media thickness. The potential use is further emphasised since it is easy
364 applicable, simple, cheap, non-invasive, and requiring a minimum of training.

365

366 *Limitations.* We choose to group the number of cardiovascular risk factors, rather than
367 explore the impact of individual risk factors, on the CAR%. Examining all individual risk
368 factors would require a markedly larger sample size to properly perform statistical analyses,
369 whilst our aim was to explore the relation between cardiovascular risk factors and the newly
370 introduced CAR% in asymptomatic subjects. We strongly recommend future studies to
371 explore the impact of individual risk factors to better understand how traditional risk factors
372 affect CAR%. Secondly, due to technical restrictions, we were unable to collect LAD
373 diameter to correlate diameter changes between both arteries. Since changes in diameter will
374 affect measures of velocity, we may have underestimated the true correlation between both
375 arteries in response to the CPT. Nonetheless, the significant correlation between both vascular
376 beds, including the significant correlation between carotid artery and coronary artery
377 velocities, emphasises the agreement between coronary and carotid responses to the CPT.

378

379 In conclusion, in the present study we found that older age and the presence of cardiovascular
380 risk factors is related to a lower CAR%. Therefore, CAR% may represent a valuable
381 technique to assess cardiovascular risk, which may be used in addition to structural measures
382 of the carotid artery (i.e. diameter and intima-media thickness). In addition, the CAR% shows
383 a good correlation with coronary artery responses to the CPT, which suggests that the CAR%
384 represents a surrogate for coronary artery vasomotor function.

385

386

387 **AUTHOR CONTRIBUTIONS**

388 DHJT and DLO designed the study. DHJT, DJG and MTEH ensured funding of the project
389 and discussed the feasibility and study design. ACCMM, YH, FO, AH, NB, EAD, NH and
390 DLO were involved in data collection and analysis. ACCMM, DHJT performed the statistical
391 analysis. All authors contributed to the interpretation of the data, writing of the manuscript
392 and provided approval of the final version.

393

394 **REFERENCE LIST**

- 395 1. Monahan KD, Feehan RP, Sinoway LI, Gao Z. Contribution of sympathetic activation
396 to coronary vasodilatation during the cold pressor test in healthy men: Effect of
397 ageing. *J Physiol.* 2013;591:2937-2947
- 398 2. Nabel EG, Ganz P, Gordon JB, Alexander RW, Selwyn AP. Dilation of normal and
399 constriction of atherosclerotic coronary arteries caused by the cold pressor test.
400 *Circulation.* 1988;77:43-52
- 401 3. Zeiher AM, Drexler H, Wollschlager H, Just H. Modulation of coronary vasomotor
402 tone in humans. Progressive endothelial dysfunction with different early stages of
403 coronary atherosclerosis. *Circulation.* 1991;83:391-401
- 404 4. Zeiher AM, Drexler H, Wollschlaeger H, Saurbier B, Just H. Coronary vasomotion in
405 response to sympathetic stimulation in humans: Importance of the functional integrity
406 of the endothelium. *J Am Coll Cardiol.* 1989;14:1181-1190
- 407 5. Zeiher AM, Drexler H, Wollschlager H, Just H. Endothelial dysfunction of the
408 coronary microvasculature is associated with coronary blood flow regulation in
409 patients with early atherosclerosis. *Circulation.* 1991;84:1984-1992
- 410 6. Nitenberg A, Chemla D, Antony I. Epicardial coronary artery constriction to cold
411 pressor test is predictive of cardiovascular events in hypertensive patients with
412 angiographically normal coronary arteries and without other major coronary risk
413 factor. *Atherosclerosis.* 2004;173:115-123
- 414 7. Nitenberg A, Valensi P, Sachs R, Cosson E, Attali JR, Antony I. Prognostic value of
415 epicardial coronary artery constriction to the cold pressor test in type 2 diabetic
416 patients with angiographically normal coronary arteries and no other major coronary
417 risk factors. *Diabetes care.* 2004;27:208-215

- 418 8. Dubois-Rande JL, Dupouy P, Aptecar E, Bhatia A, Teiger E, Hittinger L, et al.
419 Comparison of the effects of exercise and cold pressor test on the vasomotor response
420 of normal and atherosclerotic coronary arteries and their relation to the flow-mediated
421 mechanism. *Am J Cardiol.* 1995;76:467-473
- 422 9. Schachinger V, Britten MB, Zeiher AM. Prognostic impact of coronary vasodilator
423 dysfunction on adverse long-term outcome of coronary heart disease. *Circulation.*
424 2000;101:1899-1906
- 425 10. Rubenfire M, Rajagopalan S, Mosca L. Carotid artery vasoreactivity in response to
426 sympathetic stress correlates with coronary disease risk and is independent of wall
427 thickness. *J Am Coll Cardiol.* 2000;36:2192-2197
- 428 11. Yeboah J, Crouse JR, Hsu FC, Burke GL, Herrington DM. Brachial flow-mediated
429 dilation predicts incident cardiovascular events in older adults: The cardiovascular
430 health study. *Circulation.* 2007;115:2390-2397
- 431 12. Takase B, Uehata A, Akima T, Nagai T, Nishioka T, Hamabe A, et al. Endothelium-
432 dependent flow-mediated vasodilation in coronary and brachial arteries in suspected
433 coronary artery disease. *Am J Cardiol.* 1998;82:1535-1539, A1537-1538
- 434 13. Brolin EB, Agewall S, Brismar TB, Caidahl K, Tornvall P, Cederlund K. Neither
435 endothelial function nor carotid artery intima-media thickness predicts coronary
436 computed tomography angiography plaque burden in clinically healthy subjects: A
437 cross-sectional study. *BMC cardiovascular disorders.* 2015;15:63
- 438 14. Anderson TJ, Phillips SA. Assessment and prognosis of peripheral artery measures of
439 vascular function. *Progress in cardiovascular diseases.* 2015;57:497-509
- 440 15. Takase B, Hamabe A, Satomura K, Akima T, Uehata A, Ohsuzu F, et al. Close
441 relationship between the vasodilator response to acetylcholine in the brachial and

- 442 coronary artery in suspected coronary artery disease. *International journal of*
443 *cardiology*. 2005;105:58-66
- 444 16. Anderson TJ, Uehata A, Gerhard MD, Meredith IT, Knab S, Delagrangre D, et al.
445 Close relation of endothelial function in the human coronary and peripheral
446 circulations. *J Am Coll Cardiol*. 1995;26:1235-1241
- 447 17. Thijssen DH, Black MA, Pyke KE, Padilla J, Atkinson G, Harris RA, et al.
448 Assessment of flow-mediated dilation in humans: A methodological and physiological
449 guideline. *Am J Physiol Heart Circ Physiol*. 2011;300:H2-12
- 450 18. Lorenz MW, Markus HS, Bots ML, Rosvall M, Sitzer M. Prediction of clinical
451 cardiovascular events with carotid intima-media thickness: A systematic review and
452 meta-analysis. *Circulation*. 2007;115:459-467
- 453 19. Potter K, Green DJ, Reed CJ, Woodman RJ, Watts GF, McQuillan BM, et al. Carotid
454 intima-medial thickness measured on multiple ultrasound frames: Evaluation of a
455 dicom-based software system. *Cardiovascular ultrasound*. 2007;5:29
- 456 20. Mancia G, Fagard R, Narkiewicz K, Redon J, Zanchetti A, Bohm M, et al. 2013
457 esh/esc guidelines for the management of arterial hypertension: The task force for the
458 management of arterial hypertension of the european society of hypertension (esh) and
459 of the european society of cardiology (esc). *Eur Heart J*. 2013;34:2159-2219
- 460 21. MayoClinic. High cholesterol, diagnosis and treatment. 2016
- 461 22. Federation WH. Cholesterol. 2016
- 462 23. Hartstichting. Hoog cholesterol. 2015
- 463 24. Hozumi T, Yoshida K, Ogata Y, Akasaka T, Asami Y, Takagi T, et al. Noninvasive
464 assessment of significant left anterior descending coronary artery stenosis by coronary
465 flow velocity reserve with transthoracic color doppler echocardiography. *Circulation*.
466 1998;97:1557-1562

- 467 25. Black MA, Cable NT, Thijssen DH, Green DJ. Importance of measuring the time
468 course of flow-mediated dilatation in humans. *Hypertension*. 2008;51:203-210
- 469 26. Thijssen DH, Dawson EA, Tinken TM, Cable NT, Green DJ. Retrograde flow and
470 shear rate acutely impair endothelial function in humans. *Hypertension*. 2009;53:986-
471 992
- 472 27. Lind L, Johansson K, Hall J. The effects of mental stress and the cold pressure test on
473 flow-mediated vasodilation. *Blood pressure*. 2002;11:22-27
- 474 28. Dyson KS, Shoemaker JK, Hughson RL. Effect of acute sympathetic nervous system
475 activation on flow-mediated dilation of brachial artery. *Am J Physiol Heart Circ*
476 *Physiol*. 2006;290:H1446-1453
- 477 29. Vita JA, Treasure CB, Yeung AC, Vekshtein VI, Fantasia GM, Fish RD, et al. Patients
478 with evidence of coronary endothelial dysfunction as assessed by acetylcholine
479 infusion demonstrate marked increase in sensitivity to constrictor effects of
480 catecholamines. *Circulation*. 1992;85:1390-1397
- 481 30. Carter HH, Dawson EA, Birk GK, Spence AL, Naylor LH, Cable NT, et al. Effect of
482 sr manipulation on conduit artery dilation in humans. *Hypertension*. 2013;61:143-150
- 483 31. Robertson D, Johnson GA, Robertson RM, Nies AS, Shand DG, Oates JA.
484 Comparative assessment of stimuli that release neuronal and adrenomedullary
485 catecholamines in man. *Circulation*. 1979;59:637-643
- 486 32. Mueller HS, Rao PS, Rao PB, Gory DJ, Mudd JG, Ayres SM. Enhanced transcardiac
487 l-norepinephrine response during cold pressor test in obstructive coronary artery
488 disease. *Am J Cardiol*. 1982;50:1223-1228
- 489 33. Berkenboom G, Unger P, Fang ZY, Fontaine J. Endothelium-derived relaxing factor
490 and protection against contraction to norepinephrine in isolated canine and human

- 491 coronary arteries. *Journal of Cardiovascular Pharmacology*.
492 1991;17:S127‐S132
- 493 34. Barbato E. Role of adrenergic receptors in human coronary vasomotion. *Heart*.
494 2009;95:603-608
- 495 35. Feigl EO. Sympathetic control of coronary circulation. *Circ Res*. 1967;20:262-271
496
497

498 **FIGURE LEGENDS**

499 **FIGURE 1.** Flow diagram to provide insight into the different subgroups to answer the 3
500 aims.

501 **FIGURE 2.** The time course presented during the cold pressor test in a young healthy
502 subpopulation (n=25). A; diameter over time (cm), B; flow velocity over time
503 (m/sec), and C; blood flow (ml/min) and D; shear over time (s^{-1}). Error bars
504 represent SEM.

505 **FIGURE 3.** Carotid artery reactivity (CAR%, presented as maximal change from baseline) in
506 a cohort of healthy, asymptomatic subjects that were divided based on age (A: 50
507 young (black bar) *versus* 44 older humans (white bar)) and presence of
508 cardiovascular risk factors (B: 0 risk factors (black bar, n=27), 1 risk factor (grey
509 bar, n=11), and ≥ 2 risk factors (white bar, n=12)). Error bars represent SE.
510 Statistical analysis (unpaired Students' *t*-test (A) and ANOVA (B)) revealed
511 significant differences in CAR% between groups.

512 **FIGURE 4.** Correlation between the carotid artery diameter response (% maximum change
513 from baseline; i.e. CAR%) and coronary left descending artery velocity response
514 (change in the velocity time integral (VTI in cm)) during a cold pressor test in a
515 population of healthy, asymptomatic participants (n=33). A significant, positive
516 correlation was observed between both measurements.

517

518 **Table 1.** Subject characteristics for the comparison between young (19-30 years, n=50) and
 519 older (>50 years, n=44) participants. P-value refers to an unpaired Student's *t*-test or *Mann-
 520 Whitney U test for the comparison between young and older participants.

	Young	Older	P-value
Sex (% male)	56%	64%	0.452
Age (years)	24±3	61±8	<0.001
Weight (kg)	69±12	77±13	0.003
Height (m)	174±8	172±8	0.100*
Body Mass Index (kg/m ²)	23±3	26±4	<0.001*
Systolic blood pressure (mmHg)	118±9	134±19	<0.001*
Diastolic blood pressure (mmHg)	68±8	78±7	<0.001

521
 522

523 **Table 2.** Carotid artery and hemodynamic baseline characteristics (averaged across a 1-minute period) and change during the cold pressor test
 524 (averaged across the 3-minute cold pressor test) in young (19-30 years, n=50) and older (>50 years, n=44) participants. P-values refer to 2-way
 525 repeated measures ANOVA's, for within participant comparison (CPT), between group comparison (group), and the interaction Group*CPT.
 526 *Refers to Mann-Whitney U test.

	Young		Older		2-way ANOVA		
	<i>Rest</i>	<i>CPT</i>	<i>Rest</i>	<i>CPT</i>	<i>group</i>	<i>CPT</i>	<i>Group*CPT</i>
MAP (mmHg)	85±13	95±14	102±15	114±18	<0.001	<0.001	0.063
HR (bpm)	64±12	65±11	59±9	64±10	0.073	<0.001	0.006
Diameter (mm)	6.3±0.5	6.5±0.5	7.1±0.7	7.2±0.8	<0.001	<0.001	<0.001
Shear rate (1/s)	184±43	186±43	143±42	141±47	<0.001	0.905	0.318
Flow (ml/min)	9.2±2.3	10.1±2.6	10.2±2.8	10.3±3.5	0.286	0.001	0.019
Carotid artery reactivity (CAR)							
Diameter change (CAR%)	4.1±3.7		1.8±2.6		<0.001*		
Diameter area-under-the-curve (CAR _{AUC})	2.7±2.3		1.0±1.3		<0.001		
Diameter change at 90 sec (CAR ₉₀)	3.5±2.8		1.4±1.6		<0.001		

527

528 **Table 3.** Carotid artery reactivity (CAR%, presented as maximal change from baseline) in a
 529 cohort of healthy, asymptomatic subjects categorised by the presence of cardiovascular risk:
 530 1. 0 risk factors (n=27), 2. 1 risk factor (n=11), and 3. ≥ 2 risk factors (n=12). *Post-hoc
 531 significantly different from group 1. †Refers to Kruskal-Wallis test.

	0 risk factors (N=27)	1 risk factor (N=11)	≥ 2 risk factors (N=12)	P-value
Sex (% male)	52%	55%	42%	0.794
Hypertension (%)	-	9%	17%	0.115
Diabetes (%)	-	-	8%	0.312
Smoking (%)	Current	9%	17%	0.139
	No	89%	58%	
	History	11%	25%	
Cholesterol (mmol/L)	4.25 \pm 0.7	6.17 \pm 1.4	5.5 \pm 1.3	>0.001†
HDL (mmol/L)	1.39 \pm 0.3	1.30 \pm 0.4	1.24 \pm 0.2	0.408
LDL (mmol/L)	2.59 \pm 0.7	4.0 \pm 1.5	3.4 \pm 1.4	0.025
Triglycerides (mmol/L)	1.3 \pm 1.0	2.1 \pm 1.3	1.9 \pm 1.1	0.196
Baseline diameter (cm)	0.64 \pm 0.06	0.70 \pm 0.04*	0.74 \pm 0.08*	>0.001
Intima-media thickness (mm)	0.60 \pm 0.2	0.75 \pm 0.1*	0.82 \pm 0.1*	0.001
IMT ratio	0.09 \pm 0.02	0.11 \pm 0.02	0.11 \pm 0.02*	0.036
Carotid artery reactivity (CAR)				
CAR%	2.9 \pm 2.9	2.3 \pm 2.2	0.5 \pm 2.9*	0.060
CAR _{AUC}	1.9 \pm 1.6	1.1 \pm 1.2	0.5 \pm 1.5*	0.034
CAR ₉₀	2.5 \pm 2.2	1.4 \pm 1.4	0.9 \pm 1.7*	0.037

532

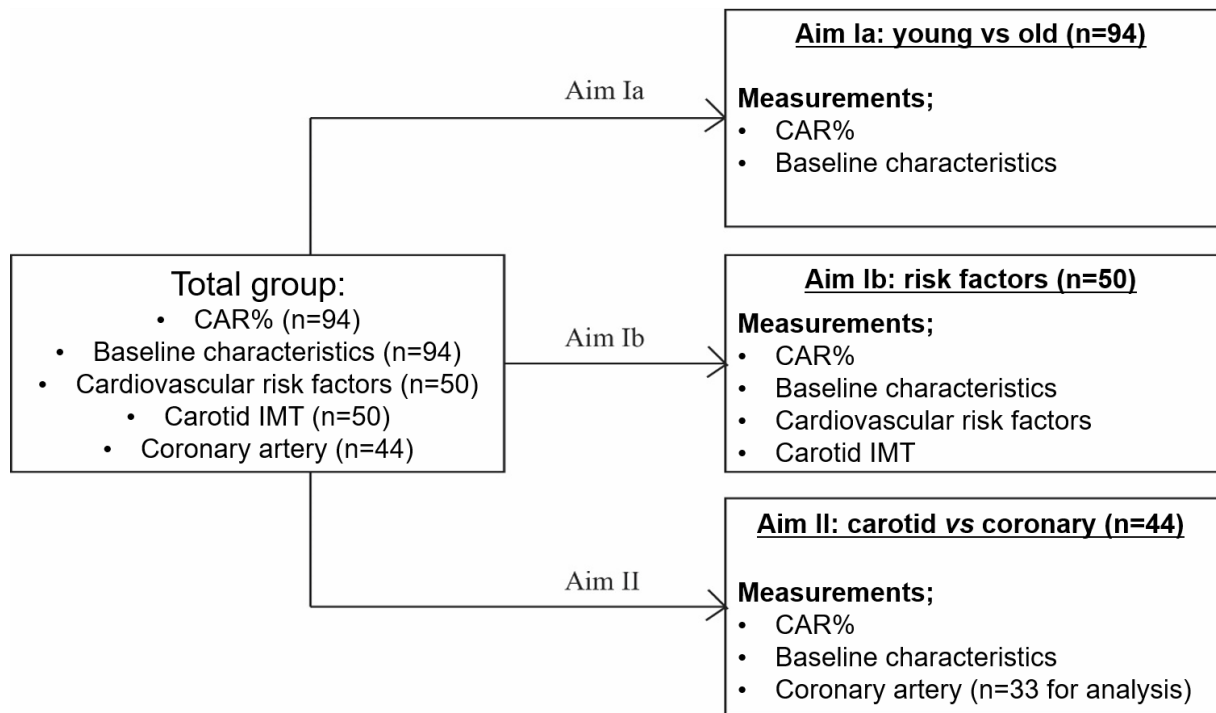
533 HDL; High density lipoprotein, LDL; Low density lipoprotein.

534 **Table 4.** Coronary artery responses in all participants included for Aim 2 (n=33). P-value
 535 refers to a paired Student's *t*-test. *Refers to Wilcoxon Signed rank test.

	Rest	CPT	P-value
Mean arterial pressure (mmHg)	87±14	99±16	<0.001
Heart rate (bpm)	60±10	62±10	0.048
CA diameter (cm)	0.66±0.08	0.68±0.08	<0.001
CA shear rate (1/s)	158±46	174±43	<0.001
CA flow (ml/min)	9.1±2.7	10.9±3.4	<0.001
CA velocity (cm/s)	25.8±6.7	29.3±7.1	<0.001
LAD systolic velocity (cm/s)	15±3.5	18±3.4	<0.001*
LAD diastolic velocity (cm/s)	31±7	39±9	<0.001
LAD velocity time integral (cm/s)	17±4	20±4	<0.001
Diameter change (CAR%)	4.5±3.8		
Diameter area-under-the-curve (CARAUC)	2.8±2.5		
Diameter change at 90 sec (CAR90)	3.6±2.9		
Delta VTI (cm)	2.7±2.3		

536

537



538

539

540

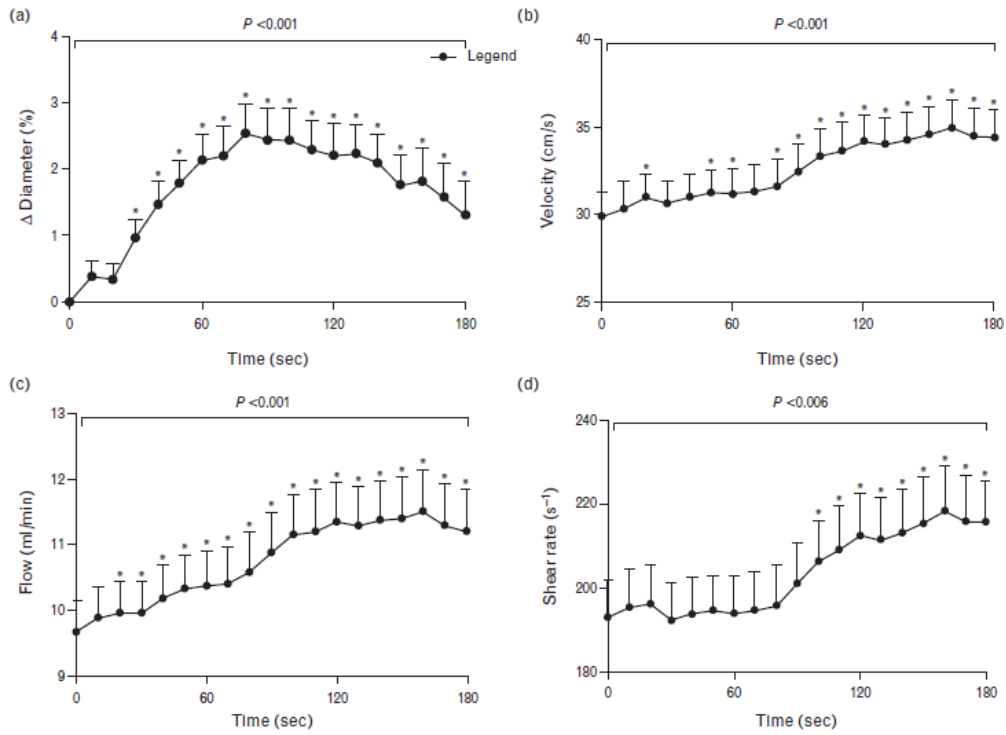


FIGURE 2 The time course presented during the cold pressor test in a young healthy subpopulation ($n = 25$). (a) Diameter over time (cm); (b) flow velocity over time (m/s); (c) blood flow (ml/min); (d) shear over time (s^{-1}). Error bars represent SEM.

541

542

543

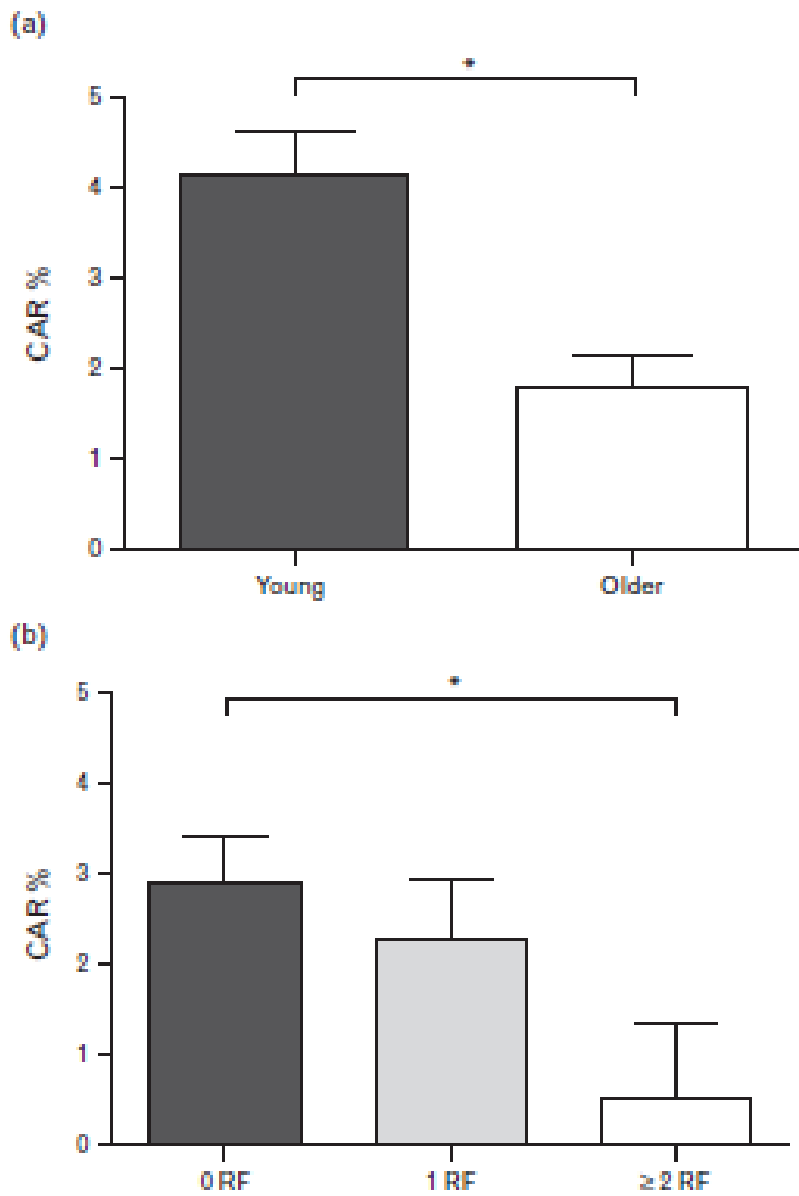
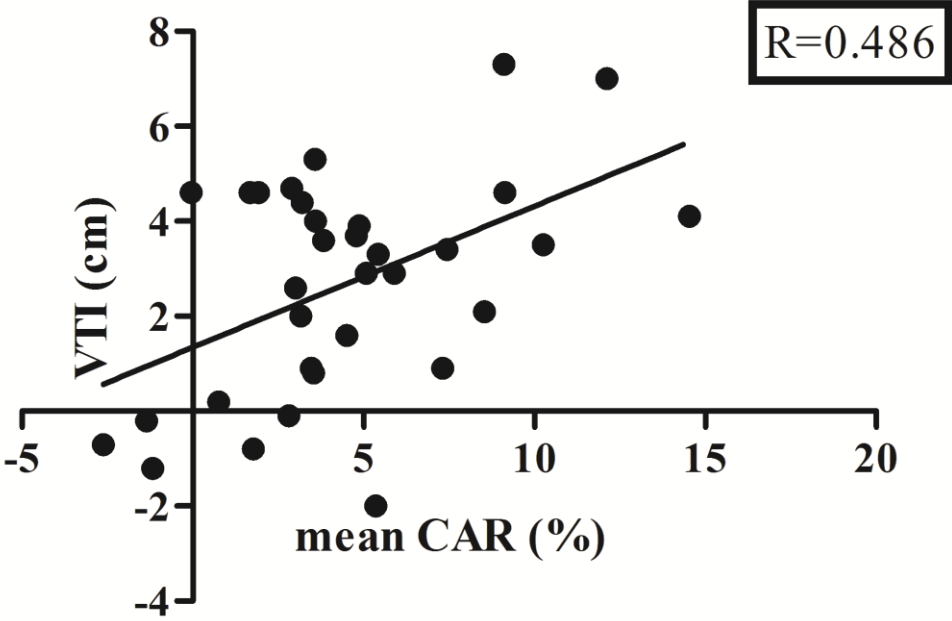


FIGURE 3 Carotid artery reactivity (CAR%, presented as maximal change from baseline) in a cohort of healthy, asymptomatic individuals who were divided on the basis of age [a: 50 young (black bar) versus 44 older humans (white bar)] and presence of cardiovascular risk factors (b: 0 risk factors (black bar, $n = 27$), one risk factor (grey bar, $n = 11$) and at least two risk factors (white bar, $n = 12$). Error bars represent SE. Statistical analysis [unpaired Student's *t*-test (a) and ANOVA (b)] revealed significant differences in CAR% between groups.



545