

Chibanda, D.; Weiss, H.A.; Verhey, R.; Simms, V.; Munjoma, R.; Rusakaniko, S.; Chingono, A.; Munetsi, E.; Bere, T.; Manda, E.; Abas, M.; Araya, R. (2016) [Accepted Manuscript] Effect of a Primary Care-Based Psychological Intervention on Symptoms of Common Mental Disorders in Zimbabwe: A Randomized Clinical Trial. JAMA. ISSN 0098-7484 DOI: https://doi.org/10.1001/jama.2016.19102 (In Press)

Downloaded from: http://researchonline.lshtm.ac.uk/3429607/

DOI: 10.1001/jama.2016.19102

Usage Guidelines

 $Please \ refer \ to \ usage \ guidelines \ at \ http://researchonline.lshtm.ac.uk/policies.html \ or \ alternatively \ contact \ researchonline@lshtm.ac.uk.$

Available under license: http://creativecommons.org/licenses/by-nc-nd/2.5/

1 Effect of a primary care-based psychological intervention on symptoms

2 of common mental disorders in Zimbabwe: a randomized clinical trial

- 3
- 4 Dixon Chibanda MD
- 5 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, 92 Prince
- 6 Edward Street, Harare, Zimbabwe
- 7 Email: <u>dichi@zol.co.zw</u>
- 8 Tel: +263 4 70 7289
- 9 Fax: +263 4 70 7291
- 10
- 11 Helen Anne Weiss D.Phil
- 12 MRC Tropical Epidemiology Group, London School of Hygiene and Tropical Medicine, London, UK
- 13 Email: <u>helen.weiss@lshtm.ac.uk</u>
- 14
- 15 Ruth Verhey MSc
- 16 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, Harare,
- 17 Zimbabwe
- 18 Email: <u>ruth.verhey@zol.co.zw</u>
- 19
- 20 Victoria Simms PhD
- 21 MRC Tropical Epidemiology Group, London School of Hygiene and Tropical Medicine, London, UK
- 22 Email: victoria.simms@lshtm.ac.uk
- 23
- 24 Ronald Munjoma SLC
- 25 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, Harare,
- 26 Zimbabwe

- 27 Email: <u>simbiso@gmail.com</u>
- 28
- 29 Simbarashe Rusakaniko PhD
- 30 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, Harare,
- 31 Zimbabwe
- 32 Email: srusakaniko@gmail.com
- 33
- 34 Alfred Chingono MSc
- 35 University of Zimbabwe College of Health Sciences, Harare, Zimbabwe
- 36 Email: <u>alfred@uz-ucsf.co.zw</u>
- 37
- 38 Epiphania Munetsi MPhil
- 39 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, Harare,
- 40 Zimbabwe
- 41 Email: emunetsi2005@yahoo.co.uk
- 42
- 43 Tarisai Bere BA
- 44 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, Harare,
- 45 Zimbabwe
- 46 Email: tdzuda@gmail.com
- 47
- 48 Ethel Manda BSc
- 49 Zimbabwe AIDS Prevention Project-University of Zimbabwe Department of Community Medicine, Harare,
- 50 Zimbabwe
- 51 Email: <u>ecmanda@gmail.com</u>
- 52

- 53 *Melanie Abas MD
- 54 King's College London, Institute of Psychiatry, Psychology and Neuroscience, London, UK
- 55 Email: <u>melanie.abas@kcl.ac.uk</u>
- 56
- 57 *Ricardo Araya PhD
- 58 London School of Hygiene and Tropical Medicine, London, UK
- 59 Email: <u>riaraya.psych@gmail.com</u>
- 60 *These authors contributed equally
- 61
- 62 Word count: 3057 words

63 Key Points

64 **Question**: Does a lay health worker-delivered psychological intervention improve symptoms of

65 depression and anxiety in Zimbabwe?

- 66 **Findings**: In a cluster-randomized clinical trial of 573 randomized patients with common mental
- 67 disorders and symptoms of depression, the group who received the intervention had significantly
- 68 lower symptom scores after 6 months compared to a control group who had enhanced usual care.
- 69 **Meaning**: The use of lay health workers in resource-poor countries like Zimbabwe may be effective
- 70 primary-care-based management of common mental disorders.
- 71

72 Abstract

73 **Importance:** Depression and anxiety are common mental disorders globally, but rarely recognized or

74 treated in low-income settings. Task-shifting of mental health care to lay health workers (LHWs) might

75 decrease the treatment gap.

76 **Objective:** To evaluate the effectiveness of a culturally-adapted psychological intervention for common

77 mental disorders delivered by LHWs in primary care .

78 Design, setting and participants: Cluster-randomized clinical trial with 6 months follow-up conducted from

1 September 2014-25 May 2015 in Harare, Zimbabwe. Twenty four clinics were randomised 1:1 to the

80 intervention or enhanced usual care. Participants were clinic attenders aged >18 years who screened

positive for common mental disorders on the locally-validated Shona Symptom Questionnaire (SSQ-14).

82 Interventions: The Friendship Bench intervention comprised 6 sessions of individual problem-solving

83 therapy delivered by trained, supervised LHWs plus an optional 6-session peer support program. The

84 control group received standard care plus information, education and support on common mental

85 disorders.

Main outcome measures: Primary outcome was common mental disorder measured at 6 months as a
 continuous variable via the SSQ-14 score, with a range of 0 (best) to 14 (worst) and a cut-point 9. The

88	secondary outcome was depression symptoms measured as a binary variable with the Patient Health
89	Questionnaire-9 (PHQ-9), with a range of 0 (best) to 27 (worst) and a cut-point 11). Outcomes were
90	analyzed by intention-to-treat.

- 91 Results: Among 573 randomized patients (286 in the intervention group and 287 control group), 495
- 92 (86.4%) were women, median age was 33 years (interquartile range 27-41 years), 238 41.7% were HIV
- positive, and 521 (90.9%)) completed follow up at 6 months. Intervention group participants had fewer
- 94 symptoms than control group participants on the SSQ-14 (3.81 (95% CI 3.28, 4.34) vs 8.90 (95% CI 8.33,
- 95 9.47), adjusted mean difference (AMD)=-4.86; 95% CI -5.63, -4.10, p<0.001; adjusted risk ratio(ARR)=0.21,
- 96 95% CI 0.15, 0.29, p<0.001). Intervention participants also had lower risk of symptoms of depression
- 97 (13.7% vs 49.9%, ARR=0.28, 95% CI 0.22, 0.34, p<0.001).
- 98 Conclusions and Relevance: Among individuals screening positive for common mental disorders in
- 99 Zimbabwe, LHW-administered, primary care-based problem solving therapy with education and support
- 100 compared with standard care plus education and support resulted in improved symptoms at 6 months.
- 101 Scaled-up integration of this intervention should be evaluated.
- 102 Trial registration: PACTR201410000876178.
- 103 http://www.pactr.org/ATMWeb/appmanager/atm/atmregistry?_nfpb=true&_windowLabel=BasicSearchU
- 104 <u>pdateController_1&BasicSearchUpdateController_1_actionOverride=%2Fpageflows%2Ftrial%2FbasicSearc</u>
- 105 <u>hUpdate%2FviewTrail&BasicSearchUpdateController_1id=876</u>
- 106
- 107

109 Introduction

Depression and anxiety are the most common mental disorders globally and major causes of disease burden in Sub-Saharan Africa^{1,2}. Few people with common mental disorders in low-income settings have access to effective treatments³. When left untreated, common mental disorders can impair role functioning, self-care and adherence to treatments, and are associated with reduced productivity and increased healthcare costs⁴.

115 Zimbabwe has a large treatment gap for common mental disorders, with only 10 psychiatrists serving a 116 population of 13 million. Prevalence of common mental disorders above 25% has been reported among adult primary care attendees⁵⁻⁸ but there are no psychological services in primary health care. A potentially 117 118 feasible approach to improve this situation would require task-shifting; allowing properly trained and 119 supervised lay health workers (LHWs) in primary care to contribute to the treatment of common mental 120 disorders. Mental health interventions delivered by LHWs must be simple and brief so that they can 121 effectively provide care for a range of common mental health problems⁹. Problem solving therapy is a brief 122 psychological therapy which has been shown to be effective for many common mental health conditions seen in primary care in high-income settings^{10,11}. A problem-solving therapy intervention locally termed 123 124 'The Friendship Bench' has been shown in piloting to be acceptable for LHWs to deliver in Zimbabwe with promising results^{7,12,13}. In the Friendship Bench model, trained and supervised LHWs provided 6 sessions of 125 126 individual problem-solving therapy to all patients with common mental disorders and referred those not 127 improving or with suicidal ideation to their immediate supervisors for treatment adjustments¹⁴. 128 Participants were also invited to an optional 6-session peer-led group support program. The aim of this trial was to evaluate the effectiveness of this culturally adapted intervention for common mental disorders 129 130 delivered by existing LHWs in primary care in Harare, Zimbabwe. 131

132 Methods

133 Trial Design: The study protocol has been published previously¹⁴ and is available in the Supplement. We
134 conducted a cluster-randomized clinical trial in 24 primary care clinics (clusters) in Harare, Zimbabwe, with
135 a 1:1 allocation ratio. A cluster design was used because the intervention involved training staff at clinic
136 level.

The protocol was approved by the ethics committees of the Medical Research Council of Zimbabwe and
London School of Hygiene and Tropical Medicine. Eligible participants provided written informed consent
to participate in the trial.

Setting: In each of the 12 districts of Harare there were 5-8 clinics of varying size. The largest, known as polyclinics, provided broad acute and chronic services and maternity care and were staffed by up to 14 nurses, 8 nurse aides and 12 LHWs. A physician visited every 2 weeks. Small satellite clinics provided acute services and home-based nursing care and were staffed by 1-2 nurses and nurse aides and 3-4 LHWs. From 42 primary care clinics in Harare, we selected 24 clinics of the largest clinics that were in accessible locations with mobile network coverage, had reliable data on stratification variables, and were willing to

be involved in the study.

147 Randomization and allocation concealment: Clinics were randomized in a 1:1 ratio within 5 strata based on 148 HIV status, housing density, clinic size, and sex of patients. Restricted randomization was used to minimize 149 imbalance in key factors (HIV prevalence, clinic size, staff size, and sex ratio) as described previously¹⁴. The 150 research assistants responsible for outcome assessment were masked to the allocation.

151 *Participants:* All adults attending trial clinics during a 2-week period were informed about the study,

152 including explanation about common mental disorders and how these can affect other conditions, such as

153 hypertension, HIV, and diabetes. Following informed consent for screening, patients completed the Shona

154 Symptom Questionnaire (SSQ-14), a locally validated screening tool for common mental disorders¹⁵, re-

155 validated for this study population⁸. On each day of screening, computer-generated preprinted random

156 numbers were used to select clinic attenders based on their queue position number. All persons randomly

157 selected who were aged \geq 18 years and resident in the area were eligible for further assessment if they

screened positive (≥9) on the SSQ-14. Screening ended when 24 participants had been enrolled. All persons

159 who were unable to comprehend the nature of the study in either English or Shona (local language), had 160 suicidal intent, end-stage AIDS, were currently in psychiatric care, were pregnant or up to 3 months post-161 partum, presented with current psychosis, intoxication, and/or dementia were excluded. Those excluded 162 for psychiatric reasons were referred to a tertiary health care facility in Harare. Those with suicidal ideation 163 on the SSQ-14 but not subsequently assessed as having suicidal intent were included in the study. 164 Intervention: The Friendship Bench intervention has been developed over a 20-year period from community research¹⁶⁻¹⁸, as described previously¹⁴. This intervention is problem-solving therapy, in which 165 166 the patient identifies a problem (eg, unemployment) rather than a diagnosis or symptom. is the intervention has been shown to be feasible and acceptable in this resource-poor setting^{7,13}. The 167 psychological approach of problem-solving therapyworks through enabling a more positive orientation 168 169 towards resolving problems and empowering people to have a sense of greater coping and control over 170 their lives¹⁹. In practical terms participants were taught a structured approach to identifying problems and 171 finding workable solutions²⁰. Lay health workers followed a detailed script contained in a manual to 172 conduct 6 sessions on a bench located in a discreet area outside the clinic⁷. The first session includes three 173 components named Opening the Mind (kuvhura pfungwa), Uplifting (kusimudzira) and Strengthening (kusimbisa)¹² with subsequent sessions building on the first²¹. Opening the mind refers to the therapeutic 174 175 process by which, through asking questions, clients were encouraged to open their minds to identify their 176 problems, choose one to work on, identify a feasible solution, and agree an action plan through an 177 iterative process guided by the LHWs. The care model was driven by a trained and supervised LHW 178 attached to the clinic and employed by the local health authority. After 6 sessions of individual therapy, the 179 LHW referred those not improving or with suicidal ideation to a supervisor trained in mental health to re-180 assess and manage the case if needed. Participants in the intervention group received up to 6 text 181 messages and/or phone calls during the intervention, which reinforced the problem-solving therapy 182 approach and encouraged clients, particularly those attending less than 3 sessions during the first 4 weeks, 183 to follow their action plan. As part of the improved management program, clients were re-assessed by the 184 LHW after the third session using the SSQ-14, and those whose score had worsened by 1 or more or who

had suicidal ideation were assessed by a psychiatrist. These results were not used for research purposes. If
participants missed a session, the LHWs followed up with a phone call and/or a home visit if there was no
response.

All LHWs in the study were female with a mean age of 53, mean of 10 years of education, able to use a mobile phone and residing near their respective clinic. They were supervised and supported by trained senior health promotion officers who were part of the existing supervisory systems for LHWs. The LHWs were trained over 9 days using a manual written by the Friendship Bench team²¹. Topics included Common mental disorders, counselling skills, problem-solving therapy , and self-care. All sessions were audiorecorded for fidelity, and assessed using a checklist to ensure LHWs had covered all the critical

194 components.

After 4 individual sessions, all intervention group participants were invited to join a peer-led group called Circle Kubatana Tose, or "holding hands together" which was part of the intervention as described in the protocol¹⁴. This component provided group support from women who had attended the Friendship Bench prior to the trial and who had received basic group management training by study clinicians. These weekly meetings consisted of sharing personal experiences while crocheting a bag from recycled plastic materials, the latter being an income-generating skill for participants through selling the bags. Participants in the

201 intervention group were also offered enhanced usual care.

202 Enhanced Usual Care (EUC): The control group received the standard usual care consisting of a nurse-led

203 evaluation, brief support counselling and option for medication, as well as information, education and

204 support on common mental disorders including assessment for anti-depressant medication prescribed by

the clinic nurse and/or referral to a psychiatric facility if needed. Participants also received 2-3 supportive

SMS messages or calls with the last message being a reminder to attend the 6-months assessment.

207 Participants in both groups were not aware which group was the intervention. Further details of both the

intervention and EUC have been previously reported¹⁴.

209 *Outcomes:* The primary outcome was SSQ-14 symptom score¹⁵ measured as a prespecified continuous

variable at 6 months. The SSQ-14 was developed and validated in Zimbabwe and has good psychometric

211 properties in a primary care population. It is scored from 0-14 with higher score meaning worse symptoms, and a cut-point of >9 has 84% sensitivity and 73% specificity for any CMD.⁸ The secondary outcome was 212 213 prevalence of symptoms of major depressive disorder based on the Patient Health Questionnaire 9 (PHQ-214 9), defined as a total score \geq 11 on a range of 0-27, fulfilling criteria through a diagnostic algorithm²² and 215 with higher scores meaning worse symptoms. The protocol originally had the PHQ-9 cut-point at 9 216 (Supplement). However, this was altered after validation of the PHQ-9 in the study population found that 217 11 was a more appropriate cut-point.¹⁴ Analysis of PHQ-9 scores as binary variables was prespecified in the 218 trial protocol; however, analysis of PHQ-9 scores as continuous variables was not prespecified. Tertiary outcomes were generalized anxiety disorder score (GAD-7)^{8,23} on a range of 0=best-12; WHO-DAS 2.0 12-219 220 item score for disability (range 0=best-48); and EQ-5D total score for health-related quality of life(range 221 0=best-25). 222 Sample size: A sample of 24 clinics, each with 24 participants provided 80% power to detect an effect size 223 (standardised mean difference) in SSQ-14 score of 0.75 at follow-up, with 80% power and Type I error of 224 5%, assuming a between-cluster coefficient of variation (k)=0.2. The effect size was based on a recent 225 systematic review of LHW interventions with severity of CMD as an outcome^{14,24}. 226 Statistical analysis: Data were collected using tablet computers, uploaded to a secure server using cloud 227 computing technology and exported to Stata 14.0 for cleaning and analysis. Baseline characteristics were 228 compared by trial group and follow-up status. Analyses were intention-to-treat and followed a pre-229 specified analysis plan according to CONSORT guidelines²⁵, with Type 1 error of 0.05 and 2-sided testing. 230 Due to a high follow-up response rate (91%) we used complete case analysis and missing data were not 231 imputed. Analyses were based on cluster-level summary measures to take clustering by site into account, 232 because individual-level regression methods are not robust when there are few clusters²⁶. For continuous 233 outcomes with normally distributed residuals, the intervention effect was estimated as the difference in 234 mean scores between groups using linear regression of the mean score (adjusted for HIV status, sex, baseline SSQ-14 score, age and education (education appeared imbalanced between groups at baseline). 235 236 An approximate variance was obtained from the residual mean square from a 2-way ANOVA of mean score

237 on strata and group. The 95% CI was estimated from this variance with a stratified t-test with 18 degrees of 238 freedom. For binary outcomes, the measure of effect was the prevalence ratio, analysed by analogous 239 methods using logistic regression. Pre-defined sensitivity analyses included adjustment for the following 240 factors: age, sex, HIV prevalence and baseline SSQ-14 score, and effect-modification by HIV status, sex, 241 and baseline symptom severity. Education was added to the model after examining baseline characteristics 242 by arm. Effect-modification was assessed by fitting an interaction term between intervention group and 243 the potential effect modifier on the cluster-level regression analysis, with p-value estimated by the t-test 244 using robust standard errors.

245

246 Results

247 Study Participants: Across 24 clinics 2527 people were assessed for eligibility (Figure 1 and supplement 248 table 1) and 1854 (73.4%) were excluded. The main reason for exclusion was an SSQ-14 score below 9 249 (n=1550) followed by non-residence in the locality (n=128). Of 673 people eligible for the study, 100 (15%) 250 did not consent, leaving 573 participants enrolled (287 in the intervention group and 286 in the EUC 251 group). Recruitment took place from September to December 2014 (median 4 days of screening per clinic). 252 The mean number of participants per cluster was 23.9 (range 22-26). Most participants were female 253 (86.4%), married (67.5%), with a median age of 33 years (interquartile range 27-41) (Table 1). The mean 254 SSQ-14 score at baseline was almost the same across groups [10.4 (SD 1.33) and 10.5 (SD 1.33)] (Table 1). 255 HIV status was known for 498 (87.3%) participants, and prevalence was high (41.7%), as was the 256 proportion with suicidal ideation (13.1%). Participants in the intervention group were more likely to be 257 female, younger and better educated, and less likely to be HIV positive. At enrolment, most participants 258 (n=431, 75.1%) listed >3 problems that they were experiencing, with 74.1% reporting physical illness, 259 70.1% domestic violence/upheaval, and 66.2% loss of income. Prevalence of hypertension was 9.6% and 1.6% had diabetes. 260

Overall, 521 participants (91%) completed a 6-month follow-up interview (Figure 1), with similar follow-up
in men and women (92% and 91%). The median time between enrolment and follow-up was 171 days (IQR
166-176) in the intervention group and 173 days (IQR 168-176) in the EUC group.

264 *Outcome evaluation:* The primary outcome of SSQ-14 scores for common mental disorders was lower in

the intervention than in the control group (mean 3.81 (95% CI 3.28-4.34) vs 8.90 (95% CI 8.33-9.47);

adjusted mean difference (AMD) in SSQ-14 score=-4.86; 95% CI -5.63, -4.10; p<0.001; Table 2). The

267 prevalence ratio for symptoms of depression via prespecified binary variable analysis was lower in the

intervention group than in the control group (13.7% vs 49.9%, adjusted rate ratio (ARR)=0.28, 95% CI 0.22,

269 0.34, p<0.001). Similarly, there was improvement in depression symptoms as measured by non-

prespecified continuous variables for the PHQ-9 scores (AMD=-6.36, 95% CI -6.45, -5.27; p<0.001). There

was also improvement in the tertiary outcomes: symptoms of generalized anxiety measured by GAD-7

272 (AMD=-5.73, 95%CI -6.61, -4.85; p<0.001); disability measured by WHO-DAS (AMD=-6.08, 95%CI -7.46, -

4.71; p<0.001); and health-related quality of life measured by EQ-5D scores (AMD=0.12, 95%CI 0.08, 0.17;

p<0.001) (Table 2). The prevalence of depression symptoms, anxiety symptoms and disability were each

lower in the intervention group compared to the control at follow-up (adjusted risk ratios: PHQ-9

diagnostic algorithm=0.23, 95% CI 0.15, 0.33; GAD-7=0.26, 95% CI 0.19, 0.35; SSQ-14=0.21, 95% CI 0.15,

277 0.29; WHO-DAS=0.27, 95% CI 0.16, 0.44; Table 2). There was some evidence of a stronger intervention

effect among participants with a higher baseline SSQ-14 score (SSQ-14 \ge 11 vs <11) for tertiary outcomes

279 (GAD-7, p-interaction=0.02; WHO-DAS, p-interaction=0.02) but not for SSQ-14 (p-interaction=0.19), PHQ-9

280 (p-interaction=0.10) or EQ-5D (p-interaction=0.20) (Figure 2). Following sensitivity analysis, there was no

evidence of effect-modification by HIV status or sex for any of the outcomes. The coefficient of variation

282 (k) was 0.21 for the SSQ-14 and 0.24 for the PHQ-9. Missing outcome was associated with baseline SSQ,

283 PHQ-9 and WHO-DAS scores. Baseline SSQ score was already adjusted for, and adjusting for baseline PHQ-

284 9 and WHO-DAS had no effect on any results. The complete-case analysis should therefore be unbiased.

There was no evidence of harm associated with the intervention. At follow-up, 32 participants (12.3%) in

the control group and 6 (2.3%) in the intervention group were identified as having suicidal ideation.

287 Adherence to the intervention: The number of problem-solving therapy sessions attended was ascertained 288 for 267 (93.4%) participants in the intervention group. Each session lasted approximately 30-45 minutes 289 with the first session lasting about 1 hour. The median number of sessions received was 5 (IQR 4-6) and 97 290 participants (39.9%) received all 6 sessions. Sessions were a median 3 days apart (IQR 2-4). Data on 291 participation in the peer support group was available for 274 participants, and of these, 187 (68.3%) 292 attended at least 1 meeting. At follow-up 8.1% of control participants and 5.4% of intervention participants 293 reported receiving counselling in the previous 6 months, and 11.1% of control participants and 7.7% of 294 intervention participants reported visiting a spiritual healer. Fifteen intervention group participants and 34 295 in the control group were referred to tertiary care and prescribed fluoxetine.

296

297 Discussion

Among individuals screening positive for common mental disorders in Zimbabwe, LHW administration of a primary care-based problem solving therapy with education and support compared with standard care plus education and support resulted in improved symptomatic outcomes. There was little evidence that this effect was moderated by severity of symptoms as measured with the SSQ-14 or PHQ-9, but some evidence of an interaction for tertiary outcomes (statistically significant (p=0.02) for WHO-DAS and GAD-7 but not for EQ-5D) in which those with more severe symptoms at baseline had better outcomes, as seen in previous trials²⁷.

305 Our findings are consistent with evidence on problem-solving therapy from high income countries¹¹.

306 Problem-solving therapy is an attractive option in a low resource context because unlike cognitive

307 behaviour therapy it does not require extensive training or complex skills. The trial showed benefits with

308 peer support as a voluntary option but was not able to isolate the mechanism of action or the relative

309 contribution of each component. Of note, peer support meetings continued after study closure and were

310 subsequently integrated into clinic activities.

A strength of our study was the use of tools with local cultural validity together with well-known measures

that had been rigorously tested in our setting⁸. The intervention, developed in consultation with

313 stakeholders, was designed to be delivered with available resources in the primary health care system¹². 314 Having a contextually relevant cadre of health workers to deliver the psychological therapy who were 315 perceived as mature and trustworthy by the community is likely to have been important in forming a strong therapeutic alliance^{13,28}. The study was well powered, outcome measures were locally validated, the 316 317 intervention was carefully monitored, and attrition rates were very low. Friendship Bench delivered by 318 LHWs was effective at reducing severity of common mental disorders as measured by a range of validated 319 tools. Several successful psychological interventions have been delivered by LHWs in Africa but none has 320 been scaled up²⁹⁻³². Designing an intervention that is delivered within the health system and using existing 321 workers is key to ensuring future scalability.

322

323 Limitations: This trial had several limitations. Endpoints were at 6 months and sustainability of effect 324 beyond this time is unknown. There were few men in the study, as they are less likely to attend primary 325 care clinics. However, in this trial men were as likely as women to join the peer support groups and to remain in follow-up. The program scale-up includes male-only peer support groups. Research assistants 326 327 conducting follow-up interviews in the clinics could have ascertained allocation by the presence of the 328 Bench, but we attempted to minimize bias by keeping research assistants independent of intervention 329 delivery and implementation. Some symptoms such as insomnia and inability to function could be due to 330 distress as opposed to depression, however, the use of validated outcome tools for a range of common 331 mental disorders should have minimised this risk. Few participants in either group reported receiving any 332 form of counselling in addition to the trial, but participants may have sought help elsewhere. We were 333 unable to collect reliable information on the prescription of medications, but we do not expect this to be 334 high based on our previous research⁷ and the small number of people referred to tertiary care across both 335 groups. Similarly, we were unable to ascertain whether those stepped up to see a nurse or specialist 336 received any other more intensive treatment apart from fluoxetine. At the initial assessment the 337 proportion of individuals regarded as high-risk were comparable across groups. More people were referred 338 to tertiary care in the control than the intervention group so any additional treatment would have reduced

- the differences observed between groups. The intervention group had a lower proportion of people
- 340 assessed as at higher risk of suicide at follow-up. However, as with many cluster-randomized trials with
- relatively few clusters²⁶, there was some imbalance between groups which was adjusted for in the analysis.
- 342 Finally, this trial included a combination of supportive therapies (problem solving therapy and the peer-led
- 343 group) and did not permit isolated assessment of the effect of each specific therapy.
- 344 Conclusions
- 345 Among individuals screening positive for common mental disorders in Zimbabwe, LHW-administered,
- 346 primary-care-based problem solving therapy with education and support compared with standard care
- 347 plus education and support resulted in improved symptoms at 6 months. Scaled-up primary care
- 348 integration of this intervention should be evaluated.
- 349

350 Abbreviations

- CBT, Cognitive Behavioural Therapy; EUC, Enhanced Usual Care; LHW, Lay Health Worker; PHQ, Patient
 Health Questionnaire; SSQ-14, Shona Symptoms Questionnaire 14.
- 353

354 Competing interests

355 The authors declare that they have no competing interests.

356 Acknowledgements

357 This cluster-randomized clinical trial is funded by Grand Challenges Canada (GCC) (Grant number: KCU-

- 358 0087-042). Helen Weiss and Victoria Simms had full access to all the data in the study and take
- responsibility for the integrity of the data and the accuracy of the data analysis. We thank Zimbabwe
- 360 Health Training Support (ZHTS) for donating funds to the Friendship Bench project, and Grand Challenges
- 361 Canada for contributing to funding this research. We thank the City of Harare Health department for their
- 362 support especially Dr Prosper Chonzi MPH; the lay health workers who participated; Peta Searle MSc,
- 363 project coordinator for ZEEbags, for Circle Kubatana Tose innovations; and Dr Lorna Gibson PhD of the
- 364 London School of Hygiene and Tropical Medicine for assistance with data management. Grand Challenges

265	Canada and ZUTS had no it	walvamant in tha dacia	n and conduct of the	ctudy, collection	management
303	Callaua allu Zhi Silau llu ll	ivolvenient in the desig		sluuy, conection,	management,
				,, , , ,	

- 366 analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision
- 367 to submit the manuscript for publication. All those acknowledged were not compensated for their
- 368 involvement.
- 369
- 370
- 371 References
- 372
- 3731.Baxter AJ, Scott KM, Vos T, Whiteford HA. Global prevalence of anxiety disorders: a374systematic review and meta-regression. *Psychological Medicine*. 2013;43(05):897-910.
- Ferrari AJ, Charlson FJ, Norman RE, et al. Burden of depressive disorders by country, sex,
 age, and year: findings from the global burden of disease study 2010. *PLoS Medicine*.
 2013;10(11):e1001547.
- Wang PS, Aquilar-Gaxiola S, Alonso J, et al. Use of mental health services for anxiety, mood, and substance disorders in 17 countries in the WHO world mental health surveys. *Lancet*.
 2007;370(9590):841-850.
- Patel V, Chisholm D, Parikh R, et al. Addressing the burden of mental, neurological, and
 substance use disorders: key messages from Disease Control Priorities, 3rd edition. *Lancet.* 2016;387(10028):1672-1685.
- 3845.Broadhead J, Abas M. Life events, difficulties and depression among women in an urban385setting in Zimbabwe. *Psychological Medicine*. 1998;28:29.
- 3866.Patel V, Todd C, Winston M, et al. Common mental disorders in primary care in Harare,387Zimbabwe: associations and risk factors. *British Journal of Psychiatry*. 1997;171:60-64.
- Chibanda D, Mesu P, Kajawu L, Cowan F, Araya R, Abas M. Problem-solving therapy for
 depression and common mental disorders in Zimbabwe: piloting a task-shifting primary
 mental health care intervention in a population with a high prevalence of people living with
 HIV. *BMC Public Health.* 2011;11(1):828.
- Chibanda D, Verhey R, Gibson LJ, et al. Validation of screening tools for depression and anxiety disorders in a primary care population with high HIV prevalence in Zimbabwe.
 Journal of Affective Disorders. 2016;198:50-55.
- 3959.Patel V, Chowdhary N, Rahman A, Verdeli H. Improving access to psychological treatments:396lessons from developing countries. *Behavioural Research and Therapy.* 2011;49(9):523-528.
- 39710.Seekles W, van Straten A, Beekman A, van Marwijk H, Cuijpers P. Effectiveness of guided398self-help for depression and anxiety disorders in primary care: a pragmatic randomized399controlled trial. *Psychiatry Research.* 2011;187(1-2):113-120.
- 40011.Bell AC, D'Zurilla TJ. Problem-solving therapy for depression: a meta-analysis. Clinical401Psychology Review. 2009;29(4):348-353.
- 402 12. Chibanda D, Verhey R, Munetsi E, Cowan FM, Lund C. Using a theory driven approach to
 403 develop and evaluate a complex mental health intervention: the friendship bench project in
 404 Zimbabwe. *International Journal of Mental Health Systems*. 2016;10(16).
- 405 13. Abas M, Bowers T, Manda E, et al. 'Opening up the Mind': problem-solving therapy delivered
 406 by lay health workers to improve access to evidence-based care for depression through the
 407 Friendship Bench Project in Zimbabwe. *International Journal of Mental Health Systems*.
 408 2016. 2016;10(39).

409	14.	Chibanda D, Bowers T, Verhey R, et al. The Friendship Bench programme: a cluster
410		randomised controlled trial of a brief psychological intervention for common mental
411		disorders delivered by lay health workers in Zimbabwe. International Journal of Mental
412		Health Systems. 2015:9(1):21.
413	15.	Patel V. Simunyu F. Gwanzura F. Lewis G. Mann A. The Shona Symptom Questionnaire: the
/1/	10.	development of an indigenous measure of common mental disorders in Harare Acta
414		Development of an indigenous measure of common mental disorders in marare. Actu
415	10	Psychiatrica Scanalia (0):405.
410	10.	Abas IVI, Baingana F, Broauneau J, Iacoponi E, Vanderpyr J. Common mental disorders and
417		primary health care: Current practice in low-income countries. Harvara Review of Psychiatry.
418		2003;11(3):166.
419	17.	Abas M, Broadhead JC, Mbape P, Khumalo-Sakatukwa G. Defeating depression in the
420		developing world: a Zimbabwean model. The British Journal of Psychiatry. 1994;164(3):293-
421		296.
422	18.	Patel V, Mann A. Etic and emic criteria for non-psychotic mental disorder: a study of the CISR
423		and care provider assessment in Harare. Social Psychiatry & Psychiatric Epidemiology.
424		1997:32(2):84.
425	19	Nezu AM Perri MG Social problem-solving therapy for unipolar depression. An initial
125	10.	dismantling investigation Journal of Consulting and Clinical Psychology 1989;57(3):408-413
420	20	D'Zurilla TL Nezu AM, Droblem colving therapy: A positive approach to clinical Intervention
427	20.	b Zurina 13, Nezu Awi. Problem-solving therapy. A positive approach to chinical intervention.
428		third ed. New York: Spring Publishing Company; 2007.
429	21.	Verney R, Turner J, Chibanda D. Friendship Bench Training Manual for Health Promoters.
430		Harare: Zimbabwe AIDS Prevention Project;2014.
431	22.	Kroenke K, Spitzer RL, Williams JB. The PHQ-9: validity of a brief depression severity
432		measure. Journal of General Internal Medicine. 2001;16(9):606-613.
433	23.	Spitzer RL, Kroenke K, Williams JB, Lowe B. A brief measure for assessing generalized anxiety
434		disorder: the GAD-7. Archives of Internal Medicine. 2006;166(10):1092-1097.
435	24.	van Ginneken N, Tharyan P, Lewin S, et al. Non-specialist health worker interventions for the
436		care of mental, neurological and substance-abuse disorders in low- and middle-income
437		countries. The Cochrane database of systematic reviews. 2013:11:CD009149.
438	25	Campbell MK Piaggio G Elbourne DR Altman DG Group C Consort 2010 statement
439	20.	extension to cluster randomised trials. <i>British Medical Journal</i> 2012;345:e5661
435	26	Haves PL Moulton LH Cluster Randomised Trials, Chapman and Hall/CPC Press: 2000
440	20.	Driesson F. Hollon CD. Cognitive behavioral therapy for mood disordersy officery moderators
441	27.	Driessen E, Honori SD. Cognitive benavioral therapy for mood disorders: enicacy, moderators
442		and mediators. <i>Psychiatr Clin North Am.</i> 2010;33(3):537-555.
443	28.	Martin DJ, Gaske JP, Davis MK. Relation of the therapeutic alliance with outcome and other
444		variables: a meta-analytic review. Journal of Consulting and Clinical Psychology.
445		2000;68(3):438-50.
446	29.	Nakimuli-Mpungu E, Wamala K, Okello J, et al. Group support psychotherapy for depression
447		treatment in people with HIV/AIDS in northern Uganda: a single-centre randomised
448		controlled trial. Lancet HIV. 2015;2(5):e190-e199.
449	30.	Bolton P, Bass J, Neugebauer R, et al. Group interpersonal psychotherapy for depression in
450		rural Uganda: a randomized controlled trial. JAMA. 2003:289(23):117-124.
451	31	Bass I. Annan I. McIvor Murray S. et al. Controlled trial of nsychotherapy for Congolese
/52	51.	survivors of sexual violence. New England Journal of Medicine, 2013:368(23):2182-2191
152	27	Ventevogel P. Spiegel P. Brychological treatments for orphans and vulnerable children
455	52.	offected by traumatic events and chronic adversity in sub Scheren Africa. 1000
454		anected by tradinatic events and chronic adversity in sub-satiaran Anica. JAMA.
455		2013;314(5):511-512.
456		
457		

	Variable	Intervention	Control group	
Variable	parameters	group (N=286)	(N=287)	
Gender - no. (%)				
Male		32 (11.2)	46 (16.0)	
Female		254 (88.8)	241 (84.0)	
Age group (years) – no. (%)				
18-24		64 (22.4)	43 (15.0)	
25-34		107 (37.5)	112 (39.0)	
35-44		81 (28.4)	71 (24.7)	
>=45		33 (11.6)	61 (21.3)	
Mean (SD)		33.4 (10.6)	36.7 (12.5)	
Religion – no. (%)				
Christian		269 (94.7)	260 (90.6)	
Other		15 (5.3)	27 (9.4)	
Education – no. (%)				
Did not complete primary		21 (7.4)	32 (11.2)	
Completed primary		143 (50.4)	159 (55.4)	
Secondary or more		120 (42.3)	96 (33.5)	
Marital status – no. (%)				
Married/cohabiting		197 (69.1)	189 (65.9)	
Divorced/separated/widowed		71 (24.9)	84 (29.3)	
Single		17 (6.0)	14 (4.9)	
HIV status - no. (%)				
Positive		104 (36.6)		

Table 1: baseline characteristics of study participants by group

No. 2010	Variable	Intervention	Control group
Variable	parameters	group (N=286)	(N=287)
Negative		135 (47.5)	
Not known		45 (15.9)	
	0 =no		
	symptoms,		
SSQ-14 score	14=worst		
	possible		
	symptoms		
Mean (SD)		10.5 (1.4)	10.4 (1.3)
	0=no		
	symptoms,		
PHQ-9 score – no. (%)	27=worst		
	possible		
	symptoms		
<11		98 (34.5)	119 (41.5)
>=11		186 (65.5)	168 (58.5)
	0 =no		
	difficulty,		
WHO-DAS score – no. (%)	48=worst		
	possible		
	difficulty		
<20		244 (85.9)	254 (88.5)
>=20		40 (14.0)	33 (11.5)

	Variable	Intervention	Control group
Variable	parameters	group (N=286)	(N=287)
	0 =no		
	symptoms,		
GAD-7 score - no. (%)	21=worst		
	possible		
	symptoms		
<=9		106 (39.7)	110 (40.9)
>=10		161 (60.3)	159 (59.1)
Suicidal ideation – no. (%)			
No		248 (86.7)	250 (87.1)
Yes		38 (13.3)	37 (12.9)
Reason for initial clinic visit – no. (%)			
Bringing sick family member to		113	97
Clinic			
Medical condition other than HIV		66	68
HIV		49	68
Routine clinic visit		28	34
Antenatal		6	3
Depression		1	3
Other		21	14
Missing		2	0

463 **Table 2: Effect of the Friendship Bench intervention on scores for common mental**

464 disorders, depression symptoms, anxiety symptoms, disability and quality of life at 6

465 months

Outcome	Interventio	Control	Unadjusted ana	lysis	Adjusted analysis	
	n group	group				
	N=260	N=261				
	Mean (95%	Mean	Unadjusted mean	Р	Adjusted mean	Р
	CI)	(95% CI)	difference (95% CI)	value	difference (95% CI) ^a	value
Primary (contin	uous)					
SSQ-14 score	3.81 (3.28- 4 34)	8.90 (8.33-	-5.09 (-5.86, -4.31)	<0.001	-4.86 (-5.63, -4.10)	<0.001
	7.57)	9.47)				
Non Prespecifie	d Secondary (c	ontinuous)				
	4.50 (3.95-	11.01				
PHQ-9 score	5.05)	(9.78-	-6.52 (-7.71, -5.33)	<0.001	-6.36 (-6.45, -5.27)	<0.001
		12.24)				
Tertiary (contin	uous)					
GAD-7 score	3.74 (3.27-	9.46	-5 71 (-6 71 -4 71)	<0.001	5 72 (6 61 , 1 85)	<0.001
	4.21)	10.24)	-5.71 (-0.71, -4.71)	<0.001	-3.73 (-0.01, -4.03)	<0.001

Outcome	Interventio	Control	Unadjusted ana	Unadjusted analysis		Adjusted analysis	
	n group	group					
	N=260	N=261					
WHO-DAS	4.87 (4.32-	11.05					
	1.07 (1.32	(9.56-	-6.18 (-7.70, -4.67)	<0.001	-6.08 (-7.46, -4.71)	<0.001	
score	5.42)	12.54)					
	0.72 (0.68-	0.85					
EQ-5D score	0.76)	(0.83-	0.12 (0.08, 0.71)	<0.001	0.12 (0.08, 0.17)	<0.001	
		0.87)					
	n (cluster	n (cluster	Unadjusted	р	Adjusted prevalence	р	
	level mean	level	prevalence ratio	value	ratio (95% CI)	valuo	
	%)	mean %)	(95% CI)	value		value	
Secondary (bina	ary)						
PHQ-9 ≥11	35 (13.7%)	129	0.28 (0.22, 0.35)	<0.001	0.28 (0.22, 0.34)	<0.001	
		(49.9%)					
Tertiary (binary)						
PHQ-9	20 (8.0%)	96	0.22 (0.15, 0.33)	<0.001	0.23 (0.15, 0.33)	<0.001	
diagnostic		(35.8%)					
algorithm							
GAD-7 ≥10	31 (12.2%)	123	0.25 (0.18, 0.36)	<0.001	0.26 (0.19, 0.35)	<0.001	
		(48.0%)					

Outcome	Interventio	Control	Unadjusted analysis		Adjusted analysis	
	n group	group				
	N=260	N=261				
SSQ-14 ≥9	37 (12.7%)	171	0.20 (0.14, 0.28)	<0.001	0.21 (0.15, 0.29)	<0.001
		(64.0%)				
WHO-DAS ≥20	9 (4.6%)	48	0.26 (0.15, 0.44)	<0.001	0.27 (0.16, 0.44)	<0.001
		(17.8%)				

^{466 &}lt;sup>a</sup>Adjusted for age, sex, HIV status, SSQ-14 score at baseline, and education.

468 Supplement table 1: reasons for patient ineligibility by group

	Intervention	Control group	Reason for non-eligibility was
	group (10	(10 clinics)	not retained at clinic level (2
	clinics)		intervention group clinics and 2
			control group clinics)
Age less than 18 years	5	7	3
Refused to allow home visits	31	14	16
SSQ score <9	499	547	504
Not literate	1	1	4
No working phone	15	18	7
Medically unfit	1	3	2
Pregnant or up to 3 months	20	10	8
postpartum			
Not residing in locality	58	46	24

Figure 1: CONSORT flow diagram of trial clinics and participants. ^aSee supplement table 1 for a complete list of reasons for patient ineligibility

Figure 2: Mean and 95% confidence interval of common mental disorder severity, depressive symptoms, anxiety symptoms and disability scores at 6 months follow-up, by group and baseline severity on the SSQ-14. Interaction p-values: SSQ-14 p=0.19, PHQ-9 p=0.10, GAD-7 p=0.02, WHO-DAS p=0.02.