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## **The effectiveness of Dietary Approaches to Stop Hypertension (DASH) counselling on estimated 10-year cardiovascular risk among patients with newly diagnosed grade 1 hypertension: a randomised clinical trial**

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1 **Abstract**

2

3 **Background:** The Dietary Approaches to Stop Hypertension (DASH) has been shown to lower blood  
4 pressure in the West. However, the real-life impact of DASH on reducing cardiovascular (CV) risk in  
5 routine clinical setting has not been studied.

6

7 **Methods:** A parallel-group, open-labelled, physician-blinded, randomised controlled trial was  
8 conducted in January-June 2013 and followed up for 6- and 12-months in primary care settings in  
9 Hong Kong. Patients newly diagnosed with grade 1 hypertension (aged 40-70 years) who had no  
10 concomitant medical conditions requiring dietary modifications were consecutively recruited.  
11 Subjects were randomised to standard education (usual care) (n=275), or usual care plus dietitian-  
12 delivered DASH-based dietary counselling in a single one-to-one session (intervention) (n=281).  
13 Primary outcomes were the changes in estimated 10-year CV risk.

14

15 **Results:** Outcome data were available for 504 (90.6%) and 485 (87.2%) patients at 6 and 12 months,  
16 respectively. There was no difference in the reduction of 10-year CV risk between the two groups at 6  
17 months (-0.13%, 95% confidence interval [95% CI] -0.50% to 0.23%,  $p=0.477$ ) and 12 months (-  
18 0.08%, 95% CI -0.33% to 0.18%,  $p=0.568$ ). Multivariate regression analyses showed that male  
19 subjects, younger patients, current smokers, subjects with lower educational level, and those who  
20 dined out for main meals for  $\geq 4$  times in a typical week were significantly associated with no  
21 improvements in CV risk.

22

23 **Conclusions:** The findings may not support automatic referral of newly diagnosed grade 1  
24 hypertensive patients for further one-to-one dietitian counselling. Patients with those risk factors  
25 identified should receive more clinical attention to reduce their CV risk. (250 words)

26

27 **Clinical Trial Registration:** ChiCTR-TRC-13003014 (<http://www.chictr.org.cn/enindex.aspx>)

28 **Abbreviations:** DASH, Dietary Approaches to Stop Hypertension; CV, cardiovascular; CI,  
29 confidence interval

30 **Introduction**

31 Uncontrolled high blood pressure (BP) remains a leading cause of cardiovascular disease (CVD),  
32 which plays a role in more than 31% of all global deaths [1]. The Dietary Approaches to Stop  
33 Hypertension (DASH) diet has been recommended in international guidelines for hypertension  
34 management [2-5]. Evidence mostly come from the original landmark trial [6] and subsequent feeding  
35 studies which demonstrated that short-term BP changes could lead to substantial reductions in BP [7-  
36 9]. Multi-factorial lifestyle components with multiple intervention sessions and frequent reminders  
37 were also adopted in DASH-series trials [10, 11], yet the long-term effectiveness of the DASH diet  
38 *per se*, if introduced into routine practice, is unclear.

39

40 Existing guidelines including the Seventh Report of the Joint National Committee, however, do not  
41 explicitly recommend how DASH should be delivered [2]. Trials that were designed to provide food  
42 to patients from research kitchens were relatively less applicable and feasible to clinical practice,  
43 wherein the one-off dietary counselling is most common in routine patient care. A previously  
44 described randomised controlled trial among grade 1 hypertensive patients in Hong Kong showed that  
45 one-off dietary counselling did not confer additional benefits on BP, body mass index, and lipid  
46 profiles [12]. Nevertheless, in light of the increasing atherosclerotic burden [13], it is uncertain  
47 whether DASH diet counselling could benefit patients in terms of reducing long-term cardio-vascular  
48 (CV) risks [14, 15]. To the best of our knowledge, no evidence exists as to whether patients newly  
49 diagnosed as having grade 1 hypertension should be referred to dietitian care for early DASH  
50 counselling, or just be offered brief advice on fundamental dietary principles from primary care  
51 physicians. A trial with CV risk as an outcome is important as it is widely recognised that patients  
52 with an estimated 10-year CV risk greater than 20% should be prescribed lipid-lowering agents,  
53 amongst other more intensive interventions.

54

55 This study tested the *a priori* hypothesis that one-off dietary counselling by dietitian based on the  
56 DASH recipe could reduce the estimated 10-year cardiovascular risk among hypertensive patients in  
57 real clinical setting. We also studied the factors independently associated with no improvements in  
58 cardiovascular risk among the same cohort of hypertensive patients recruited in primary care clinics.

## 59 **Methods**

### 60 *Study design*

61 A detailed description of the trial design has been reported [12]. This was a parallel-group, open-  
62 labelled, physician-blinded, randomised clinical trial with enrolment (January-March 2013) at two  
63 General Outpatient Clinics or at community health seminars through a primary care network in the  
64 New Territories East Cluster, Hong Kong. The study was approved by the Joint Chinese University of  
65 Hong Kong-New Territories East Cluster Clinical Research Ethics Committee, Hong Kong. The study  
66 protocol complied with the Declaration of Helsinki. The trial was prospectively registered (ChiCTR-  
67 TRC-13003014). Each trial participant provided written informed consent.

68

### 69 *Patients*

70 To be eligible, subjects had to be (1) Chinese patients aged 40-70 years; (2) newly diagnosed with  
71 grade 1 hypertension by trained clinical staffs according to a standard protocol [4]; and (3) currently  
72 receiving no antihypertensive drug therapies. The exclusion criteria were the presence of (1) medical  
73 conditions which required dietary control (e.g. diabetes and gout); (2) diseases with drug treatments  
74 that potentially interfered with the effectiveness of diet on the changes of blood lipid levels (e.g.,  
75 obstructive sleep apnoea syndromes or dyslipidemia); (3) previous cardiovascular event. All  
76 participants verbally agreed to attend follow-up appointments at 6 and 12 months.

77

### 78 *Randomisation and masking*

79 Computer-generated numbers with a block size of 6 and an allocation ratio of 1:1 were used for group  
80 allocation. The research nurse opened the opaque envelope in which the randomised sequence was  
81 sealed, and then notified patients into either intervention or control group. The dietitian and study  
82 subjects were not masked to group allocation, but the attending physicians, research assistants, and  
83 clinical staff involved in the outcome data collection were blinded.

84

### 85 *Procedures and risk factor measurement*

86 Patient screening was performed to ascertain the subject eligibility. BP was determined by an  
87 automated sphygmomanometer device (ALPK2 DS-182) which was validated regularly. The BP was

88 measured in the right arm with the use of an appropriately sized cuff according to standardised  
89 protocol that was used in prior studies [16, 17]. BP measurements were obtained after the participants  
90 sat quietly for 5 minutes, at least one hour after the subject's last meal intake, and at least 30 minutes  
91 after cigarette smoking or consuming caffeinated beverages. The average of three BP measurements  
92 separated by at least 30 seconds were taken. Those with SBP of 140-159 mmHg and/or DBP of 90-99  
93 mmHg were diagnosed as having grade 1 hypertension [4, 5]. A weight scale under periodic  
94 calibration was used to measure body weight in light clothing, and a wall-mounted stadiometer was  
95 used to measure body height without shoes. Usual care was provided to all participants (both groups).  
96 A DASH dietary counselling appointment was arranged immediately after usual care in the  
97 intervention arm only. Blood samples after an 8-hour fast were taken from all participants for  
98 measuring lipid profiles. The laboratory analysis was centrally undertaken at the Lek Yuen Health  
99 Centre, Hospital Authority, Hong Kong.

100

#### 101 *Usual care advice*

102 Usual care was offered by the attending physician, following a standard educational pamphlet in  
103 which contents were tailored for hypertensive patients in all public primary care clinics in Hong  
104 Kong. The core components consisted of (1) the definition and aetiology of hypertension; (2) the  
105 diagnosis and possible complications of grade 1 hypertension; (3) annual assessment to ensure  
106 satisfactory BP control; and (4) general recommendations including smoking cessation, moderation of  
107 alcoholic consumption, weight reduction as appropriate, regular aerobic exercise, balanced diet with  
108 sodium reduction and reduced fat, as well as adequate sleep and rest. The format was standardised in a  
109 training workshop by the principal investigator (MCSW). The usual care lasted for 3-5 minutes,  
110 resembling the routine clinic practice.

111

#### 112 *DASH dietary counselling*

113 Only subjects in the intervention arm received a further 25-minute one-off dietary counselling, offered  
114 by an experienced, registered dietitian (MKWM). The counselling was based on the DASH  
115 recipe [18] and were standardised in a pilot study to ensure that the counselling was feasible and  
116 intelligible to all participants. The contents included: (1) the nature and major components of the

117 DASH diet, (2) benefits of the diet, and (3) individualised meal plans that were tailored in Asia [19].  
118 The individualised DASH diet goals were recommended with respect to high consumption of fruits  
119 (4-5 serves/day) and vegetables (4-5 serves/day), low-fat dairy products (2-3 serves/day), lean meats,  
120 poultry, and fish ( $\leq 6$  serves/day), and nuts, seeds, and legumes (4-5 serves/week). The goals also  
121 included the achievement of limited intake of sweets, added sugars ( $\leq 5$  serves/week), and fats and oils  
122 (2-3 serves/day) [18]. Reducing salt intake to less than 5 grams per day with no added salt use was  
123 also encouraged [19]. Participants were examined regarding their experiences with the counselling  
124 and the extent of understanding the DASH principles. The counselling process was documented for  
125 quality assurance.

126

### 127 *Follow-up assessment*

128 All participants attended follow-up clinic visits at 6 and 12 months with BP measurements and fasting  
129 blood samples taken. Subjects with abnormal BP or lipid levels (judged by the physicians) were  
130 referred to specialists. The dietary component intakes of the eight food groups (grains; vegetables;  
131 fruits; dairy; meat, poultry, fish, and eggs; nuts, seeds, and legumes; fats and oils; sweets) were  
132 assessed by a locally validated food frequency questionnaire [20, 21]. No significant between-arm  
133 differences were found, except that the intervention group reported marginally higher consumption of  
134 vegetables and dairy at 12 months [12].

135

### 136 *Outcomes*

137 Primary outcomes were the changes in estimated 10-year risk of "hard" CV events comprising acute  
138 myocardial infarction, sudden death, and other coronary deaths [22]. A recalibrated and validated  
139 Chinese version of the Framingham equation derived from the Chinese Multi-provincial Cohort Study  
140 was used [22]. The estimated 10-year CV risk (P) is:  $P=1-S(t)^{\exp(f(x,M))}$   $f(x,M)=\text{Beta}_1(x_1-M_1) + \dots +$   
141  $\text{Beta}_p(x_p-M_p)$  where S(t) is the 10-year survival rate at the mean values of the risk factors;  $\text{Beta}_1 \dots$   
142  $\text{Beta}_p$  are the coefficients in the regression model;  $x_1 \dots x_p$  represent individual-level risk factors; and  
143  $M_1 \dots M_p$  are the mean values of the risk factors in the cohort. Covariates included age, gender, and a  
144 series of modifiable CV risk factors including smoking status, BP, total cholesterol (TC), and high-  
145 density lipoprotein cholesterol (HDL-C). The algorithm followed the methods used in the Chinese

146 recalibration of the Framingham equation [22]. The effects of risk factors at differing ages and levels  
147 of the other risk factors are assumed constant [23]. The BP was categorised as optimal BP (having  
148 SBP <120 mmHg and DBP <80 mmHg with no antihypertensive medication taking), pre-hypertension  
149 (having SBP of 120-139 mmHg and/or DBP of 80-89 mmHg), grade 1 hypertension, and grade 2-4  
150 hypertension (having SBP  $\geq$ 160 mmHg and/or DBP  $\geq$ 100 mmHg). Cut-off points of 200 mg/dL (5.18  
151 mmol/L) and 240 mg/dL (6.22 mmol/L) were applied to categorise the TC level into <160, 160-199,  
152 200-239, 240-179, and  $\geq$ 280 mg/dL. The HDL-C level was classified as <35 mg/dL (0.91 mmol/L),  
153 35-44, 45-49, 50-59, and  $\geq$ 60 mg/dL.

154

### 155 *Statistical analysis*

156 The primary outcomes were changes in the 10-year CV risk estimated on the basis of BP, TC, and  
157 HDL-C at 6 and 12 months, respectively. Secondary outcomes consisted of gender-stratified changes  
158 in CV risk factors between baseline and follow-ups. We aimed to detect an expected difference in  
159 DBP change of 2.0 mmHg at repeated follow-ups, which was considered clinically significant  
160 between the intervention and control arms. Previous literature reported a standard deviation of the  
161 DBP change of approximately 5.3 mmHg [6], and therefore we assumed a more conservative value of  
162 7.0 mmHg in this study. The G\*Power 3.1.0 gave a sample size of 252 per group that provided at least  
163 85% power at the two-sided 5% significance level. A cumulative frequency analysis was conducted to  
164 illustrate the gender-stratified changes in BP at 12 months by groups. We fitted a linear-regression  
165 model in which analysis of covariance was performed to compare between-group differences  
166 (intervention minus usual care) in the changes of 10-year CV risk, with adjustment for the effects of  
167 other factors and baseline outcome measures. The last observation carried forward (LOCF) imputation  
168 method was used for managing missing data in sensitivity analysis. Factors associated with no  
169 improvements in the 10-year CV risks were initially evaluated by chi-square tests in univariate  
170 analysis, followed by a binary logistic regression analysis to test the association between all  
171 potentially independent variables and the outcome variable. A final regression model was then  
172 constructed using a backward stepwise algorithm that allowed for covariate re-entry with all  
173 covariates having  $p$  values of  $\leq$ 0.10 retained in the model. Data analyses were performed in  
174 accordance with the CONSORT statement [24], using IBM SPSS Statistics 20.0 (Chicago, IL, USA).



## 175 **Results**

### 176 *Participant characteristics*

177 A total of 556 patients met the inclusion criteria and were randomised into either usual care (n=275,  
178 49.5%) or DASH dietary counselling (n=281, 50.5%), respectively. At 6 and 12 months, 504 and 485  
179 patients were available for analysis of the primary end-points (**CONSORT Figure 1**). The first  
180 subject enrolled in January 2013, and the last subject completed the 12-month assessment in June  
181 2014. The average age was 55.2 years and 49.1% were male. Baseline characteristics of the two  
182 groups were comparable across the background variables (**Table 1**).

183

### 184 *Changes in risk factors and estimated 10-year cardiovascular risk*

185 None of the individual CV risk factors was significantly different between the two groups at baseline  
186 and 12 months (**Table 2**). The reductions in BMI were observed in both groups with no significant  
187 between-group differences (-0.05, 95% CI -0.20 to 0.10,  $p=0.490$ ). The crude cumulative percentage  
188 of subjects in the intervention group who experienced  $\geq 2$ mmHg reduction in DBP or  $\geq 3$ mmHg  
189 reduction in SBP were similar when compared to that in the control group. No significant between-  
190 group differences were detected for the magnitude of BP reductions (**Figure 2**). Patients in both  
191 groups exhibited significant improvements in their 10-year CV risk over time simultaneously. The two  
192 groups, however, did not report any significant between-group difference in the changes of  
193 cardiovascular risk at 6 months (-0.13%, 95% CI -0.50% to 0.25%,  $p=0.477$ ) and 12 months (-0.08%,  
194 95% CI -0.33% to 0.18%,  $p=0.568$ ) (**Table 3**).

195

### 196 *Factors associated with no improvements in 10-year cardiovascular risk*

197 From binary logistic regression analysis with non-optimisation of cardiovascular risk as an outcome,  
198 it was found that male patients (adjusted odds ratio [aOR]=1.68, 95% CI 1.12 to 2.52,  $p=0.012$ ),  
199 younger subjects (<55 years, aOR=1.49, 95% CI 1.00 to 2.23,  $p=0.049$ ), current smokers (aOR=2.93,  
200 95% CI 1.35 to 6.36,  $p=0.007$ ), those with lower educational level (junior secondary or below,  
201 aOR=1.75, 95% CI 1.15 to 2.66,  $p=0.009$ ) and subjects who dined out frequently for the main meal  
202 (aOR=1.85, 95% CI 1.03 to 3.32,  $p=0.038$ ) were least likely to have their cardiovascular risk  
203 optimised (**Table 4**).

204 **Discussion**

205 *Statement of principle findings*

206 This randomised clinical trial evaluated the effectiveness of dietitian counselling based on the DASH  
207 recipe among newly diagnosed grade 1 hypertensive patients in the real primary care setting. The  
208 estimated 10-year CV risk decreased significantly in both groups from baseline to 12 months, yet the  
209 DASH counselling produced no additional benefits. Male subjects, younger patients, current smokers,  
210 subjects with lower educational level, and those who dined out frequently were less likely to be  
211 benefited from the dietary counselling to the optimisation of CV risk. These findings may not support  
212 the value of automatic referral of grade 1 hypertensive patients to one-off dietitian care when newly  
213 diagnosed. Patients with risk factors identified in this study warrant more clinical attention as they  
214 might be more resistant to CV risk improvement over time.

215

216 *Relationship with other studies*

217 The effectiveness of the DASH diet was largely established on the basis of previous controlled  
218 feeding trials, which indicated that individuals given prepared or prescribed DASH diet had lower BP,  
219 compared with controls [6-9, 15]. The DASH diet, as a result of these trials conducted in the research  
220 kitchen context, was recommended in most hypertension guidelines [2-5, 25] - and also an example of  
221 healthful eating pattern [26]. The US Nurses Health longitudinal study showed that the long-term  
222 adherence to DASH-style diet could benefit healthy female nurses with regard to reduced CV  
223 risk [27]. Nevertheless, both studies performed in research kitchen and among free-living health-  
224 conscious adults carried the underlying nature of optimum adherence to the DASH diet. The  
225 compliance may also be substantially optimised by intensive reminders, weekly peer-group sessions,  
226 daily food consumption diaries [28], or multiple intervention sessions with calorie and nutrient intake  
227 monitoring [10, 11]. Our study, in contrast, was performed in the real clinical setting wherein the  
228 dietary counselling practices are often delivered to grade 1 hypertensive patients on a one-off basis  
229 without follow-up prompts [29-31].

230

231 Previous translational efforts have been made to estimate the overall effect of DASH diet on CV risk  
232 estimates on the basis of risk factors, given the cost and logistical consideration of long-term trial with

233 "hard" CV events as the actual clinical endpoint [32]. The Framingham-based risk equation [22, 33]  
234 was a widely-used risk prediction algorithm to estimate 10-year CV risk. An earlier meta-regression  
235 analysis of randomised trials showed that being overweight made the largest contribution to  
236 hypertension [34]. The short-term effect of consuming reduced fats and oils in the DASH diet on  
237 cholesterol could be therefore more apparent for overweight people who lose weight [35]. In our trial,  
238 the study participants in both groups demonstrated weight reductions with similar magnitude on  
239 follow-ups. We did not specifically collect information on the dietary intakes of fatty acid in the two  
240 groups, and therefore we could not rule out the possibility that subjects in the intervention group may  
241 also have reduced intake of unsaturated fat when limiting the overall consumption of dietary fats and  
242 oils simultaneously. Albeit the intake of unsaturated fat may affect HDL-C level (one of the  
243 components of the Framingham risk equation), it is not likely that the intake changes can explain the  
244 relative absence of intervention effects as no significant between-group differences in the changes of  
245 lipid levels were detected in the present study. The original DASH study had the potential to decrease  
246 estimated 10-year CV risk by 18% [36]. In the PREMIER study, subjects who received the DASH  
247 diet plus combined multi-component lifestyle behavioural modifications had similar decrease in 10-  
248 year CV risk when compared to the advice-only control at 6 months, but reported significantly  
249 reduced risk by 12% at 18 months [10, 37]. Another US study has shown a decreased 10-year CV risk  
250 by 12.1% from an 8-week DASH diet feeding [38]. We used a Chinese version of the Framingham  
251 equation in which the relationship between changes in estimated 10-year CV risk with actual  
252 subsequent CV event was recalibrated and validated [22]. The reduction of predicted 10-year CV risk  
253 by 19.3% (dropped from 3.37% to 2.72% at 12 months) observed in the intervention group was  
254 comparable to that in previous studies, implying the validity of the trial fidelity. Moreover, a CV risk  
255 reduction by 17.1% (dropped from 2.98% to 2.47% at 12 months) in the control arm with no between-  
256 group differences therefore suggesting favourable effects in both groups.

257

### 258 *Meaning of the study*

259 Given that the DASH diet has been adopted in hypertension guidelines and reference frameworks for  
260 hypertension care, we would not regard our work as a simple replication study of previous trials. By  
261 contrast, our trial provides data for the intervention as adapted for implementation in real-world

262 clinical setting wherein both the DASH diet and the dietitian-delivered counselling in a single one-to-  
263 one session were tested as a combined intervention. We showed similarity in responses in the  
264 reduction of 10-year CV risk between intervention and control groups. One may criticise the  
265 inadequate "dose" of the DASH diet from a one-off counselling session; albeit it is relatively rare for  
266 real-world dietary counselling to be offered more frequently than once a year particularly among those  
267 with newly diagnosed mildly-elevated BP [29-31]. The real-life dietary adherence may also be  
268 influenced by contextual factors such as the costs of foods and the taste of low-fat foods, as evidence  
269 revealing that the concordance with the DASH diet tended to be lower in free-living population [39].  
270 Moreover, both the UK and US studies have argued that greater accordance with the DASH food  
271 group targets could be associated with higher dietary costs indeed [40, 41]. This may suggest a  
272 reconsideration of the DASH diet given the cultural acceptability and structural barriers to modifying  
273 eating habits, despite that we were unable to account for the cost-effectiveness in the present trial. The  
274 US National Health and Nutrition Examination Survey (NHANES) data illustrated that only around 1  
275 in 5 individuals practically followed an eating plan modelled on the DASH diet in daily life [42]. It  
276 has been argued that the limited time spent on providing adequate instructions due to the  
277 reimbursement structure in the US healthcare context [42] may be at play. Our study has prolonged  
278 the dietitian-patient consultation time to almost 30 minutes with detailed educational materials, yet the  
279 similar magnitude in the CV risk reductions obtained in both groups implied that the prior assumption  
280 related to dietary counselling itself may be misstated.

281

282 Our study showed that factors associated with lower odds of CV risk improvements included younger  
283 age and lower education level, which were echoed in a number of other studies that reported the  
284 decreased likelihood of changing dietary patterns among these subjects [42]. We reported differences  
285 in responses in the CV risk reductions between males and females. This was undertaken *post hoc* due  
286 to observed differences, and the exploratory results should be interpreted cautiously. The initial CV  
287 risk was relatively lower for males, which might reduce the chance of achieving significant changes  
288 following the intervention, albeit the baseline differences were controlled for in the analysis. Further  
289 study specifically designed to allow separate gender analyses may elucidate the gender-specific  
290 responses. The study recruitment was conducted in Hong Kong, where dining out is an integral part

291 of its culture. Subjects who often go out for the main meal of the day were less likely to optimise 10-  
292 year CV risk. This supports the criticism on the widespread dining-out trend with fast-food culture  
293 which appears to embrace rich calorie and poor nutrient [13, 42].

294

295 The study findings are the most directly applicable to routine practice in the Chinese population, with  
296 wider relevance to primary prevention in health-care system in other middle- and low- income  
297 countries. The standard education from physician's usual care advice alone in this study has shown a  
298 persistent BP reduction by an amount similar to that achieved with additive DASH-based dietary  
299 counselling. This implied that usual physician care alone might be adequate to maintain a significant  
300 improvement in CV risk over time, and if translated into clinical practice, one could expect substantial  
301 cost-saving. The possibility of reducing or avoiding further dietitian referral could lead to substantial  
302 clinical benefits in terms of streamlined counselling process and public health impact with regard to  
303 cutting unnecessary cost to individuals and the healthcare system.

304

#### 305 ***Strengths and weaknesses of the study***

306 This randomised controlled trial was sufficiently powered and included subjects who were treatment-  
307 naive to both antihypertensive medications and other community-based programs. All individuals  
308 were newly-diagnosed, and patients with other medical conditions requiring dietary modification were  
309 excluded to eliminate possible confounder effects. The similarity of baseline characteristics between  
310 the two groups and the strict adherence with study protocols further improved the internal validity and  
311 robustness of the findings. The vast majority of patients received no drug treatments during the entire  
312 study period. The proportions of individuals who developed comorbidities or received further drug  
313 treatments for safety reasons were relatively small, and were similar between the two arms. In the  
314 sensitivity analysis wherein the LOCF imputation methods were applied for missing data (less than  
315 10%) and patients with drug therapies were excluded, similar findings were yielded. Nevertheless,  
316 weakness of this study should receive attention. Firstly, we used 10-year CV risk estimates instead of  
317 actual CV events as the primary outcome. A universal critique might be the accuracy and validity with  
318 respect to how closely the predicted outcomes agree with the actual outcomes. However, the Chinese  
319 version of the risk equation has been validated in the ethnic Chinese who comprised the entire study

320 population in our trial. The use of risk estimation instead of the incidence of actual CV events after 10  
321 years allows greater feasibility of trial implementation with higher retention rate. Secondly, the 10-  
322 year CV risk presented at baseline was relatively low due to the nature of mild hypertension, and the  
323 Hawthorne effect [43] in the clinic-based data collection may exist, which could be partially  
324 responsible for the lack of effect in the intervention group. Nevertheless, the study participants in the  
325 physician's usual care group still reported a significant CV risk reduction similar to those in the  
326 intervention group. This could be broadly applied to the general population with wider relevance to  
327 primary prevention.

328

### 329 **Conclusions**

330 The automatic referral of newly diagnosed grade 1 hypertensive patients for further one-to-one  
331 dietitian counselling in a single session may not be supported on the basis of the trial evidence found  
332 for its effectiveness in the routine clinical setting. More clinical attention are required on male  
333 subjects, younger patients, current smokers, subjects with lower educational level, and those frequent  
334 dine-outers, as they were less likely to be responsive to long-term CV risk improvements. It is  
335 possible that adding more intensive or multiple intervention sessions might shift the evidentiary  
336 balance in favour of the intervention; however, usual physician care alone might be adequate to  
337 maintain a significant improvement in CV risk over time. One may therefore expect substantial cost-  
338 saving in middle- and low-income countries wherein primary care physicians are being strengthened  
339 as the locus of responsibility for the long-term care.

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342

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## **Figure Legends**

**CONSORT Figure 1:** Profile of the Randomised Clinical Trial

**Figure 2:** Cumulative frequency analysis of the changes in blood pressure at 12 month

**CONSORT Figure 1: Profile of the Randomised Clinical Trial**

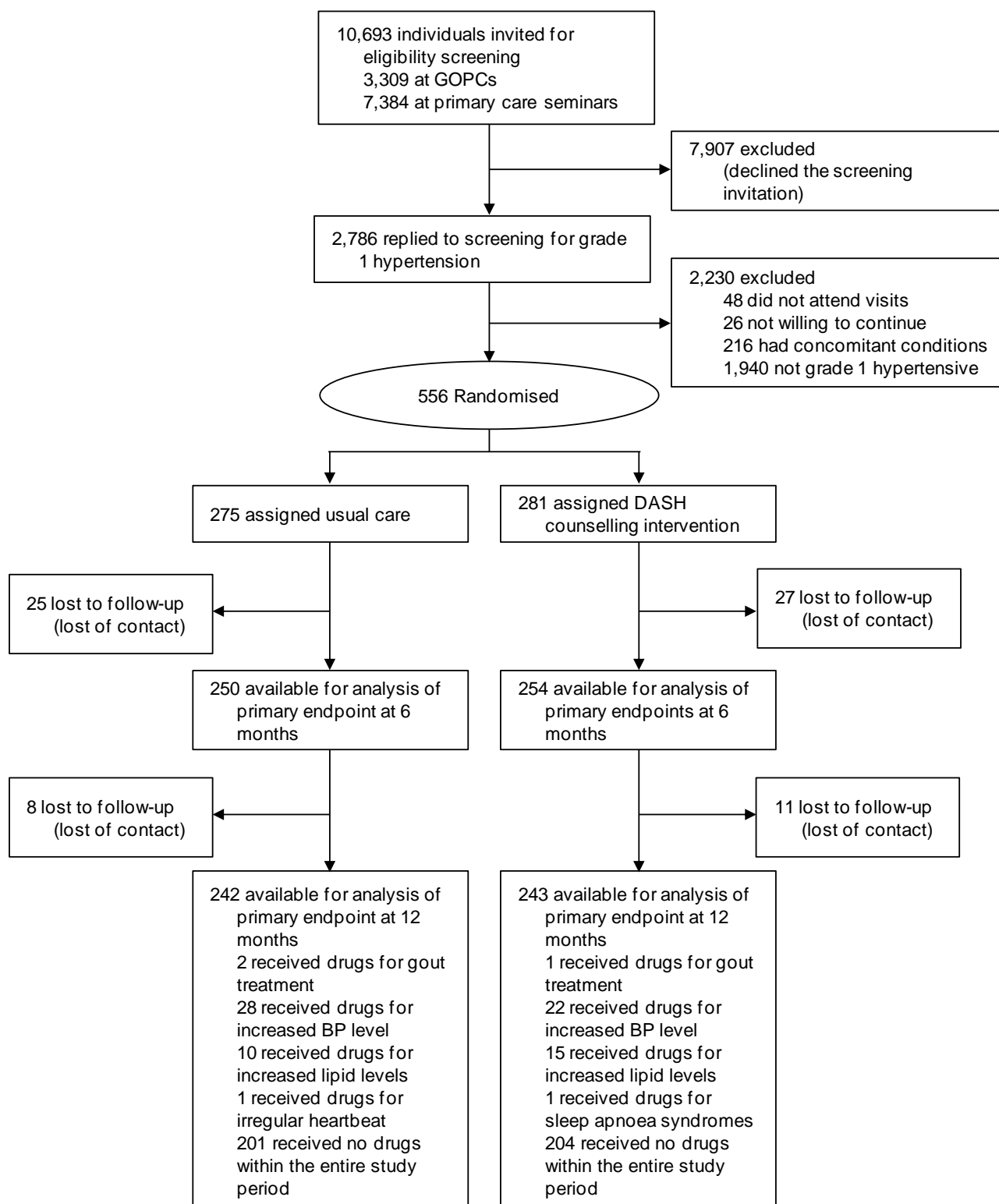
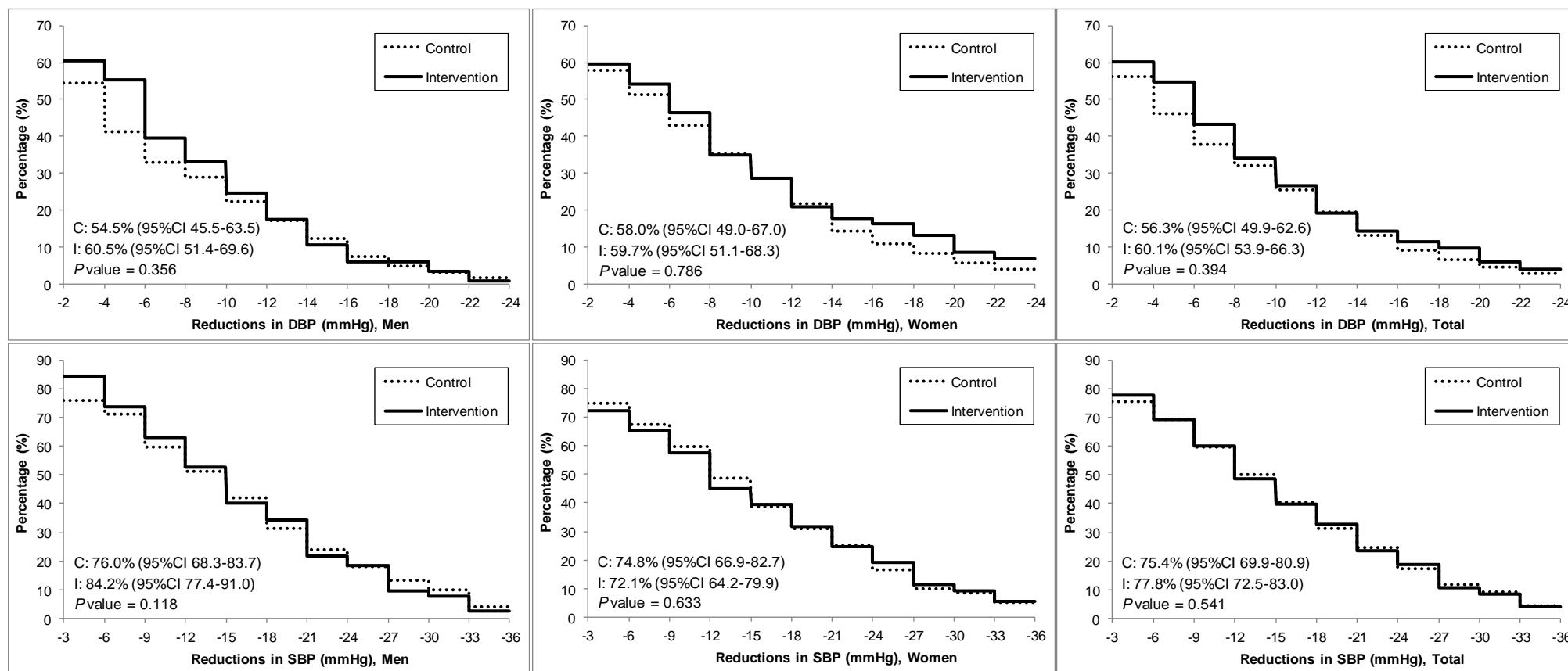


Figure 2: Cumulative frequency analysis of the changes in blood pressure at 12 months



DBP, diastolic blood pressure; SBP, systolic blood pressure.

**Table Legends:**

**Table 1:** Background characteristics of the trial participants

**Table 2:** Change of CV risk factors in the Framingham equation in the usual care group and DASH counselling group from baseline to 12 months

**Table 3:** Estimated absolute 10-year CV events (%) in the usual care group and DASH counselling group

**Table 4:** Factors associated with no improvements in 10-year CV risks

**Table 1: Background characteristics of the trial participants**

Characteristic	Usual care group (n=275)	DASH counselling group (n=281)	P value	All participants (N=556)
<b>Sex (M/F)</b>	142/133	131/150	0.237*	273/ 283
<b>Males</b>				
Age, years	54.7 (5.0)	55.4 (5.0)	0.980	55.0 (5.0)
BMI, kg/m <sup>2</sup>	24.28 (2.85)	24.53 (2.58)	0.389	24.40 (2.72)
SBP, mmHg	143.7 (7.6)	144.3 (7.4)	0.503	144.0 (7.5)
DBP, mmHg	90.9 (5.6)	91.7 (5.5)	0.220	91.3 (5.6)
TC, mmol/L	5.39 (0.88)	5.45 (0.78)	0.556	5.41 (0.83)
HDL-C, mmol/L	1.43 (0.41)	1.41 (0.35)	0.619	1.42 (0.38)
LDL-C, mmol/L	3.27 (0.78)	3.41 (0.77)	0.157	3.33 (0.78)
TG, mmol/L	1.48 (0.78)	1.38 (0.81)	0.275	1.43 (0.80)
Senior education or above	101 (71.6)	90 (69.8)	0.737*	191 (70.7)
Family history of hypertension	97 (68.8)	84 (64.6)	0.466*	181 (66.8)
Current smokers	16 (11.3)	15 (11.6)	0.942*	31 (11.5)
Comorbidities	0.14 (0.42)	0.16 (0.40)	0.794	0.15 (0.41)
<b>Females</b>				
Age, years	55.2 (5.3)	55.3 (6.0)	0.222	55.3 (5.7)
BMI, kg/m <sup>2</sup>	24.15 (3.31)	23.82 (3.00)	0.438	23.98 (3.15)
SBP, mmHg	146.2 (6.9)	146.0 (8.1)	0.743	146.1 (7.5)
DBP, mmHg	89.0 (7.2)	89.4 (8.1)	0.667	89.2 (7.7)
TC, mmol/L	5.45 (0.76)	5.62 (0.83)	0.078	5.54 (0.80)
HDL-C, mmol/L	1.67 (0.42)	1.66 (0.45)	0.811	1.67 (0.44)
LDL-C, mmol/L	3.24 (0.74)	3.37 (0.84)	0.159	3.31 (0.79)
TG, mmol/L	1.18 (0.56)	1.30 (0.61)	0.096	1.25 (0.59)
Senior education or above	62 (47.0)	70 (47.6)	0.914*	132 (47.3)
Family history of hypertension	94 (71.2)	102 (69.4)	0.739*	196 (70.3)
Current smokers	2 (1.5)	1 (0.7)	0.500*	3 (1.1)
Comorbidities	0.28 (0.56)	0.22 (0.52)	0.404	0.25 (0.54)

Values are presented as mean (SD) for continuous variables and number (%) for categorical variables. SD, standard deviation; BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; TC, total cholesterol; HDL-C, high-density lipoprotein-cholesterol; LDL-C, low-density lipoprotein-cholesterol; TG, triglyceride.

\* Use of chi-square tests



**Table 2: Change of CV risk factors in the Framingham equation in the usual care group and DASH counselling group from baseline to 12 months**

Risk Factors	Baseline			12 months		
	Usual care	Intervention	<i>P</i>	Usual care	Intervention	<i>P</i>
<b>Males</b>						
<b>Blood Pressure</b>			--			0.880
Optimal	0 (0.0)	0 (0.0)		7 (5.7)	9 (7.9)	
Pre-hypertension	0 (0.0)	0 (0.0)		41 (33.6)	38 (33.3)	
Grade 1 hypertension	142 (100.0)	131 (100.0)		65 (53.3)	57 (50.0)	
Grade 2-4 hypertension	0 (0.0)	0 (0.0)		9 (7.4)	10 (8.8)	
<b>TC, mg/dL</b>			0.858			0.712
<160	12 (9.0)	7 (5.9)		8 (6.6)	5 (4.5)	
160-199	43 (32.3)	39 (32.8)		50 (41.0)	49 (44.5)	
200-239	57 (42.9)	53 (44.5)		48 (39.3)	46 (41.8)	
240-279	20 (15.0)	18 (15.1)		15 (12.3)	10 (9.1)	
≥280	1 (0.8)	2 (1.7)		1 (0.8)	0 (0.0)	
<b>HDL-C, mg/dL</b>			0.745			0.503
<35	9 (6.8)	8 (6.7)		8 (6.6)	5 (4.5)	
35-44	27 (20.3)	20 (16.8)		29 (23.8)	17 (15.5)	
45-49	14 (10.5)	13 (10.9)		12 (9.8)	12 (10.9)	
50-59	39 (29.3)	44 (37.0)		34 (27.9)	37 (33.6)	
≥60	44 (33.1)	34 (28.6)		39 (32.0)	39 (35.5)	
<b>Females</b>						
<b>Blood Pressure</b>			--			0.748
Optimal	0 (0.0)	0 (0.0)		9 (7.5)	8 (6.2)	
Pre-hypertension	0 (0.0)	0 (0.0)		47 (39.2)	44 (34.1)	
Grade 1 hypertension	133 (100.0)	150 (100.0)		47 (39.2)	59 (45.7)	
Grade 2-4 hypertension	0 (0.0)	0 (0.0)		17 (14.2)	18 (14.0)	
<b>TC, mg/dL</b>			0.155			0.760
<160	7 (5.5)	4 (2.8)		7 (5.9)	5 (3.8)	
160-199	41 (32.0)	40 (28.0)		40 (33.6)	39 (30.0)	
200-239	56 (43.8)	61 (42.7)		51 (42.9)	58 (44.6)	
240-279	24 (18.8)	33 (23.1)		20 (16.8)	25 (19.2)	
≥280	0 (0.0)	5 (3.5)		1 (0.8)	3 (2.3)	
<b>HDL-C, mg/dL</b>			0.905			0.589
<35	2 (1.6)	1 (0.7)		3 (2.5)	2 (1.5)	
35-44	10 (7.8)	11 (7.7)		9 (7.6)	11 (8.5)	
45-49	10 (7.8)	9 (6.3)		2 (1.7)	7 (5.4)	
50-59	32 (25.0)	41 (28.7)		29 (24.4)	30 (23.1)	
≥60	74 (57.8)	81 (56.6)		76 (63.9)	80 (61.5)	

Values are presented as number (%). TC, total cholesterol; HDL-C, high-density lipoprotein-cholesterol.

**Table 3: Estimated absolute 10-year CV events (%) in the usual care group and DASH counselling group**

Ten-year risk (%)	Mean (SD)			Within-group differences (95%CI)*				Between-group differences (95%CI)†			
	Baseline	6 months	12 months	6 months	<i>P</i>	12 months	<i>P</i>	6 months	<i>P</i>	12 months	<i>P</i>
<b>Males</b>											
Usual care	2.12 (1.43)	1.90 (1.39)	1.90 (1.22)	-0.22 (-0.35 to -0.09)	0.001	-0.22 (-0.35 to -0.10)	0.001	--	--	--	--
Intervention	2.31 (1.42)	1.98 (1.27)	1.94 (1.20)	-0.34 (-0.50 to -0.17)	<0.001	-0.38 (-0.53 to -0.22)	<0.001	--	--	--	--
Intervention vs usual care	--	--	--	--	--	--	--	-0.12 (-0.33 to 0.08)	0.244	-0.16 (-0.36 to 0.04)	0.111
<b>Females</b>											
Usual care	3.88 (4.85)	3.58 (4.96)	3.07 (4.14)	-0.30 (-0.70 to 0.10)	0.135	-0.82 (-1.16 to -0.48)	<0.001	--	--	--	--
Intervention	4.28 (5.07)	3.81 (5.83)	3.39 (4.04)	-0.47 (-1.01 to 0.08)	0.094	-0.89 (-1.25 to -0.52)	<0.001	--	--	--	--
Intervention vs usual care	--	--	--	--	--	--	--	-0.13 (-0.82 to 0.56)	0.717	-0.03 (-0.49 to 0.42)	0.889
<b>Total</b>											
Usual care	2.98 (3.64)	2.72 (3.70)	2.47 (3.07)	-0.26 (-0.47 to -0.06)	0.013	-0.51 (-0.69 to -0.33)	<0.001	--	--	--	--
Intervention	3.37 (3.96)	2.96 (4.45)	2.72 (3.15)	-0.41 (-0.71 to -0.10)	0.009	-0.65 (-0.86 to -0.44)	<0.001	--	--	--	--
Intervention vs usual care	--	--	--	--	--	--	--	-0.13 (-0.50 to 0.23)	0.477	-0.08 (-0.33 to 0.18)	0.568

CHD, coronary heart disease; SD, standard deviation; CI, confidence interval.

\*Differences were calculated from 6-month follow-up between baseline, and 12-month follow-up between baseline, respectively.

†Adjusted for gender, age, BMI, route into study (general outpatient clinics, or responding to the invitations from community health seminars), level of education, monthly household income, family history of hypertension, number of comorbidities, and baseline blood pressure measures.

**Table 4: Factors associated with no improvements in 10-year CV risks**

Variable	Model 1		Model 2*		Model 3†	
	cOR (95%CI)	P	aOR (95%CI)	P	aOR (95%CI)	P
<b>Gender, male</b>	1.24 (0.87 - 1.77)	0.227	1.60 (1.03 - 2.49)	0.035	1.68 (1.12 - 2.52)	0.012
<b>Age, &lt;55 years</b>	1.35 (0.94 - 1.93)	0.107	1.50 (0.99 - 2.28)	0.057	1.49 (1.00 - 2.23)	0.049
<b>Current smokers</b>	1.77 (0.90 - 3.48)	0.099	2.86 (1.26 - 6.45)	0.012	2.93 (1.35 - 6.36)	0.007
<b>Education, ≤ junior secondary</b>	1.14 (0.80 - 1.63)	0.474	1.85 (1.19 - 2.90)	0.007	1.75 (1.15 - 2.66)	0.009
<b>Dining out</b>	1.60 (0.92 - 2.78)	0.095	1.89 (1.05 - 3.41)	0.034	1.85 (1.03 - 3.32)	0.038
<b>Current drinker</b>	1.32 (0.76 - 2.27)	0.324	1.09 (0.56 - 2.11)	0.800	--	
<b>Family history of hypertension</b>						
No history	1.00 (Ref)		1.00 (Ref)		--	
Presence	0.90 (0.61 - 1.33)	0.591	0.88 (0.58 - 1.33)	0.539		
<b>Treatment</b>						
Usual care	1.00 (Ref)		1.00 (Ref)		--	
DASH counselling	1.01 (0.71 - 1.43)	0.970	0.99 (0.68 - 1.44)	0.952		
<b>Household income /month</b>						
<US\$1,290	1.00 (Ref)		1.00 (Ref)		--	
\$1,290 - \$2,579	1.18 (0.63 - 2.19)	0.607	0.95 (0.48 - 1.89)	0.882		
\$2,580 - \$3,869	1.29 (0.68 - 2.46)	0.439	1.03 (0.50 - 2.10)	0.941		
\$3,870 - \$5,159	1.71 (0.89 - 3.28)	0.106	1.45 (0.70 - 3.02)	0.318		
≥\$5,160	1.46 (0.79 - 2.71)	0.229	1.18 (0.56 - 2.46)	0.666		
<b>Comorbidities</b>						
No comorbidities	1.00 (Ref)		1.00 (Ref)		--	
With comorbidities	1.30 (0.41 - 4.10)	0.653	1.40 (0.38 - 5.18)	0.617		

cOR, crude odds ratio; aOR, adjusted odds ratio; CI, confidence interval; Ref, reference; DASH, Dietary Approaches to Stop Hypertension. Current smokers referred to those who were currently smoking tobacco on a consistent basis as regular lifestyle behaviour. Dining out refers to going out for the main meal of the day more than 4 times in a typical week.

\*Model 2 adjusted for other independent variables listed.

†Model 3 (final model) was constructed using a backward stepwise algorithm that allowed for covariate re-entry with all covariates having  $p$  values of  $\leq 0.10$  retained in the model.

**CONSORT Checklist**

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