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Synthesis of Mannosylated and PEGylated Nanoparticles via RAFT Emulsion Polymerisation, and Investigation of Particle-Lectin Aggregation using Turbidimetric and DLS Techniques

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Keywords: Colloids, Glyco-polymers, Lectin Binding, Polymer Synthesis, Emulsion Polymerisation TOC Graphic and Graphical Abstract

2 Abstract:

1

3 Recent developments in controlled radical polymerisation presents an attractive way of producing biocompatible polymeric nanoparticles for a wide range of applications. With this motivation, well 4 5 defined P(ManAm) and P(PEGA) coated nanoparticles in a range of different sizes have been synthesised via RAFT emulsion polymerisation. The particles were used to precisely investigate the 6 effect of particle size on lectin binding with Concanavalin A, and validate the use of online DLS 7 8 measurements for lectin-glycoparticle aggregation studies. Larger particles were found to have an 9 enhanced aggregation by both UV-Vis turbidimetric and DLS aggregation studies. The DLS technique 10 was shown to be robust up to an aggregate diameter of c.500nm for aggregation tests, and was not 11 affected by any dilution or light scattering effects that typically hinder the common use of turbidimetry 12 in particle aggregation studies.

13

14

15 **1. Introduction**

16

17 In the field of drug delivery, targeting of specific cells (e.g. malignant or a bacterial cells) is an 18 important way of delivering therapeutic doses of an active pharmaceutical ingredient (API), whilst minimising its side effects. Targeting cell surface proteins with their complementary ligand is one way 19 20 of directing an API to its site of action. Lectins are a well-known example of surface protein, expressed 21 by both bacterial and mammalian cells. One of the main properties of lectins is their highly specific ligand-receptor interaction via non-covalent bonds with carbohydrates.[1-3] One potential solution for 22 23 cell targeting, is to harness these non-covalent interactions, with the use of polyvalent saccharide coated 24 'glyconanoparticles' acting as targeted delivery agents. Many glyconanoparticles consist of a metallic core (e.g. gold) with a glycosylated shell.[4, 5] However, the versatility of polymer chemistry has
allowed researchers to modify all aspects of nanoparticle structure such as core/shell composition,
shape, size and degradability, which is suited to the synthesis of well-defined glyco-nanoparticles.[6]

28

29 Interest in the field of glycosylated nanomaterials has grown rapidly over the previous two decades. 30 particularly for their use as biosensors[3, 7] or targeting agents[8]. However, to fully understand the 31 interactions these materials have within a complex biological system, researchers must look towards 32 model systems which are equivalent in most aspects but instead are inert to unspecific interactions with 33 carbohydrate. Poly(ethylene glycol) is the most widely known 'stealthy' polymer, and is typically used 34 as a coating to avoid protein adsorption and subsequent immune response in vivo.[9] This property of PEG is usually attributed to an enhanced hydration effect of the hydrophilic polymer chains resulting in 35 steric hindrance or 'shielding' to reduce protein fouling.[10] Typically, materials with a PEG coating are 36 taken up in a non-specific way, showing little binding to surface proteins, and have an increased 37 circulation time *in vivo*, thus can be used effectively as a comparison to glyco-nanoparticles. 38

39

40 A common method used for studying particle binding to surface proteins, and in particular lectins, is 41 UV-Vis turbidimetric analysis with a multivalent lectin such as Concanavalin A (Con A).[11] Typically 42 in these studies a simple absorbance reading is taken over time after mixing a particle with a lectin, an 43 increase in absorbance represents a corresponding binding between the two. Whilst this technique is quick and easy to perform, the absorbance readings are affected by the light scattering effect of 44 nanoparticles and the dilution effect displayed when further solution is added to a reaction. Other 45 techniques to determine lectin-particle binding, including aggregate size analysis using DLS, have 46 widely been used in determining thermal stability of metal nanoparticles, but much less widely used for 47 studying polymer particle-lectin aggregation.[12-20] Online aggregate size analysis represents an 48 interesting way of tracking lectin-particle aggregation as it will not be adversely affected by particle 49 50 light scattering or by dilution. The aggregate size analysis is, however, limited to the limits of DLS, 51 where the aggregate must remain small enough for Brownian forces to dominate gravitational force, 52 preventing sedimentation, which for polymeric particles is generally considered to be 500nm.[11] Consequently, to use DLS to track aggregation, polymeric particles must be synthesised with a narrow 53 54 size distribution well below 500 nm in diameter.

55

56 Traditional emulsion polymerisation provides a facile method to generate polymeric nanoparticles, with 57 narrow size distributions and is routinely used in industry for polymer synthesis at scale.[21] Typically

these polymer particles show poor biocompatibility, hence controlled radical polymerisation (CRP) 58 59 methods are now being utilised to generate functional latex particles [22] CRP methods are becoming 60 increasingly relevant in the synthesis of new bio-applicable materials[23], not only due to their ability to 61 control molar mass, but also the control over the architecture and end-group functionality. Translation of 62 CRP methods into emulsion polymerisation has yielded multiple approaches utilizing various techniques.[24] One strategy utilises amphiphilic macromolecular reversible addition fragmentation 63 chain transfer (macro-RAFT) agents, which form polymeric micelles in aqueous solutions. These are 64 65 subsequently chain extended during an emulsion polymerisation, vielding polymeric nanoparticles, 66 decorated with the hydrophilic section of the initial macro-RAFT agent. Since its conception by 67 Hawkett and co-workers, [25] there have been relatively few reports of this technique to generate nanoparticles for bio-applications, and have mostly focused on mechanistic studies or using this 68 69 approach to push the limit of polymer synthesis.[26-30] However, in 2010, Stenzel and co-workers 70 reported the synthesis of glucose functionalised polystyrene nanoparticles via an ab initio RAFT 71 emulsion polymerisation, from a glucose based amphiphilic macro-RAFT agent, and their subsequent binding to Concanavalin A and E.coli.[31] Additionally, Ladmiral and co-workers described the 72 73 synthesis of galactose functionalised nano-objects using RAFT mediated polymerisation-induced selfassembly, and showed intracellular delivery of rhodamine B octadecyl ester.[32] Our group recently 74 75 reported the synthesis of polyacrylamide stabilised polystyrene nanoparticles, synthesised using RAFT 76 emulsion polymerisation, and their subsequent loading and release of MicroRNA via a redox responsive linker.[33] RAFT emulsion offers a facile, scalable process for the preparation of core-shell 77 78 nanoparticles, whilst also utilising the versatility of RAFT polymerisation for applications in a wide 79 range of areas.

80

Herein we describe the synthesis and characterisation of well-defined mannosylated and PEGylated nanoparticles, with discrete size control. The synthesised particles are used to precisely probe the effect of particle size on lectin binding, as well as comparing mannosylated nanoparticles to PEGylated particles, using the commonly used UV-Vis turbidimetric analysis, and an analytical approach based on an online DLS aggregation tracking system, which use is reported here for the first time.

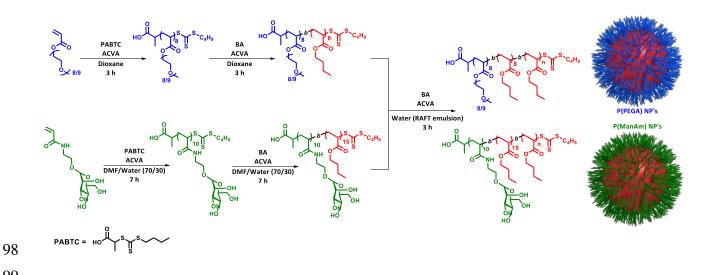
86

87 2. Results and Discussion

88

89 Mannose Acrylamide monomer synthesis

90 Initially, a mannose containing monomer was synthesised using a modified method reported by 91 Cameron and co-workers.[34] This approach utilised boron trifluoride diethyl etherate as an activating 92 agent to induce neighbouring group participation and subsequent nucleophilic substitution of a α -D-93 Mannose pentaacetate at the anomeric carbon with a hydroxyethyl acrylamide.[35, 36] Advantageously 94 this method results in a preference for the required biologically active α -anomer, thus following 95 deprotection and subsequent column chromatography, yielded mannose acrylamide (ManAm) in c.60% 96 yield with high α -stereospecificity. The monomer was stored with protection from light at -20°C in a 97 freezer, preventing autopolymerisation.



99

100 Figure 1 Stepwise synthetic scheme of P(PEGA) and P(ManAm) macro-RAFT agents and subsequent particle synthesis via 101 RAFT emulsion polymerisation.

102

103 Macro-RAFT agent synthesis

104

105 Previous literature regarding RAFT emulsion polymerisation indicates that short chain oligomers act as 106 sufficient stabilisers for the formation of particle.[37] Hence, both: P(ManAm)-b-P(BA) and P(PEGA)-107 *b*-P(BA) were synthesised with butyl acrylate blocks of less than 20 monomer units,

108

109 Polymerisation of the ManAm block was conducted in a DMF/Water mixture (70/30 v/v) at 70°C for 7

110 h mediated by chain transfer agent PABTC using thermal initiator ACVA as a radical source with >99%

monomer conversion confirmed by ¹H NMR spectroscopy. PABTC has previously been shown to be an 111

112 excellent RAFT agent for both acrylate[38] and acrylamide monomers.[39] In addition to this, the

113 negatively charged carboxylic acid moiety on the R group may induce electrostatic stabilisation of the

resulting nanoparticles, enhancing the steric stabilisation provided by the hydrophilic polymer, thus 114 increasing the colloidal stability of any final latex particle. After the first block had reached complete 115 116 monomer conversion, only 43% of the initiator had been consumed. [40] therefore polymerisation of the hydrophobic block was performed without purification. The required amount of *n*-butyl acrylate was 117 118 then injected into the above reaction mixture without additional initiator, and heated for a further 7 h reaching 97% monomer conversion. Following ¹H NMR analysis, it was deduced that overall structure 119 of the mannose di-block copolymer was $P(ManAm)_{10}$ -b- $P(BA)_{15}$ by comparing ¹H NMR signals for side 120 121 chain protons and protons on the RAFT end group (Supporting information Figure S2). DMF SEC analysis showed a monomodal chromatogram of $M_{\rm n} = 4600$ g mol⁻¹ and D = 1.13 (Supporting 122 information Figure S3). Due to the amphiphilic nature of the macro-RAFT agent, and subsequent 123 124 polymers, solubility in common SEC solvents was low, producing a poor baseline due to low intensity 125 signal. However data that was collected is shown for completeness.

126

127 Polymerisation of PEGA was conducted at 70°C mediated by PABTC in 1,4-dioxane with ACVA as a 128 thermal initiator. In order to maintain a high livingness, the polymerisation was stopped after 3 h 129 resulting in 21% initiator and 91% monomer consumption. The residual monomer was removed with 130 precipitation in a mixture of hexane and diethyl ether prior to polymerisation of the next block. For the 131 hydrophobic section the P(PEGA) macro-RAFT was chain extended with of n-BA over 3 h at 70°C reaching 96% monomer conversion. Both blocks had monomodal symmetrical SEC chromatograms and 132 133 narrow dispersity (D = 1.13) with a clear shift to higher molar mass upon chain extension. ¹H NMR 134 analysis indicated that the resulting block copolymer had the structure P(PEGA)₈-b-P(BA)₈ which was 135 in good agreement with experimental molar mass determination with SEC (Supporting information Figure S6). For a general overview of the synthesis see Figure 1. 136

137

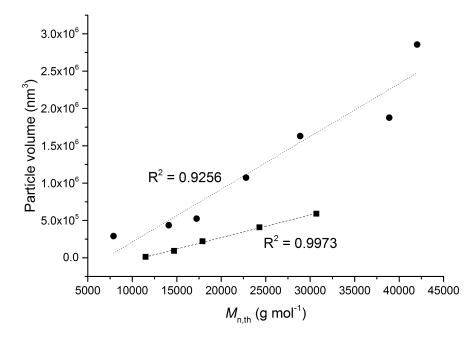
138 To confirm that both ManAm and P(PEGA) macro-RAFT agents would be suitable stabilisers, their 139 self-assembly in aqueous solution was investigated. DLS measurements were performed at 15 mg mL⁻¹ 140 and, as such, displayed mean diameters of 10 and 7 nm for ManAm and P(PEGA) block co-polymers, 141 respectively. Micelles formed for both block copolymers had low PDi values of 0.06 suggesting that 142 both types of micelle were uniform, likely due to the stability received from the negative ζ -potential 143 caused by the deprotonated carboxylic acid from the R group of the macro-RAFT agents (see Table 1 144 for characterisation).

145

146 Nanoparticle Synthesis

147 The conditions used for the RAFT emulsion polymerisation of *n*-BA mediated by $P(ManAm)_8-P(BA)_{15}$ 148 and P(PEGA)₈-P(BA)₈ were adapted from literature conditions (see Figure 1).[33] Oxygen was 149 removed from the polymerisation mixture by purging with N₂ gas in a vial sealed with a septum, the 150 monomer was degassed in a separate vial, and transferred into the micelle solution using a gas-tight 151 syringe, to avoid monomer evaporation and improve the reproducibility of the polymerisation. Multiple emulsion polymerisations were performed, each with modification of [M]/[CTA] resulting in 152 153 monodisperse latex particles (PDi <0.1) with diameters ranging from 82 to 176 nm for nanopaticles with 154 P(ManAm), and 29 to 119 nm for nanoparticles P(PEGA) shells (Table 1). ¹H NMR samples were prepared by diluting 100 μ L of latex in either d₆-acetone or an 80/20 (v:v) mixture of d₆-DMSO for 155 156 P(PEGA) nanoparticles and P(ManAm) nanoparticles respectively. Interestingly, for polymerisations 157 with identical DP_{target} for both P(PEGA) and P(ManAm) RAFT emulsion polymerisations, P(ManAm) 158 particles had a significantly larger diameter compared to their respective P(PEGA) particles (Table 1). 159 For example when a chain extension of 100 monomer units was targeted it was observed that P(PEGA) 160 particles had mean diameters of 50 nm whereas P(ManAm) particles were 90 nm. This finding has been 161 attributed to a decreased stabilization of the P(ManAm) macro-RAFT agent during the RAFT emulsion 162 polymerisation since the P(PEGA) macro-RAFT agent consists of a highly hydrophilic polymer brush 163 block, compared to a linear polymer block for the P(ManAm) macro-RAFT agent. Similar to the block 164 copolymer micelles, the resulting nanoparticles had negative ζ-potential due to the carboxylic acid end 165 groups. Values ranged from -20 mV to -47 mV for P(PEGA) nanoparticles depending on size, however 166 P(ManAm) nanoparticles had ζ-potential consistently close to -32 mV. To measure the molecular weight distribution of a single polymer chain, the nanoparticles were disassembled either by drying and 167 168 subsequent dissolution in chloroform or THF, or simply adding an excess of THF or DMF, for 169 P(PEGA) and P(ManAm) nanoparticles respectively. SEC chromatograms of the polymeric unimer for 170 P(PEGA) nanoparticles show three populations: firstly a low molecular weight distribution 171 corresponding to unconsumed macro-RAFT agent, also observed by Rieger and co-workers for RAFT 172 emulsion polymerisation of *n*-BA[41]; second, a population indicative of successful chain extension in the emulsion polymerisation which shows good agreement with $M_{n,th}$; and third a high molecular weight 173 174 shoulder due to termination and/or mid-chain branching typical for acrylate polymerisation. If the 175 population relating to unconsumed macro-RAFT agent is ignored the *D* values remain below 1.4 for all 176 particles. It is expected that this unconsumed macro-RAFT agent is associated at the particle water 177 interface, as other size distributions relating to macro-RAFT agent micelles in DLS measurements were 178 not observed. Additionally, a near linear trend between the theoretical molecular weight of the single 179 polymer chains, and the resulting particle volume for both PEG and mannose shielded latex particles is 180 seen (Figure 2). This correlation, which can predict the resulting nanoparticle size based on the 181 conditions of the polymerisation allows to increase reproducibility for the synthesis of nanoparticles, 182 which is beneficial for biological applications.

183



184

185Figure 2. Linear trend between $M_{n,th}$ of a single polymer arm, and the nanoparticle volume. ManAm nanoparticles (circles),186PEG nanoparticles (squares)

		[M] ₀ /[CTA] ₀	Average Particle Diameter (nm) ^a	PDi ^b	ζ-Potential (mV)	Conversion (%)	M _{n,SEC}	D^{f}
	$P(ManAm)_{10}-b-P(BA)_{15}$	N/A	11	0.060	-20.	97	4600 ^c	1.13
А	$P(ManAm)_{10}-b-P(BA)_{15}-b-P(BA)_{25}$	25	82	0.084	-36	99	16000 ^c	1.22
В	P(ManAm)10- <i>b</i> -P(BA)15- <i>b</i> -P(BA)75	75	94	0.085	-34	98	11000 ^c	1.33
С	$P(ManAm)_{10}-b-P(BA)_{15}-b-P(BA)_{100}$	100	100	0.088	-33	98	13000 ^c	1.19
D	$P(ManAm)_{10}-b-P(BA)_{15}-b-P(BA)_{154}$	154	127	0.082	-35	93	22000 ^c	1.55
Е	P(ManAm) ₁₀ - <i>b</i> -P(BA) ₁₅ - <i>b</i> -P(BA) ₂₀₀	200	146	0.13	-32	95	49000 ^c	1.63
F	P(ManAm) ₁₀ - <i>b</i> -P(BA) ₁₅ - <i>b</i> -P(BA) ₃₀₀	300	153	0.073	-33	90	41000 ^c	1.54
G	$P(ManAm)_{10}-b-P(BA)_{15}-b-P(BA)_{400}$	400	176	0.10	-33	75	61000 ^c	1.99
	P(PEGA) ₈ - <i>b</i> -P(BA) ₈	N/A	7	0.060	-10	96	6250 ^d	1.13
	P(PEGA) ₈ -b-P(BA) ₈ -b-P(BA) ₅₀	50	29	0.064	-20	>99	11500 ^e	1.22
	P(PEGA) ₈ -b-P(BA) ₈ -b-P(BA) ₇₅	75	50	0.078	-37	>99	14200 ^e	1.35
	P(PEGA) ₈ - <i>b</i> -P(BA) ₈ - <i>b</i> -P(BA) ₁₀₀	100	75	0.058	-36	>99	18400 ^e	1.39
	P(PEGA) ₈ - <i>b</i> -P(BA) ₈ - <i>b</i> -P(BA) ₁₅₀	150	93	0.060	-46	>99	22500 ^e	1.60
Η	P(PEGA) ₈ - <i>b</i> -P(BA) ₈ - <i>b</i> -P(BA) ₂₀₀	200	130	0.050	-46	>99	25700 ^e	1.86

Table 1. Characterisation of nanoparticles and their individual polymer arms synthesised with RAFT emulsion polymerisation. ^{*a*}Determined by DLS (number distribution), ^{*b*}PDi values calculated using equation S1 (see supplementary information), ^{*c*}Determined by DMF-SEC analysis with PMMA standards, ^{*d*}Determined by THF-SEC analysis with PMMA standards, ^{*e*}Determined by CHCl₃-SEC analysis with PMMA standards. ^{*f*}Dispersity values are for all populations in chromatogram, i.e not omitting any unconsumed macro-RAFT agent.

193

194 Aggregation Studies

195 Having synthesised a range of well-defined nanoparticles with PEG and mannose shells, these could be 196 used in lectin binding aggregation studies. Investigations into the lectin binding of glyco-nanoparticles 197 typically heavily relies on the use of UV-Vis turbidimetry, which allows particle aggregation to be tracked in real time in a straight forward manner.[11, 42] Turbidimetry is, however, affected by light 198 scattering of nanoparticles, the dilution effect upon solution addition, and provides limited information 199 regarding the particle binding and aggregation mechanism. By tracking aggregation online with DLS, 200 201 these issues can be overcome, and more information regarding the composition of aggregates and the 202 mechanism by which they form may be obtained. Similar techniques have previously been used, more 203 commonly to measure aggregation of metal nanoparticles (e.g. iron), with only a few examples for measuring polymer particle-lectin aggregation.[12, 14, 16, 18, 19] In order to evaluate the potential of 204 205 DLS as a technique to study the lectin binding of nanoparticles, both DLS and turbidimetry were used 206 and compared.

207 Method Optimisation

208 Before attempting an in-depth study it was necessary to optimize conditions for UV-Vis aggregation 209 experiments such that they could be transferred to DLS measurements without modification. In typical 210 UV-Vis lectin binding turbidimetric experiments, the particle solution is added to the lectin solution. 211 However, given the high viscosity of certain particle solutions that lead to blockage of the cannula, a 212 more reliable approach was to inject the Con A solution into the particle solution. DLS measurements must also be conducted without stirring or agitation due to the inherent Brownian motion of the particles 213 214 to calculate particle size. In order to assess if these prerequisites affect measurements, effect of stirring 215 and the order of addition (Con A to particles, or particles to Con A) on the aggregation was investigated, 216 using 82 nm P(ManAm) particles as models (Figure 3).

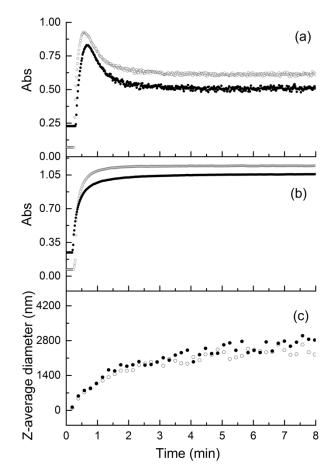


Figure 3. Effect of stirring and order of addition. (a) stirring UV-Vis (b) not stirring UV-Vis (c) not stirring DLS. Particle
solution into Con A solution (hollow circles), Con A solution into particle solution (filled circles)

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218

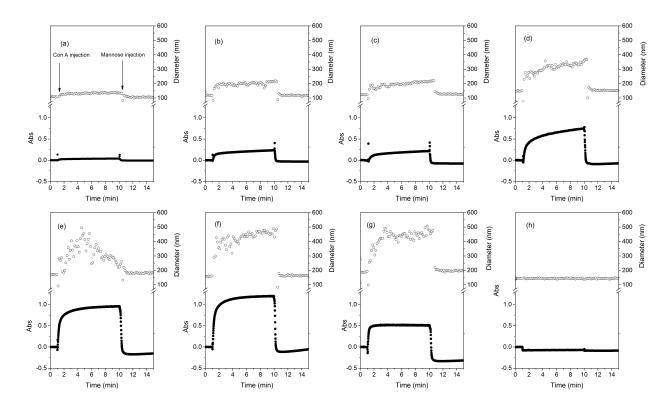
222 The order of addition showed little effect on final particle size in the DLS measurements and a 223 negligible effect on final turbidity or trace shape in the UV-Vis results. There is a small increase in 224 binding time when particles are added to Con A, which may be attributed to a slower diffusion of 225 particles through Con A solution than Con A through particle solution (Figure 3(c)). The effect of 226 stirring is, however, far more pronounced, seen in the UV-Vis traces (Figure 3(a)). For both sets of 227 experiments (with and without stirring) an initial sharp increase in absorbance was detected, related to 228 the aggregation between particles and Con A. In the absence of stirring, the absorbance plateaus to a 229 value between 0.8-1 (Figure 3(b)), however, the solutions, which were being stirred, showed a 230 subsequent decrease in absorbance between 20-100 s, before the absorbance plateaus to a much lower 231 final value of 0.6. A potential explanation for this phenomenon is that stirring increases particle 232 movement and, hence, collision between particles and aggregates, increasing the chance of successful 233 binding interactions between them. This higher rate of successful collisions causes the formation larger 234 aggregates more rapidly than in solutions without stirring. These aggregates become large enough to 235 sediment out of solution, only being kept in suspension by stirring and, ultimately, giving a lower

absorbance value. Further evidence supporting this hypothesis was observed optically, as mixtures, which had been stirred were observed to completely sediment within minutes, whereas not stirred solutions were stable up to 24 h. If the not stirred solutions were subsequently stirred, sedimentation occurred within minutes. Based on these results further experiment were performed by the addition of Con A into particle solution without stirring, allowing the use of DLS in tracking particle aggregation.

241

242 UV-Vis studies

243



244 245

Figure 4. Nanoparticle-lectin aggregation with both turbidimetric (filled circles) and DLS (hollow circles) for P(ManAm)
particles (a) 82 nm (b) 94 nm (c) 100 nm (d) 127 nm (e) 146 nm (f) 153 nm (g) 176 nm, and P(PEGA) particle (h)
130 nm. Arrows indicate time of injection for Con A and Mannose solutions.

249

After optimising test conditions, turbidimetric studies were conducted using UV-Vis spectroscopy, using PEG and ManAm coated particles across a variety of sizes. To conduct these measurements, a cuvette was placed in the machine loaded with the requisite nanoparticle solution and an absorbance reading was taken every second at 500 nm. After 60 s the Con A solution was added and immediately after the addition a sharp increase in absorbance was observed in all of the particles coated in ManAm, followed by a plateau in absorbance after a further 2 min. No response was detected for measurements using particles with a PEGA shell, confirming that the aggregation shown is due to lectin sugar 257 interaction between Con A and Mannose residues on the ManAm coated particles. After 10 min, an 258 excess of α -D-mannose was injected, causing a sharp drop in absorbance to below the original baseline 259 for all of the ManAm particles. This shows a reversible, non-covalent binding mechanism between Con 260 A and the mannose residues. The only response seen for PEG particles was a reduction in absorbance 261 due to the dilution cause by mannose addition, reducing the overall concentration of particles (Figure 4). 262 The results indicate that with increasing ManAm particle size a corresponding increase in the maximum 263 absorbance observed. The influence of the aggregate composition can, however, not be inferred from 264 this data, as the relationship between size and light scattering (Rayleigh scattering relationship) is non-265 linear.[43] To investigate the aggregation and the formed aggregates further, a different technique is 266 required. Online DLS measurements present a suitable way of obtaining this information.

267

268 DLS Studies

269 DLS measurements were performed by mixing Con A and particle solutions within the DLS 270 machine after readings had commenced via a cannula injection system allowing the solutions to be 271 combined without opening the sample chamber. Measurements were taken every 11.6 seconds and addition of Con A to particle solutions occurred after the 6th measurement into the experiment (69.9 s) 272 in all cases. During the experiments at the point of injection, an artificially low diameter is recorded as 273 274 the mixing causes the particles to move faster in solution than they would based solely on Brownian 275 motion.[44] The experiments were performed at the same concentration and are shown as Z-average 276 diameter over time in Figure 4, (diameter by intensity is shown in supporting information, Figure S11). 277 Particle size distribution by number was used to characterise initial particle size to minimise the 278 influence of any aggregation present, giving the most accurate representation of particle diameter. 279 Conversely, to determine the most accurate final aggregate size, the influence of free particles on the 280 measurement needed to be minimised, for this reason size distribution by intensity was used here.

281

282 All ManAm coated particles showed an initial increase in Z-average diameter upon the addition of Con 283 A due to aggregation, which then plateaus. Again no response is observed for PEG particles, confirming 284 that the mannose residues are solely interacting with Con A, while also confirming that the presence of 285 Con A does not cause any major discrepancy to size measurements using DLS. Similar to UV-Vis 286 experiments a solution of α -D-mannose was injected, leading to a sharp decrease in Z-average diameter 287 corresponding to the original particle diameter detected at the start of the experiment. No change in PEG 288 particle Z-Average diameter is seen on the addition of either Con A or α-D-mannose solutions, further 289 confirming that no dilution effect needs to be taken into account, when using DLS to measure

290 aggregation. The results presented to this point are broadly in agreement with the absorbance results 291 obtained for UV-Vis. However, using the data collected from the online DLS aggregation experiments it 292 is possible to obtain further information compared to UV-Vis. Firstly, after the Z-average diameter 293 increased and plateaued, a drift to larger diameter was observed for smaller particles (Figure 4). This 294 slow increase in apparent diameter is attributed to aggregation occurring in two distinct phases: Initially 295 a fast aggregation with a high concentration of free Con A and particles forming initial aggregates, 296 followed by secondary agglomeration between formed aggregates, slowly interacting with each other (and any free particles and Con A in solution), to slowly grow in size. Due to the nature of Rayleigh 297 298 light scattering in turbidimetric measurements, the initial phase of aggregation shows as a very large 299 increase in absorbance. This further growth in already formed aggregates will only produce a 300 comparably small change in absorbance, making it difficult to determine. This relationship was 301 observed when the DLS and UV-Vis data is plotted together, with Z-average diameter on a log₁₀ scale 302 and absorbance on a linear scale (Supporting information Figure S10). In this plot the two traces 303 overlap, suggesting that UV-Vis data alone, provides artificially short aggregation time. and that any 304 small aggregate growth after the initial increase would be difficult to determine.

305

Initial Particle Diameter (nm) ^a	Initial Particle Volume (nm ³)	Aggregate Diameter by Intensity (nm) ^{<i>a</i>}	Aggregate Vol $(nm^3)^b$	N _{agg}	N _{agg,th}
82	290,000	138	1,400,000	1.52	5.52
94	430,000	206	4,600,000	1.97	4.81
100	520,000	214	5,100,000	1.9	4.52
127	1,100,000	390	31,000,000	2.81	3.56
146	1,600,000	496	64,000,000	3.07	3.1
153	1,900,000	589	107,000,000	3.55	2.96
176	2,900,000	591	108,000,000	3.03	2.57

Table 2. Analysis of final aggregate diameters compared to initial particle diameter. ^aMeasured by DLS, ^bdetermined using
 aggregate diameter by intensity and formula for the volume of a sphere.

308

By using DLS, an estimation of aggregate volume can be made by using the final aggregate radius in the formula for the volume of a sphere. The cubed root of the aggregate volume, divided by the initial volume of the particles forming the aggregate, and multiplied by the ideal packing number of spheres (74%), gives an estimate of the aggregation number as particles per aggregate (ppa) formed.[45] This information can further be used by comparing it to the theoretical maximum number of aggregation at a diameter of 500 nm. This diameter marks the particle size limit for Brownian motion to overcome gravity and as such, the point at which sedimentation will occur, these results are shown in Table 2.[11] 316 The values for aggregate diameter were obtained by taking an average diameter (by intensity) after the 317 initial phase of aggregation had finished. A clear increase in aggregate size can be seen as the initial 318 particle diameter becomes larger, this of course, could simply be due to the aggregates being composed 319 of larger particles. However, by determining the number of particles needed to compose each aggregate, 320 an increase in aggregation number can be seen from 1.25 ppa for 82 nm diameter particles, to 2.85 ppa for particles 153 nm in diameter. Data for the largest two particles (153 and 176 nm) is, however, 321 322 unreliable due to high dispersity and large aggregate size. Looking at the relationship between number of aggregation for each particle and the theoretical maximum number of aggregation, it can be seen that 323 324 as the initial particle diameter increases, the observed number of aggregation approaches the theoretical 325 maximum, until it is exceeded by the two largest particles. This further confirms the hypothesis that the 326 DLS data for particles of diameter 153 and 176 nm is unreliable, and that an aggregate size of 500 nm 327 represents an upper size limit for DLS to determine.

328

It is hypothesised that the increasing number of aggregation observed as particle diameter increases is related to the increased surface area of the initial particle. Larger particles will have more mannose residues presented on their surface and thus be able to interact with more Con A. In having more Con A associated to the surface of a particle, it is statistically more likely to have a successful binding interaction upon collision with another mannose decorated particle. Furthermore, the contact angle between two particles interfaces decreases with increasing particle size leading to an increased area of interaction with Con A and thus a corresponding increase in possible number of aggregation.

336

337 The data presented here shows that by using online DLS measurements particle-lectin binding can provide data equivalent to that produced with a UV-Vis turbidity technique. Whilst turbidimetric 338 339 measurements are useful as a qualitative measure of aggregation, a definite time for binding cannot be 340 determined. Turbidimetry is also greatly affected by the light scattering ability of particles, and the 341 dilution effect observed upon solution injection. An online DLS measurement however provides the same information but gives a more clear determination of how aggregation is occurring throughout the 342 343 reaction. In contrast to turbidimetry light scattering of particles has no adverse effects on the 344 measurement. By producing a final aggregate size, a number of aggregation per particle can also be estimated, which represents a robust way of measuring the effect of particle size on aggregation. 345 346 However, by using DLS an upper size limit of 500 nm for particles and aggregates is introduced, past 347 which data becomes unreliable.

349

350 **3. Conclusions**

In conclusion, the synthesis of short amphiphilic di-block copolymers via RAFT polymerisation has been demonstrated. These macro chain-transfer agents were used to produce a wide range of welldefined polymer particles utilizing RAFT emulsion polymerisation. Particles were stabilised in solution by a shell of P(PEGA) or P(ManAm), respectively, depending on the di-block copolymer used.

355

Using these particles, lectin binding studies using turbidimetric and online-DLS measurements in the presence of Con A were performed. Increasing particle size has shown to improve lectin binding using both methods. DLS offers a robust, quick and easy technique for particle-lectin aggregation studies and avoids issues of changes in absorbance caused by light scattering as well as dilution factors. Furthermore, the technique enables detailed insight into aggregate formation and composition, valid up to an aggregate diameter of 500 nm. Future studies will focus on the interaction of varied glyco-particles with more bio-applicable lectins.

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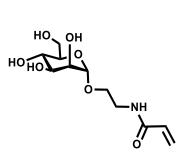
364 4. Acknowledgement

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AML; SP) are acknowledged for financial support.

- 367
- 368 Experimental

369 Materials and methods

- 370 Materials and methods can be found in the supplementary information section.
- 371 Synthesis
- 372 Mannose Acrylamide[34]



373

374 7.5 g (0.0192 mol, 1.13 eq) of α-D-mannose pentaacetate and 2.01 g (0.017 moles, 1eq) of hydroxyl

375 ethyl acrylamide were dissolved in 77 mL of anhydrous DCM in a 250 mL round bottomed flask (RBF)

376 equipped with a magnetic stirrer and an appropriately sized rubber septum. The reaction mixture was

377 purged with nitrogen gas and 13.33 g of boron trifluoride diethyl etherate (0.044 mol, 11.6 mL) was 378 transferred using a gas-tight Hamilton syringe charged with nitrogen. The reaction mixture was 379 consequently subjected to four cycles of 10 min sonication and 5 min rest prior to stirring at ambient 380 temperature for 48 h. The progress of the reaction was monitored with thin layer chromatography (TLC) 381 using a 9:1 chloroform: methanol mixture (v/v), and stained with 5% sulfuric acid in ethanol. Once 382 complete the reaction mixture was then diluted with two parts DCM and washed thoroughly three times 383 with brine then water in an appropriately sized separating funnel. The organic phase was dried over magnesium sulfate, filtered *via* vacuum filtration and the solvent removed under reduced pressure at a 384 385 temperature no higher than 30°C leaving an orange brown viscous liquid. This was dissolved in 40 mL 386 of potassium carbonate in methanol, purged with nitrogen gas and stirred at ambient temperature for 24 387 h. The pH was adjusted to pH 7 with a Dowex 50WX4 hydrogen form exchange resin and stirred until the pH was fully adjusted. The Dowex resin was removed with vacuum filtration and solvent removed 388 389 under reduced pressure at a temperature no higher than 30°C. The crude product was purified via column chromatography on an 80 g silica column and eluted with a 2:8 methanol: chloroform mixture at 390 a flow rate of 1 mL min⁻¹, on an auto-column equipped with a UV-Vis detector set to 308 nm. The 391 product was found to elute at around 15 min. Product fractions were combined, the solvent evaporated 392 393 to less than 10 mL under reduced pressure and subsequently freeze dried to yield the pure monomer as a 394 white powder.

¹H NMR (D₂O, 400 MHz) δ_{H} : 6.13 (dt, J = 31.0, 13.5 Hz, 2H, CH₂CH), 5.65 (d, J = 10.0 Hz, 1H, CH₂CH), 4.74 (s, J = 16.2 Hz, 1H, CHO₂CH), 3.80 (s, J = 122.4 Hz, 1H, CH₂OH), 3.76 – 3.28 (m, 9H).

397 13 C NMR (D₂O, 400 MHz): δ 129.75 (s) (CHCH₂), 121.41 (m) (CH₂CH), 99.61 (s) (CH₂OH), 72.71 (s)

398 (CHO), 70.39 (s) (CHO), 69.92 (s) (CHO), 66.52 (s) (CHO), 65.69 (s) (CHO), 60.76 (m) (CH2O),

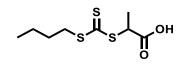
399 37.77 (m) (CH₂NC).

400 MS *m*/*z* [M+Na]⁺: 300.1 (MS_{th}: 300.9)

401 IR (cm⁻¹): 3275 (b), 2928 (b), 1656 (n), 1624 (m), 1548 (b), 1409 (m), 1317 (w), 1249 (m), 1131 (m), 402 1089 (m), 1051 (s), 1023 (s).

403

404 *2-(((butylthio)carbonothioyl)thio)propanoic acid*[25]



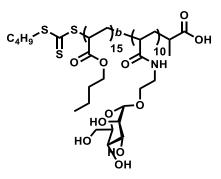
405

406 A 50% w/w sodium hydroxide solution (9.68 g NaOH, 0.242 mol, 1.1 eq) in water was added to a 407 mixture of butanethiol (20 g, 0.22 mol, 1 eq) dissolved in acetone (11 mL). Water (40 mL) was added 408 and the solution was stirred for 30 min at room temperature. Carbon disulphide (17.32 g, 0.228 mol, 409 1.025 eq) was added and the orange solution was stirred for 30 minutes at room temperature, then 410 cooled in ice below 10°C. 2- Bromopropionic acid (34.9 g, 0.228 mol, 1.025 eq) was added slowly, 411 monitoring the temperature, and subsequently a further 19.36 g of 50% w/w sodium hydroxide solution 412 was added. The reaction mixture was left to stir for 18 h at ambient temperature. 200 mL of water was 413 added to the reaction mixture, cooled in ice, and a 10 M solution of HCl was added dropwise until the 414 pH reached between 2-3. The resulting precipitate was filtered, washed with water, and recrystallised in hot hexane to afford 36.53 g of 2-(((butvlthio)carbonothiovl)thio)propanoic acid. Yield = 70%. 415

- 416
- 417 ¹H NMR (400 MHz, CDCl₃) $\delta_{\rm H}$ 6.06 (br, 1H, CO₂H), 4.86 (q, *J* = 7.4 Hz, 1H, SCH), 3.37 (t, *J* = 7.4 Hz,
- 418 2H, CH₂S), 1.69 (quint, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, CH₂S), 1.63 (d, J = 7.4 Hz, 3H, SCHCH₃), 1.43 (sext, J = 7.5 Hz, 2H, SCHCH₃), 1.

419 7.5 Hz, 2H, CH₃CH₂CH₂), 0.94 (t, J = 7.3 Hz, 3H, CH₃CH₂).

- 420 ¹³C NMR (75 MHz, 298 K CDCl₃) δ_c 175.4 (COOH), 47.2 (SCH), 37.1(CH₂S), 29.88 (CH₂CH₂S),
- 421 22.1(CH₃CH₂CH₂), 16.4 (CH₃CH), 13.6 (CH₃CH₂CH₂).
- 422 FTIR (cm⁻¹): 3093, 2958, 2929, 2871, 2362, 2340, 1454, 1412, 1285, 1230, 1200, 1089, 1059, 912, 861.
- 423 MS (ESI) m/z 237.0 [M-H], 238 [M-]
- 424
- 425 Poly(Mannose Acrylamide)₁₀-poly-*n*-(butyl acrylate)₁₅ synthesis



426

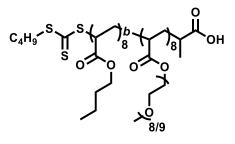
Mannose acrylamide (1g, 3.62 mmol), 2-((butylthio) carbonothioyl) thio)propanoic acid (PABTC) 427 (0.0864 g, 3.62x10⁻⁴ mol), and 4,4'-Azobis (4-cyanovaleric acid) (ACVA) (from a pre-made stock 428 solution of 10mg mL⁻¹ in DMF:water (70:30) mix) (0.0508 g, 1.81x10⁻⁴ mol) were dissolved in a 429 430 mixture of DMF:water (70:30) to a total volume of 10.8 mL in a 25 mL round bottomed flask with a 431 magnetic stirrer bar. The flask was sealed with an appropriate rubber septum and purged of oxygen with nitrogen gas for ten minutes before immersing it into a preheated oil bath at 70°C and stirred for seven 432 433 hours. Monomer conversion was determined by ¹H NMR spectroscopy in D₂O, by comparison of the 434 ratio of vinyl peak (δ =6.08) and RAFT agent CH3 z-group butyl chain end group peak (δ =0.78). The polymer was analysed by SEC with a DMF eluent at 30°C (M_n SEC=2450 g mol⁻¹ D=1.27). To chain 435

extend the synthesised P(ManAm)₁₀ macro-RAFT agent, *n*-butyl acrylate was purged of oxygen with nitrogen for ten minutes, and $1.3g (1.01 \times 10^{-2} \text{ mol}, 1.45 \text{ mL})$ was injected into the 25mL round bottomed flask using a dry Hamilton syringe, purged with nitrogen. The round bottomed flask was then immersed in an oil bath set to 70°C and stirred for seven hours. Monomer conversion was determined by ¹H NMR spectroscopy in *d*₆-DMSO, by comparison of the ratio of vinyl peak (δ =5.94) and RAFT agent CH3 zgroup butyl chain end group peak (δ =0.83). The polymer was analysed by SEC with a DMF eluent at 30°C.

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447 P(PEGA)₈-b-P(BA)₈ Synthesis



448

PABTC (0.31 g, 1.30 x 10^{-3} mol), PEGA (5 g, 10.4 x 10^{-3} mol) and ACVA (from a pre-made stock 449 solution in 1,4-dioxane) (18 mg, 6.51 x 10⁻⁵ mol) were dissolved in 4.9 mL 1,4-dioxane in a 25 mL 450 451 round bottom flask equipped with a magnetic stirrer bar. The solution was fitted with an appropriate 452 sized rubber septum, and purged with nitrogen for 20 minutes. The round bottom flask was 453 subsequently immersed in an oil bath preheated to 70°C and stirred for 3 h. The reaction vessel was 454 cooled to ambient temperature and opened to oxygen to quench further polymerisation. The pre-cursor polymer was precipitated into a mixture of 20% hexane and 80% diethyl ether (v/v), collected by 455 456 dissolving in 10 mL of 1,4-dioxane, and the precipitation repeated once more. Finally, the precipitated 457 polymer was dissolved into DCM, transferred to a 20 mL vial, the DCM evaporated and dried in a vacuum oven overnight at 40°C to yield P(PEGA)₈ as a yellow viscous liquid (4.5 g). For the second 458 stage of the polymerisation, *n*-butyl acrylate (0.9 g, 7.03×10^{-3} mol) and ACVA (from a pre-made stock 459 solution in 1.4-dioxane) (12.3 mg, 4.36 x 10⁻⁵ mol) were added to 3.58 g of P(PEGA)₈ dissolved in 5.92 460 461 mL of 1,4-dioxane in a 10 mL round bottom flask. The polymerisation mixture was purged with 462 nitrogen for 20 minutes and heated to 70°C for 3 h. The resulting polymer solution was cooled to room 463 temperature and subsequently purified by precipitation in ice-cold hexane. The yellow viscous liquid 464 was re-dissolved in dichloromethane and the precipitation was repeated once more. Finally, the solvent was evaporated under reduced pressure to yield the di-block macro-RAFT agent as a yellow viscousliquid (3.5 g).

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- 469

470 General method for RAFT-mediated emulsion polymerisation

471 Nanoparticles of different sizes were prepared by altering the ratio of di-block macro-RAFT agent to 472 monomer in an emulsion polymerisation. As an example $P(ManAm)_{10}-b-P(BA)_{15}-b-P(BA)_{400}$ was prepared as follows. NaOH (14.3 mg, 3.6 x 10⁻⁴ mol) was added to a suspension of ACVA (50 mg, 1.8 x 473 10^{-4} mol) in water (10 mL) to ensure full solubility. P(ManAm)₁₀-*b*-P(BA)₁₅ (0.015 g, 3.13 x 10^{-6} mol) 474 was dissolved in 0.645 mL of water, in a 2 mL vial fitted with a cap incorporating a rubber septum and 475 476 equipped with an appropriate magnetic stirrer. 0.175 mL of the above ACVA stock solution was added. and the solution was deoxygenated with nitrogen gas for 20 minutes. *n*-BA (0.160 g, 1.25×10^{-3} mol) 477 was separately deoxygenated in a vial for 10 minutes. The macro-RAFT agent solution was immersed in 478 479 a 70°C oil bath, the deoxygenated n-BA was injected immediately and the RAFT emulsion 480 polymerisation was stirred for 3 h at 70°C at 400 RPM. After approx, 10 min, the emulsion turned a 481 milky white as the polymerisation proceeded. P(PEGA) mediated RAFT emulsion polymerisations were 482 performed at ten folder higher scale in an identical manner.

483

484 General method for UV-Vis aggregation studies

Turbidimetric studies were conducted by diluting 12.5 µL of undiluted particle solution with 1.3 mL of 485 10 mM phosphate buffer in a 4.5 mL polystyrene cuvette, and placed in the UV-Vis spectrometer. In a 486 separate 4.5 mL polystyrene cuvette a stock solution of 2.027x10⁻⁵ M Concanavalin A in 10 mM 487 phosphate buffer was prepared for use with P(ManAm) and poly (PEGA) particles. Absorbance 488 489 readings were taken every second at 500 nm, with 185.5 µL of Con A stock solution being added after 60 s at which point the lid of the spectrometer opened, 250 μ L of Con A in phosphate buffer (2.027x10⁻⁵ 490 M) was added with an Eppendorf pipette, mixed twice and to induce aggregation. After a further 9 min. 491 50 μ L of mannose in phosphate buffer (375 mg mL⁻¹) was added with an Eppendorf pipette and mixed 492 493 twice to induce competitive binding with the glycosylated nanoparticles. The absorbance was monitored 494 for a further 10 min. Readings were taken using an Agilent Carey 60 UV-Vis machine with Agilent 495 software and analysed using Origin.

496

497 **DLS** Aggregation

498 DLS measurements were taken using a Malvern instruments Zetasizer Nano at 25°C with a 4 mW He-499 Ne 633 nm laser at a scattering angle of 173° (back scattering). For P(ManAm) particle DLS 500 aggregation studies, 12.5 µL of particle solution was diluted with 1.2375 mL of 10 mM phosphate 501 buffer to make a total of 1.25 mL in a 4.5 mL polystyrene cuvette. The cuvette was fitted with a size 23 502 septum, which was pierced with a cannula attached to a 250 µL Hamilton glass syringe. The cannula 503 was positioned such that, solution ejected through it would run down the side of the cuvette. This 504 prevented the creation of any air bubbles that may have interfered with measurements. The cuvette was 505 placed into the Zetasizer, and the lid closed with the syringe exiting through a slit at the side of the instrument. In a separate 4.5 mL polystyrene cuvette a stock solution of 2.027x10⁻⁵ M Concanavalin A 506 507 in 10 mM phosphate buffer was prepared for use with P(ManAm) particles. The Zetasizer was set to 508 take a size reading every 10 s for 1 h, however a delay of 1.66 s was recorded between each reading, 509 adding 598 s to each hour, for which the results have been amended. After the sixth reading, 250 µL of 2.027x10⁻⁵ M Concanavalin A stock solution was injected via the cannula giving a final volume of 1.5 510 mL. The final concentration of Concanavalin A and side chain residue was 3.125x10⁻⁵ M and 2.608x10⁻⁴ 511 M respectively. The same technique was then repeated with the addition of 250 μ L of 75 mg mL⁻¹ 512 513 mannose in phosphate buffer being injected via the syringe cannula after 10 min (to allow full 514 aggregation).

515

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