

1 **Impact force identification with pseudo-inverse method on a lightweight structure for under-**  
2 **determined, even-determined and over-determined cases**

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17 **Abstract**

18 Force identification using inverse technique is important especially when direct measurement  
19 through force transducer is not possible. Considering the effects of impact excitation force on the  
20 integrity of a lightweight structure, impact force identification has become the subject of several  
21 studies. A methodology utilising Operating Deflection Shape (ODS) analysis, Frequency Response  
22 Function (FRF) measurement and pseudo-inverse method to evaluate the dynamic force is  
23 presented. A rectangular plate with four ground supports was used as a test rig to simulate the  
24 motions of a simple vehicle body. By using the measured responses at remote points that are away  
25 from impact locations and measured FRFs of the test rig, unknown force locations and their time  
26 histories can be recovered by the proposed method. The performance of this approach in various

27 cases such as under-determined, even-determined and over-determined cases was experimentally  
28 demonstrated. Good and bad combinations of response locations were selected based on the  
29 condition number of FRF matrix. This force identification method was examined under different  
30 response combinations and various numbers of response locations. It shows that in the over-  
31 determined case, good combination of response locations (i.e. low average of condition number of  
32 FRF matrix) and high number of response locations give the best accuracy of force identification  
33 result compared to under-determined and even-determined cases.

34

35 **Keywords:** condition number; frequency response function; impact force identification; pseudo-  
36 inverse method; response combination

## 37 **1. INTRODUCTION**

38 Identification of dynamic force excitation on a system is important for performance evaluation,  
39 design optimisation, noise suppression, vibration control as well as condition monitoring. However,  
40 there are many situations where the direct measurement of the excitation forces is not possible or  
41 feasible. For example, engine torque pulses and shaking forces are difficult to measure since these  
42 forces are distributed throughout the engine [1]. In such a case, direct measurement by using force  
43 transducer is not possible due to the difficulty of installation and dynamic characteristic altering  
44 problem [2]. Therefore, force identification using the inverse method has been developed widely to  
45 solve the problem. Much research has been carried out to find the unknown dynamic forces by  
46 using the inverse method [3 – 6].

47 Impact force is the main cause for material fatigue of many structures especially in  
48 lightweight structures, so it is useful to understand the characteristics of loading profile, such as  
49 impact location and its time history [7]. At the development and modification stage of a lightweight  
50 structure design, better information about the loads experienced by the structure through the  
51 iteration process will assist the development resulting in a better design. Identification of the input

52 forces and their locations are helpful to identify areas that are more susceptible to damage. The  
53 amplitude of force reflects the vibration condition of the structure so that any requirements for  
54 stiffening or structural modification can be identified to preserve a better structural integrity. Once  
55 the vibration force can be modelled with practical accuracy, the result can be used as a database for  
56 Computer-Aided Engineering (CAE) simulations in trouble-shooting and design improvement  
57 analysis for noise and vibration [5]. For example, Hu and Fukunaga [8] developed a Finite Element  
58 Method (FEM) model based on the identified force to estimate the various possible internal  
59 damages caused by the excitation force. Based on the information of identified forces, real-time  
60 health monitoring of a structure is now possible rather than the conventional non-destructive  
61 inspection techniques [9, 10] which are not feasible for the on-line operation. Furthermore,  
62 identified force is also valuable in other applications. For example, Rahman et al. [11] utilised the  
63 identified cyclic loads from ODS of a T-plate structure to determine a suitable excitation level to be  
64 used in Impact-Synchronous Modal Analysis (ISMA) [12,13].

65         The force identification method is used to predict the unknown force from dynamic  
66 responses, measured using accelerometers [14] or strain gauges [15]. The measured acceleration  
67 responses can be integrated [14] or the measured displacement responses can be differentiated [15]  
68 to a form that suits the inverse algorithm. Moreover, the force identification method can be applied  
69 for various types of structures, such as beams [14], rods [15] and plates [16]. Many dynamic force  
70 identification methods have been developed and a majority of them can be categorised into the  
71 direct method and the optimisation method [17].

72         The direct method identifies excitation force directly by multiplying the system's inverse  
73 Frequency Response Function (FRF) with the measured responses. It can be typically classified into  
74 two types, i.e. FRF based direct inverse method and Modal Transformation Method (MTM). For  
75 example, Hundhausen et al. [18] applied the FRF based direct inverse method to estimate impulsive  
76 loads acting on a standoff metallic thermal protection system panel. Hollandsworth and Busby [14]  
77 used the MTM to recover the impact force acting on a beam. In general, FRF based direct inverse

78 method has advantages over the MTM in terms of force identification accuracy.

79           The optimisation method finds the unknown input force by matching the estimated and  
80 measured responses. For example, Yan and Zhou [19] identified the impact load by using genetic  
81 algorithm. Liu and Han [7] conducted a computational inverse procedure to determine the transient  
82 loads. Huang et al. [20] applied the optimisation method to identify the impact force from  
83 acceleration measurement on a vibratory ball mill. In addition, Sewell et al. [21] presented a  
84 development of the artificial neural network difference method to improve accuracy of the Inverse  
85 Problem Engine's output. Compared to the direct method, the optimisation method and artificial  
86 intelligent method require higher computational and training time.

87           Inversion of transfer function (i.e. Frequency Response Function) matrix that has high  
88 condition number and contains measurement errors, will amplify errors in the reconstructed forces.  
89 This is known as ill-posed problem. Uhl [22] stated that if a problem of load identification is non-  
90 collocated, the ill-posed problem can be encountered. He stated that a non-collocated problem  
91 occurs if at least one of the loads does not have a distinguishable influence on any of the sensors.  
92 This is typical for many cases, such as a bump-excited impact force, where it is not possible to place  
93 accelerometers at the contact points where the loads are generated. Martin and Doyle [23] had  
94 clarified some fundamental difficulties and issues involved in this ill-posed problem for the case of  
95 impact force identification.

96           Many regularisation methods such as Tikhonov regularisation [24], Singular Value  
97 Rejection method [25] and Singular Value Decomposition (SVD) method [26] have been developed  
98 to transform the ill-posed problem into a well-posed problem. Liu and Shepard [27] demonstrated  
99 that regularisation is only necessary near the structural resonances. On the other hand, Moore-  
100 Penrose pseudo-inverse method [28] adopts a least-square solution of the predicted force by  
101 forming an over-determined problem, which uses more equations than the number of applied forces  
102 to solve the problem. This improves the condition number of the inverse problem, thus reducing the  
103 error of identified force to a certain extent.

104           According to Liu and Han [29], force identification problem can become well-posed once  
105 the force location is known in advance. Thus, there are several studies which predict the excitation  
106 location prior to predicting the magnitude of the unknown force. For example, Boukria et al. [16]  
107 utilised an experimental method based on the minimisation of an objective function created from  
108 the transfer functions of several impact locations and measuring points to locate the impact force.  
109 Then, the magnitude of the unknown force was identified using Tikhonov method. Briggs and Tse  
110 [30] used a pattern matching procedure to identify the location of impact forces on a structure,  
111 followed by application of extracted modal constants method to obtain the magnitude of the  
112 unknown force. In contrast to the mentioned method, Hundhausen et al. [31] used pseudo-inverse  
113 direct method which is able to identify both impact location and magnitude simultaneously. It  
114 reduces the computational time and cost. The unknown force locations and their magnitudes can  
115 also be adequately identified when the assumed forces are located at where the actual forces act  
116 [32].

117           Instead of utilising the conventional regularisation methods such as Tikhonov method and  
118 SVD method to treat the ill-posed FRF matrix, Thite and Thompson [25] proposed an alternative  
119 method (i.e. minimum condition number method) to reduce the errors of the identified force. They  
120 demonstrated that the selection of response locations with low condition number can reduce the ill-  
121 conditioned nature of the FRF. Zheng et al. [33] further improved the method by using coherence  
122 analysis, which reduces the computational time and cost. Hence, it is more effective for large-scale  
123 models.

124           In order to reduce the number of sensors used to determine the unknown forces, even-  
125 determined case and under-determined case (i.e. number of unknown forces is equal to or greater  
126 than the number of equations) cannot be neglected. Furthermore, generation of a robust force  
127 identification method for all the three cases (i.e. under-determined, even-determined and over-  
128 determined cases) will eventually create a greater competitive advantage to meet customer  
129 demands. Previous studies on FRF based direct inverse method and selection of response locations

130 focused on the over-determined case only. The current study initiates an effort to examine the  
131 effectiveness of impact force identification via the direct inverse method in all the three cases. In  
132 addition, the effect of number of response locations and selection of response locations based on  
133 average of condition number are examined for each case.

134 Previous FRF based direct inverse method was mainly applied to collocated cases. In cases  
135 where the impact locations are inaccessible, such as bump-excited impact force on a vehicle, a non-  
136 collocated force identification method had to be performed by using responses collected at remote  
137 points. This current study recommends using responses obtained from remote accelerometers for  
138 impact force identification via the pseudo-inverse method. The method uses an algorithm which is  
139 able to inverse a non-square matrix. Thus, it is applicable to all the three cases. The performance of  
140 this force identification method is experimentally verified for the under-determined, even-  
141 determined and over-determined cases.

## 142 **2. THEORY**

### 143 ***2.1 Frequency Response Function***

144 Frequency Response Function (FRF) is a transfer function that describes the complex relationship  
145 between input and output in the frequency domain. It contains the dynamic characteristics of a  
146 system, and it is a complex function which is transformable from Cartesian coordinate to polar  
147 coordinate and vice versa. FRF only depends on geometric, material and boundary properties of a  
148 linear time invariant system, and it is independent of the excitation types. An experimental  
149 determination of FRF has the advantage of being applicable to all types of structure and this is  
150 useful in structures that have complex boundary conditions. The raw FRF is obtained by use of Eq.  
151 (1), which is known as FRF measurement as follows [34]:

$$152 \quad H_{IJ}(\omega) = \frac{CS_{IJ}(\omega)}{AS_{JJ}(\omega)} = \frac{\ddot{X}(\omega)Q^*(\omega)}{Q(\omega)Q^*(\omega)}, \quad (1)$$

153 where  $I$  and  $J$  are output response Degree of Freedom (DOF) and input force DOF respectively.  
 154 FRF coefficient,  $H_{IJ}$  is the ratio of the cross spectrum,  $CS_{IJ}$  between input force,  $Q$  and output  
 155 acceleration response,  $\ddot{X}$  to the auto spectrum,  $AS_{JJ}$  of the input.  $\omega$  is the angular frequency.  $\cdot^*$  is a  
 156 complex conjugate function.

## 157 **2.2 Operating Deflection Shape**

158 Operating Deflection Shape (ODS) can be defined as any forced motion of two or more DOFs  
 159 (points and directions) on a machine or structure [35]. An ODS analysis can animate the vibration  
 160 pattern of a structure as a function of time or for a specific frequency. For a non-stationary signal  
 161 such as a transient signal, time-based ODS is extremely useful in giving an overall vibration pattern  
 162 compared to frequency-based ODS. In this study, time-based ODS is used where all channels of  
 163 data are acquired simultaneously by using a multi-channel acquisition system. This method is  
 164 suitable for a small scale test object and it is time efficient.

## 165 **2.3 The Discrete Fourier Transform**

166 Measured traces in time domain can be transformed into frequency domain or vice versa by using  
 167 forward and backward Discrete Fourier Transform (DFT) as shown in Eqs. (2) and (3) as follows  
 168 [36]:

$$169 \quad F[r] = \frac{1}{BS} \sum_{u=0}^{BS-1} T[u] e^{-2\pi i r u / BS} \Bigg|_{r=0,1,2,\dots,BS-1}, \quad (2)$$

$$170 \quad T[u] = \sum_{r=0}^{BS-1} F[r] e^{2\pi i r u / BS} \Bigg|_{u=0,1,2,\dots,BS-1}, \quad (3)$$

171 where  $F[r]$  and  $T[u]$  are sampled sequences of frequency trace and time trace that have finite length  
 172 (i.e. non-zero for a finite number of values) at  $r^{\text{th}}$  sample and  $u^{\text{th}}$  sample respectively. Block size,  
 173  $BS$ , is the total number of collected samples. The mathematical operations are evaluated at  $r = 0, 1,$

174 ...,  $BS-1$  and  $u = 0, 1, \dots, BS-1$ .  $i$  is equal to  $\sqrt{-1}$ .

175 In general, Fast Fourier Transform (FFT) is an efficient algorithm for the computation of the  
176 DFT. This is only true if  $BS$  is a power of two. Their computation speed is obvious when their total  
177 number of multiply and add operations are compared, known as  $(BS)^2$  for DFT and  
178  $(BS) * \log_2(BS)$  for FFT. For example, FFT is 341 times faster than DFT for  $BS$  that is equal to  
179 4096 samples. In terms of accuracy, both of them produce the same result. Thus, FFT and Inverse  
180 Fast Fourier Transform (IFFT) are preferably used in the transformation between frequency and  
181 time domains.

#### 182 **2.4 Impact force identification by using pseudo-inverse method**

183 The spatial coordinate equation of motion for forced vibrations of  $n$  DOF system with viscous  
184 damping which describes the test rig under analysis is shown in Eq. (4) as follows:

$$185 \underset{nxn}{[M]} \{ \underset{nx1}{\ddot{X}(t)} \} + \underset{nxn}{[C]} \{ \underset{nx1}{\dot{X}(t)} \} + \underset{nxn}{[S]} \{ \underset{nx1}{X(t)} \} = \{ \underset{nx1}{Q(t)} \}, \quad (4)$$

186 where  $[M]$ ,  $[C]$  and  $[S]$  are  $n$  by  $n$  matrices of mass, damping and stiffness respectively.  $\{ \ddot{X}(t) \}$ ,  
187  $\{ \dot{X}(t) \}$ ,  $\{ X(t) \}$  and  $\{ Q(t) \}$  are  $n$  by 1 time varying acceleration, velocity, displacement and force  
188 vectors respectively.  $t$  is time.

189 The general solution of the linear time invariant vibration system above is expressed in time  
190 domain and frequency domain as shown in Eqs. (5) and (6) respectively. The detailed derivation  
191 from Eq. (4) to Eqs. (5) and (6) was explained by Wang [17].

$$192 \ddot{X}(t) = \int_0^t H(t-\tau) Q(\tau) d\tau, \quad (5)$$

$$193 \{ \underset{nx1}{\ddot{X}(\omega)} \} = \underset{nxn}{[H(\omega)]} \{ \underset{fx1}{Q(\omega)} \}, \quad (6)$$

194 where  $\ddot{X}(t)$  and  $Q(\tau)$  are the acceleration response and input force in time domain respectively.

195  $H(t)$  is the impulse response function.  $t$  and  $\tau$  are time.  $\{ \ddot{X}(\omega) \}$  and  $\{ Q(\omega) \}$  are  $n$  by 1



196 acceleration and force vectors in frequency domain respectively.  $[\mathbf{H}(\omega)]$  is a  $n$  by  $f$  raw FRF matrix.  
 197  $\omega$  is the angular frequency.  $n$  and  $f$  are total number of responses and forces respectively where  
 198 response DOF,  $I = 1, 2, \dots, n$  and force DOF,  $J = 1, 2, \dots, f$ . Note that raw FRF matrix and raw  
 199 acceleration response are measured by using FRF measurement [34] and time domain ODS analysis  
 200 [35].

201 By multiplying pseudo-inverse,  $pinv$  of measured FRF matrix to measured response vector,  
 202 the unknown forces can be recovered as shown in Eq. (7). The pseudo-inverse algorithm is shown  
 203 in Eq. (8).

$$204 \left\{ \mathbf{Q}(\omega) \right\}_{f \times 1} = pinv \left\{ [\mathbf{H}(\omega)] \right\}_{n \times f} \left\{ \ddot{\mathbf{X}}(\omega) \right\}_{n \times 1}, \quad (7)$$

$$205 pinv \left\{ [\mathbf{H}(\omega)] \right\} = inv \left\{ [\mathbf{H}(\omega)]^h [\mathbf{H}(\omega)] \right\} [\mathbf{H}(\omega)]^h, \quad (8)$$

206 where  $inv$  is the direct inverse method.  $\cdot^h$  is the complex conjugate transpose of a matrix.

207 This inverse problem can be categorised into three cases depending on the total number of  
 208 known responses,  $n$  and total number of unknown forces,  $f$ :

209 (i) Under-determined case: Coefficient  $n$  is less than coefficient  $f$ .

210 (ii) Even-determined case: Coefficient  $n$  is equal to coefficient  $f$ .

211 (iii) Over-determined case: Coefficient  $n$  is greater than coefficient  $f$ .

212 By using pseudo-inverse method, non-collocated (i.e. force and response locations are  
 213 different) responses are sufficient to identify unknown force. This means that force identification  
 214 can be done by using remote responses that are a distance away from the impact location. This is  
 215 illustrated as shown in Eq. (9). Note that force at point 1 can be identified from any responses other  
 216 than point 1 itself.

$$217 \left\{ \begin{matrix} Q_1(\omega) \\ Q_2(\omega) \\ \vdots \\ Q_n(\omega) \end{matrix} \right\} = pinv \begin{bmatrix} H_{2,1}(\omega) & H_{2,2}(\omega) & \cdots & H_{2,f}(\omega) \\ H_{3,1}(\omega) & H_{3,2}(\omega) & \cdots & H_{3,f}(\omega) \\ \vdots & \vdots & \ddots & \vdots \\ H_{n,1}(\omega) & H_{n,2}(\omega) & \cdots & H_{n,f}(\omega) \end{bmatrix} \left\{ \begin{matrix} \ddot{X}_2(\omega) \\ \ddot{X}_3(\omega) \\ \vdots \\ \ddot{X}_n(\omega) \end{matrix} \right\} \quad (9)$$

218

## 219 **2.5 Selection of response locations based on average of condition number**

220 Different combinations of response locations will have different condition number. In fact,  
221 condition number of a matrix measures the sensitivity of the solution of a system of linear equations  
222 to errors in the data, and gives an indication of the ill-conditioning of the matrix. Note that  
223 condition number near 1 is said to be well-conditioned; condition number far away from 1 is said to  
224 be ill-conditioned. The condition number for a square and a non-square matrix can be calculated as  
225 follows:

$$226 \quad CN(\omega) = \underset{nx_f}{norm2}\{[\mathbf{H}(\omega)]\} * \underset{nx_f}{norm2}\{pinv\{[\mathbf{H}(\omega)]\}\}, \quad (10)$$

227 where  $CN$  is the condition number of a FRF matrix.  $norm2$  is the largest singular value of a matrix.

228 Average of condition number can be obtained for every combination of response locations  
229 as follows:

$$230 \quad Avg\_CN = \frac{1}{BS} \sum_{r=1}^{BS} CN_r, \quad (11)$$

231 where  $Avg\_CN$  is the average of condition number. Block size,  $BS$  is the total number of collected  
232 samples.  $CN_r$  is the  $r^{\text{th}}$  sample of calculated condition number where  $r = 1, \dots, BS$ .

## 233 **3. EXPERIMENTAL SET-UP AND PROCEDURE**

### 234 **3.1 Equipment set-up**

235 The equipment required are a test rig, an impact hammer, 15 accelerometers, a multi-channel data  
236 acquisition hardware, a data acquisition software (i.e. DASyLab) and a matrix calculation software  
237 (i.e. MATLAB). The experimental set-up for the impact force identification experimental study is  
238 shown in Fig. 1.

239 A test rig was constructed out of a rectangular Perspex plate measuring 20cm in width,  
240 48cm in length, and 0.9cm thick with four ground supports. The plate weighs 1100g. A complex  
241 vehicle body inherently comes with infinite DOF. However, it can be simplified into a simple

242 structure with few DOFs. In this study, the attention is confined to the motion in the vertical plane  
243 only. Motions of a vehicle body include rotational (i.e. pitching and rolling modes) and translational  
244 (i.e. heaving mode) along the centre of mass of the structure, which usually appear in the low  
245 frequency region. In this simple vehicle body simulation, the Perspex plate would produce similar  
246 dynamic behaviour as in an actual vehicle body. It contains all the mentioned vibration modes. Thus  
247 this simple plate can represent a vehicle body which is generally considered as a lightweight  
248 structure. Each of the ground supports is a combination of an aluminium plate and a trapezium steel  
249 plate. Dimensions of the aluminium plate are 1.3cm in width, 6.4cm in length, and 8.9cm in height.  
250 Detailed dimensions of the steel plate are shown in Fig. 1. The steel plate is made of music wire,  
251 which is a high carbon steel with very high yield strength known as spring steel. The thickness of  
252 the steel plates near point 1 and point 3 (i.e. 0.2cm) are larger than the plates near point 13 and point  
253 15 (i.e. 0.1cm).

254 Fifteen accelerometers were attached on the rig and numbered, as shown in Fig. 2. In this  
255 study, Wilcoxon Research® Integrated Circuit Piezoelectric (ICP) accelerometer model S100C was  
256 used as the response sensor. Considering the accessibility and the flat surface of the test rig, a  
257 cyanoacrylate adhesive mount with broad frequency response was chosen. The dimensions of this  
258 sensor are 3.73cm in height, 1.98cm in diameter and the weight of the sensor is 45g. The  
259 accelerometers are used to measure the responses due to impact force. In this study, Single Input  
260 Single Output (SISO) approach or roving accelerometers are not feasible for this test rig as it may  
261 cause mass loading effect. Mass loading effect occurs when an additional transducer mass is added  
262 to the test rig. This effect would cause data inconsistency throughout the measurement (i.e. shifted  
263 FRF). As a rule of thumb, the mass of the accelerometer should be less than one-tenth of the mass  
264 of the structure to which it is attached and it also depends on the location of the accelerometer and  
265 vibration mode [37]. In this study, 15 accelerometers constitute a significant part of the structure  
266 (i.e. 61.36% of the mass of the plate which is 1100g). This affects the dynamic characteristics of the  
267 structure. However in the force identification study, Single Input Multiple Output (SIMO) approach

268 is adopted where multiple acceleration responses are measured simultaneously using 11  
269 accelerometers. Additional 4 accelerometers at points 1, 3, 13 and 15 were used as dummy masses.  
270 All of these 15 accelerometers are assumed to form part of the structure. This ensures the data are  
271 collected simultaneously and consistently throughout the measurement. This avoids distortion of  
272 FRF due to mass loading effect. In short, the mass loading effect has been eliminated by using the  
273 SIMO approach and is suitable for force identification purposes.

274 A modally tuned PCB<sup>®</sup> ICP impact hammer model 086C03 was used to produce the impact  
275 excitation signal. The tip used is medium tip with vinyl cover. In this study, the direction of impact  
276 force,  $Q$  is restricted to the vertical direction and impact location is near to accelerometer at point 13  
277 as shown in Fig. 2. By using an impact hammer, the impact force can be measured and recorded,  
278 thus providing a means of comparison against the identified impact force from the force  
279 identification method.

280 Fig. 1 shows that all of these input force and output response sensors are connected to a  
281 multi-channel data acquisition (DAQ) system which consists of four channel DAQ hardware (i.e.  
282 model NI-USB 9233) and a compact DAQ chassis (i.e. model NI CDAQ-9172). The DAQ system  
283 was connected to a laptop which is equipped with data acquisition software (i.e. DASyLab) and  
284 post-processing software (i.e. MATLAB).

### 285 **3.2 Procedure**

286 Theoretically, if the FRFs of a linear system and responses caused by excitation forces are known,  
287 the unknown force locations and their magnitudes can be calculated by using the inverse FRF  
288 technique. This approach is known as FRF based direct inverse method [17]. To identify the  
289 unknown forces, a set of digital signal processing procedure is designed and developed as follows:

290 Step (1) - SIMO approach is adopted where 11 multiple acceleration responses are measured  
291 simultaneously together with a single reference force. Hence, single column (11 by 1) raw FRF  
292 matrix is obtained through FRF measurement as described in section 2.1. A total of 100 averages

293 are used to reduce the measurement noise. Note that the sampling rate and block size for the signal  
294 processing of both FRF measurement and ODS analysis are 2000Hz and 4096 samples respectively.  
295 In this study, step (1) is repeated for single reference force acting at points 1, 3, 13 and 15  
296 respectively to obtain an 11 by 4 raw FRF matrix. Then, the measured FRFs are recorded for force  
297 identification purposes.

298 Step (2) - Vibration pattern of a structure can be recorded and visualised when influenced by  
299 its excitation force, which is known as ODS analysis as discussed in section 2.2. In this study, an  
300 unknown excitation force is excited by an impact hammer at point 13. Force history data is  
301 measured by impact hammer for verification purposes. Eleven remote accelerometers are used to  
302 measure acceleration time traces at 11 discrete points of the test rig simultaneously once an  
303 unknown impact force is applied, to form an 11 by 1 response vector. Next, the acceleration time  
304 traces are transformed into frequency domain by using DFT, computed with FFT algorithm as  
305 described in Eq. (2).

306 Step (3) - In this study, the force identification method is tested under three cases: under-  
307 determined, even-determined and over-determined cases. In each case, the effect of different  
308 combinations of response locations with various averages of condition number is observed and  
309 analysed. At the same time, the influence of number of response locations on force identification  
310 result is examined in the under-determined and over-determined cases. All corners of the test rig are  
311 assumed inaccessible. A single impact force is applied to one of the corners (i.e. point 13) of the test  
312 rig and unknown forces for all 4 corners (i.e. points 1, 3, 13, 15) are estimated by using various  
313 response combinations as shown in Table 1. The other important experimental parameters such as  
314 number of response locations, selection of response locations and average of condition number are  
315 also included in Table 1.

316 Step (4) - The force histories at the 4 corners are identified from non-collocated sensors. By  
317 use of Eq. (8), pseudo-inverse method is used to perform the inversion of FRF matrix. After each  
318 inversion, the inverted FRF matrix is multiplied with the response vector to identify the unknown

319 forces for each frequency. After completion of the entire force spectrum, IFFT transforms the  
320 identified forces from frequency domain into time domain. Finally, a comparative study between  
321 the identified forces and the measured forces are carried out in time domain.

## 322 **4. RESULTS AND DISCUSSIONS**

### 323 *4.1 Condition number result for all the three cases*

324 The combinations of response locations are selected based on the average of condition number to  
325 avoid error amplification due to ill-conditioned problem. In this study, 1, 2, 4, 5 and 11 response  
326 locations are chosen from 11 available locations. The total combination of response locations is  
327 shown in Table 1. Selecting 5 response locations from 11 available locations would require the most  
328 combinations, i.e. 462 combinations. The average of condition number for all the combinations of  
329 response locations was calculated by use of Eq. (11). Maximum and minimum averages of the  
330 condition number for all possible combinations of response locations, with respect to the number of  
331 selected response locations are shown in Fig. 3. These maximum and minimum results are used as a  
332 guideline for user to classify the selection of response locations (i.e. good location or bad location).  
333 Good location is a combination of response locations with low average of condition number and  
334 vice versa. The good and bad locations for the under-determined, even-determined and over-  
335 determined cases are selected for further analysis as shown in Table 1.

#### 336 *4.1.1 Condition number result for under-determined case*

337 Fig. 3 shows that one sensor has the lowest average of condition number for both maximum and  
338 minimum results. Increasing the number of selected response locations increases both the maximum  
339 and minimum results as well as the variation between them. In an under-determined case, there are  
340 infinite number of solutions due to number of unknowns outnumber the number of the knowns (i.e.  
341 unknown forces to be identified are 4). However, increasing the number of response locations may

342 improve the solution since it increases the number of known equations to find the unknown forces.  
343 The highest average of condition number occurs at the highest number of response locations used in  
344 under-determined case, i.e. 3 response locations in this study. Based on the averages of condition  
345 number result, lesser sensor is preferred in under-determined case. For the case of 2 sensors, a bad  
346 location and a good location are selected for analysis. Another good location from 1 sensor is also  
347 selected in this case. Detailed selection of response locations is shown in Table 1. The condition  
348 number of selected FRF matrixes in under-determined case is shown in Fig. 4.

#### 349 *4.1.2 Condition number result for even-determined case*

350 As shown in Fig. 3, even-determined case has the highest maximum and minimum averages of  
351 condition number and also the highest variation between maximum and minimum result. The  
352 maximum value is around 26 times of the minimum value which is the greatest among all cases.  
353 Thus, it requires more attention to inverse such a high condition number matrix. In this case, a bad  
354 location and a good location are selected for the case of 4 sensors. Detailed selection of response  
355 locations is shown in Table 1. The condition number of selected FRF matrixes in even-determined  
356 case is shown in Fig. 5.

#### 357 *4.1.3 Condition number result for over-determined case*

358 In Fig. 3, it is found that increasing the level of over-determination reduces the possibility of high  
359 condition number while the minimum average of condition number remains the same. Furthermore,  
360 increasing the level of over-determination reduces the difference between maximum and minimum  
361 averages of condition number. In this study, a bad location and a good location are selected for the  
362 case of 5 sensors. Another good location from 11 sensors is also selected for further analysis.  
363 Detailed selection of response locations is shown in Table 1. The condition number of selected FRF  
364 matrixes in over-determined case is shown in Fig. 6.

365

## 366 ***4.2 Force identification results for all the three cases***

367 Using Eqs. (7) and (8), different dimensions of FRF matrix can be inversed and hence multiplied  
368 with selected combination of response locations in previous section to identify the 4 unknown  
369 forces. The force identification results are shown in following section:

### 370 ***4.2.1 Force identification result for under-determined case***

371 For the under-determined case, the identified forces are shown in Fig. 7. All response combinations  
372 used are unable to identify the impact location accurately because all 4 locations also show presence  
373 of identified forces. Theoretically, only 1 out of the 4 locations should show presence of impact  
374 force, where else the rest should remain zero. However, the identified force function acting at point  
375 13 is identical with the measured impact force function. In this case, single response location has  
376 the lowest average of condition number (i.e. 1 or well-posed). However, it also has the lowest  
377 accuracy of magnitude of impact force compared to the measured force with percentage error of  
378 72.59%. By increasing the number of response locations to 2, force identification result improves.  
379 Percentage of errors is reduced to 58.62% and 39.54% for bad and good combinations of response  
380 locations respectively. This is because the ratio of known equations to unknown parameters  
381 increases and thus, it has approached the exact solution.

382 The force correlogram between measured force and identified force for selected response  
383 locations in under-determined case is shown in Fig. 8. According to Yu and Chan [28], estimated  
384 data that falls within  $\pm 10\%$  offset from the actual value is considered good and acceptable.  
385 Considering the measurement noise effect and error amplification due to ill-conditioned problem  
386 especially in the under-determined case, this offset value is adopted in this study. It is set at  $\pm 3.5\text{N}$   
387 since the maximum measured force is 33.71N. All estimated data at locations other than point 13  
388 fall outside the offset line, which indicates that the identified impact location by selected response  
389 locations is incorrect. Low accuracy in magnitudes of identified force is also obtained for all the



390 combinations of response locations in under-determined case. However, the accuracy of force  
391 identification result improves when the number of response locations increases especially when the  
392 good location is used.

#### 393 *4.2.2 Force identification result for even-determined case*

394 The identified forces for the even-determined case are shown in Fig. 9. It shows that bad selection  
395 of response locations affects the impact force identification result significantly. The result of bad  
396 location from 4 sensors shows that magnitudes, functions and locations of identified forces are  
397 incorrect and this indicated ill-conditioned problem. Thus, it requires treatment the most (i.e.  
398 regularisation method). The force identification result is improved when good location from 4  
399 sensors is used. By selecting good location, it is able to find the impact location accurately. The  
400 accuracy of force identification result is improved with percentage error of 1.69%. In Fig. 9(c), it is  
401 observed that the measured force matches the identified force at point 13 very well especially  
402 during impact duration. However, force result outside the impact duration is present as oscillating  
403 component due to noise contamination.

404 The force correlogram between measured force and identified force for selected response  
405 locations in even-determined case is shown in Fig. 10. It shows that force identification result is  
406 inaccurate by using bad location from 4 sensors. This further verified the results observed in Fig. 9.  
407 By selecting good location from 4 sensors, the force recovery result has been significantly  
408 enhanced. The identified force matches the measured force very well as the estimated data is within  
409 the 10% offset.

#### 410 *4.2.3 Force identification result for over-determined case*

411 For the over-determined case, the identified forces are shown in Fig. 11. It is discovered that the  
412 force identification result is inaccurate by using bad location from 5 sensors. Furthermore, it is  
413 unable to identify the impact location well. The force identification result is improved when good

414 location from 5 sensors is used. It is able to find the impact location accurately and the accuracy of  
415 force's amplitude is good (i.e. percentage of error is 1.60%). By increasing the number of response  
416 locations to 11, which has a low average of condition number, it is found that the force  
417 identification result is improved slightly (i.e. percentage of error is 1.10%).

418         The force correlogram between measured force and identified force for selected response  
419 locations in over-determined case is shown in Fig. 12. Similar to the even-determined case, it shows  
420 unreliable force identification result if bad location is chosen. There is an alternative to improve the  
421 force identification result by selecting good location. Result shows that there is a big improvement  
422 in identified force result by imposing this method. By increasing the number of response locations,  
423 the accuracy of results in this study improves slightly.

#### 424 *4.2.4 Comparison of force identification results by all cases*

425 Combination of results from Figs. 8, 10 and 12 shows that both even-determined and over-  
426 determined cases are able to calculate the impact force location well while the under-determined  
427 case fails to do so. Combination of results from Figs. 7(c), 9(c) and 11(c) shows that the over-  
428 determined case is able to diminish the noise contamination outside the impact duration to a  
429 satisfactory level, thus smoothing the identified force. Therefore, there is no oscillating component  
430 of identified force found in over-determined case while it is present in both under-determined and  
431 even-determined cases. In over-determined case, a least square solution of force identification is  
432 obtained to minimise the errors made in every single equation. Therefore, it eliminates the  
433 oscillating component.

434         Combination of results from Figs. 7-12 shows that a good selection of response locations is  
435 able to improve the accuracy of force identification result to a satisfactory level for both even-  
436 determined and over-determined cases only. However, it shows that good location does not ensure a  
437 satisfactory level of force identification result in under-determined case. For example, force  
438 identified by good location from 1 sensor has the best average of condition number but it does not

439 achieve the satisfactory level of force identification result. Meanwhile, bad selection of response  
440 locations should be avoided to ensure a reliable force identification result. If this is not the case,  
441 special treatment or further signal processing technique such as regularisation method shall be  
442 conducted.

443         The mentioned research outcomes in this paper are summarised in Table 2. By selecting the  
444 accuracy result of good location from various sensors, the effect of number of response locations on  
445 force identification result is studied, as shown in Fig. 13. It shows that as the number of selected  
446 response locations increases, there is improvement in force identification results for under-  
447 determined case and over-determined case. Note that this does not apply to even-determined case as  
448 the force identification algorithm requires the same amount of responses and unknown forces.  
449 Combination of results from Table 2 and Fig. 13 shows that the impact force identification by using  
450 pseudo-inverse method is robust and reliable in even-determined and over-determined cases with a  
451 priori good selection of response locations.

## 452 **5. CONCLUSIONS**

453 In this study, impact force identification by using pseudo-inverse method has been examined in  
454 three cases: under-determined, even-determined and over-determined cases. Selection of good and  
455 bad locations based on the average of condition number is demonstrated. Experimental result shows  
456 that the impact force identification by using pseudo-inverse method is robust and reliable in even-  
457 determined and over-determined cases when good location can be selected in advance. Force  
458 identification using bad location provides unreliable force identification results for all the three  
459 cases. Furthermore, increasing number of selected response locations improves the accuracy of  
460 force identification result. The pseudo-inverse method is unable to determine the force information  
461 accurately in under-determined case even though good sensor configuration is used. Further  
462 research shall be conducted to investigate the problem and enhance the accuracy of force  
463 identification result to a satisfactory level.

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467 Malaya, and other project collaborators. The suggestions and recommendations from reviewers are  
468 gratefully acknowledged.

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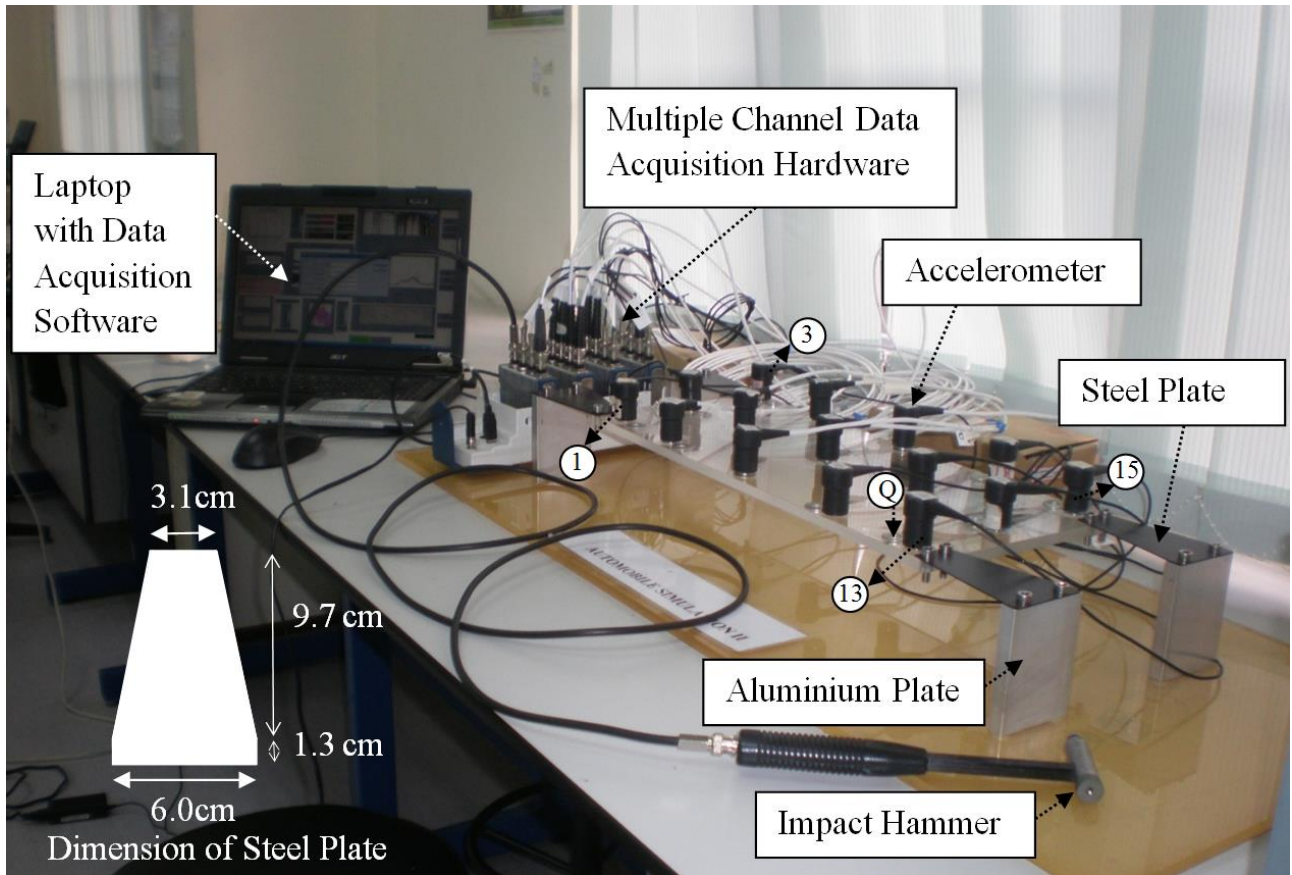


Fig. 1. Experimental set-up for impact force identification experimental study.

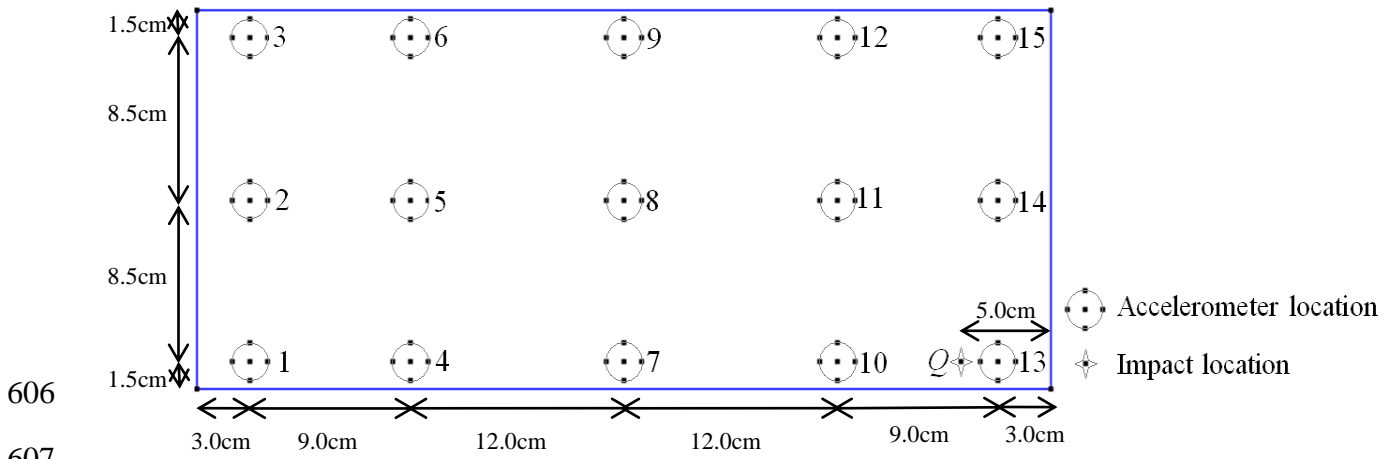


Fig. 2. Schematic drawing of test rig.

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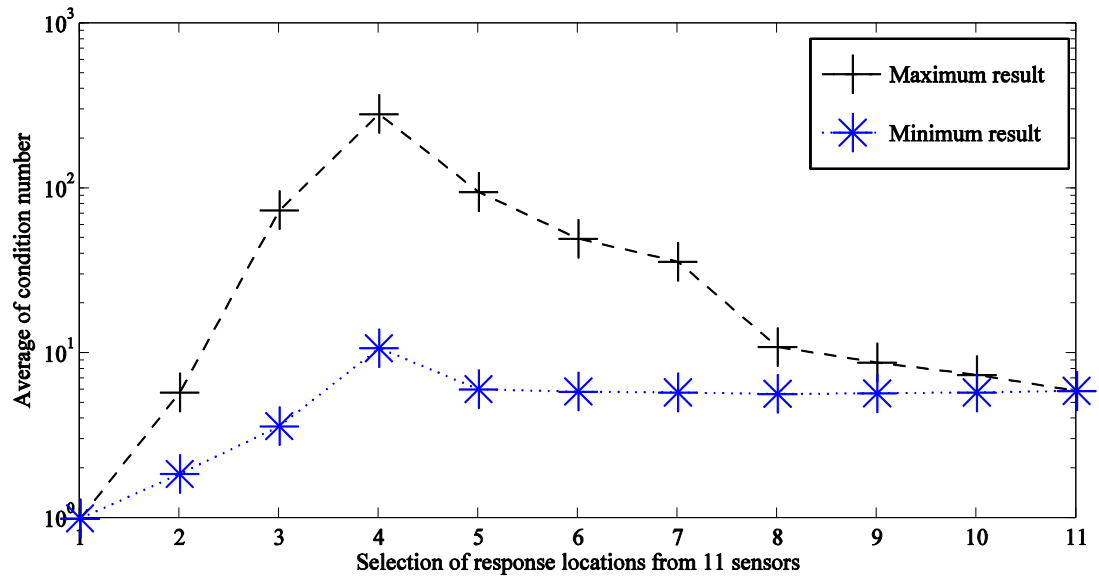
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627 Fig. 3. Graph of average of condition number versus selection of response locations from 11 sensors.

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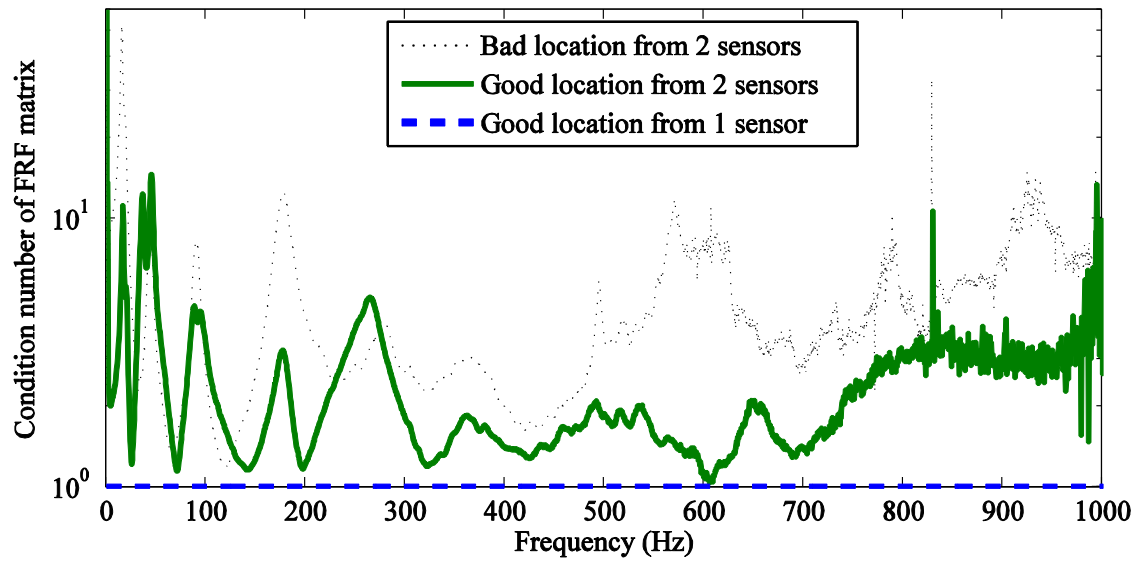
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644 Fig. 4. Graph of condition number of FRF matrix in frequency domain for under-determined case.

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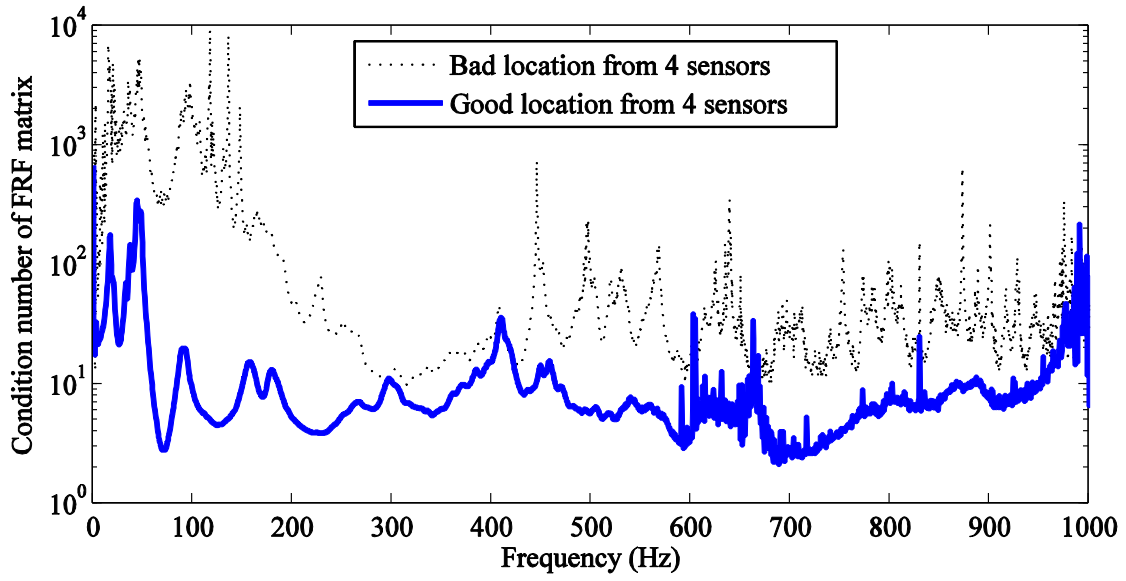
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662 Fig. 5. Graph of condition number of FRF matrix in frequency domain for even-determined case.

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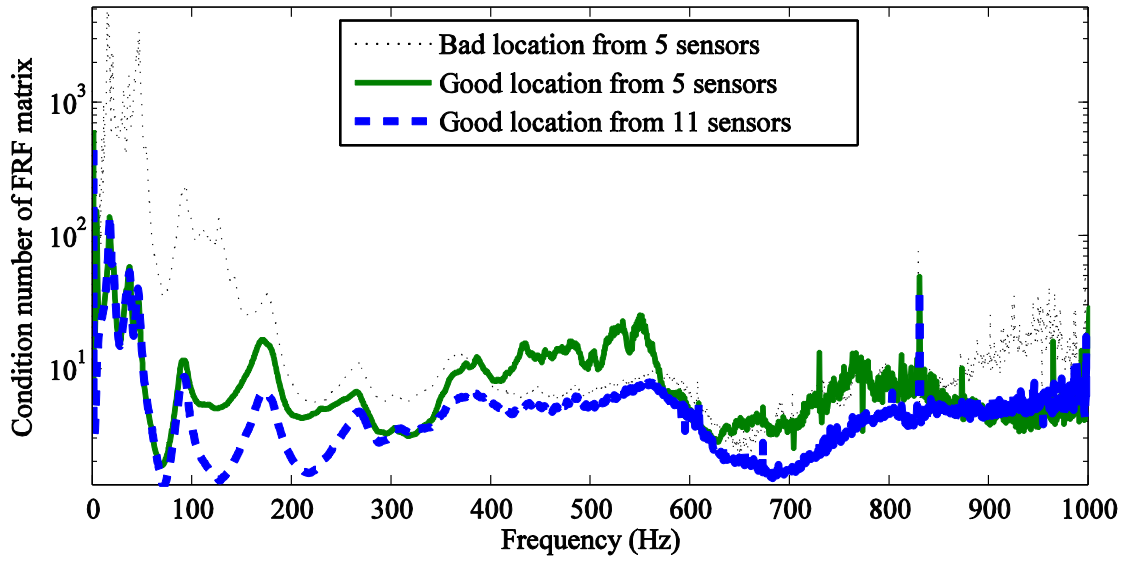
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680 Fig. 6. Graph of condition number of FRF matrix in frequency domain for over-determined case.

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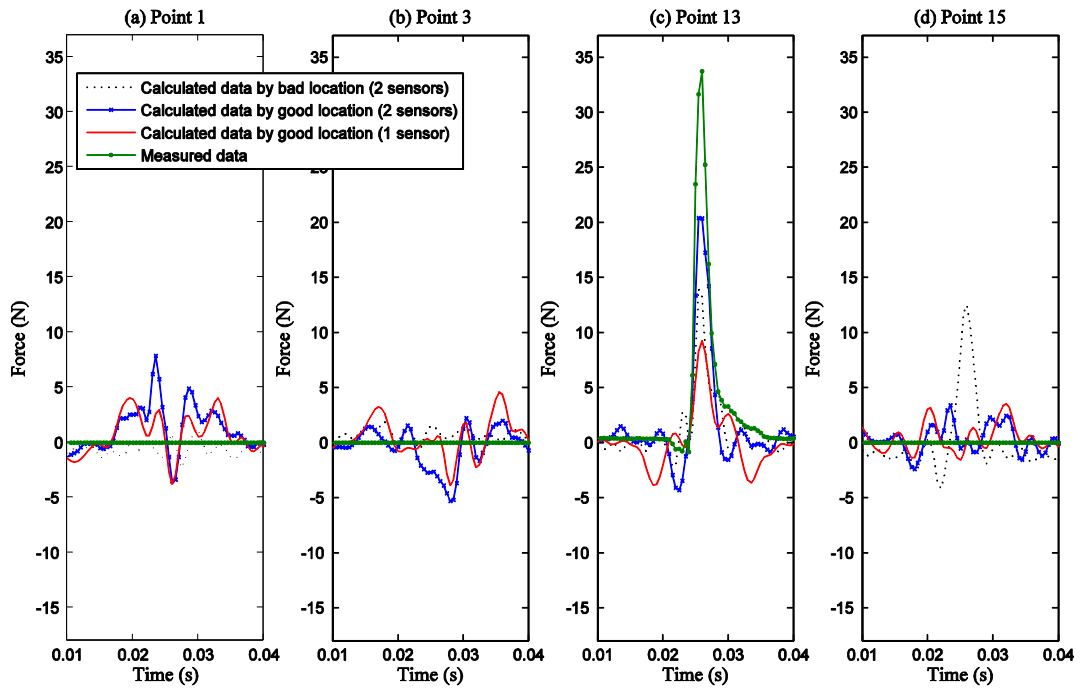
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698 Fig. 7. Comparison of force histories identified from various response combinations in under-  
 699 determined case at four discrete locations: (a) point 1 (b) point 3 (c) point 13 (d) point 15.

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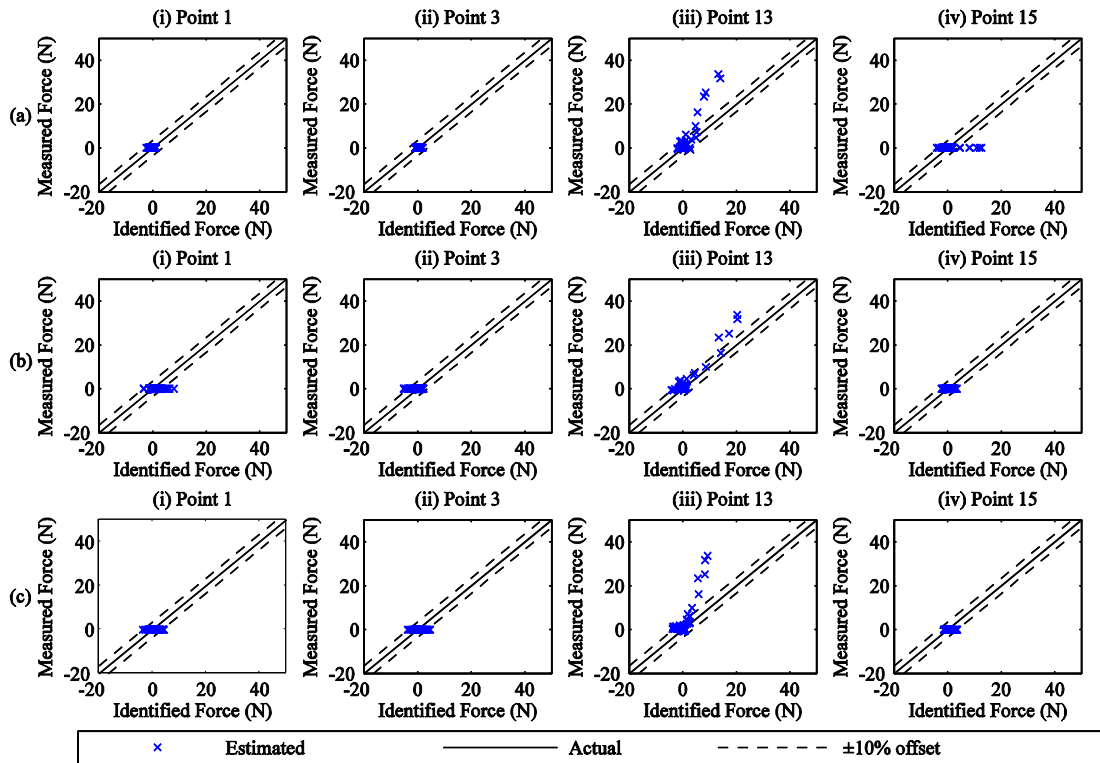
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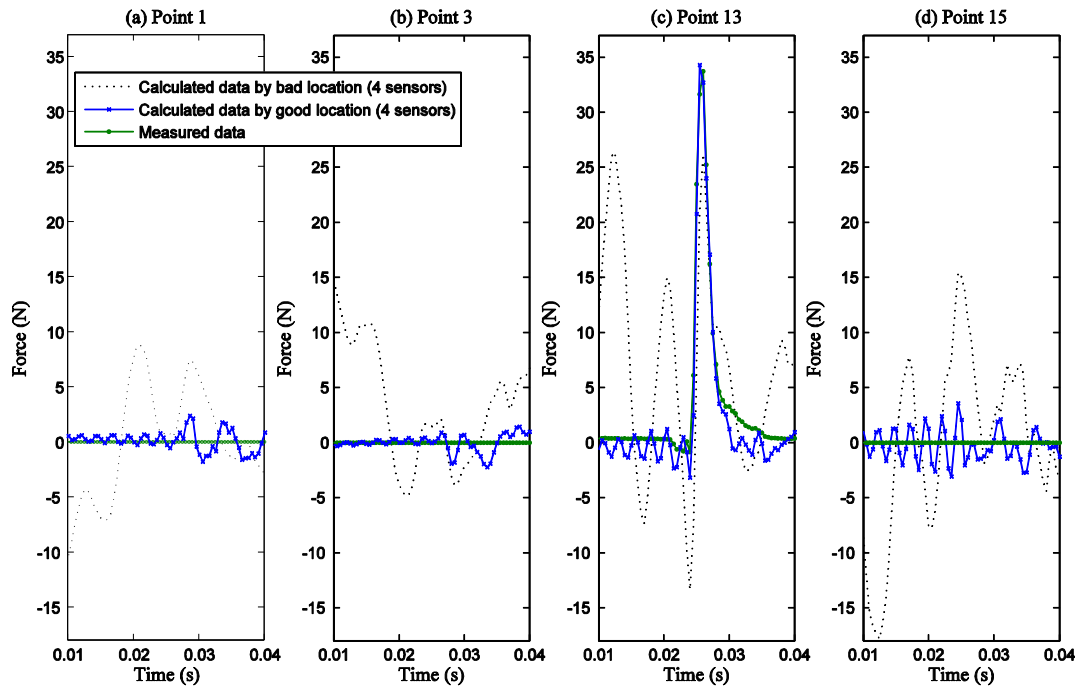
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Fig. 8. Force correlogram at four discrete locations for various response combinations in under-determined case: (a) bad location from 2 sensors (b) good location from 2 sensors (c) good location from 1 sensor.



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728 Fig. 9. Comparison of force histories identified from various response combinations in even-  
 729 determined case at four discrete locations: (a) point 1 (b) point 3 (c) point 13 (d) point 15.

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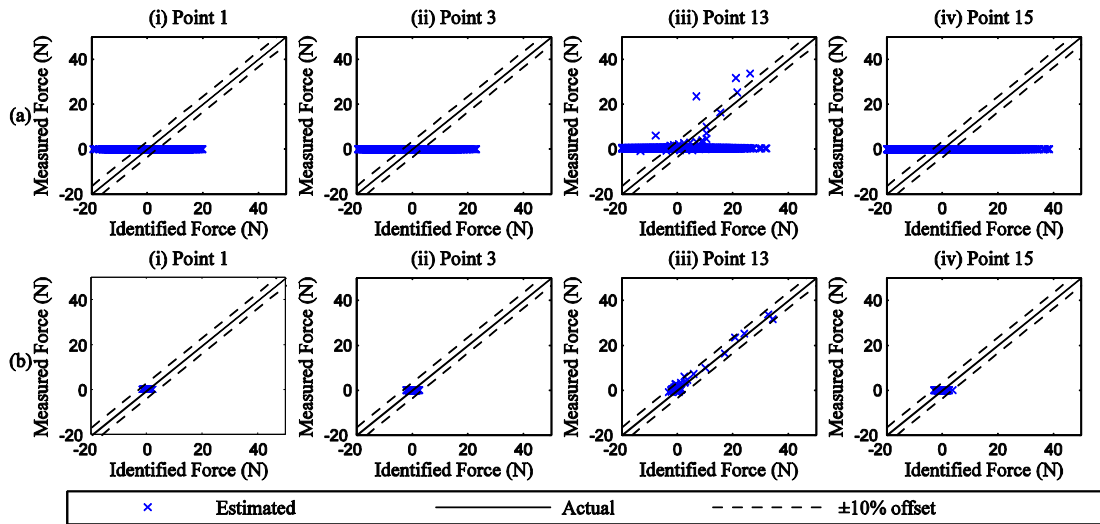
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744 Fig. 10. Force correlogram at four discrete locations for various response combinations in even-

745 determined case: (a) bad location from 4 sensors (b) good location from 4 sensors.

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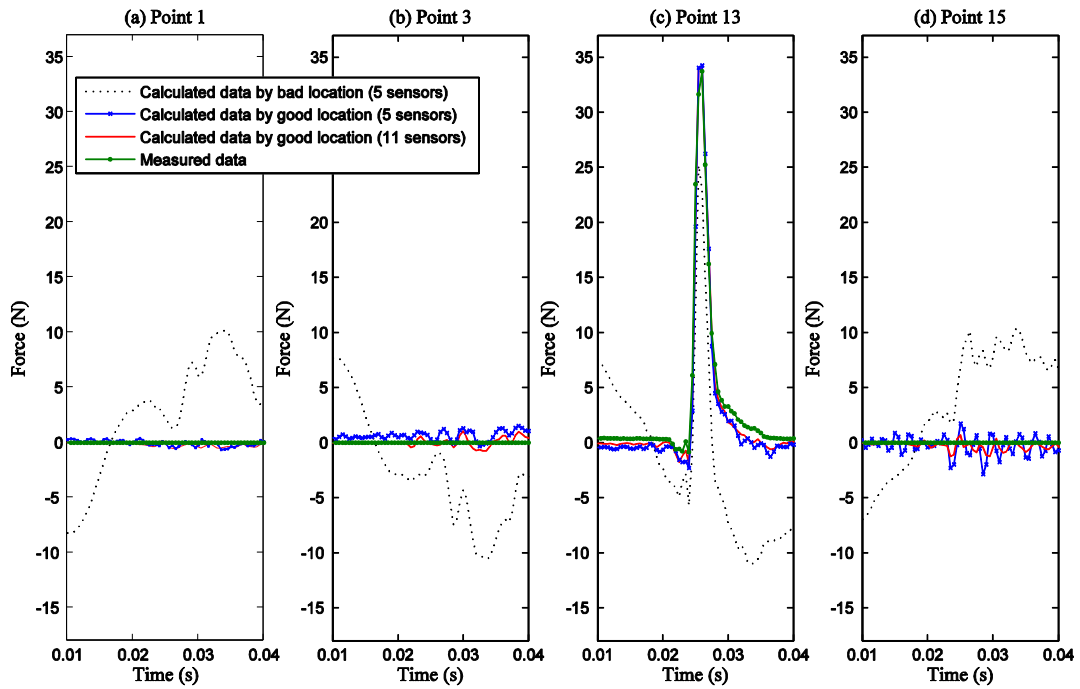
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761 Fig.11. Comparison of force histories identified from various response combinations in over-

762 determined case at four discrete locations: (a) point 1 (b) point 3 (c) point 13 (d) point 15.

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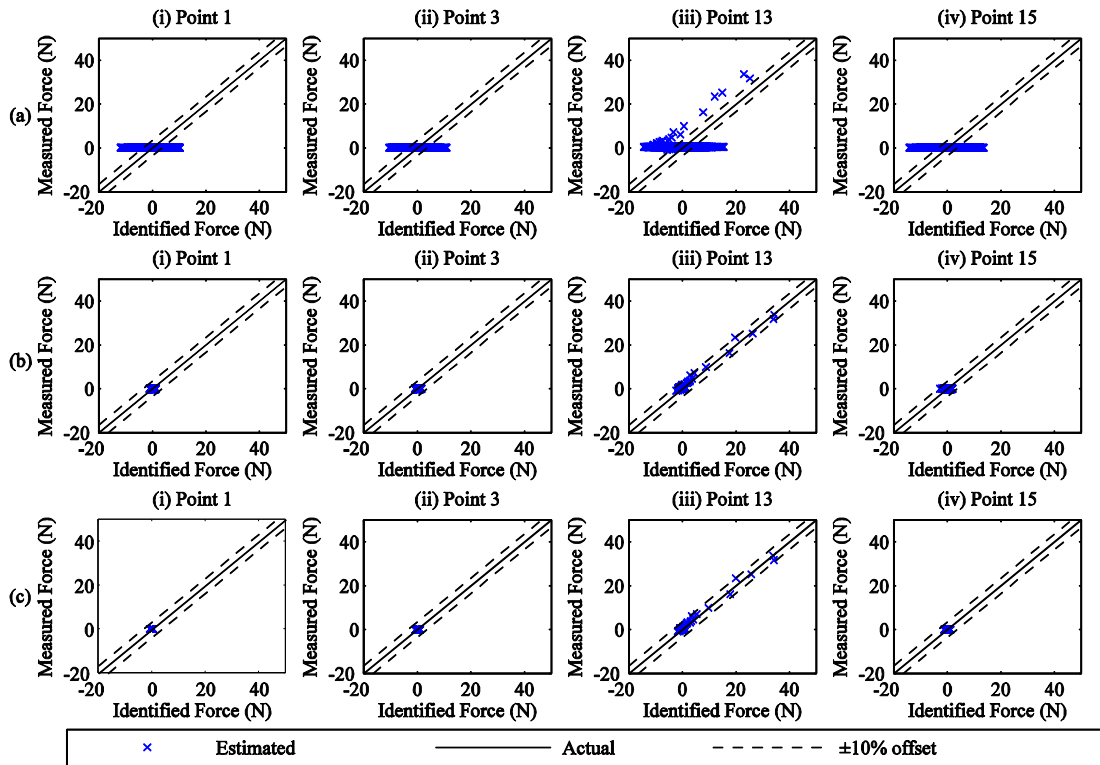
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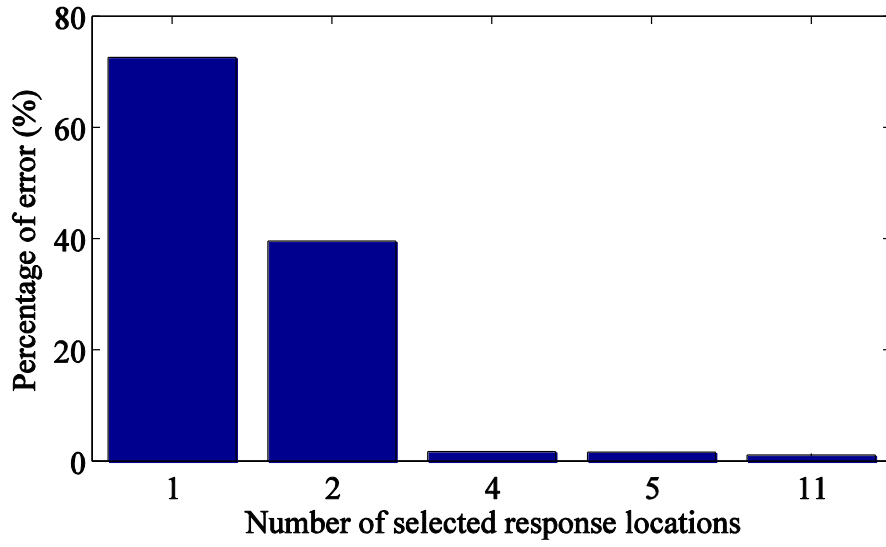
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Fig. 12. Force correlogram at four discrete locations for various response combinations in over-determined case: (a) bad location from 5 sensors (b) good location from 5 sensors (c) good location from 11 sensors.



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792 Fig. 13. Graph of percentage of error between measured force and identified force at point 13 versus  
793 number of selected response locations.

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809 Table 1. Experimental parameters.

Identified force location	1,3,13,15 (total 4 estimated forces)								
Non-located response locations	2,4,5,6,7,8,9,10,11,12,14 (total 11 responses locations)								
Case	Under-determined			Even-determined			Over-determined		
Number of response locations	1	2		4			5	11	
Total combination of response locations	11	55		330			462	1	
Selection of response locations	6	5,8	6,10	2,4,5,6	2,6,10,14	4,5,6,11,14	5,6,7,10,12	All	
Average of condition number	1	4.92	2.47	233.46	13.20	73.48	9.19	5.92	
Location classification	Good	Bad	Good	Bad	Good	Bad	Good	Good	

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826 Table 2. Comparison between force identification results for under-determined, even-determined  
 827 and over-determined cases.

	<b>Category</b>	<b>Identified impact location</b>	<b>Identified function at point 13</b>	<b>Percentage of error (%)</b>
(a) Under-determined case	(i) Bad location (2 sensors)	Point 13 & 15	Impact force with small oscillating component	58.62
	(ii) Good location (2 sensors)	Point 1,3 & 13	Impact force with small oscillating component	39.54 ↓
	(iii) Good location (1 sensor)	Point 1,3 & 13	Impact force with small oscillating component	72.59 ↑
(b) Even-determined case	(i) Bad location (4 sensors)	Point 1,3,13 & 15	Impact force with very large oscillating component	22.31
	(ii) Good location (4 sensors)	Point 13	Impact force with small oscillating component	1.69 ↓
(c) Over-determined case	(i) Bad location (5 sensors)	Point 1,3,13 & 15	Impact force with very large oscillating component	25.30
	(ii) Good location (5 sensors)	Point 13	Impact force without oscillating component	1.60 ↓
	(iii) Good location (11 sensors)	Point 13	Impact force without oscillating component	1.10 ↓

828

829