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DOI:

[10.1007/s10096-017-2927-6](https://doi.org/10.1007/s10096-017-2927-6)

Document Version

Accepted author manuscript

[Link to publication record in Manchester Research Explorer](#)

Citation for published version (APA):

Chayakulkeere, M., & Denning, D. (2017). Serious Fungal Infections in Thailand. *European Journal of Clinical Microbiology and Infectious Diseases*, 36(6), 931-935. <https://doi.org/10.1007/s10096-017-2927-6>

Published in:

European Journal of Clinical Microbiology and Infectious Diseases

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1 **Original Article**

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3 **Serious Fungal Infections in Thailand**

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17

18 **Abstract**

19 The burden of serious fungal infection in Thailand is increasing but data regarding its incidence
20 and prevalence are lacking. In this study we aimed to estimate the burden of serious fungal
21 diseases in Thailand based on the size of the populations at risk and available epidemiological
22 databases. Data derived from The Bureau of Epidemiology, Department of Disease Control, Thai
23 Ministry of Public Health, World Health Organisation, international and local reports, and some
24 unreported data were used. When no data existed, risk populations were used to estimate
25 frequencies of fungal infections, using previously described methodology by LIFE. Recurrent
26 vulvovaginal candidiasis (>4 episodes per year) is estimated to occur in 3310 per 100,000
27 population. Using a previously described rate that 14/10,000 admissions with fungaemia and
28 94% being *Candida*, we estimated 8650 patients with candidaemia. The prevalence of chronic
29 pulmonary aspergillosis is relatively high with a total of 19,044, approximately half subsequent
30 to pulmonary tuberculosis. Invasive aspergillosis is estimated to affect 941 patients following
31 leukaemia therapy, transplantations, and chronic obstructive pulmonary disease, approximately
32 1.4/100,000. In addition, allergic bronchopulmonary aspergillosis and severe asthma with fungal
33 sensitisation were estimated at approximately 58.4/100,000 and 77/100,000, respectively. Given
34 approximately 8134 new cases of AIDS annually, cryptococcal meningitis, *Pneumocystis*
35 pneumonia, and *Talaromyces marneffe*i infection are estimated at 1.9/100,000, 2.6/100,000, and
36 0.3/100,000, respectively. The present study indicates that about 1.93% (1,254,562) of the
37 population is affected by serious fungal infections. Owing to the lack of data, reports, and
38 statistics, the number of patients with mycoses in Thailand can only be estimated.

39 **Keywords:** Fungal diseases; Fungal infection; Burden; Epidemiology; Thailand

40

41 **Introduction**

42 IFDs in Thailand have become more prevalent and are a major cause of hospital death,
43 especially in individuals with immunocompromised conditions [1, 2]. In the past, most IFDs
44 were associated with human immunodeficiency virus (HIV) infection, including cryptococcosis
45 and pneumocystosis [3]. Although the number of HIV-infected individuals in Thailand is still
46 high, almost all HIV-infected patients nowadays have access to antiretroviral therapy (ART).
47 Therefore, the rising incidence of IFDs seems to be associated with the increasing number of
48 patients who undergo organ transplantation and stem cell transplantation, as well as those who
49 receive immunosuppressive therapy or are critically ill. As a result, the number of patients with
50 invasive aspergillosis and candidiasis has significantly increased [1, 4, 5]. However, specific data
51 regarding the incidence and prevalence of IFDs are lacking, primarily due to unavailability of
52 diagnostic tools and the lack of any surveillance systems. The aim of this study is to estimate the
53 prevalence of serious fungal diseases in Thailand, based on the size of the populations at risk and
54 the available epidemiological databases, and thus indicate the national burden of these
55 conditions.

56

57 **Methods**

58 The methodology of the LIFE program (www.LIFE-worldwide.org) was used to estimate the
59 burden of fungal diseases in Thailand with the model described previously [6]. We searched the
60 literature related to the epidemiology of invasive fungal diseases in Thailand using PubMed and
61 local journal databases. Where the information was not available, we calculated populations at
62 risk for each fungal infection and used these data to estimate the burden of each fungal infection.
63 Specific risk factors for acquisition of invasive fungal infections included HIV infection, use of

64 immunosuppressive drugs, haematopoietic stem cell transplantation, solid organ transplantation,
65 chronic pulmonary diseases, and intensive care unit (ICU) admission.

66 Population data and data related to HIV infection were derived from the Official Statistics
67 Registration System (<http://stat.dopa.go.th>; access 2014) and The Bureau of Epidemiology and
68 Department of Disease Control of the Thai Ministry of Public Health (www.ddc.moph.go.th;
69 access 2013), UNAIDS (www.unaids.org; access 2012), and the World Health Organisation
70 (www.who.int). Data related to transplant recipients were derived from the Thai Transplantation
71 Society (www.thai-transplant.org). Data gathered related to the period 2012 to 2014, depending
72 on availability. International and local reports were used to estimate each invasive fungal
73 disease.

74

75 **Results and Discussion**

76 Thailand is classified as an upper middle income country by the World Bank
77 (www.worldbank.org). The gross domestic product per capita in 2013 was \$5,779. During the
78 study period, the population of Thailand was approximately 65,124,716, 18% of whom were
79 children under 15 years of age, 41% were adult women, and 14% were women over 50 years of
80 age. According to the UNAIDS report, the total number of HIV-infected cases was 306,336, with
81 8134 new cases of acquired immune deficiency syndrome (AIDS) annually. Only 76% of HIV-
82 infected patients received ART. The total number of annual cases of tuberculosis was
83 approximately 47,201 in both HIV-negative and HIV-positive individuals. Approximately
84 731,450 cases were diagnosed with chronic obstructive pulmonary disease (COPD), 51,202 of
85 whom were admitted to hospital annually. The asthma rate in adults was 2.91 per 100,000, in
86 about 1.5 million patients, whereas cystic fibrosis is extremely rare [7]. We estimated 911
87 patients with acute myeloid leukaemia (AML) based on the incidence rate of 1.5/100,000 in
88 males and 1.3/100,000 in females [8]. According to the limited data available in 2013, about 150
89 patients received allogeneic haematopoietic stem cell transplantation (HSCT) [9], 465 renal
90 transplantation, 7 lung transplantation, and 12 liver transplantation. However, the number of
91 transplantation cases continues to increase.

92 The burden of fungal infections in Thailand is shown in Table 1, stratified by specific risk
93 groups. Recurrent vulvovaginal candidiasis (RVVC) was defined as having at least four specific
94 episodes of vulvovaginal candidiasis occur in one year or at least three episodes unrelated to
95 antibiotic therapy within one year [10, 11]. Given that data related to RVVC are not available in
96 Thailand, we estimated according to previous studies that RVVC occurs in approximately 6% of
97 adult women 15–50 years old [12]. Therefore, the prevalence of RVVC was about 1655/100,000,

98 giving a total burden of 1,077,721 each year. However this number may be underestimated,
99 because many women with RVVC do not seek medical advice, preferring to take over-the-
100 counter drugs.

101 Candidaemia can occur in neutropenic and non-neutropenic hosts. Approximately 10% of
102 the total population, about 6.5 million people, are admitted to hospital each year. For non-
103 neutropenic patients, using a previously reported rate in a Thai publication that 14 out of 10,000
104 admissions had fungaemia and 94% were *Candida* spp., we estimated 8561 non-neutropenic
105 patients with candidaemia annually [13]. Our local data revealed that about 4.5% of neutropenic
106 patients had candidaemia, producing an estimate of 89 cases per year. These data made up a total
107 burden of 8650 candidaemia cases per year. We estimated that approximately 20% of HIV-
108 infected individuals who received ART and 5% of HIV-infected individuals who did not receive
109 ART would have oesophageal candidiasis, producing a burden of oesophageal candidiasis of
110 45,396 cases [14, 15]. We were unable to estimate oropharyngeal and oesophageal candidiasis in
111 patients with other immunocompromised conditions because of the lack of data.

112 Data from The Department of Disease Control, Ministry of Public Health of Thailand
113 reported that the number of new AIDS cases in 2013 amounted to 8134. We estimated that 21%
114 of these presented with *Pneumocystis* pneumonia (PCP) [16]. Therefore, the total burden of PCP
115 was 1708 cases (2.6 per 100,000) annually. However, for PCP in steroid users or transplant
116 recipients we were not able to estimate its incidence due to unavailability of local data.

117 Thailand is an endemic for *Talaromyces marneffe* but the disease is prevalent in the
118 northern part of Thailand, and *Histoplasma capsulatum* is found sporadically throughout the
119 country. Histoplasmosis and *T. marneffe* infection were estimated to occur in about 0.39% and
120 2.26% of new AIDS cases, respectively. Therefore, the total burden of histoplasmosis and *T.*

121 *marneffe* infection was 184 and 32 cases per year, respectively. However, these dimorphic
122 fungal infections also occur in non-HIV-infected patients but this requires active surveillance to
123 capture.

124 Among 306,336 AIDS cases, 232,816 were on ART (76% coverage of those with a CD₄
125 T-lymphocyte count <350 cells/mm³); therefore, 73,520 individuals were not on treatment. If
126 25% of these patients have a CD₄ T-lymphocyte count <100 cells/mm³, 18,380 patients are at
127 risk for fungal infection. From seroprevalence studies in Thailand [17, 18], we estimated that
128 cryptococcosis occurred in about 13% of HIV-infected individuals who had a CD₄ T-lymphocyte
129 count <100 cells/mm³. Therefore, the incidence of cryptococcosis cases was 2389 annually,
130 assuming that all those on ART are not at risk and there is no risk for those with a CD₄ T-
131 lymphocyte count between 100 and 200 cells/mm³. The number in HIV-infected patients has
132 decreased from the last decade because of the improved access to ART.

133 A previous study reported approximately 15 cryptococcal meningitis cases in non-HIV
134 patients per 100 HIV-infected patients with cryptococcal meningitis [19]. Therefore, the burden
135 of cryptococcal meningitis was calculated to be 4.2/100,000, including an estimated 108 cases in
136 non-immunocompromised people (Table 1).

137 Owing to a relatively high incidence of pulmonary tuberculosis (TB) in Thailand (about
138 47,201 cases annually), the estimated prevalence of chronic pulmonary aspergillosis (CPA) is
139 relatively high. We estimated that approximately 22% of pulmonary TB cases have cavitory
140 lesions and 22% of cavitory TB cases have CPA, whereas 2% of non-cavitory TB patients have
141 CPA [20]. Therefore, using the LIFE program, we estimated over 3000 new CPA cases annually
142 and a 5 year prevalence of 9,522 cases after pulmonary tuberculosis. Given a high rate of COPD,
143 numerous non-tuberculous mycobacterial infections and moderately large number of asthmatic

144 patients, we estimated that tuberculosis comprises 50% of the total of CPA cases in Thailand.
145 Therefore we estimate the total to be about 19,044 CPA cases a year, approximately half
146 following TB.

147 Invasive aspergillosis (IA) is estimated to affect 941 patients following leukaemia
148 therapy, transplantation, and COPD, for a rate of ~1.4/100,000. We estimated these figures from
149 our local data estimation, assuming that IA occurred in approximately 13.5% of leukaemia
150 patients, 3% of kidney transplant patients and 4% of lung and liver transplant patients [21, 22].
151 Furthermore, IA was estimated to occur in 1.3% of COPD, which was less than reported from
152 Western countries [21].

153 Allergic bronchopulmonary aspergillosis (ABPA) is an uncommon, but significant
154 complication of asthma, almost always occurring in adulthood. Various studies put the
155 prevalence at about 2.5% of patients, including one from China [23]. We therefore estimated that
156 about 38,000 are affected, or 58.4/100,000 [24]. Severe asthma with fungal sensitisation (SAFS)
157 also responds to antifungal therapy and was estimated to occur in 33% of severe asthma, which
158 was about 10% of all asthma cases. Therefore, the burden of SAFS is thought to be about 50,000
159 adults (77/100,000). There is probably some overlap or duplication between ABPA and SAFS as
160 sensitisation to *A. fumigatus* is universal in ABPA, common in SAFS, and many ABPA patients
161 have severe asthma, depending on the definition used.

162 In addition, owing to the lack of epidemiological data we could only assume a total of
163 about 130 cases of mucormycosis annually in Thailand. This rate is based on a simple and
164 conservative 2 per million estimate which is likely to be an underestimate. In one hospital, for
165 example, 11 patients were diagnosed and treated over five years at in Khon Kaen, a city with a
166 population of about 370,000 [25]. Numerous other reports attest to mucormycosis occurring in

167 multiple locations in Thailand. This low estimate is in contrast to higher rates in India, where
168 diabetes is now very common, with a rate of 14/100,000.

169 For fungal keratitis, we calculated from the available data in Thailand that infectious
170 keratitis occurs in about 1 per 1000 of population and 15% of infectious keratitis were caused by
171 fungi [26]. The burden of fungal keratitis was therefore 15/100,000. This contrasts with rates in
172 other SE Asian countries substantially higher than this, notably Myanmar (710/100,000) [27] and
173 Nepal (73/100,000) [28].

174 For tinea capitis, local data revealed about 16 cases per year at the National Children's
175 Hospital (with a total of 350,000 outpatient cases per year), so we estimated a burden of about 5
176 in 100,000 children. In a total paediatric population of 1,172,245, the total number of tinea
177 capitis cases was 59 per year. In fact, this number is probably an underestimate because the data
178 we used to calculate this number are limited.

179 In conclusion, the present study indicates that approximately 1.93% (1,254,562) of the
180 population in Thailand is affected by serious fungal infections. Owing to the lack of data, reports,
181 and statistics, the number of patients with mycoses in Thailand can only be estimated.

182

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253

254 **Table 1** Burden of fungal infections in Thailand

Infection	No. of infections per underlying disorder per year					Total burden	Rate/100,000
	None	HIV/AIDS	Respiratory	Cancer/Tx	ICU/Non-neutropenics		
Oesophageal candidiasis	–	45,396	–	–	–	45,396	69.7
Candidaemia	–	–	–	89	8,561	8,650	13.3
Recurrent vaginal candidiasis (≥4×/year)	1,077,721	–	–	–	–	1,077,721	1654.9
ABPA	–	–	38,009	–	–	38,009	58.4
SAFS	–	–	50,172	–	–	50,172	77.0
Chronic pulmonary aspergillosis	–	–	19,044	–	–	19,044	29.2
Invasive aspergillosis	–	–	–	276	666	941	1.4
Mucormycosis	–	–	–	130	–	130	0.2
Cryptococcal meningitis	108	2,389	–	251	–	2,747	4.2
<i>Pneumocystis pneumonia</i>	–	1,708	–	–	–	1,708	2.6
Histoplasmosis	–	32	–	–	–	32	0
<i>Talaromyces marneffeii</i> infection	–	184	–	–	–	184	0.3
Fungal keratitis	9,765	–	–	–	–	9,769	15.0
Tinea capitis	59	–	–	–	–	59	0.1
Total burden estimated						1,254,562	

- 255 ABPA: allergic bronchopulmonary aspergillosis; AIDS: acquired immune deficiency syndrome; HIV: human immunodeficiency
256 virus; ICU: intensive care unit; SAFS: severe asthma with fungal sensitisation