



A novel mutation conferring the non-brittle phenotype of cultivated barley

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1 RESEARCH PAPER

2

3 **A novel mutation conferring the non-brittle phenotype of cultivated**
4 **barley**

5

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14

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21

22 Summary

- 23 • The non-brittle rachis, resulting in a seed head which does not shatter at maturity,
24 is one of the key phenotypes that distinguishes domesticated barley from its wild
25 relatives. The phenotype is associated with two loci, *Btr1* and *Btr2*, with all
26 domesticated barleys thought to have either a 1 bp deletion in *Btr1* or a 11 bp
27 deletion in *Btr2*.
- 28 • We used a PCR genotyping method with 380 domesticated barley landraces to
29 identify those with the *Btr1* deletion and those with the *Btr2* deletion.
- 30 • We discovered two landraces, from Serbia and Greece, that had neither deletion.
31 Instead these landraces possess a novel point mutation in *Btr1*, changing a
32 leucine to a proline in the protein product. We confirmed that plants carrying this
33 mutation have the non-brittle phenotype and identified wild accessions from the
34 Gaziantep region of southeast Turkey as the closest wild relatives of these two
35 landraces.
- 36 • The presence of a third mutation conferring the non-brittle phenotype of
37 domesticated barley shows that the origin of this trait is more complex than
38 previously thought, and is consistent with recent models which view the transition
39 to agriculture in southwest Asia as a protracted and multiregional process.

40

41 **Key words:** agricultural origins, barley, brittle rachis, *Hordeum vulgare*, non-brittle
42 phenotype

43

44 Introduction

45 Cultivated barley (*Hordeum vulgare* L. sbsp. *vulgare*), the domesticated form of
46 *Hordeum vulgare* sbsp. *spontaneum* (C. Koch), was one of the founder crops of
47 agriculture in the Fertile Crescent of southwest Asia (Zohary *et al.*, 2013) and today
48 is the fourth most important in terms of productivity (Ulrich, 2011). Domestication of
49 barley was accompanied by a suite of phenotypic changes brought about by
50 selective pressures resulting from human intervention in propagation of the wild
51 plants (Fuller, 2007). Principal among these changes was an alteration in the
52 architecture of the ears which results in the mature spikelets (the dispersal
53 propagules containing the grains) remaining attached to the flower head after

54 ripening. This 'non-brittle' phenotype contrasts with the wild ear, which is dehiscent,
55 shattering at maturity and releasing the detached spikelets (Brown *et al.*, 2009).

56 Genetic studies have associated brittleness with two closely linked loci,
57 *brittle-rachis 1 (Btr1)* and *brittle-rachis 2 (Btr2)* (Takahashi & Hayashi, 1964), which
58 have recently been shown to be independent genes located approximately 100 kb
59 apart on chromosome 3H (Pourkheirandish *et al.*, 2015). Although the genes and
60 their predicted protein products lack sequence or structural similarity, *Btr1* and *Btr2*
61 are functionally related, both specifying a thinning of the cell walls in the rachis node,
62 the structure that attaches the spikelet to the ear (Pourkheirandish *et al.*, 2015). As a
63 consequence of this thinning, the mature spikelets of wild plants are able to detach
64 from the ear. The recessive versions of these genes, *btr1* and *btr2*, give rise to rachis
65 nodes with thicker cell walls, which result in a spikelet that can only be detached by
66 threshing. In a recent survey of 240 cultivated barleys, all plants of the *btr1* lineage
67 had the same 1 bp deletion in the *Btr1* gene, and all *btr2* types had the same 11 bp
68 deletion in *Btr2* (Pourkheirandish *et al.*, 2015). These results were interpreted as
69 indicating that the *btr1* and *btr2* types of cultivated barley emerged independently in
70 two different geographical regions, *btr1* in the southern Levant and *btr2* somewhat
71 later in the northern Levant.

72 In this paper we report that some non-brittle barley landraces have neither
73 the 1 bp deletion in *Btr1* nor the 11 bp deletion in *Btr2* described by Pourkheirandish
74 *et al.* (2015). Instead, these accessions have a point mutation in a different part of
75 the *Btr1* coding sequence. The discovery of this third cultivated lineage has
76 implications for our understanding of the events that resulted in domestication of
77 barley.

78

79 **Materials and Methods**

80 Barley accessions

81 We studied 380 barley landraces (Supporting Information Table S1), the set covering
82 all ecological and geographic regions of European barley cultivation, and
83 supplemented with a selection of landraces representing African and Asian regions.
84 The set is largely non-overlapping with the landraces studied by Pourkheirandish *et*
85 *al.* (2015).

86

87 Genotyping and sequencing the *Btr* loci

88 We designed rapid genotyping systems capable of distinguishing the dominant and
 89 recessive versions of the *Btr1* and *Btr2* genes, assuming the underlying mutations
 90 giving rise to the recessive alleles were as previously reported (Pourkheirandish *et*
 91 *al.*, 2015). To detect the 1 bp deletion characteristic of *btr1*, we used a PCR in which
 92 the 3'-end of the reverse primer spanned the 1 bp deletion site and produced a 205
 93 bp product from the wild type *Btr1* allele and no product from the recessive *btr1*
 94 allele. The *Btr2* genotyping system amplified a 154 bp product from the wild type
 95 allele, and a 143 bp product from *btr2*. Both PCRs were carried out in 12.5 μ l
 96 reactions comprising 2 ng μ l⁻¹ DNA, 1 \times Q5 reaction buffer, 1 \times Q5 high GC
 97 enhancer, 0.5 μ M each primer, 0.2 mM each dNTP, 0.02 U μ l⁻¹ Q5 hot start high-
 98 fidelity DNA polymerase (New England BioLabs) with an initial denaturation of 30 s
 99 at 98°C, followed by 35 cycles of 10 s at 98°C, 20 s at 66°C, 10 s at 72°C, and a final
 100 extension of 2 min at 72°C. Primer sequences are given in Supporting Information
 101 Table S2a.

102 The status of those landraces that were genotyped as *Btr1 Btr2* according to
 103 the above screen was checked by sequencing of the *Btr1* and *Btr2* loci. The *Btr1*
 104 gene was amplified as a 2425 bp fragment and the *Btr2* gene as a 4942 bp
 105 fragment, using the primers described in Supporting Information Table S2a and the
 106 PCR conditions described above, except that for *Btr1* the annealing step was
 107 performed at 62°C and the synthesis step was carried out for 1 min, and for *Btr2*
 108 annealing was at 60°C and synthesis for 2 min. Amplicons were purified (MinElute
 109 PCR Purification Kit; Qiagen) and sequenced (ABI 3730 DNA analyser) using
 110 various primers (Supporting Information Table S2b). Novel sequences have been
 111 deposited in GenBank, accession numbers KX722223–KX722226.

112 Sequence data analysis

114 Median joining networks (Bandelt *et al.*, 1999) were prepared by aligning the
 115 sequences that we obtained with GenBank accessions KR813340–KR813547
 116 (*Btr1/btr1*) and KR813548–KR813810 (*Btr2/btr2*) (Pourkheirandish *et al.*, 2015).
 117 Alignment gaps were treated as missing data and all polymorphic sites used in
 118 network construction. In these networks, edge lengths are proportional to the number
 119 of substitutions, but the node sizes do not reflect haplotype frequencies. PROVEAN
 120 v1.1 (Choi & Chan, 2015), and the transmembrane orientation of the BTR1 protein

121 predicted by SOSUI (Hirokawa *et al.*, 1998), were used to assess the impact of
122 amino acid changes.

123

124 **Results**

125 The genotyping screen identified 300 of the 380 barley accessions as *btr1 Btr2* and
126 78 as *Btr1 btr2*, each of these accessions having the canonical 1 bp or 11 bp
127 deletion described by Pourkheirandish *et al.* (2015). The remaining two landraces
128 were typed as *Btr1 Btr2*. These two landraces were PI 374426, collected in 1971 at
129 Raška, Serbia (described as awned, six-rowed, spring type, hulled), and HOR 683
130 collected in 1942 from the Peloponnese, Greece (spring type). Sequencing of the
131 *Btr1* and *Btr2* loci in these accessions confirmed that neither possessed the 1 bp or
132 11 bp deletions associated with *btr1* and *btr2*, respectively. Instead, both accessions
133 displayed a single T→C transition converting a leucine to proline at position 111 in
134 the *Btr1* protein product (Fig. 1a). Henceforth, we refer to this novel mutation as the
135 *btr1b* allele, in contrast with *btr1a*, which displays the canonical 1 bp deletion.

136 *Btr1* codes for a transmembrane protein similar to signal transduction
137 receptors. The amino acid substitution specified by the *btr1b* allele is predicted to lie
138 within the cytoplasmic component of the protein (Fig. 1b). PROVEAN (Protein
139 Variation Effect Analyzer) assigned a score of -5.0 (deleterious) with 95%
140 probability, reflecting the different structural and chemical properties of proline
141 compared with leucine (Wu, 2013). It is therefore reasonable to hypothesize that the
142 substitution will have an impact on the function of the protein.

143 To confirm that the *btr1b* allele confers the non-brittle phenotype, and that
144 accessions carrying this allele are not mislabeled wild barley accessions, we
145 germinated seeds of PI 374426 and grew plants to maturity. The resulting seed
146 heads had a typical domesticated six-rowed phenotype (Fig. 2). The mature rachis
147 was tough and remained intact after the spikelets had been forcibly removed from
148 the ear. DNA was then extracted from seeds from two spikelets to confirm presence
149 of the *btr1b* allele. In contrast, with the wild accession PI 662202, which was
150 genotyped as *Btr1 Btr2*, the mature rachis disarticulated into segments that remained
151 attached to the spikelets, and it was impossible to remove spikelets without breaking
152 the rachis.

153 Median joining networks were constructed to identify the relationships
154 between the *btr1b* and *Btr2* haplotypes of PI 374426 and HOR 683 and the *Btr1* and
155 *Btr2* haplotypes of wild barley published by Pourkheirandish *et al.* (2015). In the
156 *Btr1/btr1* network, the two *btr1b* haplotypes were located together at a position
157 distant from the *btr1a* sequences, and were most closely related (1–4 substitutions)
158 to the *Btr1* haplotypes of four brittle wild barleys (IPK IDs FT266, FT624, FT730,
159 FT747), all of which were collected from the Gaziantep region of southeast Turkey
160 (Supporting Information Fig. S1a). The *Btr2/btr2* network gave a similar result, the
161 *Btr2* haplotype of PI 374426 and HOR 683 showing the closest relationship with the
162 *Btr2* haplotypes of the same four wild Gaziantep accessions (Supporting Information
163 Fig. S1b).

164

165 Discussion

166 The assumption that there are two lineages of cultivated barley, originally called the
167 ‘Oriental’ and ‘Occidental’ families, dates back 60 years to the pioneering genetic
168 studies of Takahashi and colleagues (Takahashi, 1955). Recently, genomic work has
169 suggested that all cultivated accessions fall into one or other lineage, characterized
170 by a 1 bp deletion giving rise to the *btr1* allele and a 11 bp deletion giving *btr2*
171 (Pourkheirandish *et al.*, 2015). We show that there is a third type of cultivated barley,
172 whose non-brittle genotype is conferred by a different mutation in the *Btr1* gene,
173 giving rise to what we have called the *btr1b* allele. Discovery of a third non-brittle
174 lineage shows that the origins of this phenotype of cultivated barley are more
175 complex than previously thought. Although the novel mutation appears to be scarce
176 in modern landrace collections this cannot be taken as evidence that it was equally
177 scarce in the past, as the modern frequency of the allele will be, at least in part, a
178 reflection of the vagaries of the post-domestication population dynamics of the crop.
179 It cannot therefore be assumed that the emergence of this allele was a ‘minor’ event
180 during the process that led to domestication of barley, nor that the human activities
181 that led to cultivation of this third lineage of domesticated barley were themselves
182 inconsequential. The existence of the novel allele also raises the possibility that
183 other ‘minor’ lineages are as yet undiscovered in germplasm collections, or may
184 have died out during the 10,000 years since the emergence of the domesticated
185 phenotype.

186 According to their *Btr1/Btr2* haplotypes, the wild accessions most closely
187 related to the *btr1b* domesticates are located in the Gaziantep region of southeast
188 Turkey. Inferring the geographical origin of a domesticated plant lineage by
189 comparison with wild accessions is fraught with danger, even though in this case the
190 wild accessions have been recently collected, and hence have not been affected by
191 gene flow between plants during *in situ* conservation (Jakob *et al.*, 2014). Inference
192 of a geographical origin still assumes that the wild phylogeography has been
193 unchanged over the last 10,000 years, and that the relationship between a
194 domesticate and its source wild population has not been complicated by more recent
195 hybridization between the domesticate and other wild populations. The former
196 assumption is difficult to test, and the latter is almost certainly incorrect (Poets *et al.*,
197 2015). However, we note that those wild accessions with *Btr2* haplotypes closest to
198 *btr2* come from northern Syria and the Gaziantep area of Turkey (Pourkheirandish *et*
199 *al.*, 2015). It is therefore possible that the domesticated lineages with the *btr2* and
200 *btr1b* alleles derive from the same source wild population.

201 Hypotheses regarding the origins of agriculture in the Fertile Crescent of
202 southwest Asia have undergone a dramatic shift in recent years. The earlier
203 interpretation of the transition from hunting-gathering to agriculture as a rapid
204 'revolutionary' event, with each of the founder crops of agriculture emerging from one
205 or, at most, two discrete geographical locations (Diamond, 2002), is now being
206 replaced by a more sophisticated model in which the transition to agriculture was a
207 protracted and multiregional process, with the domesticated germplasm of each crop
208 derived from a variety of wild populations (Civáň *et al.*, 2013; Willcox, 2013; Poets *et*
209 *al.*, 2015). The presence of additional brittle rachis mutations in cultivated barley is
210 consistent with this model and emphasizes the geographically dispersed nature of
211 the anthropological and microevolutionary events that resulted in the emergence of
212 agriculture in southwest Asia.

213

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216 supported by European Research Council grant 339941 awarded to T.A.B.

217

218 **Author contributions**

219 P.C. carried out the laboratory work and data analysis. P.C. and T.A.B. jointly
 220 conceived the project and wrote the manuscript.

221

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264

265

266 **Figure 1** Structure of the novel *btr1* allele in domesticated barley. (a) Alignment
 267 between the amino acid sequences of the wild type brittle allele (*Btr1*), the previously
 268 reported non-brittle allele (here called *btr1A*), and the novel non-brittle allele that we
 269 report (*btr1B*). The *Btr1* and *btr1A* sequences are from *H. spontaneum* OUH602 and
 270 *H. vulgare* cv. KNG, respectively (Pourkheirandish *et al.*, 2015). The BTR1 protein
 271 has two hydrophobic regions indicated by green shading. Changes giving rise to the
 272 two *btr1* alleles are highlighted in yellow. (b) Transmembrane orientation of the BTR1
 273 protein predicted by SOSUI (Hirokawa *et al.*, 1998). The hydrophobic regions and
 274 the Leu-Pro substitution in the *btr1B* product are indicated using the same colours as
 275 in part (a).

276

277 **Figure 2** Comparison of the seed head of barley landrace PI 374426, possessing
 278 the *btr1b* allele, with a wild barley seed head. (a) The seed head of PI 374426,
 279 displaying a non-brittle, six-row phenotype. (b) After forcibly removing spikelets
 280 (right) from the PI 374426 ear, the rachis remains intact (left), (c) The mature seed
 281 head of wild barley accession PI 662202. (d) With PI 662202, the mature rachis
 282 disarticulates into segments that remain attached to the spikelets. It is impossible to
 283 remove spikelets without breaking the rachis. Scale bars 2 cm.

284

285 **Supporting Information Figure S1** Median-joining networks showing the
286 relationships between the cultivated accessions containing *btr1b* alleles and wild
287 barley accessions.

288

289 **Supporting Information Table 1** Barley landraces.

290

291 **Supporting Information Table 2** Primers.

For Peer Review

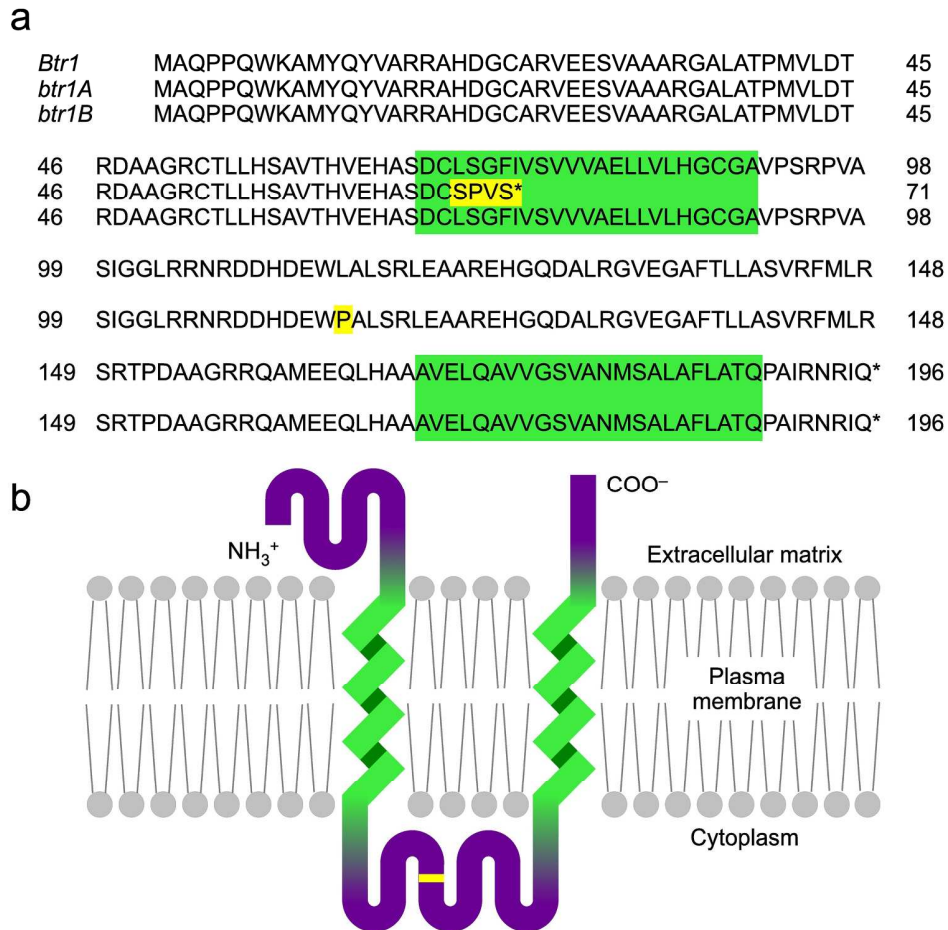


Figure 1 Structure of the novel *btr1* allele in domesticated barley. (a) Alignment between the amino acid sequences of the wild type brittle allele (*Btr1*), the previously reported non-brittle allele (here called *btr1A*), and the novel non-brittle allele that we report (*btr1B*). The *Btr1* and *btr1A* sequences are from *H. spontaneum* OUH602 and *H. vulgare* cv. KNG, respectively (Pourkheirandish et al., 2015). The *BTR1* protein has two hydrophobic regions indicated by green shading. Changes giving rise to the two *btr1* alleles are highlighted in yellow. (b) Transmembrane orientation of the *BTR1* protein predicted by SOSUI (Hirokawa et al., 1998). The hydrophobic regions and the Leu-Pro substitution in the *btr1B* product are indicated using the same colours as in part (a).

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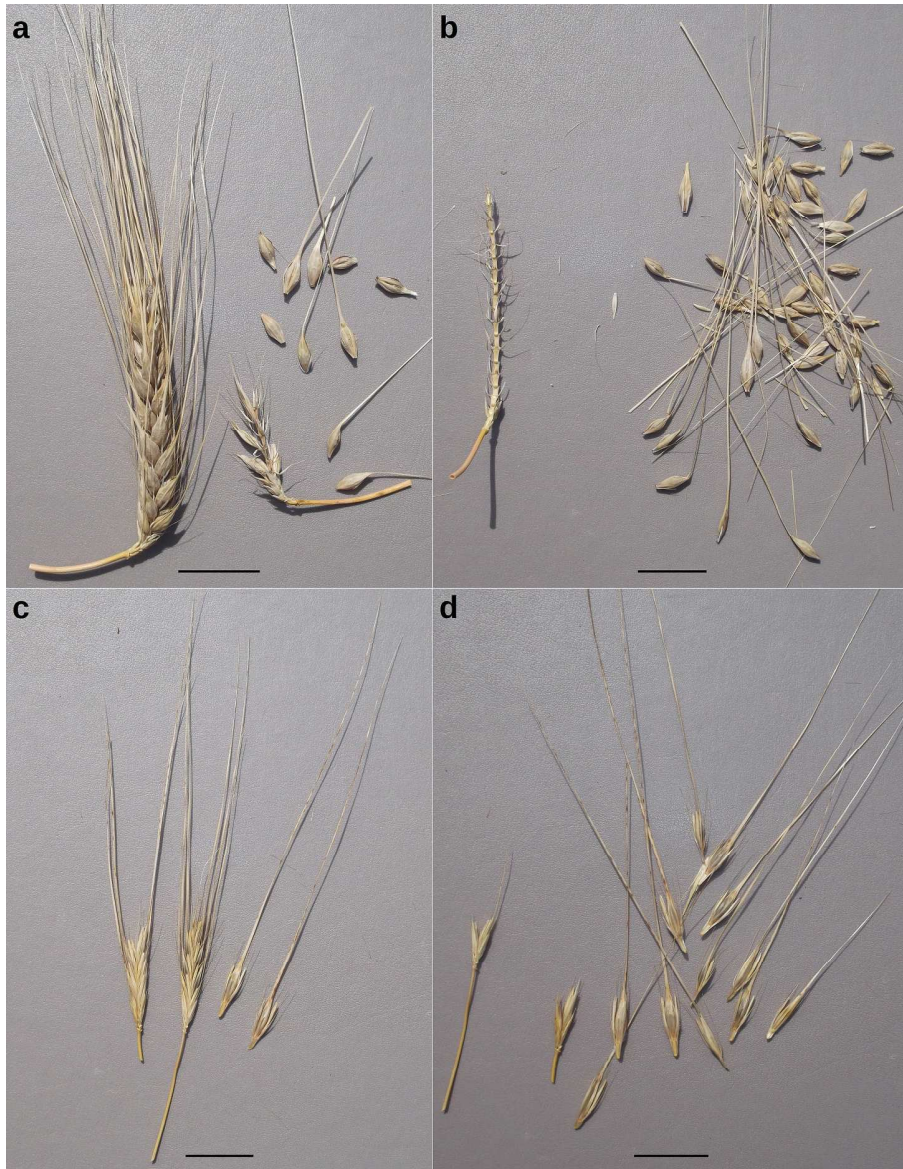


Figure 2 Comparison of the seed head of barley landrace PI 374426, possessing the *btr1b* allele, with a wild barley seed head. (a) The seed head of PI 374426, displaying a non-brittle, six-row phenotype. (b) After forcibly removing spikelets (right) from the PI 374426 ear, the rachis remains intact (left), (c) The mature seed head of wild barley accession PI 662202. (d) With PI 662202, the mature rachis disarticulates into segments that remain attached to the spikelets. It is impossible to remove spikelets without breaking the rachis. Scale bars 2 cm.

180x232mm (300 x 300 DPI)