



Neonatal hydrocephalus is a result of a block in folate handling and metabolism involving 10 formyl tetrahydrofolate dehydrogenase.

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1 Neonatal hydrocephalus is a result of a block in folate handling and metabolism involving 10
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7 Naila Naz*, Alicia Requena Jimenez, Anna Sanjuan-Vilaplana, Megan Gurney, Jaleel Miyan.

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12 Faculty of Life Sciences, The University of Manchester, 3.540 Stopford Building, Oxford Road,
13 Manchester M13 9PT, United Kingdom.

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14 *Author for correspondence:

16 **Dr Naila Naz**

17 **Faculty of Life Sciences**

18 **The University of Manchester**

19 **3.540 Stopford Building**

20 **Oxford Road**

21 **Manchester. M13 9PT**

22 **Naila.naz@manchester.ac.uk**

23 **+44 161 275 8579**

26 **Running Title:**

28 A fault in FDH exists in neonatal hydrocephalus.

Abstract

Folate is vital in a range of biological processes and folate deficiency is associated with neurodevelopmental disorders such as neural tube defects and hydrocephalus. 10-formyl-tetrahydrofolate-dehydrogenase (FDH) is a key regulator for folate availability and metabolic interconversion for the supply of 1-carbon groups. In previous studies we found a deficiency of FDH in CSF associated with the developmental deficit in congenital and neonatal hydrocephalus. In the present study, we therefore aimed to investigate the role of FDH in folate transport and metabolism during the brain development of the congenital hydrocephalic (H-Tx) rat and normal (Sprague dawley) rats. We show that at embryonic (E) stage E18 and E20, FDH positive cells and/or vesicles derived from the cortex can bind methyl-folate similarly to folate receptor alpha, the main folate transporter. Hydrocephalic rats expressed diminished nuclear FDH in both liver and brain at all postnatal (P) ages tested (P5, P15 and P20) together with a parallel increase in hepatic nuclear methyl-folate at P5 and cerebral methyl-folate at P15 and P20. A similar relationship was found between FDH and 5-methyl cytosine, the main marker for DNA methylation. The data indicated that FDH binds and transports methyl-folate in the brain and that decreased liver and brain nuclear expression of FDH is linked with decreased DNA methylation which could be a key factor in the developmental deficits associated with congenital and neonatal HC.

Key words: Folate, FDH, Hydrocephalus, Nucleus, FR alpha, Brain, Liver

1 Introduction

2 Hydrocephalus (HC) is clinically defined as accumulation of CSF in the ventricles and spaces around the
3 brain, usually accompanied with raised intracranial pressure in the postnatal period, due to an imbalance
4 in CSF production and absorption, most usually a problem in CSF drainage. Nevertheless the
5 developmental deficiencies observed have been attributed to widespread areas of brain in addition to the
6 periventricular white matter, with no general anomalies in the fetus, and the possibility of apparently
7 normal intellectual function if treated soon after birth (Lewin 1980). Fetal HC is a major cause of
8 termination of unborn babies around the world yet still accounts for 1:500 live human births globally
9 according to the NIH. In order to understand better the causes and etiology of fetal hydrocephalus, the
10 best experimental model has proven to be the hydrocephalic Texas (H-Tx) rat (Kohn *et al.* 1981), which
11 recapitulates the clinical signs and symptoms of human congenital hydrocephalus in many aspects. These
12 rats have inherent vulnerability to develop this condition from late gestation (Jones and Bucknall
13 1988; Mashayekhi *et al.* 2002; Pourghasem *et al.* 2001) in the main phase of development of the cerebral
14 cortex. None are “normal” so that they are classified as unaffected (UH-Tx) or affected (AH-Tx)
15 depending on gross head shape, cortical tissue development and ventricular size. Previous studies linked
16 fetal-onset hydrocephalus to a specific lack of the folate binding protein and enzyme, 10-formyl
17 tetrahydrofolate dehydrogenase (FDH), also known as aldehyde dehydrogenase, ALDH1L1, in CSF
18 (Cains *et al.* 2009). Significantly both affected and unaffected H-Tx fetuses respond positively to natural
19 folates given as maternal supplements but not to folic acid which precipitated higher numbers of affected
20 pups in the H-Tx rats and is suspected to block folate transport across the choroid plexus (Cains *et al.*
21 2009).

22 Within the cell, folate is present in different interconvertible forms through a series of complex reactions
23 carried out by a range of enzymes (Kisliuk 1999; Wagner 2001), one of these is FDH. FDH is central to
24 folate metabolism and is highly expressed in liver, the main site of folate metabolism (Krupenko and
25 Oleinik 2002), as well as in the brain. Studies reported that mice lacking FDH had decreased folate levels
26 indicating the importance of the enzyme in maintaining folate levels and in balancing folate metabolism
27 (Champion *et al.* 1994). A significant reduction in reproductive ability of these mice was also reported
28 (Giometti *et al.* 1994) indicating that disturbance of the FDH gene has widespread physiological
29 consequences. Although the exact biological role of FDH is not clearly established yet, this enzyme
30 appears to be a critical controller of cellular metabolism in general as well as acting as a folate binding
31 protein, buffering folate concentrations and also transporting folate to different locations in and around
32 the cell (Krupenko 2009). In the brain we have found that FDH is secreted into the CSF and appears to
33 transport folate through the fluid system to supply downstream areas of the brain (Cains *et al.* 2009) so
34 that it also seems to have a folate binding and transport function here but using the CSF to deliver to
35 receiving cells rather than just within the cell. This is one aspect of the unique cerebral folate handling

1 system.

2 Folate is a rate limiting and critical vitamin for several different cellular pathways, most notably for
3 DNA synthesis, maintenance and methylation (McKay et al. 2004; Miller et al. 2008) together with
4 protein and lipid methylation, feeding into the transsulphuration pathway and urea cycle, and feeding
5 biosynthetic pathways for key neurotransmitters (Lucock 2000). Correct DNA synthesis requires folate
6 and its involvement in purine and pyrimidine synthesis. DNA methylation is necessary for normal
7 genome regulation and development for which folate is also a key source of the one carbon group. The
8 fact that DNA hypo-methylation can be overturned by folic acid supplementation indicates that a lack of
9 folate alone can affect DNA methylation (Pufulete et al. 2005). Poor DNA methylation is linked with a
10 number of diseases and neurological disorders (Urduinguio et al. 2009). Moreover, it has been reported
11 that a deficiency of cerebral folate is linked with different neurological conditions (GORDON
12 2009; Hansen and Blau 2005; Ramaekers et al. 2007) including fetal hydrocephalus (Cains et al.
13 2009; Owen-Lynch et al. 2003) in addition to its already critical role in successful neurulation and
14 prevention of neural tube defects (Burren et al. 2008; Burren et al. 2010; Dunlevy et al. 2006a; Dunlevy et
15 al. 2006b; Dunlevy et al. 2007; Fleming and Copp 1998; Narisawa et al. 2012; Pai et al. 2015).

16 In the present study, we selected four key stages of HC; embryonic day (E) 18 (when CSF blockage and
17 accumulation is established in the H-Tx rats), post-natal (P) 5 as early HC, P15 intermediate HC and P20
18 advanced HC in AH-Tx rats as categorized by Jones and colleagues (Harris *et al.* 1996; Jones and
19 Andersohn 1998). Although previous studies used Wistar rats as controls, we have used Sprague dawley
20 (SD) animals because they have the same gestational period as H-Tx rats and they show no obvious
21 abnormalities in cortical development under normal conditions. We investigated these time points for
22 temporal changes in two critical proteins for folate metabolism; FDH and folate receptor alpha (FR α _
23 as well as on two folates, folic acid (FA) and 5mTHF. The aim of this study was to elucidate the
24 affinity of FDH for 5mTHF and to determine if HC is associated only with the cerebral folate
25 deficiency we previously described (Cains et al. 2009) or if a more general fault in folate
26 metabolism exists in the H-Tx strain and/or is restricted to affected individuals. We analyzed the
27 expression pattern of the key folate metabolic proteins and the key folates; FA and 5mTHF to
28 examine this in detail. We also examined methylation status using the DNA methylation reporter
29 5methyl cytosine.

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31 **Materials and Methods**

32 **Animal and tissue collection**

33 All experiments were sanctioned by the Home Office Animal Procedures Inspectorate. Colonies of SD
34 and H-Tx rats were maintained on a 12h light 12h dark cycle beginning at 8am, at constant temperature
35 and humidity and with constant controlled and filtered air supply, low light and sound levels with free

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1 access to food and water. The H-Tx colony was maintained through brother-sister mating between
2 unaffected animals, and the SD colony was maintained through random pair mating. The animals were
3 fed the standard Beekay rat and mouse diet no. 2 (B and K Universal, Hull, UK). We believe the SD rats
4 are the best to use as controls since they have the same gestational cycle length as H-Tx rats and from
5 our previous studies, share temporal coincidence in brain development. Time mated pregnant dams were
6 isolated from the colony into cages of 3 dams. H-Tx fetuses at E18 were classified as either affected A-
7 HTx or unaffected U-HTx based on the excessive CSF accumulation which showed as a gross doming
8 of the head of affected individuals under Leica MZ6 microscope (Switzerland). Furthermore, brain slices
9 were observed under the microscope and thick slices of cortex demonstrated enlarged ventricles and thin
10 or reduced cortical mantle thickness. In this study we found that the folate error was independent of
11 severity of hydrocephalus and that there was a clear distinction between affected and unaffected brains
12 therefore for the purposes of this analysis we did not correlate our findings with severity but this may be
13 important for subsequent studies. Pregnant dams (at least 3 to 5 in each group) were euthanized by
14 intraperitoneal injection of sodium Pentobarbitone 20% w/v (Pentoject, UK) and fetuses harvested at E
15 18. Pups (at least 3 to 5 in each group) were euthanized in the same way to harvest liver and brain at P5,
16 P15 and P20. Organs were removed and immediately frozen with dry ice-cooled isopentane (VWR
17 International S.A.S, France) and stored at -80°C .

18 Immunohistochemistry

19 Immunohistochemistry was performed on 15-20 μm thick coronal and sagittal brain cryosections
20 collected onto glass slides. Hematoxylin and eosin (H&E) staining was performed to demonstrate
21 changes in ventricular size and cortical thickness. Double or single immunofluorescence staining was
22 performed to show the localization of FDH, FR α and 5mTHF. After fixation for 10 minutes in
23 paraformaldehyde, the sections were then blocked with 5% fish gelatin for an hour at room temperature.
24 After 3 washes for 5 minutes in PBS, sections were incubated overnight at 4°C with combinations of the
25 primary antibodies; FDH 1:5000, FR α (R&D Systems) 1:10000, 5mTHF (Sigma) 1:500, GFAP (abcam
26 (1:500)). The next day, after 3 washes for 5 minutes in PBS, sections were incubated in the dark at room
27 temperature with the subsequent secondary antibody. The nuclei were counter stained with DAPI and
28 sections were mounted. The negative controls were incubated in the same way with the omission of
29 primary antibody. Photomicrographs were captured with 3DHitech Panoramic 250 Flash II slide
30 scanner, Leica confocal and Leica DMLB fluorescence microscope connected to a Coolsnap digital
31 camera (Princeton Scientific Instruments, Monmouth Junction, New Jersey, USA) and Metaview V7
32 image capture and analysis software.

33 ELISA

34 **Standard curve preparation:** To determine FDH affinity for 5mTHF, a custom ELISA assay was
35 developed. A first step consisted of producing FDH standard curves utilizing an FDH ELISA

1 commercial kit (Cusabio Biotech CO). The same kit was used to create a second FDH standard curve
2 using the non-commercial FDH protein used in our experiments. Commercial and non-commercial FDH
3 were full length proteins, and their corresponding standard curves were created following the company's
4 instructions provided in the kit. FDH top standard was used at 2×10^7 pg/ml and two fold serial dilutions
5 were carried out to create the standard curves. The kit limit of detection was 10.93pg/ml. Fluorescent
6 5mTHF antibody was prepared using the Lightning Link Cy3 conjugation kit following the
7 manufacturer's protocol (Novus Biologicals, USA).

8 **FDH affinity to 5mTHF:** A second ELISA was carried out in parallel to the production of FDH
9 standard curves. FDH and 5mTHf were allowed to bind as per manufacturer instructions. 5mTHF was
10 added at the same concentration as FDH, i.e. 2×10^7 pg/ml. A two fold serial dilution was performed to
11 obtain a graph representing 5mTHF –FDH binding. 5mTHF and FDH concentration values chosen for
12 the standard curve were decided according to FDH and 5mTHF data found in the literature for CSF
13 (Cains et al. 2009). After incubation, 5mTHF-FDH complexes were identified using our prepared
14 fluorescent anti-5mTHF antibody.

15 **Total Protein isolation**

16 Total protein was isolated from liver and brain tissue of rats using RIPA buffer (Sigma, USA) with
17 $1 \times$ Roche complete protease inhibitor cocktail (Roche, USA) added. Tissues were homogenized using a
18 Polytron homogenizer (at 3-4 speed) and centrifuged at 13000 rpm for 20 min. The supernatant was
19 collected into another Eppendorf tube and centrifuged again to remove any debris. All steps were carried
20 out at 4°C .

21 **Fractioned protein isolation**

22 Differential centrifugation was performed as reported previously (Paulo et al. 2013).
23 Tissues were homogenized in fraction buffer with the polytron (at 3-4 speed) for a few seconds several
24 times, passed through a 30G needle using a 1ml syringe and incubated for 30 minutes on ice. The
25 nuclear pellet was extracted "spinning-dry" at 720g over 5 min. 500 μl of fractionation buffer were added
26 to the pellet which was centrifuged at 720g over 5 min. The supernatant (S1) from the first
27 centrifugation, was deposited into a new microcentrifuge tube and was centrifuged at 10,000g over 30
28 min and the resulting supernatant (S2) was deposited into a new microcentrifuge tube. The pellet,
29 containing the mitochondrial fraction (Mit_n) was washed once adding 500 μl of fractionation buffer,
30 dispersed with a pipette, and passed through a 30 G needle (with 30mm length) using a 1 ml syringe
31 10 times. Mit_n was centrifuged at 10,000g for 10 min, the buffer was removed and the pellet resuspended
32 in Laemmli buffer (Bio-Rad, USA), dispersed with a pipette, and stored at -20°C until analysis.
33 S2 was centrifuged at 100,000g for 1 h. The resulting supernatant, the cytosolic protein fraction (Cyt_n),
34 was deposited into a new microcentrifuge tube and kept on ice. The pellet, membrane fraction (Mem_n),
35 was washed once adding 500 μl of fractionation buffer, dispersed with a pipette, passed through a 30 G

1 needle 10 times and centrifuged again at 100,000g for 1 h. 50 μ l of protease inhibitor cocktail (Roche)
2 was added to each sample and stored at -20°C until further use.

3 **Protein determination and Western blots**

4 Protein concentration was determined with a Nano drop instrument (Thermo Scientific, UK). For SDS
5 PAGE electrophoresis a concentration of 20 μ g of protein was loaded into each well of 4-12% (w/v)
6 polyacrylamide 12 well NuPAGE mini-gel (Invitrogen Novex-Life Technologies, USA) were used.
7 Protein dry transference was carried out using the iBlot Transfer and the iBlot gel Transfer mini-gels
8 stacks PVDF Regular (Invitrogen Novex-Life Technologies, Israel) for 7 min. The nitrocellulose
9 membrane was stained with a few drops of Ponceau solution (Amresco, USA) for 15-30 seconds to test
10 that the transference had been successful. The membrane was washed 2x3 min with 1x PBS.

11 To perform the Western blot, the membrane was blocked with 5% fish gelatine or 5% skimmed milk, 1x
12 PBS- T for 1h at RT. The primary antibodies (Table1) were diluted with 5% fish gelatin prepared in 1x
13 PBS plus 1%Triton X 100. Primary antibody incubation took place overnight at 4°C , and membrane
14 incubations were performed on a rotating shaker. Details of primary antibody are: anti-mouse ALDHL1
15 (Novus Biologicals) 1:2000, Anti-Rabbit FDH 1:5000, Anti-sheep FR α (R&D Systems) 1:10000, Anti-
16 mouse FR α (abcam) 1:10000, Anti-mouse 5mTHF (Sigma) 1:500, Anti-mouse folic acid (Sigma) 1:500,
17 Anti-mouse beta actin (Cell Signaling) 1:10000. The membrane was washed 4x15 min with 1x PBS-
18 Triton X with shaking, at room temperature. Secondary antibodies were diluted with 5% fish gelatin
19 prepared in 1x PBS. The membrane was washed 4x15 min with 1x PBS-T and placed into plastic with a
20 little volume of PBS-Triton X.

21 **Dot blots**

22 Dot blots for 5methyl cytosine, folic Acid and 5mTHF were performed to qualitatively evaluate the
23 differential concentration of these compounds between SD and H-Tx samples, analyzed in triplicates.
24 Each sample was diluted to a final concentration of 50 μ g/ml and 2 μ l were pipetted onto separate
25 pre-determined locations of a nitrocellulose membrane strip and allowed to air dry. Membranes were
26 incubated in blocking solution (5% fish gelatin in 1x PBS-T) for 1hr. Samples were washed 3x15 min
27 with 1x PBS-T. Primary antibody (Table1) incubation was carried out overnight at 4°C . Membranes
28 were washed and then incubated with the secondary antibody for 50 min. Primary and secondary
29 antibodies were diluted in 5% fish gelatin prepared in 1x PBS-T. All the steps were performed on a
30 rocking table. An ECL kit was used to expose the membrane. Results from Western and dot blots
31 were obtained using the QuemiDoc instrument (BioRad, USA); and were recorded and analyzed using
32 Image Lab 4.1 (Bio-Rad Laboratories, USA).

33 **Statistical analysis**

34 Dot blot quantification was performed using Image J software version 4.0. At least three blots were
35 analyzed for each protein from each of at least three different samples. Fluorescence images were

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1 captured using fixed exposure times and were analyzed for level of fluorescence using Image J 1.36 b
2 image processing software (National Institute of Health; <http://rsb.info.nih.gov/ij>). At least 10 images per
3 experimental condition were analyzed. Data were analyzed using t-test where data were normally
4 distributed or ANOVA with GraphPad prism 6.

5 6 **RESULTS**

7 **Changes in cortical thickness and ventricular sizes**

8 Hematoxylin and eosin staining was performed to compare ventricular size and cortical thickness of
9 brains from SD at E20, UH-Tx at E16 and AH-Tx at E20. SD animals demonstrated the thickest cortex
10 and smallest ventricles (Fig. 1A). UH-Tx had comparatively less cortical thickness with slightly enlarged
11 ventricles compared to SD rats (Fig. 1B). AH-Tx, had greatly enlarged ventricles and the thinnest cortex
12 (Fig. 1C). Affected pups were identified by the domed shaped head (Fig. 1E) compared to normal pup
13 (Figure 1D).

14 **Identification of FDH positive vesicles**

15 Immunofluorescence staining of SD rat brain at E20 was performed to indicate the source of FDH
16 vesicles. Confocal imaging indicated FDH+ vesicles in the ventricular space. These vesicles appeared to
17 be breaking off the FDH+ radial glial stem cells in the cortex (Fig. 2A). The higher magnification
18 indicated no ependymal cells around the lining and indicated FDH+ vesicles breaking away from the
19 cortex (Fig. 2B and 2C). Double immunofluorescence staining was performed with GFAP and FDH to
20 identify the source of FDH positive vesicles. We could detect cells positive for both proteins in the
21 cortex and vesicles apparently breaking off the cortex into ventricular space also demonstrated both FDH
22 and GFAP protein expression. We could detect three types of vesicles in ventricular space; i) FDH+
23 vesicles, ii) GFAP positive vesicles, iii) FDH and GFAP+ vesicles (Fig. 2D and 2E).

24 **FDH has an affinity for 5mTHF**

25 To elucidate the interactions of FDH in brain folate transport immunofluorescence staining was carried
26 out on embryonic day 18 brain sections. Positive staining and colocalization of FDH with 5mTHF and
27 FR α was found in the cerebral cortex of normal (Fig. 3A and 3C) and hydrocephalic brains (Fig. 3B and
28 3D). FDH and FR α colocalization was also found within neuroepithelia of the striatum (NPST) and
29 vesicle-like structures present in the lateral ventricles (Fig. 3E). The data demonstrate the colocalization
30 of FDH and 5mTHF and a similarity of roles between FDH and FR α in transporting folate both in
31 vesicles as well as into cells. The evidence also indicates that FR α -folate containing vesicles fuse with
32 FDH containing vesicles possibly as part of the folate transfer function. Quantification of fluorescence
33 staining in the cerebral cortex of these animals indicated a significant decrease in FDH protein in U-HTx
34 rats compared to that in SD, However, both FR α and 5mTHF were found to be significantly increased in
35 U-HTx animals (Fig. 3G; $P \leq 0.01$). This makes some sense when FDH is associated more with the

1 developing cortex and may be reduced as cortical development is also significantly reduced in HC
2 (Mashayekhi *et al.* 2002;Owen-Lynch *et al.* 2003)

3 **FDH and 5mTHF ELISA**

4 To evaluate whether FDH binds 5mTHF a sandwich ELISA was performed. Standard curves were
5 created in order to confirm FDH was bound to the ELISA kit solid phase using first the kit's FDH
6 standard protein and later the non-commercial FDH (from Dr. S. Krupenco) which was used in all other
7 experiments. The aim was to demonstrate that our non-commercial FDH behaved similarly to the
8 commercial standard protein. Equal concentrations of FDH and 5mTHF were added to the wells in order
9 to achieve concomitant double serial dilutions for both molecules (Fig. 4A). Estimation of amount of
10 5mTHF bound to FDH was achieved by using a custom fluorescent 5mTHF antibody. There was an
11 approximately linear relationship between fluorescence and 5mTHF concentration, with $r^2=0.74$,
12 demonstrating that 5mTHF does bind to FDH (Fig. 4B).

13 **Western blot analysis**

14 Western Blot analysis of hepatic and cerebral cortical proteins indicated an abundant expression of FDH
15 and FR alpha in both tissues. 5 days post-natal (P5), FDH was detected in the nuclear fraction of hepatic
16 and cortical tissue of SD rats. However, in H-Tx animals, hepatic and cortical FDH was expressed
17 mainly in the cytoplasm and membrane with a reduced expression in mitochondria and decreased or no
18 expression in the nucleus (Fig. 5A, 5E). Similarly, compared to SD, hepatic FR α protein was restricted to
19 the cytoplasm of AH-Tx animals (Fig. 5B). Additionally, brain cortex did not show any nuclear FR α
20 expression in either animal (Fig. 5F). Dot blot analysis indicated no nuclear 5mTHF or FA in SD
21 animals. However, HT-x animals had a measurable expression for both folates in liver (Fig. 5C and 5D).
22 In brain, both folates were restricted to the cytoplasm (Fig. 5G and 5H).

23 At P15, among SD, UH-Tx and AH-Tx, the maximum expression of FDH was observed in the AH-Tx.
24 However, similar to that observed at P5, HT-x animals had a tendency of decreased FDH in hepatic and
25 cortical FDH when compared to SD (Fig. 6A and 6E). In cortical tissues of H-Tx animals, this decrease
26 in FDH was paralleled by an increase in nuclear expression of both folates (Fig. 6G and 6H). A weak
27 nuclear FR α was also detected in HT-x cortical tissue (Fig. 6F).

28 At P20, an overall reduction in hepatic FDH was observed in AH-Tx compared to SD animals. FDH was
29 expressed consistently in all the protein fractions from SD liver, however, diminished expression of FDH
30 was observed in mitochondrial and nuclear fractions of AH-Tx rats (Fig. 7A). This decrease was
31 correlated with the reduced folates levels in H-Tx animals (Fig. 7C and 7D). An interesting finding was
32 the detection of nuclear FDH and FR alpha in cortical tissue of HT-x animals at P20 which matched the
33 detection of nuclear folates (Fig. 7E-7H).

34 **Dot blots analysis**

1 Total protein extracted from liver and brain was used to perform dot blot analysis for 5mC and FDH to
2 identify changes in DNA methylation that might be related to FDH availability. Densitometry analysis
3 demonstrated a non-significant but direct relation of 5mC with the availability of FDH. However, in the
4 livers of AH-Tx animals at P5, we detected more FDH with less 5mC (Fig. 8).

6 Discussion

7 Understanding the etiology and pathophysiology of fetal hydrocephalus is key to finding effective
8 preventatives and treatments to alleviate the neurological consequences and healthcare implications on
9 affected individuals. Over the past few years we have identified a unique cerebral folate handling system
10 that utilizes the cerebrospinal fluid to transport and deliver folate to the developing cerebral cortex. Thus
11 this system is also exclusive to the cerebral cortex. We have discovered that a failure in the secretion of
12 the folate binding protein and enzyme, 10-formyl tetrahydrofolate dehydrogenase (FDH) is responsible
13 for a failure of cells in the cortex to access the available form of folate in CSF (5mTHF) and that
14 supplementation with either THF or 5fTHF, or both in a synergistic combination, can prevent fetal
15 hydrocephalus and improve brain development in the H-Tx rat model. Clearly, a more thorough
16 understanding of how folate is transported into and through the brain as well as how it is utilized in the
17 cells could help to uncover a more effective treatment in combination with the folate supplements.
18 Hence, this study aimed to establish which components of the folate error were unique to the cerebral
19 cortex and which might be more generally at fault in the affected H-Tx rats. Our starting hypothesis was
20 that the cerebral folate fault would define the hydrocephalic rat so a surprise finding was that a similar
21 fault existed outside the brain in folate transport to the nucleus, at least in the liver of affected animals.
22 Given that the liver is a key site of folate metabolism, this, in retrospect could have been expected.
23 Whether there is a direct link to hydrocephalus or whether this is a separate problem needs to be
24 determined but it is tempting to think of a consequence on folate supply to the brain from the liver.

25 To the best of our knowledge, this study provides the first link between FDH, FR α and 5mTHF transport.
26 It also reports for the first time a relationship between hepatic and cerebral cortical FDH and FR α
27 expression, folate status and DNA methylation. The aim of this study was to understand the interactions
28 of FDH and FR α in folate transport across the brain and whether hepatic and/or cerebral cortical nuclear
29 FDH and FR α have a role in DNA methylation which could be linked with neonatal hydrocephalus
30 (HC). This supports and extends our previous findings of a folate imbalance in the brain of
31 hydrocephalic individuals and makes sense of the benefits of natural folate supplementation compared to
32 supplementation with folic acid which seems to interfere with normal folate transport and, perhaps, in the
33 balance of folate metabolism. The study compared three groups of rats, SD normal controls, unaffected
34 (UH-Tx) and affected (AH-Tx) H-Tx rats. SD animals have good cortical thickness and normal sized
35 ventricles so they serve as good control animals. UH-Tx animals are not affected but neither are they

1 normal as they showed comparatively reduced cortical thickness compared to SD as reported previously
2 (Cains et al. 2009). However, AH-Tx rats have the thinnest cortex and largest ventricles compared to SD
3 and UH-Tx indicating an imbalance in cerebrospinal fluid production and drainage which results in
4 accumulating cerebrospinal fluid and associated raised intracranial pressure in postnatal ages.

5 Colocalization of FDH with 5mTHF and FR α suggests that FDH and FR α are functionally related and
6 perform a common transport function of binding and delivering 5mTHF to different areas of brain. FDH
7 and FR α were found to be localized in vesicles alone as well as together and localized with 5mTHF in
8 vesicles in the lateral ventricle (LV) near the choroid plexus and neuroepithelia of areas in the process of
9 differentiation confirming a need for 5mTHF in both regions of the brain which are in the process of
10 differentiation. Previous work also describes such vesicles (Bachy et al. 2008; Harrington et al. 2009)
11 and our findings indicate a role for these in the transport of FDH-5mTHF and FR α -5mTHF complexes
12 to different areas of the brain, and, moreover, coincide with the discovery of vesicles (exosomes)
13 transporting FR α -5mTHF complexes from choroid plexus to cerebral cortex (Grapp et al. 2013) so that
14 our study showing a link to FDH containing vesicles completes the folate transport story. Furthermore,
15 our ELISA results also demonstrate that FDH binds 5mTHF very well even though its proposed substrate
16 and products are 10-formyl tetrahydrofolate and tetrahydrofolate respectively (Lucock 2000). Taking
17 into account these findings and our results concerning FDH affinity for 5mTHF, similar to that described
18 for FR α , it seems clear that FDH is involved in the transport of 5mTHF along with FR α from the
19 choroid plexus to the cerebral cortex through vesicles (exosomes) formed in the cerebral cortex and
20 choroid plexus respectively and floating in CSF (Bachy et al. 2008). Indeed our findings indicate that at
21 the extracellular level, FDH may bind and transport 5mTHF in vesicles, providing the cerebral cortex
22 with this key folate. At the intracellular level, FDH binding to 5mTHF may have a regulatory function in
23 that it may store/sequester 5mTHF in situations of high 5mTHF concentration. In this study we show that
24 vesicles originating from glial cells are GFAP and FDH positive. Previous studies have shown similar
25 vesicles to be CD133 positive confirming their stem cell origin (Marzesco et al. 2005). Our study
26 demonstrates in addition that they contain FDH and folate too. The other FDH only positive vesicles
27 possibly are originating from choroid plexus.

28 One of the remarkable findings of the current study has been the detection of FDH in the nuclei of
29 hepatic and cerebral cortical tissue of SD rats. Previously, nuclear expression of formaldehyde
30 dehydrogenase was reported in olfactory sensory cells of rats (Keller et al. 1990). Formaldehyde
31 dehydrogenase and FDH both belong to oxidoreductase group of enzymes. However, not much work
32 has been done to describe the cellular location of FDH. In the current study, the finding of nuclear FDH
33 was matched with diminished or no nuclear folate and increased 5mC expression suggesting that folate
34 transported into the nucleus through FDH is utilized in DNA methylation. The nuclear FDH showed a
35 tendency of reduction in H-Tx animals with a matched increase in nuclear presence of folates and a

1 decrease in 5mC protein concentration indicating a possible failure of DNA methylation due a
2 requirement of FDH even though folates were present. The data indicate that in the absence or reduction
3 of FDH, DNA methylation is decreased and folate is increased but remains unused in the nucleus of
4 these cells.

5 5mC is a methylated form of the DNA and the first byproduct of DNA methylation that may be involved
6 in the regulation of gene transcription. A lack of nuclear FDH indicates a restricted interconversion of
7 folate byproducts which in turn reduces the availability of this crucial vitamin, and its donor methyl
8 group for DNA methylation. The data suggest that FDH is essential for the proper utilization of folate in
9 DNA methylation. Bioactive folate is already known to be essential for DNA methylation (Cridler et al.
10 2012). At P15 and P20 there is less 5mC in both hepatic and cortical cells, this may due to the maturation
11 of post-mitotic cells and thus less need for further DNA methylation. In AH-Tx cortex there is a decrease
12 with age no doubt associated with the cell cycle arrest and decrease in cell proliferation associated with
13 this condition in the developing cortex (Cains et al. 2009; Owen-Lynch et al. 2003).

14 Apart from the difference in abundance, FR α was present in each hepatic fraction at P5 in SD rats.
15 However, it was absent in the nuclear fraction of HTx animals and more concentrated to the cytoplasmic
16 protein fraction. In later time points, at P15 and P20, an apparent brain nuclear expression of FR α was
17 detected. This detection correlated with nuclear levels of folate in brain tissue which also corresponded
18 with the intensity of FR α detected. FR α has been previously found on the plasma and nuclear membrane
19 and within endosome (Bozard et al. 2010). Moreover, FR α was found to translocate to the nucleus in
20 response to folate levels where it behaves as a transcriptional factor. However the mechanism of
21 translocation remains unclear (Boshnjaku et al. 2012). Mutations in folate receptor genes can lead to
22 cerebral folate transport deficiency (Grapp et al. 2012). Our data indicate that diminished nuclear FR α
23 and nuclear folate in animals at P5, could be associated with a disruption in key transcriptional events.
24 This could lead to consequences in a series of developmental delays as was reported previously
25 (Boshnjaku et al. 2012). However, these animals also demonstrated the highest 5methyl cytosine levels
26 in comparison to other ages studied, which could possibly be utilizing the methyl folate present in the
27 nucleus.

28 Shin et al. (1976) established that following a folic acid [3 H] injection, 10% of total cellular folate was
29 identified in the cell nuclei of rats. Moreover, more than 95% of the nuclear folate was found to be
30 polyglutamated, highlighting that nuclear folate is an important metabolic cofactor involved in DNA
31 synthesis, possibly repair and certainly methylation (Shin Yoon et al. 1976). The mechanisms of
32 transport, processing, and accumulation of folate into the nucleus remain elusive. It has been proposed
33 that folates could be actively transported into the nucleus during cell division when the nuclear
34 membrane is lost (Stover and Field 2011) capturing folate cofactors from the cytoplasm into the nucleus
35 during each mitotic division. This would suggest that folate is already polyglutamated within the

1 cytoplasm and an active nuclear transport and polyglutamation is not required in these cells. Another
2 proposed mechanism is receptor mediated transport(Stover and Field 2011) possibly through FR α or
3 FDH as determined in the present study.

4 Impaired folate metabolism is likely to lead to hydrocephalus in fetal-onset hydrocephalus through a
5 failure to generate sufficient drainage cells in the arachnoid villi and other drainage sites outside of the
6 brain associated with the arachnoid membrane. This is indicated by the fact that hydrocephalus is not
7 apparent until high volume CSF secretion begins in association with the start of the major phase of
8 cortical development. Other studies which have demonstrated disruptions to ventricular zone and
9 subventricular zones as well as to radial glial stem cells along the ventricular lining in hyh mice and H-
10 Tx rats could not be confirmed in this study where we saw no such disruption or loss of cells into the
11 ventricles. However, such a phenomenon would not be surprising given the importance of folate in many
12 cellular mechanisms which may impact intercellular adhesion and cellular integrity. The fact that these
13 stem cells contain less FDH in the hydrocephalic brain would indicate that such processes are possible
14 and a consequence of low folate supply. Nonetheless, we have not observed ependymal denudation or
15 disruptions to the ventricular or sub ventricular zones in our studies so are unable to relate to the studies
16 of Rodriguez and colleagues (Guerra et al. 2010;Jimenez et al. 2009;Oliver et al. 2013;Roales-Bujan et
17 al. 2012;Rodriguez et al. 2012;Sival et al. 2011). It is possible that the disparate colonies of H-Tx rats
18 have changed over the hundreds of generations and that these are now specific strain differences.

19 The current study has confirmed a general fault in the FDH-folate system in H-Tx rats and has further
20 demonstrated a specific fault in the transport of FDH into the nucleus of cells of the liver and developing
21 cerebral cortex in affected animals. This study highlights the vital importance of folate for normal brain
22 development and, together with our previous studies, the vital importance of the correct folate and
23 transporters to prevent upsetting the fine balance in folate metabolism in the brain. Although further
24 experiments are needed the data go a long way to explain the s-phase cell cycle arrest observed in the
25 developing fetal hydrocephalic cerebral cortex, as well as in the developmental deficits in the cortex
26 generally through poor DNA methylation and transcription control. What we do know is that a fault in
27 CSF drainage, which itself may be a consequence of a cerebral folate deficiency, results in a virtual shut
28 down of the cerebral folate handling system to effectively halt cerebral development. This may be
29 designed to prevent potential abnormal development which inevitably leads to neurological
30 consequences and may also lead to brain tumors, whether this is in poor DNA synthesis, DNA
31 methylation and gene expression, or poor/abnormal development through effects on cell division and
32 migration. What is clear is that folate is an essential component in normal brain development dependent
33 on the transport and supply of the correct forms of folate to maintain the different processes in
34 development. In this study we found a clear difference between affected and unaffected individuals so
35 did not carry out correlations with severity of ventriculomegaly/hydrocephalus. What seems to be the

1 case is that there is some physiological switch that determines whether an individual will be
2 hydrocephalic or unaffected. We believe this likely to be in the level of drainage insufficiency. The
3 severity would also then be determined by the level of drainage insufficiency but would be independent
4 of the effect on the folate supply system described here. This needs more detailed investigation since we
5 did not carry out correlations with severity of ventriculomegaly. **Wide variability in ventriculomegally is**
6 **a characteristic of both experimental and clinical hydrocephalus and is one very important determining**
7 **factor in treatments and outcomes in patients. Our low number of experimental animals prohibited any**
8 **correlation of our data with this measure of severity so that one important follow-on study must include**
9 **such a measure to determine whether the folate system is indeed independent of this or whether there are**
10 **further changes we can measure associated with severity of ventriculomegally which may also impact on**
11 **proposed treatment through the folate system. This would be particularly important for postnatal stages**
12 **after onset of rising intracranial pressure which may be not respond to folate treatments as well, if at all**
13 **compared with prenatal stages.**

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24 25 **Conflict of interest**

26 The authors have no conflict of interest for the present study.

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10 **Figure Legends**

11 **Figure 1:** Changes in ventricular size and cortical thickness in SD (E20), UH-Tx (E16), AH-Tx (E20)
12 rats. SD rats (A) and UH-Tx rats (B) have thicker cortex and less wider ventricles compared to AH-Tx
13 rats (C). Normal rat's head gross appearance (D). The AH-Tx rats had a doomed shaped head (E)
14 indicating accumulation of cerebrospinal fluid. CC= cerebral cortex. V= ventricles. CP= choroid plexus.
15 Magnification 25x Scale bar 500 μm

16

17 **Figure 2:** Confocal image of FDH immunofluorescence staining in SD brain cortex at embryonic day
18 (E) 20. A) SD rat brain demonstrating FDH-positive radial glial cells (neural stem cells) naked to the
19 CSF, as there is no ependymal lining at this stage in development, and giving off FDH-positive vesicles
20 into the ventricular CSF. Magnification 200x scale bar 100 μm . B and C) Higher magnification confocal
21 image to show FDH-positive vesicles are breaking off from the cells lining the cortex around the
22 ventricle (white arrows). Magnification 600x. Scale bar 50 μm . D) Double immunofluorescence staining of
23 GFAP and FDH. The results show the Colocalization of the both proteins in cells (D) and vesicles (E).
24 Magnification 400 x scale bar 100 μm .

25

26 **Figure 3:** Immunolocalization of FDH, FR α and 5mTHf in cerebral cortex of SD and U-HTx rats.
27 Immunofluorescence staining of FDH (green) and 5mTHF (red) in SD (A) and U-HTx (B) rat cerebral
28 cortex. Immunofluorescence staining of FDH (green) and FR α (red) in SD (C) and U-HTx (D) rat
29 cerebral cortex. Immunofluorescence staining of FDH (green) and FR α (red) in vesicles near NP (E)
30 negative control shows no cross reactivity of antibodies (F). The right panel shows higher magnification
31 (800x, scale bar 100 μm) of corresponding individual staining. i.e. Aa corresponds to staining A. Bb

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1 corresponds to B. Cc corresponds to C. Dd corresponds to D. Ee corresponds to E. (G) Data indicate the localization of these antibodies in cerebral cortex which gives yellow color when individual staining is merged. Magnification 400x. Scale bar 50 μ m. Fluorescence quantification of SD (white bars) and U-HTx (grey bars) rats. Data from a minimum of 6 sections from different brain were averaged and recorded as mean \pm SEM for all embryos in each category, calculated from all litters. Analysis of variance revealed significant differences between the cerebral cortex of SD and Texas rats for FDH, FR α and 5mTHF at $p \leq 0.01$.

Figure 4: Custom fluorescent ELISA assay. A) Commercial and non-commercial (FDH) standard curves. Standard curves were produced by plotting optical density measurements versus commercial kit standard FDH (black line) and non-commercial standard protein (pink line). Regression coefficient (r) = 0.98 and 0.85 respectively $n=3$. B) Study of potential FDH binding to 5mTHF was carried out using a custom fluorescent immunoassay. Fluorescent values were plotted versus 5mTHF concentration. A relative linear relationship with an $r^2=0.74$ demonstrated FDH affinity for 5mTHF. The intervariation and intravariation coefficient of the assay was 9.2 and 9.55 respectively. $N=3$

Figure 5: Changes in expression pattern of FDH, FR- α , 5mTHF and folic acid at P5. **Upper panel:** hepatic fractionated lysate; Western blot analysis for FDH (A) and FR- α (B) dot blot analysis for 5mTHF (C) and FA (D). **Lower panel:** brain protein lysate; Western blot analysis for FDH (A) and FR- α (B) dot blot analysis for 5mTHF (C) and FA (D). The figure is representative of three technical/experimental replicate.

Figure 6: Changes in expression pattern of FDH, FR- α , 5mTHF and folic acid at P15. **Upper panel:** hepatic fractionated lysate; Western blot analysis for FDH (A) and FR- α (B) dot blot analysis for 5mTHF (C) and FA (D). **Lower panel:** brain protein lysate; Western blot analysis for FDH (A) and FR- α (B) dot blot analysis for 5mTHF (C) and FA (D). The figure is representative of three technical/experimental replicate.

Figure 7: Changes in expression pattern of FDH, FR- α , 5mTHF and folic acid at P20. **Upper panel:** hepatic fractionated lysate; Western blot analysis for FDH (A) and FR- α (B) dot blot analysis for 5mTHF (C) and FA (D). **Lower panel:** brain protein lysate; Western blot analysis for FDH (A) and FR- α (B) dot blot analysis for 5mTHF (C) and FA (D). The figure is representative of three technical/experimental replicate.

Figure 8: Changes in expression pattern of 5 methyl cytosine (5mC) in relation to total FDH. The data

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1 represent densitometry analysis of dot blots for 5mC and FDH using total protein from each organ at
2 different time points. Data were analyzed with Graph pad prism 6 using t-test. Dot blot analysis of 5mC
3 and FDH using total protein from rat liver and brain.
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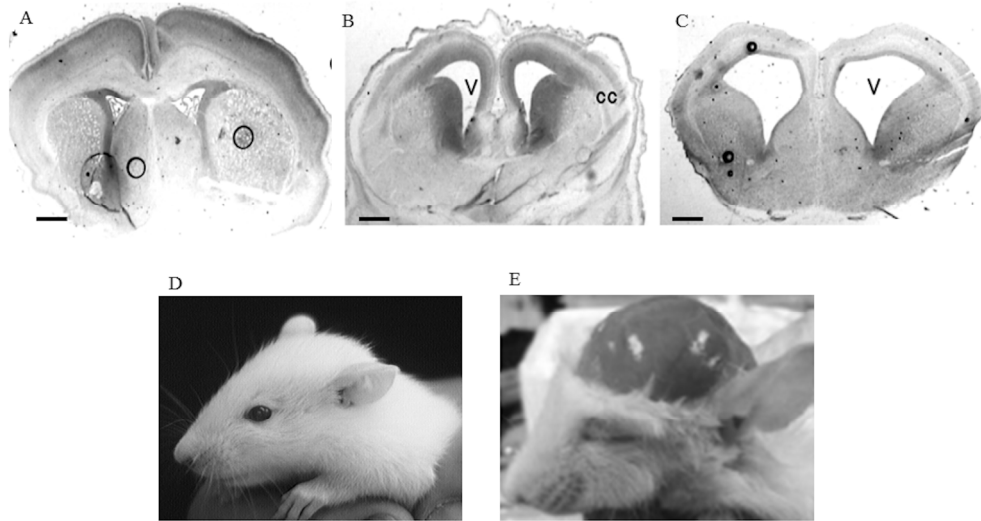


Figure 1: Changes in ventricular size and cortical thickness in SD (E20), UH-Tx (E16), AH-Tx (E20) rats. SD rats (A) and UH-Tx rats (B) have thicker cortex and less wider ventricles compared to AH-Tx rats (C). Normal rat's head gross appearance (D). The AH-Tx rats had a doomed shaped head (E) indicating accumulation of cerebrospinal fluid. CC= cerebral cortex. V= ventricles. CP= choroid plexus. Magnification 25x Scale bar 500 μ m

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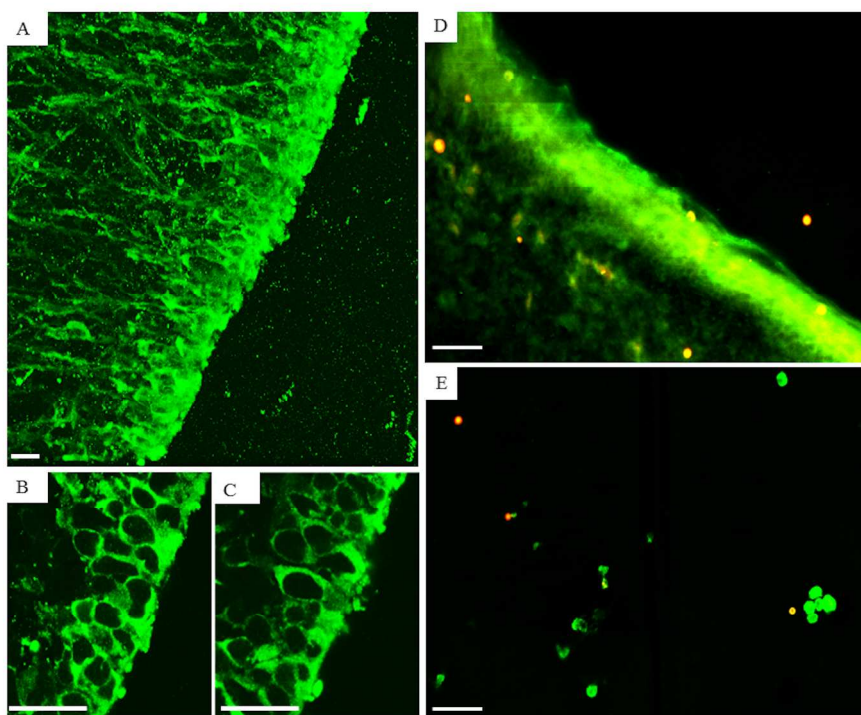


Figure 2: Confocal image of FDH immunofluorescence staining in SD brain cortex at embryonic day (E) 20. A) SD rat brain demonstrating FDH-positive radial glial cells (neural stem cells) naked to the CSF, as there is no ependymal lining at this stage in development, and giving off FDH-positive vesicles into the ventricular CSF. Magnification 200x scale bar 100 μ m. B and C) Higher magnification confocal image to show FDH-positive vesicles are breaking off from the cells lining the cortex around the ventricle (white arrows). Magnification 600x. Scale bar 50 μ m. D) Double immunofluorescence staining of GFAP and FDH. The results show the Colocalization of the both proteins in cells (D) and vesicles (E). Magnification 400 x scale bar 100 μ m.

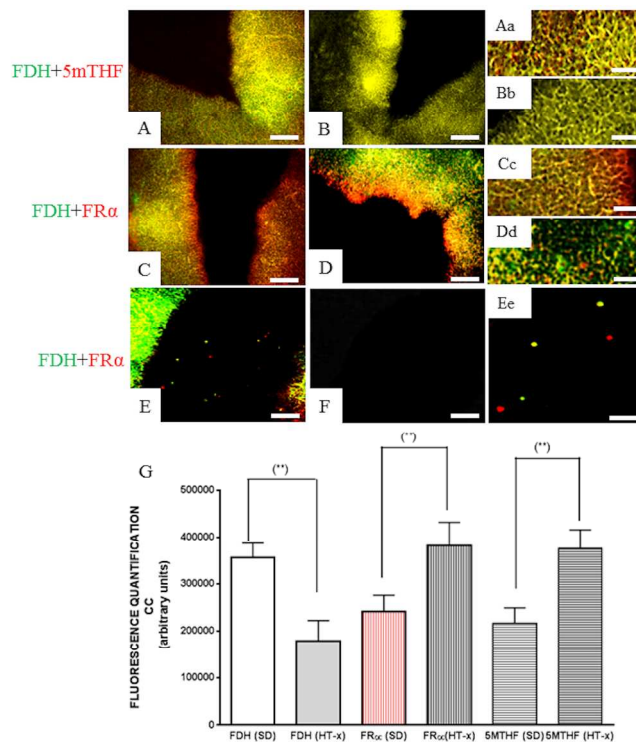


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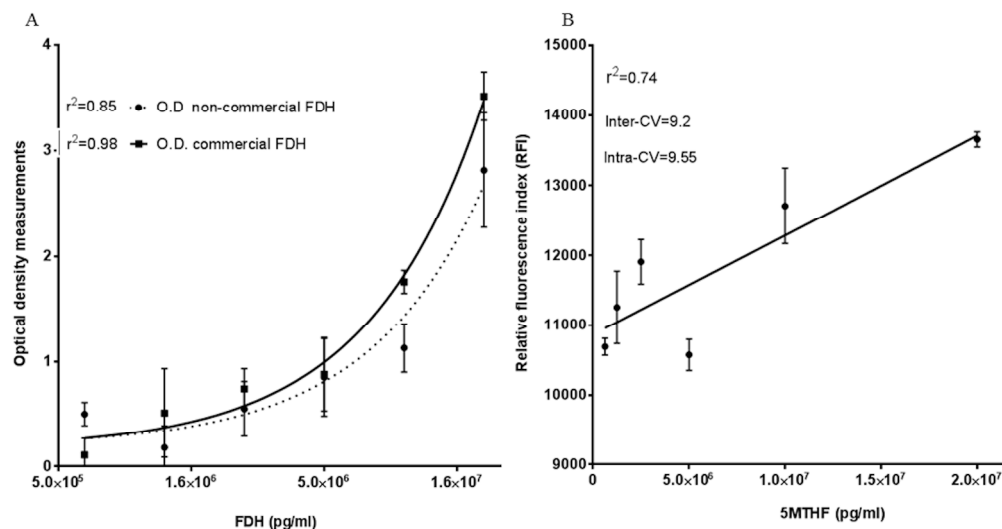


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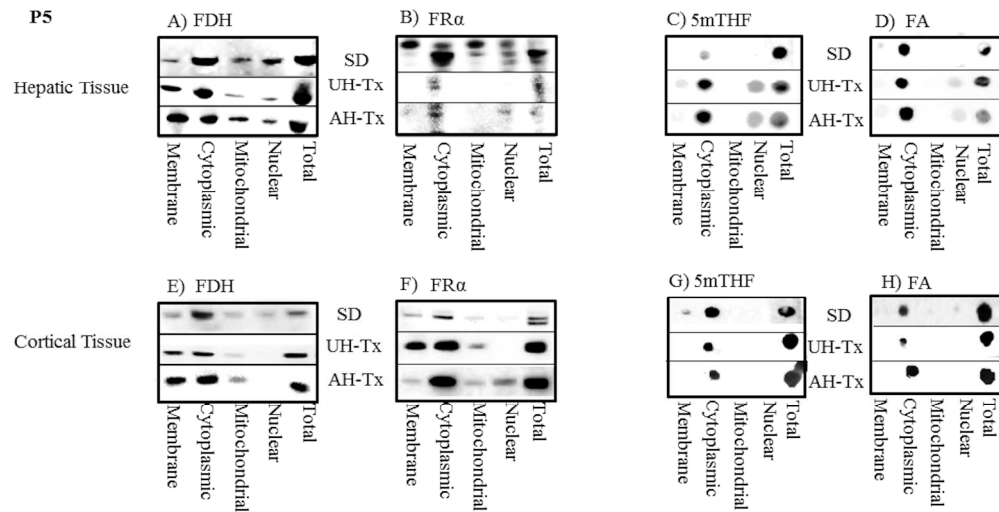


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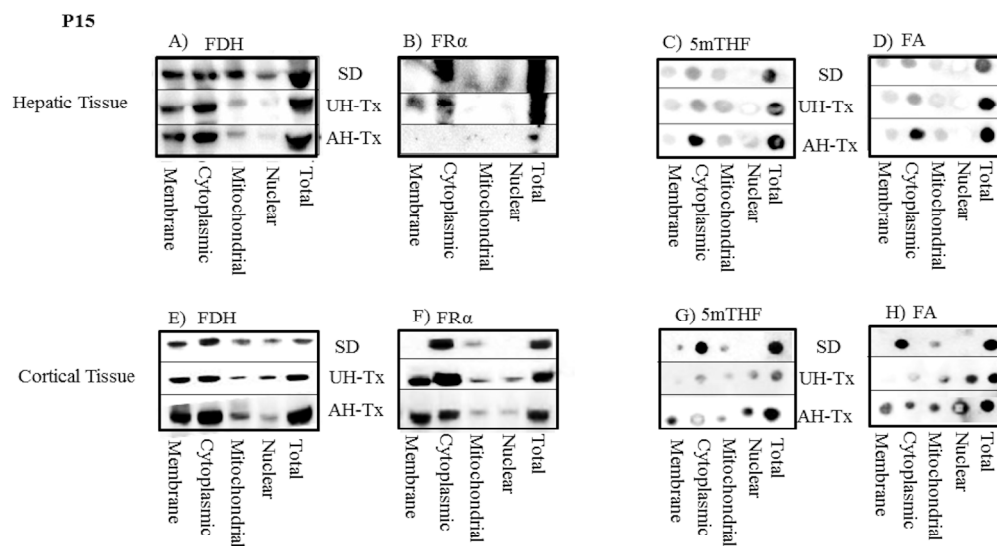


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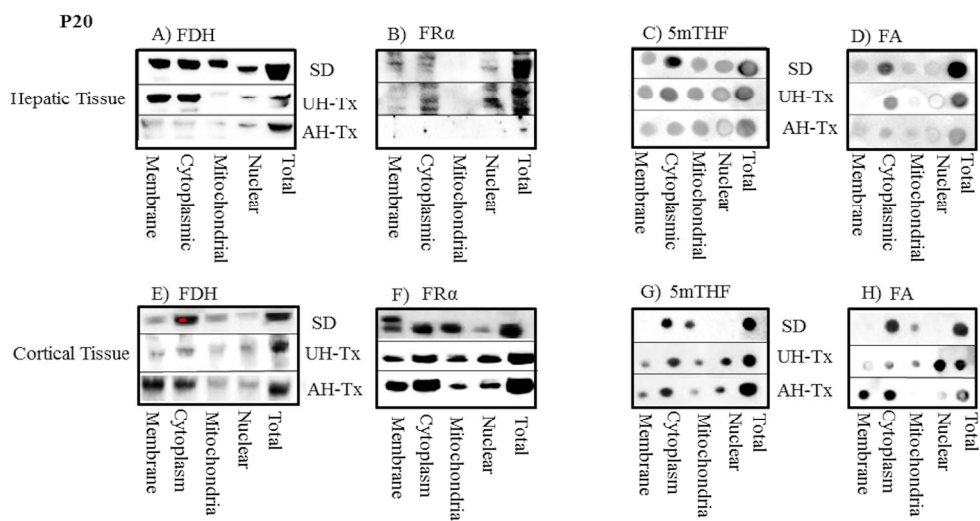


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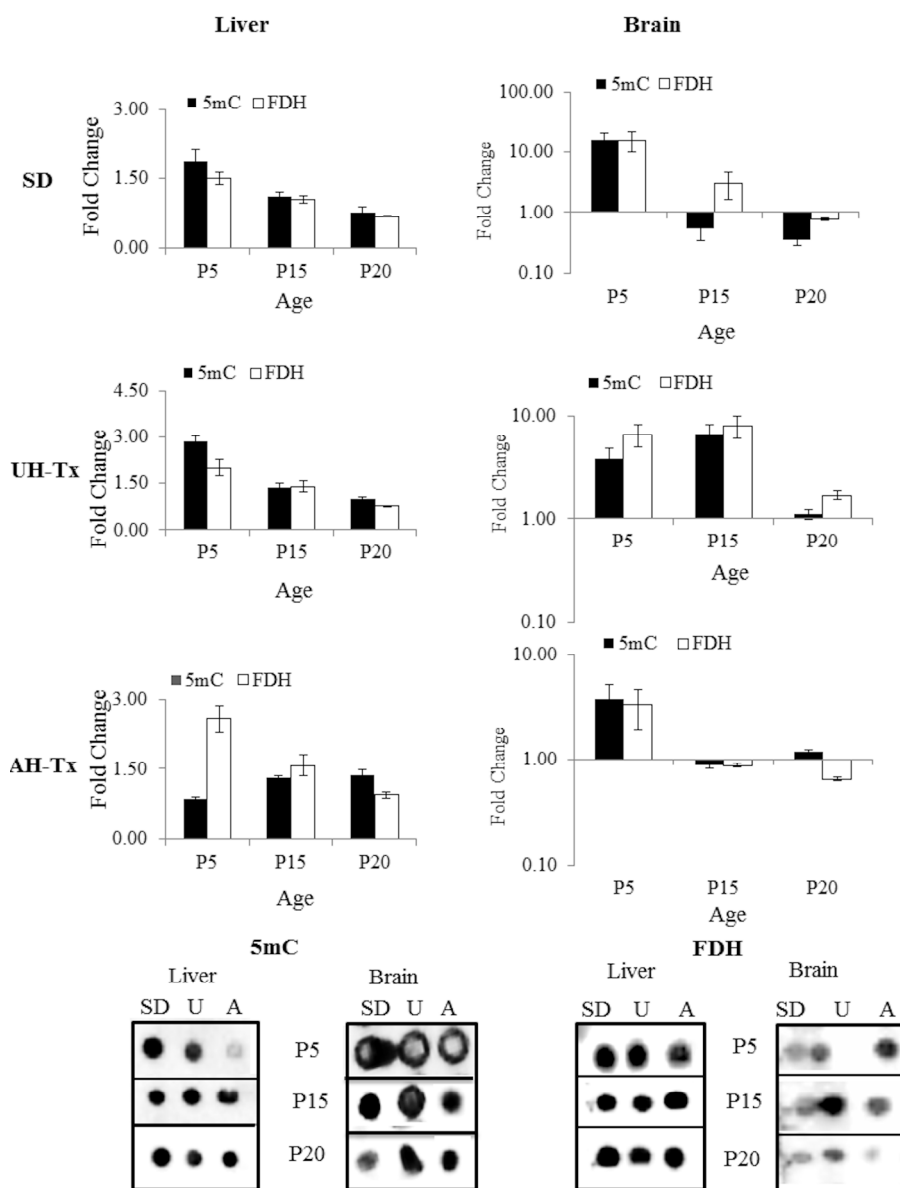


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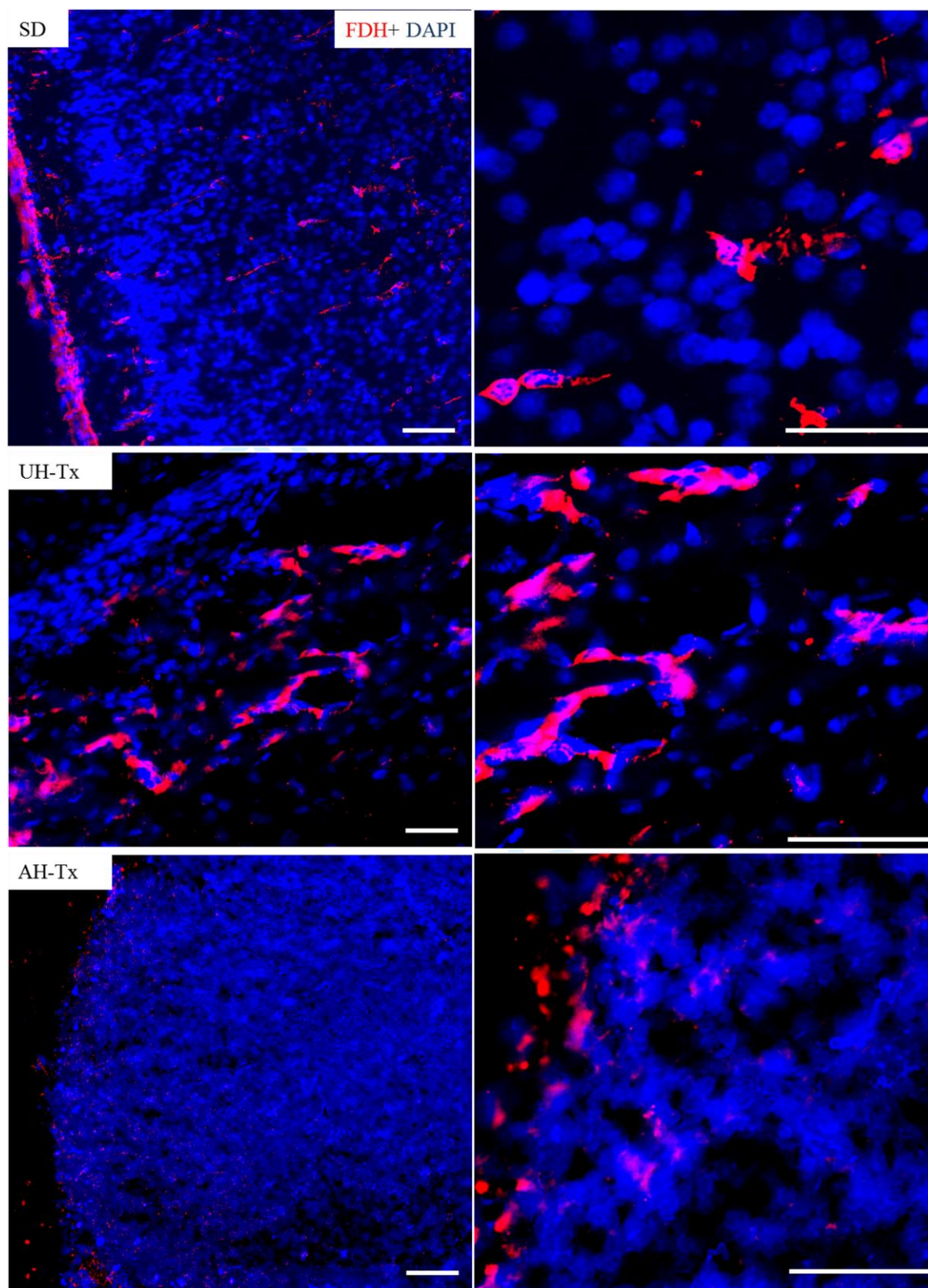
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5 **Neonatal hydrocephalus is a result of a block in folate handling and metabolism**
6 **involving 10 formyl tetrahydrofolate dehydrogenase.**
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10 Naila Naz, Alicia Requena Jimenez, Anna Sanjuan-Vilaplana, Megan Gurney, Jaleel Miyan.
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12 **Supplementary results:**

13 **Subcellular localization of FDH**

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15 To confirm the subcellular localization of FDH in cerebral cortex, immunofluorescence
16 staining of adult rat brain was performed. An intense expression of FDH was found in the
17 nuclei of SD cortex. However, in UH-Tx rat cortex, FDH was mostly localized around the
18 nuclei and only a few nuclei were positive for FDH which appeared in the form of small dots.
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AH-Tx animals showed the least or no nuclear expression for FDH (Supplementary figure 1).



55 **Supplementary figure 1:** Immunofluorescence staining of brain cortex from SD and H-Tx
56 animals. SD animals showed evident nuclear expression of FDH (red) localized with nuclei
57 (blue). Left panel: magnification 200x scale bar 100 μ m. Right panel is higher (600x, scale
58 bar 50 μ m) magnification of left panel.
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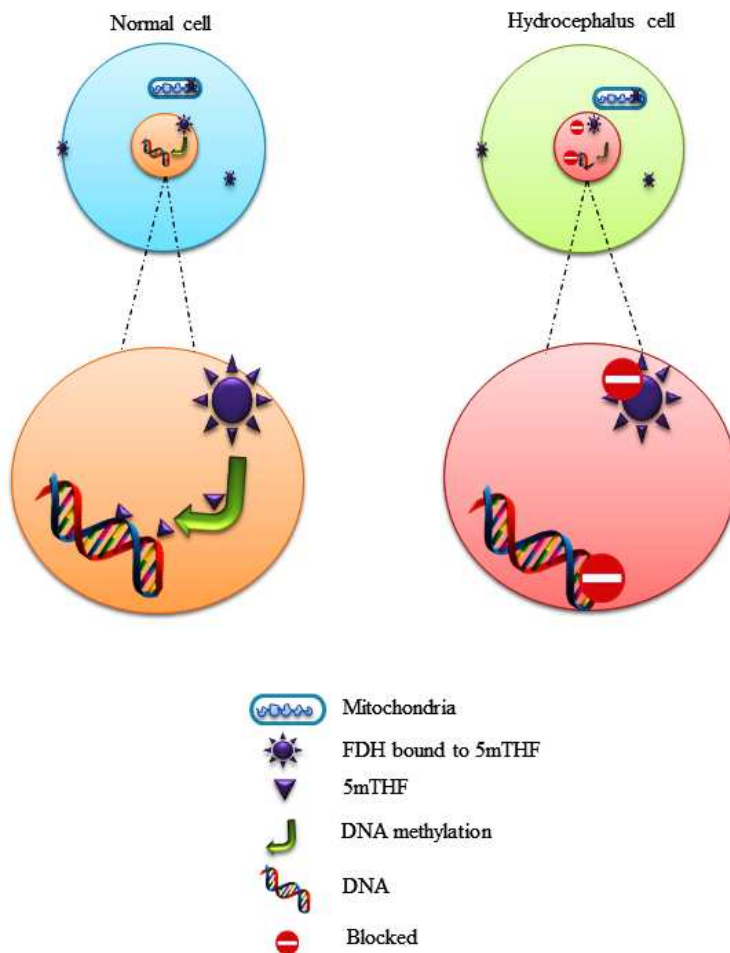
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| Title | | |
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| Corresponding author | | |
| Dr. Naila Naz | | |
| Address | | |
| Tel | | |
| FAX | | |
| E-mail | | |
| naila.naz@manchester.ac.uk, dr.nailanaz12@gmail.com | | |
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| Final Decision | Days to 1st decision | Days submission to final decision |
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| No | Molecular Basis of Disease | |

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Folate deficiency is associated with neurodevelopmental disorders such as neural tube defects and hydrocephalus. 10-formyl-tetrahydrofolate-dehydrogenase (FDH) is a key regulator for folate availability and metabolic interconversion. We show that FDH binds and transports methyl-folate in the brain. Moreover, we found that a deficiency of FDH in the nucleus of brain and liver is linked with decreased DNA methylation which could be a key factor in the developmental deficits associated with congenital and neonatal hydrocephalus cells.



view