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Insights into Epoxy Network Nanostructural Heterogeneity using AFM-IR

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ABSTRACT

The first direct observation of a chemically heterogeneous nanostructure within an epoxy resin is reported. Epoxy resins comprise the matrix component of many high performance composites, coatings and adhesives, yet the molecular network structure that underpins the performance of these industrially essential materials is not well understood. Internal nodular morphologies have repeatedly been reported for epoxy resins analysed using SEM or AFM, yet the origin of these features remains a contentious subject, and epoxies are still commonly assumed to be chemically homogeneous. Uniquely, in this contribution we use the recently developed AFM-IR technique to eliminate previous differences in interpretation, and establish that nodule features correspond to heterogeneous network connectivity within an epoxy phenolic formulation.

KEYWORDS

Epoxy resin; Nanostructure; Heterogeneity; AFM-IR, Network formation.

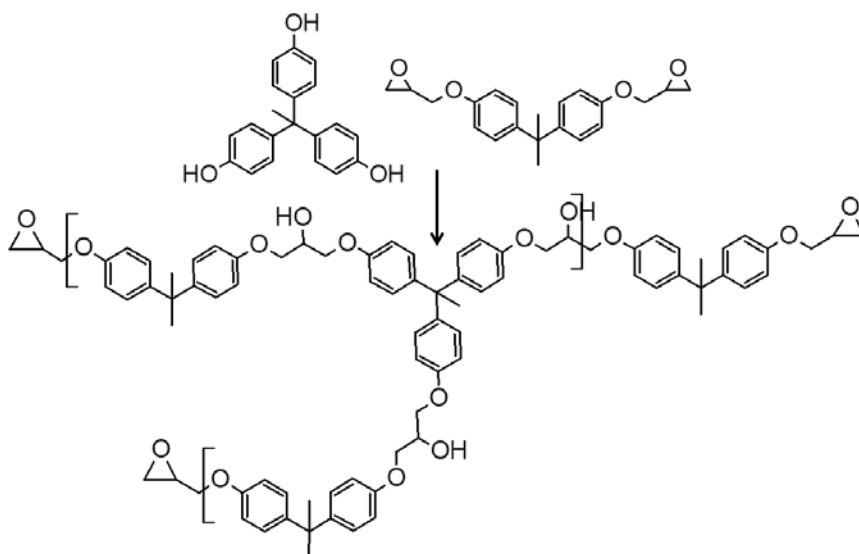
1. INTRODUCTION

Network forming polymers are ubiquitous in modern society, forming the primary matrix component in many paints,¹ adhesives² and composites^{3,4} used in industries such as aerospace engineering,^{5,6,7} marine coatings,⁸ microelectronics,^{9,10} and packaging¹¹. Critical properties such as the chemical resistance,¹² corrosion resistance^{13,14,15} thermal stability^{16,17} and mechanical strength¹⁸ offered by these materials are well known to depend on the network structure of the supporting resin. A highly desirable goal in formulation is therefore the controlled synthesis of well-defined network structures, yet the macromolecular architecture underpinning the performance of these materials remains largely unresolved.

In the case of epoxy resins, inhomogeneous network formation has historically been proposed on the basis of microscopic studies and bulk scattering techniques, which support a view of cured networks comprised of highly cross-linked nanoscale domains embedded in a lightly cured matrix.^{19,20,21,22,23,24} Heterogeneous cross-linking on this scale is expected to provide low energy pathways through the resin, yielding a structural basis for the relatively low fracture toughness of epoxy materials.^{25,26} Indeed it has recently been shown that the bulk toughness, solvent resistance, T_g , compressive strain to failure and ductility of formation improve when narrow molecular weight distributions are maintained during the cure of epoxy-dicyanodiamide resins.²⁷ Nonetheless, the presence and origin of such internal nanostructure has remained a point of active debate in the literature. For example, early evidence for highly cross-linked 'nodule' features was predominately obtained using electron microscopy, where internal structures were exposed by fracturing. However, in a seminal paper Dušek argued that comparably rough morphologies could be observed in electron micrographs of plasma-etched linear polymers such as polystyrene and polymethyl methacrylate, and thus concluded that nodules are a general feature of homogeneous glassy polymers, or else form as a consequence of sample preparation.²⁸ More recently, examination of fracture surfaces has become possible under ambient conditions (i.e., circumventing any sample preparation effects) using the atomic force microscope (AFM), and numerous reports have emerged of nodular morphologies

detected in tapping mode height or phase images.^{26,29,30,31} However, Duchet *et al.* countered that such features may be attributed to imaging artefacts generated by a blunt SPM probe tip scanning over rough surfaces, and a similar argument has recently been made for peakforce tapping mode modulus maps showing an apparently heterogeneous nanostructure for epoxy amine fracture surfaces.^{32,33}

These differences in interpretation are unsurprising, given that the evidence for heterogeneous network formation has largely been limited to topographical measurements of fracture interfaces. Whilst small angle neutron or x-ray scattering studies can be found to support resin heterogeneity, the link between nanoscale morphological features and chemical heterogeneity has not been established.^{34,35} In light of this, the recent advent of nanoscale vibrational spectroscopy in the form of AFM-IR represents a unique opportunity to interrogate chemical functionality at sub-diffraction limit resolution, enabling direct macromolecular characterisation. In the present study, a combination of AFM and SEM techniques are first used to systematically confirm the heterogeneous internal morphology for model epoxy-phenolic resins, then chemical heterogeneity is for the first time assessed directly, using the recently developed nanoscale infrared analysis technique (AFM-IR), Schemes 1 and 2.



Scheme 1. The cross-linking reaction between bisphenol-A diglycidyl ether and 1,1,1-tris(4-hydroxyphenyl)ethane catalysed by tetrabutylphosphonium bromide.

2. EXPERIMENTAL

2.1 Sample preparation

3.06 g (10 mmol) 1,1,1-tris(4-hydroxyphenyl)ethane (99 %, Sigma-Aldrich) and 0.01 g - 0.10 g (0.03 mmol - 0.3 mmol corresponding to 0.1 % - 1.0 %) tetrabutylphosphonium bromide (+98 %, Sigma-Aldrich) were dissolved in 6.0 g acetone (> 98 %, Fisher). 5.16 g (15 mmol) bisphenol-A diglycidyl ether (DER332, epoxide equivalent weight 172-176 g mol⁻¹, Sigma-Aldrich) was then added and stirred until dissolved. This solution was coated onto pre-scored electrolytic chrome-coated steel pieces (25 cm²) which had been degreased by sonic cleaning in ethanol (Fisher Scientific, >99%). Coating was performed using an automated bar coater (Model 4340, Elcometer, UK) fitted with a 100 µm spiral bar. Samples were then cured by placing in an oven maintained at 150 °C for prescribed time intervals (10-60 min) and stored at -4 °C prior to analysis. Polystyrene control samples (Sigma Aldrich, analytical standard, average M_w 290,000) were bar coated in the same manner from 5 % w/w solutions in toluene (Fisher Scientific, >99%) and then cryogenically fractured prior to analysis.

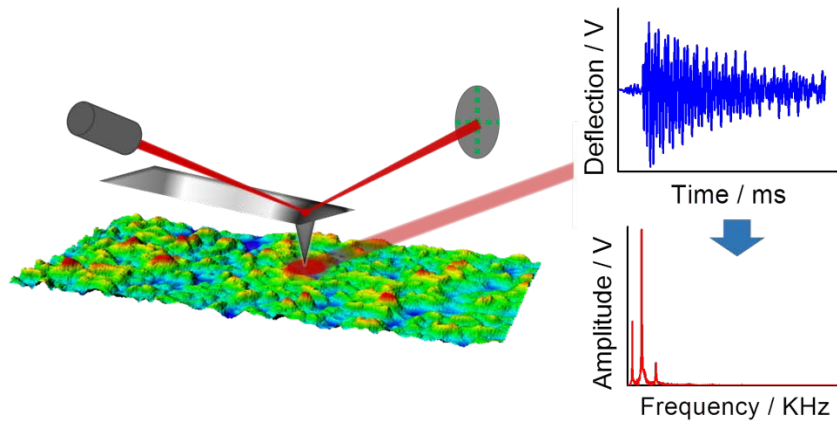
2.2 Characterization Techniques

Atomic force microscopy images were collected using a Multimode 8 (Bruker, Santa Barbara) operating in peakforce tapping mode using a Pt-Ir coated probe (nominal spring constant 2 N/m, nominal resonant frequency of 80 kHz, Bruker). Scanning electron micrographs (Evo 50, Zeiss) were gathered under an acceleration voltage of 10 kV after gold sputter coating (PECS Model 682, Gatan Inc.).

Bulk infrared spectra were obtained from 64 co-averages collected in ATR mode using an FTIR spectrometer (Nicolet 5700 spectrometer, Thermo Electron Corp.) operating at 4 cm⁻¹ resolution across the 500 – 4000 cm⁻¹ range.

Nanoscale infrared analysis was performed on a NanoIR2 system (Anasys Instruments) operating with top-down illumination. For AFM-IR, polymer sections of 100 nm nominal

thickness were prepared by a Leica EM UC6 ultramicrotome with a diamond knife and collected on TEM grids. These were then floated on a droplet of deionised water on a ZnS substrate (Anasys Instruments). Upon evaporation of the droplet, TEM grids were removed. Specimen sections remained on the ZnS surface which was allowed to dry for >16 h in a desiccator prior to analysis. During AFM-IR, the sample is subjected to rapid pulses (10 ns duration at a repetition rate of 1 KHz) from a tuneable infrared source (optical parametric oscillator).³⁶ Absorbance of infrared radiation induces abrupt thermal expansion of the sample, and this is detected by deflection of an AFM probe in contact with the surface. The recorded AFM-IR signal is either the maximum of peak-to-peak deflection during the cantilever ring-down corresponding to IR pulses, or the amplitude of induced oscillation after fast Fourier transform, Scheme 2. It has been shown that plotting these signals as a function of IR wavelength yields spectra closely matched to those obtained by macroscopic transmission-mode FTIR.³⁷ Furthermore, since the IR pulse (10 ns duration), thermal expansion, and damping down of the induced oscillation occur on a faster timescale than the feedback electronics of the AFM, simultaneous contact-mode topographical measurement and IR absorbance mapping can be performed at a given wavelength.^{38,39,40,41} For the present study, AFM-IR images were collected in contact mode at a scan rate of 0.1 Hz using a gold-coated silicon nitride probe (0.07 – 0.4 N/m spring constant, 13 ± 4 kHz resonant frequency, Anasys Instruments). For mapping, peak-to-peak deflection was recorded at a given wavelength using 16 co-averages for 1024 points per 150 scan lines. Spectra were obtained using 1024 co-averages for each data point.



Scheme 2. The AFM-IR experiment with top-down illumination. The IR source is pulsed, inducing rapid thermal expansion of the sample, which is detected by deflection of the AFM probe cantilever. The recorded AFM-IR signal is either given as the maximum peak-to-peak deflection during the cantilever ring-down, or the amplitude at a given frequency following a fast Fourier transform of the deflection signal.

3. RESULTS

3.1 Fracture interface morphology

Peakforce AFM analysis was performed immediately after epoxy-phenolic samples had been cryogenically fractured. For specimens prepared using lowered catalyst content (0.1 %) the cure reaction was sufficiently slow at 150 °C for the development of a heterogeneous morphology to be directly observed in successive samples, Figure 1. Since it has previously been suggested that nodular features observed in AFM micrographs correspond to tip artefacts, fresh AFM probes were employed for each specimen. In addition, fracture surfaces were analysed using scanning electron microscopy (SEM), yielding electron micrographs consistent with the AFM profiles, Figure 2. This demonstrates the ability of AFM analysis to resolve these nanoscale morphological features, and furthermore, since samples cured for 10 min appear smooth and homogeneous in all micrographs, sample preparation effects can be discounted as a source of imaging artefact, Figures 1 and 2.

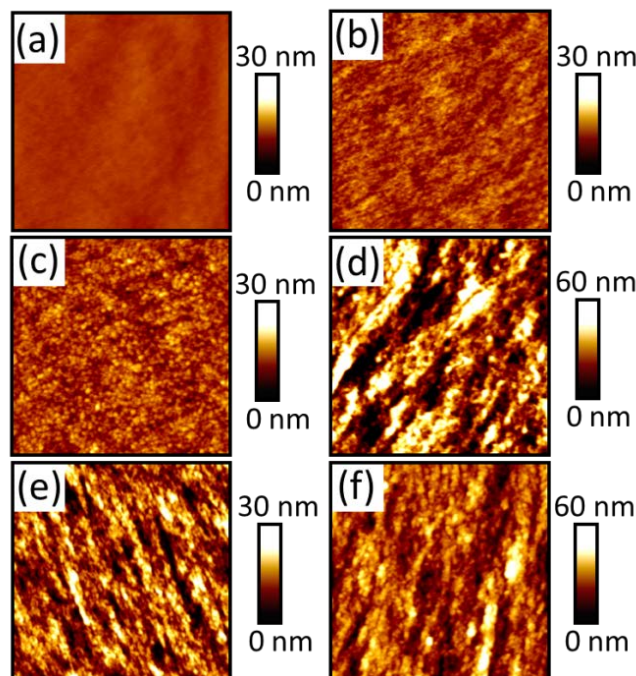


Figure 1. 2 μm x 2 μm peakforce tapping mode AFM height images of fracture surfaces of epoxy phenolic resin cured with 0.1 % catalyst at 150 °C for (a) 10 min; (b) 20 min; (c) 30 min; (d) 40 min; (e) 50 min and (f) 60 min.

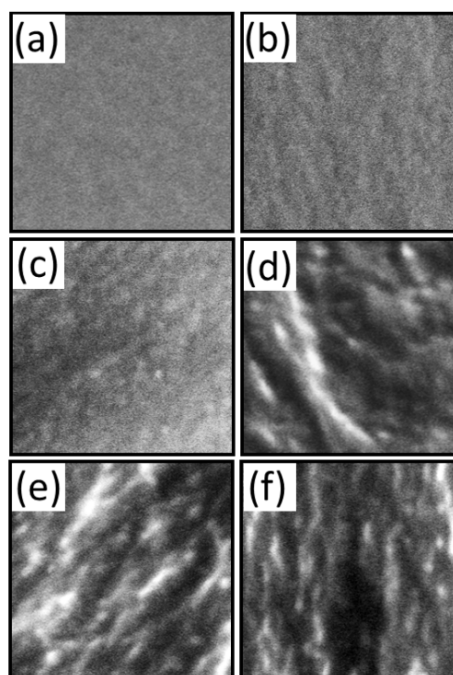


Figure 2. $2\ \mu\text{m} \times 2\ \mu\text{m}$ SEM images of epoxy phenolic resin fracture surfaces cured using 0.1 % catalyst at $150\ ^\circ\text{C}$ for (a) 10 min; (b) 20 min; (c) 30 min; (d) 40 min; (e) 50 min and (f) 60 min.

It can be seen that upon curing, fracture interfaces progressively roughen until a nodular morphology develops (after 30 min), which then remains unchanged on further curing, Figure 1. This is in keeping with results reported by Sahagun et al. for epoxy amine systems, where the size of heterogeneous domains was found to be dependent on cross-linking reactions prior to gelation, and post-cure annealing had no further effect.²⁶ Examination of resins cured in the presence of 0.5 % catalytic accelerator (under otherwise identical conditions) revealed that a comparable nodular morphology was established after a shorter curing period (20 min), demonstrating that a heterogeneous network developed more rapidly, Figure 3. For samples cured using a still higher content of tetrabutylphosphonium bromide catalyst (1.0 %), fracture surfaces were found to display heterogeneous nodular morphologies regardless of cure time (> 10 min), Figure 4. Gel points were estimated for the model epoxy-phenolic resins by weight loss measurements after warming for 1 h in acetone. Threshold cure times were found after which no dissolution was measurable, and these were 10 min, 20 min and 40 min for specimens prepared using 1.0 %, 0.5 % and 0.1 % catalyst respectively. These times correspond to the emergence of a fixed nodular topography at the fracture interface, providing further evidence

that the development of network heterogeneity corresponds to pre-gelation reactions, analogous to non-catalysed epoxy-amine systems. Indeed, resins cured in the absence of catalytic accelerator species (at 250 °C) were also found to develop heterogeneous internal morphologies, indicating that whilst catalytic content governs the rate of heterogeneous network development, its nature remains unaffected, Figure 4.

Finally, several authors have reported that the topography of epoxy fracture interfaces can be reproduced using linear polymers, and have therefore stated that these are a general feature of glassy polymers, or else a result of the fracturing process.^{32,42} To this end, we prepared a control sample using commercially available polystyrene. In contrast to previous reports, the polystyrene fracture surface was measured to be homogeneous and smooth by AFM, Figure 4f.^{32,42}

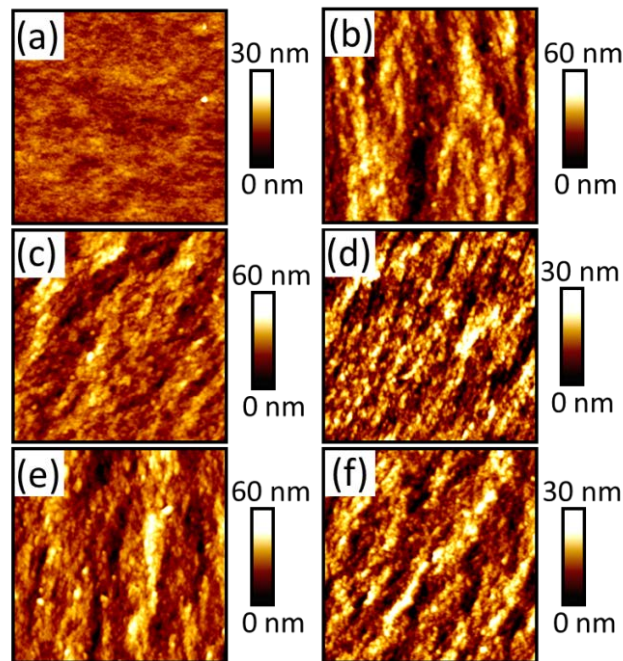


Figure 3. 2 μm x 2 μm peakforce tapping mode AFM height images of fracture surfaces of epoxy phenolic resin cured with 0.5 % catalyst at 150 °C for (a) 10 min; (b) 20 min; (c) 30 min; (d) 40 min; (e) 50 min and (f) 60 min.

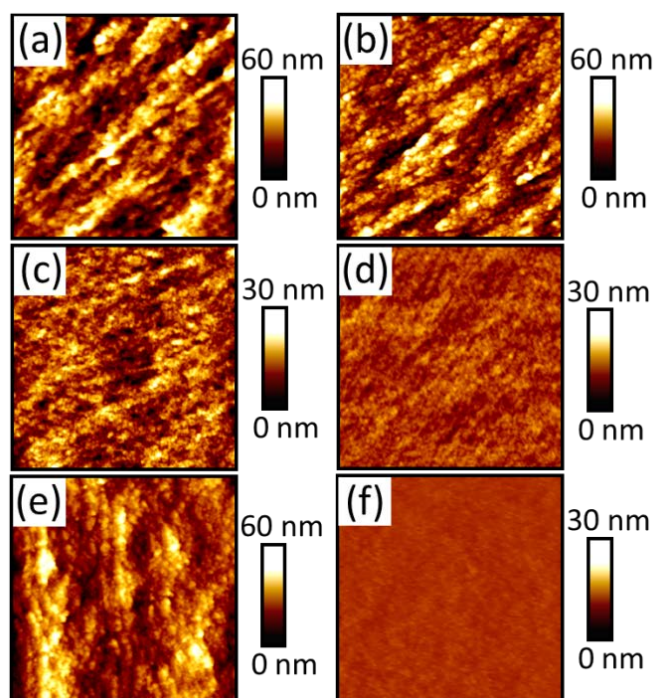


Figure 4. $2\ \mu\text{m} \times 2\ \mu\text{m}$ peakforce tapping mode AFM height images of fracture surfaces of epoxy phenolic resin cured with 1 % catalyst at $150\ \text{°C}$ for (a) 10 min; (b) 20 min and (c) 60 min; epoxy phenolic resin cured in the absence of catalyst at $250\ \text{°C}$ for (d) 10 min and (e) 60 min and (f) fractured polystyrene.

3.2 AFM-IR Analysis

Prior to AFM-IR experiments, FTIR analysis of the cure reaction was undertaken in order to assign infrared peaks to the epoxy-phenolic reaction. Characteristic epoxy-phenolic absorbance peaks observed in spectra include the aryl C-O stretch at $1180\ \text{cm}^{-1}$ (associated with aromatic ethers and phenolic species), the $(\text{CH}_3)_2$ gem dimethyl C-H deformation at $1364\ \text{cm}^{-1}$ and $1381\ \text{cm}^{-1}$ and aromatic doublet peaks at $1459\ \text{cm}^{-1}$, $1504\ \text{cm}^{-1}$, $1580\ \text{cm}^{-1}$ and $1604\ \text{cm}^{-1}$.^{43,44,45,46} It can be seen that the relative intensity of these peaks remained unchanged with respect to cure time, Figure 5. The shape of the broad absorbance centred at $1244\ \text{cm}^{-1}$ does however fluctuate (most clearly between 5 and 10 min cure time in the presence of 0.1 % catalyst, Figures 5a and 5b). This is expected since the band corresponds to overlapping absorbance associated with the C-O stretch for phenolic and aromatic ether functionalities, and may therefore be associated with both residual unreacted functional groups and polymeric functionality. Moreover, during curing, consumption of the epoxy moiety was verified by the disappearance of absorbance at $916\ \text{cm}^{-1}$ (asymmetric oxirane ring deformation, clearly observed for curing times of <20 min in

the presence of 0.1 % catalyst, Figure 5). This is accompanied by the appearance of a peak at 1116 cm^{-1} , signifying the generation of secondary hydroxyl groups (alkyl hydroxyl out of phase C-C-O stretch). The curing process can therefore be monitored by integration and normalization of these bands, Figure 6. It is noteworthy that a 1:1 relationship exists between consumption of the epoxy moiety and emergence of the hydroxyl absorbance, effectively discounting homopolymerization of the epoxy as a side reaction leading to phase separation (an alternative explanation for the evolution of a nodular morphology).

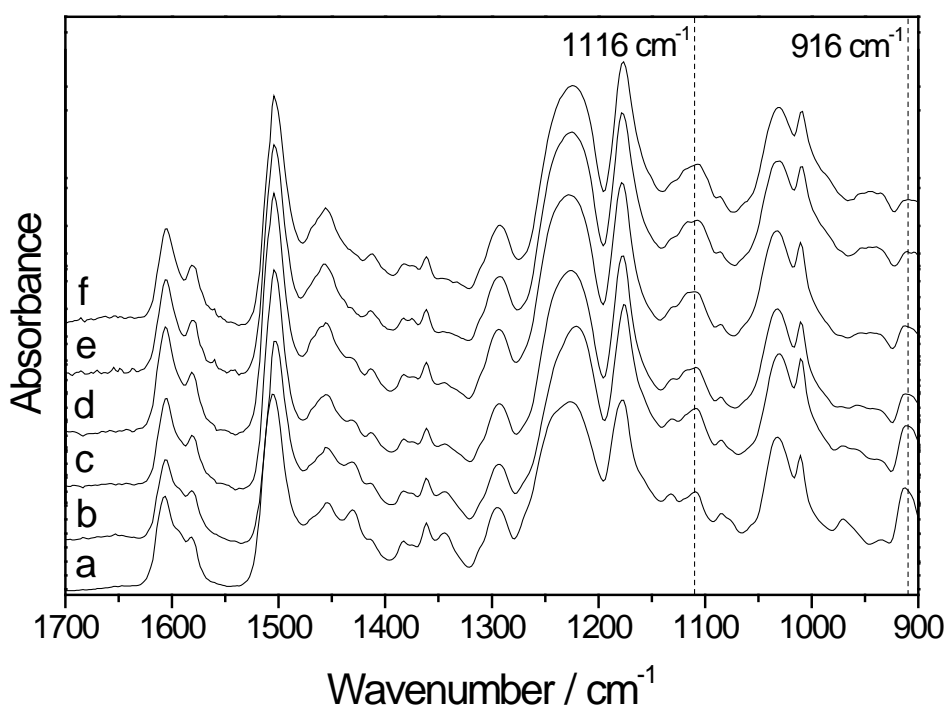


Figure 5. ATR-FTIR spectra for model epoxy-phenolic coating cured with 0.1 % catalyst at 150 °C for (a) 5 min; (b) 10 min; (c) 15 min; (d) 20 min; (e) 25 min and (f) 30 min.

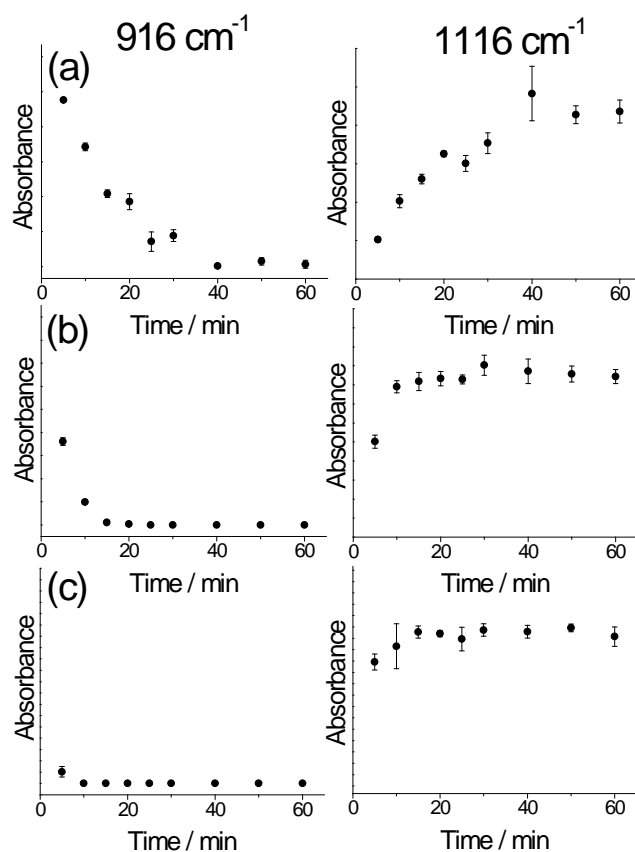


Figure 6. FTIR peak areas for epoxy-phenolic resins as a function of cure time at 150 °C of the epoxy peak at 916 cm^{-1} (normalised relative to absorbance at 1180 cm^{-1}) and the hydroxyl stretch at 1116 cm^{-1} (normalised relative to absorbance at 1180 cm^{-1}). Coatings were cured in the presence of (a) 0.1 % catalyst; (b) 0.5 % catalyst and (c) 1.0 % catalyst. Error bars correspond to 1 standard deviation for 5 individual measurements.

In order to obtain high-resolution AFM-IR measurements, ultrathin sections of the epoxy-phenolic resin (100 nm) were prepared by ultramicrotome and deposited onto a ZnS substrate. Infrared spectra associated with the AFM probe tip location were then collected by stepping the wavelength of pulsed incident radiation through the mid IR range. In keeping with previous reports of AFM-IR analysis for nanofilm specimens, the maximum peak-to-peak probe deflection was recorded.⁴⁷ Despite the small sampling volume, AFM-IR spectra displayed peak positions and shapes which closely match the fingerprint region of bulk ATR FTIR spectra, Figures 5 and 7. Localised infrared spectra gathered with 40 nm lateral spacing revealed variation in the peak intensities associated with the epoxy-phenolic cure reaction at 1116 cm^{-1} and 1244 cm^{-1}

(spectra are normalized to the aromatic peak at 1504 cm^{-1}), Figure 7. This is a strong indication of heterogeneous curing.

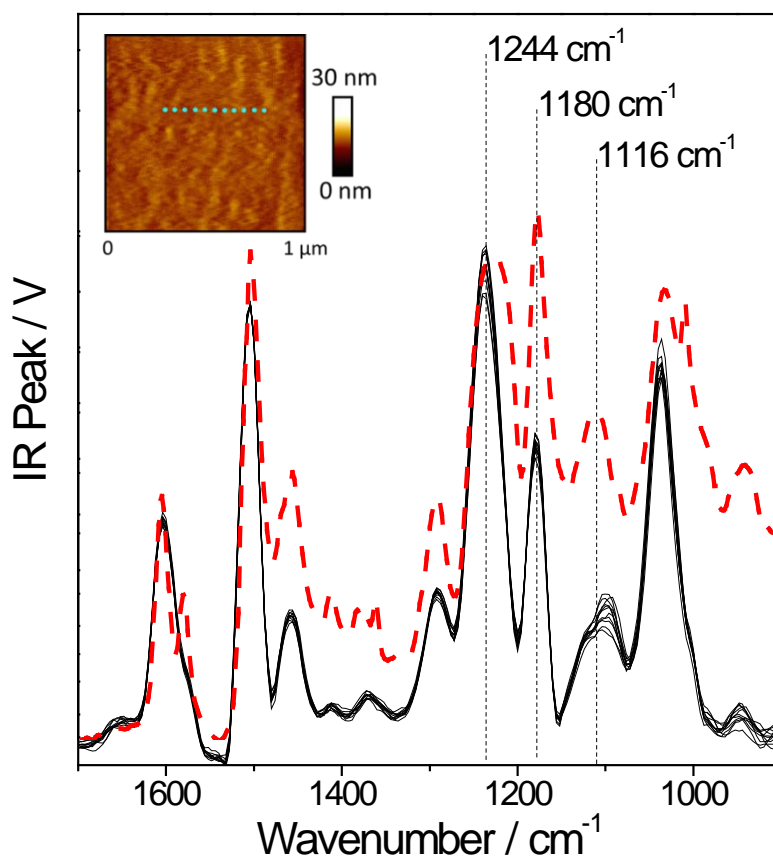


Figure 7. AFM-IR fingerprint region spectra obtained with 40 nm lateral spacing for 100 nm thick section of epoxy-phenolic resin cured for 10 min with 1 % catalyst (black solid lines), and bulk ATR-IR spectrum for 60 min cured epoxy-phenolic coating cured with 1 % catalyst (red dashed line). Inset: $1\ \mu\text{m} \times 1\ \mu\text{m}$ AFM-IR height image for 100 nm thick microtomed section of epoxy-phenolic resin, where cyan markers indicate the location of AFM-IR spectra.

AFM-IR mapping was performed to provide a detailed picture of chemical heterogeneity. For the microtomed epoxy-phenolic sections, contact mode height images revealed flattened regions, where the topography is characteristic of deformation during cutting, Figures 7 and 8, and heterogeneous regions displaying an intact nodular morphology, in keeping with peakforce tapping mode profiles, Figure 9. Since the relative infrared signal is known to depend on sample thickness,³⁶ infrared spectra and absorbance mapping at 1116 cm^{-1} (corresponding to the alkyl out of phase C-C-O stretch of secondary hydroxyl groups generated during cure) was initially performed on flattened regions. This revealed variations in the peak-to-peak signal intensity on

the nanoscale, consistent with the 'smeared' appearance of the topography. Moreover, for intact nodular regions, the variation in IR peak signal at 1116 cm^{-1} could clearly be seen to occur at a length scale consistent with nodules, Figure 9. To ensure this is not due to fluctuating sample thickness, mapping was also performed at 1244 cm^{-1} and 1180 cm^{-1} . At 1244 cm^{-1} the signal varied across the sample, whereas maps gathered at 1180 cm^{-1} (corresponding to an absorbance peak of comparable intensity in the AFM-IR spectra) were featureless, further demonstrating that the detected peak-to-peak signal did not correspond to fluctuations in thickness or contact area between the probe and sample surface.

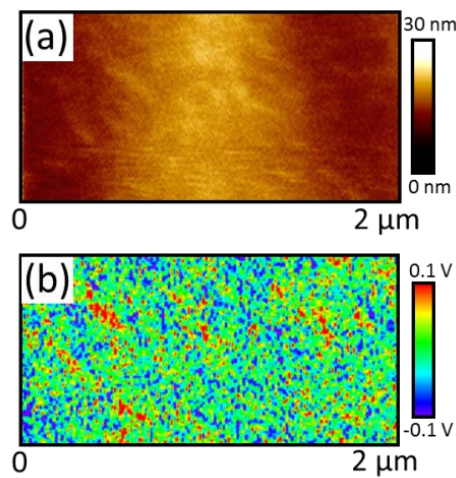


Figure 8. $2\text{ }\mu\text{m} \times 1\text{ }\mu\text{m}$ AFM-IR images of 100 nm thick microtomed section of epoxy-phenolic resin cured at $150\text{ }^\circ\text{C}$ for 10 min with 1% catalyst: (a) height and (b) corresponding map of peak-to-peak IR-induced deflection following irradiation at 1116 cm^{-1} .

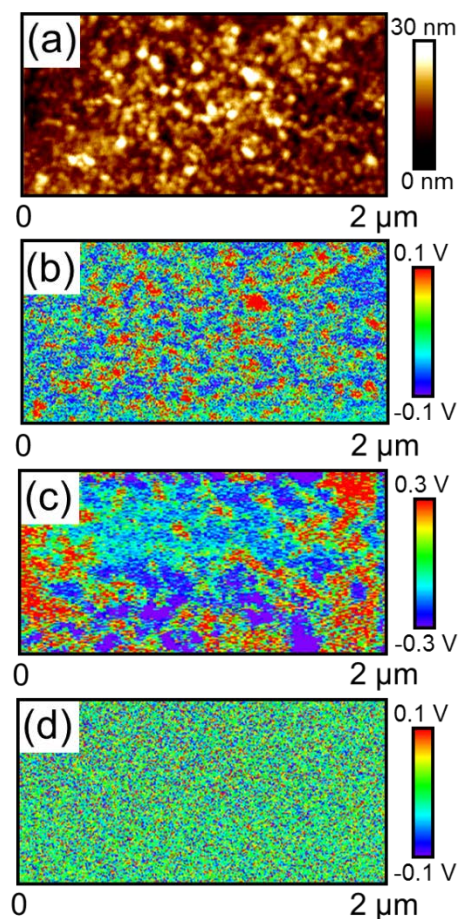


Figure 9. 2 μm x 1 μm AFM-IR images of 100 nm thick microtomed section of epoxy-phenolic resin cured at 150 $^{\circ}\text{C}$ for 10 min with 1 % catalyst: (a) height and corresponding maps of peak-to-peak IR-induced deflection following irradiation at (b) 1116 cm^{-1} ; (c) 1244 cm^{-1} and (d) 1180 cm^{-1} . IR maps have been flattened line-by-line to show only localised fluctuations in the deflection signal.

4. DISCUSSION

Numerous studies have previously described the internal nanostructure of epoxy amine resins, considered to develop during the pre-gelation stage of cure and correspond to fluctuations in cross-linking density.^{19,20,21,22,23,24,48,49} The establishment of a nodular morphology in the present work (and its unchanging nature post gelation) supports a comparable nanostructure in the case of epoxy-phenolic resins. Previously, only swelling and leaching experiments have provided (indirect) evidence for chemical heterogeneity within epoxy resins on this scale.^{19,50} In contrast, we were able to utilise the AFM-IR technique to provide direct, unambiguous evidence for chemical heterogeneity associated with curing. This is significant for a host of applications reliant on the robust mechanical and barrier properties of epoxy resins, since heterogeneous network formation is expected to determine the physicochemical properties of cured resin materials.

A further important finding is the unchanged heterogeneous resin morphology in relation to catalytic content. The majority of previous studies have focussed on un-catalysed model epoxy-amine resins, analysed using a single microscopic approach (SEM or AFM).^{19,20,21,22,23,24,48,49} Little attention has been given to epoxy-phenolic resins, which are ordinarily cured in the presence of a catalytic accelerator. This is despite their widespread use as food-contact barrier coatings and encapsulation of semi-conductor devices, where heterogeneous network structure may determine performance, since small molecule transport is closely related to network cross-linking densities.^{51,52,53} Dušek has previously proposed that catalysis of epoxy network formation may promote cyclization during the cure, forming the basis of any heterogeneous structure detected.^{28,42} In the present case, such a mechanism can be discounted since catalytic content has no effect on heterogeneous domain size, and specimens cured in the absence of catalytic species develop comparable morphologies, Figures 1-4. Furthermore, any contribution of cyclization reactions (resulting in an increased proportion of dangling chain ends) would be expected to yield more marked heterogeneity for linear polymers generated by radical chain growth polymerisation.⁴² However, in the present study polystyrene fracture surfaces prepared

in an identical manner to the epoxy-phenolic specimens were found to be smooth and homogeneous.

An alternative explanation for the internal nanostructure of epoxy phenolic resins can be found in the early mechanistic interpretations given for nodular epoxy amine systems. These entailed spontaneous development of impermeable nanogel particles during the early stages of cure, yielding densely cross-linked nodules embedded within a partially cured polymer matrix.^{19,29,54} This hypothesis (and the accompanying chemical heterogeneity within epoxy networks) was rejected by Dušek and others based on bulk scattering experiments and thermal analysis, where no evidence was found to support the formation of a two-phase material.^{42,55} In the present study, a direct comparison of SEM and AFM imaging was undertaken, and it is clear that whilst SEM imaging resolved some surface features (giving the appearance of isolated nodules), the more detailed profiles gathered using AFM indicate that samples are comprised of fused globular structures. Comparable morphologies have recently been reported for epoxy amine systems analysed using AFM, and these concur with early electron microscopy reports.^{26,30,56} It can be envisioned that such a 'fused nodule' nanostructure may correspond to a continuous distribution of bulk physical properties (e.g., broad thermal transitions characteristic of epoxy materials) rather than behaving as a discrete two-phase system. A network formation mechanism in keeping with these results was recently proposed by Sahagun et al. on the basis of competing cross-linking reactions and linear addition to growing chain ends, coupled with the preferential combination of larger oligomers (i.e., macromolecules with higher functionality) during initial stages of the cure.²⁶ In this scenario, fluctuations in cross-linking density arise spontaneously according to the relative kinetics of the cross-linking and linear addition reactions. The unchanged morphology of heterogeneous samples in the present study can then be understood, since phosphonium catalysts are known to be highly selective for the epoxy-phenolic reaction (as opposed to cross-linking through generated secondary hydroxyls).⁵⁷ Thus, the ratio between cross-linking and linear addition reactions during the cure is primarily determined by the structure (functionality) of the epoxy and phenolic reagents rather than the catalytic content.

5. CONCLUSIONS

Chemical heterogeneity has, for the first time, been shown to be the basis of the characteristic nodular structures found within epoxy resins. Uniquely, AFM-IR was applied to establish that inhomogeneous curing occurs at the nanoscale within these materials. The development of network heterogeneity occurred independently of catalytic effects, and was shown to be controlled only by the underlying polymerisation reactions, where catalyst content and cure conditions affect the rate of network formation, but not its final form.

6. ACKNOWLEDGEMENTS

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