

Memory formation shaped by astroglia

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Submitted to Journal:
Frontiers in Integrative Neuroscience

Article type:
Mini Review Article

Manuscript ID:
168809

Received on:
13 Sep 2015

Revised on:
15 Oct 2015

Frontiers website link:
www.frontiersin.org

In review

Conflict of interest statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

Author contribution statement

RZ, AH, NV, AV - wrote the manuscript

Keywords

astroglia, Memory, shape, Metabolism, signaling

Abstract

Word count: 195

Abstract

Astrocytes, the most heterogeneous glial cells in the central nervous system, execute a multitude of homeostatic functions and contribute to memory formation. Consolidation of synaptic and systemic memory is a prolonged process and hours are required to form long-term memory. In the past, neurons or their parts have been considered to be the exclusive cellular sites of these processes, however, it has now become evident that astrocytes provide an important and essential contribution to memory formation. Astrocytes participate in the morphological remodeling associated with synaptic plasticity, an energy-demanding process that requires mobilization of glycogen, which, in the central nervous system, is almost exclusively stored in astrocytes. Synaptic remodeling also involves bidirectional astroglial-neuronal communication supported by astroglial receptors and release of gliosignaling molecules. Astroglia exhibit cytoplasmic excitability that engages second messengers, such as Ca^{2+} , for phasic, and cAMP, for tonic signal coordination with neuronal processes. The detection of signals by astrocytes and the release of gliosignaling molecules, in particular by vesicle-based mechanisms, occurs with a significant delay after stimulation, orders of magnitude longer than that present in stimulus-secretion coupling in neurons. These particular arrangements position astrocytes as integrators ideally tuned to support time-dependent memory formation.

Funding statement

Acknowledgments

A.V.'s research was supported by the Alzheimer's Research Trust (UK), by a grant (agreement from August 27, 2013, no. 02.B.49.21.0003) between The Ministry of Education and Science of the Russian Federation and Lobachevsky State University of Nizhny Novgorod, and by a grant from the Russian Scientific Foundation (no. 14-15-00633); A.V. was also supported by Plan Nacional de I+D+I 2008-2011 and ISCIII-Subdirección General de Evaluación y Fomento de la investigación co-financed by FEDER (grant PI10/02738 to J.J.R and A.V.); R.Z.'s work is supported by the Slovenian Research Agency (grant nos. P3 310, J3 4051, J3-6789, J3 6790).

Ethics statement

(Authors are required to state the ethical considerations of their study in the manuscript including for cases where the study was exempt from ethical approval procedures.)

Did the study presented in the manuscript involve human or animal subjects: No

1 Frontiers in Integrated Neurosciences: Leif Hertz Issue, Research Topic: All 3 types of glial cells are important for memory
2 formation, Mini review (max 3000 words, max 2 figures)

3 Memory formation shaped by astroglia

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17 **Running title:** Astroglia Integrates Memory Formation

18 **Keywords:** astroglia₁, memory₂, shape₃, metabolism₄, signaling₅.

19

20 **Abstract**

21 Astrocytes, the most heterogeneous glial cells in the central nervous system, execute a
22 multitude of homeostatic functions and contribute to memory formation. Consolidation of
23 synaptic and systemic memory is a prolonged process and hours are required to form long-
24 term memory. In the past, neurons or their parts have been considered to be the exclusive
25 cellular sites of these processes, however, it has now become evident that astrocytes provide
26 an important and essential contribution to memory formation. Astrocytes participate in the
27 morphological remodeling associated with synaptic plasticity, an energy-demanding process
28 that requires mobilization of glycogen, which, in the central nervous system, is almost
29 exclusively stored in astrocytes. Synaptic remodeling also involves bidirectional astroglial-
30 neuronal communication supported by astroglial receptors and release of gliosignaling
31 molecules. Astroglia exhibit cytoplasmic excitability that engages second messengers, such as
32 Ca^{2+} , for phasic, and cAMP, for tonic signal coordination with neuronal processes. The
33 detection of signals by astrocytes and the release of gliosignaling molecules, in particular by
34 vesicle-based mechanisms, occurs with a significant delay after stimulation, orders of
35 magnitude longer than that present in stimulus–secretion coupling in neurons. These
36 particular arrangements position astrocytes as integrators ideally tuned to support time-
37 dependent memory formation.

38

39 Memory formation results in anatomical changes

40 Memory is the process of retention and reconstruction of learned (acquired)
41 knowledge. Studies performed in the early 1960s on patients who underwent bilateral medial
42 temporal lobe surgery, recognized the hippocampus as a fundamental region for memory
43 formation (Scoville and Milner 1957). Subsequently, two distinct memory systems,
44 declarative (explicit) memory for facts and events, for people, places, and objects (“knowing
45 that”) and non-declarative (implicit) memory, the memory for perceptual and motor skills
46 (“knowing how”), have been defined (Dudai and Morris 2013). Both systems rely on similar,
47 if not identical, mechanisms associated with reinforcement of synaptic transmission, which
48 involve morphological changes at the synapse that outlast memory stabilization (Attardo et al.
49 2015). This morphology-based mechanism was considered by Ramon y Cajal, who linked
50 “cerebral gymnastics” (Box 1) with morphological alterations of dendrites and terminals of
51 neurons (Cajal 1894).

52 Contemporary views assume that memory formation, although it is an outcome of a
53 myriad of interactive processes, occurs in the form of molecular events at the level of an
54 individual synaptic connection, which is termed synaptic plasticity. These synaptic changes
55 integrate through multiple synaptic connections involving larger neuronal networks, and are
56 finally expressed at the behavioral level (Kandel et al. 2014).

57 Memory formation and astrocyte morphology

58 Micro-anatomical changes that are part of memory formation are not exclusively
59 related to neurons and their parts, but involve non-neuronal cells, which in many areas of the
60 human brain exceed the number of neurons (Azevedo et al. 2009). These non-neuronal cells
61 include astrocytes, an abundant and arguably the most heterogeneous glial cell type in the

62 central nervous system (CNS). It is generally acknowledged that astroglia actively participate
63 in information processing via cytosolic calcium signals (Rusakov et al. 2011; Verkhratsky et
64 al. 1998).

65 A single astrocyte is intimately associated with many neurons and with their synaptic
66 contacts. A single rat cortical astrocyte enwraps 4–8 neuronal bodies and 300–600 dendrites
67 (Halassa et al. 2007), and astrocytes are in contact with synapses. In the rat hippocampus, an
68 individual astrocyte can cover (by perisynaptic processes) up to 140,000 synapses (Bushong
69 et al. 2002). Human hippocampal astrocytes are substantially larger and a single human
70 astrocyte may be associated with ~2 million synapses (Oberheim et al. 2006). Abundant
71 morphological interactions of astrocytic processes with neurons are not restricted to the
72 hippocampus, being a widespread property of CNS tissue.

73 Close morphological apposition allows astrocytes to receive signals from the synaptic
74 cleft and feedback by releasing their own signaling molecules. Release of many of these
75 molecules occurs through a secretory pathway that uses cytoplasmic vesicles, which store
76 chemical messengers. On stimulation, the vesicle membrane fuses with the plasmalemma, a
77 process termed regulated exocytosis. The role of secretory vesicles in astrocytes was proposed
78 in 1910 when Jean Nageotte suggested, based on his microscopic observations, that glial cells
79 (astroglia in particular) act as secretory elements in the CNS (Nageotte 1910). This hypothesis
80 has been confirmed experimentally in the last two decades by identifying vesicular release of
81 gliosignaling molecules, which are often termed gliotransmitters (Haydon 2001; Parpura and
82 Zorec 2010; Vesce et al. 1999; Zorec et al. 2012). Although there is some skepticism that this
83 mechanism exists in astroglia (Fujita et al. 2014; Sloan and Barres 2014), bidirectional
84 astrocyte-neuron signaling is well accepted, and it is generally recognized that vesicle-based
85 mechanisms participate in the heterocellular signaling that occurs at a morphofunctional unit
86 known as the tripartite synapse (Araque et al. 1999; Perea et al. 2009). This bidirectional

87 communication is part of the wider gliocrine system (Vardjan and Zorec 2015), which reflects
88 the secretory role of astrocytes, which release an extensive number of gliosignaling molecules
89 (Verkhratsky et al., under revision). These molecules are largely not involved in synaptic
90 processes but rather regulate various brain functions through "volume" transmission (Vardjan
91 and Zorec 2015; Zorec et al. 2015). Astroglia-derived signaling molecules are secreted into
92 the extracellular space and are transported throughout the tissue parenchyma to distant places
93 in the CNS, likely taking advantage of the glymphatic convective system (Thrane et al. 2014).

94 During implicit memory consolidation of Pavlovian threat conditioning, astrocytic
95 processes retract from synapses in the lateral amygdala, allowing these synapses to enlarge,
96 suggesting that contact with astroglial processes opposes synapse growth during memory
97 consolidation (Ostroff et al. 2014). In other words, if astrocytic processes enwrap synapses
98 and the latter need to expand during memory formation, astrocytes may hinder this
99 remodeling, demonstrating how astrocytic structural plasticity enables morphological
100 remodeling of synapses associated with memory formation. Under physiological conditions,
101 including reproduction, sensory stimulation, and learning, astrocytes display a remarkable
102 structural plasticity. Distal astrocytic processes can undergo morphological changes in a
103 matter of minutes, thus modifying the geometry and diffusion properties of the extracellular
104 space and relationships with adjacent neuronal elements, especially with synapses. This type
105 of astroglial plasticity has important functional consequences because it modifies extracellular
106 ionic homeostasis and neurotransmission, thus ultimately modulating neuronal function at the
107 cellular and system levels (Oliet and Piet 2004; Theodosis et al. 2008). The mechanisms
108 responsible for morphological changes in astrocytes are not known, but these may likely
109 involve adrenergic receptors and generation of second messenger cAMP (Vardjan et al.
110 2014), which are discussed in the following section.

111 Astrocyte morphology, cAMP, and metabolism

112 Astrocytes are capable of a remarkable morphological plasticity. Astroglial cells *in*
113 *vitro* have a flattened polygonal appearance, however stimulation of the β -adrenergic cAMP-
114 dependent signaling cascade results in rapid morphological remodeling with astrocytes
115 assuming a stellate morphology with numerous processes (Bicknell et al. 1989; Gharami and
116 Das 2004; Hatton et al. 1991; Shain et al. 1987; Shao et al. 1994; Vardjan et al. 2014; Won
117 and Oh 2000). This remodeling occurs within the time frame of memory consolidation
118 (minutes to hours) and involves cytoskeletal reorganization, including the restructuring of
119 actin filaments, microtubules, and intermediate filaments (Goldman and Abramson 1990;
120 Safavi-Abbasi et al. 2001). An example of this adrenergic receptor/cAMP-mediated
121 morphological remodeling of astrocytes is shown in Figure 1 (Vardjan et al. 2014). Similar
122 morphological plasticity may take place *in vivo* in long-term memory formation because
123 noradrenaline (NA), derived from projections of neurons located in the locus ceruleus (LC),
124 operates as a neuromodulator in Hebbian learning (Johansen et al. 2014). Under similar
125 training conditions, changes in astrocytic shape have indeed been observed (Ostroff et al.
126 2014). Moreover, the existence of structural-functional changes of the astrocyte-neuron
127 interactions during memory processes have been detected (Bernardinelli et al. 2014; Lavialle
128 et al. 2011; Perez-Alvarez et al. 2014).

129 Tight association between the synaptic membranes and astrocytes is considered
130 essential for homeostatic control of the synaptic cleft, including rapid removal of the
131 neurotransmitter glutamate (Bergles and Jahr 1997) and potassium from the extracellular
132 space (Orkand et al. 1966; Verkhratsky and Nedergaard 2014). Thus, retraction of astrocytic
133 membrane from the synapse during memory formation (Ostroff et al. 2014) may facilitate the
134 spillover of neurotransmitter and thus affect synaptic transmission (Rusakov and Kullmann

135 1998). At the same time, memory formation is associated with morphological growth of
136 synaptic elements together with enhanced protein synthesis and rearrangement of receptor
137 proteins, all of which increase the energy consumption (Harris et al. 2012).

138 How energy substrates, needed for ATP synthesis, are delivered to synapses where
139 synaptic plasticity takes place is still an open question. A simple assumption would be that
140 pyruvate is provided to the mitochondria by glycolysis within the neuron. However, the
141 morphology of astrocytes, with extensive end feet plastering blood vessels, is well suited to
142 take up glucose from blood and distribute either glucose itself, or pyruvate or lactate derived
143 from glucose, to astrocytic processes surrounding synapses, possibly by diffusion through gap
144 junctions integrating astroglial syncytia (Rouach et al. 2008). In support of this mechanism,
145 diffusion of glucose within astrocytes is relatively rapid (Kreft et al. 2013) and may well
146 support glucose delivery via interconnected astrocytes *in situ*. Although synapses are the main
147 energy consumers in the brain, glycogen, the only CNS energy storage system, is present
148 mainly, if not exclusively, in astrocytes. Memory consolidation in young chickens requires
149 glycogenolysis (Gibbs et al. 2006; Hertz and Gibbs 2009). The successful consolidation of
150 memory from short-term to long-term memory requires neuronal NA release (Gibbs et al.
151 2010). Therefore, it appears that NA, released from neurons, such as those from locus
152 coeruleus, initiates astrocytic morphological changes and activates astroglial energy
153 metabolism. Thus, NA may be considered as an integrator of the metabolism, morphology
154 and function of astrocytes. In the adult operational (i.e. awake) brain, NA is the main
155 signaling molecule that triggers astroglial Ca²⁺ signaling (Ding et al., 2013), which represents
156 the universal form of glial excitability (Verkhratsky et al., 1998).

157 Astrocytes as hubs for the network reset system

158 The LC is the primary source of NA in the CNS. It is localized in the brainstem and
159 projects widely, and is thus able to synchronously activate neural networks in several brain
160 regions. This may be regarded as a functional “reset” for many brain networks (Bouret and
161 Sara 2005; Sara 2015). Axons of LC neurons project to the spinal cord, the brain stem, the
162 cerebellum, the hypothalamus, the thalamic relay nuclei, the amygdala, the basal
163 telencephalon, and the cortex, although some cortical areas receive more abundant innervation
164 (Chandler et al. 2014). In all these structures, synchronous activation of LC projections
165 (Bouret and Sara 2005) leads to coherent and synchronized electrical activity, possibly
166 reflected by gamma waves on an electroencephalogram (Sara 2015). LC innervation mediates
167 arousal and the sleep–wake cycle, attention and memory, behavioral flexibility, behavioral
168 inhibition and stress, cognitive control, emotions, neuroplasticity, posture, and balance
169 (Benarroch 2009). The effects of NA are mediated through α - and β -adrenergic receptors
170 (α/β ARs) which are expressed in neurons, microglia, and astrocytes. The ARs were among
171 the first receptors to be causally linked to astroglial Ca^{2+} signaling (Kirischuk et al. 1996;
172 Salm and McCarthy 1989). Increases in astroglial Ca^{2+} were observed *in vivo* after stimulation
173 of the LC in anesthetized animals (Bekar et al. 2008). In awake animals, stimulation of LC
174 neurons triggered (by activation of α -ARs) widespread astroglial Ca^{2+} signals, which
175 appeared in almost all astrocytes in the field of study (Ding et al. 2013). This synchronous
176 response may represent the means by which neural networks are coordinated. Simultaneously,
177 through activation of β -ARs, the cAMP-dependent pathways are activated; this in turn
178 instigates rapid degradation of glycogen, which serves as the main energy reserve in the brain
179 (Kreft et al. 2012; Prebil et al. 2011) and initiates morphological plasticity of astrocytes
180 (Vardjan et al. 2014).

181 Vesicular release of gliosignaling molecules

182 By having secretory vesicles clustered close to the plasma membrane, which is a hallmark of
183 the active zone of the presynaptic terminal, the delay between the incoming stimulus and
184 secretion is minimized, being as short as 100 μ s (Sabatini and Regehr 1999). At the same
185 time, vesicle-based release of chemical messengers can exhibit much longer delays in
186 stimulus–secretion coupling. In astrocytes, the mechanism prolonging the time between the
187 arrival of the stimulus and the release of transmitters has been naturally selected, because the
188 maximal speed of regulated exocytosis in astroglia appears much slower than that in neurons
189 (Guček et al. 2012; Neher 2012; Zorec et al. 2015). Regulated exocytosis also plays a role in
190 many forms of cell-to-cell communication besides release of transmitters, being for example
191 critical for the delivery of transporters, ion channels and antigen presenting molecules to the
192 cell surface (Guček et al. 2012). Vesicular trafficking and release, which have evolved ~3
193 billion years millions years ago in arhaea (Spang et al. 2015), is fundamental for signaling and
194 communication within the relatively large eukaryotic cell volume. Communication within
195 large cells could no longer be supported by diffusion-based processes, which provide effective
196 and rapid transport of molecules within the submicron range. Hence the development of
197 subcellular organelles, such as secretory vesicles, presented a solution for the “signaling
198 problem” in the relatively large volume of eukaryotic cells, to which astrocytes belong
199 (Guček et al. 2012).

200 An ideal approach to monitor the rate-limiting processes of regulated exocytosis in
201 astrocytes at the cellular level is to measure changes in the plasma membrane area, which
202 reflects the fusion of vesicles with the plasma membrane. This can be monitored by
203 measuring membrane capacitance (C_m), which is linearly related to the membrane area (Neher
204 and Marty 1982). This technique was used in cultured astrocytes (Kreft et al. 2004) to test the
205 hypothesis that an increase in $[Ca^{2+}]_i$, after photolysis of caged Ca^{2+} (Neher and Zucker 1993),

206 elicits an increase in the whole-cell C_m . A half-maximal increase in C_m of these astrocytes
207 was attained at $\sim 27 \mu\text{M}$ $[\text{Ca}^{2+}]_i$, which is similar to the Ca^{2+} -dependency of regulated
208 exocytosis in various types of neurons, recorded by a similar technique (Bollmann et al. 2000;
209 Heidelberger et al. 1994; Kreft et al. 2003a). In contrast to neurons, however, a rather small,
210 within 100 nM, increase in $[\text{Ca}^{2+}]_i$ from the resting level was sufficient to induce glutamate
211 release from astrocytes, as detected by glutamatergic effects on nearby neurons, used as
212 sniffer cells (Parpura and Haydon 2000). A similar high-affinity Ca^{2+} sensing mechanism for
213 vesicular release was reported in pituitary endocrine cells (Kreft et al. 2003b). At present,
214 astrocytes appear to be the slowest secretors of all the excitable mammalian cells investigated
215 thus far. The kinetics of C_m increase is at least two orders of magnitude slower than the
216 kinetics of regulated exocytosis recorded by a similar technique in neurons (Kreft et al. 2004;
217 Neher 2012). The Ca^{2+} -dependent increases in C_m were sensitive to tetanus toxin (which
218 cleaves synaptobrevin 2 and cellubrevin), indicating a soluble *N*-ethyl maleimide-sensitive
219 fusion protein attachment protein receptor (SNARE)-based vesicular mechanism (Kreft et al.
220 2004).

221 Why is regulated exocytosis in astrocytes so slow? One reason is the distinct slow
222 kinetics of molecular mechanisms regulating the vesicle membrane–plasmalemma merger.
223 The number of SNARE molecules per vesicle, which is relatively low in astrocytes (Singh et
224 al. 2014), may also contribute to the slow kinetics of regulated exocytosis. Slow delivery of
225 vesicles to the plasma membrane fusion sites may also play a significant role. The vesicle
226 dynamics is an amazingly elaborate system, regulated by increases in $[\text{Ca}^{2+}]_i$ (Potokar et al.
227 2013; Vardjan et al. 2015). For example, the complexity of vesicle traffic regulation in
228 astrocytes is characterized by two typical, yet opposing, properties of vesicles that contain
229 peptides, such as atrial natriuretic peptide, and/or ATP, and those that carry amino acids, such
230 as glutamate and D-serine, and are labeled by the glutamate transporter VGLUT1 (Potokar et

231 al. 2005; Potokar et al. 2013; Vardjan et al. 2012; Vardjan and Zorec 2015). Glutamatergic
232 vesicles speed up with an increase in $[Ca^{2+}]_i$ (Stenovc 2007), whereas the same increase in
233 $[Ca^{2+}]_i$ slows down peptidergic vesicles and endolysosomes (Potokar et al. 2010).

234 Glutamatergic and peptidergic vesicles have the capacity to recycle. The mobility of
235 recycling peptidergic vesicles was studied in cultured astrocytes (Potokar et al. 2008) and in
236 intact brain slices (Potokar et al. 2009). At rest, peptidergic vesicles moved faster and more
237 directionally than after the exposure of astrocytes to ionomycin to increase $[Ca^{2+}]_i$ (Potokar et
238 al. 2008). The effect of increased $[Ca^{2+}]_i$ was dramatic; the movement of vesicles was almost
239 halted, with only a jitter associated with random diffusional movement remaining. At least
240 some of the peptidergic vesicles carry ATP and a similar attenuation was observed in their
241 mobility when astrocytes were stimulated (Pangrsic 2007).

242 What is the physiologic significance of differential mobility of vesicles carrying
243 specific cargo, for example, classic chemical transmitter versus neuromodulators or
244 neuropeptides? An increase or decrease in vesicle mobility may affect the efficiency of
245 vesicle merger with the plasma membrane and the subsequent cargo discharge. It is possible
246 that vesicles engaged in the dichotomous regulation of vesicle traffic exhibit different vesicle
247 sizes, which may determine the nature of vesicle traffic and fusion with the plasmalemma, as
248 was reported for endocrine cells (Flašker et al. 2013). Increased mobility of glutamatergic
249 vesicles (which can quickly refill using VGLUTs) may indicate that they could be discharged
250 at multiple loci at times of increased Ca^{2+} excitability, resulting in more diffuse signaling as
251 opposed to spatially precise information transfer so characteristic of neuronal synaptic
252 transmission. This speculation seems to be aligned with the ability of astrocytes to modulate
253 synaptic transmission at a long temporal domain and via broad extrasynaptic access sites to
254 neurons.

255 Impaired astrocytic vesicle traffic has been tentatively associated with intellectual
256 deficiency (ID). Symptoms of ID appear early in life and the disease affects about 2% of the
257 population. Family studies have demonstrated a relatively large number of X chromosome-
258 linked forms of ID (XLID) with an incidence of about 0.9 to 1.4 in 1000 males (Turner 1996).
259 One of the first genes found to be mutated in patients with XLID is *GDII* (D'Adamo et al.
260 1998), which encodes for guanine nucleotide dissociation inhibitor (α GDI), a protein
261 physiologically involved in regulating GDP-bound RAB proteins. The identification of *GDII*
262 association with ID suggested that vesicular traffic in neural cells is an important pathway for
263 the development of cognitive functions (Bianchi et al. 2009; D'Adamo et al. 2002). Although
264 the importance of α GDI in neuronal function has been demonstrated, it is unclear whether its
265 role in glia vesicle trafficking contributes to the disease. The α GDI protein regulates the
266 function of RAB GTPases, such as RAB 4 and RAB 5, which have been shown to regulate
267 vesicle dynamics in astrocytes (Potokar et al. 2012), and it is likely that impaired vesicle
268 traffic in astrocytes contributes to ID, which is linked to impaired cognitive processes
269 involving memory formation.

270 Conclusions

271 Astroglial cells control homeostasis in the CNS to support many processes including
272 memory formation. Astrocytes contribute to memory as signaling hubs and as structures that
273 alter their morphology and recruit energy resources for memory consolidation. Excitation-
274 secretion coupling in astrocytes is loose and this may be of particular importance to support
275 the slowness of the overall memory-related structural dynamics in the CNS.

276 Conflict of Interest Statement

277 None declared.

278 Acknowledgments

279 A.V.'s research was supported by the Alzheimer's Research Trust (UK), by a grant
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 281 and Science of the Russian Federation and Lobachevsky State University of Nizhny
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 283 was also supported by Plan Nacional de I+D+I 2008–2011 and ISCIII-Subdirección General
 284 de Evaluación y Fomento de la investigación co-financed by FEDER (grant PI10/02738 to
 285 J.J.R and A.V.); R.Z.'s work is supported by the Slovenian Research Agency (grant nos. P3
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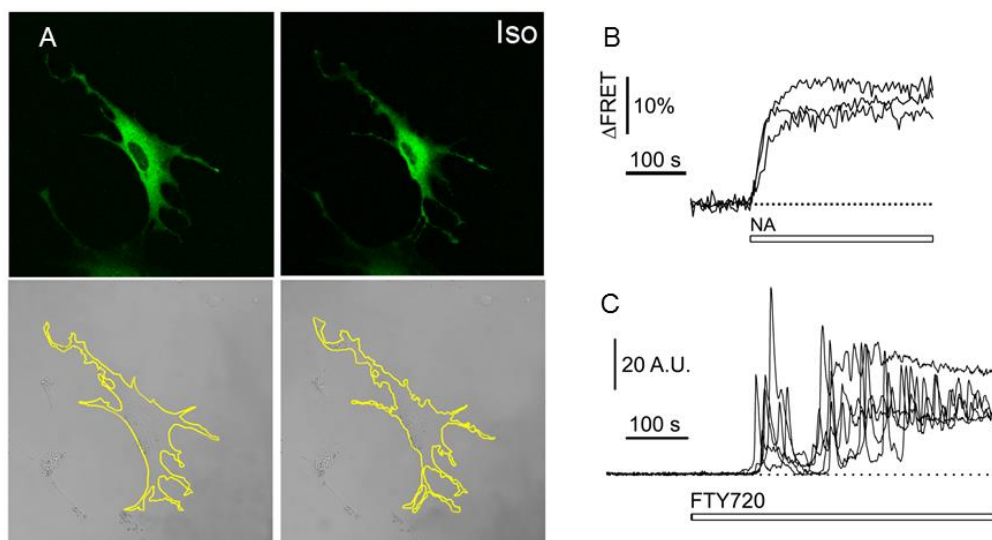
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In review



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480 **Figure 1. (A)** Morphological changes in astrocytes (stellation) induced by the β -adrenergic
 481 receptor (β -AR) agonist isoprenaline (Iso), which increases cAMP. Green fluorescing
 482 astrocytes transfected with the cAMP nanosensor Epac1-camps (top) and their
 483 corresponding differential interference contrast images (bottom) before (left) and after
 484 1 μ M β -AR agonist isoprenaline (Iso). Note the thinning and elongation of processes
 485 indicating astrocyte stellation. Scale bar represents 20 μ m. Astrocytes were cultured
 486 from rat cortex. Modified from Vardjan et al. (2014) *Glia* 62, 566–579; with
 487 permission. **(B)** Time course of cytosolic levels of cAMP. Noradrenaline (NA)
 488 persistently increases intracellular cAMP levels in astrocytes. Representative time
 489 courses of the Epac1-camps (i.e., a Förster resonance energy transfer (FRET)-based
 490 cAMP nanosensor) from 3 cells after the addition of 1 μ M NA. Changes in FRET are
 491 expressed as percentages relative to the initial values. **(C)** Time course of cytosolic
 492 levels of Ca^{2+} . The application of fingolimod (FTY720) evokes prolonged transient
 493 increases (oscillations). Superimposed time-resolved fluorescence intensity obtained in
 494 5 cells treated with FTY720 (white bar). The thin dotted line indicates the zero
 495 fluorescence level (F_0). Modified from Potokar et al. (2013) *Int. J. Mol. Sci.* 14,
 496 11238–11258; with permission.

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Box 1. Cerebral gymnastics and memory formation

"Cerebral gymnastics are not capable of improving the organization of the brain by increasing the number of cells, because it is known that the nerve cells after the embryonic period have lost the property of proliferation; but it can be admitted as very probable that mental exercise leads to a greater development of the dendritic apparatus and of the system of axonal collaterals in the most utilized cerebral regions. In this way, associations already established among certain groups of cells would be notably reinforced by means of the multiplication of the small terminal branches of the dendritic appendages and axonal collaterals; but, in addition, completely new intercellular connections could be established thanks to the new formation of [axonal] collaterals and dendrites."

The Cronian Lecture: La fine structure des centres nerveux. *Proceedings of the Royal Society of London* 55: 444-468, 1984. Translated by DeFelipe J, Jones, E. G. (1988). *Cajal on the Cerebral Cortex*. New York: Oxford University Press. p. 87.

In review