

Fundament, T., Eldridge, P. R., Green, A. L., Whone, A. L., Taylor, R. S., Williams, A. C., & Schuepbach, W. M. M. (2016). Deep Brain Stimulation for Parkinson's Disease with Early Motor Complications: A UK Cost-Effectiveness Analysis. PLoS ONE, 11(7), [e0159340]. DOI: 10.1371/journal.pone.0159340

Publisher's PDF, also known as Version of record

License (if available):

CC BY

Link to published version (if available): 10.1371/journal.pone.0159340

Link to publication record in Explore Bristol Research

PDF-document

This is the final published version of the article (version of record). It first appeared online via Public Library of Science at DOI:10.1371/journal.pone.0159340. Please refer to any applicable terms of use of the publisher.

## **University of Bristol - Explore Bristol Research General rights**

This document is made available in accordance with publisher policies. Please cite only the published version using the reference above. Full terms of use are available: http://www.bristol.ac.uk/pure/about/ebr-terms.html







Citation: Fundament T, Eldridge PR, Green AL, Whone AL, Taylor RS, Williams AC, et al. (2016)
Deep Brain Stimulation for Parkinson's Disease with Early Motor Complications: A UK Cost-Effectiveness Analysis. PLoS ONE 11(7): e0159340. doi:10.1371/journal.pone.0159340

Editor: Jan Kassubek, University of Ulm, GERMANY

Received: April 29, 2016 Accepted: June 30, 2016 Published: July 21, 2016

Copyright: © 2016 Fundament et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper and its Supporting Information files. A more detailed report of this study will be made available online for interested researchers, providing additional details of the analysis and methods.

Funding: Medtronic funded the development of the model, including consulting fees to physicians and health economic specialists, sponsored a medical writer and reviewed the manuscript. The funders provided input on the study design, decision to publish, and preparation of the manuscript. HTA Consulting provided support in the form of salaries for authors [TF], and staff resources to support evidence

RESEARCH ARTICLE

# Deep Brain Stimulation for Parkinson's Disease with Early Motor Complications: A UK Cost-Effectiveness Analysis

Tomasz Fundament<sup>1</sup>, Paul R. Eldridge<sup>2</sup>, Alexander L. Green<sup>3</sup>\*, Alan L. Whone<sup>4</sup>, Rod S. Taylor<sup>5</sup>, Adrian C. Williams<sup>6</sup>, W. M. Michael Schuepbach<sup>7,8</sup>

1 HTA Consulting, Krakow, Poland, 2 The Walton Centre NHS Foundation Trust and Liverpool University, Liverpool, United Kingdom, 3 Nuffield Department of Surgical Sciences, University of Oxford, Oxford, United Kingdom, 4 Bristol Brain Centre, Southmead Hospital, Bristol, United Kingdom, 5 University of Exeter Medical School, Exeter, United Kingdom, 6 University Hospitals Birmingham NHS Foundation Trust, Birmingham, United Kingdom, 7 Movement Disorders Center, Department of Neurology, Bern University Hospital and University of Bern, Bern, Switzerland, 8 Assistance Publique Hôpitaux de Paris, Centre d'Investigation Clinique 9503, Institut du Cerveau et de la Moelle épinière, Département de Neurologie, Université Pierre et Marie Curie—Paris 6 et INSERM, CHU Pitié-Salpêtrière, Paris, France

\* alex.green@nds.ox.ac.uk

## **Abstract**

## **Background**

Parkinson's disease (PD) is a debilitating illness associated with considerable impairment of quality of life and substantial costs to health care systems. Deep brain stimulation (DBS) is an established surgical treatment option for some patients with advanced PD. The EAR-LYSTIM trial has recently demonstrated its clinical benefit also in patients with early motor complications. We sought to evaluate the cost-effectiveness of DBS, compared to best medical therapy (BMT), among PD patients with early onset of motor complications, from a United Kingdom (UK) payer perspective.

#### **Methods**

We developed a Markov model to represent the progression of PD as rated using the Unified Parkinson's Disease Rating Scale (UPDRS) over time in patients with early PD. Evidence sources were a systematic review of clinical evidence; data from the EARLYSTIM study; and a UK Clinical Practice Research Datalink (CPRD) dataset including DBS patients. A mapping algorithm was developed to generate utility values based on UPDRS data for each intervention. The cost-effectiveness was expressed as the incremental cost per quality-adjusted life-year (QALY). One-way and probabilistic sensitivity analyses were undertaken to explore the effect of parameter uncertainty.

#### Results

Over a 15-year time horizon, DBS was predicted to lead to additional mean cost per patient of £26,799 compared with BMT (£73,077/patient versus £46,278/patient) and an additional



review and synthesis. They did not have any additional role in the study design and analysis, decision to publish, or preparation of the manuscript. The specific roles of these authors are articulated in the 'author contributions' section.

Competing Interests: The authors of this manuscript have read the journal's policy and have the following competing interests: Medtronic funded the development of the model. TF is an employee of HTA Consulting, a health economic consultancy firm that received consulting fees from Medtronic International Sàrl for their work on this project. PRE has received honoraria for consulting services and meeting sponsorship from Medtronic, St. Jude Medical, Boston Scientific and Nevro. He has also served as a member of the UK adult commissioning group for Neurosurgery. ALG has received honoraria for consulting services from Medtronic, St. Jude Medical and Boston Scientific. Over the last 12 months, he has also been faculty on two St. Jude Medical courses (DRG stimulation) and attended an advisory board with Boston Scientific. ALW has received honoraria from Medtronic for consulting services. ACW has received honoraria from Medtronic for consulting services. RST has received honoraria from Medtronic for consulting services. WMMS has received lecture fees from Medtronic, and travel reimbursements from Merz Pharma. Boston Scientific, Actelion, Zambon and Medtronic. He has been serving as a consultant for Medtronic, Aleva, Zambon and Lundbeck. He has received unrestricted research grants from Medtronic, Boston Scientific and Actelion. There are no patents, products in development or marketed products to declare. This does not alter the authors' adherence to all PLOS ONE policies on sharing data and materials, as detailed online in the guide for authors.

mean 1.35 QALYs (6.69 QALYs versus 5.35 QALYs), resulting in an incremental cost-effectiveness ratio of £19,887 per QALY gained with a 99% probability of DBS being cost-effective at a threshold of £30,000/QALY. One-way sensitivity analyses suggested that the results were not significantly impacted by plausible changes in the input parameter values.

#### Conclusion

These results indicate that DBS is a cost-effective intervention in PD patients with early motor complications when compared with existing interventions, offering additional health benefits at acceptable incremental cost. This supports the extended use of DBS among patients with early onset of motor complications.

#### Introduction

Parkinson's disease (PD) is a chronic progressive neurodegenerative disorder involving dopaminergic neurons, which results in a lack of the neurotransmitter dopamine. Clinically the hallmark of PD is a movement disorder with bradykinesia, rigidity and often rest tremor, although non-motor signs and symptoms are also common [1,2]. A recent-meta-analysis concluded that the worldwide prevalence of PD is around 315 cases per 100,000 population [3]. During early stages of the disease, patients are effectively treated with oral medication such as levodopa; however, over time, medication-induced motor complications such as unpredictable fluctuations in motor symptoms and abnormal involuntary movements (dyskinesias) develop [4]. Progressively, the disease leads to increasingly severe motor signs, worsening of medicationrelated complications and a decrease in the time between 'off' periods when symptoms are not well-controlled. In advanced stages axial motor signs such as impaired balance and gait that respond less favourably to levodopa or DBS become more prevalent and non-motor, especially psychiatric and cognitive problems cause significant loss of quality of life [4-8]. Thus, there is a time window between the occurrence of levodopa-induced motor complications and the development of levodopa-resistant symptoms of PD when DBS can potentially improve the patients' condition.

PD imposes a significant burden upon patients' health-related quality of life [9-15], and creates a major economic burden for health care systems, driven mainly by hospitalisations and medication [9,16–20]. A broader socio-economic impact also occurs due to lost income owing to a reduced capacity to work, early retirement and institutional or unpaid care provided by patients' relatives [17,18,21-27]. One European study has shown that a one-unit increase on the dyskinesia severity scale (Part IVa of the Unified Parkinson's Disease Rating Scale (UPDRS)) results in additional mean combined medical and non-medical cost of €737 per patient over a 6-month period [21]. When indirect costs are included, this cost increases threefold [27]. For patients who in principle respond well to dopaminergic medication but whose benefit from oral medication is hampered by motor complications (fluctuations and dyskinesia), treatment options include deep brain stimulation (DBS), a surgical treatment which involves the implantation of a device for electrical stimulation of precise areas in the brain. Modulation of the activity of specific target structures in the brain results in improvement of certain parkinsonian motor signs. Current guidelines in the United Kingdom (UK) from the National Institute for Health and Care Excellence (NICE) recommend the use of DBS. These guidelines are currently being updated and will consider all relevant interventions including DBS [28].



Randomised controlled trial (level I) evidence support the use of DBS in advanced PD [29–31]. Previous economic studies have concluded that DBS is a cost-effective intervention, when compared with best medical therapy (BMT) among patients with advanced PD [32–35]. Furthermore, recent clinical evidence (the EARLYSTIM trial) has demonstrated that DBS is also effective in PD patients earlier in the disease course, with recent onset motor complications [36,37]. Significant improvements were observed compared with BMT in motor disability, activities of daily living, levodopa-induced motor complications and time with good mobility [36].

This study sought to assess the cost effectiveness of DBS versus BMT in treating PD from early onset of motor complications from a UK payer (National Health Service) perspective, employing a novel approach of associating UPDRS subscales with health-related quality of life to capture the multi-faceted aspects of PD.

#### **Materials and Methods**

This economic modelling study was undertaken and reported in accord with the International Society for Pharmacoeconomics and Outcomes Research (ISPOR) best practice modelling guidelines [38].

#### Overview of the economic model

We developed a Markov (state-transition) model to calculate the costs and health outcomes (in terms of life-years and quality-adjusted life-years [QALYs]) associated with a range of interventions for PD patients. Specifically, the model considered two treatment options:

- BMT: BMT alone
- DBS: DBS in combination with BMT.

Baseline characteristics for patients for both treatment options were based upon data from the EARLYSTIM study [36], i.e. all patients were assumed to have PD with early motor complications at model entry (i.e. those with motor fluctuations or dyskinesias present for 3 years or less). The model was developed in Microsoft Excel (Microsoft Corporation, Redmond, MA, USA), and in the base-case analysis a 15-year horizon was used to capture long-term results and progression to more advanced disease stages. A lifetime horizon in the base-case analyses was not considered appropriate given the uncertainty in long-term outcomes for patients on the therapies evaluated. A one-year cycle length was used for transitions between health states, and a half-cycle correction was applied to reflect the fact that patients move between states, on average, halfway through a cycle [39]. Health states were based around treatment interventions (see Fig 1), and for each treatment, disease progression was modelled according to changes in the UPDRS domain scores (Parts I to IV). The changes in UPDRS domain scores were recorded over time within the model, but were not explicitly used to derive health states. Health-related quality of life was accounted for using an existing mapping algorithm (in the short-term), and via the development of a new algorithm to link UPDRS scores to the Euroqol-5D (EQ-5D) in the long-term (see below). Costs and QALYs were both discounted at 3.5% per year, according to NICE methods guidance [40]. A systematic literature review was undertaken to identify relevant clinical data for each intervention; unit cost data were sourced from device price lists, national drug prices, hospital payment tariffs and social care cost data. Input was sought from a panel of clinical experts (PE, AG, AW, MS, AW) to ensure appropriate use of the data and for validation of the model structure and assumptions. A full table of input parameter values can be found in the Supplementary Information (S1 Table).



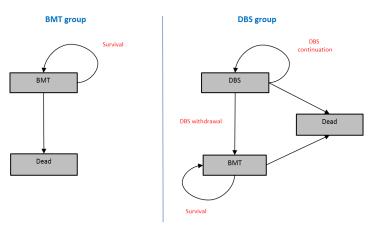


Fig 1. Model diagram BMT = best medical therapy; DBS = Deep brain stimulation.

## Model structure and treatment comparisons

Fig 1 shows the model structure and health states for each intervention.

DBS patients would continue therapy until withdrawal, after which they would continue with BMT until the end of the model horizon or until death. No further interventions were modelled for patients withdrawing from DBS.

#### Clinical data

A systematic literature review (including searches of PubMed, Embase, and The Cochrane Library) was undertaken to identify relevant short- and long-term clinical outcomes data for PD patients, and for each of interventions considered in the analysis. The systematic review considered studies among adult patients with PD which reported mean UPDRS scores at specified time points in the 'ON' state (i.e. on medication) (details of the systematic review are available in the supplementary information).

Disease progression and treatment effectiveness were modelled in terms of changes in UPDRS scores (Parts I to IV) over time for each intervention. Baseline characteristics of patients (in terms of mean UPDRS scores on each domain, and with a mean age of 52 years) in the model were based on pooled data from the DBS and BMT treatment groups of the EAR-LYSTIM study [36]. In the DBS and BMT treatment groups of the EARLYSTIM study, UPDRS domain scores were collected at treatment initiation and at 5, 12 and 24 months, which were used to calculate the percentage change from baseline in each domain score.

Disease progression beyond two years was modelled in a uniform way across all treatments, due to a lack of consistent long-term data on UPDRS outcomes for each intervention. Each UPDRS domain was modelled using data pooled from various studies, since no studies reported all domains consistently. Data from studies with a BMT treatment group were used to model the annual progression rate of UPDRS Parts I, II and IV [5,36,41–44]; a long-term study of DBS was used to represent progression of UPDRS III [45]. These rates were applied to both treatment options after two years, with the exception of UPDRS Part IV score. Clinical evidence suggests that UPDRS Part IV improvements may be more long-term for DBS patients [45–52]; in particular, two studies have demonstrated UPDRS IV scores at 8 years which are significantly better than baseline scores and not significantly different from corresponding scores at one year [50,51]. On the basis of this evidence, the clinical expert panel advised an extension of DBS response for this outcome; thus, the model held the two-year Part IV score constant for a further eight years, after which progression occurred at the same rate as for



BMT. Alternative assumptions regarding the long-term effect of DBS upon UPDRS IV scores were tested in sensitivity analyses.

## Mortality data

Mortality was incorporated using a two-step approach. Firstly, an age- and gender-specific baseline mortality risk was applied using UK all-cause mortality rates [53], with patients entering the model at age 52.5 years, based on the EARLYSTIM study [36]. Whilst evidence suggests that in the early stages of PD, mortality is not significantly different to that of the general population [54–56], a recent review of mortality data in PD indicated that patients with advanced disease have a higher mortality risk [57]. Using data from studies reporting the relationship between UPDRS Part III and mortality, a 10-point increase in the UPDRS Part III score was associated with an increased mortality risk by applying a hazard ratio of 1.31 to the baseline risk [58,59,60]. This increased risk was applied to patients with a UPDRS Part III score of 15 or more, to reflect the impact only amongst patients with advanced disease.

#### Adverse events

The model accounted for both treatment-specific and disease-related adverse events (AEs). Three AE types among DBS patients were included, i.e. surgery-related AEs (such as bleeding events and infections); hardware-related AEs (e.g. lead fractures and migrations); and other AEs such as worsening of mobility. Serious adverse event data from the EARLYSTIM study were used to inform the frequency of each event type [36]. Surgery-related AEs were modelled to only occur in the first two years following implantation; hardware-related and other AEs were associated with an ongoing risk. For BMT, EARLYSTIM study data were again used to model incidence of serious AEs, including worsening of mobility and motor fluctuations [36]. These risks were assumed to be constant over time.

PD progression is associated with increasing postural instability, leading to falls and in some cases serious injury to patients. To reflect the risk of falling, we pooled data from a series of studies to define the baseline proportion of patients falling per year as 42.78% [61–75]. An odds ratio of 1.07 for each point increase in UPDRS III score was then applied, derived from three studies of fall incidence [61,64,66]. Of these falls, 50.9% were assumed to require hospitalisation of the patient [62,65,72]. The clinical panel suggested that withdrawal from DBS is rare; therefore, we modelled DBS withdrawal only as a consequence of specific adverse events. Withdrawal probabilities in the other treatment groups were calculated based on studies reporting such data. Upon withdrawal from DBS patients were assumed to receive BMT until death or the end of the model horizon, with UPDRS scores reflecting this change of treatment.

## Quality of life data

UK guidelines for economic evaluations state that health outcomes should be expressed in terms of QALYs, with the EuroQol-5D (EQ-5D) being the preferred measure of health-related quality of life [40]. EQ-5D data were not collected in the EARLYSTIM study, and we therefore used a published algorithm to map from the 39-item Parkinson's Disease Questionnaire (PDQ-39) data from EARLYSTIM to the EQ-5D [76]. The algorithm used a multinomial logistic regression approach to predict the spread of patients between the three levels of each of the five domains of the EQ-5D. These values were then used to derive a utility index for a UK population [77], with a utility weight calculated for DBS and BMT patients separately by averaging across the data from months 5 and 12 (for the year 1 utility) and months 12 and 24 (for the year 2 utility) in the EARLYSTIM study. These utility weights were applied in the first two years of the model.



Whilst studies have demonstrated a correlation between UPDRS Parts I and II and both the EQ-5D index and the PDQ-39 [78,79], existing algorithms do not fully capture this relationship [80]. For this reason we developed a new algorithm to apply after the first two years of the model for both treatment groups. An iterative process was used to identify a statistical model which could accurately predict the EQ-5D index from the explanatory variables available from the EARLYSTIM study (including UPDRS domain scores, and patient gender and age). Several model types were explored, including linear regression, beta regression, and finite mixtures of linear and beta regression with a range of link functions. A comparison of model fit was made using mean error, mean absolute error, the Bayesian information criterion and the Akaike information criterion. A beta regression approach with a log link function was considered the most appropriate, as it resulted in small errors, covered the full range of utility values possible with the EQ-5D and did not produce illogical results (e.g. worse UPDRS scores leading to higher utilities). The function is given below:

$$EO - 5D = 1.59 * e^{(0.01721*Male + 0.001448*Age - 0.0198*UPDRS\ I - 0.00049*(UPDRS\ II)^2 - 0.0178*UPDRS\ IV - 0.2468)} - 0.594$$

Where 'Male' is set to one for males and zero for females.

The algorithm was subsequently applied to patients' changing UPDRS scores over time in the model to predict variation in health-related quality of life for the remainder of the model.

#### Cost and resource use data

The cost analysis was undertaken from a UK National Health Service perspective. The main cost groups included were: pre-surgery hospitalisation; device acquisition and implantation; drug acquisition (BMT); adverse event management (treatment-specific and generic events); general follow-up; treatment withdrawal; and device replacements. Hospital-related costs were based upon Payment by Results tariffs [81], drug costs were taken from the British National Formulary [82], and GP and nurse follow-up visits were taken from the Personal Social Sciences Research Unit [83].

For DBS, two pre-operative assessments were required to undertake tests on the patient and prepare them for the implantation procedure. A separate hospitalisation was then included at which the device, leads and extensions were implanted, with the costs of the full DBS system applied at this point (i.e. device, leads, extensions and patient programmer) [84]. The cost of a battery replacement was assumed to include the cost of a new device, plus a hospitalisation for the procedure, with a mean battery lifetime of 4.5 years used [85]. The costs of AE management were based on the frequency of serious AEs observed in the EARLYSTIM trial, with separate costs applied to surgery-related events, device-related events and other events observed in the study [81,86]. In some cases, more than one plausible payment tariff was identified, and in such instances the mean of the available tariffs was applied.

Given the range of drug options available for PD management and the lack of standardised drug protocols, we calculated drug use across treatment options for BMT using an analysis of data from the UK Clinical Practice Research Datalink (CPRD) [87]. Data were extracted on a total of 297 patients (270 on BMT and 27 on DBS) for the period April 1 2003 to March 31 2012, and included information on a total of 305 different PD drug formulations administered during this period. Dosing information for each patient was combined with drug unit costs from the British National Formulary [82], and the number of patients receiving each drug (from the CPRD) to calculate mean daily drug costs for each treatment group. The calculated drug cost per day for each treatment option was £4.16 (BMT) and £2.28 (DBS). These costs were assumed to be constant over time.



The costs of general follow-up were accounted for in each treatment group via regular neurology outpatient appointments. For patients receiving DBS, four visits were assumed in the first year of treatment to account for device programming and drug dose adjustments, with two visits assumed per year thereafter. Patients on BMT were assumed to require two visits per year for the duration of the model, except in the case of patients withdrawing from DBS, who were assumed to require four such visits in the first year after withdrawal. Home visits by a PD nurse were applied in both treatment groups with the same frequency as for the neurology outpatient visits.

PD-related falls were assumed to require hospitalisation in 50.9% of cases [62,64,72]. The unit costs of follow-up and falls were based on national tariffs and social service cost estimates [81,83]. Finally, the costs of any additional hospitalisations were included for each treatment option. The CPRD dataset of PD patients (364 patients, described above) was used to estimate the mean number of inpatient days per patient per year [87], which were adjusted for hospitalisations related to treatment and adverse events described above, and then multiplied by a cost per hospital day. The mean number of hospital days per patient was estimated as 5.97 (BMT) and 2.63 (DBS). According to expert clinical advice, these hospitalisations can be assumed to be mainly due to PD-related co-morbidities.

A full list of the unit costs used in the model is given in <u>S1 Table</u> in the Supporting Material.

## Data Analyses

A deterministic analysis was firstly undertaken, using the mean value of each parameter to calculate the total costs, life-years and QALYs over a 15-year horizon. To allow comparison of the cost-effectiveness of the two interventions, the incremental cost-effectiveness ratio (ICER) was calculated using the following formula ('A' and 'B' refer to the two interventions being compared):

$$ICER = \frac{Costs_A - Costs_B}{QALYs_A - QALYs_B}$$

To explore the effect of individual parameter uncertainty upon the cost-effectiveness results, extensive one-way sensitivity analyses were also undertaken, varying each parameter in turn within plausible ranges. Probabilistic sensitivity analysis (PSA) was performed to explore the joint effect of the uncertainty in all input parameter values. This involved assigning a statistical distribution to each parameter to reflect the uncertainty in its mean value. A range of distributions was used (including normal, beta, gamma and lognormal) according to the parameter type and any necessary restrictions on possible sampled values. One value was sampled from each parameter's distribution and the model results re-calculated using these values; this process was repeated 10,000 times to provide a range of costs and QALYs for each intervention.

#### Results

#### Deterministic analysis

<u>Table 1</u> shows the discounted results of the deterministic analysis, based on a 15-year time horizon.

DBS was predicted to lead to improved QALY outcomes and increased costs compared with BMT, leading to an ICER of £19,887 per QALY gained. In the DBS group, two-thirds of the total costs were related to device acquisition, implantation and replacement (see Fig 2).

Figs  $\underline{3}$  and  $\underline{4}$  show the cumulative costs and QALYs over the 15-year period for each intervention.



Table 1. Deterministic model results (discounted).

Treatment	Mean cost per patient	Mean QALYs gained per patient	ICER (cost per QALY gained)
BMT	£46,278	5.35	-
DBS	£73,077	6.69	£19,887

QALY = quality-adjusted life-year; ICER = incremental cost-effectiveness ratio

doi:10.1371/journal.pone.0159340.t001

These results show a steady increase in the total costs and QALYs over time for each intervention. The timing of DBS battery replacements can be seen in Fig 3 at regular intervals.

## One-way sensitivity analysis

<u>Fig 5</u> shows the tornado diagram for the comparison of DBS versus BMT. The variables whose uncertainty was most influential are shown towards the top of the diagram.

The key parameters identified by the one-way sensitivity analysis were: the model time horizon; the cost of the DBS system; the duration of the effect of DBS upon the UPDRS Part IV score (when this effect was assumed to last for 2 years, a QALY gain of 1.02 was observed for DBS patients, compared with 1.35 in the base-case); and the DBS battery longevity. In no scenario, however, was the computed ICER for the comparison of DBS versus BMT in excess of £30,000 per QALY gained.

## Probabilistic sensitivity analysis

The results of the probabilistic sensitivity analysis are shown in Figs 6 and 7, with the total costs and QALYs of each intervention presented. In Fig 6, each point represents one set of results generated from the sampled input parameter values for each treatment option. Fig 7 is the cost-effectiveness acceptability curve (CEAC), showing the probability that each treatment option is cost-effective across a range of willingness-to-pay thresholds.

The scatter plot in Fig 6 shows that, although BMT is less costly than DBS overall, it generates fewer QALYs. Each cloud of points shows the considerable variability in the total QALYs gained for each intervention, reflecting the uncertainty in the long-term disease outcomes and their impact upon health-related quality of life. At a threshold of £20,000 per QALY gained,

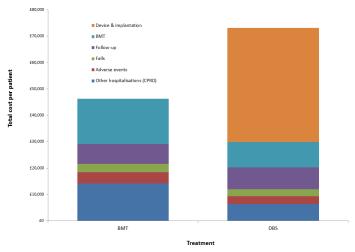


Fig 2. Cost breakdown by treatment.

doi:10.1371/journal.pone.0159340.g002

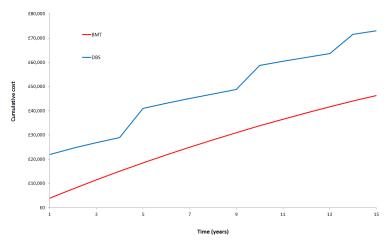


Fig 3. Cumulative discounted costs over 15 years.

the probability of DBS being the most cost-effective intervention was 51%, rising to 99% at a £30,000 per QALY threshold (see Fig 7).

## **Discussion**

This analysis was undertaken to determine the cost-effectiveness of DBS versus alternative treatment options in PD patients from early onset of motor complications on over 15 years, building on existing cost effectiveness evidence of DBS treatment in patients with more advanced disease. This analysis suggests that DBS is a cost-effective intervention with an ICER of £19,887 per QALY gained when compared with BMT and below the UK maximum willingness-to-pay threshold of £30,000 per QALY gained [88].

This is the first analysis using the UPDRS scale to model long-term progression based on a PD population with early onset of motor complications. It supports the majority of the existing economic evidence in more advanced populations that DBS is cost-effective compared with BMT[32–34,89]. The recent analysis of DBS from a Swedish societal perspective showed DBS to be cost-saving compared to BMT [90]. Two published analyses have looked at DBS in advanced PD from a UK health service perspective. Eggington et al found acceptable ICERs in

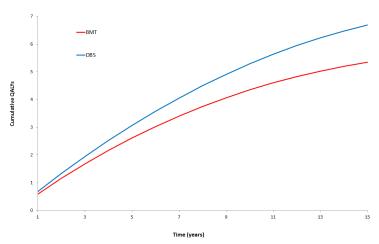


Fig 4. Cumulative discounted QALYs over 15 years.

doi:10.1371/journal.pone.0159340.g004

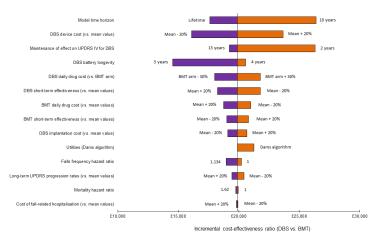


Fig 5. Tornado diagram of one-way sensitivity analysis for DBS versus BMT (plausible parameter ranges).

their modelled analyses using clinical data from the Deuschl RCT [29,33]. In contrast, the analysis based on the PD SURG study found less favourable results for DBS [89]. The PDSURG-based analysis used a micro-costing approach to estimate costs related to DBS and BMT, and its consequences over time. However, given that the clinical study was conducted 10–16 years ago, some obsolete practices may be reflected. Outdated practices may affect costs and effects, as patient selection has improved as a result of almost 20 years of experience with DBS, and targeting is more advanced, following the availability of better imaging techniques.

QALY differences between DBS and BMT were very small, non-significant, and possibly indicative of the disease-specific quality of life benefits (PDQ-39), which were smaller than in other RCTs (13% in PD SURG versus 24% and 26% in EARLYSTIM and Deuschl (2006), respectively [29,36,89]. The sensitivity of the PD SURG results to changes in QALYs was demonstrated by one-way sensitivity analyses showing ICERs below willingness-to-pay thresholds

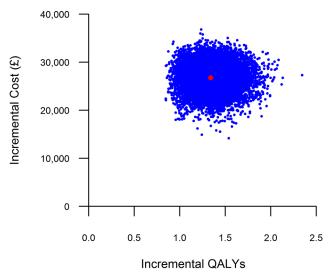


Fig 6. Scatter plot of probabilistic results (15-year horizon). The red dot represents the deterministic result.

doi:10.1371/journal.pone.0159340.g006

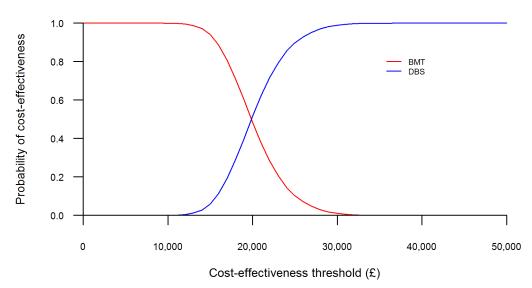


Fig 7. Cost-effectiveness acceptability curve.

when 30% higher QALY benefits were assumed for DBS. These aspects may explain differences in cost-effectiveness results between existing studies and the PD SURG analysis.

Our results are in accord with the comparative previous analyses by Dams et al [32] who find DBS to be highly cost-effective in a sensitivity analysis where they considered an "early" population. However, this previous analysis used an early PD population at the start of the model (in terms of the distribution of patients between Hoehn and Yahr stages), applying all other parameter values as per an advanced PD population.

The modelling approach undertaken here has strengths. We developed an economic model using UPDRS scores to represent disease progression, and created a mapping algorithm to provide a link between these scores and patients' health-related quality of life. The UPDRS-based model reflects the multi-faceted aspects of Parkinson's disease, and allowed the use and synthesis of clinical data from multiple studies reporting UPDRS scores as endpoints. We used twoyear data from the EARLYSTIM trial to inform the initial model inputs [36], and supplemented this with data identified via a systematic review to project long-term outcomes for each treatment option. Device costs made up the majority of the costs in the DBS treatment group; in the BMT group, drug therapy and management of co-morbidities were the main cost drivers. The ICER remained relatively stable when tested in sensitivity analyses; only in a scenario in which the time horizon was limited to five years was an ICER greater than £30,000 per QALY gained observed. Uncertainty in long-term outcomes for each treatment was fully explored and did not lead to substantial changes in the cost-effectiveness results. Probabilistic sensitivity analysis indicated a high probability of DBS being cost-effective, with much of the variability in QALY gains due to the uncertainty in long-term disease progression rates and the long-term relationship between UPDRS scores and quality of life.

We recognise that our analysis has limitations. The time horizon of 15 years was chosen as the base case in order to capture modelling of long-term health and economic outcomes of a population with early complications at the start of the model and which develop into more advanced disease at later model stages. A lifetime horizon was not considered robust enough given the limitations in the data. The patient data used came from different sources, the longest of which provided 5 year follow-up information after DBS. Thus, heterogeneity of the data sources and the lack of actual patient data beyond 5 years are limitations.



As with all health economic modelling exercises, the analysis required a number of assumptions to be made, in particular with regard to long-term UPDRS outcomes for different treatments. Wherever assumptions were needed, they were conservative, for example assuming identical long-term UPDRS progression rates for DBS and BMT patients, with the exception of UPDRS IV, in which a longer-term benefit for DBS was applied. Further long-term outcomes data are needed to fully validate these assumptions. The use of a new mapping algorithm to derive EQ-5D utilities from UPDRS scores also introduced uncertainty, as its applicability to other datasets has not been evaluated. Nevertheless, the algorithm had high internal validity and builds on existing algorithms by capturing the relationship between a broader range of UPDRS domain scores and quality of life.

Alternative therapies are available for the management of PD with motor complications. In particular, sub-cutaneous apomorphine infusion (CSAI) and continuous duodenal levodopa carbidopa infusion (CDLCI) have been the focus of studies in patients with advanced PD [91–103]. However, evidence regarding the efficacy of their use among patients with early motor symptoms is lacking, and for this reason we excluded these treatment options from this analysis. Existing economic evidence indicates that CDLCI and CSAI are more costly than both DBS and BMT, [104–106] suggesting that DBS would be a more cost-effective alternative to both of these treatments. With availability of more robust comparative clinical data, a formal economic evaluation is needed comparing all treatment options in patients with early complications.

Clinicians are faced with having to make treatment decisions for patients presenting with motor complications on a continuum of care. In particular, strict inclusion criteria apply for patients to undergo DBS, and most available data refer to DBS of the subthalamic nucleus. Many patients in whom this treatment is contraindicated may benefit from CDLCI which is typically a treatment of advanced and very advanced PD.

In conclusion, we modelled cost-effectiveness of DBS in PD patients with early motor complications over a time horizon of 15 years building on evidence from patients with advanced disease. Using a novel mapping algorithm to link disease progression with health-related quality of life, and two-year follow-up data from the EARLYSTIM trial [36], our analysis concludes that the incremental cost-effectiveness ratio of DBS compared to BMT is acceptable based on current thresholds. Our findings are line with the evidence for cost-effectiveness of DBS in advanced PD and provide support from an economical standpoint for the extension of existing policy recommendations to make DBS available to patients with PD with early motor complications.

#### **Supporting Information**

S1 PRISMA Checklist. PRISMA 2009 Checklist–Reports of 4 systematic reviews informing DBS CE model.

(PDF)

S1 Appendix. Appendix–Systematic review and data analyses.

S1 Table. Parameter input values, sources and distributions for probabilistic sensitivity analysis.

(DOCX)

#### **Acknowledgments**

Richard Dodel commented on a previous version of this manuscript. The data of the EARLYS-TIM study have been kindly provided by the steering committee of the EARLYSTIM-study



(Drs. Günther Deuschl, Jean-Luc Hueto, Paul Krack, Joern Rau, Carmen Schade-Brittinger, Alfons Schnitzler, Michael Schuepbach, Lars Timmermann) on behalf of the EARLYSTIM investigators.

### **Author Contributions**

Conceived and designed the experiments: TF PRE ALG ALW RST ACW WMMS. Analyzed the data: TF. Wrote the paper: TF PRE ALG ALW RST ACW WMMS. Model development: TF. Interpretation of data: TF PRE ALG ALW RST ACW WMMS.

#### References

- National Institute of Neurological Disorders and Stroke. What is Parkinson's Disease? [Internet].
   2011. Available: <a href="http://www.ninds.nih.gov/disorders/parkinsons\_disease/detail\_parkinsons\_disease.">http://www.ninds.nih.gov/disorders/parkinsons\_disease/detail\_parkinsons\_disease.</a>
   htm.
- Ontario Medical Advisory Secretariat. Deep brain stimulation for Parkinson's disease and other movement disorders [Internet]. 2005. Available: <a href="http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3382386/pdf/ohtas-05-56.pdf">http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3382386/pdf/ohtas-05-56.pdf</a>.
- Pringsheim T, Jette N, Frolkis A, Steeves TDL. The prevalence of Parkinson's disease: A systematic review and meta-analysis. Movement Disorders [Internet]. 2014; 29(13):1583–90. Available: doi: http://doi.wiley.com/10.1002/mds.25945 PMID: 24976103
- 4. Horstink M, Tolosa E, Bonuccelli U, Deuschl G, Friedman a, Kanovsky P, et al. Review of the therapeutic management of Parkinson's disease. Report of a joint task force of the European Federation of Neurological Societies and the Movement Disorder Society-European Section. Part I: Early (uncomplicated) Parkinson's disease. European Journal of Neurology. 2006; 13(11):1170–85. PMID: 17038031
- Alves G, Wentzel-Larsen T, Aarsland D, Larsen JP. Progression of motor impairment and disability in Parkinson disease: A population-based study. Neurology. 2005; 65(9):1436–41. PMID: <u>16275832</u>
- Leibson CL, Maraganore DM, Bower JH, Ransom JE, O'Brien PC, Rocca WA. Comorbid conditions associated with Parkinson's disease: A population-based study. Movement Disorders [Internet]. 2006; 21(4):446–55. Available: http://doi.wiley.com/10.1002/mds.20685. PMID: 16161155
- Riedel O, Klotsche J, Spottke a, Deuschl G, Förstl H, Henn F, et al. Cognitive impairment in 873
  patients with idiopathic Parkinson's disease: Results from the German Study on Epidemiology of Parkinson's Disease with Dementia (GEPAD). Journal of Neurology. 2008; 255(2):255–64. doi: 10.1007/s00415-008-0720-2 PMID: 18204803
- Schrag A, Hovris A, Morley D, Quinn N, Jahanshahi M. Young- versus older-onset Parkinson's disease: Impact of disease and psychosocial consequences. Movement Disorders. 2003; 18(11):1250–6. PMID: 14639664
- Winter Y, von Campenhausen S, Popov G, Reese JP, Balzer-Geldsetzer M, Kukshina A, et al. Social and clinical determinants of quality of life in Parkinson's disease in a Russian cohort study. Parkinsonism & related disorders [Internet]. 2010; 16(4):243–8. Available: <a href="http://www.sciencedirect.com/science/article/pii/S1353802009003022">http://www.sciencedirect.com/science/article/pii/S1353802009003022</a>.
- Quittenbaum BH, Grahn B. Quality of life and pain in Parkinson's disease: a controlled cross-sectional study. Parkinsonism Relat Disord [Internet]. 2004; 10(3):129–36. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/15036166">http://www.ncbi.nlm.nih.gov/pubmed/15036166</a>. PMID: <a href="https://www.ncbi.nlm.nih.gov/pubmed/15036166">https://www.ncbi.nlm.nih.gov/pubmed/15036166</a>. PMID: <a href="https://www.ncbi.nlm.nih.gov/pubmed/15036166">https://www.ncbi.nlm.nih.gov/pubmed/15036166</a>.
- 11. Riazi A, Hobart JC, Lamping DL, Fitzpatrick R, Freeman J a, Jenkinson C, et al. Using the SF-36 measure to compare the health impact of multiple sclerosis and Parkinson's disease with normal population health profiles. Journal of neurology, neurosurgery, and psychiatry. 2003; 74(6):710–4. PMID: 12754336
- Schrag A, Jahanshahi M, Quinn N. What contributes to quality of life in patients with Parkinson's disease? Journal of neurology, neurosurgery, and psychiatry. 2000; 69(3):308–12. PMID: 10945804
- Rahman S, Griffin HJ, Quinn NP, Jahanshahi M. Quality of life in Parkinson's disease: The relative importance of the symptoms. Movement Disorders [Internet]. 2008; 23(10):1428–34. Available: doi: http://doi.wiley.com/10.1002/mds.21667 PMID: 18543333
- 14. Visser M, van Rooden SM, Verbaan D, Marinus J, Stiggelbout AM, van Hilten JJ. A comprehensive model of health-related quality of life in Parkinson's disease. Journal of Neurology [Internet]. 2008; 255(10):1580–7. Available: <a href="http://link.springer.com/10.1007/s00415-008-0994-4">http://link.springer.com/10.1007/s00415-008-0994-4</a>. doi: <a href="http://link.springer.com/10.1007/s00415-008-0994-4">10.1007/s00415-008-0994-4</a>. doi: <a href="http://link.springer.com/10.1007/s0



- Marras C, McDermott MP, Rochon PA, Tanner CM, Naglie G, Lang AE. Predictors of deterioration in health-related quality of life in Parkinson's disease: Results from the DATATOP trial. Movement Disorders. 2008; 23(5):653–9. PMID: 18076084
- Woodford H, Walker R. Emergency hospital admissions in idiopathic's Parkinson's disease. Movement Disorders. 2005; 20(9):1104–8. PMID: <u>15884038</u>
- Spottke AE, Reuter M, Machat O, Bornschein B, Von Campenhausen S, Berger K, et al. Cost of illness and its predictors for Parkinson's disease in Germany. PharmacoEconomics. 2005; 23(8):817

  36. PMID: 16097843
- Findley L, Aujla M, Bain PG, Baker M, Beech C, Bowman C, et al. Direct economic impact of Parkinson's disease: A research survey in the United Kingdom. Movement Disorders. 2003; 18(10):1139–45. PMID: 14534917
- Dengler I, Leukel N, Meuser T, Jost WH. Prospektive Erfassung der direkten und indirekten Kosten des idiopathischen Parkinson-Syndroms. Der Nervenarzt [Internet]. 2006; 77(10):1204–9. Available: http://link.springer.com/10.1007/s00115-006-2150-7. PMID: 17004081
- Vossius C, Gjerstad M, Baas H, Larsen JP. Drug costs for patients with Parkinson's disease in two different European countries. Acta neurologica Scandinavica. 2006; 113(4):228–32. PMID: 16542161
- Péchevis M, Clarke CE, Vieregge P, Khoshnood B, Deschaseaux-Voinet C, Berdeaux G, et al. Effects
  of dyskinesias in Parkinson's disease on quality of life and health-related costs: A prospective European study. European Journal of Neurology. 2005; 12(12):956–63. PMID: 16324089
- **22.** Dodel RC, Singer M, Köhne-Volland R, Szucs T, Rathay B, Scholz E, et al. The economic impact of Parkinson's disease. An estimation based on a 3-month prospective analysis. PharmacoEconomics. 1998; 14(3):299–312. PMID: 10186468
- McCrone P, Allcock LM, Burn DJ. Predicting the cost of Parkinson's disease. Movement Disorders [Internet]. 2007; 22(6):804–12. Available: http://doi.wiley.com/10.1002/mds.21360. PMID: 17290462
- Keränen T, Kaakkola S, Sotaniemi K, Laulumaa V, Haapaniemi T, Jolma T, et al. Economic burden and quality of life impairment increase with severity of PD. Parkinsonism and Related Disorders. 2003; 9(3):163–8. PMID: 12573872
- 25. Maurel F, Lilliu H, Le Pen C. [Social and economic cost of L-Dopa-induced dyskinesias in patients with Parkinson's disease]. Revue neurologique [Internet]. 2001 May [cited 2015 Nov 17]; 157(5):507–14. Available: http://www.ncbi.nlm.nih.gov/pubmed/11438770. PMID: 11438770
- Schrag A, Banks P. Time of loss of employment in Parkinson's disease. Movement Disorders. 2006; 21(11):1839–43. PMID: 16941456
- Hagell P, Nordling S, Reimer J, Grabowski M, Persson U. Resource use and costs in a Swedish cohort of patients with Parkinson's disease. Movement disorders: official journal of the Movement Disorder Society [Internet]. 2002; 17(6):1213–20. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/12465059">http://www.ncbi.nlm.nih.gov/pubmed/12465059</a>
- 28. National Institute for Health and Care Excellence. Parkinson's Disease in Over 20s: Diagnosis and Management [Internet]. 2006. Available: <a href="http://www.nice.org.uk/guidance/cg35/resources/">http://www.nice.org.uk/guidance/cg35/resources/</a> parkinsons-disease-in-over-20s-diagnosis-and-management-975388237765.
- 29. Deuschl G, Schade-Brittinger C, Krack P, Volkmann J, Schäfer H, Bötzel K, et al. A Randomized Trial of Deep-Brain Stimulation for Parkinson's Disease. N Engl J Med. 2006; 9355:896–908.
- Weaver F, Follett K, Stern M, Hur K. Bilateral deep brain stimulation vs best medical therapy for
  patients with advanced Parkinson disease. the American Medical [Internet]. 2009; Available: <a href="http://jama.ama-assn.org/content/301/1/63.short">http://jama.ama-assn.org/content/301/1/63.short</a>.
- 31. Williams A, Gill S, Varma T, Jenkinson C, Quinn N, Mitchell R, et al. Deep brain stimulation plus best medical therapy versus best medical therapy alone for advanced Parkinson's disease (PD SURG trial): a randomised, open-label trial. The Lancet Neurology. 2010; 9(6):581–91. doi: 10.1016/S1474-4422(10)70093-4 PMID: 20434403
- Dams J, Siebert U, Bornschein B, Volkmann J, Deuschl G, Oertel WH, et al. Cost-effectiveness of deep brain stimulation in patients with Parkinson's disease. Movement Disorders. 2013; 28(6):763– 71. doi: 10.1002/mds.25407 PMID: 23576266
- Eggington S, Valldeoriola F, Chaudhuri KR, Ashkan K, Annoni E, Deuschl G. The cost-effectiveness
  of deep brain stimulation in combination with best medical therapy, versus best medical therapy
  alone, in advanced Parkinson's disease. Journal of Neurology. 2014; 261(1):106–16. doi: 10.1007/s00415-013-7148-z PMID: 24158271
- **34.** Valldeoriola F, Morsi O, Tolosa E, Rumià J, Martí MJ, Martínez-Martín P. Prospective comparative study on cost-effectiveness of subthalamic stimulation and best medical treatment in advanced Parkinson's disease. Movement Disorders. 2007; 22(15):2183–91. PMID: 17724747



- National Collaborating Centre for Chronic Conditions. Parkinson's Disease: National clinical guideline for diagnosis and management in primary and secondary care. [Internet]. 2006. Available: <a href="https://www.nice.org.uk/guidance/cg35/evidence/full-guideline-194930029">https://www.nice.org.uk/guidance/cg35/evidence/full-guideline-194930029</a>.
- Schuepbach WMM, Rau J, Knudsen K, Volkmann J, Krack P, Timmermann L, et al. Neurostimulation for Parkinson's Disease with Early Motor Complications. New England Journal of Medicine [Internet]. 2013; 368(7):610–22. Available: doi: <a href="http://www.nejm.org/doi/abs/10.1056/NEJMoa1205158">http://www.nejm.org/doi/abs/10.1056/NEJMoa1205158</a> PMID: 23406026
- Schuepbach WMM, Maltête D, Houeto JL, Du Montcel ST, Mallet L, Welter ML, et al. Neurosurgery at an earlier stage of Parkinson disease: A randomized, controlled trial. Neurology. 2007; 68(4):267–71.
   PMID: <u>17151341</u>
- Caro JJ, Briggs AH, Siebert U, Kuntz KM. Modeling good research practices—overview: a report of the ISPOR-SMDM Modeling Good Research Practices Task Force—1. Value in health. 2012; 15 (6):796–803. doi: 10.1016/j.jval.2012.06.012 PMID: 22999128
- Siebert U, Alagoz O, Bayoumi AM, Jahn B. State-Transition Modeling: A Report of the ISPOR-SMDM Modeling Good Research Practices Task Force-3. Value in Health. 2012; 15:812–20. doi: 10.1016/j. jval.2012.06.014 PMID: 22999130
- National Institute for Health and Care Excellence. Guide to the methods of technology appraisal [Internet]. Available: <a href="http://publications.nice.org.uk/pmg9">http://publications.nice.org.uk/pmg9</a>.
- Brooks DJ, Leinonen M, Kuoppamäki M, Nissinen H. Five-year efficacy and safety of levodopa/DDCl and entacapone in patients with Parkinson's disease. Journal of neural transmission (Vienna, Austria: 1996) [Internet]. 2008 Jun [cited 2015 Dec 1]; 115(6):843–9. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/18259682">http://www.ncbi.nlm.nih.gov/pubmed/18259682</a>.
- **42.** Jankovic J, Kapadia AS. Functional decline in Parkinson disease. Archives of neurology. 2001; 58 (10):1611–5. PMID: 11594919
- 43. LeWitt PA, Boroojerdi B, Surmann E, Poewe W. Rotigotine transdermal system for long-term treatment of patients with advanced Parkinson's disease: results of two open-label extension studies, CLEOPATRA-PD and PREFER. Journal of neural transmission (Vienna, Austria: 1996) [Internet]. 2013 Jul [cited 2015 Dec 1]; 120(7):1069–81. Available: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3687107&tool=pmcentrez&rendertype=abstract">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3687107&tool=pmcentrez&rendertype=abstract</a>.
- 44. Reinoso G, Allen JC, Au W-L, Seah S-H, Tay K-Y, Tan LCS. Clinical evolution of Parkinson's disease and prognostic factors affecting motor progression: 9-year follow-up study. European journal of neurology: the official journal of the European Federation of Neurological Societies [Internet]. 2015 Mar [cited 2015 Nov 6]; 22(3):457–63. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24888502">http://www.ncbi.nlm.nih.gov/pubmed/24888502</a>.
- 45. Krack P, Batir A, Van Blercom N, Chabardes S, Fraix V, Ardouin C, et al. Five-year follow-up of bilateral stimulation of the subthalamic nucleus in advanced Parkinson's disease. The New England journal of medicine. 2003; 349(20):1925–34. PMID: 14614167
- Schuepbach WMM, Chastan N, Welter ML, Houeto JL, Mesnage V, Bonnet AM, et al. Stimulation of the subthalamic nucleus in Parkinson's disease: a 5 year follow up. Journal of neurology, neurosurgery, and psychiatry. 2005; 76(12):1640–4. PMID: 16291886
- Wider C, Pollo C, Bloch J, Burkhard PR, Vingerhoets FJG. Long-term outcome of 50 consecutive Parkinson's disease patients treated with subthalamic deep brain stimulation. Parkinsonism & related disorders. 2008; 14(2):114–9.
- Gervais-Bernard H, Xie-Brustolin J, Mertens P, Polo G, Klinger H, Adamec D, et al. Bilateral subthalamic nucleus stimulation in advanced Parkinson's disease: Five year follow-up. Journal of Neurology. 2009; 256(2):225–33. doi: 10.1007/s00415-009-0076-2 PMID: 19242649
- 49. Moro E, Lozano AM, Pollak P, Agid Y, Rehncrona S, Volkmann J, et al. Long-term results of a multi-center study on subthalamic and pallidal stimulation in Parkinson's disease. Movement Disorders. 2010; 25(5):578–86. doi: 10.1002/mds.22735 PMID: 20213817
- Fasano A, Romito LM, Daniele A, Piano C, Zinno M, Bentivoglio AR, et al. Motor and cognitive outcome in patients with Parkinson's disease 8 years after subthalamic implants. Brain. 2010; 133 (9):2664–76. doi: <a href="https://doi.org/10.1093/brain/awq221">10.1093/brain/awq221</a> PMID: <a href="https://doi.org/10.1093/brain/awq221">20.1093/brain/awq221</a> PMID: <a href="https://doi.org/10.10
- Zibetti M, Merola A, Rizzi L, Ricchi V, Angrisano S, Azzaro C, et al. Beyond nine years of continuous subthalamic nucleus deep brain stimulation in Parkinson's disease. Movement Disorders. 2011; 26 (13):2327–34. doi: 10.1002/mds.23903 PMID: 22012750
- Merola A, Espay AJ, Romagnolo A, Bernardini A, Rizzi L, Rosso M, et al. Advanced therapies in Parkinson's disease: Long-term retrospective study. Parkinsonism & Related Disorders [Internet]. Elsevier Ltd; 2016; Available: <a href="http://linkinghub.elsevier.com/retrieve/pii/S1353802016301675">http://linkinghub.elsevier.com/retrieve/pii/S1353802016301675</a>.
- Office for National Statistics. National Life Tables, United Kingdom [Internet]. Available: <a href="http://www.ons.gov.uk/ons/rel/lifetables/national-life-tables/2012-2014/ref-uk.xls">http://www.ons.gov.uk/ons/rel/lifetables/national-life-tables/2012-2014/ref-uk.xls</a>.



- 54. Peretz C, Chillag-Talmor O, Linn S, Gurevich T, El-Ad B, Silverman B, et al. Parkinson's disease patients first treated at age 75 years or older: A comparative study. Parkinsonism & related disorders [Internet]. 2014; 20(1):69–74. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24183677">http://www.ncbi.nlm.nih.gov/pubmed/24183677</a>.
- 55. Williams-Gray CH, Mason SL, Evans JR, Foltynie T, Brayne C, Robbins TW, et al. The CamPalGN study of Parkinson's disease: 10-year outlook in an incident population-based cohort. Journal of Neurology, Neurosurgery & Psychiatry [Internet]. 2013; 84(11):1258–64. Available: <a href="http://jnnp.bmj.com/cgi/doi/10.1136/jnnp-2013-305277">http://jnnp.bmj.com/cgi/doi/10.1136/jnnp-2013-305277</a>.
- Duarte J, García Olmos LM, Mendoza A, Clavería LE. The natural history of Parkinson's disease in the province of Segovia: mortality in a longitudinal study (20-year follow-up). Acta neurologica Scandinavica [Internet]. 2013; 127(5):295–300. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/22957805">http://www.ncbi.nlm.nih.gov/pubmed/22957805</a>. doi: 10.1111/ane.12003 PMID: 22957805
- Macleod AD, Taylor KSM, Counsell CE. Mortality in Parkinson's disease: a systematic review and meta-analysis. Movement disorders: official journal of the Movement Disorder Society [Internet]. 2014; 29(13):1615–22. Available: http://www.ncbi.nlm.nih.gov/pubmed/24821648.
- Marras C, McDermott MP, Rochon PA, Tanner CM, Naglie G, Rudolph A, et al. Survival in Parkinson disease: thirteen-year follow-up of the DATATOP cohort. Neurology. 2005; 64(1):87–93. PMID: 15642909
- 59. Forsaa E, Larsen J, Alves G. What predicts mortality in Parkinson disease? Neurology. 2010; 75:1270–6. doi: 10.1212/WNL.0b013e3181f61311 PMID: 20921512
- Skorvanek M, Rosenberger J, Rajnicova I, van Dijk J, Groothoff J, Gdovinova Z. Fatigue is not an independent predictor of mortality in Parkinson's disease. Parkinsonism & Related Disorders [Internet]. 2012; 18(Suoplement 1):S21–2. Available: <a href="http://www.sciencedirect.com/science/article/pii/S1353802011701626">http://www.sciencedirect.com/science/article/pii/S1353802011701626</a>.
- Ashburn A, Stack E, Pickering RM, Ward CD. A community-dwelling sample of people with Parkinson's disease: characteristi... 2001;277–81.
- Bloem BR, Grimbergen YAM, Cramer M, Willemsen M, Zwinderman AH. Prospective assessment of falls in Parkinson's disease. Journal of Neurology. 2001; 248(11):950–8. PMID: <u>11757958</u>
- **63.** Cheng K-Y, Lin W-C, Chang W-N, Lin T-K, Tsai N-W, Huang C-C, et al. Factors associated with fall-related fractures in Parkinson's disease. Parkinsonism & related disorders [Internet]. 2014 Jan [cited 2015 Dec 2]; 20(1):88–92. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24134900">http://www.ncbi.nlm.nih.gov/pubmed/24134900</a>.
- **64.** Contreras A, Grandas F. Risk of Falls in Parkinson's Disease: A Cross-Sectional Study of 160 Patients. Parkinson's Disease [Internet]. 2012; 2012:1–10. Available: <a href="http://www.hindawi.com/journals/pd/2012/362572/">http://www.hindawi.com/journals/pd/2012/362572/</a>.
- 65. Gazibara T, Pekmezovic T, Kisic Tepavcevic D, Tomic A, Stankovic I, Kostic VS, et al. Fall frequency and risk factors in patients with Parkinson's disease in Belgrade, Serbia: a cross-sectional study. Geriatrics & gerontology international [Internet]. 2015 Apr [cited 2015 Dec 2]; 15(4):472–80. Available: http://www.ncbi.nlm.nih.gov/pubmed/24774885.
- 66. Kataoka H, Tanaka N, Eng M, Saeki K, Kiriyama T, Eura N, et al. Risk of falling in Parkinson's disease at the Hoehn-Yahr stage III. European neurology. 2011; 66(5):298–304. doi: 10.1159/000331635 PMID: 22057308
- 67. Kerr GK, Worringham CJ, Cole MH, Lacherez PF, Wood JM, Silburn PA. Predictors of future falls in Parkinson disease. Neurology [Internet]. 2010 Jul 13 [cited 2015 Dec 2]; 75(2):116–24. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/20574039">http://www.ncbi.nlm.nih.gov/pubmed/20574039</a>. doi: 10.1212/WNL.0b013e3181e7b688 PMID: 20574039
- **68.** Latt MD, Lord SR, Morris JGL, Fung VSC. Clinical and physiological assessments for elucidating falls risk in Parkinson's disease. Movement disorders: official journal of the Movement Disorder Society [Internet]. 2009; 24(9):1280–9. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/19425059">http://www.ncbi.nlm.nih.gov/pubmed/19425059</a>.
- **69.** Lindholm B, Hagell P, Hansson O, Nilsson MH. Prediction of falls and/or near falls in people with mild Parkinson's disease. PloS one [Internet]. 2015 Jan [cited 2015 Dec 2]; 10(1):e0117018. Available: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=4311993&tool=pmcentrez&rendertype=abstract.doi:10.1371/journal.pone.0117018">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=4311993&tool=pmcentrez&rendertype=abstract.doi:10.1371/journal.pone.0117018</a> PMID: 25635687
- Matinolli M, Korpelainen JT, Sotaniemi KA, Myllylä V V, Korpelainen R. Recurrent falls and mortality in Parkinson's disease: a prospective two-year follow-up study. Acta neurologica Scandinavica [Internet]. 2011 Mar [cited 2015 Dec 2]; 123(3):193–200. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/20545629">http://www.ncbi.nlm.nih.gov/pubmed/20545629</a>. doi: 10.1111/j.1600-0404.2010.01386.x PMID: 20545629
- Parashos SA, Wielinski CL, Giladi N, Gurevich T. Falls in Parkinson disease: analysis of a large cross-sectional cohort. Journal of Parkinson's disease [Internet]. 2013 Jan [cited 2015 Dec 2]; 3

   (4):515–22. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24113557">http://www.ncbi.nlm.nih.gov/pubmed/24113557</a>. doi: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24113557">10.3233/JPD-130249</a>



- Rudzińska M, Bukowczan S, Stożek J, Zajdel K, Mirek E, Chwała W, et al. The incidence and risk factors of falls in Parkinson disease: prospective study. Neurologia i Neurochirurgia Polska [Internet]. 2013; 47(5):431–7. Available: <a href="http://www.sciencedirect.com/science/article/pii/S0028384314604357">http://www.sciencedirect.com/science/article/pii/S0028384314604357</a>. PMID: 24166564
- 73. Voss TS, Elm JJ, Wielinski CL, Aminoff MJ, Bandyopadhyay D, Chou KL, et al. Fall frequency and risk assessment in early Parkinson's disease. Parkinsonism & related disorders [Internet]. 2012 Aug [cited 2015 Dec 2]; 18(7):837–41. Available: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?">http://www.pubmedcentral.nih.gov/articlerender.fcgi?</a> artid=3424355&tool=pmcentrez&rendertype=abstract.
- 74. Wielinski CL, Erickson-Davis C, Wichmann R, Walde-Douglas M, Parashos SA. Falls and injuries resulting from falls among patients with Parkinson's disease and other parkinsonian syndromes. Movement disorders: official journal of the Movement Disorder Society [Internet]. 2005 Apr [cited 2015 Dec 2]; 20(4):410–5. Available: http://www.ncbi.nlm.nih.gov/pubmed/15580552.
- Wood BH, Bilclough JA, Bowron A, Walker RW. Incidence and prediction of falls in Parkinson's disease: a prospective multidisciplinary study. Journal of neurology, neurosurgery, and psychiatry. 2002; 72(6):721–5. PMID: 12023412
- 76. Kent S, Gray A, Schlackow I, Jenkinson C, McIntosh E. Mapping from the Parkinson's Disease Questionnaire PDQ-39 to the Generic EuroQol EQ-5D-3L: The Value of Mixture Models. Medical Decision Making [Internet]. 2015;1–10. Available: <a href="http://mdm.sagepub.com/cgi/doi/10.1177/0272989X15584921">http://mdm.sagepub.com/cgi/doi/10.1177/0272989X15584921</a>.
- 77. Kind P, Hardman G, Macran S. UK population norms for EQ-5D. Working Papers [Internet]. Centre for Health Economics, University of York; 1999 [cited 2016 Jan 8]; Available: <a href="http://www.mendeley.com/catalog/uk-population-norms-eq5d/">http://www.mendeley.com/catalog/uk-population-norms-eq5d/</a>.
- 78. Martínez-Martín P, Rodríguez-Blázquez C, Forjaz MJ, Álvarez-Sánchez M, Arakaki T, Bergareche-Yarza a., et al. Relationship between the MDS-UPDRS domains and the health-related quality of life of Parkinson's disease patients. European Journal of Neurology. 2014; 21(3):519–24. doi: 10.1111/ene.12349 PMID: 24447695
- 79. Kadastik-Eerme L, Rosenthal M, Paju T, Muldmaa M, Taba P. Health-related quality of life in Parkinson's disease: a cross-sectional study focusing on non-motor symptoms. Health and Quality of Life Outcomes [Internet]. Health and Quality of Life Outcomes; 2015; 13(1):83. Available: <a href="http://hqlo.biomedcentral.com/articles/10.1186/s12955-015-0281-x">http://hqlo.biomedcentral.com/articles/10.1186/s12955-015-0281-x</a>.
- 80. Dams J, Klotsche J, Bornschein B, Reese JP, Balzer-Geldsetzer M, Winter Y, et al. Mapping the EQ-5D index by UPDRS and PDQ-8 in patients with Parkinson's disease. Health and quality of life outcomes [Internet]. 2013 Jan [cited 2015 Dec 3]; 11:35. Available: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract</a>. doi: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract</a>. doi: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract</a>. doi: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract</a>. doi: <a href="http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract">http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=3662160&tool=pmcentrez&rendertype=abstract</a>.
- **81.** NHS England. National Tariff Payment System 2015–16 [Internet]. 2015. Available: <a href="https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/379578/Annex\_5a.xlsx">https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/379578/Annex\_5a.xlsx</a>.
- **82.** British Medical Association & Royal Pharmaceutical Society. British National Formulary, 70. Pharmaceutical Press; 2015.
- 83. Curtis L. Unit costs of health and social care, 2014. 2014.
- 84. Medtronic Neuromodulation Price List. 2010.
- 85. Medtronic internal data on file: MRCS NDHF1481-142128.
- 86. Murphy A. Economic evaluations for health technologies with an evolving evidence base: a case study of transcatheter aortic valve implantation [Internet]. 2013. Available: <a href="http://theses.gla.ac.uk/4061/">http://theses.gla.ac.uk/4061/</a>.
- 87. Weir S, Samnaliev M, Kuo T-C, Choitir CN, Tierney T, Taylor R, et al. Analyses of healthcare resource utilization in Parkinson's disease in the United Kingdom using CPRD. Internal Report. 2016.
- 88. McCabe C, Claxton K, Culyer AJ. The NICE cost-effectiveness threshold: What it is and what that means. PharmacoEconomics. 2008; 26(9):733–44. PMID: 18767894
- 89. McIntosh E, Gray A, Daniels J, Gill S, Ives N, Jenkinson C, et al. Cost-utility analysis of deep brain stimulation surgery plus best medical therapy versus best medical therapy in patients with Parkinson's: Economic evaluation alongside the PD SURG trial. Movement disorders: official journal of the Movement Disorder Society [Internet]. 2016 Feb 5 [cited 2016 Feb 7]; Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/26846185">http://www.ncbi.nlm.nih.gov/pubmed/26846185</a>.
- 90. SocialStyrelsen. Nationella riktlinjer för vård vid multipel skleros (MS) och Parkinsons sjukdom–Stöd för styrning och ledning–Remissversion 2016, Tillstånds- och åtgärdslista [Internet]. 2016. Available: <a href="http://www.socialstyrelsen.se/publikationer2016/2016-2-1">http://www.socialstyrelsen.se/publikationer2016/2016-2-1</a>.
- **91.** Drapier S, Gillioz A-S, Leray E, Péron J, Rouaud T, Marchand A, et al. Apomorphine infusion in advanced Parkinson's patients with subthalamic stimulation contraindications. Parkinsonism &



- Related Disorders [Internet]. Elsevier Ltd; 2012; 18(1):40–4. Available: <a href="http://linkinghub.elsevier.com/retrieve/pii/S1353802011002586">http://linkinghub.elsevier.com/retrieve/pii/S1353802011002586</a>.
- Antonini A, Mancini F, Canesi M, Zangaglia R, Isaias IU, Manfredi L, et al. Duodenal levodopa infusion improves quality of life in advanced Parkinson's disease. Neurodegenerative Diseases. 2008; 5(3–4):244–6. doi: 10.1159/000113714 PMID: 18322402
- 93. Antonini a, Odin P, Opiano L, Tomantschger V, Pacchetti C, Pickut B, et al. Effect and safety of duodenal levodopa infusion in advanced Parkinson's disease: a retrospective multicenter outcome assessment in patient routine care. Journal of neural transmission (Vienna, Austria: 1996) [Internet]. 2013; 120(11):1553–8. Available: http://www.ncbi.nlm.nih.gov/pubmed/23595879.
- 94. Antonini A, Yegin A, Preda C, Bergmann L, Poewe W. Global long-term study on motor and non-motor symptoms and safety of levodopa-carbidopa intestinal gel in routine care of advanced Parkinson's disease patients; 12-month interim outcomes. Parkinsonism & related disorders [Internet]. 2015 Mar [cited 2015 Nov 1]; 21(3):231–5. Available: http://www.ncbi.nlm.nih.gov/pubmed/25585993.
- 95. Fernandez HH, Standaert DG, Hauser RA, Lang AE, Fung VSC, Klostermann F, et al. Levodopa-carbidopa intestinal gel in advanced Parkinson's disease: Final 12-month, open-label results. Movement Disorders [Internet]. 2015; 30(4):500–9. Available: doi: <a href="http://doi.wiley.com/10.1002/mds.26123">http://doi.wiley.com/10.1002/mds.26123</a> PMID: 25545465
- 96. Martinez-Martin P, Reddy P, Katzenschlager R, Antonini A, Todorova A, Odin P, et al. EuroInf: A multi-center comparative observational study of apomorphine and levodopa infusion in Parkinson's disease. Movement disorders: official journal of the Movement Disorder Society [Internet]. 2014; 00 (00):1–7. Available: http://www.ncbi.nlm.nih.gov/pubmed/25382161.
- 97. Pålhagen SE, Dizdar N, Hauge T, Holmberg B, Jansson R, Linder J, et al. Interim analysis of long-term intraduodenal levodopa infusion in advanced Parkinson disease. Acta neurologica Scandinavica [Internet]. 2012; 126(6):e29–33. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/22690905">http://www.ncbi.nlm.nih.gov/pubmed/22690905</a>.
- 98. Sensi M, Preda F, Trevisani L, Contini E, Gragnaniello D, Capone J, et al. Emerging issues on selection criteria of levodopa carbidopa infusion therapy: Considerations on outcome of 28 consecutive patients. Journal of Neural Transmission [Internet]. 2014; 121(6):633–42. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24398781\nhttp://www.embase.com/search/results?subaction=viewrecord&from=export&id=L52947243\nhttp://dx.doi.org/10.1007/s00702-013-1153-3\nhttp://sfx.bibl.ulaval.ca:9003/sfx\_local?sid=EMBASE&issn=14351463&id=doi:10.1007/.doi: 10.1007/s00702-013-1153-3 PMID: 24398781</p>
- 99. Olanow CW, Kieburtz K, Odin P, Espay AJ, Standaert DG, Fernandez HH, et al. Continuous intrajejunal infusion of levodopa-carbidopa intestinal gel for patients with advanced Parkinson's disease: a randomised, controlled, double-blind, double-dummy study. The Lancet Neurology [Internet]. 2014 Feb [cited 2016 Jan 11]; 13(2):141–9. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/24361112">http://www.ncbi.nlm.nih.gov/pubmed/24361112</a>. doi: 10.1016/S1474-4422(13)70293-X PMID: 24361112
- 100. Slevin JT, Fernandez HH, Zadikoff C, Hall C, Eaton S, Dubow J, et al. Long-term safety and maintenance of efficacy of levodopa-carbidopa intestinal gel: an open-label extension of the double-blind pivotal study in advanced Parkinson's disease patients. Journal of Parkinson's disease [Internet]. 2015 Jan [cited 2015 Nov 13]; 5(1):165–74. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/25588353">http://www.ncbi.nlm.nih.gov/pubmed/25588353</a>. doi: <a href="http://www.ncbi.nlm.nih.gov/pubmed/25588353">10.3233/JPD-140456</a> PMID: 25588353
- 101. Cáceres-Redondo MT, Carrillo F, Lama MJ, Huertas-Fernández I, Vargas-González L, Carballo M, et al. Long-term levodopa/carbidopa intestinal gel in advanced Parkinson's disease. Journal of Neurology [Internet]. 2014; 261(3):561–9. Available: <a href="http://link.springer.com/10.1007/s00415-013-7235-1">http://link.springer.com/10.1007/s00415-013-7235-1</a>. doi: <a href="http://link.springer.com/10.1007/s00415-013-7235-1">10.1007/s00415-013-7235-1</a>. PMID: <a href="http://link.springer.com/10.1007/s00415-013-7235-1">24477490</a>.
- 102. Honig H, Antonini A, Martinez-Martin P, Forgacs I, Faye GC, Fox T, et al. Intrajejunal levodopa infusion in Parkinson's disease: a pilot multicenter study of effects on nonmotor symptoms and quality of life. Movement disorders: official journal of the Movement Disorder Society. 2009; 24(10):1468–74.
- 103. Karlsborg M, Korbo L, Regeur L, Glad a. Duodopa pump treatment in patients with advanced Parkinson's disease. Dan Med Bull [Internet]. 2010; 57(6):A4155. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/20515603">http://www.ncbi.nlm.nih.gov/pubmed/20515603</a>. PMID: 20515603
- 104. Valldeoriola F, Puig-Junoy J, Puig-Peiró R. Cost analysis of the treatments for patients with advanced Parkinson's disease: SCOPE study. Journal of medical economics [Internet]. 2013; 16(2):191–201. Available: <a href="http://www.ncbi.nlm.nih.gov/pubmed/23035627">http://www.ncbi.nlm.nih.gov/pubmed/23035627</a>. doi: <a href="http://www.ncbi.nlm.nih.gov/pubmed/23035627">10.3111/13696998.2012.737392</a> PMID: 23035627
- 105. Walleser Autiero S, Eggington S, Valyi A. Cost comparison of deep brain stimulation (DBS) and continued subcutaneous apomorphine infusion (CSAI) in patients with advanced Parkinson's disease. In: International Society for Pharmacoeconomics and Outcomes Research. 2014.
- 106. Eggington S, Valldeoriola F, Walleser S. Cost comparison of deep brain stimulation and levodopa-carbidopa intestinal gel in patients with advanced Parkinson's disease. In: 33rd Health Economics Congress of the Spanish Health Economics Association. 2013.