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1 **Quantifying the importance of spatial resolution and other factors through global**
2 **sensitivity analysis of a flood inundation model**

3

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10

11 **Key Points**

12 Key Point 1: Sensitivity of flood inundation predictions to different input factors shown to be
13 more complex than previously thought

14 Key Point 2: The most influential model input factor for predicting flood extent changes
15 during the flood event

16 Key Point 3: Spatial resolution is much more influential for localised predictions of water
17 depth than for flood extent

18 **Abstract**

19 Where high resolution topographic data are available, modellers are faced with the decision
20 of whether it is better to spend computational resource on resolving topography at finer
21 resolutions or on running more simulations to account for various uncertain input factors (e.g.
22 model parameters). In this paper we apply Global Sensitivity Analysis to explore how
23 influential the choice of spatial resolution is when compared to uncertainties in the
24 Manning's friction coefficient parameters, the inflow hydrograph, and those stemming from
25 the coarsening of topographic data used to produce Digital Elevation Models (DEMs).. We
26 apply the hydraulic model LISFLOOD-FP to produce several temporally and spatially
27 variable model outputs that represent different aspects of flood inundation processes,
28 including flood extent, water depth and time of inundation. We find that the most influential
29 input factor for flood extent predictions changes during the flood event, starting with the
30 inflow hydrograph during the rising limb before switching to the channel friction parameter
31 during peak flood inundation, and finally to the floodplain friction parameter during the
32 drying phase of the flood event. Spatial resolution and uncertainty introduced by resampling
33 topographic data to coarser resolutions are much more important for water depth predictions,
34 which are also sensitive to different input factors spatially and temporally. Our findings
35 indicate that the sensitivity of LISFLOOD-FP predictions is more complex than previously
36 thought. Consequently, the input factors that modellers should prioritise will differ depending
37 on the model output assessed, and the location and time of when and where this output is
38 most relevant.

39

40 **Keywords**

41 Sensitivity Analysis, Sobol' method, Flood inundation modelling, Spatial resolution,
42 Uncertainty, Hydraulic model

43 **Index Terms**

44 1821 Floods (4303), 1847 Modeling (1952, 4316), 1873 Uncertainty assessment (1990,
45 3275), 1817 Extreme events (4313), 4307 Methods (0500, 3200, 4400) (Within Natural
46 Hazards)

47

48 **1. Introduction**

49 Flood inundation models have been utilised widely to make flood hazard predictions. These
50 models are typically run in either steady state, where the boundary conditions (for a river this
51 would typically be the river discharge) are fixed in time, or in unsteady state, where the
52 boundary conditions change through time. Steady state models have been applied for various
53 applications, including to undertake flood hazard mapping from reference return period
54 events (e.g. *Cook and Merwade, 2009*) and to compare different hydraulic models
55 (*Bradbrook et al., 2004*), whilst models run in an unsteady state enable modellers to
56 understand the dynamic variation of flood hazard throughout the passage of the flood wave
57 (e.g. *Bates and De Roo, 2000; Mignot et al., 2006; Skinner et al., 2015*). The application of
58 these models has allowed the mapping of regions at risk of inundation from coastal (e.g.
59 *Westerink et al., 1992; Poulter and Halpin, 2008; Lewis et al., 2013; Quinn et al., 2013;*
60 *Skinner et al., 2015; Ramirez et al., 2016*), fluvial (*Bates et al., 1992; Werner et al., 2005;*
61 *Mignot et al., 2006; Yu and Lane, 2006; McMillan and Brasington, 2007; Tayefi et al., 2007;*
62 *Wilson et al., 2007; Apel et al., 2009; Falter et al., 2013; Yin et al., 2013; Rudorff et al.,*
63 *2014; Jung and Merwade, 2015*) and pluvial (e.g. *Chen et al., 2005; Schubert et al., 2008;*
64 *Leandro et al., 2009; Sampson et al., 2012; Liu et al., 2015; Yu and Coulthard, 2015*) flood

65 events. However, flood inundation models are approximations of reality and are therefore
66 subject to a number of uncertainties. These uncertainties include aleatory uncertainties
67 relating to the randomness of a flood event occurring in the first place and epistemic
68 uncertainties which exist as a result of our inexact understanding of the environment being
69 modelled, such as uncertainties in the model structure (for example the underlying equations
70 and numerical methods), parameters and boundary conditions (*Merz and Thielen, 2005;*
71 *Renard et al., 2010; Warmink et al., 2010; Beven et al., 2011*). In hydraulic modelling, many
72 studies have looked at the effect of these uncertainties on predictions of flood hazards
73 (*Romanowicz and Beven, 1997; Apel et al., 2004; Hall et al., 2005; Pappenberger et al.,*
74 *2005; Pappenberger et al., 2006; Apel et al., 2008; Di Baldassarre and Montanari, 2009;*
75 *Domeneghetti et al., 2013*). These uncertainties are typically represented probabilistically by
76 computing multiple realisations of the model under different forcing conditions informed by
77 the uncertainties under consideration, for example using the Generalised Likelihood
78 Uncertainty Estimation (GLUE) methodology (*Beven and Binley, 1992*). The resultant suite
79 of simulations may contain multiple models that satisfy the performance criteria set when
80 assessing the skill of the models, a phenomenon often referred to as equifinality (*Beven and*
81 *Freer, 2001; Savenije, 2001; Beven, 2006; Ebel and Loague, 2006; Vrugt et al., 2009*).

82

83 An important decision faced by flood inundation modellers is the representation of
84 topography. Advances in remote sensing over the last two decades have increased the
85 availability of high resolution elevation data that can be utilised to represent topography by
86 modellers, particularly through the increase in abundance of data collected through Light
87 Detection and Ranging (LIDAR) imagery (i.e. *Bates, 2012*). These data are valuable for flood
88 inundation models as finer resolution topography will allow smaller floodplain features to be
89 explicitly represented within the Digital Elevation Model (DEM). The combination of high

90 resolution LiDAR data with computational advances and improved coding, for example,
91 running simulations on Graphical Processing Units (GPUs) (*Lamb et al.*, 2009; *Kalyanapu et*
92 *al.*, 2011) and parallelised model codes (*Neal et al.*, 2009; *Yu*, 2010) has enabled hydraulic
93 models to simulate flood events at resolutions fine enough to resolve urban areas where
94 buildings and roads have a major control on the inundation patterns observed (*Werner et al.*,
95 2005; *Yu and Lane*, 2006; *Fewtrell et al.*, 2008; *Neal et al.*, 2011; *Parkes et al.*, 2013;
96 *Sampson et al.*, 2014). However, running multiple models at such fine scale resolutions
97 remains computationally expensive, which limits our ability to fully analyse the inherent
98 uncertainties of the modelling process by running multiple model realisations. Consequently,
99 topographic data is commonly resampled to a coarser resolution than its original form,
100 however the choice of method applied to produce the coarser DEM can result in different
101 model predictions (*Fewtrell et al.*, 2008).

102

103 The development of more spatially complex models opens up a complexity-uncertainty trade
104 off, whereby for a given amount of computational resource the total number of Monte Carlo
105 simulations that can be run to quantify uncertainty in model predictions is limited by the
106 spatial complexity of the model. One example of this issue is described by *Beven et al.* (2015)
107 where the requirement for multiple simulations for forecast ensembles competes with the
108 increasing spatial complexity of models. Despite the increasing availability of high quality
109 data, the continued improvement in hydraulic models and computational advances, one of the
110 key barriers for a more widespread uptake of flood inundation models for decision making
111 during emergency situations is the time taken to perform simulations (*Leskens et al.*, 2014).
112 This time is highly dependent on the spatial resolution of the model, particularly for models
113 developed on Cartesian grids where the simulation run time increases by approximately an
114 order of magnitude for a doubling of resolution (*Bates et al.*, 2010). Furthermore, the choice

115 of spatial resolution is subjective like many other choices made in the modelling process
116 (*Pappenberger et al.*, 2007b), yet it could have key implications on the output of flood
117 inundation models. It is therefore important to understand the relative importance of spatial
118 resolution in comparison to other uncertainties, particularly if a model will be utilised to
119 inform time critical decisions.

120

121 A formal methodology that allows us to explore the complexity-uncertainty trade-off is
122 Sensitivity Analysis (SA). SA quantifies the contribution of various input factors, e.g. the
123 model's forcing data, parameters or boundary conditions, to the variability in the model
124 output (*Saltelli et al.*, 2008). SA techniques are typically classified into two main groups,
125 local and global strategies. Local methods vary uncertain input factors in the neighbourhood
126 of a nominal value, for instance the "optimal" parameter estimate. Global Sensitivity
127 Analysis (GSA) strategies instead vary the input factors across a wider pre-defined region
128 that reflect the modeller's estimate of the uncertainty in each factor (*Saltelli et al.*, 2008;
129 *Pianosi et al.*, 2016). Furthermore, global methods such as the Sobol' method (*Sobol*, 2001)
130 allow all factors to be varied simultaneously, so that interactions among input factors can be
131 evaluated. In recent years the use of GSA has become feasible for increasingly complex
132 environmental models (*van Werkhoven et al.*, 2008; *Nossent et al.*, 2011; *Yang*, 2011; *Zhang*
133 *et al.*, 2013; *Hartmann et al.*, 2015). In hydraulic modelling, GSA has been utilised to
134 understand the dominant processes affecting model performance (*Bates and Anderson*, 1996),
135 for defence breach (*de Moel et al.*, 2012) and dam break scenarios (*Hall et al.*, 2009), to
136 assess how influence of channel friction parameter varied downstream (*Hall et al.*, 2005) and
137 to understand how implied sensitivities vary when using different GSA methods
138 (*Pappenberger et al.*, 2008).

139 Although we know that the choice of spatial resolution can have a large influence on
140 hydraulic model output (*Bates et al.*, 1998; *Horritt and Bates*, 2001b; *Yu and Lane*, 2006;
141 *Savage et al.*, 2016), only the studies by *Bates et al.* (1998) and *Savage et al.* (2016) have
142 considered this effect alongside other inherent uncertainties and none have done so using a
143 formalised sensitivity analysis framework. In this paper we close this gap and demonstrate
144 the use of GSA to quantify the relative importance of the choice of spatial resolution and the
145 uncertainty this introduces when resampling a DEM in comparison to uncertainties in the
146 boundary conditions and model parameters for flood inundation predictions. We use the
147 hydraulic model LISFLOOD-FP (*Bates and De Roo*, 2000; *Bates et al.*, 2010) and Sobol's
148 variance-based GSA method (*Saltelli et al.*, 2008). Using variance-based GSA allows us to
149 incorporate both continuous variables such as model parameters and discrete choices like the
150 spatial resolution of the model, using a tailored sampling strategy similar to the one adopted
151 by *Baroni and Tarantola* (2014). By applying such a methodology we show how GSA can be
152 applied to complex, spatially-distributed models using input factors that extend beyond the
153 commonly incorporated model parameters and boundary conditions. This approach is
154 transferrable to other environmental models for example in cases where modellers are
155 interested in understanding the importance of decisions during model set-up in comparison to
156 other uncertainties. We analyse different spatially and temporally variable flood outputs
157 including flood extent, water depth and floodwave travel time. This allows us to explore
158 whether model sensitivities to different input factors change in time and space and how
159 implied sensitivities differ depending on the flood output assessed. By analysing the
160 importance of spatial resolution in relation to other uncertain input factors, our approach also
161 allows us to explore whether it would be more beneficial to spend computational resources
162 running fewer models at finer spatial resolutions or running an increased number of
163 simulations that explore the effect of other uncertain factors at coarser spatial resolutions.

164

165 **2. Methodology**

166 Figure 1 summarises the methodology applied in this study, which comprises four steps: the
167 definition of the variability space of the input factors (Step 0 in Figure 1); the definition of
168 which input factor combinations will be sampled (Step 1); the execution of the model (Step
169 2); and finally the quantification of the relative influence of the input factors on output
170 variability by means of sensitivity indices (Step 3). In the following paragraphs we will
171 provide more details on the key elements used at each of these steps, including the hydraulic
172 model and the study site area (Sec. 2.1 and 2.2.), the definition of the sensitivity indices (Sec.
173 2.3), the sampling approach (Sec. 2.4), the definition of the variability space of the input
174 factors (Sec. 2.5) and the choice of model outputs to be analysed (Sec. 2.6).

175 **2.1 Case Study**

176 The case study area used in this application is the Imera basin in Sicily which covers an area
177 of approximately 2000 km² (Aronica *et al.*, 1998). The river flows southwards from the centre
178 of Sicily to the coastal city of Licata where it meets the Mediterranean Sea. The floodplain is
179 mostly rural with land mainly used for agricultural purposes. There are artificial levees along
180 the major roads on the floodplain with flood defences located in the urban development of
181 Licata. There is also a venturi-flume structure in the Imera channel upstream of Licata that
182 partially restricts the flow during flood events and diverts some of the flow along a secondary
183 channel. This meant that the Southern region of the basin was widely inundated on 12th
184 October 1991 when 229 mm of rain fell at an intensity of up to 56 mm h⁻¹ over a period of 21
185 hours (Aronica *et al.*, 1998). Data available to model this flood event using a flood inundation
186 model include a 2 m Digital Elevation Model (DEM) covering an area of 50 km² and
187 collected from LiDAR (see Savage *et al.*, 2016, Figure 1) with a vertical accuracy of ± 0.3 m,

188 and a hydrograph of the flood event that has been reconstructed through rainfall-runoff
 189 modelling and has been used previously by *Aronica et al.* (2002). The hydrograph had to be
 190 reproduced as the river gauge was washed away during the flood. Observational data of the
 191 flood exists in the form of heights of water marks collected at 25 locations and an outline of
 192 the flood extent collected post-event using loss data and field surveys. Previous studies have
 193 already demonstrated the ability of hydraulic models to simulate the observed flooding
 194 reasonably well for this event in comparison to these observational data (*Aronica et al.*, 2002;
 195 *Savage et al.*, 2016) so our analysis will focus on model predictions and behaviours rather
 196 than on performance against observed data.

197 2.2 Hydraulic Model

198 The hydraulic model used in this study is LISFLOOD-FP (*Bates and De Roo*, 2000; *Bates et*
 199 *al.*, 2010). This is an explicit finite difference model that solves an inertial approximation of
 200 the shallow water equations where advection is neglected. The equation used to calculate
 201 flow between two cells is:

$$202 \quad Q^{t+\Delta t} = \frac{q^t - gh_{flow}^t \Delta t \frac{\Delta(h^t+z)}{\Delta x}}{(1+g\Delta tn^2|q^t|/(h_{flow}^t)^{7/3})} \Delta x$$

203 Equation 1

204 Where Q is flow (m^3s^{-1}), g is acceleration due to gravity (ms^{-2}), h is depth (m), n is the
 205 Manning's coefficient of roughness ($sm^{1/3}$), q is water flux (m^2s^{-1}), t is time, Δx is cell
 206 resolution (m), z is cell elevation (m) and h_{flow}^t is the depth that water can flow through the
 207 lateral boundary of two adjoining grid cells (m), calculated as the difference between the
 208 highest bed elevation and the highest water surface elevation between two cells.

209 It is possible that applying inertial terms particularly at fine resolutions can lead to
 210 instabilities in the model solution (*Bates et al.*, 2010). To overcome this, (*de Almeida et al.*,

211 2012) introduced an additional diffusion term (θ) that adds a minor and controlled amount of
212 diffusion, which has been shown to stabilise the model without significantly changing the
213 results (*de Almeida and Bates, 2013*). We introduce this term for the finest spatial resolution
214 in this study when Manning's friction coefficients are less than 0.03 as initial simulations
215 found these simulations to otherwise be unstable.

216 This model has been proven to perform well in comparison to other hydraulic models for
217 simulations of both rural and urban flood events and in comparison to analytical solutions
218 (*Horritt and Bates, 2001a; Hunter et al., 2008; Bates et al., 2010; Néelz and Pender, 2013*).
219 The version of the model applied will be the sub-grid channel implementation (*Neal et al.,*
220 *2012*). In this the channel is defined separately to the floodplain allowing the channel widths
221 to be defined independently to the spatial resolution of the model. However, flows in both the
222 channel and floodplain are coupled and solved using the same inertial Shallow Water
223 Equation (SWE) approximation, as described by (*Neal et al., 2012*). The channel width and
224 bed elevations are extracted from the 2 m LiDAR data every 10 m along the channel. A mean
225 of these values is taken at the coarsest resolution applied in this study (50 m), which then
226 defines the channel geometry for all model simulations. The channel shape is fixed as
227 rectangular for each model resolution. This approach allows the channel widths to remain
228 fixed and consistent across the different spatial resolutions and DEMs, thus making them grid
229 independent.

230 **2.3 Variance-based Sensitivity Indices**

231 The key idea of variance-based Sensitivity Analysis is to measure the relative influence of the
232 uncertainty in each input factor by its contribution to the variance of the model output. In
233 particular, for each input factor, two sensitivity indices are typically computed, the first-order
234 sensitivity index (or main effect) and the total-order sensitivity (or total effect) (*Saltelli,*

235 2002). The former measures the direct contribution to the output variance from individual
236 variations of a factor, while the latter measures the overall contribution both from individual
237 variations and through interactions with other factors. High sensitivity indices indicate a large
238 influence over the variability of the output whilst low sensitivity indices indicate a small
239 influence. If the difference between the total and main effects is large then this indicates
240 strong interactions with other input factors.

241 For each input factor, say the i -th, the two indices are defined as

$$242 S_i = V_{x_i} [E_{x_{-i}}(y|x_i)] / V(y)$$

243 Equation 2.1

$$244 ST_i = 1 - V_{x_{-i}} [E_{x_i}(y|x_{-i})] / V(y)$$

245 Equation 2.2

246 where y is the (scalar) model output, x_i is the i -th input factor, \mathbf{x}_{-i} is the vector of all input
247 factors but the i -th (i.e. $\mathbf{x}_{-i} = [x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_M]$), E denotes the expected value and V the
248 variance. In our case study, the model output y is a temporal or spatial aggregation of the
249 simulation results produced by LISFLOOD-FP. The multiple definitions of y considered in
250 this study will be described in Sec. 2.6. The five input factors that are assessed in this study
251 are: (1) the spatial resolution of the model; (2) the Digital Elevation Model (DEM) that is
252 obtained by resampling high resolution LiDAR data to coarser resolutions; (3) and (4) the
253 model parameters (Manning's channel and floodplain friction coefficients); and (5) the
254 boundary condition (the forcing hydrograph). Their space of variability and the strategy
255 adopted to handle non-numerical input factors like the spatial resolution and the multiple
256 realisations of the DEM generated by resampling fine resolution topographic data to coarser
257 resolutions, are described in the next section

258 Given that the complexity of the relationship between input factors and the model response
259 does not allow the sensitivity indices of Equations 2.1 and 2.2 to be computed analytically,
260 we approximate their values using the estimators described in *Saltelli et al.* (2010). The
261 uncertainty associated to these sensitivity estimates is assessed by bootstrapping (*Efron and*
262 *Tibshirani*, 1993). In particular, we associate each estimated index with its mean, 5th and 95th
263 percentile across a prescribed number of bootstrap resamples. Since confidence intervals
264 defined by these percentiles might be too large to draw meaningful conclusions, we also use a
265 different approach focusing on the input ranking provided by sensitivity estimates: for each
266 bootstrap resample we derive the ranking of the input factors and then compute the
267 proportion of bootstrap resamples where each input factor is ranked 1st, 2nd, 3rd, 4th and 5th
268 most influential. The consistency and values of these two approaches are discussed in the
269 Results section. The sensitivity analyses were performed using the SAFE Toolbox (*Pianosi et*
270 *al.*, 2015).

271 **2.4 Sampling Strategy for Handling Numerical and Non-numerical Input Factors**

272 In order to compute the sensitivity indices of Equations 2.1 and 2.2, all the input factors
273 under study must be regarded as stochastic variables and are therefore associated with a
274 probability distribution, from which input samples are drawn. This is not straightforward for
275 input factors that are not immediately represented by numerical quantities, such as the spatial
276 resolution of the model. . To handle such a situation where some input factors are represented
277 by scalar numerical quantities while others are not, we use a sampling strategy similar to the
278 one described in *Baroni and Tarantola* (2014) (earlier applications of such sampling
279 approach are *Tarantola et al.* (2002) and *Lilburne and Tarantola* (2009)), and further
280 illustrated in Figure 1.

281 First, each input factor is associated with a list of its possible realizations. It is important that
282 the ranges or choices sampled are as indicative of the uncertainty or range of likely choices as
283 possible. If one factor has a disproportionately large sampling range in comparison to other
284 factors, then the computed sensitivity indices could be unfairly skewed towards this factor
285 being identified as highly influential. For discrete variables like the spatial resolution, the list
286 includes the finite number of possible choices for that input (for instance, a resolution of 10,
287 20, 30, 40 and 50 m in our application). For continuous variables like the model parameters,
288 the list includes a very large sample of possible values so as to approximate the underlying
289 continuous distribution (for instance, 100 values in the range [0.025-0.05] for the floodplain
290 friction). Then, the index of each element in the list is defined as the desired scalar quantity
291 x_i , and associated with a discrete uniform probability distribution. Following these
292 definitions, sampling is performed with respect to the scalar indices x_1, \dots, x_M , while the
293 model is evaluated against the original input factors defined by the sampled indices. Output
294 samples so obtained are then used to approximate the main and total effects.

295 In our application, we use a list of 5 choices for the spatial resolution, 25 for the DEMs
296 produced by resampling LiDAR data multiple times (and explained fully in Section 2.5.2),
297 100 for the forcing hydrograph, and 100 values for each of the two friction parameters, which
298 corresponds to a total of 125,000,000 possible combinations of the forcing inputs. This is not
299 an exhaustive list of possible input factors; other factors that could be assessed include the
300 underlying equations and numerical methods of the hydraulic model and the uncertainty of
301 the LiDAR data. However, our focus for this paper is on the influence of the spatial
302 resolution and its comparative importance in relation to the manning's friction coefficient
303 parameter, the inflow hydrograph and the resampling of elevation data to coarser DEMs,
304 which are the input factors most commonly varied by studies undertaking uncertainty
305 analysis or hydraulic model calibration (for example: Aronica *et al.*, 2002; Werner *et al.*,

306 2005; *Di Baldassarre and Montanari, 2009; Jung et al., 2012; Domeneghetti et al., 2013*).
307 From this input variability space, we randomly draw a base sample of $N=15,000$ input
308 combinations. Then the estimation of the main and total effects according to the
309 approximation strategy described in *Saltelli et al. (2010)* requires the construction of an
310 additional $N/2 \times M = 37,500$ combinations of input factors, where M is the number of input
311 factors, by recombining the elements in the base sample. In total, the model is thus evaluated
312 against 52,500 input combinations.

313 **2.5 Definition of variability space of the input factors**

314 The following paragraphs provide details on the definition of the possible choices or range of
315 variation of the five input factors.

316 **2.5.1 Spatial Resolution**

317 Here we consider five choices for the spatial resolutions: 10, 20, 30, 40 and 50 m. These
318 values are chosen to encompass simulation run times ranging from seconds to minutes whilst
319 also ensuring that the ability of the model to simulate the flood extent would remain
320 consistent across all simulations. Previous studies that applied LISFLOOD-FP to this study
321 site and other rural locations found the model to perform reasonably well at resolutions up to
322 50 m when comparing to flood observations (*Horritt and Bates, 2001b; Aronica et al., 2002;*
323 *Savage et al., 2016*). Although models can be run at coarser spatial resolutions, these are
324 typically for much larger regional scale domains encompassing many catchments (e.g. *Neal*
325 *et al., 2012*) and the model performance does tend to tail off even for rural floodplains.
326 Conversely, models can also be run at finer resolutions, however given that the floodplain is
327 predominantly rural, we felt that it was not necessary to resolve length scales finer than 10 m.

328 **2.5.2 Digital Elevation Model**

329 When running the hydraulic model at a coarser resolution, it is necessary to resample the fine
330 scale LiDAR data to produce a coarser resolution DEM. Doing so inevitably leads to a loss of
331 information regarding the sub-grid scale topographic variability. In order to understand how
332 important this loss is for model predictions we produce 25 different DEMs for each spatial
333 resolution and include the DEM choice among the input factors of our GSA.

334 These DEMs are produced by systematically sampling different elevation values within each
335 of the coarser grid cells. This is achieved by splitting each of the coarser grid cells into 25
336 smaller cells (in a 5 x 5 matrix) and then extracting the ground elevations measured from
337 LiDAR at the centre of each of these cells in turn. This gives 25 possible elevation values for
338 each cell which are systematically chosen in order, producing 25 DEMs. We have chosen this
339 approach as opposed to using a random sampling approach to ensure that the distance
340 between each retained elevation value remains consistent with the spatial resolution of the
341 coarser DEM.

342 One limitation of this approach is that small scale features may not be represented in all of
343 the resampled DEMs. Alternative methodologies that identify features within the coarser
344 resolution DEMs, or other resampling approaches such as those investigated by (Fewtrell et
345 al., 2008), could be adopted by other modellers to allow small-scale features to be
346 represented in coarser DEMs. These approaches typically aim to produce the best
347 representation of topography, however when resampling a DEM to a coarser resolution there
348 are a number of possible nodal elevation values that could be retained in the new DEM and it
349 is the variation in the underlying topography that we are exploring in this paper.

350 **2.5.3 Manning's Friction Coefficients**

351 The parameter most commonly calibrated in hydraulic modelling studies and therefore the
352 parameter that is varied within our GSA is the Manning's roughness coefficient. We take the

353 approach chosen by many hydraulic modelling studies (i.e. *Horritt and Bates, 2001a; Aronica*
354 *et al., 2002; Werner et al., 2005; Jung et al., 2012*) where the Manning's coefficient is
355 spatially disaggregated into two values only, one for the floodplain and the other for the
356 channel. This approach is broadly justified by *Werner et al. (2005)* who found that there is
357 little benefit in applying spatially distributed roughness parameters. When the uncertainty of
358 these parameters is considered in modelling studies, these parameters are typically sampled
359 from a wide parameter space with sampled values often outside the physically realistic range
360 for their environment (e.g. *Pappenberger et al., 2007a*). This reflects that parameters are
361 often treated as effective parameters which subsume many of the other errors in the
362 modelling process. However if the parameter sample space is unrealistically large then the
363 sensitivity indices of these parameters may increase inappropriately (e.g. *Kelleher et al.,*
364 *2013*). Therefore in this study we assess the plausible space from which to sample these
365 parameters by comparing images of the Imera channel and surrounding floodplain with
366 Manning's friction definitions in the literature (*Chow, 1959; Arcement and Schneider, 1989*).
367 The plausible ranges for the roughness parameters are subsequently chosen as 0.025 – 0.04
368 for the channel and 0.025 – 0.05 for the floodplain. A total of 100 roughness coefficients
369 were sampled for each parameter within those ranges.

370 **2.5.4 Boundary Conditions**

371 The lack of gauged data for this flood event means that we are unable to make a more
372 informed assessment of the specific discharge uncertainty characteristics, for example by
373 performing a rating curve analysis (*Di Baldassarre and Montanari, 2009; McMillan et al.,*
374 *2012; Coxon et al., 2015*). Instead, as the base hydrograph was recreated through rainfall
375 runoff modelling (*Aronica et al., 1998*), we represent the boundary condition uncertainty by
376 applying an additive residual model to represent the fact that errors from rainfall runoff
377 models typically show signs of autocorrelation and heteroscedasticity (*Schoups and Vrugt,*

378 2010; *Pianosi and Raso*, 2012). This error model is easily transferrable to other time series
379 data where it is known that the data may be subject to error. The method requires two
380 parameters to be defined, the α -parameter and the β -parameter. These parameters control the
381 proportion of error that propagates into the next timestep and the amount of error
382 respectively. This reflects that errors are unlikely to change erratically during a singular
383 event.

384 The perturbed discharge $Q_{updated}$ at a given timestep t is calculated as the base discharge Q_{base}
385 multiplied by the residual error term ρ :

$$386 \quad Q_t^{updated} = Q_t^{base} + \rho_t$$

387 Equation 2.3

388

389 Where the residual error term ρ is a function of the α -parameter, the error term at the
390 previous time step and the discharge error term ε :

$$391 \quad \rho_t = \alpha\rho_{t-1} + \varepsilon_t$$

392 Equation 2.4

393

394 The discharge error term ε can take both positive and negative values and is randomly
395 sampled from a normal distribution between the values zero and the fractional error term σ :

$$396 \quad \varepsilon_t \sim N([0, \sigma_t])$$

397 Equation 2.5

398 Where σ is a function of the β -parameter and Q_{base} :

399

$$\sigma_t = \beta Q_t^{base}$$

400

Equation 2.6

401 This set of equations is computed for each timestep to calculate a perturbed hydrograph that
402 is then used as a potential boundary condition.

403 When applying this error model, the parameters are set to control the amount and propagation
404 of error introduced to the timeseries data. Like the Manning's friction parameters, the values
405 applied to these parameters are critical in determining the variability within the perturbed
406 time series data generated and subsequently the calculation of sensitivity indices. The
407 assumption of normal errors combined with the properties of variance and application of a
408 Gaussian distribution allows Equations 2.4 and 2.6 to be reformulated to determine the value
409 at which three standard deviations of the residuals will fall between, shown in Equation 2.7:

410

$$\rho_{3SD} = \pm \frac{3\beta}{\sqrt{1 - \alpha^2}}$$

411

Equation 2.7

412 Discharge uncertainty for gauged flows has previously been estimated to be up to 40 % (*Di*
413 *Baldassarre and Montanari, 2009; McMillan et al., 2012*) and we may expect this to be at the
414 upper limits in this case where the discharge has been reproduced using a rainfall-runoff
415 model rather than measured (*Aronica et al., 1998*) and where the flooding experienced was an
416 extreme event. We have therefore set our parameter values to allow approximately three
417 standard deviations of the error residuals to be within 40 % of the base discharge level. This
418 amount of uncertainty is consistent with higher estimates of boundary condition uncertainty
419 and reflects the use of a reconstructed hydrograph and the extremity of the event. To allow
420 for these error characteristics we assign the α -parameter a value of 0.3 and the β -parameter a
421 value of 0.127. The error model is then run 100 times to produce 100 different perturbed

422 boundary condition realisations, which are shown within Figure 1. It is important to note that
423 there are many ways that hydrograph uncertainty can be assessed and different parameter
424 combinations could be applied. However the spread of uncertainty in the perturbed
425 hydrographs appears sensible (Figure 1) and we therefore believe our method to be adequate
426 to meet the objectives of this study.

427 **2.6 Definition of Model Outputs**

428 Previous studies using LISFLOOD-FP at this location have shown that the model is able to
429 perform reasonably well when compared to observed data (*Aronica et al., 2002; Savage et*
430 *al., 2016*). This allows us to assess a number of other model predictions at a temporal and
431 spatial resolution that is far greater than any currently available datasets, enabling us to
432 develop an understanding on how model sensitivities vary through time and space.

433 We assess both spatially lumped and spatially distributed model outputs. The spatially
434 lumped variables that we assess our model simulations against are the Average Maximum
435 Water Depth (AMWD) across the domain, calculated by taking the average of the maximum
436 water depth across all cells that experienced flooding, and the maximum flood extent, defined
437 as the percentage of cells flooded (where maximum water depth is greater than 0.10 m) in the
438 domain. Since both of these variables vary along the simulation horizon, we introduce a
439 temporal disaggregation. Model output is therefore assessed at 11 time slices to represent
440 different stages of the flood event. We also define spatially disaggregated outputs by taking a
441 grid of locations with an interval of 500 m. At each of these locations we consider as model
442 outputs the time of initial and maximum inundation and the maximum water depth over the
443 simulation horizon. Additionally we assess the spatial sensitivity of water depth to the
444 different input factors at each of the 11 time slices. This combination of outputs allows us to
445 capture whether model sensitivities vary spatially and temporally during a flood event.

446 3. Results

447 3.1 Spatially Lumped Outputs

448 Figure 2 reports the first-order sensitivity indices (Equation 2.1) for the maximum flood
449 extent and Average Maximum Water Depth (AMWD). We can see from the top panels of
450 Figure 2 that the values of the sensitivity indices are highly variable when computed over
451 different bootstrap resamples. This indicates that the sample size is too small for the
452 sensitivity indices to be estimated precisely. However, *Sarrazin et al.* (2016) have shown that
453 precision and convergence of GSA results is reached at different sample sizes depending on
454 the GSA aspect being assessed, e.g. the value of the sensitivity indices or the ranking of the
455 input factors based on those values. This is relevant for this study as we are interested
456 primarily in determining the most influential input factors rather than the exact values of the
457 sensitivity indices. The bottom panels of Figure 2 show the rankings of input factors based on
458 the sensitivity indices obtained at different bootstrap resamples. Each input factor takes a
459 specific position in such rankings with a clearly highest frequency: for example in the bottom
460 left panel, hydrograph (Hyd) is most often ranked first, flood friction (Flo) second, channel
461 friction (Cha) third, spatial resolution (Res) fourth, and DEM fifth. Notice that this ranking is
462 also consistent with the ranking of the mean value of sensitivity indices shown in the top left
463 panel. We therefore consider the ranking of factors sufficiently precise and from now on will
464 use look at rankings rather than the values of the sensitivity indices themselves.

465 From Figure 2 we can identify that the boundary conditions are the most influential factor for
466 both outputs. The channel and floodplain friction parameters were the second most influential
467 factors for maximum flood extent and AMWD respectively.

468 The fact that the boundary conditions are influential for maximum flood extent and AMWD
469 is intuitive as the volume of water that enters the basin directly influences the volume of

470 water available to inundate the floodplain especially for a large flood event where out of bank
471 flow is inevitable. It is also intuitive for the channel friction to be influential for flood extent
472 as a higher Manning's friction coefficient will increase the frictional force of water in the
473 channel, reducing its velocity and consequently increasing the channel water level so that
474 more water would flow out of bank. Likewise the influential effect of the floodplain friction
475 parameter for AMWD would be similar, by having a larger frictional force the velocity of
476 flood waters on the floodplain is reduced, which allows water to build up; increasing water
477 depths. The effect of the spatial resolution and DEM resampling is shown to be relatively
478 unimportant for these outputs, meaning that any variations on a local scale are cancelled out
479 when averaged out over the whole domain indicating that there are no large scale variations
480 in conveyance between the different resolutions and DEMs.

481 When assessing how flood extent varies over the simulation horizon we see that the most
482 influential input factor changes in time (Figure 3). The middle panel in this Figure reports the
483 proportion of bootstrap resamples where an input factor was ranked most influential at a time
484 slice. It shows that the factor that has the most influence on flood extent at the start of the
485 flood is the boundary condition, however as the flood extent increases, the channel friction
486 parameter becomes the most influential factor. This remains the case until the flood wave is
487 almost fully receded at which point the floodplain friction parameter becomes the most
488 influential factor. Although we might expect locally high floodplain velocities close to the
489 channel as the floodplain drains, the fact that floodplain friction becomes influential at the
490 end of the event for the spatially aggregated flood extent is unexpected given the small
491 velocities experienced on the majority of floodplain and the resulting small frictional force.
492 However at this stage in the simulation the incoming discharge is small meaning there is little
493 absolute variation in the perturbed hydrographs. Consequently the effect of the channel
494 friction parameter is also reduced as the river velocities will be decreased while frictional

495 force is proportional to Manning's friction parameter and the square of velocity. This
496 illustrates that during the drying phase of a flood event, which could be important for
497 assessments regarding how long a location is inundated for, it is important to account for
498 uncertainty in the floodplain friction parameter. However uncertainty in the input factors
499 produces less variation in flood extent at the end of the simulation than during the flood peak.

500 Interestingly, although the boundary conditions are most influential for maximum flood
501 extent (Figure 2), the channel friction is ranked the most influential for the majority of time
502 slices (middle panel in Figure 3). This is because once bankfull discharge is reached, the
503 channel friction parameter has the most influence on how quickly water is routed onto the
504 floodplain and therefore affects the rate of floodplain inundation, whereas the boundary
505 conditions are more influential on the maximum limit that floods will spread to within the
506 domain and how quickly bankfull discharge is reached as these are controlled by the volume
507 of water available to flood. The fact that the influence of Manning's roughness coefficients
508 changes during a flood event indicates that it may be important for future studies to allow
509 these parameters to be either time or depth varying parameters.

510

511 The bottom panel of Figure 3 reports the difference between the total-order sensitivity index
512 (Equation 2.2) and the first-order sensitivity index (Equation 2.1), averaged over all bootstrap
513 resamples. Such differences give an indication of the degree of interaction of each input
514 factor with the others. Results in the bottom panel show that interactions among input factors
515 are minimal during the wetting phase of the flood event but increase as the flood wave starts
516 to recede. Interestingly, the spatial resolution of the model and, particularly towards the end
517 of the simulation, the choice of DEM show high levels of interactions. Variations in the
518 topography caused by the different spatial resolutions and DEMs could lead to different

519 floodplain flow pathways that would be blocked or opened up depending on the sampling of
520 these factors. This suggests that during the wetting phase where there is minimal interaction,
521 the water levels are sufficiently large to overcome any potential blockages or flow pathways
522 because of the extensive overland flow. However at the end of the flood event, the channel
523 water levels drop and water is supra-elevated on the floodplain above the hydraulic gradient.
524 This water then finds its way back to the channel along smaller pathways than during the
525 wetting phase. Consequently, variations in these smaller pathways caused by differences in
526 the spatial resolution and sampling of the DEM exert most influence on the draining of the
527 water on the floodplain back to the channel. This reflects a change in the dynamics of the
528 flood event as the rising limb is usually much shorter than the falling limb and this affects the
529 ability to identify the operation of smaller pathways during the wetting phase. This hysteresis
530 behaviour has previously been identified in the field (*Nicholas and Mitchell, 2003*) and in
531 both rural (*Bates et al., 2006*) and urban (*Neal et al., 2011*) flood inundation modelling
532 studies.

533 **3.2 Spatially Distributed Outputs**

534 The top panel of Figure 4 reports sensitivity of maximum water depth at different locations in
535 the model domain. It shows that there is large spatial variability in the classification of the
536 most influential input factor. Although spatial resolution and choice of DEM are not highly
537 influential when water depths are averaged over the whole domain (Figure 2), we find these
538 factors to be more influential in many areas when assessing individual locations. The spatial
539 resolution of the model is most commonly ranked as the most influential factor across the
540 basin. One reason for this could be a result of differences in the representation of floodplain
541 features and embankments at different spatial resolutions. Furthermore, an extreme difference
542 in the elevation between neighbouring cells could alter flow pathways that would
543 significantly affect local inundation patterns. Over the whole domain, however, this effect is

544 averaged out across the cells, which is why we do not see similar influence of spatial
545 resolution for the spatially lumped outputs. This shows that if a decision maker is concerned
546 with water depths at a specific location then the spatial resolution and DEM becomes very
547 important. However despite this, there are still locations in the flood domain where the
548 influence of parametric and boundary condition uncertainty overcomes the local surface
549 elevation variability introduced by the choice of spatial resolution and the resampling of the
550 DEM.

551 Figure 5 shows how these sensitivities vary over time at each of the 11 time slices during the
552 flood event. From this Figure it can be seen that there is significant spatial and temporal
553 variability in identifying the most influential input factor across the basin. The general pattern
554 we see is that the hydrograph appears to be most influential factor at a location first, followed
555 by channel friction and then spatial resolution. Finally the choice of DEM becomes highly
556 influential during the drying phase. The floodplain friction parameter appears to be the least
557 influential and does not become influential during the drying phase unlike for flood extent.
558 This can be explained as the water depth of a cell does not explicitly consider those cells that
559 are classified as dry, while the flood extent does. Furthermore, a location may only remain
560 inundated due to certain elevations for certain DEMs, whereas the effect of individual cells
561 would be averaged out at the domain level that the flood extent is calculated for. This
562 highlights an advantage of assessing both temporally and spatially lumped and distributed
563 outputs as it allows different model dependencies and sensitivities to be identified.

564 **3.3 Time of Inundation**

565 The bottom panel of Figure 4 indicates that there are also spatial variations of the sensitivity
566 of the initial and maximum inundation timings. As with water depth, there is significant
567 spatial variability in determining the most influential input factor. The factor most influential

568 for the time of initial inundation is not necessarily the same as the factor most influential for
569 the time of maximum inundation. The most influential factor for the eastern part of the flood
570 basin remains the same for both and there is a large section of the NE basin that is highly
571 sensitive to the channel friction parameter for both indicators. There is a region in the centre
572 of the basin (2422879, 4109638) that is most sensitive to the channel parameter for the time
573 of initial inundation, but becomes sensitive to the boundary conditions for the time of
574 maximum inundation. One reason for this could be the fact that in some of the hydrograph
575 perturbations the maximum discharge is reached one hour earlier than for others (Figure 1).
576 Any location that is influenced by spatial resolution or the DEM for one output is likely to be
577 influenced by the same factor for the other output. This indicates that the pattern of surface
578 elevation is having a significant effect on the routing of flood waters to these locations.

579

580 **4. Discussion**

581 Incorporating spatial resolution into a Global Sensitivity Analysis of a flood inundation
582 model has allowed us to gain new insights into how the sensitivities of different flood
583 inundation model outputs vary in both time and space. By identifying the outputs for which
584 different input factors become influential we can highlight, depending on the output of
585 interest, how these factors may benefit from further knowledge/observations, research and
586 development. This would help us to improve future model predictions through enhancements
587 in the quality of data (if improving the boundary conditions, model parameters and DEM).

588 As discussed in Section 3.1, it became apparent early in the analysis that the sample size was
589 too small for the convergence of the sensitivity indices to be reached. However we found
590 that, despite the uncertainties in the sensitivity index values, the ranking of input factors was
591 robust and consistent with the ranking obtained by considering the mean of the sensitivity

592 indices over the bootstrap resamples. This is shown particularly in Figures 3, 4 and 5 where
593 on many occasions the proportion of bootstraps where a specific factor is ranked most
594 influential was close to 100%. The fact that the ranking of factors is robust even if the values
595 of the indices themselves were still very uncertain is not surprising and is consistent with
596 previous findings (e.g. *Sarrazin et al.*, 2016).

597 We have ascertained that the factors identified as most influential vary depending on the
598 chosen model output. This agrees with a previous study by *Pappenberger et al.* (2008) who
599 found that different factors were influential for different performance metrics. It is therefore
600 not possible to identify singular factors that are consistently influential across all outputs.
601 Given the complex nonlinearities of simulating a flood using an inundation model and the
602 relatively intuitive importance of the different input factors considered in this study this is
603 perhaps not surprising. This result also suggests that the sampling strategy has not biased the
604 computed sensitivity indices by over or under exaggerating our input factor sampling ranges.
605 That is, none of the input factors have been classified as influential (or not) due to
606 unreasonably large (or small) bounds in the sampling range. In other cases where the number
607 of parameters is much larger it may be that a subset of influential factors is identified more
608 easily (e.g. *Dobler and Pappenberger*, 2013).

609 We have shown that using lumped outputs alone may hide temporal and spatial variability in
610 factor influence. Particularly interesting findings include the differences in the classification
611 of influential factors between spatially lumped and distributed predictions of water depth and
612 the changing sensitivity of the model when assessing changes in flood extent during a flood
613 simulation.

614 Although we have shown that the model sensitivities vary across space, time and chosen
615 output, our findings indicate that some of the input factors may require more or less

616 consideration depending on the decisions that the flood inundation model is being used to
617 support. If a decision maker requires predictions of maximum flood extent, for example when
618 producing return interval flood hazard maps (i.e. *Neal et al.*, 2013), our particular case study
619 analysis suggests that it would be most important to consider the boundary conditions and the
620 Manning's channel friction parameter. This partly agrees with *Hall et al.* (2005) who found
621 that the Manning's channel friction parameter was the most influential factor when assessing
622 flood extent against observational data. Despite this we would expect that the most influential
623 factor for different model outputs could vary depending on the specific characteristics of the
624 study site chosen, the quality of the input data, the model structure and the sampling approach
625 adopted to consider uncertainties in the model parameters and boundary conditions.

626 We also assessed the sensitivity of flood extent through time, which has not been evaluated
627 previously. The variation in the most influential factor through time, from the boundary
628 conditions to the channel friction and finally to floodplain friction, indicates that if a decision
629 maker is interested in the dynamics of inundation through the passage of the flood wave then
630 they should carefully consider each of these uncertainties. It is important to note that the
631 variation in modelled flood extent at the end of the flood event is smaller than the variation
632 during the peak of the flood (Figure 3). However, the influence of floodplain friction on the
633 recession of floodwaters could still be of interest for emergency planners who may be
634 concerned with quantifying the uncertainty when determining how quickly flood waters will
635 recede, for example for traffic management if roads or railways become inundated.
636 Furthermore, the recession and duration of a flood event is also of interest for insurance
637 purposes, such as for estimating business interruption losses, though the importance of
638 floodplain friction would depend on the uncertainty of the boundary conditions for a given
639 forecast or design flood event.

640 It is clear for an event of this magnitude that when determining flood extent, spatial
641 resolution and DEM are not influential on their own. However the fact that they show signs
642 of interaction with other factors as flood waters recede suggests that the different topographic
643 realisations do exert an influence on the flow paths flood waters take when draining from the
644 floodplain. As this was a large flood event where the rising limb was much more rapid than
645 the falling limb, it is perhaps not surprising that spatial resolution and the DEM were not
646 influential during the wetting phase of the event as the floodwaters would be deep enough to
647 traverse the small scale fluctuations in topography caused by changing spatial resolution and
648 DEM. However, for a smaller flood this may not be the case if the floodwaters are much
649 shallower. It would therefore be interesting to assess whether the sensitivity of flood extent
650 changes for different magnitude flood events and for events with different hydrological
651 characteristics.

652 The choice of spatial resolution and DEM does become important for local scale predictions
653 of water depth, but not at the expense of parameter and boundary condition uncertainty. The
654 spatial and temporal variability of the models' sensitivity to each these factors (except for
655 floodplain friction) reflects the complexity of predicting water depths and suggests that a
656 finer model resolution may be necessary if a decision maker is interested in local scale
657 inundation predictions. The variability of water depth sensitivity is consistent with the
658 findings by *Pappenberger et al.* (2008) who also found boundary conditions and channel
659 friction to be more influential than floodplain friction when comparing predictions of water
660 depth against observational data. Modellers producing spatially distributed predictions of
661 water depth should therefore carefully consider the resolution of their model and the
662 uncertainty associated with degrading topographic data to coarser resolutions in their study,
663 as for example assessed by *Fewtrell et al.* (2008). These spatial and temporal variabilities in
664 output sensitivity to different input factors suggest that more complex observations of flood

665 events that vary in both time and space would be extremely valuable in benchmarking model
666 performance and constraining behavioural model simulations.

667 Our analysis has therefore allowed us to identify that for some model applications, resources
668 would be better spent on improving our understanding of the uncertain data, whilst for others
669 it would also be important to improve the spatial resolution. The methodology we have
670 applied in this study is transferable to other models where the modeller wishes to determine
671 the relative influence of discrete choices and continuous variables within a Sensitivity
672 Analysis. By including spatial resolution as a discrete variable, a modeller can use Sensitivity
673 Analysis to assess whether running hyperresolution models (*Wood et al.*, 2011; *Beven et al.*,
674 2015) is really beneficial for their specific study example It would also be possible to apply a
675 similar approach to assess the comparative influence of other discrete choices, such as the
676 choice of hydraulic model or the adoption of time-varying Manning's friction coefficients.
677 However it is important for future studies to carefully consider and document the definition
678 of the variability space of input factors so as not to artificially influence the computed
679 sensitivity indices. In fact, as also shown in other studies this definition can have a significant
680 impact on the computed sensitivities, and therefore should be carefully considered when
681 applying GSA. Any GSA study therefore only investigates a user specified region of the
682 input factor space, which has to be defined by the modeller based on previous model
683 applications or a priori information available. The GSA results are then conditional on the
684 applied overall experimental design considering the assumptions and choices made.

685 Clearly there are limitations to extrapolating these findings to other flood events. These
686 findings are valid for one model at one location and for a flood of one magnitude. The
687 computed sensitivities and rankings of input factors may be different for different magnitude
688 events and at different locations. For example for a smaller flood event where the channel
689 bank-full level is only just reached, uncertainties in the boundary conditions and channel

690 friction parameters may be more important factors to include as they will determine whether
691 or not rivers reach bank-full discharge. Alternatively, an urban environment where critical
692 flow pathways get blocked at coarser resolutions may be more sensitive to the spatial
693 resolution of the model. We also acknowledge that the ranking of input factors can also vary
694 depending on the specific GSA method applied (*Pappenberger et al.*, 2008). However
695 LISFLOOD-FP has been previously shown to perform similarly to a suite of other hydraulic
696 models (*Hunter et al.*, 2008; *Néelz and Pender*, 2013) and similarities between our approach
697 and those by *Hall et al.* (2005) and *Pappenberger et al.* (2008) are encouraging in terms of
698 the applicability of the GSA approach undertaken in this study.

699

700 **5. Conclusions**

701 This study has applied a GSA methodology, which allowed us to assess whether variability in
702 spatial resolution, DEM, model parameters or model boundary conditions produce the most
703 variance in the output of the hydraulic model LISFLOOD-FP. For our case study we have
704 found that the sensitivity to the various input factors changes in time and space and differs
705 depending on the type of model output that is being assessed. For predictions of flood extent,
706 the dominant input factor shifts during the flood event from the hydrograph to the channel
707 friction and then to the floodplain friction. However, for localised water depths the spatial
708 resolution and DEM become much more influential although there is a great deal of spatial
709 and temporal variability as to which of the five factors is classified as most influential. We
710 also found that the factors affecting the timing of flood waters at locations across the domain
711 can be different to the factors that most influence water depths. It is therefore more important
712 to account for the spatial resolution of a model for decisions based on water depths and time
713 of inundation than for decisions based on the extent of a flood.

714 The fact that the sensitivities are so variable in time and space demonstrates the value that
715 performing SA can add in gaining an understanding of these complex patterns and
716 dependencies. It also demonstrates that a simple SA, in which spatial and temporal variability
717 are ignored, can be very misleading. These complex behaviours are indicative of the non-
718 linearity that is inherent in such flood events and demonstrate that it is not possible to identify
719 a singular factor that is most influential for all types of flood inundation prediction.

720 Subsequent work should test whether output sensitivities differ for events of different
721 magnitude and for events at different locations. Additionally, it would be useful to explore
722 what impact the channel geometry has on the temporal and spatial variation in flood
723 inundations and whether the observed variability in the sensitivity to water depths is also
724 found when assessing predictions of velocity. By improving our understanding of the factors
725 that have the most influence on flood inundation predictions it will be possible to identify
726 areas for future modelling improvements; whether that is a need for improved topographic
727 representation, boundary condition data, parameter classification or model structures.

728 Finally, the approach adopted in this paper to include discrete, non-numerical choices within
729 a GSA and to explore how sensitivity changes in time and space could be adopted by any
730 modeller that wishes to learn more about the impacts of their choices and modelling
731 assumptions on various aspects of the model's response.

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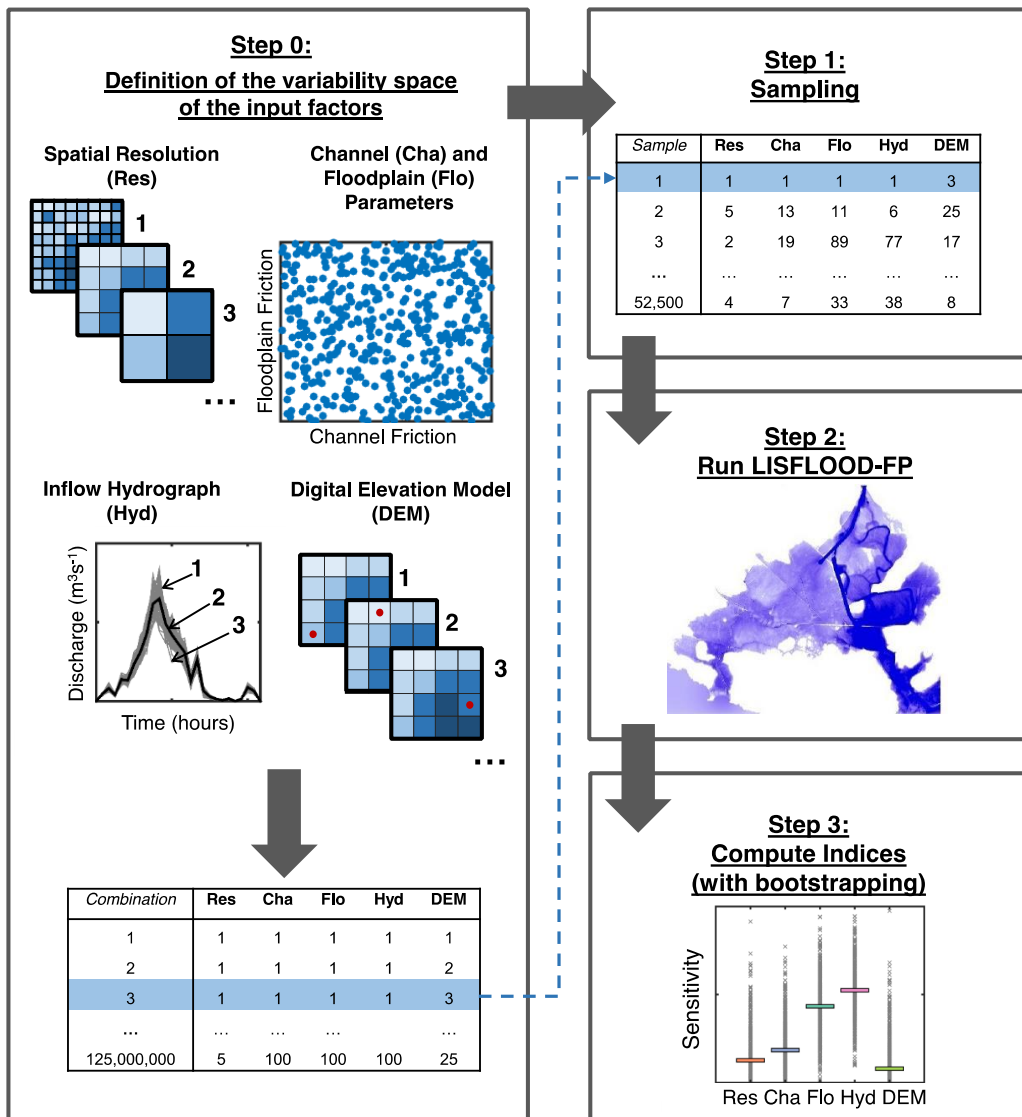
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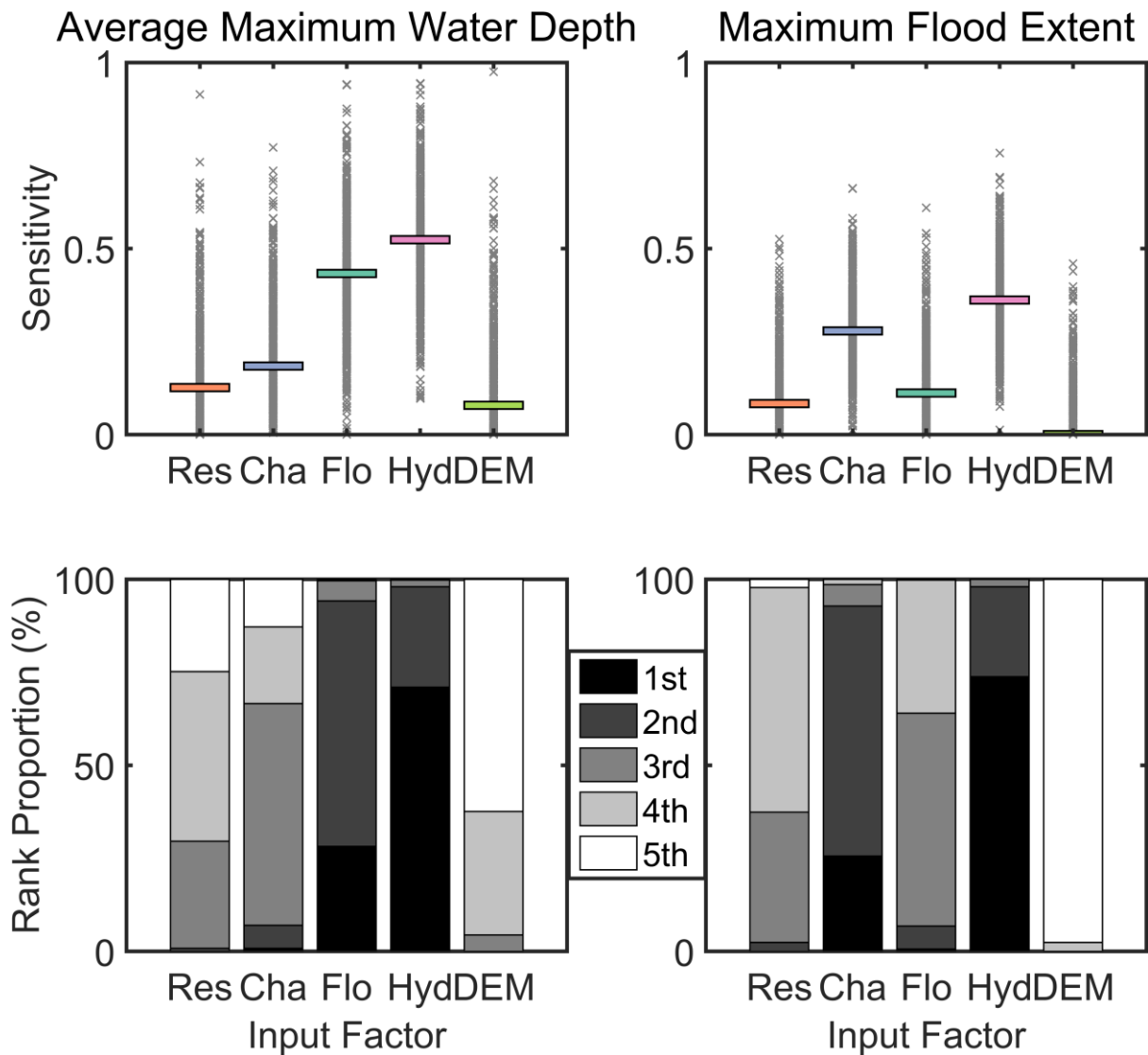
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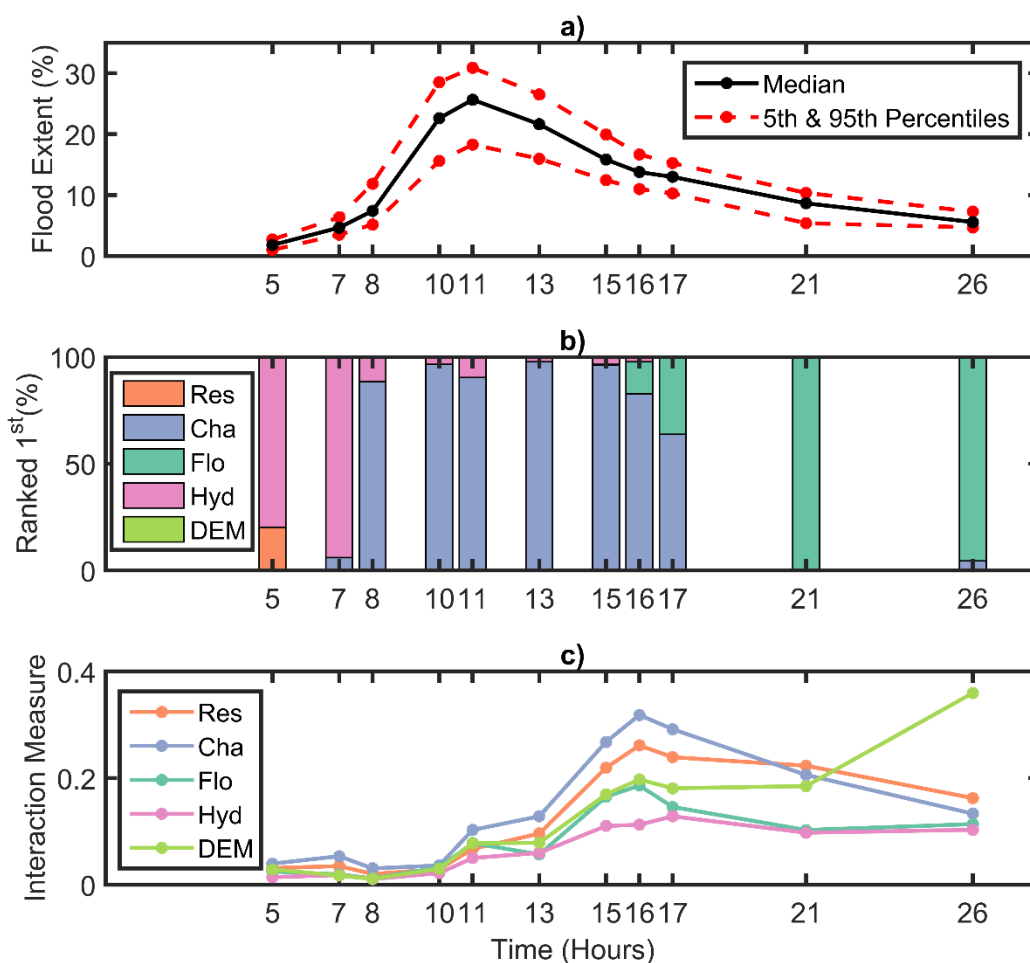
1035 **Figure 1:** Flow diagram outlining the methodology utilised to perform Global Sensitivity
 1036 Analysis (GSA) and to incorporate the choice of non-numerical input factors (spatial
 1037 resolution and Digital Elevation Model (DEM)) in the analysis. Step 0 consists of creating a
 1038 large catalogue of possible combinations of the input factors, which is then sampled from in
 1039 Step 1. The flood inundation model is then run for each of these samples in Step 2 and
 1040 sensitivity indices are calculated from these simulations in Step 3.

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1044 **Figure 2:** GSA results for two selected model outputs. The top panels show the first-order
 1045 sensitivity index (or main effect) of the average maximum water depth (left) and flood extent
 1046 (right) to each input factor (abbreviations are defined in Figure 1). Crosses are sensitivity
 1047 index values obtained on each bootstrap resample, coloured bars are the mean values over
 1048 such resamples. The bottom panels show the proportion of bootstrap resamples for which
 1049 each input factor is ranked either 1st, 2nd, 3rd, 4th or 5th most influential.

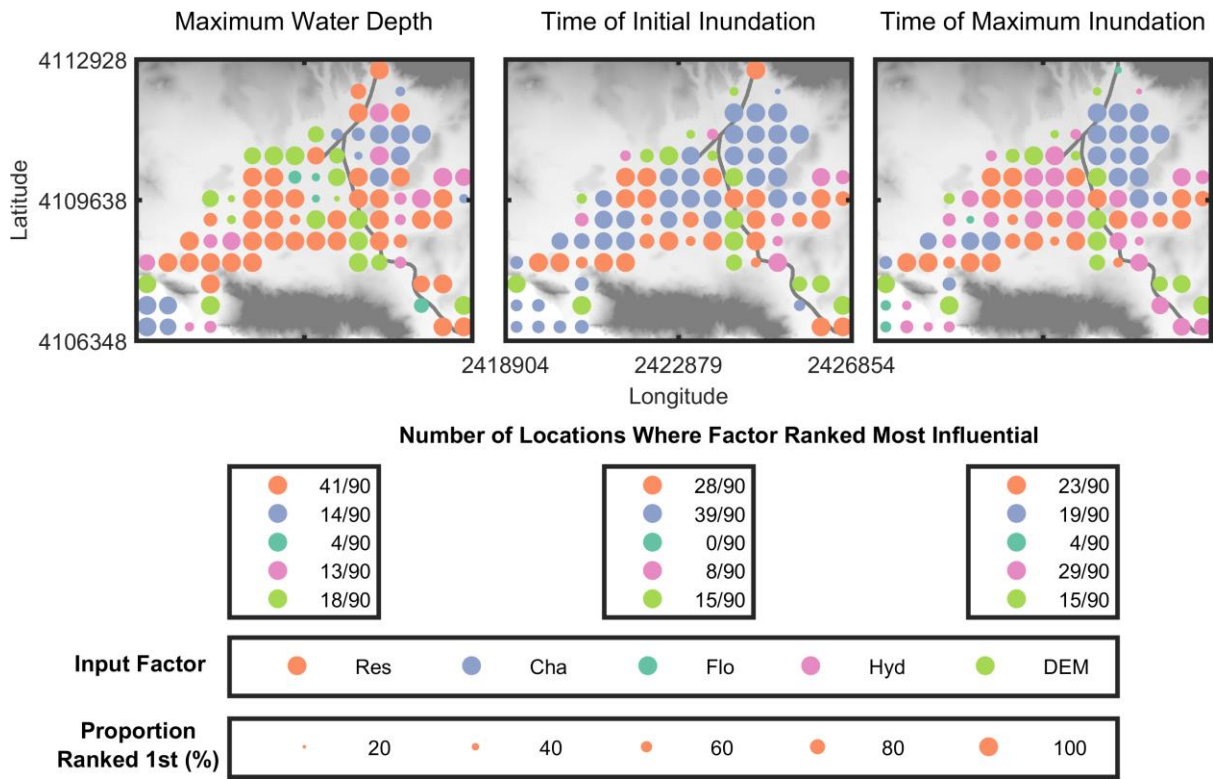


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1051 **Figure 3:** GSA results for flood extent during the flood simulation. a) Variation in flood
 1052 extent through time as simulated by the 52,500 model realisations. The black line is the
 1053 median flood extent and the dashed red lines the 5th and 95th percentiles. Flood extent is
 1054 calculated as the percentage of cells classified as wet (i.e. having water depth higher than
 1055 0.10 m). b) Proportion of bootstrap resamples where an input factor was ranked most
 1056 influential at each time slice. c) Interactions between input factors at each time slice.
 1057 Interaction is calculated as the mean difference between the total and main effects over all
 1058 bootstrap resamples. Any occurrence where such difference was negative was treated as an
 1059 unreliable resample and not included in the calculation. The input factor abbreviations are
 1060 defined in Figure 1.

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1064 **Figure 4:** Nodal maps showing the spatial distribution of the most influential input factor for

1065 the maximum water depth, time of initial inundation and time of maximum inundation model

1066 outputs. The colour of the dots represents the most influential factor and the size of the dots

1067 represents the proportion of bootstrap resamples where that factor was ranked most

1068 influential. Each point is separated from one another by 500 m. The background on the plots

1069 is the 2m LiDAR DEM which has dimensions of 7.95 x 6.58 km. The input factor

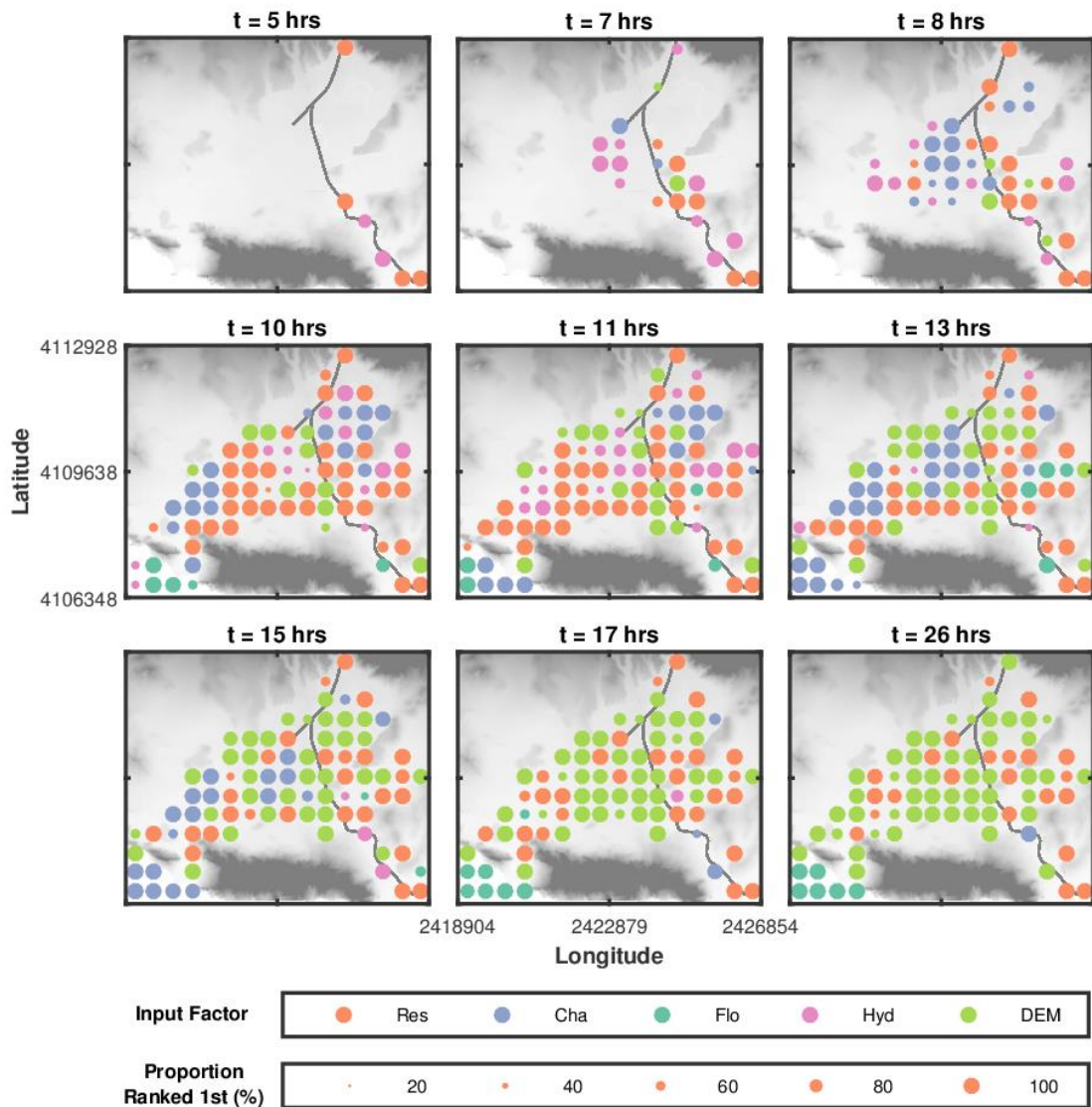
1070 abbreviations are defined in Figure 1.

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1076 **Figure 5:** Nodal maps showing the spatial distribution of the most influential input factor for
1077 water depth at 9 time slices during the flood event. Meaning of colour and size of the dots,
1078 and background image as in Figure 4. Input factor abbreviations are defined in Figure 1.

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1080