



Dutton, L. C., Jenkinson, H. F., Lamont, R. J., & Nobbs, A. H. (2016). Role of Candida albicans secreted aspartyl protease Sap9 in interkingdom biofilm formation. Pathogens and Disease, 74(3), [ftw005]. DOI: 10.1093/femspd/ftw005

Peer reviewed version

Link to published version (if available): 10.1093/femspd/ftw005

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Role of *Candida albicans* secreted aspartyl protease Sap9 in interkingdom biofilm formation

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Short title: C. albicans Sap9 and biofilm communities

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This study shows that the fungus *Candida albicans* cell wall-associated Sap9 proteinase is required for control of hyphal filament formation and separation, and for regulation of mixed-species biofilm formation by *C. albicans* with oral *Streptococcus* bacteria.

ABSTRACT

The fungus Candida albicans colonizes oral cavity surfaces and is carried by up to 60% of human populations. Biofilm development by C. albicans may be modulated by oral streptococci, such as Streptococcus gordonii, Streptococcus oralis or Streptococcus mutans, so as to augment pathogenicity. In this study we sought to determine if the cell wallassociated secreted aspartyl proteinase Sap9 was necessary for hyphal adhesin functions associated with biofilm community development. A sap9Δ mutant of C. albicans SC5314 formed biofilms that were flatter, and contained fewer blastospores and more hyphal filaments than the parent strain. This phenotypic difference was accentuated under flow (shear) conditions and in the presence of *S. gordonii*. Dual-species biofilms of *C. albicans* sap9Δ with S. oralis, S. sanguinis, Streptococcus parasanguinis, S. mutans and Enterococcus faecalis all contained more matted hyphae and more bacteria bound to substratum compared to C. albicans wild type. sap9Δ mutant hyphae showed significantly increased cell surface hydrophobicity, ~25% increased levels of binding C. albicans cell wall protein Als3, and reduced interaction with Eap1, implicating Sap9 in fungal cell-cell recognition. These observations suggest that Sap9 is associated with protein-receptor interactions between fungal cells, and with interkingdom communication in the formation of polymicrobial biofilm communities.

Keywords: Microbial interactions; mixed species communities; *Streptococcus gordonii*; *Streptococcus mutans*; oral cavity biofilms

INTRODUCTION

Candida albicans is an opportuntistic fungal parthogen that is found in the gut, oral cavity and genital tract microbiota in healthy individuals. Lowering of host immunity, immune dysfunction, corticosteroid use, or administration of broad spectrum antibiotics can be sufficient for *C. albicans* transition to pathogen. This may lead to superficial infections such as oropharyngeal candidiasis (thrush) and vulvovaginal candidiasis, or to life-threatening systemic infections. *C. albicans* biofilm infections are common in subjects with prosthetic devices e.g. urinary or intravascular catheters, artificial joints or voice boxes (Ramage *et al.* 2006).

C. albicans biofilm formation on a range of surfaces *in vivo* (Paulitsch *et al.* 2009; Busscher *et al.* 2010; Harriott *et al.* 2010) occurs in several phases, beginning with the deposition of yeast-form cells onto a substratum and subsequent formation of hyphae (Nobile *et al.* 2012). Hyphal filament production appears to be essential for biofilm formation (Banerjee *et al.* 2013), with the transcriptional regulator protein Bcr1 (Dwivedi *et al.* 2011; Fanning *et al.* 2012) controlling expression of major cell wall-associated adhesins (Nobile *et al.* 2006) involved in biofilm accretion (Nobile *et al.* 2008). The *C. albicans* outer cell wall layer is comprised principally of mannoproteins that are embedded in a strong, flexible polysaccharide skeleton provided by β -(1,3)- and β -(1,6)-linked glucan chains, and covalently-linked chitin (Gow *et al.* 2011). The protein and carbohydrate components of the outer wall layers have both been implicated in adhesion to host surfaces (Herrero *et al.* 2002) and in biofilm formation (Peltroche-Llacsahuanga *et al.* 2006).

Glycosylphosphatidylinositol (GPI)-modified proteins, including adhesins such as Hwp1, Rbt1, Eap1 and the Als family of proteins (Hoyer *et al.* 2008), have established roles in biofilm formation (Nobile *et al.* 2006; Nobbs *et al.* 2010; Garcia *et al.* 2011) and in interactions with host tissues and other biological cells (Finkel *et al.* 2012). Glycosylation of these and other cell wall proteins (CWPs) is thought to be important for their functions

(Richard *et al.* 2002). *N*-linked glycans, attached to asparagine residues, carry a conserved core structure and highly-branched outer mannose chains (Cutler 2001), while *O*-linked glycans are linear oligosaccharides of one to five α-1,2-linked mannose residues attached to serine or threonine residues (Buurman *et al.* 1998). *N*- and *O*-linked glycosylations are mediated by a set of multifunctional mannosyltransferases (Mora-Montes *et al.* 2010). Mnt1 and Mnt2 proteins are partially redundant α-1,2-mannosyltransferases that catalyze the addition of the second and third mannose residues in an *O*-linked mannose pentamer (Munro *et al.* 2005). *C. albicans* mutants deficient in production of Mnt1 and Mnt2 proteins are modified in cell wall structure (Munro *et al.* 2005; Castillo *et al.* 2011), reduced in adherence levels to human buccal epithelial cells (Buurman *et al.* 1998), attenuated in virulence (Castillo *et al.* 2011), deficient in binding oral *Streptococcus gordonii* (Dutton *et al.* 2014), and abrogated in biofilm formation under a range of conditions (Dutton *et al.* 2014).

C. albicans expresses a family of 10 secreted aspartyl proteinases encoded by the SAP genes (Aoki et al. 2011). Saps 1-8 are extracellular enzymes while Sap9 and Sap10 are GPI-modified and associated with the fungal cell wall (Monod et al. 1998; Schild et al. 2011). C. albicans biofilms secrete more Saps than do planktonic cells, and SAP5 and SAP9 genes are upregulated in biofilms (Joo et al. 2013) and in subjects with oral and vaginal candidosis (Naglik et al. 2008). SAP9 and SAP10 are also upregulated in biofilms (Nailis et al., 2010) and encode proteins that maintain cell surface integrity by processing cell wall proteins such as Ywp1, Hwp1 and Rbt1, which are involved in biofilm formation (Schild et al. 2011). Sap9 contributes to the process of adhesion to denture resin (Albrecht et al. 2006; Copping et al. 2005) and can inactivate human antimicrobial peptide cathelicidin LL-37 (Rapala-Kozik et al. 2015). Sap9 may also regulate the activities of other Saps (Kitanovic et al. 2005), and YPS1 in S. cerevisiae (homologue of SAP9) is upregulated in response to cell wall stress (Krysan et al. 2005) and to caspofungin (Copping et al. 2005). SAP4-6 are predominantly expressed on hyphae (Naglik et al. 2003) and bind integrins (Kumar et al. 2015), while fungal cell surface Saps e.g. Sap6 (Kumar et al. 2015) may themselves serve

as ligands that are able to bind other cell surfaces independently of their proteolytic activities (Naglik *et al.* 2003).

Many infections, especially those at mucosal membranes, involve two or more microbial species (Harriott and Noverr 2011). *C. albicans* has been found in close association with oral streptococci in denture- and mucosal-related diseases (Campos *et al.* 2008). The oral streptococci are primary colonizers of oral cavity surfaces and provide foundation biofilms to which oral microorganisms such as *C. albicans* attach, thus facilitating polymicrobial community development and persistence (Wright *et al.* 2013). *Streptococcus gordonii* and *S. oralis* form dual species biofilms with *C. albicans* on salivary glycoprotein-coated surfaces (Bamford *et al.* 2009) or on epithelium (Diaz *et al.* 2012). Several species of oral streptococci promote growth, hyphae formation (Xu *et al.* 2014a; Dutton *et al.* 2015) and virulence of *C. albicans* (Xu *et al.* 2014b; Bertolini *et al.* 2015), while the fungus may augment streptococcal pathogenicity (Falsetta *et al.* 2014; Xu *et al.* 2014b).

In a recent study we showed that *O*-mannosylation of cell wall proteins was necessary for biofilm formation under a range of conditions, and for the development of biofilms with *S. gordonii* (Dutton *et al.* 2014). One feature of the cell wall proteome from hyphae-forming cells of the mannosylation-deficient $mnt1\Delta mnt2\Delta$ mutant was that the aspartyl proteinase Sap9 was absent. We therefore investigated the possibility that aberrant biofilm formation by the *C. albicans mnt1\Delta mnt2\Delta* mutant was the result of, at least in part, absence of Sap9. Utilizing a $\Delta sap9/sap9$ mutant of *C. albicans*, we show in this article that Sap9 proteinase is neither essential for biofilm formation nor for interactions with streptococci, but is involved in architectural design of monospecies and dual-species biofilms.

MATERIALS AND METHODS

Microbial strains and growth conditions

C. albicans strains SC5314, CAI4[pClp10] (Murad *et al.* 2000; Brand *et al.* 2004) or M1018 Δ*sap9*(sap9 (designated here sap9Δ) (Albrecht *et al.* 2006) were cultivated on Sabouraud dextrose agar (Lab M) aerobically at 37°C. Bacterial strains *S. gordonii* Challis DL1, *S. mutans* Ingbritt, *S. oralis* 34, *S. parasanguinis* SK236, *S. sanguinis* SK36 or *Enterococcus faecalis* JH2-2 were cultivated anaerobically at 37°C on BHYN agar (L⁻¹: 37 g brain heart infusion broth, 5 g yeast extract, 5 g neopeptone, 15 g agar). *Saccharomyces cerevisiae* strains expressing *C. albicans* CWPs (Nobbs *et al.* 2010) were cultivated aerobically at 30°C on CSM medium [L⁻¹: 6.7 g Difco yeast nitrogen base, 0.77 g CSM Drop-out minus Ura (Formedium, Hunstanton, UK), 20 g glucose, 30 g agar]. Suspension cultures of *C. albicans* were grown in YPD medium (1% yeast extract, 2% peptone, 2% glucose) in conical flasks at 37°C with shaking (200-rpm). Bacterial strains were grown in BHY medium (L⁻¹: 37 g brain heart infusion, 5 g yeast extract) in sealed glass bottles without shaking at 37°C. YPT medium (1 x Difco Yeast Nitrogen Base, 20 mM NaH₂PO₄-H₃PO₄ buffer pH 7.0, 0.1% Bactotryptone) supplemented with 0.4% glucose (YPT-Glc) was utilized to support growth of all microorganisms in planktonic cultures or biofilms.

Preparation of saliva

Collection of saliva from at least six healthy adult human subjects, who provided written informed consent, was approved by the National Research Ethics Committee South Central Oxford C. (# 08/H0606/87+5). Exclusion criteria were: pregnancy, lactation, gross caries, unstable periodontal disease, continuous medication, or antimicrobial medication within 7 days previously. Samples were pooled, mixed with 0.25 M dithiothreitol on ice for 10 min and clarified by centrifugation (8000 x g for 10 min). The supernatant was diluted to 10% with sterile water, filter sterilized (0.22 µm pore membrane), and aliquots were stored at -20°C.

Preparation of microbial cells

C. albicans cells were grown for 16 h in YPD medium, harvested by centrifugation (5000 x g for 5 min), washed twice in YPT (no glucose) and suspended at optical density 600 nm

(OD₆₀₀) 1.0 (approximately 1 x 10⁷ cells/ml). *Streptococcus* or *E. faecalis* cells were grown for 16 h in 10 ml YPT-Glc, harvested by centrifugation (5000 x g for 7 min) and washed twice with YPT. Bacteria were labelled with fluorescein isothiocyanate (FITC) as described previously (Dutton *et al.* 2014) and suspended in YPT medium at OD₆₀₀ 0.5 (2 x 10⁸ cells ml⁻¹).

C. albicans interactions with bacteria in planktonic phase

Portions (0.2 ml) of *C. albicans* cell suspension in YPT were added to glass tubes containing warm YPT-Glc medium (1.8 ml). Cultures were incubated at 37°C for 3 h with shaking at 220-rpm to induce hypha-formation. FITC-labelled bacterial cell suspension (1 ml) was then added and incubation continued at 37°C for 1 h. This period of incubation time was the most suitable for these experiments, allowing hyphae formation by ~60% of the *C. albicans* cells and without extensive clumping of the hyphae, which occurred at later times. Samples (50 µl) were applied to microscope slides and visualized by light or fluorescence microscopy (Leica DMLB). Olympus Cell D software was utilized to determine hyphal cell lengths from at least 50 individual hyphal filaments over a minimum of 10 randomly-selected fields of view.

Cell surface hydrophobicity (CSH)

C. albicans cells were induced to form hyphae at 37° C in YPT-Glc medium as described above. Cells were harvested by centrifugation (5000 x g, 5 min) immediately after suspension (time zero) or after 3 h incubation, washed with distilled water, and suspended at OD_{600} 0.40 in YPT containing 2 M urea (Hazen *et al.* 1986). Portions of the suspensions (1 ml) in acid-washed glass tubes were overlaid with a mixture of toluene and hexadecane (0.2 ml of each), or with no hydrocarbon (control), and vortex-mixed for 2 min (6 x 20 s pulses). Once the phases had separated the OD_{600} of the lower aqueous layer was determined. Relative CSH was calculated as % change in OD_{600} = [(OD_{600} control – OD_{600} sample)/ OD_{600} control)] x100.

Planktonic phase interactions of S. cerevisiae with C. albicans

S. cerevisiae BY4742 strains expressing *C. albicans* CWPs were grown in CSM for 16 h at 30°C with shaking at 220-rpm. Cells were collected by centrifugation (5000 x g, 5 min), washed and fluorescently labeled with FITC solution as described previously (Dutton *et al.* 2014). One millilitre suspension of each strain in YPT-Glc (OD₆₀₀ 1.0, equivalent to 1 x 10⁷ cells ml⁻¹) was transferred to a glass tube containing *C. albicans* hyphae-induced cells (see above) and incubated at 30°C for 2 h with shaking at 220-rpm. The *C. albicans* cells were stained with Fluorescent brightener 28 (Calcofluor white; 0.5 μg ml⁻¹) and portions of the suspensions were then visualized by transmitted light or fluorescence microscopy. Numbers of *S. cerevisiae* cells attached to hyphal filaments were counted over at least 10 fields of view (totalling 500-700 filaments) and expressed as a ratio of the number of *S. cerevisiae* cells bound/number of hyphal filaments.

Monospecies or dual-species biofilms of C. albicans and S. gordonii

Sterile 19 mm glass cover slips were incubated with 10% filter-sterilized saliva at 4°C for 16 h, and transferred into 12-well tissue culture plates containing 1.9 ml YPT-Glc and 0.1 ml *C. albicans* cell suspension (1 x 10⁶ cells), prepared as described above. To visualize *S. gordonii* interactions with *C. albicans* hyphae in early biofilms, cover slips were incubated with *C. albicans* for 2 h at 37°C with gentle motion (50-rpm), and removed into wells containing fresh YPT-Glc medium (0.5 ml). Suspensions of *S. gordonii* (0.5 ml, 1 x 10⁸ cells) were added and the cultures were incubated for a further 1 h. For comparative monospecies biofilms of *C. albicans*, culture medium alone (0.5 ml) was added. Cover slips were then washed, stained with crystal violet (Dutton *et al.* 2014) and biofilms were visualized by light microscopy.

Preparation of biofilms for confocal scanning laser microscopy (CSLM)

Plastic culture dishes (Mat Tek, 35 mm diam.) with 14 mm No 1.0 cover slip base glass windows were incubated with 2 ml 10% saliva at 4°C for 16 h. The saliva was aspirated and

1.8 ml growth medium (YPT-Glc) was added to each dish followed by 0.2 ml *C. albicans* cell suspension (prepared as described above). For early phase biofilms, dishes were incubated in a humid environment at 37°C for 2 h with gentle motion (50-rpm). The culture suspensions were then aspirated and replaced with 1.8 ml warm YPT-Glc medium. FITC-labelled cell suspension (0.2 ml) of *Streptococcus* or *Enterococcus* was added, and the dishes were incubated at 37°C for a further 1 h. The bacterial cell suspensions were then aspirated, and 2 ml YPT medium containing Fluorescent brightener 28 (0.2 μg ml⁻¹) was added to fluorescently stain *C. albicans*. The biofilms were visualized with a Leica SP5-AOBS confocal microscope attached to a Leica DM I6000 inverted epifluorescence microscope.

For 6 h biofilms, dishes containing *C. albicans* suspension, as described above, were incubated in a humid environment at 37°C for 1 h with gentle motion at 50-rpm. The culture suspensions were aspirated, replaced with YPT-Glc medium and the dishes were incubated for a further 2 h. For dual-species biofilms, the *C. albicans* suspension was gently aspirated, replaced with 1.8 ml YPT-Glc medium, and 0.2 ml suspension of *S. gordonii* DL1 expressing green fluorescent protein (strain UB2549 GFPmut3b*) (Dutton *et al.*, 2014) was added. The dishes were incubated for a further 30 min, the *S. gordonii* culture suspension was aspirated, and 2 ml appropriate growth medium was added for further incubation at 37°C for 4 h. For monospecies biofilms exactly the same protocol was applied, with growth medium substituted for bacterial cell suspension. To process the samples for CSLM, the microbial cell suspensions were aspirated, the dishes were washed gently with sterile deionized water, and *C. albicans* cells were stained with Fluorescent brightener 28 (0.2 μg ml⁻¹) just prior to CSLM, as above.

Flow-cell biofilms

Flow cell units, consisting of two parallel chambers sealed with a glass cover slip, were prepared as described previously (Dutton *et al.* 2014). The flow cells were injected with 0.5 ml 10% human saliva, and incubated at 4°C for 16 h to coat the inside surfaces of the chambers with salivary glycoproteins. The effluent line to a peristaltic pump was then

connected and YPT-Glc medium was drawn through the flow cell for 15 min at a flow rate of 6 ml h⁻¹. *C. albicans* cell suspension in growth medium (0.2 ml) was injected into the flow cell chamber and incubated statically at 37°C for 1 h. The growth medium (containing 0.5 μg ml⁻¹ Fluorescent brightener 28) was then drawn through the flow cell chamber at a rate of 6 ml h⁻¹ for up to 16 h. For dual species biofilms with *S. gordonii* the medium flow was stopped after 2 h and *S. gordonii* UB2549 (expressing GFPmut3b*) cell suspension (0.2 ml) was injected into the chamber and incubated without medium flow at 37°C for 30 min. Flow of growth medium was then recommenced and continued for 4 h at a rate of 6 ml h⁻¹. Biofilms were visualized by CSLM as noted above.

Image analysis

Volocity® software was utilized to prepare 3D images and to calculate biofilm heights (in µm). Imaris® software version 7.5 for 3D interactive data visualization and management (Bitplane AG, Zurich, Switzerland) was used for calculations of biovolume (µm³).

Statistical analysis

All data are presented as the mean ± standard deviation (SD) of at least two independent experiments performed in triplicate. For normally distributed data, comparisons were tested with Student's *t*-test. The two-tailed Mann-Whitney U-test was used for comparisons between groups. *P* values <0.05 were considered statistically significant.

RESULTS

Sap9 aspartyl protease in *C. albicans-S. gordonii* interactions.

Deficient *O*-mannosylation in a *C. albicans mnt1*Δ*mnt2*Δ mutant resulted in aberrant biofilm formation and reduced ability to bind *S. gordonii* cells (Dutton *et al.* 2014). Proteome data

showed that CWP Sap9 was consistently reduced in abundance from CWP extracts of the *mnt1*Δ*mnt2*Δ mutant, so the possibility was considered that *SAP9* expression might be necessary for *C. albicans* biofilm formation and interkingdom cell-cell contact. To test this, we examined a *sap9*Δ mutant (Albrecht *et al.* 2006) for ability to form biofilms and to support adhesion of *S. gordonii*. Incidence of hyphal filament formation by the *sap9*Δ mutant under planktonic conditions was similar to *C. albicans* wild type, with ~60% cells forming hyphae 3 h post-induction in YPT-Glc medium. The *sap9*Δ mutant hyphae tended to pack together, forming larger clumps overall than the wild type (Fig. 1). However, *S. gordonii* cells bound planktonically at similar levels to filaments formed by *C. albicans* wild type or *sap9*Δ mutant following 3 h hyphal induction (Fig. 1).

In 3 h monospecies biofilms, hyphae formed by the *C. albicans* $sap9\Delta$ mutant appeared longer on average than wild type hyphae (Fig. 2), but this was not deemed to be statistically significant over multiple experiments. Binding patterns of *S. gordonii* cells to $sap9\Delta$ hyphae in dual species biofilms was not visibly different from wild type (Fig. 2). Biomass values measured by crystal violet stain assay (Dutton $et\ al.$, 2014) for *C. albicans* wild type or $sap9\Delta$ mutant biofilms were similar (data not shown). Therefore, absence of Sap9 from the $mnt1\Delta mnt2\Delta$ mutant CWPs would not alone account for the almost complete deficiencies in biofilm formation and in binding streptococci previously observed for the *C. albicans* $mnt1\Delta mnt2\Delta$ mutant (Dutton $et\ al.\ 2014$).

Architecture of *C. albicans* wild type or sap9∆ mutant biofilms

We then investigated by CSLM the architecture of *C. albicans* $sap9\Delta$ mutant biofilms under non-flow conditions and under conditions of flow to generate shear forces. Under both conditions, biofilms formed by the $sap9\Delta$ mutant were more compact than those formed by *C. albicans* wild type, with an average depth of 63 µm for the $sap9\Delta$ mutant compared with 151 µm for wild type (no flow), and 42 µm compared to 116 µm respectively under medium flow (Fig. 3). The hyphal filaments formed by the $sap9\Delta$ mutant strain presented a closely woven mat on the bottom and top surfaces of the biofilm (Fig. 3, panels a and b), while wild

type hyphal filaments at the top surface of the biofilm were extended into the environment (Fig. 3, panels a and c). Under flow conditions, the *C. albicans* biofilms consisted almost entirely of hyphal filaments (Fig. 3). Under no flow there were approximately equal proportions of hyphal filaments and yeast morphology cells in wild type biofilms, but substantially more hyphae in those of the $sap9\Delta$ mutant.

Architecture of *C. albicans* wild type- or $sap9\Delta$ mutant-*S. gordonii* biofilms

In dual species biofims, the compacted nature of the *C. albicans* $sap9\Delta$ mutant filament
formation compared to wild type was more clearly visible (Fig. 4, panel c). The $sap9\Delta$ mutant
biofilms were characterized by extensive hyphae formation across the surface substratum,
as opposed to the wild type structure in which the hyphal filaments extended into the
environment (Fig. 4). In the presence of *S. gordonii*, bacterial cells could be visualized
adhered to the extending hyphal filaments formed by *C. albicans* wild type cells (Fig. 4,
panels c and d), but remaining adhered to the substratum and within the hyphal filament
mats of the $sap9\Delta$ mutant (Fig. 4). Under flow conditions, hyphal filaments formed by *C. albicans* wild type cells extended at least twice as far into the environment than those
produced by the $sap9\Delta$ mutant (Fig. 4).

C. albicans wild type or sap9\Delta mutant biofilm development with streptococci

To determine if the effects of *C. albicans SAP9* deletion were manifested in the presence of other oral streptocococci, early biofilms (3 h) were generated under non-flow conditions of *C. albicans* wild type or $sap9\Delta$ mutant with five different species of oral streptococci, and with *E. faecalis*. As previously observed, the *C. albicans sap9* Δ mutant formed hyphal filaments that lay more closely to the substratum, irrespective of the species of Gram-positive coccus present (Fig. 5). In all instances, it appeared that more bacterial cells were deposited upon the substratum within $sap9\Delta$ mutant biofilms compared to *C. albicans* wild type (Fig. 5). *S. mutans* and *E. faecalis* were least well incorporated into *C. albicans* biofilms. Strains of these two bacterial species have been shown to be weaker coaggregation partners of *C.*

albicans compared to other species (Jenkinson *et al.* 1990). These results suggested that the Sap9 proteinase might be beneficial in facilitating *C. albicans* competition with streptococci for colonization of salivary pellicle.

Cell surface hydrophobicity (CSH)

Since a repeated observation was that the $sap9\Delta$ mutant formed hyphae that associated closely with each other and tended to form a biofilm mat, we investigated the possibility that this was related to increased CSH of the hyphal filaments. Accordingly, cells of wild type or $sap9\Delta$ mutant were suspended in hypha-inducing medium (YPT-Glc) and CSH measured by portioning assay (Materials and Methods). Immediately after suspension the wild type and $sap9\Delta$ mutant cells exhibited low hydrophobicity (Fig. 6) but after 3 h incubation the $sap9\Delta$ mutant hyphae were substantially more hydrophobic compared to wild type (Fig. 6).

C. albicans wild type or sap9\Delta mutant interactions with C. albicans CWPs

A proposed function of Sap9 proteinase is to modulate activities of other CWPs such as cell wall modifying enzymes (e.g. chitinase Cht2) (Schild *et al.* 2011) or adhesins (Albrecht *et al.* 2006). Proteinases may also mediate adhesion independently of proteolytic activity (Jarocki *et al.* 2015; Kumar *et al.* 2015). To determine if the absence of Sap9 affected hyphal interactions with CWPs on other cells, *S. cerevisiae* strains expressing *C. albicans* adhesins Als3, Hwp1, Eap1 or Rbt1 were FITC-labelled and incubated for 2 h with hyphae-forming cells of *C. albicans* wild type or *sap9*Δ mutant. Analysis of images obtained from multiple fields of view (Fig. 7 shows some typical images) indicated that adherence levels of *S. cerevisiae* cells expressing Eap1 to *C. albicans sap9*Δ mutant hyphae were ~90% reduced compared to wild type (Fig. 8). Conversely, binding levels of Als3-expressing *S. cerevisiae* cells were higher (by about 25%) to *sap9*Δ mutant hyphae than to wild type hyphae (Fig. 8). There were no statistically significant differences between wild type and *sap9*Δ mutant in binding *S. cerevisiae* cells expressing Hwp1 or Rbt1, and <5% hyphae bound *S. cerevisiae* vector only control cells (Fig. 8). The differences in CWP activities shown by the *sap9*Δ

mutant hyphae and their significantly increased hydrophobicity might explain, at least in part, the enhanced aggregation planktonically and intensified hyphal filament matting in biofilms.

DISCUSSION

These studies were undertaken following the observations that in a biofilm-deficient mutant strain of C. albicans mnt1Δmnt2Δ, deleted in O-mannosylation enzymes Mnt1 and Mnt2 (Munro et al. 2005), the cell wall proteome profile was lacking Sap9 (Dutton et al. 2014). This CWP is one of a family of 10 aspartyl proteinases (Sap1-10) that are differentially expressed in C. albicans (Naglik et al. 2003). SAP9 has been studied in detail but the precise role of this gene in growth and survival remains unclear. The main effects of SAP9 deletion are to reduce chitinase activity (Schild et al. 2011), but deletion of SAP9 is also reported to result in increased adhesion to epithelial cells (Albrecht et al. 2006). It has been suggested that Sap9 is able to trim cell surface proteins, on C. albicans or the host, to modulate receptor-ligand interactions or connections (Schild et al. 2011). Our data show that SAP9 expression affects C. albicans biofilm structure, under non-flowing conditions and under medium flow. These are early biofilms (6 h) in a defined medium with glucose, and so are not conducive to matrix production under the conditions (Chandra et al. 2001). Sap9 deficiency led to formation of hyphal filaments with increased CSH that associated more tightly with the substratum and with each other. This could be consistent with the activity of secreted proteases normally shedding or modifying cell surface proteins such that hyphae are less self-adhesive, more able to extend into the environment, and more readily dispersed from the biofilm. However, based upon the phenotypic analyses of the sap9∆ mutant, loss of Sap9 alone does not explain the biofilm-forming deficiency of the C. albicans mnt1\Delta mnt2\Delta mutant, or the inability of S. gordonii cells to interact with hyphae produced by the mnt1Δmnt2Δ mutant (Dutton et al. 2014).

Sap9 has broad substrate specificity, and preferentially cleaves a peptide backbone at adjacent basic residues e.g. Lys-Arg or Arg-Arg when these are followed by an acidic

amino acid residue (Aoki *et al.* 2011; Laskay *et al.* 2014). However, cleavage events can occur independently of basic residues (Schild *et al.* 2011). The enzyme has a near-neutral optimum pH and exhibits activity over a broad pH range. There is evidence that cleavage by Sap9 activates cell wall-associated Cht2 (chitinase) and alters the degree of cross-linking of glucan chains by Pir1 (Schild *et al.* 2011). Overall effects of Sap9 proteinase are propsed to be: 1) activation of cell wall proteins; 2) rearrangement of cell wall components; 3) shedding of substrate; 4) direct adhesion independent of proteolytic activity.

We present evidence that loss of Sap9 affects interaction of hyphae with Eap1, so Sap9 could function as an adhesin in binding to Eap1, or be involved in regulating proteolytic trimming of Eap1 (or of a receptor for Eap1). Eap1 protein is upregulated in biofilms (Sherry et al. 2014), is found on the surface of yeast and hyphal cells (Li et al. 2007), binds plastic (Nobbs et al. 2010) and epithelial cells (Li and Palecek 2003), and promotes invasive growth (Li and Palecek 2008). However, binding to plastic and CSH do not necessarily correlate for *C. albicans* (Kennedy et al. 1989). Eap1, like Hwp1 and Als family proteins, also contains functional amyloid-forming sequences and rapidly develops insoluble amyloids, thus contributing to cell-cell aggregation (Ramsook et al. 2010). The mat-like biofilms of the *C. albicans sap9* Δ mutant could therefore be explained if a normal function of Sap9 is to assist with de-aggregation of hyphal filaments. This property would be consistent with the evidence that expression of *SAP9* is constitutive in biofilms and shows similar relative expression levels across a range of biofilm models (Nailis et al., 2010). Although Eap1 is bound by streptococci (Nobbs et al. 2010), these bacteria also bind Als3 (Silverman et al. 2010), which is thought to be a major target for interkindom interactions (Nobbs and Jenkinson, 2015).

Initial adherence in *C. albicans* biofilm formation is mediated at least in part by Als1 (Harriott and Noverr 2011) and *ALS1* expression is under control of the transcriptional regulator Bcr1, as are the genes encoding Als3 and Hwp1, which mediate cell-cell interactions in biofilms (Nobile *et al* 2008). Cell wall protein Eap1 is required for biofilm formation *in vitro* and *in vivo* (Li *et al.* 2007) and may be needed for initial layer formation on

specific substrata (Nobbs *et al.* 2010). It has been suggested that Eap1 and Als proteins may play a role in environmental sensing as well as directly as adhesins (Fox *et al.* 2013).

Our observations would be consistent with the following interpretations. Sap9 is known to trim or cleave CWPs and may also regulate processing of other Saps (Monod *et al.* 1998). Accordingly, Sap9 may bind directly to Eap1, or process *C. albicans* cell surface proteins that are recognized by Eap1. The reduced ability of *sap9*Δ mutant hyphae to interact with Eap1 on other cells would detrimentally impact early biofilm formation and reduce incorporation of blastospores (mother cells) into the early matrix (as seen in Fig. 3). This might then ameliorate salivary pellicle binding sites for streptococcal colonization (Fig. 4). Sap9 might normally also function to proteolytically cleave and thus destroy pellicle binding sites for streptococci. Thus, Sap9 potentially contributes to the competitive ability of *C. albicans* to grow and survive in oral microbial communities.

SAP9 gene inactivation also appears to augment expression of Als3 activity (Fig. 8). This promotes hyphal cell-cell aggregation and would assist in generating flatter and more compact hyphal-filament biofilm development under flow (shear) or non-flow conditions (Fig. 3). This is wholly in keeping with the observations that enhanced exposure of Als3 on hyphal filaments dramatically increases hydrophobicity (Beaussart *et al.*, 2012). The role of Sap9 here would be to modulate hyphal filament accretion and extrication, such that hyphae are free to spread into and monitor the external environment. Clearly though the increased Als3 activity does not significantly affect the levels of interaction between candidal hyphal filaments and streptococci, even though Als3 is a major adhesin for *S. gordonii* (Silverman *et al.* 2010). Therefore an Als3 modulation mechanism, which would function to disaggregate hyphae, might occur post-translationally and does not affect interactions of hyphae with streptococci. This in keeping with the evidence that hyphal cell-cell interactions involve, at least in part, functioning of amyloid-like sequences within CWPs (Ramsook *et al.* 2010), while streptococcal interactions with Als3 appear to occur independently of amyloid formation (Nobbs *et al.* 2010; Bamford *et al.* 2015). In summary, the results overall imply a

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subtle but physiologically, ecologically and pathogenically significant role for Sap9 in biofilm formation, cell-cell communication and interkingdom microbial biofilm formation.

FUNDING

This work was supported by NIH/NIDCR grant # R01 DE016690.

ACKNOWLEDGEMENTS

We are most grateful to Bernhard Hube, Steven Bates and Carol Munro for the provision of strains; to Paul Kolenbrander and Rob Palmer for flow-cell design and training; and to Dominic Alibhai for skilled imaging assistance. We thank the Medical Research Council and Wolfson Foundation for establishing the Bioimaging Facility at the University of Bristol. Conflicts of interest: None

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FIGURE LEGENDS

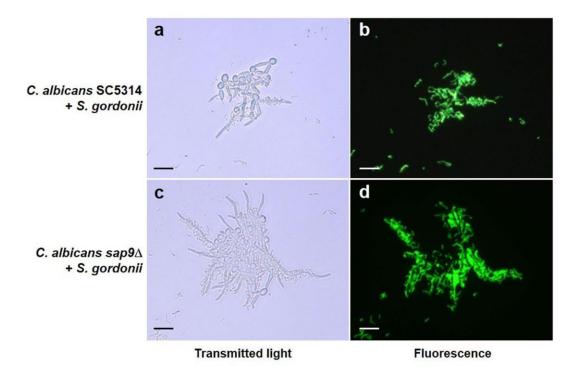


Figure 1. Interactions of *S. gordonii* DL1 cells with *C. albicans* SC5314 wild type or $sap9\Delta$ mutant. *C. albicans* cells were induced to form hyphae in YPT-Glc medium in suspension culture for 2 h at 37°C (planktonic conditions). Bacteria were fluorescently labelled with FITC (green) and were incubated for 1 h at 37°C with hyphae-forming cells in YPT-Glc. Transmitted light microscopic images (a, c) and corresponding fluorescence images (b, d) are shown. Scale bars = 20 μm.

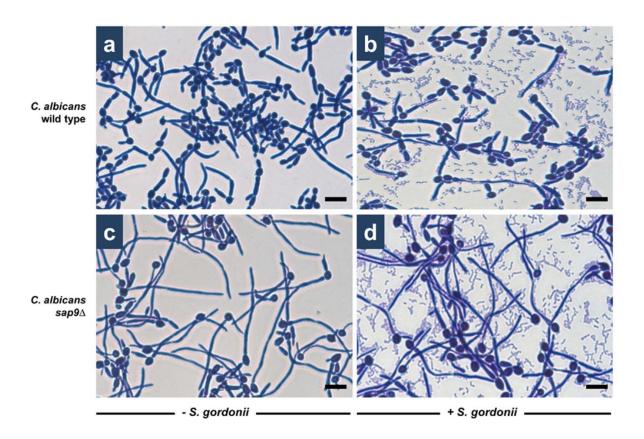


Figure 2. Biofilms formed by *C. albicans* SC5314 wild type or $sap9\Delta$ mutant in the presence or absence of *S. gordonii*. Biofilms were grown on saliva coated cover slips (as described in Materials and Methods) for 3 h in YPT-Glc and the cells were then stained with crystal violet and visualized by transmitted light microscopy. Panels: a and b, *C. albicans* wild type; c and d, *C. albicans* $sap9\Delta$. Bars = 10 μm.

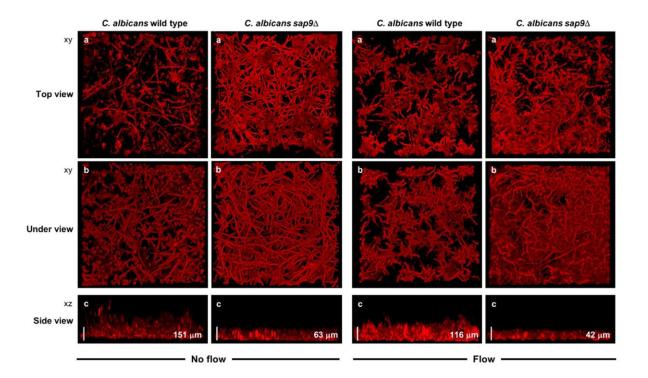


Figure 3. CSLM images of monospecies biofilms formed by *C. albicans* SC5314 wild type or $sap9\Delta$ mutant strains under flow or non-flow conditions. Biofilms were prepared as described in Materials and Methods and grown for 6 h at 37°C in YPT-Glc under no flow or at a medium flow rate of 6 ml h⁻¹. Left side group of 6 panels: monospecies biofilms of *C. albicans* wild type or $sap9\Delta$, no flow. Right side group of 6 panels: monospecies biofilms of *C. albicans* wild type or $sap9\Delta$ mutant under medium flow. The image at the top of each group of 6 (a) is a representative xy stack of the biofilm assembled from top down. The second image down in each column (b) is a corresponding xy stack of the same biofilm assembled from bottom up, providing an underview aspect of the biofilm. The bottom image (c) in each case is the corresponding xz image showing organization and thickness (depth) of the biofilm. Note that the *C. albicans* $sap9\Delta$ mutant formed a more compact biofilm compared to wild type. The values shown in μm are average thickness (depth) measurements. Bars = $60 \mu m$.

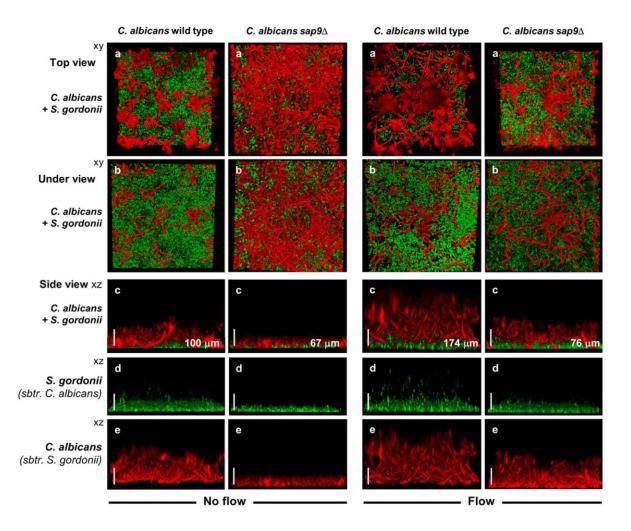


Figure 4. CSLM images of dual species biofilms formed by *C. albicans* SC5314 wild type or $sap9\Delta$ mutant strains with *S. gordonii* under flow or non-flow conditions. Biofilms were prepared as described in Materials and Methods and grown in YPT-Glc medium for 6 h at 37° C under no flow, or at a medium flow rate of 6 ml h⁻¹. Left side group of 10 panels: dual species biofilms of *C. albicans* wild type or $sap9\Delta$, no flow. Right side group of 10 panels: dual species biofilms of *C. albicans* wild type or $sap9\Delta$ with medium flow. The image at the top of each group of 6 (a) is a representative xy stack of the biofilm assembled from top down. The second image down (b) in each column is the corresponding xy stack of the biofilm assembled from bottom up, providing an under view aspect of the biofilm. The third

image down (c) in each case is the corresponding xz image showing organization and thickness (depth) of the biofilm. The fourth (d) and fifth (e) images down show green S. gordonii only component of the biofilm (red channel subtracted) (d), and red C. albicans only component of the biofilm (green channel subtracted) (e). S. gordonii DL1 wild type strain expressed Green Fluorescent Protein (GFP). The values shown in μ m are average thickness (depth) measurements. Bars = 100 μ m.

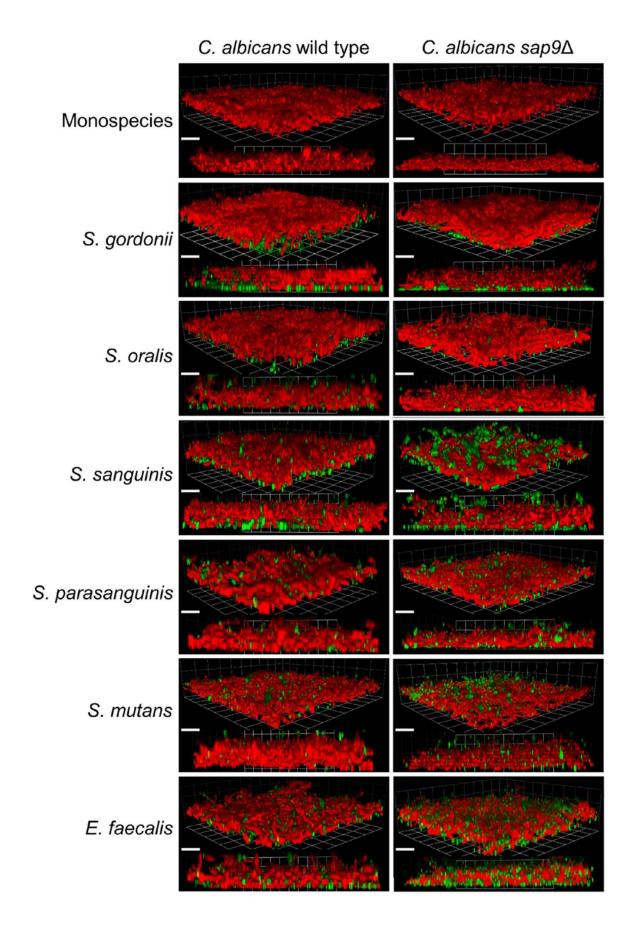


Figure 5. CSLM 3D-images and corresponding z-stack images of dual species biofilms formed by *C. albicans* SC5314 wild type or $sap9\Delta$ mutant strains with five species of viridans streptococci and with *E. faecalis. C. albicans* biofilms were grown on saliva coated cover slips (as described in Materials and Methods) for 2 h in YPT-Glc, fluorescently labelled (FITC) bacteria were then added and biofilms were incubated for a further 1 h at 37°C. *C. albicans* cells were stained with Fluorescent brightener 28 just prior to CSLM. The bacterial species employed are shown in the figure. Bacteria appear green, *C. albicans* cells appear red. Bars = 20 μm.

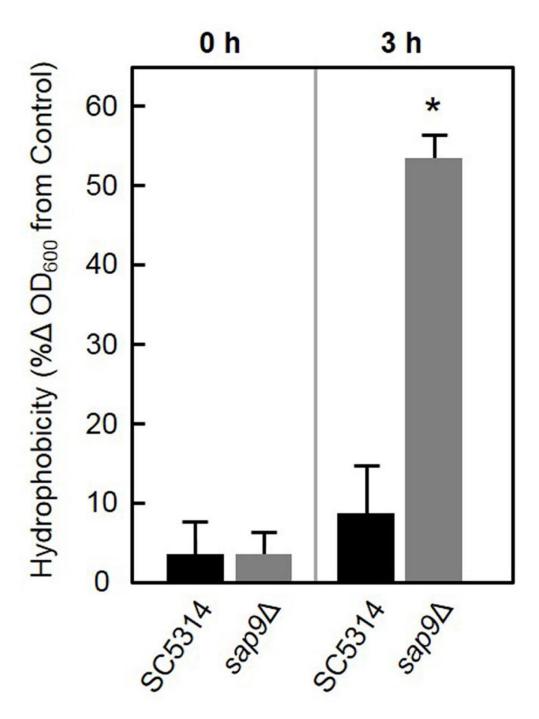


Figure 6. Cell surface hydrophobicity (CSH) of *C. albicans* SC5314 wild type and $sap9\Delta$ mutant immediately after suspension in hyphae-inducing YPT-Glc medium (0 h) and after 3 h incubation at 37°C when ~60% cells were producing hyphal filaments. CSH was determined as described in Materials and Methods. Error bars are \pm SD, n = 2. *Statistical significance relative to *C. albicans* wild type (P < 0.05).

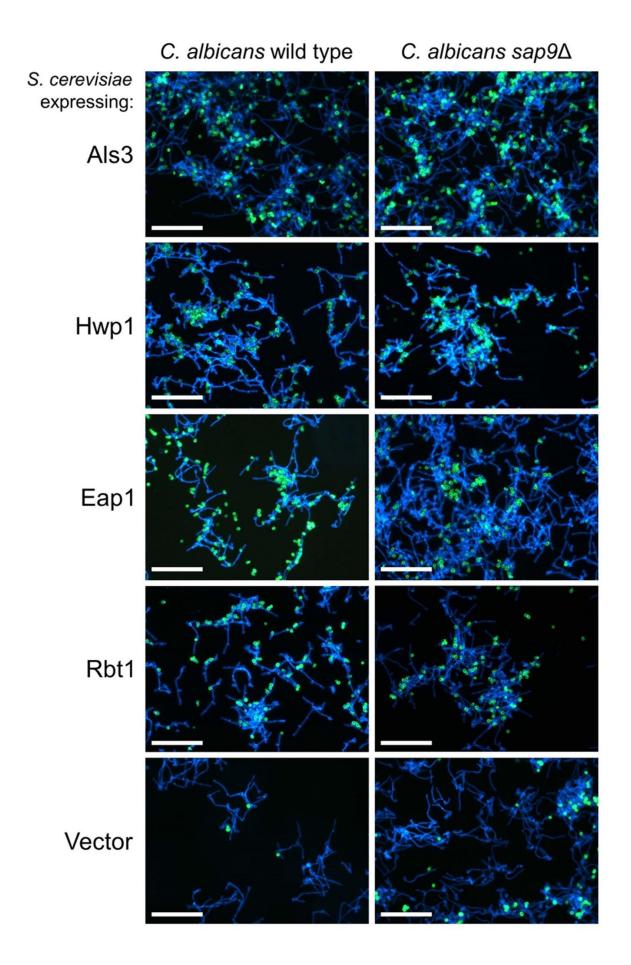


Figure 7. Interactions of *C. albicans* SC5314 wild type or $sap9\Delta$ mutant with *S. cerevisiae* strains expressing *C. albicans* CWPs. *S. cerevisiae* cultures were grown to mid-exponential phase in CSM medium, the cells were FITC-labelled, and then mixed with *C. albicans* cells that had been induced to form hyphal filaments for 3 h at 37°C in YPT-Glc medium (see Materials and Methods). After 2 h incubation of cultures at 30°C with gentle agitation, Fluorescent brightener 28 (0.5 μg ml⁻¹) was added to fluorescently label the *C. albicans* cells, and samples (10 μl) were removed onto glass microscope slides, cover slips applied, and visualized with a Leica microscope under fluorescence. Panels vertically indicate *S. cerevisiae* BY4742 cells expressing *C. albicans* CWPs Als3, Hwp1, Eap1, or Rbt1, and empty vector pBC542 control. *S. cerevisiae* green, *C. albicans* blue. Bars = 20 μm.

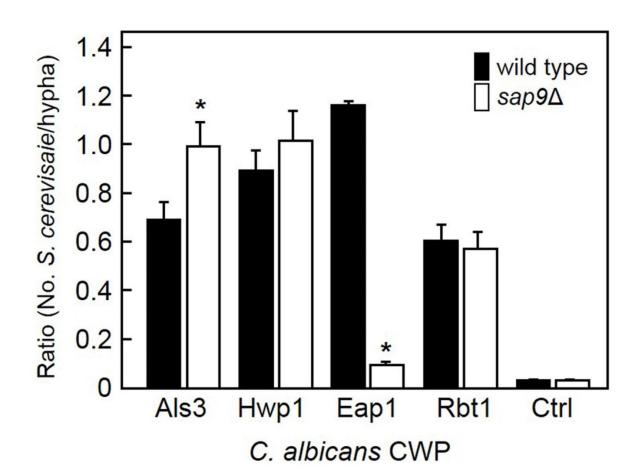


Figure 8. Binding levels of *S. cerevisiae* expressing *C. albicans* CWPs to *C. albicans* SC5314 wild type or $sap9\Delta$ mutant hyphae. *C. albicans* cells were induced to form hyphae for 3 h at 37°C and then incubated with FITC-labelled *S. cerevisiae* for 2 h (see legend to Figure 7). Images are representative of typical field of view. *S. cerevisiae* adherence is expressed as the ratio of numbers of bound *S. cerevisiae* cells: *C. albicans* hyphal filaments. Ctrl is *S. cerevisiae* empty vector pBC542 control. Error bars are \pm SD, n = 2. *Statistical significance relative to *C. albicans* wild type (P < 0.05).