



Mandel, R., Whay, H. R., Klement, E., & Nicol, C. J. (2016). Environmental enrichment of dairy cows and calves in indoor housing. Journal of Dairy Science, 99(3), 1695-1715. DOI: 10.3168/jds.2015-9875

Peer reviewed version

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1 INTERPRETIVE SUMMARY

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Nearly all dairy cattle are housed indoors, at least for some part of their life. Keeping cows indoors allows farmers to meet the nutritional needs of high yielding individuals and provide protection from predators. However, it also confronts cows and calves with a wide range of environmental challenges such as restricted movement and exposure to loud aversive sounds. Here we review recent evidence of the effect of environmental enrichment on the welfare of dairy cattle kept indoors. This is accompanied by an assessment of the practicality of different enrichment options, considering the divergent needs of calves and cows separately.

10	Environmental enrichment of dairy cows and calves in indoor housing
11	R. Mandel*¹, H.R. Whay [†] , E. Klement*, and C.J Nicol [†]
12	*The Hebrew University, Jerusalem, Israel
13	†University of Bristol, Bristol, England
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15	¹ Corresponding author: Roi Mandel, Koret School of Veterinary Medicine, Robert H. Smith
16	Faculty of Agriculture, Food and Environment, The Hebrew University, P.O.B. 12, Rehovot
17	76100, Israel. Phone (office) - 972-(0)8-9489560, Fax - 972-(0)8-9489138
18	roi.mandel@mail.huji.ac.il

19 ABSTRACT

In recent years, there has been an increase in the number of farmers who choose to keep their 20 cows indoors throughout the year. Indoor housing of cows allows farmers to provide high 21 22 yielding individuals with a nutritionally balanced diet fit for their needs, and it has important welfare benefits for both cows and their calves, such as protection from predators, parasites and 23 exposure to extreme weather conditions. However, it also confronts cows and calves with a wide 24 range of environmental challenges. These include abiotic environmental sources of stress (e.g. 25 exposure to loud and aversive sound) and confinement-specific stressors (e.g. restricted 26 movement and maintenance in abnormal social groups). Cows and calves that live indoors are 27 also faced with the challenge of occupying long periods of time with a limited range of possible 28 behavioural patterns. Environmental enrichment can improve biological functioning (measured 29 as increased lifetime reproductive success, increased inclusive fitness or a correlate of these such 30 as improved health), help animals to cope with stressors in their surroundings, prevent 31 frustration, increase the fulfillment of behavioural needs, and promote more positive affective 32 states. Here we review recent findings on the effect of social, occupational, physical, sensory and 33 nutritional enrichment on dairy cows and calves, and we assess the appropriateness and 34 practicality of implementing different enrichment practices in commercial dairy farms. Some of 35 the enrichment methods reviewed here may also be applied to those more extensive cattle raising 36 systems, where similar challenges occur. 37

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Key words: Social enrichment, Zero grazing, Animal welfare, Low resilience behaviours

41 1. INTRODUCTION

Nearly all dairy cattle are housed indoors, at least for some part of their life, and in an increasing 42 number of farms, indoor housing is practiced all year round (van Vuuren and van den Pol-van 43 Dasselaar, 2007; Winsten et al., 2010; March et al., 2014). In continuous indoor housing systems 44 (also referred to as "zero grazing" systems), dairy cows are kept throughout the year in tie stall, 45 free stall, or loose housing cowsheds. Access to pasture is either limited or absent. In the past, 46 continuous indoor housing of dairy cows was practised mainly in regions where the climate was 47 unsuitable for growing grass or too harsh for the animal. Nowadays, with the gradual shift 48 towards intensified farming, year round housing is more widely practiced. It was recently 49 estimated that zero grazing housing will become the most prevalent farming practice in North-50 West European countries such as NW-Germany and Denmark, by the next decade (Reijs et al., 51 2013). For example, in the Netherlands, the number of dairy cows housed indoors has tripled in 52 the past 10 years (from 10% to 30%; CBS, 2015). In the United States, more than 95% of 53 lactating cows are denied access to pasture (NAHMS, 2010). Other Mediterranean countries, 54 such as Israel, now keep 100% of their dairy cows indoors throughout the year (Israeli dairy 55 farms reform, 1999-2008). The practice of keeping cows indoors for extended periods may also 56 result from environmental regulations aimed at reducing leaching of nitrates and phosphorus into 57 water reserves (for example, the "Nitrates Action Programme" implemented in Northern Ireland 58 from 2007; Nitrates Action Programme and Phosphorus Regulations 2015-2018). 59

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Keeping animals indoors provides some important welfare benefits for the animals, such as
protection from predators and toxic plants, reduced exposure to extreme weather conditions
(Schütz et al., 2010) and external and internal parasites, as well as enabling the provision of a

64 nutritionally balanced diet throughout the year (Algers et al., 2009). However, it also confronts animals with a wide range of challenges. These include abiotic environmental sources of stress 65 (e.g. exposure to loud and aversive sounds such as the noise produced by milking facilities, 66 Arnold et al., 2007, 2008; metal-on-metal clanging, Waynert et al., 1999) and confinement-67 specific stressors that are more likely to be associated with indoor systems (e.g. restricted 68 movement when kept tied in their stall, when isolated at an early stage of life, or maintenance in 69 abnormal social groups, Morgan and Tromborg, 2007). Zero grazing systems, compared to other 70 production systems, are also associated with higher incidence of lameness (Haskell et al., 2006), 71 72 and increased risk for claw or foot problems, teat trampling, mastitis, metritis, dystocia, ketosis, retained placenta and some bacterial infections (Algers et al., 2009). Once housed, animals are 73 forced to make substantial changes in their time budget (Newberry, 1993). For example, the food 74 75 searching and eating times of cattle may be reduced to 4 hrs a day, compared to 6-12 hrs on pasture (Gomez and Cook, 2010) such that long periods of time must be occupied with a limited 76 range of possible behavioural patterns (Hughes and Duncan, 1988). Mason and Burn (2011) 77 argued that when the environment is too impoverished (i.e. without appropriate stimuli or 78 substrates) or too small, the ability of the animals to perform natural behaviours and to satisfy 79 their motivations (i.e. to fulfill their behavioural needs) is restricted. Such behavioural restriction 80 may result in frustration. Indicators of frustration in cattle include leg stamping (Cooper et al., 81 2008; although this can also be associated with attempts to cope with forced standing by 82 83 alleviating strain on the legs and hoofs), non-nutritive oral behaviour (e.g. tongue rolling, Ishiwata et al., 2007), and an increase in the visible percentage of eye whites (Sandem et al., 84 2002; although the latter was also associated with fear, Sandem et al., 2004). Persistent 85 86 frustration is associated with the development of abnormal behaviours. One example is calves'

- redirected oral behaviour toward pen mates, when fed from a bucket and restricted from
 performing suckling behaviour (Mason and Burn, 2011; Ninomiya, 2014).
- 89

90 Keeping animals in an environment that meets their proximate needs ("here and now", Dawkins, 1983, such as feeding, drinking and sleeping) allows them to engage in low resilience behaviours 91 (also referred to as 'luxury activities', i.e. behaviours that typically decrease when energy 92 resources are limited or when the cost involved in the activity increases, McFarland, 1999), 93 which are associated with improved welfare and long term fitness (Held and Spinka, 2011). One 94 example is play behaviour, which drops out of the animal's behavioural repertoire in times of 95 challenge (e.g. sickness, hunger, injury, predation risk and thermal stress). In the majority of 96 cases, the presence of play behaviour is associated with improved welfare, and its disappearance 97 is a reliable indicator of the transition from positive to poor welfare (Held and Spinka, 2011). In 98 cattle, other low resilience behaviours include grooming (Borderas et al., 2008; Fogsgaard et al., 99 2012; but see also opposing findings by Almeida et al., 2008) and use of automated cow brushes 100 101 (Mandel et al., 2013).

102

One strategy that can help animals to cope with stressors in their surroundings, prevent
frustration and increase the fulfillment of behavioural needs is to enrich their environment.
Newberry (1995) defined environmental enrichment as an improvement in the biological
functioning of confined animals resulting from modifications to their environment. Biological
functioning refers to increased fitness (i.e. lifetime reproductive success), increased inclusive
fitness (i.e. indirect fitness, by helping genetically related individuals such as kin to increase their
fitness), or a correlate of both such as improved health. By focusing on the biological functioning

110	of the animal, Newberry (1995) offered a practical and objective way to measure and evaluate
111	the effect of different environmental enrichment methods on welfare. However, compromised
112	welfare does not necessarily result only from impaired biological functioning (Fraser et al.,
113	1997). For example, the welfare of bucket-fed calves is not reduced by malnutrition, but by an
114	unfulfilled need to suckle (Fraser et al., 1997). For the purposes of this review, effective
115	environmental enrichment will therefore be regarded as modification to the management or
116	surroundings of the animal that demonstrably improves biological functioning (Newberry, 1995),
117	or other validated measures of welfare (i.e. those measures that are correlated with valenced
118	experiences, Nicol et al., 2009) over and above what is achieved by following minimum
119	management standards (e.g. European Union guidelines).
120	
120 121	Although environmental enrichment plays an important role in maintaining the wellbeing of zoo
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121 122 123 124	animals (Shyne, 2006), laboratory animals (Baumans and Van Loo, 2013), and certain livestock such as pigs (van de Weerd and Day, 2009; see also EU Directive 2008/120/EC), its implementation on cattle farms is limited and has not coincided with the gradual shift towards
121 122 123 124 125	animals (Shyne, 2006), laboratory animals (Baumans and Van Loo, 2013), and certain livestock such as pigs (van de Weerd and Day, 2009; see also EU Directive 2008/120/EC), its implementation on cattle farms is limited and has not coincided with the gradual shift towards year-round indoor housing and the challenges it places on cows. Considering the global increase
121 122 123 124 125 126	animals (Shyne, 2006), laboratory animals (Baumans and Van Loo, 2013), and certain livestock such as pigs (van de Weerd and Day, 2009; see also EU Directive 2008/120/EC), its implementation on cattle farms is limited and has not coincided with the gradual shift towards year-round indoor housing and the challenges it places on cows. Considering the global increase in the number of cows and calves raised in zero-grazing systems, exploring different methods for

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This review has two aims; firstly, we will review recent evidence of the effect of environmentalenrichment on the welfare of dairy cattle kept indoors. Secondly, this will be accompanied by an

assessment of the practicality of different enrichment options, considering the divergent needs ofcalves, heifers and cows separately when appropriate.

134

135 2. ENVIRONMENTAL ENRICHMENT

136 Bloomsmith et al. (1991) defined five categories of environmental enrichment; social,

occupational, physical, sensory and nutritional. Each category may contribute to the welfare of 137 the animal in a different way. For example, social enrichment may fill the need of calves for a 138 companion and help them to cope better with stressors in their surroundings (de Paula Vieira et 139 al., 2012), whereas physical enrichment in the form of providing a cow with a secluded area in 140 an individual maternity pen may fulfill its need to hide when calving or when sick (Proudfoot et 141 al., 2014a,b). When considering the contribution of each category to the welfare of the animal, it 142 143 is important to note that: 1) The mechanism that underlies each category of enrichment, and each method of enrichment, can be multi-factorial (e.g. dam-rearing involves both social and 144 nutritional factors, Kalber and Barth, 2014). 2) Each enrichment type can have both short- and 145 146 long-term effects (e.g. drinking colostrum and milk ad-libitum is associated with improved weight gain at an early stage of life, but also aids in gastrointestinal-tract maturation, production 147 of digestive enzymes and nutrient absorption at a later stage through hormonal factors found in 148 the colostrum, Bach, 2012). 3) Each enrichment method can contribute differently to the welfare 149 of the animal, when applied at different stages of the production cycle, as a calf or an adult cow 150 (e.g the presence of a conspecific is associated with improved cognitive development in calves, 151 152 Gaillard et al., 2014; but also with reduced stress during social isolation in cows, Kikusui et al., 2006). 4) The contribution of each category to the welfare of the animal can be explained by 153 154 more than one mechanism (reduced stress expressed by calves that were raised in pairs,

155	compared to singly reared calves, can be explained both by improved cognitive development,
156	which allows better learning abilities but also by the mere presence of a conspecific (social
157	buffering)). 5) Some enrichment methods are limited to a certain time window (e.g. the effect of
158	early social housing on cognitive development, Gillard et al., 2014). The examples mentioned
159	above, and others, will be discussed in more details throughout the text and will serve as the first
160	step towards developing a conceptual framework for enriching the environment of dairy cows.
161	Such a framework could allow better understanding of cattle needs and improve the
162	implementation of enrichment practices in dairy farms.
163	
164	2.1 Social Enrichment
165	Social enrichment is defined as the provision of access to direct or indirect (visual, olfactory,
166	auditory) contact with conspecifics (other individuals of the same species) or humans
167	(Bloomsmith et al., 1991).
168	
169	On most North American and European dairy farms, calves are separated from their mothers
170	within 24 hours of birth (Algers et al., 2009; but see also dam and foster rearing in organic
	within 24 hours of onth (Angers et al., 2007, but see also dam and foster rearing in organic
171	farming, Kalber and Barth, 2014). Separating the dyad is stressful for both the dam and her calf
171 172	

174 When carried out within 24 hours of birth, the process is accompanied by a weaker behavioural

response compared to separation at a later stage (i.e. two weeks after birth; Flower and Weary,

176 2001; but see Hudson and Mullord, 1977 for behavioural distress following 5 minutes of calf-

dam contact, and Johnsen et al., 2015 for the formation of cow-calf bond without nursing). Early

separation is also done for economic (e.g. use of sources of nutrition that are cheaper than
feeding calves' with their mother's milk, such as milk replacers or 'waste' milk that cannot be
sold) and management reasons (e.g. close monitoring of calves health and food consumption;
minimizing the transmission of Johne's disease, Collins et al., 2010, and faster milk-let-down
speed, Kilgour and Dalton 1984). Following separation from the dam, calves are kept in single
housing, pair housing or in group housing (three calves or more).

184

185 2.1.1 Contact with Conspecifics

186 *Calves.* In farms that practice single housing, calves are reared individually in pens or hutches for two to eight weeks, mainly with the aim of decreasing the risk of horizontal disease 187 transmission, but also for helping farmers to monitor calf milk intake and health. The association 188 189 between group housing and morbidity is affected by the size of the group, with calves kept in large groups (i.e. 7 or more) being at higher risk of disease (Losinger and Heinrichs, 1997, Wells 190 et al., 1997; Svensson et al. 2003, Svensson et al., 2006; Svensson and Liberg, 2006). A tradeoff 191 192 between single housing and group housing is pair housing, where physical contact is limited to only one other calf, and the risk of pathogen transmission is limited. A recent study compared the 193 194 effect of different levels of social contact on calf health ranging from strict isolation in single housing to full physical contact in pair housing, but found no effect of degree of social contact on 195 the level of the 5 most common pathogens present in Danish calf feces, or on the development of 196 197 serum antibodies against the three most common respiratory pathogens (Jensen and Larsen, 2014). However, in indoor environments with poor ventilation and drainage, keeping calves in 198 small groups (i.e. three calves per pen) or in pairs may increase the risk of respiratory disease, 199 200 compared to individual rearing (Cobb et al., 2014). Acknowledging the contribution of physical

contact to disease transmission, pair housing can be considered a tradeoff between individual
rearing and group housing, which allows calves to engage in social contact, while limiting
disease transmission.

204

The need of calves for social contact with their peers is present from the first week of life 205 (Wood-Gush et al., 1984). When given the option, they are more motivated to get access to full 206 physical contact with their conspecifics, compared to only head contact through metal bars 207 (Holm et al., 2002). Calves raised with full social contact (either from birth or 3 weeks of age) 208 will establish stronger bonds with their group members, compared to calves raised with limited 209 contact (Duve and Jensen, 2011). The bonds that calves develop at an early stage will affect their 210 social preferences as adults (Sato et al., 1993; Færevik et al., 2006; Raussi et al., 2010; Gygax et 211 212 al., 2010).

213

Physical contact with conspecifics from an early age affects calf development; individually 214 housed calves, compared to paired housed ones, spend less time at the feeder, visit it less 215 frequently and start ingesting concentrate from computerized starter feeder at a later stage (de 216 217 Paula Vieira et al., 2010, see also Warnick et al., 1977 and Hepola et al., 2006). Individual rearing also reduces calves' social skills and their ability to cope with environmental stressors 218 (de Paula Vieira et al., 2012; Jensen and Weary, 2013). They are also more fearful of unfamiliar 219 220 calves (Jensen and Larsen, 2014; de Paula Vieira et al., 2012), have a higher heart rate during 221 confrontation (Jensen et al., 1997), struggle more when restrained for blood sampling (Duve et al., 2012) and vocalize more when weaned from milk (de Paula Vieira et al., 2010). The reduced 222 223 vocal response of pair-housed calves when weaned is suggested to reflect the effect of social

224 buffering (i.e. the alleviation of stress responses attributed to the presence of a conspecific, Edgar 225 et al., 2015), which can help modulate or down regulate the impact of stressors on the homeostasis of the recipient (for a recent review, see Rault, 2012). Indeed, calves that were 226 227 isolated with no companion for a period of 20 minutes, were found to vocalize more, show less locomotor activity and explore the pen less, compared to those that were isolated with a 228 companion, particularly a familiar companion (Færevik et al., 2006). Social isolation at an early 229 230 stage seems to also affect calf behaviour later on in life. Broom and Leaver (1978) studied the effect of prolonged social isolation (8 months) on calves' behaviour at the age of 8 months and 231 20 months. In both age groups, individually housed calves spent more time alone and had a 232 lower social rank once introduced into a new group, compared to grouped housed calves. 233 234 An additional mechanism to social buffering that can affect the behaviour of calves raised in 235 isolation is impaired cognitive development. Individually reared calves achieved poorer 236 performance in a colour discrimination reversal learning task than calves reared in pairs (Gaillard 237 238 et al., 2014). The socially reared calves appeared to be more flexible in their response to change in routine management and housing, an ability that was previously associated with improved 239 240 welfare (Wechsler and Lea., 2007). One implication is that socially-reared animals may be more competent in interacting with new technologies, such as robotic milking equipment and 241 automated feeders. Indeed, de Paula Vieira et al. (2010) showed that calves raised individually 242 were slower at learning to use a computerized starter feeder compared to calves raised in pairs. 243 Therefore, the reduced learning ability associated with individual rearing may result from a 244 cognitive impairment or emotional deficiency (or both). Raising calves in pairs or small groups 245

fulfills their need for social contact with conspecifics from an early age, help to developcognitive skills, social skills, and reduce stress-associated reactions.

248

249 Pair housing also has economic benefits. Rearing calves in pairs requires less space than individual housing, which can be used for spacing the pens further apart (i.e. lowering the 250 chance of horizontal disease transmission), and for increasing the living area for each pair to 251 allow greater comfort and encourage play behaviour. For example, in order to raise 10 calves in 252 isolation (0.9*1.8m per calf, with 1 meter space between pens), farmers need an area which is 18 253 meters wide. In the same area, using pair-housing pens, farmers can increase the living space of 254 each calf by more than 35% (1.35*1.8m per calf instead of 0.9*1.8m) and the distance between 255 pens by more than 10% to minimize further the risk of diseases transmission. Housing calves in 256 257 pairs however, requires adjustment to the feeding method to minimize food competition and decrease cross-sucking behaviour (addressed below under nutritional enrichment). 258

259

260 Although pair housing is a promising rearing solution that balances calf health and social needs, some fundamental questions regarding the timing of its implementation still remain open. Other 261 262 questions concern the implications of dyad separation at a later stage of life (i.e. for either short periods of time, e.g. for a husbandry procedure or for long periods of time, such as when kept in 263 different feeding groups). Breaking a social bond between two calves raised together from the 264 265 first day of life (and prevented from maternal contact) may prove to be as stressful as breaking the bond between a calf and its dam bonded for a similar amount of time. To our knowledge, 266 these questions have not been addressed yet and demand further investigation. Better 267 268 understanding of calf social needs (i.e. especially in early life, when kept in isolation) will allow

us to integrate more carefully their basic health and functioning, affective states and naturalliving (Fraser, 2008).

271

272 A more natural rearing method that is little practiced in intensified dairy farms, is to keep calves with their dams following parturition. Dam reared calves are either kept with restricted or full 273 contact with their dam/foster cow and often have access to other calves and adult cows. The 274 length of the rearing period may vary from days to months according to farm management. 275 Calves reared with their dam, compared to calves housed in groups and fed from an automatic 276 feeder, express less abnormal oral behaviour (e.g. cross suckling). Interestingly, low cross 277 suckling rate was documented in both restricted (i.e. twice a day for 15 minutes each) and 278 unrestricted contact with the dam (Roth et al., 2009; Hillmann et al., 2012). Dam-reared calves 279 280 also struggle less when restrained for blood sampling compared to those housed singly or in pair (Duve et al., 2012). When submitted to an isolation test, calves that have been reared with their 281 dam show lower increase in salivary cortisol concentrations compared to artificially reared 282 283 calves after reunion with their dam/group (Wagner et al., 2013). Rearing calves with their dam/foster cow also seems to affect their behaviour later on in life (Le Neindre, 1989; Wagner et 284 al., 2012; 2015). When faced with the challenge of integration into a new group, dam reared 285 heifers (either with restricted or unrestricted contact) express more submissive behaviour 286 associated with longer duration of feeding and earlier lying activity, compared to heifers that 287 288 were separated from their dam and fed through an automatic feeder (Wagner et al., 2012). In another study, 2.5 year old cows that had permanent access to their dams during the first 12 289 weeks following parturition, expressed lower sympathetic and higher HPA-axis reactivity 290 291 compared to cows that were fed by an automatic feeder (Wagner et al., 2015). The latter finding

suggests that calves reared with their dam develop a reactive coping style later on in life (Wagner
et al., 2015). However, since the efficacy of the coping style (i.e. reactive and proactive) depends
on the situation/environment, the welfare implications of this finding are not yet clear.

295

Keeping calves with their dam is regarded to be a natural rearing method that benefits from 296 better public opinion compared to methods that involve early separation (Ventura et al., 2013). 297 However, concerns regarding cow health (e.g transmission of Johne's disease through contact of 298 calves with the feces of its dam), and impaired milk ejection still exist (Kalber and Barth, 2014). 299 300 Indeed, the opponents for this rearing method base their arguments on the possible negative effect on calf and cow health, as well as the emotional distress that will be caused once the cow-301 calf bond is broken later on, and the limited ability of the industry to accommodate cow-calf 302 pairs (Ventura et al., 2013). In dairy farms, which are free of Johne's disease and can manage the 303 logistics that are associated with keeping the dyad together (e.g. suitable enclosures, clean and 304 dry environment), rearing calves with their dam could be an alternative enrichment method to 305 306 pair housing that benefits from better public opinion. An alternative option that favors one side of the calf-dam dyad is to raise calves with a foster cow. The latter is suggested to allow calves 307 308 to satisfy their suckling motivation and engage in social contact with adult cows, and may reduce weaning stress (Kalber and Barth, 2014). However, more knowledge about this system is needed 309 in order to evaluate its contribution to the welfare of calves and its possible negative effect on the 310 311 welfare of the foster cow (e.g. once more than two calves are fed from the same cow).

312

313 *Cows*. Cows are grouped based on their physiological status (lactating/dry) or milk production
314 status (low vs. high milk yield). As their status changes, they are regrouped and must form

315 relationships with the new group members. This can be stressful. For example, regrouping 316 destabilizes the social dynamic within the group and increases physical competition in the hours and days following regrouping (von Keyserlingk et al., 2008). Indeed, cows that enter a new 317 318 group experience increased displacements from the feeding area and their eating time, lying time, number of lying bouts and allogrooming events are reduced. In addition, milk production is 319 reduced on the first day after regrouping (von Keyserlingk et al., 2008). Basic husbandry 320 practices, such as reducing the stocking density in the pen (Talebi et al., 2014), using a familiar 321 pen for regrouping the cows (Schirmann et al., 2011), or other methods such as grouping during 322 the evening hours (compared to mornings, Boyle et al., 2012), can help lessen the negative 323 effects of this procedure. 324

325

326 The ideal solution to meet the social needs of the cows would be to keep them in stable groups. This would therefore allow them to enjoy the benefits of social companionship, and to benefit 327 from social buffering, enabling better coping with stressors (Gutmann et al., 2015). The efficacy 328 329 of social buffering depends on the degree of affiliation between the interacting partners (calves: Færevik et al., 2006; cows: Gutmann et al., 2015, for bulls see Mounier et al., 2006). Social 330 buffering in cattle can be achieved via grooming behaviour (i.e. licking), which depends mainly 331 on familiarity and increases with the length of cohabitation (Sato et al., 1991). This behaviour is 332 regarded as a reliable indicator of friendship (Boissy et al., 2007), and seems to be independent 333 of social dominance, as solicitation occurs both from dominant and subordinate cows (Sato et al., 334 1991; Val-Laillet et al, 2009). In addition to helping cows to stay clean (i.e. remove parasites, 335 Guillot, 1981), it is suggested that this behaviour induces a "physiological calming effect" 336 337 (Laister et al., 2011) and helps to resolve conflicts (Val-Laillet et al., 2009). Licking behaviour in

338 cows reduces the heart rate of the receiver (Laister et al., 2011), and was found to be directed 339 more towards lame cows compared to non-lame cows kept at the same stall (Galindo and Broom, 2002). These findings suggest that licking behaviour may have a role in alleviating discomfort 340 341 (Galindo and Broom, 2002). In cases where grouping is necessary, an intermediate solution could be to regroup cows in the company of familiar conspecifics in order to promote such 342 affiliative interactions (Bøe and Færevik, 2003). Heifers that are introduced to a herd with a 343 familiar conspecific (i.e. in pairs) face significantly less agonistic interactions compared to singly 344 introduced heifers (7.19 h⁻¹ vs. 3.79 h⁻¹, Neisen et al., 2009) and integrate faster into the herd 345 (Gygax et al., 2009). Heifers that are introduced to a new group in pairs show greater 346 resemblance between their time budget and the time budget of other cows in the herd (e.g. time 347 spent in the lying areas and feeding areas), compared to heifers that were introduced singly 348 (Gygax et al., 2009, for increased lying times of heifers integrated in pairs compared to singly 349 introduced heifers, see also O'Connell et al., 2008). 350

351

352 2.1.2 Contact with Humans

When kept indoors, cows rely on humans for almost every aspect of their lives. The interaction 353 with humans is at times, inevitable, and varies between farms according to management policy, 354 the size of the herd and the level of automation in the farm. Daily interaction with humans has a 355 significant effect on cows' behaviour and productivity (Hemsworth, 2003). Humans can evoke 356 fear in animals by virtue of their relative size, and their propensity for quick or unpredictable 357 movements (Rushen et al., 1999). For example, the use of negative interactions by stock people 358 (i.e. slaps, pushes, or hits with the hand or an object such as a plastic pipe) are negatively 359 360 correlated with milk yield, protein, and fat, as well as with increased flight distance of cows (i.e.

361 the percentage of cows approaching within 1 m of an experimenter in a standard test; Hemsworth 362 et al., 2000). However, in order for a cow to experience a negative interaction with a human, engaging intentionally in negative handling is not mandatory. Several of the routine husbandry 363 procedures practiced in dairy farms are intrusive by nature and can be aversive to cows, causing 364 pain and/or stress (Pilz et al., 2012). For example, artificial impregnation of cows involves a 365 series of intrusive procedures, which starts with the artificial insemination itself (i.e. including 366 both rectal and vaginal intrusions) and continues with routine pregnancy examinations that 367 involve rectal examination. Following an aversive treatment, dairy cows and calves learn to 368 avoid the specific handler and place associated with the aversive experience ("learned aversion", 369 Taylor and Davis, 1998, de Passillé et al. 1996; Rushen et al., 1998). By practicing positive 370 handling from an early age, farmers can both help their animals to reduce stress responses during 371 372 aversive experiences (in the case of artificial insemination, positive interactions by stockpersons was found to be positively correlated with conception rates, Hemsworth et al., 2000) and induce 373 positive affective states promoting positive welfare in the farm (Ellingsen et al., 2014; Proctor 374 375 and Carder, 2014; 2015a,b).

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Calves. In calves, positive handling at 4 weeks of age (e.g. moving slowly and calmly around in
the pen, speaking in a quiet and calm voice and encouraging interactions including pats and
scratches) affects approach behaviour towards familiar handlers, compared to negative handling
(e.g. fast movements, speaking with a harsh voice and creating noise with different tools, such as
a plastic bottle with rattling stones in it ;Schütz et al., 2012). However, the impact of handling
quality at an early age may be overshadowed by the amount of human contact at a later stage
(after 3 months, Schütz et al., 2012). When test calves (handled negatively and positively) were

384 compared with a control group reared routinely on-farm (i.e. without any particular handling treatment), the latter showed greater avoidance behaviour than both positively and negatively 385 handled calves, demonstrating the importance of both quality and quantity of handling. In a 386 387 more recent study, gentle interactions on group-housed dairy calves during the first 14 days of life were associated with smaller avoidance distances from a familiar person before and after 388 weaning (i.e. 86.2 ± 5.1 days old), and with higher average daily gain (up to 7%, depending on 389 390 milk provision, Lürzel et al., 2015). In beef cattle, gentle interactions (i.e. 120 min of touching over 6 days within 4 weeks of life) were associated with less fearful behaviour towards humans 391 (i.e. smaller avoidance distances) and less stress-related behaviour at the abattoir at the age of 10 392 months (i.e. less avoidance behaviour in the stunning box and a tendency for lower cortisol 393 concentrations in the blood taken during exsanguination, Probst et al., 2012). Positive handling is 394 also associated with more positive mood, as assessed by qualitative behaviour assessment (OBA, 395 Ellingsen et al., 2014). In their study, Ellingsen et al. (2014) characterized the behaviour of 396 stockpersons and portrayed the body language of the dairy calves that were under their care. The 397 398 stockpersons who handled their calves patiently, and petted and calmly talked to them during handling, were rated by respondents to be more 'friendly' and 'content'. By contrast, stockpersons 399 400 with a nervous handling style, or who were dominating and aggressive had calves that were perceived as in a more negative mood (Ellingsen et al., 2014). However, the extent to which 401 these QBA labels reflect real underlying mood states needs to be validated using more direct 402 measurements of animal behaviour and physiological state (e.g. Mendl et al. 2010). The effect of 403 brushing calves by humans will be reviewed under sensory enrichment. 404

405

406 *Cows*. In cows, a high percentage of positive interactions (talking quietly, petting and touching) 407 and a low percentage of negative interactions (forceful use of stick or hand, shouting and impatient talk) are associated with reduced avoidance of handlers in the milking parlor 408 (Waiblinger et al., 2002). Waiblinger et al. (2004) found that the stress response to an aversive 409 veterinary procedure could be reduced by positive handling prior to, and gentle interactions 410 during the procedure. In this study, positive handling included feeding cows a small amount of 411 concentrate out of the hand, stroking them at the neck and head as long as they accepted it, and 412 speaking to them in a soothing way for several minutes during 10 days distributed over a 4 week 413 period. Gentle interactions applied during an aversive veterinary procedure consisted of stroking 414 the cows at the neck and head and speaking to them in a soothing way. The authors found that 415 cows previously handled in a positive way had a lower heart rate and kicked less when isolated 416 417 for the procedure. In addition, cows that were calmed down during the procedure itself (i.e. gentle interaction) expressed less restless behaviour (e.g. head shaking and tail flicking). The 418 success of "calming down" the animals however, differed between handlers (Waiblinger et al., 419 420 2004; for additional evidence of the effects of positive and negative handling, see Rushen et al., 2001; Lensink et al., 2001; Waiblinger et al., 2006; Breuer et al. 2000 and Hemsworth et al. 421 2000; but see also Pajor et al., 2003 and Stewart et al. 2013 for limited or no effect of previous 422 handling). Brushing by humans can also lower stress levels in times of isolation and will be 423 discussed later under tactile enrichment. 424

425

426 2.2 Occupational Enrichment

Occupational enrichment encompasses both enrichment that encourages exercise and
psychological (also referred to as cognitive) enrichment (e.g. devices that provide animals with
control or opportunities to use their cognitive abilities, Bloomsmith et al., 1991).

430

431 2.2.1. Enrichment that Encourages Exercise

432

Calves. During early stages of life, calves are raised alone, in pairs or in groups. The space 433 allowance for each calf varies between rearing systems (and stocking density), and has a direct 434 effect on their ability to engage in voluntary physical training (Jensen et al., 1998). Physical 435 training of animals can be achieved through play behaviour, which can be directed at a 436 conspecific, object, conducted alone or in a company (Held and Spinka, 2011). In calves, play 437 behaviour was studied mainly in rearing conditions that allow very limited voluntary movement 438 (i.e. confinement). The motivation to perform locomotor play (i.e. galloping, bucking and 439 kicking, which involves no interaction between individuals) and trotting, was shown to increase 440 441 with the length of confinement (Jensen, 2001) suggesting that play is a behavioural need. A reduction in play behaviour in young mammals has been proposed as a reliable indicator of the 442 transition from positive to poor welfare (Held and Spinka, 2011). Indeed, play behaviour in 443 calves is shown to decrease when food provision drops (Duve et al, 2012), or when calves are 444 subjected to painful procedures (e.g. hot-iron disbudding, Mintline et al., 2013). Improving the 445 welfare of calves by rearing them in groups is associated with higher rate of play behaviour 446 (Jensen et al., 1998). In a recent study, Valníčková et al. (2015) assessed the effect of social 447 companionship (single vs. group housing) on play behaviour. In this study, housing calves in 448 449 groups was associated with more spontaneous play behaviour in the pen compared to housing

450 calves individually. In contrast, in an open-field test and during a social test (i.e. encounter with 451 an unfamiliar conspecific), individually housed calves were more playful compared to group housed calves (Valníčková et al., 2015). The lower levels of spontaneous play and the higher 452 453 rebound effect during the open field test and during the social test (i.e. when calves were exposed to a larger space without or with companion), was suggested as indicating deprived natural levels 454 of play behaviour in individually housed calves (Valníčková et al., 2015). Although the 455 occurrence of play behaviour is generally low, its applicability as a measure of welfare in 456 combination with other indicators is worth investigating further. Additional methods for 457 458 encouraging exercise (i.e. via play behaviour) will be further addressed under physical enrichment. 459

460

Cows. The motivation of cows to engage in physical activity (i.e walk, trot, gallop and jump), 461 has mainly been studied in individuals kept in tie stall barns. Despite increasing criticism, tie 462 stalls are still used throughout the world, with over 70% of dairy farms in Canada (Canadian 463 464 Dairy Information Centre., 2014), 38% in the United States, 69% in Finland, 78% in Switzerland (Barkema et al., 2015) that tether their cows. In the EU, 20% (lowland) and 80% (upland) of 465 cows are tethered at least during the winter (Veissier et al., 2008). Cows, similarly to calves, 466 show increasing motivation to engage in physical activity as a function of the time they spend in 467 confinement (i.e. tied up, Loberg et al., 2004; Veissier et al., 2008). Daily access to an exercise 468 area (e.g. indoor exercise area, out-door paddock, or preferably pasture) has been shown to revert 469 locomotor activity of tied cows to levels observed in loose-housed cows (Veissier et al. 2008), 470 and provides them with more opportunities to engage in social interactions, explore their 471 472 environment and groom 'hard to reach' hindquarters (Krohn et al., 1994). In Hérens cows (i.e. a

473 Swiss breed that is highly motivated to engage in dominance interactions), daily access to an 474 exercise yard was associated with lower frequency of agonistic interactions compared to access every 3 days or more (Castro et al., 2011). Likewise, Loberg et al. (2004) found lower levels of 475 476 aggressive behaviours (i.e. threatening and pushing) in tied cows that had access to an exercise yard once a day, compared to once a week. Engagement in exercise is also associated with 477 positive effects on claw conformation (Loberg et al., 2004), and reduced incidence of lameness 478 479 (Regula et al., 2004) and of mastitis (Popescu et al., 2013). In farms where tethering is still practiced, daily exercise of more than one hour is recommended as a measure to fulfill, at least to 480 some extent, the motivation of cows to engage in movement (Veissier et al. 2008). For 481 482 minimizing hock lesions, at least 50 hr per month are recommended (i.e. preferably on pasture to allow the cows to lie down comfortably, Keil et al., 2006). In the latter study, the duration of 483 each exercise period seemed to be particularly important, as increased frequency alone was not 484 found to be beneficial. To our knowledge, the contribution of additional daily exercise to the 485 welfare of cows kept solely in free-stall systems (i.e. which can be crowded, with high traffic 486 487 areas, and walking surfaces that are very difficult to keep dry and are slippery) has not yet been studied, and its practicality for high yielding dairy cows and for calves should be considered 488 489 separately.

490

491 2.2.2 Cognitive Enrichment

Recent studies have shown that farm animals are capable of more complex cognitive and
emotional responses than previously thought (Broom, 2010). Yet, farm animal housing generally
offers less stimulation and fewer opportunities for animals to use their cognitive abilities than
those available in the wild (Langbein et al., 2009). Providing animals with more opportunity to

496 use their cognitive abilities has been suggested to be an important component of animal 497 wellbeing (Carlstead and Shepherdson, 2000), however, this key assumption still requires additional supporting evidence. Cognitive enrichment can give animals control over aspects of 498 499 their environment, and can lead to positive affective states. The majority of empirical work on the effects of providing animals with control over their environment has investigated control 500 over punishment rather than reward (Sambrook and Buchanan-Smith, 1997). However, in recent 501 years, there has been increasing evidence suggesting that the control of positive situations, such 502 as situations that involve anticipation of consummatory reward, improves welfare (Manteuffel et 503 504 al., 2009; Basset and Buchanan-Smith, 2007). 505

Manteuffel et al. (2009) suggested that cognitive enrichment of group-housed animals on 506 commercial farms could be achieved using self-controlled operant learning tasks, and adapting 507 the degree of challenge to the cognitive abilities of each species. The initial stress and frustration 508 which may arise when a challenge is presented to the animal is suggested to be an important 509 510 feature in the process of cognitive enrichment, as long as the animals possess the skills and resources to effectively solve the problems that they face (Meehan and Mench, 2007). 511 512 Habituation and "over-experience" however, should be prevented by changing, to some extent, the conditioned discriminatory stimuli or by adding a further conditioned behaviour (e.g. variable 513 or fixed ratio lever pressing) to the initial one. In recent years, an increasing number of studies 514 have explored, both directly and indirectly, the cognitive abilities of cattle (e.g. face perception 515 and recognition, Coulon et al., 2011; spatial memory and decision making, Bailey et al., 1989a, 516 Bailey et al., 1989b; operant conditioning and reversal learning, Vaughan et al., 2014; Webb et 517 518 al., 2014; Wechsler and Lea 2007; Wredle et al., 2006; reversal learning in calves, Gaillard et al.,

519 2014). Nevertheless, to our knowledge, only Hagen and Broom (2004) specifically explored the 520 effect of cognitive enrichment on cattle behaviour. In this study, heifers were divided into an experimental group, where heifers were conditioned to press an operant panel to open a gate and 521 522 gain access to a food reward, and a control group, where the gate opened automatically after a delay equal to their matched experimental group partner's latency to open it. Heifers that learned 523 the operant task displayed evidence of greater "excitement" (i.e. higher heart rates and more 524 vigorous movement), compared to control heifers, which received the same reward after 525 spending the same amount of time in the pen. However, it is difficult to infer from this study the 526 valence associated with the higher arousal of the experimental group, and thus whether these 527 heifers indeed experienced enjoyment (contingent on understanding and gaining control over the 528 task) or frustration (Spinka and Wemelsfelder, 2011). 529

530

When planning cognitive enrichment for a group of cows, individual differences arising from 531 age or previous experience must be considered (Manteuffel et al., 2009). Indeed, individual 532 533 differences can make an enrichment task solvable and rewarding for one group member, and difficult and frustrating for another. Setting the complexity level of a task to the ability of the 534 535 weakest animal in the group will allow all of the animals in the group to solve the task, but may be too simple and easy for the majority of the group. A more promising solution that has, to our 536 knowledge, not been tested yet in farm animals, would be to adjust the complexity level of the 537 task to each member of the group. A system based on machine learning algorithms could adjust 538 itself to the (changing) lifetime abilities of each individual. This kind of knowledge could be 539 added to the individualized data that is already being collected in an increasing number of dairy 540

- farms around the world (e.g. daily milk yield, daily activity or rumination time), and give thefarmers a more detailed image of each individual animal kept in the group.
- 543

544 2.3 Physical Enrichment

The complex cognitive abilities of cows should also be considered when designing housing and husbandry systems (Broom, 2010). Physical enrichment includes altering the size or complexity of the animal's enclosure or adding accessories to the enclosure such as objects, substrate, or permanent structures (Bloomsmith et al., 1991).

549

550 *Calves.* Providing animals with access to alternate enclosures (i.e. by dividing space into different functional areas) was suggested to increase opportunities for exploration and patrolling, 551 as well as opportunities for camouflage and hiding (Newberry, 1995). Dividing the space within 552 an enclosure may also be beneficial for decreasing antagonistic interactions between calves. 553 Ninomiya and Sato (2009) compared the rate of agonistic encounters (e.g. head butting, chasing, 554 555 escaping) between Japanese black calves kept in an enriched pen divided by a wooden wall, with 556 the rate of agonistic encounters between calves kept in a control, not divided pen. Dividing the 557 space in the pen resulted in lower motivation of stronger calves to chase the weaker ones, possibly by preventing eye contact between the animals and forcing them to pass through narrow 558 gaps in order to come into contact with each other (Ninomiya and Sato, 2009). However, since 559 the authors did not control for additional factors, such as the effect of the brush and wood log 560 that were also placed in the enriched cage, the role of the wooden wall in decreasing agonistic 561 562 behaviour cannot be clearly demonstrated.

563

564 Alternating the physical environment of calves, in addition to reducing agonistic behaviours, can 565 be used to encourage play and related exercise. Providing calves with increased space allowance (i.e. $1.8m \times 3.0m$ compared to $0.9 \text{ m} \times 1.5m$) is associated with higher levels of play behaviour 566 567 (Jensen et al., 2015a). Adding additional stimuli to the enclosure (i.e. provision of fresh bedding) also appears to stimulate the occurrence of this behaviour (Jensen et al., 1998, see also Schütz et 568 al., 2012). Jensen et al. (1998) reported that provision of straw in connection with morning 569 feeding (i.e. when fresh straw was supplied every day after milk feeding), stimulated the largest 570 daily peak in play behaviour. Playing with straw was reported by the authors to resemble ground 571 play, as opposed to object play. Ground play consists in the calf rubbing the head and neck 572 against the ground while kneeling down (Schloeth, 1961). However, since fresh straw was 573 always supplied following morning feeding in Jensen et al. (1998), its effect on play behaviour 574 575 cannot be fully evaluated, as it is not possible to disentangle time of day effects from the effect of straw. Encouraging play behaviour in calves by the use of other substrates or external objects 576 (e.g. cow brushes and/or balls hanged at a height of 1.3 meters) may prove to be beneficial when 577 578 social isolation is mandatory (e.g. quarantine, Bulens et al., 2014).

579

Cows. In zero grazing systems, cowsheds are usually designed to provide constant visual and physical contact between conspecifics. Allowing cows to maintain contact with each other, corresponds, at least to some extent, to their natural need to live in a group. However, in the wild, cows also have the possibility to isolate themselves from the group when they need to, such as the time around calving (Lidfors et al., 1994). The need to isolate oneself from the group seems to be important also for the high yielding cows used today in the industry. Proudfoot et al. (2014a) showed that, when given the opportunity, dairy cows housed in individual maternity

587 pens preferentially used a secluded area to calve. Cows began using the secluded area more than 588 usual during the hour before calving and continued to use it more for the hour after calving. The need to isolate oneself from the group was also documented during times of illness. Proudfoot et 589 590 al. (2014b) found that cows with high rectal temperature after calving and signs of an infectious disease (mastitis, metritis, pneumonia, or some combinations of these diseases) spent more time 591 in the secluded area compared to healthy cows. Building secluded areas inside the cowshed thus 592 593 seems to provide cows with the opportunity to express, at least to some extent, their natural need to isolate themselves from the group. Cows should have free access to these secluded areas, as 594 the need for isolation at times of morbidity is not shared by all group members, and not for all 595 morbidity cases (e.g. lame cows, Jensen et al. 2015b). Secluded areas that cows can access freely 596 can be introduced in both loose housing systems (e.g. by installing a "movable" fence that can be 597 598 folded when the soil needs to be cultivated), and in free stall systems (e.g. by installing dense nets that provide a visual barrier but can still allow air flow). Monitoring the occupation of such 599 areas (i.e. in calving pens, hospital pens and free-access secluded pens) may prove to also be 600 601 beneficial for farmers, as they could acquire an additional indicator for the wellbeing of their cows and their proximity (i.e. in time) to calving. The need to minimize contact with the group 602 can also appear in low ranking dairy cows at times of high aggression in the shed (feeding time, 603 Haskell et al., 2013). For example, providing access to a loafing area allows low-ranking cows to 604 avoid dominant animals (Haskell et al., 2013). The extent to which summer yards/exercise yards 605 (i.e. adjacent to the cowshed that allow animals to "sun bath") can further contribute to enrich the 606 physical environment of cows should be explored in future studies. 607

608

609 Enriching the lying area of cows by providing them with a comfortable lying surface (i.e. soft, 610 non-abrasive, clean and dry) was also suggested to be an important factor in enhancing cows' comfort and welfare (Tuyttens, 2005, Fregonesi et al., 2007). Bedding materials can vary from 611 612 organic or mostly organic materials such as straw, saw dust, inorganic (e.g. sand) to synthetic materials such as or mats made of rubber combined with polypropylene and nylon. The 613 preferences of cows can strongly differ depending on the type of bedding (Norring et al, 2008), 614 quantity of bedding (Jensen et al., 1988), quality of bedding (i.e. whether the lying surface is dry 615 or wet; Fregonesi et al., 2007), on the season (summer and winter, Manninen et al., 2002), and on 616 previous experience (Tucker et al., 2003). However, their preference for some bedding materials 617 may not necessarily fit the long term benefit of the animal. For example, bedding with straw, 618 compared to sand bedding, was associated with increased lying time (straw 749 ± 16 vs. sand 619 620 678 ± 19 min per day). However, it was also associated with more severe hock lesions and with less improvement in overall foot health (Norring et al, 2008). The costs of bedding materials can 621 be high in some countries and therefore, lying mats can also be used as a measure to reduce the 622 623 costs of bedding, by placing small quantities of bedding materials on them (Norring et al., 2010; for further information on bedding, see review by Tuyttens 2005). Other measures that can 624 enrich the physical world of cows, such as placing cow brushes inside the cowshed, will be 625 addressed in the sensory enrichment section. 626

627

628 2.4 Sensory Enrichment

Sensory enrichment is defined as stimulation designed to trigger one or more of an animal's
senses; Wells, 2009). The stimulation can be achieved through visual (e.g., television), auditory
(e.g. music, vocalizations), or other modalities (e.g., olfactory, tactile, taste; Bloomsmith et al.,

- 632 1991). As there are very few studies conducted in each subfield of sensory enrichment, we shall633 discuss both age groups (i.e calves and cows) together.
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635 2.4.1 Auditory Enrichment

When considering the use of auditory enrichment, the excellent hearing of cows, compared to 636 humans, should be taken into account. The hearing range of cattle lies between 23 Hz and 35 637 kHz, nearly one octave higher than that of humans (Heffner and Heffner, 1983). Exposing cattle 638 to high pitch sounds was suggested to damage their hearing and affect feeding behaviour (Johns 639 et al., 2015). The environment on commercial dairy farms can be loud and noisy; the sound of 640 metal gates opening and closing, tractors carrying total mixed ration/straw and vacuum operated 641 milking machinery, are only some of the noises that cows are exposed to frequently. Waynert et 642 al (1999) reported that beef heifers exposed to the noise of clanging metal and humans shouting 643 showed higher heart rate and activity than cattle in a quieter environment. Similarly, noise 644 recorded from a commercial milking facility induced fear in dairy heifers and, when given the 645 646 opportunity, the heifers learned to avoid these noises (Arnold et al., 2008). Although the results of the latter study cannot be generalized to all kinds of milking machinery, and may depend on 647 648 farm management, they align with the argument that an overall reduction of ambient noise, rather than additional acoustic stimulation, might be more important for the animals themselves (Wells, 649 2009). In accordance with this view, Newberry (1995) emphasized that adding auditory stimuli 650 to an environment that is already noisy may cause more harm than good, especially if the 651 animals have no control over the sound (i.e. such as the ability to move to a quieter location or to 652 switch off the sound). 653

654

655 On farms, auditory stimuli are used for a variety of tasks, from improving cow traffic in 656 automatic milking systems (Uetake et al., 1997), to training cattle to approach a food source (Wredle et al., 2004). In most cases, the association between the auditory stimulus and cow 657 behaviour is established using classic or operant conditioning. In a recent study, Kiyici et al. 658 (2013) used music as a measure to reduce cows' stress levels during milking. Cows that were 659 played classical music (during the milking period, for a period of 28 weeks), compared to no 660 music, had higher milk let down speed $(6.27\pm0.12 \text{ min vs } 6.68\pm0.13 \text{ min, respectively})$. 661 However, since the authors did not control for the effect of classical music on the workers and its 662 possible effect on the handling of cows, nor did they measure stress levels in the cows, the 663 association between classical music and stress levels cannot be clearly determined. If indeed 664 playing classical music in the milking parlor has an indirect effect on the welfare of cows (i.e. by 665 666 affecting the milkers themselves), an intermediate solution that would reduce ambient noise for cows while enabling farmers to hear music would be the use of earphones. 667

668

669 In addition to classical music, some studies tested for the effect of more "natural" auditory stimuli, such as playbacks of calf vocalizations, on increased milk yield. Pollock and Hurnik 670 671 (1978) exposed cows in the milking parlor to playbacks of calf calls. The broadcast of calls started just as the cows entered the milking parlor and ceased when the last teat cup had been 672 placed on the last cow. Milk let down during the first two minutes of milking was higher in the 673 674 treatment group compared to the control group, which was not exposed to calls (average production of 16 cows = 81.1 ± 0.4 kg vs. 79.7 ± 0.5 kg, respectively). In a more recent study, 675 McCowan et al. (2002) reported an increase of 1-2% in milk yield during the second milking 676 677 session following playbacks of calf calls. Although the results of these two studies present a

678 positive association between exposure to playbacks of calf vocalizations and milk vield/release. 679 their effect on the emotional state of the cow was not assessed (McCowan et al., 2002). It has been suggested that, similarly as in most other mammals (Manteuffel et al., 2004; Briefer, 2012), 680 681 vocalizations in cattle may signal the physiological and emotional state of the calling animal (Thomas et al., 2001; Marchant-Forde et al., 2002; Ikeda and Ishii, 2008). Indeed, previous 682 studies have shown that calves vocalize more before feeding time and that calves fed by 683 conventional management (i.e. twice daily for a total of 5 L during 24 h) produce more calls 684 compared to calves fed every 4 hours with 8 L of milk per day $(31.4 \pm 7.0 \text{ vs}, 5.0 \pm 3.4 \text{ milk})$ 685 respectively; Marchant-Forde et al., 2002). The calls used in the two above-mentioned 686 experiments (Pollock and Hurnik, 1978 and McCowan et al, 2002) were produced by calves that 687 were either deprived of food (for 8.5 h, Pollock and Hurnik, 1978) or prior to milk feeding 688 689 (McCowan et al, 2002). Marchant-Forde et al. (2002) found that cows that were played recordings of calves (i.e. produced in similar conditions - in a commercial farm, under one week 690 of age, before feeding), compared to white noise, had greater heart rate response, increased head 691 692 movements and ear movements, oriented more toward the speaker and spent less time eating. Since calf call playbacks were played to the cows throughout the milking session in Pollock and 693 Hurnik, (1978) and McCowan et al, (2002) (i.e. playback calls did not stop while milking was in 694 process), a negative emotional reaction in the cows might have been triggered through, possibly, 695 emotional contagion. The appropriateness of using this procedure as a measure to increase milk 696 697 yield, and its possible influence on the public opinion, should also be weighted into the equation when considering its use. 698

699

700 2.4.2 Visual Enrichment

701 The visual system of cattle is very sensitive to motion and contrasts of light and dark (Grandin 702 2000). The lateral eye position enables cattle to constantly scan the horizon (up to 330 degrees) 703 for predators, and facilitates other activities, such as sexual (mounting) behaviour (Grandin 2000, 704 Tucker 2009). Visual enrichment, as opposed to auditory and olfactory enrichment, can be simply avoided by the animals when found to be aversive (i.e. by closing their eyelid, or 705 diverting their gaze away), and consequently, controlled (Wells, 2009). However, to the best of 706 707 our knowledge, very little work has been carried out on the effect of visual enrichment on cattle. Haskell et al. (2013) found no significant effect of access to a view of surrounding fields and 708 709 farm tracks on the motivation of cows to occupy a loafing area. The authors' interpretation was that a view of the surroundings has little motivational value for cows. However, since the effect 710 of visual access to the surroundings was not assessed during feeding time (i.e. food was placed 711 712 inside the cowshed), when lying down (i.e loafing area had concrete flooring which is less favored by cows) or during the evening/night (i.e. observations in this study were conducted only 713 during day light hours), further investigation on this potential enrichment is needed. An 714 715 additional factor that should be controlled for when assessing the effect of visual surroundings on dairy cattle is the confounding effect of previous experience (discussed above under physical and 716 717 cognitive enrichment, Tucker et al., 2003; Manteuffel et al., 2009). Piller et al. (1999) studied the effect of mirror-image exposure on heart rate and movement of isolated heifers. The presence of 718 mirrors was associated with reduced heart rate and movement, and had a greater calming effect 719 720 when placed directly in front of the animal, compared to a reflected side-view. In accordance 721 with these results, Coulon et al. (2011) found that heifers were more attracted to images of familiar conspecifics (i.e. chosen first, explored more, and given more attention) compared to 722 723 images of unfamiliar conspecifics. If images of conspecifics are indeed treated as representations

724 of the real individuals, they might prove to be beneficial for lowering stress levels during 725 husbandry/medical procedures that require isolation. In a study measuring salivary cortisol and chromogranin A concentrations from three Japanese Black cows, a picture of a companion, 726 727 compared to a blank picture, tended to decrease, but not significantly, stress response measured after 30 minutes following the start of the isolation. However, two hours later, the opposite effect 728 was observed for salivary cortisol levels (Ninomiya and Sato, 2011). One possible explanation 729 730 for the time-limited effect of presenting a picture of a companion on lowering stress levels is that frustration might build up over time, because of the inability to eventually engage in contact with 731 the conspecifics (Wells, 2009). More research is needed in order to gain a better understanding 732 of the short term and long term beneficial effects of this tool in lowering stress. It is important to 733 point out that reducing stress responses in cattle can also be achieved by eliminating visual input 734 735 rather than enriching it. Mitchell et al. (2004) found that preventing beef cattle from seeing by blindfolding them, reduced the amount of struggle and tended to lower heart rate during restraint. 736 We encourage research aimed at a better understanding of the "calming effect" induced by 737 738 blindfolding and a systematic comparison between these two approaches (i.e. mirror vs. blindfolding). 739

740

741 2.4.3 Olfactory Enrichment

Cattle, compared to humans, have a very sensitive sense of smell. Using their vomeronasal organ, cattle can detect pheromones indicating the reproductive state of their conspecifics or their stress state (via their urine; Terlouw et al., 1998). To our knowledge, there is only one study that assessed the suitability of olfactory enrichment in cattle. Wilson et al. (2002) compared two tactile enrichment devices (e.g. a scratching/rubbing walkway and a movable scratching/rubbing

747 device), two olfactory enriching devices (e.g. a milk-scent releasing device and a lavender-scent 748 releasing device) and a control non-scented device. Their results show that tactile enriching devices were used for a longer duration, more frequently and by a higher percentage of cows 749 750 compared to the scent devices. Moreover, the initial preference that cows displayed towards the milk and lavender-scent devices over to the non-scented control device decreased over the course 751 of the experiment. The authors concluded that scratching/rubbing devices are better candidates 752 753 for environmental enrichment than scent devices, as the interest that cows display towards them does not fade over time. A different approach that holds higher biological relevance, and that, to 754 our knowledge, has not been tested yet, is to enrich the scent of cows food ration, either with 755 artificial or natural smell. One possible constraint associated with this idea is the reluctance of 756 ruminants to eat novel food (known as food neophobia; Launchbaugh, 1995), and the possible 757 758 association of the new smells with new tastes. We will further discuss this limitation in the nutritional enrichment section. 759

760

761 2.4.4 Tactile Enrichment

On pasture, cattle use trees and other inanimate objects to groom themselves. The use of trees for 762 rubbing seems to originate from a different need than grooming behaviour. When trees are 763 available, cattle will use them for scratching different body regions, without spending less time 764 self-grooming and allogrooming than when trees are absent (Kohari et al., 2007). Inside the dairy 765 766 farm, lacking any trees, dairy cows rub their body and mainly their head and neck on metal gates, fences and water troughs (DeVries et al., 2007). A useful tactile enrichment device that can be 767 placed on the farm and ease grooming behaviour of cows is an automated brush. Automatic 768 769 brushes allow cattle to groom themselves, particularly in body regions that they find hard to

770 reach otherwise (DeVries et al., 2007), and were shown to be preferred over fixed brushes 771 (Gutmann 2010). In addition to providing the cows with the opportunity to engage in 772 scratching/rubbing behaviour, the brushes are also associated with better body cleanliness and in 773 some situations, improved milk yield (second lactation, Schukken and Young, 2009). Once a brush is installed on the farm, calves, lactating dairy cows, dry cows and breeding bulls (both 774 young and mature), will use it on a daily basis (Georg and Totschek, 2001, Georg et al., 2007; 775 776 DeVries et al., 2007; Hoyer 2013, Newby et al., 2013; Mandel et al., 2013). The frequency of brush usage varies between cows and housing systems, and was found to be considerably higher 777 (4-7 fold) in studies where cows/bulls were housed individually (Hoyer 2013; Newby et al., 778 2013), compared to studies where one brush was present for a group of cows (Georg and 779 Totschek, 2001, Georg et al., 2007; DeVries et al., 2007; Mandel et al., 2013). The high usage 780 781 rates by individually housed cows can be attributed to reduced competition over this resource (Val-Laillet et al., 2008), boredom (Hoyer 2013) or simply the lack of allogrooming possibilities. 782 Another factor that plays an important role in brush utilization is the location of the brush and its 783 784 distance from the food bunk (Mandel et al., 2013). In addition to its hedonistic character and high utilization rates, brush usage can serve as an interesting tool to measure and identify stress 785 and morbidity in dairy cows (Mandel et al., 2013). Indeed, in this previous paper, we found 786 reduced brush usage under heat load and following intrusive medical procedures (i.e. stress; 787 Mandel et al., 2013). In accordance with this idea, a recent study showed that brush usage is also 788 reduced among steers infected with Bovine Respiratory disease (BRD) on the day of peak illness 789 790 (i.e. morbidity; Toaff-Rosenstein et al., 2014).

791

792 The need of cows to engage in scratching/rubbing behaviour can also be achieved by brushing 793 them manually. In a recent study, Westerath et al. (2014) examined whether calves judge human brushing as positive. The majority of calves faced with a preference test preferred a compartment 794 795 with a human that brushed the animals over an empty compartment. The authors mentioned that all calves "leaned against the brush" and "stretched the neck while being brushed", possibly 796 indicating some kind of perceived pleasure when being brushed. However, the authors emphasis 797 798 the need to habituate the animals to being brushed, in order for the positive impact of brushing to exceed the fear of novelty or the fear of a contact with humans (as opposed to very rapid 799 adaptation of cows to automated brushes, DeVries et al., 2007). Accordingly, Westerath et al., 800 (2014) also mention that some of the animals used in their study never habituated to being 801 brushed by the experimenter, implying that this action is not perceived to be positive by all 802 803 individuals. Hanging manila ropes (i.e. fiber ropes originally used for pathogen sampling) in the yard may also serve as a tactile enrichment device (Stanford et al., 2009). Following a short 804 adaptation period, cattle will lick and nibble the rope on a daily basis (Stanford et al., 2009). 805 806 Calves and heifers interact more with the rope than mature cows (Stanford et al., 2005; Stanford et al., 2009). However, to our knowledge, the nature of the motivation to engage in this 807 808 behaviour was not investigated, and its origin should be assessed to control for nutritional deficits/stereotypic origin. 809

810

811 2.5 Nutritional Enrichment

Nutritional enrichment can involve either presenting varied or novel food types, or changing the
method of food delivery (Bloomsmith et al., 1991).

814

815 *Calves.* Calves start to suckle milk from their dam within hours of birth (domestic settings: 816 Edwards and Broom, 1982; non-domestic settings: Tucker, 2009). The motivation to suckle at this age is very high, and the frequency of milk intake in dairy calves ranges between 8-12 times 817 818 a day (dairy calves, Jensen, 2003). Calves kept with their dam can suckle at will, and tend to have frequent suckling bouts during which they ingest small amounts of milk at a time (Kalber 819 and Barth, 2014). In the following weeks, the frequency of milk intake will gradually decrease to 820 3-4 times a day (Jensen, 2003), allowing plant based diet to slowly build up. Natural weaning 821 occurs between 6 months and one year (Newberry and Swanson, 2008). Allowing calves to 822 823 suckle directly from the teats of their dam/foster cow (i.e. either in a full or restrict contact dam rearing system), has been associated with higher growth rates and reduced cross-sucking 824 behaviour (Roth et al., 2009). However, the higher growth rate associated with dam-rearing 825 826 could be confounded by a higher milk intake (of natural milk) compared to calves provided with milk replacer (Kalber and Barth, 2014). 827

828

829 In most intensive farming systems, calves are raised separately from their dam and milk is typically provided via a teat feeder or in a bucket. When fed from a bucket, calves consume a 830 831 large amount of milk in a very short time (i.e. 2.5 liters in about one minute, compared to 8-12 minutes for an average suckling bout from the dam's udder, Loberg and Lidfors, 2001). Feeding 832 calves through an open bucket (i.e. without a nipple) is cheap and easy to maintain, but does not 833 834 provide calves with the possibility to perform suckling behaviour. Preventing calves from performing suckling behaviour may result in its redirection towards pen objects or peers (i.e. 835 cross-sucking). Although the origin of cross-sucking behaviour is thought to be influenced by 836 837 multiple factors, such as milk allowance and age of weaning, recent findings show that cross-

sucking may reflect individual differences or be the result of habit formation (de Passillé et al.,2011).

840

The traditional method for treating cross-suckling behaviour is to install a pronged nose-ring or 841 halter on the nose of the suckling calf. Spikes attached to the nose ring/halter provoke avoidance 842 behaviour among group members, and limit their ability to suckle each other. The method was 843 criticized for targeting the signs rather than the cause (Keil et al., 2000). An alternative treatment 844 that deals with the cause itself and gives calves the opportunity to perform suckling behaviour is 845 to place a rubber nipple in the pen. de Passillé and Caza (1997) showed that the occurrence of 846 cross-suckling behaviour is reduced by more than 75% when calves are presented with dry 847 rubber nipples following milk intake from a bucket. However, although the rate of cross-suckling 848 849 was reduced using this method, it still involves the performance of a functionless behaviour (i.e. sucking a dry rubber teat), which may be referred to as stereotypic (de Passillé et al., 2011). A 850 more promising solution is to provide calves with nutritive feeding nipples (i.e. where milk is 851 852 being provided through the rubber nipple), which reduces both cross-suckling behaviour (de Passillé, 2001; Jensen and Weary, 2013), and the time spent in non-nutritive suckling (i.e. when 853 milk is finished and the nipples are dry; de Passillé et al., 2011). The nutritive rubber nipple can 854 be connected to a bucket (i.e. teat-bucket) or to a feeder (i.e. teat-feeder), and be used to provide 855 calves with several milk meals a day. Since calves, when suckling from their mothers, tend to 856 switch quarters once milk flow begins to decline (in contrast to piglets which use the same teat; 857 Haley et al., 1998), placing shoulder barriers between feeding stations is encouraged, in order to 858 prevent competition between calves (Jensen et al., 2008). Enriching the environment of a teat-859

- feeder by adding a post-feeding area with non-nutritive rubber teats and a net filled with a haybale, can also further reduce cross-sucking behaviour (Ude et al., 2011).
- 862

Cows. On pasture, dairy cows graze between 6-12 hrs a day, depending on nutrient availability, 863 ingestion speed and competition over food resources (Coffey et al., 1992). However, when kept 864 indoors, feeding time is reduced to 4 hrs a day on average (Gomez and Cook, 2010). This change 865 in feeding time can be explained, at least to some extent, by the highly predictable food location 866 and the easily consumed form in which food is being dispensed in indoor conditions (e.g. 867 fenceline feeding of total mixed ration (TMR); Newberry, 1993; Gomez and Cook, 2010). This 868 form of dispensing food is however associated with increased incidence of agonistic interactions, 869 which is usually not the case on pasture, when the animals are spaced out from each other (Miller 870 871 and Wood-Gush, 1991). Providing cows with a larger feeding space (1.0 m per cow compared to 0.5 m) resulted in at least a 60% increase in space between animals and a 57% reduction in 872 aggressive interactions while feeding (DeVries et al., 2004). This change was associated with 873 874 increased feeding activity throughout the day, especially during the 90 min after providing fresh feed (an increase of 24%). More importantly, the increase in feeding activity was particularly 875 evident for subordinate cows. When providing extra feeding space per cow is not possible, 876 placing feed barriers (i.e. headlocks) can serve as an intermediate solution that decreases 877 displacements at the feeding bunk by more than 20% (Endres et al., 2005). 878 879 Although keeping cows indoors seems to affect the "naturalness" of their feeding behaviour (i.e. 880 the extent to which a behaviour resembles that performed in more extensive or natural 881

882 environment), the functionality and adaptiveness of indoor feeding techniques should be

883 emphasized (Newberry, 1995). The demand for high milk yield in intense dairy farming systems places high metabolic demand on dairy cows (Butler and Smith, 1989; Rauw et al., (1998), 884 Oltenacu and Algers (2005), or Veerkamp (2009). Providing cows with easier access to food (i.e. 885 886 in the form of TMR) reduces the time they need to spend standing in order to feed, and may thus be adaptive in order for cows to cope with the energetic demand placed on them. However, the 887 functionality and adaptiveness of this feeding method for heifers is not clear, as they do not share 888 the same metabolic demands as lactating dairy cows, and are consequently left to occupy longer 889 periods of time with a limited range of possible behavioural patterns. Providing part of the daily 890 891 food ration through a food net (or a device that forces the animal to engage in food collection such as pulling the hay from a bundle rather than just picking it up from the floor) may prolong 892 the duration of feeding behaviour and serve as a measure to increase the "naturalness" of calf 893 feeding behaviour. Providing essential resources (such as food) both in their regular form (i.e. 894 feed bunks) and as a reward (i.e. food net), can enrich cows' feeding experience through 895 contrafreeloading (i.e. the phenomenon where an animal will work for a reward in the presence 896 897 of the same reward available freely; reviewed by Inglis et al., 1997) and may contribute to their welfare. We encourage further investigation of this idea as an enrichment method for heifers. 898 899

Offering animals a wider selection of food types was also suggested to be a potential source of
enrichment (Newberry 1995). Bioactive forages for example, are offered to combat
gastrointestinal parasites and serve as an alternative to anthelmintic drugs (Hutchings et al.,
2003). However, when considering the practicality of this enrichment method, one should also
take into account the effect of neophobia towards unfamiliar food (Westerath et al., 2014,
although see Costa et al., 2014 for reduced neophobia in calves raised in complex social groups

including both calves and cows). Familiarizing the cows with varying types of food (of which 906 907 some is regarded to be more rewarding and some less, e.g. concentrate in calves, Westerath et al., 2014) may prove to be beneficial in decreasing neophobia, but may have an impact on the 908 909 bacterial diversity in the rumen, which plays a major role in the productivity and health of cows (Callaway et al., 2010). In addition, food that is considered to be more rewarding (e.g. 910 concentrate in calves, Westerath et al., 2014) may increase competition, and render the food 911 accessible only to more dominant cows. In accordance with this idea, Rioja-Lang et al. (2009) 912 showed that dairy cows trade-off food quality with proximity to a dominant individual in Y-maze 913 914 choice tests. Therefore, enriching the food of farm animals (using familiar food which is perceived to be more rewarding by the animals) might prove to be more suitable in farms that 915 dispense food individually (via individual recognition systems), or in farms that install proper 916 917 barriers between feeding stations to avoid agonistic behaviour (Arachchige et al., 2014). A 918 different approach, which to our knowledge has not been tested yet, is to use nutritional enrichment as a measure to detect lack of sufficient nutrients in the diet. Bell and Sly (1983) 919 920 showed that the hedonic characteristics of nutritional enrichment, such as salt-licks, may be limited to nutrient deficient cattle. However, this idea should be further examined. 921

922

923 3. CONCLUSION

Indoor housing of dairy cows and calves is associated with various challenges for the animals,
such as the constraint to occupy long periods of time with a limited range of behaviour patterns,
as well as maintenance in abnormal social groups (Hughes and Duncan, 1988, Morgan and
Tromborg, 2007). Here, we have reviewed environmental enrichment methods that are aimed at
assisting cattle to cope better with stressors in their environment, prevent frustration and increase

929 the fulfillment of behavioural needs. As animal welfare is considered not only as the absence of 930 stress and harm, but also as the promotion of better affective conditions (Boissy et al. 2007), the implementation of cognitive enrichment that can lead to positive emotions was also discussed. 931 932 Several of the basic behavioural requirements described here (e.g. social enrichment in the form of contact with conspecifics) are considered as minimal requirements for raising standards for 933 gregarious animals, and in some countries are enshrined under legislative acts (European Union 934 Council Directive 2008/119/EC, Article 3). However, in many other countries, even these basic 935 requirements are not met (Fraser et al., 2013). Enrichment methods aimed at fulfilling other 936 important behavioural needs (e.g. providing cows with a secluded area to calve, or feeding 937 calves with milk through a nipple), have the potential to advance the welfare of dairy cows and 938 calves, but are not yet statutory. Finally, the contribution of enrichment methods that are less 939 940 biologically relevant (reviewed here under olfactory and auditory enrichment, e.g. classical music, lavender smell) to the wellbeing of cattle is, however, less clear. Newberry (1995) argued 941 that "enrichment attempts will fail if the environmental modifications have little functional 942 943 significance to the animals, are not sufficiently focused to meet a specific goal, or are based on an incorrect hypothesis regarding the causation and mechanisms underlying a problem". Once 944 these criteria are met, other factors, such as the accessibility of the enrichment device to cows 945 from different hierarchical rankings (and competition over resources), as well as the difficulty of 946 cleaning and disinfecting enclosures and other enrichment materials, should be taken into 947 consideration when assessing the practicality of a specific enrichment device. The benefits of 948 enriching the environment of dairy cows and calves, in terms of improving the physical and 949 mental state of the animals, and in some cases increased productivity, should be weighted in the 950 951 expected costs of integrating these methods into commercial farms, and may consequently play

952	an important part in increasing the motivation of farmers to adopt these methods. Several of the
953	methods described here, will, with time, be integrated to the minimum raising standards of cattle,
954	whereas others will remain under the scope of enrichment, and continue to pull the field of
955	animal welfare forward, allowing us to deepen our understanding of farm animals and their
956	needs inside the industry. As zero grazing systems gain popularity around the world, more
957	research will be needed to assess their impact on the wellbeing of the animals, and the
958	development of enrichment methods which are better adapted to cows and calves housed indoors
959	will be required.
960	
961	ACKNOWLEDGEMENTS
962	We would like to thank E. Briefer, M. Wenker and three anonymous reviewers for
963	helpful comments on the manuscript. R. M. is funded by the Universities Federation for Animal
964	Welfare and by the Harry and Sylvia Hoffman Leadership and Responsibility Program at the
965	Hebrew University, Israel.

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