

# Major Depression with Ischemic Heart Disease: Effects of Paroxetine and Nortriptyline on Measures of Nonlinearity and Chaos of Heart Rate

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## Key Words

Major depression · Cardiovascular mortality · Nonlinearity · Chaos · Largest Lyapunov exponent · Heart rate variability · Awake · Sleep

## Abstract

Depression is associated with increased cardiovascular mortality in patients with preexisting cardiac illness. A decrease in cardiac vagal function as suggested by a decrease in heart rate variability (HRV) or heart period variability has been linked to sudden death in patients with cardiac disease as well as in normal controls. Recent studies have shown decreased vagal function in cardiac patients with depression as well as in depressed patients without cardiac illness. In this study, we compared 20 h awake and sleep heart period nonlinear measures using quantification of nonlinearity and chaos in two groups of patients with major depression and ischemic heart disease (mean age 59–60 years) before and after 6 weeks of treatment with paroxetine or nortriptyline. Patients received paroxetine, 20–30 mg/day or nortriptyline targeted to 190–570 nmol/l for 6 weeks. For HRV analysis, 24 patients were included in the paroxe-

tine treatment study and 20 patients in the nortriptyline study who had at least 20,000 s of awake data. The ages of these groups were  $60.4 \pm 10.5$  years for paroxetine and  $60.8 \pm 13.4$  years for nortriptyline. There was a significant decrease in the largest Lyapunov exponent (LLE) after treatment with nortriptyline but not paroxetine. There were also significant decreases in nonlinearity scores on  $S_{\text{netPR}}$  and  $S_{\text{netGS}}$  after nortriptyline, which may be due to a decrease in cardiac vagal modulation of HRV.  $S_{\text{netGS}}$  and awake LLE were the most significant variables that contributed to the discrimination of postparoxetine and postnortriptyline groups even with the inclusion of time and frequency domain measures. These findings suggest that nortriptyline decreases the measures of chaos probably through its stronger vagolytic effects on cardiac autonomic function compared with paroxetine, which is in agreement with previous clinical and preclinical reports. Nortriptyline was also associated with a significant decrease in nonlinearity scores, which may be due to anticholinergic and/or sympatholytic effects. As depression is associated with a strong risk factor for cardiovascular mortality, one should be careful about using any drug that adversely affects cardiac vagal function.

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## Introduction

Major depression is associated with poor prognosis in patients with heart disease [1–9]. Some studies have shown decreased heart rate variability (HRV) in these vulnerable patients with and without overt cardiac disease using linear and nonlinear techniques [10–13]. Roose et al. [14, 15] have found that nortriptyline, a tricyclic antidepressant, was associated with a higher rate of side effects compared with paroxetine, a serotonergic reuptake inhibitor in depressed patients with heart disease. Our previous reports on time and frequency domain measures of HRV in patients with anxiety after treatment with imipramine, paroxetine and nortriptyline have shown that nortriptyline as well as paroxetine were associated with a significant decrease in high frequency (HF: 0.15–0.5 Hz) HRV, though there was an increase in beat-to-beat QT interval variability only in the nortriptyline group, which suggests a relative increase in sympathetic function and a decrease in cardiac vagal modulation after treatment with nortriptyline [16–19]. The mean age group of these patients was around 35 years and they did not have a history of any heart disease.

An increase in cardiac sympathetic function or a decrease in vagal function can lead to serious ventricular arrhythmias and sudden death [20]. Recent noninvasive techniques on HRV and QT variability show a great deal of promise to study cardiac autonomic function in different disorders and also to evaluate the effects of various drugs [21–29].

Our findings on short-term and 24-hour HRV and measures of nonlinearity and chaos suggest that patients with depression and no heart disease have a significantly decreased largest Lyapunov exponent (LLE) and also decreased spectral power in different bands from 0 to 0.5 Hz of HR time series [12, 13]. This is important in view of the strong association between decreased HRV and significant cardiovascular mortality in patients with cardiac disease, depression, anxiety, and also normal controls [30–33]. Using frequency domain measures, HR time series can usually be decomposed into very low frequency (VLF: 0–0.04 Hz), low frequency (LF: 0.04–0.15 Hz) and high frequency (HF: 0.15–0.5 Hz) bands [34–36]. VLF power appears to be related to thermoregulation and vascular mechanisms, LF power to sympathetic as well as parasympathetic influences and HF power to parasympathetic mechanisms.

Several investigators have pointed out and have demonstrated the nonlinear nature of the HR or heart period (HP) time series and have also shown the superiority or

the additional utility of these measures to the traditionally used time and frequency domain measures [37–48]. Several recent studies have stressed the importance of nonlinear measures compared with the time and frequent domain measures of HR or HP. Poon and Merrill [49] reported a decrease in cardiac chaos in severe congestive heart failure, a condition associated with sudden death. Voss et al. [50] showed that nonlinear measures seem to be a better predictor of high arrhythmia risk than just the global HRV, using multiparametric analysis. Makikallio et al. [51] showed that fractal analysis of HR could be used as a predictor of mortality in patients with depressed left ventricular function after acute myocardial infarction. Huikuri et al. [52] and Huikuri and Makikallio [53] discussed time and frequency domain, and nonlinear measures in their reports suggesting that the nonlinear measures of HRV are promising tools to stratify risk and as predictors of death and life-threatening arrhythmia in postinfarction populations. Thus, there is mounting evidence to suggest that the nonlinear measures are clinically very important.

Previous studies have suggested that tricyclic antidepressants result in tachycardia, prolongation of QTc interval, decreased HRV and an increase in QT variability [19, 54, 58], which are all associated with significant cardiovascular events. Rechlin et al. [59] have shown that amitriptyline significantly decreases HRV in patients with depression. On the other hand, Tucker et al. [60] have reported that paroxetine increased cardiac vagal activity in patients with panic disorder.

Thus, it is important to understand the effects of various antidepressant drugs on cardiac autonomic function in various age groups of patients using these novel noninvasive techniques. In this study, we sought to investigate the effects of paroxetine and nortriptyline in patients with major depression and ischemic heart disease aged about 60 years using HPV and Holter ECG records that were obtained in a previous treatment study [61]. In the original study, Roose et al. [61] have found that 61% of patients on paroxetine and 55% on nortriptyline improved after treatment. There was no significant change in blood pressure or conduction intervals on ECG with either drug. Paroxetine had no sustained effects on HR or rhythm. However, nortriptyline produced a significant increase in HR and a decrease in standard deviation (SD) of all normal R-R intervals. Nortriptyline produced adverse cardiac events in 18% of patients compared with only 2% of patients in the paroxetine group. In our recent study [62] on spectral measures and two nonlinear measures, fractal dimension and measures of symbolic dy-

namics in the above group of patients, nortriptyline was associated with significant vagolytic effects compared with paroxetine. We also found that paroxetine increases HR time series complexity as suggested by WC-100, one of the measures of symbolic dynamics. In the present study, we have specifically studied the measures of non-linearity and chaos, which is quantified by obtaining the LLE before and after treatment with paroxetine and nortriptyline. We hypothesized that nortriptyline treatment would result in a significant decrease in the LLE in HR time series.

## Subjects and Methods

### Subjects

**Original Study Design.** This study was conducted in four university research centers [61], and was approved by the Internal Review Boards at all 4 sites for the protection of subjects. The inclusion criteria were the DSM-IV criteria for major depressive disorder, unipolar subtype with a score of 16 or more on the 17-item Hamilton Rating Scale for Depression (HAMD) [63], have ischemic heart disease and be capable and willing to sign an informed consent to participate in this study aimed at the cardiovascular safety of antidepressant medication. Patients were considered to have ischemic heart disease if they had a myocardial infarction, coronary artery bypass graft surgery, coronary angioplasty, a positive stress test, or angiographic evidence of a 75% or greater luminal narrowing of a major coronary artery or one of its primary branches. Patients were excluded if the myocardial infarction occurred within 3 months prior to their recruitment, with a baseline QTc of 460 ms or more, unstable or crescendo angina and if they were receiving drugs with class I antiarrhythmic activity or warfarin.

After they had signed the informed consent, during a 2-week placebo period, baseline cardiac testing was conducted including a 24-hour continuous Holter ECG record, as well as a routine 12-lead ECG at the beginning and end of the placebo period. If the patients had completed with the study procedures and continued to meet inclusion and exclusion criteria at the end of the placebo period, they were randomized by permuted blocks of 10 to treatment with either paroxetine or nortriptyline for a double-blind 6-week trial.

**Dosing.** Patients less than 65 years of age received an initial dose of paroxetine of 20 mg per day for the first 3 weeks, whereas older patients were started at 10 mg per day for the first week and then the dose was increased to 20 mg/day for the next 2 weeks. At the end of 3 weeks, if they did not show a 50% decrease in HAMD scores, paroxetine was increased to 30 mg at week 4 and, if necessary, to 40 mg at the end of week 5. The nortriptyline dose was begun at 25 mg, which was increased to 50 mg by day 3. On the 7th day, the plasma level was measured and the dose adjusted to achieve a plasma nortriptyline level of 304 and 456 nmol/l. The idea was to have the dose within the therapeutic range of 190–570 nmol/l (50–150 ng/ml). Medication compliance was monitored by weekly pill counts in addition to plasma level measurements in blood samples that were also taken from patients on paroxetine.

**Drug Discontinuation.** Drug discontinuation was done due to an adverse cardiac event, if there was a greater than 50% increase in the

QRS interval from baseline, QRS interval exceeded 180 ms in patients with a bundle-branch block at baseline, the QTc interval exceeded 500 ms and if the patient developed a proarrhythmic effect. Additional things that were taken into account were significant blood pressure changes, cardiac enzyme levels and 24-hour ECG.

**Cardiac Assessment.** As stated above, 24-hour ECG was obtained before and after 2 weeks of placebo administration. Patients received active medication for 6 weeks. Twenty-four-hour ECGs were then repeated at the end of 2 and 6 weeks of medication treatment. Thus, complete data included four 24-hour ECG records.

The mean  $\pm$  SD for paroxetine dose was  $22 \pm 5$  mg/day, and  $74 \pm 30$  mg/day for nortriptyline. At week 6, the nortriptyline levels were within the therapeutic range. In the original sample, 37/41 (90%) of patients treated with paroxetine completed the trial and 25 (68%) were responders. Sixty-five percent (26/40) completed the nortriptyline trial and 22 (85%) were responders.

### Present Study on Measures of HRV

This study included only those patients who had at least 20,000 s of data during the awake period and who had a pretreatment record, which was a preplacebo record, and a second one 6 weeks after treatment. Many patients did not have all four and that is the reason why we had to limit our analyses to two records only. However, we compared pre- and postplacebo lead-in records and found no significant difference in any of our HRV measures. One other reason to exclude the 2-week posttreatment record was that the effects of the drugs might not have been observable by then. Twenty-four patients were included in the paroxetine treatment study and 20 patients in the nortriptyline study. We have used means and SD throughout the text and tables of this paper. Thirty-three patients had 20-hour data, 44 had awake data and 30 sleep data.

Twenty-four-hour ECG was recorded using cassette tapes, was digitized by a Marquette 8,000 scanner, and QRS labeling and editing was done using standard Marquette algorithms. Then, the ASCII files of R-R intervals in milliseconds were edited according to previous techniques described in detail [64–67]. These data were edited using software which eliminated any premature ventricular beats. This method was similar to the one used by Huikuri et al. [64]. An R-R interval was interpreted as a premature beat if it deviated from a previous qualified interval by more than a tolerance level of 30%. These data were eliminated and the resulting gaps were filled with an average value in the immediate neighborhood. The edited time series were then sampled at 2 Hz using the technique described by Berger et al. [68], to obtain the instantaneous HR. This stepwise continuous instantaneous HR signal maintains an amplitude equal to the reciprocal of the R-R interval and the convolution of the HR signal with the rectangular window has the effect on the power spectrum of multiplication by a low-pass filter. A 2-Hz sampling rate would allow an accurate estimation of the power spectrum up to 0.5 Hz, which is equivalent to a breathing rate of 30/min. From here on, all the data were converted to R-R interval time series [60,000/HR in beats per minute (bpm)]. Then, the data were detrended using a linear detrending technique prior to the other analyses except for the nonlinear analyses.

### Spectral Analysis

The power spectrum was obtained as the magnitude squared of the Fourier transform using a rectangular data window. The powers were integrated in the following bands: total power (TP, 0–0.5 Hz), ultra-low frequency power (ULF, 0–0.0033 Hz), VLF (0.0033–

0.04 Hz), LF (0.04–0.15 Hz) and HF (0.15–0.5 Hz). For spectral analysis, we used 20-hour data, and awake and sleep data of 20,000 s of duration. These data had been presented in our previous report [62]. We used awake and sleep spectral powers in ULF, VLF, LF and HF bands for entering into discriminant function analysis and multiple regression analysis in addition to the nonlinear measures to compare the paroxetine and nortriptyline groups after treatment.

#### Nonlinear Analyses

The methods have been described in great detail in our previous reports [13, 44–46], and are described here again in some detail. The reconstruction of HR time series and the calculation of the minimum embedding dimension (MED), the LLE and nonlinearity scores were all computed using a PC with custom-designed software according to the following methods.

#### Time Delay Embedding and Attractor Reconstruction

The first step in nonlinear dynamical analysis is the reconstruction of an attractor in phase-space; since we do not know a priori the coordinates of the phase-space, it is necessary to derive them from observed time series.

#### Estimation of MED

The proper reconstruction of an attractor is guaranteed if the dimension of phase-space is sufficient to unfold the attractor. It is shown that an embedding dimension of  $m \geq 2d + 1$  can achieve this, where  $d$  is the dimension of the attractor [69]. In most cases of observed time series analysis, we neither have knowledge of  $d$  nor of  $m$ . There are many different algorithms used in the estimation of these quantities [70–74], but many of them have the disadvantage of either being too subjective, requiring a large number of data points or being computationally very intensive. The method proposed by Cao [75] overcomes these difficulties and is suitable for short-term time series. Additionally, this method gives more reliable estimates of MED, even when the dimension is sufficiently large.

Another quantity is determined which is useful in distinguishing deterministic signals from stochastic signals and it is given by

$$E^*(m) = \frac{1}{N - m\tau} \sum_{i=1}^{N-m\tau} \left| x_{i+m\tau} - x_{n(i,m)+m\tau} \right| \quad (1)$$

and its variation from  $m$  to  $m + 1$  as

$$E2(m) = E^*(m + 1)/E^*(m) \quad (2)$$

where  $n(i, m)$  has the same meaning defined earlier (equation 1). For random time series,  $E1(m)$  will never attain a saturation value as  $m$  is increased, but because of limited data samples and practical computations, it may be difficult to ascertain whether  $E1(m)$  is slowly changing or has stopped changing. In such a situation,  $E2(m)$  will be very useful, since for random data, future values are independent of past values.  $E2(m)$  will be equal to 1 for any  $m$ , whereas for deterministic signals, there exist some values of  $m$  such that  $E2(m) > 1$ . We computed both  $E1(m)$  and  $E2(m)$ .

This method was applied on time series of some of the standard maps and we found their MED tallying with the literature.

#### Subjectivity of Arriving at MED

Though it is a cause for some concern when the MEDs are calculated by many people, this can be substantially reduced by training

only a few people to do so, and in this particular paper, one of the authors who was blind to the patients' condition has calculated all the MED. We chose the point of the beginning of saturation on the graph after plotting the  $E1$  values.

#### Largest Lyapunov Exponent

We used the method of Rosenstein et al. [76] to calculate the LLE. Lyapunov exponents (LEs) are another invariant, which could be used to characterize the dynamical system. It quantifies sensitivity of the system to initial conditions. An  $m$ -dimensional dynamical system has  $m$  LEs. The presence of a positive LE indicates chaos. It also quantifies the amount of instability or predictability of the system. A fully deterministic system will have a zero LE since it is fully predictable, whereas a random system will have a large positive exponent indicating no predictability. In most applications, it is sufficient to compute only the LLE instead of all LEs. There are many algorithms available to estimate the LLE and the Lyapunov spectrum [77–80]. Most of them are unreliable when operated on small data sets. In our present work, we used the method proposed by Rosenstein et al. [76] which is robust against small data length [76].

In practice, the LE is easily and accurately estimated using a least-square fit to the 'average' line defined by

$$\gamma(n) = \frac{1}{\Delta t} \langle \ln d_i(n) \rangle \quad (3)$$

where  $\langle \rangle$  denotes the average over all values of  $i$ . This last averaging step is the main feature that allows an accurate evaluation of  $\lambda$  even when we have a short and noisy data set.

#### Tests for Nonlinearity

The erratic fluctuations that are observed in an experimental time series owe their dynamical variation to a mix of various influences: chaos, nonchaotic but still nonlinear determinism, linear correlation and noise, both in the dynamics and in the measuring setup. This emphasizes the need for estimating the nonlinear structure in the time series. In our present work, we investigated the nonlinear structure present with HRV time series using two methods, and we checked whether nonlinear time correlations were present among the time series values. Both methods are based on the analysis of the extrema (local maxima or minima) as proposed by Di Garbo et al. [81].

#### Nonlinearity Test Based on Extrema of a Time Series

It has been shown that the dynamical behavior of the real time solution of an ordinary differential equation is strongly connected to its analytic properties in the complex time plane, and in particular to the distribution of the singularities nearest to the real axis [82]. The second consideration arises from a general property of a stochastic process, which states that given a mean square differentiable stochastic process,  $x(t)$ , the expected number of its extrema for unit time is contained in the joint density function of  $x(t)$ ,  $x'(t)$  and  $x''(t)$  [83]. These theoretical and numerical results suggest that the sequence of extrema of a time series contains dynamical information of the process generating them. Both methods statistically discriminate measures which are evaluated based on extremas for original and surrogate data sets.

Two types of surrogates are considered in our analysis, Fourier shuffled (GS) and phase randomized (PR) surrogates.

Table 1. Nonlinear measures of HP before and after treatment

	Paroxetine		Nortriptyline	
	predrug	postdrug	predrug	postdrug
20 h				
R-R mean	839.04 ± 122.82	868.89 ± 126.70	825.53 ± 152.34	736.24 ± 133.06*
R-R SD	111.44 ± 41.04	111.47 ± 36.11	112.50 ± 65.68	101.24 ± 43.47
S <sub>pSCGS</sub>	1.76 ± 0.48	2.03 ± 0.40	1.90 ± 0.50	1.95 ± 0.39
S <sub>pSCPR</sub>	3.13 ± 1.40	4.18 ± 2.69	3.05 ± 1.11	3.22 ± 1.38
S <sub>netGS</sub>	5.52 ± 2.02	6.47 ± 1.62	6.19 ± 1.96	4.64 ± 1.44***
S <sub>netPR</sub>	4.93 ± 2.13	6.41 ± 1.72	5.61 ± 2.29	4.16 ± 1.81***
MED	13.11 ± 1.26	12.76 ± 1.36	12.95 ± 1.78	13.55 ± 0.88
LLE	0.10 ± 0.01	0.11 ± 0.02	0.11 ± 0.11	0.09 ± 0.013*
Awake				
R-R mean	788.85 ± 123.92	836.37 ± 110.26	823.61 ± 148.47	719.31 ± 123.20*
R-R SD	86.91 ± 40.75	87.40 ± 25.49	96.21 ± 50.77	65.70 ± 26.71*
S <sub>pSCGS</sub>	1.83 ± 0.61	2.26 ± 0.66	2.14 ± 0.61	2.19 ± 0.74
S <sub>pSCPR</sub>	3.59 ± 2.66	3.64 ± 2.87	3.66 ± 3.41	4.07 ± 2.23
S <sub>netGS</sub>	5.42 ± 2.27	5.95 ± 1.6	5.99 ± 2.40	4.2 ± 1.9***
S <sub>netPR</sub>	4.93 ± 2.42	5.6 ± 2.0	6.08 ± 3.89	3.6 ± 2.1***
MED	13.30 ± 1.58	12.45 ± 1.31	13.08 ± 1.75	13.16 ± 1.22
LLE	0.11 ± 0.02	0.11 ± 0.01	0.11 ± 0.01	0.10 ± 0.01**
Sleep				
R-R mean	931.85 ± 124.98	999.47 ± 139.52	931.32 ± 246.03	857.40 ± 140.98
R-R SD	87.36 ± 26.84	82.49 ± 20.63	90.66 ± 41.37	75.07 ± 35.49
S <sub>pSCGS</sub>	1.81 ± 0.71	1.83 ± 0.58	1.66 ± 0.60	1.74 ± 0.35
S <sub>pSCPR</sub>	2.92 ± 1.31	4.40 ± 4.59	2.69 ± 0.95	2.90 ± 2.84
S <sub>netGS</sub>	5.75 ± 1.90	7.1 ± 1.9	6.36 ± 1.84	5.2 ± 2.2***
S <sub>netPR</sub>	5.13 ± 2.15	6.7 ± 2.1	5.60 ± 2.05	4.6 ± 2.5**
MED	13.08 ± 1.35	12.90 ± 1.64	12.80 ± 1.98	13.59 ± 1.11
LLE	0.10 ± 0.01	0.11 ± 0.02	0.11 ± 0.02	0.09 ± 0.01*

\* p < 0.025; \*\* p < 0.01; \*\*\* p < 0.005. Significant difference between the posttreatment values of the paroxetine and nortriptyline groups (Student's t test, two-tailed). R-R mean and SD are milliseconds.

*Pattern of Singularities in the Complex Time Plane Algorithm*

The steps involved in quantifying nonlinear correlations with the pattern of singularities in the complex time plane (PSC) method are:

- (1) determine the couples {s<sub>t<sub>j</sub></sub>, t<sub>j</sub> for j = 1, 2, ..., n} corresponding to local maxima and time at which it occurred;
- (2) determine the length of the broken line joining these extremas,

$$L = \sqrt{\sum_{j=1}^{n-1} \{(S_{t_{j+1}} - s_{t_j})^2 + (t_{j+1} - t_j)^2\}} \quad (4)$$

- (3) n number of surrogates are generated and L for each surrogate is computed;
- (4) determine mean L and SD σ<sub>s</sub> of these quantities;
- (5) determine the measure of significance as proposed by Theiler et al. [84],

$$S_{psc} = \frac{|L - L_s|}{\sigma_s} \quad (5)$$

*Number of Extrema for Unit Time*

The protocol of the number of extrema for unit time (NET) method involves the following steps:

- (1) the number of extrema N<sub>o</sub> for unit time, T<sub>o</sub> of the given time series is determined and used as discriminating statistics;
- (2) n numbers of surrogate data sets are generated and the number of extremas for each surrogate set N<sub>i</sub> (i = 1, ..., n) are computed;
- (3) the average NET N<sub>s</sub> and their SD σ<sub>s</sub> are determined and they are statistically discriminated by computing the significance

$$S_{net} = \frac{|N_o - N_s|}{\sigma_s} \quad (6)$$

Again, two types of surrogates are considered in our analysis, i.e. GS and PR surrogates. These are referred to as S<sub>netGS</sub> and S<sub>netPR</sub>, respectively.

The reason to publish this as a separate report from our recent study on frequency domain measures [62] is that we obtained

256-second HR time series data every 2 h for 24 h (12 segments) and used only those subjects who had at least 6 such segments for the day. Even when we strictly limited the data to four 256-second segments to truly reflect awake and sleep periods, we obtained very similar results. Then, we used the mean of these 12 5-min segments, the mean of the first 6 segments, which mainly reflected daytime, and the mean of the last 6 segments, which mainly reflected nighttime. We used each of these 256 s for the estimation of the LLE and all the above nonlinear measures. Due to the amount of time involved in the computation of these analyses for 4 nonlinear scores and the LLE (300 24-hour records  $\times$  72 analyses), we limited it to 256-second segments. For longer data lengths, MED and LLE take up an enormous amount of computing time.

#### Statistical Analysis

First, we used a three-way ANOVA with paroxetine and nortriptyline as the grouping variables, sleep and awake as one within factor and pre- and posttreatment as the second repeated measure. Significant main or interaction effects were followed up with two-way ANOVA for repeated measures with the drug condition as the grouping factor and pre- and posttreatment (6 weeks) measures as the repeated measures for the mean of 12 epochs, and also day and night epochs (mean of 6 segments of 5 min each). The day and night epochs were obtained in two different ways. First, we used the average of the first 6 and the last 6 segments and, in addition, we also divided them into the mean of the first 4 (awake) segments and the mean of the 7th to 10th segment, which significantly reflected sleep. Significant effects were followed up by paired *t* tests to compare patients separately for each drug condition. All tests were two-tailed and a probability value of 0.025 was accepted as significant as we performed two post hoc *t* tests. Pearson's product-moment correlations were used to examine the relationship between HPV measures of interest and treatment effects. For those subjects who had data before and after placebo lead-in, the HPV measures were compared using ANOVAs

Table 2. Results of three-way ANOVA comparing the two drug conditions as the grouping factor and pre- and posttreatment and awake and sleep periods as repeated measures

	F	d.f.	p
$S_{pscGS}$			
S	15.69	1, 33	0.0004
Sx	4.98	1, 33	0.0326
$S_{netGS}$			
Tx	7.38	1, 33	0.0104
S	15.55	1, 33	0.0004
$S_{netPR}$			
Tx	9.51	1, 33	0.0041
S	11.93	1, 33	0.0015
LLE			
TS	4.66	1, 23	0.04

x = Group effect; S = awake vs. sleep effect; T = treatment (pre- vs. posteffect).

for repeated measures. Pearson product-moment correlations were performed to examine the relationship between nonlinear measures and improvement in depression scores (HAMD) after treatment for either drug condition separately. As several correlations were performed, the significance level was set at  $p < 0.025$ .

We used only awake and sleep spectral powers in ULF, VLF, LF and HF bands for entering into step-wise regression analysis and discriminant function analysis along with the nonlinear measures to compare paroxetine and nortriptyline groups after treatment.

## Results

Age was very similar between the paroxetine and nortriptyline groups. There were no significant group differences between baseline and after placebo lead-in periods for any of the HP variables. In fact, some of the values were almost identical. There was no gender effect for any of the analyses. For the awake and sleep periods, using the mean of 6 or 4 epochs has not made any significant changes in the results of ANOVA and *t* tests. Tables 1–3 show the results of three- and two-way ANOVAs along with results of *t* tests in table 1.

Table 3. Results of two-way ANOVA comparing the two drug conditions as the grouping factor and pre- and posttreatment awake and sleep periods separately

	Group effect	Treatment effect	Interaction effect
Awake			
$S_{pscPR}$	NS	NS	NS
$S_{pscGS}$	NS	NS	NS
$S_{netPR}$	NS	NS	F = 6.9; d.f. = 1, 40; p = 0.01
$S_{netGS}$	NS	NS	F = 4.1; d.f. = 1, 40; p = 0.05
MED	NS	NS	NS
LLE	NS	NS	F = 4.1; d.f. = 1, 23; p = 0.05
Sleep			
$S_{pscPR}$	NS	NS	NS
$S_{pscGS}$	NS	NS	NS
$S_{netPR}$	NS	NS	F = 5.6; d.f. = 1, 33; p = 0.02
$S_{netGS}$	NS	NS	F = 8.93; d.f. = 1, 33; p = 0.005
MED	NS	NS	NS
LLE	NS	F = 9.7; d.f. = 1, 28; p = 0.004	NS

Table 1 shows the mean  $\pm$  SD of all HP variables with the significant differences between paroxetine and nortriptyline groups before and after treatment. We have chosen to do this for two reasons. Firstly, repeated-measures ANOVA has only shown significant decreases in  $S_{\text{netGS}}$  and  $S_{\text{netPR}}$ , and LLE for the nortriptyline condition. As there were fewer subjects for this comparison and as there were no significant differences between baseline (pre-) paroxetine and nortriptyline values for the above measures, we chose to present the results of the t tests between post-paroxetine and post-nortriptyline values as there were more subjects in each group.

There were significant decreases in  $S_{\text{netGS}}$  and  $S_{\text{netPR}}$ , and LLE after nortriptyline treatment. The decrease in mean R-R interval and SD of R-R intervals was significant after nortriptyline, as expected.

#### Discriminant Function Analysis

When only spectral powers of HP after treatment were used, total and VLF power contributed significantly to the discrimination between the two treatments ( $p < 0.05$ ). However, when all nonlinear and spectral variables are entered, only 12-epoch  $S_{\text{netGS}}$  and 6-epoch average awake LLE were the significant discriminators. Stepwise multiple regression analysis confirmed these findings (table 4).

#### Correlations

At baseline, before treatment, there were no significant correlations between nonlinear measures and HAMD. For the postparoxetine treatment condition, there were no significant correlations between nonlinear measures and the final HAMD score. There was a significant positive correlation between sleep LLE and HAMD ( $r = 0.54$ ;  $p < 0.02$ ) for post-nortriptyline condition.

Table 4. Results of stepwise regression analysis for the variables that significantly discriminated paroxetine and nortriptyline groups at the end of treatment

	Multiple r	R <sup>2</sup>	Change	p
$S_{\text{netGS}}$ (12-epoch mean)	0.76	0.58	0.58	<0.01
Awake LLE (6-epoch mean)	0.83	0.70	0.11	<0.05

## Discussion

### Decreased LLE and Nonlinear Scores after Nortriptyline

The decrease in the LLE after nortriptyline is an important finding in this study as the LLE is a measure that is related to predictability, and a positive value usually indicates a degree of chaos. As described in the introduction, some of the nonlinear measures including the LLE are decreased in cardiac patients with poor prognosis. Thus, in a population that is already vulnerable, such as patients with ischemic heart disease and depression, the use of drugs like nortriptyline appears undesirable. As the evidence cited in the introduction also links the LLE to overall cardiac vagal function, any decrease in the LLE may be detrimental in vulnerable populations. On the other hand, paroxetine had no such effects. Similarly, there was a significant decrease in  $S_{\text{netGS}}$  and  $S_{\text{netPR}}$  only after nortriptyline. Nonlinearity measures basically provide information as to the deviation of the time series from linear surrogates. The interrelation or interaction of certain constants from Lorenz equations reveals the amount of linearity or nonlinearity in the system dynamics and this can be quantified as described above. Thus, the decrease in the interaction of some of these constants may have contributed to decreased nonlinearity scores, which most likely is due to the anticholinergic effect of nortriptyline. This is also in line with a much less significant antimuscarinic effect of paroxetine.

### Previous Frequency Domain Analyses and Measures of Symbolic Dynamics on this Data Set

These analyses clearly showed a significantly more vagolytic effect for nortriptyline. However, it was interesting to note that paroxetine increased WC-100, a measure of symbolic dynamics, which indicates nonlinear complexity. Thus, the present findings are mostly in agreement with our previous report [62].

### *Multiple Discriminant Function Analysis and Stepwise Regression Analysis*

As described above, nonlinear measures were the most significant in discriminating posttreatment paroxetine and nortriptyline patients. This may be clinically very important because it is the nortriptyline group that had decreased variability and complexity of HP time series, which certainly is a risk factor for significant cardiovascular events.

### *Paroxetine and Antimuscarinic Effects*

Compared with tricyclics, paroxetine has a weak affinity for muscarinic receptors, 15-fold weaker than amitriptyline [85, 86]. Fluoxetine and paroxetine did not produce any significant change in this variable. Pollock et al. [87] also reported that at therapeutic plasma concentrations, paroxetine is associated with approximately 1/5th of the anticholinergic effect of nortriptyline in older patients. The study by Owens et al. [88] also suggests that paroxetine does not have significant affinity for muscarinic receptors. Thus, all the above studies support a lack of significant antimuscarinic effects for paroxetine in this study group.

### *Cardiac Autonomic Function, Nonlinear Measures and Cardiovascular Mortality*

Cole et al. [89] have recently shown that exercise recovery time is prolonged in people who are prone to have significant cardiovascular events which again relates impaired cardiac vagal function to a significant risk for cardiovascular mortality. Several studies have shown a decreased LLE probably related to decreased central vagal function, which may be related to significant cardiovascular events in patients with various neurological conditions [90–94]. It has also been well documented that a relative increase in cardiac sympathovagal balance can lead to serious ventricular arrhythmia [95, 96]. Thus, any noninvasive measure that reflects cardiac vagal function is a valuable tool to study sudden cardiac death and poor prognosis in cardiac patients. Carney et al. [97] have shown an improvement in the parameters of HRV in depressed patients with myocardial infarction who underwent cognitive psychotherapy. Thus, the effectiveness of various treatment approaches should be evaluated in the context of cardiovascular effects and probably some of these new nonlinear measures may be of additional value. Some other measures, including measures of chaos, may prove very effective to identify other subtle changes in autonomic function.

### *Correlation of HAMD with Posttreatment Nonlinearity Measures and LLE*

It is interesting to note that the final HAMD (a lower score indicates better improvement) significantly correlated with the LLE, indicating that a decreased cardiac vagal function may be associated with a lower HAMD score, which again may indicate a stronger effect of nortriptyline in regard to its antimuscarinic effects in these patients. This may not suggest that the antimuscarinic effect itself is responsible for the treatment effect, but the overall antidepressant effect of the drug might be associated with a strong anticholinergic activity.

### *Conclusions*

The findings of this study suggest a significant decrease in chaos of HP time series, probably due to the vagolytic effect of nortriptyline in patients with major depression and cardiac disease and thus between the two drugs; paroxetine may be a safer choice especially in the patients with myocardial infarction. This may be likely due to the weaker antimuscarinic effects of paroxetine.

### *Limitations*

We had to exclude people in the placebo group (after placebo lead-in), as this was leaving us with fewer subjects for comparison. However, as mentioned, the values of various linear and nonlinear HRV measures were very similar and there were no significant differences between these two pre- and postplacebo periods. The measures of nonlinearity scores and LLE are relatively new and future prospective studies should validate their additional utility to the more traditional time and frequency domain measures.



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