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## UNIQUENESS OF A MEROMORPHIC FUNCTIONS THAT SHARE ONE SMALL FUNCTION AND ITS DERIVATIVE.

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ABSTRACT. In this paper we consider the problem of uniqueness of meromorphic functions that share one small function and its derivatives, and obtain two theorems which improve the result of Qingcai Zhang [11].

### 1. INTRODUCTION

Let  $f$  be a non-constant meromorphic function defined in the whole complex plane  $\mathbb{C}$ . It is assumed that the reader is familiar with the following notations of Nevanlinna theory such as  $T(r, f)$ ,  $m(r, f)$ ,  $N(r, f)$ ,  $S(r, f)$  and so on, that can be found, for instance in [1,2].

Let  $f$  and  $g$  be two non-constant meromorphic functions,  $a \in \mathbb{C} \cup \{\infty\}$ , we say that  $f$  and  $g$  share the value  $a$  CM (counting multiplicity) if  $f-a$  and  $g-a$  have the same zeroes with the same multiplicities and they share the value  $a$  IM (ignoring multiplicities) if we do not consider the multiplicities. When  $a = \infty$  the zeroes of  $f-a$  means the poles of  $f$  (see [7]).

Let  $k$  be a non-negative integer or infinity. For any  $a \in \mathbb{C} \cup \{\infty\}$ , we denote by  $E_k(a, f)$  the set of all  $a$ -points of  $f$  where an  $a$ -point of multiplicity  $m$  is counted  $m$  times if  $m \leq k$  and  $k+1$  times if  $m > k$ . If  $E_k(a, f) = E_k(a, g)$ , we say that  $f, g$  share the value  $a$  with weight  $k$ . (see [3],[5]).

We write  $f$  and  $g$  share  $(a, k)$  to mean that  $f$  and  $g$  share the value  $a$  with weight  $k$ . Clearly, if  $f$  and  $g$  share  $(a, k)$ , then  $f$  and  $g$  share  $(a, p)$  for all integers  $p$  with  $0 \leq p \leq k$ . Also, we note that  $f, g$  share a value  $a$  IM or CM if and only if they share  $(a, 0)$  or  $(a, \infty)$  respectively.

A function  $a(z)$  is said to be a small function of  $f$  if  $a(z)$  is a meromorphic function satisfying  $T(r, a) = S(r, f)$ , i.e.,  $T(r, a) = o(T(r, f))$  as  $r \rightarrow +\infty$  possibly outside of set of finite linear measure. Similarly, we define that  $f$  and  $g$  share a small function  $a$  IM or CM or with weight  $k$  by  $f-a$  and  $g-a$  sharing the value  $0$  IM or CM or with weight  $k$  respectively.

For any constant  $a$ , we denote by  $N_k(r, \frac{1}{f-a})$  the counting function for zeros of  $f-a$  with multiplicity no more than  $k$ , and by  $\bar{N}_k(r, \frac{1}{f-a})$  the corresponding one

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for which multiplicity is not counted. Let  $N_{(k)}(r, \frac{1}{f-a})$  be the counting function for zeros of  $f-a$  with multiplicity at least  $k$  and  $\overline{N}_{(k)}(r, \frac{1}{f-a})$  be the corresponding one for which multiplicity is not counted. Set

$$N_k(r, \frac{1}{f-a}) = \overline{N}(r, \frac{1}{f-a}) + \overline{N}_{(2)}(r, \frac{1}{f-a}) + \dots + \overline{N}_{(k)}(r, \frac{1}{f-a}).$$

We define

$$\Theta(a, f) = 1 - \limsup_{r \rightarrow \infty} \frac{\overline{N}(r, \frac{1}{f-a})}{T(r, f)}, \quad \delta(a, f) = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, \frac{1}{f-a})}{T(r, f)},$$

We further define

$$\delta_k(a, f) = 1 - \limsup_{r \rightarrow \infty} \frac{\overline{N}_k(r, \frac{1}{f-a})}{T(r, f)}$$

Clearly,

$$0 \leq \delta(a, f) \leq \delta_k(a, f) \leq \delta_{k-1}(a, f) \dots \leq \delta_2(a, f) \leq \delta_1(a, f) = \Theta(a, f).$$

In additional, we shall also use the following notations:

Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $f$  and  $g$  share 1 IM. We denote by  $\overline{N}_L(r, \frac{1}{f-1})$  the counting function for 1-point of both  $f$  and  $g$  about which  $f$  has larger multiplicity than  $g$ , with multiplicity being not counted, and denote by  $N_{11}(r, \frac{1}{f-1})$  the counting function for common simple 1-point of both  $f$  and  $g$ , and denote by  $N_{22}(r, \frac{1}{f-1})$  the counting function of those same multiplicity 1-point of both  $f$  and  $g$  and the multiplicity is  $\geq 2$ . In the same way, we can define  $\overline{N}_L(r, \frac{1}{g-1})$ ,  $N_{11}(r, \frac{1}{g-1})$ , and  $N_{22}(r, \frac{1}{g-1})$ . Especially, if  $f$  and  $g$  share 1 CM, then  $\overline{N}_L(r, \frac{1}{g-1}) = 0$ .

R.Bruck [4] first considered the uniqueness problems of an entire function sharing one value with its derivative and proved the following result.

**Theorem A.** Let  $f$  be a entire function which is not constant. If  $f$  and  $f'$  share the value 1 CM and if  $N(r, \frac{1}{f'}) = S(r, f)$ , then  $\frac{f'-1}{f-1} \equiv c$  for some nonzero constant  $c \in \mathbb{C} \setminus \{0\}$ .

Bruck [4] further posed the following conjecture.

**Conjecture 1.1.** Let  $f$  be an entire function, which is not constant,  $\rho_1(f)$  be the first iterated order of  $f$ . If  $\rho_1(f) < +\infty$  and  $\rho_1(f)$  is not a positive integer, and if  $f$  and  $f'$  share one value a CM, then  $\frac{f'-a}{f-a} \equiv c$  for some nonzero constant  $c \in \mathbb{C} \setminus \{0\}$ .

Yang [8] proved that the conjecture is true if  $f$  is an entire function of finite order. Zhang[10] extended Theorem A to meromorphic functions. Yu[9] recently considered the problem of an entire or meromorphic function sharing one small function with its derivative and proved the following two theorems.

**Theorem B([9]).** Let  $f$  be a non-constant entire function and  $a \equiv a(z)$  be a meromorphic function such that  $a \not\equiv 0, \infty$  and  $T(r, a) = o(T(r, f))$  as  $r \rightarrow +\infty$ . If  $f-a$  and  $f^{(k)}-a$  share the value 0 CM and  $\delta(0, f) > \frac{3}{4}$ , then  $f \equiv f^{(k)}$ .

**Theorem C([9]).** Let  $f$  be a non-constant, non-entire meromorphic function and  $a \equiv a(z)$  be a meromorphic function such that  $a \not\equiv 0, \infty$  and  $T(r, a) = o(T(r, f))$  as  $r \rightarrow +\infty$ . If

- (i)  $f$  and  $a$  have no common poles,
  - (ii)  $f-a$  and  $f^{(k)}-a$  share the value 0 CM,
  - (iii)  $4\delta(0, f) + 2\Theta(\infty, f) > 19 + 2k$ ,
- then  $f \equiv f^{(k)}$  where  $k$  is a positive integer.

In the same paper, Yu[9] further posed the following open questions.

- (i) Can a CM shared be replaced by an IM shared value ?
- (ii) Can the condition  $\delta(0, f) > \frac{3}{4}$  of Theorem B be further relaxed ?
- (iii) Can the condition (iii) of Theorem C be further relaxed ?
- (iv) Can in general the condition (i) of Theorem C be dropped ?

Lahiri[5] improved the results of Zhang[10] with weighted shared value obtained the following two theorems.

**Theorem D([5]).** Let  $f$  be a non-constant meromorphic function and  $k$  be a positive integer. If  $f$  and  $f^{(k)}$  share (1,2) and

$$2\bar{N}(r, f) + N_2(r, \frac{1}{f^{(k)}}) + N_2(r, \frac{1}{f}) < (\lambda + o(1))T(r, f^{(k)})$$

for  $r \in I$ , where  $0 < \lambda < 1$  and  $I$  is a set of infinite linear measure, then  $\frac{f^{(k)}-1}{f-1} \equiv c$  for some constant  $c \in \mathbb{C} \setminus \{0\}$ .

**Theorem E([5]).** Let  $f$  be a non-constant meromorphic function and  $k$  be a positive integer. If  $f$  and  $f^{(k)}$  share (1,1) and

$$2\bar{N}(r, f) + N_2(r, \frac{1}{f^{(k)}}) + 2\bar{N}(r, \frac{1}{f}) < (\lambda + o(1))T(r, f^{(k)})$$

for  $r \in I$ , where  $0 < \lambda < 1$  and  $I$  is a set of infinite linear measure, then  $\frac{f^{(k)}-1}{f-1} \equiv c$  for some constant  $c \in \mathbb{C} \setminus \{0\}$ .

In the same paper Lahiri[5] also obtained the following result which is an improvement of Theorem C.

**Theorem F([5]).** Let  $f$  be a non-constant meromorphic function and  $k$  be a positive integer. Also let  $a \equiv a(z) (\neq 0, \infty)$  be a meromorphic function such that  $T(r, a) = S(r, f)$ . If

(i)  $a$  has no zero(pole) which is also a zero(pole) of  $f$  or  $f^{(k)}$  with the same multiplicity.

(ii)  $f - a$  and  $f^{(k)} - a$  share (0,2) CM,

(iii)  $2\delta_{2+k}(0, f) + (4 + k)\Theta(\infty, f) > 5 + k$ ,

then  $f \equiv f^{(k)}$ .

In 2005, Zhang[11] improved the above results and proved the following theorems.

**Theorem G([11]).** Let  $f$  be a non-constant meromorphic function and  $k(\geq 1), l(\geq 0)$  be integers. Also, let  $a \equiv a(z) (\neq 0, \infty)$  be a meromorphic function such that  $T(r, a) = S(r, f)$ . Suppose that  $f - a$  and  $f^{(k)} - a$  share  $(0, l)$ . If  $l \geq 2$  and

$$2\bar{N}(r, f) + N_2(r, \frac{1}{f^{(k)}}) + N_2(r, \frac{1}{(f/a)^l}) < (\lambda + o(1))T(r, f^{(k)}), \tag{1}$$

or  $l = 1$  and

$$2\bar{N}(r, f) + N_2(r, \frac{1}{f^{(k)}}) + 2\bar{N}(r, \frac{1}{(f/a)^l}) < (\lambda + o(1))T(r, f^{(k)}), \tag{2}$$

or  $l = 0$ , i.e,  $f - a$  and  $f^{(k)} - a$  share the value 0 IM and

$$4\bar{N}(r, f) + 3N_2(r, \frac{1}{f^{(k)}}) + 2\bar{N}(r, \frac{1}{(f/a)^l}) < (\lambda + o(1))T(r, f^{(k)}) \tag{3}$$

for  $r \in I$ , where  $0 < \lambda < 1$  and  $I$  is a set of infinite linear measure, then  $\frac{f^{(k)}-a}{f-a} \equiv c$  for some constant  $c \in \mathbb{C} \setminus \{0\}$ .

**Theorem H([11]).** Let  $f$  be a non-constant meromorphic function and  $k(\geq 1), l(\geq$

0) be integers. Also let  $a \equiv a(z) (\neq 0, \infty)$  be a meromorphic function such that  $T(r, a) = S(r, f)$ . Suppose that  $f - a$  and  $f^{(k)} - a$  share  $(0, l)$ . If  $l \geq 2$  and

$$(3 + k)\Theta(\infty, f) + 2\delta_{2+k}(0, f) > k + 4, \quad (4)$$

or  $l = 1$  and

$$(4 + k)\Theta(\infty, f) + 3\delta_{2+k}(0, f) > k + 6, \quad (5)$$

or  $l = 0$  ie  $f - a$  and  $f^{(k)} - a$  share the value 0 IM and

$$(6 + 2k)\Theta(\infty, f) + 5\delta_{2+k}(0, f) > 2k + 10, \quad (6)$$

then  $f \equiv f^{(k)}$ .

In this paper we pay our attention to the uniqueness of more generalised form of a function namely  $f^n$  and  $(f^{(k)})^m$  sharing a small function for two arbitrary positive integer  $n$  and  $m$ .

**Theorem 1.1.** Let  $f$  be a non-constant meromorphic function and  $k(\geq 1), n(\geq 1), m(\geq 2), l(\geq 0)$  be integers. Also let  $a \equiv a(z) (\neq 0, \infty)$  be a meromorphic function such that  $T(r, a) = S(r, f)$ . Suppose that  $f^n - a$  and  $(f^{(k)})^m - a$  share  $(0, l)$ .

If  $l \geq 2$  and

$$\frac{2}{m}\overline{N}(r, f) + \frac{2}{m}\overline{N}(r, \frac{1}{f^{(k)}}) + N_2(r, \frac{1}{(f/a)^l}) < (\lambda + o(1))T(r, f^{(k)}) \quad (7)$$

or  $l = 1$  and

$$\frac{2}{m}\overline{N}(r, f) + \frac{2}{m}\overline{N}(r, \frac{1}{f^{(k)}}) + 2N(r, \frac{1}{(f/a)^l}) < (\lambda + o(1))T(r, f^{(k)}) \quad (8)$$

or  $l = 0$  ie  $f - a$  and  $(f^{(k)})^m - a$  share the value 0 IM and

$$\frac{4}{m}\overline{N}(r, f) + \frac{6}{m}\overline{N}(r, \frac{1}{f^{(k)}}) + 2\overline{N}(r, \frac{1}{(f/a)^l}) < (\lambda + o(1))T(r, f^{(k)}) \quad (9)$$

for  $r \in I$ , where  $0 < \lambda < 1$  and  $I$  is a set of infinite linear measure, then  $\frac{(f^{(k)})^m - a}{f^n - a} \equiv c$  for some constant  $c \in \mathbb{C} \setminus \{0\}$ .

**Theorem 1.2.** Let  $f$  be a non-constant meromorphic function and  $k(\geq 1), n(\geq 1), m(\geq 2), l(\geq 0)$  be integers. Also let  $a \equiv a(z) (\neq 0, \infty)$  be a meromorphic function such that  $T(r, a) = S(r, f)$ . Suppose that  $f^n - a$  and  $(f^{(k)})^m - a$  share  $(0, l)$ .

If  $l \geq 2$  and

$$(3 + 2k)\Theta(\infty, f) + 2\Theta(0, f) + 2\delta_{1+k}(0, f) > 2k + 7 - n \quad (10)$$

or  $l = 1$  and

$$(4 + 2k)\Theta(\infty, f) + 4\Theta(0, f) + 2\delta_{1+k}(0, f) > 2k + 10 - n \quad (11)$$

or  $l = 0$  ie  $f - a$  and  $(f^{(k)})^m - a$  share the value 0 IM and

$$(6 + 4k)\Theta(\infty, f) + 6\Theta(0, f) + \delta_{1+k}(0, f) > 16 + 4k - n, \quad (12)$$

then  $f^n \equiv (f^{(k)})^m$ .

From Theorem 1.2 we have the following corollary.

**Corollary 1.3.** Let  $f$  be a non-constant entire function and  $a \equiv a(z) (\neq 0, \infty)$  be a meromorphic function such that  $T(r, a) = S(r, f)$ . If  $f^n - a$  and  $(f^{(k)})^m - a$  share the value 0 CM and  $\delta(0, f) > 1 - \frac{n}{2}$ , or if  $f^n - a$  and  $(f^{(k)})^m - a$  share the value 0 IM and  $\delta(0, f) > 1 - \frac{n}{4}$ , then  $f^n \equiv (f^{(k)})^m$ .

2. MAIN LEMMAS

**Lemma 2.1[5].** Let  $f$  be a non-constant meromorphic function,  $k$  be a positive integer, then

$$N_p(r, \frac{1}{f^{(k)}}) \leq N_{p+k}(r, \frac{1}{f}) + k\bar{N}(r, f) + S(r, f)$$

**Lemma 2.2[7].** Let  $f$  be a non-constant meromorphic function,  $n$  be a positive integer.  $P(f) = a_n f^n + a_{n-1} f^{n-1} + \dots + a_1 f$  where  $a_i$  is a meromorphic function such that  $T(r, a_i) = S(r, f) (i = 1, 2, \dots, n)$  Then  $T(r, P(f)) = nT(r, f) + S(r, f)$ .

3. PROOF OF THEOREM 1.1

Let  $F = \frac{f^n}{a}$ ,  $G = \frac{(f^{(k)})^m}{a}$ , then  $F - 1 = \frac{f^n - a}{a}$ ,  $G - 1 = \frac{(f^{(k)})^m - a}{a}$ . Since  $f^n - a$  and  $(f^{(k)})^m - a$  share  $(0, l)$ ,  $F$  and  $G$  share  $(1, l)$  except the zeros and poles of  $a(z)$ . Define

$$H = \left(\frac{F''}{F'} - \frac{2F'}{F-1}\right) - \left(\frac{G''}{G'} - \frac{2G'}{G-1}\right), \tag{13}$$

we have the following two cases to investigate

**Case 1.**  $H \equiv 0$ . Integration yields

$$\frac{1}{F-1} \equiv C \frac{1}{G-1} + D, \tag{14}$$

where  $C$  and  $D$  are constants and  $C \neq 0$ . If there exists a pole  $z_0$  of  $f$  with multiplicity  $p$  which is not the pole and zero of  $a(z)$ , then  $z_0$  is the pole of  $F$  with multiplicity  $p$  and the pole of  $G$  with multiplicity  $p+k$ . This contradicts with (14). So

$$\bar{N}(r, f) \leq \bar{N}(r, a) + \bar{N}(r, \frac{1}{a}) = S(r, f), \tag{15}$$

$$\bar{N}(r, F) = S(r, f) \quad \bar{N}(r, G) = S(r, f)$$

(14) also shows  $F$  and  $G$  share the value 1 CM. Next we prove  $D = 0$ . We first assume that  $D \neq 0$ , then

$$\frac{1}{F-1} \equiv \frac{D(G-1 + \frac{C}{D})}{G-1} \tag{16}$$

So,

$$\bar{N}(r, \frac{1}{G-1 + \frac{C}{D}}) = \bar{N}(r, F) = S(r, f) \tag{17}$$

If  $\frac{C}{D} \neq 1$ , by the second fundamental theorem and (15),(17) and  $S(r, G) = S(r, f)$ , we have

$$\begin{aligned} T(r, G) &\leq \bar{N}(r, G) + \bar{N}(r, \frac{1}{G}) + \bar{N}(r, \frac{1}{G-1 + \frac{C}{D}}) + S(r, G) \\ &\leq \bar{N}(r, \frac{1}{G}) + S(r, f) \leq T(r, G) + S(r, f) \end{aligned}$$

$$\text{So,} \quad T(r, G) = \bar{N}(r, \frac{1}{G}) + S(r, f), \tag{18}$$

i.e.,  $T(r, (f^{(k)})^m) = \bar{N}(r, \frac{1}{(f^{(k)})^m}) + S(r, f)$

$$mT(r, (f^{(k)})) = \overline{N}(r, \frac{1}{f^{(k)}}) + S(r, f).$$

this contradicts with conditions (1),(2) and (3) of this theorem.

If  $\frac{C}{D} = 1$ , from (16) we know

$$\frac{1}{F-1} \equiv C \frac{G}{G-1}$$

then

$$(F-1 - \frac{1}{C})G = -\frac{1}{C}.$$

Noticing that

$$F = \frac{f^n}{a}, \quad G = \frac{(f^{(k)})^m}{a}, \quad \text{we have}$$

$$\frac{1}{f^n(f^n - (1 + \frac{1}{C})a)} \equiv \frac{-C}{a^2} \cdot \frac{(f^{(k)})^m}{f^n} \quad (19)$$

By Lemma 2.2 and (15) and (19), then

$$2T(r, f^n) = T(r, f^n(f^n - (1 + \frac{1}{C})a)) + S(r, f) \quad (20)$$

$$\begin{aligned} 2nT(r, f) &= T(r, \frac{1}{f^n(f^n - (1 + \frac{1}{C})a)}) + S(r, f) \\ &= T(r, \frac{(f^{(k)})^m}{f^n}) + S(r, f) \\ &\leq N(r, \frac{1}{f^n}) + m\overline{N}(r, f^{(k)}) + S(r, f) \\ &\leq nN(r, \frac{1}{f}) + S(r, f) \\ &\leq nT(r, f) + S(r, f) \end{aligned}$$

So,  $nT(r, f) = S(r, f)$ , which is impossible. Hence  $D=0$ , and  $\frac{G-1}{F-1} \equiv C$ , ie,  $\frac{(f^{(k)})^m - a}{f^n - a} \equiv C$ . This is just the conclusion of this theorem.

**Case 2.**  $H \neq 0$ , From (13) it is easy to see that  $m(r, H) = S(r, f)$ .

**Subcase 2.1.**  $l \geq 1$ . From (13) we have

$$\begin{aligned} N(r, H) &\leq \overline{N}(r, F) + \overline{N}_{(l+1)}(r, \frac{1}{F-1}) + \overline{N}_{(2)}(r, \frac{1}{F}) + \overline{N}_{(2)}(r, \frac{1}{G}) \\ &\quad + \overline{N}_0(r, \frac{1}{G'}) + \overline{N}(r, a) + \overline{N}(r, \frac{1}{a}). \end{aligned} \quad (21)$$

where  $N_0(r, \frac{1}{F'})$  denotes the counting function of the zeros of  $F'$  which are not the zeros of  $F$  and  $F-1$ , and  $\overline{N}_0(r, \frac{1}{F'})$  denotes its reduced form. In the same way, we can define  $N_0(r, \frac{1}{G'})$  and  $\overline{N}_0(r, \frac{1}{G'})$ , Let  $z_0$  be a simple zero of  $F-1$  but  $a(z_0) \neq 0, \infty$ , then  $z_0$  is also the simple zero of  $G-1$ . By calculating  $z_0$  is the zero of  $H$ , So

$$N_{(1)}(r, \frac{1}{F-1}) \leq N(r, \frac{1}{H}) + N(r, a) + N(r, \frac{1}{a}) \leq N(r, H) + S(r, f) \quad (22)$$

Noticing that  $N_1(r, \frac{1}{G}) = N_1(r, \frac{1}{F}) + S(r, f)$   
we have

$$\begin{aligned} \bar{N}(r, \frac{1}{G-1}) &= N_1(r, \frac{1}{F-1}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) \\ &\leq \bar{N}(r, F) + \bar{N}_{(l+1)}(r, \frac{1}{F-1}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) \\ &\quad + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_0(r, \frac{1}{F'}) + \bar{N}_0(r, \frac{1}{G}) + S(r, f) \end{aligned} \tag{23}$$

By the second fundamental theorem and (23) and noticing

$$\bar{N}(r, F) = \bar{N}(r, G) + S(r, f),$$

then

$$\begin{aligned} T(r, G) &\leq \bar{N}(r, G) + \bar{N}(r, \frac{1}{G}) + \bar{N}(r, \frac{1}{G-1}) - N_0(r, \frac{1}{G'}) + S(r, G) \\ &\leq 2\bar{N}(r, F) + \bar{N}(r, \frac{1}{G}) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_{(2)}(r, \frac{1}{F}) \\ &\quad + \bar{N}_{(l+1)}(r, \frac{1}{F-1}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) + \bar{N}_0(r, \frac{1}{F'}) + S(r, f). \end{aligned} \tag{24}$$

While  $l \geq 2$ ,

$$\bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(l+1)}(r, \frac{1}{F-1}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) + \bar{N}_0(r, \frac{1}{F'}) \leq N_2(r, \frac{1}{F'}), \tag{25}$$

So

$$T(r, G) \leq 2\bar{N}(r, F) + N_2(r, \frac{1}{G}) + N_2(r, \frac{1}{F'}) + S(r, f)$$

i.e.,

$$\begin{aligned} mT(r, f^{(k)}) &\leq 2\bar{N}(r, f) + N_2(r, \frac{1}{(f^{(k)})^m}) + N_2(r, \frac{1}{(\frac{f^n}{a})'}) + S(r, f) \\ T(r, f^{(k)}) &\leq \frac{2}{m}\bar{N}(r, f) + \frac{2}{m}\bar{N}(r, \frac{1}{f^{(k)}}) + N_2(r, \frac{1}{(\frac{f^n}{a})'}) + S(r, f) \end{aligned}$$

this contradicts with (1).

While  $l = 1$ , (25) turns into

$$\bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(l+1)}(r, \frac{1}{F-1}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) + \bar{N}_0(r, \frac{1}{F'}) \leq 2\bar{N}(r, \frac{1}{F})$$

Similarly as above , we have

$$T(r, f^{(k)}) \leq \frac{2}{m}\bar{N}(r, f) + \frac{2}{m}\bar{N}(r, \frac{1}{f^{(k)}}) + 2N(r, \frac{1}{(\frac{f^n}{a})'}) + S(r, f)$$

This contradicts with (2).

**Subcase 2.2.**  $l = 0$ . In this case,  $F$  and  $G$  share 1 IM except the zeros and poles of  $a(z)$ . Let  $z_0$  be the zero of  $F - 1$  with multiplicity  $p$  and the zero of  $G - 1$  with multiplicity  $q$ .

We denote by  $N_E^1(r, \frac{1}{F})$  the counting function of the zeros of  $F - 1$  where  $p - q = 1$ ; by  $N_E^2(r, \frac{1}{F})$  the counting function of the zeros of  $F - 1$  where  $p = q \geq 2$ ; by  $\bar{N}_L(r, \frac{1}{F})$  the counting function of the zeros of  $F - 1$  where  $p > q \geq 1$ , each point

in these counting functions is counted only once. In the same way, we can define  $N_E^1(r, \frac{1}{G}), N_E^2(r, \frac{1}{G})$  and  $\bar{N}_L(r, \frac{1}{G})$ . It is easy to see that

$$\begin{aligned} N_E^1(r, \frac{1}{F-1}) &= N_E^1(r, \frac{1}{G-1}) + S(r, f), \\ \bar{N}_E^2(r, \frac{1}{F-1}) &= \bar{N}_E^2(r, \frac{1}{G-1}) + S(r, f), \\ \bar{N}(r, \frac{1}{F-1}) &= \bar{N}(r, \frac{1}{G-1}) + S(r, f) \\ &= N_E^1(r, \frac{1}{F-1}) + N_E^2(r, \frac{1}{F-1}) + \bar{N}_L(r, \frac{1}{F-1}) \\ &\quad + \bar{N}_L(r, \frac{1}{G-1}) + S(r, f) \end{aligned} \quad (26)$$

From (13) we have now

$$\begin{aligned} N(r, H) &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_L(r, \frac{1}{F-1}) \\ &\quad + \bar{N}_L(r, \frac{1}{G-1}) + \bar{N}_0(r, \frac{1}{F'}) + \bar{N}_0(r, \frac{1}{G'}) + S(r, f). \end{aligned} \quad (27)$$

In this case, (22) is replaced by

$$N_E^1(r, \frac{1}{F-1}) \leq N(r, H) + S(r, f). \quad (28)$$

From (26), (27) and (28), we have

$$\begin{aligned} \bar{N}(r, \frac{1}{G-1}) &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_E^2(r, \frac{1}{F-1}) \\ &\quad + 2\bar{N}_L(r, \frac{1}{F-1}) + 2\bar{N}_L(r, \frac{1}{G-1}) + \bar{N}_0(r, \frac{1}{F'}) \\ &\quad + \bar{N}_0(r, \frac{1}{G'}) + S(r, f) \\ &\leq \bar{N}(r, F) + 2\bar{N}(r, \frac{1}{F'}) + 2\bar{N}_L(r, \frac{1}{G-1}) \\ &\quad + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_0(r, \frac{1}{G'}) + S(r, f) \end{aligned}$$

By the second fundamental theorem, then

$$\begin{aligned} T(r, G) &\leq \bar{N}(r, G) + \bar{N}(r, \frac{1}{G}) + \bar{N}(r, \frac{1}{G-1}) - N_0(r, \frac{1}{G'}) + S(r, G) \\ &\leq 2\bar{N}(r, G) + 2\bar{N}(r, \frac{1}{F'}) + \bar{N}(r, \frac{1}{G}) + 2\bar{N}(r, \frac{1}{G'}) + S(r, f) \end{aligned}$$

From Lemma 2.1 for  $p = 1, k = 1$  we know

$$\bar{N}(r, \frac{1}{G'}) \leq N_2(r, \frac{1}{G}) + \bar{N}(r, G) + S(r, G),$$

So,

$$T(r, G) \leq 4\bar{N}(r, F) + 3N_2(r, \frac{1}{G}) + 2\bar{N}(r, \frac{1}{F'}) + S(r, f)$$



i.e.,

$$mT(r, f^{(k)}) \leq 4\bar{N}(r, f) + 3N_2(r, \frac{1}{(f^{(k)})^m}) + 2\bar{N}(r, \frac{1}{(\frac{f^n}{a})'}) + S(r, f).$$

$$T(r, f^{(k)}) \leq \frac{4}{m}\bar{N}(r, f) + \frac{6}{m}\bar{N}(r, \frac{1}{f^{(k)}}) + 2\bar{N}(r, \frac{1}{(\frac{f^n}{a})'}) + S(r, f)$$

This contradicts with (3). The proof is complete.

#### 4. PROOF OF THEOREM 1.2

The proof is similar to that of Theorem 1.1. We define F and G and (13) as above, and we also distinguish two cases to discuss.

**Case 3.**  $H \equiv 0$ . We also have (14). From (15) we know that  $\Theta(\infty, f) = 1$ , and from (4),(5) and (6), We further know  $\delta_{1+k}(0, f) > 1 - \frac{n}{2}$ . Assume that  $D \neq 0$ , then

$$\frac{-D(F - 1 - \frac{1}{D})}{F - 1} \equiv C \frac{1}{G - 1},$$

so

$$\bar{N}(r, \frac{1}{F - 1 - \frac{1}{D}}) = \bar{N}(r, G) = S(r, f).$$

If  $D \neq -1$ , using the second fundamental theorem for  $F$ , similarly as (18)

we have  $T(r, F) = \bar{N}(r, \frac{1}{F}) + S(r, f),$

i.e.,  $T(r, f^n) = \bar{N}(r, \frac{1}{f^n}) + S(r, f),$

$$nT(r, f) = \bar{N}(r, \frac{1}{f}) + S(r, f)$$

Hence  $\Theta(0, f) = 0$ , this contradicts with  $\Theta(0, f) \geq \delta_{1+k}(0, f) > 1 - \frac{n}{2}$ .

If  $D = -1$ , then  $\bar{N}(r, \frac{1}{F}) = S(r, f)$ , i.e.,  $\bar{N}(r, \frac{1}{f}) = S(r, f)$ , and

$$\frac{F}{F - 1} \equiv C \frac{1}{G - 1}.$$

Then  $F(G - 1 - C) \equiv -C$

and thus,

$$(f^{(k)})^m((f^{(k)})^m - (1 + C)a) \equiv -C \frac{a^2(f^{(k)})^m}{f^n}. \tag{29}$$

As same as (20), by Lemma 2.2 and (15) and  $\bar{N}(r, \frac{1}{f}) = S(r, f)$ . from (29) we have

$$\begin{aligned} 2T(r, (f^{(k)})^m) &= T(r, \frac{(f^{(k)})^m}{f}) + S(r, f) \\ &= N(r, \frac{(f^{(k)})^m}{f}) + S(r, f) \\ &\leq mk\bar{N}(r, f) + m\bar{N}(r, \frac{1}{f}) + S(r, f) \\ &= S(r, f) \end{aligned}$$

So,  $T(r, (f^{(k)})^m) = S(r, f)$  and  $T(r, \frac{(f^{(k)})^m}{f}) = S(r, f)$ .

Hence

$$\begin{aligned} T(r, f^n) &\leq T(r, \frac{f^n}{(f^{(k)})^m}) + T(r, (f^{(k)})^m) + O(1) \\ &= T(r, \frac{(f^{(k)})^m}{f^n}) + mT(r, f^{(k)}) + O(1) \\ &= S(r, f), \end{aligned}$$

this is impossible. Therefore  $D = 0$ , and from (14) then

$$G - 1 \equiv \frac{1}{C}(F - 1)$$

If  $C \neq 1$ , then  $G = \frac{1}{C}(F - 1 + C)$ ,

and  $N(r, \frac{1}{G}) = N(r, \frac{1}{F-1+C})$

By the second fundamental theorem and (15) we have

$$\begin{aligned} T(r, F) &\leq \bar{N}(r, F) + \bar{N}(r, \frac{1}{F}) + \bar{N}(r, \frac{1}{F-1+C}) + S(r, G) \\ &\leq \bar{N}(r, \frac{1}{F}) + \bar{N}(r, \frac{1}{G}) + S(r, f) \end{aligned}$$

By Lemma 2.1 for  $p = 1$  and (15), we have

$$\begin{aligned} nT(r, f) &\leq \bar{N}(r, \frac{1}{f^n}) + \bar{N}(r, \frac{1}{(f^{(k)})^m}) + S(r, G) \\ &\leq \bar{N}(r, \frac{1}{f}) + \bar{N}(r, \frac{1}{f^{(k)}}) + S(r, f) \\ &\leq 2N_{1+k}(r, \frac{1}{f}) + S(r, f) \end{aligned}$$

Hence  $\delta_{1+k}(0, f) \leq 1 - \frac{n}{2}$ . This is a contradiction with  $\delta_{1+k}(0, f) \leq 1 - \frac{n}{2}$ . So  $C = 1$  and  $F \equiv G$ , i.e.,  $f^n = (f^{(k)})^m$ . This is just the conclusion of this theorem.

**Case 4.**  $H \neq 0$

**Subcase 4.1**  $l \geq 1$  As similar as Subcase 2.1, From (21) and (22) we have

$$\begin{aligned} \bar{N}(r, \frac{1}{F-1}) + \bar{N}(r, \frac{1}{G-1}) &= N_1(r, \frac{1}{F-1}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) + \bar{N}(r, \frac{1}{G-1}) \\ &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_{(l+1)}(r, \frac{1}{G-1}) \\ &\quad + \bar{N}_{(2)}(r, \frac{1}{G-1}) + \bar{N}(r, \frac{1}{G-1}) + \bar{N}_0(r, \frac{1}{F'}) \\ &\quad + \bar{N}_0(r, \frac{1}{G'}) + S(r, f) \end{aligned}$$

While  $l \geq 2$ ,

$$\bar{N}_{(l+1)}(r, \frac{1}{G-1}) + \bar{N}_{(2)}(r, \frac{1}{G-1}) + \bar{N}(r, \frac{1}{G-1}) \leq N(r, \frac{1}{G-1}) \leq T(r, G) + O(1),$$

So,

$$\begin{aligned} \bar{N}(r, \frac{1}{F-1}) + \bar{N}(r, \frac{1}{G-1}) &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) \\ &\quad + \bar{N}_0(r, \frac{1}{F'}) + \bar{N}_0(r, \frac{1}{G'}) + T(r, G) + S(r, f). \end{aligned}$$

By the second fundamental theorem, we have

$$\begin{aligned} T(r, F) + T(r, G) &\leq \bar{N}(r, F) + \bar{N}(r, G) + \bar{N}(r, \frac{1}{F}) + \bar{N}(r, \frac{1}{G}) + \bar{N}(r, \frac{1}{F-1}) \\ &\quad + \bar{N}(r, \frac{1}{G-1}) - N_0(r, \frac{1}{F'}) - N_0(r, \frac{1}{G'}) + S(r, F) + S(r, G) \\ &\leq 3\bar{N}(r, F) + N_2(r, \frac{1}{F}) + N_2(r, \frac{1}{G}) + T(r, G) + S(r, f), \end{aligned}$$

So,  $T(r, F) \leq 3\bar{N}(r, F) + N_2(r, \frac{1}{F}) + N_2(r, \frac{1}{G}) + S(r, f),$

i.e.,  $nT(r, f) \leq 3\bar{N}(r, f) + N_2(r, \frac{1}{f}) + N_2(r, \frac{1}{(f^{(k)})^m}) + S(r, f)$

$$nT(r, f) \leq 3\bar{N}(r, f) + N_2(r, \frac{1}{f}) + 2N(r, \frac{1}{f^{(k)}}) + S(r, f)$$

By Lemma 2.1 for  $p = 2$  we have

$$nT(r, f) \leq (3 + 2k)\bar{N}(r, f) + 2\bar{N}(r, \frac{1}{f}) + 2N_{1+k}(r, \frac{1}{f}) + S(r, f)$$

So,  $(3 + 2k)\Theta(\infty, f) + 2\Theta(0, f) + 2\delta_{1+k}(0, f) \leq 7 + 2k - n.$

This contradicts with (4).

While  $l = 1,$

$$\bar{N}_{(l+1)}(r, \frac{1}{G-1}) + \bar{N}(r, \frac{1}{G-1}) \leq N(r, \frac{1}{G-1}) \leq T(r, G) + O(1),$$

so by Lemma 2.1 for  $p = 1, k = 1,$  we have

$$\begin{aligned} \bar{N}(r, \frac{1}{F-1}) + \bar{N}(r, \frac{1}{G-1}) &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}_{(2)}(r, \frac{1}{F-1}) + \bar{N}_0(r, \frac{1}{F'}) \\ &\quad + \bar{N}_0(r, \frac{1}{G'}) + T(r, G) + S(r, f). \\ &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{G}) + \bar{N}(r, \frac{1}{F'}) + \bar{N}_0(r, \frac{1}{G'}) + T(r, G) + S(r, f) \\ &\leq 2\bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{G}) + N_2(r, \frac{1}{F}) + \bar{N}_0(r, \frac{1}{G'}) + T(r, G) + S(r, f) \end{aligned}$$

As same as above, by the second fundamental theorem we have

$$T(r, F) + T(r, G) \leq 4\bar{N}(r, F) + 2N_2(r, \frac{1}{F}) + N_2(r, \frac{1}{G}) + T(r, G) + S(r, f),$$

so

$$T(r, F) \leq 4\bar{N}(r, F) + 2N_2(r, \frac{1}{F}) + N_2(r, \frac{1}{G}) + S(r, f),$$

i.e.,

$$nT(r, f) \leq 4\bar{N}(r, f) + 2N_2(r, \frac{1}{f^n}) + N_2(r, \frac{1}{(f^{(k)})^m}) + S(r, f),$$

$$nT(r, f) \leq 4\bar{N}(r, f) + 4\bar{N}(r, \frac{1}{f}) + 2\bar{N}(r, \frac{1}{f^{(k)}}) + S(r, f)$$

$$\leq 4\bar{N}(r, f) + 4\bar{N}(r, \frac{1}{f}) + 2\{N_{1+k}(r, \frac{1}{f}) + k\bar{N}(r, f)\} + S(r, f)$$

By Lemma 2.1 for  $p=2$  we have

$$nT(r, f) \leq (4 + 2k)\bar{N}(r, f) + 2N_{1+k}(r, \frac{1}{f}) + 4\bar{N}(r, \frac{1}{f}) + S(r, f)$$

So,

$$(4 + 2k)\Theta(\infty, f) + 4\Theta(0, f) + 2\delta_{1+k}(0, f) \leq 10 + 2k - n$$

This contradicts with (5).

**Subcase 4.2.**  $l = 0$ . From (26),(27) and (28) and Lemma 2.1 for  $p = 1, k = 1$ , noticing

$$N_E^{(2)}(r, \frac{1}{G-1}) + \bar{N}_L(r, \frac{1}{G-1}) + \bar{N}(r, \frac{1}{G-1}) \leq N(r, \frac{1}{G-1}) \leq T(r, G) + S(r, f)$$

then

$$\begin{aligned} \bar{N}(r, \frac{1}{F-1}) + \bar{N}(r, \frac{1}{G-1}) &= N_E^{(1)}(r, \frac{1}{F-1}) + N_E^{(2)}(r, \frac{1}{F-1}) + \bar{N}_L(r, \frac{1}{F-1}) + \bar{N}_L(r, \frac{1}{G-1}) \\ &\quad + \bar{N}(r, \frac{1}{G-1}) \\ &\leq \bar{N}(r, F) + \bar{N}_{(2)}(r, \frac{1}{F}) + \bar{N}_{(2)}(r, \frac{1}{G}) + 2\bar{N}_L(r, \frac{1}{F-1}) + \bar{N}_L(r, \frac{1}{G-1}) \\ &\quad + \bar{N}_E^{(2)}(r, \frac{1}{G-1}) + \bar{N}_L(r, \frac{1}{G-1}) + \bar{N}(r, \frac{1}{G-1}) + \bar{N}_0(r, \frac{1}{F'}) + \bar{N}_0(r, \frac{1}{G'}) \\ &\quad + S(r, f) \\ &\leq \bar{N}(r, F) + 2\bar{N}(r, \frac{1}{F'}) + \bar{N}(r, \frac{1}{G'}) + T(r, G) + S(r, f) \\ &\leq 4\bar{N}(r, F) + 2N_2(r, \frac{1}{F}) + N_2(r, \frac{1}{G}) + T(r, G) + S(r, f) \end{aligned}$$

As same as above, by the second fundamental theorem, we can obtain

$$T(r, F) + T(r, G) \leq 6\bar{N}(r, F) + 3N_2(r, \frac{1}{F}) + 2N_2(r, \frac{1}{G}) + T(r, G) + S(r, f)$$

So

$$\begin{aligned} T(r, F) &\leq 6\bar{N}(r, F) + 3N_2(r, \frac{1}{F}) + 2N_2(r, \frac{1}{G}) + S(r, f), \\ nT(r, f) &\leq 6\bar{N}(r, f) + 6\bar{N}(r, \frac{1}{f^n}) + 2N_2(r, \frac{1}{(f^{(k)})^m}) + S(r, f) \\ nT(r, f) &\leq 6\bar{N}(r, f) + 6\bar{N}(r, \frac{1}{f}) + 4\bar{N}(r, \frac{1}{f^{(k)}}) + S(r, f) \end{aligned}$$

By Lemma 2.1 for  $p = 2$  we have

$$nT(r, f) \leq (6 + 4k)\bar{N}(r, f) + 6\bar{N}(r, \frac{1}{f}) + 4N_{1+k}(r, \frac{1}{f}) + S(r, f)$$

$$(6 + 4k)\Theta(\infty, f) + 6\Theta(0, f) + 4\delta_{1+k}(0, f) \leq 16 + 4k - n$$

this contradicts with (6). Now the proof has been completed.

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