Northwest Atlantic Fisheries Organization



Report of the Fisheries Commission and Scientific Council Working Group on the Ecosystem Approach Framework to Fisheries Management

9-11 July 2014 Halifax, Nova Scotia, Canada

NAFO Dartmouth, N.S., Canada 2014

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1. Opening

The working group (WG) met at the Lord Nelson, Halifax, Canada, during 9-11 July 2014. The meeting was attended by representatives from Canada, EU, Iceland, Japan, Norway, the Russian Federation and the United States of America. The NAFO Executive Secretary, Fisheries Commission (FC) Coordinator and Scientific Council (SC) Coordinator were in attendance. An observer from World Wildlife Fund was present. The meeting was co-chaired by Robert Day (Canada) and Andrew Kenny (EU) representing FC and SC, respectively (Annex 1).

The chairs opened the meeting at 0900 hrs on Wednesday, 9 July.

2. Appointment of Rapporteur

With the agreement of the WG, the FC Coordinator Ricardo Federizon and the SC Coordinator Neil Campbell were appointed as joint rapporteurs.

3. Adoption of Agenda

The previously circulated agenda was adopted with slight modification on the sequence of items: the old item 6.a.ii and 6.a.iii were reversed and item 8 was moved ahead of item 7. Russian Federation requested the opportunity to make a presentation on the splendid alfonsino fishery at the Corner Seamount. It was agreed it would be discussed under item 10. The adopted agenda is presented in Annex 2.

4. Review of Terms of Reference

The terms of reference of the WG as documented in FC Doc 13/19 were reviewed. The WG considered membership, work format, reporting procedures, observers and future meetings. Proposed revisions to the Terms of Reference (ToR) are presented in Annex 3. It incorporates the comments from SC during its June 2014 meeting and the recommendation recognizes the need to consider the Risk Based Management Strategies WG ToR to ensure coherence.

5. Engagement with Canada-Newfoundland and Labrador Offshore Petroleum Board

The FC co-chair provided an update on the NAFO submission (submission agreed to at the 2013 Annual Meeting) to the development of the Eastern Newfoundland Strategic Environment Assessment (SEA) which is being conducted by the Canada-Newfoundland and Labrador Offshore Petroleum Board (C-NLOPB). NAFO comments on the draft SEA were submitted in April 2014. The comments were drafted by the co-Chairs and endorsed by the General Council (GC).

The Secretariat informed participants that after the submission of the comments, additional fisheries information (previously published) were provided to C-NLOPB at their request.

The European Union noted that communication between their research vessel and C-NOLPB's seismic research company has occurred by exchanging details of planned surveys.



Japan noted the interaction between the seismic and fisheries surveys might have occurred in 2013, i.e. large noises by seismic surveys may have caused disruptions in the Greenland halibut surveys resulting in very low CPUE. Due to this low CPUE, SC in 2014 had declared "occurrence of exceptional circumstances" by following the Management Strategy Evaluation (MSE) protocol. Japan further noted that such potential detrimental influences should be monitored carefully.

The SEA is expected to be released in July and August. The WG will track the development of the SEA but will not itself engage directly in any future processes without direction from GC.

6. Consideration of Scientific Advice

a) Review of Vulnerable Marine Ecosystems (VMEs) and fishery closures

At the 2013 Annual Meeting, FC requested SC for scientific advice on VMEs. SC formulated the advice during its 2014 June Meeting (SCS Doc 14/17). The advice draws on the work of the SC WG on Ecosystem Science and Assessment which met in November 2013 (SCS Doc 13/24).

The SC co-chair presented the advice on behalf of SC.

i. Summary of data available for identification of VMEs (Request 13a)

The SC co-Chair presented the method of *kernel density analysis* and noted that currently the best approach in identifying VMEs is the application of this method on the data (the detailed metadata can be found in pages 36-38 of SCS Doc 14/17). This analysis identifies "hotspots" in the biomass distribution derived from research vessel trawl survey data, by looking at natural breaks in the spatial distribution associated with changes in local density. These natural breaks allow defining of significant area polygons. The method identifies potential areas of VMEs according to the definition, however has limited spatial resolution, in particular, the delineation of borders for the VME areas are uncertain. If to be used as a basis for making management decisions, e.g. on the closing or opening of areas, these results are to be regarded as a first step. It would be expected that depth contours, type of substrate, current and temperature fields, etc. will shape the fine scale boundary.

Significant discussion ensued on clarifying how the kernel density approach was used to identify hotspots within which it was probable that VMEs would occur but did not actually delineate the boundaries of VMEs.

ii. Occurrence of sea pens around Areas 13 and 14 (Request 15)

SC advice: The available data, including information from the 2013 EU-Spain and Portugal Flemish Cap survey, indicates that areas 13 and 14 are located within the easternmost seapen VME unit of the seapen VME system. Within this unit, three high concentration locations have been identified, two corresponding to the candidate closures, and a third one located in between them, as well as several seapen observations of lower density. This seapen VME unit also encompasses locations of other VME indicator species (crinoids), as well as black corals.

Details of this advice can be found in pages 52-53 of the SCS Doc 14/17.

The WG noted that discussions on the candidate Areas 13 and 14 were initiated in the FC WG of Fishery Managers and Scientists on Vulnerable Marine Ecosystems (WGFMS-VME), the predecessor of this WG. The debate – whether the latest survey information and scientific advice warrant some VME protection management measures, e.g. closure, applied to candidate Areas 13 and 14 – remains unresolved. In this regard, the WG would recommend that FC and SC support the continuing analysis by this WG and that this does not preclude FC from considering possible closure if proposals are made at the Annual Meeting (see item 9).



iii. Extent of current closures and areas for prioritization (Request 13b)

The SC review of the current closures including seamounts is contained in pages 38 – 53 of SCS Doc 14/17. In the review new polygons were drawn indicating where the evidence of VMEs was located. It was emphasized that the polygons were not necessarily proposed closure boundaries but rather hot spots where VMEs could be located, as noted in 6.a.i.

Within the list comprising the current closures, a new area (Tail of the Grand Bank) and two candidate areas (Area 13 and 14), SC identified some high priority (Areas 3 and 4, candidate Areas 13 and 14, and the new area). The details of the existing closed area designation are described in Chapter II of the NAFO Conservation and Enforcement Measures (NCEM). Prioritization was based on multiple VME presence, approximate proportion of the VME that is protected, proximity to an existing area, proximity to high fishing activity, and areas with no current protection (page 50-51 of SCS Doc 14/17).

The WG noted significant protection of the identified VMEs has been achieved. Yet, some further work can be considered. The WG considered the SC priority list and took note of the presence of VME indicator species adjacent to the existing 30 closure. It was acknowledged area 30 and new area "Tail of the Grand Bank" in the list would entail considerable further work. As short term priorities, Areas 3 (Beothuk Knoll) and 4 (Eastern Flemish Cap) were recommended (see item 9).

Regarding the management recommendations on revised and new areas (Recommendation 6) and encounter thresholds (Recommendation 8), Japan noted: Japan has some reservations and different views on these two issues (additional closed areas and threshold values), although Japan does not wish to block these recommendations. Japan basically prefers to apply "move-on rules with encounter thresholds" to protect SAI to VME for the following three reasons: (a) In NAFO Convention Area, there have been a number of sporadic and patchy closed areas, which make operations difficult. From recent meeting of the WG Bycatch, Discards and Selectivity, it was also anticipated that more fine scale time-area closed areas will be established to mitigate bycatch and discards in the near future. This may create further difficulty to conduct operations as vessels might mistakenly make operations in closed area. (b) At present, CCAMLR, SEAFO, NEAFC and NPFC (near future) effectively apply "move-on rules with encounter thresholds", in addition to existing closed areas. Move-on rules are simple, i.e., vessels just keep away 2 nautical miles (NM) from the points where VME exceeding threshold values and then closed areas are instantaneously established and (c) Similar exercise has been also effectively in place in NAFO and Canada, i.e. 10 NM move-away-rule to avoid exploiting excess bycatch and discards.

Regarding the seamount closures, it was noted the management regimes governing unfished bottom areas (as defined in Chapter II of the NCEM, outside of the fishing footprint) and fisheries in seamount areas are identical, i.e. both are subject to the exploratory bottom fishing protocol. As the fisheries associated with these areas might be different, consideration for different management regimes might be warranted.

In noting the SC advice on seamounts (see page 49-50 of SCS Doc 14/17), some debate has ensued as to whether management measures concerning fisheries stocks associated with seamounts may be warranted. The WG indicated that FC be mindful of the following points when considering the management of seamount fisheries:

- a. Some CPs proposed that all ongoing fisheries taking place on seamounts should require 100% observer coverage in light of the knowledge and information gaps of the use of midwater trawl on seamount. Some CPs noted that in practice this is currently the case,
- b. Some CPs proposed that any proposed new or expanded midwater trawl fishing activity on the NAFO seamounts outlined in Article 16.1, be subject to the exploratory fisheries protocol outlined in Article 18,
- c. Some CPs expressed a view that the splendid alfonsino fishery be subject to NAFO management.



iv. Consideration of removing candidate VME closures from survey design (Request 14)

SC reported limited progress on this issue. However, it has recognized the issue of scientific surveys potentially impacting VMEs. SC suggested some points for consideration in minimizing the risk of impacts (see page 52 of SCS Doc 14/17).

The WG noted that the pros and cons must be balanced: whereas repeated surveys might impact VMEs, the benefits of having long time-series scientific data should not be ignored. The WG encouraged SC to continue to explore measures to mitigate the risk of significant adverse impacts on VMEs from research surveys.

b) Significant Adverse Impact (SAI) on VME elements

i. Risk assessment for SAI on VME elements and species (Request 12)

The WG noted the following SC response to the FC request: Scientific Council notes that work on significant adverse impacts on VME is on-going and that final results are not due until 2016, and indicates that good progress is been made. These analyses involved the production of fishery pressure layers based on VMS data, and VME biomass layers from RV surveys. Preliminary results indicated the important fractions of the recent effort are exerted in relatively small regions within the fishing footprint, and at least for some areas, this fishing effort seems to be concentrated in the near neighborhood of VMEs, suggesting a potential functional connection between some VMEs and commercially exploited fish species. This and other issues will continue to be explored as part of the process of developing the assessment of bottom fishing activities due in 2016. Specifically, the adopted approach has to be refined to take account of known and predicted VME habitat evaluated as part of the review of fishery closures (see page 33 of the SCS Doc 14/17).

ii. Workplan towards the assessment of NAFO bottom fisheries by 2016

The WG noted the SC-developed workplan which can be found in page 32 of the SCS Doc 14/17. In the workplan, specific tasks, the relevant FAO criteria (the six factors to be addressed when determining the scale and SAI, as enumerated in paragraph 18 of the FAO *International Guidelines for the Management of Deep-Sea Fisheries in the High Seas*), approach, and the lead body (e.g. SC and its standing committees and working groups) are identified. This WG was identified as the lead in task 8 – proposed mitigation and management measures to be used to prevent SAI on VMEs.

The workplan was noted as being ambitious. SC clarified that many of the tasks identified in the table are in the various stages of accomplishment and that it can be considered that four or five criteria have already been fulfilled. The focus of SC work has been the review of VMEs and it is now moving into the SAI phase. The WG requested that SC continue to provide annual updates on progress of this review including the methods it is employing.

7. Review of the provisions of Chapter II: – Bottom Fisheries in the NAFO Regulatory Area --- of the NAFO Conservation and Enforcement Measures (NCEM) for the implementation of Article 24; and recommendations to the Fisheries Commission

The precursor of this WG, the FC WGFMS-VME, conducted a review and update on Chapter II provisions of the NCEM in 2012. STACTIC is also undertaking an editorial review of the provisions. The UN General Assembly will conduct a review of the implementations of Resolution 61/105 in 2015. In view of these, it was agreed that it would not be necessary at this time to conduct an in-depth review of the provisions that would entail substantive changes. Instead, the WG could focus on the time-sensitive provisions and determine whether they need to be updated accordingly. It was noted that the NCEM are updated on an annual basis to reflect decisions taken by FC at the annual meeting to update management measures. It was also noted that references in Chapter II of the NCEM to the precursor WG should be replaced with this WG.

Regarding STACTIC's editorial review of the provisions, Japan commented that the STACTIC proposed revision of Article 22.1.b and Article 22.2.b – concerning the SC's advice on the need for action, using the FAO *International Guidelines for the Management of Deep-Sea Fisheries in the High Seas* as a basis – weakens the role of the FAO



Guidelines. NAFO should follow the FAO Guidelines in defining and identifying VMEs as described in page 39 of the June 2014 SC Report. Japan suggested that this should be discussed in the forthcoming Annual Meeting at FC.

Recommendations 1-4 and 13-14 in item 9 relate to the considerations mentioned above.

8. Input and guidance on the development and application of Ecosystems Approach to Fisheries (EAF) Roadmap

a) Overview of the EAF Roadmap: purpose and goals

The FC Co-Chair highlighted sections in the amended NAFO convention, the FAO *Technical Guidelines for Responsible Fisheries: Fisheries Management-2. The Ecosystem Approach* and *2011 NAFO Performance Review Recommendations* which relate to EAF as a prelude to the SC's presentation of the EAF Roadmap.

A representative from SC presented the EAF Road Map (Annex 4).

b) Operational expectations

This sub-item was discussed together with sub-item a).

c) Consideration of workplan and prioritization

The WG noted the comprehensive coverage of the EAF Roadmap and of the workplan (see slides 8-17 in Annex 4). As a way forward, the WG noted that priorities need to be established to allow allocation of scarce resources. The intention was not to revise the road map but to identify areas for priority work to occur. In Annex 5, the recommended priority areas and their associated tasks were grouped into four headings and timelines were identified:

- External impacts on ecosystem productivity (medium term)
- VMEs and impact s of bottom fishing (ongoing to short term for VMEs, short term for SAI)
- Multispecies interactions (medium term)
- Bycatch and discards (short term, ongoing).

9. Recommendations to forward to Fisheries Commission and Scientific Council

Recognizing the ground-breaking work, significant achievements and ongoing efforts made by NAFO on the identification of VMEs and development of the ecosystem approach to fisheries management, the WG recommends:

- 1. That the FC maintains the delineated seamounts areas identified in Chapter II, Article 16.1 of the NCEM (Delete or amend "*Until 31 December 2014*).
- 2. That the FC maintains the Div. 30 closure identified in Chapter II, Article 16.4 of the NCEM (Delete or amend "Until 31 December 2014").
- 3. That the FC maintains the closures identified in Chapter II, Article 16.5 of the NCEM (Delete or amend "Until 31 December 2014").
- 4. That the FC considers deleting Article 16.6 recognizing that the NCEM are regularly updated and the ongoing review envisioned by Article 23.



- 5. That the FC considers deleting or amending Article 24 (Review) considering the ongoing review and update of the NCEM in general.
- 6. Recognizing that the scientific advice also noted some gaps in the protection of VMEs, that the FC considers adjustments to Area 4 (Southeastern Flemish Cap sponge and large gorgonians), and new area 15 (Beothuk Knoll large gorgonians) (see Annex 6 for maps).
- 7. That the FC and SC support continuing analysis by the WG of areas on the Tail of the Grand Bank (Div. 30 closure and related areas) (See Annex 6 for maps).
- 8. That the FC and SC support continuing analysis by the WG of areas 13 and 14 (Eastern Flemish Cap), and FC consider possible closed areas, if proposals are made at the Annual Meeting (see Annex 6 for maps).
- 9. That the FC further considers whether to withdraw the encounter thresholds within the fishing footprint, taking into account the scientific advice, the review of VME closures and the review of UNGA 61/105 in 2015.
- 10. That priority attention by FC and SC and their constituent bodies be given to the areas identified in Annex 5 that include external factors (e.g. climate change and oil and gas development), bycatch and discards, multispecies interactions, and VMEs including concluding the assessment of bottom fisheries for 2016.
- 11. That FC and SC consider the revised Terms of Reference at their September 2014 joint session and have FC and SC adopt the revisions in their respective meetings (see Annex 3). Consideration could also be given to making terms of reference consistent across all joint FC-SC working groups.
- 12. Request that the SC provide annual updates to the FC-SC Working Group on Ecosystem Approach Framework to Fisheries Management pertaining to the 2016 review of significant adverse impacts of NAFO bottom fisheries on VMEs in the NRA.
- 13. That the FC amend the text of the NCEM to reflect the replacement of the FC WG-VME with the Joint FC-SC WG-EAFFM.
- 14. Article 23.1 of the NCEM be rephrased such that the "Fisheries Commission will request Scientific Council...".

10. Other Matters

a) Corner Rise Seamount and the Alfonsino fisheries

The Russian Federation made a presentation on Corner Rise Seamount and the alfonsino fisheries (Annex 7). The summary of the discussion arising from the presentation is also captured in item 6.a.iii.

b) Convention on Biological Diversity

At the request of WG participants, for information purposes, a Canadian representative presented the report of the Convention on Biological Diversity - Northwest Atlantic Regional Workshop to Facilitate the Description of Ecologically or Biologically Significant Marine Areas which was held in Montreal Canada in March 2014 (Annex 8).

c) Dr Enrique de Cardenas (Quique) Retirement

It came to the attention of the WG that a colleague in the SC and in the NEREIDA project, Dr. Enrique de Cardenas, is about to retire. On behalf of the WG, Ricardo Alpoim, as well as the SC WG co-Chair and Ellen Kenchington, delivered



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the best wishes greetings with the recognition of his significant contributions to the SC and the NEREIDA project (Annex 9).

11. Adoption of Report

This meeting report was adopted by correspondence.

12. Adjournment

The meeting adjourned at 1500 hrs on 11 July. The chairs thanked the participants for their cooperation and input and the Secretariat for its support. The participants in turn expressed their thanks to the Chairs for their leadership.



Annex 1. List of Participants

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Annex 2. Agenda

- 1. Opening
- 2. Appointment of Rapporteur
- 3. Adoption of Agenda
- 4. Review of Terms of Reference
- 5. Engagement with Canada-Newfoundland and Labrador Offshore Petroleum Board (C-NLOPB): Update and possible next steps
- 6. Consideration of Scientific Advice
 - a) Review of Vulnerable Marine Ecosystems (VMEs) and fishery closures
 - i. Summary of data available for identification of VMEs (Request 13a)
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 - iii. Extent of current closures and areas for prioritization (Request 13b) Management responses to the available information
 - iv. Consideration of removing candidate VME closures from survey design (Request 14)
 - b) Significant Adverse Impact (SAI) on VME elements
 - i. Risk assessment for SAI on VME elements and species (Request 12)
 - ii. Workplan towards the assessment of NAFO bottom fisheries by 2016
- 7. Review of the provisions of Chapter II Bottom Fisheries in the NAFO Regulatory Area --- of the NAFO Conservation and Enforcement Measures (NCEM) for the implementation of Article 24; and recommendations to the Fisheries Commission
- 8. Input and guidance on the development and application of Ecosystems Approach to Fisheries (EAF) Roadmap
 - a) Overview of the EAF Roadmap: purpose and goals
 - b) Operational expectations
 - c) Consideration of workplan and prioritization
- 9. Recommendations to forward to Fisheries Commission and Scientific Council
- 10. Other Matters
 - a) Corner Rise Seamount Splendid Alfonsino fisheries
 - b) Convention on Biological Diversity
 - c) Dr Enrique Cardenas Retirement
- 11. Adoption of Report
- 12. Adjournment



Annex 3. Proposed Revised Terms of Reference – Joint Fisheries Commission-Scientific Council Working Group on Ecosystem Approach Framework to Fisheries Management (FC/SC EAFFM WP 14/03)

Structure:

The Working Group on Ecosystem Approach Framework to Fisheries Management reports to both the Fisheries Commission and Scientific Council; considers the advice of Scientific Council; and provides recommendations to Fisheries Commission.

The Working Group shall be comprised of fishery managers and scientists from Contracting Parties supported by experts and advisors. The work form <u>shall be</u> an open forum/dialogue, <u>unless the contracting parties</u>, <u>under the guidance of the co-chairs</u>, <u>decide to conduct sessions in a delegation format</u>.

Recommendations to Fisheries Commission be developed through formal sessions of official delegations. If the Working Group breaks from plenary session and reverts to delegation for the purpose of drafting recommendations, individual scientists would remain as part of their delegations and SC as a whole would be represented by the SC Chair or a designated alternate.

The Co-Chairs shall be selected from participating fishery managers and scientists with both a fishery manager and a scientist represented in the two positions.

Accredited observers may attend meetings of the working group. Participation will be subject to the *NAFO Rules of Procedure*.

If a Contracting Party so requests, particular agenda items of the meeting, or parts thereof, shall be restricted to delegates representing Contracting Parties and Scientific Council. A total of up to two persons per non-governmental organizations that have been given the right to participate as observers shall be permitted.

Objective:

The main objective of the Working Group is to make recommendations to the Fisheries Commission and feedback to Scientific Council on the development and effective implementation of ecosystems approaches to fisheries management.

Specific Duties:

In responding to requests for advice and recommendations from the Fisheries Commission, considering the associated advice of Scientific Council, the Working Group shall:

- Provide input/ guidance on the development and application of the Ecosystems Approach to Fisheries (EAF) Roadmap, including defining objectives and establishing priorities.
 - o Recommending appropriate ecosystem-based management areas,
 - Considering ecosystem status, functioning and dynamics of NAFO marine ecosystems, including species interactions,
 - Considering the effect of activities other than fishing that may impact the stocks and fisheries in the NAFO Area.
 - Analyzing the way other RFMOs address the need to conserve biodiversity and advise on a possible strategy for biodiversity.
- Make recommendations on mitigation strategies and measures to avoid significant adverse impacts of bottom fishing activities on vulnerable marine ecosystems, including the evaluation of associated risks.
- Review area closures and other measures outlined in the NAFO Conservation and Enforcement Measures (NCEMs) with specific timelines.
- Collaborate with Scientific Council on the assessment/ reassessment of NAFO bottom fisheries.



- Provide recommendations to Fisheries Commission in relation to requests to conduct exploratory bottom fishing and/ or evaluation of previously authorized exploratory fishing activities.
- Provide recommendations for updating the NCEMs in relation to EAF including the text in Chapter II (Bottom Fisheries in the NAFO Regulatory Area) and any associated Annexes (e.g. the Exploratory Protocol - Annex I.E), as necessary.

Meetings:

Meetings may be held at the request of the Chairs of Fisheries Commission <u>and/</u>or the Scientific Council, in consultation with Contracting Parties and the NAFO Secretariat.

Whenever possible, meetings of the Working Group—Timing should occur after the June Scientific Council meeting and prior to the NAFO annual meeting. be decided on a case by case basis.

The working group shall communicate regularly through teleconferences and electronically, as required.

Reporting out

The Working Group will issue a written report (advice and any necessary follow-up such as areas for further advice from SC) to the Fisheries Commission and the Scientific Council.

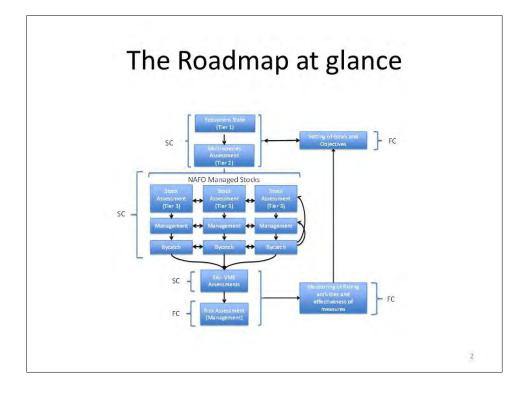
An oral update can be provided to both SC and FC during their the annual meetings.



Annex 4. SC Presentation: The SC EAF Roadmap

Roadmap to EAF

FC-SC WGEAFFM July 9-11,2014

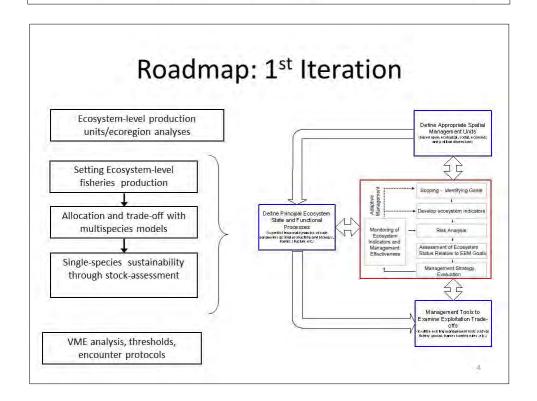




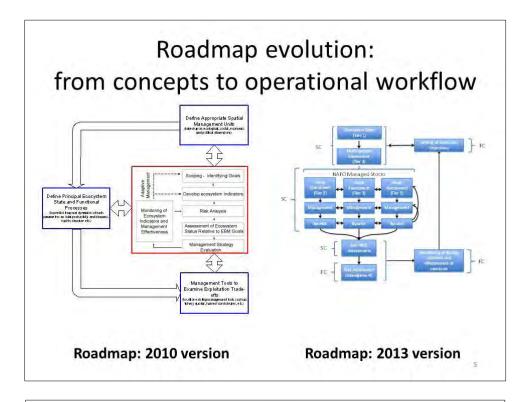
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A bit of history

- · Creation of SC WGEAFM in 2007
 - New convention and Ecosystem Approach
- First SC WGEAFM meeting in 2008
 - Original agenda replaced by VMEs as a priority
- · VMEs took a focal role for SC WGEAFM, but EAF continues to be developed
- 2010 SC WGEAFM meeting in Vigo
 - First incarnation of the Roadmap
 - Endorsed by SC in June 2010
 - Based on the concept of Integrated Ecosystem Assessment (Levin et al. 2009)
- · Work on Roadmap components is ongoing
- 2013 SC June meeting
 - Most recent incarnation of the Roadmap (described in the response to FC Request #13 in the SC June 2013 Report)







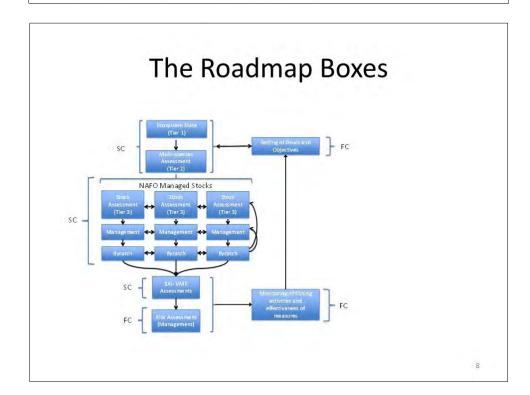
Roadmap: what is and what is not

- The "Roadmap" lays out the organizing framework to develop an EAF for NAFO. It is not
 a fixed plan; as its name indicates, it is a guiding set of ideas whose details evolve as it is
 developed and implemented.
- It is a framework that includes both Scientific Council and Fisheries Commission.
- Scientific Council has made progress on many aspects of the Roadmap, although there
 are still gaps that need to be addressed (see Table 2 in 2013 SC June Report for details).
 Limited human resources and funding support impose limits to the pace at which many
 of the studies required to support the roadmap can be carried out.
- Required inputs from Fisheries Commission include, among others, 'goal setting' (e.g. defining explicit ecosystem objectives, developing governance mechanisms to discuss/set multispecies objectives), and 'monitoring' (e.g. developing mechanisms to ensure the availability of catch information for both commercial and non-commercial species); 'risk assessment' would also require important input from Fisheries Commission.

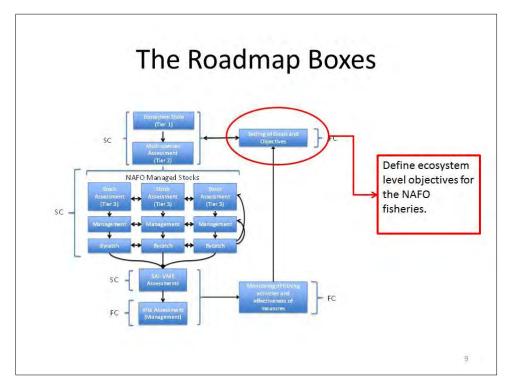


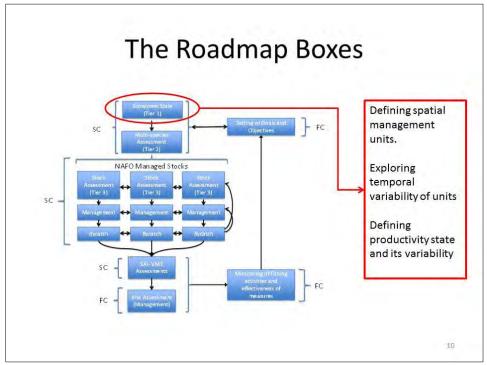
Main Roadmap features

- · Core Roadmap premises are:
 - a) the approach is objective-driven,
 - b) it considers long-term ecosystem sustainability,
 - c) it is a place-based framework, and
 - d) trade-offs are explicitly addressed.
- · Sustainability of exploitation is achieved through a 3-tier hierarchy:
 - Tier 1- ecosystem sustainability (total fisheries production; "TAC" at ecosystem level)
 - Tier 2- multispecies sustainability (multispecies assessments; trade-offs among fisheries)
 - Tier 3- stock sustainability (single species stock assessments; ensures that exploitation rates derived from Tiers 1 and 2 are consistent with stock characteristics).
- · Integration of impacts of fisheries on benthic communities (e.g. VMEs)
 - Assessment of Significant Adverse Impact (SAIs) on VMEs by bottom fishing activities.
 - Analysis of fishing impacts on benthic ecosystems.

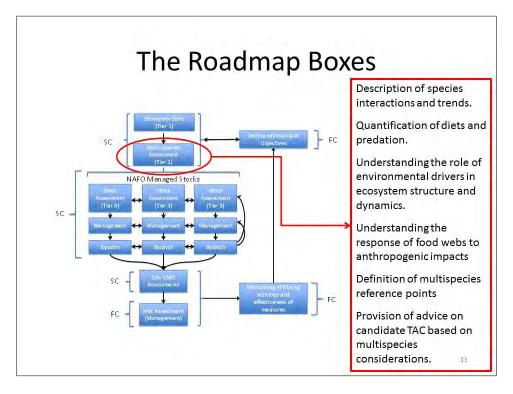


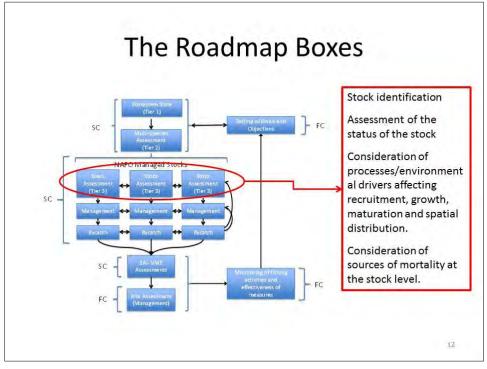




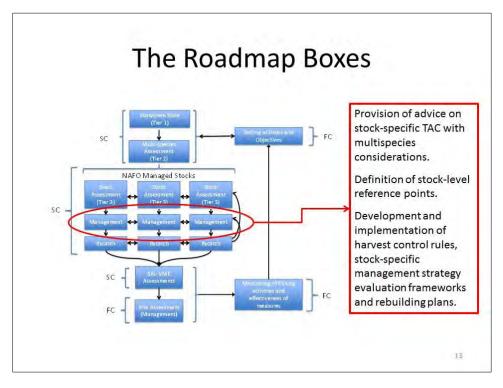


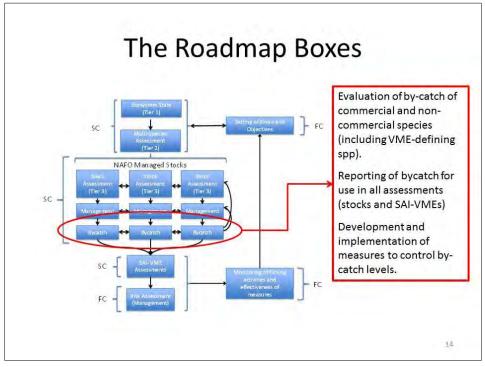




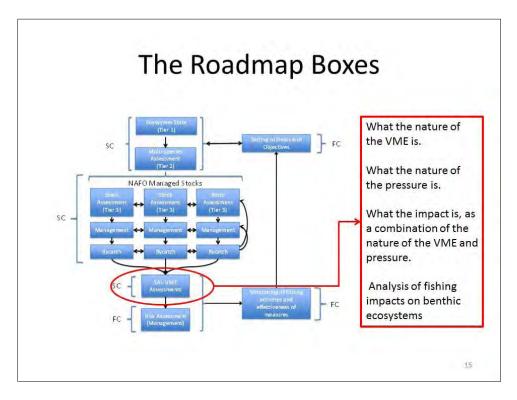


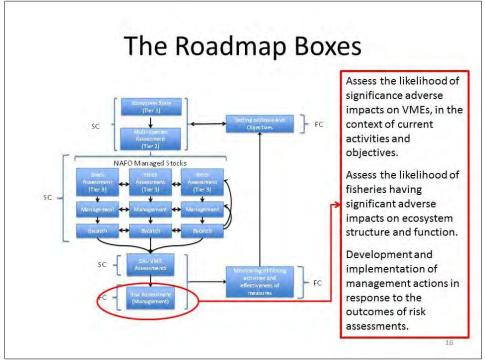




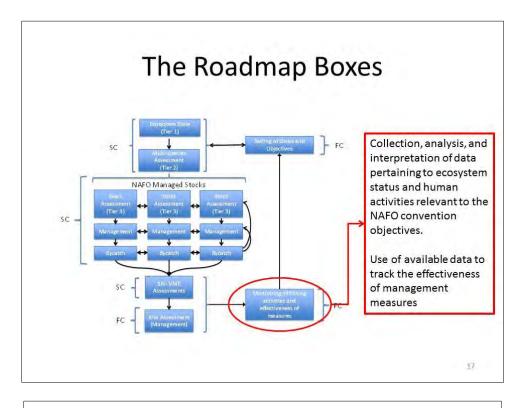












Roadmap Progress Box Done To Be Done **Critical Gaps** Goal setting Define ecosystem level Initial discussions on the Development of Lack of explicit governance mechanisms objectives. objectives for the NAFO implications of species fisheries. interactions in setting TAC for to discuss and set multispecies objectives. species in the Flemish Cap. Acknowledgement of the role of trophic interactions in the context of management of fisheries directed to these spp. [more to be added by FC, and [more to be added by FC, [more to be added by FC, SC-FC WGs and SC-FC WGs and SC-FC WGs



Roadmap Progress Box Done To Be Done **Critical Gaps** Ecosystem State Integrate ecoregion Consideration of the Ecoregion analyses for Defining spatial Newfoundland and analysis across NAFO broader set of climate management units. Labrador, Flemish Cap, convention area. change impacts Atlantic US, and partially on Scotian shelf. Correspondence between Better integration of Exploring temporal Some candidate stock boundaries and environmental and variability of units ecosystem-level candidate ecosystem oceanographic management units management units. information (e.g. identified. STACFEN work). Defining productivity state Preliminary Fisheries Consideration of Incorporation of northern different scales and how and its variability Production Potential NAFO divisions (0 and models for to integrate them 1) Newfoundland and Labrador, Flemish Cap, and Scotian Shelf; studies on this topic are also available for the Atlantic US

Roadmap Progress Done To Be Done **Critical Gaps** Box **Ecosystem State** (continuation) Identification of ranges Preliminary Aggregate Incorporation of oceanic of variability in the past Biomass Production waters (i.e. open ocean models for compared to present. ecosystems) Newfoundland and Labrador, Flemish Cap; studies on this topic are More comprehensive also available for consideration of top Scotian Shelf and predators (seabirds, Atlantic US. sharks, seals, and cetaceans). Initial studies linking Improved Fisheries elements of productivity Production Potential and Developing more specific/functional and environmental Aggregate Biomass drivers in Newfoundland models. connections and and Labrador, and collaborations with ICES Flemish Cap; studies on Working Group on the Integrate environmental Northwest Atlantic this topic are also available for Scotian Regional Sea drivers into models of Shelf and Atlantic US. ecosystem productivity. (WGNARS) 21



Box

and predation.

dynamics

ecosystem structure and

and top-down regulation

in Newfoundland and

Labrador

Roadmap Progress Critical Gaps Done To Be Done Multispecies assessment Studies of food habits in Improving multispecies Considerations of Description of species interactions and trends. Flemish Cap and modelling for Flemish Cap. environmental drivers and Newfoundland and species interactions on Labrador; studies on this reproductive potential topic are also available (e.g. integration of the for Scotian Shelf and NAFO SC WGRP work) Atlantic US. Quantification of diets Preliminary modelling of Developing preliminary Enhanced participation key species in the multispecies models for and incorporation of Flemish Cap. Newfoundland and Labrador. information from Scotian Shelf and US Understanding the role of Testing specific Improved characterization of Developing more environmental drivers in hypothesis of bottom-up diets and its variability in specific/functional

space and time

connections and

collaborations with ICES

Working Group on the Northwest Atlantic Regional Sea (WGNARS)

Roadmap Progress Done To Be Done **Critical Gaps** Box Multispecies assessment (continuation) Understanding the Studies of common Improved/additional trends among multiple response of food webs to estimation of stocks in Flemish Cap, anthropogenic impacts consumption/predation for key and Newfoundland and Labrador; studies on this topic are also available for Scotian Shelf and Atlantic US Definition of multispecies Estimation of Improved understanding of the reference points consumption/predation linkage between lower trophic level characteristics and for some stocks dynamics and fish production. Provision of advice on Study the role of candidate TAC based on environmental drivers in the regulation and structure of multispecies considerations. food webs.



Roadmap Progress

Box	Done	To Be Done	Critical Gaps
Stock Assessment	C		.030
Stock identification	Current single-species assessments	Development and/or improvement of assessment models.	Reliable estimates of fishery catches and stock indicators for their use in stock and ecosystem assessments.
Assessment of the status of the stock	Some shrimp assessments include predation	Inclusion of predation in more assessments	Improve integration between stock- assessments and ecosystem analyses.
Consideration of processes/environmental drivers affecting recruitment, growth, maturation and spatial distribution.	Redfish assessment has considered the impact of predation in setting natural mortality.		
Consideration of sources of mortality at the stock level	r- I		

Roadmap Progress

Вох	Done	To Be Done	Critical Gaps
Vianagement			0.00
	Provision of current	Development of	Definition of explicit
Provision of advice on stock-specific TAC with multispecies	TAC advice on NAFO stocks	rebuilding plans for more stocks.	management objectives for each stock.
considerations.	Precautionary Approach framework and	-Further development of reference points.	Consideration of stock specific management
Definition of stock-level	reference points for		objectives in the context
reference points.	some stocks	Revision of the precautionary approach	of ecosystem objectives
Development and mplementation of harvest	Management strategy evaluation approach for	framework	
control rules, stock- specific management	Greenland halibut.	Complete rebuilding plans (including harvest	
strategy evaluation frameworks and rebuilding	Rebuilding plans for some stocks are under	control rules)	
plans	development	Develop mechanisms to link and evaluate TAC from multispecies candidates.	
	[more to be added by	[more to be added by	[more to be added by
	FC, and SC-FC wgs	FC, and SC-FC wgs]	FC, and SC-FC wgs



Roadmap Progress (a): general description of progress to date

Вох	Done	To Be Done	Critical Gaps
By-catch			
	Compilation of available	Incorporation of non-	Lack of full catch
Evaluation of by-catch of	information of bycatch	commercial spp	information for both
commercial and non-	by fishery for	(including VME-	commercial and non-
commercial species	commercial spp.	defining spp)	commercial spp,
(including VME-defining			including VME-defining
spp).	Suite of management measures associated	Improve reliability of catch information.	spp, on a tow-by-tow basis
Reporting of bycatch for	with by-catch (e.g.	cuton information.	Outsio
use in all assessments	limits of spp under	Link tow position with	
(stocks and SAI-VMEs)	moratoria in directed	catch information (e.g.	
Action Control Control	fisheries)	full use of VMS data for	
Development and		scientific analysis)	
implementation of	Adoption of the catch	A CONTRACTOR OF THE	
measures to control by-	reporting tow-by-tow	Develop comprehensive	
catch levels.		approach to report	
		bycatch across fisheries	
		and make available to	
		NAFO bodies for their	
		inclusion in analyses.	
	[more to be added by	more to be added by	[more to be added by
	FC, and SC-FC wgs	FC, and SC-FC wgs]	FC, and SC-FC wgs]

Roadmap Progress

Вох	Done	To Be Done	Critical Gaps
Assessment of Significant Adverse Impacts (SAI) on	Identification and mapping of VME	Assess VME resilience	Lack of full catch information for both
VMES	elements and indicator species.	Integration of macro and megafauna data layers	commercial and non- commercial spp, including
What the nature of the VME			VME-defining spp, on a
is.	Identification and review of impacts on seabed.	Determine the status of VMEs as essential fish	tow-by-tow basis
What the nature of the		habitats.	Understanding the
pressure is.	Assessment of		functional relationships
	distribution and intensity	Assessment of current	between VMEs and
What the impact is, as a	of fishing activity	closures for the protection	fisheries yields.
combination of the nature o		of high concentrations of	5
the VME and pressure.	evaluation of cumulative pressure from fishing),	VME-indicator spp by 2014.	Determining what proportion of VMEs is
Analysis of fishing impacts	taking into account the		optimal in a given fishery
on benthic ecosystems	type of fishery, gear employed, etc.	Fisheries assessments regarding their impacts on VMEs (i.e. first	(i.e. how much VME we need to protect)
	Modelling VME indicator sp by-catch. Modelling VME presence.	assessments by 2016)	How VME closures relate to other human activities, and how these interactions may affect fisheries and
	Evaluating criteria for VME indicator spp.		fisheries resources. 26



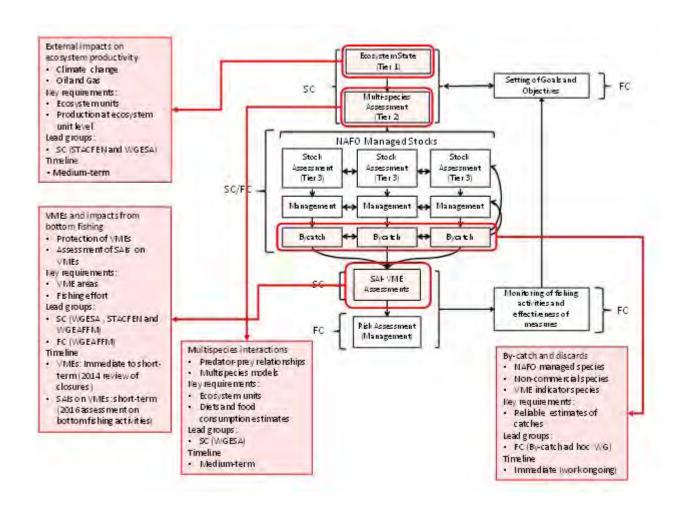
P 22	60.0	+0 p. 60.00	0.11
Box	Done	To Be Done	Critical Gaps
Risk Assessment	CA TAINS	A STATUTE OF	
Assess the likelihood of significance adverse impacts on VMEs, in the context of current	Development of selected VME-indicator spp maps, showing the risk of bottom fishing impacts.	Continue the development and implementation of management measures to minimize or prevent SAI on VMEs	Develop, design, and implement a strategy to assess risk at the ecosystem level.
activities and objectives.	Implementation of closed areas for the protection of	Develop guideline to	Ensure full interaction between all NAFO bodies
Assess the likelihood of fisheries having significant adverse impacts on ecosystem structure and function.	high concentration of selected VME-indicator spp. Implementation of closed areas for the protection of	bevote guarante to ensure consistent application of risk assessment criteria in the context of current activities and objectives.	to define risks in a manner that is acceptable and properly understood by all.
Development and implementation of management actions in response to the outcomes of risk assessments	physical VME elements. Implementation of encounter protocols for selected VME-indicator spp		
	[more to be added by FC, and SC-FC wgs]	[more to be added by FC, and SC-FC wgs]	[more to be added by FC, and SC-FC wgs]

Roadmap Progress

Вох	Done	To Be Done	Critical Gaps
Monitoring			A CONTRACTOR
	RV surveys (stock status,	Improve/enhance collection	Lack of full catch
Collection, analysis, and	ecosystem interactions,	of scientific information on	information for both
interpretation of data	etc)	non-commercial spp in RV	commercial and non-
pertaining to ecosystem		surveys	commercial spp, including
status and human			VME-defining spp, on a
activities relevant to the	VMS (fishing footprint,	Improve reliability of catch	tow-by-tow basis
NAFO convention	intensity of fishing.	information from	
objectives.	compliance of	commercial fleets	Basic scientific
	management regulations)		information lacking in
Use of available data to		Link tow position with eatch	some areas (e.g.
track the effectiveness of	NAFO and scientific	information (e.g. full use of	seamounts, northern areas
management measures	observer programs	VMS data for scientific	
		analysis)	Basic scientific data are
			very limited for some
		Develop and integrated way	ecosystem components
		to summarize and track fleet	(e.g. epipelagic and
		composition and activities.	bathypelagic zones).
	[more to be added by FC.	more to be added by FC.	more to be added by FC
	and SC-FC wgs]	and SC-FC wgs]	and SC-FC wgs]



Annex 5. Workplan and Prioritization of the EAF Roadmap



Annex 6. Working Maps in relation to Recommendations 6, 7, and 8 (FC/SC EAFFM WP 14/04)

The following is a compilation of working maps that were circulated during the meeting. The compilation includes SC maps showing Areas 3 and 4 and Candidate Areas 13 and 14 derived from kernel density analysis. The compilation may expand in further consideration of the recommendations.

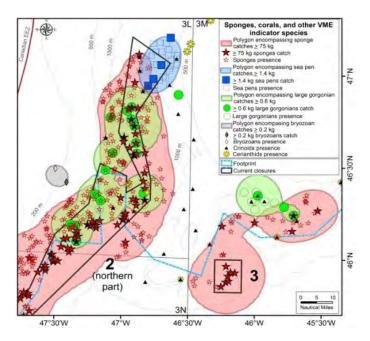


Fig. 1. Area 2 northern portion and Area 3 Beothuk Knoll. VMEs and VME indicator species from kernel analysis.

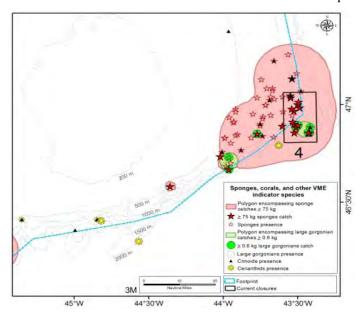


Fig. 2. Area 4 Eastern Flemish Cap. VMEs and VME indicator species from kernel analysis



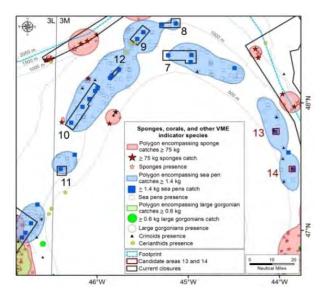


Fig.3. Areas 7-12 and candidate 13 and 14 Northern and Northwestern Flemish Cap Including Candidate Areas 13, 14. VMEs and VME indicator species from kernel analysis

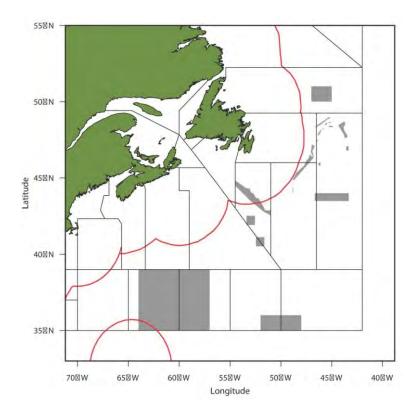


Fig. 4. Existing closed areas in the NRA.



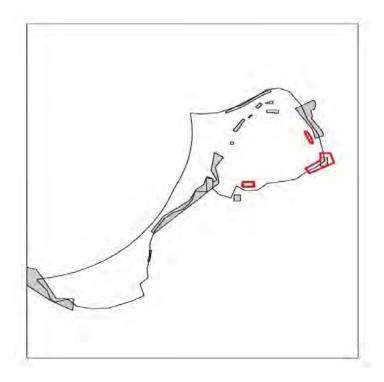


Fig. 5. Three new areas for consideration.

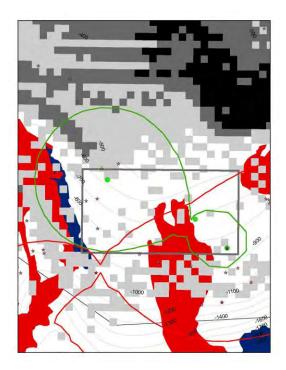


Fig. 6. Beothuk Grid



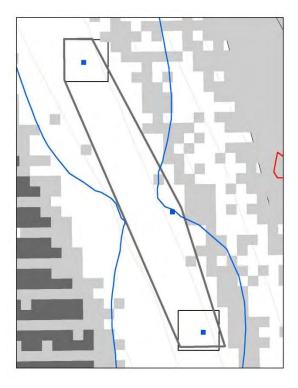


Fig. 7. Candidate Areas 13 and 14 (area of seapen concentration)

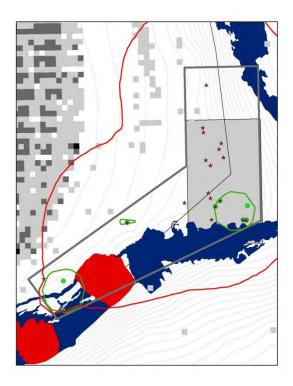


Fig 8. Tail of the Grand Bank



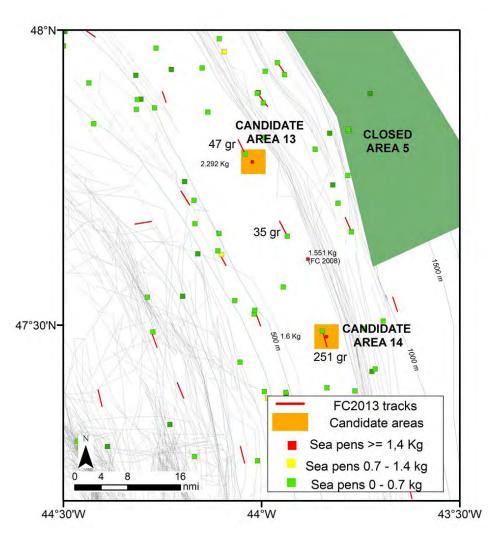


Fig. 9. Candidate Areas 13 and 14

Agenda 7. Presentation by the Russian Federation: Corner Rise Seamount Splendid Alfonsino Fisheries





Materials and Methods

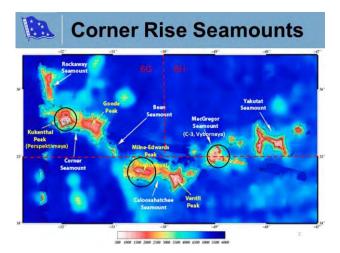
- Cruise reports of Russian EVs, RVs and FVs in 1976-2009
- Russian and other countries' publications
- Personal communications masters of fishing vessels and scientists
- SC response to the FC's request for advice on the Corner Rise.



Historical Review of Fishery

Spanish fishery

- Performed since 2004
- Peak catch in 2005 about 1,200 t
- In subsequent years, catch varied between 52-479 t
- · Most catch probably taken at "Perspektivnaya" bank
- Main gear pelagic trawl, sometimes bottom trawl





Historical Review of Fishery

Russian fishery

- 1976 over 10.000 t
- 1977 about 800 t
- 1978-1986 2,000 t by EVs and RVs
- 1987 about 2,800 t (with EVs)
- 1994-2000 varied from 600 to 4,700 t
- 2003 unsuccessful attempt at fishery
- 50-70% of catch was taken at "Perspektivnaya" bank
- Main gear pelagic trawl



Biological characteristics

- $L_{min} = 17 \text{ cm}$
- $L_{max} = 60 \text{ cm}$
- $L_{avg} = 34-43 \text{ cm}$
- $W_{avg} = 1.2-1.7 \text{ kg}$
- L_{matmin} = 18 cm
- Age_{matmin} = 2 years
- Age_{matfull} = 6 years
- $L_{\text{matfull}} = 25-30 \text{ cm}$
- Spawning in 10-12 portions
- Fecundity up to 2 mil. eggs





Biomass by surveys

Seamount	Period		Vessel	Biomass,	
	Year	Month		thousand t	
"Perspektivnaya"	1980	September	EV "Payel Kaikov"	6,6 (22,0)	
	1981	March	EV "Kapitan Demidov"	6,5	
	1987	May	RV "Kapitan Shaltanov"	0,2	
1	2001	January	RV "Atlantida"	0 (no concentrations	
	2009	June	RV "Atlantida"	1,9	
	2009	December	RV "Atlantida"	0 (no concentrations	
"Vybornaya"	1981	March	EV "Kapitan Demidov"	5,7	
	1984	September	EV «Nikolay Kuropatkin »	4,1 (13,8)	
	1987	May	RV "Kapitan Shaitanov"	0,13	
	1995	June	FV "Petr Petrov"	1,7 (5,5)	
	2001	January	RV "Atlantida"	0 (no concentrations	
"Rezervnaya"	1981	March	EV "Kapitan Demidov"	0,7	
	1985	May	EV "Menzelinsk"	4,4 (14,6)	
	1987	May	RV "Kapitan Shaitanov"	0,12	
	2001	January	RV "Atlantida"	0 (no concentrations	
	2009	December	RV "Atlantida"	0 (no concentrations	

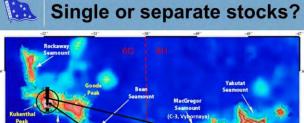
Note: the biomass values calculated using the catchability coefficient of 0.3 are given in brackets

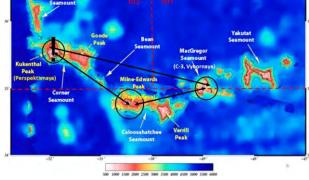
In 1970s-1990s, stock biomass reached 11000-12000 t



Suggestions

- F = 0.1
- Reliable statistical data on fishery
- · Integrated researches including the studies of biology, intraspecific structure and habitat
- · Stock assessments on the regular basis
- · Development of scientifically grounded measures for fishery management





Complications in fishery

- · Variability in alfonsino distribution and density
- Hard ground conditions
- · Partitioned bottom topography of the banks
- Small parameters of alfonsino schools
- · Unsteady water circulation above the seamounts



Suggestions

Temporary management measures:

- · TAC of 400 t for each fishable bank
- No bottom gear usage
- No pelagic trawling at the depths less than 600 m
- · Presence of observers at all the fishing vessels
- · Limitation of fishing efforts as an additional measure if required



Annex 8. Presentation by Canada: CBD and EBSA in the Northwest Atlantic



CBD Criteria

CBD (2009)

Uniqueness or rarity

Special importance for life-history stages of species Importance for threatened, endangered or declining species and/or habitats

Vulnerability, fragility, sensitivity, or slow recovery

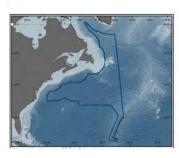
Biological productivity

Biological diversity

Naturalness

(avada') oceano

Area Considered by the NW Atlantic Workshop



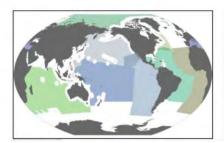
Canada's oceans A natural resource, a minoral inte

Some Terms

- · Convention on Biological Diversity (CBD)
 - Signed by 150 government leaders at the 1992 Rio Earth Summit, the CBD is dedicated to promoting sustainable development. There are 194 parties.
- Conference of Parties (COP)
 - The Conference of the Parties is the governing body of the Convention, and advances implementation of the Convention through the decisions.
- Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA)
 - provides the Conference of the Parties (COP) and, as appropriate, its other subsidiary bodies, with timely advice relating to the implementation of the Convention

Gradij----

CBD Regional Workshops



Canada's oceans

7 EBSAs Described

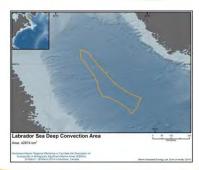
- Labrador Sea Deep Convection Area (dynamic pelagic feature)
- Seabird Foraging Zone in the Southern Labrador Sea (dynamic pelagic)
- 3. Orphan Knoll (benthic)
- Slopes of the Flemish Cap and Grand Bank (benthic and water column)
- Southeast Shoal and Adjacent Areas on the Tail of the Grand Bank (benthic and water column)
- 6. New England and Corner Rise Seamounts (benthic)
- 7. Hydrothermal Vent Fields (benthic)

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Labrador Sea Deep Convection Area



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Orphan Knoll



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CBD Template

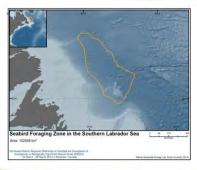
Assessment of the area against CBD EBSA Criteria

Observation of the area in relation to each of the CBD criteria and relate the best available science. Note that a proposed area for EBSA description may qualify on the basts of one or more of the criteria, and that the polyspos of the EBSA need not be defined with exact precision. And modeling may be used to estimate the presence of EBSA attributes. Please note where there are significant information gaps)

Description (Annex I to decision IX/20)	Ranking of criterion relevance (please mark one column with an X)				
	No informat ion	Low	Medi um	High	
ranking					
Areas that are required for a population to survive and thrive.					
	(Annex I to decision IX'20) ranking Areas that are required for a population to survive	(Annex I to decision IX 20) (please ma No Information ranking Areas that are required for a population to survive	(Annex I to decision IX'20) (please mark one col. No. Information ranking Areas that are required for a population to survive	(Annex I to decision IX'20) (please mark one column with an Ne Low Medi informat ion ranking Areas that are required for a population to survive	

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Seabird Foraging Zone in the Southern Labrador Sea



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Slopes of the Flemish Cap and Grand Bank



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Southeast Shoal and Adjacent Areas on the Tail of the Grand Bank



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Hydrothermal Vent Fields



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Next Steps

- Results were included in the information documents provided to SBSTTA 18 in Montreal, June 23-28
- Approved EBSA proforma will go forward to the COP 12 -Twelfth meeting of the Conference of the Parties to the Convention on Biological Diversity Pyeongchang, Republic of Korea,6 - 17 October 2014
- · Voted on individually

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New England and Corner Rise Seamounts



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Location of all 7 EBSAs



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How EBSAs may be used

- EBSAs may be considered in a broad range of oceans management and planning processes
 - Environmental assessment,
 - · Environmental emergency response,
 - Integrated ecoystem based management,
 - · MPA network planning
- "Profile" and risk assessment to be completed for each EBSA to identify potential management needs





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Annex 9. Dr Enrique de Cardenas (Quique) Retirement



Dr Enrique de Cardenas (Quique) started to work in the NAFO Scientific Council in 1989, where he was a member for 25 years. During that time, Quique produced several Scientific Documents, was stock coordinator and leader or co-leader of several scientific projects (such as The Flemish Cap Survey). Even after he left the NAFO SC for the Spanish Administration, Quique never stopped pursuing the best science for NAFO, and for example was one of the leaders, if not the responsible person behind the genesis of the NEREIDA project.

Personally, and I think I can speak on behalf of Scientific Council, we would like to thank Quique for the quality of all his work (a life time work) that was extremely important to improve the scientific knowledge of the NAFO area.

We will miss your friendship and we all desire the best wishes in your retirement.

Thank you Quique.