

Theoretical analysis of the limiting rate of phreatic evaporation for aeolian sandy soil in Taklimakan Desert

HU ShunJun^{1,2†}, LEI JiaQiang², XU XinWen², SONG YuDong², TIAN ChangYan^{1,2}, CHEN XiaoBin³
& LI XiuChang²

¹ Key Laboratory of Oasis Ecology and Desert Environmental, Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences, Urumqi 830011, China;

² Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences, Urumqi 830011, China;

³ Yantai Institute of Coastal Zone Research for Sustainable Development, Chinese Academy of Sciences, Yantai 264000, China

Phreatic evaporation is a great lose for shallow groundwater in the Taklimakan Desert. Given soil type and groundwater table, the limiting rate of phreatic evaporation is defined as the maximum of water transferred from groundwater to soil surface per unit time, which is a key parameter and control condition for phreatic evaporation model developing. The soil water characteristic curve for the aeolian sandy soil in the Taklimakan Desert was fitted with the least square method based on the formula of soil moisture characteristics curve proposed by Van Genuchten, using observed soil moisture and soil water suction data. The unsaturated hydraulic conductivity was determined by the instantaneous profile method *in situ* and the calculation formula for unsaturated hydraulic conductivity was established. According to the steady flow theory, the quasi-analytical solution of limiting rate of phreatic evaporation was derived on the basis of generalization of the formula of unsaturated hydraulic conductivity. The results show that the soil moisture characteristics in the Taklimakan Desert can be well described by Van Genuchten's formula, and the limiting rate of phreatic evaporation declines by power function with the descending of groundwater table.

aeolian sandy soil, limiting rate of phreatic evaporation, steady flow, unsaturated hydraulic conductivity, Taklimakan Desert

Phreatic evaporation is a process that the water is transferred from phreatic zone to unsaturated zone and further to air through soil evaporation. Numerous studies have been done on the mechanism of phreatic evaporation in the past decades, concerning soil physics, hydrogeology, hydraulic engineering and water sources science, etc.^[1–11]. Given the soil properties and groundwater table, the phreatic evaporation increases with atmospheric evaporation capacity. When the atmospheric evaporation capacity tends to the infinite, the phreatic evaporation approximates the limiting rate of phreatic evaporation which is defined as the maximum of water transferred from groundwater to soil surface per unit time at the given soil and groundwater conditions^[12–15]. The limiting rate of phreatic evaporation is a key parameter and control condition for developing phreatic

evaporation models^[15]. Presently, this parameter is often determined by graphical solution, nonlinear regression iteration, theoretical analyses, etc. For example, Gardner^[1], Bever et al.^[16], Gao^[17], Jury et al.^[18], Zhang^[19,20], Guo^[21], Tang et al.^[8], Ma et al.^[22] explored the analytical solution of the limiting rate of phreatic evaporation, and Shi et al.^[23] analyzed the effect of salinity on the phreatic evaporation. The analytical solution of the limiting rate of phreatic evaporation not only provides sound and reliable theoretical support for phreatic evaporation model, but also is of significance in water

Received September 2, 2007; accepted June 2, 2008
doi: 10.1007/s11434-008-6014-3

[†]Corresponding author (email: xjhushunjun@yahoo.com.cn)

Supported jointly by Knowledge Innovation Program of the Chinese Academy of Sciences (Grant Nos. KZCX3-SW-342 and KZCX2-XB2-03), National Natural Science Foundation of China (Grant No. 40771043) and Science and Technology Foundation of Xinjiang Uygur Autonomous Region (Grant No. 200731137-3)

resources assessment, water balance analysis, saline-alkali soil amelioration, irrigation system improvement and the calculation of ecological water requirements^[24–30].

1 Study site

The study site lies in the hinterland of Taklimakan Desert. The annual mean temperature is 12.4°C. The hottest month is July, with 28.2°C in monthly mean temperature, and –8.1°C for the coldest December. The precipitation averages 11.05 mm, but mainly concentrates between May and August, accounting for 92.3% of the annual total. The annual water surface evaporation is 3638.6 mm (obtained by evaporation pan 20 cm in diameter), with the monthly maximum mean, 563.2 mm, occurring in June, and the minimum, 34.4 mm, occurring in December. The annual sunshine duration is about 2571.3 h. The maximum monthly mean of sunshine hours is up to 8.3 h, occurring in October, but the minimum monthly mean, 5.2 h, occurring in January. The relative humidity annually averages 29.4%, and the maximum and minimum monthly mean appears in January and April, 46% and 15.5%, respectively. The wind is dominated by north-to-east, with an annual mean speed of 2.5 m/s and a maximum instantaneous value of 20 m/s^[31]. There is a great change range of groundwater table, greater than 60 m at the top of the complex dune, 3–8 m at inter-dune, and about 1 m in the specific lowland. The phreatic water is highly saline, with a mineralization degree of about 4–5 g·L⁻¹. The soil, composed of thick sand layers, is classified as aeolian sandy soil, containing 0.05–0.25 mm particles greater than 70%–80%, which indicates that the soils are sufficiently sorted by wind. The soil bulk density ranges from 1.49 to 1.51 g·cm⁻³, and the saturated water content is 0.43 m³·m⁻³^[31–33].

2 Theoretical analysis

The distributions of volumetric water content and suction of homogenous soil under stable evaporation are presented in Figure 1. Given the groundwater table, the stable evaporation of homogenous soil is determined by^[12]

$$\begin{cases} E = K(S) \frac{dS}{dZ} - K(S), \\ S(0) = 0, \end{cases} \quad (1)$$

where Z is vertical coordinate (m), $K(S)$ is unsaturated hydraulic conductivity (mm/d), and S is soil water suction (m).

If $K(S)$ is given by the general form

$$K(S) = \frac{K_s}{1 + fS^N}, \quad (2)$$

where K_s is the saturated conductivity (mm/d), f and N are pending parameters, then

$$E = \frac{K_s}{1 + fS^N} \left(\frac{dS}{dZ} - 1 \right),$$

$$dZ = \frac{1}{1 + \frac{E}{K_s} + \frac{E}{K_s} fS^N} dS.$$

When $Z=0$, $S=0$; when $Z=H$ (phreatic water depth) and $S \rightarrow \infty$, $E \rightarrow E_{\max}$. Therefore, the integral expression of the above-mentioned equation is

$$\int_0^H dZ = \int_0^\infty \frac{1}{1 + \frac{E_{\max}}{K_s} + \frac{E_{\max}}{K_s} fS^N} dS. \quad (3)$$

When $E_{\max} \ll K_s$, then

$$H = \int_0^\infty \frac{1}{1 + \frac{fE_{\max}}{K_s} S^N} dS. \quad (4)$$

Supposing $\frac{fE_{\max}}{K_s} S^N = y^N$, then

$$S = \left(\frac{K_s}{fE_{\max}} \right)^{\frac{1}{N}} y,$$

$$dS = \left(\frac{K_s}{fE_{\max}} \right)^{\frac{1}{N}} dy.$$

If $S \rightarrow 0$, $y \rightarrow 0$; $S \rightarrow \infty$, $y \rightarrow \infty$, then H is determined by

$$H = \int_0^\infty \frac{1}{1 + y^N} \left(\frac{K_s}{fE_{\max}} \right)^{\frac{1}{N}} dy = \left(\frac{K_s}{fE_{\max}} \right)^{\frac{1}{N}} \int_0^\infty \frac{1}{1 + y^N} dy.$$

Because

$$\int_0^\infty \frac{1}{1 + y^N} dy = \frac{\pi}{N \sin\left(\frac{\pi}{N}\right)},$$

$$H = \left(\frac{K_s}{fE_{\max}} \right)^{\frac{1}{N}} \cdot \frac{\pi}{N \sin\left(\frac{\pi}{N}\right)},$$

and

$$E_{\max} = \frac{K_s}{f} \left[\frac{\pi}{HN \sin\left(\frac{\pi}{N}\right)} \right]^N. \quad (5)$$

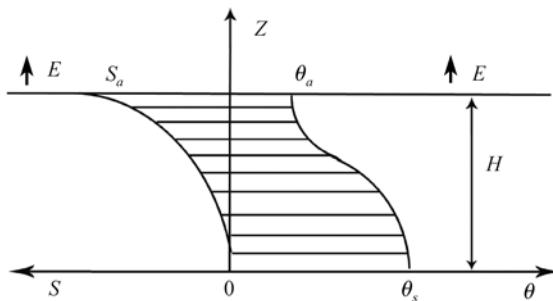


Figure 1 Distributions of soil moisture and suction under stable evaporation.

3 Parameters of soil water transportation

3.1 Soil water characteristic curve

The soil water characteristics formula developed by Van Genuchten^[34,35] is defined as

$$\theta(S) = \theta_r + \frac{\theta_s - \theta_r}{[1 + (\alpha S)^n]^m}, m = 1 - \frac{1}{n}, \quad (6)$$

where θ is volumetric soil moisture (m^3/m^3), θ_r is residual soil water content (m^3/m^3), and m , n and α are parameters. According to the relationship between soil water content and soil suction presented in Table 1^{1)[32]}, θ is fitted as

$$\theta = \frac{0.43}{[1 + (1.8947S)^{2.8997}]^{0.6551}}. \quad (7)$$

3.2 Infiltration properties

The relationships between infiltration rate and time and between cumulative infiltration water and time determined by double loop infiltration experiment are shown in Figures 2 and 3, respectively. The former follows the Horton formula fitt as:

$$i = 3.3015 + (6.5446 - 3.3015)\exp(-0.0517t), \quad (8)$$

where t is infiltration time (min), i is infiltration rate (mm/min). The infiltration rate peaks at the beginning, then declines with time. It declines dramatically at the beginning, then slowly, until the infiltration rate close to stable infiltration rate. The infiltration capacity of the

aeolian sandy soil is quite high, with the stable infiltration rate $i_c \approx 3.3015 \text{ mm}/\text{min} = 3.3015 \times 24 \times 60 = 4754.16 \text{ mm}/\text{d}$.

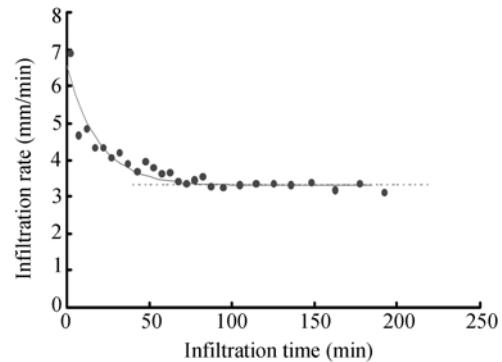


Figure 2 The relationship between infiltration rate and time.

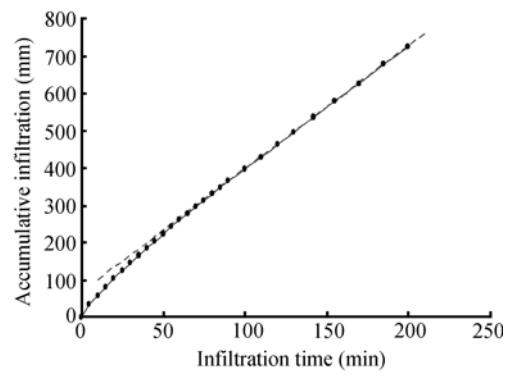


Figure 3 The relationship between accumulative infiltration and time.

3.3 Saturated hydraulic conductivity

The soil saturated hydraulic conductivity is determined by^[36]

$$K_s = 2d_{10}^2 e^2 \times 10 \times 24 \times 3600, \quad (9)$$

where d_{10} is the effective particle size (mm); e is the soil porosity rate. Because $e/(1+e) = \theta_s$ where θ_s , the saturated conductivity equals 0.43, e can be obtained as 0.75. In addition, the effective particle size, d_{10} , is determined as 0.07 mm through particle grading curve based on the Soil mechanical composition (Table 2). Therefore, the soil saturated hydraulic conductivity is determined as 4762.8 mm/d according to formula (9).

Given enough time, the stable infiltration rate ap-

Table 1 The corresponding soil water suction of soil water content for aeolian sandy soil

Moisture content (m^3/m^3)	0.23	0.24	0.22	0.14	0.13	0.12	0.10	0.07	0.06	0.06
Soil water suction (m)	0.58	0.58	0.70	0.84	1.00	1.04	1.11	1.21	1.37	1.40

1) Huang Q. Water and salt movement in soil of Taklimakan Desert irrigated with saline water. Dissertation for the Doctoral Degree. Yangling: Northwest Sci-Tech University of Agriculture and Forestry, 2002

Table 2 Soil mechanical composition

	Coarse sand	Fine sand	Coarse sand	Coarse sand	Coarse sand	Clay	Physical clay
Particle diameter (mm)	1–0.25	0.25–0.05	0.05–0.01	0.01–0.005	0.005–0.001	<0.001	<0.001
Content (%)	23.47	71.62	1.94	0.07	0.39	2.52	2.98

proaches to the saturated infiltration rate when the soil wsater is saturated^[37,38]. Since K_s is 4754.16 mm/d obtained by double loop infiltration experiment, it averages 4758.5 mm/d over the calculated and observed results.

3.4 Unsaturated hydraulic conductivity

The unsaturated hydraulic conductivity is determined by the soil moisture redistribution under vertical infiltration, also called instantaneous profile method^[12,39,40].

(i) Measurement principle. Assuming the downward direction is positive, the soil water flux $q(Z)$ for unsaturated one-dimensional flow, according to Darcy's law, may be written as

$$q(Z) = -K(\theta) \frac{\partial(\varphi_m - Z)}{\partial Z} \\ = -K(\theta) \frac{\partial(-S - Z)}{\partial Z} = K(\theta) \left(\frac{\partial S}{\partial Z} + 1 \right). \quad (10)$$

Thus

$$K(\theta) = \frac{q(Z)}{\frac{\partial S}{\partial Z} + 1} \approx \frac{q(Z)}{\frac{\Delta S}{\Delta Z} + 1}. \quad (11)$$

According to the principle of mass conservation, we can obtain the equation:

$$\frac{\partial \theta}{\partial t} = -\frac{\partial q}{\partial Z}. \quad (12)$$

Therefore, integrating eq. (12) from Z_0 to Z , soil moisture flux at any depth Z , $q(Z)$, and unsaturated hydraulic conductivity (mm/d), $K(\theta)$, can be given in the form

$$q(Z) = q(Z_0) - \frac{1}{\Delta t} \left[\int_{Z_0}^Z \theta(t_{i+1}) dZ - \int_{Z_0}^Z \theta(t_i) dZ \right], \quad (13)$$

$$K(\theta) = \frac{q(Z_0) - \frac{1}{\Delta t} \left[\int_{Z_0}^Z \theta(t_{i+1}) dZ - \int_{Z_0}^Z \theta(t_i) dZ \right]}{\frac{\Delta S}{\Delta Z} + 1}, \quad (14)$$

where θ is volumetric soil water content (m^3/m^3) and t is time (d).

(ii) Measurement method. The measurements were carried out at a 1 m×1 m plot without vegetation during September 25 to October 8 and October 14 to October 20, 2007. A neutron tube was buried in the plot center. To set the one-dimensional vertical infiltration condition, the plot was irrigated overland flow. When the soil was

highly wetted, the irrigation was terminated, and the plot was covered with plastic film to prevent evaporation and keep the soil moisture flux at zero in the soil surface. Whereafter, soil water content was measured with neutron probe at various time. Soil water suction was transferred from the soil water content observed through soil water characteristic curve.

(iii) Results. Figure 4 shows the relationship between unsaturated hydraulic conductivity and soil water suction of the aeolian sandy soil, which may be well fitted in the form

$$K(S) = \frac{4758.5}{1 + 1186.10386 S^{3.8937}}. \quad (15)$$

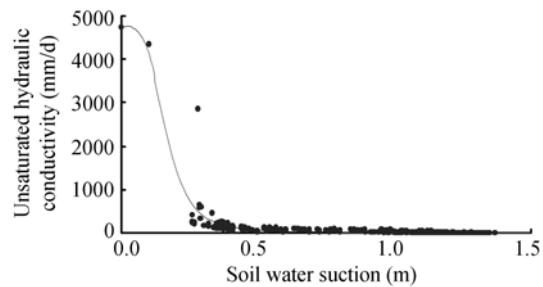


Figure 4 The relationship between soil water suction and unsaturated hydraulic conductivity.

4 The relationship between phreatic evaporation and phreatic depth

Substituting $K_s=4762.8$, $f=1186.1038$ and $N=3.8937$ into eq. (5), we obtain

$$E_{\max} = 6.1797 H^{-3.8937}. \quad (16)$$

5 Conclusions and discussion

(1) The soil water characteristic curve proposed by Van Genuchten can well describe the soil moisture characteristics in the Taklimakan Desert in which the aeolian sandy soils are composed of coarse and uniform particles.

(2) The limiting rate of phreatic evaporation only depends on soil properties and phreatic water depth. It is declined by power function with the descending of phreatic water depth. The exponent m is equal to 3.8937,

which agrees with the previous result about m ranging between 1—4 and generally large for sandy soil¹⁾.

(3) The formula of the limiting rate of phreatic evaporation can be used to estimate phreatic evaporation in the non-freezing period, because of the low precipitation,

high evaporation, extreme dry soil surface, high soil water suction approaching the infinite and stable groundwater table in the Taklimakan Desert^[41,42], which satisfy the condition of stable evaporation of homogeneous soils at the stable groundwater table.

- 1 Gardner, W R. Some steady state solutions of the unsaturated moisture flow equation with application to evaporation from water table. *Soil Sci*, 1958, 85(4): 228—232
- 2 Rosanne C, Sami B, Daniel Z, et al. Sugarcane transpiration with shallow water table: sap flow measurements and modeling. *Agric Water Manage*, 2000, 54(1): 17—36
- 3 Soppe R W O, Ayars J E. Characterizing ground water use by safflower using weighing lysimeters. *Agric Water Manage*, 2003, 60(1): 59—71
- 4 Caecelia A H, Peter J T, David L, et al. Sugarcane water use from shallow water tables: implications for improving irrigation water use efficiency. *Agric Water Manage*, 2004, 65(1): 1—19
- 5 Kahloon M A, Ashraf M, Zia-ul-Haq. Effect of shallow groundwater table on crop water requirements and crop yields. *Agric Water Manage*, 2005, 76(1): 24—35
- 6 Babajimopoulos C, Panoras A, Georgoussia H, et al. Contribution to irrigation from shallow water table under field conditions. *Agric Water Manage*, 2007, 92(3): 205—210
- 7 Lei Z D, Yang S X, Xie S C. Analyses and empirical formula of phreatic evaporation under steady flow (in Chinese). *J Hydraul Eng*, 1984, (8): 60—64
- 8 Tang H X, Su Y S, Zhang H P. Experimental research on phreatic evaporation and improvement of empirical formula (in Chinese). *J Hydraul Eng*, 1989, 10: 37—44
- 9 Shen Z R. Water Resources Scientific Experiment and Research —Atmospheric, Surface, Soil and Ground Water Interactions (in Chinese). Beijing: China Technology Press, 1992. 205—231
- 10 Cheng X J. Study on phreatic evaporation with and without the effect of crop growth (in Chinese). *J Hydraul Eng*, 1993, (6): 37—42
- 11 Mao X M, Yang S X, Lei Z D. Numerical simulation of ground water evaporation from bare soil in Yarkant River basin (in Chinese). *Adv Water Sci*, 1997, 8(4): 313—320
- 12 Lei Z D, Yang S X, Xie S C. Soil-Water Dynamics (in Chinese). Beijing: Tsinghua University Press, 1988. 136—263
- 13 Wu M R. Irrigation and Drainage (in Chinese). Beijing: Agricultural Press, 1994. 20—23
- 14 Zhang W Z, Zhang Y F. Speeches of vadose zone moisture migration issues (Fourth): soil water movement under evaporation conditions (Upper) (in Chinese). *Hydrogeol Eng Geol*, 1981, (4): 55—59
- 15 Hu S J, Tian C Y, Song Y D, et al. Models for calculating phreatic water evaporation on bare and *Tamarix*-vegetated lands. *Chin Sci Bull*, 2006, 51(Suppl I): 43—50
- 16 Bever, et al. *Soil Physics* (in Chinese). Beijing: Agricultural Press, 1983. 360—361
- 17 Gao W Z. The limiting rate of phreatic evaporation of homogeneous soil with a given groundwater table (in Chinese). *Hydrogeol Eng Geol* (in Chinese), 1985, (2): 16—17, 56
- 18 Jury W A, Gardner W R, Gardner W H. *Soil Physics*. 5 ed. New York: John Wiley and Sons Inc, 1991. 97—99
- 19 Zhan W Z. *Groundwater and Soil Water Dynamics* (in Chinese). Beijing: China Water Resources and Hydropower Press, 1996. 239—242
- 20 Zhang W Z, Shen R K. *Groundwater and Groundwater Control* (in Chinese). Beijing: China's Water Conservancy and Hydroelectric Press, 1998. 102—122
- 21 Guo Y Y. *Farmland Water Conservancy*. 3rd ed. (in Chinese). Beijing: China's Water conservancy and Hydroelectric Press, 1997. 23—24
- 22 Ma Y J, Wang Z M. Phreatic evaporation of the layered soil with a given groundwater table (in Chinese). *J Hydraul Eng*, 2005, (Suppl): 310—314
- 23 Shi W J, Shen B, Wang Z R, et al. Phreatic evaporation characteristics and calculation methods with the shallow water table in the saline soil region (in Chinese). *Trans Chin Soc Agr Eng*, 2002, 22(5): 32—35
- 24 Hu S J, Kang S Z, Song Y D, et al. Variation of phreatic evaporation and its calculation method in Tarim River Basin in Xinjiang (in Chinese). *Trans Chin Soc Agr Eng*, 2004, 20(2): 49—53
- 25 Qu X Y, Zhang Y Y, Su J X, et al. Phreatic evaporation and calculation of non-stable flow drainage under depth index relations $n = 3$ (in Chinese). *J Hydraul Eng*, 1983, (9): 48—53
- 26 Zhao H, Zhang Y Y. Numerical Solution of non-steady flow for the space of drainage ditches in field under the influence of evaporation (in Chinese). *J Hydraul Eng*, 1986, (11): 35—38
- 27 Ma Y J, Shen B. Hudan Tumaerbay. Spacing of drainage ditches in field under the influence of evaporation (in Chinese). *J Hydraul Eng*, 2006, 37(10): 1264—1269
- 28 Song Y D, Fan Z L, Lei Z D. Research on Water Resources and Ecology of Tarim River, China (in Chinese). Urumqi: Xinjiang People's Publishing House, 2000. 250—255, 389—390
- 29 Pan Q M, Ren Z Y, Hao G Z. Analysis of ecological water demand for the Heihe River Basin (in Chinese). *J Yellow River Conserv Tech Inst*, 2001, 13(1): 14—16
- 30 Wang G X, Chen G D. Water demand of eco-system and estimate method in arid inland river basins (in Chinese). *J Desert Res*, 2002, 22(2): 129—134

1) The Teaching and Study Group of Farmland Water Conservancy of Tinghua University. Basic Principle of Non-saturated Soil Water Movement, 1980

- 31 Xu X W, Li B W, Wang X J. Progress in study on irrigation practice with saline groundwater on sand lands of Taklimakan Desert hinterland (in Chinese). *Chin Sci Bull*, 2006, 51(Suppl 1): 133—136
- 32 Huang Q, Liu Y Y, Li S X, et al. Calculating soil hydraulic properties in Taklimakan Desert (in Chinese). *Arid Land Geogr*, 2002, 25(1): 75—78
- 33 Huang Q, Li S X, Song Y D. The movement of water and salt in sandy land after irrigation with saline water (in Chinese). *Acta Pedol Sin*, 2003, 40(3): 547—553
- 34 Van Genuchten M T. A closed-form equation for predicting the hydraulic conductivity of unsaturated soil. *Soil Sci Soc Am J*, 1980, 44: 892—989
- 35 Xu D, Cai L G, Wang S L, et al. Water and Soil Management for Sustainable Agriculture (in Chinese). Beijing: China Water Conservancy and Hydroelectric Press, 2000. 75—100
- 36 Mao C X. Seepage Computation Analysis & Control. 2nd (in Chinese). Beijing: China Water Conservancy and Hydroelectric Press, 2003. 343
- 37 Tong Y A. The Theory and Method for Water in System of Soil-Plant-Atmosphere Continuum (in Chinese). Xi'an: Shaanxi Science and Technology Publishing House, 1998. 97—121
- 38 Bureau of Ren-Min Sheng-Li Irrigation Channel, Henan Province, China. Theory and Practice of Monitoring and Forecasting Water and Salt in Irrigation Area (in Chinese). Zhenzhou: Yellow River Water Conservancy Press, 1997. 133—146
- 39 Li Y Z, Li B G. Soil Solute Transport (in Chinese). Beijing: Science Press, 1998. 264—294
- 40 Hua M, Wang J. Soil Physics (in Chinese). Beijing: Beijing Agricultural University Press, 1994. 296—299
- 41 Department of Tarim Petroleum Explorating and Developing of Headquarter of CNPC. Tarim Oil Highway (in Chinese). Beijing: Petroleum Industry Press, 1996. 91—93
- 42 Taklimakan Desert Integrated Survey Team of Chinese Academy of Sciences. The Evaluation and Utilization of Water Resources in the Areas of the Taklimakan Desert (in Chinese). Beijing: Science Press, 1993. 156—164